## 1 Trends in concentrations of atmospheric gaseous and

- 2 particulate species in rural eastern Tennessee as related to
- 3 primary emissions reductions
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## 11 Abstract

Air quality measurements at Look Rock, Tennessee—on the western edge of the Great Smoky Mountains National Park—were begun in 1980 and expanded during the 1980s to a National Park Service (NPS) IMPROVE network station. Measurements were expanded again by the Tennessee Valley Authority (TVA, 1999-2007) to examine the effects of electric generating unit (EGU) emission reductions of  $SO_2$  and  $NO_x$  on air quality at the station. Analysis of temporal trends (1999-2013) has been conducted at the site in collaboration with activities related to the 2013 Southeast Atmosphere Study (SAS) at Look Rock and other southeastern U.S. locations.

19 Key findings from these trend studies include the observation that primary pollutant levels have 20 consistently tracked emissions reductions from EGUs and other primary sources in the region but 21 reductions in secondary pollutants such as particulate sulfate and, specifically, ozone have been 22 smaller compared to reductions in primary emissions. Organic carbonaceous material (OM) 23 remains a major contributor (30-40 percent in the period 2009-2013) to fine particulate mass at 24 the site, as confirmed by ACSM measurements at the site in 2013. A large portion (65-85 percent) of carbon in OM derives from modern carbon sources based on <sup>14</sup>C measurements. 25 Important parameters affecting ozone levels, fine mass and visibility also include the specific 26 27 diurnal meteorology at this ridge-top site, its location in a predominantly mixed-deciduous forest,

and the presence of primary sources of precursors at distances of 50-500 km from the site in all
 directions.

## 3 1 Introduction

4 Observations in and near the Great Smoky Mountains National Park (GSMNP) have been 5 included in many air quality trends analyses for national parks [e.g., Cai et al., 2011; Cooper et 6 al., 2012; U.S National Park Service, 2013]. Despite its rural location, the GSMNP is near 7 enough to major urban centers (e.g., Knoxville, TN; Chattanooga, TN; Birmingham, AL; 8 Atlanta, GA) and other areas of high air emissions (e.g., Ohio River and Tennessee River 9 valleys) that it represents a guidepost for tracking the progress and benefits of various air 10 pollution regulatory actions throughout recent history (e.g., acid rain emission reductions, 11 regional NO<sub>x</sub> reductions for ozone control). Also, an era of low natural gas prices has made 12 switching from coal to natural gas very attractive to power producers facing the latest regulatory 13 pressures (U.S. Energy Information Administration, 2014) and these actions have triggered 14 further downward movement in emissions of both sulfur dioxide and nitrogen oxide emissions 15 that play a large role in determining air quality over the GSMNP. Deployment of a state-of-the-16 art monitoring system for aerosol chemical speciation at the GSMNP Look Rock monitoring site 17 in 2013 (Budisulistiorini et al., 2015) offers a new opportunity to revisit air quality in the 18 GSMNP with a specific focus on aerosol composition. The 2013 deployment of an Aerodyne 19 Aerosol Chemical Speciation Monitor (ACSM)—with the ability to investigate the composition 20 of organic aerosol mass (abbreviated here as organic mass, or "OM")-makes it especially useful 21 to understand the extent to which the air pollutant matrix over the region has changed since about 22 2000. This paper examines recent air quality trends at Look Rock to enable researchers to place 23 the 2013 data in proper perspective.

The Look Rock air quality research station in the GSMNP is located in a forest opening on the ridge line of Chilhowee Mountain. This elongated mountain oriented southwest-northeast borders the eastern edge of the Tennessee River valley. The ridge top lies 400-500 meters above the valley floor while much higher mountains of the Great Smoky Mountains are found to the east and south. Chilhowee Mountain is mostly covered by mixed deciduous forest and has been forested for decades. Although low-density single-family housing lies in valleys on either side of the site, its impact on gaseous and particulate concentrations at the site is infrequent and
 usually small because of its elevation well below the monitoring site.

3 Look Rock has been the site of an IMPROVE network (Hand, 2011) station-designated 4 GRSM1-and operated by the U.S. National Park Service (NPS) since the 1980s. Activities at 5 the site have included intensive research studies (e.g., SEAVS, Day et al., 1996) in addition to long-term IMPROVE network monitoring. The Tennessee Valley Authority (TVA)-in 6 7 collaboration with the NPS-added continuous measurements of gases in 1999 to complement 8 periodic intensive studies when aerosol composition was measured with time resolution of <249 hr (Cheng and Tanner, 2002; Tanner et al., 2005). Continuous measurements of fine mass, 10 sulfate, nitrate and aerosol carbon were also conducted in 2003-4 with the sponsorship of a 11 regional air management planning organization with the acronym "VISTAS" ("Visibility 12 Improvement State and Tribal Organization of the Southeast") and described in Brewer and 13 Moore (2009). Other analyses of Look Rock data addressed the seasonal and diurnal variability 14 of aerosol composition and levels of precursor gases (Tanner et al., 2004b, 2005); the role of 15 aerosol acidity and meteorology on observed inorganic and organic aerosol levels (Olszyna et al., 16 2005); and identification of the contribution of biogenic volatile organic compound (BVOC) sources to aerosols based on <sup>14</sup>C measurements from filtrated ambient air (Tanner et al., 2004a). 17

18 Subsequent advances in continuous measurement techniques for gases and aerosol constituents 19 led to enhanced measurement capabilities at the site beginning in late 2006. Supported by the 20 Tennessee Department of Environmental Conservation (TDEC) and the U.S. Environmental 21 Protection Agency (EPA), Look Rock was further instrumented (see Table 1) and designated a 22 rural NCore network station effective 1 January 2011. In addition to the continuous 23 measurements listed in Table 1, measurements of hourly aerosol organic and elemental carbon 24 levels have been made beginning in April 2011. Routine, continuous monitoring of aerosol 25 mass, sulfate, black carbon and primary and secondary gases—supplemented by enhanced 26 measurements during a series of intensive research studies—have produced a unique and 27 comprehensive air quality data base on background levels of species relevant to National 28 Ambient Air Quality Standards (NAAQS) for ozone and particulate matter for a high-elevation 29 site in the southeastern US.

1 Currently, special studies at the site include the deployment of an aerosol chemical speciation 2 monitor (ACSM) as described by Budisulistiorini et al. (2015) who also describe other 3 measurements made to accompany the aerosol data from the ACSM from February 2013 to 4 January 2014. An expanded research campaign was conducted 1 June - 15 July 2013 in 5 conjunction with the regional Southern Oxidant and Aerosol Study (SOAS) and the related SENEX and NOMADSS airborne campaigns, all a part of the Southeast Atmosphere Study 6 7 (SAS) (UCAR, 2014/ https://www.eol.ucar.edu/field\_projects/sas; U.S. National Atmospheric and Oceanic Administration (NOAA), 2013, Southeast Nexus (SENEX): Studying the 8 9 Interactions between Natural and Anthropogenic Emissions at the Nexus of Air Quality and Climate Change, Boulder, CO: NOAA/http://www.esrl.noaa.gov/csd/projects/senex/; EPA, 2014/ 10 11 http://blog.epa.gov/science/tag/southern-atmosphere-study-sas). A review of the existing surface 12 data base is critically needed in light of an explosion of recent mechanistic studies of sources of 13 organic particles, their relation to precursor anthropogenic and BVOC emissions, and the role of 14 these emissions in the formation and accumulation of ambient secondary organic aerosol 15 (Hallquist et al., 2009; Hoyle et al., 2011; Schilling et al., 2013).

16 A comprehensive review is presented here of air quality trends at the Look Rock site covering its 17 period as an IMPROVE station, during periodic TVA activities from 1999 to 2007 and after expanded continuous measurements were begun in 2007. This period is characterized as one 18 19 during which precursor emissions of species contributing to PM<sub>2.5</sub> mass were being reduced throughout the region. The following expands on analyses of visibility and ozone trends that 20 21 have been conducted using the site's long-term IMPROVE aerosol, light scattering, and gaseous 22 database (Hand et al., 2012; http://vista.cira.colostate.edu/improve/ Overview/Overview.htm). 23 The objectives of this review are three-fold. First, this review determines the existence of 24 significant trends since 1999 in air emissions and air pollutant (including aerosol) precursors, and 25 associated changes in secondary air quality variables. Second, this study examines the degree to which changes in emissions (of SO<sub>2</sub>, NO<sub>x</sub> and other species in the region) are associated with 26 27 reductions in ambient levels of secondary gaseous and particulate species. Finally, this effort 28 uncovers the extent to which changes in meteorological parameters may influence pollutant 29 trends.

## 1 2 Analysis methods

## 2 2.1 Emissions in domain of influence

3 A domain of highest potential impact from primary emissions on Look Rock air quality was 4 defined to evaluate the effects of changes in emissions on air quality at the site. This domain 5 (Figure 1) included most of Tennessee and Kentucky and portions of northern Alabama, northern 6 Georgia (including the Atlanta metropolitan area) and western portions of South Carolina, North 7 Carolina (including the Charlotte area), and Virginia. This "Look Rock emissions region" was 8 essentially an ellipse containing Look Rock and was 500 km along the longest (roughly west-9 east) axis. The region was slightly skewed westward to account for prevailing winds. The intent 10 was to define an area in which changes in emissions of primary species could be expected to 11 cause the highest changes in concentrations of these and associated secondary species at Look 12 Rock with transport times of order 1-2 days at most (i.e., shorter in winter when winds are 13 greater and longer in summer when winds are lighter and atmospheric chemistry is more active). 14 It is likely that Look Rock concentrations of secondary species such as sulfate aerosol do not 15 respond proportionately to changes in precursor levels within the defined emission region.

16 Emissions data were obtained at the state level from the EPA as part of the National Emissions 17 Inventory (NEI) for each year from 1999 through 2013. These data are summarized online at 18 http://www.epa.gov/ttnchie1/trends/. County-wide emissions were taken from NEI inventories 19 released by EPA for 1999, 2002, 2005, 2008 and 2011. Electrical generating unit (EGU) 20 emissions were also obtained for SO<sub>2</sub> and NO<sub>x</sub> for the domain on an annual basis over this period 21 (http://ampd.epa.gov/ampd/QueryToolie.html). Graphs of the NEI results (Figure 2a and b) 22 shows moderate downward trends in emissions-trends that accelerated for NO<sub>x</sub> and SO<sub>2</sub> in the 23 period between 2008 and 2011. Downloaded data files obtained for the 1999 NEI inventory 24 were incomplete for CO, NH<sub>3</sub>, NO<sub>x</sub>, VOCs (volatile organic compounds) for unknown reasons 25 and both primary PM categories (PM10-PRI and PM2.5-PRI) are not shown. Also, county-level 26 and EGU data for 2013 were not available at the time this analysis was started so total domain 27 emissions were extrapolated from 2012 data and the 2013 state-level EGU:Total emission ratio 28 in the NEI data base. The NEI data for total SO<sub>2</sub> emissions (Figure 2b) are consistent with 29 trends in the EGU data. Total regional  $NO_x$  emissions (Figure 2b) are likely to be a better 30 predictor of Look Rock ozone than EGU NO<sub>x</sub> emissions. For subsequent statistical analysis, the

annual variation in total  $NO_x$  emissions was estimated using the annual EGU  $NO_x$  emissions and the ratio between EGU and non-EGU  $NO_x$  emissions determined when data from both were available at the county level. Interpolation of this ratio (from a proportional comparison with the annual state-wide ratios) to other years was done to estimate total  $NO_x$  within the Look Rock domain for years without a detailed county-level inventory. The EGU:Total  $SO_2$  emissions ratio decreased from 75 to 51 percent over the 15-yr interval. The  $NO_x$  emissions ratio decreased from 34 to 12 percent over the same time frame.

## 8 2.2 Techniques and data sources for gases and aerosols

9 The filter-based and continuous measurements made at Look Rock are listed in Table 1 and represent methodologies used since 2007. The starting dates for the NPS vary from the 1980s 10 11 for IMPROVE filter measurements through about 2002 when the TEOM (Tapered Element 12 Oscillating Microbalance) instrument was installed. IMPROVE filter-based ammonium data are available since 1998. Concentration data for gases-SO2, NO-NO2-NOy, CO and O3-and for 13 aerosol mass and chemical constituents [sulfate, organic carbon (OC), elemental carbon (EC) or 14 15 black carbon (BC)]-were acquired for the period from 1999 to 2013 for all time periods in which 16 there were continuous records from which valid annual, seasonal and monthly data could be 17 calculated.

18 Data for SO<sub>2</sub> at Look Rock are from several sources including the CASTNET station at Look 19 Rock, short-term campaign hourly data and continuous SO<sub>2</sub> data (reported as 1-hr averages) 20 acquired since March 2007 as part of the long-term monitoring initiative. Continuous hourly-21 averaged data examined in the trends analyses below included sulfate, SO<sub>2</sub> and NO-NO<sub>y</sub> data 22 from previous studies (e.g., VISTAS 2003 and 2004, and summer research intensives) at the site, 23 and used the same instruments or earlier prototypes as listed in Table 1. Organic and total 24 aerosol carbon were measured from April, 2003 through the end of 2004 by the R&P Model 25 5400 analyzer (an instrument description is available in Wittig and Hyslop, 2001); OC & EC 26 data obtained since April 2011 were obtained using Sunset Labs OCEC analyzer (Birch and 27 Cary, 1996; Bae et al., 2004). The annual average data for OC and EC at the site were obtained 28 from every-third-day, or twice-weekly 24-hr filter samples analyzed by the IMPROVE TOR 29 method (Chow et al., 1993). Operation of the instrumentation and the techniques used, their 30 limits of detection, precision, accuracy, and calibration procedures are consistent with EPA data

1 quality assurance requirements as outlined in EPA NCore network strategy (National Ambient 2 Air Monitoring Strategy: http://www.epa.gov/ttn/amtic/files/ambient/monitorstrat). The 3 IMPROVE TEOM PM<sub>2.5</sub> mass had to be corrected to adjust for the fact that the NPS operated 4 the TEOM from the beginning at 30°C but used the calculation algorithm originally designed for 5 operation at 50°C. To avoid bias in reported mass (the lower temperature better preserves 6 ammonium nitrate and some semi-volatile OC) compared with standard filter-based PM<sub>2.5</sub> data, 7 TEOM values were corrected based on an algorithm developed to produce results which were 8 highly correlated with reported FRM PM<sub>2.5</sub> mass with essentially zero intercept

9 Annual quarters 1 (January-March), 2 (April-June), 3 (July-September) and 4 (October-10 December) were defined as winter, spring, summer and autumn, respectively, for determining 11 seasonal data trends for investigating meteorological influences. The approach permits spring 12 and summer to be consistently the periods with highest temperature (T), solar radiation, and leaf 13 coverage, while fall and winter have lower T and solar radiation and very little deciduous leaf cover. Major differences in trends are unlikely when compared to seasonal data binned 14 15 according to solstice and equinox dates or using alternate definitions such as winter = December-16 February.

## 17 **2.3 Influence of meteorological patterns**

Temporal patterns in meteorology may influence Look Rock air quality due to the dependence of atmospheric chemistry and pollutant transport on variations in temperature, solar radiation, cloud cover, precipitation and wind patterns. Patterns were based on data collected at Look Rock and the nearest National Weather Service (NWS) observations at the Knoxville airport. Data from these two sites are described in Supplemental Material. Few significant (i.e., >95 percent confidence) trends were identified over the time period from 1999 through 2013.

Atmospheric transport was inferred from computed three-dimensional air trajectories. Twentyfour hour air trajectories ending at Look Rock were computed using the NOAA HYSPLIT Model (www.arl.noaa.gov/HYSPLIT\_info.php; Draxler and Hess, 1998; Draxler, 1999; Draxler and Rolph, 2014). Trajectories were based on the NOAA-NCAR Global Reanalysis meteorological data set and used diagnosed vertical velocity to determine vertical air parcel motion. Trajectories were derived for days when TEOM data indicated fine particulate levels in either the lowest or highest 5 percent of the distribution of all hourly  $PM_{2.5}$  concentrations in each month. This ensured that we examined trajectory classes that contributed to the full range of particulate levels at Look Rock. Two or more trajectory analysis days were selected monthly during 2007-2013 (ending in June of 2013) yielding a data set of 174 trajectories. Trajectories were computed to arrive at 100, 500 and 1500 m above Look Rock at local midnight on the trajecgory analysis days. Trajectories arriving at 1500 m agl were so similar to those for 500 m that they provided little additional information and were not included in a subsequent cluster analysis.

8 Cluster analysis is a useful aggregation tool for classifying data into groups with similar 9 locations in K-dimensional space (Wilks, 2006). Hourly upwind trajectory coordinates were 10 available for each combination of trajectory arrival date and trajectory height. Trajectory latitude 11 and longitude coordinates at 12 and 24 hours upwind and at 100 and 500 m arrival heights were 12 the focus of a cluster analysis designed to identify similar atmospheric transport pathways 13 approaching Look Rock. In this case K=2 and spatial coordinates were defined using latitude 14 and longitude transformed into orthogonal variables with unit variance and mean of zero. The 15 transformation was made using principal component analysis (Preisendorfer, 1988) for each 16 upwind time.

17 Cluster analysis yielded groupings (clusters) of trajectories that represented the full range of 5-hr 18 average PM<sub>2.5</sub> concentrations centered on daily minimum or maximum hourly values. Cluster 19 PM<sub>2.5</sub> averages showed a wide range in values suggesting that each cluster represented a unique 20 set of characteristics due to different combinations of trajectory geography and speed. Temporal 21 trends (2007-2013) within clusters were computed when possible and these trends were arbitrarily sorted into three groups based on mean  $PM_{2.5}$  values [low (<10  $\mu$ g m<sup>-3</sup>), medium (10-22 20  $\mu$ g m<sup>-3</sup>) and high (>20  $\mu$ g m<sup>-3</sup>)]. Significant trends were only computable for the largest 23 24 clusters because they had sufficiently large numbers of trajectory days and represented years. 25 Even so, trend values for individual clusters are not as important as cluster trends sorted by PM<sub>2.5</sub> 26 concentration groupings. A comparison across PM<sub>2.5</sub> groups indicated that temporal trends were 27 significant and downward only for clusters that fell into the high PM<sub>2.5</sub> group. In the subsequent 28 discussion, the trajectory cluster number refers to the hierarchy of clusters starting with the 29 cluster (assigned a value of one) having the most trajectories for which some predetermined 30 criteria are met that define a property of the trajectories. In the present study, Ward's method of 31 in-cluster variance minimization was used (Wilks, 2006). Subsequent (lower number) clusters

have fewer trajectories. Clusters are built starting with each trajectory in its own cluster and aggregating trajectories on subsequent passes through the data. This process can continue only until all trajectores are clustered into one group (such an endpoint has no value, however). The analyst must select some number of clusters >1 for which the highest ranked clusters contain a useful amount of trajectories that are classified. Once a trajectory is placed into a cluster it is not removed.

## 7 2.4 Trend determination

In the subsequent discussion, a determination of "significance" when evaluating the presence of 8 9 a trend, when comparing changes in emissions and air pollutant concentrations or when 10 comparing air quality and meteorology was based on a statistical association computed (using 11 least-squares regression) to have a *p* value <0.05. Temporal changes in both domain-wide annual 12  $NO_x$  and  $SO_2$  emissions (all sources) were significant with p < 0.001 (i.e., confidence exceeding 99.9 percent). Seasonal emissions were not estimated (seasonal emissions for non-EGU sources 13 14 must be modeled and this adds another level of complexity and uncertainty to the trends 15 analysis). Trends in total seasonal emissions are expected to be similar to annual trends with 16 some seasons experiencing more changes than others depending on the timing of specific 17 regulatory drivers. This assumption of similarity is used in all subsequent comparisons between 18 seasonal air quality values and emissions and contributes to uncertainty when comparing them. 19 The convention for comparing emissions, air quality and meteorological values averaged over 20 time (annual or seasonal) involved converting actual values into deviations from the 15-year 21 average and then normalizing the deviation by the standard deviation of the 15-year value:

$$22 \qquad \hat{x} = \frac{x - \bar{x}}{\sigma_x}.$$
(1)

In Eq. (1) the overbar denotes the multi-year average of variable x while  $\sigma_x$  denotes its corresponding standard deviation. This scaling of x reduces the impact of outliers (<5 percent of all air quality values of  $\hat{x}$  fell outside  $\pm 2\sigma_x$ ) and allows for a straightforward comparison between different data sets. Thus, when comparing two variables  $\hat{x}_1$  and  $\hat{x}_2$ , a significant association with mean regression slope  $\Delta \hat{x}_2 / \Delta \hat{x}_1 = 1$  implies that a one-standard deviation change in variable 2 is associated with a one-standard deviation change in variable 1. Comparing different variable 1 associations in this manner allows a direct comparison between variable sensitivities (e.g., 2  $\Delta \hat{x}_2 / \Delta \hat{x}_1$  versus  $\Delta \hat{x}_3 / \Delta \hat{x}_1$ ). In the current context, x represents the annual or single-season 3 average (or sum as in the case of precipitation) of a variable such as ozone concentration or 4 temperature.

5 3 Results

# 6 3.1 Annual trends in gaseous concentrations compared to emissions of primary 7 species

#### 8 3.1.1 Ozone

9 Annual and seasonal averages of one-hr  $O_3$  data obtained from NPS for the period 1999-2013 are 10 plotted in Figure 3. The trend for the O<sub>3</sub> season (April-October) is not shown because it is nearly 11 indistinguishable from that for the third quarter (July-August) labeled "summer". The trends are 12 similar to that observed for O<sub>3</sub> using the National Ambient Air Quality Standard (NAAQS) 13 metric—based on the annual fourth highest of the maximum daily 8-hr average (not plotted here) 14 and indicate only a slightly negative trend for 1999-2011. This change is much more modest (about 0.3 ppby yr<sup>-1</sup> or <1 percent yr<sup>-1</sup>) than the trend in domain emissions of NO<sub>x</sub> (an O<sub>3</sub>) 15 precursor) for the 2002-2011 period. The O<sub>3</sub> trends for the second (-0.5 ppbv yr<sup>-1</sup>; -0.9 percent 16 yr<sup>-1</sup>; -0.13 $\sigma$  yr<sup>-1</sup>) and third quarters (-1.0 ppbv yr<sup>-1</sup>; -1.9 percent yr<sup>-1</sup>; -0.15 $\sigma$  yr<sup>-1</sup>) were significant 17 at p < 0.05 but this was not true of the annual (-0.3 ppbv yr<sup>-1</sup>/-0.11 $\sigma$  yr<sup>-1</sup>), first-quarter (0.2 ppbv 18  $yr^{-1}/0.09\sigma yr^{-1}$ ) and fourth-quarter (0.1 ppbv  $yr^{-1}/0.03\sigma yr^{-1}$ ) changes. Likewise, the association 19 20 between quarterly O<sub>3</sub> and annual NO<sub>x</sub> emissions was positive and highly significant for the spring and summer quarters (0.7<  $\Delta \hat{x}_{O3}/\Delta \hat{x}_{NO2}$ <0.8), when high photochemical reactivity should 21 enable regional emissions to have the greatest impact on ozone observed at Look Rock, but not 22 23 for the other quarters when ozone had small, positive changes.

Zero or slightly upward  $O_3$  patterns during the first and fourth quarters—when photochemistry is low and contributions from local and regional sources are at a minimum—have occurred despite reductions in regional NO<sub>x</sub> emissions. These observations may be due in part to reduced emitted NO<sub>x</sub> titrating less  $O_3$  during the winter and autumn periods. If true, this pattern implies the relative importance of long-range (i.e., background) ozone transport during the cooler seasons. Cooper et al. (2014) reviewed global ozone data trends and noted significant increases in ozone

1 across the Pacific Ocean (from Japan to Hawaii and the Pacific coast of western North America) 2 since at least 1990, with annual levels climbing from 30-40 ppbv in the early 1990s to 35-45 ppbv by 2010 along the U.S. west coast (changes equal to 0.27 ppbv yr<sup>-1</sup>). Annual average Look 3 Rock data do not indicate similar increases but some influence from long-range transport cannot 4 be ruled out in light of the 0.2 ppbv yr<sup>-1</sup> increases during the winter quarter. Large regional 5 decreases are noted across the eastern U.S. in summer and increases are reported in winter 6 (Cooper et al., 2014) during the first part of the 21<sup>st</sup> century, in agreement with Look Rock data. 7 8 However, the Look Rock springtime O<sub>3</sub> decrease contrasts with little change elsewhere in the 9 eastern U.S. but this discrepancy may be caused partly by a different definition of "spring" 10 (Cooper et al. used March-May).

Trends in the O<sub>3</sub> regulatory metric (annual 4<sup>th</sup> highest daily maximum 8-hr average, "4<sup>th</sup>8h") in 11 the U.S. have been reported by the U.S. EPA (www.epa.gov/airtrends/ozone.html#oznat). These 12 13 more extreme values exhibit greater decreases since 1990 than found in annual average values, in 14 part because air management schemes are focused on reducing the highest concentrations. Using over 100 sites in rural areas across the U.S, EPA shows changes in the 10<sup>th</sup> and 90<sup>th</sup> percentile 15 values of the 4<sup>th</sup>8h of -0.3 and -1.1 ppbv yr<sup>-1</sup>, respectively. At Look Rock the 4<sup>th</sup>8h has declined 16 at a comparatively rapid rate of 1.9 ppbv yr<sup>-1</sup> since 1999. Thus, Look Rock data indicate 17 improvements in the worst  $O_3$  levels at a faster rate than seen at most rural sites nationally. 18

## 19 3.1.2 SO<sub>2</sub>

Annual SO<sub>2</sub> concentrations, based on high-sensitivity hourly pulsed fluorescence data measured at Look Rock, are available from 2007 to present and show about a 25 percent yr<sup>-1</sup> decrease over the period, compared to a mean reduction rate of 11 percent yr<sup>-1</sup> since 1999 based on CASTNET weekly SO<sub>2</sub> data (Table 2). This reflects the large reductions in SO<sub>2</sub> emissions in the domain. Compare the relative reduction rate of SO<sub>2</sub> with the reduction rate expressed as a fraction of a standard deviation in the concentration (Table 2): 11 percent yr<sup>-1</sup> is equivalent to an annual decline of  $0.42\sigma$  yr<sup>-1</sup> (i.e., almost a half of a standard deviation per year).

Annual averages of  $SO_2$  concentrations from 1999 to the present from CASTNET weekly data and continuous hourly pulsed fluorescence data are shown in Figure 4 along with a comparison with NEI and EGU emissions of  $SO_2$  in the domain (see discussion below). Hourly, continuous data for  $SO_2$  averaged on a shorter, monthly basis show much more month-to-month variability

1 (Figure 5) due to a combination of seasonal changes in emissions, gas-to-particle conversion and 2 meteorology-driven scavenging rates. Monthly comparison with hourly SO<sub>2</sub> data is less than 3 ideal because CASTNET SO<sub>2</sub> data are averaged weekly but, given the similarity in changes, it is 4 reasonable to expect that the conclusions would be the same. The CASTNet data do provide a 5 longer time series for comparison with emissions. A comparison of emissions and CASTNet concentrations (i.e.,  $\Delta \hat{x}_{SO2(emiss)}$  versus  $\Delta \hat{x}_{SO2(conc)}$ ) for 1999-2013 produces a highly significant 6 correlation (p<0.0001) with  $\Delta \hat{x}_{SO2(emiss)} / \Delta \hat{x}_{SO2(conc)} = 0.97$ . A similar result was found comparing 7 annually-averaged hourly  $SO_2$  with  $SO_2$  emissions for 2007-2013: 8 *p*=0.001 and  $\Delta \hat{x}_{SO2(emiss)} / \Delta \hat{x}_{SO2(conc)} = 1.38$ . The sensitivity value >1 since 2007 is probably caused by large 9 10 additional SO<sub>2</sub> emission reductions outside the regional domain. Seasonal breakdowns in these 11 comparisons are further enumerated in Table S-2 of the Supplemental Material.

## 12 3.1.3. NO<sub>y</sub>

13 Data for NO-NO<sub>x</sub>-NO<sub>y</sub> concentrations at the site are relatively sparse prior to March 2007 14 (Figure 5). Data since 2007 (Table 2) indicate a strong downward trend in NO<sub>v</sub> at an average 15 rate of almost 15 percent per year. This compares with the negative trend in  $SO_2$  (-25 percent yr<sup>-</sup>  $^{1}$ ) for the same period. The ambient NO<sub>v</sub> trend was significantly negative for all 4 quarters of the 16 year (trend  $\approx$ -0.4 ppbv yr<sup>-1</sup> independent of season). This result is similar to that for regional NO<sub>x</sub> 17 annual emissions. However, despite similar tendencies, ambient NO<sub>v</sub> was not significantly 18 19 associated with annual NO<sub>x</sub> emissions except during the third and fourth quarters. This is likely 20 due to the extreme nonlinearity in NO-NO<sub>2</sub>-NO<sub>z</sub> (NO<sub>z</sub>=NO<sub>y</sub>-NO<sub>x</sub>) chemistry and the spatial 21 inhomogeneity of NO<sub>v</sub>. It is possible that the significant associations during two quarters were 22 coincidental or represented a serendipitous agreement between regional emissions trends and 23 trends for sources directly impacting Look Rock air quality during those periods.

In addition,  $O_3$  was not significantly associated with  $NO_y$  except during the third (summer) quarter when a positive association was determined ( $\Delta \hat{x}_{O3} / \Delta \hat{x}_{NOy} = 0.76$ ). Again, this may be because Look Rock  $NO_y$  data do not reflect regional  $NO_x$  emissions. Look Rock ozone appears to only respond significantly (in a positive sense) to local  $NO_y$  levels during the summer quarter when weak transport conditions and high photochemical rates coincide. In that quarter,  $NO_y$  was significantly linked to only two variables: wind speed (positive sense) and cloud cover (negative sense). This also supports the finding of positive trends observed in ozone for the first and fourth quarters despite the fact that regional  $NO_x$  emissions were in strong decline. The conclusion from these results is that local conditions at Look Rock that are influenced strongly by atmospheric photochemical processes (especially ozone and secondary organic aerosol formation) are only characterized by Look Rock measurements during the summer quarter. Data from other times of the year indicate a blend of impacts from local and more distant emissions, consistent with the stronger atmospheric transport and/or slower photochemical processing typical for those seasons.

## 8 **3.2** Annual trends in aerosol mass and composition

9 All aerosol species for which data are available exhibited an average 2007-2013 negative trend 10 of between 8 and 15 percent per year. Only ozone—which has had a modest upward trend in 11 winter and fall quarters—experienced a very small negative annual trend coinciding with the 12 strong negative trends for the other species.

13 Data used to evaluate trends in aerosol mass and composition at Look Rock include the 14 following: mass and composition data from the IMPROVE network from analysis of every-15 third-day filter samples (twice-weekly prior to 1999) which were examined for the time period 16 1999 to the present for all trend analyses, and retrospectively back to 1988 for mass, sulfate, ammonium (from 1998 only), OC and EC levels. The annual averages are shown in Figure 6 17 18 and show smoothly decreasing trends with an accelerated decrease in the 2007 to 2009 period for 19 sulfate that clearly influenced trends in PM<sub>2.5</sub> mass. Annual percent reductions in concentrations 20 based on IMPROVE data are shown in Table 2 and range from 2.0 percent to 3.6 percent per yr<sup>-1</sup> 21 since 1988. Note that the levels of OC as measured by the IMPROVE method actually increased 22 at Look Rock from 1988 to 1998 then decreased from 1998 to the present at a net rate of 2.7 percent yr<sup>-1</sup>. 23

Comparing Look Rock  $PM_{2.5}$  trends with those from other locations is more complicated than for O<sub>3</sub> because aerosols impacting a given site often come from a different mix of pollution source types and pollutant precursor species. Across the eastern U.S. the predominant source of  $PM_{2.5}$ has been sulfate from SO<sub>2</sub> emitted by fossil fuel combustion. However, some locations are more influenced than others by biomass burning, biogenic organic aerosols, windblown dust and so forth (see, for example, Chalbot et al., 2013 whose  $PM_{2.5}$  source analysis included many of the

1 same regions that impact Look Rock). Trends in these different source types influence overall 2 trends in PM<sub>2.5</sub> pollution. The U.S. EPA tracks trends in annual average PM<sub>2.5</sub> concentrations at 3 both the national and regional level (www.epa.gov/airtrends/pm.html#pmreg). Data from 2000-2013 indicate national trends in 10<sup>th</sup> and 90<sup>th</sup> percentile levels of PM<sub>2.5</sub> pollution of -0.19 and -4  $0.51 \ \mu g \ m^{-3} \ yr^{-1}$ , respectively. At Look Rock the trend for this same period was -0.46  $\ \mu g \ m^{-3} \ yr^{-1}$ 5 <sup>1</sup>, or near the upper end of the nationally observed trends. Regionally, the Look Rock trend 6 compares with those from nearby regions (all units in µg m<sup>-3</sup> yr<sup>-1</sup>): -0.46 (Ohio River Vallev 7 8 which includes Tennessee/Look Rock in EPA's regional definition), -0.42 (northeastern U.S.), -9 0.41 (southeastern U.S. which includes states immediately south and east of Look Rock), and -10 0.24 (south-central U.S., including Texas). Thus, the Look Rock PM<sub>2.5</sub> trend is most similar to 11 that for the Ohio River Valley region which is a major source region for SO<sub>2</sub>.

12 Since 1999 the changes in aerosol mass and its major constituents are all in a narrow range from 3.1 to 4.2 percent yr<sup>-1</sup> despite significant inter-annual variability. Comparing the results reported 13 in Table 2 for sulfate with trends reported by Hand et al.(2012), there is excellent agreement in 14 trends (-4.2 percent yr<sup>-1</sup> for Look Rock compared to -3.1 percent yr<sup>-1</sup> for six southeastern long-15 term (1992-2010) IMPROVE sites) and -4.4 percent yr<sup>-1</sup> for 13 short-term southeastern sites 16 17 (2001-2010). This suggests that the trends observed at Look Rock are typical of the non-urban 18 southeast and that the observed decreases in PM2.5 mass levels are due to decreases in both 19 sulfate and organic carbon. Ammonium levels are expected to decrease with sulfate assuming 20 the extent of neutralization of aerosol sulfate does not change. However ammonium was not 21 measured at the site in a long-term context. Levels of other aerosol constituents not shown in 22 Figure 6 (e.g., metal oxides) may also have decreased during the period but, with the possible 23 exception of nitrate during winter months, these constituents contribute at most a few percent of 24 the PM<sub>2.5</sub> mass. For example, in 2001, nitrate as NH<sub>4</sub>NO<sub>3</sub> in FRM filter measurements made at 25 Look Rock averaged 12.9 percent of  $PM_{2.5}$  mass in winter but <1 percent averaged over the 26 remaining seasons (Tanner et al., 2004b). More recently, Budisulistiorini et al. (2015) reported 27 that in 2013 NH<sub>4</sub>NO<sub>3</sub> varied between 4 (summer) and 9 (winter) percent of total PM<sub>1</sub> mass 28 ("PM<sub>1</sub>" denotes aerosols with aerodynamic diameters  $<1 \mu$ m) mass.

Comparisons of aerosol components against emissions revealed that sulfate, OC and EC (and by extrapolation,  $PM_{2.5}$ ) were significantly associated with one or both emission species for at least the spring and summer quarters. Details are provided in Table S-2 of Supplemental Material.

1 The strong covariance between  $SO_2$  and  $NO_x$  emissions produces cross-correlations with any 2 aerosol component that is significantly associated with at least one of the emissions species. However, sulfate was more closely associated (higher  $r^2$  and lower p values; p <0.001) with SO<sub>2</sub> 3 emissions for each quarter of the year  $(0.60 < \Delta \hat{x}_{sulf} / \Delta \hat{x}_{NOX} < 0.76$  and  $0.76 < \Delta \hat{x}_{sulf} / \Delta \hat{x}_{SO2} < 1.0)$ . 4 5 Conversely, OC was strongly associated with NO<sub>x</sub> emissions during the spring (p < 0.01) and summer (p < 0.001) quarters and OC was associated to a lesser degree with spring, summer and 6 autumn SO<sub>2</sub> emissions (0.66< $\Delta \hat{x}_{OC} / \Delta \hat{x}_{NOx} < 0.76$  and 0.44< $\Delta \hat{x}_{OC} / \Delta \hat{x}_{SO2} < 0.65$ ). 7 One relationship that stands out is between OC and ambient NO<sub>y(g)</sub>. Similar to what was reported in 8 the previous section regarding ozone, OC was significantly associated with NO<sub>y(g)</sub> only during 9 the third quarter ( $\Delta \hat{x}_{OC} / \Delta \hat{x}_{NOy} = 0.86$ ), perhaps reflecting a unique combination of factors 10 occurring only during summer. Finally, EC was more closely linked with SO<sub>2</sub> emissions than 11 NO<sub>x</sub> emissions for each quarter ( $p \le 0.001$  for SO<sub>2</sub> in all seasons) although the SO<sub>2(emiss)</sub>:NO<sub>x(emiss)</sub> 12 covariance muddles interpretation of the data. 13

14 Another notable change in aerosol composition is reflected in the relative abundances among the 15 primary species measured at Look Rock. The change in relative abundances of the major fine 16 particle types is best seen by examining the IMPROVE data from 1999 and 2013 (Figure 7). The ammonium sulfate component is much smaller in 2013 as already described. Ammonium nitrate 17 18 remained a very small component but did increase somewhat in response to the greater 19 availability of ammonium. The relative abundances of organic aerosol and EC mass remained 20 about the same despite decreases in concentrations. Even the "unknown" component-believed 21 to be associated with an unattributed organic contribution or water—decreased by just over 50 22 percent (nearly the same amount as the organic component). It is important to note that the 23 IMPROVE estimated organic mass is determined by applying a fixed adjustment factor of 1.8 to 24 measured OC concentrations to account for the other elements associated with the unidentified 25 organic compounds (Malm et al., 2011).

## 26 **3.3** Relationship to long-term trends in meteorology

It is reasonable to consider whether these trends in aerosol and trace gas levels are associated with meteorological trends at Look Rock. Data from the NWS at the nearby Knoxville airport in Maryville and from Look Rock indicate similar patterns since 1999. There is no evidence of either annual or seasonal precipitation or temperature trends, nor are these meteorological variables correlated with measured air quality changes (details on the long-term trends in temperature and precipitation and the year-to-year variability in those trends at Look Rock are given in the Supplemental Material). Likewise, no significant 15-yr trends in wind direction frequency, cloud cover or solar radiation have been detected. A slight upward trend in solar radiation since 2007 was found which would suggest an increase in photochemistry, but the net effect on secondary aerosol production is unclear.

8 A small decline (not statistically significant) since 1999 in the number of high moisture hours 9 (i.e., those with relative humidity >90 percent) was observed during all seasons but autumn. 10 Considering that the inter-annual variations in this parameter were large, the effect on observed 11 aerosol trends (such as through reduced heterogeneous chemistry) is likely to be small. The only 12 statistically significant, incontrovertible meteorological trend is that of wind speed which has 13 declined steadily since 1999 at Look Rock. This is consistent with wind speed measurements 14 elsewhere in the United States (Pryor et al., 2009; Milton, 2010; Pryor and Ledolter, 2010). The 15 source of this decline is unknown but could be linked to climate change (Pryor et al., 2009) or to physical changes surrounding measurement sites. There is no evidence, however, that physical 16 17 characteristics have changed significantly in the Look Rock vicinity. A decline in wind speed 18 would reduce distances traveled by pollutants before arriving at Look Rock. This could increase 19 both primary pollutant concentrations-due to a decrease in atmospheric dilution-and 20 secondary pollutants by providing more time for atmospheric conversion. It would also mean an 21 increase in the relative contribution of local emissions to Look Rock compared to more distant 22 sources. In any case, declining wind speeds are not likely the reason behind the observed 23 decreases in pollutant concentrations at Look Rock unless a very non-linear process is involved.

24 Some meteorological factors, when viewed on a quarterly basis, were found to be significantly 25 associated with measured air quality values although they were not contributory to long-term 26 trends. Table 3 summarizes the notable linkages between air quality and meteorology at Look 27 Rock (in all cases p < 0.05). Details of these associations are described in Supplemental Material 28 to allow comparisons of relative sensitivities across different species and meteorological factors. 29 The quarter of the year when a significant association was identified is noted in the table for each 30 combination of air quality and meteorological factor. The summer (third) and autumn (fourth) 31 quarters had the most significant linkages. Wind speed was most frequently associated with air

1 quality (positively in all cases). Thus, speed is not an indicator of dilution but rather something 2 else, perhaps an increasing linkage between Look Rock air quality and local emissions with an 3 increasing role for "Look Rock domain" emissions as speed declines. Ozone, OC and EC are 4 occasionally negatively associated with precipitation which acts as a scavenger of pollutants. 5 Ozone and OC are both positively linked with temperature during summer with higher temperatures associated with more biogenic precursor emissions (Lamb et al., 1993) and faster 6 7 photochemical reactions (e.g., Alley and Ripperton, 1962; Seinfeld and Pandis, 1998). Cloud 8 cover and solar radiation generally are anti-correlated. Insolation (reduced by cloud cover) is a 9 driver for photochemistry although neither it nor clouds appear as significant drivers during all 10 quarters. Insolation was negatively associated with sulfate during the spring quarter for reasons 11 that are not apparent. Also unexpected is the negative association between ozone/OC/EC and 12 high relative humidity conditions (i.e., frequency of humidity levels >90 percent) at Look Rock. 13 Typically, clouds impact the monitoring site directly (i.e., place the site in fog) under such humid 14 conditions. The occasional negative association between some air quality levels (i.e., ozone and 15 OC) on one hand and cloud cover and high humidity on the other might represent the same effect 16 on photochemistry but the physical basis for an impact on EC is not obvious.

## 3.4 Seasonal trends in concentrations of primary and secondary aerosol species

19 Although located in the southeast US, the Look Rock site experiences four distinct seasons and 20 seasonal differences in meteorology and atmospheric chemistry can significantly affect trends in 21 concentrations and the degree to which changes in primary emissions are reflected in measured 22 air quality. Fine mass by either filter or continuous TEOM methods trends down for all seasons 23 (Figure 8), but the trend is dramatically sharper for the spring and summer data, especially for 24 the 2007-2009 period. This sharp decline is probably due to a combination of meteorology and 25 emissions reductions: 2009 was a wetter, cooler year than 2007, with 2008 being intermediate 26 between the two, which would reduce the amount of photochemical processing and secondary 27 aerosol formation. The period, 2007-2009, also saw the largest reductions in EGU emissions of both SO<sub>2</sub> and NO<sub>x</sub> within the domain. As a result, annual averages of TEOM-based PM<sub>2.5</sub> mass, 28 29 hourly sulfate, and IMPROVE OC decreased by 42, 52 and 40 percent, respectively, from 2007

to 2009 compared to 54 percent and 63 percent reductions in total SO<sub>2</sub> and NO<sub>x</sub> emissions
(respectively) in the domain.

3 Consistent with these observations, observed sulfate levels at Look Rock declined more sharply 4 in spring and summer compared to fall and winter (Figure 9) and again declines were most 5 pronounced in the 2007-2009 period. However, the trend in summer season sulfate was more 6 sharply downward than in the spring season and, since about 2009 there have been no significant 7 differences between average spring and summer values. Along with seasonal changes in 8 emissions, differences in meteorological parameters between spring and summer seasons-9 specifically average wind speeds and precipitation totals (both lower in summer)—may have 10 contributed to accumulation of higher sulfate levels in summer vis-à-vis spring in the period 11 before these sharp decreases in SO<sub>2</sub> emissions occurred. However, it is unclear what this 12 observation implies about the relative importance of gas-phase and aqueous-phase SO<sub>2</sub>-to-sulfate 13 conversion mechanisms and the relative pathways for SO<sub>2</sub> removal (reaction vs. deposition) in 14 the region in the future.

15 Figure 10 shows the IMPROVE data for OC, parsed by season for the 1999-2012 period. With 16 the exception of some anomalously high values of unknown origin in the fall seasons of 2000 17 and especially 2001, the trend in OC values is generally down (about 30 percent decrease in 18 2012 compared to 1999) but not as much as for fine mass and sulfate (55 percent and 58 percent, 19 respectively). There are two important caveats: comparing Figure 10 with the longer term OC 20 record in Figure 6 reveals that there was an upward trend in measured OC from the late 1980s to 21 the late 1990s prior to the decrease observed the last 10-15 years. Second, the seasonal decrease 22 in OC since 1999 is similar for all four seasons. This suggests that PM<sub>2.5</sub> reductions at the site 23 derive from reductions in both carbonaceous and sulfate aerosol levels which have differing 24 seasonal patterns, likely because of different seasonal patterns in their respective emission 25 sources. The different seasonal behaviors of OC and sulfate in response to meteorological 26 factors—as illustrated by the data in Table 3—may also come into play. This is supported by 27 seasonal trends data for EC and BC illustrated in Figure 11.

## 28 4 Sources of aerosol organic matter (OM)

A continuing source of uncertainty regarding the origin of secondary organic matter in atmospheric aerosols relates to the fraction of particle organic matter (OM) derived from

1 anthropogenic activities versus that which originates from natural processes (Weber et al., 2007; 2 Hoyle et al., 2011; Shilling et al., 2013). The most effective tool used to date to refine estimates of that fraction is measurement of the <sup>14</sup>C content of aerosol samples from which the fractions of 3 "modern" ( $f_m$ ) and fossil carbon can be determined because <sup>14</sup>C in fossil sources of carbon has 4 decayed to zero (Stuiver and Polach, 1977). With respect to control strategies for atmospheric 5 6 aerosol carbon in PM, this estimation is complicated by the fact that anthropogenic activities 7 such as agricultural burning and prescribed forest burns contribute modern aerosol carbon as do 8 vegetative emissions. An additional complication is the unexpected correlation of  $f_M$  with certain anthropogenic compounds (e.g. CO). Biomass burning samples present a challenge in 9 interpretation of <sup>14</sup>C content due to the varying age of carbonaceous material in biomass fuel and 10 due to the fact that atmospheric <sup>14</sup>C content is decaying to its cosmic ray background from higher 11 levels caused by nuclear bomb tests in the 20th century. One approach to resolving this problem 12 13 (not used herein) would involve using different correction factors for modern aerosol carbon 14 from biomass burning (carbon of varying age) and for aerosol carbon from current biogenic 15 emissions as has been recently reported by Zotter et al. (2014).

16 Measurements of the fraction of modern carbon,  $f_M$ , have been made at Look Rock and other 17 sites in the southeast U.S. using 24-hr filter-based PM<sub>2.5</sub> high-volume samples (Tanner et al., 18 2004a; Bench et al., 2007) These indicate that  $f_M$  is consistently high (60-90 percent) for rural 19 locations in all seasons (see Table 4). As shown in Figure 6, OC concentrations have decreased 20 (at least since 1998) at the Look Rock site at a rate comparable to that of inorganic constituents 21 of PM<sub>2.5</sub>, and there is no evidence to date that  $f_M$  in OM at southeastern U.S. sites such as Look Rock changed as the overall  $PM_{2.5}$  levels have dropped by 49 percent from 1988 to 2005 (see  $f_M$ 22 23 data in Tanner and Gaffney, 1986). Since OM is now often the most abundant contributor to PM<sub>2.5</sub>, it is important to parse out which sources have the most contribution to PM<sub>2.5</sub> and OM 24 mass. Until recently there were no reliable techniques which separately identify the  $f_M$  of 25 26 elemental and organic carbon in the same sample. This has hampered the use of aerosol mass 27 spectrometry results to identify and quantify contributions to OM from biomass burning, along 28 with SOA derived from biogenic emissions of isoprene and terpenes. Recent advances reported 29 by Zhang et al. (2012) and Zotter et al. (2014) may improve our ability to do so using 30 composited samples taken during the 2013 SOAS experiments.

#### 1 5 Atmospheric transport and aerosol trends

2 Trends in PM<sub>2.5</sub> were examined within trajectory coordinate clusters (Section 2.3) to determine 3 which transport scenarios contributed most to the observed overall negative PM<sub>2.5</sub> trends previously described (Table 5). Cluster populations and the fraction of total variance (in 4 5 transformed cluster coordinates) decrease as cluster number increases. Also, the lower cluster 6 numbers usually included data from the largest range in years and, thus, most often provided 7 statistically significant (with a minimum 95 percent confidence) trends in PM<sub>2.5</sub>. Locations of 8 the top 10 clusters (based on cluster-averaged 12-hr upwind trajectory locations) are illustrated in 9 Figure 12. Numbered ellipses contain the 100-m and 500-m trajectory centroids for each cluster. Shaded clusters are those associated with significant downward trends in PM<sub>2.5</sub> concentrations. 10 11 Symbols denoting the locations of Look Rock and Knoxville are plotted for spatial reference. Table 5 lists the PM<sub>2.5</sub> changes over time for the top eight 12- and 24-hr trajectory clusters (parts 12 13 a and b, respectively). These clusters accounted for >60 percent of the total variance in cluster 14 location for each upwind period and more than half the total number of trajectories analyzed. 15 Although some clusters experienced increased PM<sub>2.5</sub> over time, the weighted trend remains negative when higher-order/ lower numbered clusters are added together (see last column in each 16 17 part of the table). The PM<sub>2.5</sub> trend across all trajectories (there is no difference in trajectory age because all are associated with the same PM<sub>2.5</sub> data) was -1.35  $\mu$ g m<sup>-3</sup> yr<sup>-1</sup> which is significant at 18 19 the 99 percent confidence level.

20 A plot of PM<sub>2.5</sub> changes over time versus cluster-averaged PM<sub>2.5</sub> (Figure 13) indicates that the rate of change becomes increasingly negative as  $PM_{2.5}$  exceeds 12 µg m<sup>-3</sup>. The rate of change is 21 slightly positive when  $PM_{2.5} < 12 \ \mu g \ m^{-3}$ . The trajectory age (i.e., 12 or 24 hours upwind) makes 22 no difference. The downward trend in PM<sub>2.5</sub> mass is caused principally by reductions in aerosol 23 24 mass when conditions are such that aerosol levels are high (i.e., there is no evidence of a 25 downward trend when aerosol levels are low), and that may be caused by a combination of 26 meteorological factors and emissions changes. As shown in Table 5, cluster 1 for both 12- and 24-hr upwind had the highest average PM<sub>2.5</sub> and the largest decreases in PM<sub>2.5</sub> over 2007-2013. 27 28 Note that the 12- and 24-hr (upwind) trajectory clusters were not the same because of differences in upwind trajectory age and other factors. Also, most PM2.5 temporal changes within clusters 29 were not significant at the 95 percent confidence level, but when combined into a larger data set 30

that included all trajectories the preponderance of declining PM<sub>2.5</sub> levels indicates a significant
negative trend.

In general, most trajectory clusters indicated declines in  $PM_{2.5}$  for 2007-2013. The exceptions were for clusters over eastern Kentucky (at 24 hr upwind), central Georgia (-12 and -24 hr), northern Alabama (-12 hr), and northern Mississippi (-24 hr). This analysis cannot assign importance to changes in specific aerosol components due to a lack of data, but given the relative contributions to  $PM_{2.5}$  we know that a trend must be associated with some combination of changes in sulfate and organic particle mass.

#### 9 6 Conclusions

As stated in the beginning, the three objectives of this effort were to (1) identify trends in percursor emissions and air quality, (2) identify the degree of association between emissions and air quality trends and (3) identify whether trends in meteorology influenced air quality trends. All three objectives were met—as summarized here—and the only potential association between air quality and meteorological trends (objective 3) appears to be small.

The evidence indicates that annual average concentrations of  $SO_2$  have gone down by more than 60 percent since 1999—more rapidly since 2007—although the decease appears to level off recently. Concentrations of  $PM_{2.5}$  aerosol mass and all of its major chemical constituents have decreased by about 50 percent during the same period based on annual averages but the annual trends of about -3 to -4 percent per year have been driven mostly by reductions in the spring and summer season. The  $PM_{2.5}$  mass trend compares closely with the upper range in trends measured at other sites and in regions (especially the Ohio River Valley) adjacent to Look Rock.

There have not been any significant changes in the modest diurnal patterns of major gaseous or particulate sulfate over the past decade. It should be noted that there are large year-to-year changes in most measured species concentrations which appear to be driven principally by changes in meteorology—rainfall, clouds, solar radiation and temperature—and this is reflected by some of the seasonal associations identified between air quality and meteorology.

The more pronounced reduction in  $SO_2$  levels from 2007 to 2013—compared with changes in sulfate levels over the same period (85 percent for  $SO_2$  and 58 percent for sulfate based on annual averages)—indicates that the spatial footprint of primary emissions of  $SO_2$  in the region is 1 likely smaller than that for the largely secondary sulfate species. It appears that reductions in 2  $SO_2$  emissions have produced primary  $SO_2$  concentration reductions closer to the sources than 3 those for sulfate whose levels depend on atmospheric  $SO_2$ -to-sulfate conversion processes that 4 respond to changes in precursor emissions over a larger region.

5 Statistical modeling revealed that a one standard deviation  $(1\sigma)$  decline in annual SO<sub>2</sub> emissions 6 in the emissions domain was associated with a  $0.62\sigma$  decline in annual-average ambient SO<sub>2</sub>. By 7 comparison, a similar emission decline was associated with  $0.97\sigma$  decrease in spring and summer 8 and a  $0.76\sigma$  decrease in winter. The OC association with NO<sub>x</sub> emission was smaller with a  $1\sigma$ 9 drop in emissions linked to a  $0.44\sigma$  OC decrease in winter and  $0.76\sigma$  OC decrease in summer. 10 Note that these statistical relations are all based on variances and do not reflect absolute 11 concentration responses to emissions. Changes in Look Rock air quality (1999-2013) appear to 12 be influenced little by meteorological trends (unless a slow but steady decrease in winds 13 somehow plays a role) but are instead primarily controlled by changes in precursor emissions. 14 Much of those changes have occurred nearby (as represented by the Look Rock emission domain 15 defined here) but some of those changes are also due to emissions changes farther upwind.

#### 16 Author contributions

R. Tanner was the primary architect of this study and performed most of the air quality data
analysis. S. Bairai operated the Look Rock site and was primarily responsible for instrument
maintenance, data quality assurance and data archival. S. Mueller provided the meteorological,
trajectory modeling and statistical trends analyses. All three authors contributed to manuscript
preparation.

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| Responsible<br>Agency | Species  | Averaging<br>Time  | Method                                       | Instrument  | MDA  |  |
|-----------------------|--|--|--|---|--|--|
| TVA                   | SO <sub>2</sub>  | 1 hr   | Pulsed<br>fluorescence                       | Thermo Model 43i  | 0.1 ppbv   |  |
| TVA                   | NO-NO <sub>y</sub>   | 1 hr   | Chemilum. w Mo<br>converter                  | Thermo Model 42c  | NO: 0.1ppbv<br>NO <sub>y</sub> : 0.2ppbv                       |  |
| TVA                   | NO <sub>2</sub> -NO <sub>x</sub>                               | 1 hr   | Chemilum. w<br>photolytic<br>converter       | TeledyneModel200EU (trace level)  | NO <sub>2</sub> : 0.2 ppb                                      |  |
| TVA                   | CO   | 1 hr   | NDIR-GFC                                     | Thermo Model 48i<br>TLE   | 50 ppbv  |  |
| TVA                   | PM <sub>2.5</sub> sulfate<br>aerosol                           | 1 hr   | Thermal reduction<br>/pulsed<br>fluorescence | Thermo Model 5020   | $0.5 \ \mu g/m^3$  |  |
| TVA                   | PM <sub>2.5</sub> BC   | 1 hr   | Optical absorption on filter tape            | Magee Sci Model<br>AE 21<br>Aethelometer<br>(Dual-beam<br>BC/UV)                | 0.075 µg/m <sup>3</sup>  |  |
| TVA                   | PM <sub>2.5</sub> OC and<br>EC                                 | 1 hr   | Thermal-optical CO <sub>2</sub> NDIR         | Sunset Labs Semi-<br>Continuous Org./<br>Elem. Field Carbon<br>Aerosol Analyzer | OC: 0.25<br>μg/m <sup>3</sup><br>EC: 0.05<br>μg/m <sup>3</sup> |  |
| TVA                   | PM <sub>10</sub> /PM <sub>2.5</sub> aerosol mass               | 1 hr   | Beta attenuation                             | BAMS-1020   | $PM_{2.5}: >1 \ \mu g/m^3$                                     |  |
| TVA<br>NPS            | PM <sub>2.5</sub> aerosol mass                                 | 1 hr   | TEOM   | Thermo/R&P  | $PM_{2.5}: <1 \ \mu g/m^3$                                     |  |
| NPS-<br>IMPROVE       | PM <sub>2.5</sub> aerosol<br>mass                              | 24 hr every<br>3 <sup>rd</sup> day, de-<br>noted<br>"24/3" | Filter pack                                  | Gravimetry  | See<br>IMPROVE<br>Data Protocol                                |  |
| NPS-<br>IMPROVE       | Sulfate/nitrate<br>/ammonium<br>/chloride<br>mass              | 24/3   | Filter pack                                  | Ion chromatography<br>/wet chemistry  |  |  |
| NPS-<br>IMPROVE       | PM <sub>2.5</sub><br>Elemental<br>composition                  | 24/3   | PIXE & XRF                                   | -   |  |  |
| NPS-<br>IMPROVE       | PM <sub>10</sub> Aerosol<br>mass                               | 24/3   | Filter pack                                  | Gravimetry  |  |  |
| NPS                   | b <sub>abs</sub>   | 24/3   | Filter pack                                  | Optical absorption  |  |  |
| NPS                   | $b_{sp}$   | 1 hr   | Light scattering                             | Nephelometer  |  |  |
| NPS-<br>CASTNET       | Temp., RH,<br>wind speed &<br>dir. (Θ), c <sub>w</sub> &<br>UV | 1 hr   | Various                                      | -   |  |  |

**Table 1.** Routine instrumentation deployed at Look Rock.

2 Table 2. Trends in annual concentrations of selected aerosol fine mass and gas species at Look 3 Rock.

| NOCK.  |                           |                            |                             |                             |                             |  |                           |                          |
|--|---------------------------|----------------------------|-----------------------------|-----------------------------|-----------------------------|--|---------------------------|--------------------------|
| Species  | PM <sub>2.5</sub><br>Mass | Sulfate                    | $\mathbf{NH_4}^{+a}$        | OC                          | EC                          | SO <sub>2</sub> ,<br>ppbv <sup>b</sup> | NO <sub>y</sub> ,<br>ppbv | O <sub>3</sub> ,<br>ppbv |
| Annual Change,<br>1999-2013                                  | $-0.45 \ \mu g/m^3$       | -0.23<br>μg/m <sup>3</sup> | -0.053<br>μg/m <sup>3</sup> | -0.088<br>μg/m <sup>3</sup> | -0.019<br>μg/m <sup>3</sup> | -0.14<br>ppbv                          | NA                        | -0.30                    |
| % Change yr <sup>-1</sup> , <sup>c</sup><br>1999-2013        | -5.0                      | -6.6                       | -4.9                        | -5.3                        | -5.5                        | -10.7                                  | NA                        | -0.7                     |
| Ave. $\sigma_f^{\ d}$ Change yr <sup>-1</sup> ,<br>1999-2013 | -0.21                     | -0.20                      | -0.20                       | -0.19                       | -0.19                       | -0.42                                  | NA                        | -0.15                    |
| % Change yr <sup>-1</sup> ,<br>1988-2013                     | -2.6                      | -3.0                       | NA                          | -2.0                        | -2.6                        | NA                                     | NA                        | NA                       |
| % Change yr <sup>-1</sup> ,<br>2007-2013                     | -8.3                      | -14.2                      | NA                          | -8.4                        | -9.4                        | -25.2                                  | -14.6                     | -1.5                     |

<sup>a</sup> Data not available for 2013.

<sup>b</sup> CASTNET weekly data are shown except for the last row (2007-2013) that is based on continuous pulsed-fluorescence measurements.

<sup>c</sup> Average annual percent change was computed as the least-squares regression slope for mean annual concentration versus year

(i.e., average concentration change per year) divided by the average concentration for the entire period. <sup>d</sup> Mean interannual change expressed as a fractional standard deviation of the yearly concentration.

| Table 3. Quarters of the              | e year when measured Look  |  |  |  |  |  |  |
|---------------------------------------|----------------------------|--|--|--|--|--|--|
| Rock air quality was                  | significantly $(p < 0.05)$ |  |  |  |  |  |  |
| associated with observed meteorology. |                            |  |  |  |  |  |  |

| Meteorological<br>Parameter  | Ozone | Sulfate | OC      | EC   |
|------------------------------|-------|---------|---------|------|
| Temperature <sup>1</sup>     | 3     |         | 3       |      |
| Precipitation <sup>2</sup>   | 3     |         | 3, 4    | 1,4  |
| Wind Speed <sup>1</sup>      | 2, 3  | 1, 2, 3 | 2, 3, 4 | 1, 3 |
| Cloud Cover <sup>2</sup>     | 3     |         | 3, 4    |      |
| Solar Radiation <sup>3</sup> | 4     | 2       | 4       |      |
| High RH <sup>2</sup>         | 4     |         | 4       | 2    |

<sup>1</sup> Positive association.

<sup>2</sup> Negative association.

<sup>3</sup> Positive for ozone and OC, negative for sulfate.

| 1 |  |
|---|--|
| 2 | <b>Table 4.</b> Summary of ${}^{14}$ C data for aerosol carbon in PM <sub>2.5</sub> samples from Look Rock and other |
| 3 | non-urban southeastern US sites, 2002-2005.  |

| Sampling<br>Location | Sample Season and<br>Duration    | Average [OC],<br>µg/m <sup>3</sup> | Average $f_M^a$ , (Std. Dev.) | No. of<br>Samples |  |
|----------------------|----------------------------------|------------------------------------|-------------------------------|-------------------|--|
| Look Rock,<br>GSMNP  | 24 hr, Summer, 2002              | 3.3                                | 0.834 (0.082)                 | 14                |  |
| Muscle Shoals, AL    | 24 hr, Winter, 2003              | 2.7                                | 0.787 (0.085)                 | 12                |  |
| Look Rock,<br>GSMNP  | 24 hr, Spring, 2004              | 3.6                                | 0.774 (0.091)                 | 9                 |  |
| Look Rock,<br>GSMNP  | 6-day, Summer, 2004 <sup>b</sup> | 2.72                               | 0.972 (0.082)                 | 13                |  |
| Look Rock,<br>GSMNP  | 24 hr, Summer, 2004              | 3.2                                | 0.712 (0.080)                 | 11                |  |
| Look Rock,<br>GSMNP  | 24 hr, Fall, 2004                | 3.1                                | 0.692 (0.108)                 | 11                |  |
| Look Rock,<br>GSMNP  | 6-day, Winter, 2005 <sup>b</sup> | 1.28                               | 0.885 (0.057)                 | 13                |  |
| Look Rock,<br>GSMNP  | 24 hr, Winter-Spring, 2005       | 2.8                                | 0.746 (0.140)                 | 12                |  |
| Mammoth Cave NP      | 24 hr, Spring, 2004              | NA                                 | 0.784 (0.078)                 | 10                |  |
| Mammoth Cave NP      | 24 hr, Summer, 2004              | NA                                 | 0.749 (0.146)                 | 12                |  |
| Mammoth Cave NP      | 24 hr, Fall, 2004                | NA                                 | 0.670 (0.080)                 | 12                |  |
| Mammoth Cave NP      | 24 hr, Winter-Spring, 2005       | NA                                 | 0.656 (0.096)                 | 13                |  |
| Shenandoah NP        | 24 hr, Spring, 2004              | NA                                 | 0.759 (0.124)                 | 11                |  |
| Shenandoah NP        | 24 hr, Summer, 2004              | NA                                 | 0.741 (0.162)                 | 12                |  |
| Shenandoah NP        | 24 hr, Fall, 2004                | NA                                 | 0.610 (0.130)                 | 12                |  |
| Shenandoah NP        | 24 hr, Winter-Spring, 2005       | NA                                 | 0.592 (0.111)                 | 10                |  |

## Table 5.

(a) Cluster analysis results and associated PM<sub>2.5</sub> changes over time for 12-hr trajectory clusters based on joint 100- and 500-m HYSPLIT trajectories.

| Cluster<br>(n) | Year<br>Range <sup>a</sup> | Cumulative<br>Fraction of<br>Trajectory<br>Data Set | Ave.<br>Transport<br>Dist. (km)<br>to Look<br>Rock | Ave. Traj.<br>Height (m)<br>at 12-hr<br>Upwind <sup>b</sup> | Average<br>PM <sub>2.5</sub> <sup>c</sup><br>(µg m <sup>-3</sup> ) | РМ <sub>2.5</sub><br>Change <sup>d</sup><br>(µg m <sup>-3</sup> yr <sup>-1</sup> ) | p>95%? <sup>e</sup><br>(Yes/No) | Cumulative<br>Fraction of $\sigma^2$<br>in Transformed<br>Coordinates <sup>f</sup> | Cumulative<br>Weighted Time<br>Change in<br>PM <sub>2.5</sub> <sup>g</sup><br>(µg m <sup>-3</sup> yr <sup>-1</sup> ) |
|----------------|----------------------------|---|--|---|--|--|---------------------------------|--|--|
| 1              | 2007-2012                  | 0.09  | 111  | 324   | 22.9   | -16.6  | Y                               | 18.6%  | -16.6  |
| 2              | 2007-2013                  | 0.20  | 256  | 433   | 8.0  | -0.8   | Ν                               | 29.9%  | -8.2   |
| 3              | 2007-2013                  | 0.28  | 140  | 229   | 12.7   | -1.9   | Y                               | 37.8%  | -6.3   |
| 4              | 2007-2012                  | 0.37  | 148  | 315   | 19.8   | -4.0   | Y                               | 44.4%  | -5.8   |
| 5              | 2007-2013                  | 0.44  | 131  | 357   | 20.5   | -0.9   | Ν                               | 49.4%  | -5.0   |
| 6              | 2007-2013                  | 0.50  | 258  | 316   | 12.9   | 0.8  | Ν                               | 53.5%  | -4.3   |
| 7              | 2008-2012                  | 0.55  | 295  | 289   | 13.0   | 0.0  | Ν                               | 57.3%  | -3.9   |
| 8              | 2007-2013                  | 0.59  | 320  | 284   | 11.3   | 1.1  | Ν                               | 60.4%  | -3.5   |

(b) Same as (a) but for 24-hr trajectory clusters.

| Cluster<br>(n) | Year<br>Range <sup>a</sup> | Cumulative<br>Fraction of<br>Trajectory<br>Data Set | Ave.<br>Transport<br>Dist. (km)<br>to Look<br>Rock | Ave. Traj.<br>Height (m)<br>at 24-hr<br>Upwind <sup>b</sup> | Average<br>PM <sub>2.5</sub> <sup>c</sup><br>(µg m <sup>-3</sup> ) | PM <sub>2.5</sub><br>Change <sup>d</sup><br>(μg m <sup>-3</sup> yr <sup>-1</sup> ) | p>95%? <sup>e</sup><br>(Yes/No) | Cumulative<br>Fraction of $\sigma^2$<br>in Transformed<br>Coordinates <sup>f</sup> | Cumulative<br>Weighted Time<br>Change in<br>PM <sub>2.5</sub> <sup>g</sup><br>(µg m <sup>-3</sup> yr <sup>-1</sup> ) |
|----------------|----------------------------|---|--|---|--|--|---------------------------------|--|--|
| 1              | 2007-2013                  | 0.09  | 240  | 263   | 26.8   | -9.3   | Y                               | 16.2%  | -9.3   |
| 2              | 2009-2012                  | 0.13  | 440  | 418   | 4.9  | 2.9  | Y                               | 30.1%  | -5.4   |
| 3              | 2008-2013                  | 0.18  | 337  | 284   | 18.0   | -1.9   | Ν                               | 40.4%  | -4.4   |
| 4              | 2007-2012                  | 0.26  | 433  | 245   | 14.6   | -0.7   | Ν                               | 45.6%  | -3.2   |
| 5              | 2007-2013                  | 0.34  | 298  | 263   | 19.7   | -3.6   | Y                               | 50.5%  | -3.3   |
| 6              | 2007-2013                  | 0.47  | 299  | 239   | 15.1   | -1.5   | Ν                               | 54.7%  | -2.8   |
| 7              | 2007-2013                  | 0.57  | 450  | 213   | 10.7   | 0.1  | Ν                               | 58.1%  | -2.3   |
| 8              | 2008-2013                  | 0.63  | 508  | 172   | 12.3   | -3.0   | Y                               | 61.5%  | -2.3   |

<sup>a</sup>Range in years represented by data in the cluster. <sup>b</sup>Average cluster height of both trajectory levels at upwind time (ending average height was always 300 m).

<sup>c</sup> Values are 5-hr averages centered on local midnight of the trajectory end date.

<sup>d</sup> Time change in  $PM_{2,5}$  computed from a linear regression of midnight-centered 5-hr average  $PM_{2,5}$  versus year.

<sup>e</sup>Confidence level p of computed time change in  $PM_{2.5}$ .

<sup>f</sup>Running total of cluster (transformed coordinate) variance  $\sigma^2$  from first through current cluster *n*.

 ${}^{g}PM_{25}$  time change (see column 7) weighted by cluster size and summed for clusters 1 through *n*.

## 1 Figure Captions

- Figure 1. Spatial domain used to compare trends in air quality with trends in emissions ofprecursor species.
- 4 Figure 2. (a) NEI emissions by species within the Look Rock domain for select years
- 5 between 1999 and 2011 (data other than  $SO_2$  and  $NO_x$  were not considered reliable for 1999
- 6 and are not shown). (b) Yearly NEI SO<sub>2</sub> and NO<sub>x</sub> emissions—EGU and all sources—within
- 7 the Look Rock domain for 1999-2013.
- 8 Figure 3. Ozone trends by season and annually at Look Rock, 1999-2013.
- 9 Figure 4. Comparison of annual SO<sub>2</sub> concentrations at Look Rock with corresponding total
- 10 SO<sub>2</sub> emissions in the Look Rock domain.
- 11 Figure 5. Average monthly SO<sub>2</sub> and NO<sub>y</sub> concentrations at Look Rock, 2007-2013.
- 12 Figure 6. Trends in annual average IMPROVE  $PM_{2.5}$  mass, sulfate,  $NH_4^+$ , OC, EC and BC at
- 13 Look Rock.
- 14 Figure 7. Comparison of IMPROVE PM<sub>2.5</sub> mass chemical distributions for 1999 and 2013 at
- 15 Look Rock. "Light-Abs. C" denotes the EC component, "Soil" denotes particles of various
- 16 oxides (e.g., iron oxide) associated with soils and "Unknown" represents mass whose
- 17 composition is indeterminate (most likely some water along with mass associated with OC
- 18 that is not included in the 1.8 adjustment factor applied by IMPROVE to OC to estimate OM).
- 19 Numbers associated with each pie section denotes the annual mean mass ( $\mu g m^{-3}$ ) of that
- 20 chemical constituent.
- 21 Figure 8. Trends in seasonal PM<sub>2.5</sub> mass at Look Rock.
- 22 Figure 9. Trends in seasonal PM<sub>2.5</sub> sulfate mass at Look Rock.
- 23 Figure 10. Trends in seasonal IMPROVE PM<sub>2.5</sub> OC mass at Look Rock.
- 24 Figure 11. Trends in seasonal PM<sub>2.5</sub> EC and BC mass at Look Rock.
- 25 Figure 12. Locations of cluster centroids (numbered ellipses) for computed 100- and 500-m
- 26 air trajectories at 12 hr upwind (pairs of squares within ellipses) from Look Rock (red square)
- 27 for selected extreme PM<sub>2.5</sub> episodes during 2007-2013. Shaded ellipses denote clusters for
- 28 which significant negative PM<sub>2.5</sub> mass trends at Look Rock were identified.

1 Figure 13. Weighted trend of  $PM_{2.5}$  mass by 12- and 24-hr trajectory clusters.

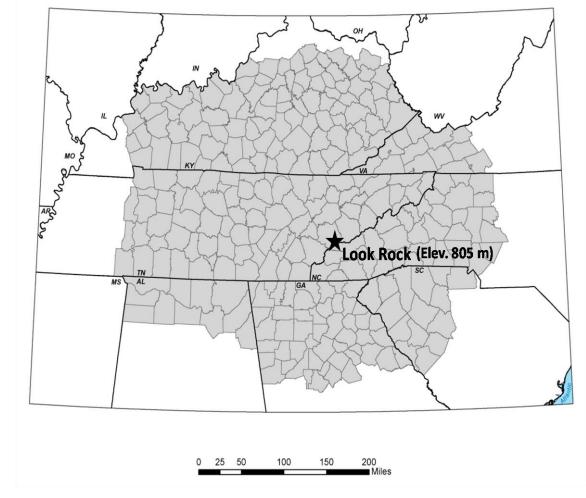


Figure 1.

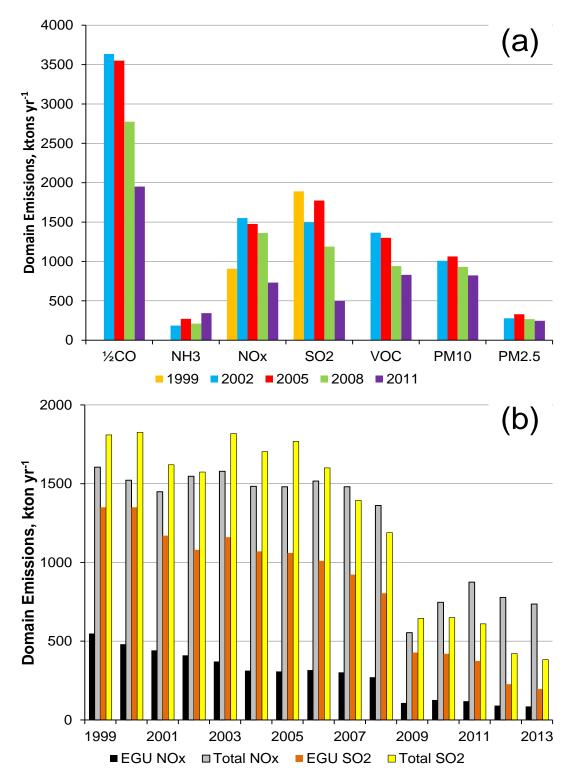


Figure 2

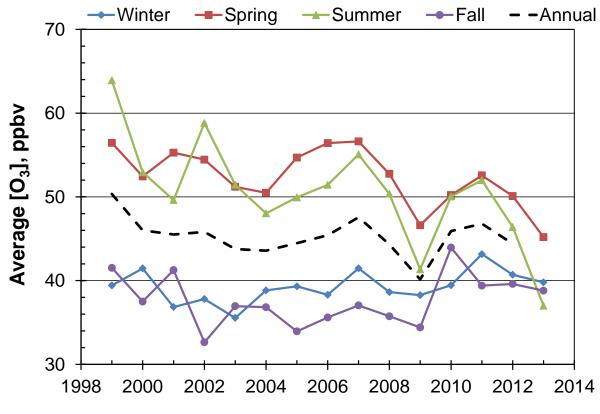


Figure 3.

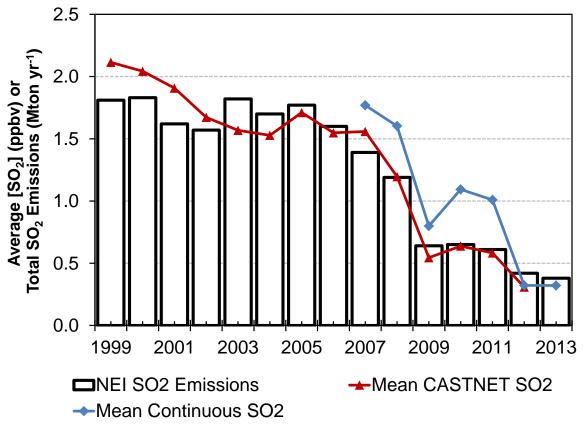


Figure 4.

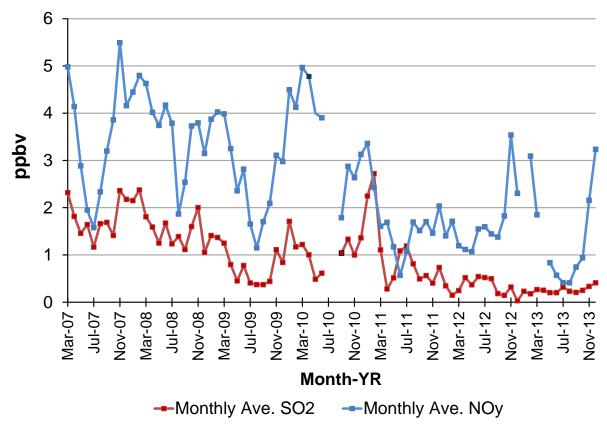
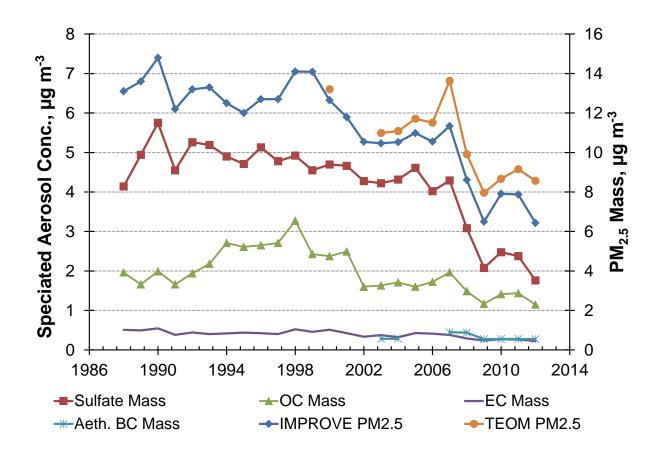


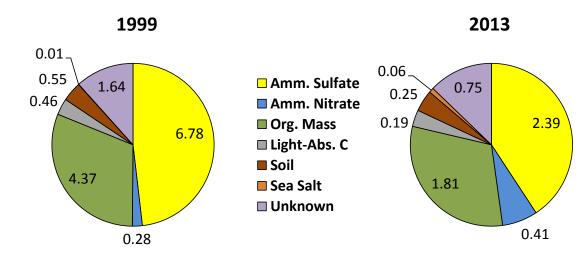
Figure 5.





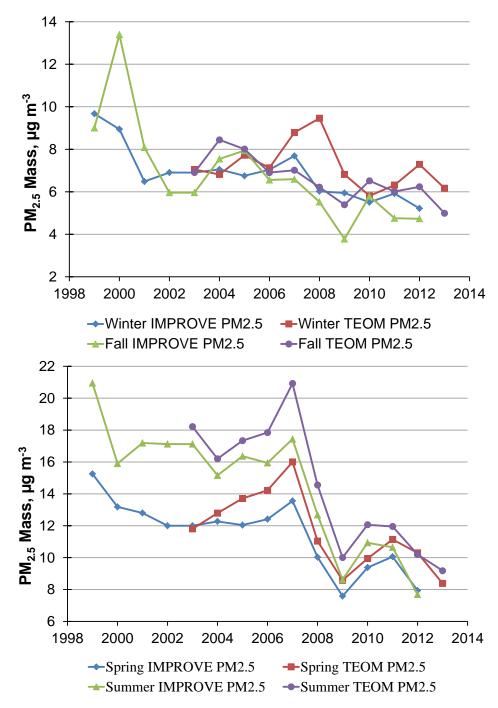


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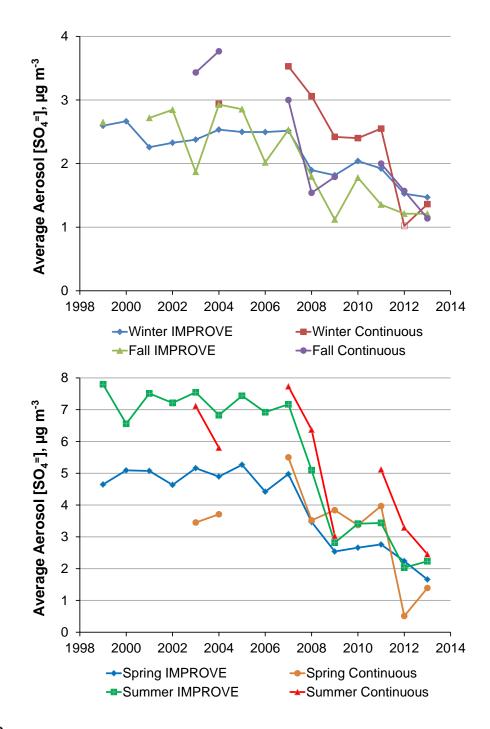














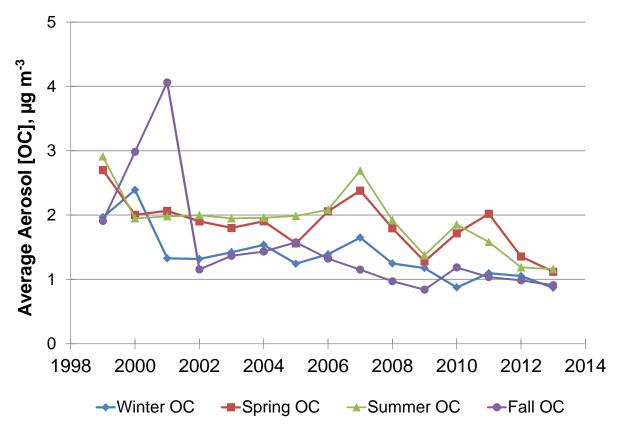
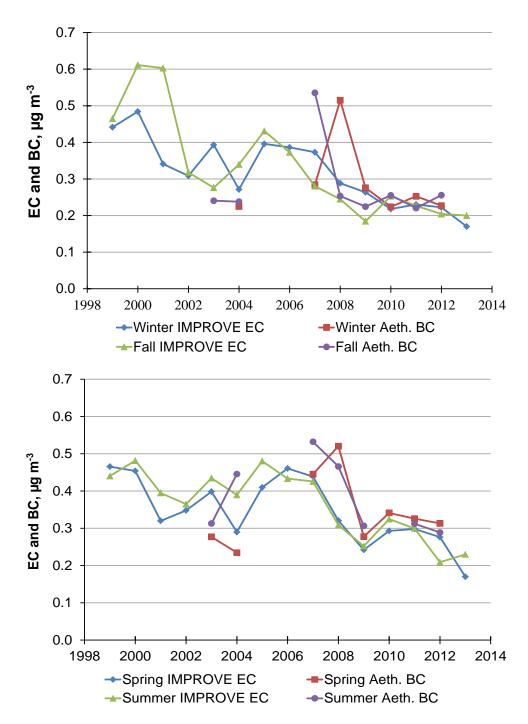


Figure 10.





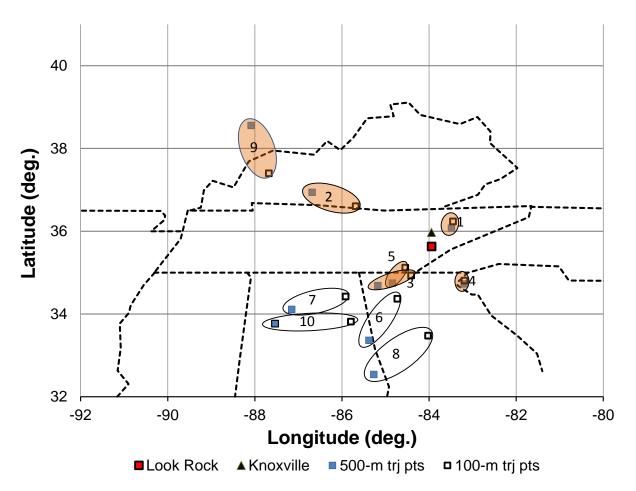


Figure 12.

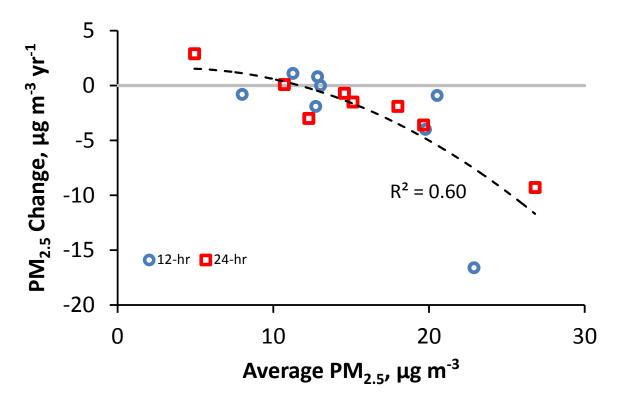


Figure 13.