

1 **Replies to the Referee #1 comments/suggestions**

2
3 The paper is well written and the abstract well summarizes the paper and the title is adequate.
4 The authors describe the impact of tropical cyclone into the tropopause parameters (altitude,
5 temperature and humidity) in the Indian Ocean. They selected 16 tropical cyclones and
6 studied the tropopause variation within 2000 km of radius from the center of the cyclone by
7 using GPS radio occultation profiles.

8
9 **Reply: First of all we wish to thank the reviewer for going through the manuscript**
10 **carefully, appreciating actual content of the manuscript and offering potential solutions**
11 **to improve the manuscript content further. We have revised the manuscript while**
12 **considering both the reviewers comments/suggestions.**

13
14 Major comment I have 2 major concerns about the analysis:

15 1) I am afraid that 2000 km of radius is too large working at tropical latitudes. As known the
16 tropopause has large variation approximately between 30° and 40° and the variation that the
17 authors attribute to the cyclone could easily due to the latitudinal effect. I strongly suggest
18 reducing the area of interest at no more than 1000 km from the cyclone center.

19
20 **Reply: We completely agree with the reviewers concern for considering the larger area**
21 **(2000km) as the latitudinal effect may arise. After considering the reviewers concern we**
22 **have restricted the discussion to within 1000 km from the cyclone centre.**

23
24 2) The authors did a cumulative analysis without considering the intensity of the cyclone.
25 According to its intensity, the storm/cyclone can reach different altitudes and can affect the
26 tropopause characteristics in different ways. Doing a cumulative analysis much information is
27 lost so I strongly suggest to separate the study by selecting the storms according to the
28 intensity

29
30 **Reply: Kindly note that we already mentioned in the manuscript that we did analysis**
31 **based on cyclone intensity wise. Later we have clubbed the tropopause parameters that**
32 **do not shown significant variations. Note that in Figure 4 we showed tropopause**
33 **parameters for CS (combined results of CS and SCS) only. This aspect is clearly**
34 **mentioned in the revised manuscript.**

35
36 Comments section by section

37 Introduction Lines 1-20: I suggest adding some references in the first paragraph. Almost each
38 sentence of this paragraph needs a citation.

39
40 **Reply: We have added relevant references for the said text as suggested.**

41
42 Database page 13047 Line 5: the authors should write here, where the data are coming from, I
43 guess they have used the COSMIC Data Analysis and Archive Center (CDAAC) website
44 (<http://cosmic-io.cosmic.ucar.edu/cdaac/index.html>)

45
46 **Reply: We added the data source website in the revised manuscript as suggested.**

47
48 Line 13: the authors should specify here the type of data that have used, atmospheric profiles
49 (atmPrf).

50

51 **Reply: Mentioned.**

52
53 Page 13048 Line 6: the authors should explain here how they selected the 16 TCs out of 44.
54 Here they just wrote “. . . based on life time . . .” but we need to arrive at the section
55 Summary and conclusions to know that the selection criterion is that the cyclone lasted at
56 least 4 days.

57
58 **Reply: Selection procedure adopted for 16 cyclones out of 44 cyclones is mentioned at**
59 **the desired place in the text as suggested.**

60
61 Line 2: what is the cyclone intensity number (CI T-number)?

62
63 **Reply: T-number is related to the Dvorak technique which is widely used system to**
64 **estimate TC intensity (which includes tropical depression, tropical storm, and**
65 **hurricane/typhoon/intense tropical cyclone intensities) based solely on visible and**
66 **infrared satellite images. Cyclone Intensity (CI) number is commonly used for TC**
67 **intensity for over North Indian Ocean (India Meteorological Department).**

68
69 Line 11: what is the grade? Line 14: Table 1 is introduced here for the first time. Going to
70 read the table, the reader do not know what is the grade, and what the acronyms mean (i.e.
71 CS, SUCS, VSCS, SCS). The cyclone intensity number is neither described. The authors
72 should add these information into the Table caption and describe the grade, cyclone intensity
73 number and acronyms in this section.

74
75 **Reply: We have provided details of the acronyms used in the table 1 at section 3.2. In**
76 **order to avoid repetition, we have not mentioned in the table caption. Details of the**
77 **grade, cyclone intensity number can be found in IMD website.**

78
79 Classification of the TCs Page 13049 Lines 17-21: what TC classification is this? Why they
80 did not uses the common classification Saffir-xxxx with the 5 cyclone intensity category?

81
82 **Reply: This classification is commonly used over North Indian Ocean (IMD) and we**
83 **have provided the TC information (as mentioned in table 1) based on this classification**
84 **only. The source for this definition is cited in the revised manuscript.**

85
86 Tropopause parameters observed during VSCS Nargis Page13051 Line 1-9: it is hard to
87 follow the description without any reference to the Figure. They should report step by step
88 what panel they are referring to.

89
90 **Reply: Corrected in the revised manuscript as suggested.**

91
92 Line 8: “. . . can be partly attributed to the latitudinal change itself . . .” this is one of my main
93 concerns about the results. According to Table 1, we are talking about TCs centered at
94 latitudes between 11° and 23.5° and the analysis is done in a radius of 2000 km from the TC
95 center which approximately means 20°. The tropopause altitudes between 30° and 40° has a
96 big variation and the large area considered in this analysis mostly falls in this latitude range. I
97 suggest reducing the area of interest at maximum 1000 km so that the results are not affected
98 by the latitudinal variation.

99

100 **Reply: As mentioned in reply for the main comment 1, we agree with this aspect and we**
101 **have discussed in the text related to within 1000 km from the cyclone centre.**

102
103 Spatial variation of tropopause parameters from the centre of TC Page 13052 Line24- 25: the
104 authors, describing Figure 5, says that they did the analyses irrespective of the TC intensity.
105 In this paper they also refer a few times to Biondi et al., 2015 which shows that the
106 atmospheric thermal structure is strongly related to the intensity of the storm/cyclone.
107 Looking at Biondi et al., 2015 in the Indian Ocean the cloud top altitudes (and related
108 tropopause uplift) could change by 1.5/2 km depending on the storm intensity. This means
109 that analyzing the data irrespective to the intensity could lead to wrong results. I suggest to
110 improve this part and re-do the analyses according to the different intensities.

111
112 **Reply: We already mentioned in the manuscript that we did analysis based on cyclone**
113 **intensity wise first and later clubbed if there is no big change between different stages.**
114 **In Figure 4 we showed tropopause parameters for CS (combined results of CS and**
115 **SCS). This aspect is clearly mentioned in the revised manuscript.**

116
117 Spatial variation of water vapor from the centre of TC Page 13054 Lines 1-9: I'm afraid that
118 the humidity in the layer 10-15 km of altitude is mostly coming from the model and not from
119 the RO measurement. The enhancement of water vapor by 30-50 ppmv cannot be visible by
120 the ROs since they are not sensitive to such a small variation.

121
122 **Reply: Kindly note that we have presented relative humidity (RH) but not the water**
123 **vapour. 50-60% of RH in the upper troposphere is very high and it is quite expected to**
124 **pump large humidity to upper troposphere during cyclone system. Further, note that**
125 **the wetprf are estimated using 1-D variation method by feeding model T as an initial**
126 **guess. After a few iterations, the estimated RH from RO measurements is independent**
127 **of initial guess and accurate enough to investigate the same.**

128
129 Vertical thermal structure of UTLS within 500 km from TC centre Page 13055 Line 27: “. . .
130 Multiple tropopause structures . . .” Double tropopauses were already seen by Corti et al.,
131 2008, Biondi et al., 2011, Davis et al., 2014, I suggest citing them here.

132
133 **Reply: We added these references as suggested in the revised manuscript.**

134
135 Are the multiple tropopauses evident just at 1° distance from the TC centre or is this visible
136 just in this case due to the small number of averaged profiles, as reported by Biondi et al.,
137 2015?

138
139 **Reply: This may be due to less number of occultations within 100 km from TC centre.**
140 **But this multiple tropopause structures are regularly observed only within the 100 km**
141 **profiles while analysing individual cyclones. This aspect is clearly mentioned in the**
142 **revised manuscript.**

143
144 Corti, T., Luo, B. P., deReus, M., Brunner, D., Cairo, F., Mahoney, M. J., Matucci, G.,
145 Matthey, R., Mitev, V., dos Santos, F. H., Schiller, C., Shur, G., Sitnikov, N. M., Spelten, N.,
146 Vossing, H. J., Borrmann, S., and Peter, T.: Unprecedented evidence for overshooting
147 convection hydrating the tropical stratosphere, Geophys. Res. Lett., 35, L10810,
148 doi:10.1029/2008GL033641, 2008.

149

150 Biondi, R., Neubert, T., Syndergaard, S., and Nielsen, J. K.: Radio occultation bending angle
151 anomalies during tropical cyclones, *Atmos. Meas. Tech.*, 4, 1053–1060, doi:10.5194/amt-4-
152 1053-2011, 2011.

153
154 Davis, C. A., Ahijevych, D. A., Haggerty, J. A., and Mahoney, M. J.: Observations of
155 Temperature in the Upper Troposphere and Lower Stratosphere of Tropical Weather
156 Disturbances, *J. Atmos. Sci.*, 71, 1593–1608, doi:10.1175/JAS-D-13-0278.1, 2014.

157
158 **Reply: We have included these additional references in the revised manuscript.**

159
160

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161 **Replies to the Referee #2 comments/suggestions**

162
163 Comments of the manuscript entitled, 'Effect of tropical cyclones on the tropical tropopause
164 parameters observed using COSMIC GPS RO data' by Babu et al., submitted to plausible
165 publication in ACP. This paper deals with the effects of tropical cyclone on tropopause
166 characteristics. The authors have presented a detail analysis of the tropopause characteristics
167 using seven years of COSMIC data. The variation of tropopause height and temperature
168 during the passage of the tropical cyclone from the climatological mean is presented in this
169 paper. This study is very important, in principle, since detail knowledge of the tropopause
170 characteristics during the passage of tropical cyclone is very crucial for understanding the
171 water vapour budget of the lower stratosphere, which have significant effects on global
172 warming. The article is well written and contains significant original material. I recommend
173 for publication in ACP with some minor revision

174 **Reply: First of all we wish to thank the reviewer for going through the manuscript**
175 **carefully, appreciating actual content of the manuscript and offering potential solutions**
176 **to improve the manuscript content further. We have revised the manuscript while**
177 **considering both the reviewers comments/suggestions.**

178
179 General Comments :
180 (1)The tropopause height/temperature derived during the passage of tropical cyclone is
181 subtracted from the climatological mean tropopause height to show the variability associated
182 with cyclone. How do author account for the day to-day variability of the tropopause?
183 Authors can mention in their manuscript. I also suggest taking the mean tropopause height for
184 5-6 days, one week before and after the passage of cyclone and then subtract it from the
185 tropopause height/temperature obtained during cyclone in order to understand the variability.

186 **Reply: We subtracted the tropopause parameters during TC period with the specific**
187 **monthly mean climatology (calculated using GPS RO data from 2002-2013). There**
188 **could be day-to-day variability even during cyclone period, however, since the cyclone**
189 **system is synoptic in nature sustaining for few days, one may not expect large day-to-**
190 **day variability. Since large data (14 years) has gone through the monthly mean**
191 **climatology, we assume variability less than solar cycle is nullified, if not removed**
192 **completely. We also did analysis based on before 5 days and after 5 days method and**
193 **are attached as supplementary figures.**

194
195 (2)During tropical cyclone, enormous amount of water vapour is pumped from lower
196 troposphere to the upper troposphere, even up to the lower stratosphere. The temperature
197 derived in COSMIC has assumption of water vapour profile from model. During cyclonic
198 condition, how accurate is the temperature derived in COSMIC data? It can be discussed in
199 the manuscript.

200 **Reply: We completely agree with the reviewers concern in using the RO measured T at**
201 **tropopause during cyclone activity which is expected to bias T measurements with**
202 **assumption of dry atmosphere. However, note that we could notice similar change in N**
203 **which is combination of T and WV. In the simulations reported in Rao et al., TAO, 2009**
204 **paper, one can notice that change in the T is not that sensitive when compared to**
205 **Pressure and Water Vapour. Since, the changes are found to be up to 4-5 K, we expect**
206 **these are meaningful even after considering expected larger bias during disturbed**
207 **weather conditions. This aspect is clearly mentioned in the revised manuscript. More**
208 **details of COSMIC temperature during Cyclone period was given by Biondi et al 2011.**

209
210 Specific Comments :

211 Page-2 L-4/8 : ‘In the present study, high verti-’ Authors can mention the value
212 of ‘high vertical resolution’ (is it 100 m or 200 m) and accuracy of temperature
213 measurements.

214 **Reply: In the present study we used 200 m vertical resolution atmPrf temperature**
215 **profiles from COSMIC GPS RO data.**

216
217 L-12/14 : ‘From all the TCs events, we generate the mean cyclone.’ Mean
218 tropopause height can be mentioned. How author accounted for the inter-annual variability?

219 **Reply: Corrected. We calculated the monthly mean tropopause parameters from 2002-**
220 **2013 GPS RO data and we used these monthly mean tropopause parameters for**
221 **different TCs for subtracting the tropopause parameters during TC period. For**
222 **example, we used April month mean tropopause parameters for Nargis TC that was**
223 **occurred in the month of April 2008. Since large data (14 years) has gone through it, we**
224 **assume variability less than solar cycle is nullified if not removed completely.**
225

226 L-17/18 : ‘However, as the distance from cyclone eye.’ Author can mention the
227 distance in km instead of degree (5o) throughout the manuscript.

228 **Reply: Corrected.**

229
230 L-19 : ‘Lowering of CPH (0.6 km) and LRH (0.4 km) values with coldest CPT and LRT (2–3
231 K).’ Since authors mentioned that CPH is lower by 0.6 km and LRH by 0.4 km, it is
232 essential to provide the vertical resolution and accuracy of the COMIC measurement.

233 **Reply: We provided the vertical resolution and accuracies of GPS RO measurements in**
234 **the revised manuscript as suggested.**

235
236 L-23/25 : ‘These changes in the tropopause parameters are expected to influence the water. .
237’ Change in the tropopause characteristics can influence UTLS region much more than
238 mentioned in this abstract. Here a general statement is enough to convey the message (Holten
239 et al., 1995)

240 **Reply: Considered in the revised manuscript as suggested.**

241
242 Page-3 L-14/15 : ‘This will change the thermal and chemical structure of.’ This
243 sentence is repeated. Delete this sentence.

244 **Reply: Removed.**

245
246 L-18 ‘There is a possibility that TCs lift and cool the tropopause more than other meso scale.
247’ I do not agree with this statement. Is there any study reported so far that TC lift and
248 cool the tropopause more than MCS? If so, please provide reference in the manuscript.

249 **Reply: In the paper entitled ‘Overshooting convection in tropical cyclones’ Romps and**
250 **Kuang (2009) pointed out, that there is the possibility that TCs lift and cool the**
251 **tropopause more than other mesoscale systems. We added this reference in the revised**
252 **manuscript as suggested.**

253
254 L-23/24 : ‘Most of these exchanges take.’ The sentence is not clearly conveying the
255 meaning.

256 **Reply: We have re-written this statement with better clarity.**

257
258 Page-4 L-2/5 : ‘However, the availability of Global.’ There are many studies on
259 tropopause characteristics using COSMIC. Provide few references in the manuscript.

260 **Reply: We added some more references in the revised manuscript as suggested.**

261
262 Page-5 L-5/10 : ‘COSMIC GPS RO is a constellation of six microsattellites.’ Which set
263 of COSMIC data were downloaded?

264 **Reply: We have provided the source of the COSMIC data in the revised manuscript.**

265
266 L-18/19 : ‘The vertical resolution.’ I have a doubt on 200 m vertical resolution.
267 Because there are many new algorithms implemented on GPS RO techniques which provide
268 better vertical resolution (e.g. Full spectral inversion, See Kuo et al., 2004).

269 **Reply: There are different vertical resolutions available but for the present study we**
270 **used 200 m resolution temperature (atmPrf) profiles available at CDAAC website only**
271 **which is freely available for the public use.**

272
273 Page-7 L-10/13 : ‘In order to estimate the effect of TCs on the tropopause.’ It will be
274 better to provide the climatological map of tropopause similar to that of Fig.2b.

275 **Reply: We have provided climatological map as Fig.2c as suggested.**

276
277 L-17 : ‘It is named as low pressure when.’ Write once the equivalent of knots in m/s.

278 **Reply: Mentioned in kmph.**

279
280 Page-9 L-2/3 ‘Though it is difficult to draw.’ CPH/LHR is higher/lower relative to what?
281 It should be mentioned.

282 **Reply: Mentioned.**

283
284 Page-10 L-7/11 : ‘These different variations.’ There may be equal contribution from
285 wind shear associated with tropical cyclone (e.g. Das et al., 2012). How authors accounted
286 the wind shear during the interpretation of results?

287 **Reply: Strong wind shear usually generated during cyclone activity will alter mainly the**
288 **convection to the south side of the cyclone which is already mentioned as first reason for**
289 **the observed variability.**

290
291 Page-11 L-20/22 : ‘Cyclone centered – composite of averaged.’ How accurate is the
292 water vapour measurement during cyclonic disturbances when humidity is very high and
293 thermal structure changes significantly? These aspects can be discussed in the manuscript.

294 **Reply: We do not have any information on the accuracies of the GPS RO measurements**
295 **during disturbed conditions. However, in the simulations reported in Rao et al., (2009),**
296 **one can notice that change in the T is not that sensitive when compared to pressure and**
297 **water vapour. Since, the changes are found to be 50-60% in RH in upper troposphere,**
298 **we expect these are meaningful even after considering expected larger bias during**
299 **disturbed weather conditions. This aspect is clearly mentioned in the revised**
300 **manuscript.**

301
302 References:

303
304 Kuo, Y.H., et al., 2004, Inversion and Error Estimation of GPS Radio Occultation Data, J.
305 Meteo. Soc. Japan, 82. 1B, 507-531.

306
307 Das, S. S., K. N. Uma, and S. K. Das (2012), MST radar observations of short-period gravity
308 wave during overhead tropical cyclone, Radio Sci., 47, RS2019, doi:10.1029/2011RS004840.

309 **Reply: We have already included Kuo et al., (2004) reference and other reference is out**
310 **of scope of the present study as it is related to gravity waves.**

311
312 **We once again thank the reviewers for going through the manuscript carefully and**
313 **offering potential solutions which made us to improve the manuscript content further.**
314

315
316 **---END---**
317

318

319

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323

324 **Effect of Tropical Cyclones on the Tropical Tropopause Parameters observed using**

325

COSMIC GPS RO data

326

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335

336

337 **Abstract**

338 Tropical cyclones (TCs) are deep convective synoptic scale systems and play an
339 important role in modifying the thermal structure, tropical tropopause parameters and hence
340 stratosphere-troposphere exchange (STE) processes. In the present study, high vertical
341 resolution and high accuracy measurements from COSMIC Global Positioning System (GPS)
342 Radio Occultation (RO) measurements are used to investigate and quantify the effect of
343 tropical cyclones that occurred over Bay of Bengal and Arabian Sea in last decade on the
344 tropical tropopause parameters. The tropopause parameters include cold point tropopause
345 altitude (CPH) and temperature (CPT), lapse rate tropopause altitude (LRH) and temperature
346 (LRT) and the thickness of the tropical tropopause layer (TTL), that is defined as the layer
347 between convective outflow level (COH) and CPH, obtained from GPS RO data. From all the
348 TCs events, we generate the mean cyclone-centered composite structure for the tropopause
349 parameters and removed from climatological mean obtained from averaging the GPS RO data
350 from 2002-2013. Since the TCs include eye, eye walls and deep convective bands, we
351 obtained the tropopause parameters based on radial distance from cyclone eye. In general,
352 decrease in the CPH in the eye is noticed as expected. However, as the distance from cyclone
353 eye increases by [300 km^{3°}](#), [400 km^{4°}](#), and [500 km^{5°}](#) an enhancement in CPH (CPT), LRH
354 (LRT) are observed. Lowering of CPH (0.6 km) and LRH (0.4 km) values with coldest CPT
355 and LRT (2-3 K) within the 500 km radius from the TC centre is noticed. Higher (2 km)
356 COH leading to the lowering of TTL thickness (2-3 km) is clearly observed. There exists
357 multiple tropopause structures in the profiles of temperature obtained within [100 km⁺](#) from
358 centre of TC. These changes in the tropopause parameters are expected to influence the water
359 vapour transport from troposphere to lower stratosphere and ozone from lower stratosphere to
360 the upper troposphere and hence STE processes.

361 **Key words:** Tropical tropopause, tropical cyclones, COSMIC GPS RO measurements.

362

363 1. Introduction

364 Tropical Cyclones (TCs) are one of the most dangerous natural and deep convective
365 synoptic scale systems that occur throughout the tropical region globally ([Emanuel 2005](#)).
366 Every year, they cause considerable loss of life and damage to property. India has a long
367 coastline, which is prone to very severe cyclone formations in the Arabian Sea (AS) and Bay
368 of Bengal (BoB). Over the Indian region, these TCs occur during the pre-monsoon (April-
369 May), early monsoon (June) and post monsoon (September - November) seasons ([Pattnaik et](#)
370 [al., 2008](#)). They persist for a few days to weeks and have large convective activity around the
371 eye with a horizontal scale of hundreds of kilometres. During the developing stage of TCs, a
372 large drop in its central pressure occurs and the most extreme vertical velocities are usually
373 observed. TCs contain large amounts of water vapour, energy and momentum, and transport
374 water vapour and energy to the upper troposphere and lower stratosphere (UTLS) region.
375 ~~This will change the thermal and chemical structure of UTLS.~~ Hence, TCs play a very
376 important role in affecting the thermal structure and dynamics of UTLS. The concentration of
377 the water vapour transported to the stratosphere is controlled by the cold temperatures present
378 at the tropopause ([Fueglistaler et al., 2003](#)). The life time and size of cyclones also might be
379 affecting the tropopause parameters on the regional scales ([Cairo et al., 2008](#)). There ~~could be~~
380 ~~is~~ a possibility that TCs lift and cool the tropopause more than other meso- scale systems
381 ([Romps and Kuang, 2009](#)). It is well known that the intensity and frequency of TCs have
382 increased in recent years (Emmanuel, 2005; Webster et al., 2005).

383 The tropopause, which is the boundary between troposphere and stratosphere, plays a
384 crucial role in the exchange of mass, water vapour and other chemical species between the
385 two atmospheric regions (Holton et al., 1995). Most of these exchanges ([water vapour to the](#)
386 [lower stratosphere and ozone to the upper troposphere](#)) take place around tropopause only
387 and as such it is very important to study and understand the physical processes occurring

388 around the tropopause region. The tropopause itself varies temporally and as well as spatially.
389 Generally, radiosonde data have been used to study the tropopause parameters and their
390 characteristics (e.g., Randel et al., 2000; Seidel et al., 2001). However radiosonde data is not
391 available over oceans particularly during severe atmospheric conditions like TCs. Thus,
392 obtaining the tropopause characteristics during TCs remained a daunting task. However, the
393 availability of Global Positioning System (GPS) Radio Occultation (RO) measurements with
394 high vertical resolution, high accuracy and all-weather capability made it possible to study
395 the tropopause characteristics over globe including over oceans. Several studies showed that
396 the GPS RO measurements are well suited for studying the severe storms (Pompenreau and
397 Held, 2007; Corti et al., 2008; Romps and Kuang, 2009; Biondi et al., 2013).

398 A few studies have been carried out relating the TCs and its link to the UTLS as well
399 as tropopause parameters. Studies include the thermal and dynamical structure of UTLS
400 during TC (Koteswaram, 1967), horizontal and vertical structure of temperature in the
401 cyclone (Waco, 1970), temperature and ozone variations in a hurricane (Penn, 1965),
402 troposphere-stratosphere transport and dehydration in cyclones (Danielsen, 1993), UTLS
403 structure during TCs using AIRS and MLS measurements (Ray and Rosenlof, 2007). RO
404 radio occultation bending angle anomalies during tropical cyclones (Biondi et al., 2011),
405 thermal structure of intense convective clouds derived from GPS RO radio occultations
406 (Biondi et al., 2012), and estimating the TC cloud top height and vertical temperature
407 structure using GPS RO measurements (Biondi et al., 2013), and observations of
408 temperature in the UTLS upper Troposphere and Lower Stratosphere of tropical weather
409 disturbances (Davis et al., 2014). Note that above list is only indicative but not exhaustive.
410 Recently Emmanuel et al. (2013) showed that the modulations of the cold point temperature
411 influence the maximum potential intensity of tropical cyclones and tropical cyclone activity.

412 However, the effect of deep convection associated with the TCs on the tropopause parameters
413 is not yet fully understood.

414 The main objective of the present study is to investigate the spatial variation of
415 tropopause parameters such as cold point tropopause altitude (CPH) / temperature (CPT),
416 lapse rate tropopause altitude (LRH) / temperature (LRT), convective outflow level altitude
417 (COH) and TTL thickness with respect to TC centre during entire TC period. Vertical
418 structure of temperature and tropopause parameters within the 5° radius away from the
419 cyclone centre during TC period is also presented. The water vapour variability in the vicinity
420 of TC is also investigated. The details of the data used for the present study are mentioned in
421 Section 2. Methodology for obtaining tropopause parameters during TC period is mentioned
422 in Section 3. Results and discussion are presented in Section 4. Finally, summary and
423 conclusions drawn from the present study are presented in Section 5.

424 **2. Database**

425 **2.1. COSMIC GPS RO data**

426 The temperature profiles obtained from the Constellation Observing System for
427 Meteorology, Ionosphere, and Climate (COSMIC) GPS RO over the BoB during the TC is
428 utilised for the present study. [The GPS RO data were downloaded from COSMIC Data
429 Analysis and Archive Centre \(CDAAC\) website \(http://cosmic-
430 io.cosmic.ucar.edu/cdaac/index.html\)](http://cosmic-analysis-and-archive-centref-cdaac-website). COSMIC GPS RO is a [joint Taiwan – U.S. mission](#),
431 constellation of six microsatellites equipped with GPS receivers (Anthes et al., 2008). These
432 satellites are launched in early 2006 and started providing data from April 2006. During its
433 initial phase, all the six satellites were not fully configured so as to get uniform distribution of
434 occultations. Thus, data from 2007 to 2013 have been used for the present study. It provides
435 2000-2500 occultations for a day over entire globe. Details of temperature retrieval from
436 bending angle and refractivity profile obtained from GPS RO sounding are presented

437 elsewhere (Kursinski et al., 1997; Kuo et al., 2004; Anthes et al., 2008; Schreiner et al.,
438 | 2010). For the present study we use level 2 ~~by atmPrf~~ temperature profiles (~~atmPrf~~) to
439 calculate the tropopause parameters during the TCs. In addition, we also used CHALLENGING
440 Minisatellite Payload (CHAMP) GPS RO data that are available between the years 2002 to
441 2006. This complete data (2002 to 2013) is used to generate the background climatology of
442 tropical tropopause parameters over North Indian Ocean. The vertical resolution of the
443 | temperature is 200 m and accuracy is 0.5 K (7-25 km). Note that this data is validated with
444 variety of techniques including GPS radiosonde and found very good match particularly in
445 the UTLS region (Rao et al., 2009).

446 **2.2. TCs best tracks**

447 We have taken the TC track information (TCs best tracks) data from the India
448 Meteorological Department (IMD) for the period 2007 to 2013. Though GPS RO data is
449 available between 2002 and 2006 from CHAMP GPS RO, we have not utilised it for
450 estimating the tropopause parameters during TC as the number of occultations from this
451 single satellite are too sparse (maximum 250-300 occultations over entire globe). TCs track
452 | information includes TC name, dates, centre latitude and longitude, cyclone intensity (CI (~~T~~
453 ~~number~~)) and MSL pressure of the TC at every 3 h intervals during the formation of the TC.
454 During this period (2007 to 2013), around 44 TCs have formed over North Indian Ocean. For
455 the present study, we consider only the TCs which are having life time of minimum 4 days
456 | and more. while considering the intensity of the TCs. From these 44 TCs we selected 16 TCs
457 based on life time of TCs to investigate the effect of TCs on the tropical tropopause
458 parameters. The tracks of all the TCs used for the present study are shown in Figure 1 and
459 different colours indicate TCs occurred in different years. Note that only 2 TCs have formed
460 over AS and rest all formed over BoB. Only one TC that formed over BoB have crossed the
461 Indian land mass and have strengthen again when it reached AS. The details of TC such as

462 | name, grade, CI (**Cyclone Intensity**) number (~~T~~) number as designated by IMD, life time,
463 | central latitude and longitude (position) of the cyclone where lowest pressure and highest
464 | wind speed are observed with estimated pressure drop are shown in Table 1. [Details of the](#)
465 | [acronyms used in this table are provided in sub-section 3.2.](#)

466 | **3. Analyses procedure**

467 | **3.1. Estimation of different tropopause parameters**

468 | The tropopause is defined in different ways (Highwood and Hoskins, 1998) and the
469 | most commonly used one in the tropics is the cold point tropopause. The CPH is defined as
470 | the altitude of the temperature minimum that exists between the troposphere and stratosphere.
471 | Another one is LRH defined by World Meteorological Organization (WMO) (1957) as, ‘the
472 | lowest level at which the lapse rate decreases to 2 K/km or less provided that the average
473 | lapse rate between this level and all higher levels within 2 km does not exceed 2 K/km.’

474 | In recent years, the study of the tropopause over tropics has led to the concept of a
475 | Tropical Tropopause Layer (TTL) (Highwood and Hoskins, 1998; Gettleman and Birner,
476 | 2007; Fueglistaler et al., 2009), which is the transition region between the convective-
477 | radiative equilibrium of the troposphere and the stratosphere. In this transition region both
478 | stratospheric and tropospheric processes interact. The top of the TTL is marked by the CPH
479 | and the base by the level of the top of all the major convective outflows, named as convective
480 | tropopause altitude (COH) and the altitude difference between CPH and COH is the TTL
481 | thickness. A minimum in potential temperature gradients is identified as COH following
482 | Gettleman and Foster (2002). Note that it closely matches with the divergence profile
483 | obtained from Mesosphere Stratosphere Troposphere (MST) radar observations (Mehta et al.,
484 | 2008). All these parameters (CPH, CPT, LRH, LRT, COH and TTL thickness) are estimated
485 | for each profile of GPS RO during the entire TC life time. In order to estimate the effect of
486 | TCs on the tropopause parameters, the background of all the tropopause parameters is

487 obtained by averaging the data from 2002 to 2013 (climatology) with exclusion of the days
488 of the TCs. These climatological values are grouped at 2.5° x 2.5° grids. There could be day-
489 to-day to the inter-annual variability in the observed climatological tropopause parameters.
490 Since large data (14 years) has gone through it, we assume variability less than solar cycle is
491 nullified if not removed completely.

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493 3.2. Classification of the TCs

494 Effect of the TCs on the tropopause parameters mainly depends on the intensity of the
495 cyclone. ~~Based on the intensity (also called as T number) of cyclone, IMD has defined~~
496 ~~various stages of the TC. Tropical cyclone intensity is defined by the maximum mean wind~~
497 ~~speed over open flat land or water, sometimes referred to as the maximum sustained wind~~
498 ~~that and will be experienced around the eye-wall of the cyclone. The low pressure system~~
499 ~~over Indian region is classified based on the maximum sustained winds speed associated with~~
500 ~~the system and the pressure deficit/ number of closed isobars associated with the system. The~~
501 ~~pressure criteria is used; when the system is over land and wind criteria is used; when the~~
502 ~~system is over the sea (IMD). Based on 10 minutes maximum sustained wind speed, IMD has~~
503 ~~defined various stages of the TC.~~ It is named as low pressure when the maximum sustained
504 wind speed at the sea surface is <17 knots/32 kmph, Depression (D) (17–27 knots/32-50
505 kmph), Deep Depression (DD) (28–33 knots/51-59 kmph), Cyclonic Storm (CS) (34-47
506 knots/60-90 kmph), Severe Cyclonic Storm (SCS) (48-63 knots/90-110 kmph), Very Severe
507 Cyclonic Storm (VSCS) (64–119 knots/119-220 kmph) and Super Cyclonic Storm
508 (SuCS) (>119 knots/220 kmph). As an example, the TC Nargis is chosen to show the
509 different stages of TC and also its pressure and wind speed. The TC ‘Nargis’ originated as a
510 depression formed over southeast BoB at 0300 UTC on 27 April 2008. From Table 1 it is
511 clear that this cyclone comes under the category VSCS which has CI of 5. The observed IMD

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512 track of the VSCS Nargis is shown in Fig. 1 (green line). This system slightly moved north
513 eastwards and intensified into a cyclone at 00 UTC of 28 April. It remained stationary for
514 some time and further intensified into a SCS at 0900 UTC of 28 April and into a VSCS
515 grade, as classified by the IMD at 0300 UTC of 29 April (Pattnaik and Rama Rao, 2008).
516 Further it moved eastward and crossed the coast of Myanmar on 2 May at 0600 UTC.

517 The IMD reported maximum wind speed and minimum SLP of the TC Nargis are
518 shown in Fig. 2(a). Note that highest wind speed (~90 knots) and lowest pressure (~960 hPa)
519 are noticed on 02 May. The interpolated outgoing long-wave radiation (OLR), which is
520 considered as proxy for tropical deep convection, obtained from NOAA satellite on 28 April
521 2008 is shown in Fig. 2(b) along with the track of the cyclone provided by IMD. Black
522 circles are drawn to show the 500 km, 1000 km, 1500 km and 2000 km radius from the TC
523 center. Note that this cyclone was stationary on 28 April and the minimum OLR (maximum
524 convection) which was as low as 90 W/m^2 , lay over the region within 500 km and extended
525 to south east and west side of cyclone track within 1000 km. The monthly mean of CPH for
526 the month of April is shown in Fig. 2(c) and small black circle show the TC Nargis centre
527 observed on for 28 April 2008. An interesting feature to be noticed is enhancement of CPH
528 around 25°N over Indian region than equatorial latitudes which is well reported earlier
529 (Venkat Ratnam et al., 2005). This feature is commonly observed over Indian region. Note
530 that latitudinal variation of 500 m can be observed if we consider 2000 km from the centre of
531 cyclone. In order to avoid this latitudinal variation, we restrict our discussion within 1000 km
532 from the cyclone centre hereafter.

533 The COSMIC RO data obtained for each day during the cyclone period are separated
534 based on IMD cyclone best track data and calculated the tropopause parameters for each
535 individual temperature profile. Since IMD based TC track data is available at 3 h intervals,
536 we considered the middle of the coordinates (latitude and longitude) of particular day of TC

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537 as the centre of TC for that day. Based on these centres we calculated the distance from the
538 TC centre for each individual RO available on particular TC day at intervals of ~~500-250~~ km
539 up to ~~2000-1000~~ km.

540 **4. Results and discussion**

541 **4.1. Tropopause parameters observed during VSCS Nargis**

542 The locations of all the COSMIC GPS RO observations on 28 April 2008 are shown
543 (white circles) in Fig. 2(b). There were about ~~40-13~~ occultations that occurred within ~~2000~~
544 ~~1000~~ km from the centre of the cyclone. All the tropopause parameters mentioned in section
545 3.1 are estimated for each of these profiles and climatological values were subtracted for
546 estimating the effect of the TCs on the tropopause parameters. Typical example of cyclone-
547 centred tropopause parameters obtained from the COSMIC GPS RO profiles during TC
548 Nargis on 28 April 2008 is shown in Figure 3 (a) CPH, (b) LRH, (c) CPT, (d) LRT, (e) COH
549 and (f) TTL thickness, respectively and Black circles are drawn to shown the 250 km, 500
550 km, 750 km and 1000 km away from TC centers. Though it is difficult to draw any
551 conclusion from this figure as occultations are sparse, it is clear that CPH and LRH are
552 slightly lower (~ 17.25 km) within 500 km and higher (>17.5 km) away when compared to
553 the CPH and LRH around 1000 km. There is no substantial difference in the CPT and LRT
554 within 500 km of TC in this example. However, COH is a little higher (~ 14 km) within 500
555 km and slightly lower away from it and TTL thickness is small (< 4 km) within 500 km from
556 the centre of TC Nargis. This suggests that the TC affects the tropopause parameters. Note
557 that the variations observed away from 500 km, mainly over land, can be partly attributed to
558 the latitudinal change itself which will be discussed further in the next sections.

559 Note that the GPS RO estimated temperature near the tropopause during cyclone
560 activity is expected to be biased with the assumption of dry atmosphere as sometimes water
561 vapour is being pumped up to the tropical tropopause. However, note that we could notice

562 [similar change in bending angle and hence refractivity which is combination of temperature](#)
563 [and water vapour. In the simulations reported in Rao et al. \(2009\), one can notice that change](#)
564 [in the temperature is not that sensitive when compared to the pressure and water vapour.](#)
565 [Since, the changes are found to be up to 4-5 K, we expect these are meaningful even after](#)
566 [considering expected larger bias during disturbed weather conditions. More details of](#)
567 [COSMIC temperature during Cyclone period can be found in Biondi et al., \(2011\).](#)

568 **4.2. Spatial variations of tropopause parameters from the centre of TC**

569 In this sub-section, the spatial variations of tropopause parameters from the cyclone
570 centre for different intensities of TC are presented. From the example of Nargis it is clear that
571 we have less number of occultations for a single TC day and hence it is difficult to describe
572 the tropopause characteristics away from the TC centre. For getting more data points for
573 statistically significant results, we have separated COSMIC RO data based on TC intensity
574 from all the 16 TCs. When we separated these based on TC intensity wise with respect to
575 their distance from the TC centre there are 381, 727, 1124, 481 and 865 occultations for D,
576 DD, CS, SCS and VSCS, respectively. From these profiles, we made a 250 km x 250 km grid
577 of tropopause parameters based on TC centre for all TC intensities. After going through the
578 detailed analysis, no significant difference in the tropopause parameters between D and DD,
579 CS and SCS was noticed. So, we have combined the observations obtained during those
580 periods, respectively. Since there is no significant difference in the tropopause parameters
581 during D and DD we have not shown these here.

582 Figure 4 shows cyclone-centered composite of [\(a\) CPH, \(b\) LRH, \(c\) CPT, \(d\) LRT,](#)
583 [\(e\) COH and \(f\) TTL thickness](#)~~CPH, LRH, CPT, LRT, COH and TTL thickness~~ for the cases
584 of CS and SCS. From the figure it is clear that the south west side of the area up to ~~2000-1000~~
585 km radius from the TC centre the CPH (Fig 4a) and LRH (Fig 4b) is lower than the north side
586 of the TC centre. However, colder tropopause temperatures are clearly observed within 1000

587 | km in case of CPT (Fig 4c) and ~~throughout 2000 km in the eastern side in case of~~ LRT (Fig
588 | 4d). A 10 K difference in the CPT and LRT can be noticed from the TC centre to the north
589 | side. Very interestingly COH is much higher over 1000 km and also towards south side from
590 | the TC centre with maximum altitude of around 15 km (Fig 4e) leading to a smaller TTL
591 | thickness (Fig. 4f). Note that TTL thickness is less than 3 km within 500 km from the centre
592 | of TC and up to ~~+500-1000~~ km in the southern side. These different variations in the
593 | tropopause parameters might be due to two reasons. One may be due to distribution of
594 | convection during developing stages of TCs such as depression and deep depression on the
595 | south side of the TC centre which moved north-west side. Another reason, at least in part, can
596 | be attributed to the latitudinal variation. However, we found very low values of CPH within
597 | the 500 km radius from the TC centre. Similar variations are observed when we separated the
598 | TC based on different intensities (figures not shown). Thus, in general, we observed lowering
599 | of CPH and LRH values with coldest CPT and LRT within the 500 km radius from the TC
600 | centre. Higher COH leading to lowering of TTL thickness is clearly observed. Higher COH
601 | within the 500 km from the TC centre suggests that maximum convective outflow reached
602 | higher altitude. At the same time lowering the CPH, leading to the small TTL thickness,
603 | within the TC centre is observed probably due to the subsidence. In order to quantify the
604 | effect of TCs on the tropopause parameters more clearly we have obtained anomalies by
605 | subtracting the tropopause parameters observed during TC from the background
606 | climatological tropopause parameters.

607 | Figure 5 shows mean difference of cyclone-centered tropopause parameters from the
608 | background climatology observed in CPH, LRH, CPT, LRT, COH and TTL thickness. Note
609 | that this figure is the composite of the all the tropopause parameters irrespective of the TC
610 | intensity. Thus, some differences between Figure 4 and Figure 5 can be expected. In general,
611 | the CPH (LRH) is lowered by 0.6 km (0.4 km) in most of the areas within the 1000 km radius

612 from the TC centre and CPT (and LRT) is colder by 3-4 K. Note that this decrease in the
613 CPH is not uniform over ~~2000-1000~~ km radius from the centre. Throughout the area ~~2000~~
614 ~~1000~~ km from centre the temperature is more or less colder or equal to the climatological
615 value in both CPT/LRT. COH has increased up to 2 km within 500 km from the TCs and at
616 some areas up to 1000 km. TTL thickness is reduced by 2 km within 500 km from the TC
617 centre and over some areas up to ~~1500-1000~~ km. Note that this decrease in TTL thickness is
618 not only because of pushing up of the COH but also decrease of CPH. It is worth quoting the
619 recent findings of Biondi et al. (2015) where they reported a decrease in the temperatures of
620 3-4 K and reduction in the TTL thickness to 2-3 km over north Indian basin. Our findings
621 exactly match with their reports for Indian region.

622 **4.3. Spatial variations of water vapor from the centre of TC**

623 Deep convection is expected to reach up to the tropopause altitude and sometimes
624 above during the TCs leading to the penetration of water vapor to the lower stratosphere. At
625 the same time chances of pushing down the ozone from the lower stratosphere leading to
626 lower CPH (subsidence) is also expected leading to the STE processes. Though not
627 completely relevant to the present study, it is worth to recall recent results by Škerlak et al.
628 (2014), where it was shown quantitatively that maxima of STE are located over the storm
629 (cyclone) track regions in the North Atlantic and North Pacific during all seasons (except
630 summer) with an averaged mass flux of approximately $500 \text{ kg km}^{-2} \text{ s}^{-1}$ from the stratosphere
631 to the troposphere and approximately $300 \text{ kg km}^{-2} \text{ s}^{-1}$ in the opposite direction. It will be
632 interesting to investigate how these numbers compare for TC over Indian region. Since GPS
633 RO also provides information on water vapor (Kishore et al., 2011), we have investigated
634 further the effect of TCs on the vertical distribution of water vapor. Cyclone centered –
635 composite of averaged RH observed during all the TCs irrespective of TC intensity in the
636 layer 0-5 km, 5-10 km and 10-15 km using COSMIC GPS RO wet-profiles is shown in

637 Figure 6(a)-(c), respectively. Note that above these altitudes, water vapour is not sensitive in
638 the GPS RO measurements. In general, larger RH values are noticed in the south-eastern side
639 of the TCs in the lower layer (0-5 km) and throughout south side of the TC in the layer 5-10
640 km. Higher RH is noticed within 500 km from the TC centre. Interestingly, high RH values
641 of 70% or more are noticed on the eastern side of TC in the layer 10-15 km. Thus, it is clear
642 that deep convection prevailing during the TC within 500 km from the centre of TC can
643 penetrate to the lower stratosphere through the tropopause. The higher RH values observed in
644 the layer 10-15 km may be due to the upper level anti-cyclonic circulation over the cyclones.
645 Ray and Rosenlof (2007) reported higher water vapour mixing ratios to the east of the
646 cyclone centers for TCs over Atlantic and Pacific Oceans and found averaged water vapour is
647 enhanced by 30-50 ppmv or more within 500 km of the eye compared to the surrounding
648 average water vapour mixing ratios. Our results match well with these. Note that Biondi et al.
649 (2015) reported 30-50% of the time overshooting of the convection during TCs strongly
650 supporting our findings. At the same time, Midya et al., (2012) reported that over BoB and
651 AS the total column ozone (TOC) decreases steadily before and during the formation of a TC,
652 followed by a more or less increasing trend after dissipation of the cyclone. A very recent
653 case study by Das et al., (2015, submitted manuscript) also confirms the intrusion of
654 stratospheric air into the upper and middle troposphere during the passage of tropical cyclone
655 Nilam. It will be interesting to see the variability of ozone during the same time for all the
656 cyclones presented here to investigate the STE processes away from the TC center.

657 From the above, in general, it can be concluded that tropical tropopause is
658 significantly affected by the TCs. The effect is more pronounced within 500 km from the
659 centre of TC. Note that TCs have eye, eye wall, rain bands, convective cloud tops, strong
660 updrafts and cirrus deck, all occurring in the range of 500 km to 1000 km from the TC
661 centre. From the above results, we expect a significant effect of the TCs on the tropopause

662 parameters could be felt up to 500 km from the TC centre. We have further investigated the
663 effect of TCs on the thermal structure of UTLS region and the results are presented in the
664 next section.

665 **4.4. Vertical thermal structure of UTLS within 500 km from TC centre**

666 We considered the GPS RO with respect to the IMD best track data and took ± 1 h time
667 window of co-located RO profiles with respect to IMD best track time for every 3h. Based on
668 this we calculated the distance from the TC centre. We classified them with respect to
669 distance from the centre as 100 km, 200 km, 300 km, 400 km, and 500 km
670 respectively. There were 90 GPS RO occurring within 500 km and when we separated
671 them at 100 km steps there were 7, 11, 20, 20 and 32 profiles, respectively. Figure 7 shows
672 mean vertical structure of temperature with respect to distance with in 500 km at steps of
673 ~~one-degree-radius~~ 100 km from TC centre along with standard error. Enlarged portion in the
674 Figure 7 shows vertical structure of the temperature within the UTLS region from 16 -18 km.
675 Here we considered ± 1 h time window of co-located RO profiles with respect to IMD
676 cyclone best track data for getting thermal structure over TC period. Note that this is a better
677 time window resolution than the earlier reported 3h time window by Biondi et al., (2013) for
678 describing the thermal structure during cyclone period. In general, no significant difference in
679 the temperature structure within 500 km from TC centre below 14 km is noticed. This is
680 mainly due to the synoptic nature of convection within the 500 km radius from the TC
681 centre. Generally, in the troposphere below approximately 14 km the radiative cooling
682 balances the latent heat release by convection. However, large variation in the mean
683 temperature structure can be noticed above 14 km. This is mainly due to balancing between
684 the radiative heating and the stratosphere-driven upwelling above 16 km. Strong updrafts
685 around the eye wall and down drafts, subsidence near the eye, and formation of the cirrus
686 clouds might change the temperature structure in the UTLS region strongly. It is interesting

687 to notice lowering of tropopause altitudes with colder temperatures in the profiles obtained
688 within [100 km^{1°}](#), followed at [300 km^{3°}](#), [200 km^{2°}](#) and [400 km^{4°}](#). It indicates that rain bands
689 are of the size of roughly [100 km[°]](#) (~~110 km~~). There exists a temperature difference of 5K in
690 the UTLS region in the profiles that occurred within [100 km^{1°}](#) from the profiles that occurred
691 away of [400 km^{4°}](#). These are statistically significant differences as the error bars do not mix
692 with each other for the profile that occurred within [100 km^{1°}](#) to rest of the profiles. Warmer
693 temperatures are also visible in the lower stratosphere in the profiles that are obtained within
694 [100 km^{1°}](#) when compared to those occurred away. Multiple tropopause structures are clearly
695 visible in the profiles that occurred within [100 km^{1°}](#) from the TC [though number of profiles](#)
696 [available are small. These multiple tropopauses are similar to that are double tropopauses](#)
697 [observed by Corti et al. \(2008\), Biondi et al. \(2011\) and Davis et al. \(2014\)](#). The cause for
698 these multiple tropopauses might be either due to clouds (Biondi et al., 2013) or wave activity
699 or cirrus or ozone (Mehta et al., 2011) which demands separate investigation.

700 We also calculated the tropopause parameters with respect to [100 1°](#), [2002°](#), [3003°](#),
701 [4004°](#), and [500 km^{5°}](#) away from the TC centre respectively. Figure 8 shows the mean tropical
702 tropopause parameters of CPH, CPT, LRH, LRT, COH and TTL thickness observed from the
703 profiles that are available within the [500 km^{5°}](#) radius from the TC centre. In general, CPH
704 (CPT) increases (decreases) as we move away from the TC centre within [500 km^{5°}](#) (except at
705 [200 km^{2°}](#) in case of CPH) (Fig. 8a). There exists a difference of 0.4 km (3 K) in the CPH
706 (CPT) within [500 km^{5°}](#) from centre of TC. Similar variability in the LRT is observed but not
707 in LRH (Fig. 8b). An inverse relation between LRH and LRT is noticed but not in CPH and
708 CPT. A nearly 2 km decrease in COH is clearly noticed (Fig.8c) when we move away from
709 the TC centre leading to the increase in the TTL thickness of 3 km (Fig. 8d). Note that
710 lowering of CPH (may be due to the presence of subsidence and strong downdrafts) in the
711 eye region and higher COH leading to lowering of TTL thickness within [100 km^{1°}](#) from the

712 | TC centre is again noticed. Most of the overshooting convection may occur within the 200
713 | km² and top of the convection may be lifting the tropopause higher. An additional 1 km of
714 | lowering of the TTL thickness within 100 km⁴ when compared to 500 km⁵ away from TC
715 | centre is mainly coming from lowering of CPH. Thus, decrease in TTL thickness is the
716 | combination of pushing up of COH and lower of CPH.

717 | **5. Summary and conclusions**

718 | In the present communication, we investigated and quantify the effects of tropical
719 | cyclones that occurred between 2007 and 2013 on the tropical tropopause parameters
720 | obtained from simultaneous high vertical resolution and high accuracy COSMIC GPS RO
721 | measurements. TCs are categorized based on their intensity as their effect on the thermal
722 | structure and thus tropopause parameters will be different for different intensities. Out of 44
723 | cyclones that originated over BoB and AS, investigation is carried out on 16 cyclones which
724 | ~~lasted are having life time of 4 days or more for more than 4 days.~~ The TC centre is fixed
725 | based on the best tracks data available from IMD at 3 h intervals. GPS RO overpasses that
726 | occurred within the radius of ~~2000-1000~~ km from the centre of TC are separated. Tropical
727 | tropopause parameters are estimated for each individual profiles that occurred at various
728 | distances within ~~2000-1000~~ km and are grouped for every ~~500-250~~ km radius from the centre
729 | of TC. They are further separated based on the intensity of the TC. In order to make
730 | quantitative estimates of the effect of TCs on the tropopause parameters, individual
731 | tropopause parameters obtained during TC are removed from the climatological mean
732 | tropopause parameters that are obtained by averaging the GPS RO measurements available
733 | from 2002 and 2013 (CHAMP+COSMIC). The effect of TCs on the vertical distribution of
734 | water vapor obtained from COSMIC GPS RO is also investigated. Again GPS RO overpasses
735 | that occurred within the radius of 500 km from the TC center within the ± 1 h for every 3h are
736 | separated for every 100⁴, 200², 300³, 400⁴, and 500 km⁵ from the center of the TC.

737 Finally, detailed investigations are made to see the effect of TCs on the tropopause
738 parameters within 500 km^{5°} from the centre of TC. The main findings of the present study
739 are summarized in the following:

740 1. In general, the CPH (LRH) is lowered by 0.6 km (0.4 km) in most of the areas within
741 the 1000 km radius from the TC centre and CPT (and LRT) is colder by 3-4 K. COH
742 has increased up to 2 km and TTL thickness reduced by 2 km within 500 km from the
743 TCs and at some areas up to 1000 km.

744 2. CPH (CPT) increases (decreases) as we move away from the TC centre within 500
745 km^{5°}. There exists a difference of 0.4 km (3 K) in the CPH (CPT) within 500 km^{5°}
746 from centre of TC. Similar variability in the LRT is observed but not in LRH. An
747 inverse relation between LRH and LRT is noticed but not in CPH and CPT. Nearly 2
748 km decrease in COH is clearly noticed when we move away from the TC centre
749 leading to the total increase in the TTL thickness of 3 km within 500 km^{5°}.

750 3. The decrease in TTL thickness within 500 km from TC centre is not only because of
751 pushing up of the COH but also decreasing of CPH.

752 4. Higher RH is noticed within 500 km from the TC centre reaching as high as 15km.
753 Thus, it is clear that deep convection prevailing within 500 km from the centre of TC
754 can penetrate to the lower stratosphere through the tropopause.

755 5. In general, no significant difference in the temperature structure within 500 km^{5°}
756 from TC centre below 14 km is noticed. However, large variation in the mean
757 temperature structure is noticed above 14 km. There exists a temperature difference of
758 5K in the UTLS region in the profiles that occur within 100 km^{4°} from the profiles
759 that occurred away of 400 km^{4°}.

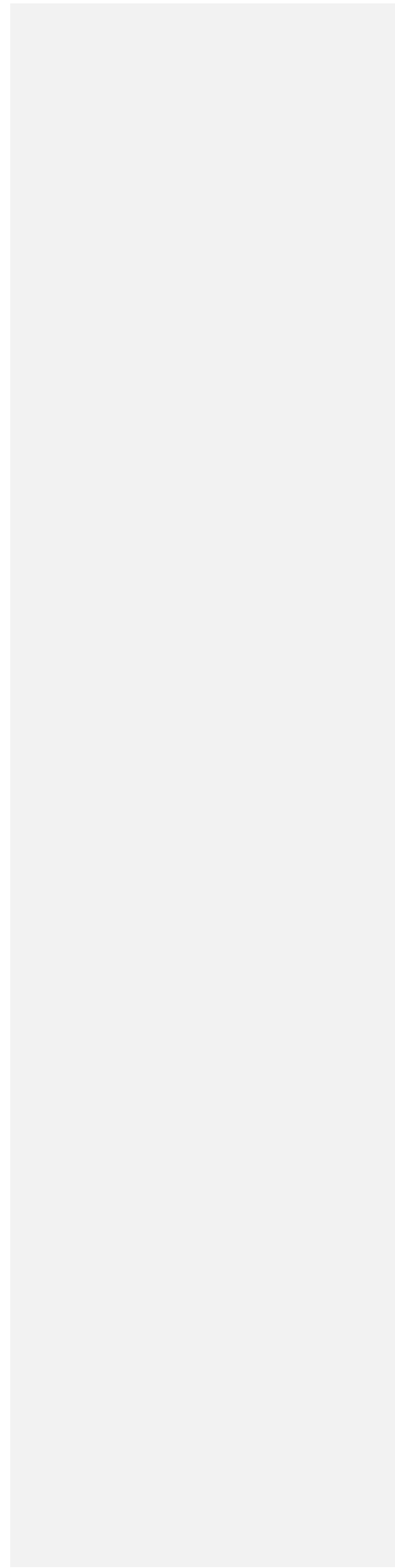
760 6. Multiple tropopause structures are also visible in the profiles that occurred within 100
761 km^{4°} from the TC.

762 7. The colder tropopause temperatures are clearly observed within 1000 km in case of
763 CPT and throughout ~~2000-1000~~ km in the eastern side in case of LRT. In general,
764 larger RH values are noticed in the south-eastern side of the TCs in the lower layer (0-
765 5 km) but throughout south side of the TC in the layer 5-10 km. Higher RH values of
766 70% or more are noticed on the eastern side of TC in the layer 10-15 km. Interestingly
767 COH is much higher over 1000 km and also towards south side from the TC centre
768 with maximum altitude of around 15 km leading to the lesser TTL thickness. TTL
769 thickness is less than 3 km within 500 km from the centre of TC and up to ~~1500-1000~~
770 km in the southern side.

771 Thus, this study clearly demonstrated that the TCs can significantly affect the tropical
772 tropopause and the effects are more pronounced within 500 km from the centre of TC. It will
773 be interesting to see the ozone variability in the upper troposphere and water vapor in the
774 lower stratosphere using satellite observations at the same time and hence STE processes
775 during the TC which will be our future work. Further, in the present study we are unable to
776 make quantitative estimates of the tropopause parameters variability during different stages
777 (time series) of the cyclone due to sparse data of existing GPS RO observations. Once the
778 data is available from the other similar payload (ROSA onboard Megha Tropiques) launched
779 in 2011 in low inclination and forthcoming COSMIC-2, which will have six low earth orbit
780 GPS receivers to be launched in low inclination in the first half of 2016, we can able to
781 quantify the effects more effectively.

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787 |
788



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913 **Figure captions:**

914 **Figure 1.** TC tracks with minimum TC life time 4 days and above used for the present study
915 during 2007 - 2013 over North Indian Ocean. Different colors indicate TCs that occurred
916 in different years.

917 **Figure 2.** (a) IMD observed minimum sea level pressure (MSLP; red line) and maximum
918 wind speed (black line) during TC Nargis. (b) TC centered – composite of NOAA OLR
919 observed on 28 April 2008 along with IMD observed Nargis track (red colour line). White
920 arrows show the wind vectors obtained from ERA-Interim on the same day. White circles
921 show the COSMIC RO observed on the same day. Black circles are drawn to show the 500
922 km, 1000 km, 1500 km and 2000 km away from TC centers. [\(c\) ~~climatology~~Climatology of](#)
923 [CPH for the month of April obtained while averaging from 2002-2013 and small black](#)
924 [circle show the Nargis TC centre observed on 28 April 2008.](#)

925 **Figure 3.** Spatial variation of (a) CPH, (b) LRH, (c) CPT, (d) LRT, (e) COH and (f) TTL
926 thickness with respect to cyclone center Nargis observed on 28 April 2008 for the RO
927 shown in Figure 3b. [Black circles are drawn to shown the 250 km, 500 km, 750 km and](#)
928 [1000 km away from TC centers. ~~Black circles are drawn to shown the 500 km, 1000 km,~~](#)
929 [1500 km and 2000 km away from TC centers.](#)

930 **Figure 4.** Cyclone centered – composite of (a) CPH, (b) LRH, (c) CPT, (d) LRT, (e) COH
931 and (f) TTL thickness observed during the CS and SCS.

932 **Figure 5.** Same as Figure 4 but for the mean difference in the tropopause parameters between
933 climatological mean and individual tropopause parameters observed during TCs
934 (irrespective of cyclone intensity).

935 **Figure 6.** Cyclone centered – composite of averaged RH observed during TCs (irrespective
936 of TC intensity) in the layer (a) 0-5 km, (b) 5-10 km and (c) 10-15 km using COSMIC GPS
937 RO wet-profiles.

938 **Figure 7.** Mean temperature structure observed using GPS RO profiles that occurred within
939 | 1004°, 2002°, 3003°, 4004°, and 500 km5° from the TC centre. Horizontal bars show the
940 | standard error. For clarity, the temperature structure observed between 16 km and 18 km is
941 | shown in the box.

942 **Figure 8.** Variability in the tropopause parameters of (a) CPH and CPT, (b) LRH and LRT,
943 | (c) COH and (d) TTL thickness that observed within 500 km5°- from the centre of TC.
944 | Vertical bars show the standard error.

945

946 **Table caption:**

947 Table 1: Cyclone name, grade, cyclone intensity number, period, centre latitude, centre
948 | longitude, estimated central pressure, estimated maximum sustained surface wind, estimated
949 | pressure drop at the centre of all the cyclones used in the present study provided by IMD.

950

951

952

953 **Table:**

Cyclone Name	Grade	CLNO	Period	Centre latitude	Centre longitude	Estimated Central Pressure (hPa)	Estimated Maximum Sustained Surface Wind (kt)	Estimated Pressure drop at the Centre (hPa)
03B	CS	2.5	21Jun-26Jun 2007	23.5	66	986 (25Jun)	35	6
Gonu	SUCS SuCS	6.5	02Jun-07Jun 2007	20	64	920 (04Jun)	127	80
SIDR	VSCS	6	11Nov-16Nov 2007	19.5	89	944 (15Nov)	115	66
Nargis	VSCS	5	27Apr-03May 2008	16	94	962 (02May)	90	40
Aila	SCS	-	23May-26May 2009	22	88	968 (25May)	60	20
Jal	SCS	3.5	04Nov-07Nov 2010	11	84	988 (06Nov)	60	18
Giri	VSCS	5.5	20Oct-23Oct 2010	19.8	93.5	950 (22Oct)	105	52
PHET	VSCS	4.5	31May-06Jun 2010	18.5	60	964 (02Jun)	85	36
Laila	SCS	3.5	17May-21May 2010	14.5	81	986 (19May)	55	15
Thane	VSCS	4.5	25Dec-30Dec 2011	12	81	970 (29Dec)	75	30
Nilam	CS	3	28Oct-01Nov 2012	11.5	81	990 (31Oct)	45	10
Phailin	VSCS	6	08Oct-14Oct 2013	17.1	86.8	940 (11Oct)	115	66
Madi	VSCS	4	06Dec-12Dec 2013	15.4	85.3	988 (10Dec)	65	16
Helen	SCS	3.5	19Nov-22Nov 2013	16.1	82.7	990 (21Nov)	55	17
Mahasen	CS	3	10May-16May 2013	18.5	88.5	990 (15May)	45	10
Leher	VSCS	4	23Nov-28Nov 2013	13.2	87.5	980 (26Nov)	75	26

954 Table 1: Cyclone name, grade, cyclone intensity number, period, centre latitude, centre
955 longitude, estimated central pressure, estimated maximum sustained surface wind, estimated
956 pressure drop at the centre of all the cyclones used in the present study provided by IMD.