

Dear Editor,

We are sincerely happy to have the chance to submit the revised manuscript, and great thanks for all your help along the whole process! I also owe sincere thanks to both reviewers' valuable suggestions, which I believe have helped to improve the quality of the revised manuscript significantly. Detailed responses to reviewers' comments were listed below, and the changes were made in the revised manuscript based on both reviewers' comments accordingly. A list of all relevant changes made in the revised manuscript, and a marked-up manuscript version were attached at the end of this file. I hope this would be helpful to guide you to re-review the revised manuscript.

Response to Reviewer #1

Specific comments

- 1. P12460, L11. "...for 113 organic compounds are listed in Table S3". Please check the number of organic compounds.**

Response: Accepted.

We are sorry for this mistake. The number of organic compounds listed in Table S3 should be 100. In revised manuscript, this sentence is changed to "...for 100 organic compounds are listed in Table S3".

- 2. P12463, L13-15. "...the highest-/lowest-value ratios of 2, 2-DMB and acetylene were 2.13 and 2.32, respectively." This statement is hard to understand. Please explain how you defined the so called "highest-/lowest-value ratios".**

Response: Accepted.

We are sorry for the unclear description. Figure 6 shows the hourly average diurnal profiles for 2, 2-DMB and acetylene before, during, and after the control. The highest hourly mixing ratio of 2, 2-DMB in Fig.6 divided by the lowest hourly mixing ratio of 2, 2-DMB is defined as "highest-/lowest-value ratios of 2, 2-DMB.

In revised manuscript, "Before the control period, the highest-/lowest-value ratios of 2, 2-DMB and acetylene were 2.13 and 2.32, respectively." is changed to "Before the control period, the highest-/lowest-value ratios (the highest average hourly mixing ratio of a given VOC species divided by the lowest average hourly mixing ratio of this species) of 2, 2-DMB and acetylene were 2.13 and 2.32, respectively."

- 3. P12463, L17-18. It is hard to understand how you concluded that combustion may**

have been an important nighttime source of VOCs during the second and the third periods, please clarify.

Response: Accepted.

We are sorry for the unclear description. 2, 2-DMB is considered a typical tracer for vehicular emissions (Chang et al., 2004), and acetylene is a tracer for vehicular and other combustion processes (Baker et al., 2008). Before the control period, the highest-/lowest-value ratios of acetylene and 2, 2-DMB were very similar with the values of 2.32 and 2.13, respectively. During control, the highest-/lowest-value ratio of acetylene (3.05) was higher than the value of 2, 2-DMB (2.13). After control, the highest-/lowest-value ratio of acetylene (4.08) was significantly higher than the value of 2, 2-DMB (2.08). The highest-/lowest-value ratios of acetylene increased over time during the three periods, but the values of 2, 2-DMB were relatively stable. So the influence from the planetary boundary layer and vehicular emissions on the higher nighttime mixing ratios of acetylene can be eliminated. Coal combustion was found to be an important source for ambient VOCs during winter in Beijing (Wang et al., 2013). We speculate that combustion may have been an important nighttime source of VOCs during the second and the third periods.

In revised manuscript, the last paragraph of section 3.2 is changed to “During the period after control, nighttime NMHC mixing ratios were much higher than those before control, suggesting that nighttime emission sources may differ. We also found some evidence for the higher nighttime VOC mixing ratios in the period after control. Figure 6 shows the hourly average diurnal profiles for 2, 2-dimethylbutane (2, 2-DMB) and acetylene before, during, and after the control. 2, 2-DMB is considered a typical tracer for vehicular emissions (Chang et al., 2004), and acetylene is a tracer for vehicular and other combustion processes (Baker et al., 2008). Before the control period, the highest-/lowest-value ratios (the highest average hourly mixing ratio of a given VOC species divided by the lowest average hourly mixing ratio of this species) of acetylene and 2, 2-DMB were very similar with the values of 2.32 and 2.13, respectively. During control, the highest-/lowest-value ratio of acetylene (3.05) became higher than the value of 2, 2-DMB (2.13). After control, the highest-/lowest-value ratio of acetylene (4.08) was significantly higher than the value of 2, 2-DMB (2.08). The highest-/lowest-value ratios of acetylene increased over time during the three periods, but the values of 2, 2-DMB were relatively stable. So the influence from the planetary boundary layer and vehicular emissions on the higher nighttime mixing ratios of acetylene can be eliminated. Coal combustion was found to be an important source for ambient VOCs during winter in Beijing (Wang et al., 2013). We speculate that combustion may have been an important nighttime source of VOCs during the second and the third periods.”

- 4. P12463, L20. Title of chapter 3.3.1 can be simplified as “Identification of VOC sources”.**

Response: Accepted.

Thank you for your valuable suggestion. In revised manuscript, title of chapter 3.3.1 is

changed to “Identification of VOC sources”.

5. P12468, L1, Title of chapter 3.4 can be simplified as “Source of SOA formation”.

Response: Accepted.

Thank you for your valuable suggestion. In revised manuscript, title of chapter 3.4 is changed to “Source of SOA formation”.

6. P12468, L7-8. “...the SOAP-weighted mass contributions of VOC sources were very similar.” This statement is vague. Please explain similar to what.

Response: Accepted.

We are sorry for this unclear expression. Before the control period, the SOAP-weighted mass contribution of vehicular-related sources was much larger than other sources. In contrary, during the control period the SOAP-weighted mass contribution of each VOC source was very similar.

In revised manuscript, “Before the control period, the SOAP-weighted mass contribution of vehicular-related sources was 1613 $\mu\text{g cm}^{-3}$, accounting for 43% of the total. During the control period, the SOAP-weighted mass contributions of VOC sources were very similar.” is changed to “Before the control period, the SOAP-weighted mass contribution of vehicular-related sources was much higher than other VOC sources, accounting for 43% of the total. In contract, the SOAP-weighted mass contributions of all these VOC sources were very similar during the control period.”

Technical comments:

1. P12456, L13. Deleted “Obtaining”.

Response: Accepted.

This has been corrected accordingly.

2. P12463, L24. Replace “following” with “after”.

Response: Accepted.

This has been corrected accordingly.

3. P12468, L15. Replace “the next largest” with “the second largest”.

Response: Accepted.

This has been corrected accordingly.

Response to Reviewer #2

Specific comments

- 1. Regulations reduce emissions, weather conditions do too. According to Figure 3, temperature drops significantly during the three periods. Emissions change due to change of temperature. For example, VOC evaporation decreases due to lower temperature, meanwhile emissions increase due to increasing heating needs (as authors found out). Also, when wind pattern changes, emissions change too. It clearly shows in Figure 3 that more northerly winds happened in “during” period than in “before” period, while with northerly winds air mass bring much less emissions to Beijing from upwind. To make some conclusive statements sounder, it would be better to compare changes of VOC concentrations and contributions under similar weather conditions.**

Response: Accepted. Thank you very much for your valuable suggestion for improving our manuscript. We are very sorry for the unclear and misleading description about the conclusive statements related to emissions reduction. We respond to the comments in Specific Comments 1 of Reviewer #2 one by one, as follows:

- (1) Regulations reduce emissions, weather conditions do too. According to Figure 3, temperature drops significantly during the three periods. Emissions change due to change of temperature. For example, VOC evaporation decreases due to lower temperature, meanwhile emissions increase due to increasing heating needs (as authors found out).**

Reviewer#2 raises a good comment that temperature difference may lead to the change of emissions.

First, as reviewer points out VOC evaporation may decrease due to lower temperature. We have ever considered the influence from the change of temperature on VOC evaporation and condensation. The temperature difference during this campaign was less than 8 °C. Based on Antoine equation and previous studies, we think the influence from temperature difference during this campaign on VOC evaporation and condensation were relatively small (Wolkoff et al., 1998; Nevers, 2000; Goss et al., 2006; Okamoto et al., 2009). So we didn't discuss the influence from temperature on VOC evaporation in this manuscript.

Second, VOC emissions may increase due to increasing heating needs. We found the proportions of some VOC species increased significantly after the early November; these species were tracers of fuel combustion (details can be found in section 3.2). Considered November is a transition month for central heating in northern China, we speculate that the combustion may have been an important nighttime source of VOCs during the second and the third periods.

To make conclusive statements sounder, P12467 L29 “The temperature difference during this campaign is relatively low, the influence from meteorological variability on VOC evaporation were not considered in this study” is added.

- (2) **When wind pattern changes, emissions change too. It clearly shows in Figure 3 that more northerly winds happened in “during” period than in “before” period, while with northerly winds air mass bring much less emissions to Beijing from upwind. To make some conclusive statements sounder, it would be better to compare changes of VOC concentrations and contributions under similar weather conditions.**

This suggestion certainly has merit. As Reviewer#2 pointed out, wind pattern can influence the emissions. We have once considered the effect from wind pattern. But this paper aims to compare the relative variation of each VOC sources before, during, and after the air quality control period, which would not be influenced significantly by wind pattern. So we didn't discuss the influence from wind pattern in this manuscript.

Reviewer#2 raises a good point in that compare changes of VOC concentrations and contributions under similar weather conditions. We compared the peak VOC mixing ratios of pollution episodes occurred before, during, and after the control in the first paragraph of section 3.2. Under similar weather conditions the peak VOC mixing ratios during the control were significantly lower than those found before and after the control period.

It is misleading to use mixing ratios to describe reductions of VOC source contributions and make conclusions. Instead, we should make conclusions based on the relative source contributions to the reduction. To avoid the misleading, we revised the conclusion statement related to the reductions:

The last column of table 4 and table 5 is deleted.

P12454 L12-22, “Contributions of vehicular exhaust were most reduced (19.65 ppbv, the contributions before the control period minus the values after the control period), followed by industrial manufacturing (10.29 ppbv) and solvent utilization (6.20 ppbv). Contributions of evaporated or liquid gasoline and industrial chemical feedstock were slightly reduced, with values of 2.85 and 0.35 ppbv, respectively.” is revised to “Compared with the values before control, contributions of vehicular exhaust were most reduced, followed by industrial manufacturing and solvent utilization. Reductions of these three sources were responsible for 50%, 26%, and 16% of the reductions in ambient VOCs. Contributions of evaporated or liquid gasoline and industrial chemical feedstock were slightly reduced.”

P12467 L21-22, “...indicating that the control measures on traffic and industry were effective.” is deleted.

P12467 L23 “Reductions of vehicular exhaust, industrial manufacturing, solvent utilization, evaporated or liquid gasoline, and industrial chemical feedstock were responsible for 50%, 26%, 16%, 7%, and 1% of the reductions in ambient VOCs, indicating that the control measures on traffic were most effective.” is added.

P12467 L24-26, “In contrast, due to central heating and weak control on fuel combustion and LPG, contributions from these sources were elevated with the contributions of 5.65 and 1.34 ppbv, respectively.”, is revised to “In contrast, due to central heating and weak control on fuel combustion and LPG, contributions from these sources were elevated by 80% and 22%, respectively.”

2. **Was reduction of SOA formation a fact between the periods? Please provide measurement data to support it before stating and discussing the “reduction”. SOA formation is complex; precursor emissions can change SOA formation, while temperature can change its formation too. Lower temperature somehow leads to more SOA. How come the unit of SOAP-weighted mass contribution is $\mu\text{g cm}^{-3}$?**

Response: Accepted. Great thanks to your carefulness and suggestion. We respond to the comments in Specific Comments 2 of Reviewer #2 one by one, as follows.

- (1) **Was reduction of SOA formation a fact between the periods? Please provide measurement data to support it before stating and discussing the “reduction”. SOA formation is complex; precursor emissions can change SOA formation, while temperature can change its formation too.**

Your suggestion is very valuable. We are sorry that due to the lack of available data, we can't provide measurement data to support the reduction of SOA formation. But it is reported that during control the concentration of $\text{PM}_{2.5}$ reduced 75% compared with the period before control, and the concentration of OC (organic carbon) reduced 54% (Beijing Municipal Environmental Protection Bureau, <http://www.bjepb.gov.cn/>). SOA constitute a significant fraction of $\text{PM}_{2.5}$ in China, and contribute 20-70% and 30%–90% of $\text{PM}_{2.5}$ and of organic aerosol concentrations, respectively (Huang et al., 2014). So we suspected that the concentration of SOA would likely be lower during control.

As Reviewer#2 point out, SOA formation is complex; precursor emissions can change SOA formation, while temperature can change its formation too. In this study, we focus on the precursor emissions. VOC is an important precursor of SOA, and $\text{PM}_{2.5}$ reduced a lot during control. We suspect that the large reduction of VOCs may contribute the $\text{PM}_{2.5}$ reduction. So we use SOAP approach to estimate the influence of variations of precursor emissions on SOA and discuss the effectiveness of the air quality controls. Temperature may influence the gas/particle conversion of gaseous hydrocarbon precursors (Lin et al., 2002). It will be essential to study the role of temperature in the formation of SOA in our future work.

To make it clear, in the first paragraph of section 3.4 “SOAP-weighted mass contributions of each VOC source were used to determine the most important precursor source likely to be responsible for the reduction of SOA formation.” is deleted. “It is reported that during control the concentration of $\text{PM}_{2.5}$ reduced a lot (Beijing Municipal Environmental Protection Bureau, <http://www.bjepb.gov.cn/>). SOA constitute a significant fraction of $\text{PM}_{2.5}$ in China (Ding et al., 2012; Guo et al., 2012; Huang et al., 2014) and VOCs play an important role in the formation of SOA. The large reduction of VOCs may lead to the reduction of SOA and contribute to the $\text{PM}_{2.5}$ reduction. SOAP-weighted mass contributions of each VOC source were used to estimate the influence of variations of precursor emissions on SOA” is added.

P12468 L22-26, “We have to point out that the SOA potentials could not be quantitatively estimated by this approach. We focus on only the variations of the contribution of precursor sources to SOA formation and evaluating the major sources of the reduction of SOA during the

air quality control period. Detailed VOC data in this work will provide useful information for further study on the SOA formation.” is changed to “Current knowledge about formation mechanisms of SOA is still very limited (Guo et al., 2012). We have to point out that the SOAP is computed to understand the potential to form SOA for VOC species, which cannot estimate the SOA formation from VOCs actually in certain atmospheric conditions. In this study, we used SOAP approach to discuss the effectiveness of the air quality controls. Detailed VOC data in this work will provide useful information for further study on the formation mechanisms of SOA.”

(2) How come the unit of SOAP-weighted mass contribution is $\mu\text{g cm}^{-3}$?

We are sorry for the unclear expression. In this study, the unit of measured VOC species is mixing ratio (ppbv). “The SOAP represents the propensity for an organic compound to form SOA when an additional mass emission of that compound is added to the ambient atmosphere expressed relative to that SOA formed when the same mass of toluene is added (Derwent et al., 2010).” We should convert mixing ratios for each VOC species to mass concentrations. Linking with the molar mass of VOC species and basing on ideal gas law, the paper converts the unit of VOC species from ppbv to $\mu\text{g m}^{-3}$. $SOAP_{(i)}$ is a number with no unit. Based on Eq. (3), the unit of SOAP-weighted mass contribution is $\mu\text{g cm}^{-3}$.

To make it more clearly, P12460 L14-18 is changed to: “The SOAP-weighted mass contribution of each VOC source ($\mu\text{g cm}^{-3}$) can be calculated using Eq. (3):

$$SOAP_{weighted\ mass\ contribution} = \sum(VOCs)_{(i)} \times SOAP_{(i)} \quad (3)$$

where $(VOCs)_{(i)}$ is the mass contribution of a VOC source to species i ($\mu\text{g cm}^{-3}$), estimated by PMF analysis (linking with the molar mass of VOC species and basing on ideal gas law, we converts the unit of VOC species from ppbv to $\mu\text{g m}^{-3}$); $SOAP_{(i)}$ is the SOA formation potential for species i (unitless, Table S3).”

3. P12456, L5 M. Wang -> Wang; P12464, L14, resident -> residential; P12468, L23, concentrated -> focus.

Response: Accepted. We appreciate your careful reading very much and sorry for the writing mistakes in the manuscript. These have been corrected accordingly.

Reference

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Thank you very much for your valuable time spent handling our manuscript.

Best regards,

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Appendix A: Revisions in the manuscript.

| Position in the revised manuscript | Previous Version | Revised Version |
|------------------------------------|---|--|
| Line 25-28 | “Contributions of vehicular exhaust were most reduced (19.65 ppbv, the contributions before the control period minus the values after the control period), followed by industrial manufacturing (10.29 ppbv) and solvent utilization (6.20 ppbv).” | “Compared with the values before control, contributions of vehicular exhaust were most reduced, followed by industrial manufacturing and solvent utilization. Reductions of these three sources were responsible for 50%, 26%, and 16% of the reductions in ambient VOCs.” |
| Line 29 | “with values of 2.85 and 0.35 ppbv, respectively.” | “with values of 2.85 and 0.35 ppbv, respectively.” is deleted. |
| Line 74 | “Obtaining detailed...” | “Detailed...” |
| Line 121 | - | “TH-PKU 300B” is added. |
| Line 174 | “for 113 organic compounds” | “for 100 organic compounds” |
| Line 180-183 | - | “(μg cm ⁻³), (linking with the molar mass of VOC species and basing on ideal gas law, we converts the unit of VOC species from ppbv to ug m ⁻³), (unitless)” is added. |
| Line 256-267 | “Before the control period, the highest/lowest-value ratios of 2,2-DMB and acetylene were 2.13 and 2.32, respectively. During control, these were 2.13 and 3.05, and after control, they were 2.08 and 4.08, respectively. The highest/lowest-value ratios of acetylene increased over time during the three periods. These results suggest that combustion may have been an important nighttime source of VOCs during the second and the third periods.” | “Before the control period, the highest-/lowest-value ratios (the highest average hourly mixing ratio of one VOC species divided by the lowest average hourly mixing ratio of this species) of acetylene and 2, 2-DMB were very similar with the values of 2.32 and 2.13, respectively. During control, the highest-/lowest-value ratio of acetylene (3.05) became larger than the value of 2, 2-DMB (2.13). After control, the highest-/lowest-value ratio of acetylene (4.08) was significantly higher than the value of 2, 2-DMB (2.08). The highest-/lowest-value ratios of acetylene increased over time during the three periods, but the values of 2, 2-DMB were relatively stable .So the influence from the planetary boundary layer and vehicular emissions on the higher nighttime mixing ratios of acetylene can be eliminated. Coal combustion was found to be an important source for ambient VOCs during winter in Beijing (Wang et al., 2013b). We speculate that combustion may have been an important nighttime source of VOCs during the second and the third periods.” |

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| Line 269 | “3.3.1 Source profiles identified by the PMF analysis” | “3.3.1 Identification of VOC sources” |
| Line 273 | “Following screening...” | “After screening...” |
| Line 289 | “resident” | “residential” |
| Line 376-377 | “...followed by industrial manufacturing (10.29 ppbv) and solvent utilization (6.20 ppbv), indicating that the control measures on traffic and industry were effective.” | “, indicating that the control measures on traffic and industry were effective” is delated. |
| Line 379-382 | - | “Reductions of vehicular exhaust, industrial manufacturing, solvent utilization, evaporated or liquid gasoline, and industrial chemical feedstock were responsible for 50%, 26%, 16%, 7%, and 1% of the reductions in ambient VOCs, indicating that the control measures on traffic were most effective.” is added. |
| Line 384-385 | “...were elevated with the contributions of 5.65 and 1.34 ppbv, respectively.” | “...were elevated by 80% and 22%, respectively.” |
| Line 388-390 | - | “The temperature difference during this campaign is relatively low, the influence from meteorological variability on VOC evaporation were not considered in this study.” is added. |
| Line 391 | “3.4 Variations of precursor source contributions to SOA formation” | “3.4 Precursor source contributions to SOA” |
| Line 392-396 | “SOAP-weighted mass contributions of each VOC source were used to determine the most important precursor source likely to be responsible for the reduction of SOA formation.” | “It is reported that during control the concentration of PM _{2.5} reduced a lot (Beijing Municipal Environmental Protection Bureau, http://www.bjepb.gov.cn/). SOA constitute a significant fraction of PM _{2.5} in China (Ding et al., 2012; Guo et al., 2012; Huang et al., 2014) and VOCs play an important role in the formation of SOA. The large reduction of VOCs may lead to the reduction of SOA and contribute to the PM _{2.5} reduction. SOAP-weighted mass contributions of each VOC source were used to estimate the influence of variations of precursor emissions on SOA.” |
| Line 400-403 | “Before the control period, the SOAP-weighted mass contribution of vehicular-related sources was 1613 µg cm ⁻³ , | “Before the control period, the SOAP-weighted mass contribution of vehicular-related sources was much higher than other VOC source with a value of 1613 µg cm ⁻³ , accounting for 43% of the total. In contract, the |

| | | |
|--------------------------|--|---|
| | accounting for 43% of the total. During the control period, the SOAP-weighted mass contributions of VOC sources were very similar.” | SOAP-weighted mass contribution of each VOC source was very similar during the control period.” |
| Line 406/410/412/429/431 | “SOA formation” | “SOA” |
| Line 406 | “This result shows that vehicle-related sources were the predominant contributors to SOA and reductions of this source were the major reason for decreases in SOA formation during this campaign.” | This sentence is deleted. |
| Line 407 | “next” | “second” |
| Line 413-418 | “We have to point out that the SOA potentials could not be quantitatively estimated by this approach. We concentrated on only the variations of the contribution of precursor sources to SOA formation and evaluating the major sources of the reduction of SOA during the air quality control period. Detailed VOC data in this work will provide useful information for further study on the SOA formation.” | “Current knowledge about formation mechanisms of SOA is still very limited (Guo et al., 2012). We have to point out that the SOAP is computed to understand the potential to form SOA for VOC species, which cannot estimate the SOA formation from VOCs actually in certain atmospheric conditions. In this study, we used SOAP approach to discuss the effectiveness of the air quality controls. Detailed VOC data in this work will provide useful information for further study on the formation mechanisms of SOA.” |
| Table 4 | | The last column and its footnote are deleted. |
| Table 5 | | The last column and its footnote are deleted. |

1 **Characterization of ambient volatile organic compounds**
2 **and their sources in Beijing, before, during, and after**
3 **Asia-Pacific Economic Cooperation China 2014**

4
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10 **Abstract.**

11 Ambient volatile organic compounds (VOCs) were measured using an online system, gas
12 chromatography-mass spectrometry/flame ionization detector (GC-MS/FID), in Beijing,
13 China, before, during and after Asia-Pacific Economic Cooperation (APEC) China 2014,
14 when stringent air quality control measures were implemented. Positive matrix factorization
15 (PMF) was applied to identify the major VOC contributing sources and their temporal
16 variations. The secondary organic aerosols potential (SOAP) approach was used to estimate
17 variations of precursor source contributions to SOA formation. The average VOC mixing
18 ratios during the three periods were 86.17, 48.28, and 72.97 ppbv, respectively. The mixing
19 ratios of total VOC during the control period were reduced by 44%, and the mixing ratios of
20 acetonitrile, halocarbons, oxygenated VOCs (OVOCs), aromatics, acetylene, alkanes and
21 alkenes decreased by approximately 65%, 62%, 54%, 53%, 37%, 36%, and 23%, respectively.
22 The mixing ratios of all measured VOC species decreased during control, and the most
23 affected species were chlorinated VOCs (chloroethane, 1,1-dichloroethylene, chlorobenzene).
24 PMF analysis indicated eight major sources of ambient VOCs, and emissions from target
25 control sources were clearly reduced during the control period. Compared with the values
26 before control, Contributionscontributions of vehicular exhaust were most reduced ~~(19.65~~
27 ~~ppbv, the contributions before the control period minus the values after the control period),~~
28 followed by industrial manufacturing ~~(10.29 ppbv)~~ and solvent utilization ~~(6.20 ppbv)~~.
29 Reductions of these three sources were responsible for 50%, 26%, and 16% of the reductions

30 in ambient VOCs. Contributions of evaporated or liquid gasoline and industrial chemical
31 feedstock were slightly reduced, ~~with values of 2.85 and 0.35 ppbv, respectively and~~
32 ~~Contributions-contributions~~ of secondary and long-lived species were relatively stable. Due to
33 central heating, emissions from fuel combustion kept on increasing during the whole
34 campaign; because of weak control of liquid petroleum gas (LPG), the highest emissions of
35 LPG occurred in the control period. Vehicle-related sources were the most important
36 precursor sources likely responsible for the reduction in SOA formation during this campaign.

37

38 **1 Introduction**

39 Beijing, the capital of China, is one of the megacities in the world, with a population of more
40 than 20 million and a vehicle fleet of more than 5 million (Beijing Statistical Yearbook, 2014).
41 High levels of coal consumption, thousands of active construction sites, and rapid increases in
42 vehicles have resulted in high emissions of fine particles (PM_{2.5}), sulfur dioxides (SO₂),
43 nitrogen oxides (NO_x), and volatile organic compounds (VOCs) in Beijing (Tang et al., 2009;
44 Han et al., 2013; Wang et al., 2014a).

45 In November 2014, China hosted the Asia-Pacific Economic Cooperation (APEC) Meeting
46 in Beijing, including the Concluding Senior Officials' Meeting on 5–6 November, the 26th
47 APEC Ministerial Meeting on 7–8 November, and the 22nd APEC Economic Leaders'
48 Meeting on 10–11 November. As the host city, Beijing has set rigorous plans to reduce
49 emissions of air pollutants in Beijing and neighboring regions from 1 to 12 November 2014,
50 resulting in a period of air quality control. The target sources included vehicles, paint and
51 solvent use, steel factories, chemical factories, power plants, etc. A detailed description of the
52 control measures is provided in Table S1. As a result, air quality was greatly improved, and
53 the phrase "APEC blue" was coined on social media to describe the clear sky. The city's daily
54 PM_{2.5} concentration during the control period fell to 43 $\mu\text{g m}^{-3}$, a 55% reduction compared
55 with the same dates the prior year, and daily average levels of SO₂, nitrogen dioxide (NO₂),
56 and PM₁₀ (aerosol particles with an aerodynamic diameter of less than 10 μm) decreased by
57 57%, 31% and 44%, respectively (Beijing Municipal Environmental Protection Bureau,
58 <http://www.bjepb.gov.cn/>). However, sufficiently detailed information of ambient VOC
59 mixing ratios and chemical compositions, as well as variations in their sources before, during,
60 and after the control period has not been reported.

61 Many VOCs adversely affect public health (The Clean Air Act Amendments of 1990,
62 http://www.epa.gov/oar/caa/caaa_overview.html), and high levels of ambient VOCs have
63 been detected in Beijing, likely associated with rapid economic development. For example,
64 during 1980–2005, VOC emissions increased at an annual average rate of 10.6% in Beijing
65 (Bo et al., 2008). Ambient VOC measurements during 2002–2003 at six sites in Beijing
66 revealed an average total VOC concentration of $132.6 \pm 52.2 \mu\text{g m}^{-3}$ with contributions from
67 alkanes (35%), alkenes (17%), and aromatics (22%; Liu et al., 2005). A recent study has
68 shown that non-methane hydrocarbon (NMHC) concentrations in Beijing are more than twice
69 as high as in other cities (Wang et al., 2014b). Therefore, it is very necessary to formulate a
70 cost-effective policy for reducing VOC emissions in Beijing.

71 VOCs play an important role in the formation of secondary organic aerosol (SOA)
72 (Johnson et al., 2006; Ran et al., 2011; Zhang et al., 2014). $\text{PM}_{2.5}$ is a key air pollutant in
73 terms of adverse human health effects and visibility degradation (Tao et al., 2014). The severe
74 haze pollution in Beijing was driven to a large extent by secondary aerosol formation, which
75 contributed 30%–77% and 44%–71% of $\text{PM}_{2.5}$ and of organic aerosol concentrations,
76 respectively (Huang et al., 2014). ~~Obtaining detailed~~ Detailed information on VOC
77 characteristics before, during, and after the control period will help future study on SOA
78 formation mechanisms. Assessing VOC source variations will be essential to understanding
79 the effect of abatement measures for VOCs and SOA formation.

80 To quantitatively assess the contributions of different sources to ambient VOC levels, we
81 can use a combination of direct VOC measurements and receptor models. Receptor models
82 are statistical tools used to identify and quantify sources of ambient air pollution at a given
83 location by analyzing concentration data obtained at a receptor site without emission
84 inventories. Source apportionment tools such as principal component analysis, Unmix,
85 chemical mass balance, and positive matrix factorization (PMF) have been previously
86 developed (Paatero et al., 1994; Watson et al., 2001). The latter is widely used to study VOC
87 source contributions in urban areas because only time series of observed concentrations are
88 used for the input parameters of the PMF calculation, which means that PMF results are not
89 affected by uncertainties in emission profiles (Bon et al., 2011; McCarthy et al., 2013). With
90 PMF, it is also possible to calculate contributions from unknown emission sources. The
91 concept of secondary organic aerosol potential (SOAP) has been developed to reflect the
92 propensity of each organic compound to form SOA on the basis of an equal mass emitted

93 relative to toluene (Derwent et al., 1998; Derwent et al., 2010).By combining the SOAP scale
94 with contributions from different sources to ambient VOC levels, it has been possible to
95 evaluate the effect of abatement measures for SOA formation.

96 In this study, we measured 102 VOC species using online instruments at an observatory at
97 Peking University in 2014, from 18 October to 22 November. The hourly mixing ratios and
98 chemical compositions of ambient VOCs before, during and after the control period were
99 investigated. A PMF model was used to extract the VOC sources for this campaign, and
100 comparison of the source contributions before, during and after the control period help to
101 evaluate the effect of the control measures on VOCs. SOAP-weighted mass contributions of
102 each VOC source were used to estimate variations of precursor source contributions to SOA
103 formation.

104

105 **2 Methodology**

106 **2.1 Sampling site**

107 We sampled on the roof of the technical physics building at Peking University (PKU) (39.99°
108 N, 116.33° E), ~20 m above ground. PKU is located in a primarily residential and
109 commercial area in northwestern Beijing (Fig. 1). Local VOC emission sources within 1 km
110 of PKU include vehicular traffic and fuel combustion for cooking; additionally, a fourth ring
111 road that carries very heavy traffic is located directly south of the site.

112 Samples were collected at an interval of 1 h from 18 October to 22 November, 2014. To
113 determine the effect of the control plans, we divided the whole study into three parts: before
114 APEC China 2014 (18–31 October), during APEC China 2014 (control period; 3– 12
115 November.) and after APEC China 2014 (13–22 November). We did not use samples
116 collected on 1 or 2 November because they represented a transition from the non-source
117 control to the control period. The average temperatures before, during, and after the control
118 period were 12.63 °C, 7.37 °C, and 5 °C, respectively. The average wind speeds were 3.86, 6.85,
119 and 5 m s⁻¹, respectively. Meteorological data were collected from the NOAA Satellite and
120 Information Service (<http://www7.ncdc.noaa.gov/CDO/cdo>).

121 **2.2 Sampling and analysis**

122 Ambient VOCs were collected and analyzed continuously and automatically using a
123 custom-built online system, GC-MS/FID, with a time resolution of 1 h ([TH-PKU 300B](#), Yuan
124 et al., 2012b; Li et al., 2014). The online system combines a sampling system, electronic
125 refrigeration technology, and GC-MS/FID to sample, pre-concentrate, and analyze VOC
126 samples. Moisture and CO₂ are removed before VOC analysis. The system uses dual columns
127 and dual detectors to simultaneously analyze C₂–C₁₂ VOCs, and the VOC species measured
128 by GC-MS/FID are listed in Table S2. Most C₂-C₅ hydrocarbons were separated on a
129 PLOT-Al₂O₃ column (15m×0.32mm ID×3μm, J&W Scientific, USA), and measured by the
130 FID channel. Other compounds were separated on a semi-polar column (DB-624,
131 60m×0.25mm ID×1.4μm, J&W Scientific, USA) and quantified using a quadrupole MS
132 detector.

133 These chemical analyses were subjected to rigorous quality assurance and quality control
134 procedures. We used an external standard method for the quantification of C₂–C₅
135 hydrocarbons, and an internal standard method for MS quantification of VOCs. Four
136 compounds were used as internal standards: bromochloromethane, 1,4-difluorobenzene,
137 chlorobenzene-d₅, and bromofluorobenzene. We used two sets of certificated standards, a
138 mixture of 55 NMHCs (provided by the Environmental Technology Center, Canada), and a
139 mixture of oxygenated VOCs (OVOCs) and halocarbons (provided by Linde Electronics and
140 Specialty Gases, USA). Five concentrations (0.4–8 ppbv) were used to perform both
141 calibrations. R² values for calibration curves were all above 0.999 and 0.99 for NMHCs and
142 other species, respectively, indicating that integral areas of peaks were proportional to
143 concentrations of target compounds. We performed daily calibrations, and the variations in
144 target species responses were within ± 10% of the calibration curve. The definitions of the
145 method detection limit (MDL) for each compound are given in US Environmental Protection
146 Agency (U.S. EPA) document TO-15, and the MDL of the online GC-MS/FID system for
147 each species ranged from 0.002 to 0.070 ppbv (Yuan et al., 2012). Detailed information of this
148 system can be found in Li et al. (2014).

149 **2.3 Source apportionment**

150 The US PMF 5.0 (U.S.EPA, 2014) was applied to identify major VOC sources and their
151 temporal variations. PMF assumes that measured concentrations at receptor sites are linear

152 combinations of contributions from different factors (Paatero et al., 1994). Based on the
153 uncertainties inherent in each observation, the PMF solution minimizes the objective function
154 Q , as shown in Eq. (1):

$$155 \quad Q = \sum_{i=1}^m \sum_{j=1}^n \left[\frac{x_{ij} - \sum_{k=1}^p g_{ik} f_{kj}}{u_{ij}} \right]^2, \quad (1)$$

156 where u is the uncertainty estimate of source j , measured in sample i .

157 The detailed calculation method of uncertainty is shown in Reff et al. (2007). The best
158 PMF solution should make the value of Q identical to that of $Q_{theoretical}$. $Q_{theoretical}$ can be
159 calculated as Eq. (2):

$$160 \quad Q_{theoretical} = i \times j - p \times (i + j), \quad (2)$$

161 where i is the number of samples, j is the number of species, and p is the number of factors.

162 There is a rotational ambiguity in PMF results, and one way to choose among possible
163 solutions is to use the F_{peak} parameter. “ F_{peak} ” is used to rotate an incorrect solution back to
164 the real solution. The U.S.EPA PMF 5.0 has added two key components to the 3.0 version:
165 two additional error estimation methods and source contribution constraints (Norris et al.,
166 2014).

167 **2.4 Calculation of SOAP-weighted mass contributions of each VOC source**

168 SOAP-weighted mass contributions of each VOC source were used to estimate variations of
169 precursor source contributions to SOA formation. The SOAP represents the propensity for an
170 organic compound to form SOA when an additional mass emission of that compound is added
171 to the ambient atmosphere expressed relative to that SOA formed when the same mass of
172 toluene is added (Derwent et al., 2010). SOAPs are expressed as an index relative to toluene =
173 100. Toluene was chosen as the basic compound for the SOAP scale because its emissions are
174 well characterized and it is widely recognized as an important man-made precursor to SOA
175 formation (Johnson et al., 2006b; Kleindienst et al., 2007; Hu et al., 2008). SOAPs, expressed
176 relative to toluene=100, for ~~113-100~~ organic compounds are listed in Table S3, which are
177 derived from Derwent et al. (2010).

178 We hypothesized that all measured VOC species would have the greatest effect on SOA
179 formation. The SOAP-weighted mass contribution of each VOC source can be calculated
180 using Eq. (3):

181 $SOAP_{weighted\ mass\ contribution} = \sum(VOCs)_{(i)} \times SOAP_{(i)}$ (3)

182 where $(VOCs)_{(i)}$ is the mass contribution of a VOC source to species i ($\mu\text{g cm}^{-3}$), estimated by
183 PMF analysis (linking with the molar mass of VOC species and basing on ideal gas law, we
184 converts the unit of VOC species from ppbv to $\mu\text{g m}^{-3}$); $SOAP_{(i)}$ is the SOA formation
185 potential for species i (unitless, Table S3).

186 SOA formation is dependent on background environmental conditions, particularly NO_x
187 levels, which make it difficult to accurately quantify absolute SOA emissions. However,
188 because the SOAP approach references the SOA increments to toluene, it removes much of
189 the influence of the uncertainties in the absolute SOA concentrations. Although SOAPs were
190 obtained using highly idealized test conditions, this approach can be used to evaluate the
191 relative contribution of each VOC source to the reduction of SOA during the air quality
192 control period.

193 **3 Results and discussion**

194 **3.1 Mixing ratios and chemical speciation**

195 Ambient VOC mixing ratios and chemical compositions at PKU are summarized in Table 1.
196 Before the control period, the mixing ratios of total VOCs averaged 86.17 ppbv and ranged
197 from 17.05 to 247.93 ppbv. During control, this was reduced to 48.28 ppbv, with a range of
198 9.39–147.95 ppbv. After the control period, the mixing ratios of total VOCs increased to an
199 average of 72.97 ppbv. Thus, the mixing ratios of total VOCs were reduced by 43.97% during
200 the control period compared with the period before control. Compared with the period after
201 control, the mixing ratios were 33.16% lower during control.

202 Alkanes were the most abundant VOC group in all three periods, comprising 37%, 42%
203 and 36%, respectively. Although lower, the contribution of alkenes increased over time, with
204 this group comprising 11%, 15% and 20% of total VOCs before, during, and after the control
205 period, respectively. Compared with the period before control, the mixing ratios of alkanes,
206 alkenes, aromatics, acetylene, OVOCs, halocarbons and acetonitrile decreased by
207 approximately 36%, 23%, 53%, 37%, 54%, 62%, and 65%, respectively, during control (Fig.
208 2). Notably, acetonitrile, halocarbons, OVOCs and aromatics were reduced by more than 50%.
209 After the control period, alkanes and acetylene increased to the greatest extent, and mixing
210 ratios were twice as high as those during control. The mixing ratios of other VOC groups
211 increased by ~30% after the control period.

212 As each source type has its own fingerprint, variations in chemical compositions differed
213 (Wang et al., 2010a). The average mixing ratio of the 102 measured species are listed in Table
214 2. Ethane, ethylene, acetylene, propane and acetone are the five most abundant species during
215 all the three periods. Compared with the period before control, the mixing ratios of all species
216 decreased (Table 3). Tracers of industrial sources decreased most, including some
217 halocarbons and esters. 2,2-Dimethylbutane, a tracer of motor vehicle exhaust (Chang et al.,
218 2004), was one of the top 20 most decreased species.

219 **3.2 Temporal distribution of ambient VOCs**

220 Figure 3 displays the time series of total VOCs together with meteorological parameters
221 observed at the PKU site during this campaign. This clearly shows two major pollution
222 episodes, characterized by significantly elevated VOC concentrations. The first occurred
223 during 18–19 October (before control) and the second during 19–20 November (after control).
224 During the first episode, the highest VOC mixing ratios were recorded at midnight on 18
225 October, when wind speed was relatively low ($\sim 2 \text{ ms}^{-1}$), and VOC levels sharply increased
226 from ~ 80 ppbv to ~ 250 ppbv within 12 h. During the second episode, the peak VOC mixing
227 ratio was more than 270 ppbv, when wind speed was an average of 2 m s^{-1} , and VOC levels
228 rose gradually from ~ 90 ppbv to ~ 270 ppbv, perhaps caused by the accumulation of
229 pollutants. During the control period, no heavy pollution occurred, but we found episodes of
230 light pollution with elevated VOC mixing ratios: at midnight on 5 November and during the
231 morning of 10 November. Both had peak values below 150 ppbv, significantly lower than
232 those found before and after the control period.

233 To investigate pollution origins and transport pathways, 72-h air mass back trajectories
234 arriving at an elevation of 100, 200, and 500 m were calculated at 12:00 am (16:00 UTC) on
235 19 October and 4, 9, and 19 November for the PKU site using the NOAA Hybrid
236 Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model (Fig. 4). This method
237 showed that the air masses on 19 October originated from south China, and passed through
238 Henan and Hebei Provinces, before reaching PKU (Fig. 4a). The air masses on 4 November
239 originated from the northwest part of Inner Mongolia, and passed through Hebei Province,
240 before reaching PKU (Fig. 4b). The air masses on 9 November originated from Mongolia and
241 then passed through Inner Mongolia (Fig. 4c). The air mass on 19 November came from the
242 south, passing through Shandong and Henan provinces (Fig. 4d).

243 The diurnal variations of ambient NMHCs and OVOCs before, during, and after the control
244 period are shown in Fig. 5. NMHC values for the three periods showed similar daily
245 variations: stable during the night, decreasing after sunrise, at a minimum in the afternoon
246 (14:00–16:00 LT), then increasing at night. This is likely caused by the descending boundary
247 layer and possible night emissions (Li et al., 2014). In the control period, diurnal variations of
248 NMHCs were less clear than those during the other periods, perhaps due to lower night
249 emissions. There were no obvious daily variations in OVOCs. Because of secondary
250 formation during the daytime, photolysis loss, and variation in the boundary layer, ambient
251 OVOC levels were stable (Chen et al., 2014).

252 During the period after control, nighttime NMHC mixing ratios were much higher than
253 those before control, suggesting that nighttime emission sources may differ. We also found
254 some evidence for the higher nighttime VOC mixing ratios in the period after control. Figure
255 6 shows the hourly average diurnal profiles for 2,2-dimethylbutane (2,2-DMB) and acetylene
256 before, during, and after the control. 2,2-DMB is considered a typical tracer for vehicular
257 emissions (Chang et al., 2004), and acetylene is a tracer for vehicular and other combustion
258 processes (Baker et al., 2008). Before the control period, the highest-/lowest-value ratios (the
259 highest average hourly mixing ratio of one VOC species divided by the lowest average hourly
260 mixing ratio of this species) of acetylene and 2, 2-DMB were very similar with the values of
261 2.32 and 2.13, respectively. During control, the highest-/lowest-value ratio of acetylene (3.05)
262 became larger than the value of 2, 2-DMB (2.13). After control, the highest-/lowest-value
263 ratio of acetylene (4.08) was significantly higher than the value of 2, 2-DMB (2.08). The
264 highest-/lowest-value ratios of acetylene increased over time during the three periods, but the
265 values of 2, 2-DMB were relatively stable .So the influence from the planetary boundary layer
266 and vehicular emissions on the higher nighttime mixing ratios of acetylene can be eliminated.
267 Coal combustion was found to be an important source for ambient VOCs during winter in
268 Beijing (Wang et al., 2013b). We speculate that combustion may have been an important
269 nighttime source of VOCs during the second and the third periods.~~Before the control period,~~
270 ~~the highest/lowest value ratios of 2,2-DMB and acetylene were 2.13 and 2.32, respectively.~~
271 ~~During control, these were 2.13 and 3.05, and after control, they were 2.08 and 4.08,~~
272 ~~respectively. The highest/lowest value ratios of acetylene increased over time during the three~~
273 ~~periods. These results suggest that combustion may have been an important nighttime source~~
274 ~~of VOCs during the second and the third periods.~~

275 3.3 Variations of source emissions

276 3.3.1 Identification of VOC sources~~Source profiles identified by the PMF~~ 277 ~~analysis~~

278 Source apportionments were performed using a PMF model to calculate reductions in source
279 emissions. We did not use species that were below MDL for more than 50% of the time or
280 showed a significantly smaller signal to noise ratio (S/N). An S/N ratio was calculated for
281 each species via PMF. ~~Following~~After screening, 64 compounds, accounting for 90% of the
282 total mixing ratios of the 102 VOC species, were used in the PMF analysis; the final data set
283 comprised 695 samples. Modeling was performed for 4–11 factors and the eight-factor
284 solution was deemed to be most representative.

285 To attribute PMF factors to emission sources, we compared PMF profiles and reference
286 profiles from the literature. Eight sources were identified: (1) LPG, (2) industrial
287 manufacturing, (3) industrial chemical feedstock, (4) fuel combustion, (5) solvent utilization,
288 (6) evaporated or liquid gasoline, (7) vehicular exhaust, and (8) secondary and long-lived
289 species. Modeled source profiles together with the relative contributions of individual sources
290 to each analyzed species are shown in Fig. 7.

291 The first source shown in Fig. 7 is characterized by a significant amount of propane,
292 i-butane, and n-butane, which are representative species in LPG samples in China (Lu et al.,
293 2004). LPG is an important domestic cooking source in Beijing. In 2012, the annual use of
294 LPG was ~391,200 to, of which 340,000 tons were used for cooking. Unlike in other large
295 cities, LPG vehicle use is not widespread in Beijing, and the annual consumption of LPG in
296 the transport sector in 2012 was only 3400 tons (China Energy Statistical Yearbooks, 2013).
297 Therefore, this source likely represented emissions from ~~resident~~residential LPG
298 consumption, and was identified as “LPG”.

299 Both the second and third sources were industry-related. The second and third source
300 profiles shown in Fig. 7 were characterized by high concentrations of chlorinated VOCs,
301 which are tracers of industries (Scheff and Wadden, 1993; Jiun et al., 2008). The second
302 source was also characterized by significant amounts of cyclopentane and methylmethacrylate.
303 Cyclopentane is used in the manufacture of synthetic resins and rubber adhesives and as a
304 blowing agent in the manufacture of polyurethane insulating foam; Methylmethacrylate is a
305 transparent thermoplastic and often used as a lightweight or shatter-resistant alternative to

306 soda-lime glass (Nagai et al., 2001). Therefore, this source was identified as “industrial
307 manufacturing”. Key species of the third source profile include chloromethane, chloroform,
308 n-hexane and acetone, all of which are widely used as feedstock in organic synthesis
309 (U.S.EPA, 1994). The third source was identified as “industrial chemical feedstock”.

310 The fourth source profile shown in Fig. 7 was associated with over 50% of the total
311 measured ethylene and acetylene mixing ratios, both of which were major species emitted
312 from combustion process (Liu et al., 2008), and is therefore believed to be from the
313 combustion process. It was also characterized by significant amounts of ethane, propane,
314 C3-C4 alkenes, and benzene. Ethane is a tracer of natural gas usage, and the source profiles of
315 resident fuel combustion measured in China contained significant alkenes (Wang et al.,
316 2013a). Moreira dos Santos et al. (2004) found that coal combustion can release significant
317 amounts of benzene into the atmosphere. Therefore this source is believed to encompass
318 combustion, and may include different fuel types, such as coal, straw and natural gas and was
319 identified as “fuel combustion”.

320 The fifth source profile shown in Fig. 7 was rich in aromatic species (benzene, toluene,
321 ethylbenzene, xylenes, BTEX) and esters (ethylacetate and n-butylacetate), and includes a
322 certain amount of halocarbons (1,2-dichloroethane and 1,2-dichloropropane). BTEX is a
323 major constituent of paints (Seila et al., 2001). Esters and halocarbons are used as industrial
324 solvents or adhesives found in paint strippers, varnishes, and furniture (Cai et al., 2010). Thus,
325 this source was considered to be a composite of emissions from solvent use and industrial
326 coatings (Seila et al., 2001). Some major VOC emission sources—such as car manufacturing,
327 printing, furniture manufacturing, shoe making and toy making—are mainly associated with
328 painting and adhesive use and are included in this source category of “solvent utilization”.

329 Both the sixth and seventh source profiles included high mixing ratios of
330 2,2,4-trimethylpentane and MTBE. 2,2,4-Trimethylpentane is a fuel additive used to gain
331 higher octane ratings (McCarthy et al., 2013). MTBE is a common gasoline additive in
332 Beijing (Song et al., 2007). The two sources are both related to vehicle activities. The sources
333 differ in ethane and acetylene mixing ratios, which are higher in the seventh source but are
334 very low in the sixth source. Ethane and acetylene can be formed during the combustion
335 process (Song et al., 2007), so the sixth factor may be an evaporated or liquid gasoline factor
336 and source seven may be a mixture of evaporated or liquid gasoline and vehicular exhaust
337 with rich BTEX, OVOCs, and heavy alkanes, which are tracers of gasoline and diesel exhaust

338 (Liu et al., 2008). This was confirmed by a comparison with typical VOC ratios determined
339 for vehicular exhaust. The mean toluene/benzene ratio of the seventh source profile was 1.50,
340 and Jobson et al. (2004) observe a ratio of 1.59 for vehicular exhaust from several tunnel
341 studies, thus it was identified as “vehicular exhaust”.

342 The eighth source profile, as shown in Fig. 7, is characterized by 52% of the total Freon113
343 mixing ratios, 47% of the total 1,1,2,2-tetrachloroethane mixing ratios, and 41% of the total
344 tetrachloromethane mixing ratios. These chemicals have a long lifespan in the atmosphere
345 (McCarthy et al., 2007). The eighth source profile also consists of most carbonyls: acetone,
346 propanal, n-pentanal, n-butanal and n-Hexanal. Considering the abundances of long lifetime
347 compounds and carbonyls, we believe that the loadings of VOC species in this source were
348 related to secondary formation and background levels. With a relatively constant contribution,
349 this source was identified as “secondary and long-lived species”.

350 **3.3.2 Estimation of source contributions**

351 The hourly mixing ratio contributions of each VOC source are presented in Fig. 8. Compared
352 with the non-control periods, the reconstructed mixing ratios of most sources were lower
353 during the control period, including those of industrial manufacturing, industrial chemical
354 feedstock, solvent utilization, evaporated or liquid gasoline, and vehicular exhaust. In contrast,
355 the mixing ratio contributions of LPG showed higher values during the control period. The
356 contributions of fuel combustion increased continuously over time, and the contributions of
357 secondary and long-lived species were relatively constant.

358 Figure 9 illustrates source contribution percentages before, during and after control, and
359 table 4 lists the source mixing ratio contributions during the three periods. Before control,
360 vehicular exhaust was the largest contributor (35%) to VOC mixing ratios, contributing 27.82
361 ppbv, followed by industrial manufacturing (13.51 ppbv, 17%) and solvent utilization (9.68
362 ppbv, 12%). Fuel combustion, LPG, evaporated or liquid gasoline, secondary and long-lived
363 species, and industrial chemical feedstock contributed 7.05, 6.21, 6.09, 4.47, and 4.01 ppbv,
364 accounting for 9%, 8%, 8%, 6%, and 5% of the total VOC mixing ratios, respectively. The
365 vehicle-related emission sources, (vehicle exhaust and evaporated or liquid gasoline) together
366 accounted for 43% of the VOC mixing ratios. The industrial-related emissions, (industrial
367 manufacturing and chemical feedstock) together accounted for 22% of the VOC mixing ratios.

368 This indicated that traffic and industry sources were major VOC sources before the control
369 period.

370 During the control period, the largest contributor was fuel combustion, with 12.70 ppbv,
371 accounting for 27% of total VOCs. The second largest contributor was vehicular exhaust,
372 with 8.17 ppbv, accounting for 17% of total VOCs. Contributions from LPG and secondary
373 and long-lived species were 7.55 and 5.00 ppbv, respectively. Contributions from industrial
374 chemical feedstock, solvent utilization and evaporated or liquid gasoline were 3.66, 3.48, and
375 3.24 ppbv, accounting for 8%, 7%, and 7% of total VOCs, respectively. The contribution
376 from industrial manufacturing was relatively low, at 3.22 ppbv.

377 After control, the city turns to the central heating period and the largest contributor was fuel
378 combustion, with 31.77 ppbv, accounting for 45% of total VOCs. Vehicular exhaust, solvent
379 utilization, industrial manufacturing, secondary and long-lived species, evaporated or liquid
380 gasoline, industrial chemical feedstock and LPG contributed 9.98, 8.05, 6.10, 4.05, 3.72, 3.50,
381 and 3.01 ppbv, accounting for 14%, 11%, 9%, 6%, 5%, 5%, and 4% of total VOCs,
382 respectively.

383 Compared with the period before the control, the contributions of vehicular exhaust during
384 the control were reduced to the greatest extent, with a value of 19.65 ppbv, followed by
385 industrial manufacturing (10.29 ppbv) and solvent utilization (6.20 ppbv). ~~indicating that the
386 control measures on traffic and industry were effective.~~ Evaporated or liquid gasoline and
387 industrial chemical feedstock were slightly reduced, with the values of 2.85 and 0.35 ppbv.
388 Reductions of vehicular exhaust, industrial manufacturing, solvent utilization, evaporated or
389 liquid gasoline, and industrial chemical feedstock were responsible for 50%, 26%, 16%, 7%,
390 and 1% of the reductions in ambient VOCs, indicating that the control measures on traffic
391 were most effective. The contributions of secondary and long-lived species were relatively
392 stable. In contrast, due to central heating and weak control on fuel combustion and LPG,
393 contributions from these sources were elevated by 80% and 22%, respectively. ~~with the
394 contributions of 5.65 and 1.34 ppbv, respectively.~~ November is a transition month for central
395 heating in northern China, which means that fuel combustion contributions would increase
396 with time. Because of a lack of relative control measures for LPG, the peak contribution of
397 this source occurred during the control period. The temperature difference during this

398 campaign is relatively low, the influence from meteorological variability on VOC evaporation
399 were not considered in this study.

400 **3.4 Precursor source contributions to SOA** ~~Variations of precursor source~~ 401 ~~contributions to SOA formation~~

402 ~~SOAP-weighted mass contributions of each VOC source were used to determine the most~~
403 ~~important precursor source likely to be responsible for the reduction of SOA formation. It is~~
404 ~~reported that during control the concentration of PM_{2.5} reduced a lot (Beijing Municipal~~
405 ~~Environmental Protection Bureau, <http://www.bjepb.gov.cn/>). SOA constitute a significant~~
406 ~~fraction of PM_{2.5} in China (Ding et al., 2012; Guo et al., 2012; Huang et al., 2014) and VOCs~~
407 ~~play an important role in the formation of SOA. The large reduction of VOCs may lead to the~~
408 ~~reduction of SOA and contribute to the PM_{2.5} reduction. SOAP-weighted mass contributions~~
409 ~~of each VOC source were used to estimate the influence of variations of precursor emissions~~
410 ~~on SOA. The SOAP-weighted mass contributions of each VOC source before, during, and~~
411 ~~after the control period are listed in Table 5.~~

412 Before the control period, the SOAP-weighted mass contribution of vehicular-related
413 sources was much higher than other VOC source with a value of 1613 $\mu\text{g cm}^{-3}$, accounting for
414 43% of the total. In contract, the SOAP-weighted mass contribution of each VOC source was
415 very similar during the control period.~~Before the control period, the SOAP-weighted mass~~
416 ~~contribution of vehicular related sources was 1613 $\mu\text{g cm}^{-3}$, accounting for 43% of the total.~~
417 ~~During the control period, the SOAP-weighted mass contributions of VOC sources were very~~
418 ~~similar. Because of the abatement measures for pollutant emissions during the control period,~~
419 ~~the SOAP-weighted mass contributions of vehicular-related sources were most reduced~~
420 ~~compared with the period before control, with the value of 1013 $\mu\text{g cm}^{-3}$, explaining the 52%~~
421 ~~reduction in SOA formation. This result shows that vehicle related sources were the~~
422 ~~predominant contributors to SOA and reductions of this source were the major reason for~~
423 ~~decreases in SOA formation during this campaign.~~

424 Solvent utilization was the next-second largest source of man-made SOA precursors before
425 control with the SOAP-weighted mass contributions of 1132 $\mu\text{g cm}^{-3}$, accounting for 43% of
426 the total. During the control period, the reduction in SOAP-weighted mass contributions from
427 solvent utilization was 725 $\mu\text{g cm}^{-3}$, explaining the 37% reduction in SOA ~~formation~~. The

428 reductions in other VOC sources were much smaller. Thus, vehicle and solvent utilization
429 controls were the most important measures taken to reduce SOA-formation during APEC
430 Chain 2014 in Beijing.

431 Current knowledge about formation mechanisms of SOA is still very limited (Guo et al.,
432 2012). We have to point out that the SOAP is computed to understand the potential to form
433 SOA for VOC species, which cannot estimate the SOA formation from VOCs actually in
434 certain atmospheric conditions. In this study, we used SOAP approach to discuss the
435 effectiveness of the air quality controls. Detailed VOC data in this work will provide useful
436 information for further study on the formation mechanisms of SOA.~~We have to point out that~~
437 ~~the SOA potentials could not be quantitatively estimated by this approach. We concentrated~~
438 ~~on only the variations of the contribution of precursor sources to SOA formation and~~
439 ~~evaluating the major sources of the reduction of SOA during the air quality control period.~~
440 ~~Detailed VOC data in this work will provide useful information for further study on the SOA~~
441 ~~formation.~~

442 **4 Conclusions**

443 Mixing ratios of C2–C12 VOCs were measured at an urban site in Beijing before, during and
444 after the APEC China 2014. Total VOC mixing ratios were reduced by 44% during the
445 control period, and the mixing ratios of acetonitrile, halocarbons, OVOCs, aromatics,
446 acetylene, alkanes, and alkenes decreased by approximately 65%, 62 %, 54%, 53%, 37%,
447 36%, and 23%, respectively. The mixing ratios of all 102 measured species decreased, with
448 that of chloroethane decreasing the most substantially. PMF analysis shows that contributions
449 from controlled sources, i.e., vehicle-related sources, industrial manufacturing, and solvent
450 utilization, were significantly reduced under air quality regulations. Among these, controls on
451 vehicles were most effective, causing more than half of the reductions in ambient VOCs, and
452 resulting in significant decreases in SOA-formation. Industrial manufacturing controls were
453 the second most important cause of ambient VOC reductions; control on solvent utilization
454 appears to be the second important cause of SOA formation-reductions. Fuel combustion was
455 found to be an important source of ambient VOCs during the central heating period in
456 Beijing.

457 Our results indicate that the stringent air quality restrictions implemented during APEC
458 China 2014 were successful, and that controls on vehicles were the most important measures
459 to ambient VOCs. As severe haze pollution events in China are mainly driven by secondary

460 aerosol formation, these findings will also provide cost-effective solutions for lessening fine
461 particle pollution. The detailed VOC provided here will provide information for further
462 studies on the SOA formation and human health.

463

464 **Acknowledgments**

465 We appreciate financial support from the Environmental Protection Ministry of China for
466 Research of Characteristics and Controlling Measures of VOC Emissions from Typical
467 Anthropogenic Sources (no. 2011467003).

468

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637

638 **Figure and Table captions:**

639 **Table 1.** Volatile organic compound (VOC) mixing ratios (ppbv), measured in Beijing.

640 **Table 2.** Average mixing ratios (ppbv) of volatile organic compound (VOC) species measured
641 in Beijing.

642 **Table 3.** The top 20 volatile organic compound (VOC) species with the highest decreasing
643 ratios.

644 **Table 4.** Source contributions (ppbv) derived by PMF analysis.

645 **Table 5.** SOAP-weighted mass contributions ($\mu\text{g cm}^{-3}$) of each VOC source before, during,
646 and after the control period during APEC China 2014.

647 **Figure 1.** The location of Beijing city in China and the sampling site in Beijing.

648 **Figure 2.** Mixing ratios of volatile organic compound (VOC) groups before, during, and after
649 the control period during APEC China 2014.

650 **Figure 3.** Time series of total measured volatile organic compounds (VOCs), temperature,
651 wind direction, and speed at PKU site during this study.

652 **Figure 4.** Three-day backward trajectories ending at 12:00 am (16:00 UTC) 19 October, 4, 9,
653 and 19 November 2014.

654 **Figure 5.** Diurnal variations in mixing ratios of non-methane hydrocarbons (NMHCs) and
655 oxygenated volatile organic compounds (OVOC) at the PKU site before, during, and after the
656 control period during APEC China 2014.

657 **Figure 6.** Diurnal variations of mixing ratios of 2,2-dimethylbutane and acetylene at the PKU
658 site before, during, and after the control period during APEC China 2014.

659 **Figure 7.** Eight source profiles (bars; ppbv ppbv^{-1}) resolved from PMF model, and
660 contribution percentages (dots) from each source factor.

661 **Figure 8.** Time series of hourly contributions from each identified source from 18 October to
662 22 November 2014.

663 **Figure 9.** Over all contributions (%) for the eight sources identified by PMF analysis before,
664 during, and after the control period during the APEC China 2014.

665 **Table 1.** Volatile organic compound (VOC) mixing ratios (ppbv), measured in Beijing.

| VOC groups | Before(N ^a =240;17/10-31/10 ^b) | | During(N=234;3/11-12/11) | | After(N=221;13/11-22/11) | |
|--------------|---|-------------|--------------------------|-------------|--------------------------|-------------|
| | Rang | Average±sd | Rang | Average±sd | Rang | Average±sd |
| Alkanes | 3.39-109.87 | 32.1±18.89 | 2.21-66.48 | 20.39±15.45 | 5.25-90.54 | 26.57±19.09 |
| Alkenes | 0.66-31.05 | 9.39±5.42 | 0.26-25.39 | 7.22±6.30 | 0.92-63.50 | 14.58±12.99 |
| Aromatics | 1.00-46.5 | 9.55±5.97 | 0.46-16.81 | 4.52±3.59 | 0.85-32.75 | 7.14±6.30 |
| Acetylene | 0.36-23.7 | 6.41±4.17 | 0.24-15.24 | 4.04±3.34 | 0.70-32.31 | 8.27±7.04 |
| OVOCs | 4.29-40.49 | 15.27±7.08 | 2.15-20.79 | 7.09±3.67 | 2.70-35.08 | 9.36±6.28 |
| Halocarbons | 4.71-34.14 | 12.37±5.28 | 1.89-14.33 | 4.64±2.35 | 1.84-46.89 | 6.54±5.85 |
| Acetonitrile | 0.20-19.71 | 1.09±2.37 | 0.08-4.35 | 0.38±0.40 | 0.11-1.50 | 0.49±0.33 |
| Total VOCs | 17.05-247.93 | 86.17±43.67 | 9.39-147.95 | 48.28±33.87 | 0.85-271.91 | 72.97±55.69 |

666 ^a Sampling number;

667 ^b Sampling date.

668

669 **Table 2.** Average mixing ratios (ppbv) of VOC species measured in Beijing.

| Species | Before | During | After | Species | Before | During | After |
|--------------------------|--------|--------|-------|---------------------------|--------|--------|-------|
| Ethane | 10.80 | 7.71 | 11.31 | Trichloroethylene | 0.14 | 0.07 | 0.08 |
| Propane | 6.38 | 4.44 | 5.40 | 1,2-Dichloropropane | 1.01 | 0.36 | 0.67 |
| Isobutane | 2.32 | 1.57 | 1.75 | Bromodichloromethane | 0.00 | 0.00 | 0.00 |
| n-Butane | 3.27 | 1.89 | 2.25 | trans-1,3-Dichloropropene | 0.02 | 0.01 | 0.01 |
| cyclopentane | 0.35 | 0.09 | 0.14 | cis-1,3-Dichloropropene | 0.01 | 0.00 | 0.00 |
| Isopentane | 2.16 | 1.14 | 1.41 | 1,1,2-Trichloroethane | 0.10 | 0.04 | 0.06 |
| n-Pentane | 1.61 | 0.74 | 0.99 | Tetrachloroethylene | 0.17 | 0.08 | 0.09 |
| 2,2-dimethylbutane | 0.07 | 0.02 | 0.03 | 1,2-Dibromoethane | 0.00 | 0.00 | 0.00 |
| 2,3-dimethylbutane | 0.25 | 0.14 | 0.18 | Chlorobenzene | 0.05 | 0.01 | 0.02 |
| 2-methylpentane | 0.73 | 0.44 | 0.54 | Bromoform | 0.00 | 0.00 | 0.00 |
| 3-methylpentane | 0.49 | 0.25 | 0.27 | 1,1,2,2-Tetrachloroethane | 0.23 | 0.14 | 0.14 |
| n-hexane | 0.93 | 0.66 | 0.62 | 1,3-Dichlorobenzene | 0.00 | 0.00 | 0.00 |
| 2,4-dimethylpentane | 0.06 | 0.03 | 0.03 | 1,4-Dichlorobenzene | 0.09 | 0.08 | 0.08 |
| methylcyclopentane | 0.44 | 0.23 | 0.27 | Benzylchloride | 0.00 | 0.00 | 0.00 |
| 2-methylhexane | 0.20 | 0.09 | 0.12 | 1,2-Dichlorobenzene | 0.00 | 0.00 | 0.00 |
| cyclohexane | 0.41 | 0.13 | 0.18 | acrolein | 0.30 | 0.17 | 0.27 |
| 2,3-dimethylpentane | 0.12 | 0.05 | 0.07 | Propanal | 0.61 | 0.30 | 0.31 |
| 3-methylhexane | 0.21 | 0.10 | 0.14 | Acetone | 4.29 | 2.19 | 2.48 |
| 2,2,4-trimethylpentane | 0.18 | 0.09 | 0.11 | Methylacetate | 1.02 | 0.39 | 0.56 |
| n-heptane | 0.26 | 0.12 | 0.18 | MTBE | 0.88 | 0.39 | 0.42 |
| methylcyclohexane | 0.23 | 0.08 | 0.12 | Methacrolein | 0.06 | 0.02 | 0.05 |
| 2,3,4-trimethylpentane | 0.09 | 0.04 | 0.05 | Vinylacetate | 0.02 | 0.01 | 0.04 |
| 2-methylheptane | 0.08 | 0.04 | 0.06 | n-Butanal | 0.15 | 0.07 | 0.08 |
| 3-methylheptane | 0.05 | 0.02 | 0.03 | Methylvinylketone | 0.36 | 0.18 | 0.23 |
| octane | 0.13 | 0.07 | 0.10 | Methylethylketone | 1.79 | 0.59 | 0.76 |
| n-nonane | 0.10 | 0.05 | 0.08 | Ethylacetate | 2.12 | 0.88 | 1.39 |
| n-decane | 0.10 | 0.06 | 0.07 | 2-pentanone | 0.07 | 0.03 | 0.04 |
| Udecane | 0.06 | 0.04 | 0.05 | n-Pentanal | 0.11 | 0.07 | 0.08 |
| Dodecane | 0.05 | 0.05 | 0.04 | 3-pentanone | 0.02 | 0.01 | 0.01 |
| Ethylene | 7.18 | 5.51 | 11.23 | Methylmethacrylate | 1.73 | 0.97 | 1.37 |
| Propene | 1.33 | 1.16 | 2.42 | n-Hexanal | 0.46 | 0.25 | 0.28 |
| trans-2-Butene | 0.12 | 0.10 | 0.15 | n-Butylacetate | 1.28 | 0.57 | 1.00 |
| 1-Butene | 0.35 | 0.22 | 0.42 | Acetylene | 6.41 | 4.04 | 8.27 |
| cis-2-Butene | 0.16 | 0.09 | 0.17 | Acetonitrile | 1.09 | 0.38 | 0.49 |
| trans-2-pentene | 0.06 | 0.03 | 0.05 | benzene | 1.98 | 1.02 | 1.87 |
| isoprene | 0.11 | 0.06 | 0.07 | toluene | 3.31 | 1.57 | 2.37 |
| cis-2-pentene | 0.03 | 0.01 | 0.02 | ethylbenzene | 1.13 | 0.49 | 0.74 |
| 1-hexene | 0.05 | 0.03 | 0.05 | m/p-xylene | 1.01 | 0.48 | 0.71 |
| Bromomethane | 0.01 | 0.01 | 0.01 | o-xylene | 0.71 | 0.32 | 0.49 |
| Chloroethane | 0.10 | 0.02 | 0.04 | styrene | 0.24 | 0.11 | 0.21 |
| Freon11(CFC13) | 3.61 | 0.58 | 0.85 | isopropylbenzene | 0.05 | 0.02 | 0.03 |
| Freon113(C2F3Cl3) | 0.09 | 0.08 | 0.08 | n-propylbenzene | 0.09 | 0.04 | 0.06 |
| 1,1-Dichloroethylene | 0.01 | 0.00 | 0.00 | 3-ethyltoluene | 0.25 | 0.10 | 0.16 |
| Dichloromethane | 3.76 | 2.00 | 2.60 | 4-ethyltoluene | 0.13 | 0.05 | 0.08 |
| 1,1-Dichloroethane | 0.26 | 0.11 | 0.15 | 1,3,5-trimethylbenzene | 0.09 | 0.04 | 0.06 |
| cis-1,2-Dichloroethylene | 0.03 | 0.02 | 0.04 | 2-ethyltoluene | 0.10 | 0.04 | 0.07 |
| Chloroform | 0.93 | 0.42 | 0.62 | 1,2,4-trimethylbenzene | 0.29 | 0.13 | 0.19 |
| 1,1,1-Trichloroethane | 0.01 | 0.00 | 0.00 | 1,2,3-trimethylbenzene | 0.08 | 0.04 | 0.06 |
| tetrachloromethane | 0.16 | 0.11 | 0.12 | 1,3-diethylbenzene | 0.02 | 0.01 | 0.01 |
| 1,2-Dichloroethane | 1.58 | 0.49 | 0.87 | 1,4-diethylbenzene | 0.06 | 0.04 | 0.04 |

670 **Table 3.** The top 20 volatile organic compound (VOC) species with the highest decreasing
 671 ratios.

| Species | Decreasing ratio | Species | Decreasing ratio |
|----------------------|-------------------------|---------------------------|-------------------------|
| Chloroethane | 80.34% | 1,2-dichloropropane | 64.27% |
| 1,1-dichloroethylene | 76.46% | Methylcyclohexane | 63.67% |
| Chlorobenzene | 74.24% | cis-1,3-dichloropropene | 62.49% |
| Cyclopentane | 72.58% | trans-1,3-dichloropropene | 62.36% |
| 1,2-dichloroethane | 69.14% | Methylacetate | 61.67% |
| Cyclohexane | 68.13% | 2,3-dimethylpentane | 61.23% |
| Methylethylketone | 66.91% | Ethylacetate | 58.80% |
| Methacrolein | 65.12% | 4-ethyltoluene | 58.39% |
| Acetonitrile | 65.12% | 3-ethyltoluene | 58.25% |
| 2,2-dimethylbutane | 64.79% | 1,1-dichloroethane | 58.23% |

672

673 **Table 4.** Source contributions (ppbv) derived by PMF analysis.

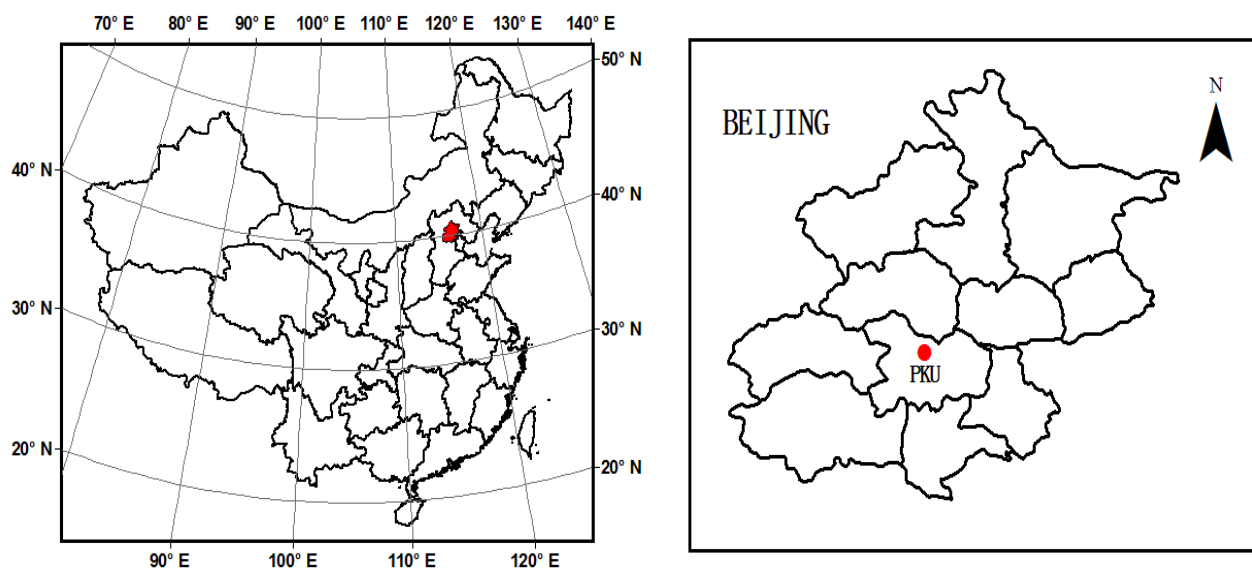
| Source | Average source contribution | | |
|----------------------------------|-----------------------------|--------|-------|
| | Before | During | After |
| LPG | 6.21 | 7.55 | 3.01 |
| Fuel combustion | 7.05 | 12.70 | 31.77 |
| Industrial manufacturing | 13.51 | 3.22 | 6.10 |
| Industrial chemical feedstock | 4.01 | 3.66 | 3.50 |
| Solvent utilization | 9.68 | 3.48 | 8.05 |
| Evaporated or liquid gasoline | 6.09 | 3.24 | 3.72 |
| Vehicular exhaust | 27.82 | 8.17 | 9.98 |
| Secondary and long-lived species | 4.47 | 5.00 | 4.05 |
| Total | 78.85 | 47.02 | 70.18 |

674 ~~^aAverage source contribution before control minus the value during the control period.~~

675 **Table 5.** SOAP-weighted mass contributions ($\mu\text{g cm}^{-3}$) of each VOC source before, during,
 676 and after the control period during APEC China 2014.

| Source | Source contribution | | |
|----------------------------------|---------------------|--------|-------|
| | Before | During | After |
| LPG | 143 | 174 | 69 |
| Fuel combustion | 158 | 285 | 711 |
| Industrial manufacturing | 494 | 118 | 223 |
| Industrial Chemical feedstock | 131 | 120 | 114 |
| Solvent utilization | 1132 | 407 | 941 |
| Evaporated or liquid gasoline | 526 | 280 | 321 |
| Vehicular exhaust | 1087 | 320 | 390 |
| Secondary and long-lived species | 89 | 99 | 80 |

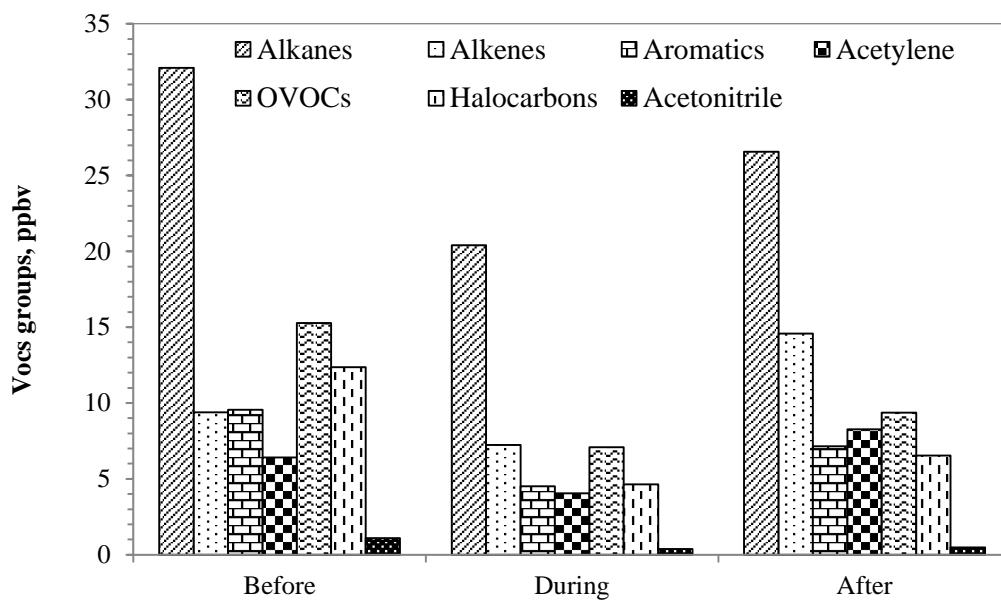
677 ~~*-SOAP-weighted mass contributions of each VOC source before control minus the value~~
 678 ~~during the control period.-~~



6/9

680 **Figure 1.** The location of Beijing in China and the sampling site in Beijing.

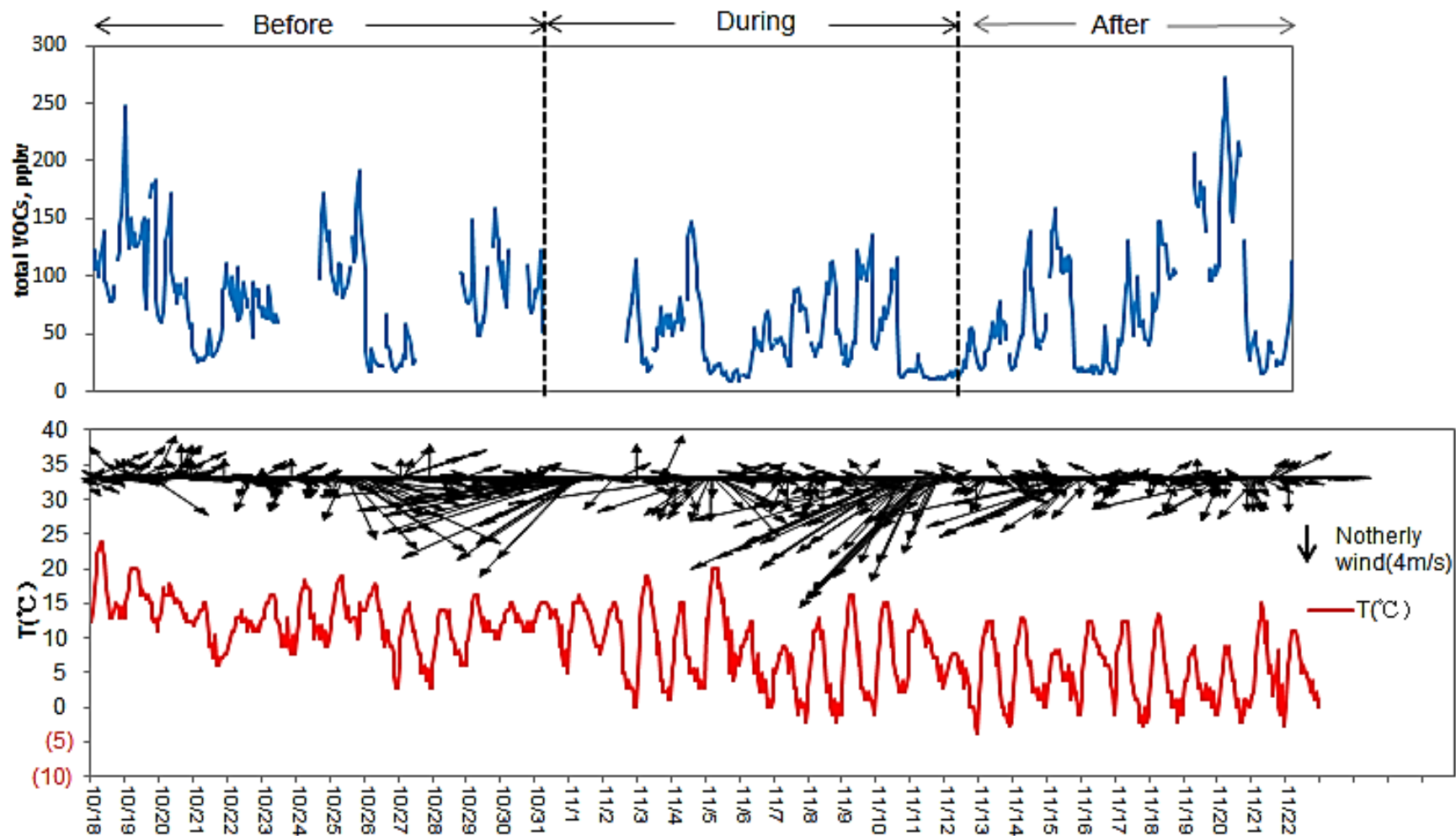
681



682

683 **Figure 2.** Mixing ratios of volatile organic compound (VOC) groups before, during, and after

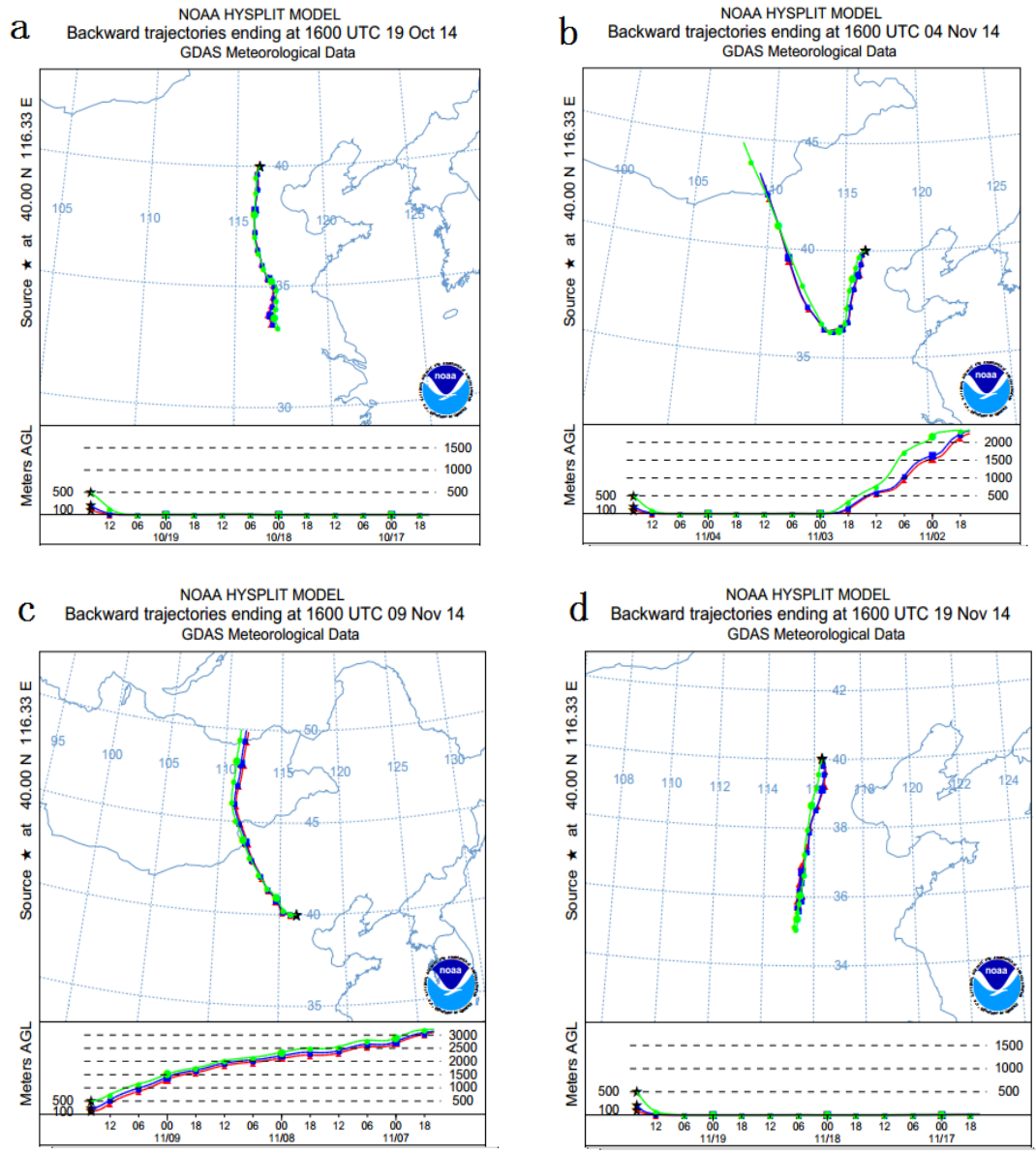
684 the control period during APEC China 2014.



686

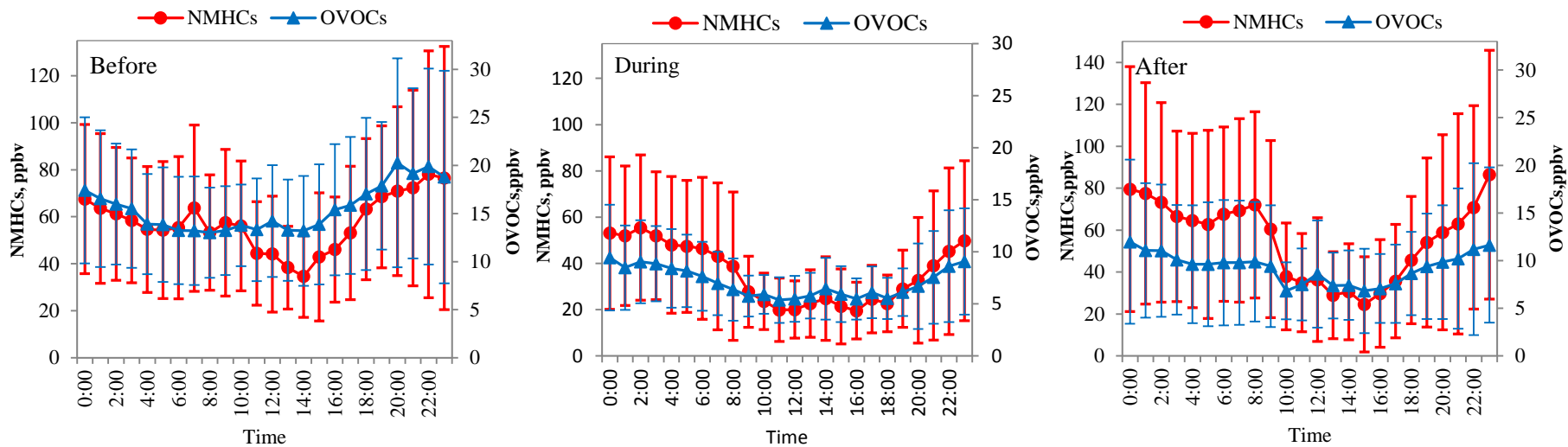
687 **Figure 3.** Time series of total measured volatile organic compounds (VOCs), temperature, wind direction, and speed at the PKU site during this

688 study.



689

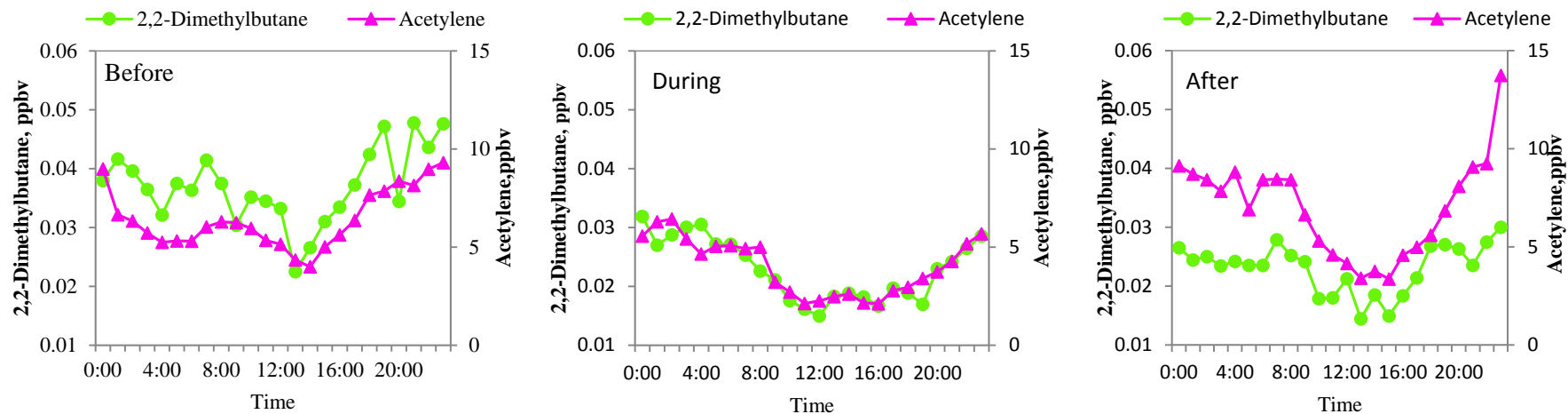
690 **Figure 4.** Three-day backward trajectories ending at 12:00 am (16:00 UTC) 19
 691 October, and 4, 9, and 19 November 2014.



692

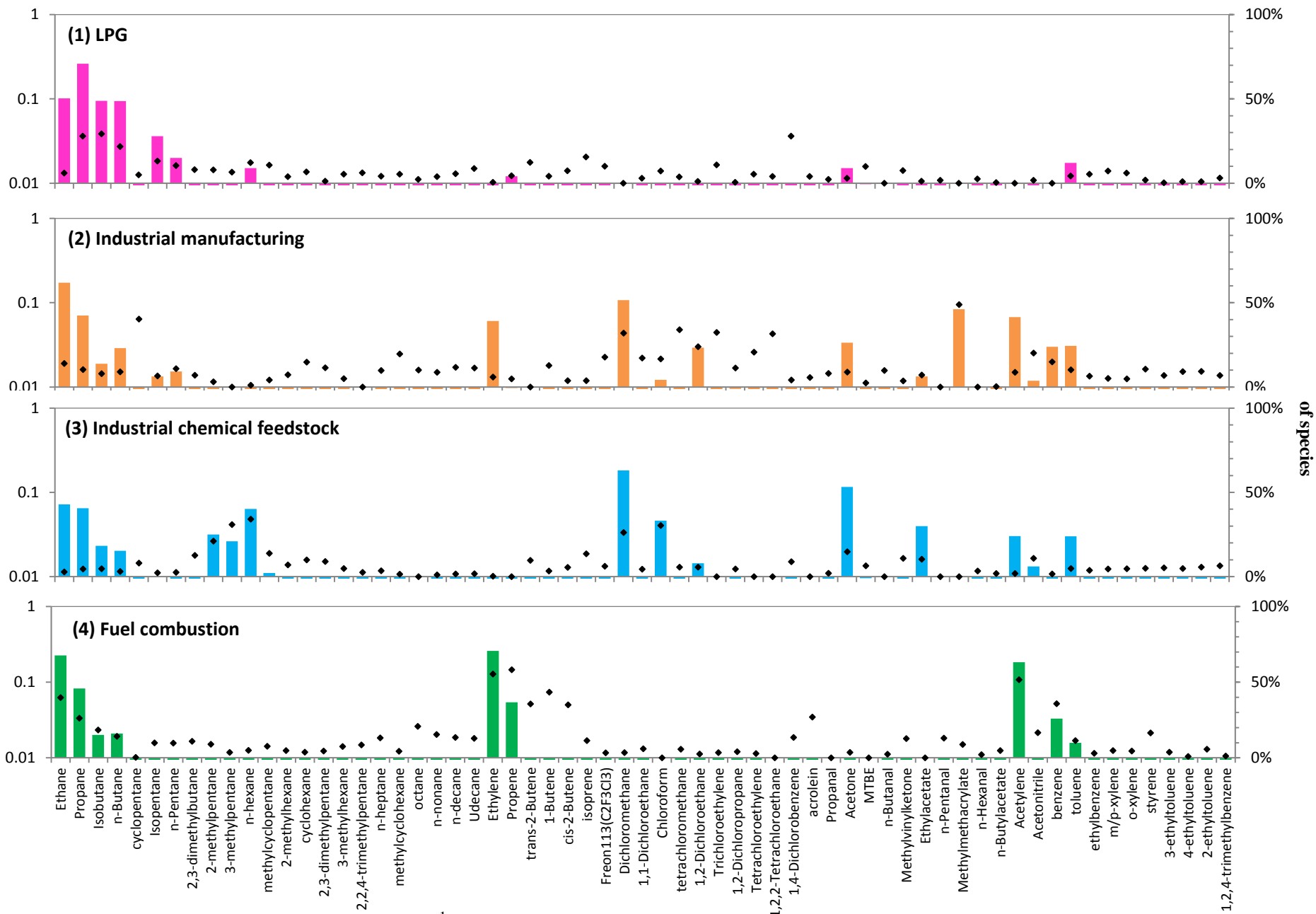
693 **Figure 5.** Diurnal variations in mixing ratios of non-methane hydrocarbons (NMHCs) and oxygenated volatile organic compounds (OVOC) at the
 694 PKU site before, during, and after the control period during APEC China 2014.

695

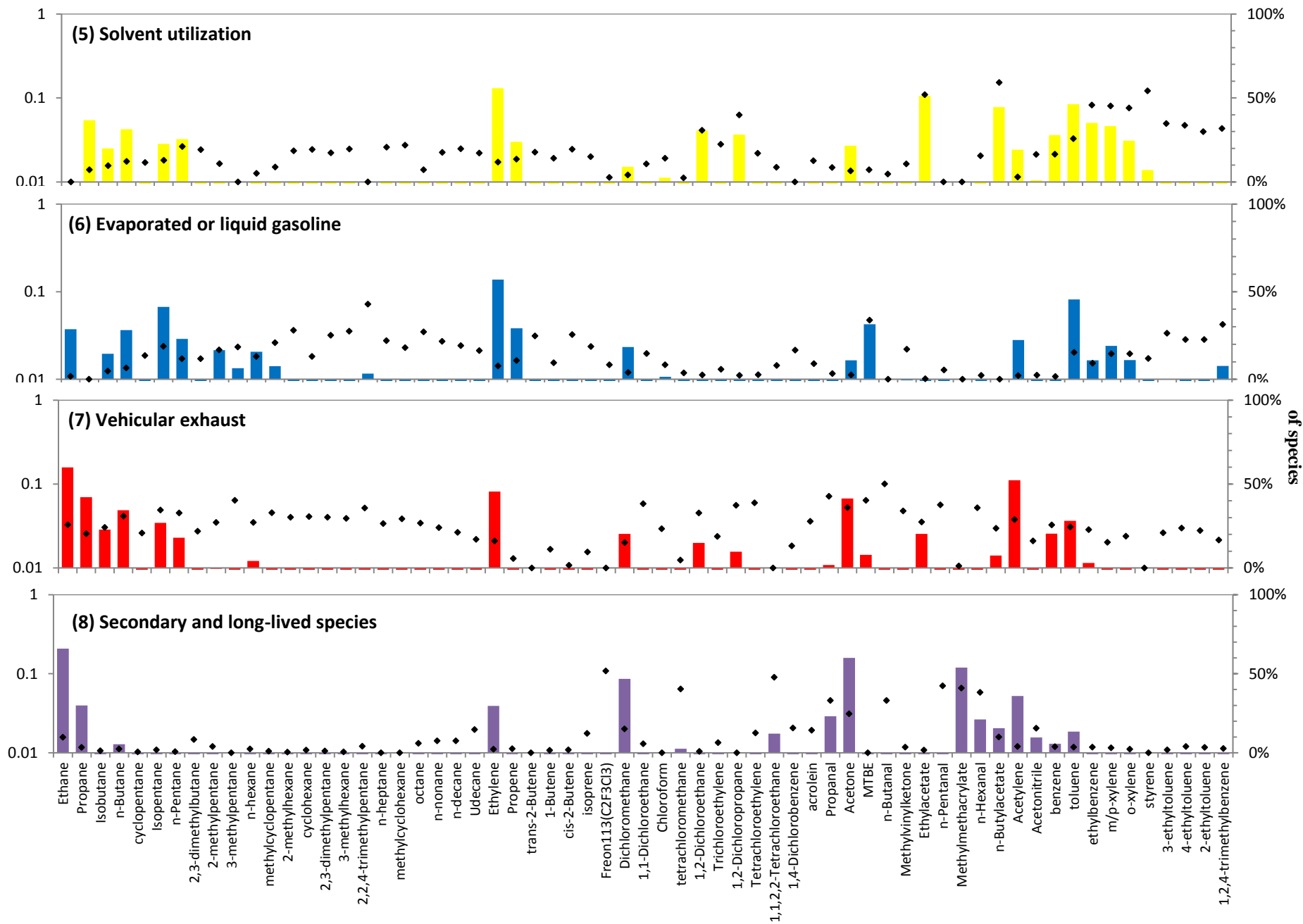


713 **Figure 6.** Diurnal variations of mixing ratios of 2,2-dimethylbutane and acetylene at the PKU site before, during, and after the control period
 714 during APEC China 2014.

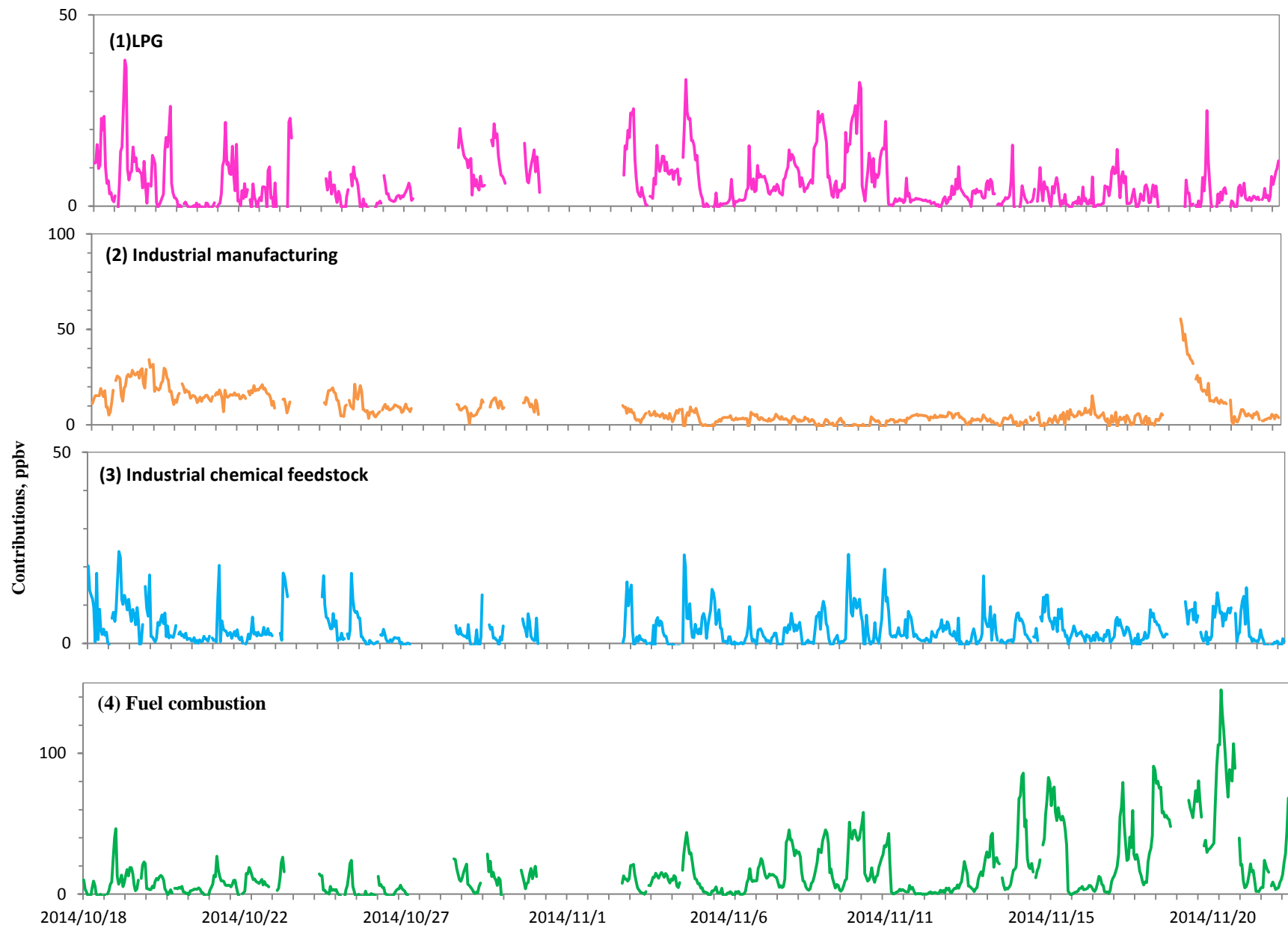
715



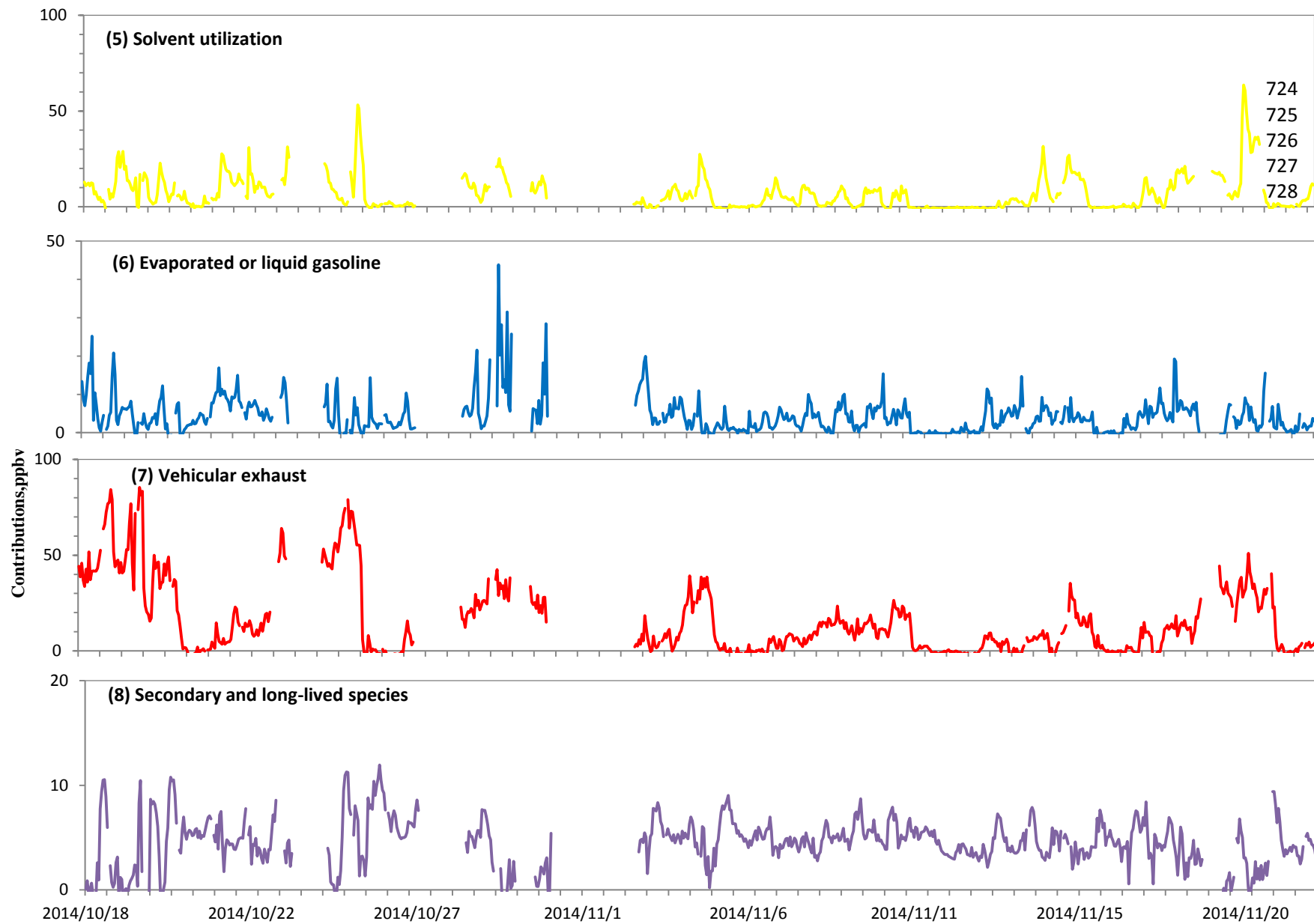
716 **Figure 7a.** Eight source profiles (bars; ppbv ppbv⁻¹) resolved from the PMF model, and contribution percentages (dots) from each source factor.



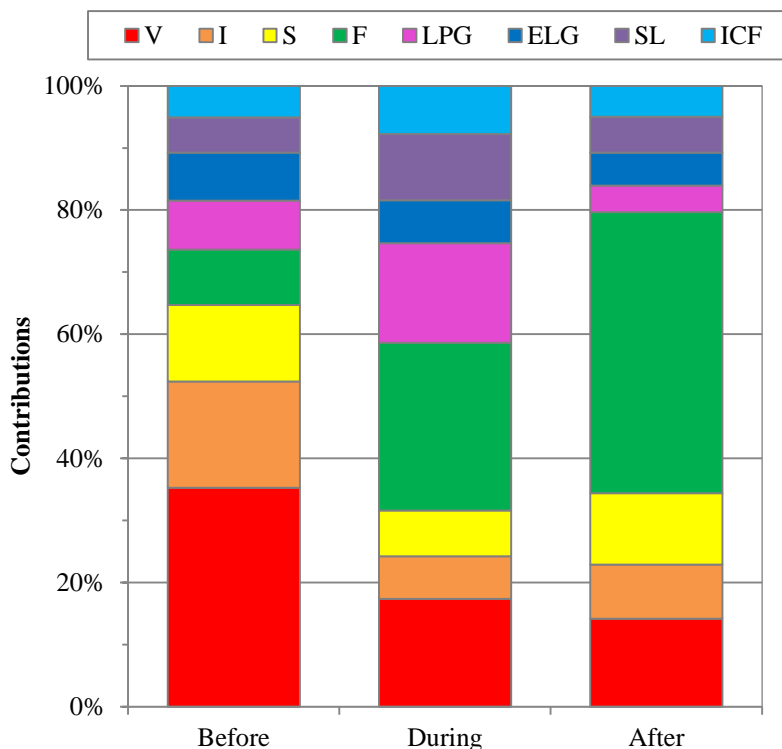
717 **Figure 7b.** Eight source profiles (bars; ppbv ppbv⁻¹) resolved from PMF model, and contribution percentages (dots) from each source factor.



723 **Figure 8a.** Time series of hourly contributions from each identified source from 18 October to 22 November 2014.



729 **Figure 8b.** Time series of hourly contributions from each identified source from 18 October to 22 November 2014.



730
 731 **Figure 9.** Overall contributions (%) for the eight sources identified by PMF analysis before,
 732 during, and after the control period during the APEC China 2014. “V” stands for vehicular
 733 exhaust; “I” stands for Industrial manufacturing; “S” stands for Solvent utilization; “F” stands
 734 for fuel combustion; “LPG” stands for liquid petroleum gas; “ELG” stands for Evaporated or
 735 liquid gasoline; “SL” stands for secondary and long-lived species; “ICF” stands for industrial
 736 chemical feedstock.