We are thankful to the editor and the two reviewers for their further comments on this manuscript. Following the reviewer's suggestions, we have revised the manuscript accordingly. Listed below are our point-point responses in blue to each reviewer's comments.

In addition, a marked-up version of the manuscript was attached after the response to the reviewers' comments.

Response to Editor's comments

You have addressed the issues raised by the referees and the paper has significantly improved. The referees have given some more suggestions for techical corrections (see the referee's reports). I also have a few points here:

a) Line 120: Waliguan is one the global baseline station, so please change "regional background" to "global baseline".

Changed.

b) Line 151: please give details of the biomass burning events.

A more detailed description of the biomass burning events was added. Now it reads "There are no strong local anthropogenic source emissions in this area (~ 741 km2 with a population of ~2000) except occasional biomass burning events due to burning of a large amount of straws in the middle of September and cow dung at the end of the campaign (Li et al., 2015)."

c) Line 181: give the models of these analyzers.

The models of gas analyzers were added in the revised manuscript.

"Other collocated measurements included CO by a non-dispersive infrared analyser (M300EU), O_3 by a UV photometric analyzer (Teledyne Instruments, Model 400EU), NO_x by a commercial chemiluminescence analyzer (M200EU), and SO_2 by a pulsed UV fluorescence analyzer (M100EU) and black carbon (BC) by an Aethalometer (AE31, Magee Scientific Corp)."

d) Lines 234 and 288: change "the NBS" to "the Menyuan NBS". There are some other NBS sites.

Changed.

e) Lines 317-318: Fig. 4c indicates than some factors other than boundary layer height might play an important role in the diurnal cycle of CO.

Right. Biomass burning might also affect the diurnal cycle of CO. As shown in Figure S5, biomass burning emitted a considerable amount of CO.

f) Line 325: delete "secondary". Almost all nitrate in the PM is secondary. Deleted.

g) Libe386: change "Fig. 5b and 6" to "Figs. 5b and 6" or "Fig. 6". Changed.

Response to Reviewer #1

The manuscript entitled, "Chemical characterization of submicron aerosol and particle growth events at a National Background Site (3295 m a. s. l.) on the Tibetan Plateau" by W. Du et al., presents non-refractory plus black carbon (BC) aerosol chemical composition and particle size distribution data from a remote location on the northeastern region of the Tibetan Plateau. This paper is of interest to many readers of Atmospheric Chemistry and Physics and it is important that it is published there. The authors put much effort and care into responding to the first round of reviewers' comments. The manuscript has improved with this revision. Thank you for making many changes and thank you to the other reviewer for focusing on details that I did not address.

It is apparent that my suggestion to provide an analysis of air mass histories at the site is beyond the scope of this manuscript. The assertion that the air was regionally-transported to the site was not backed by more information on how air was actually getting there on average. Hopefully, data from this paper will be analyzed further by someone who can study the large scale modeling and meteorology. Overall, the manuscript is pretty close to being ready to publish in ACP.

We appreciate the reviewer's constructive comments that help improve the manuscript, and we also thank the reviewer's understanding. We agree with the reviewer that the current analysis is not adequate enough to have a full understanding of the aerosol chemistry at the Menyuan national background site. We are also looking forward to further analysis by the people who are interested in this work.

To help put these results in a broader context and make it easier for the global atmospheric community to use, I strongly recommend some simple changes be incorporated into the present manuscript.

1) In lieu of an analysis of air mass histories in the present paper, it would be extremely helpful if there is a paper discussing the meteorology at the site that could be referenced in section 2.1 or perhaps references to other measurements that have been taken at the site in the past, if available.

We thank the reviewer's suggestions. This is the first time to report the measurements and the results at the Menyuan national background site. There are no references to discuss the meteorology and measurements at this site yet.

2) The maps in Figs. 1 and S3 do not show scales - for example, how many km are represented in each of them? Please add that information.

The scale of the map was added in Figure 1 and Figure S3 in the revised manuscript.

3) For Figure 1, please add to the caption text that the pie charts show data from AMS (non-refractory composition only) plus black carbon. Also, please add to the caption an explanation for the dotted blue lines. Along the same lines, please

indicate in the caption for table S1 that all the measurements were using an AMS and in some cases with black carbon.

Thank the reviewer's comments. Figure 1 and Table S1 was revised according to the reviewer's suggestions.

"Figure 1. Map of the sampling site (Menyuan, Qinghai). Also shown is the chemical composition of submicron aerosols (NR-PM₁ + BC if it was available) measured at selected rural/remote sites in East Asia except Lanzhou, an urban site in northwest China. The two dotted blue lines are used to guide eyes for the three rural/remote regions from the west to the east. The detailed information of the sampling sites is presented in Table S1."

"Table S1. A summary of mass concentration and composition of NR-PM₁ species measured by the AMS and in some cases with black carbon (BC) at different locations in East Asia."

4) Please put the two altitude profiles of the back trajectories back into the SI, along with an indication of where Xining is on the "Clean 1" trajectory of altitude as function of time back. Please add a note in the caption that the black line is ground level, if that is what it means.

We thank the reviewer's comments. The altitude profiles of the back trajectories were added in Figure S3 in the revised manuscript.

5) The diurnal plots of the meteorological data in the SI are very important, especially when combined with the aerosol and gas phase measurements. The winds appear to be changing from the west to from the south, just at the time that the new particles are detected. If possible, it would be worthwhile to classify these air mass histories. This could be important in further interpreting the new particle formation events.

We thank the reviewer's comments. We also noticed such a change. However, the diurnal cycle of wind direction showed a large variation (25%-75% percentile, > 100°) during this period of time. In addition, we didn't observe a clear wind direction change between 8:00 – 10:00 based on the time series of meteorological variables in Figure 3. For these reasons, it is difficult to link new particle formation events to the change of wind direction.

6) The building was at a higher temperature than ambient and the air sampled by the instrument was in the inlet for about 5 seconds. Please add a comment in the text on the how much ammonium nitrate (and other semi-volatile species) could have evaporated before sampling. Could this be another reason why nitrate levels were lower for the present study compared to sites in eastern China?

The loss of ammonium nitrate and other semi-volatile species at 23 °C were small based on the thermodenuder measurements (Huffman et al., 2009). In addition, most of previous AMS measurements in China were conducted under the similar room conditions, i.e., 23-25°C air conditioned room or trailers. Therefore, the lower

nitrate concentration level at Menyuan site was not likely due to the evaporative loss of ammonium nitrate.

7) I respectfully disagree with the authors' choice of units for the aerosol mass and number concentrations in the present paper. I understand that previous data from the region was reported for ambient conditions and that the present results are reported for ambient conditions for consistency. It is unfortunate that the prior data from this region were not reported for standard conditions, especially since some sites are very high in altitude. Also, I realize that converting the current data into standard units is somewhat trivial if the ambient conditions are also reported (here sampling temperature was about 23 degrees C and the ambient pressure was not reported and is not readily apparent). However, when working with data sets at pressures significantly different from standard conditions (such as high altitude or aircraft data or global/regional modeling results and comparing the current results to sites at sea level like most listed in Figure 1 and Table S1), it is significantly easier to compare data if it is all reported using standard conditions. Because the site was relatively high in altitude (3295 m a. s. l.), the ambient pressure is roughly 0.67 times sea level pressure, resulting in a correction of a factor of 1.5. Correcting for sampling temperature to standard temperature of 273.15 K will be another factor of 1.08. Please clearly add a sentence (or a two) in the text that mentions the conversion to standard units is roughly a factor of 1.6 (or the average for the ambient conditions), so that the data reported for standard conditions can be quickly estimated if needed.

We thank the reviewer's comments. The average pressure during this study period is 695.4 hPa, and the average ambient air temperature is 4.9 °C (-8.7 - 17.9°C), so the conversion factor to standard units is roughly 1.5. Following the reviewer's suggestion, a sentence was added in Section 2.2.

"All the data are reported at ambient conditions in Beijing Standard Time. Note that the concentrations would be a factor of approximately 1.5 of the current values if the data are converted to mass loadings at standard temperature and pressure (STP, 273K and 1013.25 hPa)."

8) I also respectfully disagree with the authors' choice of units for the gas phase species. While it may be convenient for aerosol scientists to report gas phase data in micrograms per cubic meter, these units for gas phase species are not commonly used in atmospheric science and gas phase data are virtually always reported as volume mixing ratios (and what does micrograms per cubic meter mean for NOx?). Again, it is difficult to compare the data reported in this paper without recalculating the gas phase data into units of mixing ratio – for the species here "ppbv" is the most convenient. Please convert all reported gas phase data into mixing ratios of ppbv and change them in the figures and tables.

We thank the reviewer's comments. Following the reviewer's suggestions, all gas phase data were converted to volume mixing ratios using Eq. (1).

$$C = \frac{22.4 \times 101.325 \times (273 + T)}{M \times P \times 273} X$$
(1)

Where C is the volume mixing ratio, X is the mass concentration in $\mu g m^{-3}$, M is the molecular weight of gas species, and P and T refer to the pressure and temperature, respectively.

Response to Reviewer #2

General comments:

I found the resubmitted paper much easier to read, and several of my comments were addressed in sufficient detail. Many thanks for your revised manuscript, which I am happy to accept for publication subject to a few minor revisions as detailed below.

Specific comments:

Line 223-232: I would like to suggest the author to add the sampling year in each citation. Specify/remind readers that these comparisons are for different year.

Good point. The sampling year for each citation was added in the new version of the manuscript.

"Okinawa (14.5 μ g m⁻³) (Zhang et al., 2007) and Fukue (12.0 μ g m⁻³) (Takami et al., 2005) in Japan in 2003, and Jeju (8.6 μ g m⁻³) in Korea in 2001 (Topping et al., 2004), it is much higher than those reported at rural/remote sites in north America and Europe, e.g., Chebogue (2.9 μ g m⁻³), Storm Peak in 2004 (2.1 μ g m⁻³) and Hyytiala in 2005 (2.0 μ g m⁻³), and even comparable to the loadings at urban sites, e.g., New York City in 2004 (12 μ g m⁻³), Pittsburgh (15 μ g m⁻³), and Manchester in 2002 (14.0 μ g m⁻³) (Zhang et al., 2007)."

Line 325: secondary nitrate --> nitrate

Changed.

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1	Chemical Characterization of Submicron Aerosol and Particle Growth
2	Events at a National Background Site (3295 m a.s.l.) on the Tibetan
3	Plateau
4	
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19 Abstract

20 Atmospheric aerosols exert highly uncertain impacts on radiative forcing and also have detrimental effects on human health. While aerosol particles are widely 21 characterized in megacities in China, aerosol composition, sources and particle 22 growth in rural areas in the Tibetan Plateau remain less understood. Here we present 23 the results from an autumn study that was conducted from 5 September to 15 October 24 2013 at a national background monitoring station (3295 m a.s.l.) in the Tibetan 25 Plateau. The submicron aerosol composition and particle number size distributions 26 were measured in situ with an Aerodyne Aerosol Chemical Speciation Monitor 27 28 (ACSM) and a Scanning Mobility Particle Sizer (SMPS). The average mass concentration of submicron aerosol (PM₁) is 11.4 μ g m⁻³ (range: 1.0 - 78.4 μ g m⁻³) for 29 the entire study, which is much lower than those observed at urban and rural sites in 30 eastern China. Organics dominated PM_1 on average accounting for 43%, followed by 31 sulfate (28%) and ammonium (11%). Positive matrix factorization analysis of ACSM 32 organic aerosol (OA) mass spectra identified an oxygenated OA (OOA) and a biomass 33 burning OA (BBOA). The OOA dominated OA composition accounting for 85% on 34 average, 17% of which was inferred from aged BBOA. The BBOA contributed a 35 36 considerable fraction of OA (15%) due to the burning of cow dung and straws in September. New particle formation and growth events were frequently observed (80% 37 of time) throughout the study. The average particle growth rate is 2.0 nm hr⁻¹ (range: 38 0.8 - 3.2 nm hr⁻¹). By linking the evolution of particle number size distribution to 39 aerosol composition, we found an elevated contribution of organics during particle 40 growth periods and also a positive relationship between the growth rate and the 41 fraction of OOA in OA, which potentially indicates an important role of organics in 42 particle growth in the Tibetan Plateau. 43

44

45 Keywords

46

Tibetan Plateau; ACSM; Submicron Aerosol; OOA; BBOA; Particle Growth

47 1 Introduction

48 High concentration of atmosphere aerosol associated with the rapid economic growth, urbanization and industrialization has become a major environmental concern 49 in China. Aerosol particles especially fine particles (PM2.5) have large impacts on 50 human health, natural ecosystem, weather and climate, radiative balance and the 51 self-purification capacity of troposphere (Jacobson, 2001; Tie and Cao, 2009). As a 52 result, a large number of studies have been conducted to investigate the sources, 53 chemical and physical properties, and evolution processes of aerosol particles at urban 54 and rural sites in China during the last decade (Cao et al., 2007; Wu et al., 2007; He et 55 56 al., 2011; Gong et al., 2012; Huang et al., 2012; Huang et al., 2013; Sun et al., 2013; Jiang et al., 2015). The results showed that fine particles are mainly composed of 57 organics, sulfate, nitrate, ammonium, mineral dust, and black carbon. The sources of 58 59 organic aerosol (OA) were also characterized and various OA factors from distinct sources were identified including primary OA (POA), e.g., hydrocarbon-like OA 60 (HOA), cooking OA (COA), biomass burning OA (BBOA) and coal combustion OA 61 (CCOA), and secondary OA (SOA), e.g., semi-volatile oxygenated OA (SV-OOA) 62 and low volatility OOA (LV-OOA) (Huang et al., 2010; Sun et al., 2010; He et al., 63 64 2011; Huang et al., 2011; Xu et al., 2014a; Sun et al., 2014). While previous studies significantly improve our understanding on the sources and chemical properties of 65 aerosol particles, they were mainly conducted in developed areas in China, including 66 Beijing-Tianjin-Hebei, Pearl River Delta and Yangtze River Delta. 67

The Tibetan Plateau ($\sim 2,000,000$ square kilometers) is the highest plateau in the 68 world with an average altitude of over 4000 meters above sea level. The Tibetan 69 Plateau is an ideal location for charactering rural and regional background aerosol due 70 to minor influences of anthropogenic activities. However, chemical characterization 71 72 of aerosol particles in the Tibetan Plateau is rather limited, and therefore their sources, 73 properties, and evolution processes are poorly known. Cong et al. (2015) reported the seasonal variations of various aerosol components including carbonaceous species 74 and water-soluble ionic species on the south edge of the Tibetan Plateau. Sulfate was 75 found to dominate the total ionic mass (25%) followed by nitrate. In addition, most 76

aerosol species showed pronounced season variations in the pre-monsoon period due 77 78 to biomass burning impacts from India and Nepal. Zhao et al. (2013) also characterized the chemical composition and sources of total suspended particulate 79 (TSP) at Lulang on the southeastern TP based on one year measurement. Similar 80 seasonal variations with higher concentrations during pre-monsoon were observed. 81 The back trajectory analysis showed evident transport of air pollutants from south 82 Asia to the TP. The analysis of size-segregated aerosol samples collected at a remote 83 site in the inland Tibetan Plateau during 2012 further confirmed the high 84 concentrations of organic carbon (OC) and elemental carbon (EC) during the 85 pre-monsoon period (Wan et al., 2015), although their concentrations in PM₁ (2.38 86 and 0.08 μ g m⁻³, respectively) were much lower than those reported in eastern China. 87 Most studies above were conducted in the southeastern Tibetan Plateau. 88 89 Comparatively, aerosol particles showed quite different behavior in the northeastern 90 Tibetan Plateau. Li et al. (2013) investigated the sources and chemical composition of fine particles collected at a remote site (Qinghai Lake) in the summer of 2010 in the 91 Tibetan Plateau. The average PM_{2.5} concentration was $22\pm13 \ \mu g \ m^{-3}$ with sulfate and 92 93 carbonaceous aerosol being the two major species. Xu et al. (2014b) conducted a year-long measurement of PM_{2.5} composition at the Qilian Shan Station, The annual 94 average concentration of $PM_{2.5}$ was 9.5 \pm 5.4 $\mu g~m^{-3}$ with water-soluble ions 95 accounting for 39% of total mass. Water-soluble ions were dominated by sulfate (39%) 96 and showed pronounced seasonal variations. The aerosol composition, size 97 distributions, and back trajectory analysis together indicated a mixed impact of both 98 mineral dust from arid areas of northwest China and anthropogenic emissions from 99 urban areas. However, previous extensive efforts to characterize the chemical 100 101 properties of aerosol particles in the Tibetan Plateau heavily rely on filter 102 measurements with the duration ranging from days to weeks, real-time measurement of aerosol particle composition is still very limited. A recent study by Xu et al. (2014a) 103 104 deployed a high-resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS) 105 at an urban site in Lanzhou in northwest China. The submicron aerosol in the city was dominated by organic aerosol (47%) with a large contribution from local traffic and 106 Δ

cooking emissions (40%). To our knowledge, there is no such real-time measurement
of aerosol particle composition with aerosol mass spectrometer at rural sites in the
Tibetan Plateau vet.

The study of new particle formation and growth events in the Tibetan Plateau is 110 also relatively new. Since 2004, a number of studies have been conducted to 111 investigate the new particle formation (NPF) and particle growth events in various 112 environments in China (Wu et al., 2007; Wiedensohler et al., 2009; Yue et al., 2010; 113 Zhang et al., 2011b; Wang et al., 2013a; Wang et al., 2013b). The NPF events were 114 frequently observed in urban cities, rural sites, coastal regions, and mountain sites. 115 116 Sulfuric acid was found to play a dominant role in both NPF and subsequent particle 117 growth, while organics makes an important contributor to particle growth (Yue et al., 118 2010). The particle growth rates varied largely depending on sites and days, yet generally fell within 1 - 20 nm hr⁻¹. Kivekas et al. (2009) conducted a long-term 119 measurement of particle number size distributions at Waliguan, a global regional 120 background sitebaseline site located approximately 140 km southwest of our sampling 121 122 site. The annual average particle number concentration was found to be higher than 123 other rural sites in the world. Despite this, the particle growth and its relationship to 124 chemical species in the Tibetan Plateau are rarely investigated and remain poorly 125 understood.

126 In this study, an Aerodyne Aerosol Chemical Speciation Monitor (ACSM) was first deployed at a national background monitoring site (Menyuan, Qinghai) in the 127 Tibetan Plateau for the real-time characterization of submicron aerosol composition 128 129 including organics, sulfate, nitrate, ammonium, and chloride from 5 September to 15 130 October, 2013. Collocated measurements including black carbon and particle number 131 size distributions were also conducted at the same site. Here we report the aerosol 132 composition and variations of submicron aerosols and investigate the sources of 133 organic aerosol with positive matrix factorization (PMF). In addition, the particle growth events are also characterized and the roles of chemical species in particle 134 growth are elucidated. 135

137 **2** Experimental method

138 **2.1 Sampling site**

139 The sampling site, i.e., the national atmospheric background monitoring station (NBS) (37°36'30"N, 101°15'26"E, 3295 m a.s.l.) is located on the Daban Mountain in 140 Menyuan, Qinghai province (Fig. 1). The sampling site is characterized by a typical 141 Plateau continental climate with a pleasantly cool and short summer, and a long cold 142 winter. The annual average temperature is $-1 \sim -2^{\circ}$ C, and the precipitation is 426 - 860 143 mm. In this study, ambient temperature averaged 4.9 °C (-8.7 - 17.9°C) and wind 144 speed varied largely with an average value of 3 m s⁻¹. In addition, several precipitation 145 146 events were also observed, particularly during the first half period of this study (Fig. 147 3). The diurnal profiles of meteorological conditions including temperature, relative 148 humidity, wind speed, and wind direction are shown in Fig. S1. The sampling site is 149 relatively pristine with most areas covered by typical Tibetan Plateau plants, e.g., potentilla fruticosa and kobresia etc. -There are no strong local anthropogenic source 150 emissions in this area (~ 741 km^2 with a population of ~2000) except occasional 151 152 biomass burning events due to burning of a large amount of straws in the middle of 153 September and cow dung at the end of the campaign (Li et al., 2015). that were 154 observed during this study. The capital city Xining of Qinghai province with a population of 2,290,000 is approximately 160 km south of the sampling site which is 155 156 connected by a national road G227 with few traffic vehicles.

157 **2.2 Instrumentation**

The field measurements were conducted from 5 September to 15 October 2013. 158 All the instruments were placed in an air-conditioned room with the temperature 159 maintaining at ~23 °C. The chemical compositions of non-refractory submicron 160 161 aerosol (NR-PM₁) species including organics (Org), sulfate (SO₄), nitrate (NO₃), 162 ammonium (NH_4) and chloride (Cl) were measured *in-situ* by an Aerodyne ACSM (Ng et al., 2011b). A PM_{2.5} cyclone (Model: URG-2000-30ED) was supplied in front 163 of the sampling line to remove coarse particles larger than 2.5 µm. The ambient air 164 was drawn inside the room through a 1/2 inch (outer diameter) stainless steel tube 165 using an external pump (flow rate is ~3 L min⁻¹). The sampling height is 166 6

approximately 2 m, and the particle residence time in the sampling tube is \sim 5s. A 167 168 silica gel diffusion dryer was then used to dry aerosol particles before sampling into 169 the ACSM. After passing through a 100 µm critical orifice, aerosol particles between $30 \text{ nm} - 1 \mu \text{m}$ are focused into a narrow particle beam via the aerodynamic lens in the 170 vacuum chamber, and then flash vaporized and ionized at a heated surface ($\sim 600^{\circ}$ C). 171 172 The positive ions generated are finally analyzed by a commercial quadrupole mass spectrometer. In this study, the mass spectrometer of ACSM was operated at a 173 scanning rate of 500 ms amu⁻¹ from m/z 10 to 150. The time resolution is 174 approximately 15 min by alternating 6 cycles between the ambient air and 175 176 particle-free air. The detailed operation of ACSM has been given in Sun et al.(2012).

In addition to ACSM measurements, a Scanning Mobility Particle Sizer (TSI, 177 3936) equipped with a long Differential Mobility Analyzer (DMA) was 178 179 simultaneously operated to measure the particle number size distributions between 11.8 nm - 478.3 nm at a time resolution of 5 min. Other collocated species 180 measurements included CO by a non-dispersive infrared analyser (M300EU), O₃ by a 181 UV photometric analyzer (Teledyne Instruments, Model 400EU), NO_x by a 182 183 commercial chemiluminescence analyzer (M200EU), and SO₂ by a pulsed UV fluorescence analyzer (M100EU) by various gas analyzers from Thermo Scientific 184 185 and black carbon (BC) by an Aethalometer (AE31, Magee Scientific Corp-). The 186 meteorological parameters, e.g., temperature, relative humidity, pressure, visibility, precipitation, wind speed and wind direct were also recorded at the same site. All the 187 188 data are reported with at ambient conditions at in Beijing Standard Time. Note that the 189 concentrations would be a factor of approximately 1.5 of the current values if the data 190 are converted to mass loadings at standard temperature and pressure (STP, 273K and 191 1013.25 hPa).

192 **2.3 Data analysis**

The ACSM data were analyzed within Igor Pro (WaveMetrics, Inc., Oregon USA) using the standard ACSM data analysis software (v.1.5.3.0). The mass concentrations and chemical composition of NR-PM₁ species were obtained using the default relative ionization efficiency (RIE) that is 1.4, 1.2, 1.1 and 1.3 for organics, sulfate, nitrate and chloride, respectively, except ammonium (6.5) that was derived from pure ammonium
nitrate during ionization efficiency (IE) calibration. A collection efficiency (CE) of 0.5
was used to account for the incomplete detection of aerosol species (Matthew et al.,
2008; Middlebrook et al., 2012) because aerosol particles were dry and only slightly
acidic, and also the mass fraction of ammonium nitrate is not high enough to affect
CE significantly.

The sources of organic aerosol were investigated by performing Positive Matrix 203 Factorization (PMF2.exe, v 4.2) on ACSM OA mass spectra (Paatero and Tapper, 204 1994; Ulbrich et al., 2009). PMF is a standard multivariate factor analysis model 205 206 broadly used in the field of air pollution source apportionment. The detailed PMF 207 analysis of organic aerosol from AMS measurements, including error matrix 208 preparation, data pretreatment, selections of the optimum number of factors and 209 rotational forcing parameter (FPEAK), and the evaluation of PMF solutions was given 210 in Ulbrich et al. (2009) and Zhang et al. (2011a). In this study, the organic mass spectra from m/z 12 to m/z 125 were used for the PMF analysis. Because of the 211 absence of collocated measurements, the two factor solution with fpeak = 0 and 212 Q/Q_{exp} close to 1 was chosen (see Fig. S2 for the PMF diagnostic plots). The two 213 factors including a biomass burning OA (BBOA) and an oxygenated OA (OOA) were 214 identified. The two OA factors showed largely different factor profiles and time series 215 216 indicating their distinct sources.

217 **3** Results and discussion

3.1 Mass concentration and chemical composition of submicron aerosol

219 Figure 2 shows a comparison of the total PM_1 mass (NR-PM₁ + BC) with that determined from the SMPS measurements. Assuming spherical particles, the SMPS 220 221 number concentrations were converted to the mass concentrations using chemically-resolved particle density that was estimated from the chemical 222 composition of PM₁ (Salcedo et al., 2006). As shown in Fig. 2, the time series of PM₁ 223 tracks well with that of SMPS measurements ($r^2 = 0.87$). The slope of 0.52 is likely 224 due to the limited size range of SMPS measurements (12 - 478 nm) by missing a 225 considerable fraction of large particles that ACSM can measure. The PM1 mass varied 226

dramatically throughout the study with hourly average concentration ranging from 1.0 227 to 78.4 μ g m⁻³. The average mass concentration of PM₁ (±1 σ) for the entire study is 228 11.4 (\pm 8.5) µg m⁻³, which is ~3 – 4 times lower than those observed at rural sites in 229 China (29.9 – 44.1 µg m⁻³) (Huang et al., 2011; Hu et al., 2013; Huang et al., 2013; 230 Zhang et al., 2014). It is also approximately twice lower than that $(24.5 \ \mu g \ m^{-3})$ 231 measured at an urban site in Lanzhou in the Tibetan Plateau in 2012 (Xu et al., 2014a). 232 While the average PM_1 mass concentration in this study is close to those observed at 233 the remote sites in Asia, e.g., Okinawa (14.5 μ g m⁻³) (Zhang et al., 2007a) and Fukue 234 $(12.0 \ \mu g \ m^{-3})$ (Takami et al., 2005) in Japan in 2003, and Jeju (8.6 $\mu g \ m^{-3})$ in Korea in 235 236 2001 (Topping et al., 2004), it is much higher than those reported at rural/remote sites in north America and Europe, e.g., Chebogue (2.9 µg m⁻³), Storm Peak in 2004 (2.1 237 μ g m⁻³) and Hyptiala in 2005 (2.0 μ g m⁻³), and even comparable to the loadings at 238 urban sites, e.g., New York City in 2004 (12 µg m⁻³), Pittsburgh (15 µg m⁻³), and 239 Manchester in 2002 (14.0 µg m⁻³) (Zhang et al., 2007a). These results suggest that the 240 Menyuan NBS is a typical rural site in Asia, yet with higher background 241 242 concentrations compared to those in other continents.

Figure 3 shows the time series of mass concentrations and mass fractions of 243 aerosol species in PM_1 . The average PM_1 composition is dominated by organics and 244 sulfate on average accounting for 43% and 28%, respectively. Black carbon and 245 chloride represent small fractions contributing 4.5% and 1.2%, respectively to PM₁. 246 As shown in Fig. 1, the aerosol composition at the Menyuan NBS is largely different 247 from that observed at the urban site in the Tibetan Plateau (Xu et al., 2014a). In 248 particular, sulfate shows $\sim 60\%$ higher contribution, yet BC is more than twice lower 249 than that observed at the urban site (Fig. 1). Xu et al. (2014a) found that 47% of BC 250 was from local traffic emissions which well explained the higher contribution of BC 251 252 at the urban site. Compared to this study, the average composition of PM_1 measured by the AMS at other rural sites in China showed similar dominance of sulfate (25 -253 34%) except Changdao Island (19%), yet overall higher contributions of nitrate 254 because most these rural sites are close to urban areas with high NO_x emissions. The 255 sulfate contributions become more dominant (36 - 64%) at remote sites in East Asia 256 9

which are far away from urban areas. The increase of sulfate contribution is 257 258 associated with a large reduction of nitrate contribution (< 5%). Such a change in 259 aerosol bulk composition at rural/remote sites in East Asia is shown Fig. 1. Overall, organics comprises the major fraction of PM_1 , contributing approximately one third of 260 the total mass at most sites. While sulfate plays a dominant role in PM₁ at remote sites, 261 262 nitrate shows the highest contribution at the rural sites in eastern China. Such compositional differences illustrate the different sources of sulfate and nitrate. While 263 264 sulfate is dominantly from regional sources and transport, nitrate is more likely influenced by anthropogenic NO_x emissions over a smaller regional areas. 265

266 Aerosol species also varied dramatically throughout the study. For example, the organics increased rapidly from 2.9 µg m⁻³ to 77.8 µg m⁻³ in one hour on 21 267 September. While sulfate remained small variations, nitrate, chloride, and BC showed 268 269 similar steep increases as organics indicating strong impacts of local biomass burning 270 (Zhang et al., 2015). Rapid decreases of aerosol species due to the precipitation of scavenging or wind direction change were also frequently observed. For a better 271 272 understanding aerosol composition under variable meteorological conditions and 273 sources, five episodes with two of them from clean periods are shown in Fig. 3d. The 274 aerosol composition varied largely among different episodes. While the average PM_1 mass concentrations during the two clean episodes are similar (3.6 and 3.8 μ g m⁻³), 275 the episode of Clean2 shows much higher contribution of organics (48% vs. 34%) 276 with slightly lower sulfate (33% vs. 36%) than Clean 1, consistent with their different 277 air mass trajectories (Fig. S3). The other three episodes show $\sim 5 - 8$ times higher 278 mass concentration of PM₁ (17.6 – 27.2 μ g m⁻³) than the two clean episodes. The Ep1 279 is dominated by organics (70%), almost twice of those during the other two episodes 280 281 suggesting a largely different source. The relative contributions of sulfate and 282 organics during Ep2 and Ep3 are different although the nitrate contribution is similar. 283 These results suggest that the national background site is subject to the influences of air masses from different sources, some of which are enriched with OA while others 284 are dominated with aerosols mainly composed of ammonium sulfates. We also 285 noticed that the two clean periods showed overall higher contribution of sulfate and 286 10

lower contribution of nitrate compared to the three pollution episodes. The possible reasons were likely due to that the air masses during clean periods were either from a longer transport when ammonium nitrate was deposited or evaporated due to dilution processes, or from less anthropogenic influenced regions with low NO_x emissions.

The aerosol particle acidity was evaluated using the ratio of measured NH_4^+ 291 $(NH_4^{+}_{meas})$ to the predicted NH_4^{+} $(NH_4^{+}_{pred} = 18 \times (2 \times SO_4 / 96 + NO_3 / 62 + Cl / 35.5)$ that 292 needs to fully neutralize sulfate, nitrate, and chloride (Zhang et al., 2007b). The 293 $NH_{4 meas}^{+}$ correlates tightly with $NH_{4 pred}^{+}$ (r² = 0.95), yielding a regression slope of 294 295 0.80. The results suggest that aerosol particles at the Menyuan NBS are overall acidic. 296 Similar acidic particles were also observed at other rural sites in China, e.g., Jiaxing in 297 Yangtze River Delta (Huang et al., 2013), Kaiping in Pearl River Delta (Huang et al., 2011), Yufa in Beijing (Takegawa et al., 2009), and Qilianshan Mountain in the 298 299 northeast of the Qinghai–Xizang Plateau (Xu et al., 2015). As a comparison, the 300 aerosol particles in the urban city Lanzhou in the Tibetan Plateau were overall neutralized (Xu et al., 2014a). One of the explanations is that more SO₂ is oxidized to 301 302 sulfate during the transport while gaseous ammonia is not enough to neutralize the 303 newly formed sulfate. This is supported by the overall higher contribution of sulfate at rural/remote sites than that at urban sites. Also note that the newly formed sulfate 304 particles during the frequent NPF events might also have played a role. 305

306 3.2 Diurnal variations

The diurnal cycles of aerosol species and PM₁ are shown in Fig. 4a. The PM₁ 307 shows a pronounced diurnal cycle with the concentration ranging from 7.9 to 13.4 μ g 308 m^{-3} . The PM₁ shows a visible peak at noon time and then has a gradual decrease 309 310 reaching the minimum approximately at 16:00. After that, the PM_1 starts to build up 311 and reaches the highest level at midnight. Such a diurnal cycle is similar to those of SO₂ and CO (Fig. 4d), which likely indicates that the major source of PM₁ at the 312 Menyuan NBS is from regional transport. All aerosol species present similarly 313 pronounced diurnal cycles to PM_1 with the lowest concentrations occurring 314 approximately at 16:00, indicating that the diurnal cycles of aerosol species were 315 mainly driven by the dynamics of planetary boundary layer. Organics dominated PM₁ 316

composition throughout the day varying from 38% - 51%. The concentration of 317 318 organics at 16:00 is approximately twice lower than that at midnight. Sulfate shows 319 the largest noon peak among all aerosol species, consistent with those of SO_2 and CO. The sulfate contributes more than 25% to PM₁ with the highest contribution as much 320 as 33% between 12:00 - 14:00. Nitrate and chloride shows relatively stable 321 concentrations before 11:00 and then gradually decreased to low ambient levels 322 323 during daytime. Such diurnal variations still exist after considering the dilution effects 324 of boundary layer height using the conserved tracer CO as a reference (Fig. 4c). This indicates that gas-particle partitioning affected by temperature and humidity has 325 326 played an important role in driving the diurnal variations of nitrate and chloride. Consistently, the nitrate contribution to PM_1 during late afternoon is ~7-8% which is 327 much lower than that (> 12%) in the early morning. The diurnal variation of BC is 328 329 different from that observed at the urban site in the Tibetan Plateau where the pronounced morning peak due traffic influences was observed (Xu et al., 2014a). In 330 fact, BC has a good correlation with secondary nitrate ($r^2 = 0.59$) indicating that BC is 331 likely dominantly from regional transport. This is also supported by the low ambient 332 levels of NO_x ($2.5 - 5.1 \mu g m^{-3}$). The contribution of BC to PM₁ is relatively constant, 333 which is $\sim 4 - 5\%$ throughout the day. 334

335 **3.3 OA composition and sources**

PMF analysis of ACSM OA mass spectra identified two factors, i.e., a biomass
burning OA (BBOA) and an oxygenated OA (OOA). The mass spectra and time
series of the two OA factors are shown in Fig. 5.

339 **3.3.1 BBOA**

The mass spectrum of BBOA resembles to that of standard BBOA ($r^2 = 0.82$) which is characterized by a prominent peak of m/z 60 (1.1% of total signal), a tracer m/z for biomass burning aerosols (Aiken et al., 2009; Cubison et al., 2011; Hennigan et al., 2011). The fraction of m/z 60 in BBOA (1.1%) is also much higher than ~0.3% in the absence of biomass burning impacts (Cubison et al., 2011). BBOA correlates tightly with m/z 60 ($r^2 = 0.82$) and also chloride ($r^2 = 0.52$). The ratio of BBOA to m/z60 is 55.6, which is higher than that of fresh BBOA (34.5) measured during the

second Fire Lab at Missoula Experiment (FLAME II) (Lee et al., 2010). One of the 347 348 explanations is that BBOA in the ambient is more aged because the m/z 60 related 349 levoglucosan can be rapidly oxidized in the atmosphere (Hennigan et al., 2010). 350 Indeed, Zhang et al. (2015) reported a much higher ratio of aged BBOA to m/z 60 351 (74.8) than fresh BBOA (16.8) during two harvest seasons in Nanjing, China. The 352 time series of BBOA shows periodically large peaks, particularly on the days of 21 353 and 22 September, which were mainly from the burning of a large amount of straws in 354 the south-west region. Relatively high concentration of BBOA was also observed at the end of the campaign due to the burning of cow dung for heating purpose because 355 of the low temperature. The average concentration of BBOA is 0.8 (\pm 1.5) µg m⁻³ for 356 the entire study on average accounting for 15% of total OA. Although the average 357 BBOA contribution is much lower than those measured in PRD, e.g., Jiaxing (~3.9 µg 358 m⁻³, 30.1%) (Huang et al., 2013), Kaiping (~1.36 µg m⁻³, 24.5%) (Huang et al., 2011), 359 and Shenzhen (~5.2 µg m⁻³, 29.5%) (He et al., 2011), the contribution of BBOA 360 during some strong BB plumes can reach up to 40%, e.g., 21-22 September, 361 362 indicating a large impact of biomass burning on OA at the national background site. 363 BBOA showed a pronounced diurnal cycle which is similar to that of chloride (Fig. 364 5c). The BBOA concentration increased rapidly from 18:00 and reached a maximum 365 in 2 hours, likely indicating that the burning of straws and cow dung mainly occurred during this period of time. As a result, the contribution of BBOA to total OA increased 366 from $\sim 10\%$ to more than 20%. 367

368 3.3.2 Oxygenated organic aerosols (OOA)

Similar to previously reported OOA (Zhang et al., 2005), the mass spectrum of 369 OOA in this study is characterized by a prominent m/z 44 peak (mainly CO₂⁺). The 370 mass spectrum of OOA also resembles to that of low-volatility OOA ($r^2 = 0.88$) (Ng 371 et al., 2011a), yet with higher fraction of m/z 44 (f_{44}). Much higher fraction of m/z 44 372 in ACSM OOA spectrum than that from HR-ToF-AMS was reported recently by a 373 374 comprehensive evaluation of the ACSM (Fröhlich et al., 2015). The results also showed that f_{44} has minor impacts on the mass concentrations of OOA factors, 375 although it varies largely by a factor of 0.6 - 1.3. The average mass concentration of 376

OOA is 4.1 µg m⁻³, on average accounting for 85% of total OA. The OOA 377 378 contribution is much higher than those reported at urban sites in summer ($\sim 60\%$) 379 (Huang et al., 2010; Sun et al., 2012; Xu et al., 2014a), and also higher than those $(\sim 70\%)$ observed at rural sites in China (Hu et al., 2013; Huang et al., 2013). These 380 results suggest that organic aerosol was highly aged and well processed at the 381 382 Menyuan NBS. In addition, aqueous-processing of OA at nighttime associated with high RH might also played a role in forming the highly oxidized OA. The diurnal 383 384 cycle of OOA was similar to that of PM_1 , which showed a small peak before noon time followed by a subsequent decrease until 16:00. The OOA dominated OA 385 throughout the day varying from 80 - 90%, indicating that OA at the Menyuan NBS 386 387 was mainly composed of secondary organic aerosol.

388 Previous studies have shown the ubiquitously tight correlations between sulfate 389 and highly oxidized OA because of their similar secondary nature over regional scales (Zhang et al., 2005; DeCarlo et al., 2010). While the OOA correlates well with 390 391 secondary sulfate for most of the time in this study, several periods with largely different correlations were also observed (Fig. 6). As shown in Figs. 5b and 6, the 392 393 weak correlation events mainly occurred during periods with strong biomass burning 394 impacts were observed. However, it cannot be resolved by extending PMF solution to 395 more than 2 factors because of the limitation of PMF technique in source apportionment analysis. Similar different correlations between sulfate and LV-OOA 396 were also observed during two research flights in Mexico City and the Central 397 Mexican Plateau (DeCarlo et al., 2010). Following the approach suggested by 398 399 DeCarlo et al. (2010), we performed a post-processing technique with external tracers 400 on the further apportionment of OOA. We first assume that OOA and sulfate have 401 similar sources during periods in the absence of biomass burning impacts, which is supported by their tight correlations ($r^2 = 0.74$). An average OOA/SO₄ ratio of 1.04, 402 i.e., (OOA/SO₄)_{NBB}, was obtained by performing a linear regression analysis on OOA 403 versus SO₄. We then assume that SO₄ is completely from non-biomass burning (NBB) 404 405 sources during BB-impact periods. This assumption is rational because previous studies have found that fresh biomass burning emits a very small or negligible 406 14

fraction of sulfate (Levin et al., 2010). The sulfate-related OOA can be calculated as OOA× $[OOA/SO_4]_{NBB}$, and the excess OOA that is from different sources is then determined as:

410
$$OOA_{post-processed} = OOA - SO_4 \times [OOA/SO_4]_{NBB}$$
 (1)

411 Because the post-processed OOA shows high concentrations during BB periods, we 412 conclude that it's very likely an aged BBOA that was mixed with OOA. In fact, the mass spectrum of OOA_{post-processed} is similar to that of OOA. The fraction of m/z 60 (f_{60}) 413 is 0.29%, which is very close to $\sim 0.3\%$ for non-biomass burning organic aerosol 414 (Aiken et al., 2008). Smog chamber experiments have shown that fresh BBOA can be 415 rapidly oxidized within 3 – 4.5 hours (Hennigan et al., 2011). While f_{44} increases 416 significantly, f_{60} quickly decreases to a value close to ~0.3%. Similarly, a recent study 417 418 in Nanjing resolved an aged BBOA factor with its spectrum resembling to that of OOA yet with much lower f_{60} (Zhang et al., 2015). The average concentration of aged 419 BBOA is 0.82 (\pm 2.65) µg m⁻³, accounting for 17% of OA for the entire study. The 420 421 contribution of aged BBOA is close to that of fresh BBOA, which might indicate that 422 half of BBOA has been aged. Still, the sum of fresh and aged BBOA highly correlates with m/z 60 (r² = 0.81, slope = 136.1). The fresh and aged BBOA together accounted 423 424 for 33% of the total OA suggesting that BBOA was a large local source of OA during 425 the observational period. With the post-processing technique, the sulfate-related OOA 426 contributed 67% on average of total OA, which is close to those observed at other rural sites in e.g., Kaiping (Huang et al., 2011) and Changdao (Hu et al., 2013). 427

428 **3.4 Chemistry of particle growth**

429 Figure 7a shows the evolution of size distributions of particle number 430 concentrations for the entire study. New particle formation and growth events (NPE) 431 were observed almost every day (27 days in 34 days). Most NPE started at \sim 11:00 432 (The time of sunrise is 2 hours behind of Beijing standard time) and persisted more 433 than half day except some NPE were interrupted by either precipitation events or 434 strong winds. The average particle number size distributions during NPE and non 435 event days (non-NPE) are shown in Fig. 7b. Both NPE and non-NPE show broad size distributions with higher number concentrations occurring during NPE. Three modes 436

with geometric mean diameter (GMD) peaking at 28 nm, 43 nm, and 104 nm, 437 438 respectively were resolved using a log-normal distribution fitting (Seinfeld and Pandis, 439 2006). The largest mode (104 nm) dominated the total number of particles accounting for \sim 70%. In contrast, the average size distribution during non-NPE was characterized 440 by a bi-modal distribution with the GMD peaking at 59 nm and 146 nm, respectively. 441 442 The peak diameters were shifted to the larger sizes compared to those during NPE. 443 Such a size shift from clean days to polluted days was also observed previously in Beijing (Yue et al., 2010). Also, the two modes showed almost equivalent 444 contributions to the total number of particles. The average particle number 445 concentration for the entire study is 2.4×10^3 cm⁻³, which is nearly an order of 446 magnitude lower than those reported at rural sites in eastern China (Wu et al., 2007), 447 but close to that $(2.03 \times 10^3 \text{ cm}^{-3})$ observed at Mount Waliguan which is a remote site 448 located nearby (Kivekas et al., 2009). The particle size was further segregated into 449 small Aitken mode $(20 - 40 \text{ nm}, N_{20-40})$, large Aitken mode $(40 - 100 \text{ nm}, N_{40-100})$, 450 and Accumulation mode $(100 - 470 \text{ nm}, N_{\text{Accu}})$ particles. The time series and diurnal 451 cycles of particle numbers for three different sizes are shown in Fig. 7c, d. The N_{20-40} 452 453 presented sharp peaks almost in everyday corresponding to new particle formation 454 events. The diurnal cycle of N_{20-40} showed that the number concentration started to increase at approximately 11:00 (150 cm⁻³) and reached a maximum at 14:00 (770 455 cm⁻³). In contrast, the N_{40-100} and N_{Accu} showed largely different diurnal cycles from 456 that of N_{20-40} , indicating their different sources. In fact, the diurnal cycles of N_{40-100} 457 and NAccu are remarkably similar to those of aerosol species, suggesting that the large 458 459 particles are more likely from regional transport.

Figure 8 shows the diurnal evolution of particle number size distributions, aerosol composition, and gaseous species during NPE and non-NPE days. The particle number size distributions during NPE were characterized by distinct bimodal distributions showing a persistent larger mode with the GMD peaking at ~100 nm, and a smaller mode below 50 nm. The particle growth started at approximately 11:00 from ~20 nm, and continued to grow slowly until ~45 nm at mid-night. The maximum size particles can grow in this study is generally smaller than those (~60 – 70 nm) 16 467 observed at urban and rural sites in Beijing (Wang et al., 2013a), which is likely due 468 to the much lower concentrations of aerosol species and precursors. All aerosol 469 species however showed decreases during the particle growth period between 12:00 -17:00, and the gaseous CO and SO_2 showed similar variations as aerosol species. By 470 excluding the dilution effect of PBL using CO as a tracer, we found that organics was 471 472 the only species showing a gradual increase during the particle growth period (Fig. 8a) 473 while other species remained minor changes or even slightly decreased. The 474 contribution of organics to PM_1 also showed a corresponding increase from 40% to 47%. These results suggest that organics might have played a dominant role in 475 476 particle growth at the national background site. Our conclusion is consistent with the 477 recent findings that organics, particularly oxidized organic aerosol species, play a 478 more important role than ammonium sulfate in particle growth (Dusek et al., 2010; 479 Ehn et al., 2014; Setyan et al., 2014). Also note that the contribution of organics to PM_1 during NPE (~40 - 50%) is overall higher than that during non-NPE (~30 -480 40%), while the sulfate contribution is correspondingly lower ($\sim 20 - 30\%$ vs. 30 - 30% vs. 30 - 30%481 482 40%), which further supports the important role of organics during NPE. The particle 483 growth was mixed with anthropogenic sources from 17:00 which are indicated by 484 synchronous enhancements of both aerosol species and gaseous precursors. One of 485 possible reasons is due to the air mass transport from downwind urban areas.

The diurnal evolution of particle size distributions and aerosol composition 486 during non-NPE is largely different from that during NPE. The particle number size 487 distributions and mass concentrations of aerosol species showed a dramatic variation 488 at noon time (12:00), indicating a very different chemical and/or physical process 489 490 between the first and the second half day. The aerosol particles showed an evident 491 growth from \sim 50 nm to 60 nm during the first 6 hours, which is likely a continuation 492 of previous NPE. Compared to the early stage of particle growth during NPE, the 493 particle growth during non-NPE is associated with synchronous increases of both organics and sulfate. The results indicate that both organics and sulfate contribute to 494 495 the particle growth after mixed with anthropogenic sources from $\sim 18:00$ in the previous day. 496

We further calculated the particle growth rates (GR) for NPE events withoutinterruptions due to meteorological changes using Eq. (2).

 $GR = \frac{\Delta D_m}{\Delta t}$

(2)

499

Wwhere D_m is the geometric mean diameter from the log-normal fitting, ΔD_m is the 500 501 difference of diameter during the growth period and Δt is the duration of growth time. 502 The calculated GR and the corresponding average chemical composition and fraction of OOA during the growth period are shown in Fig. 9a. The GR ranges from 0.8 nm 503 h⁻¹ to 3.2 nm h⁻¹ with an average of 2.0 nm h⁻¹. The GR in this study is overall 504 505 consistent with those observed at remote and/or forest sites (Eisele and McMurry, 506 1997; Weber et al., 1997), yet generally smaller than those measured at urban and 507 polluted rural sites (Yue et al., 2010; Shen et al., 2011; Zhang et al., 2011b) where 508 abundant condensable vapor and high concentrations of particulate matter facilitate 509 the growth of particles (Wang et al., 2013a). By linking GR to aerosol composition, 510 we found that GR at the background site is positively related to the fraction of 511 oxidized OA, which likely indicate the important role of oxidized secondary organic 512 aerosol in particle growth (Ehn et al., 2014). Zhang et al. (2011b) also observed a 513 tight correlation between OOA and GR in urban Beijing supporting the important role 514 of OOA in particle growth. Further investigation is needed for a better understanding 515 of the role of organic aerosol, particularly oxidized OA, in the new particle formation 516 and particle growth at the regional background site.

517 4 Conclusions

518 The aerosol particle composition and particle number size distributions were 519 measured at a national background monitoring station in the Tibetan Plateau (3295 m, a.s.l.) from 5 September to 15 October 2013. The average mass concentration of PM_1 520 is 11.4 (\pm 8.5) µg m⁻³ for the entire study, which is lower than those observed at urban 521 and rural sites in eastern China. Organics constituted the major fraction of PM₁, on 522 average accounting for 43% followed by sulfate (28%) and ammonium (11%). 523 524 Several periods with the contribution of organics as much as 70% due to biomass 525 burning impacts were also observed. All aerosol species presented similar diurnal

cycles that were mainly driven by the dynamics of planetary boundary layer and 526 527 regional transport. PMF source apportionment analysis resolved a secondary OOA 528 and a primary BBOA. OOA dominated OA composition accounting for 85% on average with the rest being BBOA. A post-processing technique based on the 529 correlation of OOA and sulfate separated an aged BBOA which on average accounted 530 for 17% of OA. New particle formation and particle growth events were frequently 531 observed during this study. The particle growth rates varied from 0.8 to 3.2 nm hr⁻¹ 532 with an average growth rate of 2.0 nm hr⁻¹. Organics was found to be the only species 533 with gradually increased contribution to PM₁ during NPE. Also, higher contribution 534 535 of organics during NPE than non-NPE days was observed. These results potentially 536 illustrate the important role of organics in particle growth. Further analysis showed a positive correlation of particle growth rate with the fraction of OOA suggesting that 537 538 oxidized OA plays a critical role contributing to the particle growth.

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804 Tables

Table 1. A summary of average mass concentrations ($\mu g m^{-3}$) of PM₁ species during five episodes and the entire study. The 30 min detection limit (DLs) of the ACSM is also shown (Sun et al., 2012).

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	Org	SO_4	NO_3	$\rm NH_4$	CI	BC	\mathbf{PM}_{1}
Entire Study	4.9	3.2	1.2	1.4	0.14	0.51	11.4
Clean1	1.2	1.3	0.25	0.58	0.02	0.22	3.6
Clean2	1.8	1.3	0.24	0.45	0.03	-	3.8
Ep1	19.1	2.1	2.7	1.4	0.53	1.4	27.2
Ep2	6.3	5.9	2.0	2.6	0.18	0.57	17.6
Ep3	10.8	6.0	2.9	2.6	0.39	1.0	23.7
DLs	0.54	0.07	0.06	0.25	0.03		

809

811 Figure Captions:

812 Figure 1. Map of the sampling site (Menyuan, Qinghai). Also shown is the chemical 813 composition of submicron aerosols (NR-PM₁ + BC if it was available) measured at 814 selected rural/remote sites in East Asia except Lanzhou, an urban site in northwest 815 China. The two dotted blue lines are used to guide eyes for the three rural/remote 816 regions from the west to the east. The detailed information of the sampling sites is 817 presented in Table S1. Figure 1. Map of the sampling site (Menyuan, Qinghai). Also shown is the chemical composition of submicron aerosols measured at selected 818 819 rural/remote sites in East Asia except Lanzhou, an urban site in northwest China. The detailed information of the sampling sites is presented in Table S1. 820

Figure 2. Comparison of the mass concentrations of PM_1 (NR-PM₁ +BC) measured by the ACSM and Aethalometer with that by the SMPS ($D_m = 12 - 478$ nm): (a) time

series and (b) scatter plot.

Figure 3. Time series of (a-c) meteorological variables including T (temperature), RH

825 (relative humidity), Precip. (precipitation), WS (wind speed), WD (wind direction),

and Vis (visibility), (d) mass concentrations and (e) mass fractions of PM_1 species .

The pie charts show the average chemical composition of PM_1 for five episodes.

Figure 4. Average diurnal cycles of (a) mass concentration; (b) mass fraction of PM_1 species; (c) ratios of aerosol species to CO, and (d) gaseous species. The local sunrise and sunset was around 7:00 and 19:00, respectively.

Figure 5. (a) Mass spectra and (b) time series of mass concentrations of BBOA and OOA. The standard average mass spectra of BBOA and OOA in Ng et al. (2011)are also shown for the comparison. The pie chart in (b) shows the average composition of OA for the entire study.

Figure 6. Scatter plot of OOA versus SO₄ during BB and NBB periods. The data points are color coded by BBOA concentrations. The pie chart shows the average composition of OA with post-processed OOA (= OOA – SO₄× [OOA/SO₄]_{NBB}).

Figure 7. (a) The evolution of particle number size distributions; (b) average particle number size distributions during NPE and non-NPE; (c,d) time series and diurnal cycles of particle number concentrations for three different sizes. The log-normal distribution fitting of each mode is shown in (b) as dash lines. The sunrise time was around 7:00.

Figure 8. Diurnal evolution of particle size distributions, aerosol composition, gaseous
precursors, and the ratios of aerosol species to CO during (a) NPE and (b) non-NPE.
The sunrise time was approximately 7:00.

Figure 9. (a) Time series of OOA/PM₁, particle growth rates, and average chemical 27

composition during particle growth periods; (b) correlation of growth rate with OOA/PM₁. The data points are color coded by the PM_1 mass concentration.

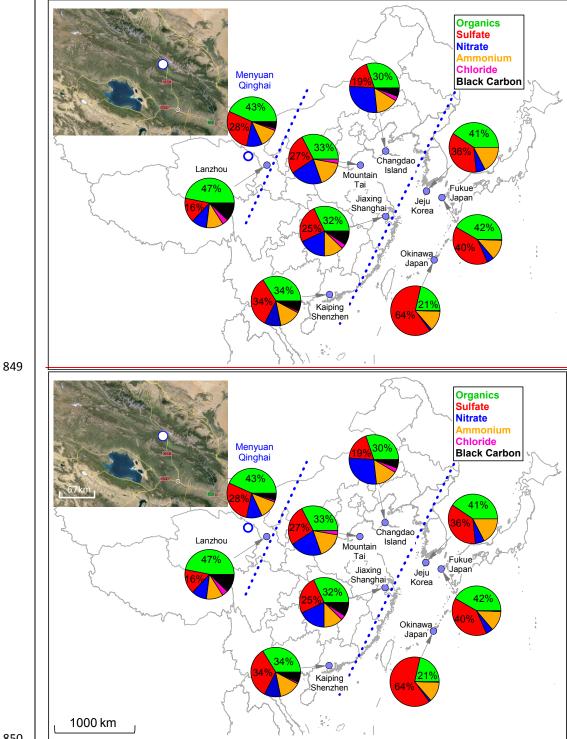


Figure 1. Map of the sampling site (Menyuan, Qinghai). Also shown is the the chemical composition of submicron aerosols (NR-PM₁ + BC if it was available) measured at selected rural/remote sites in East Asia except Lanzhou, an urban site in

northwest China. The two dotted blue lines are used to guide eyes for the three
rural/remote regions from the west to the east. The detailed information of the
sampling sites is presented in Table S1.



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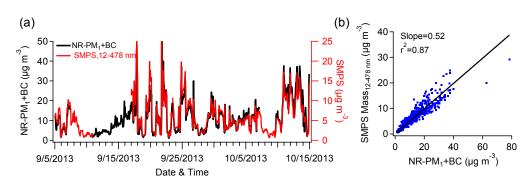
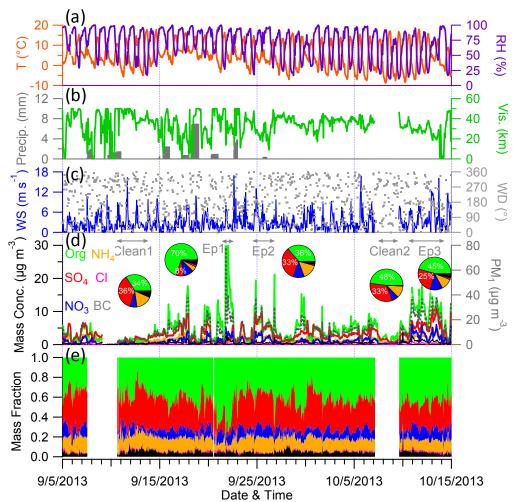


Figure 2. Comparison of the mass concentrations of PM_1 (NR-PM₁ +BC) measured by the ACSM and Aethalometer with that by the SMPS ($D_m = 12 - 478$ nm): (a) time



series and (b) scatter plot.

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(relative humidity), Precip. (precipitation), WS (wind speed), WD (wind direction),
and Vis (visibility), (d) mass concentrations and (e) mass fractions of PM₁ species .
The pie charts show the average chemical composition of PM₁ for five episodes.

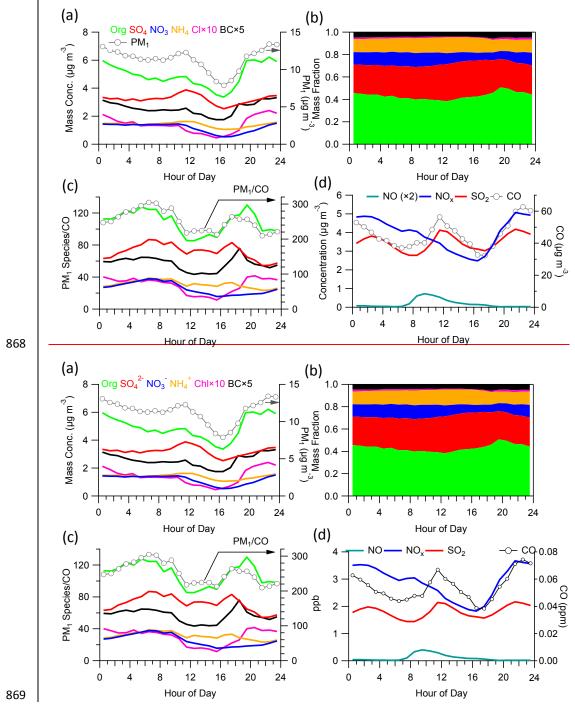
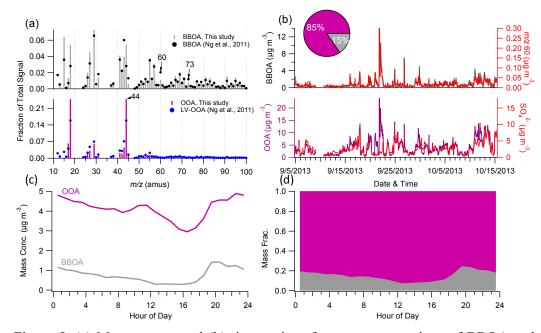


Figure 4. Average diurnal cycles of (a) mass concentration; (b) mass fraction of PM_1 species; (c) ratios of aerosol species to CO, and (d) gaseous species. The local sunrise and sunset was around 7:00 and 19:00, respectively.



873

Figure 5. (a) Mass spectra and (b) time series of mass concentrations of BBOA and OOA, (c) and (d) show the average diurnal cycles of BBOA and OOA. In addition, the standard average mass spectra of BBOA and OOA in Ng et al. (2011) are also shown in (a) for the comparison. The pie chart in (b) shows the average composition of OA for the entire study.

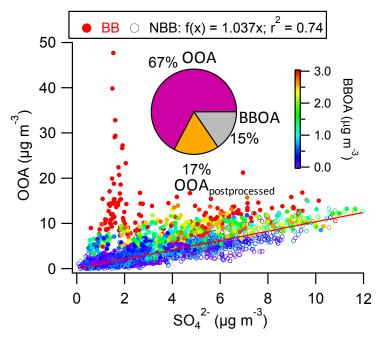


Figure 6. Scatter plot of OOA versus SO₄ during BB and NBB periods. The data points are color coded by BBOA concentrations. The pie chart shows the average composition of OA with post-processed OOA (= OOA – SO₄× [OOA/SO₄]_{NBB}).

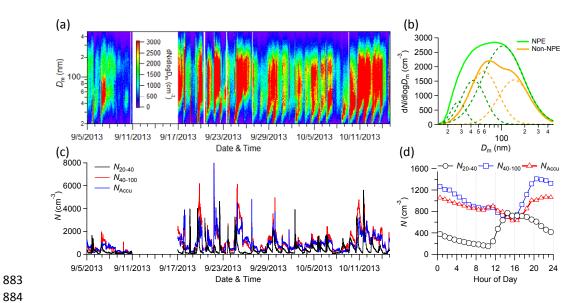
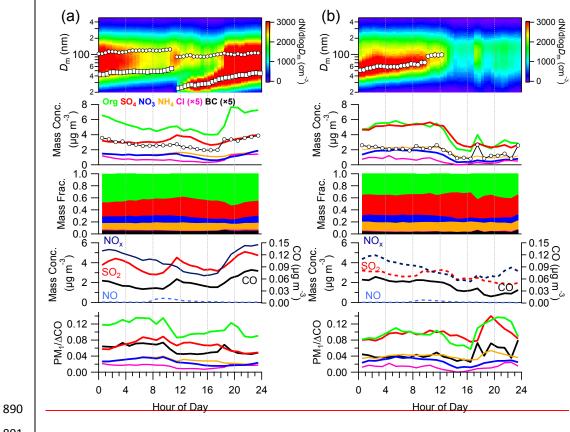
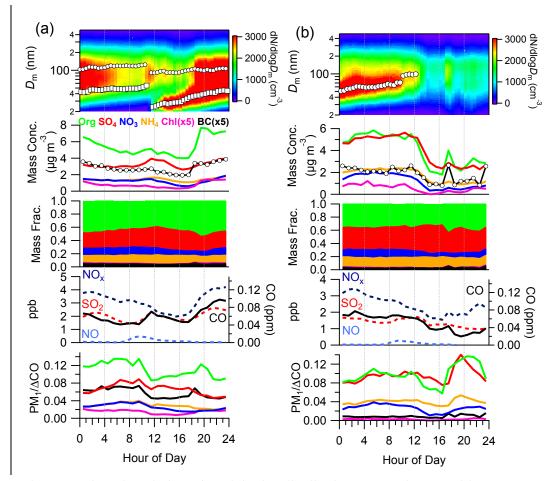


Figure 7. (a) The evolution of particle number size distributions; (b) average particle number size distributions during NPE and non-NPE; (c,d) time series and diurnal cycles of particle number concentrations for three different sizes. The log-normal distribution fitting of each mode is shown in (b) as dash lines. The sunrise time was around 7:00.





892

Figure 8. Diurnal evolution of particle size distributions, aerosol composition, gaseous
precursors, and the ratios of aerosol species to CO during (a) NPE and (b) non-NPE.
The sunrise time was approximately 7:00.

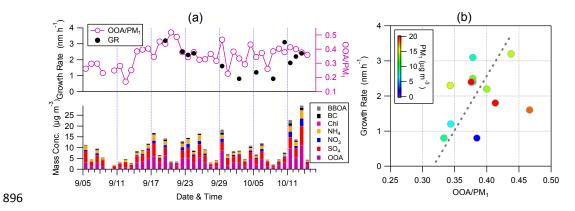


Figure 9. (a) Time series of OOA/PM₁, particle growth rates, and average chemical composition during particle growth periods; (b) correlation of growth rate with OOA/PM₁. The data points are color coded by the PM₁ mass concentration.