Characterization of ambient volatile organic compounds and their sources in Beijing, before, during, and after Asia-Pacific Economic Cooperation China 2014

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J. Li, S. D. Xie*, L. M. Zeng, L. Y. Li, Y. Q. Li and R. R. Wu

College of Environmental Science and Engineering, State Key Joint Laboratory of
Environmental Simulation and Pollution Control, Peking University,
Beijing, People's Republic of China

9 *Correspondence to: S. D. Xie (sdxie@pku.edu.cn)

10 Abstract.

Ambient volatile organic compounds (VOCs) were measured using an online system, gas 11 chromatography-mass spectrometry/flame ionization detector (GC-MS/FID), in Beijing, 12 China, before, during and after Asia-Pacific Economic Cooperation (APEC) China 2014, 13 when stringent air quality control measures were implemented. Positive matrix factorization 14 (PMF) was applied to identify the major VOC contributing sources and their temporal 15 variations. The secondary organic aerosols potential (SOAP) approach was used to estimate 16 variations of precursor source contributions to SOA formation. The average VOC mixing 17 ratios during the three periods were 86.17, 48.28, and 72.97 ppby, respectively. The mixing 18 ratios of total VOC during the control period were reduced by 44%, and the mixing ratios of 19 acetonitrile, halocarbons, oxygenated VOCs (OVOCs), aromatics, acetylene, alkanes and 20 alkenes decreased by approximately 65%, 62%, 54%, 53%, 37%, 36%, and 23%, respectively. 21 The mixing ratios of all measured VOC species decreased during control, and the most 22 affected species were chlorinated VOCs (chloroethane, 1,1-dichloroethylene, chlorobenzene). 23 PMF analysis indicated eight major sources of ambient VOCs, and emissions from target 24 control sources were clearly reduced during the control period. Compared with the values 25 before control, contributions of vehicular exhaust were most reduced, followed by industrial 26 manufacturing and solvent utilization. Reductions of these three sources were responsible for 27 50%, 26%, and 16% of the reductions in ambient VOCs. Contributions of evaporated or 28 29 liquid gasoline and industrial chemical feedstock were slightly reduced, and contributions of

secondary and long-lived species were relatively stable. Due to central heating, emissions
from fuel combustion kept on increasing during the whole campaign; because of weak control
of liquid petroleum gas (LPG), the highest emissions of LPG occurred in the control period.
Vehicle-related sources were the most important precursor sources likely responsible for the
reduction in SOA formation during this campaign.

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36 **1 Introduction**

Beijing, the capital of China, is one of the megacities in the world, with a population of more
than 20 million and a vehicle fleet of more than 5 million (Beijing Statistical Yearbook, 2014).
High levels of coal consumption, thousands of active construction sites, and rapid increases in
vehicles have resulted in high emissions of fine particles (PM_{2.5}), sulfur dioxides (SO₂),
nitrogen oxides (NO_x), and volatile organic compounds (VOCs) in Beijing (Tang et al., 2009;
Han et al., 2013; Wang et al., 2014a).

In November 2014, China hosted the Asia-Pacific Economic Cooperation (APEC) Meeting 43 in Beijing, including the Concluding Senior Officials' Meeting on 5–6 November, the 26th 44 APEC Ministerial Meeting on 7-8 November, and the 22nd APEC Economic Leaders' 45 Meeting on 10-11 November. As the host city, Beijing has set rigorous plans to reduce 46 emissions of air pollutants in Beijing and neighboring regions from 1 to 12 November 2014, 47 resulting in a period of air quality control. The target sources included vehicles, paint and 48 solvent use, steel factories, chemical factories, power plants, etc. A detailed description of the 49 control measures is provided in Table S1. As a result, air quality was greatly improved, and 50 the phrase "APEC blue" was coined on social media to describe the clear sky. The city's daily 51 $PM_{2.5}$ concentration during the control period fell to 43 µg m⁻³, a 55% reduction compared 52 with the same dates the prior year, and daily average levels of SO_2 , nitrogen dioxide (NO₂), 53 and PM₁₀ (aerosol particles with an aerodynamic diameter of less than 10 µm) decreased by 54 57%, 31% and 44%, respectively (Beijing Municipal Environmental Protection Bureau, 55 http://www.bjepb.gov.cn/). However, sufficiently detailed information of ambient VOC 56 mixing ratios and chemical compositions, as well as variations in their sources before, during, 57 and after the control period has not been reported. 58

59 Many VOCs adversely affect public health (The Clean Air Act Amendments of 1990, 60 http://www.epa.gov/oar/caa/caaa_overview.html), and high levels of ambient VOCs have 61 been detected in Beijing, likely associated with rapid economic development. For example,

during 1980–2005, VOC emissions increased at an annual average rate of 10.6% in Beijing (Bo et al., 2008). Ambient VOC measurements during 2002–2003 at six sites in Beijing revealed an average total VOC concentration of $132.6\pm52.2 \ \mu g \ m^{-3}$ with contributions from alkanes (35%), alkenes (17%), and aromatics (22%;Liu et al., 2005). A recent study has shown that non-methane hydrocarbon (NMHC) concentrations in Beijing are more than twice as high as in other cities (Wang et al., 2014b). Therefore, it is very necessary to formulate a cost-effective policy for reducing VOC emissions in Beijing.

VOCs play an important role in the formation of secondary organic aerosol (SOA) 69 (Johnson et al., 2006; Ran et al., 2011; Zhang et al., 2014). PM_{2.5} is a key air pollutant in 70 71 terms of adverse human health effects and visibility degradation (Tao et al., 2014). The severe haze pollution in Beijing was driven to a large extent by secondary aerosol formation, which 72 contributed 30%-77% and 44%-71% of PM2.5 and of organic aerosol concentrations, 73 respectively (Huang et al., 2014). Detailed information on VOC characteristics before, during, 74 and after the control period will help future study on SOA formation mechanisms. Assessing 75 VOC source variations will be essential to understanding the effect of abatement measures for 76 VOCs and SOA formation. 77

To quantitatively assess the contributions of different sources to ambient VOC levels, we 78 can use a combination of direct VOC measurements and receptor models. Receptor models 79 are statistical tools used to identify and quantify sources of ambient air pollution at a given 80 location by analyzing concentration data obtained at a receptor site without emission 81 inventories. Source apportionment tools such as principal component analysis, Unmix, 82 chemical mass balance, and positive matrix factorization (PMF) have been previously 83 developed (Paatero et al., 1994; Watson et al., 2001). The latter is widely used to study VOC 84 source contributions in urban areas because only time series of observed concentrations are 85 used for the input parameters of the PMF calculation, which means that PMF results are not 86 affected by uncertainties in emission profiles (Bon et al., 2011; McCarthy et al., 2013). With 87 PMF, it is also possible to calculate contributions from unknown emission sources. The 88 concept of secondary organic aerosol potential (SOAP) has been developed to reflect the 89 propensity of each organic compound to form SOA on the basis of an equal mass emitted 90 relative to toluene (Derwent et al., 1998; Derwent et al., 2010).By combining the SOAP scale 91 with contributions from different sources to ambient VOC levels, it has been possible to 92 evaluate the effect of abatement measures for SOA formation. 93

In this study, we measured 102 VOC species using online instruments at an observatory at 94 Peking University in 2014, from 18 October to 22 November. The hourly mixing ratios and 95 chemical compositions of ambient VOCs before, during and after the control period were 96 investigated. A PMF model was used to extract the VOC sources for this campaign, and 97 98 comparison of the source contributions before, during and after the control period help to evaluate the effect of the control measures on VOCs. SOAP-weighted mass contributions of 99 each VOC source were used to estimate variations of precursor source contributions to SOA 100 formation. 101

102

103 2 Methodology

104 2.1 Sampling site

We sampled on the roof of the technical physics building at Peking University (PKU) (39.99°
N, 116.33° E), ~20 m above ground. PKU is located in a primarily residential and
commercial area in northwestern Beijing (Fig. 1). Local VOC emission sources within 1 km
of PKU include vehicular traffic and fuel combustion for cooking; additionally, a fourth ring
road that carries very heavy traffic is located directly south of the site.

Samples were collected at an interval of 1 h from 18 October to 22 November, 2014. To 110 determine the effect of the control plans, we divided the whole study into three parts: before 111 APEC China 2014 (18-31 October), during APEC China 2014 (control period; 3-12 112 November.) and after APEC China 2014 (13-22 November). We did not use samples 113 collected on 1 or 2 November because they represented a transition from the non-source 114 control to the control period. The average temperatures before, during, and after the control 115 period were 12.63 °C, 7.37 °C, and 5 °C, respectively. The average wind speeds were 3.86, 6.85, 116 and 5m s⁻¹, respectively. Meteorological data were collected from the NOAA Satellite and 117 Information Service (http://www7.ncdc.noaa.gov/CDO/cdo). 118

119 **2.2 Sampling and analysis**

Ambient VOCs were collected and analyzed continuously and automatically using a custom-built online system, GC-MS/FID, with a time resolution of 1 h (TH-PKU 300B, Yuan et al., 2012b; Li et al., 2014). The online system combines a sampling system, electronic

refrigeration technology, and GC-MS/FID to sample, pre-concentrate, and analyze VOC 123 samples. Moisture and CO₂ are removed before VOC analysis. The system uses dual columns 124 and dual detectors to simultaneously analyze C2–C12 VOCs, and the VOC species measured 125 by GC-MS/FID are listed in Table S2. Most C2-C5 hydrocarbons were separated on a 126 PLOT-Al2O3 column (15m×0.32mm ID×3µm, J&W Scientific, USA), and measured by the 127 FID channel. Other compounds were separated on a semi-polar column (DB-624, 128 60m×0.25mm ID×1.4µm, J&W Scientific, USA) and quantified using a quadrupole MS 129 detector. 130

These chemical analyses were subjected to rigorous quality assurance and quality control 131 procedures. We used an external standard method for the quantification of C2-C5 132 hydrocarbons, and an internal standard method for MS quantification of VOCs. Four 133 compounds were used as internal standards: bromochloromethane, 1,4-difluorobenzene, 134 chlorobenzene-d5, and bromofluorobenzene. We used two sets of certificated standards, a 135 mixture of 55 NMHCs (provided by the Environmental Technology Center, Canada), and a 136 mixture of oxygenated VOCs (OVOCs) and halocarbons (provided by Linde Electronics and 137 Specialty Gases, USA). Five concentrations (0.4–8 ppbv) were used to perform both 138 calibrations. R² values for calibration curves were all above 0.999 and 0.99 for NMHCs and 139 other species, respectively, indicating that integral areas of peaks were proportional to 140 concentrations of target compounds. We performed daily calibrations, and the variations in 141 target species responses were within $\pm 10\%$ of the calibration curve. The definitions of the 142 method detection limit (MDL) for each compound are given in US Environmental Protection 143 Agency (U.S. EPA) document TO-15, and the MDL of the online GC-MS/FID system for 144 each species ranged from 0.002 to 0.070 ppbv (Yuan et al., 2012). Detailed information of this 145 system can be found in Li et al. (2014). 146

147 **2.3 Source apportionment**

The US PMF 5.0 (U.S.EPA, 2014) was applied to identify major VOC sources and their temporal variations. PMF assumes that measured concentrations at receptor sites are linear combinations of contributions from different factors (Paatero et al., 1994). Based on the uncertainties inherent in each observation, the PMF solution minimizes the objective function Q, as shown in Eq. (1):

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$$Q = \sum_{i=1}^{m} \sum_{j=1}^{n} \left[\frac{x_{ij-\sum_{k=1}^{p} g_{ik} f_{kj}}}{u_{ij}} \right]^2 \quad , \tag{1}$$

where u is the uncertainty estimate of source j, measured in sample i.

The detailed calculation method of uncertainty is shown in Reff et al. (2007). The best PMF solution should make the value of Q identical to that of $Q_{theoretical}$. $Q_{theoretical}$ can be calculated as Eq. (2):

158
$$Q_{theoretical} = i \times j - p \times (i+j), \tag{2}$$

where *i* is the number of samples, *j* is the number of species, and *p* is the number of factors.

There is a rotational ambiguity in PMF results, and one way to choose among possible solutions is to use the F_{peak} parameter. " F_{peak} " is used to rotate an incorrect solution back to the real solution. The U.S.EPA PMF 5.0 has added two key components to the 3.0 version: two additional error estimation methods and source contribution constraints (Norris et al., 2014).

2.4 Calculation of SOAP-weighted mass contributions of each VOC source

SOAP-weighted mass contributions of each VOC source were used to estimate variations of 166 precursor source contributions to SOA formation. The SOAP represents the propensity for an 167 organic compound to form SOA when an additional mass emission of that compound is added 168 to the ambient atmosphere expressed relative to that SOA formed when the same mass of 169 toluene is added (Derwent et al., 2010). SOAPs are expressed as an index relative to toluene = 170 100. Toluene was chosen as the basic compound for the SOAP scale because its emissions are 171 well characterized and it is widely recognized as an important man-made precursor to SOA 172 formation (Johnson et al., 2006b; Kleindienst et al., 2007; Hu et al., 2008). SOAPs, expressed 173 relative to toluene=100, for 100 organic compounds are listed in Table S3, which are derived 174 175 from Derwent et al. (2010).

We hypothesized that all measured VOC species would have the greatest effect on SOA formation. The SOAP-weighted mass contribution of each VOC source can be calculated using Eq. (3):

179
$$SOAP_{weighted mass contribution} = \sum (VOCs)_{(i)} \times SOAP_{(i)}$$
 (3)

180 where $(VOCs)_{(i)}$ is the mass contribution of a VOC source to species *i* (µg cm⁻³), estimated by 181 PMF analysis (linking with the molar mass of VOC species and basing on ideal gas law, we 182 converts the unit of VOC species from ppbv to ug m⁻³); $SOAP_{(i)}$ is the SOA formation 183 potential for species *i* (unitless, Table S3).

SOA formation is dependent on background environmental conditions, particularly NO_x levels, which make it difficult to accurately quantify absolute SOA emissions. However, because the SOAP approach references the SOA increments to toluene, it removes much of the influence of the uncertainties in the absolute SOA concentrations. Although SOAPs were obtained using highly idealized test conditions, this approach can be used to evaluate the relative contribution of each VOC source to the reduction of SOA during the air quality control period.

191 **3 Results and discussion**

192 **3.1**Mixing ratios and chemical speciation

Ambient VOC mixing ratios and chemical compositions at PKU are summarized in Table 1. Before the control period, the mixing ratios of total VOCs averaged 86.17 ppbv and ranged from 17.05 to 247.93 ppbv. During control, this was reduced to 48.28 ppbv, with a range of 9.39–147.95 ppbv. After the control period, the mixing ratios of total VOCs increased to an average of 72.97 ppbv. Thus, the mixing ratios of total VOCs were reduced by 43.97% during the control period compared with the period before control. Compared with the period after control, the mixing ratios were 33.16% lower during control.

Alkanes were the most abundant VOC group in all three periods, comprising 37%, 42% 200 and 36%, respectively. Although lower, the contribution of alkenes increased over time, with 201 this group comprising 11%, 15% and 20% of total VOCs before, during, and after the control 202 period, respectively. Compared with the period before control, the mixing ratios of alkanes, 203 alkenes, aromatics, acetylene, OVOCs, halocarbons and acetonitrile decreased by 204 approximately 36%, 23%, 53%, 37%, 54%, 62%, and 65%, respectively, during control (Fig. 205 2). Notably, acetonitrile, halocarbons, OVOCs and aromatics were reduced by more than 50%. 206 After the control period, alkanes and acetylene increased to the greatest extent, and mixing 207 ratios were twice as high as those during control. The mixing ratios of other VOC groups 208 increased by ~30% after the control period. 209

As each source type has its own fingerprint, variations in chemical compositions differed (Wang et al., 2010a). The average mixing ratio of the 102 measured species are listed in Table 2. Ethane, ethylene, acetylene, propane and acetone are the five most abundant species during all the three periods. Compared with the period before control, the mixing ratios of all species decreased (Table 3). Tracers of industrial sources decreased most, including some halocarbons and esters. 2,2-Dimethylbutane, a tracer of motor vehicle exhaust (Chang et al., 2004), was one of the top 20 most decreased species.

217 **3.2 Temporal distribution of ambient VOCs**

Figure 3 displays the time series of total VOCs together with meteorological parameters 218 observed at the PKU site during this campaign. This clearly shows two major pollution 219 episodes, characterized by significantly elevated VOC concentrations. The first occurred 220 during 18–19 October (before control) and the second during 19–20 November (after control). 221 During the first episode, the highest VOC mixing ratios were recorded at midnight on 18 222 October, when wind speed was relatively low ($\sim 2 \text{ ms}^{-1}$), and VOC levels sharply increased 223 from ~ 80 ppbv to ~ 250 ppbv within 12 h. During the second episode, the peak VOC mixing 224 ratio was more than 270 ppbv, when wind speed was an average of 2 m s⁻¹, and VOC levels 225 rose gradually from ~90 ppbv to ~270 ppbv, perhaps caused by the accumulation of 226 pollutants. During the control period, no heavy pollution occurred, but we found episodes of 227 light pollution with elevated VOC mixing ratios: at midnight on 5 November and during the 228 morning of 10 November. Both had peak values below 150 ppbv, significantly lower than 229 those found before and after the control period. 230

To investigate pollution origins and transport pathways, 72-h air mass back trajectories 231 arriving at an elevation of 100, 200, and 500 m were calculated at 12:00 am (16:00 UTC) on 232 19 October and 4, 9, and 19 November for the PKU site using the NOAA Hybrid 233 Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model (Fig. 4). This method 234 showed that the air masses on 19 October originated from south China, and passed through 235 Henan and Hebei Provinces, before reaching PKU (Fig. 4a). The air masses on 4 November 236 originated from the northwest part of Inner Mongolia, and passed through Hebei Province, 237 before reaching PKU (Fig. 4b). The air masses on 9 November originated from Mongolia and 238 then passed through Inner Mongolia (Fig. 4c). The air mass on 19 November came from the 239 south, passing through Shandong and Henan provinces (Fig. 4d). 240

The diurnal variations of ambient NMHCs and OVOCs before, during, and after the control 241 period are shown in Fig. 5. NMHC values for the three periods showed similar daily 242 variations: stable during the night, decreasing after sunrise, at a minimum in the afternoon 243 (14:00–16:00 LT), then increasing at night. This is likely caused by the descending boundary 244 245 layer and possible night emissions (Li et al., 2014). In the control period, diurnal variations of NMHCs were less clear than those during the other periods, perhaps due to lower night 246 emissions. There were no obvious daily variations in OVOCs. Because of secondary 247 formation during the daytime, photolysis loss, and variation in the boundary layer, ambient 248 OVOC levels were stable (Chen et al., 2014). 249

During the period after control, nighttime NMHC mixing ratios were much higher than 250 those before control, suggesting that nighttime emission sources may differ. We also found 251 some evidence for the higher nighttime VOC mixing ratios in the period after control. Figure 252 6 shows the hourly average diurnal profiles for 2,2-dimethylbutane (2,2-DMB) and acetylene 253 before, during, and after the control. 2,2-DMB is considered a typical tracer for vehicular 254 emissions (Chang et al., 2004), and acetylene is a tracer for vehicular and other combustion 255 processes (Baker et al., 2008). Before the control period, the highest-/lowest-value ratios (the 256 highest average hourly mixing ratio of one VOC species divided by the lowest average hourly 257 mixing ratio of this species) of acetylene and 2, 2-DMB were very similar with the values of 258 259 2.32 and 2.13, respectively. During control, the highest-/lowest-value ratio of acetylene (3.05) became larger than the value of 2, 2-DMB (2.13). After control, the highest-/lowest-value 260 ratio of acetylene (4.08) was significantly higher than the value of 2, 2-DMB (2.08). The 261 highest-/lowest-value ratios of acetylene increased over time during the three periods, but the 262 values of 2, 2-DMB were relatively stable .So the influence from the planetary boundary layer 263 and vehicular emissions on the higher nighttime mixing ratios of acetylene can be eliminated. 264 Coal combustion was found to be an important source for ambient VOCs during winter in 265 Beijing (Wang et al., 2013b). We speculate that combustion may have been an important 266 nighttime source of VOCs during the second and the third periods. 267

268 **3.3 Variations of source emissions**

3.3.1 Identification of VOC sources

270 Source apportionments were performed using a PMF model to calculate reductions in source 271 emissions. We did not use species that were below MDL for more than 50% of the time or showed a significantly smaller signal to noise ratio (S/N). An S/N ratio was calculated for each species via PMF. After screening, 64 compounds, accounting for 90% of the total mixing ratios of the 102 VOC species, were used in the PMF analysis; the final data set comprised 695 samples. Modeling was performed for 4–11 factors and the eight-factor solution was deemed to be most representative.

To attribute PMF factors to emission sources, we compared PMF profiles and reference profiles from the literature. Eight sources were identified: (1) LPG, (2) industrial manufacturing, (3) industrial chemical feedstock, (4) fuel combustion, (5) solvent utilization, (6) evaporated or liquid gasoline, (7) vehicular exhaust, and (8) secondary and long-lived species. Modeled source profiles together with the relative contributions of individual sources to each analyzed species are shown in Fig. 7.

The first source shown in Fig. 7 is characterized by a significant amount of propane, 283 i-butane, and n-butane, which are representative species in LPG samples in China (Lu et al., 284 2004). LPG is an important domestic cooking source in Beijing. In 2012, the annual use of 285 LPG was ~391,200 to, of which 340,000 tons were used for cooking. Unlike in other large 286 cities, LPG vehicle use is not widespread in Beijing, and the annual consumption of LPG in 287 the transport sector in 2012 was only 3400 tons (China Energy Statistical Yearbooks, 2013). 288 Therefore, this source likely represented emissions from residential LPG consumption, and 289 was identified as "LPG". 290

Both the second and third sources were industry-related. The second and third source 291 profiles shown in Fig. 7 were characterized by high concentrations of chlorinated VOCs, 292 which are tracers of industries (Scheff and Wadden, 1993; Jiun et al., 2008). The second 293 294 source was also characterized by significant amounts of cyclopentane and methylmethacrylate. Cyclopentane is used in the manufacture of synthetic resins and rubber adhesives and as a 295 blowing agent in the manufacture of polyurethane insulating foam; Methylmethacrylate is a 296 transparent thermoplastic and often used as a lightweight or shatter-resistant alternative to 297 soda-lime glass (Nagai et al., 2001). Therefore, this source was identified as "industrial 298 manufacturing". Key species of the third source profile include chloromethane, chloroform, 299 n-hexane and acetone, all of which are widely used as feedstock in organic synthesis 300 (U.S.EPA, 1994). The third source was identified as "industrial chemical feedstock". 301

The fourth source profile shown in Fig. 7 was associated with over 50% of the total measured ethylene and acetylene mixing ratios, both of which were major species emitted

from combustion process (Liu et al., 2008), and is therefore the believed to be from the 304 combustion process. It was also characterized by significant amounts of ethane, propane, 305 C3-C4 alkenes, and benzene. Ethane is a tracer of natural gas usage, and the source profiles of 306 resident fuel combustion measured in China contained significant alkenes (Wang et al., 307 308 2013a). Moreira dos Santos et al. (2004) found that coal combustion can release significant amounts of benzene into the atmosphere. Therefore this source is believed to encompass 309 combustion, and may include different fuel types, such as coal, straw and natural gas and was 310 identified as "fuel combustion". 311

The fifth source profile shown in Fig. 7 was rich in aromatic species (benzene, toluene, 312 ethylbenzene, xylenes, BTEX) and esters (ethylacetate and n-butylacetate), and includes a 313 certain amount of halocarbons (1,2-dichloroethane and 1,2-dichloropropane). BTEX is a 314 major constituent of paints (Seila et al., 2001). Esters and halocarbons are used as industrial 315 solvents or adhesives found in paint strippers, varnishes, and furniture (Cai et al., 2010). Thus, 316 this source was considered to be a composite of emissions from solvent use and industrial 317 coatings (Seila et al., 2001). Some major VOC emission sources-such as car manufacturing, 318 printing, furniture manufacturing, shoe making and toy making-are mainly associated with 319 painting and adhesive use and are included in this source category of "solvent utilization". 320

Both the sixth and seventh source profiles included high mixing ratios of 321 2,2,4-trimethylpentane and MTBE. 2,2,4-Trimethylpentane is a fuel additive used to gain 322 higher octane ratings (McCarthy et al., 2013). MTBE is a common gasoline additive in 323 Beijing (Song et al., 2007). The two sources are both related to vehicle activities. The sources 324 differ in ethane and acetylene mixing ratios, which are higher in the seventh source but are 325 very low in the sixth source. Ethane and acetylene can be formed during the combustion 326 process (Song et al., 2007), so the sixth factor may be an evaporated or liquid gasoline factor 327 and source seven may be a mixture of evaporated or liquid gasoline and vehicular exhaust 328 with rich BTEX, OVOCs, and heavy alkanes, which are tracers of gasoline and diesel exhaust 329 (Liu et al., 2008). This was confirmed by a comparison with typical VOC ratios determined 330 for vehicular exhaust. The mean toluene/benzene ratio of the seventh source profile was 1.50, 331 and Jobson et al. (2004) observe a ratio of 1.59 for vehicular exhaust from several tunnel 332 studies, thus it was identified as "vehicular exhaust". 333

The eighth source profile, as shown in Fig. 7, is characterized by 52% of the total Freon113 mixing ratios, 47% of the total 1,1,2,2-tetrachloroethane mixing ratios, and 41% of the total

tetrachloromethane mixing ratios. These chemicals have a long lifespan in the atmosphere
(McCarthy et al., 2007). The eighth source profile also consists of most carbonyls: acetone,
propanal, n-pentanal, n-butanal and n-Hexanal. Considering the abundances of long lifetime
compounds and carbonyls, we believe that the loadings of VOC species in this source were
related to secondary formation and background levels. With a relatively constant contribution,
this source was identified as "secondary and long-lived species".

342 3.3.2 Estimation of source contributions

The hourly mixing ratio contributions of each VOC source are presented in Fig. 8. Compared with the non-control periods, the reconstructed mixing ratios of most sources were lower during the control period, including those of industrial manufacturing, industrial chemical feedstock, solvent utilization, evaporated or liquid gasoline, and vehicular exhaust. In contrast, the mixing ratio contributions of LPG showed higher values during the control period. The contributions of fuel combustion increased continuously over time, and the contributions of secondary and long-lived species were relatively constant.

Figure 9 illustrates source contribution percentages before, during and after control, and 350 table 4 lists the source mixing ratio contributions during the three periods. Before control, 351 vehicular exhaust was the largest contributor (35%) to VOC mixing ratios, contributing 27.82 352 ppbv, followed by industrial manufacturing (13.51 ppbv, 17%) and solvent utilization (9.68 353 ppbv, 12%). Fuel combustion, LPG, evaporated or liquid gasoline, secondary and long-lived 354 species, and industrial chemical feedstock contributed 7.05, 6.21, 6.09, 4.47, and 4.01 ppbv, 355 accounting for 9%, 8%, 8%, 6%, and 5% of the total VOC mixing ratios, respectively. The 356 vehicle-related emission sources, (vehicle exhaust and evaporated or liquid gasoline) together 357 accounted for 43% of the VOC mixing ratios. The industrial-related emissions, (industrial 358 manufacturing and chemical feedstock) together accounted for 22% of the VOC mixing ratios. 359 This indicated that traffic and industry sources were major VOC sources before the control 360 period. 361

During the control period, the largest contributor was fuel combustion, with 12.70 ppbv, accounting for 27% of total VOCs. The second largest contributor was vehicular exhaust, with 8.17 ppbv, accounting for 17% of total VOCs. Contributions from LPG and secondary and long-lived species were 7.55 and 5.00 ppbv, respectively. Contributions from industrial chemical feedstock, solvent utilization and evaporated or liquid gasoline were 3.66, 3.48, and 367 3.24 ppbv, accounting for 8%, 7%, and 7% of total VOCs, respectively. The contribution
368 from industrial manufacturing was relatively low, at 3.22 ppbv.

After control, the city turns to the central heating period and the largest contributor was fuel combustion, with 31.77 ppbv, accounting for 45% of total VOCs. Vehicular exhaust, solvent utilization, industrial manufacturing, secondary and long-lived species, evaporated or liquid gasoline, industrial chemical feedstock and LPG contributed 9.98, 8.05, 6.10, 4.05, 3.72, 3.50, and 3.01 ppbv, accounting for 14%, 11%, 9%, 6%, 5%, 5%, and 4% of total VOCs, respectively.

Compared with the period before the control, the contributions of vehicular exhaust during the 375 control were reduced to the greatest extent, with a value of 19.65 ppbv, followed by industrial 376 manufacturing (10.29 ppbv) and solvent utilization (6.20 ppbv). Evaporated or liquid gasoline 377 and industrial chemical feedstock were slightly reduced, with the values of 2.85 and 0.35 378 ppbv. Reductions of vehicular exhaust, industrial manufacturing, solvent utilization, 379 evaporated or liquid gasoline, and industrial chemical feedstock were responsible for 50%, 380 381 26%, 16%, 7%, and 1% of the reductions in ambient VOCs, indicating that the control measures on traffic were most effective. The contributions of secondary and long-lived 382 species were relatively stable. In contrast, due to central heating and weak control on fuel 383 combustion and LPG, contributions from these sources were elevated by 80% and 22%, 384 respectively. November is a transition month for central heating in northern China, which 385 means that fuel combustion contributions would increase with time. Because of a lack of 386 relative control measures for LPG, the peak contribution of this source occurred during the 387 control period. The temperature difference during this campaign is relatively low, the 388 influence from meteorological variability on VOC evaporation were not considered in this 389 390 study.

391 **3.4 Precursor source contributions to SOA**

It is reported that during control the concentration of $PM_{2.5}$ reduced a lot (Beijing Municipal Environmental Protection Bureau, http://www.bjepb.gov.cn/). SOA constitute a significant fraction of $PM_{2.5}$ in China (Ding et al., 2012; Guo et al., 2012; Huang et al., 2014) and VOCs play an important role in the formation of SOA. The large reduction of VOCs may lead to the reduction of SOA and contribute to the $PM_{2.5}$ reduction. SOAP-weighted mass contributions of each VOC source were used to estimate the influence of variations of precursor emissions on SOA. The SOAP-weighted mass contributions of each VOC source before, during, andafter the control period are listed in Table 5.

Before the control period, the SOAP-weighted mass contribution of vehicular-related sources was much higher than other VOC source with a value of 1613 μ g cm⁻³, accounting for 43% of the total. In contract, the SOAP-weighted mass contribution of each VOC source was very similar during the control period. Because of the abatement measures for pollutant emissions during the control period, the SOAP-weighted mass contributions of vehicular-related sources were most reduced compared with the period before control, with the value of 1013 μ g cm⁻³, explaining the 52% reduction in SOA.

Solvent utilization was the second largest source of man-made SOA precursors before control with the SOAP-weighted mass contributions of 1132 μ g cm⁻³, accounting for 43% of the total. During the control period, the reduction in SOAP-weighted mass contributions from solvent utilization was 725 μ g cm⁻³, explaining the 37% reduction in SOA. The reductions in other VOC sources were much smaller. Thus, vehicle and solvent utilization controls were the most important measures taken to reduce SOA during APEC Chain 2014 in Beijing.

413 Current knowledge about formation mechanisms of SOA is still very limited (Guo et al., 414 2012). We have to point out that the SOAP is computed to understand the potential to form 415 SOA for VOC species, which cannot estimate the SOA formation from VOCs actually in 416 certain atmospheric conditions. In this study, we used SOAP approach to discuss the 417 effectiveness of the air quality controls. Detailed VOC data in this work will provide useful 418 information for further study on the formation mechanisms of SOA.

419 **4 Conclusions**

Mixing ratios of C2–C12 VOCs were measured at an urban site in Beijing before, during and 420 after the APEC China 2014. Total VOC mixing ratios were reduced by 44% during the 421 control period, and the mixing ratios of acetonitrile, halocarbons, OVOCs, aromatics, 422 acetylene, alkanes, and alkenes decreased by approximately 65%, 62 %, 54%, 53%, 37%, 423 36%, and 23%, respectively. The mixing ratios of all 102 measured species decreased, with 424 that of chloroethane decreasing the most substantially. PMF analysis shows that contributions 425 from controlled sources, i.e., vehicle-related sources, industrial manufacturing, and solvent 426 utilization, were significantly reduced under air quality regulations. Among these, controls on 427 vehicles were most effective, causing more than half of the reductions in ambient VOCs, and 428 resulting in significant decreases in SOA. Industrial manufacturing controls were the second 429

most important cause of ambient VOC reductions; control on solvent utilization appears to be
the second important cause of SOA reductions. Fuel combustion was found to be an important
source of ambient VOCs during the central heating period in Beijing.

Our results indicate that the stringent air quality restrictions implemented during APEC China 2014 were successful, and that controls on vehicles were the most important measures to ambient VOCs. As severe haze pollution events in China are mainly driven by secondary aerosol formation, these findings will also provide cost-effective solutions for lessening fine particle pollution. The detailed VOC provided here will provide information for further studies on the SOA formation and human health.

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440 Acknowledgments

We appreciate financial support from the Environmental Protection Ministry of China for
Research of Characteristics and Controlling Measures of VOC Emissions from Typical
Anthropogenic Sources (no. 2011467003).

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- 613

- 614 **Figure and Table captions:**
- **Table 1.** Volatile organic compound (VOC) mixing ratios (ppbv), measured in Beijing.
- **Table 2.** Average mixing ratios (ppbv) of volatile organic compound (VOC) species measured

617 in Beijing.

- Table 3. The top 20 volatile organic compound (VOC) species with the highest decreasingratios.
- **Table 4.** Source contributions (ppbv) derived by PMF analysis.
- **Table 5.** SOAP-weighted mass contributions (ug cm⁻³) of each VOC source before, during,
- and after the control period during APEC China 2014.
- **Figure 1.** The location of Beijing city in China and the sampling site in Beijing.
- 624 Figure 2. Mixing ratios of volatile organic compound (VOC) groups before, during, and after
- 625 the control period during APEC China 2014.
- 626 Figure 3. Time series of total measured volatile organic compounds (VOCs), temperature,
- 627 wind direction, and speed at PKU site during this study.
- Figure 4. Three-day backward trajectories ending at 12:00 am (16:00 UTC) 19 October, 4, 9,and 19 November 2014.
- 630 Figure 5. Diurnal variations in mixing ratios of non-methane hydrocarbons (NMHCs) and
- 631 oxygenated volatile organic compounds (OVOC) at the PKU site before, during, and after the
- 632 control period during APEC China 2014.
- Figure 6. Diurnal variations of mixing ratios of 2,2-dimethylbutane and acetylene at the PKU
 site before, during, and after the control period during APEC China 2014.
- **Figure 7.** Eight source profiles (bars; ppbv ppbv⁻¹) resolved from PMF model, and contribution percentages (dots) from each source factor.
- Figure 8. Time series of hourly contributions from each identified source from 18 October to22 November 2014.
- **Figure 9.** Over all contributions (%) for the eight sources identified by PMF analysis before,
- 640 during, and after the control period during the APEC China 2014.

| | U | 1 | , 0 | | | 5 0 |
|--------------|----------------------------|-----------------------------|--------------|------------------|--------------|------------------|
| VOC groups | Before(N ^a =240 | ;17/10-31/10 ^b) | During(N=234 | 4;3/11-12/11) | After(N=221; | 13/11-22/11) |
| VOC groups | Rang | $Average \pm sd$ | Rang | Average \pm sd | Rang | $Average \pm sd$ |
| Alkanes | 3.39-109.87 | 32.1±18.89 | 2.21-66.48 | 20.39±15.45 | 5.25-90.54 | 26.57±19.09 |
| Alkenes | 0.66-31.05 | 9.39±5.42 | 0.26-25.39 | 7.22±6.30 | 0.92-63.50 | 14.58±12.99 |
| Aromatics | 1.00-46.5 | 9.55±5.97 | 0.46-16.81 | 4.52±3.59 | 0.85-32.75 | 7.14±6.30 |
| Acetylene | 0.36-23.7 | 6.41±4.17 | 0.24-15.24 | 4.04±3.34 | 0.70-32.31 | 8.27±7.04 |
| OVOCs | 4.29-40.49 | 15.27 ± 7.08 | 2.15-20.79 | 7.09±3.67 | 2.70-35.08 | 9.36±6.28 |
| Halocarbons | 4.71-34.14 | 12.37±5.28 | 1.89-14.33 | 4.64±2.35 | 1.84-46.89 | 6.54±5.85 |
| Acetonitrile | 0.20-19.71 | 1.09±2.37 | 0.08-4.35 | 0.38±0.40 | 0.11-1.50 | 0.49±0.33 |
| Total VOCs | 17.05-247.93 | 86.17±43.67 | 9.39-147.95 | 48.28±33.87 | 0.85-271.91 | 72.97±55.69 |
| a d 1. | 1 | | | | | |

Table 1. Volatile organic compound (VOC) mixing ratios (ppbv), measured in Beijing.

642 ^a Sampling number;

⁶⁴³ ^b Sampling date.

| Species | Before | During | After | Species | Before | During | After |
|--------------------------|--------|--------------|--------------|---------------------------|--------------|--------|-------|
| Ethane | 10.80 | 7.71 | 11.31 | Trichloroethylene | 0.14 | 0.07 | 0.08 |
| Propane | 6.38 | 4.44 | 5.40 | 1,2-Dichloropropane | 1.01 | 0.36 | 0.67 |
| Isobutane | 2.32 | 1.57 | 1.75 | Bromodichloromethane | 0.00 | 0.00 | 0.00 |
| n-Butane | 3.27 | 1.89 | 2.25 | trans-1,3-Dichloropropene | 0.02 | 0.01 | 0.01 |
| cyclopentane | 0.35 | 0.09 | 0.14 | cis-1,3-Dichloropropene | 0.01 | 0.00 | 0.00 |
| Isopentane | 2.16 | 1.14 | 1.41 | 1,1,2-Trichloroethane | 0.10 | 0.04 | 0.06 |
| n-Pentane | 1.61 | 0.74 | 0.99 | Tetrachloroethylene | 0.17 | 0.08 | 0.09 |
| 2,2-dimethylbutane | 0.07 | 0.02 | 0.03 | 1,2-Dibromoethane | 0.00 | 0.00 | 0.00 |
| 2,3-dimethylbutane | 0.25 | 0.14 | 0.18 | Chlorobenzene | 0.05 | 0.01 | 0.02 |
| 2-methylpentane | 0.73 | 0.44 | 0.54 | Bromoform | 0.00 | 0.00 | 0.00 |
| 3-methylpentane | 0.49 | 0.25 | 0.27 | 1,1,2,2-Tetrachloroethane | 0.23 | 0.14 | 0.14 |
| n-hexane | 0.93 | 0.66 | 0.62 | 1,3-Dichlorobenzene | 0.00 | 0.00 | 0.00 |
| 2,4-dimethylpentane | 0.06 | 0.03 | 0.03 | 1,4-Dichlorobenzene | 0.09 | 0.08 | 0.08 |
| methylcyclopentane | 0.44 | 0.23 | 0.27 | Benzylchloride | 0.00 | 0.00 | 0.00 |
| 2-methylhexane | 0.20 | 0.09 | 0.12 | 1,2-Dichlorobenzene | 0.00 | 0.00 | 0.00 |
| cyclohexane | 0.41 | 0.13 | 0.18 | acrolein | 0.30 | 0.17 | 0.27 |
| 2,3-dimethylpentane | 0.12 | 0.05 | 0.07 | Propanal | 0.61 | 0.30 | 0.31 |
| 3-methylhexane | 0.21 | 0.10 | 0.14 | Acetone | 4.29 | 2.19 | 2.48 |
| 2,2,4-trimethylpentane | 0.18 | 0.09 | 0.11 | Methylacetate | 1.02 | 0.39 | 0.56 |
| n-heptane | 0.26 | 0.12 | 0.18 | MTBE | 0.88 | 0.39 | 0.42 |
| methylcyclohexane | 0.23 | 0.08 | 0.12 | Methacrolein | 0.06 | 0.02 | 0.05 |
| 2,3,4-trimethylpentane | 0.09 | 0.04 | 0.05 | Vinylacetate | 0.02 | 0.01 | 0.04 |
| 2-methylheptane | 0.08 | 0.04 | 0.06 | n-Butanal | 0.15 | 0.07 | 0.08 |
| 3-methylheptane | 0.05 | 0.02 | 0.03 | Methylvinylketone | 0.36 | 0.18 | 0.23 |
| octane | 0.13 | 0.07 | 0.10 | Methylethylketone | 1.79 | 0.59 | 0.76 |
| n-nonane | 0.10 | 0.05 | 0.08 | Ethylacetate | 2.12 | 0.88 | 1.39 |
| n-decane | 0.10 | 0.06 | 0.07 | 2-pentanone | 0.07 | 0.03 | 0.04 |
| Udecane | 0.06 | 0.04 | 0.05 | n-Pentanal | 0.11 | 0.07 | 0.08 |
| Dodecane | 0.05 | 0.05 | 0.04 | 3-pentanone | 0.02 | 0.01 | 0.01 |
| Ethylene | 7.18 | 5.51 | 11.23 | Methylmethacrylate | 1.73 | 0.97 | 1.37 |
| Propene | 1.33 | 1.16 | 2.42 | n-Hexanal | 0.46 | 0.25 | 0.28 |
| rans-2-Butene | 0.12 | 0.10 | 0.15 | n-Butylacetate | 1.28 | 0.57 | 1.00 |
| 1-Butene | 0.35 | 0.22 | 0.42 | Acetylene | 6.41 | 4.04 | 8.27 |
| cis-2-Butene | 0.16 | 0.09 | 0.17 | Acetonitrile | 1.09 | 0.38 | 0.49 |
| rans-2-pentene | 0.06 | 0.03 | 0.05 | benzene | 1.98 | 1.02 | 1.87 |
| soprene | 0.11 | 0.06 | 0.07 | toluene | 3.31 | 1.57 | 2.37 |
| cis-2-pentene | 0.03 | 0.00 | 0.02 | ethylbenzene | 1.13 | 0.49 | 0.74 |
| 1-hexene | 0.05 | 0.03 | 0.02 | m/p-xylene | 1.01 | 0.49 | 0.71 |
| Bromomethane | 0.01 | 0.01 | 0.01 | o-xylene | 0.71 | 0.32 | 0.49 |
| Chloroethane | 0.10 | 0.02 | 0.01 | styrene | 0.24 | 0.32 | 0.49 |
| Freon11(CFCl3) | 3.61 | 0.58 | 0.85 | isopropylbenzene | 0.05 | 0.02 | 0.03 |
| Freon113(C2F3Cl3) | 0.09 | 0.08 | 0.05 | n-propylbenzene | 0.05 | 0.02 | 0.05 |
| 1,1-Dichloroethylene | 0.09 | 0.08 | 0.08 | 3-ethyltoluene | 0.09 | 0.04 | 0.00 |
| Dichloromethane | 3.76 | 2.00 | 2.60 | 4-ethyltoluene | 0.23 | 0.10 | 0.10 |
| 1,1-Dichloroethane | 0.26 | 2.00 0.11 | 2.00 0.15 | 1,3,5-trimethylbenzene | 0.15 | 0.03 | 0.08 |
| cis-1,2-Dichloroethylene | 0.26 | 0.11 | 0.15 | 2-ethyltoluene | | 0.04 | 0.06 |
| Chloroform | 0.03 | 0.02 0.42 | | 1,2,4-trimethylbenzene | 0.10 0.29 | 0.04 | 0.07 |
| | 0.93 | 0.42 | 0.62 | - | | | |
| | 0.01 | 0.00 | 0.00 | 1,2,3-trimethylbenzene | 0.08 | 0.04 | 0.06 |
| 1,1,1-Trichloroethane | 0.16 | 0.11 | 0.12 | 1,3-diethylbenzene | 0.02 | 0.01 | 0.01 |

Table 2. Average mixing ratios (ppbv) of VOC species measured in Beijing.

Table 3. The top 20 volatile organic compound (VOC) species with the highest decreasing

647 ratios.

| Species | Decreasing ratio | Species | Decreasing ratio |
|----------------------|------------------|---------------------------|------------------|
| Chloroethane | 80.34% | 1,2-dichloropropane | 64.27% |
| 1,1-dichloroethylene | 76.46% | Methylcyclohexane | 63.67% |
| Chlorobenzene | 74.24% | cis-1,3-dichloropropene | 62.49% |
| Cyclopentane | 72.58% | trans-1,3-dichloropropene | 62.36% |
| 1,2-dichloroethane | 69.14% | Methylacetate | 61.67% |
| Cyclohexane | 68.13% | 2,3-dimethylpentane | 61.23% |
| Methylethylketone | 66.91% | Ethylacetate | 58.80% |
| Methacrolein | 65.12% | 4-ethyltoluene | 58.39% |
| Acetonitrile | 65.12% | 3-ethyltoluene | 58.25% |
| 2,2-dimethylbutane | 64.79% | 1,1-dichloroethane | 58.23% |

| Table 4. Source contributions (ppbv) derived by PMF anal | ysis. |
|---|-------|
|---|-------|

| Source | Average source contribution | | | |
|----------------------------------|-----------------------------|--------|-------|--|
| Source | Before | During | After | |
| LPG | 6.21 | 7.55 | 3.01 | |
| Fuel combustion | 7.05 | 12.70 | 31.77 | |
| Industrial manufacturing | 13.51 | 3.22 | 6.10 | |
| Industrial chemical feedstock | 4.01 | 3.66 | 3.50 | |
| Solvent utilization | 9.68 | 3.48 | 8.05 | |
| Evaporated or liquid gasoline | 6.09 | 3.24 | 3.72 | |
| Vehicular exhaust | 27.82 | 8.17 | 9.98 | |
| Secondary and long-lived species | 4.47 | 5.00 | 4.05 | |
| Total | 78.85 | 47.02 | 70.18 | |

Table 5. SOAP-weighted mass contributions (ug cm⁻³) of each VOC source before, during,

| Courses | Source contr | ibution | |
|----------------------------------|--------------|---------|-------|
| Source | Before | During | After |
| LPG | 143 | 174 | 69 |
| Fuel combustion | 158 | 285 | 711 |
| Industrial manufacturing | 494 | 118 | 223 |
| Industrial Chemical feedstock | 131 | 120 | 114 |
| Solvent utilization | 1132 | 407 | 941 |
| Evaporated or liquid gasoline | 526 | 280 | 321 |
| Vehicular exhaust | 1087 | 320 | 390 |
| Secondary and long-lived species | 89 | 99 | 80 |

and after the control period during APEC China 2014.

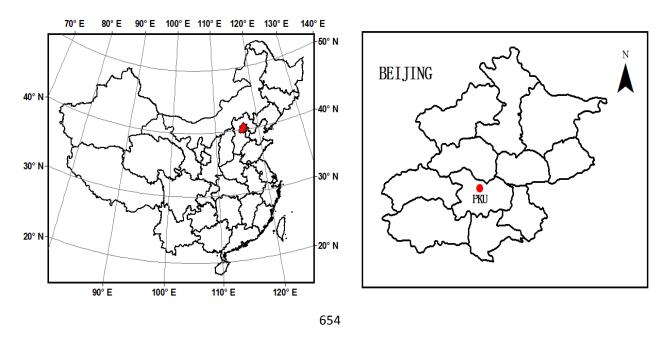


Figure 1. The location of Beijing in China and the sampling site in Beijing.

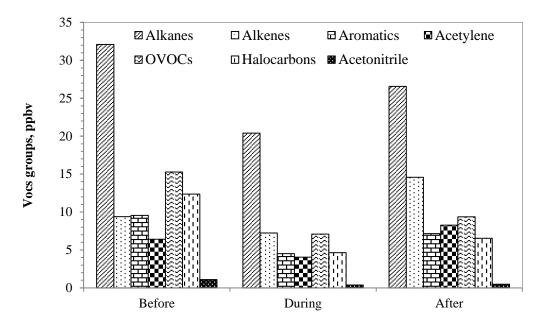




Figure 2. Mixing ratios of volatile organic compound (VOC) groups before, during, and after

the control period during APEC China 2014.

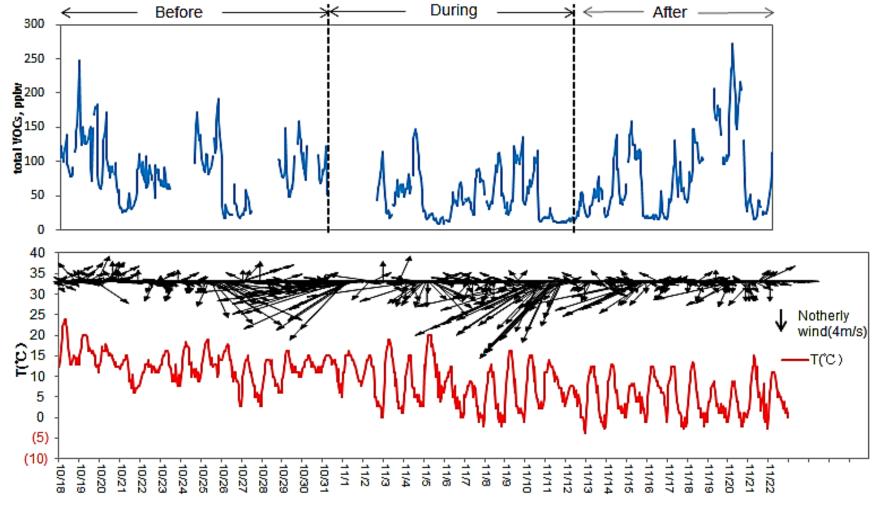
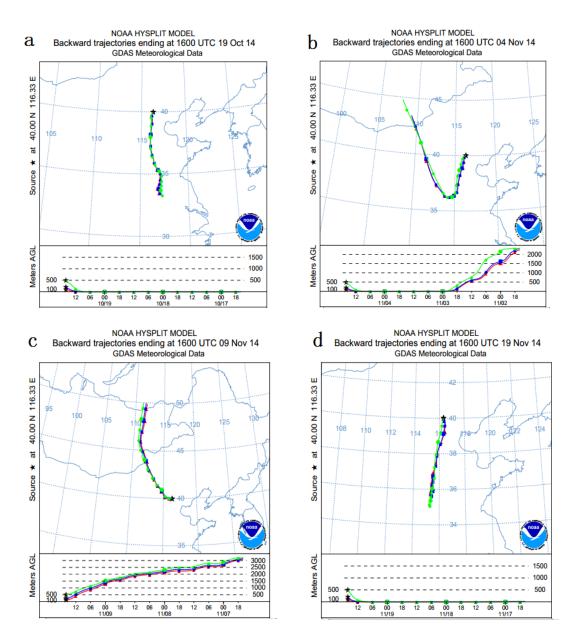




Figure 3. Time series of total measured volatile organic compounds (VOCs), temperature, wind direction, and speed at the PKU site during this study. 663



664

Figure 4. Three-day backward trajectories ending at 12:00 am (16:00 UTC) 19

666 October, and 4, 9, and 19 November 2014.

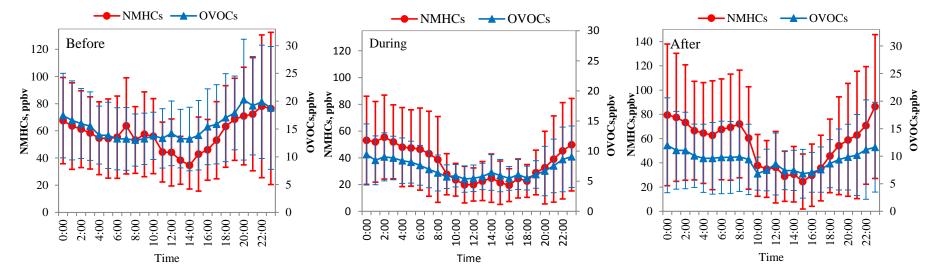


Figure 5. Diurnal variations in mixing ratios of non-methane hydrocarbons (NMHCs) and oxygenated volatile organic compounds (OVOC) at the

669 PKU site before, during, and after the control period during APEC China 2014.

670

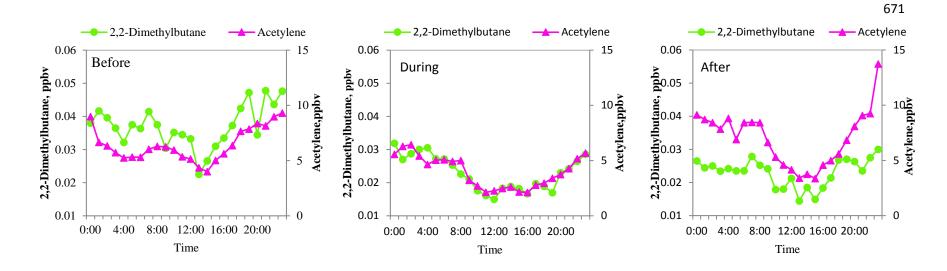


Figure 6. Diurnal variations of mixing ratios of 2,2-dimethylbutane and acetylene at the PKU site before, during, and after the control period
 during APEC China 2014.

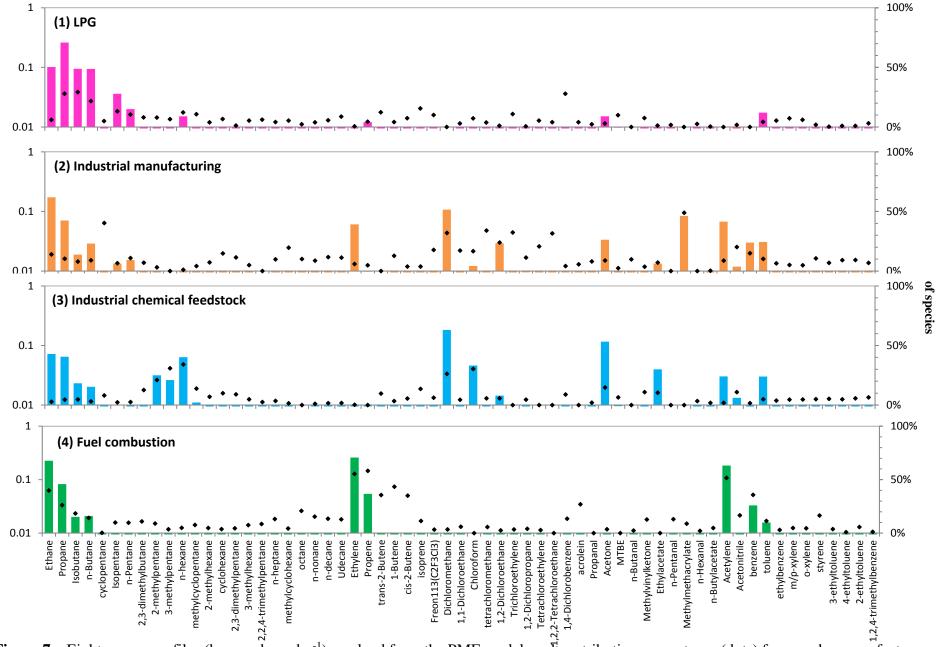


Figure 7a. Eight source profiles (bars; ppbv ppbv⁻¹) resolved from the PMF model, and contribution percentages (dots) from each source factor.

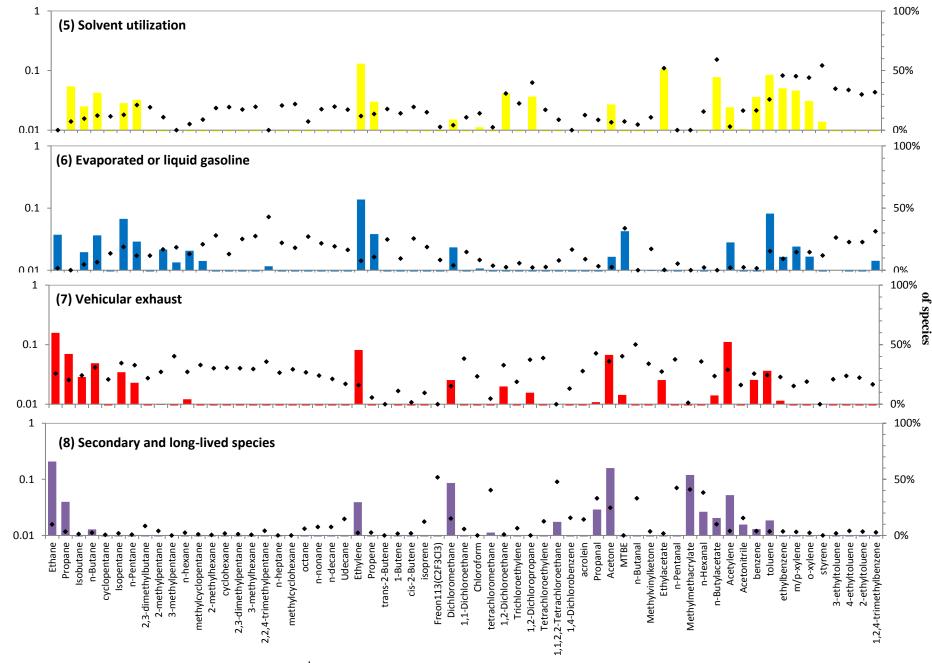


Figure 7b. Eight source profiles (bars; ppbv ppbv⁻¹) resolved from PMF model, and contribution percentages (dots) from each source factor.

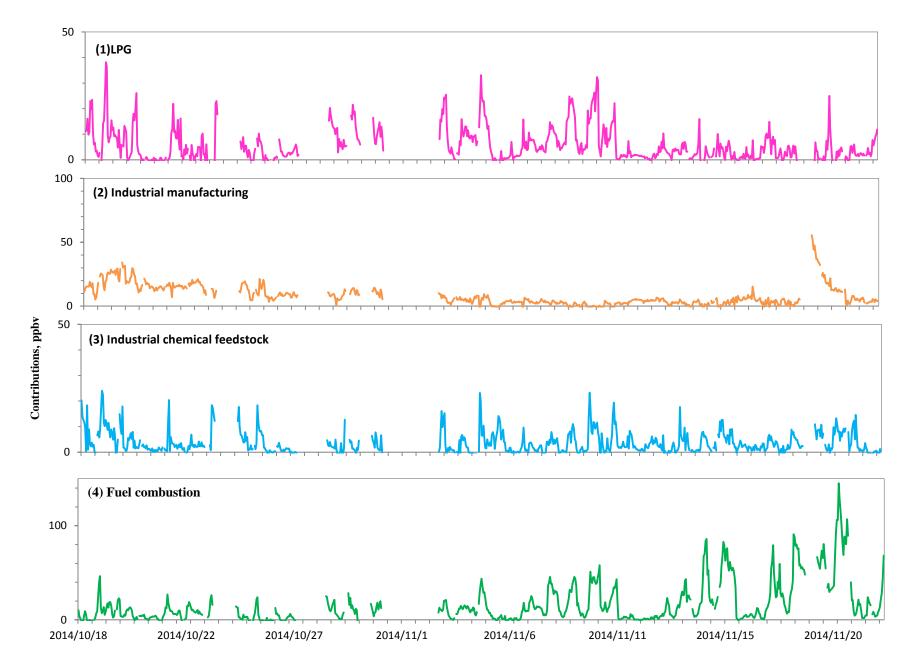


Figure 8a. Time series of hourly contributions from each identified source from 18 October to 22 November 2014.

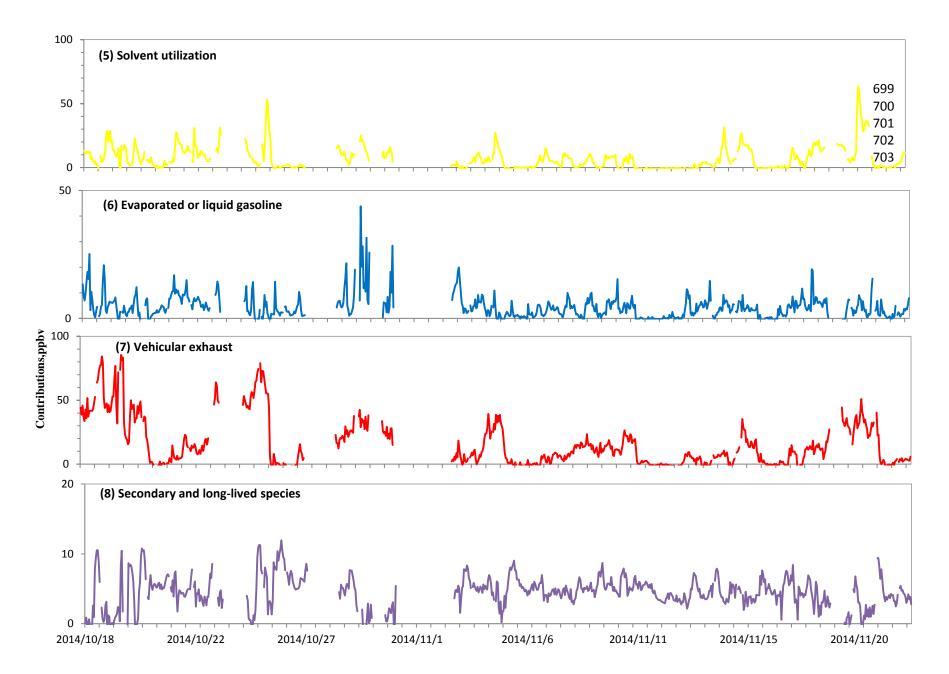
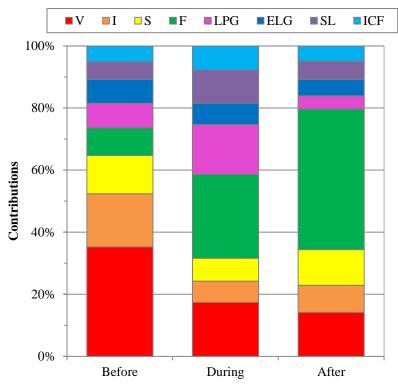
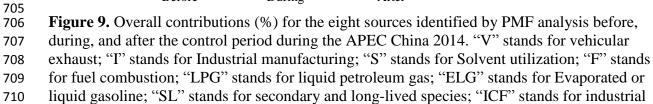


Figure 8b. Time series of hourly contributions from each identified source from 18 October to 22 November 2014.





711 chemical feedstock.