

1 Can a coupled meteorology-chemistry model reproduce the historical
2 trend in aerosol direct radiative effects over the northern hemisphere?
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4 Jia Xing¹, Rohit Mathur¹, Jonathan Pleim¹, Christian Hogrefe¹, Chuen-Meei Gan¹, David C. Wong¹, Chao
5 Wei^{1,2}

6 ¹The U.S. Environmental Protection Agency, Research Triangle Park, NC 27711, USA

7 ²Multiphase Chemistry Department, Max Planck Institute for Chemistry, 55128 Mainz, Germany

8 *Correspondence to:* Jia Xing (xing.jia@epa.gov, xingjia@tsinghua.org.cn)
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10 **Abstract**

11 The ability of a coupled meteorology-chemistry model, i.e., WRF-CMAQ, in reproducing
12 the historical trend in AOD and clear-sky short-wave radiation (SWR) over the northern
13 hemisphere has been evaluated through a comparison of 21-year simulated results with
14 observation-derived records from 1990-2010. Six satellite retrieved AOD products including
15 AVHRR, TOMS, SeaWiFS, MISR, MODIS-terra and -aqua as well as long-term historical
16 records from 11 AERONET sites were used for the comparison of AOD trends. Clear-sky SWR
17 products derived by CERES at both TOA and surface as well as surface SWR data derived from
18 seven SURFRAD sites were used for the comparison of trends in SWR. The model successfully
19 captured increasing AOD trends along with the corresponding increased TOA SWR (upwelling)
20 and decreased surface SWR (downwelling) in both eastern China and the northern Pacific. The
21 model also captured declining AOD trends along with the corresponding decreased TOA SWR
22 (upwelling) and increased surface SWR (downwelling) in eastern U.S., Europe and northern
23 Atlantic for the period of 2000-2010. However, the model underestimated the AOD over regions

1 with substantial natural dust aerosol contributions, such as the Sahara Desert, Arabian Desert,
2 central Atlantic and north Indian Ocean. Estimates of aerosol direct radiative effect (DRE) at
3 TOA are comparable with those derived by measurements. Compared to GCMs, the model
4 exhibits better estimates of surface- aerosol direct radiative efficiency (E_{τ}). However, surface-
5 DRE tends to be underestimated due to the underestimated AOD in land and dust regions.
6 Further investigation of TOA- E_{τ} estimations as well as the dust module used for estimates of
7 windblown- dust emissions is needed.

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9 Keywords: aerosol direct radiation effect, WRF-CMAQ, modeling, trend, AOD, clear-sky
10 shortwave radiation, northern hemisphere

11

12 **1. Introduction**

13 Solar radiation, the Earth's primary energy source, plays a crucial role in the climate system.
14 The decadal variations in surface shortwave radiation (SWR) starting from 1950s and related
15 climate impacts have been well documented (Ohmura and Wild, 2002; Mercado et al., 2009;
16 Ohmura, 2009; Wild et al., 2005, 2007; Wild, 2009). Many studies suggest that such variations
17 were caused by the changes in anthropogenic aerosol loading over this time period (Streets et al.,
18 2006; Ruckstuhl and Norris, 2009; Ohmura, 2009). Atmospheric aerosols not only directly
19 scatter or absorb solar radiation (McCormick and Ludwig, 1967), but also affect surface solar
20 radiation indirectly by altering cloud optical properties and lifetime (Twomey, 1977; Albrecht,
21 1989). The global aerosol effective radiative forcing at the top of atmosphere (TOA) is estimated
22 to have cooling effects from both aerosol-radiation interactions (-0.95 to $+0.05$ W m^{-2}) and
23 aerosol-cloud interactions (-1.2 to 0.0 W m^{-2}) which are comparable in magnitude to the

1 warming effects by anthropogenic greenhouse gases (2.83 W m^{-2}) (IPCC 2014). However, large
2 discrepancies in aerosol radiative forcing exist amongst the results estimated by different
3 approaches, particularly at a regional scale. Aerosol direct radiative effects (DRE) simulated by
4 global chemical transport models (CTMs) are 30-40% smaller than those derived from
5 measurements (Yu et al., 2006). Additionally, decadal changes in surface SWR are considerably
6 underestimated by Global Climate Models (GCMs) (Wild, 2009) for both clear- and all-sky
7 conditions. For example, Ruckstuhl and Norris (2009) stated that the surface SWR trends in
8 Europe under cloud-free conditions simulated by the IPCC-AR4 models, are smaller on average
9 than that indicated by the observational evidence, and such large discrepancies in sign and
10 magnitude between modeled and observed trends may be caused by large uncertainties in historic
11 emission inventories and associated aerosol burdens. Thus to draw robust interpretations of long-
12 term records and assessments of aerosol impacts on climate forcing, more accurate descriptions
13 of atmospheric aerosol loading, optical properties, and spatial-temporal distribution is necessary.

14 A two-way coupled meteorology and atmospheric chemistry model, i.e., Weather Research
15 and Forecast (WRF) model coupled with the Community Multiscale Air Quality (CMAQ) model,
16 has been developed by U.S. Environmental Protection Agency (Pleim et al., 2008; Mathur et al.,
17 2010; Wong et al., 2012; Yu et al., 2013; Mathur et al., 2014; Wang et al., 2014). This model
18 system can be applied as an integrated regional climate and chemistry model, serving as an
19 important tool for downscaling future projections of global climate to higher resolution as well as
20 assessing the interactions between atmospheric chemistry, radiation, and meteorology. A
21 preliminary analysis on a ten-day WRF-CMAQ simulation of a wildfire event in California
22 suggests that including the radiative effects of aerosols improves the accuracy of both the
23 meteorology and air quality simulations (Wong et al., 2012). However, an assessment of the

1 performance of such coupled models in reproducing the aerosol radiative effects is needed to
2 build confidence in their use as regional climate-chemistry models over decadal time periods.
3 Decadal hemispheric WRF-CMAQ simulations from 1990-2010 were conducted and evaluated
4 through comparison with long-term surface observations of gaseous and particle species in our
5 recent study (Xing et al., 2015). The current study focuses on aerosol direct radiative effects
6 (DRE) and aims to answer the following questions: (1) How well does the new model represent
7 the regional and temporal variability of aerosol burden and DRE?, and (2) Is the model able to
8 capture past trends in aerosol loading and associated radiative effects?

9 A brief description of the model configuration and observations is given in section 2. The
10 evaluation of the model simulated historical AOD and clear-sky SWR is presented in sections 3.1
11 and 3.2. The estimates of DRE are provided in section 3.3. Aerosol radiative efficiency is further
12 discussed in section 4.

13 **2. Method**

14 **2.1 Model configuration**

15 This study focuses on the summer months (June, July, and August) over a 21 year period
16 (1990-2010) using WRF-CMAQ (WRFv3.4 coupled with CMAQv5.0) driven by internally
17 consistent historical emission inventories obtained from EDGAR. Details about emission
18 processing and WRF configuration are described in Xing et al (2015). The strength of nudging
19 coefficients for four dimensional data assimilation and indirect soil temperature nudging
20 employed in WRF have been tested and chosen to improve model performance for
21 meteorological variables without dampening the effects of radiative feedbacks. The nudging
22 coefficient for both u/v-wind and potential temperature is set to 0.00005 sec^{-1} , while 0.00001 sec^{-1}

1 ¹ is used for nudging of water vapor mixing ratio. The simulation domain is shown in Figure 1
2 and covers most of the northern hemisphere, discretized with a grid of 108 km×108 km
3 resolution and 44 vertical layers of variable thickness between the surface and 50mb (Xing et al,
4 2015). For further analysis and comparison with measurements we selected four sub-regions
5 over land (including adjacent ocean areas which might be impacted by transport from land) and
6 four sub-regions mostly over the ocean. Three of these land regions are mostly impacted by
7 anthropogenic emissions, i.e., eastern China (ECH, 20N-40N, 100E-125E) and eastern US (EUS,
8 28N-50N, 100W-70W), Europe (EUR, 35N-65N, 10W-30E) while the fourth land region is
9 largely impacted by dust emissions i.e., Sahara Desert and Arabian Desert (SHR, 10N-25N,
10 10W-50E). Out of the four ocean regions, the North Pacific (NPA, 30N-50N, 150E-130W) is in
11 between ECH and EUS, the North Atlantic (NAT, 35N-50N, 60W-15W) is in between EUR and
12 EUS, and the remaining two regions are downwind of SHR, i.e., Central Atlantic (CAT, 10N-
13 25N, 60W-15W) and North Indian Ocean (NIN, 10N-25N, 55E-75E).

14 Both the feedback and non-feedback cases were simulated using the same model
15 configuration and initial condition obtained from our previous continuous 21-year WRF-CMAQ
16 simulations (Xing et al., 2015) except that aerosol direct radiative effects updated in the rapid
17 radiative transfer model (RRTMG) (Clough et al., 2005) were considered in the feedback case.
18 Similar to Hogrefe et al (2015), in the non-feedback case, no default or climatological aerosol
19 profiles were provided to the RRTMG model employed in WRF. Therefore, there are no aerosol
20 effects on the radiation calculations in the non-feedback case. DRE is thus estimated as the
21 difference between feedback and non-feedback simulations. In addition, the coupled model used
22 in this study allows the DRE to affect the dynamical fields and also represent the subsequent
23 modulation of aerosol quantities associated with the “updated” dynamical fields, such as soil dust

1 emission flux and photolysis rates which are calculated online with the dynamical model.

2 Aerosol indirect effects by altering cloud optical properties and lifetime were not
3 considered in current study. Efficiencies for extinction, total scattering, backscattering, and
4 asymmetry factor for single particle were calculated using the BHCOAT coated-sphere module
5 approach (Bohren and Huffman 1983). Aerosol effects are treated dynamically in the coupled
6 system, where the CMAQ chemistry and radiation-feedback modules are called every 5 and 20
7 time steps of WRF, respectively. The time step of WRF was set to be 60 seconds in simulation,
8 thus the meteorology fields will be updated from the feedback module every 20 minutes in
9 simulation. Thus space and time varying aerosol optical properties estimated from simulated
10 aerosol composition and size distribution are used in the RRTM based radiation calculations and
11 impact the simulated dynamical and chemical state of the atmosphere.

12 **2.2 Long-term observations**

13 Table 1 summarizes the observation data used in this study including satellite-retrieved and
14 surface-based measured AOD and clear-sky radiation.

15 **2.2.1 Aerosol optical depth (AOD)**

16 Historical satellite remote sensing of AOD has been recorded back to the 1980s. Despite
17 limitations in accuracy (Chu et al., 2002), the satellite measured AOD has global distributions
18 with relative long-time coverage which makes it suitable to evaluate model simulation
19 performance (Roy et al., 2007; Liu et al., 2010; Wang et al., 2010, 2011) and to understand the
20 evolution of atmospheric aerosols (Chin et al., 2014). To minimize the influences from
21 uncertainties of single satellite product, we conducted our analysis based on all available satellite
22 retrievals (or averages) with more robust estimates (e.g., level-3 dataset). The Advanced Very
23 High Resolution Radiometer (AVHRR) provides the longest-running global satellite observations,

1 starting in 1981. Long-term AVHRR retrieved AOD over the oceans (AVHRR retrievals are
2 unavailable over land due to relatively large uncertainties associated with surface reflectance
3 over land surface) has been produced by the NOAA Climate Data Record (CDR) project (Chan
4 et al., 2013; Zhao et al., 2013). Five satellite retrieval products which cover both land and ocean
5 are also used in this study (Table 1). Total Ozone Mapping Spectrometer (TOMS) is one of the
6 earliest satellites providing AOD measurement products with data from 1979 to 2001 (Torres et
7 al., 1998; 2002). However, it's not specifically designed to measure aerosols and thus has limited
8 accuracy (Chin et al., 2014). Both the TOMS product and AVHRR product are only used here for
9 trend analysis. The other four data sources are more recent satellite products, i.e., the SeaWiFS
10 Wide Field-of-view Sensor (SeaWiFS) (McClain et al., 1998; Hsu et al., 2012; Sayer et al., 2012),
11 the Multiangle Imaging Spectroradiometer (MISR) (Kahn et al., 2005; 2010) and the Moderate
12 Resolution Imaging and Spectroradiometer (MODIS) on both NASA's Earth Observing System
13 (EOS)-Terra and EOS-Aqua satellites (Kaufman et al., 1997; Remer et al., 2005, 2008; Levy et
14 al., 2010) which have improved accuracy mostly covering the period of the 2000s. The bright
15 desert surfaces which are missing in the "dark target" retrieval (ver. 5.1) in the MODIS AOD
16 product are filled by the "deep blue" retrieval which uses the 412 nm channel of MODIS to
17 enable the retrieval of AOD over bright surfaces over land (Hsu et al., 2004). An exception to
18 this is for MODIS-terra data after 2007 when there is no deep-blue retrieval available.

19 In conducting the model-observed comparisons, the satellite datasets are interpolated to the
20 $108 \text{ km} \times 108 \text{ km}$ grid of the CMAQ northern hemispheric domain as shown in Figure 1. The
21 grid matching was conducted by using simple inverse distance weighting method. To be
22 consistent with the specific time that the satellite crosses the equator, especially for the four more
23 recent satellites, i.e., MISR (10:30am local time), SeaWiFS (noon local time), MODIS-terra

1 (10:30am local time) and MODIS-aqua (1:30pm local time), we chose to extract the AOD from
2 model outputs at 11:00 am (local time).

3 Model-simulated AOD is also compared with measurements from the worldwide ground-
4 based Aerosol Robotic Network (AERONET) which has been widely used for satellite product
5 validation and model evaluations because of its direct measurements and unified high standard
6 for instrument calibration (Holben et al., 2001; Chin et al., 2014). We select eleven sites from the
7 AERONET with relatively long-term historical records to enable comparison of AOD trends, as
8 additional evidence demonstrating the decadal changes in the tropospheric aerosol burden. For
9 the purpose of comparison with the model, all the AERONET AOD observations were converted
10 to the 533nm band based on the equation of Ångström exponent.

11 **2.2.2 Clear-sky radiation**

12 Since this study focuses on the aerosol direct radiative effect, the clear-sky SWR product is
13 examined in this analysis. The Clouds and the Earth's Radiant Energy System (CERES)
14 instruments developed for NASA's EOS report observations starting in 1997 (Wielicki et al,
15 1996, 1998). The CERES Energy Balanced and Filled (EBAF) dataset provides satellite-derived
16 clear-sky shortwave radiation at TOA over the globe on a monthly-averaged basis starting from
17 2000 (Loeb et al., 2009, 2012). Such observation-derived clear-sky SWR at TOA with global
18 coverage is suitable for comparison with model simulations (Satheesh and Ramanathan, 2000;
19 Rajeev and Ramanathan, 2001; Anantharaj et al., 2010) and estimation of the DRE (Yu et al.,
20 2006; Patadia et al., 2008) at a regional scale.

21 Efforts have also been made to establish records of observation-derived clear-sky
22 shortwave radiation at the surface, for the purpose of reducing the uncertainties in the fluxes at
23 the surface which are significantly larger than those at the TOA (Wild et al., 2006). For surface

1 observations with high-frequency such as World Climate Research Programs Baseline Surface
2 Radiation Network (WCRP-BSRN), it is possible to process a stratification of records into
3 cloudy and clear-sky periods on the basis of an advanced clear-sky detection algorithm (Long et
4 al., 2000; Wild et al., 2005) and to validate the model (Wild et al., 2006; Freidenreich and
5 Ramaswamy et al., 2011). Unlike those at the TOA, the surface fluxes cannot be directly
6 measured by satellites. However, the CERES mission estimates a global clear-sky surface SWR
7 through radiative transfer calculations using satellite-retrieved surface, cloud, and aerosol
8 properties as input (Kato et al., 2013) which agrees with the surface observations on a global
9 mean level (Wild et al., 2013). The satellite-derived surface product has also been used in recent
10 analyses (Hakuba et al., 2014).

11 For comparison with model simulations on a regional scale, we used the CERES-derived
12 clear-sky shortwave radiations at both TOA and surface. In addition, we used clear-sky SWR at
13 seven sites of the Surface Radiation Budget Network (SURFRAD, a component of the BSRN
14 network) processed by Gan et al., (2014). These seven sites are grouped into the eastern U.S.
15 (incl., Bondville, IL; Goodwin Creek, MS; Penn State, PA; Southern Great Plains, OK) and the
16 western U.S. (incl., Table Mountain, CO; Desert Rock, NV; Fort Peck, MT) for comparison with
17 simulations.

18 In consideration of the limited length of record, as in our previous study (Xing et al, 2015),
19 this study only focuses on linear trends. The linear least square fit method was employed, and
20 significance of trends was examined with a Student t test at the 80%, 90% and 95 % confidence
21 levels ($p = 0.2, 0.1$ and 0.05). To be consistent with the available period of satellite products
22 mostly covering the period of the 2000s, the two decades were separated into two time periods
23 spanning, i.e., 1990s (1990-2010) and 2000s (2000-2010) for analysis.

1 **3. Results**

2 **3.1 Trends in AOD**

3 **3.1.1 Comparison with satellite-retrieved AOD**

4 Due to discrepancies in the sensitivities and retrieval algorithms of the various sensors,
5 satellite-retrieved AODs from the different platforms are not necessarily consistent with each
6 other. Figure 2 displays the spatial distribution of JJA-averaged AOD from multiple-platform
7 satellite retrieval datasets as well as from the model simulation. The “polluted half-circle” which
8 starts from Sahara Desert to East Asia is evident in MISR, MODIS-terra and –aqua. Missing data
9 are found in large areas of China and India in both TOMS and SeaWiFS retrieved AOD.
10 Inconsistencies among satellite-retrievals are also noticeable in the North Pacific Ocean as well
11 as in southwest of the United States where higher AOD are shown in MODIS-terra and –aqua but
12 low or no value in other satellite retrievals. In general, the model captures the spatial pattern of
13 AOD but underestimates the AOD levels in dust areas including the Sahara Desert, the Arabian
14 Desert, the Indo-Gangetic basin in the north India which is affected by dust storms coming from
15 the west during summer (Prasad and Singh, 2007) as well as the Gobi Desert in western China.
16 Such underestimations as well as unexpected high AOD estimates in Hawaii might be associated
17 with the uncertainty of the wind-blown dust module in which parameters related to the
18 calculation of “threshold friction velocity” need future investigation (Fu et al., 2014). Ridley et al.
19 (2014) found similar underestimation of summer AOD over the Sahel in their modeling study,
20 suggesting that such biases might be associated with the Haboobs-driven dust emission which is
21 not well represented in current dust module (Marsham et al., 2011). However, the model captures
22 the moderate AOD level in the east U.S. (EUS) and Europe (EUR) in early years and high AOD
23 level in east China (ECH) where anthropogenic aerosols are the dominant contributors. Slight

1 underestimation in ECH and EUS might be associated with underestimation of fine particles
2 (Xing et al., 2014) as well as poor representation of secondary organic aerosol formation is more
3 evident in summer (see Figure 3a, b, c).

4 The model successfully captured AOD trends after 2000 in three non-dust land regions (i.e.,
5 ECH, EUS and EUR). The spatial distribution of AOD trend (yr^{-1}) and time series of region-
6 averaged AOD are provided in Figure 2 and Figure 3 respectively. In ECH, significant increase
7 in AOD level is suggested from satellite retrievals, with growth rates of +0.001 to +0.004 (+0.3
8 to +1.7%) yr^{-1} . Such increase of AOD has also been simulated by the model, though with a faster
9 growth of +0.014 (+5.3%) yr^{-1} (see Table 2). The overestimation of the AOD trend in ECH is
10 caused by the discrepancy between the simulated and observed AOD trend over the southern part
11 of east-China which can be strongly influenced by biomass burning in Southeast Asia (Deng et
12 al., 2008; Fu et al., 2012). As displayed in Figure 2, the model failed to capture the negative trend
13 of the satellite retrieved AODs in the south-east Asia. Variations of biomass burning activity in
14 Southeast Asia is difficult to capture in the model without an accurate temporally resolved
15 biomass emission inventory, currently not available. The declining trends shown in observed
16 AOD might be also associated with the recession since late 2008 (Lin et al., 2010) which may be
17 not well represented in the emission inventory. The SeaWiFS retrieved AOD presents a more
18 significant (at $p=0.1$ level) increasing trend compared to other satellite products, though the
19 growth rate (+0.004 yr^{-1}) is still lower than that of simulated AOD trend (+0.014 yr^{-1}). The
20 simulated declining trends of -0.004 (-2.7%) yr^{-1} in EUS and -0.003 (-2.1%) yr^{-1} in EUR, are very
21 close to the observed trends of -0.003 to -0.008 (-1.5 to -4.4%) yr^{-1} and -0.002 to -0.007 (-1.0 to -
22 3.8%) yr^{-1} respectively. Additionally, declining trends in both observed and simulated AODs in
23 EUS and EUR are significant (at least at $p=0.2$ level). For the period before 2000, the simulated

1 AOD in ECH also shows an increasing trend of $+0.002$ ($+1.0\%$) yr^{-1} which is smaller in
2 magnitude than that after 2000. The opposite trend (though not significant at $p=0.2$ level)
3 estimated from both AVHRR and TOMS might be explained by limited number of grid values
4 available for calculation (refer to Figure 3). Both simulation and satellite retrievals (except
5 TOMS in EUR which has no trend) show declining trends in EUS and EUR before 2000 with
6 magnitudes comparable to those for the period post-2000, and the declining trend in EUR is
7 significant (at $p=0.2$ level) in both simulated and AVHRR retrieved AOD.

8 The model captures the decreasing trends in North Atlantic (NAT) for both the before-2000
9 and after 2000 periods (as seen in Table 2; Figure 3f). Such declining trends are attributable to
10 decreases in both EUS and EUR. The AOD trends in North Pacific (NPA) before-2000 could
11 possibly be associated with declining trend in global background AOD from biomass burning in
12 southeastern Asia, dust variations in Sahara desert and anthropogenic emission reduction in
13 Japan, Europe and North America. For the period before 2000, both simulated and satellite-
14 retrieved AOD in NPA exhibit declining trends of -0.003 (-2.08%) yr^{-1} and -0.001 to -0.002 (-0.5
15 to -0.6%) yr^{-1} respectively. However, after 2000, the increase of transport from ECH results in an
16 increasing trend shown in both simulated and most satellite-retrieved AOD (significant at $p=0.2$
17 level for SeaWiFS and MISR) in NPA of $+0.003$ ($+2\%$) yr^{-1} and $+0.001$ to $+0.002$ ($+0.3$ to $+1.7\%$)
18 yr^{-1} respectively, except in AVHRR retrieved AOD which exhibits opposite trend.

19 The model also captures declining trends in regions influenced mostly by natural mineral
20 dust aerosol, i.e., SHR, CAT and NIN for the period before 2000. Such declining trends might
21 result from a reduction in surface winds over dust source regions in Africa (Ridley et al., 2014).
22 The AOD in CAT also shows a declining trend in most satellite-retrievals for the period after
23 2000, however the magnitude, i.e., up to -0.006 (-1.6%) yr^{-1} is much smaller than that for the

1 period before 2000 which is up to $-0.011(-2.8\%) \text{ yr}^{-1}$. Simulations show a similar transition from
2 stronger declining trends of $-0.005(-1.9\%) \text{ yr}^{-1}$ before 2000 to smaller declining trends of $0.000(-$
3 $0.02\%) \text{ yr}^{-1}$ after 2000. However, the model fails to capture the increasing trends in the Arabian
4 Desert as well as its downwind ocean area NIN which can be observed from satellite-retrieved
5 AOD (see Figure 2, 3h). Additionally, the simulated increasing trend in SHR has a smaller
6 magnitude of $+0.001(+0.33\%)$ than satellite-retrieved AOD trends of $+0.002$ to $+0.15$ ($+0.5\%$ to
7 $+2.3\%$) except for MODIS-terra. This discrepancy might be caused by the poor representation of
8 dust emissions over the Arabian Desert.

9 **3.1.2 Comparison with AERONET measurement**

10 The spatial location of 693 AERONET sites can be seen in Figure 4a which shows the
11 averaged summer AOD of all available records for each site. The corresponding simulated AOD
12 averaged from the same selected periods which were used to average the observations at each
13 site is given in Figure 4b for comparison. Generally, the spatial gradients of AOD shown in the
14 model is consistent with that from observations. However, the model tends to underestimate
15 AOD both over regions where anthropogenic aerosols are dominant (ECH, EUS and EUR) as
16 well as over regions where natural aerosols are dominant (SHR, CAT and NIN). Such
17 discrepancy is consistent with the comparisons against satellite retrievals in the previous section.

18 11 AERONET sites which have relatively long-term records were selected for trend
19 analysis and are marked by red boxes in Figure 4a. The simulated AOD as well as the satellite-
20 retrieved AOD is chosen from the corresponding grid cell for each site based on its spatial
21 location. A site by site comparison is presented in Figure 5.

22 At the Beijing site (Figure 5a) which is in northeastern China, the model agrees with
23 satellite retrievals which show a continual increasing trend from 1990 to 2010. However, the

1 AOD level from AERONET is much higher than both simulated and satellite-retrieved AOD, and
2 shows an opposite trend. Such discrepancy was also found in our previous analysis when
3 comparing concentrations of precursors against surface observations (Xing et al., 2014).
4 Extremely high AOD levels from AERONET also indicate that the coarse spatial resolution
5 might limit the model's ability to represent the pollution distribution at finer scale. Another site
6 within ECH area is Chen-Kung Univ (Figure 5b) in Taiwan. Simulated and satellite retrieved
7 AOD trends show opposite directions possibly because the model fails to capture variations of
8 biomass burning activities in Southeast Asia as discussed in the previous section. However, the
9 correlation coefficient is relatively high ($R=0.44$) and the bias is relatively small ($NMB=-5\%$).
10 The AOD measured by AERONET shows a similar increasing trend as the simulation but the
11 correlation is poor.

12 Simulated, surface-observed and satellite-retrieved AOD are found to be consistent with
13 each other in the other two land areas where anthropogenic aerosols are dominant, i.e., EUS and
14 EUR. At the GSFC site (Figure 5c) in EUS, all AODs show declining trends of -0.007 to -0.013
15 yr^{-1} which is slightly higher than that of the region averaged trend of -0.003 to -0.008 yr^{-1} . The
16 correlation coefficient between the simulated and observed AOD ranges between 0.42 and 0.57,
17 but the underestimation is $>40\%$ due to the underestimation of fine particles (Xing et al., 2014).
18 At the Lille site (Figure 5d) in EUR, simulated, surface-observed and satellite-retrieved AODs
19 show consistent decreasing trends of -0.003 to -0.007 yr^{-1} which are comparable with the region
20 averaged level. The correlation between the simulated and observed AOD ranges between 0.17
21 and 0.19 and the smaller NMB of $<\pm 15\%$ compared to the EUS region likely is attributable to a
22 better simulation of fine particle concentrations (Xing et al., 2014).

23 At the HJAndrews site (Figure 5e) on the western coast of the U.S. where fewer emission

1 reductions occurred than in the EUS, the simulated AOD shows a slightly increasing trend of
2 $+0.001 \text{ yr}^{-1}$ which is also found in surface-observed and satellite-retrieved AOD. Such increasing
3 trends might be associated with the increased AOD level in NPA after 2000. At Bonanza Creek
4 (Figure 5f), the model fails to capture the abnormal high AOD level observed by both
5 AERONET and satellites for the years of 2004 and 2009, when large forest fires occurred in
6 Alaska (National Interagency Fire Center,
7 http://www.nifc.gov/fireInfo/fireInfo_stats_lgFires.html) resulting in significantly enhanced PM
8 burden. At Mauna Loa (Figure 5g) located in Hawaiian Islands, the model overestimates the
9 AOD level compared to both AERONET and satellites observations, resulting from the
10 uncertainties of wind-blown dust emissions. The AERONET monitored AOD is even lower than
11 the satellite retrievals, which might be explained by the high elevation (3400m) of this
12 AERONET site.

13 However, the model tends to underestimate AOD by 30-60% in areas where mineral dust
14 aerosols are dominant. At the Banizoumbou site (Figure 5h) in Sahel, south of the Sahara Desert,
15 increasing trends of $+0.004$ to $+0.017 \text{ yr}^{-1}$ shown in both surface-observed and satellite-retrieved
16 AOD are successfully captured by the model which shows a similar increasing trend of $+0.010$
17 yr^{-1} . Also the correlation between the simulated and observed AOD is fairly good ($R > 0.5$). At the
18 Cape Verde site (Figure 5i) in CAT where most satellites show continual decreasing trends, AOD
19 observed by AERONET shows a slight increasing trend of $+0.005 \text{ yr}^{-1}$. A similar increasing trend
20 is also evident in the simulated AOD, i.e., $+0.002 \text{ yr}^{-1}$. Another site where the model agrees with
21 AERONET better than satellites is Sede Boker (Figure 5j) in Israel, between the Sahara Desert
22 and the Arabian Desert. The small declining trend of AOD observed by AERONET is captured
23 by the model, with better correlation and smaller bias than compared with satellite-retrieved

1 AOD. However, at Solar Village (Figure 5k) in the Arabian Desert, the model fails to capture
2 increasing trends of AOD observed in both AERONET and satellites which is consistent with the
3 finding we discussed in the previous section.

4 **3.2 Trends in clear-sky SWR**

5 **3.2.1 Comparison with CERES**

6 The upwelling clear-sky SWR at TOA represents the solar radiation reflected back to space
7 by surface albedo as well as atmospheric aerosols. If surface albedo changes are negligible, its
8 variation is indicative of the changes in top of the atmosphere cooling effects due to aerosols.
9 The spatial distributions of observed and simulated clear-sky SWR at TOA are presented in
10 Figure 6 for 2000 and 2010. Higher values are shown in the areas with highly reflective surface,
11 i.e., ice covered and desert land areas where ground-surface albedo is higher. Because the aerosol
12 radiative effect has been considered in the feedback case, the simulated TOA SWR is higher than
13 that in non-feedback case particularly in regions with high AOD levels such as ECH and SHR,
14 and also compares better with the CERES data relative to the simulation without aerosol
15 feedback effects. However, simulated clear-sky TOA SWRs over desert areas, EUS, EUR and
16 south Asia are still lower than those derived by CERES due to the underestimation of natural and
17 anthropogenic aerosols.

18 The spatial distribution of land-use types in the model is kept unchanged for the 21-year
19 period. Therefore, there is no clear trend of SWR in the non-feedback case over the entire
20 domain except over the Arctic Ocean where simulated ice cover is melting leading to a significant
21 declining trend of TOA SWR. This is also evident in the CERES data. The TOA SWR trend in
22 the feedback case which also represents the trend of DRE shows a similar spatial pattern as the
23 AOD trend shown in Figure 2. A comparison of the time-series of the TOA SWR anomaly

1 (anomaly to the average of 2000-2010, as seen in Figure 7) also suggests better agreement with
2 observations for the feedback simulation in which the correlation with observations is higher
3 than that in the no-feedback case.

4 For the period of 2000-2010, the simulated TOA SWR from the feedback case exhibits a
5 significant increasing trend of $+0.301 \text{ W m}^{-2}$ ($+42\%$) yr^{-1} in ECH, a decreasing trend of -0.095 W
6 m^{-2} (-0.14%) yr^{-1} in EUS and a decreasing trend of -0.106 W m^{-2} (-0.15%) yr^{-1} in EUR (as seen
7 in Figure 7a-c; Table 3). Similar trends are evident in the CERES-derived TOA SWR which
8 shows a relatively smaller increasing trend of $+0.103 \text{ W m}^{-2}$ ($+14\%$) in ECH, but fairly
9 comparable decreasing trends of -0.099 W m^{-2} (-0.14%) and -0.116 W m^{-2} (-0.17%) yr^{-1} in EUS
10 and EUR respectively. No observed satellite-derived TOA SWR is available back to 1990s,
11 however, the sign of the simulated TOA SWR trends agree well with corresponding sign of the
12 simulated and observed AOD trends in each region (Table 3).

13 The simulated TOA SWR in NPA (Figure 7e) shows a declining trend of -0.092 W m^{-2} ($-$
14 0.17%) yr^{-1} before 2000 and an increasing trend of $+0.107 \text{ W m}^{-2}$ ($+0.20\%$) yr^{-1} after 2000,
15 which are consistent with the AOD trends for each period. The increasing trend in TOA SWR
16 after 2000 is noticeable in CERES data as well, with a relatively smaller increasing trend of
17 $+0.057 \text{ W m}^{-2}$ ($+0.13\%$) yr^{-1} . In NAT (Figure 7f), the simulated TOA SWR is decreasing for the
18 whole period, with a stronger declining trend of -0.136 W m^{-2} (-0.25%) yr^{-1} from 1990 to 2000
19 compared to the trend of -0.039 W m^{-2} (-0.07%) yr^{-1} for the period after 2000. The decrease in
20 TOA SWR in this region is associated with the corresponding reduction in AOD which also
21 shows more significant decreasing for the period before 2000. However, the declining trend from
22 the model for 2000-2010 is too weak to be observed in CERES data which shows a small
23 increasing trend of $+0.023 \text{ W m}^{-2}$ ($+0.05\%$) yr^{-1} .

1 The decreasing AOD in the Sahara Desert leads to a decreasing TOA SWR trend in the
2 downwind ocean region CAT which is noticeable in both simulation and CERES data. More
3 evidence of a decreasing trend in TOA SWR is shown in the simulation for the period before-
4 2000 than that for the period after-2000 because the reduction of AOD in CAT is greater before-
5 2000 than after. The model also captured the increasing TOA SWR trend in Sahel which is also
6 evident in CERES.

7 The significant declining trend in SHR for the period of 1990-2000 simulated by the model
8 is associated with the corresponding decreases in AOD. However, the model fails to capture the
9 slightly increasing trend observed by CERES over the Arabian Desert (as seen in Figure 6a), also
10 resulting in a failure to capture the increasing trend in NIN for the period of 2000-2010 (Figure
11 6a, 7h). This discrepancy is consistent with those noted in the AOD comparison, i.e., that
12 simulated AOD in NIN shows a decreasing trend but observed AOD is increasing.

13 Higher surface SWR is shown in the mid-latitude regions where the solar zenith angle is
14 high during Jun-Aug. The surface SWR is also influenced by the water vapor in the atmosphere,
15 therefore the desert areas (and downwind ocean regions) with relative dry air show higher
16 surface SWR compared to other areas located at the same latitude (see Figure 6b). Due to aerosol
17 direct radiative effects, the simulated surface SWR decreases in the feedback case compared to
18 the no-feedback case and is more comparable to the surface SWR derived from CERES. The
19 surface SWR in ECH and EUS shows a noticeable reduction from the no-feedback to the
20 feedback case but is still overestimated compared to that derived by CERES. A more pronounced
21 reduction in surface SWR caused by aerosol direct radiative effects is shown in regions
22 dominated by natural dust aerosols, because the reduction of surface SWR in dust areas (and
23 downwind ocean regions) is also caused by the decrease of near-ground albedo due to decreases

1 of wind-blown dust emissions stemming from the lower wind-speed which is one of the climate
2 responses to the aerosol radiative effects.

3 For the period of 2000-2010, the surface SWR simulated in the feedback case exhibits a
4 declining trend of -0.56 W m^{-2} (-0.17%) yr^{-1} in ECH (Figure 7a), and an increasing trend of
5 $+0.097 \text{ W m}^{-2}$ ($+0.03\%$) yr^{-1} and $+0.141 \text{ W m}^{-2}$ ($+0.04\%$) yr^{-1} in EUS (Figure 7b) and EUR
6 (Figure 7c) respectively, with fairly good agreement with those derived from CERES ($R>0.5$,
7 which is much better than that calculated from the no-feedback case). Note that the simulated
8 surface SWR trends in both EUS and EUR are considerably lower than CERES.

9 Similar to TOA SWR, the surface SWR trend in NPA also shows opposite directions for the
10 periods before and after 2000 (Figure 7e); an increasing surface SWR trend of $+0.129 \text{ W m}^{-2}$
11 ($+0.04\%$) yr^{-1} before 2000 but decreasing by -0.106 W m^{-2} (-0.03%) yr^{-1} after 2000. Good
12 agreement ($R=0.45$) is found with CERES-derived surface SWR which also exhibits a
13 significantly declining trend of -0.334 W m^{-2} (-0.10%) yr^{-1} from 2000-2010. The simulated
14 increasing trend in NAT (Figure 7f) is stronger before 2000 than for the period after 2000,
15 whereas CERES-derived surface SWR shows a small trend in the opposite direction which is
16 consistent with the discrepancy found in TOA SWR.

17 The model fails to capture the increasing trend in surface SWR shown in most parts of
18 SHR (Figure 6b). However, the simulation has a good agreement with that derived from CERES
19 ($R=0.49$) from the series comparison of surface SWR anomaly, suggesting that the variation of
20 dust is too large to present a clear trend within a relative short time period. Such discrepancy
21 might contribute to the bias in reproducing surface SWR in the downwind CAT region where the
22 simulated and observed surface SWR trends present opposite directions for the period of 2000-
23 2010. However, for the period of 1990-2000 when the reduction of dust aerosol in SHR is

1 significant, the surface SWR in both SHR and CAT as expected present increasing trends. In NIN
2 (Figure 7h), the decreasing trend from 2000-2010 shown in CERES-derived surface SWR is
3 associated with the increase of dust aerosol from Arabian Desert where the model fails to
4 reproduce the observed AOD trend. The simulated surface SWR has a good correlation ($R=0.57$)
5 with CERES data, though shows a slight trend in the opposite direction. The increasing trend of
6 surface SWR as well as the decreasing trend of TOA SWR in NIN for the period of 1990-2010 is
7 associated with the decreasing trend of AOD for the same period.

8 **3.2.2 Comparison with SURFRAD**

9 To further investigate the model's ability in reproducing the historical clear-sky SWR at the
10 surface, we compared results from simulations of feedback and no-feedback cases with
11 observations at SURFRAD sites. The simulation data is selected from grid cells corresponding to
12 the spatial locations of each SURFRAD site at the time of the measurement, and then grouped to
13 a regional level, i.e., the eastern and western US. The CERES data is also selected for each
14 corresponding site for comparison, but not necessarily for the same time periods since CERES
15 data are monthly mean including all hours.

16 In general, the simulated clear-sky surface SWR agrees better with SURFRAD than with
17 CERES, with higher correlation coefficients (Figure 8). The increasing trend in the eastern US
18 simulated by the model in the feedback case of $+0.31 \text{ W m}^{-2} \text{ yr}^{-1}$ is more comparable with that
19 observed by SURFRAD ($+0.52 \text{ W m}^{-2} \text{ yr}^{-1}$) than CERES ($+1.37 \text{ W m}^{-2} \text{ yr}^{-1}$) even though the
20 CERES data is monthly mean of 24 hours which is lower than daytime averages from both
21 SURFRAD and the simulations. However, the model fails to reproduce some of the yearly
22 variations (e.g., the sharp decrease during 1998-2000) which are evident in SURFRAD,
23 suggesting the need for simulations conducted on a finer spatial scale with more accurate

1 spatially resolved emissions.

2 The clear increasing trend of $+0.62 \text{ W m}^{-2} \text{ yr}^{-1}$ shown in SURFRAD in the western US is
3 associated with the increasing clear-sky diffuse radiation which might be influenced by factors
4 other than aerosols (e.g., air traffic activities) (Gan et al., 2014). The model shows no trends
5 because most reductions in aerosols occurred in EUS. CERES even shows a declining trend of -
6 $0.93 \text{ W m}^{-2} \text{ yr}^{-1}$ in the western US. The discrepancy in the two observation-derived trends
7 suggests that there is a need to further improve the accuracy of observed surface SWR data as
8 well.

9 **3.3 Aerosol direct radiative effect (DRE)**

10 We estimated the DRE as the difference in clear-sky SWR with and without aerosols. It is
11 easy to estimate the DRE from the model simulations by taking the difference of clear-sky SWR
12 between the feedback case and no feedback case. The simulated DRE at both TOA and surface is
13 shown in Table 4 and Figure 9. Also, we compared the modeled results with the values of
14 measurement-based assessments summarized in Yu et al. (2006). All the values of “measured”
15 DREs mentioned in subsequent discussions are obtained from Yu et al. (2006). Since it is
16 impossible to remove all aerosols from the real atmosphere, the DRE cannot be directly observed.
17 The measurement-based method needs the adoption of radiative transfer model or other
18 assumptions to estimate DRE. For example, Remer and Kaufman (2006) put the results of the
19 MODIS aerosol retrieval as an internally consistent set of aerosol optical properties into a
20 column radiative transfer climate model (i.e., CLIRAD-SW) to calculate the upwelling
21 hemispheric broadband fluxes and the aerosol effects at TOA. Zhang et al. (2005a, b) calculated
22 the shortwave aerosol radiative forcing over the global oceans by using the 20 km resolution
23 CERES measurements as well as the aerosol dependent angular distribution models.

1 The TOA-DRE is evident ($|DRE| > 4 \text{ W m}^{-2}$) in all 8 regions except SHR where the surface-
2 reflected upwelling radiation can be reduced by the aerosol absorption leading to a significant
3 reduction of TOA-DRE (Kim et al., 2005). From the 1990s to the 2000s on a decadal average
4 basis, the simulated TOA-DRE in ECH increased by 30% due to the increased aerosol burden. A
5 more significant increasing trend is shown in the 2000s compared to 1990s. The simulated TOA-
6 DRE in ECH shows comparable values with the measured one over the land of eastern Asia (Yu
7 et al., 2006). The reduction of aerosols in EUS / EUR mitigated the TOA-DRE by 19% / 20%
8 from 1990s to 2000s. The simulated TOA-DRE agrees with the measured one in EUR, but has
9 lower values in EUS. Also the simulated TOA-DRE in SHR is significantly lower than
10 measurements. The underestimation of TOA-DRE in EUS and SHR might be associated with the
11 underestimation of the aerosol burden (as indicated by the comparison of simulated and observed
12 AOD). In NPA, the TOA-DRE shows similar opposite trends (before and after 2000) which is
13 consistent with the trends of AOD and clear-sky TOA SWR, and is slightly lower than
14 measurements, but comparable with those simulated by GCMs as -3.6 to -11.7 W m^{-2} (Yu et al,
15 2006). The decrease of AOD level in NAT also reduced the TOA-DRE by 9%, with more
16 significant trend in the 1990s. The simulated TOA-DRE is within the measured range. Continual
17 decrease of AOD in CAT slightly decreased the TOA-DRE by 2% from 1990s to 2000s. The
18 magnitude of simulated TOA-DRE agrees well with the measured one. Though the model fails to
19 capture the increasing trend of AOD observed at NIN, the simulated TOA-DRE agrees with
20 measurements.

21 The surface-DRE shows a similar spatial distribution as TOA-DRE except that a stronger
22 DRE is shown in SHR. Trends in surface-DRE at each site are consistent with those in TOA-
23 DRE which have the same direction during the corresponding periods. Over land regions the

1 simulated surface-DRE is about 2 times as much as the TOA-DRE for ECH, EUS and EUR, and
2 about 6 times for SHR. On a global scale, the surface-DRE (-13.5 to -17.4 W m⁻²) derived by the
3 satellite-model integrated approaches (Yu et al. 2006) is about 3.4 times as large as the TOA-
4 DRE (-5.3 to -6.6 W m⁻²). The surface-DRE (-14.4 to -30.4 W m⁻²) derived by AERONET is
5 about 2.2-3.8 times as large as the derived TOA-DRE (-5.2 to -11.1 W m⁻²) (Yu et al. 2006). Like
6 many GCMs, the simulated surface-DRE over land is very likely to be underestimated in this
7 study, because the simulated TOA-DRE by the model is comparable (in ECH, EUR) or lower (in
8 EUS, SHR) than measurement-derived values but the ratio of surface-DRE / TOA-DRE
9 estimates in this study is lower than measurement-derived values.

10 Over ocean regions, the simulated surface-DRE (-7.3 to -18.9 W m⁻²) is about 1.4-1.8 times
11 as much as the TOA-DRE (-5.1 to -11.1 W m⁻²). The ratio is comparable with that found from
12 measurements-based estimates which show the global ocean averaged surface-DRE (-9.3 to -11.9
13 W m⁻²) about 1.6 times as much as the measured TOA-DRE (-3.5 to -7.0 W m⁻²) (Yu et al., 2006).

14 **4. Discussion**

15 Successful estimates of DRE trends depend on accurate estimates of AOD as well as the
16 aerosol direct radiative efficiency (E_{τ}) which is defined as the DRE per unit aerosol optical depth
17 and has been used for comparisons among different methods (Yu et al., 2006). The E_{τ} in this
18 study can be estimated simply by using DRE divided by AOD. The relationship between DRE
19 and AOD is close to linear under low AOD conditions (Chung et al., 2012). Thus, the slope of
20 the linear regression between Δ SWR and Δ AOD can provide a close estimate of E_{τ} , denoted as
21 E_{τ}^* (e.g., Satheesh and Ramanathan, 2000).

22 However, the E_{τ}^* (estimated as the slope of the linear regression between DRE and AOD)
23 is smaller in magnitude (i.e., absolute value) than E_{τ} at high AOD levels. This is illustrated in

1 Figure 10 which displays the relationship between average daytime clear-sky DRE and AOD
2 (note that each point in these figures represents a daily average value). It is noticeable that the
3 response of DRE to AOD becomes non-linear at high AOD, more apparent in the relationships
4 for SHR region where the AOD is higher. Consequently, in this regime of high AOD the
5 estimated $E_{\tau}^* < E_{\tau}$. Additionally, Yu et al (2006) noted smaller radiative efficiencies for
6 anthropogenic aerosols with higher absorbing components. This may also result in $E_{\tau}^* < E_{\tau}$ in
7 regions of anthropogenic aerosols (such as ECH, EUS and EUR).

8 To further explore the response of clear-sky SWR to the AOD level, we further analyzed
9 data over an 11-year period (2000-2010). To minimize the influence of month to month
10 variability (e.g., associated with solar zenith angle) among June, July and August, monthly-
11 averaged SWR and AOD were deseasonalized by subtracting the average of 11-year data for the
12 corresponding month (see Figure S1). We chose 24hour-averaged SWR but AOD at noon (local-
13 time) to be consistent with the observation-derived data from CERES-EBAF and satellite-
14 retrievals. The estimated radiative efficiency based on 24 hour average SWR to noon AOD
15 (noted as $E_{\tau_2}^*$) is about half of the value of E_{τ} .

16 Table 5 presents the estimates of E_{τ} , E_{τ}^* and observed and simulated $E_{\tau_2}^*$ at both TOA and
17 surface for 8 regions. The measurement-based E_{τ} summarized in Yu et al. (2006) (noted as $E_{\tau-yu}$)
18 is used to compare the estimates in this study.

19 Over land regions where anthropogenic aerosols are dominant, the simulated E_{τ} at TOA in
20 ECH, EUS and EUR is about -45.4, -49.6 and -57.2 $W m^{-2} \tau^{-1}$ respectively, which are slightly
21 larger in magnitude than the measurement-derived TOA- E_{τ} which is about -9 to -33, -24 to -37
22 and -11 to -34 $W m^{-2} \tau^{-1}$, respectively. Furthermore, the simulated TOA- $E_{\tau_2}^*$ is also much higher
23 than those derived from observations. One possible reason for this discrepancy is the moderate

1 underestimation of AOD since in most cases TOA- E_{τ} is larger when AOD is low. Such
2 overestimation of TOA- E_{τ} may compensate for the underestimation of AOD resulting in a
3 comparable TOA-DRE in these regions.

4 The simulated E_{τ} at the surface is about -90.8, -101.5 and -114.3 $\text{W m}^{-2} \tau^{-1}$ for ECH, EUS
5 and EUR respectively which is higher than measurement-derived surface- E_{τ} of -51 to -106, -65
6 to -84 and -57 to -98 $\text{W m}^{-2} \tau^{-1}$. However, surface- E_{τ}^* has much lower values than surface- E_{τ} ,
7 thus it is comparable with measurement-derived surface- E_{τ} . The simulated surface- $E_{\tau_2}^*$ also
8 shows good agreement with observed estimates (-40 to -60 $\text{W m}^{-2} \tau^{-1}$). Discrepancies are found in
9 the EUS where simulated surface- $E_{\tau_2}^*$ is the lowest but observed surface- $E_{\tau_2}^*$ is highest of all
10 regions. Lower surface- $E_{\tau_2}^*$ in US in the feedback case might be associated with the unexpected
11 positive correlation (with slope = +14.97 $\text{W m}^{-2} \tau^{-1}$) between surface SWR and AOD in the non-
12 feedback case (See Table 5). With the agreement in surface- E_{τ} , the underestimation of surface-
13 DRE in EUS, EUS and EUR is primarily associated with the underestimation of AOD level.

14 The feedback from reduction in TOA-DRE by aerosol absorption results in a relative lower
15 TOA- $E_{\tau_2}^*$ over SHR than those in other regions for both observation and simulation. However,
16 the simulated TOA- $E_{\tau_2}^*$ is twice as much as observed, suggesting overestimation of TOA- E_{τ} in
17 SHR as well.

18 Over the ocean regions, both simulated E_{τ} and E_{τ}^* at TOA in NPA (-66.9 and -60.9 $\text{W m}^{-2} \tau^{-1}$)
19 and NAT (-65.3 and -59.2 $\text{W m}^{-2} \tau^{-1}$) are higher than those measurement-derived TOA- E_{τ} which
20 are about -31 to -52 and -32 to -41 $\text{W m}^{-2} \tau^{-1}$ respectively. Also the simulated TOA- $E_{\tau_2}^*$ is also
21 higher than that derived from observations. The overestimation (by 60-70%) of TOA- $E_{\tau_2}^*$ in
22 NPA and NAT is not as significant as that in land regions (110-190%), therefore the simulated
23 TOA-DRE over NPA and NAT is still within the range of measurement-based estimates. The

1 simulated surface- E_{τ} and E_{τ}^* for NPA (-96.9 and -68.8 $\text{Wm}^{-2} \tau^{-1}$) and NAT (-98.7 and -59.2 Wm^{-2}
2 τ^{-1}) are consistent with those measurement-derived values which are -61 to -90 and -60 to -90 W
3 $\text{m}^{-2} \tau^{-1}$. Also the simulated surface- $E_{\tau_2}^*$ agrees with the observation derived values. Therefore the
4 model successfully reproduces the observed surface-DRE over NAP and NAT.

5 In the other two ocean regions where natural dust is dominant (i.e., CAT and NIN), the
6 simulated TOA- E_{τ} and E_{τ}^* are overestimated compared to measurement-derived data. The
7 simulated TOA- $E_{\tau_2}^*$ is also higher than that derived from observations. The surface- E_{τ} and E_{τ}^*
8 tend to be overestimated as well in these regions, the observed surface- $E_{\tau_2}^*$ is much lower than
9 that in other regions, whereas the simulated surface- $E_{\tau_2}^*$ in these regions are comparable with
10 others. A possible reason is that surface SWR shows a nonlinear response with AOD (showing
11 smaller slope, i.e., E_{τ}) when AOD levels are high. The model fails to capture the extremely high
12 AOD observed by satellites, and consequently overestimates the $E_{\tau_2}^*$ in these regions. Such
13 overestimation of both TOA- and surface- E_{τ} may compensate for the underestimation of AOD
14 resulting in a comparable TOA- and surface- DRE in these regions.

15 **5. Conclusion**

16 A 21 year simulation from 1990-2010 over the northern hemisphere was conducted with a
17 coupled meteorology-chemistry model. In general, the model captured historical AOD trends of
18 21 years in most regions, including the continual increasing trend in ECH; decreasing trend for
19 EUS, EUR and NAT, and the decreasing in 1990s but increasing trend in 2000s in SHR and NPA.
20 The model also captured the decreasing trend in NIN before 2000, but failed to capture its
21 increasing trend after 2000. That discrepancy as well as the underestimation of AOD over
22 regions which have substantial natural dust aerosol contributions might be associated with the
23 uncertainty in estimation of wind-blown dust in the model. Slight underestimations in ECH and

1 EUS might be associated with underestimation of fine particles as well as the poor representation
2 of secondary organic aerosol formation which is more evident in summer. The simulation with
3 aerosol radiative effects successfully captured the historical clear-sky SWR at both TOA and
4 surface in ECH, EUS and EUR. The model also captured the enhanced DRE in NPA at both TOA
5 and surface after 2000s. However, discrepancies are found over dust regions due to the
6 uncertainties from AOD estimations in these regions.

7 The DRE estimation requires not only accurate estimates of AOD, but also of correct
8 aerosol radiative efficiency. Unfortunately, neither has a certain value from measurement-based
9 studies which still present a wide range of values (Yu et al., 2006; Chin et al., 2014).
10 Uncertainties therefore limit the accuracy of DRE estimation. The same issue applies to the
11 model as well. Estimates of TOA-DRE are comparable with those derived from measurements
12 for most regions; however, overestimates of TOA- E_{τ} may be compensating for the
13 underestimations of AOD. Such overestimates of TOA- E_{τ} might be associated with the
14 uncertainties of the ratio of scattering aerosols to total aerosols (e.g., a larger TOA- E_{τ} is expected
15 when the change in absorption aerosols is larger than scattering aerosols). Compared to previous
16 estimates from GCMs, the simulated surface- E_{τ} in this study agrees better with those derived by
17 the measurements. However, surface-DRE trends are underestimated due to underestimated
18 AOD in land regions. Further improvement of simulated TOA- E_{τ} as well as both anthropogenic
19 and natural AOD is important. An accurate temporally resolved biomass emission inventory
20 (van der Werf et al., 2006; Shi and Yamaguchi, 2014), an improved dust emission model (Kok et
21 al, 2014) and an advance scheme to model atmospheric organic aerosol (Koo et al., 2014; Zhao
22 et al., 2015) are suggested for future model investigations. We are currently conducting a similar
23 study with a finer-scale simulation and relatively better spatially and temporally resolved

1 emission inventories over the continental U.S. domain. Further analysis of those model
2 calculations and assessment of the impacts of the higher resolution emissions can be found in
3 Gan et al. (2015).
4

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18

Table 1 Summary of long-term observations used in this study

Network	Location	Period	Resolution	Data sources
AOD				
AVHRR -630nm	Global, ocean	1990-2009*	0.5°×0.5°, Monthly	NOAA's National Climatic Data Center, http://www.ncdc.noaa.gov/cdr/operationalcdrs.html#2
TOMS -500nm	Global, land&ocean	1990-1992, 1996-2001	1°×1°, monthly	http://ozoneaq.gsfc.nasa.gov/aot.md
SeaWiFS -550nm	Global, land&ocean	1998-2010	0.5°×0.5°, Monthly	http://gdata1.sci.gsfc.nasa.gov/daac-bin/G3/gui.cgi?instance_id=SWDB_monthly
MISR -555nm	Global, land&ocean	2000-2010	0.5°×0.5°, Monthly	http://gdata1.sci.gsfc.nasa.gov/daac-bin/G3/gui.cgi?instance_id=MISR_Monthly_L3
MODIS-terra -550nm	Global, land&ocean	2000-2010	1°×1°, Monthly	http://gdata1.sci.gsfc.nasa.gov/daac-bin/G3/gui.cgi?instance_id=MODIS_MONTHLY_L3
MODIS-aqua -550nm	Global, land&ocean	2003-2010	1°×1°, Monthly	
AERONET	Worldwide 693 sites with 11 long-term sites	1992-2010	Monthly	http://aeronet.gsfc.nasa.gov/
Clear-sky SWR				
CERES	Global, land&ocean	2000-2010	1°×1°, Monthly	http://ceres.larc.nasa.gov/
SURFRAD	5 sites in the U.S.	1995-2010	Monthly	Gan et al.(2014)

* Suspiciously high AOD values from AVHRR retrievals in 2001-2002 were not included in this study.

Table 2 Trends in regional AOD from satellite retrievals and WRF-CMAQ model

Period	Dataset	Land regions				Ocean regions				
		ECH	EUS	EUR	SHR	NPA	NAT	CAT	NIN	
1990s	AVHRR (1990-2000)		-0.002	-0.006	-0.01	-0.028	-0.002	-0.004	-0.011	-0.018
		%	-0.62	-1.83	-3.37	-3.68	-0.56	-2.41	-2.80	-3.20
		<i>p</i>	-	-	<0.2	<0.1	-	-	-	-
	TOMS (1990-2001)		-0.002	-0.001	0	-0.009	-0.001	-0.001	-0.006	-0.012
		%	-1.68	-1.30	0.53	-2.35	-0.51	-1.07	-1.42	-3.58
		<i>p</i>	-	-	-	<0.2	-	-	-	<0.2
	WRF-CMAQ (1990-2000)		0.002	-0.004	-0.007	-0.009	-0.003	-0.004	-0.005	-0.003
		%	0.96	-2.19	-3.52	-2.99	-2.08	-2.53	-1.94	-0.92
		<i>p</i>	<0.05	<0.05	<0.05	<0.1	<0.05	<0.05	<0.1	-
2000s	AVHRR (2000-2009)		0.001	-0.005	-0.005	0.015	-0.005	-0.007	-0.001	0.014
		%	0.358	-1.717	-1.996	2.325	-6.544	-7.489	-0.505	2.81
		<i>p</i>	-	<0.2	<0.2	-	<0.05	<0.05	-	<0.1
	MODIS-Terra (2000-2010)		0.001	-0.008	-0.007	0	0.001	0	0.002	0.007
		%	0.26	-4.41	-3.84	-0.12	0.31	0.20	0.63	1.08
		<i>p</i>	-	<0.05	<0.05	-	-	-	-	-
	MODIS-Aqua (2003-2010)		0	-0.007	-0.005	0.002	0.001	-0.001	0	0.008
		%	-0.11	-4.09	-2.64	0.47	0.37	-0.58	-0.04	1.04
		<i>p</i>	-	<0.1	<0.2	-	-	-	-	-
	SeaWiFS (1998-2010)		0.004	-0.003	-0.002	0.005	0.001	0.001	-0.006	0.014
		%	1.65	-1.47	-1.00	1.12	1.70	0.58	-1.60	2.71
		<i>p</i>	<0.1	<0.05	<0.2	<0.05	<0.05	-	<0.05	<0.05
MISR (2000-2010)		0.002	-0.003	-0.003	0.005	0.002	-0.001	-0.001	0.006	
	%	0.86	-1.81	-1.97	1.16	1.26	-0.38	-0.15	1.08	
	<i>p</i>	-	<0.2	<0.05	<0.2	<0.2	-	-	-	
WRF-CMAQ (2000-2010)		0.014	-0.004	-0.003	0.001	0.003	-0.001	0	-0.003	
	%	5.34	-2.66	-2.07	0.33	2.11	-0.67	-0.02	-0.73	
	<i>p</i>	<0.05	<0.05	<0.05		<0.05	<0.1			

P-values: the probabilities that the trends are not statistically significant. No values when P>0.2.

Simulation results are all from WRF-CMAQ with feedback; Simulated AOD is reported at local 11:00am for each grid cell;

Table 3 Trends in regional SWR from satellite retrievals and WRF-CMAQ model

Period	Dataset	Land regions					Ocean regions			
		ECH	EUS	EUR	SHR	NPA	NAT	CAT	NIN	
(a) Clear-sky upwelling SWR at TOA ($W m^{-2}$)										
1990s	WRF-CMAQ	0.033	<i>-0.082</i>	<i>-0.194</i>	<i>-0.082</i>	<i>-0.092</i>	<i>-0.136</i>	<i>-0.145</i>	<i>-0.113</i>	
	(1990-2000) %	0.05	<i>-0.11</i>	<i>-0.27</i>	<i>-0.09</i>	<i>-0.17</i>	<i>-0.25</i>	<i>-0.26</i>	<i>-0.18</i>	
2000s	CERES-EBAF	0.103	<i>-0.099</i>	<i>-0.116</i>	0.015	0.057	0.023	<i>-0.047</i>	0.016	
	(2000-2010) %	0.14	<i>-0.14</i>	<i>-0.17</i>	0.01	0.13	0.05	<i>-0.10</i>	0.03	
	WRF-CMAQ	0.301	<i>-0.095</i>	<i>-0.106</i>	<i>-0.050</i>	0.107	<i>-0.039</i>	<i>-0.040</i>	<i>-0.128</i>	
	(2000-2010) %	0.42	<i>-0.14</i>	<i>-0.15</i>	<i>-0.06</i>	0.20	<i>-0.07</i>	<i>-0.07</i>	<i>-0.20</i>	
(b) Clear-sky downwelling SWR at surface ($W m^{-2}$)										
1990s	WRF-CMAQ	<i>-0.124</i>	0.182	0.414	0.411	0.129	0.17	0.263	0.238	
	(1990-2000) %	<i>-0.04</i>	0.06	0.13	0.13	0.04	0.05	0.08	0.08	
2000s	CERES-EBAF	<i>-0.653</i>	0.809	0.614	0.268	<i>-0.344</i>	<i>-0.081</i>	0.096	<i>-0.010</i>	
	(2000-2010) %	<i>-0.21</i>	0.25	0.20	0.09	<i>-0.10</i>	<i>-0.02</i>	0.03	0.00	
	WRF-CMAQ	<i>-0.56</i>	0.097	0.141	<i>-0.256</i>	<i>-0.106</i>	0.019	<i>-0.101</i>	0.071	
	(2000-2010) %	<i>-0.17</i>	0.03	0.04	<i>-0.08</i>	<i>-0.03</i>	0.01	<i>-0.03</i>	0.02	

* Formatted entries are significant at $p=0.05$ level: *italic*=significant decrease; **bold**=significant increase.

Simulation results are all from WRF-CMAQ with feedback; simulated SWR is monthly 24 hour average

Table 4 Estimates of 24hour-mean JJA-averaged aerosol direct radiative effect (DRE) and its trends

Period	Dataset		Land regions				Ocean regions			
			ECH	EUS	EUR	SHR	NPA	NAT	CAT	NIN
(a) at TOA										
1990s	DRE	W m ⁻²	-4.9	-4.7	-6.6	-3.0	-5.1	-6.3	-8.3	-10.8
	Trend		<i>-0.041</i>	0.085	0.196	0.061	0.094	0.131	0.14	0.114
		%		<i>-0.83</i>	1.76	2.91	1.98	1.81	2.02	1.66
2000s	DRE	W m ⁻²	-6.5	-3.8	-5.3	-3.1	-5.4	-5.8	-8.1	-11.1
	Trend		<i>-0.3</i>	0.084	0.096	-0.006	<i>-0.102</i>	0.034	0.028	0.114
		%		<i>-4.82</i>	2.21	1.82	-0.12	<i>-1.94</i>	0.60	0.32
Yu et al (2006)	DRE	W m ⁻²	-5.0~	-5.9~	-5.8~	-4.9~	-5.7~	-4.4~	-5.7~	-8.5~
			-9.4	-11.1	-6.3	-9.0	-12.7	-8.7	-12.8	-17.5
(b) at surface										
1990s	DRE	W m ⁻²	-9.9	-9.4	-13.1	-17.7	-7.3	-9.5	-14.6	-18.9
	Trend		-0.07	0.161	0.4	0.483	0.147	0.213	0.292	0.231
		%		-0.70	1.62	2.99	2.64	1.97	2.16	1.96
2000s	DRE	W m ⁻²	-13.0	-7.7	-10.6	-18.8	-7.8	-8.7	-14.7	-18.8
	Trend		<i>-0.558</i>	0.157	0.193	-0.037	<i>-0.149</i>	0.052	0.004	0.186
		%		<i>-4.46</i>	2.02	1.84	-0.08	<i>-1.97</i>	0.61	0.00

* Formatted entries are significant at p=0.05 level: *italic*=significant decrease; **bold**=significant increase.

The regions defined in Yu et al (2006) are slightly different as this study, with ECH compared to land in zones 4&8 of Yu et al. (2006), EUS to land in zone 2, EUR to land in zone 3, SHR to land in zone 7, NPA to ocean in zones 1 & 4, NAT to ocean in zone 2, CAT to ocean in zone 6, and NIN to ocean in zone 7.

Table 5 Estimates of summertime aerosol direct radiative efficiency (E_{τ} , $\text{Wm}^{-2} \tau^{-1}$) averaged for 2000-2010

		Land regions				Ocean regions			
		ECH	EUS	EUR	SHR	NPA	NAT	CAT	NIN
AOD	Obs.	0.34	0.19	0.17	0.45	0.14	0.14	0.36	0.56
	Sim. (at noon)	0.27	0.14	0.15	0.30	0.14	0.15	0.27	0.36
	Sim. (24h-mean)	0.14	0.08	0.09	0.16	0.08	0.09	0.14	0.19
(a) at TOA									
$E_{\tau\text{-yu}}$	Obs.	-9~-33	-24~-37	-11~-34	-	-18~-52	-30~-60	-18~-52	-23~-45
$E_{\tau\text{-yu}}$	Sim.	-19~-27	-21~-37	-13~-26	-	-25~-42	-27~-42	-16~-41	-14~-37
E_{τ}	Sim.	-45.4	-49.6	-57.2	-19.3	-66.9	-65.3	-56.7	-57.0
E_{τ}^*	Sim.	-42.0	-48.8	-55.4	-9.8	-60.9	-59.2	-52.1	-59.5
$E_{\tau 2}^*$	Obs.	-7.65	-8.72	-15.42	-3.28	-22.92	-19.45	-8.55	-7.26
		R(0.61)	R(0.37)	R(0.59)	R(0.36)	R(0.70)	R(0.75)	R(0.69)	R(0.63)
	Sim.-feedback	-22.21	-25.17	-32.46	-6.25	-36.15	-33.23	-25.96	-27.63
		R(0.99)	R(0.90)	R(0.93)	R(0.77)	R(0.96)	R(0.91)	R(0.98)	R(0.96)
	Sim.-no feedback	-0.03	-2.73	-4.84	-0.02	-0.80	-0.56	-0.96	-0.45
		R(0.01)	R(0.28)	R(0.36)	R(0.00)	R(0.12)	R(0.05)	R(0.48)	R(0.18)
(b) at surface									
$E_{\tau\text{-yu}}$	Obs.	-51~-82	-65~-84	-57~-98	-	-61~-90	-67~-90	-65~-78	-58~-86
$E_{\tau\text{-yu}}$	Sim.	-40~-54	-38~-66	-36~-68	-	-45~-61	-42~-76	-27~-68	-34~-77
E_{τ}	Sim.	-90.8	-101.5	-114.3	-116.1	-96.9	-98.7	-103.1	-96.8
E_{τ}^*	Sim.	-78.2	-44.6	-88.2	-106.3	-68.8	-59.2	-79.8	-79.6
$E_{\tau 2}^*$	Obs.	-47.59	-79.45	-69.56	-22.60	-50.25	-41.65	-19.00	-13.36
		R(0.76)	R(0.68)	R(0.66)	R(0.40)	R(0.65)	R(0.56)	R(0.63)	R(0.67)
	Sim.-feedback	-41.41	-32.09	-66.41	-56.00	-47.79	-60.71	-50.39	-51.61
		R(0.96)	R(0.54)	R(0.67)	R(0.96)	R(0.68)	R(0.49)	R(0.95)	R(0.97)
	Sim.-no feedback	-0.16	14.97	3.31	0.04	6.68	-7.05	8.44	2.90
		R(-0.01)	R(0.26)	R(0.05)	R(0.00)	R(0.12)	R(-0.07)	R(0.44)	R(0.16)

AOD is averaged for 2000-2010;

E_{τ} is calculated by using DRE divided by AOD;

E_{τ}^* is the slope of DRE against AOD;

$E_{\tau 2}^*$ is calculated by deseasonalized SWR and AOD, observed SWR is from CERES, observed AOD is the weighted average of 4 EOS satellites retrievals by available number of grids for calculation, see Fig. 3; correlation coefficients (R) between SWR and AOD are calculated and shown in brackets for obs., sim.-feedback and -no feedback case;

$E_{\tau\text{-yu}}$ is the data reported in Yu et al (2006) which summarized both measurement-based and GCM estimations;

The regions defined in Yu et al (2006) are slightly different as this study, with ECH compared to land in zones 4&8 of Yu et al. (2006), EUS to land in zone 2, EUR to land in zone 3, SHR to land in zone 7, NPA to ocean in zones 1 & 4, NAT to ocean in zone 2, CAT to ocean in zone 6, and NIN to ocean in zone 7.

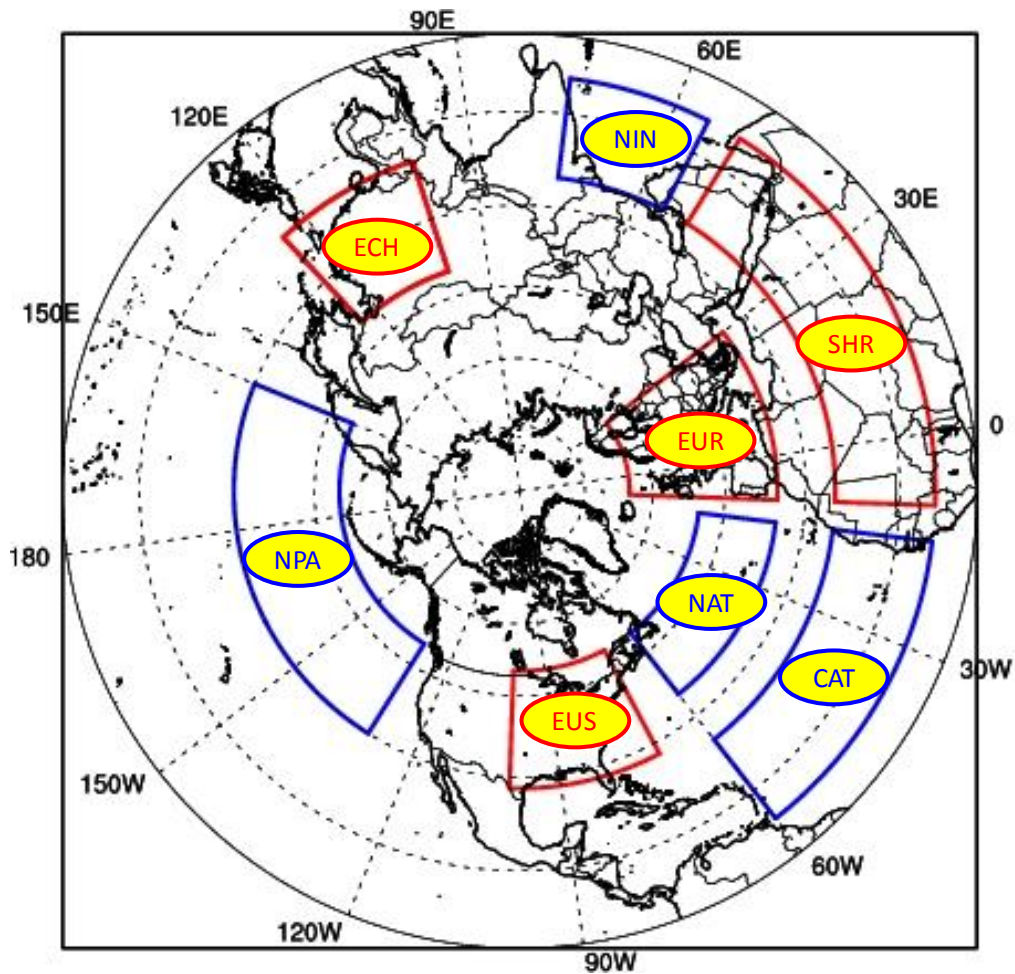


Fig. 1 Simulation domain and targeted regions

*Land regions: Eastern China (**ECH**, 20N-40N, 100E-125E), Eastern US (**EUS**, 28N-50N, 100W-70W), Europe (**EUR**, 35N-65N, 10W-30E) and Sahara Desert (**SHR**, 10N-25N, 10W-50E);

Ocean regions: North Pacific (**NPA**, 30N-50N, 150E-130W), North Atlantic (**NAT**, 35N-50N, 60W-15W); Central Atlantic (**CAT**, 10N-25N, 60W-15W); North Indian Ocean (**NIN**, 10N-25N, 55E-75E).

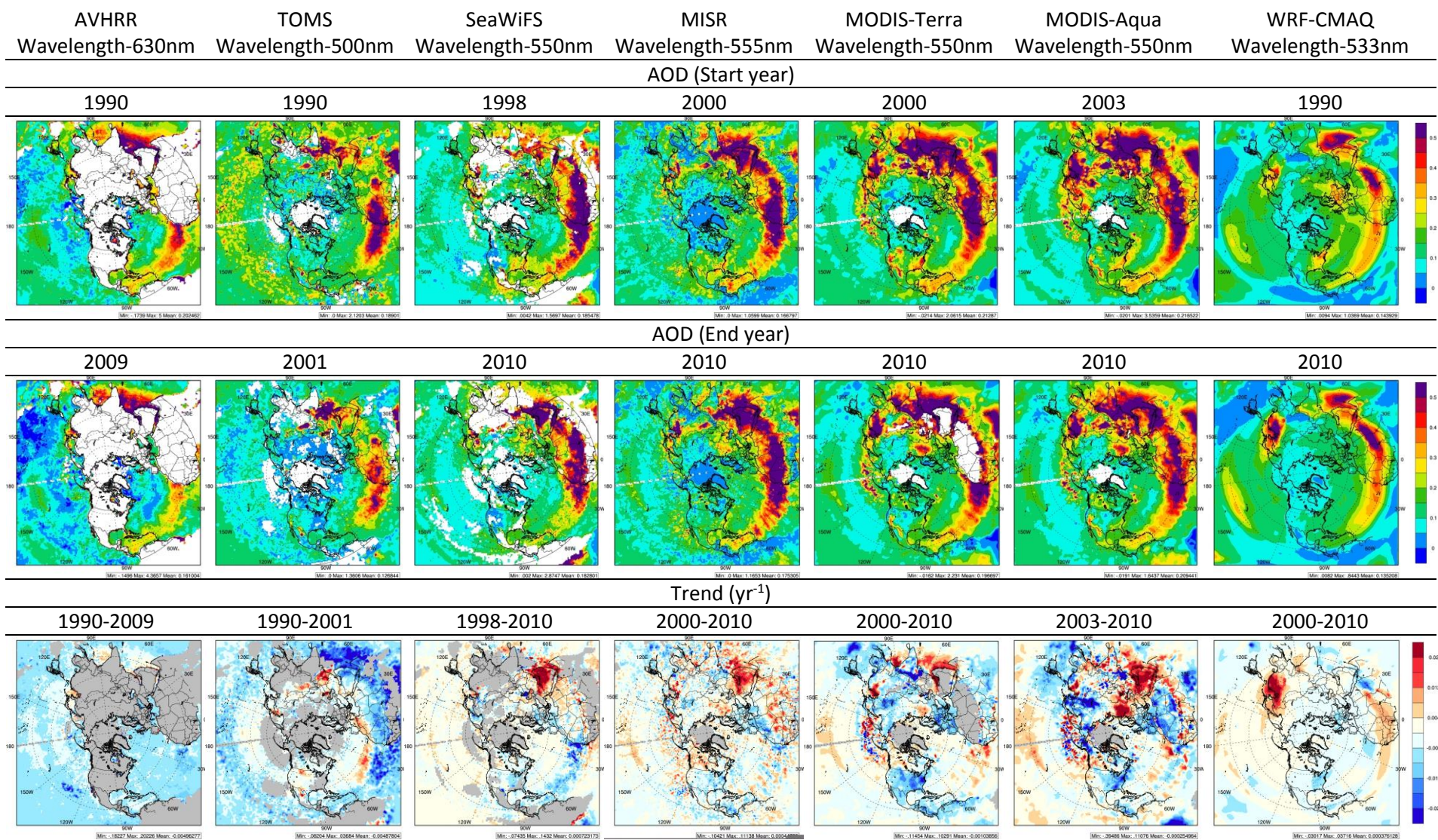


Fig. 2 Spatial distribution of summertime AOD and its trend from satellite retrievals and WRF-CMAQ model

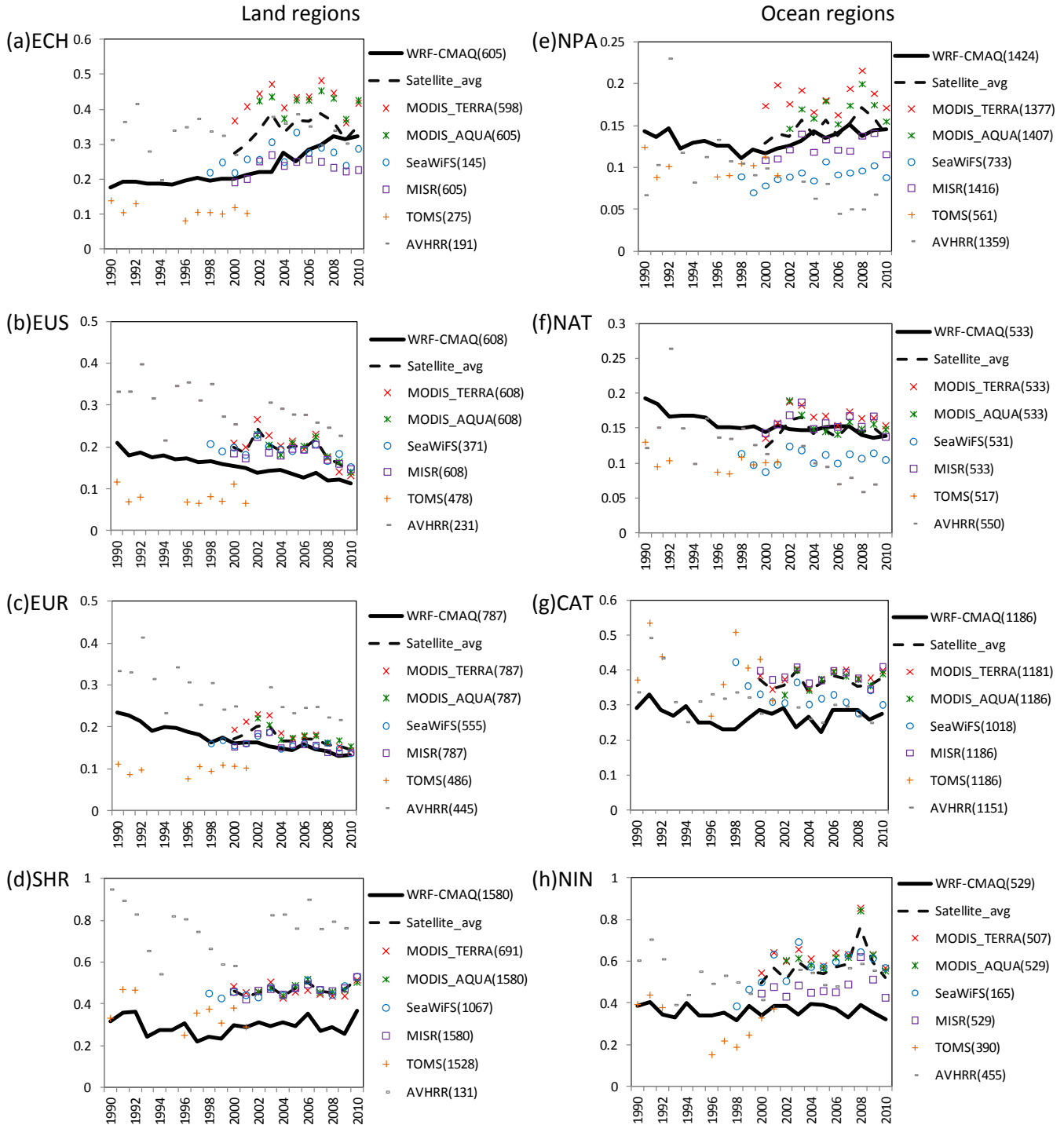
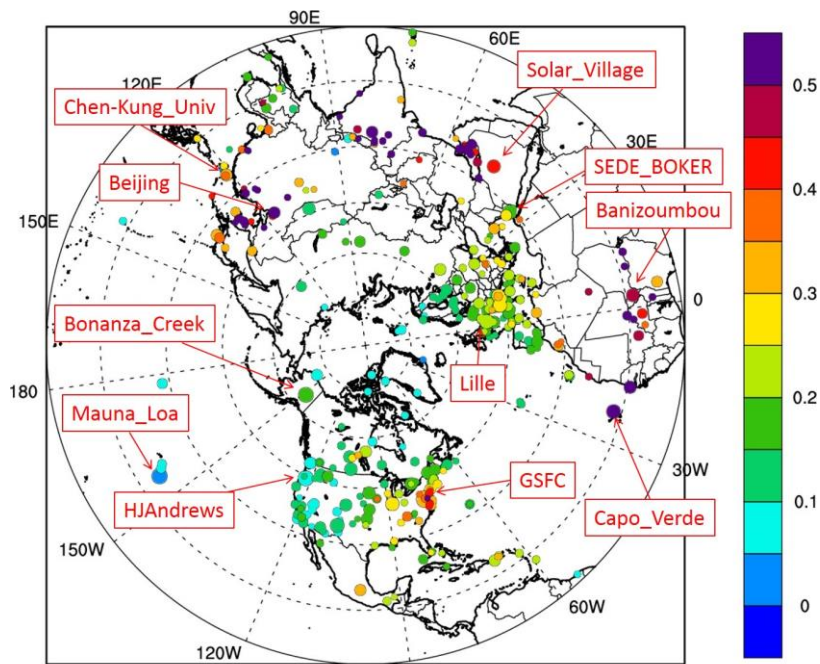


Fig. 3 Temporal series of regional JJA-averaged AOD from satellite retrievals and WRF-CMAQ. Number of grids (> 80% coverage) involved in calculation is shown in the bracket; only 4 EOS satellite-retrieved AODs, i.e., MISR, SeaWiFS, MODIS-terra and –aqua, were averaged into “Satellite-avg”

(a) AERONET



(b) WRF-CMAQ

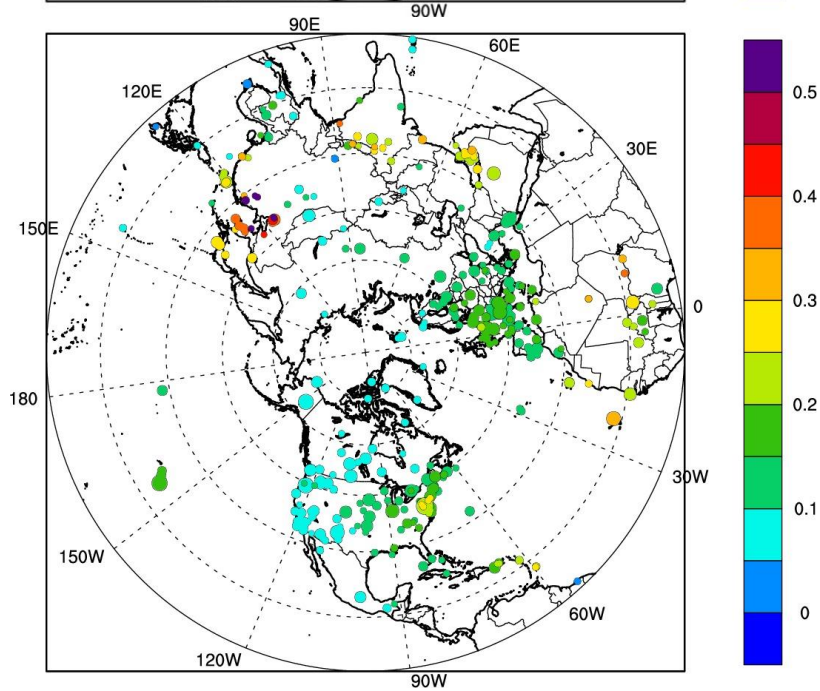


Fig. 4 Comparison of spatial distributions of summer AOD between AERONET and WRF-CMAQ (dot size indicates the extent of data coverage for the period 1990-2010; all converted into 533nm; sites marked by red boxes are selected for trend analysis)

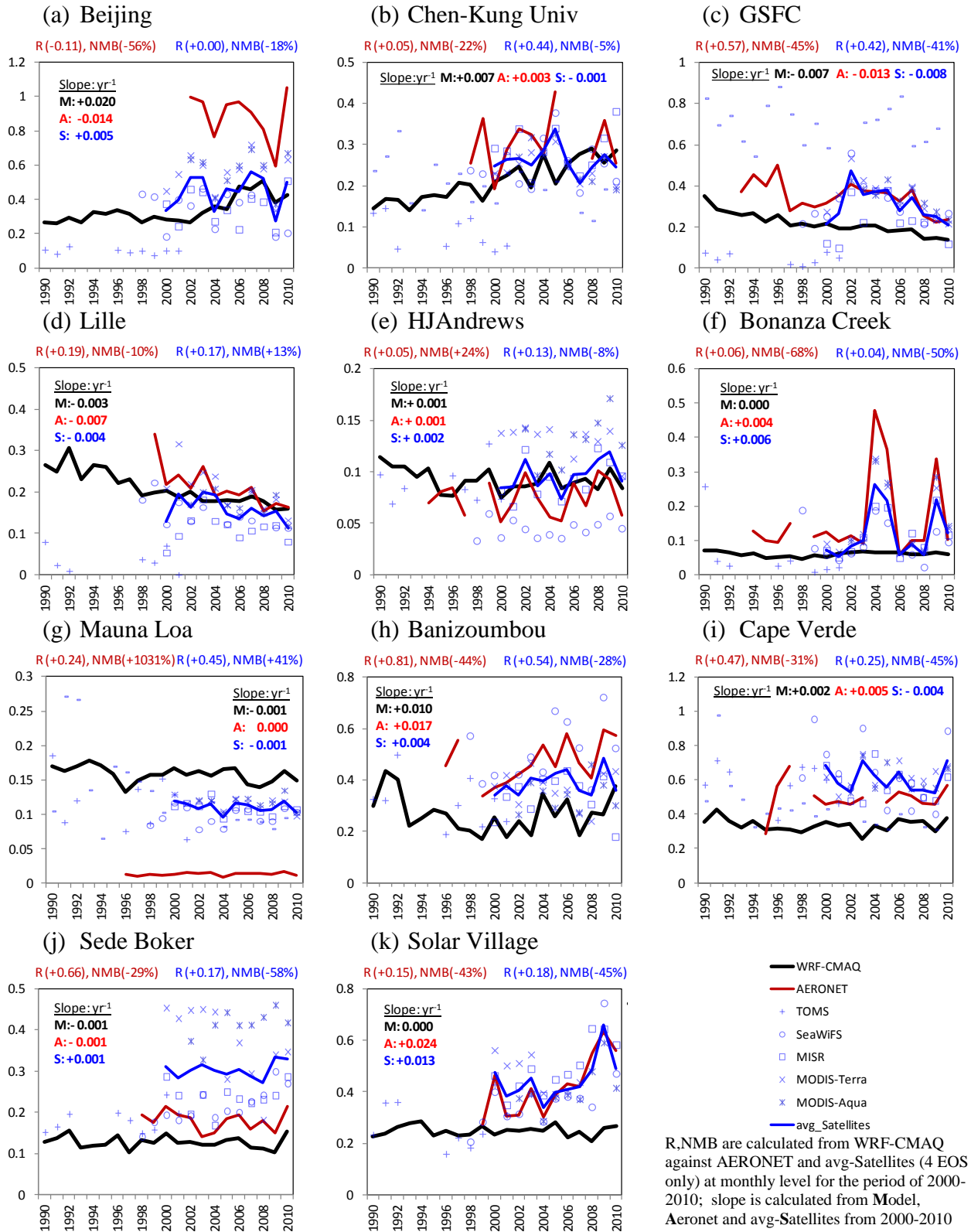
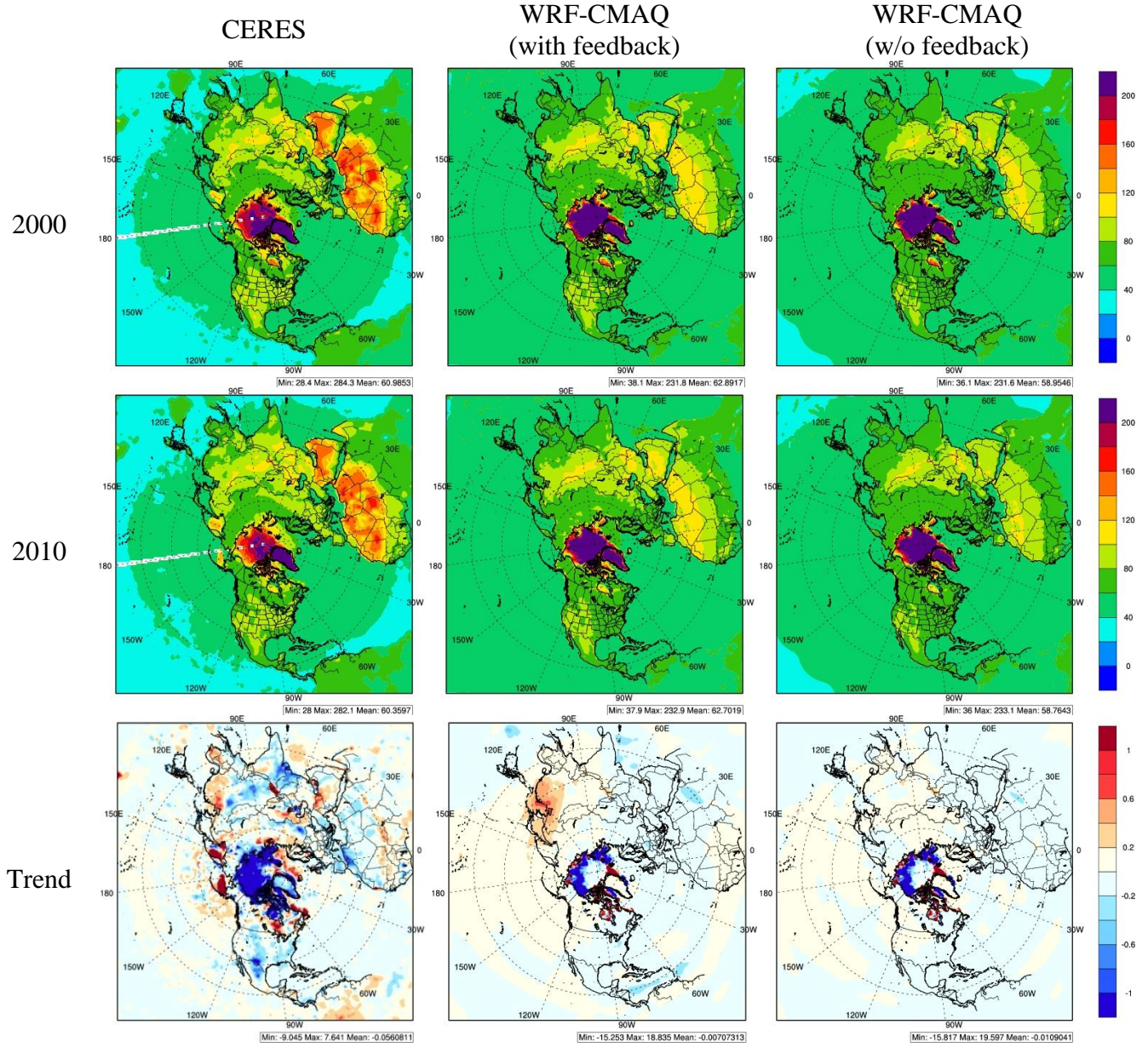


Fig. 5 Comparison of JJA-averaged AOD of selected AERONET sites



(a)

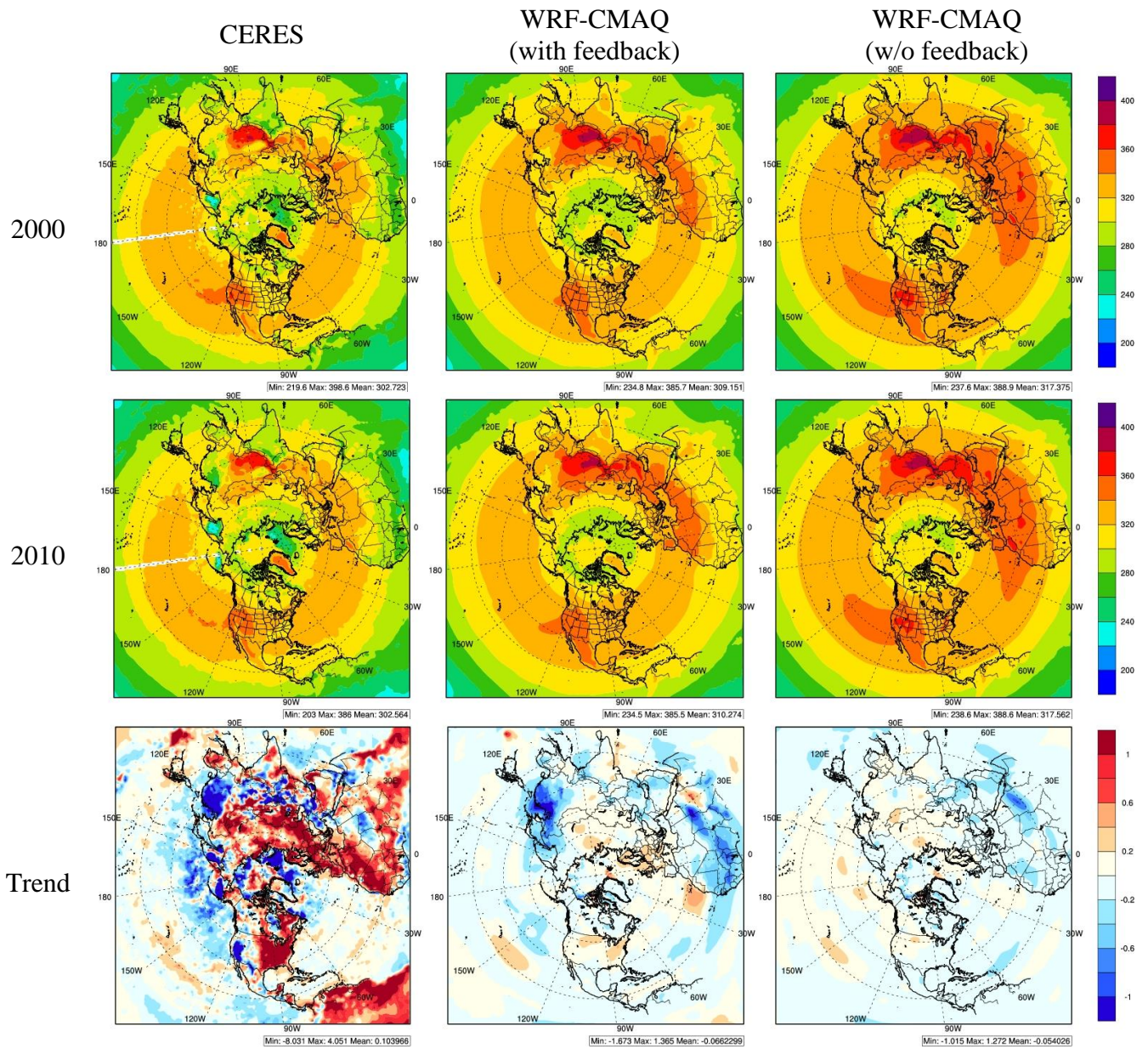


Fig. 6 Spatial distribution of summertime clear-sky shortwave radiation (SWR) from CERES satellite and WRF-CMAQ model: (a) at TOA (upwelling), (b) at surface (downwelling)

(unit: $W m^{-2}$, trends are computed on the basis of JJA-average over the 2000-2010 period with a linear least square fit method)

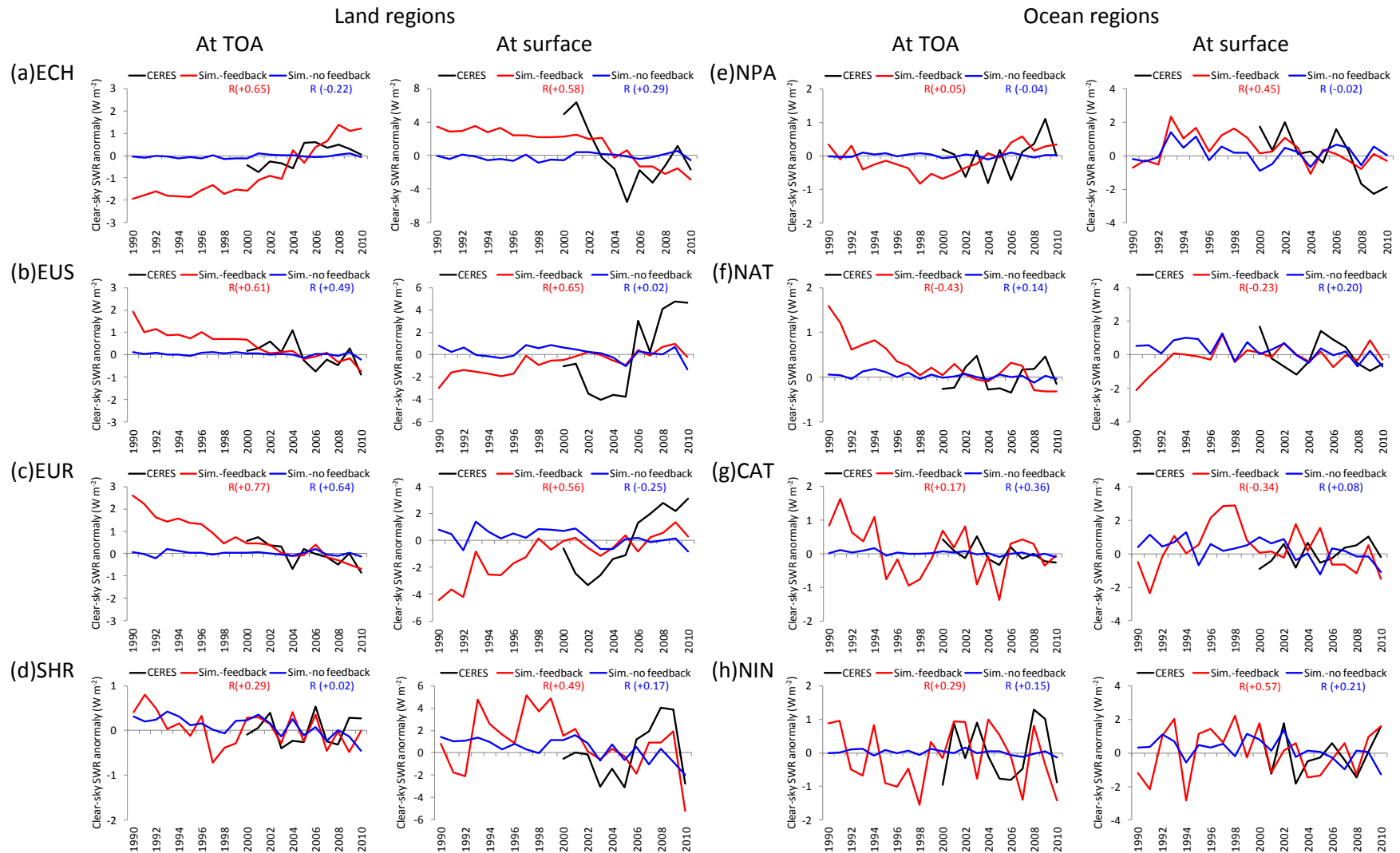
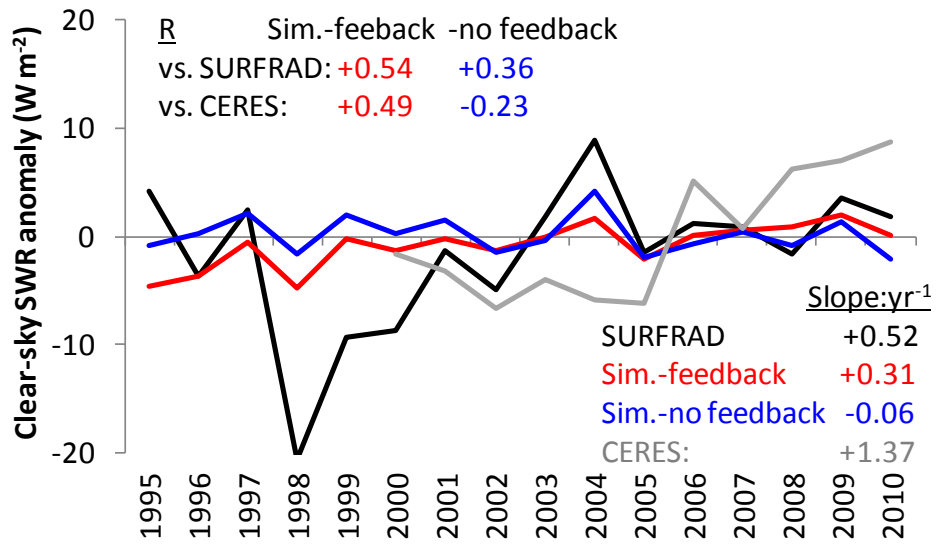
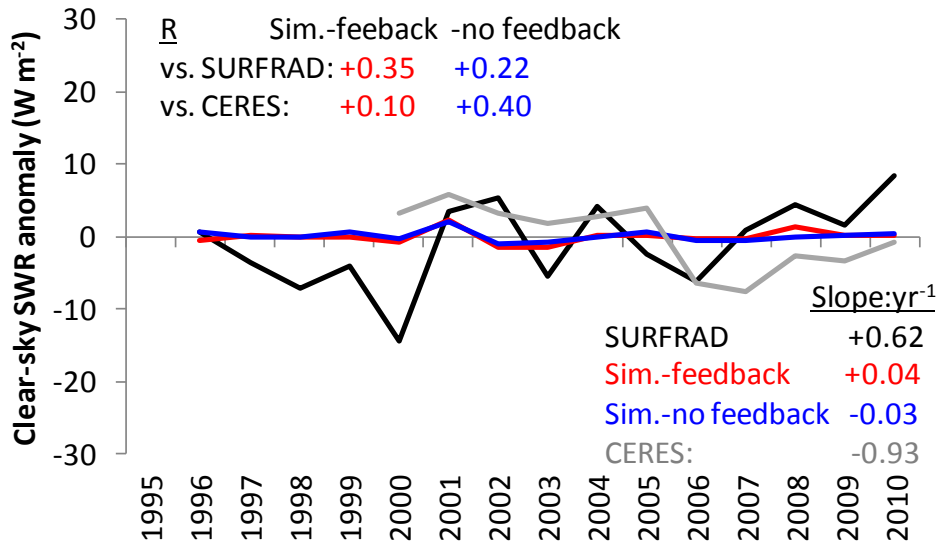


Fig. 7 Observed and simulated time-series of summertime clear-sky SWR at TOA and surface (regional JJA-average, anomaly to the average of 2000-2010; R is calculated from Sim.-feedback and Sim.-no feedback against with CERES)



(a)



(b)

Fig. 8 Comparison of SURFRAD/Ceres observed and WRF-CMAQ clear-sky SWR at the surface: (a) the eastern U.S. (b) the western U.S. (site-averaged, anomaly to the average of 1995-2010)

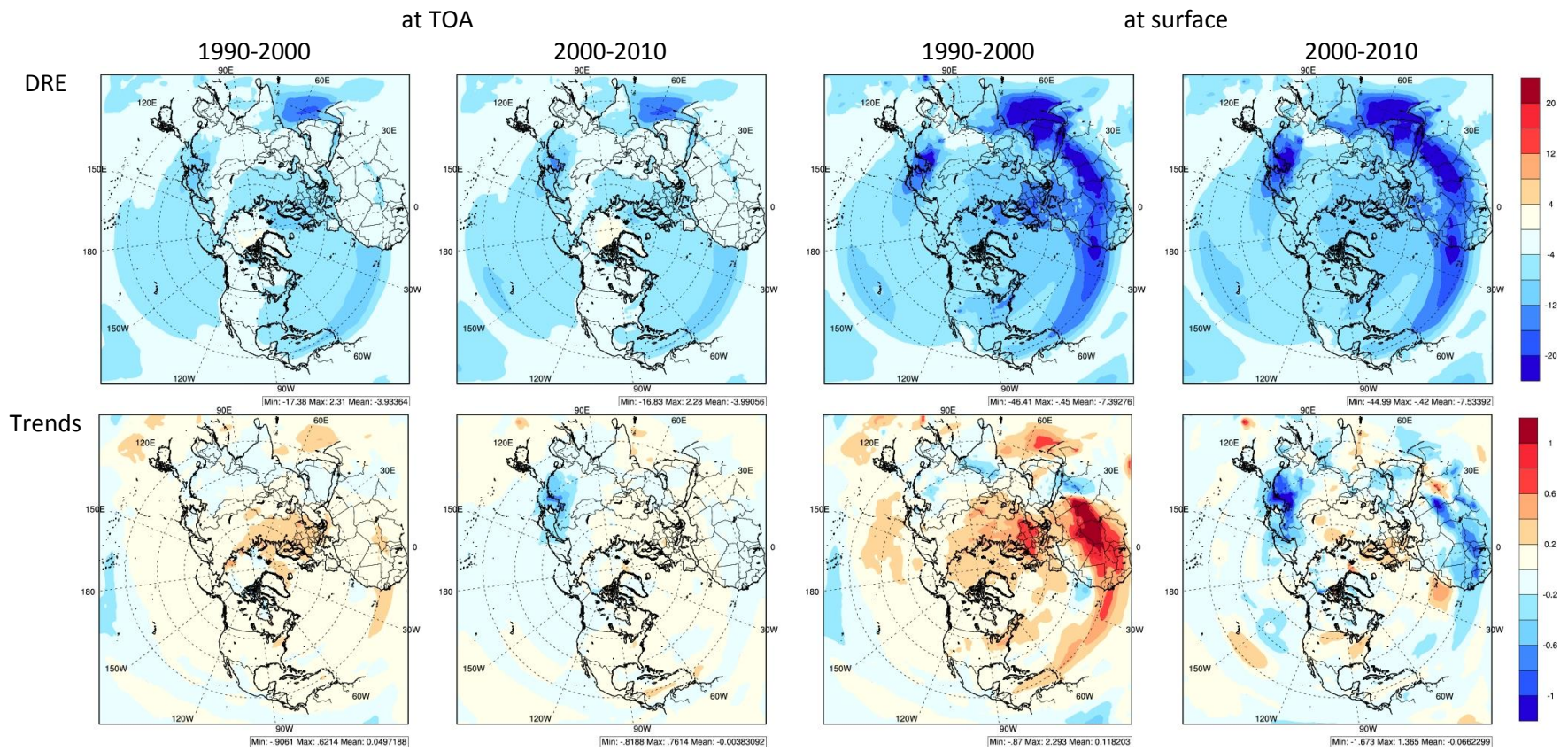


Fig. 9 spatial distributions of simulated DRE and its trends (W m^{-2})

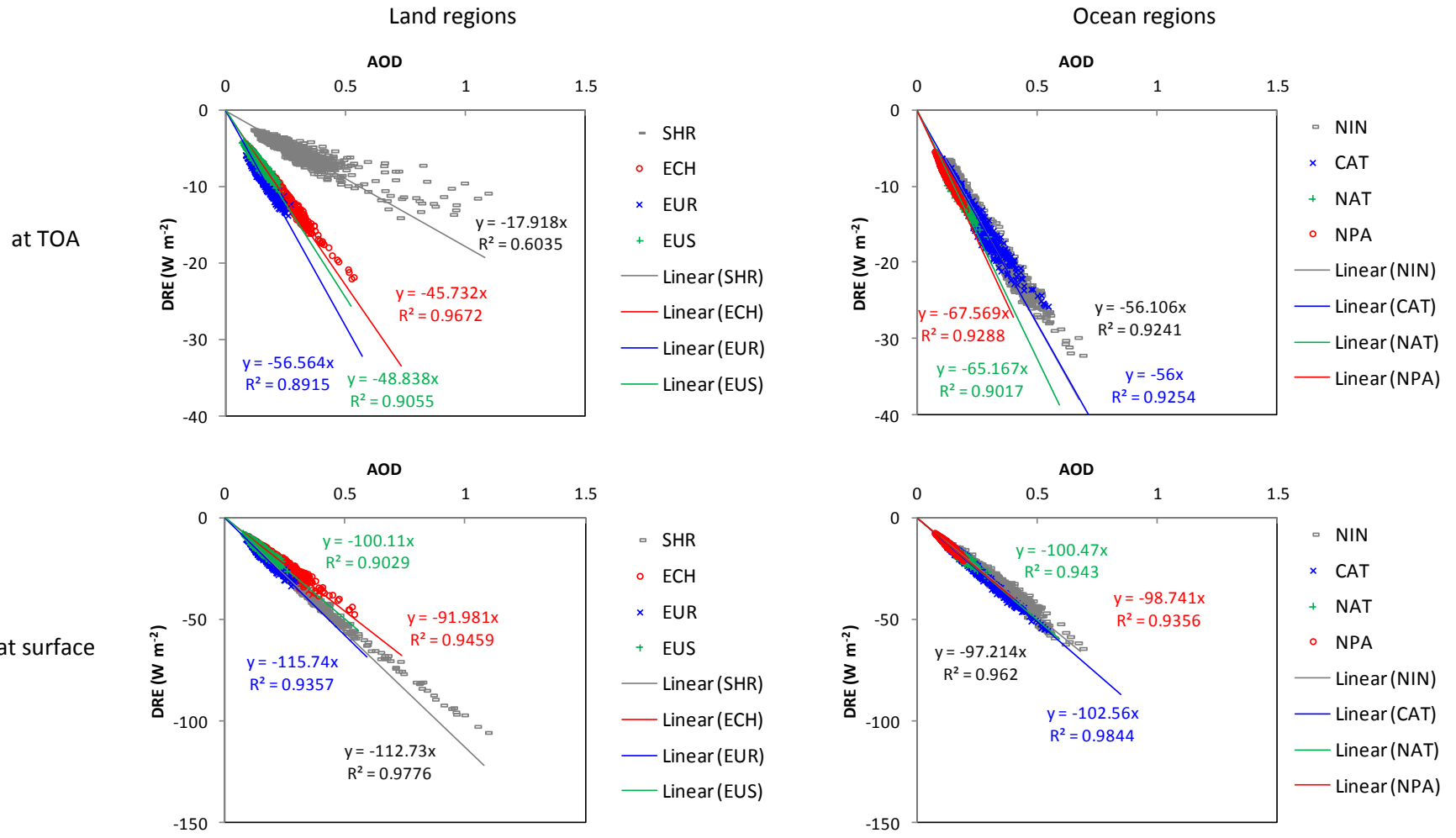


Fig. 10 Daily daytime clear-sky DRE against AOD (local time 6:00-20:00 averaged regionally and temporally over 1990-2010)

