

1 Deposition and Immersion Mode Nucleation of Ice by Three 2 Distinct Samples of Volcanic Ash

3
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11 12 **Abstract**

13 Ice nucleation on volcanic ash controls both ash aggregation and cloud glaciation, which affect
14 atmospheric transport and global climate. Previously, it has been suggested that there is one
15 characteristic ice nucleation efficiency for all volcanic ash, regardless of its composition, when
16 accounting for surface area; however, this claim is derived from data from only two volcanic
17 eruptions. In this work, we have studied the depositional and immersion freezing efficiency of
18 three distinct samples of volcanic ash using Raman Microscopy coupled to an environmental cell.
19 Ash from the Fuego (basaltic ash, Guatemala), Soufrière Hills (andesitic ash, Montserrat), and
20 Taupo (Oruanui eruption, rhyolitic ash, New Zealand) volcanoes were chosen to represent different
21 geographical locations and silica content. All ash samples were quantitatively analyzed for both
22 percent crystallinity and mineralogy using X-ray diffraction. In the present study, we find that all
23 three samples of volcanic ash are excellent depositional ice nuclei, nucleating ice from 225-235 K

24 at ice saturation ratios of 1.05 ± 0.01 , comparable to the mineral dust proxy kaolinite. Since
25 depositional ice nucleation will be more important at colder temperatures, fine volcanic ash may
26 represent a global source of cold-cloud ice nuclei. For immersion freezing relevant to mixed-phase
27 clouds, however, only the Oruanui ash exhibited heterogeneous ice nucleation activity. Similar to
28 recent studies on mineral dust, we suggest that the mineralogy of volcanic ash may dictate its ice
29 nucleation activity in the immersion mode.

30 1 INTRODUCTION

31 It is estimated that approximately 9% of the world's population lives within 100 km of a
32 historically active volcano (Small and Naumann, 2001) and at any moment at least 20 volcanoes
33 around the globe may be erupting (Durant et al., 2010). In these areas, both gaseous and particulate
34 volcanic emissions can affect both human respiratory health (Horwell and Baxter, 2006) and local
35 environments (Witham et al., 2005). Further, explosive volcanic eruptions can greatly influence
36 global climate, even for years after the initial eruption (Durant et al., 2010). For example, the
37 eruption of Mt. Pinatubo in 1991 injected large amounts of gaseous sulfur species into the
38 stratosphere, which perturbed the climate system for 2-3 years following the eruption (Robock,
39 2004).

40 In addition to gaseous emissions, explosive volcanoes generate large amounts of fine ash (<
41 63 μm), which is dispersed into the atmosphere via plumes above volcanic vents and pyroclastic
42 flows. The global annual flux of fine volcanic ash into the atmosphere is approximately 200 Tg yr^{-1}
43 ¹, based on a 1000-yr average. While this flux is smaller than the terrestrial dust burden of
44 approximately 1000 to 4000 Tg yr^{-1} (Huneeus et al., 2011), volcanic eruptions are often sporadic
45 and can eject a large amount of particulate into the atmosphere over a short amount of time.
46 Furthermore, water vapor is abundant in volcanic eruptions, with up to 8% of the pre-eruptive
47 magma by mass (Durant et al., 2008). Thus, volcanic plumes represent prime conditions for cloud
48 glaciation via heterogeneous ice nucleation, yet this phenomenon is vastly understudied
49 considering its influence on plume dynamics, volcanic lightning, sequestration of gaseous species,
50 and the transport of these species to the stratosphere (Brown et al., 2012; McNutt and Williams,
51 2010; Kolb et al., 2010; Van Eaton et al., 2012). Further, fine ash from plumes can stay suspended
52 in the upper troposphere for weeks to months and travel 1000s of kilometers; if these particles are

53 efficient depositional ice nuclei, they could represent a widespread source of cold-cloud ice nuclei
54 not currently parameterized in global models (Hoose et al., 2010).

55 Active volcanoes have long been known to influence ice nuclei (IN) concentrations in the
56 atmosphere (Hobbs et al., 1971;Isono et al., 1959). For example, a study monitoring IN
57 concentrations in Japan found concentrations were enhanced by a factor of 40 over background
58 aerosol following the eruption of a nearby active volcano (Isono et al., 1959). In contrast, other
59 studies have shown that IN concentrations near volcanic plumes were not elevated above typical
60 background concentrations (Langer et al., 1974;Schnell and Delany, 1976). It was suggested,
61 however, that the ash in some of these studies had been deactivated by chemical processing via
62 gases in the volcanic cloud.

63 Laboratory studies probing the ice nucleation efficiency of volcanic ash have also shown it can
64 act as a heterogeneous IN (Durant et al., 2008;Hoyle et al., 2011). Unlike field measurements,
65 however, it has been suggested that all volcanic ash may have similar ice nucleation efficacy,
66 initiating ice formation in a relatively narrow temperature range of approximately 250 to 260 K
67 (Durant et al., 2008); however, these works are difficult to interpret quantitatively, especially in
68 cases where the nucleation mode is unclear, frozen fractions are unavailable, or the available
69 surface area has not been quantified. More recently, several studies have investigated the
70 deposition and/or immersion mode ice nucleation properties of ash from the 2010 eruption of the
71 Eyjafjallajökull volcano in Iceland (Steinke et al., 2011;Hoyle et al., 2011;Bingemer et al., 2012).
72 The results of these studies, combined with previous studies on large, 250-300 μm ash particles
73 from the 1980 Mt. St. Helens eruption (Fornea et al., 2009), suggests that there is one characteristic
74 ice nucleation efficiency for all ash, even when accounting for frozen fractions and surface area
75 (Murray et al., 2012). While such behavior would allow for a great simplification in models, these

76 results represent only two volcanoes.. Thus, the question still remains of whether or not all volcanic
77 ash exhibits similar ice nucleation activity regardless of the location, pre-eruptive magma
78 composition, and mineralogy.

79 In this study, we have collected volcanic ash particles from the Fuego (Guatemala),
80 Soufrière Hills (Montserrat), and Taupo (Oruanui eruption, New Zealand) volcanoes, which are
81 basaltic (45-52% SiO₂), andesitic (56-59% SiO₂), and rhyolitic ashes (63-75% SiO₂) (Heiken,
82 1972), respectively. These samples were specifically chosen to represent three separate
83 geographical locations, classifications by silica content, and percent minerals. For each of these
84 ashes, we have probed their depositional ice nucleation and immersion freezing potential. The
85 present experiment used to study depositional ice nucleation has been described previously;
86 however, this paper represents our first measurements of immersion freezing. For the depositional
87 nucleation experiments, the results are compared to previous results using the same system for the
88 clay mineral kaolinite (KGa-1b, Sihvonen et al., 2014), which is generally thought to be an
89 efficient depositional IN (Hoose and Moehler, 2012). For the immersion freezing experiments, the
90 Raman Microscope cold stage was validated using the same, standard kaolinite sample (Murray et
91 al., 2011;Pinti et al., 2012). Using this validated system, we determined the ice nucleation active
92 surface site densities of each volcanic ash sample by utilizing the singular description (Vali, 1994,
93 2008;Vali and Stansbury, 1966). The results and implications of these findings for cloud glaciation
94 in volcanic plumes and the atmosphere are discussed.

95 **2 EXPERIMENTAL**

96 **2.1 Volcanic Ash and Standard Minerals**

97 Volcanic ash was collected from three separate volcanic eruptions that produced three distinct
98 types of ash. Volcan Fuego (14.4828° N, 90.8828° W) is an active stratovolcano that lies 16 km

99 north of Antigua, Guatemala. The sub-Plinian eruption of October 14, 1974 produced ash fall that
100 impacted an area of $\sim 400 \text{ km}^2$, and samples used here were collected by previous researchers
101 immediately after eruption from a location 10 km from the vent. The Soufrière Hills volcano
102 (16.7167° N , 62.1833° W) is an active stratovolcano located in Montserrat, an island in the Lesser
103 Antilles island arc of the West Indies. The ongoing eruption, which began in 1995, produces cyclic
104 dome-building and explosive activity, with samples used here resulting from an explosion in
105 January of 2010; samples were collected immediately after deposition $< 3 \text{ km}$ from the vent.
106 Finally, the Taupo caldera (38.8056° S , 175.9008° E) sits in the center of the North Island of New
107 Zealand. Samples used here were collected from air fall deposits of the Oruanui ultra-Plinian
108 eruption $\sim 26 \text{ ka}$. The samples were excavated 39 km from the vent 25.4 ka after the eruption.

109 Volcanic ash (pyroclasts $< 2 \text{ mm}$) dominantly consists of silica-rich volcanic glass and
110 crystalline minerals. The chemical composition of volcanic ash is mainly determined from its
111 parent magma, although lithic material from the vent may play a role in modifying the composition
112 of the aerosolized ash. Since the main chemical elements of magma are Si and O, magma is often
113 classified by its silica content, which increases in the following order: basaltic (45-52% SiO_2),
114 andesitic (56-59% SiO_2), and rhyolitic (63-75% SiO_2) (Heiken, 1972). It is important to note that
115 silica content of the ash is determined from elemental analyses, most commonly as X-ray
116 fluorescence, and will include Si contributions from the melt glass, minerals, and lithic materials
117 in the ash; this is not to be confused with quartz, which can be one mineral component of the ash
118 composed is pure, crystalline SiO_2 . Each parent magma has a different melting temperature,
119 viscosity, and volatile content (dominantly H_2O); further, the assemblage and composition of
120 minerals often reflect their host melt (Langmann, 2014). The silica content and % crystals, taken
121 from previous whole-rock studies, for each of the volcanoes are shown in Table 1. As shown, the

122 Fuego, Soufrière Hills, and Oruanui whole-rock samples represent a range of magma compositions
123 and contain varying amounts of crystalline material. Chemical differences due to collection
124 distances from the vent or environmental aging were not explicitly taken into account in this study.
125 From these bulk studies, the primary mineral for all three samples was found to be plagioclase, a
126 tetrasilicate material in the feldspar family; however the samples vary in their next abundant
127 mineral. For the Fuego, Soufrière Hills, and Oruanui samples, the second-most abundant mineral
128 is olivine, amphibole, and quartz, respectively.

129 A low-defect kaolinite from Washington County, GA, USA (KGa-1b) was obtained from the
130 Source Clays Repository of the Clay Mineral Society (West Lafayette, IN, USA). KGa-1b was
131 chosen because it has been previously been studied in the ice nucleation literature in both the
132 deposition and immersion mode (Hoose and Moehler, 2012; Murray et al., 2012). Soda feldspar
133 [Standard Reference Material (SRM) 99b], a standard Na/Ca-feldspar, was obtained from the
134 National Institute of Standards and Technology (NIST) as a homogenous, fine powder (< 60 µm).

135 **2.2 Raman Microscope and Environmental Cell**

136 The Raman microscope has been described previously in detail (Baustian et al., 2010; Schill
137 and Tolbert, 2013). Briefly, a Nicolet Almega XR Raman spectrometer has been coupled to a
138 research grade Olympus BX-51 microscope with 10x, 20x, 50x, and 100x magnification
139 objectives. This Raman microscope has been outfitted with a Linkam THMS600 environmental
140 cell. The temperature of a cold stage inside the cell is controlled by a Linkam TMS94 automated
141 temperature controller with an accuracy of 0.1 K. Water partial pressure inside the cell is controlled
142 by mixing dry and humidified flows of N₂ and measured using a Buck Research CR-A1 dew point
143 hygrometer in line with the cell. The accuracy of the dew point hygrometer is 0.15 K. The relative
144 humidity (RH) and ice saturation ratio ($S_{\text{ice}} = P_{\text{H}_2\text{O}}/VP_{\text{ice}}$) inside the cell are determined by ratioing

145 the partial pressure of water to the equilibrium vapor pressure of water and ice, respectively
146 (Murphy and Koop, 2005). A Gast diaphragm pump at the exit of the hygrometer ensures that the
147 gas flow through the cell and hygrometer is 1 L min^{-1} .

148 Stokes-mode Raman spectra were obtained using a 532 nm frequency-doubled Nd:YAG as the
149 excitation laser. Spectra were taken from 200 to 4000 cm^{-1} with a typical resolution of $2\text{-}4 \text{ cm}^{-1}$.
150 Spectra were taken at the center of each particle and typically consisted of 256 co-added scans and
151 were taken with 50x and 100x long-range objectives, which focus the laser to a spot size of
152 approximately 1.3 and $1.1 \text{ }\mu\text{m}$, respectively (Everall, 2010). .

153 **2.3 Depositional Freezing**

154 For depositional freezing experiments, approximately 100 mg of ash was ground in a porcelain
155 mortar and pestle. To the ground ash, 8.0 mL of ultra-pure water was added and the slurry was
156 immediately aspirated into a Meinhard TR-50 glass concentric nebulizer. Nebulized droplets were
157 directed at a fused-silica disc and allowed to coagulate into supermicron droplets. The sample disc
158 was then transferred into the environmental cell and exposed to a low humidity environment. This
159 caused water evaporation, resulting in aggregated ash particles ranging from 1 to $20 \text{ }\mu\text{m}$ in lateral
160 diameter. Similar composite or aggregate volcanic ash samples are often found in the atmosphere,
161 and are produced by a similar mechanism (Brown et al., 2012). To assure that minimal chemical
162 alteration occurred from grinding and nebulizing the ash samples, Raman spectra of unground,
163 ground, and ground/nebulized and dried ash were obtained. An example set of these spectra for
164 Soufrière Hills ash is shown in Fig. 1. It can be seen that the main ash signatures at 507 cm^{-1} , 408
165 cm^{-1} , and 281 cm^{-1} in the Raman spectra are not significantly altered between the unground,
166 ground, and aggregated particles, indicating that any major chemical alteration due to ash
167 processing was not detected for these samples. A small peak at 663 cm^{-1} , however, does appear in

168 the ground and ground/nebulized and dried ash; we attribute this peak to better homogeneity of
169 minor components within the ground samples as compared to the unground samples.

170 Depositional nucleation experiments were conducted from 225-235 K. Experiments consisted
171 of increasing ice supersaturation over the sample by holding a constant vapor pressure of water
172 and lowering the temperature until the first ice event was noted. Specifically, after the particles
173 were allowed to sit at 298 K and ~0% RH for at least 10 minutes, the temperature was decreased
174 at a rate of 10 K min⁻¹, until $S_{ice} \sim 0.9$. The temperature was then decreased at a rate of 0.1 K min⁻¹,
175 which corresponds to an S_{ice} ramp rate of 0.01 min⁻¹, until the first ice event was noted. Initial
176 observation of ice was monitored by scanning the entire disc using the 10x objective. After the
177 first ice particle was detected, the 50x objective was utilized to verify the existence of ice both
178 visually (Fig. 2a) and spectrally. Finally, the ice was sublimed by turning off the flow of the
179 humidified nitrogen to ensure that ice had formed on an ash particle instead of the fused silica disc
180 (Fig. 2b). We have previously reported the conditions under which a blank fused-silica disc
181 initiates ice formation (Baustian et al., 2010). In that study, we found that the blank substrate
182 nucleated ice at S_{ice} of 1.6 to 2.33 from ~235 to 215 K.

183 **2.4 Immersion Freezing Experiments**

184 For immersion freezing experiments, it was important to ensure that the concentration of
185 volcanic ash or standard mineral in each drop was the same. Grinding with a mortar and pestle
186 produced samples too coarse to meet these requirements. Thus, for immersion freezing
187 experiments, a Wig-L-Bug® amalgamator (Crescent/Rinn Dental Mfg.) was used to pulverize
188 volcanic ash or standard minerals (Hudson et al., 2008; Curtis et al., 2008). Specifically,
189 approximately 100 mg of material was placed in a hardened stainless steel vial containing a
190 stainless steel ball pestle. The samples were pulverized in four five-minute intervals, for a total of

191 twenty minutes. The samples were allowed to rest for five-minutes between intervals to avoid
192 overheating of the sample. After treatment with the Wig-L-Bug®, the samples were made into 0.5,
193 1.0, and/or 2.0 wt% solutions with ultra-pure water. The concentration of material in suspensions
194 was determined gravimetrically. Sample solutions were shaken for at least 12 h prior to ice
195 nucleation experiments; this prevented unnecessary aggregation, and, therefore, ensured better
196 homogeneity between droplets. To generate droplets for an immersion freezing experiment, a
197 known weight-percent solution was aspirated into a Meinhard TR-30 glass concentric nebulizer.
198 To mitigate gravimetric settling prior to nebulization, humidified nitrogen was vigorously bubbled
199 through the sample solutions immediately before aspiration. Humidified N₂ was used as the carrier
200 gas to prevent excess evaporation at the nebulizer nozzle (Todoli and Mermet, 2011). The
201 nebulized spray was directed at a hydrophobically treated fused-silica disc, and the nebulized
202 droplets were allowed to coagulate into supermicron droplets. After nebulization, the disc was
203 immediately capped with an indium spacer (Alfa Aesar, 127 µm thick) and a second fused-silica
204 disc. The spacer was coated with Apiezon L high-vacuum grease to ensure good contact to the
205 discs, which helped maintain a saturated humidity in the space created by the indium spacer
206 (immersion cell). By taking the above precautions, the concentration of ash in each particle is
207 assumed to be the same as the concentration of ash in the nebulized solution. To confirm this,
208 droplets were examined under 50x magnification prior to each experiment to ensure that their ash
209 concentrations were visually similar. Despite low relative humidities inside the environmental cell,
210 droplets inside the immersion cell did not visibly grow or shrink, even after sitting for 12 h.

211 Freezing experiments were video recorded under 10x or 20x magnification at 30 frames per
212 second, and freezing events were identified by the sudden appearance of structure within droplets.
213 Droplets were cooled from approximately 5 °C to -40 °C at a rate of 10 K min⁻¹. An example of

214 droplets at the beginning of an immersion freezing experiment prior to freezing and the same
215 droplets after all had frozen can be seen in Fig. 3a and 3b, respectively. Ice nucleation frozen
216 fractions were calculated as a function of temperature. Frozen fraction curves were separated into
217 two different size bins: 10-60 and 65-165 μm (droplet size, lateral diameter). These size bins span
218 droplet volumes from ~ 1.3 pL to 0.7 nL. In some cases, larger ice particles would grow at the
219 expense of smaller droplets in the cell. If these smaller droplets completely evaporated by the end
220 of the experiment, they were disregarded in our analysis. Further, by recording 30 frame-per-
221 second video, we could unambiguously determine if droplets coagulated or froze by contact
222 freezing. In all experiments, no contact-freezing events occurred from the contact of two liquid
223 drops. If two droplets coagulated, only their coagulated droplet size was considered. Unfrozen
224 droplets, however, could be frozen by contact with growing ice particles; those contact-frozen
225 droplets were disregarded in our analysis. To minimize liquid droplet shrinking and contact-
226 freezing by growing ice crystals, we have chosen a cooling rate of 10 K min^{-1} . This rate strikes a
227 balance of minimizing the aforementioned mass-transfer effects while avoiding a measurable
228 temperature offset between the measured and actual temperature of the particles on the fused-silica
229 disc due to heat-transfer limitations that occur at higher cooling rates. (Koop et al., 1998). Errors
230 in n_s values are based on the range of surface areas available in each experiment. The temperature
231 error of 0.5 K for all droplets was determined by repeated homogeneous freezing experiments of
232 ultra-pure water.

233 **2.5 Brunaur-Emmet-Teller Surface Areas**

234 Brunaur-Emmet-Teller (BET) surface area analysis was conducted by Pacific Surface Sciences
235 Inc. using a Micrometric TriStarr II surface area analyzer. For BET analysis, ash samples and
236 Na/Ca-feldspar were prepared exactly as for immersion freezing experiments, but were not

237 suspended in high-purity water. The samples were degassed under flowing ultra-high purity grade
238 nitrogen for two hours at a temperature of 200 °C and the surface area was measured. Nitrogen
239 gas adsorption measurements were taken at relative pressures of 0.05, 0.1, 0.15, 0.2, and 0.25. The
240 free space in the analysis tube was measured by the Helium method. The five pressure points were
241 used to calculate the BET surface area. In this study, we determined the BET surface areas for all
242 three volcanic ash samples and Na/Ca-feldspar (Table 1).

243 **2.6 X-Ray Diffraction Analysis**

244 X-Ray Diffraction (XRD) analysis of volcanic ash and Na/Ca-feldspar was conducted by X-
245 Ray Wizards, LLC. Similar to BET analysis, each sample was prepared exactly as for immersion
246 freezing, but was not suspended into solution. Data was collected with a Bruker D8 Discover
247 instrument with a scintillation detector, Cu radiation, and appropriate slits for high resolution.
248 Percent crystallinity and associated % amorphous were determined by profile fitting and Degree
249 of Crystallinity measurements using the Bruker Rietveld Refinement (Table 1). Phase
250 identification and quantitative analysis were used to determine the identity and relative amount of
251 each phase in a mixture, and each identified mineral is reported as a wt% (Table 2). The
252 quantitative analysis was done via reference intensity ratio.

253 **3 RESULTS AND DISCUSSION**

254 **3.1 Depositional Ice Nucleation on Volcanic Ash Samples**

255 Depositional ice nucleation experiments using the Raman microscope have previously been
256 validated (Baustian et al., 2010; Wise et al., 2010). The critical S_{ice} needed for the onset of
257 depositional ice nucleation on all three ash samples from 225-235 K is shown in Fig. 4. It can be
258 seen that all three ash samples exhibit minimal temperature dependence and similar ice nucleation
259 activity to each other at the temperatures explored. Further, all three ash samples require low ice

260 supersaturations ($S_{ice} = 1.05 \pm 0.01$) to nucleate ice and, therefore, are efficient ice nuclei in the
261 temperature range investigated. Also shown in Fig. 4 are onset results from depositional ice
262 nucleation experiments on ash from the 2010 Eyjafjallajökull eruption from Hoyle et al. (2011)
263 and Steinke et al. (2011). Here, even the Icelandic ash has similar ice nucleation activity to the
264 three types of ash used in this study. To further highlight their depositional ice nucleation
265 efficiency, a parameterization of the critical ice saturation ratio of kaolinite from a previous study
266 (Sihvonen et al., 2014) has also been added to Fig. 4. Since these results were taken with the same
267 instrument for similar frozen fractions and surface areas, these results are directly comparable.
268 Thus, these results suggest it is possible that all volcanic ash studied to date are as efficient as clay
269 minerals for ice nucleation in the depositional mode.

270 To attempt to elucidate why these ash samples had similar, efficient depositional ice nucleation
271 abilities, we compared the % crystallinity and mineralogy for each ash. In Table 1, it can be seen
272 that the % crystallinity from our XRD results and the % crystals from the literature can be different.
273 This indicates that finer ash-sized fractions may have different properties from representative
274 whole-rock samples; thus, in this study we will only consider the % crystallinity and mineralogy
275 that we directly determined by XRD analysis. By comparing Fig. 4 with Table 1, it can be seen
276 that the % crystallinity and % amorphous between ash samples are different, but the S_{ice} onsets are
277 similar. Therefore, the total amount of crystalline vs. amorphous material is likely not the sole
278 factor in determining depositional ice nucleation. Table 2 indicates the detectable crystalline
279 material and their abundances ($\pm 3\%$). As shown, each of the ash samples contains a considerable
280 amount of plagioclase, either albite, a sodium-rich Na/Ca feldspar, or anorthite, a calcium-rich
281 Na/Ca-feldspar. Feldspar minerals, both K-feldspar and Na/Ca-feldspar, have previously been
282 shown to be among the most efficient depositional ice nuclei, comparable to kaolinite, Arizona

283 Test Dust, and Mojave Desert Dust (Yakobi-Hancock et al., 2013). Thus, we suggest that Na/Ca-
284 feldspar could be dictating the ice nucleation behavior of volcanic ash. It is important to note that
285 the above discussion only interprets ice nucleation efficiency in terms of a chemical mechanism.
286 The alteration of physical active sites from mechanical grinding or wet generation could increase
287 depositional ice nucleation efficiency; however, our results were comparable to both studies on
288 the Eyjafjallajökull ash, which used dry sieving to size select samples and aerosolized using dry-
289 generation techniques (Steinke et al., 2011;Hoyle et al., 2011).

290 **3.2 Validation of Immersion Freezing Experiments with Kaolinite**

291 To validate our immersion freezing experiments, we have run test experiments on KGa-1b.
292 KGa-1b was chosen because its ice nucleation behavior has been well studied in the immersion
293 freezing mode using both cold stage and continuous flow instruments (Murray et al., 2011;Pinti et
294 al., 2012). Our results for freezing of 10-60 μm droplets containing 1 wt% KGa-1b are shown in
295 Fig. 5. In this experiment, the cumulative fraction of frozen droplets [FF(T)] was determined as a
296 function of temperature:

$$297 \quad FF(T) = \frac{n_{ice}(T)}{n}, \quad (1)$$

298 where $n_{ice}(T)$ is the total number of frozen droplets at temperature T and n is the total number of
299 frozen droplets at 233.6 K. Also shown are results for homogeneous freezing of 10-60 μm ultra-
300 pure water droplets from our experimental setup. As expected, the homogeneous freezing curve
301 rises steeply at ~ -37 °C. The droplets containing 1% kaolinite freeze at higher temperatures than
302 the homogeneous freezing curve; thus, the droplets must be freezing heterogeneously. Differences
303 in droplet size bins, ash concentration, and droplet contact angle with the substrate affect both the
304 surface area available for ice nucleation and the subsequent frozen fraction at each temperature.
305 This renders it difficult to directly compare these results to former freezing spectra using different

306 experimental setups. It has, however, been shown in the past that inter-instrumental comparisons
 307 of mineral dust can be made by invoking the singular approximation (Vali, 1994, 2008; Broadley
 308 et al., 2012; Niemand et al., 2012). Here, the time dependence of freezing events is considered to
 309 be of secondary importance to the temperature dependence. In this vein, a simplified quantification
 310 of the observed frozen fractions and temperature onsets can be made by the metric of ice nucleation
 311 active site (INAS) densities (n_s) (DeMott et al., 1994), which is defined as:

$$312 \quad n_s(T, S_{ice}) = - \frac{\ln[1-FF(T, S_{ice})]}{SA_{aerosol}}, \quad (2)$$

313 where $SA_{aerosol}$ is the average surface area per particle. Our n_s values for KGa-1b as a function of
 314 temperature, calculated under the singular description, can be found in Fig. 6a. For $SA_{aerosol}$, the
 315 BET specific surface area was used. The BET surface area for KGa-1b was assumed to be 11.8 m^2
 316 g^{-1} (Murray et al., 2011). Also shown in Fig. 6a is an n_s parameterization for KGa-1b from Murray
 317 et al. (2011), who used a cold stage to determine the immersion freezing potential of KGa-1b for
 318 0.2 to 1 wt% solutions using various cooling rates. Our results lie slightly under the Murray
 319 parameterization; however, in our analysis we have ignored the time dependence of freezing
 320 events. While this may be valid for complex samples with a distribution of ice active sites
 321 (Niemand et al., 2012), it has been shown that one must take into account the time dependence for
 322 a pure clay mineral like kaolinite (Murray et al., 2011). Despite this, our data analyzed under the
 323 singular approximation are only one order of magnitude off from the parameterization. To take
 324 into account the time dependence, we invoke the modified singular theory (Vali, 2008). Here, the
 325 n_s value is modified to represent a single cooling rate. The parameterization is as follows:

$$326 \quad n_s(T, S_{ice}) = - \frac{\ln[1-FF(T-\alpha, S_{ice})]}{SA_{aerosol}}, \quad (3)$$

327 where the variable α is an offset in temperature from a freezing spectrum recorded at a cooling rate
 328 of 1 K min^{-1} . This is related to the cooling rate (r) by the equation

329
$$\alpha = \beta \log(|r|), \quad (4)$$

330 where β is an empirical parameter. Our same KGa-1b data parameterized using the modified
331 singular description with $\beta = 2.01$ (Murray et al., 2011) can be found in Fig. 6b. Now our data is
332 in excellent agreement with the Murray parameterization. Thus, for immersion freezing, we find
333 that the Raman Microscope cold stage setup can be used to inter-compare inherent immersion
334 freezing abilities of particle types to other instruments under the singular or modified singular
335 approximation. This ability of the Raman Microscope cold stage to determine the inherent
336 immersion freezing ability of NX-Illite nanopowder has also been verified (Hiranuma et al., 2015).

337 **3.3 Immersion Freezing of Droplets Containing Volcanic Ash Samples**

338 The immersion freezing results from 0.5, 1.0, and 2.0 wt% Oruanui, Soufrière Hills, and Fuego
339 volcanic ash are shown in Fig. 7. The Oruanui ash samples serve as heterogeneous immersion
340 mode ice nuclei for all wt% explored (Fig. 7a). In general, increasing the wt% of ash in each
341 droplet increases the freezing temperature. This is expected as increasing the wt% of ash in each
342 droplet increases the total surface area available for heterogeneous ice nucleation for a similar-
343 sized droplet population. Although their freezing spectra have different shapes, the temperature at
344 which 50% of 1% Oruanui ash droplets were frozen ($FF_{0.5}$) coincides with the $FF_{0.5}$ of 1 % KGa-
345 1b, indicating that they may have similar immersion freezing abilities. Unlike the depositional
346 freezing results, the immersion freezing activity of the Soufrière Hills ash is not similar to the
347 Oruanui ash (Fig. 7b). In fact, the FF curve for 10-60 μm droplets containing 2 wt% Soufrière
348 Hills ash overlaps with the ultra-pure water curve, implying that these droplets froze
349 homogeneously. Increasing the droplet size range to 65-165 μm only produces a few special IN at
350 $T > -37^\circ\text{C}$; however, most droplet freezing events still coincide with the homogeneous freezing
351 curve. For 65-165 μm droplets containing 2 wt% Soufrière Hills ash, the total available surface

352 areas correlate to ash particles with spherical equivalent diameters of 23.0-53.6 μm , which forms
353 a large subset of fine volcanic ash. The Fuego ash has similar immersion freezing behavior to the
354 Soufrière Hills ash, despite coming from a different region and having different silica content (Fig.
355 7c). Again, for 10-60 μm droplets containing 2 wt% Fuego ash, the FF curve coincides with the
356 homogeneous freezing FF spectrum. Further, for 65-165 μm droplets containing 2 wt% Fuego ash,
357 whose total available surface area corresponded to ash particles 23.4 and 58.0 μm in spherical
358 diameter, only a few special IN at $T > -37\text{ }^\circ\text{C}$ are found.

359 Since these ash samples contain different wt% ash, droplet size populations, and ashes with
360 different surface areas, it is difficult to directly compare inherent ice nucleation activity from the
361 freezing spectra. Thus, we have calculated the n_s values for these ash samples under the singular
362 approximation (Fig. 8). For each ash, the BET specific surface area was used as determined in this
363 study (Table 1). The modified singular approximation was not used because larger particle-to-
364 particle variability of ice active sites is expected for these complex samples, limiting the
365 importance of time dependence (Broadley et al., 2012;Hiranuma et al., 2015). As shown in Fig. 8,
366 the Oruanui ash is inherently a better ice nuclei than either the Soufrière Hills or Fuego Ash, which
367 are similar to each other. Also shown in Fig. 8 are n_s values of Mt. St. Helens and Eyjafjallajökull
368 ash from previous studies (Hoyle et al., 2011;Steinke et al., 2011;Murray et al., 2012). The Oruanui
369 ash sits below these points; however it should be noted that the surface area of the Eyjafjallajökull
370 and Mt. St. Helens ash were estimated using their geometrical surface area. Due to the high degree
371 of aggregation and porosity of volcanic ash particles, the geometrical surface area could be vastly
372 underestimating the true surface area. To estimate this effect, we have re-plotted the volcanic ash
373 parameterization found in Murray et al. (2012), assuming that the true surface area is 10 times
374 greater than the estimated geometrical surface area. This is not an unreasonable assumption, since

375 the geometrical surface area would underestimate the true surface area 4-20 times for ash particles
376 1-5 μm in diameter, assuming a BET surface area for Oruanui ash and a density of 2.6 g m^{-3} . The
377 adjusted parameterization is shown in Fig. 8 as a dashed line. As shown, estimating the surface
378 area as 10 times greater than the geometrical surface area brings the parameterization much closer
379 to our results. Thus, although the Oruanui ash has different surface-area normalized ice nucleation
380 abilities than the Fuego and Soufrière Hills ash used in this study, it appears to be similar to the
381 Eyjafjallajökull and Mt. St. Helens ash.

382 **3.4 Immersion Freezing of Droplets Containing Na/Ca Feldspar**

383 Recently, it has been shown that K-feldspar is an extremely efficient ice nucleus and,
384 consequently, may dictate the ice nucleation ability of natural mineral dust, even though it is only
385 found in low weight percentages (Atkinson et al., 2013). That study also determined the ice
386 nucleation ability of Na/Ca-feldspar from the Bureau of Analysed Samples (United Kingdom), and
387 found that it was also an efficient immersion ice nucleus. In our results, we found that neither the
388 Fuego nor Soufrière Hills ash acted as efficient immersion freezing ice nuclei for the
389 concentrations and droplet sizes that we explored. While the Fuego and Soufrière Hills ash both
390 contained significant feldspar, it was almost exclusively the Na/Ca-feldspar. To explore this
391 further, we conducted immersion freezing experiments on NIST SRM 99b, a Na/Ca-feldspar
392 standard. We also conducted XRD on these samples, and found that they contained K-feldspar and
393 quartz impurities in addition to Na/Ca-Feldspar (Table 2). The frozen fraction curves for NIST
394 SRM 99b are plotted in Fig. 9 and their n_s values are shown in Fig. 8. As shown, the NIST SRM
395 99b is also an efficient ice nucleus. We suggest that the high immersion freezing activity of the
396 NIST 99b soda feldspar is due to the K-feldspar impurities, in agreement with previous studies
397 (Atkinson et al., 2013). These combined results suggest that Na/Ca-feldspar may be inactive in the

398 immersion mode despite being very active for depositional nucleation. This is in agreement with
399 Zolles et al. (2015), who found that the Na/Ca-feldspars albite, anorthian andesine, and an albite-
400 dominated ash sample were all weak immersion-mode IN. Thus, from examining Table 2, we
401 suggest that the immersion freezing activity of the Oruanui ash is likely due to the quartz. This is
402 in agreement with previous findings, who found that quartz was the second-most efficient
403 immersion mode nuclei mineral found in mineral dust behind feldspars (Atkinson et al., 2013).

404 It is important to note that the above discussion interprets immersion freezing only from a
405 chemical mechanism standpoint. The ash samples used here were collected at various distances
406 from the volcano and represent various magnitudes of eruption explosivity, which affects grain
407 morphology and the grain size distribution of the fall deposit, however, it is important to note that
408 these samples were processed prior to immersion freezing. Namely, even after size sorting, the
409 particles were pulverized from larger ash particles and immersed in water and shaken for at least
410 12 h prior to immersion freezing experiments. Pulverizing the ash particles has two possible effects
411 on ice nucleation. First, it could introduce new, physical active sites. For example it has been
412 shown for hematite particles that mechanical milling can change the ice nucleation surface site
413 density, even when accounting for changes in surface area (Hiranuma et al., 2014). Second, it
414 could liberate and/or expose mineral surfaces that were previously encased in volcanic glass. Both
415 of these effects, however, are expected to increase ice nucleation activity and do not account for
416 the inactivity of the Fuego and Soufrière Hills ashes in the immersion mode. Further, in some
417 cases, approximately 70% of fine ash particles ($< 63 \mu\text{m}$) are largely aggregates of smaller particles
418 (Brown et al., 2012). Thus, pulverizing with the Wig-L-Bug® amalgamator may only break apart
419 these aggregates. In the past, it has been shown that wet generation techniques can affect the
420 hygroscopicity and cloud droplet formation ability of mineral dust (Sullivan et al., 2010;Garimella

421 et al., 2014). Thus, allowing the ash samples to shake in solution for at least 12 h prior to immersion
422 freezing experiments could cause the dissolution/redistribution of active surface sites. This,
423 however, is unlikely since this treatment was also conducted for kaolinite, which agrees with
424 previous literature values of wet and dry generated KGa-1b (Pinti et al., 2012;Murray et al., 2011).

425 **4 ATMOSPHERIC IMPLICATIONS**

426 Previously, it has been suggested that all volcanic ash has similar ice nucleation efficiency and
427 may initiate ice below 250-260 K, leading to an overseeding of ice in volcanic plumes (Durant et
428 al., 2008). Since volcanic ash concentration in plumes can be up to 1000 cm^{-3} , overseeding of ice
429 could create a dearth of supercooled water droplets and shut down the Bergeon-Findeisen process,
430 the process of ice crystal growth at the expense of supercooled liquid droplets in mixed-phase
431 clouds. Thus, since particle growth would be reliant on collision processes, overseeding could
432 retard or even prevent the development of precipitation in volcanic plumes. In this work, we have
433 shown that three distinct types of volcanic ash have similar, efficient ice nucleation onsets in the
434 deposition mode. It has been suggested that, large amounts of water in pre-eruptive magma (up to
435 8% by mass) may render concentration of water in volcanic plumes greater than for typical
436 thunderstorms (McNutt and Williams, 2010). Thus, the primary mode of ice nucleation in volcanic
437 plumes may be immersion freezing. Unlike depositional freezing, the volcanic ash in this study
438 did not possess the same ice nucleation efficiency in the immersion mode; indeed, both the Fuego
439 and Soufrière Hills ash seem inactive in the immersion mode for droplets containing a surface area
440 of ash equivalent to a spherical ash particle $\sim 60 \mu\text{m}$ in diameter. Thus, our results indicate that
441 some volcanic plumes may not be overseeded with ice. Indeed, this has been directly observed in
442 some volcanic plumes such as the 17 September 1992 eruption of Mt Spurr, where remote sensing
443 measurements showed that ash mass dominated over ice mass (Rose et al., 2001). The current

444 study suggests that immersion freezing, and therefore overseeding, may be dictated by the
445 differences in the mineralogy of the crystalline material found in volcanic ash. Thus, the
446 identification and quantification of mineral phases in fine volcanic ash may be important to
447 correctly predict the many processes in volcanic plumes that rely on ice and hydrometeor
448 formation.

449 It has been shown that ash aggregation, which controls volcanic cloud dispersal, may be reliant
450 on hydrometeor formation (Rose and Durant, 2011). If a volcanic plume is overseeded with ice,
451 hydrometeor growth will be retarded, reducing aggregation and prolonging the lifetime and
452 dispersal of the volcanic cloud (Brown et al., 2012). Correctly modeling volcanic cloud lifetimes
453 and dispersal has important implications for both human health and aviation traffic. Volcanic
454 lightning is another understudied process in volcanic plumes that is thought to be influenced by
455 ice formation (McNutt and Williams, 2010). Volcanic lightning in high-altitude plumes is thought
456 to be produced along a similar mechanism to thundercloud electrification and is important because
457 it represents a hazard and contributes to the global electrification circuit. From this work, we show
458 that some types of ash, depending on their mineralogy, may not initiate ice until the homogeneous
459 freezing limit. Thus, previous thresholds of ice formation in volcanic plumes of 250-260 K may
460 be overestimating the amount of volcanic lightning predicted in models.

461 Volcanic ash also has important climatic implications beyond the initial plume. Fine volcanic
462 ash can stay suspended in the atmosphere for 24 hours and travel 100s to 1000s of km (Brown et
463 al., 2012). Previous work has shown that, while initial plumes contain large concentrations of
464 water, volcanic clouds can dry out markedly within hours of entering the atmosphere (Schultz et
465 al., 2006). Further, very fine ash can stay suspended much longer than 24 hours and ash fall
466 deposits may remain in local environments for years to decades and can be re-suspended due to

467 human activity (Horwell and Baxter, 2006). In this work, we have shown that all three samples of
468 volcanic ash had similar depositional ice nucleation efficiency ($S_{ice} = 1.05 \pm 0.01$), likely due to
469 Na/Ca-feldspars, which is similar to previous findings on proxies of mineral dust. Thus, since
470 depositional nucleation can occur at lower temperatures than immersion freezing, fine volcanic
471 ash represents a potentially important source of global cold-cloud ice nuclei. Indeed, in one study
472 that took daily measurements of IN concentrations over a 2-year period from central Germany, the
473 highest IN concentrations ever recorded coincided with backwards trajectories of the
474 Eyjafjallajökull volcanic eruption in Iceland (Bingemer et al., 2012). In that same study, the IN
475 concentrations in Israel, over 5000 km away from the source of the eruption, were determined for
476 air-masses originated from the same volcanic eruption. The high IN concentrations found in those
477 air masses were rivaled only during desert dust storms. Electron microscopy measurements
478 confirmed that the most abundant IN in these air masses were volcanic ash. Furthermore, a study
479 using polarization lidars at two central-European stations found a clear influence of volcanic ash
480 on heterogeneous ice nucleation of tropospheric clouds. For example, in that study, all observed
481 cloud layers with cloud top temperatures < -15 °C contained ice during the days following the
482 April 2010 Eyjafjallajökull volcanic eruption (Seifert et al., 2011).

483 Our experimental results suggest that ice nucleation on fine volcanic ash may exert a non-
484 negligible effect on volcanic plume lifetimes and dynamics as well as on global climate through
485 the formation of cirrus clouds; however, volcanic ice nuclei are currently neglected in global
486 climate models (Hoose et al., 2010). While previous works indicate that a simple parameterization
487 for all ash types may be possible for the simplification of parameterizing immersion mode volcanic
488 ash ice nuclei in models (Murray et al., 2012), our results indicate that ash types could differ in ice
489 nucleation properties, likely due to their mineralogy. Depositional nucleation on volcanic ash,

490 however, may fall under such a parameterization since all three, distinct ash samples displayed
491 similar depositional ice nucleation onsets to each other and to previous studies on ash from the
492 Eyjafjallajökull volcano (Steinke et al., 2011;Hoyle et al., 2011).

493 **ACKNOWLEDGEMENTS**

494 This work was supported by the National Science Foundation under grants AGS1048536. We
495 thank Bill Rose, Alexa Van Eaton, and the Montserrat Volcano Observatory for the utilized ash
496 samples.

497

Table 1. Silica content, % crystals from previous whole-rock studies, XRD % crystallinity and % amorphous, and the BET surface areas of representative volcanic rock and ash samples from the Fuego, Soufrière Hills, and Taupo volcanoes and powdered NIST SRM-99b Na/Ca-Feldspar.

Sample	Silica Content (wt%)	% Crystals	XRD % Crystallinity	XRD % Amorphous	BET Surface Area (m ² g ⁻¹)
Fuego (Guatemala)	50.6 ^a	38 vol% ^a	63 ± 3	37	5.14 ± 0.03
Soufrière Hills (Montserrat)	59.13 ^b	60-87 wt% ^b	89 ± 3	11	6.30 ± 0.04
Taupo (Oruanui, New Zealand)	74.15 ^c	3-13 wt% ^c	41 ± 3	59	9.23 ± 0.04
Na/Ca-Feldspar (NIST)	-	-	100 ± 3	0	1.219 ± 0.008

^a(Rose et al., 1978)

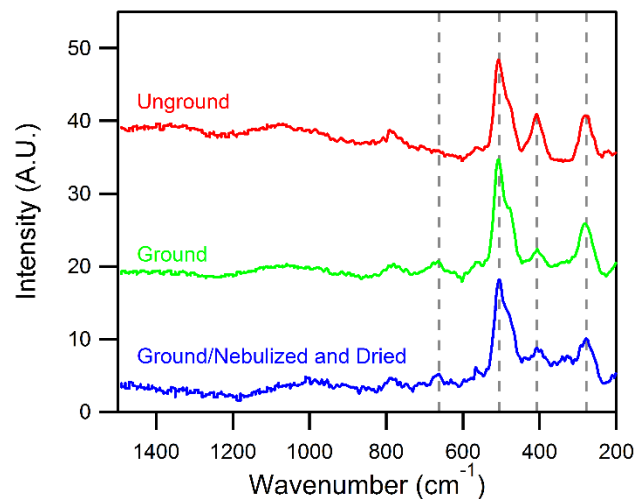
^b(Murphy et al., 2000)

^c(Wilson et al., 2006)

499 **Table 2.** The mineralogical composition of Fuego, Soufrière Hills, and Oruanui volcanic ash and
 500 NIST SRM-99b Na/Ca-feldspar as determined by XRD.

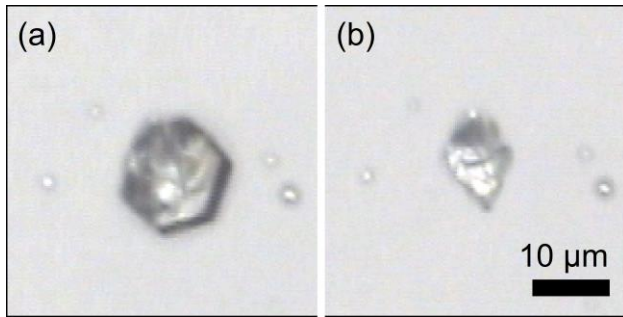
Mineral/Sample	Anorthite Ca/Na- Feldspar	Albite Na/Ca- Feldspar	Microcline K- Feldspar	Quartz SiO ₂	Enstatite Ortho- pyroxene	Riebeckite Magnesio- hornblende	Other (Trace)
Fuego	36	64	-	-	-	-	-
Soufrière Hills	10	71	-	1	11	7	-
Oruanui	26	47	-	27	-	-	-
Na/Ca-Feldspar (NIST)	-	69	18	13	-	-	Anorthite, Anorthoclase, Barium Silicate Hydrate

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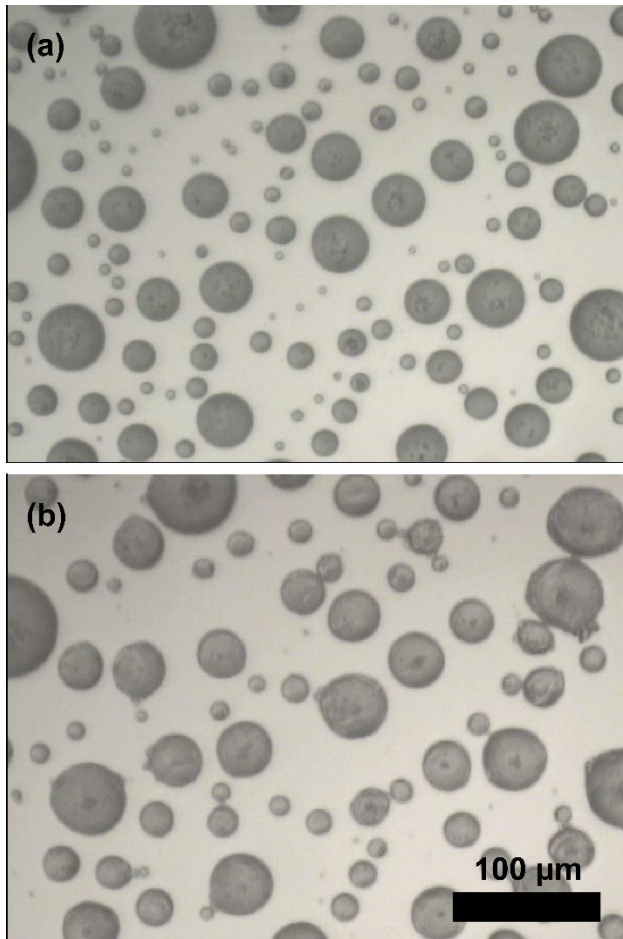
503 **Figure 1.** A set of example Raman spectra of unground, ground, and ground/nebulized Soufrière
 504 Hills volcanic ash. As shown, the main peaks at 507 cm⁻¹, 408 cm⁻¹, and 281 cm⁻¹ (vertical
 505 dashed lines) are minimally affected by mechanical grinding and wet generation, suggesting that
 506 bulk chemical alteration does not occur. A small peak at 663 cm⁻¹, however, does appear in the
 507 ground samples, possibly due to better homogeneity of minor components when compared to
 508 unground samples.



509

510 **Figure 2.** 50x optical image of an ice particle at 225 K (a) and its Fuego ash nucleus (b).

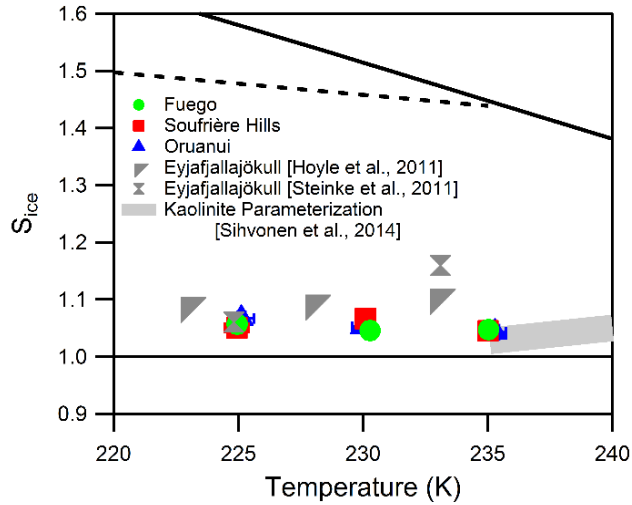
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513 **Figure 3.** 20x images of unfrozen droplets containing 1% KGa-1b (a), and the same drops after
514 an immersion freezing experiment (b).

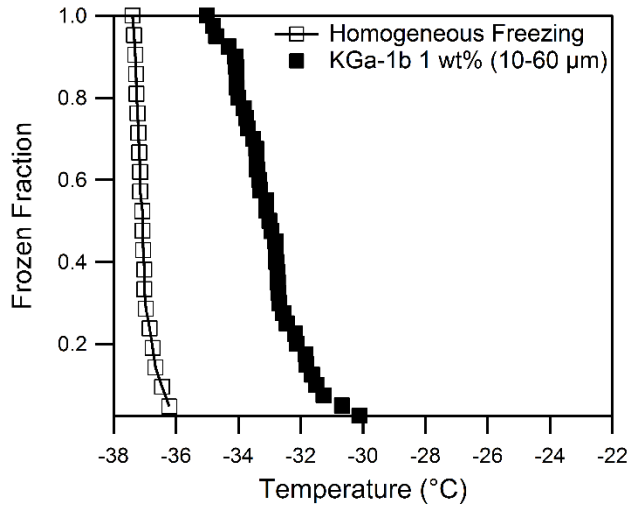
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516

517 **Figure 4.** The onset S_{ice} as a function of temperature for nucleation on volcanic ash samples. The
 518 thick and thin solid lines refer to water and ice saturation respectively. The dashed line represents
 519 the S_{ice} values for homogeneous nucleation of an aqueous droplet (Koop et al., 2000). Also
 520 included are onset results from depositional ice nucleation experiments on ash from the 2010
 521 Eyjafjallajökull eruption (Hoyle et al., 2011;Steinke et al., 2011) and a parameterization for
 522 depositional ice nucleation on KGa-1b (Sihvonen et al., 2014).

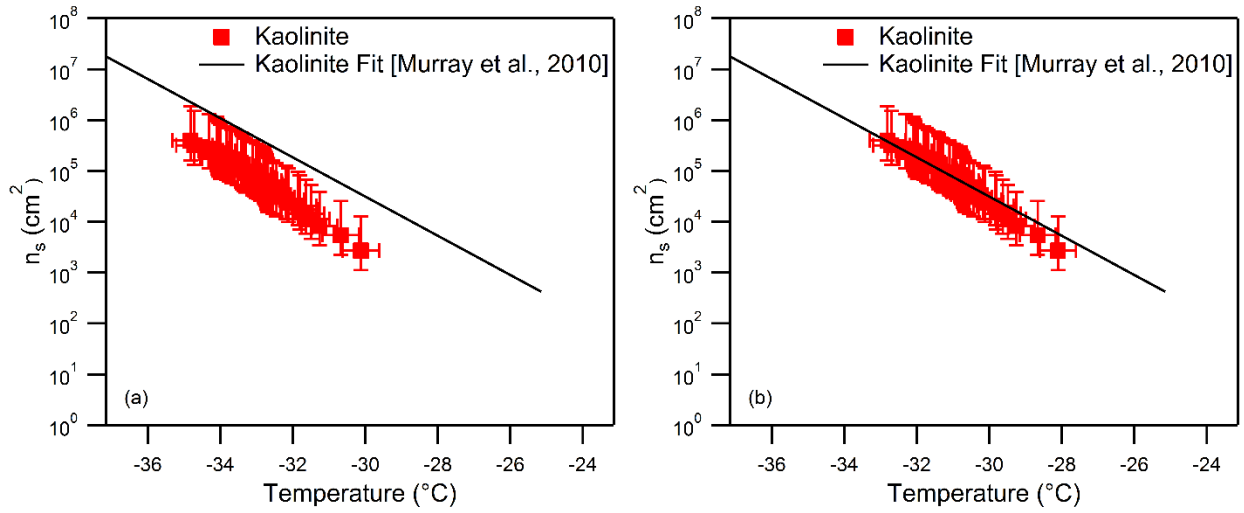
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525 **Figure 5.** Frozen fraction curve for 1wt% KGa-1b in 10-60 μm droplets as a function of
 526 temperature. Also shown are results for freezing of ultra-pure water droplets (homogeneous
 527 freezing).

528

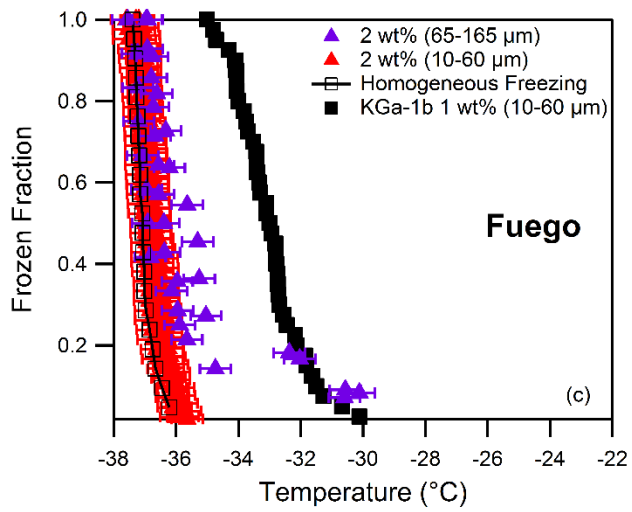
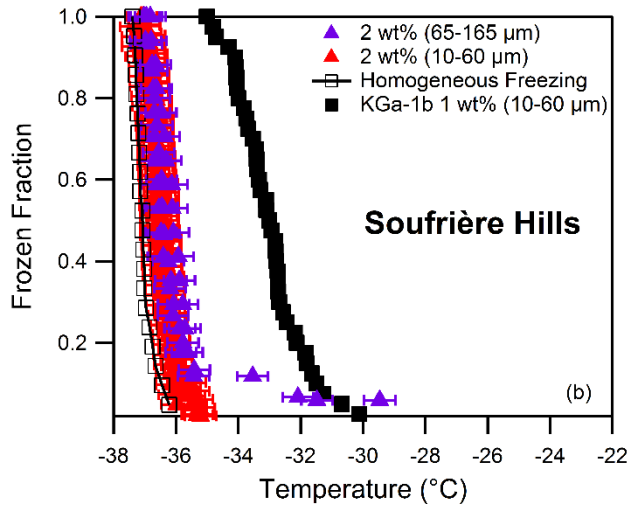
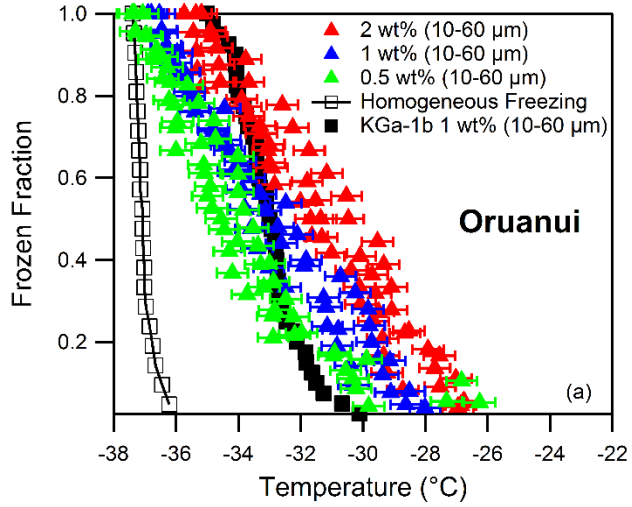


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530 **Figure 6.** Ice nucleation active surface site densities for KGa-1b as a function of temperature using

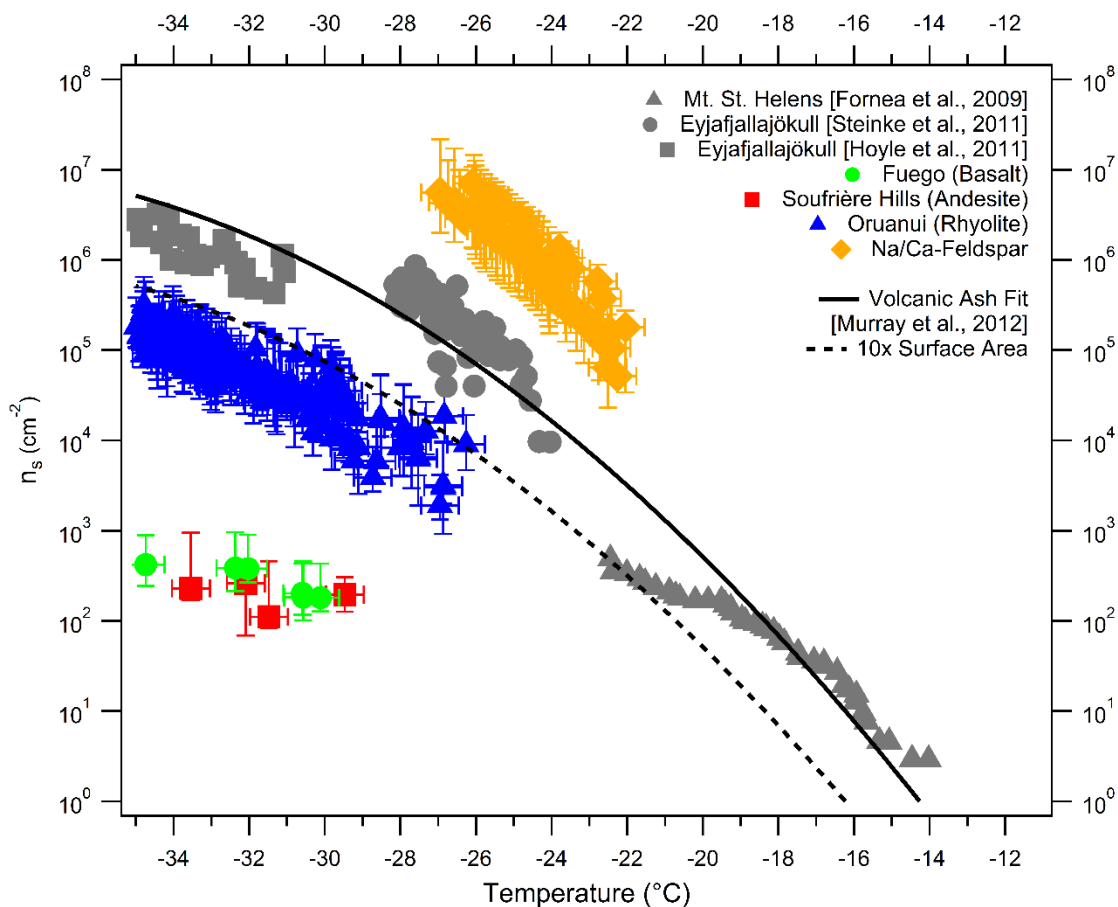
531 the singular description (a) and the modified singular description (b).

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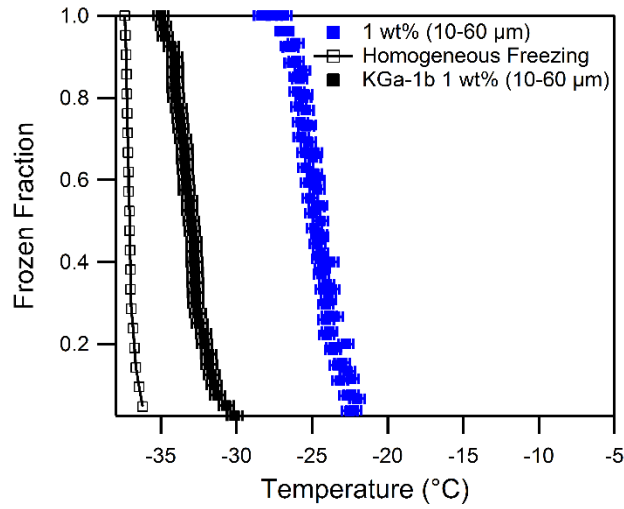
536 **Figure 7.** Frozen fraction curves as a function of temperature for 0.5, 1.0, and/or 2 wt% Oruanui
537 ash (a), Soufrière Hills (b), and Fuego Ash (c).

538



539
 540 **Figure 8.** Ice nucleation active surface site densities as a function of temperature for Oruanui,
 541 Soufrière Hills, and Fuego ash and NIST SRM-99b Soda Feldspar. Also shown are n_s -values for
 542 previous studies (grey markers) on volcanic ash and a parameterization for that data (solid line,
 543 (Murray et al., 2012). Additionally, a new parameterization has also been shown that assumes
 544 surface area of the volcanic to be 10 times greater than the original parameterization to account for
 545 the high porosity of volcanic ash (dashed line).

546



547

548 **Figure 9.** Frozen fraction curve for 1 wt% Na/Ca Feldspar in 10-60 μm droplets as a function of

549 temperature.

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