

Response to Referee #1.

Thank you very much for reviewing our manuscript.

Interactive comment on “Global temperature response to the major volcanic eruptions in multiple reanalysis datasets” by M. Fujiwara et al.

Anonymous Referee #1

Received and published: 15 June 2015

General Comments

This paper analyses the representation of changes to temperature in several reanalysis datasets to different recent and significant volcanic eruptions, mainly Mount Agung, El Chichon and Pinatubo. The temperature response to volcanoes is examined by removing signals from other sources of variability using linear regression. It is found that the reanalyzes have similar responses in the lower stratosphere and in the upper troposphere for a given eruption but there are differences in the response between individual eruptions.

In terms of the stated goal to evaluate the reanalyzes the paper does a good job in a clear and systematic manner. Below are a few comments.

Specific Comments

page 13318, line 15: As pointed out here differences in the response of each reanalysis may be a product of issues with the observations, the model or a combination of both. Since this paper is focused on temperature, albeit a spatial distribution, it would be useful to have some indication of the diversity of the observations used by the reanalyzes. Is there some indication that the response seen in the paper is more affected by the observations or the model?

The major observational sources of atmospheric (upper-air) temperature are radiosondes and satellite microwave and infrared sounders. The latter satellite sounders include the SSU and MSU instruments (in the TOVS^{*1}) suite) on several operational satellites (mostly the “NOAA” satellites) from 1979, and AMSU-A instrument (in the ATOVS^{*2}) suite) on several operational satellites from 1998. All the reanalysis datasets except the 20CR assimilated these datasets. (Note that the NCEP-1 and NCEP-2 used retrieved temperature data from these satellite instruments, while the others, i.e., the newer ones, directly assimilated original radiance data by using a radiative transfer model.) In addition, aircraft

temperature observations were also assimilated in most reanalysis datasets (except for JRA-25, JRA-55, and 20CR), but their impacts are limited to the region around 200-300 hPa and mostly to the Northern Hemisphere (see, e.g., discussion by Rienecker et al. (2011) for their Fig. 16). Also, the ERA-Interim, NCEP-CFSR, and JRA-55 assimilated data from the GNSS^{*3)}/GPS^{*4)} Radio Occultation temperature measurements from 2001 onward (CHAMP^{*5)}: 2001-2008; FORMOSAT-3/COSMIC^{*6)}: from 2006 onward; and MetOp-A^{*7)}: from 2008 onward), but these observations do not cover the periods of the volcanic eruptions considered in this study; thus, their impacts on our results are only indirect through the evaluation of other forced variabilities. In summary, the original upper-air temperature data assimilated are basically common for all the reanalysis datasets except for the 20CR.

*1)TOVS: Television Infrared Observation Satellite (TIROS) Operational Vertical Sounder

*2)ATOVS: Advanced TIROS Operational Vertical Sounder

*3)GNSS: Global Navigation Satellite System

*4)GPS: Global Positioning System

*5)CHAMP: CHALLENGING Minisatellite Payload

*6)FORMOSAT-3/COSMIC: Constellation Observing System for Meteorology, Ionosphere, and Climate on the Republic of China Satellite (ROCSat) renamed to FORMOSAT

*7)MetOp-A: MetOp is a series of three polar orbiting meteorological satellites operated by the European Organisation for the Exploitation of Meteorological Satellites (EUMETSAT)

There are three components that differ in different reanalysis systems: (1) detailed “bias correction” methods (or, quality control, in other words) for the original radiosonde and microwave/infrared sounder data before the assimilation, (2) the assimilation scheme, and (3) the forecast model. Therefore, we can say that the main causes of the overall temperature difference among the reanalysis datasets (except for 20CR) are these three factors rather than the choice of original observations. For the temperature response to the volcanic eruptions, the same can be said. The reanalysis system is an operational analysis system at a particular time (see Table 1, the fourth column, of Mitchell et al. (2015)), and the operational analysis system has been continuously improved over time with the main motivation to improve the tropospheric weather prediction (at least at the ECMWF, JMA, and NOAA). Therefore, in principle, newer reanalysis datasets are considered to be better at all the above three components, and this would explain the differences shown in our study between the older (e.g., NCEP-1, NCEP-2, ERA-40, and JRA-25) and the newer (ERA-Interim, NCEP-CFSR, MERRA, and JRA-55) reanalysis datasets. The differences among the newer reanalysis datasets, which are smaller, are also due to the differences at these three components.

We have added a paragraph discussing these points in Introduction (the 4th paragraph) of the revised

manuscript.

page 13320, line 5: Same question as above. Do all of the reanalyzes assimilate the datasets?

Please see above.

page 13320, line 7: It is mentioned here and elsewhere in the text that 20CR uses annual average volcanic aerosols. Is there a reference how this is done? It is not clear in Compo et al., 2011 or Saha et al., 2010. Could this affect your analysis applied to this reanalysis? For example, if we assume that an annual average is for the period January to December of a given year then for Pinatubo the model erupted in January rather than June of 1991. Given the method to determine the volcanic signal (Page 13321, line 25) won't the pre-eruption period be affected?

This is a very good point.

We communicated with Gilbert Compo and Craig Long again and found that the descriptions in Compo et al. (2011) need to be revised. The following is the correct one, which have been included in the revised manuscript (Section 2, the first paragraph):

The atmospheric forecast model of the 20CR v2 is nearly the same as used in the NCEP-CFSR but with a lower resolution. For both reanalysis datasets, monthly latitudinally-varying distributions of volcanic aerosols (averaged for 4 bands, i.e., 90N-45N, 45N-equator, equator-45S, and 45S-90S) were specified based on data from Sato et al. (1993), and a monthly climatological global distribution of aerosol vertical profiles on a 5° grid was specified based on data from Koepke et al. (1997) (G. Compo and C. Long, private communication, 2015).

Koepke, P., Hess, M., Schult, I., and Shettle, E. P.: Global aerosol data set, Report No. 243, Max-Planck-Institut für Meteorologie, Hamburg, ISSN 0937-1060, 44 pp., 1997.

page 13323, line 10: Which aerosol dataset does 20CR use? It is not clear in Compo et al., 2011 and Saha et al., 2010.

Please see above.

Technical corrections

page 13323, line 25: "SD" is not defined in the paper.

SD means standard deviation. We have defined it where it first appears.

Response to Referee #2.

Thank you very much for reviewing our manuscript.

Interactive comment on “Global temperature response to the major volcanic eruptions in multiple reanalysis datasets” by M. Fujiwara et al.

Anonymous Referee #2

Received and published: 23 June 2015

The paper focuses on the important scientific problem of quantification of climatic responses to volcanic eruptions in the second half of the 20th century using nine available reanalysis data sets. The authors study zonal mean latitude-altitude pattern of temperature response. The text is quite condensed and in parts could be more explanative. Despite an interesting work was done, the major objectives are not clearly formulated. They are not collected in one place but scattered throughout the paper. The conclusions are weak and not really informative. Please see the specific comments below.

Abstract: Please outline what is the major purpose of the study.

The major purpose of this study is to investigate the global temperature response to the volcanic eruptions using all available reanalysis datasets by highlighting common and different response signals among older and newer reanalysis datasets. An atmospheric reanalysis system provides a best estimate of the true atmospheric state and is an operational analysis system at a particular time (e.g., 1995 for the NCEP-1 system and 2009 for the JRA-55 system; see Table 1, the fourth column, of Mitchell et al. (2015)). The operational analysis system has been continuously improved at each reanalysis centre, with the main motivation to improve the tropospheric weather prediction (at least for the ECMWF, JMA, and NOAA). The consistencies and differences among different reanalysis datasets will provide a measure of the confidence and uncertainty of our current understanding of the volcanic response. Therefore, the results of this intercomparison study may be useful for validation of climate model responses to volcanic forcing and for assessing proposed geoengineering by stratospheric aerosol injection. Finally, the intercomparison results of this paper can also link studies using only a single reanalysis dataset to other studies using a different reanalysis dataset.

We have added these points to the Abstract of the revised manuscript.

P 13318, L 17-20: Did you make any conclusions regarding data quality and reanalysis improvements?

The recent four reanalysis datasets, i.e., JRA-55, MERRA, ERA-Interim, and NCEP-CFSR, showed similar signals for the El Chichon and Mount Pinatubo eruptions from the 1979-2009 analysis. Thus, these four reanalysis datasets are equally good for studies on the response to these two eruptions. The NCEP-1, NCEP-2, and JRA-25 showed different tropical stratospheric signals particularly for the El Chichon eruption. The use of older analysis systems may be the cause of these different signals. For the JRA-25, the known stratospheric cold bias in the radiative scheme of the forecast model should be part of the reason. The 20CR has no QBO because upper-air observations were not assimilated, and thus is not suitable for the study of this kind. However, the 20CR applied volcanic aerosols in the forecast model and showed volcanic signals at least qualitatively. For the Mount Agung eruption from the 1958-2001 analysis, three out of the four reanalysis datasets analyzed, i.e., the JRA-55, ERA-40, and NCEP-1, except 20CR, showed similar stratospheric warming signals with somewhat varied magnitude and spatial extent. It is found that the ERA-40 showed unknown, warming signals in the mid-1970s, which are probably not realistic. Considering the discussion for the 1979-2009 analysis above, and because it is the only dataset that employs the most recent reanalysis system, currently JRA-55 would be best for studies on the response to the Mount Agung eruption.

We have added these points in Conclusions of the revised manuscript (the last paragraph).

P 13318, L 27-29: I disagree, there multiple examples of using reanalysis for comparison with model simulations.

We have rephrased this sentence as:

“Investigation of climatic response to individual volcanic eruptions using multiple reanalysis datasets for the purpose of comparison and evaluation of reanalysis datasets is rather limited.”

There are several studies showing one or two reanalysis datasets to compare model simulations. But, most of the cases, they are the NCEP-1 and/or ERA-40 (e.g., Eyring et al, 2010; Karpechko et al., 2010). More recent studies used the ERA-Interim (e.g., Arfeuille et al., 2013; Toohey et al., 2014). But, more recent reanalysis datasets such as the JRA-55, MERRA, and NCEP-CFSR have not been used for the volcanic studies (except for our previous study by Mitchell et al. (2015)) to the knowledge of the authors.

Arfeuille, F., Luo, B. P., Heckendorn, P., Weisenstein, D., Sheng, J. X., Rozanov, E., Schraner, M., Brönnimann, S., Thomason, L. W., and Peter, T.: Modeling the stratospheric warming following the Mt. Pinatubo eruption: uncertainties in aerosol extinctions, *Atmos. Chem. Phys.*, 13, 11221–11234,

doi:10.5194/acp-13-11221-2013, 2013.

Eyring, V., Shepherd, T. G., and Waugh D. W. (eds.): SPARC CCMVal report on the evaluation of chemistry-climate models, SPARC Rep. 5, World Meteorol. Soc., Geneva, Switzerland, 2010.

Karpechko, A. Yu., Gillett, N. P., Dall'Amico, M., and Gray, L. J.: Southern Hemisphere atmospheric circulation response to the El Chichón and Pinatubo eruptions in coupled climate models, *Q. J. R. Meteorol. Soc.*, 136, 1813–1822, doi:10.1002/qj.683, 2010.

Toohey, M., Krüger, K., Bittner, M., Timmreck, C., and Schmidt, H.: The impact of volcanic aerosol on the Northern Hemisphere stratospheric polar vortex: mechanisms and sensitivity to forcing structure, *Atmos. Chem. Phys.*, 14, 13063-13079, doi:10.5194/acp-14-13063-2014, 2014.

P 13320, L 1-5: Please discuss your corresponding findings in the conclusion section.

We assume that you are referring to P 13319, L 1-5.

We have done this. Please see our answers to your question at P 13318, L 17-20.

In the revised manuscript, we have clearly described this in the Conclusions section.

P 13321, L 1-21: There are number of other indexes, e.g., NAO, Indian Monsoon, why they are not included? Could you comment on this?

This is because we focused on the climate indices that are the forcing, not the response, and are relevant to the zonal mean response, not to the regional response. In an early phase of this study, we tested to include the Arctic Oscillation (AO) index, Antarctic Oscillation (AAO) index, and Indian Ocean dipole mode index (Saji et al., 1999), but the obtained volcanic response was found to be quite similar to the one without considering these indices. Also, there was discussion within the coauthors that the AO and AAO should be considered as response, not as forcing. We did not consider the Indian Monsoon index, but we think that it is more related to regional response, not zonal mean response.

We have added a note on this in the revised manuscript (Section 2, the 3rd paragraph).

Saji, N. H., Goswami, B. N., Vinayachandran, P. N., Yamagata, T.: A dipole mode in the tropical Indian Ocean, *Nature*, 401, 360-363, 1999.

P 13322, L 9: It is really not clear and has to be explained.

This means that the regions evaluated as statistically significant are smaller than those in Mitchell et al. (2015) particularly for the solar and ENSO signals in the tropical lower stratosphere, but the general

features are quite similar to those in Mitchell et al. In the revised manuscript, we have added this explanation (Section 3.1, the first paragraph).

P 13323, L 15: In linear approximation, bias should not affect a response to external forcing.

The cold bias of the forecast model was “not fully” corrected by the observations. This means that depending on the situation (e.g., at large volcanic eruptions or during a specific period of time) the correction by the observations might worse (or better) than other periods. It is possible that the bias was not constant over time, in particular when unusual, volcanically affected temperature measurements came into the JRA-25 system. So, we think this could be a part of the reasons. We have added this explanation (Section 3.1, the second paragraph). However, this is only a speculation, and thus we have rephrased this sentence as follows:

“may” has been changed to “might”

“due to” has been changed to “related to”

P 13324, L 18-19: Repetition

We have inserted the word “again.”

P 13326, L 15-25: It is most important that the Agung period is not covered by satellite observations. Could you please comment on this?

The weakness of the radiosonde dataset in comparison with the microwave and infrared sounders on operational satellites is its inhomogeneity in spatial distribution and their limited height range. The radiosonde stations are very limited in the Southern Hemisphere, and the typical balloon burst altitude is ~30 hPa (e.g., Seidel et al., 2011, their Figures 1 and 2). Also, the number of available reanalysis datasets for the studies of the Mount Agung eruption is only four, which is much smaller than 9 for the studies of the Mount Pinatubo and El Chichon eruptions. Therefore, the uncertainty is greater for the Agung signals than for the Mount Pinatubo and El Chichon signals, although we cannot quantify it easily.

We have added these points in the revised manuscript (Section 3.2., the 4 thparagraph).

Seidel, D. J., Gillett, N. P., Lanzante, J. R., Shine, K. P., and Thorne, P. W.: Stratospheric temperature trends: our evolving understanding, *WIREs Clim. Change*, 2, 592-616, doi:10.1002/wcc.125, 2011.

P 13326, L 27-28: Why the surface temperature response is good then?

We did not say anything about surface temperature response.

To clarify, we have rephrased this sentence as:

“The modelled aerosol loading was probably too weak to simulate the lower stratospheric warming signals.”

P 13327, L 9-10: Disagree, the El Chichon plume was mostly in the northern hemisphere.

We have rephrased the sentence as:

“The aerosol loading due to the Mount Agung eruption extended primarily to the Southern Hemisphere, that due to the El Chichón eruption was very large in the tropics and extended primarily to the Northern Hemisphere, and that due to the Mount Pinatubo eruption was very large in the tropics and extended to both hemispheres.”

and moved this to the last paragraph of Section 3.2.

P 13328, L 15: Could you compare the optical depth of small eruptions with one of mt. Pinatubo.

We have completely removed the discussion on the temperature response to the three smaller-scale eruptions. See also our response to the comments by Reviewer #3.

P 13328, L 28: There are no physical reasons for small eruptions to produce qualitatively different response. It is probably an artifact of your signal-extracting procedure.

See above.

P 13330, L 12-14: Same as the previous comment.

See above.

Response to Referee #3.

Thank you very much for reviewing our manuscript.

Interactive comment on “Global temperature response to the major volcanic eruptions in multiple reanalysis datasets” by M. Fujiwara et al.

Anonymous Referee #3

Received and published: 23 June 2015

In this manuscript the authors analyze the temperature response to major volcanic eruptions in nine reanalyses datasets. After regressing the reanalysis temperature fields to eliminate the effects of QBO, solar cycle, and ENSO, the authors analyze the time series of global temperature residuals and the zonal mean temperature residuals during the year following the eruptions of Agung, El Chichon, Pinatubo, and Fernandina.

General comments.

- *The idea behind this study is interesting and worth to be explored, but I think that the analyses of the reanalyses datasets should be more detailed. Most of the manuscript is a description of the figure, and does not address the reasons for discrepancies, which makes impossible to assess which reanalyses system is doing a better job during specific time series.*

In general, we found three groups, i.e., (1) newer reanalysis datasets, JRA-55, MERRA, ERA-Interim, and NCEP-CFSR, (2) older reanalysis datasets, JRA-25, ERA-40, NCEP-1, and NCEP-2, and (3) 20CR which is without atmospheric (upper-air) observations assimilated. For (1) and (2), the original observations that have the major impact on the reanalysis temperature are common, which are radiosondes and microwave and infrared sounders on several operational satellites. Therefore, the causes of the differences between (1) and (2), within (1), and within (2) should not be in the original observations assimilated but in the bias correction (i.e., quality control) methods for observational data before the assimilation, in the assimilation scheme, and in the forecast model. The newer reanalysis datasets use newer and thus basically better assimilation scheme and forecast model, with improved data quality control procedures. Even within the newer reanalysis datasets (1), we found some quantitative differences in the volcanic temperature response. At the moment, the exact causes of these differences are unknown, and thus what we can do is to regard these differences as the uncertainty information, i.e., uncertainty of our knowledge on the global temperature response to the major volcanic eruptions.

We have added these points in the revised manuscript (i.e., Abstract, Introduction, and Conclusions).

- *It would be useful to include a figure/table showing the observational systems assimilated by each reanalyses dataset and the period of time in which they were assimilated. Such figure would help interpreting the changes in temperature residuals. Does any of the periods used to analyze the volcanic response include the addition/removal of an observing system? Would this invalidate the analyses for the response to that particular volcano?*

The major observations that are directly relevant to the reanalysis atmospheric (upper-air) temperature data (except for the 20CR) are basically common and summarized below:

- Radiosonde temperature measurements
 - Available throughout the period
 - Spatially much more inhomogeneous than satellite measurements, with far less stations over the oceans and in the Southern Hemisphere (e.g., Seidel et al., 2011)
 - The typical balloon burst altitude of 30 hPa (e.g., Seidel et al., 2011)
- Microwave and infrared sounders on several operational satellites (mostly the “NOAA” satellites)
 - The SSU and MSU instruments in the TOVS^{*1)} suite between 1979 and 2005 on several operational satellites
 - The AMSU-A instrument in the ATOVS^{*2)} suite from 1998 onward on several operational satellites
 - Spatially much more homogeneous, but with broader vertical weighting functions (e.g., Seidel et al., 2011 for the TOVS suite)
 - There is a technical difference for the satellite data assimilation. The NCEP-1 and NCEP-2 assimilated retrieved temperature profiles, while the other reanalysis systems (except the 20CR) directly assimilated radiance data using a radiative transfer model. The radiance assimilation is considered better than the retrieved data assimilation because the retrieval model can be an additional source of uncertainty.

In addition, there are two other types of temperature measurements as follows.

- Aircraft temperature measurements
 - With impacts only around 200-300 hPa
 - High density of measurement points only over north America, the high-latitude Atlantic Ocean, and the Europe
 - Known warm biases with respect to radiosondes (Ballish and Kumar, 2009; Rienecker et al.,

2011)

- The JRA-25 and JRA-55 only assimilated aircraft horizontal wind measurements, not temperature.
- GNSS^{*3)}/GPS^{*4)} Radio Occultation temperature measurements
 - From 2001 onward (CHAMP^{*5)}: 2001-2008; FORMOSAT-3/COSMIC^{*6)}: from 2006 onward; and MetOp-A^{*7)}: from 2008 onward)
 - Assimilated only in the ERA-Interim, NCEP-CFSR, and JRA-55
 - Not covering the periods of the volcanic eruptions considered in this study; thus, their impacts on our results are only indirect through the evaluation of other forced variabilities

*1)TOVS: Television Infrared Observation Satellite (TIROS) Operational Vertical Sounder

*2)ATOVS: Advanced TIROS Operational Vertical Sounder

*3)GNSS: Global Navigation Satellite System

*4)GPS: Global Positioning System

*5)CHAMP: CHALLENGING Minisatellite Payload

*6)FORMOSAT-3/COSMIC: Constellation Observing System for Meteorology, Ionosphere, and Climate on the Republic of China Satellite (ROCSat) renamed to FORMOSAT

*7)MetOp-A: MetOp is a series of three polar orbiting meteorological satellites operated by the European Organisation for the Exploitation of Meteorological Satellites (EUMETSAT)

All these observations are assimilated in all the reanalysis systems except 20CR and except noted. In practice, radiosondes and microwave and infrared sounders are the main sources of reanalysis temperature. Therefore, it is difficult to attribute the differences among different reanalysis datasets to original observations assimilated. Rather, we can see that there are two key years from the observations viewpoint, i.e., the year 1979 when data from operational (TOVS) satellites appeared and the year 1998 an advanced (ATOVS) satellite instruments appeared. For our current study, the eruptions of Mount Pinatubo and El Chichon occurred during the TOVS period, while the eruptions of Mount Agung (and other three volcanos) occurred during the period when only radiosondes were available for upper-air temperature measurements. Thus, the uncertainty for the global temperature response to the Mount Agung eruption is considered greater than that to the Mount Pinatubo and El Chichon eruptions.

We have added the major points from the above discussion in Introduction (the 4th paragraph) of the revised manuscript.

Ballish, B. A., and Kumar, V. K.: Systematic differences in aircraft and radiosonde temperatures:

implications for NWP and climate studies, *Bull. Amer. Meteorol. Soc.*, 89, 1689-1708, doi:10.1175/2008BAMS2332.1, 2008.

Seidel, D. J., Gillett, N. P., Lanzante, J. R., Shine, K. P., and Thorne, P. W.: Stratospheric temperature trends: our evolving understanding, *WIREs Clim. Change*, 2, 592-616, doi:10.1002/wcc.125, 2011.

- *Given the change in temperatures simply due to the inclusion of additional datasets, would it be more appropriate to divide the data record in periods with a specific set of instruments (i.e. no instrument is added/dropped) and perform separate regression analyses for each period?*

As explained above, except for 20CR, there is basically no difference in terms of the original observations assimilated. The year 1979 is the key year, and that is the reason why many reanalysis datasets start from 1979. Considering this fact, we made two separate data analyses, one for the period 1979-2009 and the other for the period 1958-2001. It is technically possible to make another test analysis for the period 1958-1978 by using the four reanalysis datasets. But in this case, we are afraid that what we will see would be the impact of a shorter time period of the regression analysis, rather than the impact of the difference in the types of observations.

Specific comments.

- *Fig 4: the high top models and low top models differ quite a bit from each other in terms, for instance, of altitude of the maximum. Is there a specific reason behind that distinguish the behavior of high- and low-top models?*

Thank you for pointing this out.

The stratospheric warming for the El Chichon eruption in the NCEP-1 and NCEP-2 is located at 10 hPa, the top boundary for these reanalysis systems, while that for the other reanalysis systems (including the 20CR) is located around 50 hPa. The major differences of the NCEP-1 and NCEP-2 from the other reanalysis systems include the lower model top height (3 hPa), older forecast model and assimilation scheme (of the 1990s; see Table 1, the fourth column, of Mitchell et al. (2015)), and the use of retrieved temperature data for the assimilation of SSU, MSU, and AMSU-A data. It is possible that these factors may be responsible for the different signals of the El Chichon eruption in NCEP-1 and NCEP-2.

However, this is not true for the Mount Pinatubo eruption: All the reanalysis systems except the 20CR show a lower stratospheric warming signal centered around 50 hPa. The NCEP-1 and NCEP-2 systems worked much better to capture the Mount Pinatubo signals for some reasons.

The 20CR did not assimilate any upper-air observations but took into account the volcanic aerosols in the forecast model, and these facts should be responsible for the different response.

We have added these discussions in the revised manuscript (Section 3.1).

- *page 13325 L 11: 20CR shows “unknown warming signals” in 1989/1990. There is no hypothesis about the origin of these signals?*

As written above, the 20CR did not assimilate any upper-air observations but took into account the volcanic aerosols in the forecast model. In practice, the 20CR uses the same monthly-mean aerosol index data shown in Figure 3 (i.e., taken from Sato et al. (1993)) which were, for the case of 20CR, averaged into 4 evenly spaced latitude bins (i.e., 90S-45S, 45S-equator, equator-45N, and 45N-90N). Figure 3 does not show any relevant AOD signals in 1989/1990. Thus, the unknown warming signals are likely due to unrealistic (unforced) variations in the 20CR system.

This discussion has been added in the revised manuscript (Section 3.1, the second last paragraph).

- *page 13326 L18-20: As for the previous comment, why would ERA40 show a 1K warming not present in the other reanalyses? What causes that warming? Is it overestimation of the volcanic signal, wrong dynamics? No hypothesis?*

Before the introduction of horizontally dense satellite measurements in 1979, the upper-air temperature is constrained basically only by horizontally inhomogeneous, relatively sparse radiosonde data (see, e.g., Fig. 2 of Uppala et al., 2005). Also, the ERA-40 system is a relatively old system (the 2001 version of the ECMWF analysis system). These two facts are possible reasons why there occurred some unrealistic meandering in the upper tropospheric and lower stratospheric temperature during this period in the ERA-40 system. A stream change of the reanalysis execution could also be a potential reason. For the ERA-40, there were three streams, i.e., 1989-2002, 1957-1972, and 1972-1988 (Uppala et al., 2005). But, the stream change point of 1972 probably cannot explain the anomalous warming starting around the end of 1974.

This discussion has been added in the revised manuscript (Section 3.2, the second paragraph).

- *page 13327 L 21: “the former MAY correspond: : :” Why MAY? It should be possible to check in the lat-lon data, correct?*

We have completely removed the discussion on the temperature response to the three smaller-scale eruptions. Please see below.

- *page 13328 L2: Could the opposite response in the case of Fernandina be due to lingering effects of Agung in the three years before the Fernandina eruption?*

As you pointed out, one possibility is the lingering effects of the Mount Agung eruption. For the three smaller-scale eruptions, we may need different definitions for each (e.g, different base period). However, doing this would take time and make this paper complicated. Therefore, we decided that we completely remove the discussion on the temperature response to the three smaller-scale eruptions from this paper.

- *page 13328 L5: are aerosol heating rates included in the reanalyses output? If so, the cause of the warming could be checked.*

The 20CR and the NCEP-CFSR are the only reanalysis systems that considered volcanic aerosols in their forecast model. Therefore, there is no volcanic signal in the heating rate data for the other reanalysis datasets. Any temperature changes in association with the volcanic eruptions came from the temperature observations in the reanalysis systems except for the 20CR and NCEP-CFSR.

- *page 13328 L 9: the structure in the residuals similar to the QBO response could be due to aerosol-induced effects in dynamics (e.g. Aquila et al. (2014) in the case of a tropical geoengineering aerosol injection). However, why would it be present only in the case of Fernandina? Any hypothesis?*

Again, we decided that we completely remove the discussion on the temperature response to the three smaller-scale eruptions from this paper.

(The paper by Aquila et al. is very interesting. In particular, comparing their Fig. SM4 (a weaker case) with their Fig. 3, the weaker the aerosol loading becomes, the lower the tropical temperature pattern becomes, being more similar to our Figure 10. However, the large difference between Aquila et al.'s Fig. SM4 and our Figure 10 is that the former still has a tropical lower stratospheric warming signal which is essential to explain the circulation and further temperature changes by Aquila et al., but the latter does not have. Thus, for our data analysis, the lingering effects of the Mount Agung eruption cannot be excluded.)

- *page 13329 L20: 20CR shows no QBO signals in the temperature fields or has no QBO at all? If 20CR assimilated only surface pressures, either the underlying model has a way of generating the QBO or there is no QBO at all in the model.*

The 20CR does not have the QBO in zonal wind and in temperature. This means that the forecast model of the 20CR does not have spontaneous QBO-like oscillations. This is also true at least for the NCEP-CFSR (Saha et al., 2010, pages 1026-1027), JRA-55 (Kobayashi et al., 2014), and MERRA (Coy, 2014). For your information, for a new reanalysis dataset, MERRA-2 (the data will be publicly available later in 2015 (Steven Pawson, private communication, December 2014)), the forecast model has spontaneous QBO-like oscillations by increasing the parametrized non-orographic (convective) gravity wave forcing in the tropics compared to the MERRA (Coy, 2014).

Coy, L.: Effects of new data types and data assimilation system upgrades on middle atmosphere dynamics, presented at the SPARC Data Assimilation workshop, at the NOAA Center for Weather and Climate Prediction (NCWCP), 8 September 2014.

Kobayashi, C., Endo, H., Ota, Y., Kobayashi, S., Onoda, H., Harada, Y., Onogi, K., and Kamahori H.: Preliminary results of the JRA-55C, an atmospheric reanalysis assimilating conventional observations only, *Sci. Online Lett. Atmos.*, 10, 78–82, doi:10.2151/sola.2014-016, 2014.

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Thank you very much for pointing us to this very interesting paper.

Global temperature response to the major volcanic eruptions in multiple reanalysis datasets

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Abstract. The global temperature responses to the eruptions of Mount Agung in 1963, El Chichón in 1982, and Mount Pinatubo in 1991 are investigated using nine **currently available** reanalysis datasets (JRA-55, MERRA, ERA-Interim, NCEP-CFSR, JRA-25, ERA-40, NCEP-1, NCEP-2, and 20CR). Multiple linear regression is applied to the zonal and monthly mean time series of temperature for
5 two periods, 1979–2009 (for eight reanalysis datasets) and 1958–2001 (for four reanalysis datasets), by considering explanatory factors of seasonal harmonics, linear trends, Quasi-Biennial Oscillation, solar cycle, and El Niño Southern Oscillation. The residuals are used to define the volcanic signals for the three eruptions separately, **and common and different responses among the older and newer reanalysis datasets are highlighted for each eruption.** In response to the Mount Pinatubo eruption,
10 most reanalysis datasets show strong warming signals (up to 2–3 K for one-year average) in the tropical lower stratosphere and weak cooling signals (down to –1 K) in the subtropical upper troposphere. For the El Chichón eruption, warming signals in the tropical lower stratosphere are somewhat smaller than those for the Mount Pinatubo eruption. The response to the Mount Agung eruption is asymmetric about the equator with strong warming in the Southern Hemisphere midlatitude upper
15 troposphere to lower stratosphere. ~~The response to three other smaller-scale eruptions in the 1960s and 1970s is also investigated.~~ Comparison of the results from several different reanalysis datasets confirms the atmospheric temperature response to these major eruptions qualitatively, but also shows quantitative differences even among the most recent reanalysis datasets. **The consistencies and differences among different reanalysis datasets provide a measure of the confidence and uncertainty in
20 our current understanding of the volcanic response. The results of this intercomparison study may be useful for validation of climate model responses to volcanic forcing and for assessing proposed geo-**

engineering by stratospheric aerosol injection, as well as to link studies using only a single reanalysis dataset to other studies using a different reanalysis dataset.

1 Introduction

25 Explosive volcanic eruptions inject sulphur species to the stratosphere in the form of SO_2 and H_2S which convert to H_2SO_4 aerosols. These aerosols are then transported both vertically and horizontally into the stratosphere by the Brewer–Dobson circulation (Butchart, 2014), stay there to perturb the radiative budget on a timescale of a few years, and thus affect global climate (Robock, 2000). The stratospheric volcanic aerosol layer is heated by absorption of near-infrared solar radiation and
30 upward longwave radiation from the troposphere and surface. In the troposphere, the reduced near-infrared solar radiation is compensated by the additional downward longwave radiation from the aerosol layer. At the surface the large reduction in direct shortwave radiation due to the aerosol layer mainly contributes to **is the main cause of** net cooling there.

Stratospheric aerosol optical depth (AOD) is an indicator of volcanic eruptions that affect global
35 climate and has been estimated from various information (e.g., Sato et al., 1993; Robock, 2000; Vernier et al., 2011). Since 1960 astronomical observations such as solar and stellar extinction and lunar eclipses have become available from both hemispheres, and since 1979 extensive satellite measurements have begun with the Stratospheric Aerosol Monitor (SAM) II on the Nimbus-7 satellite. ~~On the other hand,~~ **Extending over a longer period,** the global radiosonde network that provides
40 global atmospheric (upper-air) temperature data has been operating since the 1940s, with improved spatial resolution since the late 1950s (Gaffen, 1994). Since 1979, ~~again,~~ **extensive global** satellite temperature measurements have begun with the Microwave Sounding Unit (MSU) and Stratospheric Sounding Unit (SSU) instruments on the TIROS-N satellite and on the subsequent several National Oceanic and Atmospheric Administration (NOAA) satellites. Since 1998, the Advanced
45 MSU-A (AMSU-A) instruments on several NOAA satellites have provided global temperature measurements. See, e.g., Cristy et al. (2003), Wang et al. (2012), Wang and Zou (2014), Zou et al. (2014), and Nash and Saunders (2015) for these satellite temperature measurements.

Since the late 1950s, there occurred three major volcanic eruptions that significantly affected global climate, which are Mount Agung (8° S , 116° E), Bali, Indonesia in March 1963, El Chichón
50 (17° N , 93° W), Chiapas, Mexico in April 1982, and Mount Pinatubo (15° N , 120° E), Luzon, Philippines in June 1991. The volcanic explosivity index (VEI) of these eruptions are 6 for Mount Pinatubo, 5 for El Chichón, and 4 for Mount Agung (Robock, 2000). Free and Lanzante (2009) and Randel (2010) used homogenized radiosonde datasets while Santer et al. (2001) and Soden et al. (2002) used MSU satellite data to investigate the tropospheric and stratospheric temperature response to these
55 eruptions. When extracting the volcanic signals, one needs a good evaluation, at the same time, of the components of El Niño Southern Oscillation (ENSO), Quasi-Biennial Oscillation (QBO), and

11 year solar cycle as well as seasonal variations and linear trends. Each of the above four studies used a variety of regression analyses.

An atmospheric reanalysis dataset is constructed as system provides a best estimate of the past state of the atmosphere using atmospheric observations with a fixed assimilation scheme and a fixed global forecast model (Trenberth and Olson, 1988; Bengtsson and Shukla, 1988). It is an operational analysis system at a particular time (e.g., 1995 for the NCEP-1 system and 2009 for the JRA-55 system), which has been continuously improved with the main motivation being to improve the tropospheric weather prediction. Using a fixed assimilation-forecast model to produce analyses of observational data that were previously analysed in the context of operational forecasting - hence the “re” in “reanalysis” - prevents artificial changes being produced in the analysed fields due to system changes. But, as described above, the observational data inputs still vary over the period of the reanalysis. Currently, there are about 10 global atmospheric reanalysis datasets available worldwide. Table 1 lists the reanalysis datasets considered in this study. It is known that different reanalysis datasets give different results for the same diagnostic. Depending on the diagnostic, the different results may be due to differences either in the observational data assimilated, the assimilation scheme or forecast model, or any combination of these (see, e.g., Fujiwara et al., 2012 for a list of some examples). It is therefore necessary to compare all (or some of the newer) reanalysis datasets for various key diagnostics for understanding of the data quality and for future reanalysis improvements (Fujiwara and Jackson, 2013). To be more specific to the current study, the major observational sources of atmospheric (upper-air) temperature are basically common for all the reanalysis datasets in Table 1 (except for the 20CR which only assimilated surface pressure reports). They are radiosondes and satellite microwave and infrared sounders (i.e., MSU, SSU, and AMSU-A). There are three components that do differ in different reanalysis systems: (1) detailed bias-correction or quality-control methods for the original observations before the assimilation, (2) the assimilation scheme, and (3) the forecast model. Thus, any differences in the analysis results in this study would be due to the differences in these components (except for the 20CR).

Recently, Mitchell et al. (2015) analysed temperature and zonal wind data from nine reanalysis datasets using a linear multiple regression technique during the period from 1979 to 2009 by considering QBO, ENSO, AOD as a volcanic index, and solar cycle, with a focus on the solar cycle response. However, the volcanic response shown by Mitchell et al. is a combined response due to the major eruptions over the period 1979–2009 (i.e., El Chichón in 1982 and Mount Pinatubo in 1991).

Investigation of climatic response to individual volcanic eruptions using multiple reanalysis datasets for the purpose of comparison and evaluation of reanalysis datasets is rather limited. For example, Harris and Highwood (2011) showed global mean surface temperature changes following the Pinatubo eruption using NCEP-1 and ERA-40 reanalysis data for comparison with their model experiments. Analysing all available reanalysis datasets for the 20th-century three major eruptions separately and for the region covering both troposphere and stratosphere will provide valuable infor-

mation for model validation as well as on the current reanalysis data quality for capturing volcanic
95 signals. Such an analysis would also be valuable when assessing one of the proposed geoen-
gineering options, i.e., stratospheric aerosol injection to counteract global surface warming (e.g., Crutzen,
2006; Robock et al., 2013).

In the present study, we analyse zonal and monthly mean temperature data from nine reanal-
ysis datasets to investigate the response to the Mount Agung, El Chichón and Mount Pinatubo
100 eruptions separately. Three other smaller-scale eruptions, Mount Awu (4° N, 125° E), Indonesia in
August 1966 (VEI 4, Sato et al., 1993), Fernandina Island (0° S, 92° W) in the Galápagos Islands in
June 1968 (VEI 4, Sato et al., 1993), and Mount Fuego (14° N, 91° W), Guatemala, in October–December 1974
(VEI 4, Smithsonian Institution National Museum of Natural History Global Volcanism Program,
<http://www.volcano.si.edu/>, last accessed March 2015), are also analysed using the same method.
105 The temperature response to the Mount Agung eruption and other three eruptions during the 1960s
and 1970s is investigated using four reanalysis datasets (JRA-55, ERA-40, NCEP-1, and 20CR) that
cover the period back to the 1960s. A multiple regression technique is used to remove the effects
of seasonal variations, linear trends, QBO, solar cycle, and ENSO, and the residual time series is
assumed to be composed of volcanic effects and random variations. The remainder of this paper
110 is organized as follows. Section 2 describes the datasets and analysis method. Section 3 provides
results and discussion. Finally, Section 4 lists the main conclusions.

2 Data and Method

Monthly mean pressure-level temperature data from the nine reanalysis datasets listed in Table 1
were downloaded from each reanalysis-centre website or the US National Center for Atmospheric
115 Research (NCAR) Research Data Archive (<http://rda.ucar.edu/>). Zonal means were derived for each
dataset before the analysis. All the reanalysis datasets except 20CR assimilated upper-air tempera-
ture measurements from radiosondes and from SSU, MSU, and AMSU-A satellite instruments, with
varied assimilation techniques. 20CR assimilated only surface pressure reports and used observed
monthly sea-surface temperature and sea-ice distributions as boundary conditions for the forecast
120 model. Note also that for the 20CR, annual averages of volcanic aerosols were specified in the
forecast model: monthly latitudinally-varying distributions of volcanic aerosols (averaged for four
bands, i.e., 90° N– 45° N, 45° N–equator, equator– 45° S, and 45° S– 90° S) were specified based on
data from Sato et al. (1993), and a monthly climatological global distribution of aerosol vertical pro-
files on a 5° grid was specified based on data from Koepke et al. (1997) (G. Compo and C. Long,
125 private communication, 2015). Therefore, 20CR is expected to show volcanic signals even though it
did not assimilate upper-air temperature data. The atmospheric forecast model of the 20CR is nearly
the same as used in the NCEP-CFSR but with a lower resolution, and thus the NCEP-CFSR also
included the same volcanic aerosols. None of the other reanalysis datasets included radiative forcing

~~due to volcanic aerosols in the forecast model.~~ Among other reanalysis datasets, only NCEP-CFSR
 130 included stratospheric volcanic aerosols in the forecast model. See Mitchell et al. (2015) for further technical comparisons among different reanalysis datasets. For a complete description of each reanalysis, see the reference papers shown in Table 1.

Table 1 also shows the period of data availability for each reanalysis dataset. For a direct inter-comparison, we define two analysis periods, namely, between 1979 and 2009 (31 years) for eight
 135 reanalysis datasets (all except ERA-40) and between 1958 and 2001 (44 years) for four reanalysis datasets (JRA-55, ERA-40, NCEP-1, and 20CR). The former covers the eruptions of El Chichón in 1982 and Mount Pinatubo in 1991, while the latter also covers the eruption of Mount Agung in 1963, and three other smaller-scale eruptions during the 1960s and 1970s. Results from JRA-55, NCEP-1, and 20CR for the El Chichón and Mount Pinatubo eruptions for the two different-period analyses
 140 also provide an opportunity to investigate sensitivity to the choice of analysis period.

A multiple regression technique is applied to extract volcanic signals (e.g., Randel and Cobb, 1994; Randel, 2010; von Storch and Zwiers, 1999, Chapt. 8.4). First, all major variabilities, except for volcanic effects, were evaluated and subtracted from the original zonal and monthly mean temperature data. The major variabilities include seasonal harmonics of the form, $a_1 \sin \omega t + a_2 \cos \omega t +$
 145 $a_3 \sin 2\omega t + a_4 \cos 2\omega t + a_5 \sin 3\omega t + a_6 \cos 3\omega t$, with $\omega = 2\pi/(12 \text{ mon})$, linear trends, two QBO indices, ENSO, and solar cycle. For the latter five climatic indices, the six seasonal harmonics and a constant are further considered to construct seven indices for each of the five indices, as was done by Randel and Cobb (1994). For the two QBO indices, we use 20 and 50 hPa monthly mean zonal wind data taken at equatorial radiosonde stations provided by the Freie Universität Berlin. The cross-
 150 correlation coefficient for these two QBO indices is -0.24 for 1979–2009 and -0.21 for 1958–2001. For the ENSO index, we use the Niño 3.4 index, which is a standardized sea surface temperature anomaly in the Niño 3.4 region ($5^\circ \text{ N} - 5^\circ \text{ S}$, $170 - 120^\circ \text{ W}$), provided by the NOAA Climate Prediction Center. As is often done, a time lag for atmospheric response is considered for the ENSO index. We chose 4 months for the lag, following Free and Lanzante (2009). We confirmed that changing
 155 the ENSO lag from 0 to 6 months gives somewhat different ENSO signals particularly in the tropical stratosphere but does not alter other signals, including volcanic signals, significantly. For the solar cycle index, we use solar 10.7 cm flux data provided by the NOAA Earth System Research Laboratory. (Note that we do not consider other indices, e.g., the North Atlantic Oscillation index and the Indian Monsoon index because the former is considered to be a response not a forcing and
 160 both are considered to be more related to regional response, not zonal mean response.) The multiple regression model that we use in this study is therefore,

$$Y(t) = a_0 + \sum_{l=1}^{41} a_l x_l(t) + R(t), \quad (1)$$

where $Y(t)$ is the zonal and monthly mean temperature time series at a particular latitude and pressure grid point, and a_l is the least squares solution of a parameter for climatic index time series

165 $x_l(t)$. $R(t)$ is the residual of this model which is assumed to be composed of volcanic signals and
random variations (Randel, 2010). Then, by following Randel (2010), the volcanic signal for each
eruption is defined as the difference between the 12 month averaged $R(t)$ after each eruption and
the 36 month averaged $R(t)$ before each eruption. There are several other possible minor variations
for the methodological details, i.e., for the multiple regression model, the choice of particular in-
170 dex datasets, and the volcanic signal definition. The use of a consistent methodology is important
for comparisons of different datasets. Where possible, however, we will discuss the methodological
dependence below.

3 Results and Discussion

3.1 The 1979–2009 Analysis

175 Figures 1 and 2 show temperature variations in association with the QBO, solar cycle and ENSO
from JRA-55 and MERRA, respectively, for the region from 1000 to 1 hPa. The coloured regions
are those evaluated as statistically significant at the 95 % confidence level (von Storch and Zwiers,
1999, Chapt. 8.4.6), with an effective degree of freedom where data are assumed to be independent
for every three months. Comparing with the results from Mitchell et al. (2015) who used a regression
180 analysis with different details, the setting of this effective degree of freedom may be somewhat too
conservative. **This is because the regions evaluated as statistically significant are smaller than those
in Mitchell et al. (2015) particularly for the solar and ENSO signals in the tropical lower strato-
sphere, but** the general features are quite similar to those shown in Mitchell et al. (2015) although
they also considered a volcanic index in the multiple regression analysis. The two QBO variations
185 are displaced vertically by a quarter cycle in the tropics because of their downward phase propaga-
tion. The temperature QBO has off-equatorial out-of-phase signals centred around 30° N and around
30° S because of the associated secondary meridional circulation (Baldwin et al., 2001). ~~The major
features of the solar cycle variations are~~ **The major response to the solar cycle is** the tropical lower
stratospheric warming. The ENSO response includes the tropical tropospheric warming and a hint of
190 tropical stratospheric cooling, although the statistical significance of this latter signal is weak. The
strength of this cooling signal is sensitive to the choice of the time lag for the ENSO index (4 months
in this study and 0 month in Mitchell et al., 2015). There also exists midlatitude lower stratospheric
warming in both hemispheres for ENSO. The signals of QBO, solar cycle, and ENSO in the other 6
reanalysis datasets (ERA-Interim, NCEP-CFSR, JRA-25, NCEP-1, NCEP-2, and 20CR; not shown)
195 are also similar to those in Mitchell et al. (2015). 20CR shows no QBO signals **(and no zonal-wind
QBO; not shown)** and no tropical stratospheric solar response. NCEP-CFSR shows weaker trop-
ical lower stratospheric solar cycle warming. The overall agreement with the results in Mitchell
et al. (2015) supports the assumption that the residual $R(t)$ is composed of volcanic signals and
random variations.

200 Figure 3 shows the residual time series averaged for 30° N–30° S at 50 and at 300 hPa together
with the lower-to-middle stratospheric AOD time series averaged for 27.4° N–27.4° S provided by
the NASA Goddard Institute for Space Studies (Sato et al., 1993). The AOD time series clearly
shows the timing of the El Chichón eruption and Mount Pinatubo eruption and the duration of their
205 impact on the stratospheric aerosol loading. At 50 hPa, all reanalysis datasets show 1–2 K peak
warming within one year after the El Chichón eruption, and most (except 20CR and JRA-25) show
2–2.5 K peak warming within one year after the Mount Pinatubo eruption. As described in Sect. 2,
20CR does not assimilate upper-air data, but incorporates annual averages of volcanic aerosols in
the forecast model. Thus, 20CR shows a warming signal in association with both eruptions, though
the one for Mount Pinatubo is smaller and slower. 20CR also shows warming signals in 1989 and
210 in 1990 though none of the other datasets show the corresponding signals. The warming in JRA-
25 is ~ 1 K smaller than other reanalysis datasets except 20CR. This cold bias can be seen at least
during the period 1988–1994. ~~This may be in part due to~~ **This might be in part related to** the known
stratospheric cold bias in JRA-25 (Onogi et al., 2007). The radiative scheme used in the JRA-25
forecast model has a known cold bias in the stratosphere, and the TOVS SSU/MSU measurements
215 do not have ~~enough~~ **a sufficient** number of channels to correct the model’s cold bias; after introducing
the ATOVS AMSU-A measurements in 1998, such a cold bias disappeared in the JRA-25 data
product. **It is also possible that the cold bias in JRA-25 during the TOVS era was not constant
over time, in particular when unusual, volcanically affected temperature measurements came into
the JRA-25 system, which could contribute to the smaller warming signals in our data analysis.** As
220 described in Sect. 2, NCEP-CFSR is the only reanalysis (except 20CR) that included stratospheric
volcanic aerosols in the forecast model, but no clear difference is found in comparison with other
recent reanalysis datasets. At 300 hPa, all reanalysis datasets show 0.4–0.8 K peak cooling within
one year after the Mount Pinatubo eruption. No clear signals are found at 300 hPa for the El Chichón
eruption. Note that the **standard deviation (SD)** ~~SD~~ of the residual time series is ~ 1 K for tropical
225 50 hPa and ~ 0.3 K for tropical 300 hPa for all the datasets; thus, the volcanic signals discussed
above are distinguishable from random variations.

Figure 4 shows the temperature signals for the El Chichón eruption from the 8 reanalysis datasets.
As described in Sect. 2, the volcanic signal is defined as the difference between the 12 month averaged
 $R(t)$ after each eruption and the 36 month averaged $R(t)$ before each eruption. The coloured
230 regions are also defined by following Randel (2010), i.e., as those regions with positive (negative)
values more (less) than twice the SD of annual mean residual $\overline{R(t)}$. The annual mean is taken here
because of the use of 12 month average in the volcanic signal definition. For the most recent four
reanalysis datasets, i.e., JRA-55, MERRA, ERA-Interim, and NCEP-CFSR, the tropical lower strato-
spheric warming of 1.2–1.6 K centred around 50–30 hPa is a common signal. There are also North-
235 ern Hemisphere ~~midlatitude lower stratospheric warming~~ **high-latitude middle-upper stratospheric
warming** and tropical upper stratospheric cooling signals, though the latter is comparable to random

variations in some of the four datasets and thus its statistical significance is weak. The tropical and midlatitude troposphere is only weakly cooling, with a maximum cooling (0.4–0.8 K) occurring in the upper troposphere at 20–30° N. For JRA-25, the tropical lower stratospheric warming is confined around 100–50 hPa with (statistically insignificant) cooling signals around 50–10 hPa. This may be due to the cold bias in JRA-25 as described in the previous paragraph. The tropospheric features in JRA-25 are similar to those in the latest four reanalysis datasets. For NCEP-1 and NCEP-2, the tropical stratospheric warming region extends to 10 hPa where it maximises, and the 20–30° N upper tropospheric cooling is largely missing. **The major differences of the NCEP-1 and NCEP-2 systems from the recent four reanalysis systems include the lower model top height (3 hPa), older forecast model and assimilation scheme (of the 1990s; see Table 1), and the use of retrieved temperature data for the assimilation of SSU, MSU, and AMSU-A data. It is possible that these factors may be responsible for the different signals of the El Chichón eruption in NCEP-1 and NCEP-2. (See also discussion on the results for the Mount Pinatubo eruption below.)** For 20CR, tropical stratospheric warming is present, but **again**, this is due to the specified volcanic aerosols in the forecast model.

Free and Lanzante (2009) and Randel (2010) analysed the temperature signals for the El Chichón eruption using different homogenized radiosonde datasets globally up to the 30 hPa level. The distribution of the tropical lower stratospheric warming signal is similar, though the peak warming is greater, i.e., 1.6–2 K for Free and Lanzante (2009, **their Figure 3**) and 2.5–3 K for Randel (2010, **his Figure 4**). (Note that Free and Lanzante defined the volcanic signals as the difference between the 24 month average after the eruption and the 24 month average before the eruption, **but we use the same definition of volcanic signals as Randel (2010) and still obtain roughly a factor of two discrepancy in tropical lower stratospheric warming (1.2–1.6 K from the reanalyses versus 2.5–3 K from the radiosondes).**) Free and Lanzante (2009) also show a 20–30° N upper tropospheric cooling of 0.6–0.9 K.

Figure 5 shows the temperature signals for the Mount Pinatubo eruption. For the latest four reanalysis datasets, i.e., JRA-55, MERRA, ERA-Interim, and NCEP-CFSR, the tropical lower stratospheric warming of 2.0–2.8 K (depending on datasets) centred around 50–30 hPa is a common signal. In the upper troposphere, a cooling (0.4–0.8 K) at 20–30° N and at 15–45° S can be seen, with the latter somewhat greater. JRA-25 shows similar upper tropospheric features and relatively similar lower stratospheric features, though for the latter, the warming magnitude is smaller and the “random” variability becomes large above the 50 hPa level because of the reason described above (**i.e., the cold bias and its disappearance in 1998**). For NCEP-1 and NCEP-2, the tropical tropospheric and stratospheric features are similar to those for the latest four reanalysis datasets, though the lower stratospheric warming magnitude is **somewhat small. slightly smaller than in most of the other reanalyses. Comparing with the El Chichón case, the NCEP-1 and NCEP-2 systems worked much better to capture the Mount Pinatubo signals for some reasons.** For 20CR, the tropical stratospheric warming is not detected. This is because of the unknown warming signals in 20CR in 1989 and

in 1990 (see Fig. 3) that raised the 36 month averaged base in the volcanic signal definition. As in
275 Fig. 3, there are no relevant signals in AOD around 1989–1990. Thus, the unknown warming signals
are likely due to unrealistic (unforced) variations in the 20CR system.

The temperature signals for the Mount Pinatubo eruption shown in Randel (2010) are similar to
the present results both in the tropical-midlatitude stratosphere and troposphere, though Randel’s
stratospheric warming peak value is somewhat greater (~ 3 K) and his upper tropospheric cooling
280 is somewhat greater (0.5–1 K) and more uniform in latitude. On the other hand, Free and Lanzante
(2009) show that the lower stratospheric warming signal is split near the equator with two maxima
(1.6–2 K at 10° N and > 2 K at 15° S, both at 70–50 hPa) and that the upper tropospheric cooling
signal has its peak (0.9–1.2 K) around 20° S. In summary, the latest recent four reanalysis datasets
(i.e., JRA-55, MERRA, ERA-Interim, and NCEP-CFSR) give more consistent signals for both erup-
285 tions compared to the two radiosonde data analyses using different homogenized datasets by Free
and Lanzante (2009) and Randel (2010).

3.2 The 1958–2001 Analysis

The multiple regression analysis is applied to the four reanalysis datasets, namely, JRA-55, ERA-40,
NCEP-1, and 20CR which cover the period of 1958–2001. Figure 6 shows temperature variations
290 associated with the QBO, solar cycle, and ENSO from JRA-55. Comparing with the 1979–2009
analysis results shown in Fig. 1, all variations are quite similar, with the statistically significant
regions for the solar cycle variation being much greater both in the tropical stratosphere and in the
tropical troposphere. The same is true for NCEP-1 (not shown). 20CR does not have QBO and
stratospheric solar-cycle signals, but does show ENSO signals in both 1979–2009 and 1958–2001
295 analyses; the 20CR ENSO signals are similar to those from all other reanalysis datasets. ERA-40
shows similar results to JRA-55 except for the solar cycle variation. In ERA-40, the tropical lower
stratospheric warming signal in association with the solar cycle is very weak and not symmetric
about the equator, in contrast to the results by Crooks and Gray (2005) and Mitchell et al. (2015)
who both applied a regression analysis during the period 1979–2001.

300 Figure 7 shows the time series of residual $R(t)$ and stratospheric AOD averaged over the trop-
ics for the period between 1958 and 2001. The AOD time series shows the timing of the Mount
Agung eruption in March 1963 as well as the El Chichón and Mount Pinatubo eruptions. The fea-
tures at both 50 and 300 hPa for the El Chichón and Mount Pinatubo eruptions are quite similar
to the 1979–2009 analysis results shown in Fig. 3, including the 20CR’s smaller and slower Mount
305 Pinatubo signal at 50 hPa. For the Mount Agung eruption, ~ 2.5 K peak warming is seen within one
year after the eruption except for 20CR. At 300 hPa, a sudden cooling occurred about one year later,
i.e., in mid-1964 for all the datasets, which is probably related to the Mount Agung eruption. The
cooling might have continued for more than one year. ERA-40 shows anomalous ~ 1 K warming
in the mid-1970s at both levels, which are not present in other reanalysis datasets (see also Fig. 14

310 of Kobayashi et al., 2015). The AOD time series in Fig. 7 shows a small increase in the mid-1970s
which is probably due to the eruption of Mount Fuego (14° N, 91° W), Guatemala, in October–
December 1974 (VEI 4, Smithsonian Institution National Museum of Natural History Global Vol-
canism Program, <http://www.volcano.si.edu/>, last accessed August 2015). But, the magnitude and
the sign (i.e., warming) at 300 hPa seems unrealistic. Before the introduction of horizontally dense
315 satellite measurements in 1979, the upper-air temperature is constrained basically only by horizon-
tally inhomogeneous, relatively sparse radiosonde data (see, e.g., Fig. 2 of Uppala et al., 2005). Also,
the ERA-40 system is a relatively old system (the 2001 version of the ECMWF analysis system).
These two facts are possible reasons for the ERA-40's anomalous warming in the mid-1970s. A
stream change of the reanalysis execution could also be a potential reason. For the ERA-40, there
320 were three execution streams, that is, 1989–2002, 1957–1972, and 1972–1988 (Uppala et al., 2005).
But the stream change point of 1972 is unlikely to explain the anomalous warming starting around
the end of 1974.

Figure 8 shows the temperature signals for the Mount Agung eruption from 4 different reanalysis
datasets. All except 20CR show Southern Hemisphere lower stratospheric warming centred at 40–
325 30° S and 100–50 hPa, with an extension to equatorial latitudes at 50 hPa. The maximum warming
value varies with dataset, that is, 1.6–2 K for NCEP-1, 2–2.4 K for JRA-55, and 2.4–2.8 K for ERA-
40. The reason for the weak signal in 20CR is in the fact that 20CR does not assimilate upper-air
temperature observations but does consider volcanic aerosol loading in the forecast model. The mod-
elled aerosol loading was probably too weak to simulate the lower stratospheric warming signals.
330 For all the four reanalysis datasets, the 300 hPa cooling shown in Fig. 7 is not captured with the
current volcanic-signal definition (i.e., 12 month average after the eruption started).

Free and Lanzante (2009) showed a very similar Southern Hemisphere midlatitude lower strato-
spheric warming signal (> 2 K) in association with the Mount Agung eruption using a homogenized
radiosonde dataset. Sato et al. (1993) showed that the aerosols emitted from the Mount Agung
335 eruption were transported primarily to the Southern Hemisphere. Figure 9 shows time-latitude
distributions of temperature residual at 50 hPa and at 300 hPa from JRA-55 and of the stratospheric
AOD. The aerosol loading due to the El Chichón and Mount Pinatubo eruptions was very large in
the tropics and extended to both hemispheres, while that due to the Mount Agung eruption extended
primarily to the Southern Hemisphere. The uncertainty of the Mount Agung signal is considered
340 to be much greater than that of the El Chichón and Mount Pinatubo signals because of the unavail-
ability of satellite temperature data during the 1960s and because of the limited number of available
reanalysis datasets. A tentative conclusion is that the JRA-55 dataset is the most reliable for studies
of the Mount Agung eruption, since it is currently the only available dataset that employs the most
up-to-date reanalysis system.

345 The El Chichón signal from the 1958–2001 analysis (not shown) is very similar to the one from
the 1979–2009 analysis for JRA-55 and 20CR shown in Fig. 4. For NCEP-1, the warming signal

in the tropical 30–10 hPa region shown in Fig. 4 becomes weaker, thus showing better agreement with the results from the modern reanalysis datasets (e.g., JRA-55). ERA-40 shows similar signal to JRA-55 at least up to the 10 hPa level globally. The Mount Pinatubo signal from the 1958–2001 analysis (not shown) is very similar to the one from the 1979–2009 analysis for JRA-55, NCEP-1, and 20CR. ERA-40 shows similar signal to JRA-55 at least up to the 20 hPa level globally.

The AOD time series in Figs. 7 and 9 also shows two smaller aerosol loading cases, i.e., in 1968/69 and in 1975. The former may correspond to the eruption of Fernandina Island in the Galápagos Islands, Ecuador in June 1968 (Sato et al., 1993). The latter may correspond to the eruption of Mount Fuego, Guatemala, in October–December 1974 (Smithsonian Institution National Museum of Natural History Global Volcanism Program, <http://www.volcano.si.edu/>, last accessed March 2015). The same volcanic-signal definition, i.e., the difference between the 12-month averaged $R(t)$ after each eruption and the 36-month averaged $R(t)$ before each eruption, was applied. Interestingly, for the Fernandina Island case (Fig. 10), JRA-55 and NCEP-1 show tropical upper tropospheric warming (peak value of 0.4–0.8 K at 300 hPa) and tropical 100–50 hPa cooling (1.2–1.6 K for JRA-55 and 1.6–2.0 K for NCEP-1), which is opposite to the response following the 3 major eruptions previously examined. ERA-40 shows a similar tropical lower stratospheric cooling, and ERA-40 and 20CR shows much weaker tropical tropospheric warming. It is possible that the upper tropospheric warming signal is a radiative response to aerosols that did not penetrate so deeply into the stratosphere. In addition, despite the inclusion of QBO indices in the regression analysis, the residual signal (interpreted as the volcanic response) has a structure in the stratosphere similar to the QBO response, with a tropical signal whose sign alternates in the vertical direction plus a weaker subtropical response of opposite sign. For the Mount Fuego case (not shown), ERA-40 showed very different signals from other three reanalysis datasets, as can be inferred from Fig. 7, and all four datasets basically showed no substantial signal exceeding twice the SD of annual mean residual. There also occurred an eruption of Mount Awu, Indonesia in August 1966 (Sato et al., 1993), but the AOD time series do not show any substantial signal (Fig. 7). The same volcanic analysis for Mount Awu eruption showed cooling (0.8–1.6 K) in the Southern Hemisphere midlatitude lower stratosphere for all the four datasets (not shown).

Figure 9 provides a useful summary plot for the volcanic effects on the temperature at 50 hPa and at 300 hPa using JRA-55 from the 1958–2001 analysis together with the AOD latitudinal time series. The aerosol loading due to the Mount Agung eruption in March 1963 extended primarily to the Southern Hemisphere, that due to the El Chichón eruption in April 1982 was very large in the tropics and extended primarily to the Northern Hemisphere, and that due to the Mount Pinatubo eruption in June 1991 was very large in the tropics and extended to both hemispheres. The tropical lower stratosphere warmed after these three major volcanic eruptions, Mount Agung in March 1963, El Chichón in April 1982, and Mount Pinatubo in June 1991 with a time scale of 1–2 years. The warming after the Mount Agung eruption is not equatorially symmetric and is shifted to the Southern Hemisphere

and to somewhat lower levels, in association with the distribution of aerosol loading. The tropical
385 troposphere became cooler after the Mount Pinatubo eruption but the tropospheric response is not as
clear for the other two eruptions. The high latitude response is also unclear both in the troposphere
and stratosphere due to high random variations that mask any volcanic signals, if they exist. ~~The
smaller-scale Fernandina Island eruption in June 1968 may have had weak but opposite effects, i.e.,
tropical lower stratospheric cooling and tropical upper tropospheric warming.~~

390 4 Conclusions

Monthly and zonal mean temperature data from nine reanalysis datasets were analysed to character-
ize the response to the three major volcanic eruptions ~~and three other smaller-scale eruptions~~ during
the 1960s to 1990s. Multiple linear regression analysis was applied to evaluate seasonal variations,
trends, QBO, solar cycle and ENSO components, and the residual time series $R(t)$ was assumed to
395 be composed of volcanic signals and random variations. The volcanic signals were defined as the
difference between the 12 month averaged $R(t)$ after each eruption and the 36 month averaged $R(t)$
before each eruption. Two separate analyses were performed, that is, one for the period 1979–2009
(31 years) using eight reanalysis datasets and the other for 1958–2001 (44 years) using four reanal-
ysis datasets. The former covered the eruptions of El Chichón (April 1982) and Mount Pinatubo
400 (June 1991), while the latter also covered **the eruption of Mount Agung (March 1963)**. ~~those of
Mount Agung (March 1963), Mount Awu (August 1966), Fernandina Island (June 1968) and Mount
Fuego (October–December 1974).~~

The general features of the response to QBO, solar cycle, and ENSO were found to be quite similar
to those shown in Mitchell et al. (2015) who also used a multiple linear regression with different
405 methodological details, in particular, considering a volcanic index as well. Also, these signals were
at least qualitatively similar among reanalysis datasets, with a notable exception that 20CR shows
no QBO signals and no tropical stratospheric solar response.

The latitude-pressure distribution of El Chichón and Mount Pinatubo temperature response was
quite similar at least among the **recent** four latest reanalysis datasets (JRA-55, MERRA, ERA-
410 Interim, and NCEP-CFSR) and between the 1979–2009 and 1958–2001 analyses. For the Mount
Pinatubo eruption, tropical lower stratospheric warming and tropical upper tropospheric cooling
were observed. For the El Chichón eruption, tropical lower stratospheric warming was observed,
but tropospheric cooling was much weaker than the Mount Pinatubo case. For the Mount Agung
eruption, JRA-55, ERA-40, and NCEP-1 showed Southern Hemisphere lower stratospheric warm-
415 ing centred at 40–30° S and 100–50 hPa, with an equatorial extension to 50 hPa. Thus, the Agung
signal was asymmetric about the equator and very different from the El Chichón and Pinatubo sig-
nals. We suggest that this may be due to differences in the transport of volcanic aerosols (Sato et al.,
1993). ~~There were some other smaller-scale tropical eruptions during the 1960s and 1970s. Among~~

them, the Fernandina Island case showed tropical upper tropospheric weak warming and tropical
420 lower stratospheric cooling, i.e., with opposite signs to the three major eruptions. The Awu also
showed Southern Hemisphere midlatitude lower stratospheric cooling.

It was found that **Evidently** the temperature responses were different for different volcanic erup-
tions. even for the three major eruptions. In particular, wide-spread upper tropospheric cooling was
observed only for the Mount Pinatubo case, and the Mount Agung lower stratospheric response
425 was found to be asymmetric about the equator. Smaller-scale eruptions may have resulted in very
different climatic response, such as lower stratospheric cooling, not warming, although the cases are
limited. The characteristics in the temperature response are related to the transport of stratospheric
aerosols together with the amount of sulphur species emitted into the stratosphere. Depending on
the location, season, and magnitude of the eruption, the climatic response can be very different (e.g.,
430 Trepte and Hitchman, 1992). This needs to be taken into account when evaluating the stratospheric
sulphur injection as a geo-engineering option, and thus accurate estimations of stratospheric cir-
culation and transport are essential for assessing the climate impacts. Also, it should be noted that
accurate evaluation of naturally induced variability such as QBO, solar cycle, and ENSO is necessary
to detect the effects of artificial injection. Finally, reanalysis intercomparison for this case gave us
435 some more confidence on the volcanic and other naturally induced effects, even if there are several
known issues (e.g., inhomogeneity of observational data) in the current reanalysis systems.

Finally, we conclude that the four most recently developed reanalysis datasets, i.e., JRA-55,
MERRA, ERA-Interim, and NCEP-CFSR are equally good for studies on the response to the El Chichón
and Mount Pinatubo eruptions. The NCEP-1, NCEP-2, and JRA-25 showed different tropical strato-
440 spheric signals particularly for the El Chichón eruption, though the original upper-air temperature
observations assimilated are basically common, and this is most probably in association with the
use of older analysis systems. The 20CR did not assimilate upper-air observations and gives very
different volcanic signals, despite including volcanic aerosols in the forecast model. Of the currently
available datasets that extend back far enough (JRA-55, ERA-40, NCEP-1, and 20CR) the JRA-55
445 dataset is probably the most ideally suited for studies of the response to the Mount Agung eruption
because it is the only dataset that employs the most recent reanalysis system.

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460 ~~Figures 1–10~~ Figures 1–9 were produced using the GFD-DENNOU Library.

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Figure 1. Latitude–pressure distribution of the temperature variations in association with (top left) QBO 20 hPa zonal wind index, (top right) QBO 50 hPa zonal wind index, (bottom left) solar cycle index, and (bottom right) ENSO index from JRA-55 reanalysis data for the period 1979–2009. The units are in Kelvin per **standard deviation** (SD) of each index (note that each index time series was standardized before the regression analysis). Solid and dashed lines denote positive and negative values, respectively. The contour interval is 0.2 K for QBO, and 0.1 K for solar cycle and ENSO. Coloured regions denote those greater (orange) and smaller (blue) than random variations with the 95 % confidence interval at each location.

Figure 2. As in Fig. 1 but for MERRA reanalysis data.

Figure 3. Time series of temperature residual $R(t)$ (**including volcanic signals and random variations**) averaged for 30° N – 30° S for the 1979–2009 regression analysis from eight reanalysis datasets at (a) 50 hPa and (b) 300 hPa. (c) Time series of aerosol optical depth at 550 nm averaged for 27.4° N – 27.4° S and integrated for the region 15–35 km. Vertical dotted lines indicate the starting date of the two volcanic eruptions.

Figure 4. Latitude–pressure distribution of the temperature response to the El Chichón eruption in April 1982 for the 1979–2009 analysis from eight reanalysis datasets. Solid and dashed lines denote positive and negative values, respectively. The contour interval is 0.4 K. Coloured regions denote those with positive and greater (orange) and negative and smaller (blue) than twice the SD of annual mean residual $\overline{R(t)}$ at each location.

Figure 5. As in Fig. 4 but for the Mount Pinatubo eruption in June 1991.

Figure 6. As in Fig. 1 but for the period 1958–2001.

Figure 7. As in Fig. 3 but for the 1958–2001 regression analysis from four reanalysis datasets. Vertical dotted lines indicate the starting date of the ~~six~~ **three** volcanic eruptions.

Figure 8. As in Fig. 4 but for the Mount Agung eruption in March 1963 for the 1958–2001 analysis from four reanalysis datasets.

Figure 9. Time–latitude distribution of temperature residual $R(t)$ (**including volcanic signals and random variations**) for the 1958–2001 regression analysis from JRA-55 reanalysis data at (a) 50 hPa and (b) 300 hPa. Thirteen-month running average has been taken for $R(t)$. The contour interval is 1.0 K for (a) and 0.25 K for (b). The regions with 0–1 K ($> 1\text{ K}$) are coloured in orange (red) in (a). The regions with 0 to -0.25 K ($< -0.25\text{ K}$) are coloured in light (dark) blue. (c) Time–latitude distribution of aerosol optical depth at 550 nm integrated for the region 15–35 km. The contour interval is 0.04. The regions with 0.04–0.12 (> 0.12) are coloured in orange (red) in (c).

Figure 10. As in Fig. 8 but for the Fernandina Island eruption in June 1968.

Table 1. List of global atmospheric reanalysis datasets considered in this study .

Dataset	Centre	Year ¹	Period	Reference
ERA-Interim	ECMWF	2007	1979–present	Dee et al. (2011)
ERA-40	ECMWF	2001	Sep 1957–Aug 2002	Uppala et al. (2005)
JRA-55	JMA	2009	1958–present	Ebita et al. (2011) ; Kobayashi et al. (2015)
JRA-25 / JCDAS	JMA and CRIEPI	2004	Jan 1979–Jan 2014	Onogi et al. (2007)
MERRA	NASA	2008	1979–present	Rienecker et al. (2011)
NCEP-CFSR	NOAA/NCEP	2007	1979–2009, 2010 ² –present	Saha et al. (2010)
NCEP-DOE AMIP-II R-2 (NCEP-2)	NOAA/NCEP and DOE AMIP-II	1998	1979–present	Kanamitsu et al. (2002)
NCEP-NCAR R-1 (NCEP-1)	NOAA/NCEP and NCAR	1995	1948–present	Kalnay et al. (1996); Kistler et al. (2001)
NOAA-CIRES 20CR v2 (20CR)	NOAA and CIRES/Univ. Colorado	2008	Nov 1869–Dec 2012	Compo et al. (2011)

¹ For the version of the operational analysis system that was used for the reanalysis.

² The model horizontal resolution has increased in 2010 in the NCEP-CFSR.