

# Investigating the observed sensitivities of air-quality extremes to meteorological drivers via quantile regression

**Porter et al.**

## Referee #1 Comments

*(authors' responses in italics)*

This seems to me a very nice paper. It furthers an understanding of the meteorological drivers of air pollutant extremes across the U.S., both how they differ regionally and seasonally, and the differences between ozone and aerosol pollution. It also helps clarify the meteorological drivers of extreme events. I think this will be a valuable contribution. I would recommend publication after the rather minor comments below have been addressed.

Major Comment: My main concern is that the methodology is not always clear. (i) A central theme of the paper concerns quantile regression. I would guess this procedure is not widely known in the meteorological/chemical community. I would recommend adding a short section to the paper within the methodology section explaining in more detail what quantile regression is. (ii) The analysis procedure and variable selection were not clear to me. I read the relevant section several times and still did not come away with a precise understanding of the procedure. The authors need to take the time to fully explain their procedure. Maybe a schematic diagram would help (also see minor comments below).

*Thank you for the positive feedback and general comment. We've tried to address each of the concerns you've brought up here through our responses to the individual points you raise below.*

1. P14077 L13-14: It is my understanding that measures in Beijing were not taken because of a “particularly extreme events” but because of normal high pollution levels. Paris in the last few years might be a better example of extraordinary measures taken during high pollution events.

*Good point – the example has been modified following this suggestion:*

*“In addition, particularly extreme events may hinder day-to-day activities, and require the implementation of drastic tactical air pollution control measures (e.g. the temporary banning of vehicles with even-numbered license plates from driving in Paris during the Spring of 2015).”*

2. P14075 L5: “fans out”. I think I know what you mean, but it would be better to explain more explicitly instead of using a term in quotes.

*This phrase has been removed, with the explicit definition and a relocated reference to the example in Figure 1a taking its place.*

3. P14079 : As the paper is nominally about quantile regression more background on the methodology would be appropriate as it may not be generally known. The paper goes over this in a few sentences in the introduction and provides a nice example (Fig. 1) but it would make sense to educate the community in somewhat more detail.

*The introduction of quantile regression has been expanded and reorganized:*

*“This situation is one common example of a distribution that might be better characterized through the use of more advanced statistical tools, such as quantile regression (Koenker and Bassett Jr, 1978). A semi-parametric estimator, quantile regression (QR) seeks to minimize the sum of a linear (rather than quadratic) cost function, making it less sensitive to outliers than OLS regression. Unweighted, this simple change produces a conditional median (or 50<sup>th</sup> quantile regression), rather than the conditional mean of OLS regression. Applying appropriately chosen weights to the positive and negative residuals of this cost function then targets specific percentiles of the response, allowing for the quantification of sensitivity across nearly the entire response distribution. An example of this regression performed across a broad range of percentiles is shown in Figure 1b, including the 5<sup>th</sup> quantile in black, the 50<sup>th</sup> quantile in yellow, and the 95<sup>th</sup> quantile in red.”*

4. P14080, L21: “all” is a strong word. I suggest you delete it.

*Done.*

5. P14080, L8: “averages” – this seems to imply all variables are 3-hour averages. This does not seem consistent with some of the variable descriptions.

*Prior to the calculation of daily values (maximum, minimum, etc ...) all of the original 3-hourly fields were scaled to hourly values using cubic splines, allowing for time zone normalization. This has been clarified in-text:*

*“We use the 3-hourly NARR output to reconstruct hourly resolution diurnal cycles for each meteorological variable at each station through time series cubic splines and bilinear interpolation of the gridded fields to station latitudes and longitudes.”*

6. P14081: I believe the RPI as defined previously is actually equal to the ratio of vector/scale sums. This would make a low RPI (close to 0) indicative stagnant air masses.

*Following Levy et al 2009 we define RPI as this ratio of vector/scale sums subtracted from 1, so that higher values indicate more recirculation. This has been more clearly explained in-text:*

*“To measure this effect we calculate a daily Recirculation Potential Index (RPI) from surface wind speeds based on the ratio between the vector sum magnitude (L) and scalar sum (S) of wind speeds over the previous 24 hours (Levy et al., 2009):*

$$RPI = 1 - \left(\frac{L}{S}\right).$$

7. P14080: Variable generation. Some variables the authors averaged regionally (e.g., tke), some they do not. It would be appropriate to provide some rationalization for which variables are averaged regionally.

*Variables showing too many identical values (usually zero) were averaged regionally to introduce increased variability. This has been explained in-text:*

*“In some cases regional means were included, primarily due to insufficient variability in individual cell values for that variable at some sites.”*

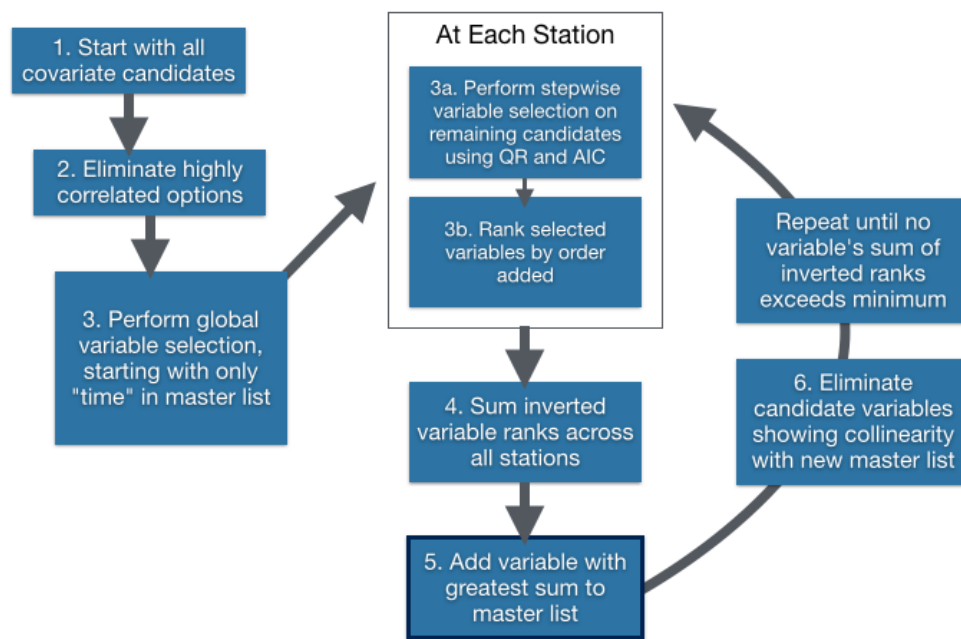
8. P14083, L17: “pollutant levels”. I think you said this previously – but it might be worthwhile reminding the reader here which metrics you use for ozone and pm2.5 (e.g., daily average?)

*We use daily mean values for PM<sub>2.5</sub> and peak 8-hour average for O<sub>3</sub>. Clarification text has been added to the section in question:*

*“We use O<sub>3</sub> and PM<sub>2.5</sub> measurements from the US Environmental Protection Agency’s (EPA) Air Quality System (AQS) network, including daily peak 8-hour average measurements of O<sub>3</sub> and daily mean PM<sub>2.5</sub> levels.”*

9. P14084: The procedure to select variables here is not altogether clear to me. I have read this section a number of times and am still unclear on the exact procedure. The authors should make sure it is clearly explained. Maybe a diagram would be helpful here?

*This section has been revised, including an accompanying flowchart (now Figure 3), to help clarify the procedure.*



10. P14085: “summed inverse rank threshold of at least 2”. This is not clear to me. Perhaps when the procedure above is explained in more detail this will also become clear.

*We have attempted to make this “summed inverse rank” value clearer throughout section 2.4, and hope that this (along with the flowchart described above) helps to clarify the calculation and purpose of this metric.*

*“We then rank the final set of included variables by order of selection, invert those ranks, and sum these inverted ranks over all 100 test stations (Figure 3, step 4). This sum represents an overall importance metric, and will be large for variables that either appear somewhat valuable at many stations, or that appear to be exceptionally valuable at just a few stations.”*

11. P14085, L22-23: “multivariate quantile regression”. Here I assume it is linear regression?

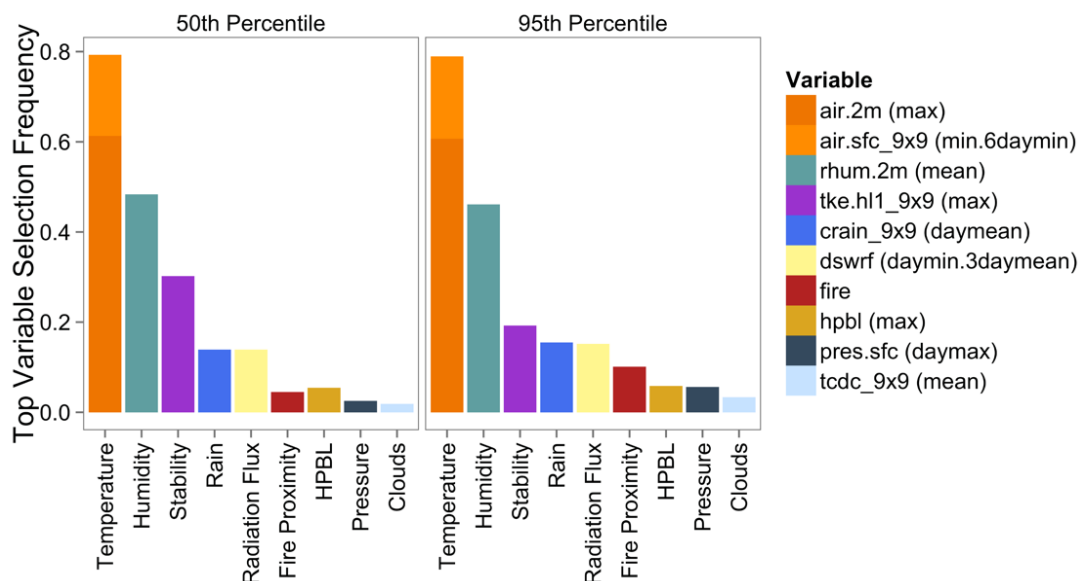
*Correct. All regressions performed here are linear. Clarifying text has been added:*

*“Using these selected meteorological variables, we next perform linear multivariate quantile regression to identify sensitivities for percentiles from 2% to 98% at each station in the full set of AQS sites.”*

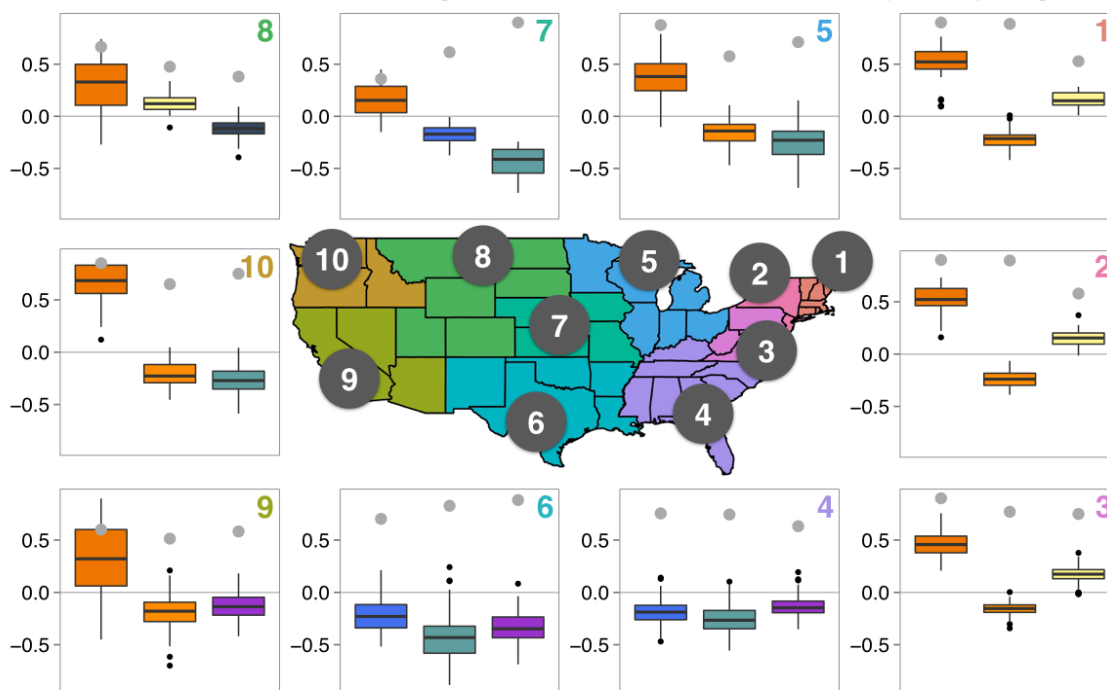
12. P14086, L7: “frequency of appearance”. Actually the frequency of appearance is not shown, but the number of stations is shown. I would suggest showing the actual frequency would be a better metric.

*These figures have been modified to show frequency rather than raw counts:*

## Top Covariates: Summer O<sub>3</sub>



Normalized 95th Quantile Regression Coefficients for Most Frequent by Region



13. P14087, L7: “inverse correlation”. I assume by inverse the authors mean a negative correlation.

*Yes.*

14. Fig 1. Please state the seasonality of the measurements and how many years are used in the figure caption.

*These data are from JJA measurements from 2004 through 2012, and this information has been added to the figure caption.*

15. Table 1. Some of these variables names are not obvious and could be explained better with a footnote. What is categorical rain, best lifted index, the difference between apcp and prate, projected cloud cover? I suspect turbulent kinetic energy was generated in the boundary layer scheme – please clarify?

*There were several typographical errors in this table, leading to some confusion. The table has been corrected, which should hopefully clarify some of these questions. For more detailed information on specific NARR variables themselves, we would like to refer readers to Mesinger et al. 2006 and the websites linked therein.*

*The revised variable descriptions include:*

*apcp = accumulated total precipitation*

*crain = binary precipitation flag*

*tcdc = total column cloud cover*

16. Fig. 3. I had to blow this figure up to make anything out of it. I would suggest making the panel sizes bigger and possibly separating into separate figures. I always find it hard to match colors precisely. In the lower panel in each figure the individual sensitivities should be specified (the names for these variables could probably be easily shortened). In addition either in the text or the figure caption it should be specified to what extent the correlations are significant.

*To a certain extent the legibility of this figure has been reduced by the ACPD formatting scheme, a problem which should be somewhat resolved in the larger ACP layout. However, we have also taken steps to increase the size of the inset figures to make them easier to interpret.*

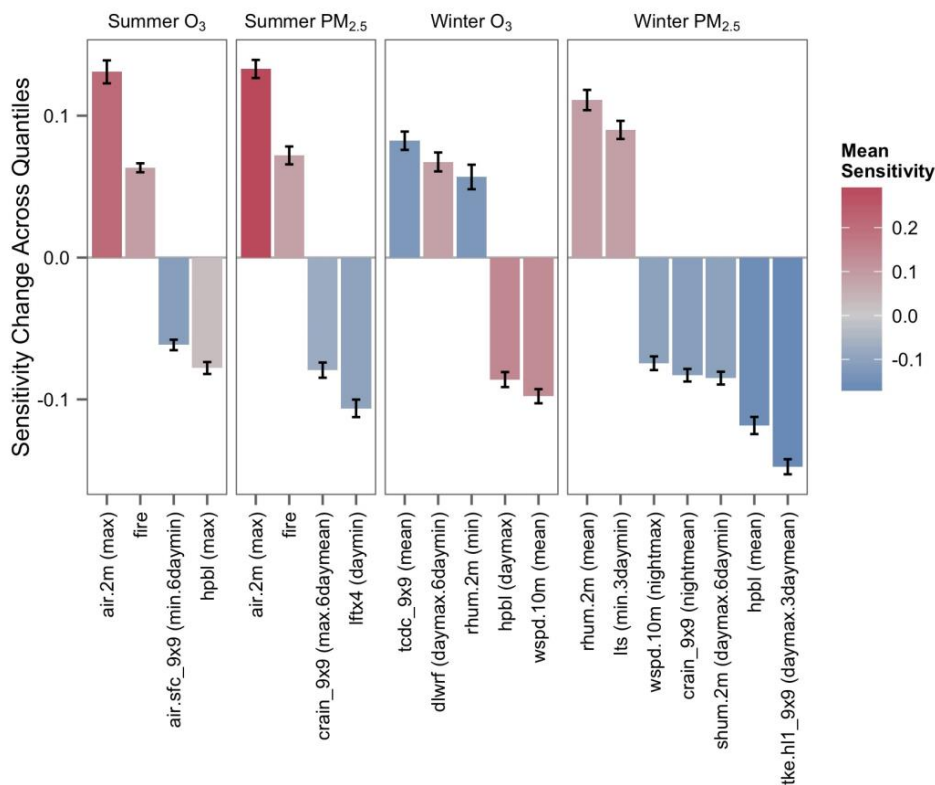
*Since these inset boxplots show the results of many regressions, rather than just one, there is no straightforward methodology for determining overall significance. However, we have added a grey dot to each box, representing the fraction of stations at which each variable showed significance at the 95% level. We hope that this, in combination with the normalized distributions themselves, are sufficient for assessing covariate significance.*

*See final figure example above, in response to comment 12.*

17. Fig 7. At what level are these slopes significant? I was struck by how similar the results were across the different quantiles. Even a 10% change seems rather small. Is this really significant? In general throughout the paper a number of correlations and regressions are made. The authors should really comment on the significance of these quantities.

*The y-axis of this figure (now Figure 8) was mislabeled as a percentage, rather than a simple decimal value. Under the correct labeling, it should be clear that many of these coefficient ranges across quantiles are in fact of magnitude similar to the averages themselves, leading to drastically different sensitivities between the lowest and highest response percentiles. We hope that the relabeled y-axis (along with additional explanation in text) helps to indicate the importance of these differences:*

*“Quantifying the extent to which these differences in quantile sensitivities might impact the response distributions themselves is beyond the scope of this work, but the magnitudes of sensitivity differences relative to the mean sensitivities themselves suggest large differences between mean and extreme behavior. For example, the sensitivity change of summer  $O_3$  to maximum air temperature is shown to be roughly equivalent to the mean sensitivity itself. Thus, a location showing a mean increase of 1 ppb  $O_3$  per  $^{\circ}C$  could be expected to exhibit an increase of only 0.5 ppb  $O_3$  per  $^{\circ}C$  at the 5<sup>th</sup> percentile, but a much larger increase of 1.5 ppb  $O_3$  per  $^{\circ}C$  at the 95<sup>th</sup> percentile. This could clearly have important consequences for the resulting  $O_3$  distribution, given increasing temperatures.”*



## Referee #2 Comments

*(authors' responses in italics)*

The manuscript is an excellent contribution. By and large it is well-written and clear. Examination of the full distribution of pollutant concentrations and the incongruent importance of meteorological factors across that distribution is an important finding. From a societal impacts point of view, the focus on the upper tail is both justified and topical. I recommend publication after the following critiques are addressed.

1. In terms of framing the results, I think the authors need to be careful with regard to their choice of language. In particular, since this is a statistical analysis, the conclusion and presentation of various meteorological factors as 'drivers' seems inaccurate (and the method does not seem up to the task of proving something to be a physical driver). This issue especially stood out to me with the contention that PM events were driven by temperature. I understand the authors' intent, but nuance is required. I recommend that these language considerations be modified throughout the manuscript. The method has found influences, associations, and yes, some well-established drivers (ozone & temperature), but the physical links have not been established for all variables.

*Point well taken – we do not want to imply causation where it has not been established. We have replaced the word “driver” with the more statistically neutral term “covariate” throughout the manuscript wherever direct causation cannot be assumed for a specific meteorological variable.*

2. P14078 27: The use of “weather patterns” is general. When I see this I think of circulation patterns, but I'm certain that others have different interpretations. Perhaps the sentiment could be strengthened/clarified by being explicit regarding the meaning of weather patterns? One direction to follow/cite: Currently in review at ACP: Shen et al, 2015, Influence of synoptic patterns on surface ozone variability over the Eastern United States from 1980 to 2012

*This section has been edited for clarity, removing the spatial connotation that “weather pattern” can carry:*

*“Previous studies have analyzed the impacts of changes in weather and climate on O<sub>3</sub> and PM<sub>2.5</sub> levels (e.g. Brasseur et al., 2006; Liao et al., 2006), finding connections between specific meteorological conditions and mean pollutant response.”*

3. P14079 L7: I highlight this here, but it's something that should be addressed throughout: the word extremes typically refers to both tails, but here it seems to be used to refer to the high tail only, as 'low' is later invoked. I'd suggest clarifying what 'your' extreme is early in the manuscript and sticking with that usage throughout.

*We have updated our usages of “extreme” to clarify our meaning throughout the text.*



4. P14080: I am interested to hear more about the biases and their influence on the conclusions. The results place such huge importance on temperature (which reanalyses do moderately well at capturing), but if there is threshold dependence in other variables that are not well-captured (e.g., precipitation & wind), would this not affect your conclusions?

*Our results here are certainly affected by any biases and errors present in the NARR reanalysis product, and this has been clarified in section 2.4:*

*“It should be noted that the NARR fields used to provide our input meteorological drivers likely exhibit intrinsic errors and biases which will certainly affect the predictive power of our models, as well as the strength of our variable selection process itself. Variables which are better represented (e.g. temperature) will have an advantage compared to other potentially important variables with greater uncertainties, such as precipitation.”*

5. P14081: In terms of derived products, if possible, I'd love to see your methods applied to two recent results that deal with the future: (a) Barnes & Fiore, GRL, 2013, Surface ozone variability and the jet position. Does jet position north/south of each EPA region have a controlling influence? (b) Horton et al, Nature Climate Change, 2014, Occurrence and persistence of atmospheric stagnation events. Does the influence of stagnation as defined in this study differ greatly from the stagnation discussed here?

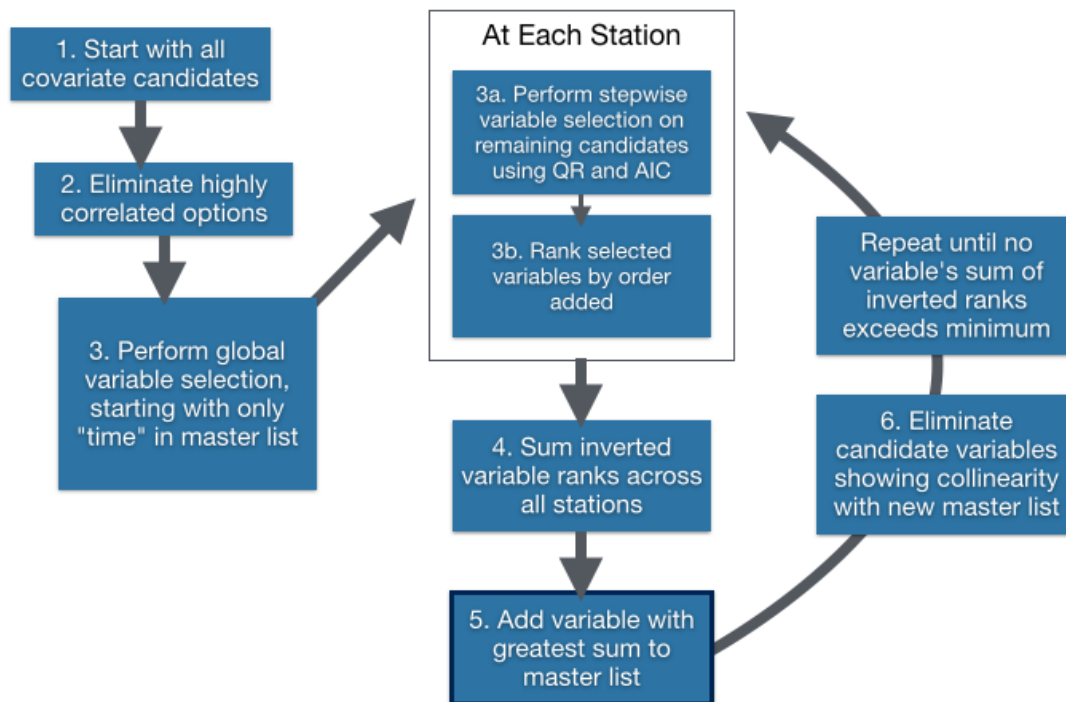
*These are excellent questions, and we hope to address these (and others like them) in future work.*

6. P14087 L10: This may be a jargon question, but is Turbulent Kinetic Energy the same as Eddy Kinetic Energy as discussed in Coumou et al, 2015, Science, The weakening summer circulation in the Northern Hemisphere mid-latitudes?

*Yes, these two terms appear to be synonymous.*

7. Section 2.4: Could this section be rewritten with a bit more clarity? Is the method sensitive to the order of variable addition?

*We have supplemented this section with additional details, as well as a flowchart describing all steps of the selection process. All candidate variables are tested individually before each selection, so the order of evaluation does not play a role in the final results.*



8. What does it mean that 'rain' is a top driver of PM? Is this, lack of rain?

*Correct.*

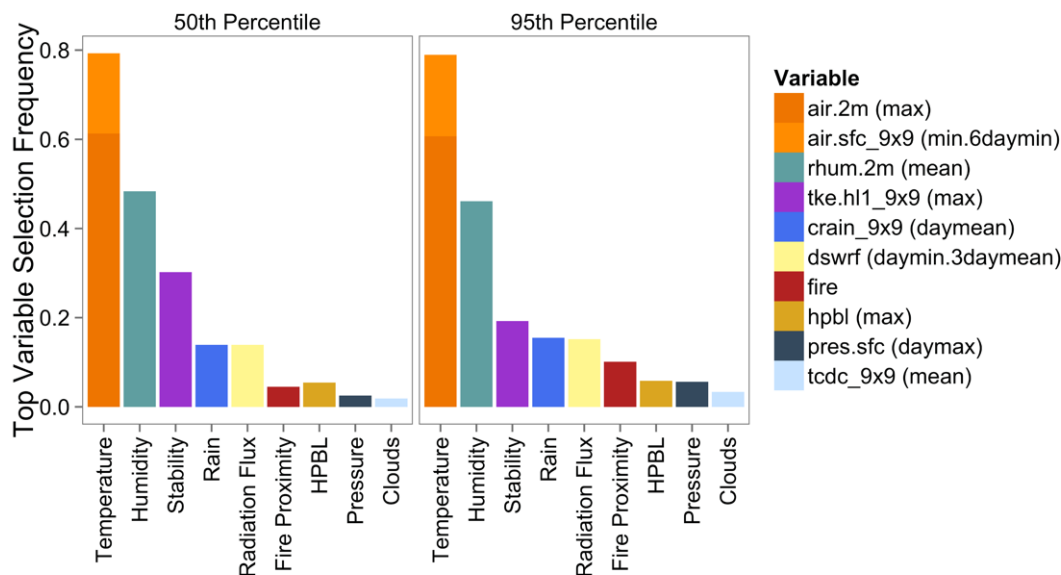
9. I'm a tad confused on all the various variables names, especially in Fig 3 & 5. On the right they are called one thing and on the x-axis they are generalized.

*Correct – we have added a note to the caption of these figures explaining the grouping that was performed.*

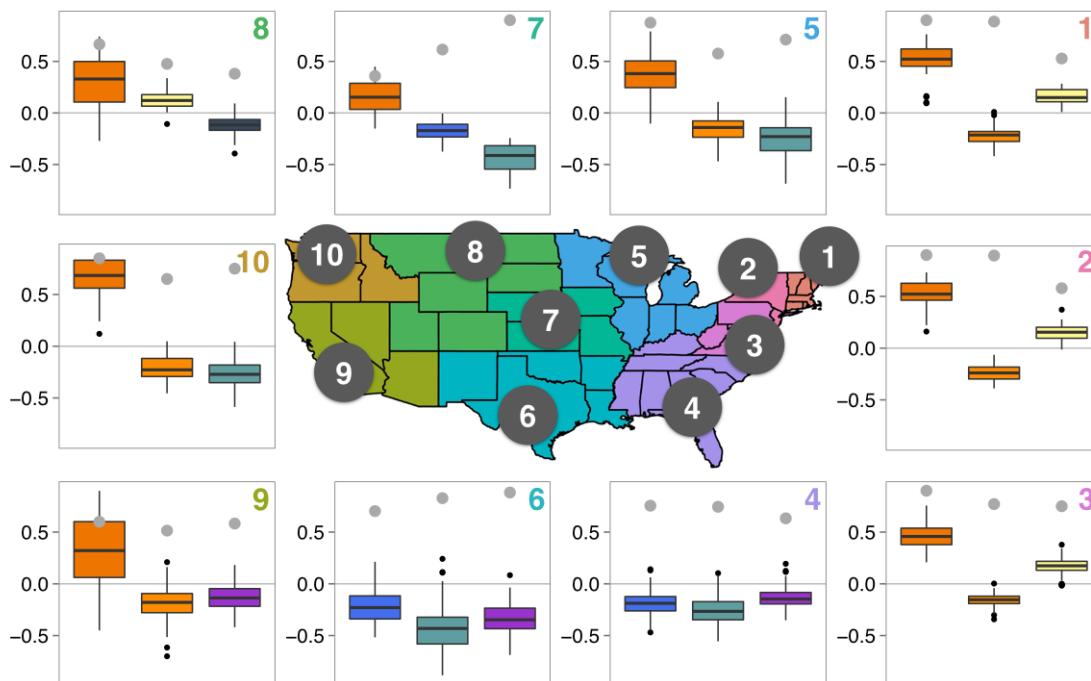
10. Figure 3 & 5 could perhaps be broken up? Regional plots are miniscule.

*The panels in these two figures were relocated and resized to aid legibility. We hope that these changes – along with the move to the larger ACP format – will be sufficient to make them effective, and will continue to monitor the figures as the proofing process continues.*

## Top Covariates: Summer O<sub>3</sub>



Normalized 95th Quantile Regression Coefficients for Most Frequent by Region

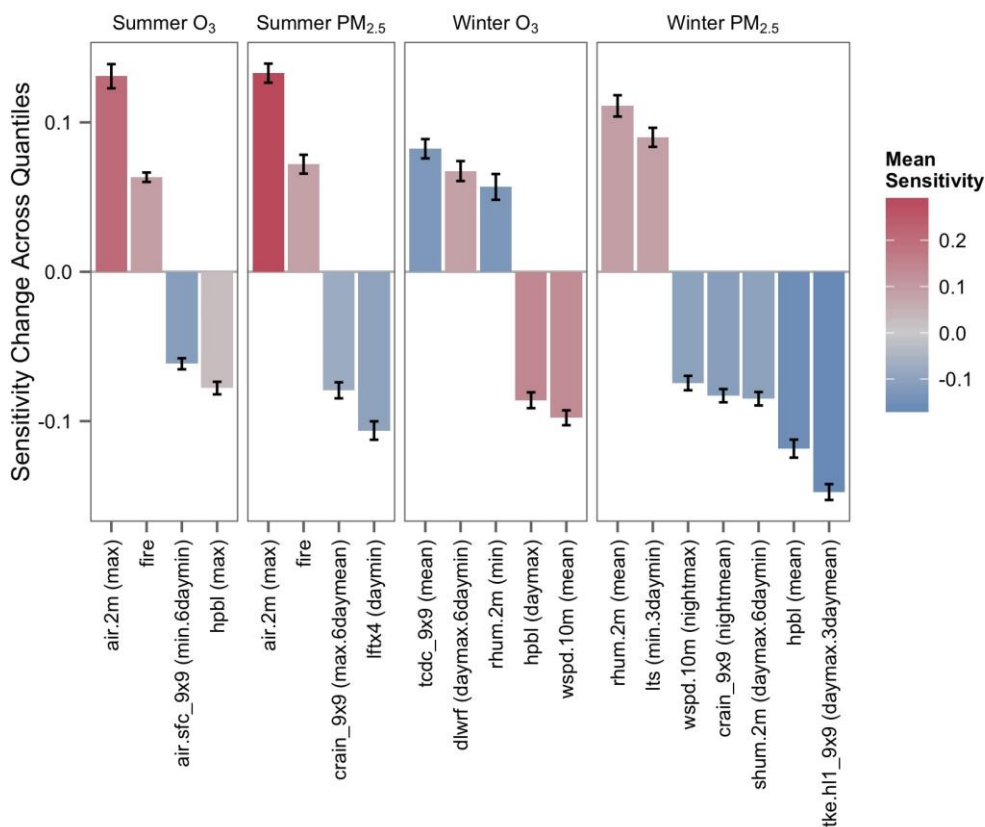


11. Figure 7 is interesting...but I'd imagine averaging things over several stations removes some valuable information...and makes the differences rather insignificant? Perhaps doing this for two particular locales would give a better demonstration of the point?

(Copied from response to Reviewer 1, question 17.)

The y-axis of this figure (now Figure 8) was mislabeled as a percentage, rather than a simple decimal value. Under the correct labeling, it should be clear that many of these coefficient ranges across quantiles are in fact on the same order of magnitude as the averages themselves, leading to drastically different sensitivities between the lowest and highest response percentiles. We hope that the relabeled y-axis (along with additional explanation in text) helps to indicate the importance of these differences:

“Quantifying the extent to which these differences in quantile sensitivities might impact the response distributions themselves is beyond the scope of this work, but the magnitudes of sensitivity differences relative to the mean sensitivities themselves suggest large differences between mean and extreme behavior. For example, the sensitivity change of summer  $O_3$  to maximum air temperature is shown to be roughly equivalent to the mean sensitivity itself. Thus, a location showing a mean increase of 1 ppb  $O_3$  per  $^{\circ}C$  could be expected to exhibit an increase of only 0.5 ppb  $O_3$  per  $^{\circ}C$  at the 5<sup>th</sup> percentile, but a much larger increase of 1.5 ppb  $O_3$  per  $^{\circ}C$  at the 95<sup>th</sup> percentile. This could clearly have important consequences for the resulting  $O_3$  distribution, given increasing temperatures.”



12. In general, I'd suggest a bit more attention to detail in the figures and figure captions.  
Axes labels, etc. would be great.

*Thank you for this suggestion, we have added descriptive text and expanded a number of figure captions for clarity.*

# Investigating the observed sensitivities of air-quality extremes to meteorological drivers via quantile regression

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## Abstract

Air pollution variability is strongly dependent on meteorology. However, quantifying the impacts of changes in regional climatology on pollution extremes can be difficult due to the many non-linear and competing meteorological influences on the production, transport, and removal of pollutant species. Furthermore, observed pollutant levels at many sites show sensitivities at the extremes that differ from those of the overall mean, indicating relationships that would be poorly characterized by simple linear regressions. To address this challenge, we apply quantile regression to observed daily ozone (O<sub>3</sub>) and fine particulate matter (PM<sub>2.5</sub>) levels and reanalysis meteorological fields in the United States over the past decade to specifically identify the meteorological sensitivities of higher pollutant levels. From an initial set of over 1700 possible meteorological indicators (including 28 meteorological variables with 63 different temporal options) we generate reduced sets of O<sub>3</sub> and PM<sub>2.5</sub> indicators for both summer and winter months, analyzing pollutant sensitivities to each for response quantiles ranging from 2-98%. Primary ~~drivers of~~covariates connected to high-quantile O<sub>3</sub> levels include temperature and relative humidity in the summer, while winter O<sub>3</sub> levels are most commonly associated with incoming radiation flux. ~~Drivers of~~Covariates associated with summer PM<sub>2.5</sub> include temperature, wind speed, and tropospheric stability at many locations, while stability, humidity, and planetary boundary layer height are the key ~~drivers~~covariates most frequently associated with winter PM<sub>2.5</sub>. We find key differences in ~~driver~~covariate sensitivities across regions and quantiles. For example, we find

nationally averaged sensitivities of 95<sup>th</sup> percentile summer O<sub>3</sub> to changes in maximum daily temperature of approximately 0.9 ppb °C<sup>-1</sup>, while the sensitivity of 50<sup>th</sup> percentile summer O<sub>3</sub> (the annual median) is only 0.6 ppb °C<sup>-1</sup>. This gap points to differing sensitivities within various percentiles of the pollutant distribution, highlighting the need for statistical tools capable of identifying meteorological impacts across the entire response spectrum.

## 1 Introduction

Poor air quality is projected to become the most important environmental cause of premature human mortality by 2030 (WHO 2014). Long-term exposure to high levels of ozone (O<sub>3</sub>) has been linked to increased risk of respiratory illness, while chronic exposure to elevated fine particulate matter (PM<sub>2.5</sub>) is associated with lung cancer, respiratory, and cardiovascular disease (e.g. Dockery et al., 1993; Jerrett et al., 2009; Krewski et al., 2009; Pope III et al., 2009). In addition to these consistently documented risks of chronic exposure, there is some evidence that acute exposures to pollution may themselves carry risks to human health above and beyond those of the long-term mean exposures (Bell et al., 2005). Thus, high pollution events may be responsible for a larger fraction of annual acute mortality. In addition, particularly extreme events may hinder day-to-day activities, and require the implementation of drastic tactical air pollution control measures (e.g. [widespread reduction the temporary banning of vehicles with even-numbered license plates from driving in vehicle usage and industrial activity Paris during the Beijing Olympics Spring of 2015](#)). Despite the lack of an observed threshold concentration for detrimental impacts of air pollution (e.g. Dockery et al., 1993), ambient air quality regulations are typically implemented as thresholds, with penalties for exceedances. For example, in the United States, pollution standards for O<sub>3</sub> and PM<sub>2.5</sub> include limits on not only mean annual values (in the case of PM<sub>2.5</sub>), but also thresholds for high annual values (equivalent to the averaged 98<sup>th</sup> or 99<sup>th</sup> percentiles for PM<sub>2.5</sub> and O<sub>3</sub>, respectively). Thus, predicting and understanding potential changes in extreme air pollution episodes is central to both air pollution policy and human health concerns.

1 A changing climate may modulate air quality, with implications for human health.  
2 Pollutant formation, transport, lifetime, and even emissions all depend, to a certain  
3 degree, on local meteorological factors (Jacob and Winner, 2009; Tai et al., 2010),  
4 meaning that changes in the behaviors of these factors will often lead to changes in  
5 pollutant levels and exposure risks. Understanding the relationships between  
6 meteorological variability and observed pollutant levels will be critical to the  
7 development of robust pollution projections, as well as sound pollution control strategies.  
8 However, while straightforward sensitivity analyses using long-term averages and simple  
9 linear regressions provide valuable information on mean pollutant behavior, they are  
10 insufficient for analyses of extreme behaviors. Drivers and sensitivities characteristic of  
11 average pollutant responses will not necessarily be reflected throughout the entire  
12 pollutant distribution. To evaluate these relationships statistically, alternative  
13 methodologies must be used.

14 Previous studies examining the impact of meteorology on pollution levels have addressed  
15 the problem using a variety of tools. Modeling sensitivity studies offer a direct means of  
16 comparing the impacts of large-scale scenarios or individually adjusted parameters,  
17 allowing for a degree of comparison and replication that is impossible using only  
18 observations (e.g. Hogrefe et al., 2004; Mickley et al., 2004; Murazaki and Hess, 2006;  
19 Steiner et al., 2006; Heald et al., 2008). From such output, pollutant levels under multiple  
20 conditions or scenarios can be evaluated more or less in the same way that observed  
21 levels are, including the examination of global burdens, regional patterns, or even local  
22 exceedance frequencies as a function of meteorological changes. However, while these  
23 tools are powerful, it can be difficult to verify and understand projected changes due to  
24 the high degree of complexity of these models. On the other hand, observation-based  
25 examinations (e.g. Bloomer et al., 2009; Rasmussen et al., 2012) are tied closely to the  
26 actual underlying physical processes producing changes in pollutant levels, but are  
27 naturally limited in terms of identifying and quantifying the impacts of individual drivers  
28 – it is difficult to separate the impacts of different meteorological factors without the  
29 benefit of multiple sensitivity comparisons afforded by models.

30 Ordinary least-squares (OLS) regressions are effective tools for identifying trends and  
31 sensitivities in the distribution of pollution levels as a whole, especially for well-behaved



1 data showing uniform sensitivities. Previous studies have analyzed the impacts of  
2 changes in ~~specific meteorological conditions~~weather and climate on O<sub>3</sub> and PM<sub>2.5</sub> levels  
3 (e.g. Brasseur et al., 2006; Liao et al., 2006), finding connections between ~~weather~~  
4 ~~patterns~~specific meteorological conditions and mean pollutant response. In particular, the  
5 sensitivity of surface O<sub>3</sub> levels to changes in climate – the so-called “climate change  
6 penalty” (Wu et al., 2008) – has been examined in multiple studies worldwide (e.g.  
7 Bloomer et al., 2009), but previous examinations of individual meteorological  
8 sensitivities have typically produced single, monovariate estimates for changes in O<sub>3</sub>  
9 given changes in each driver (e.g. temperature). However, when the variability of a given  
10 response is itself a function of the independent variable (~~i.e. the distribution “fans out”~~),  
11 as in Figure 1a, the information provided by such regressions is less valuable for  
12 describing the specific response across the distribution – especially at the extremes  
13 (Figure 1a)-defined here as pollutant levels below the 5<sup>th</sup> quantile or above the 95<sup>th</sup>  
14 quantile. If the sensitivities of high O<sub>3</sub> extremes to temperature tend to be higher than  
15 those of median to low O<sub>3</sub> days (as is the case at many polluted locations), a single  
16 sensitivity value would underestimate the increase in ~~extreme~~high O<sub>3</sub> event frequencies  
17 and magnitudes, given rising temperatures.

18 This ~~kindsituation is one common example of behavior~~can a distribution that might be  
19 ~~more effectively~~better characterized through the use of more advanced statistical tools,  
20 such as quantile regression (Koenker and Bassett Jr, 1978). ~~By linearizing and weighting~~  
21 ~~the cost function of OLS regression, quantile regression (QR) allows for the~~  
22 ~~quantification of sensitivity across the entire distribution of response levels, with the~~  
23 ~~higher quantile regression slopes showing the behavior of the response variable’s high~~  
24 ~~values and the lower quantiles showing the behavior of the low values as a function of~~  
25 ~~any given indicator variable (Figure 1b). A semi-parametric estimator, quantile regression~~  
26 ~~(QR) seeks to minimize the sum of a linear (rather than quadratic) cost function, making~~  
27 ~~it less sensitive to outliers than OLS regression. Unweighted, this simple change produces~~  
28 ~~a conditional median (or 50<sup>th</sup> quantile regression), rather than the conditional mean of~~  
29 ~~OLS regression. Applying appropriately chosen weights to the positive and negative~~  
30 ~~residuals of this cost function then targets specific percentiles of the response, allowing~~  
31 ~~for the quantification of sensitivity across nearly the entire response distribution. An~~

example of this regression performed across a broad range of percentiles is shown in Figure 1b, including the 5<sup>th</sup> quantile in black, the 50<sup>th</sup> quantile in yellow, and the 95<sup>th</sup> quantile in red.

Here, we apply multivariate QR to an analysis of meteorological drivers of O<sub>3</sub> and PM<sub>2.5</sub>, with the goal of identifying the drivers covariates most ~~responsible for~~ correlated with changes in peak pollutant levels throughout the United States, and how these differ from the median response. Such a statistical examination of historical observations can provide a valuable reference point for the evaluation of model-predicted extremes, as well as a platform for short-term pollutant projections.

## 2 Methodology

### 2.1 Inputs

We use O<sub>3</sub> and PM<sub>2.5</sub> measurements from the US Environmental Protection Agency's (EPA) Air Quality System (AQS) network, including daily peak 8-hour average measurements of O<sub>3</sub> and daily mean PM<sub>2.5</sub> levels. All stations with at least 150 valid maximum daily 8-hour averages between 2004 and 2012 are included in this study, totaling 1347 stations for summer O<sub>3</sub>, 675 stations for winter O<sub>3</sub>, 647 stations for summer PM<sub>2.5</sub>, and 636 stations for winter PM<sub>2.5</sub> (locations and 95<sup>th</sup> percentile concentrations shown in Figure 2).

Meteorological variables are taken from the NCEP North American Regional Reanalysis (NARR) product (Mesinger et al., 2006). With a spatial resolution of 32 km and 8 output fields per day (representing 3-hourly averages), NARR output provides a reasonable spatial and temporal match for each of the AQS stations of interest. While the NARR product represents modeled output and includes its own errors and biases when compared to observations, it allows for the consistent use of many variables at high spatial and temporal resolution, most of which would not be available at all included AQS stations examined here. NARR reanalyses have been used in previous examinations of meteorological air-pollution drivers with some success (e.g. Tai et al., 2010).

## 2.2 Meteorological Variable Generation

As an initial step towards understanding the impacts of meteorology on pollutant extremes, we construct a large set of possible meteorological ~~drivers~~ covariates, including NARR meteorological variables for a range of time frames. By extending the initial scope of possible drivers, we attempt to capture ~~all~~ the important factors and interactions, including not only effects that were important at all sites, but also those that stood out only in particular regions or types of locations. To this end, we begin by considering as many potential indicators as possible, gradually trimming the list down to a final set to be used in the multivariate quantile regressions. We use the 3-hourly NARR output to reconstruct hourly resolution diurnal cycles for each meteorological variable at each station through time series cubic splines and bilinear interpolation of the gridded fields to station latitudes and longitudes. In some cases regional means were included, primarily due to insufficient variability in individual cell values for that variable at some sites.

In addition to the raw variables available through NARR output, we calculate several derived parameters. The synoptic recirculation of air has been linked to elevated pollutant concentrations at many sites around the world, especially in coastal regions where diurnal wind patterns are prone to recirculation (Alper-Siman Tov et al., 1997; St. John and Chameides, 1997; Yimin and Lyons, 2003; Zhao et al., 2009). When air masses are returned to a site with ongoing emissions, the buildup of precursor concentrations may generate exceptionally high pollutant levels. To measure this effect we calculate a daily Recirculation Potential Index (RPI) from surface wind speeds, indicating based on the ratio between the ~~summed~~-vector sum magnitude (L) and scalar ~~magnitudessum~~ (S) of ~~3-~~hourly wind speeds over the previous 24 hours (Levy et al., 2009):

$$RPI = 1 - \left(\frac{L}{S}\right). \quad (1)$$

A high RPI (close to 1) indicates that, regardless of ~~average~~individual hourly wind-~~velocity~~speed magnitudes, the total displacement of air over the previous 24 hours was low, potentially leading to a pollutant buildup. Meanwhile, a very low RPI (close to 0) indicates steady, consistent wind, advecting air masses away from a location.

Stagnation, or the relative stability of tropospheric air masses, is another meteorological phenomenon previously cited as a driver of pollutant extremes (Banta et al., 1998; Jacob and Winner, 2009; Valente et al., 1998). While some of the raw meteorological fields (e.g. wind speed and precipitation) are already themselves good indicators of local stagnation, Lower Tropospheric Stability (LTS), the difference between surface and 700 hPa potential temperatures, is also calculated as a reflection of temperature inversion strength in the lower troposphere (Klein and Hartmann, 1993). Temperature inversions, in which the daytime pattern of air being warmer near the Earth's surface is reversed, generally lead to stable, stagnant conditions well suited for the buildup of pollutants such as O<sub>3</sub> and PM<sub>2.5</sub>. This phenomenon can be particularly pronounced in areas with geographical barriers to horizontal transport, such as the basins of Los Angeles and Salt Lake City (Langford et al., 2010; Pope, 1991).

From the selected set of raw and derived NARR meteorological fields (Table 1), we generate a range of temporal variables for each individual meteorological variable, including extrema and means for each 24-hour day, as well as for 8-hour daytime and previous 8-hour nighttime ranges. To include possible long-term impacts of these meteorological variables, each of the 9 daily values are then extended into 3 and 6-day maxima, minima, and means, as well as a 1-day delta variable to show 24-hour change, resulting in 63 total temporal options for each listed meteorological variable.

### 2.3 Fire Proximity Metric

Biomass burning emissions can impact pollutant concentrations (e.g. Streets et al., 2003) with indirect correlations to daily meteorological variability, making it a potentially confounding factor when performing analyses using meteorological variables alone. To help examine and quantify the likely impact of fires on observed pollutant levels we create a simple fire metric to represent the spatial and temporal proximity of each site to satellite-observed burn locations. Using output from the Moderate Resolution Imaging Spectroradiometer (MODIS) Global Monthly Fire Location Product (Giglio et al., 2003; Justice et al., 2002) we estimate the total fire proximity impact for each site by applying spatial and temporal decays to burn detection confidence values, and summing these values across all detected pixels through the equation

$$F = \log \left( \sum_i \frac{1}{r} \frac{1}{2^t} \text{conf} \right). \quad (12)$$

Here, the fire proximity index  $F$  is a function of the distance ( $r$ ) and number of elapsed days ( $t$ , ranging from 0 to 6) separating a station from a MODIS-detected burn pixel with a given confidence value ( $\text{conf}$ ), summed over all nearby burn pixels  $i$ . The resulting proximity metric does not take transport, precipitation, or any other meteorological variables into account, simply producing higher values for stations near burning (or recently burned) locations. A comprehensive treatment of biomass burning emissions and transport requires accurate information on many complex factors, including fuel type, burn intensity, and smoke injection heights (Val Martin et al., 2010; Wiedinmyer et al., 2011), and fully representing these factors to generate a robust estimate for the influence of fire emissions goes well beyond the scope of this work. However, considering both the stochastic nature of large fire events and the importance of biomass burning on air-quality variability, we use this cumulative proximity metric as an intermediate measure.

## 2.4 Meteorological Variable Selection

Combining the 63 described temporal options with all chosen raw and derived meteorological variables results in over 1,700 possible pollutant indicators, making variable selection problematic. With driver identification an important goal of this work, we keep the selection procedure as open as possible initially, maximizing the first sweep of candidates and only eliminating possible drivers after thorough evaluation (Figure 3). However, indiscriminate inclusion of additional variables opens the strong likelihood of problems related to overfitting and multicollinearity. Furthermore, for the sake of comparison between stations, we desire a single set of indicator variables for the entire set of observation sites included, making selection on a station-by-station basis impractical. For these reasons we utilize a stepwise multivariate approach based on combining driver-covariate rankings at individual stations into a single selection metric. To reduce the computational cost of variable selection initially we use a testing subset of stations, including 10 stations (with varying degrees of mean pollutant levels) from each of the 10 EPA regions (shown in Figures 3 and 5). We then use observed pollutant levels (maximum 8-hour average  $\text{O}_3$  and daily average  $\text{PM}_{2.5}$ ) from each of these 100 stations to

1 evaluate and select key indicators from the full set of possible meteorological variables  
2 included. Meteorological variable selection is performed independently for ozone and  
3 PM<sub>2.5</sub>, as well as for summer and winter seasons.

4 We select meteorological indicators using 90<sup>th</sup> percentile quantile regressions evaluated  
5 with the Bayesian information criterion (BIC) metric, a statistical tool closely related to  
6 the Akaike information criterion (AIC) and similarly based on the likelihood function  
7 (Schwarz, 1978; Lee et al., 2014). BIC evaluates the likelihood of a given set of  
8 indicators representing the best set possible, given a set of associated responses (in this  
9 case, daily pollutant levels), with lower BIC values indicating a stronger statistical model  
10 (i.e. the set of predictive meteorological indicators being evaluated). To perform stepwise  
11 variable selection, we quantify the benefit (via BIC) of adding each individual variable  
12 candidate to the list of selected variables in turn. Large reductions in BIC indicate a  
13 more-important variable, while small reductions ( $\Delta\text{BIC} < 2$ ) indicate a less-important  
14 variable. Unlike other goodness of fit metrics such as the coefficient of determination  $R^2$ ,  
15 BIC values say nothing about the overall strength of the predictive model as a whole, but  
16 rather serve to compare the relative effectiveness of multiple statistical models attempting  
17 to explain the same set of results. However, again unlike  $R^2$ , both BIC and (to a lesser  
18 extent) AIC penalize the inclusion of extraneous indicators, reducing the chance of  
19 overfitting. While there is some discussion within the statistical literature regarding the  
20 strengths of BIC vs. AIC, both are considered versatile, robust tools in the evaluation of  
21 statistical models (Burnham and Anderson, 2004; Yang, 2005), and applicable to quantile  
22 regression if errors are assumed to follow an asymmetric Laplace distribution (Geraci and  
23 Bottai, 2007). Note that while the 90<sup>th</sup> percentile of pollution levels is lower than the 95<sup>th</sup>  
24 quantile targeted later in this study, the slightly reduced value is chosen to improve  
25 robustness during the initial variable selection phase.

26 We begin variable selection by using only time (measured in days elapsed) as a predictor  
27 variable, accounting for any linear trend in pollutant behavior over the course of the  
28 observed period: [\(Figure 3, step 3\)](#). From there, we identify the most impactful temporal  
29 option (daily maximum, mean, minimum, etc...) available for a single meteorological  
30 variable (e.g. surface temperature). We perform stepwise variable selection at each  
31 station independently, selecting the candidate temporal option producing the greatest

1 reduction in BIC (and therefore greatest improvement in the statistical model), and  
2 continuing until no further improvement is possible. We then rank the final set of  
3 included variables by order of selection, invert those ranks, and sum ~~those variable~~these  
4 inverted ranks over all 100 test stations (Figure 3, step 4). This sum represents an overall  
5 importance metric, and will be large for variables that either appear somewhat valuable at  
6 many stations, or that appear to be exceptionally valuable at just a few stations. We then  
7 add the single temporal option with the greatest summed total to the global list of selected  
8 variables. With a new indicator chosen we filter the remaining candidates; (Figure 3, step  
9 6), eliminating poor performers (those selected at too few sites in the previous round) or  
10 those exhibiting collinearity with the current statistical model ( $R^2 \geq 0.6$  relative to current  
11 indicators). After this pruning process we start the selection routine again for all  
12 remaining indicator candidates, using both time and ~~the recently added~~all previously  
13 selected variable as fixed covariates. -We repeat this cycle until no temporal candidates  
14 exhibiting summed ranks higher than our chosen threshold remain for the current  
15 meteorological variable, after which the temporal variable selection starts anew with the  
16 next meteorological parameter. Once temporal variable options have been filtered down  
17 for each individual meteorological ~~driver~~covariate through this selection process we  
18 gather all selected variables together and apply the same selection process to the full set  
19 of approximately 300 candidates, finally arriving at trimmed down set of less than 20  
20 meteorological indicators for each pollutant species and season (Table 2, top). The  
21 selection process is somewhat sensitive to the percentile used for the regression, as  
22 evidenced by the different variables selected using the 50<sup>th</sup> percentile rather than the 90<sup>th</sup>  
23 (Table 2, below). While most high-ranked meteorological variables show up using both  
24 selection processes, there are noticeable differences, especially in the temporal options  
25 chosen.

26 Through this routine, variables can stand out for selection by being either moderately  
27 important at many sites, or by being very important at fewer sites. By adjusting the  
28 threshold parameter for variable selection, the scope of variable inclusion can be tuned to  
29 a certain extent. Higher thresholds end the selection process sooner, as fewer and fewer  
30 new variables are ranked highly at enough stations to meet the summed value  
31 requirements, while lower values allow the process to continue adding less important

variables. In this work we identify and compare both a concise “Core” set of indicators (variables with summed inverse rank-threshold-ranks of at least 2) and a “Full” set of indicators (relaxed-variables with summed inverse rank-threshold-ranks of at least 1).

It should be noted that the NARR fields used to provide our input meteorological covariates likely exhibit intrinsic errors and biases which will certainly affect the predictive power of our models, as well as the strength of our variable selection process itself. Variables which are better represented (e.g. temperature) will have an advantage compared to other potentially important variables with greater uncertainties, such as precipitation.

## 2.5 Quantile Regression

The final sets of indicator variables represent those drivers-covariates most broadly responsible for variability associated with changes in high pollutant levels due to meteorological factors at the 100 chosen test sites. Using these selected meteorological variables, we next perform linear multivariate quantile regression to identify sensitivities for percentiles from 2% to 98% at each station in the full set of AQS sites. From these regressions we collect Summer (JJA) and Winter (DJF) quantile sensitivities of O<sub>3</sub> and PM<sub>2.5</sub> to each meteorological variable for each AQS station.

## 3 Results

To assess relative driver-covariate importance across the United States we normalize quantile sensitivities to standard deviations of pollutant and indicator fluctuations and rank them in relation to each other at each site. Top-ranking covariates for any given station, then, are those whose variabilities (in normalized units of standard deviations) are most responsible for variability in the observed pollutant. Figures 3 and 5 show each variable’s frequency of appearing as the first or second most important indicator by this metric, with similar variables grouped together into columns. We compare the drivers of covariates most associated with the 95<sup>th</sup> and 50<sup>th</sup> percentile of pollutant concentrations,



finding similar, though not identical, frequencies between top performers for the two quantiles.

### 3.1 ~~O<sub>3</sub> drivers~~–Summer O<sub>3</sub>

In the summertime, ~~drivers of~~covariates linked to high-percentile O<sub>3</sub> are dominated by a positive correlation with temperature at most sites (Figure ~~3a4a~~, top), consistent with previous modeling sensitivity conclusions (Jacob and Winner, 2009). Altogether, 49% of the analyzed sites show maximum daily surface air temperature as the meteorological variable with the greatest normalized slope relative to observed maximum 8-hour average O<sub>3</sub> concentrations, and it is within the top five most influential variables at 79% of all sites. Underlying reasons for the dominance of temperature as a driver of observed O<sub>3</sub> include a positive correlation with biogenic emissions of isoprene (a potential precursor of O<sub>3</sub>), a negative correlation with the lifetime of peroxyacetylnitrate (PAN, an important reservoir species for NO<sub>x</sub> and HO<sub>x</sub> radicals), and an associated correlation between higher temperatures and bright, stagnant conditions (Jacob and Winner, 2009).

While maximum daily surface temperature stands out as the covariate with the highest normalized impact on daily summer O<sub>3</sub> levels, many other variables also play important roles, especially in the south and southeast regions (Figure ~~3a4a~~, bottom). Water vapor generally reduces O<sub>3</sub> levels under pristine conditions, removing dissociated excited oxygen atoms and producing the hydroxyl radical (OH). Under polluted conditions this negative effect competes with increased O<sub>3</sub> production as a result of OH reacting with carbon monoxide (CO) or volatile organic compounds (VOCs), O<sub>3</sub> precursors common to highly polluted environments. These two effects combine to produce generally weak correlations between humidity and O<sub>3</sub> in model perturbation studies (Jacob and Winner, 2009). In this work, however, relative humidity (RH) has a strong negative relationship with O<sub>3</sub> in many locations, particularly in the south, consistent with previous analyses of observed sensitivities (e.g. Camalier et al., 2007). ~~An inverse~~A negative correlation with temperature and a positive correlation with cloudy, unstable conditions may explain the stronger associations found in the observations relative to those of model perturbation studies. Stability, in the form of turbulent kinetic energy (TKE) is also a strong performer

1 at many sites, though less so for the 95<sup>th</sup> percentile than for the 50<sup>th</sup>. Finally, while fire  
2 proximity stands out at relatively few stations as a dominant driver of median O<sub>3</sub> levels  
3 (50<sup>th</sup> percentile), it appears to be important at far more sites when examining higher O<sub>3</sub>  
4 levels (95<sup>th</sup> percentile).

5 While the top [drivercovariate](#) frequencies shown in Figure [3a4a](#) can help identify  
6 dominant meteorological [driversfactors](#) overall, they do not indicate spatial distributions  
7 or sensitivity magnitudes. The bottom panel of Figure [3a4a](#) and Figure [45](#) address these  
8 aspects of selected top [driverscovariates](#), showing where each tends to drive pollutant  
9 variability, as well as how the sensitivity magnitudes are distributed overall. Spatially, the  
10 temperature sensitivity of 95<sup>th</sup> percentile O<sub>3</sub> levels appears to be most directly associated  
11 with coastal areas, though the strong negative relationship between relative humidity and  
12 O<sub>3</sub> in the south likely includes temperature effects (Figure [35](#), bottom). In general, the  
13 sensitivities of O<sub>3</sub> to changes in temperature are greater for higher O<sub>3</sub> quantiles, as shown  
14 by the increasing and flattening distributions for 95<sup>th</sup> quantile regression sensitivities  
15 compared to 50<sup>th</sup> and 5<sup>th</sup> quantile values (Figure [45](#), upper left). In fact, quantile  
16 regression coefficients for the 95<sup>th</sup> percentiles averaged 0.9 ppb °C<sup>-1</sup>, 50% greater than  
17 mean 50<sup>th</sup> percentile sensitivities. This difference again highlights the importance of  
18 temperature in determining extreme O<sub>3</sub> events, since increased temperatures could be  
19 expected to positively affect the magnitudes of high O<sub>3</sub> days even more than would be  
20 expected based on average days. By comparison, downward shortwave radiation flux also  
21 shows up as a positive driver of high O<sub>3</sub> levels, but displays much more consistent  
22 sensitivities across O<sub>3</sub> quantiles (Figure [45](#), upper right).

### 23 **3.2 O<sub>3</sub> Drivers—Winter O<sub>3</sub>**

24 O<sub>3</sub> levels are generally lower at all percentiles during the winter months compared to the  
25 summer months, with 95<sup>th</sup> percentile O<sub>3</sub> levels almost halved at some sites. As seen in  
26 Figure [3b6b](#), temperature is almost completely absent from the top ranks of O<sub>3</sub> indicators  
27 during the winter. Instead, variables related to incoming radiation flux are most important  
28 at many sites, especially for 95<sup>th</sup> percentile O<sub>3</sub> levels. This indicates the relative  
29 importance of consistently clear skies for O<sub>3</sub> production during the coldest months, a  
30 relationship that appears consistently across quantiles and regions (Figure [3b6b](#), bottom).

1 Among the incoming radiation metrics, the 6-day maximum of daily mean shortwave  
2 radiation flux showed up as a top [driver/covariate](#) most often, with consistently positive  
3 correlations evenly distributed spatially (Figure 45, lower left). Sensitivities are slightly  
4 greater, on average, for higher quantiles, and stand out as particularly strong at stations in  
5 Wyoming, an area previously highlighted for its dangerously high winter O<sub>3</sub> levels (e.g.  
6 Schnell et al., 2009). As with summer O<sub>3</sub>, DSWRF again has a generally positive  
7 influence on winter O<sub>3</sub>, with some increase in sensitivity at higher quantiles (Figure 45,  
8 lower right). HPBL, wind, and specific humidity show up as top [drivers/covariates](#) at  
9 many sites as well, but more so for median quantile regressions than for 95<sup>th</sup> regressions,  
10 while fire proximity becomes increasingly important at the higher quantiles.

### 12 3.3 PM<sub>2.5</sub> Drivers – Summer PM<sub>2.5</sub>

13 Figure 5a6a shows that mean daily temperature is also a key player in predicting  
14 summertime PM<sub>2.5</sub>, with greater sensitivities at the highest concentration percentiles.  
15 While the previously discussed sensitivities of O<sub>3</sub> to temperature shown in Figure 45 are  
16 greatest along both the Northeast coast and Southern California, PM<sub>2.5</sub> sensitivities to  
17 temperature peak entirely in the East. One possible reason for this spatial difference in  
18 PM<sub>2.5</sub> temperature sensitivity is the regionality of PM<sub>2.5</sub> speciation, especially in terms of  
19 competing sensitivities of nitrate and sulfate aerosol (Dawson et al., 2007). While  
20 concentrations of nitrate aerosol (and, to a lesser extent, organics) are generally reduced  
21 by higher temperatures due to increased gas phase partitioning, sulfate aerosol  
22 concentrations can increase at higher temperatures because of increased rates of  
23 oxidation. Sulfur emissions are far higher in the East than in the West, offering a likely  
24 explanation for the differing sensitivities of PM<sub>2.5</sub> to temperature between the regions.  
25 In addition to temperature, 95<sup>th</sup> percentile PM<sub>2.5</sub> shows strong sensitivities to wind speeds  
26 and tropospheric stability at many sites, emphasizing the importance of transport and  
27 stagnancy for extreme PM<sub>2.5</sub> events, particularly those in highly-polluted regions (Figure  
28 5a6a, bottom). 3-day averages wind speed stood out among [drivers/covariates](#) at many  
29 sites throughout the East and Midwest regions, and influences tended to be of higher

1 magnitude for high-quantile  $PM_{2.5}$  levels than for medians or low quantiles (Figure 67,  
2 upper right). Positive correlations for this metric may be associated with areas whose  
3 extremes were governed primarily by transport, rather than production. Also increasingly  
4 important for higher quantiles of fine particulate matter was fire proximity, with over  
5 twice as many sites including this metric in the top drivers for 95<sup>th</sup> percentile  $PM_{2.5}$  as for  
6 50<sup>th</sup> percentile  $PM_{2.5}$ .

### 7 **3.4 Winter $PM_{2.5}$ Drivers – Winter**

8 Unlike  $O_3$ , winter  $PM_{2.5}$  levels in the United States are often comparable to (or even  
9 greater than) those of the summer months at many sites (Figure 2). Compared to other  
10 seasons and species, the dominant ~~drivers~~covariates of winter  $PM_{2.5}$  are more consistently  
11 distributed between a few key variables (Figure 5b6b, top). Temperature is apparently  
12 less of a factor during cold months, rarely appearing among the top normalized  
13 indicators, and metrics related to stagnation stand out as important ~~drivers-of~~covariates  
14 associated with pollution events. Among meteorological ~~drivers-of~~covariates associated  
15 with increased winter  $PM_{2.5}$ , stability metrics (TKE and LTS), relative humidity, and  
16 planetary boundary layer height (HPBL), stood out as key variables at the most sites, with  
17 wind and rainfall also important at many locations. Top ~~drivers~~covariates were  
18 particularly consistent in selection and magnitude in the northeast (regions 1, 2, and 3), as  
19 shown by the tight, nearly identical distributions (Figure 5b6b). Turbulence had a  
20 consistently negative influence on winter  $PM_{2.5}$ , especially for high response quantiles  
21 (Figure 67, lower left).

22 Compared to ~~drivers-of~~factors connected to median  $PM_{2.5}$  levels, the two included  
23 tropospheric stability indicators (3-day average of max daily TKE and 3-day minimum  
24 LTS) showed exceptionally strong sensitivities among ~~drivers~~covariates of 95<sup>th</sup> percentile  
25 levels, suggesting that  $PM_{2.5}$  extremes in the wintertime are particularly sensitive to  
26 persistently stable conditions (Figure 67, lower right). Sites in Colorado and Utah, some  
27 of which are well-known for episodes of severely reduced winter air-quality, stand out in  
28 this regard, with 95<sup>th</sup> quantile sensitivities to LTS over 4 times those of other site  
29 averages.

## 4 Discussion

### 4.1 Differences in Quantile Sensitivities

The differences between typical 5<sup>th</sup>, 50<sup>th</sup>, and 95<sup>th</sup> percentile sensitivities shown in figures 4 and 6 help to illustrate the ways in which meteorological impacts on pollutants can vary in magnitude across the response distribution. These differences can be more clearly quantified and compared by measuring the slope of a QR regression itself as a function of the percentile (Figure 78). Using the full range of normalized QR output gathered, from 2-98%, we perform weighted least squares regressions for each selected variable at each station. The resulting slope for each regression (in normalized units of standard deviations) can be interpreted as a measure of change in sensitivity across the pollutant distribution, with high values representing strong positive differences in sensitivity, and low values representing strong negative differences. In other words, a zero slope implies that the response of a pollutant to a given meteorological ~~driver~~covariate is relatively uniform regardless of the pollutant concentration, while a higher positive slope implies that responses at the high extremes ~~may differ from the mean behavior.~~ tend to be greater than those of lower percentiles. To put these changes in context, the overall mean sensitivity for each variable (~~in normalized units of standard deviations~~) is shown by color. Quantifying the extent to which these differences in quantile sensitivities might impact the response distributions themselves is beyond the scope of this work, but the magnitudes of sensitivity differences relative to the mean sensitivities themselves suggest large differences between mean and extreme behavior. For example, the sensitivity change of summer O<sub>3</sub> to maximum air temperature is shown to be roughly equivalent to the mean sensitivity itself. Thus, a location showing a mean increase of 1 ppb O<sub>3</sub> per °C might exhibit an increase of only 0.5 ppb O<sub>3</sub> per °C at the 5<sup>th</sup> percentile, but a much larger increase of 1.5 ppb O<sub>3</sub> per °C at the 95<sup>th</sup> percentile. This could clearly have important consequences for the resulting O<sub>3</sub> distribution, given increasing temperatures.

For summertime O<sub>3</sub> and PM<sub>2.5</sub>, temperature stands out as a ~~driver~~covariate that not only has a strong positive impact on concentrations (indicated by the bright red color), but also exhibits even stronger impacts on high percentile pollutant levels than on lower percentile levels at most stations. On the other hand, while HPBL also strongly impacts

summertime O<sub>3</sub>, the change in sensitivity between low and high quantiles is generally small, indicating a variable whose impact on O<sub>3</sub> is relatively unchanging across pollutant percentiles. Besides temperature's ~~impact on~~connections to summer O<sub>3</sub> and PM<sub>2.5</sub>, the key ~~drivers of~~meteorological factors associated with winter PM<sub>2.5</sub> stand out for having ~~many changing~~highly quantile-specific sensitivities. The sensitivity of PM<sub>2.5</sub> to relative humidity, lower tropospheric stability, HPBL, and TKE are all greater for high PM<sub>2.5</sub> quantiles than they are for low ones, highlighting the importance of characterizing the full pollutant response to meteorological ~~drivers~~covariates, especially for winter PM<sub>2.5</sub>.

#### 4.2 Overall Predictive Power of Statistical Models

The variables identified here were not selected based on their suitability for ordinary least squares regression, but they do show considerable skill at predicting pollutant levels using this methodology, explaining over half of the variability at most sites (Figure 89). Predictive skill for summertime O<sub>3</sub> is greatest in East, South, and Midwest (regions 2 through 6) and least in the Pacific Southwest and Mountains and Plains regions (regions 8 and 9). Winter O<sub>3</sub> R<sup>2</sup> values are generally slightly lower than those of the summer months, especially in the Pacific Northwest and South Central regions, though this may be partly explained by reduced O<sub>3</sub> variability overall in the winter months.

PM<sub>2.5</sub> shows a strong split between the relatively well-modeled Northeast and the less-accurately represented Midwest and Southwest. These results compare favorably to previous attempts to predict PM<sub>2.5</sub> using meteorological indicators (Demuzere et al., 2009; Tai et al., 2010). Tai et al. (2010), for example, find multivariate linear regression capable of explaining less than 50% of PM<sub>2.5</sub> variability in the Northeast United States. Almost half of the stations in those same regions showed adjusted R<sup>2</sup> values of greater than 60% using our method, despite the indicators being chosen to optimize high quantile regressions rather than OLS regressions. Regional differences in meteorological predictive power in this work are also comparable to those of Tai et al., who found high R<sup>2</sup> values in the Northeast and Pacific Northwest (regions 2, 3, and 5), and lower values in the South and Mountains and Plains regions (regions 6 and 8).

1

## 2 **4.3 Pollutant Variability and Trend**

3 It is apparent that relatively simple meteorological processes, chosen for their influence  
4 on high percentiles of O<sub>3</sub> and PM<sub>2.5</sub>, are also capable of explaining a large fraction of  
5 daily pollutant variability. There are a number of possible sources for the remaining  
6 variability, including day-to-day fluctuations in pollutant precursor emissions and highly  
7 localized meteorological patterns. While the nation-wide variable selection process of  
8 this study proved capable of identifying indicators that are broadly effective at predicting  
9 daily pollutant levels in many locations, specific features relevant to individual stations  
10 (e.g. direction and distance of upwind emission sources) may not be adequately  
11 represented by the globally selected variables. Variability in local emission sources  
12 themselves, either due to sporadic local events or differences in weekend vs. weekday  
13 emissions, may also play an important role at some sites. This analysis is also subject to  
14 uncertainties in the NARR product and the pollutant observations, as well as  
15 discrepancies between local station conditions and the grid-averaged NARR output.

16 Another important consideration in the analysis of these results is the nonstationarity of  
17 both pollutant concentrations and sensitivities. As a result of the implementation of  
18 widespread emissions controls, concentrations of O<sub>3</sub> and PM<sub>2.5</sub> have decreased  
19 dramatically in many of the most polluted areas in the United States. Since 2004, mean  
20 summertime O<sub>3</sub> levels at the sites used in this study have decreased by an average of 0.14  
21 ppb per year, while 95<sup>th</sup> percentile O<sub>3</sub> levels have decreased by 0.58 ppb per year.  
22 Stations that started with exceptionally high O<sub>3</sub> levels (mean summertime levels greater  
23 than 80 ppb) have seen even more dramatic decreases, with means falling by 0.63 ppb per  
24 year and 95<sup>th</sup> percentile levels falling by 1.3 ppb year.

25 To a certain extent, these changes in pollution levels over time are accounted for in our  
26 analysis through the inclusion of time (measured in days since the start of the analyzed  
27 record) as an indicator variable. However, changes in meteorological sensitivities  
28 themselves as a function of decreasing emissions are not accounted for. To assess how  
29 these decreases in emissions and overall pollution levels might have affected

meteorological sensitivities, the analyses above were repeated using 4-year subsets of the full data record: 2004-2007 and 2008-2012, showing a widespread reduction in sensitivities over time, presumably due to changes in precursor emissions. For example, 95<sup>th</sup> percentile sensitivities of summertime O<sub>3</sub> to temperature were 13% lower in the years 2009-2012 relative to 2004-2007, consistent with previously reported declines in temperature sensitivity (Bloomer et al., 2009). In all, we see average absolute differences in 95<sup>th</sup> percentile sensitivities among each station's top two ~~drivers~~covariates of 22%, with most changes representing reductions in sensitivity. Despite these differences, the qualitative features of our analysis (including sign of sensitivities and differences between pollutant quantiles) are consistent over time.

## 5 Conclusions

This analysis demonstrates that air quality over the past decade was highly sensitive to meteorology, and that this sensitivity varied across pollutant type (O<sub>3</sub> vs. PM<sub>2.5</sub>), season, and concentrations (50<sup>th</sup> vs. 95<sup>th</sup> percentiles). These differences offer insights into the key drivers behind extreme pollution event frequencies in the observed record beyond simple conditional means, highlighting the meteorological ~~drivers most responsible for~~magnitude and frequency increases of~~covariates most associated with changes in~~ the highest pollutant levels.

We find that temperature is a dominant ~~driver~~covariate at most stations in the summer for both O<sub>3</sub> and PM<sub>2.5</sub>, with relative humidity, stability, and radiation flux also key ~~drivers~~for~~covariates relating to~~ O<sub>3</sub>, and wind, stability, and rain often ~~important~~effective for predicting high PM<sub>2.5</sub> levels. O<sub>3</sub> variability during winter months is determined largely by changes in incoming radiation, while winter PM<sub>2.5</sub> extremes are most commonly affected by stagnation, humidity, and PBL height. We show substantial regional variation in these results, suggesting that while classes of meteorological drivers of extreme air quality are generally consistent, specific factors leading to air quality exceedances are local.

Climate change in coming decades is likely to induce a response in regional air pollution. The sensitivities of O<sub>3</sub> and PM<sub>2.5</sub> to changes in meteorological patterns are, in general, stronger for higher pollution percentiles, meaning that changes to certain ~~drivers~~factors



(most notably temperature, wind speed, PBL height, and tropospheric stability) are likely to affect the magnitude and frequencies of pollutant extremes more drastically than they affect more moderate pollution levels. This effect suggests that regional changes to climate could have more significant impacts on the frequencies of extreme O<sub>3</sub> and PM<sub>2.5</sub> events than would be suggested by bulk sensitivities from OLS regressions.

This analysis framework offers new ways to investigate both the observed and simulated air-quality responses to climate. Through quantile regression, the selection and ranking of key [drivers/predictors](#) of pollutant variability can be evaluated robustly, focusing not on the mean behavior of a heavy-tailed pollutant distribution, but rather the sensitivities closer to the tail itself. Furthermore, the comparison of observed sensitivities to those simulated by regional or global air quality models could identify key model biases relevant to the projection of future air quality, potentially providing insights on the underlying mechanistic reasons for those biases.

## Acknowledgements

This work was supported by the EPA-STAR program. Although the research described in this article has been funded by the US EPA through grant/cooperative agreement (RD-83522801), it has not been subjected to the Agency's required peer and policy review and therefore does not necessarily reflect the views of the Agency and no official endorsement should be inferred. The authors acknowledge Dr. Brian J. Reich for useful discussions.

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Table 1. Meteorological fields used in variable selection procedure. Each NARR field shown was included using 9 different possible daily values (24-hour max/min/mean, 8-hour daytime max/min/mean, previous 8-hour nighttime max/min/mean), as well as longer term (3-day and 6-day) aggregates and 1-day deltas of those daily values. Variables marked “9x9” represent regional means, and were generated by averaging the 9x9 square of NARR grid cells centered around each station location (roughly 290 km to a side).

<i>NARR Variables<sup>1</sup></i>			
air.2m	2m air temperature	pres.sfc	surface pressure
air.sfc_9x9	surface air temperature (regional)	rhum.2m	2m relative humidity
apcp	<del>accumulated total precipitation rate</del>	shum.2m	2m specific humidity
crain_9x9	<del>categorical-rain</del> <del>binary precipitation flag</del> (regional)	tedc_9x9	total <del>projected</del> <del>column</del> cloud cover (regional)
dlwrf	downward longwave radiation flux	tke.hl1_9x9	turbulence kinetic energy
dswrf	downward shortwave radiation flux	tmp.700	700 hPa temperature
hcdc_9x9	high level cloud cover (regional)	uwnd.500	500 hPa zonal wind speed
hgt.850	850 hPa geopotential height	uwnddir.10m	normalized 10m wind direction
hpbl	planetary boundary layer height	vvel.700	700 hPa vertical velocity
lcdc_9x9	low level clouds (regional)	vvel.hl1	lowest level vertical velocity
lftx4	<del>Best</del> <del>best</del> lifted index	vwnd.500	500 hPa meridional wind speed
mcde_9x9	midlevel cloud cover (regional)	vwnddir.10m	normalized 10m wind direction
prate	precipitation rate	wspd.10m	10m wind speed
<i>Derived Variables</i>			
fire	fire proximity metric		
lts <sup>2</sup>	lower tropospheric stability		
rpi <sup>3</sup>	recirculation potential index		
<i>Temporal Options</i>			
max	24-hour maximum value		
min	24-hour minimum value		
mean	24-hour mean value		
daymax/min/mean	as above, but using only 8:00 AM to 4:00 PM		
nightmax/min/mean	as above, but using only preceding night: 8:00 PM to 4:00 AM		
diff	change from previous day		
3daymax/min/mean	max/min/mean of previous 3 days		
6daymax/min/mean	max/min/mean of previous 6 days		
<sup>1</sup> Mesinger et al., 2006			
<sup>2</sup> Klein and Hartmann, 1993			
<sup>3</sup> Levy et al. 2009			

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Table 2. Selected **driverscovariates** for O<sub>3</sub> and PM<sub>2.5</sub> using 90<sup>th</sup> percentile (above) and 50<sup>th</sup> percentile (below) quantile regressions. “Core” **driverscovariates** (in bold) were selected using a minimum threshold for summed inverted ranks of at least 2, with remaining **driverscovariates** added by rerunning the selection procedure including all Core variables and a relaxed selection threshold of 1.

#### Selected via 90<sup>th</sup> Percentile QR

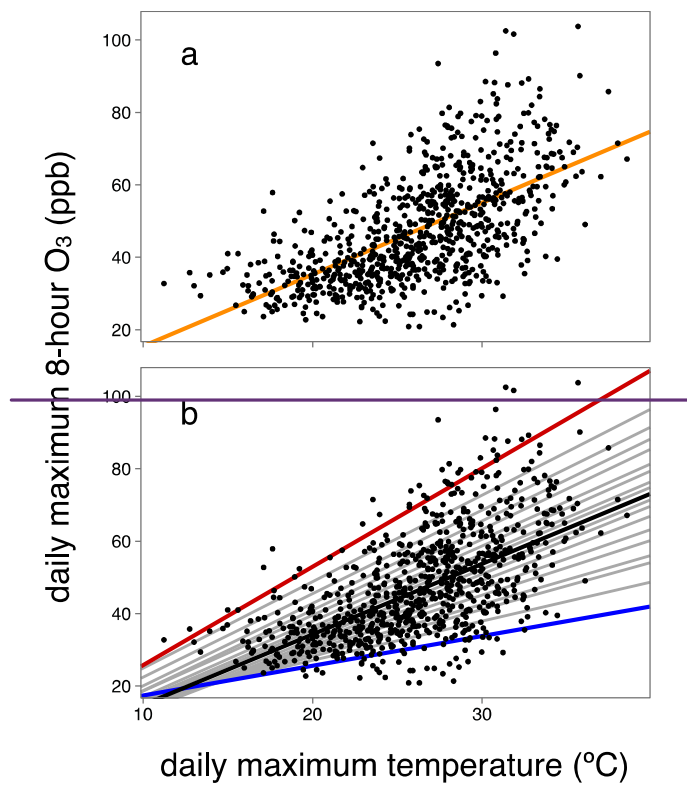
<i>Summer O<sub>3</sub></i>	<i>Winter O<sub>3</sub></i>	<i>Summer PM<sub>2.5</sub></i>	<i>Winter PM<sub>2.5</sub></i>
rhwm.2m_mean	dswrf_mean.6daymax	air.2m_max	hpb1_mean
vwnddir.10m_mean	wspd.10m_mean	vwnddir.10m_mean	vwnddir.10m_mean
air.2m_max	vwnddir.10m_mean	lftx4_daymin	tke.hl1_9x9_daymax.3daymean
crain_9x9_daymean	rhwm.2m_min	uwnddir.10m_mean.3daymean	wspd.10m_nightmax
fire	fire	wspd.10m_max.3daymean	rhwm.2m_mean
uwnddir.10m_mean	rpi_max	air.sfc_9x9_nightmin.6daymean	shum.2m_daymax.6daymin
air.sfc_9x9_min.6daymin	hpb1_daymax	fire	crain_9x9_nightmean
pres.sfc_daymax	air.sfc_9x9_nightmin.6daymean	crain_9x9_max.6daymean	lts_min.3daymin
tke.hl1_9x9_max	dlwrf_daymax.6daymin	vwnddir.10m_daymean.6daymean	uwnddir.10m_mean.3daymean
dswrf_daymin.3daymean	crain_9x9_max	apcp_nightmax	dswrf_max.3daymean
hpb1_max	uwnddir.10m_daymean	rpi_nightmin	lftx4_nightmin.6daymin
tcde_9x9_mean	tcde_9x9_mean	vvel.hl1_nightmax.6daymax	wspd.500_min
dswrf_min.6daymin	lts_nightmax.3daymin	hpb1_nightmax.6daymax	tke.hl1_9x9_max.6daymin
vwnd.500_daymax.3daymean	lftx4_min.diff	rpi_nightmax.6daymin	vwnd.500_max.diff
shum.2m_max.diff	lcde_9x9_nightmin.6daymax	tcde_9x9_max.6daymax	tcde_9x9_max.diff
wspd.10m_daymin.3daymin		shum.2m_min.diff	wspd.10m_min.6daymax
hpb1_daymin.6daymin		lts_nightmin.6daymin	
pres.sfc_min.diff		mcde_9x9_nightmax.3daymin	
apcp_daymin.3daymax			

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#### Selected via 50<sup>th</sup> Percentile QR

<i>Summer O<sub>3</sub></i>	<i>Winter O<sub>3</sub></i>	<i>Summer PM<sub>2.5</sub></i>	<i>Winter PM<sub>2.5</sub></i>
rhwm.2m_mean	dswrf_mean	air.2m_max	hpb1_mean
air.2m_max	wspd.10m_mean	air.sfc_9x9_nightmin.6daymax	vwnddir.10m_mean
dswrf_daymin.3daymean	dswrf_daymean.diff	crain_9x9_nightmax	wspd.10m_daymax.3daymax
vwnddir.10m_mean	vwnddir.10m_mean	wspd.10m_max.3daymean	crain_9x9_nightmax
crain_9x9_daymean	lts_daymin	vwnddir.10m_mean	wspd.10m_nightmax
fire	shum.2m_min	lftx4_mean	rhwm.2m_mean
tke.hl1_9x9_daymax	uwnddir.10m_mean	lts_daymin	uwnddir.10m_mean
uwnddir.10m_daymean.3daymean	crain_9x9_daymax	uwnddir.10m_daymean.3daymean	wspd.10m_max.3daymin
air.sfc_9x9_daymin.3daymean	dswrf_min.3daymin	shum.2m_daymean.diff	rpi_max
rpi_max	fire	crain_9x9_max.6daymean	uwnddir.10m_nightmean.3daymean
lts_mean	air.sfc_9x9_mean.6daymean	rpi_max	dswrf_daymin.6daymax
dswrf_min.6daymin	hpb1_daymax	vwnd.500_min	lftx4_nightmin.3daymean
vwnd.500_min	hcde_9x9_daymax	vwnd.500_daymax.6daymax	shum.2m_nightmin.6daymean
hpb1_nightmean.3daymin	pres.sfc_nightmin.6daymean	pres.sfc_max	fire
vvel.hl1_mean.6daymean	rpi_nightmax.6daymean	hgt.850_max.6daymax	
pres.sfc_mean.diff	air.sfc_9x9_nightmin.diff		
rhwm.2m_max.diff	lts_daymax.6daymin		
vwnd.500_min.diff	mcde_9x9_nightmax.3daymin		

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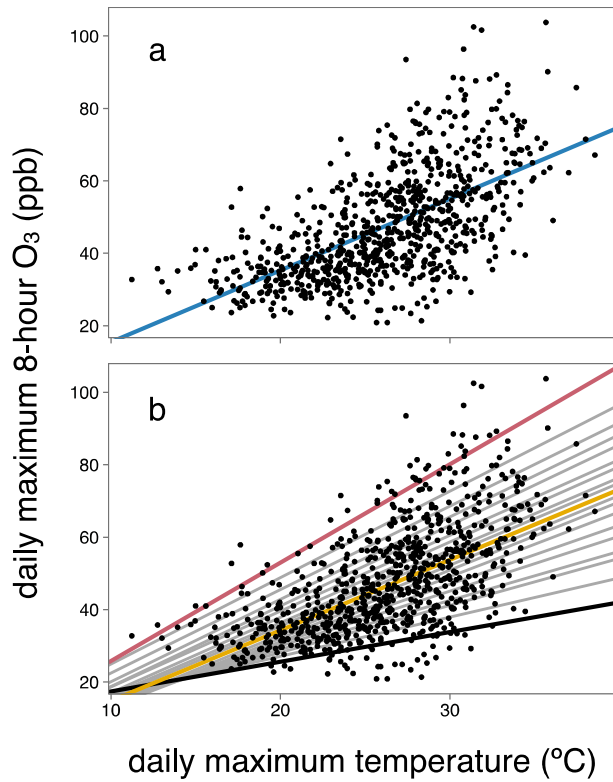


Figure 1. Daily maximum 8-hour O<sub>3</sub> vs. maximum daily temperature for example site in Essex County, MA: (JJA, 2004-2012). An ordinary least squares regression line (a) captures the general trend, but is unable to represent the increase of variability in the distribution with increasing temperature. Using individual quantile regressions ranging from 5<sup>th</sup> to 95<sup>th</sup> percentiles (b), the increased sensitivity of higher quantiles to increased temperatures becomes apparent.

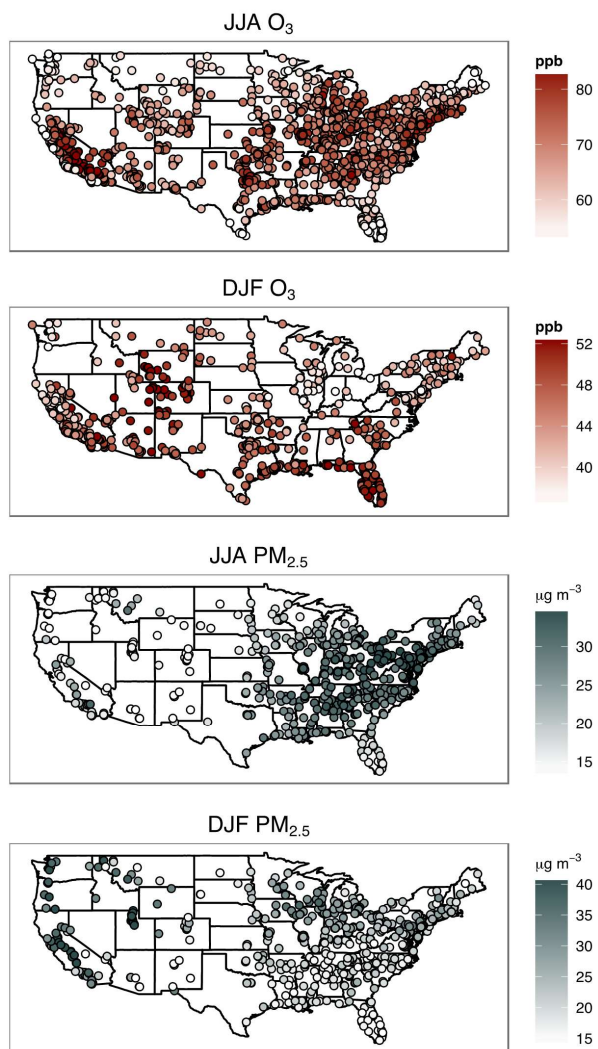
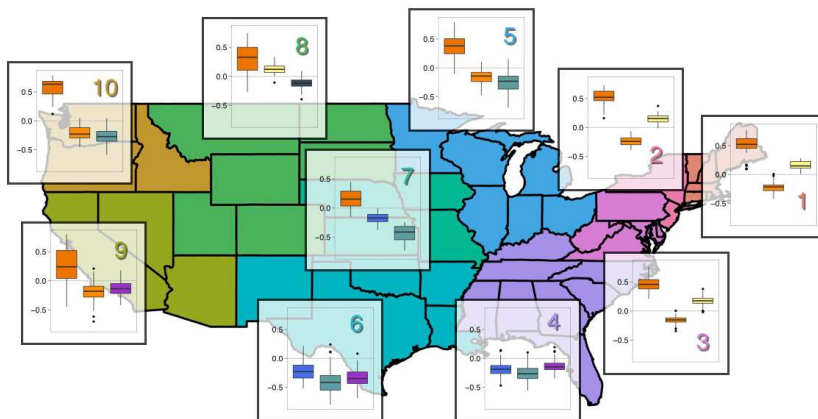
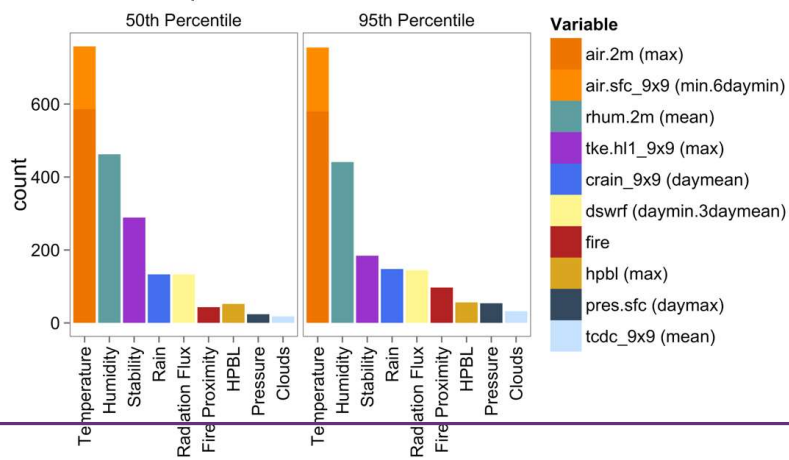


Figure 2. Location of AQS stations included in this study. The magnitude of each station's 95<sup>th</sup> percentile measurement is indicated by color.

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Top Drivers: Summer O<sub>3</sub>



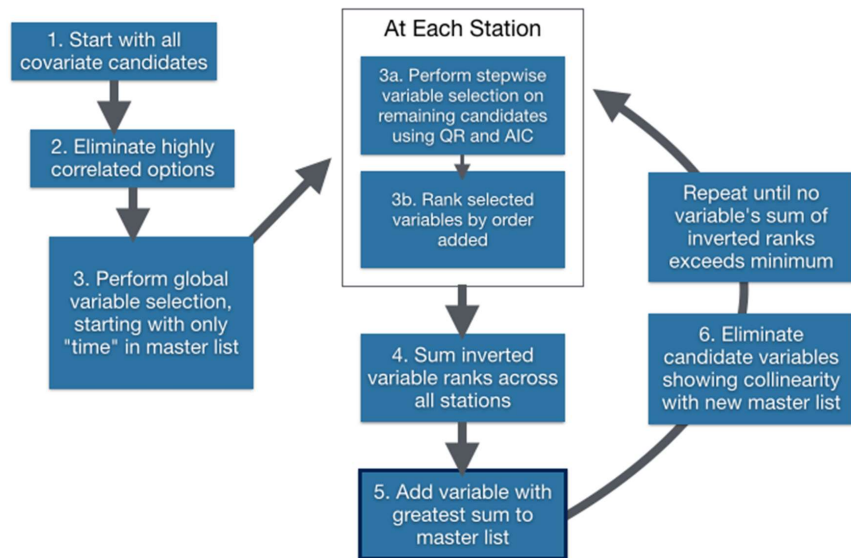
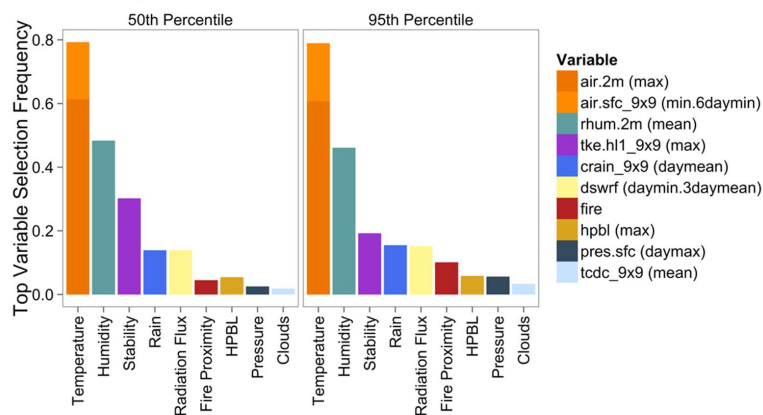


Figure 3a. Numbers3. Flowchart of stations variable selection procedure described in section 2.4.

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## Top Covariates: Summer O<sub>3</sub>



Normalized 95th Quantile Regression Coefficients for Most Frequent by Region

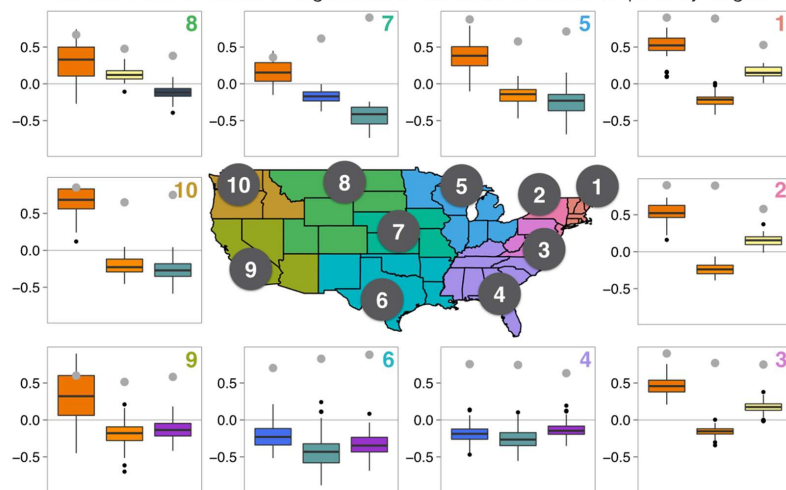


Figure 4a. Frequency at which normalized 95<sup>th</sup> percentile QR coefficients for selected variables were in the top 2 out of all included variables (above) for summer O<sub>3</sub>, and boxplots of normalized regression coefficients for top 3 drivers/covariates in each region (below). Specific meteorological variables (shown in legend) have been grouped into categories shown on the x-axis of the bar plot. Colors on inset boxplots correspond to legend in above panel, and grey dots indicate the fraction of stations showing a statistically significant relationship ( $p \leq 0.05$ ) to the indicated covariate in that region. EPA Region numbers are inset on top-right of boxplot panels.

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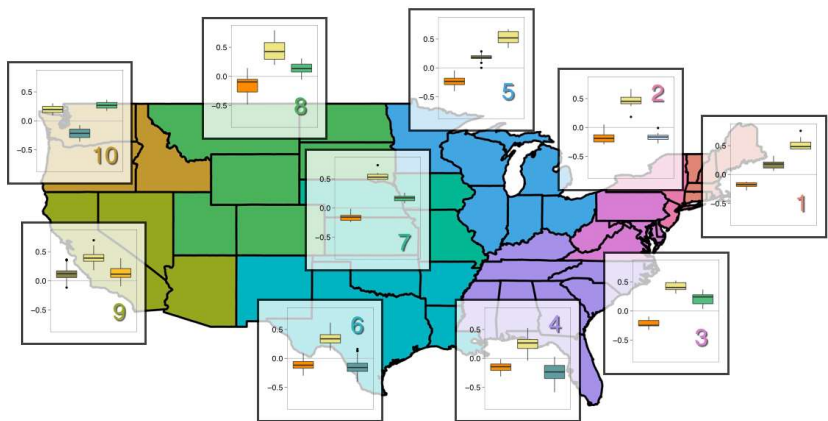
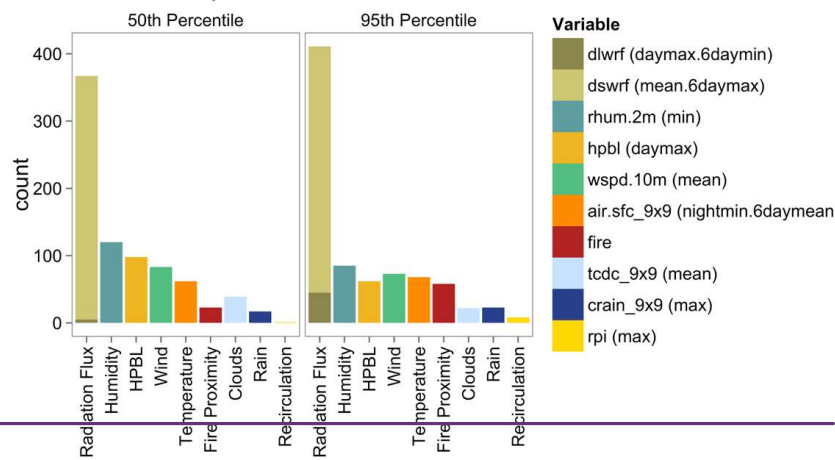
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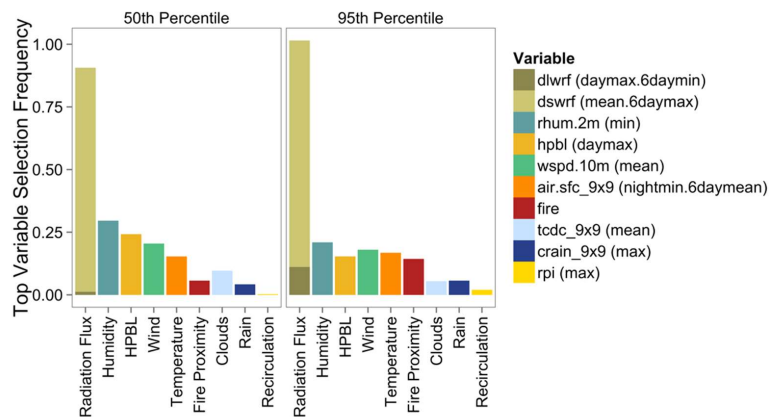
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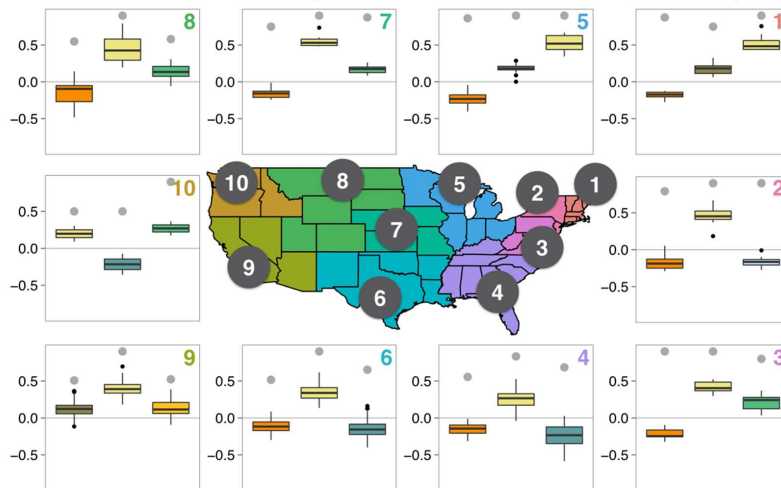
Top Drivers: Winter O<sub>3</sub>



## Top Covariates: Winter O<sub>3</sub>



Normalized 95th Quantile Regression Coefficients for Most Frequent by Region



1

2 Figure 3b4b. Same as Figure 3a4a, but for winter O<sub>3</sub>.

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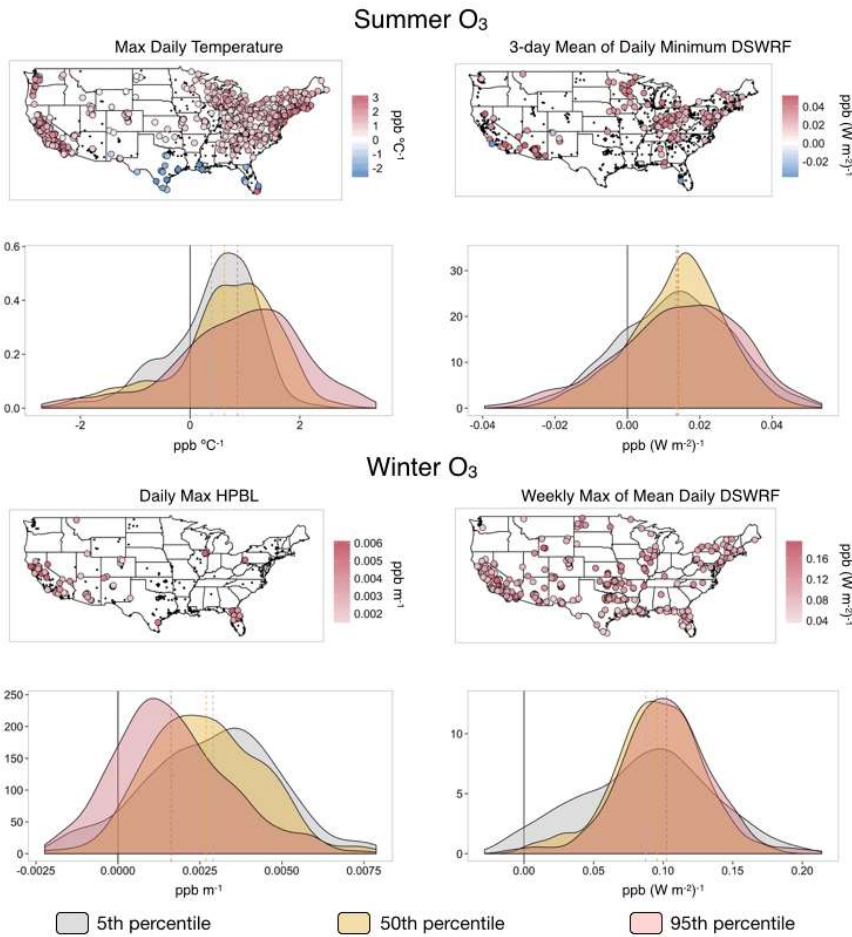
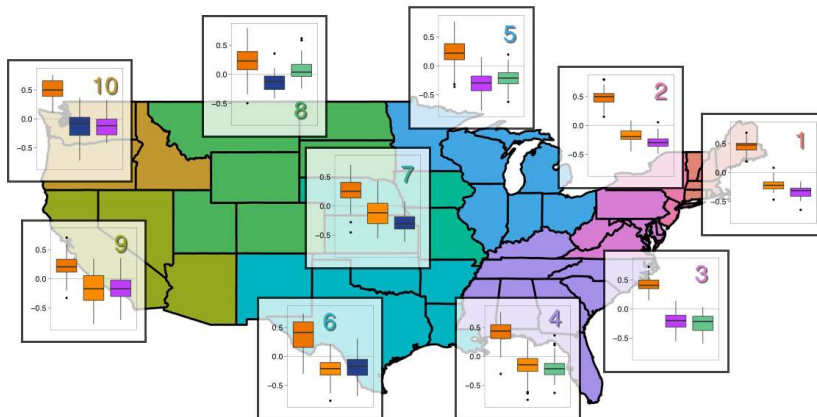
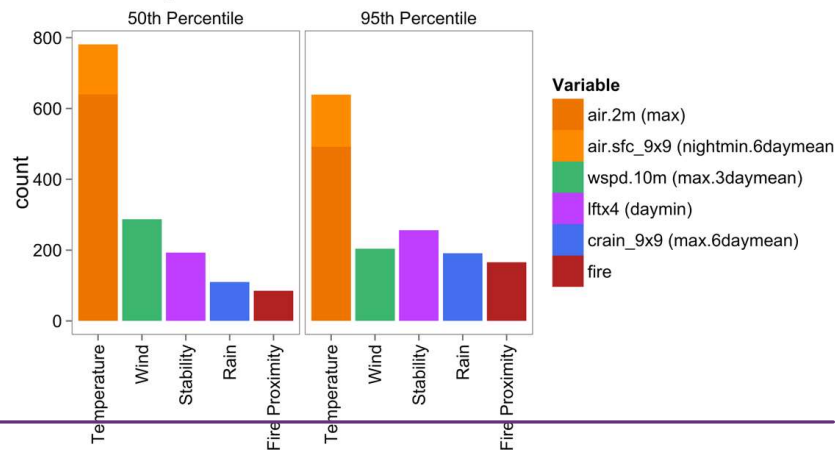


Figure 45. Spatial and frequency distributions for key drivers of summer (top) and winter (bottom) O<sub>3</sub>. Maps show 95<sup>th</sup> percentile O<sub>3</sub> sensitivities to selected meteorological variables at stations where that variable was most important (defined as being one of the top 2 normalized drivers). Below each map, histograms show the distribution of sensitivities for the 5<sup>th</sup> (blue-gray), 50<sup>th</sup> (gray-yellow), and 95<sup>th</sup> (red) percentiles at all sites.

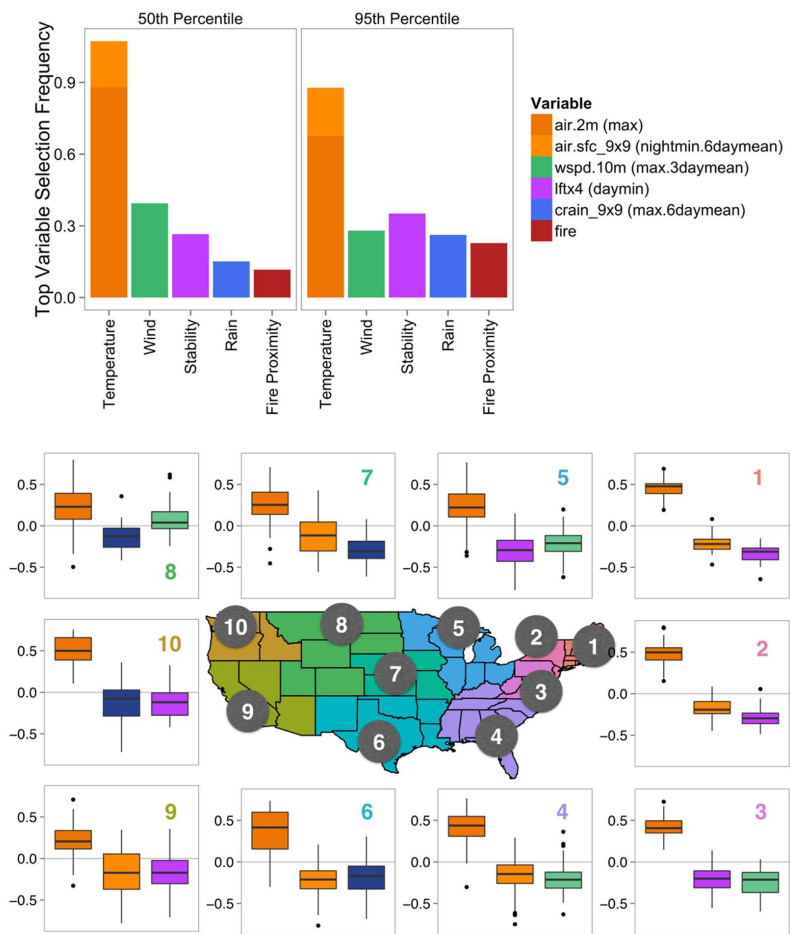
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## Top Drivers: Summer PM<sub>2.5</sub>



# Top Drivers: Summer PM<sub>2.5</sub>



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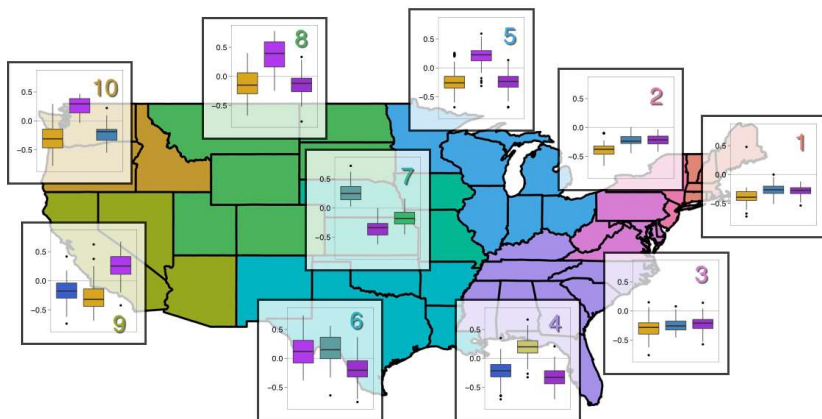
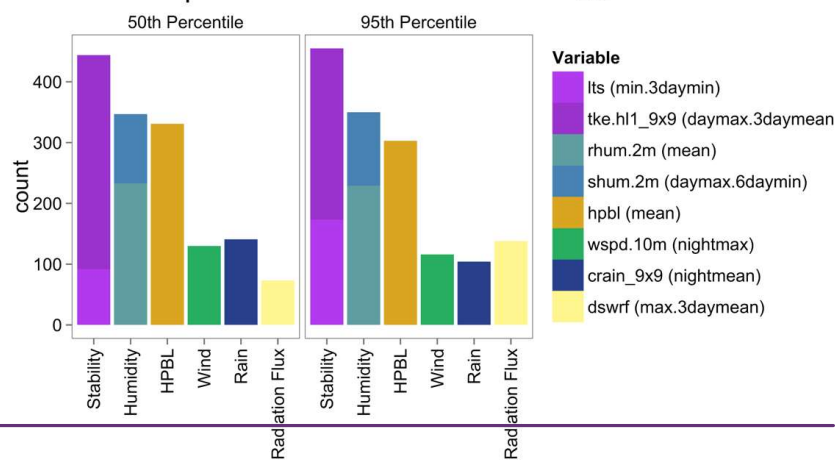
Figure 5a6a. Same as Figure 3a4a but for summer PM<sub>2.5</sub>.

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## Top Drivers: Winter PM<sub>2.5</sub>



## Top Drivers: Winter PM<sub>2.5</sub>

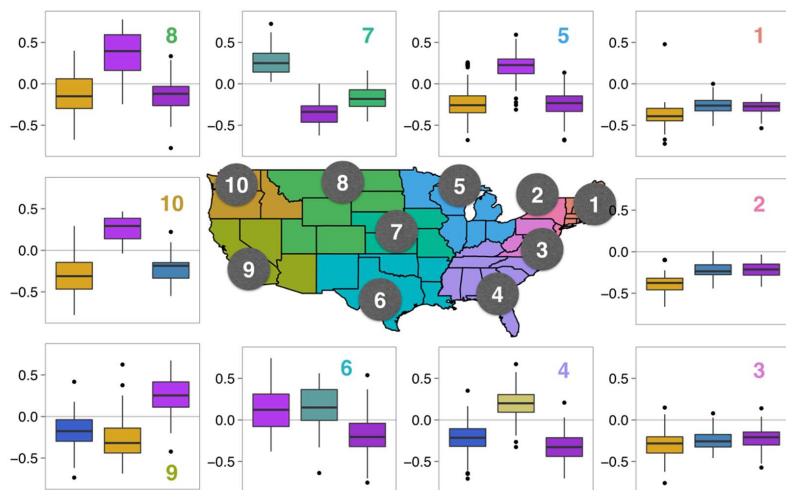
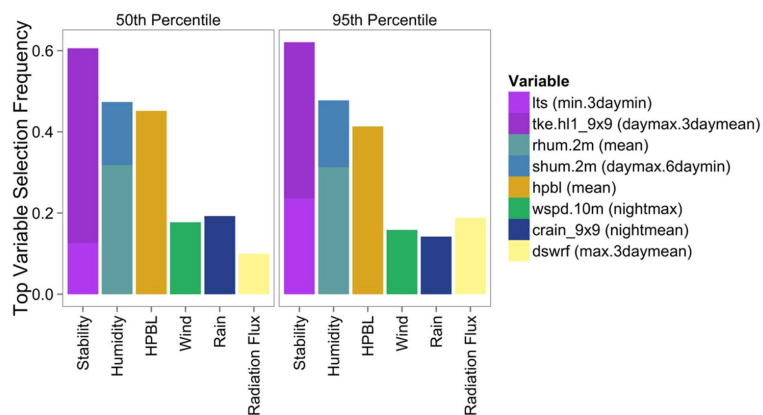


Figure 5b6b. Same as for Figure 3a4a but for winter PM<sub>2.5</sub>.

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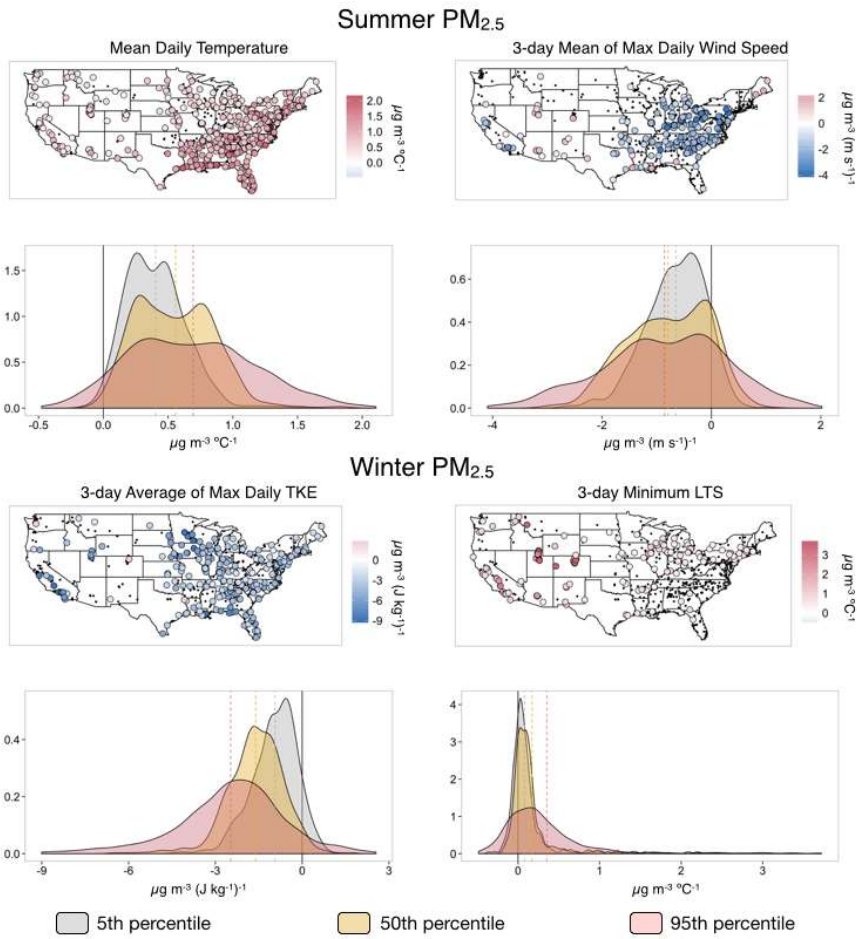
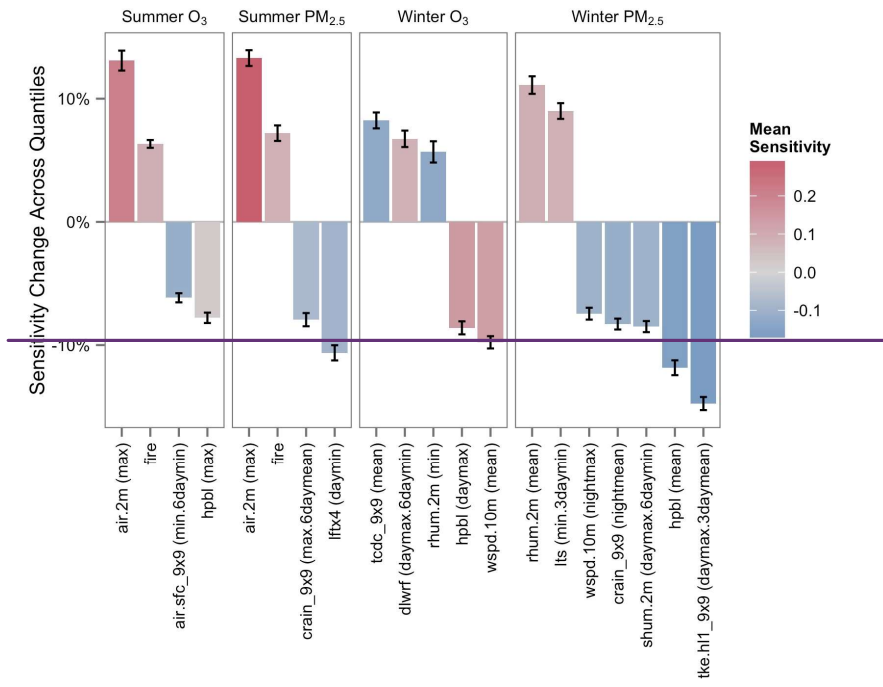


Figure 67. Same as Figure 45 but for PM<sub>2.5</sub>.

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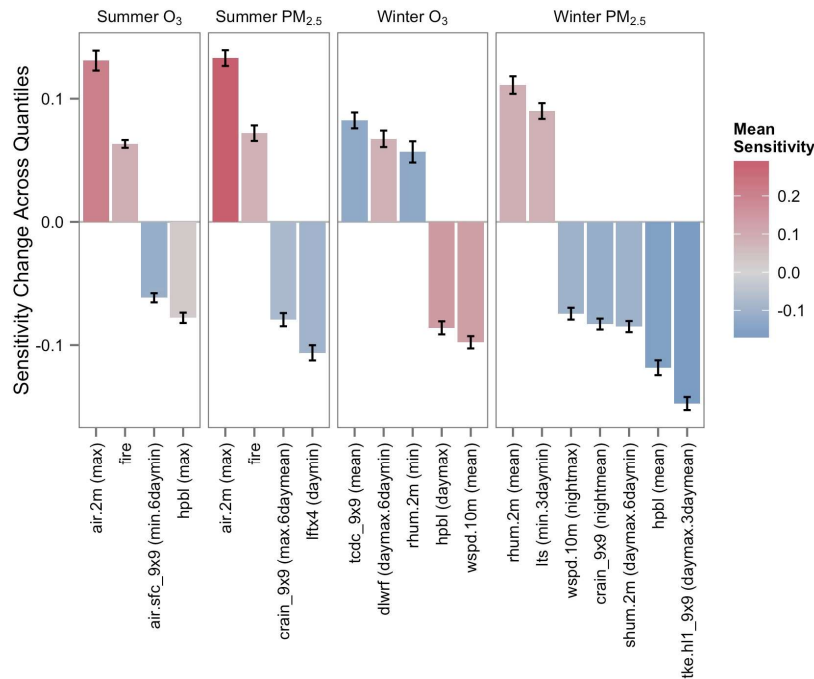


Figure 7: Estimate of how the normalized pollutant concentrations sensitivity to meteorological driver varies with pollution level/covariates (0% = uniform sensitivity across quantiles). Values shown here are the weighted least squares regressions performed on normalized QR coefficients as a function of quantile for variable drivers/covariates with a mean sensitivity change of at least 5% (0.05). Color/Colors of bars show mean normalized sensitivities (roughly equivalent to slopes expected from an ordinary least squares regression), while magnitudes of bars show mean percent change across quantiles, averaged over all stations. Error bars indicate standard error of the mean.

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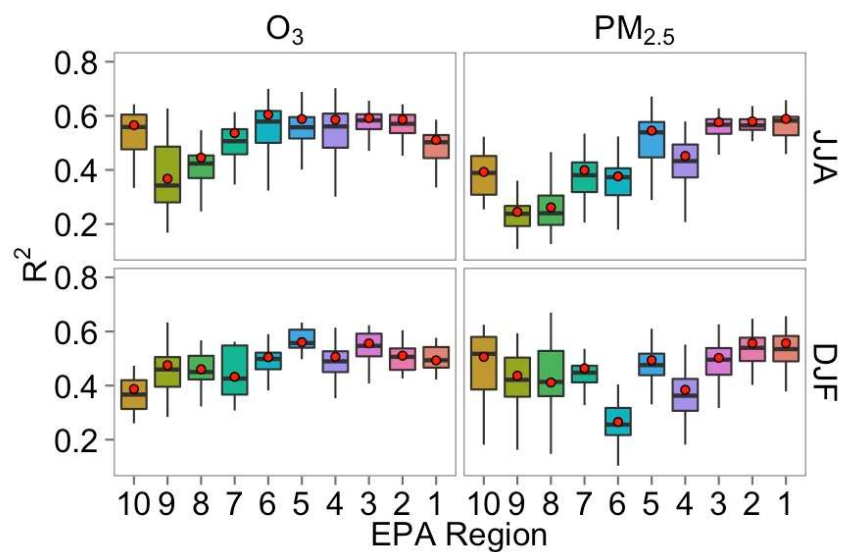


Figure 8.9. Ordinary least squares coefficient of determination ( $R^2$ ) between observed pollutant concentrations and the reduced set of meteorological variables selected in this analysis. Results are shown by pollutant ( $O_3$  or  $PM_{2.5}$ ), EPA region (see Figures 3 and 5), and season (JJA=summer, DJF=winter). Red circles indicate median values using the full set of variables, for comparison. Refer to Table 2 for the listing of the reduced and full set of variables. Boxplot whiskers mark 5<sup>th</sup> and 95<sup>th</sup> percentile  $R^2$  values.

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