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Laboratory photochemical processing of aqueous aerosols: formation and degradation of dicarboxylic acids, oxocarboxylic acids and α -dicarbonyls

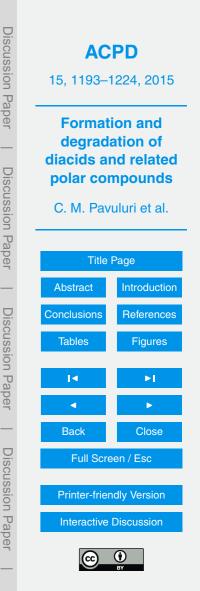
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Abstract

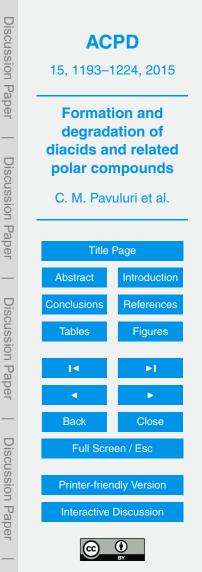
To better understand the photochemical processing of dicarboxylic acids and related polar compounds, we conducted batch UV irradiation experiments on two types of aerosol samples collected from India, which represent anthropogenic (AA) and biogenic aerosols (BA), for time periods of 0.5 to 120 h. The irradiated samples were analyzed for molecular compositions of diacids, oxoacids and α -dicarbonyls. The results show that photochemical degradation of oxalic (C₂) and malonic (C₃) and other C₈-C₁₂ diacids overwhelmed their production in aqueous aerosols whereas succinic acid (C₄) and C₅-C₇ diacids showed a significant increase (ca. 10 times) during the course of irradiation experiments. The photochemical formation of oxoacids and α -dicarbonyls overwhelmed their degradation during the early stages of experiment, except for ω oxooctanoic acid (ω C₈) that showed a similar pattern to that of C₄. We also found a gradual decrease in the relative abundance of C₂ to total diacids and an increase in the relative abundance of C₄ during prolonged experiment. Based on the changes

- ¹⁵ in concentrations and mass ratios of selected species with the irradiation time, we hypothesize that iron-catalyzed photolysis of C_2 and C_3 diacids dominates their concentrations in Fe-rich atmospheric waters, whereas photochemical formation of C_4 diacid (via ωC_8) is enhanced with photochemical processing of aqueous aerosols in the atmosphere. This study demonstrates that the ambient aerosols contain abundant precursors that produce diacids, oxoacids and α -dicarbonyls, although some species such as
- oxalic acid decompose extensively during an early stage of photochemical processing.

1 Introduction

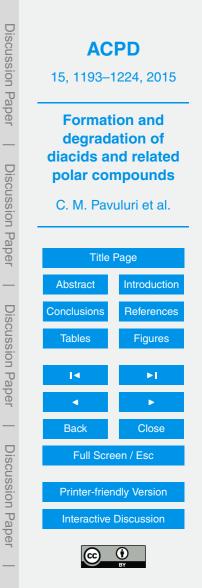
Dicarboxylic acids and related polar compounds constitute a significant fraction of water-soluble organic aerosols in the atmosphere (Kawamura and Sakaguchi, 1999; Pavuluri et al., 2010; Saxena and Hildemann, 1996). They have a potential contribu-

²⁵ Pavuluri et al., 2010; Saxena and Hildemann, 1996). They have a potential contribution to the formation of cloud condensation nuclei (CCN) due to their water-soluble



and hygroscopic properties (Giebl et al., 2002; Saxena and Hildemann, 1996). Thus diacids and related compounds have an impact on the indirect radiative forcing and hydrological cycle (Albrecht, 1989; Twomey, 1977). They also involve in a series of reactions occurring in gas phase, aerosols and atmospheric waters (Chebbi and Car-

- lier, 1996; Wang et al., 2010b). Although diacids, oxoacids and *α*-dicarbonyls can be directly emitted into the atmosphere from incomplete combustion of fossil fuels (Kawamura and Kaplan, 1987) and biomass burning (Narukawa et al., 1999), they are mainly formed by secondary processes of volatile organic compounds of anthropogenic and biogenic origin (Kanakidou et al., 2005; Kawamura et al., 1996a; Kawamura and Sak aguchi, 1999). They are further subjected to photochemical oxidation during long-range
- aguchi, 1999). They are further subjected to photochemical oxidation during long-range transport; e.g., carbonyls to carboxylic acids (Tilgner and Herrmann, 2010) and breakdown of higher to lower diacids (Kawamura and Sakaguchi, 1999; Matsunaga et al., 1999; Wang et al., 2010a).
- Molecular distributions of diacids in atmospheric aerosols have generally been re-¹⁵ ported with a predominance of oxalic (C_2) acid followed by malonic (C_3) or succinic (C_4) acid in different environments (Kawamura and Kaplan, 1987; Kawamura and Ikushima, 1993; Kawamura and Sakaguchi, 1999; Narukawa et al., 1999; Pavuluri et al., 2010). The predominance of C_2 in different environments is likely explained because it is an ultimate end product in the chain reactions of diacids and various precursors includ-
- ²⁰ ing aromatic hydrocarbons, isoprene, alkenes and *a*-dicarbonyls (Carlton et al., 2007; Charbouillot et al., 2012; Ervens et al., 2004b; Kawamura et al., 1996a; Lim et al., 2005; Warneck, 2003). In contrast, C₄ was reported to be more abundant than C₂ in some aerosol samples collected from Antarctica (Kawamura et al., 1996b), the Arctic (Kawamura et al., 2010) and over the Arctic Ocean (Kawamura et al., 2012) as well
- as in ice core samples from Greenland (Kawamura et al., 2001). In addition, a significant reduction in C₂ diacid concentration and an inverse relationship between C₂ and Fe has been reported in stratocumulus clouds over the northeastern Pacific Ocean (Sorooshian et al., 2013). The predominance of C₄ over C₂ in ice core samples and atmospheric aerosols from polar regions, particularly in the Arctic marine aerosol sam-



ples collected under overcast conditions with fog or brume event (Kawamura et al., 2012) and the reduction of C_2 in cloud water, suggest that photochemical formation of C_4 and/or degradation of C_2 (Pavuluri and Kawamura, 2012) should be enhanced in atmospheric waters.

- ⁵ However, the photochemical formation and degradation of diacids and related compounds are not fully understood, particularly in aqueous phase because the composition of aqueous solutions used in laboratory experiments do not reflect the complex mixture of organic and inorganic aerosol constituents in the atmosphere and the experimental conditions are not necessarily atmospherically relevant (Ervens et al., 2011).
- Hence, it is required to investigate the fate of diacids and related polar compounds with photochemical processing in atmospheric waters. In this study, we conducted a laboratory experiment using two types of ambient aerosol samples collected from Chennai, India, which represent anthropogenic (AA) and biogenic aerosols (BA). The samples were exposed to UV irradiation in the presence of moisture for different time ranging
- ¹⁵ from 0.5 to 120 h and then analyzed for diacids, oxoacids and α -dicarbonyls. Here, we report their molecular compositions and discuss the photochemical formation and/or degradation of diacids as a function of the irradiation time. Based on the results obtained, we propose possible photochemical formation and degradation pathways of diacids and related compounds with atmospheric implications.

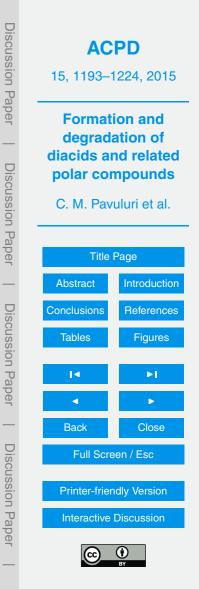
20 2 Materials and methods

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2.1 Atmospheric aerosol samples

In this study, we used two types of atmospheric aerosol (PM_{10}) samples that were collected in winter on 28 January (IND104) and in summer on 25 May (IND178), 2007 during daytime (ca. 06:00–18:00 LT) from Chennai (13.03° N; 80.17° E), India using a high volume air sampler and pre-combusted (450°C, 4h) quartz fiber filters. Sam-

pling was conducted on the rooftop of the Mechanical Sciences building (~ 18 m a.g.l.

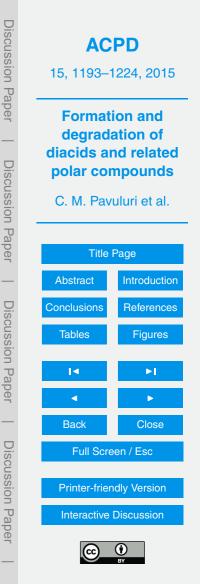


(above the ground level)) at the Indian Institute of Technology Madras (IITM) campus. The details of sampling site and meteorology are described elsewhere (Pavuluri et al., 2010). The sample filter was placed in a preheated glass jar with a Teflon-lined screw cap and stored in darkness at -20 °C prior to the experiment. Figure 1 presents ten-day

- ⁵ backward air mass trajectories arriving in Chennai at 500 m a.g.l. for every 6 h during the sampling periods of IND104 and IND178. Table 1 shows concentrations of elemental carbon (EC), organic carbon (OC), levoglucosan and sums of hopanes (specific biomarkers of petroleum and coal) and lipid class compounds: fatty acids and fatty alcohols, in IND104 and IND178 (Fu et al., 2010; Pavuluri et al., 2011).
- ¹⁰ The air mass trajectories showed that the air masses for the IND104 sample originated from the north Indian subcontinent passing over the Bay of Bengal (Fig. 1). In North India, anthropogenic emissions are mainly derived from fossil fuel combustion and forest fires (Lelieveld et al., 2001; Reddy and Venkataraman, 2002a). This sample is enriched with EC (Table 1). The anthropogenic signature of IND104 is fur-
- ther supported by high abundances of hopanes. In contrast, the air masses for the IND178 sample originated from the Arabian Sea passing over the south Indian subcontinent (Fig. 1), where the emissions from marine biota, combustion of biofuels (e.g., cow-dung) (Reddy and Venkataraman, 2002b) and livestock (Garg et al., 2001) are important. In addition, emission of volatile organic compounds (VOCs) from tropical plant
- species in India is enhanced in summer (Padhy and Varshney, 2005). This sample is enriched with OC but EC is less abundant (Table 1). The biogenic signature of IND178 is supported by high abundances of fatty acids and fatty alcohols (Table 1). Hence, we consider that IND104 represents anthropogenic aerosols (AA) whereas IND178 represents biogenic aerosols (BA).

25 2.2 Determination of trace elements, metals and water-soluble iron species

Trace elements and metals were determined using an inductively coupled plasma mass spectrometry (ICP-MS, Thermo Electron X Series) after the acid microwave digestion of samples (a filter disc of 1.8 cm in diameter) as reported by Theodosi et al. (2010b).

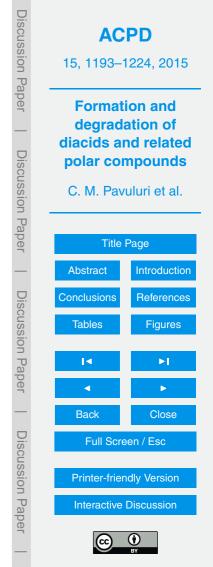


Recoveries obtained with the use of certified reference materials ranged from 90.0 to 104.1%. Water-soluble iron (Fe_{WS}: sum of Fe²⁺ and Fe³⁺ species) was determined spectrometrically using the Ferrozine colorimetric method developed by Stooky (1970) as reported by Theodosi et al. (2010a). Fe²⁺ was measured using the same procedure without adding the reducing agent (hydroxylamine hydrochloride), and then Fe³⁺ was estimated indirectly as the difference between Fe_{WS} and Fe²⁺. The recovery was ~ 98.3% for both Fe_{WS} and Fe²⁺.

2.3 Irradiation experiment of aerosol samples

Batch UV irradiation experiments using two aerosol samples (AA and BA) were conducted separately for 0.5, 1.5, 3.0, 6.0, 12, 18, 24, 36, 48, 72, 96 and 120 h. In each experiment, ~ 12 cm² (ca. 3 cm × 4 cm) of sample filter was cut into 3 ~ 4 pieces and placed vertically in a cleaned quartz reaction vessel (cylinder, 100 mL) with the sample surface facing to UV light as depicted in Fig. 2. The sample was wetted by injecting ~ 0.4 mL of ultra pure organic free Milli Q water and sealed with Teflon-lined screw cap under the ambient pressure and then irradiated with a low-pressure mercury lamp (Ushio, UL0-6DQ) that emits a UV, whose spectra are characterized by main peak at 254 nm and minor peak at 185 nm as well as broad peak at > 254 nm. The experimental setup (Fig. 2) was covered with a cartoon box containing a hole on each side for the passage of ambient air, and placed in a draft chamber. The temperature around the experimental system (i.e. inside cartoon box) was equivalent to room temperature (25 ± 1 °C).

The main objective of UV irradiation with a wavelength primarily at 254 nm, rather than a solar spectrum, was to produce significant amount of hydroxyl radicals (°OH) from various sources described below that should be sufficient enough to act as the main oxidant in our experimental system. Although we do not preclude a minor photolysis of some organic compounds present in the aerosol samples by irradiation at \leq 254 nm, it is well established that low molecular weight diacids, oxoacids and α dicarbonyls have negligible absorbance at 254 nm and exhibit minimal photolysis (Carl-



ton et al., 2006; Tan et al., 2012; Yang et al., 2008a). However, iron-dicarboxylate complexes (e.g., oxalate and malonate) can photolyze by absorbing both UV-C (245 nm) and UV-A light and their photolysis rate depends on the concentration of Fe in the given sample rather than the UV light wavelength (Pavuluri and Kawamura, 2012; Wang et al.,

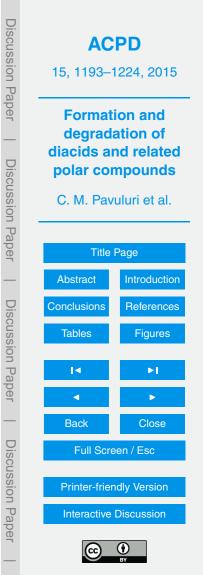
⁵ 2010b; Zuo and Hoigne, 1994). In addition, radiation at 254 nm has been reported to impose only a marginal photolysis of most of the inorganic species, except for nitrate, which is one of the [•]OH sources (Yang et al., 2008a).

The irradiation of wetted aerosol sample at 254 nm induces the formation of O_3 from the dissolved O_2 followed by generation of H_2O_2 , and direct photolysis of H_2O , NO_3^- ,

- NO₂⁻, H₂O₂, Fe(OH)²⁺ and certain organic compounds, and Fenton's reaction of photochemically formed Fe²⁺ and H₂O₂ to produce [•]OH in aqueous phase (Arakaki and Faust, 1998; Carlton et al., 2006; Yang et al., 2008a). In fact, high amount of Fe, including water-soluble Fe²⁺ and Fe³⁺ species, is available in both AA and BA samples (Table 1), which could promote the Fenton's reaction upon UV irradiation. In addition,
- O₃, H₂O₂, HOO[•] and NO₂ formed in aqueous phase reactions may be partitioned into gas phase and generate the gaseous [•]OH that should be re-partitioned into aqueous phase (Arakaki and Faust, 1998). These sources of [•]OH are similar to those of atmospheric waters: (i) gas/drop partitioning of [•]OH and (ii) gas/drop partitioning of O₃ followed by reaction with peroxy radical (HOO[•]), (iii) direct photolysis of H₂O, NO₃⁻, NO₂⁻, H₂O₂, Fe(OH)²⁺ and certain organic compounds, and (iv) Fenton's reaction of Fe²⁺ and H₂O₂ (Arakaki and Faust, 1998).

Unfortunately, we could not approximate the actual concentrations of [•]OH in our experiments because we did not add any chemical (e.g., a standard compound whose kinetics are known) in order to keep our experimental system as realistic as possible.

Furthermore, the formation of O_3 from the initially available O_2 (~ 0.94 mM) in the reaction vessel may not cause the deficit of the O_2 that could potentially induce the polymerization of organics during the irradiation on aerosols for several hours, because the



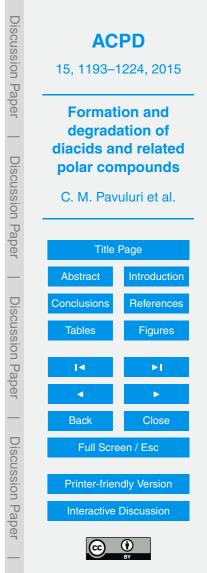
additional O_2 could be produced from the gaseous HOO[•] formed by direct photolysis of organics and Fenton's reaction (Arakaki and Faust, 1998) during the experiment.

2.4 Measurements of diacids, oxoacids and α -dicarbonyls

Immediately after the irradiation, samples were analyzed for diacids, oxoacids and α dicarbonyls using a method reported elsewhere (Kawamura, 1993; Kawamura and Ikushima, 1993). Briefly, the irradiated sample filter was extracted with Milli-Q water (10 mL × 3) under ultra sonication for 10 min and the extracts were concentrated to near dryness using a rotary evaporator under vacuum. The extracts were then derivatized with 14 % BF₃/n-butanol at 100 °C to butyl esters and/or butoxy acetals. Both the esters and acetals were extracted with *n*-hexane and then determined using a capillary GC 10 (HP 6890) and GC-MS (Thermo Trace MS). Recoveries of authentic standards spiked to a pre-combusted guartz fiber filter were 73 % for oxalic (C_2) acid and more than 84 % for malonic (C₃), succinic (C₄) and adjpic (C₆) acids (Pavuluri et al., 2010). The analytical errors in duplicate analysis of the aerosol filter sample are within 9% for major species. Gas chromatogram of the field and laboratory blanks showed small peaks for 15 C₂, phthalic (Ph) and glyoxylic acids. Concentrations of all the species reported here are corrected for the non-irradiated field blanks (Pavuluri et al., 2010).

2.5 Quality control

To examine the possible experimental errors, we conducted replicate experiments (n = 3) for 18 h irradiation of AA sample because potential variance in chemical composition of aerosol at different parts of the single filter, size of the filter sample used (i.e., amount of aerosols) and the amount of Milli Q water added could cause a deviation in the results of the irradiation experiment. The experimental errors, including the analytical errors, were found to be within 11% for major species, except for C₃ diacid (19%). In addition, two irradiation experiments were conducted to check the procedural blank by using a clean guartz filter for 1.5 and 6.0 h. No peaks were detected, except for



a small peak for C₂ and Ph. These results indicate that the occurrence of bias during the experiment is insignificant.

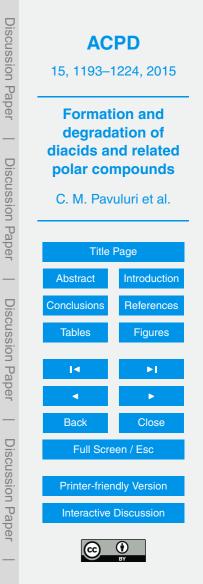
3 Results and discussion

3.1 Concentrations of trace elements, metals and water-soluble iron species

Concentrations of trace elements, metals and water-soluble Fe species (Fe²⁺ and Fe³⁺) determined in non-irradiated AA and BA samples are presented in Table 1. The trace elements and metals in AA sample, which mainly originate from soil dust (e.g., P. Al, Ca and Fe), non-ferrous metallurgical industrial activities (Cd, Cu and Zn) and fossil fuel combustion (Cr, Pb and V) (Mahowald et al., 2008; Pacyna and Pacyna, 2001), are significantly more abundant than in BA (by up to several times higher), except for 10 S. Ni and Sb (Table 1). The high abundances of trace metals in AA further suggest that the AA sample should contain high abundances of anthropogenic organic matter. The high abundances of S, Ni and Sb in BA than in AA may be due to high emissions of the S from intensive consumption of biofuels, particularly cow-dung that contains higher S content (Reddy and Venkataraman, 2002b), while Ni and Sb are from some specific 15 industrial activities in southern India. Although water-soluble Fe²⁺ and Fe³⁺ species are abundant in both AA and BA, their concentrations in BA are 30-50% higher than in AA (Table 1). Further the fraction of water-soluble Fe (Fe_{WS}: sum of Fe²⁺ and Fe³⁺) in total particulate Fe (Fe_{Tot}) is 2.77 % in AA whereas it is 14.6 % in BA.

20 3.2 Molecular compositions of diacids, oxoacids and α -dicarbonyls

A homologous series of normal (C_2 - C_{12}) and branched chain (iso C_4 - C_6) saturated α, ω -diacids were detected in both non-irradiated and irradiated AA and BA samples as well as aliphatic unsaturated diacids such as maleic (M), fumaric (F), and methylmaleic (mM) acids and aromatic diacids such as phthalic (Ph), isophthalic (i-Ph), and



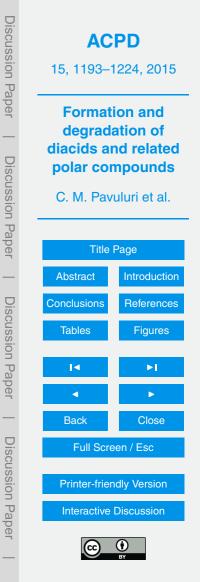
terephthalic (t-Ph) acids. Diacids with an additional functional group, i.e., malic (hydroxysuccinic, hC₄), ketomalonic (kC₃), and 4-ketopimelic (kC₇) acids, were detected, together with ω -oxoacids (ω C₂- ω C₉), pyruvic acid (Pyr), and α -dicarbonyls, i.e., glyoxal (Gly) and methylglyoxal (MeGly). ω C₆ will not be reported here due to the overlapping peak on GC chromatogram.

Oxalic (C₂) acid was found as the most abundant diacid in non-irradiated samples (accounting for 54% of total diacids in AA and 53% in BA), followed by Ph (10%), C₄ (9%), C₃ (8%) and C₉ (4%) in AA and by malonic (C₃) (9%), C₄ (6%) and t-Ph (6%) acids in BA. Branched chain diacids were significantly lower than the corresponding normal structures in both samples. Glyoxylic (ω C₂) acid is the most abundant oxoacid, comprising 64 and 57% of total oxoacids in AA and BA, respectively, followed by Pyr (13%) and 4-oxobutanoic (ω C₄) acid (10%) in AA and ω C₄ (18%) and Pyr (13%) in BA. MeGly is more abundant than Gly in AA whereas their abundances are equivalent in BA.

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3.3 Changes in concentrations of diacids and related compounds as a function of UV irradiation time

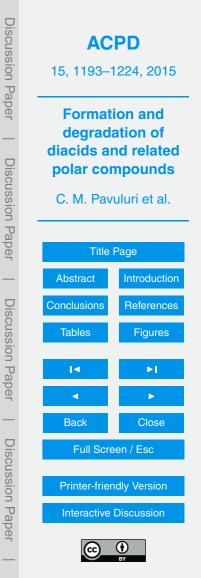
Changes in concentrations of individual and total diacids as a function of UV irradiation time in AA and BA are depicted in Fig. 3, while those of oxoacids and α -dicarbonyls as well as total oxoacids and α -dicarbonyls in Fig. 4. Concentrations of C₂ diacid were ²⁰ sharply decreased by a factor of 3–9 (from 553 to 61.7 ngm⁻³ in AA and from 339 to 118 ngm⁻³ in BA) within 6 and 12 h of UV irradiation, respectively (Fig. 3a). Then, the concentrations started to increase to maximize at 24 h (292 ngm⁻³) in AA and 18 h (306 ngm⁻³) in BA on further irradiation. They gradually decreased toward the end (120 h) of the experiment (Fig. 3a). Interestingly, C₃ diacid showed a temporal variation ²⁵ similar to C₂ in both AA and BA, except for few points (Fig. 3b). Relative abundances of C₂ in total diacids gradually decreased from non-irradiated samples (54 % in AA and 53 % in BA) toward the end (120 h) of the experiment (3.2 % in AA and 9.2 % in BA, Fig. 5).



Concentrations of ωC_2 , an immediate precursor of C_2 (Kawamura et al., 1996a; Lim et al., 2005; Warneck, 2003), increased with irradiation time up to 18 h in both AA and BA, except for two cases (3 and 6 h) of AA, and then gradually decreased until the end (120 h) of the experiment, except for one case (36 h) in AA (Fig. 4a). Pyr, Gly and MeGly, which are the precursors of ωC_2 acid, are all produced by the oxidation of VOCs of anthropogenic and biogenic origin (Carlton et al., 2006; Ervens et al., 2004b; Lim et al., 2005; Warneck, 2003). They also increased with irradiation time up to 18 ~ 24 h in both samples and then gradually decreased (except for MeGly in AA) until the end (120 h) of the experiment (Fig. 4g, i and j). However, the other precursor of C₂ diacid, kC₃ diacid (Kawamura et al., 1996a), showed a decrease with irradiation time

- ¹⁰ diacid, kC₃ diacid (Kawamura et al., 1996a), showed a decrease with irradiation time throughout the experiment, except for few cases (Fig. 3v) whereas hC_4 , a precursor of C₃ diacid (Kawamura et al., 1996a), increased up to 18 h in BA and 24 h in AA and remained relatively high until 72 h and then gradually decreased until the end (120 h) of the experiment (Fig. 3u).
- In contrast, concentrations of C₄ diacid showed a gradual increase with irradiation time up to 72 h in BA and 96 h in AA followed by a slight decrease in the AA and a sharp decrease in BA (Fig. 3c). Relative abundance of C₄ diacid in total diacids also increased from 8.9% (non-irradiated) to 82% (120 h) in AA and from 6.4 to 88% in BA (Fig. 5). Similarly, C₅ diacid in AA (Fig. 3d) showed a gradual increase with irradi-
- ation up to 36 h and stayed almost constant until 96 h followed by a slight decrease. Similar trend was found in BA (Fig. 3d). Both C_6 and C_7 diacids showed an increase with irradiation up to 6 ~ 36 h and then a gradual decrease until the end (120 h) of the experiment (Fig. 3e and f). Concentrations of i C_4 diacid also increased with irradiation up to 18 h in BA and 36 h in AA and stayed relatively constant until 72 or 96 h. Then,
- the concentrations gradually decreased until the end (120 h) of the experiment (Fig. 3I). iC_5 and iC_6 diacids (Fig. 3 m and n) showed very similar trend with their corresponding normal diacids (Fig. 3d and e).

Long-chain (C_8 - C_{12}) diacids showed a sharp decrease with irradiation up to 12 h and then a gradual decrease until the end (120 h) of the experiment (Fig. 3g–k). C_8 , C_9 and



 C_{12} diacids became below the detection limit within several hours, particularly in BA. On the other hand, unsaturated aliphatic (M, F, mM, and Ph) and aromatic diacids (i-Ph and t-Ph) showed a gradual decrease with irradiation, except for few cases during the early stages of the experiment (Fig. 3o–t). Concentrations of kC₇ increased with ⁵ irradiation time up to 18 h and then decreased gradually until 120 h (Fig. 3w) whereas oxoacids: ωC_3 , ωC_7 and ωC_9 acids, showed a gradual decrease with irradiation, except for few cases (Fig. 4b, d and f). On the other hand, ωC_4 acid showed a sharp increase up to 12 h and then a sharp decrease toward 24 h (Fig. 4c). Interestingly, temporal pattern of ωC_8 acid (Fig. 4e) was similar to that of C₄ diacid (Fig. 3c).

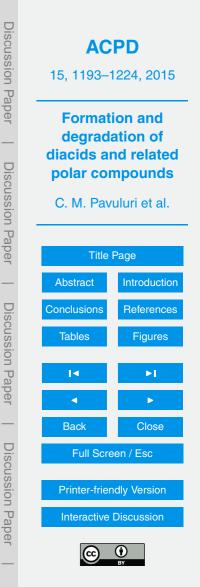
¹⁰ Thus the changes in the concentrations of individual diacids, oxoacids and α dicarbonyls as well as relative abundances of individual diacids in total diacids and mass ratios of selected species in AA and BA found to be similar (Figs. 3–6), although significant differences are recognized between AA and BA samples during irradiation. Such similarities in the temporal variations of diacids and related polar compounds in-

- ¹⁵ fer that their photochemical formation and degradation pathways in aqueous aerosols (Fig. 7) are almost same between anthropogenic and biogenic aerosols. However, there were significant differences in the rate of formation and/or degradation of diacids and related compounds between AA and BA, which might have been driven by the differences in the abundances of the diacids and related compounds as well as their
- ²⁰ precursor compounds in the original (non-irradiated) AA and BA samples. In fact, total diacids, oxoacids and α -dicarbonyls were higher in non-irradiated AA than in BA. On the contrary, OC that contains several precursor compounds (including fatty acids) of diacids and related polar compounds is higher in BA than in AA (Table 1).

3.4 Production and decomposition of short-chain diacids and related compounds

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A sharp increase was observed in the concentrations of ωC_2 , ωC_4 , Pyr, Gly and MeGly with irradiation up to 18 ~ 24 h following a gradual decrease (Fig. 4), demonstrating an enhanced photochemical production of short-chain ($\leq C_4$) oxoacids and α -dicarbonyls



(Fig. 7) during an early stage of photochemical processing. On the other hand, the increasing trends of mass ratios of C_2 to its precursor compounds: ωC_2 , Pyr, Gly and MeGly as well as C_3 (but not C_4) diacid (Carlton et al., 2007; Ervens et al., 2004b; Kawamura et al., 1996a; Lim et al., 2005; Warneck, 2003), were found for BA toward to 120 h (Fig. 6a–e and f). It is noteworthy that $C_3/\omega C_7$ ratios also showed a slight increase, although they are not clear in the later stages of experiment (Fig. 6g), sug-

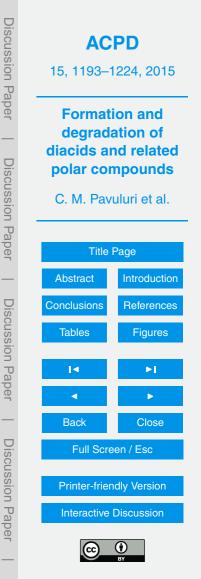
gesting a potential formation of C_3 diacid via ωC_7 that is derived from unsaturated fatty acids and/or cyclic olefins. In addition, F/M ratios showed an increase with irradiation up to 48 h in AA and 18 h in BA followed by a gradual decrease until the end of experiment (Fig. 6i), indicating a significant photochemical transformation during an early stage of experiment and decomposition in a later stage.

Photochemical degradation of C_2 and C_3 diacids should have overwhelmed their photochemical production even in an early stage of experiment, except for few cases (Fig. 3a and b). Based on laboratory studies, C_2 and C_3 diacids have been reported

- ¹⁵ to decompose in aqueous phase in the presence of Fe³⁺ (and C₂ diacid even in the presence of Fe²⁺) under UV irradiation (Pavuluri and Kawamura, 2012; Wang et al., 2010b), but C₂ diacid (and maybe C₃ diacid) is relatively stable in the absence of Fe species (Pavuluri and Kawamura, 2012). It is well documented that both C₂ and C₃ diacids tend to form complexes with Fe³⁺ by acting as ligands in aqueous phase,
- which exhibit a strong light absorbing ability. Although the equilibrium constant of Fe^{3+} malanato complex is slightly lower than that of Fe^{3+} -oxalato, both diacids photolyze
 upon the absorption of UV light to result in Fe^{2+} and CO_2 (Wang et al., 2010b; Zuo and
 Hoigne, 1994).

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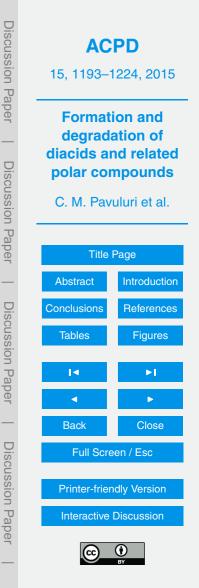
We found that non-irradiated AA and BA samples contain significant amounts of water-soluble Fe^{2+} and Fe^{3+} species (Table 1). Because high abundance of particulate Fe is present in both AA and BA (Table 1), the concentrations of water-soluble Fe^{2+} and Fe^{3+} species in both AA and BA samples may increase upon UV irradiation; the water-insoluble Fe can be transformed into water-soluble forms by photochemical processing of mineral aerosols (Solmon et al., 2009; Srinivas et al., 2012). In fact, the



mass ratio of C₂ diacid to Fe³⁺ is 15 : 1 in non-irradiated AA and 7 : 1 in BA, which are close to the ratio (10 : 1) used in laboratory experiments conducted by Pavuluri and Kawamura (2012) for Fe-catalyzed photolysis of C₂ diacid in aqueous phase, in which the photolysis of C₂ is very fast ($k = 206 \text{ Lmol}^{-1} \text{ s}^{-1}$) and 99% of the C₂ is degraded in 0.5 h. Therefore, available water-soluble Fe³⁺ (and Fe²⁺) in AA and BA should be

- ⁵ In 0.5 h. Therefore, available water-soluble Fe⁻⁺ (and Fe⁻⁺) in AA and BA should be enough to promote the catalytic photochemical degradation of C_2 (and C_3) upon UV irradiation (Fig. 7) and thus the degradation rate of C_2 (and C_3) should have increased with the prolonged experiment due to enhancement in Fe³⁺ (and Fe²⁺) levels in the given sample.
- ¹⁰ The concentration of C₂ diacid in AA decreased by 30 % in 1.5 h and continued to decline by 90 % until 12 h (Fig. 3a). On the other hand, the experiment of BA showed that the concentration of C₂ decreased by 47 and 51 % in 0.5 and 1.5 h, respectively, and then gradually declined. The concentrations of C₃ also showed similar trends with C₂ (Fig. 3b). Although C₂ and C₃ diacids decreased sharply during early stages of experi-
- ¹⁵ ment, they decreased gradually in the later stages, despite possibly enhanced levels of water-soluble Fe^{2+} and Fe^{3+} species. These trends imply that photolysis of C_2 and C_3 diacids is highly significant in the presence of water-soluble Fe^{3+} (and Fe^{2+}) (Fig. 7). On the other hand, the formation of both C_2 and C_3 diacids is also intensive with the photochemical processing of their precursor compounds in AA and BA. However, the net rate of production or degradation of C_2 and C_3 diacids in each experiment (Fig. 3a and b) should depend on the abundances of water-soluble Fe^{2+} and Fe^{3+} species and
- and b) should depend on the abundances of water-soluble Fe²⁺ and Fe³⁺ species and their precursors in AA and BA.

We found an increase in the mass ratios of MeGly to Gly with irradiation toward the end of the experiment, except for an early stage of experiment (up to 6 h) in AA, whereas in BA they remained relatively constant up to 36 h and then increased gradually up to 72 h followed by a rapid decrease (Fig. 6n). As noted earlier, concentrations of Gly and MeGly increased with experiment up to 18 ~ 24 h in both AA and BA. Thereafter, Gly decreased toward the end of experiment in both AA and

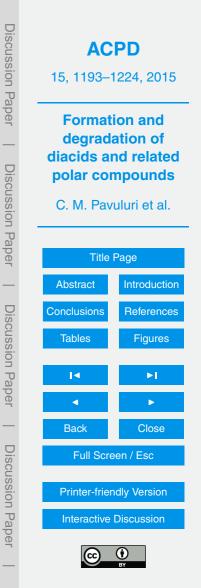


BA whereas MeGly remained relatively constant in the AA, but decreased in BA (Fig. 4i and j). Such differences should be caused by the difference in their production rates depending on the concentrations of potential precursors and their oxidation products in AA and BA: benzene and glycolaldehyde for Gly, acetone and ⁵ higher alkanes (>C₃) and alkenes (>C₂) for MeGly (Fu et al., 2008). Because photochemical oxidation of MeGly ($k_{OH} = 1.72 \times 10^{-11} \text{ cm}^3 \text{ molc}^{-1} \text{ s}^{-1}$) is higher than Gly ($k_{OH} = 1.14 \times 10^{-11} \text{ cm}^3 \text{ molc}^{-1} \text{ s}^{-1}$) (Carter and Atkinson, 1996), abundance of MeGly in AA than Gly can be attributed to its enhanced production than the later species during photochemical processing of aqueous aerosols derived from anthropogenic sources.

3.5 Possible photochemical pathways of long-chain diacids and oxoacids

Enhanced concentrations of normal and branched C_4 - C_7 diacids during an early stage (18 ~ 36 h) (Fig. 3c–f) may be caused by photochemical oxidation of the first generation products derived from the oxidation of anthropogenic and/or biogenic VOCs (e.g., cycloalkenes, monoterpenes, and sesquiterpenes) and unsaturated fatty acids (Gao et al., 2004; Kalberer et al., 2000) (Fig. 7). They should be further oxidized to result in lower diacids (Kawamura et al., 1996a; Matsunaga et al., 1999). The relatively constant levels of C_5 , i C_4 and i C_5 during 36 and 72 ~ 96 h (Fig. 3d, I and m) may be due to the balance between photochemical production and degradation. The increases in

- ²⁰ the concentrations of C₄ with a prolonged irradiation up to 72 h in BA and 96 h in AA further demonstrate its formation from higher diacids and other precursors in aqueous aerosols (Charbouillot et al., 2012; Kawamura and Sakaguchi, 1999) (Fig. 7). In fact, total diacids stayed relatively constant from 24 to 72 ~ 96 h (Fig. 3x). In addition, mass ratios of C₄ to C₅-C₇ showed a gradual increase throughout the experiment (until 120 h)
- in both AA and BA (Fig. 6k–m). These results support a photochemical breakdown of longer-chain (≥ C₅) diacids resulting in C₄ (Charbouillot et al., 2012; Matsunaga et al., 1999; Yang et al., 2008b).

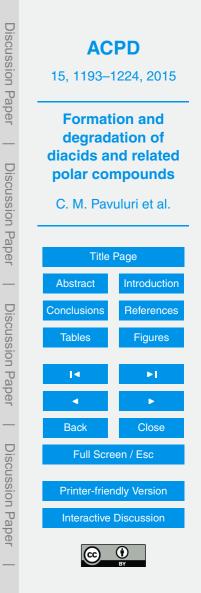


In addition, ωC_8 acid, which can be produced by the oxidation of cyclic olefins and unsaturated fatty acids (Gao et al., 2004; Kawamura and Sakaguchi, 1999), showed a gradual increase (Fig. 4e) similar to that of C₄ diacid (Fig. 3c) in both AA and BA, suggesting a significant photochemical production of C₄ via ωC_8 until the consumption

- ⁵ of the precursor compounds derived from anthropogenic and biogenic VOCs and biogenic unsaturated fatty acids (Gao et al., 2004; Kalberer et al., 2000). In fact, ratios of C_4 to C_5 - C_7 were 10 times higher in BA than in AA whereas those of $C_4/\omega C_8$ were similar in both the BA and AA (Fig. 6j). However, their temporal profiles with irradiation time are similar in both AA and BA. These results suggest that the formation of C_4 and
- ${}_{10}$ ωC_8 is much higher in biogenic aerosols than in anthropogenic aerosols compared to C_5 - C_7 diacids, but their formation/degradation processes may be similar irrespective of the origin of precursors. However, it is not clear from this study if C_4 is mainly derived (via ωC_8) from cyclic olefins or unsaturated fatty acids (Fig. 7).

It is well established that long-chain (C₈-C₁₂) diacids are formed by photochemi-¹⁵ cal oxidation of unsaturated fatty acids (e.g., oleic acid) (Kawamura and Gagosian, 1987; Matsunaga et al., 1999) (Fig. 7). However, unsaturated fatty acids were not abundant (e.g., oleic acid was 0.89 ngm⁻³ in AA and below detection limit in BA) in non-irradiated samples (Fu et al., 2010). Hence, photochemical formation of longchain diacids from the oxidation of unsaturated fatty acids should be less important

- ²⁰ during the experiment, although chemical forms of polymerized and/or partially oxidized unsaturated fatty acids may be abundant in the aerosols. On the other hand, photooxidation rate constant of diacids increases with an increase in carbon number of individual diacids ($\geq C_4$) (Yang et al., 2008b). Hence, photochemical breakdown of C₈-C₁₂ diacids to lower diacids (Matsunaga et al., 1999; Yang et al., 2008b) should be very
- ²⁵ likely (Fig. 7). The gradual decreases of aliphatic unsaturated diacids, aromatic diacids, and oxoacids, except for ωC_8 , with irradiation are likely caused by the photochemical degradation (Fig. 7).



3.6 Atmospheric implications

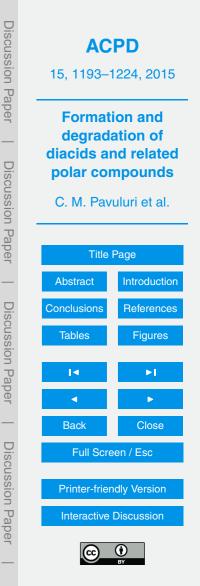
As discussed above, this study reveals that photochemical degradation of C_2 and C_3 (due to Fe-catalyzed photolysis) in aqueous aerosols overwhelmed their production whereas C_4 diacid showed photochemical formation. These results are consistent with

- ⁵ the recent atmospheric observations: a significant reduction in C₂ diacid concentration and an inverse relationship between the C₂ and Fe in cloud water (Sorooshian et al., 2013), and the replacement of the predominance of C₂ by C₄ in the Arctic aerosols (Kawamura et al., 2010, 2012). It was also reported that C₄ and C₅ diacids are most abundant among C₃-C₈ diacids determined during the photochemical oxidation of C₆-
- ¹⁰ C₉ diacids in a laboratory experiment (Yang et al., 2008b).

On the contrary, enhanced degradation of C_2 and C_3 and formation of C_4 diacid upon prolonged irradiation, are not consistent with previous laboratory, observation and model studies on photochemical production and degradation of diacids and related compounds in aqueous phase (e.g., cloud processing) (Carlton et al., 2007;

- ¹⁵ Charbouillot et al., 2012; Ervens et al., 2004b; Kawamura et al., 1996a; Kawamura and Sakaguchi, 1999; Lim et al., 2005; Warneck, 2003). In fact, previous studies did not consider Fe-catalyzed photolysis of C_2 diacid, which is significant at least in Ferich atmospheric waters. On the other hand, the formation processes and potential precursor compounds of C_4 diacid have not been fully explored yet. Moreover, previous and potential precursor compounds of C_4 diacid have not been fully explored yet.
- ous laboratory experiments on aqueous solutions of specific species did not consider the mixing state of organic and inorganic constituents in atmospheric aerosols (Ervens et al., 2011), although simplified experiments sometimes provide useful information on mechanisms.

Generally, it has been considered that the anthropogenic contributions of *α* dicarbonyls to organic aerosols are minor: 8% for Gly and 5% for MeGly (Fu et al., 2008). To the best of our knowledge, their production in atmospheric waters has not well been recognized yet. Our laboratory experiments indicate that the photochemical production of Gly and MeGly is significant in aqueous aerosols. The production of



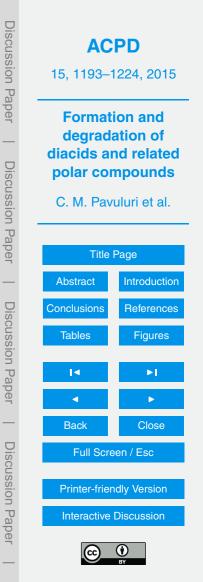
MeGly is more pronounced compared to Gly with prolonged photochemical processing of aqueous anthropogenic aerosols. Finally, our findings based on the batch laboratory experiment emphasize the importance of the photolysis of C_2 and C_3 diacids and photochemical production of C_4 diacid and α -dicarbonyls in aqueous aerosols to reconcile the current atmospheric medal (c) such as aloud pareal medal (Envens et al. 2004a)

the current atmospheric model(s) such as cloud parcel model (Ervens et al., 2004a), and to better understand the secondary organic aerosol budget and its climatic impacts.

4 Summary and conclusions

In this study, we conducted batch UV irradiation experiments on anthropogenic (AA) and biogenic (BA) aerosol samples collected from Chennai, India in the presence of 10 moisture for the reaction time of 0.5 to 120 h. The irradiated samples were analyzed for molecular compositions of diacids, oxoacids and α -dicarbonyls. Concentrations of C_2 and C_3 and C_8 - C_{12} diacids decreased with an increase in 12–24 h. In contrast, C_4 diacid (and C_5-C_7) showed a significant increase with reaction time up to 72 h in BA and 96 h in AA. Oxoacids and α -dicarbonyls showed a significant increase during an 15 early stage of irradiation followed by a gradual decrease in the prolonged experiment, except for ωC_8 acid that showed a pattern similar to C_4 diacid and for methylglyoxal that remained relatively abundant from 24 h to the end of the experiment in AA. The mass ratios of C₂ diacid to its precursors: glyoxylic acid, pyruvic acid, α -dicarbonyls (glyoxyal and methylglyoxal) and C_3 , showed a considerable increase with irradiation, while 20 those of C_4 to C_5 - C_7 diacids and ωC_8 acid and methylglyoxal to glyoxal in AA showed a significant increase with irradiation. These results demonstrate that degradation of C_2 and C_3 (and C_8-C_{12}) and formation of C_4 (and C_5-C_7) is enhanced with photo-

 C_2 and C_3 (and C_8 - C_{12}) and formation of C_4 (and C_5 - C_7) is enhanced with photochemical processing of aqueous aerosols. This study further infers that iron-catalyzed photolysis of C_2 and C_3 diacids and photochemical formation of C_4 diacid via ωC_8 acid derived from cyclic olefins and/or unsaturated fatty acids play an important role in controlling their abundances in the atmosphere with photochemical processing of aqueous



aerosols. This study also suggests that photochemical production of α -dicarbonyls, in particular methylglyoxal, in anthropogenic aerosols is significant.

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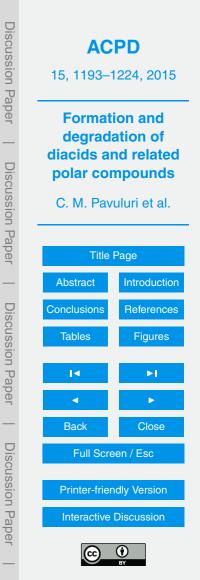
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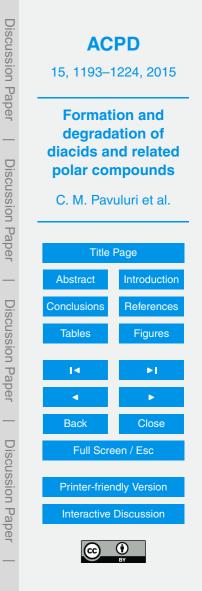
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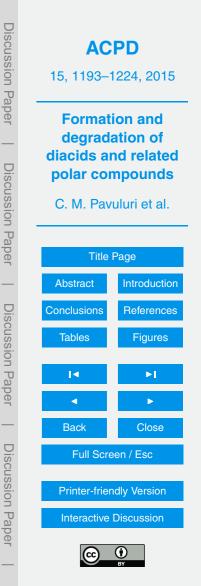
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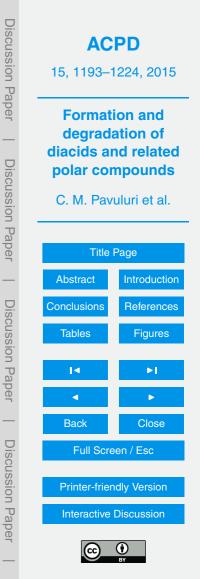
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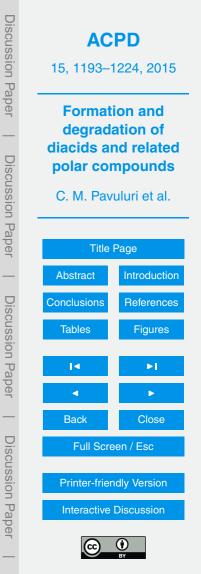
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ACPD 15, 1193–1224, 2015 **Formation and** degradation of diacids and related polar compounds C. M. Pavuluri et al. **Title Page** Abstract Introduction Conclusions References **Figures** Tables < Close Back Full Screen / Esc **Printer-friendly Version** Interactive Discussion

Discussion Paper

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Discussion Paper

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Warneck, P.: In-cloud chemistry opens pathway to the formation of oxalic acid in the marine atmosphere, Atmos. Environ., 37, 2423–2427, 2003.

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- Yang, L. M., Ray, M. B., and Yu, L. E.: Photooxidation of dicarboxylic acids Part 1: Effects of inorganic ions on degradation of azelaic acid, Atmos. Environ., 42, 856–867, 2008a.
- Yang, L. M., Ray, M. B., and Yu, L. E.: Photooxidation of dicarboxylic acids Part II: Kinetics, intermediates and field observations, Atmos. Environ., 42, 868–880, 2008b.
- ¹⁰ Zuo, Y. G. and Hoigne, J.: Photochemical decomposition of oxalic, glyoxalic and pyruvic acid catalyzed by iron in atmospheric waters, Atmos. Environ., 28, 1231–1239, 1994.

Table 1. Concentrations of carbonaceous components, organic molecular tracer compounds, diacids and related compounds, trace elements, metals and water-soluble iron species in non-irradiated IND104 (anthropogenic aerosols: AA) and IND178 (biogenic aerosols: BA) aerosol samples collected from Chennai, India.

	Concentrations (ngm ⁻³)	
	IND104 (AA)	IND178 (BA)
Organic carbon ^a	6400	9820
Elemental carbon ^a	4810	1810
Levoglucosan ^b	79.1	158
Hopanes (C ₂₇ -C ₃₅) ^b	11.8	3.9
Fatty acids (C8-C34)b	167	297
Fatty alcohols (C14-C34)b	93.3	178
Total diacids	1030	640
Total oxoaxids	110	62.2
Total α -dicarbonyls	10.9	11.6
AI	15100	914
Ca	1640	0.00
Cd	10.7	1.73
Co	1.07	0.00
Cr	5.33	0.00
Cu	796	13.9
Fe	2070	553
K	1220	893
Mg	679	90.2
Mn	129	19.1
Na	1890	408
Ni	58.7	106
P	62.9	0.00
Pb	133	39.9
S	4640	5820
Sb V	13.9	29.5
v Zn	9.60	0.00
	2030	137
Fe ^c _{WS}	57.0	78.3
Fe ²⁺	20.5	30.0
Fe ³⁺	36.6	48.4

ACPD 15, 1193–1224, 2015 **Formation and** degradation of diacids and related polar compounds C. M. Pavuluri et al. **Title Page** Abstract Introduction Conclusions References Figures Tables 4 Close Back Full Screen / Esc **Printer-friendly Version**

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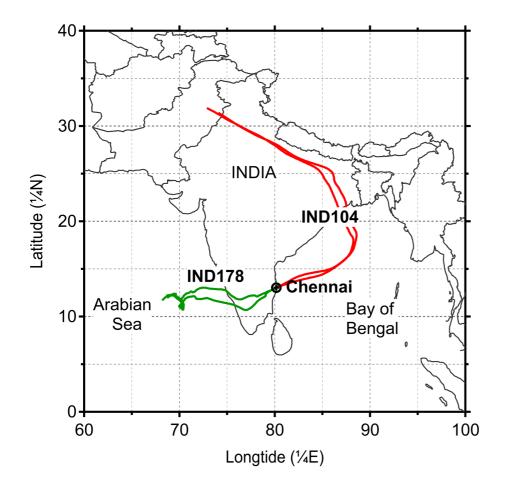
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^a Data is obtained from Pavuluri et al. (2011).

^b Data is obtained from Fu et al. (2010).

^c Fe_{WS} is water-soluble Fe.



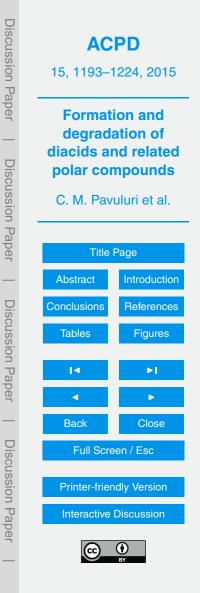
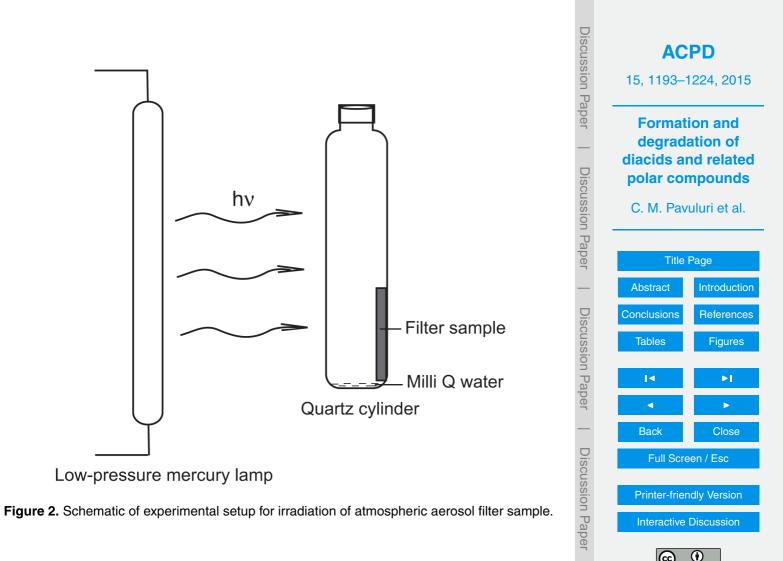
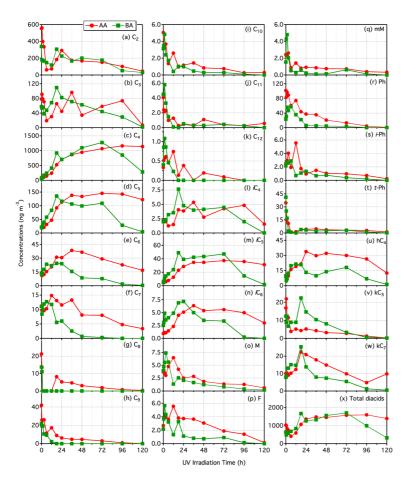


Figure 1. A map of South Asia with sampling site, Chennai (13.04° N; 80.17° E), India together with plots of 10 day air mass trajectories arriving at 500 m a.g.l. over Chennai, India.





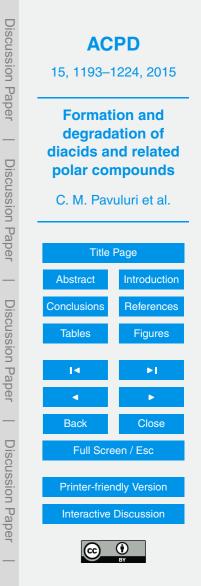


Figure 3. Changes in concentrations of individual dicarboxylic acids and total diacids as a function of UV irradiation time in anthropogenic (AA) and biogenic aerosols (BA).

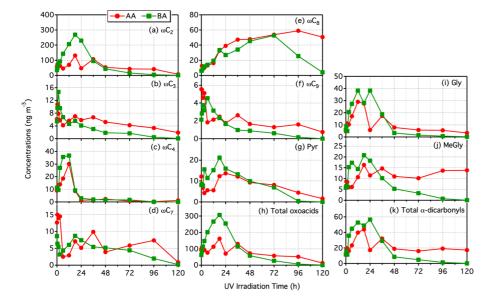
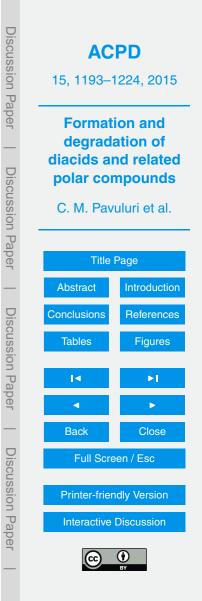
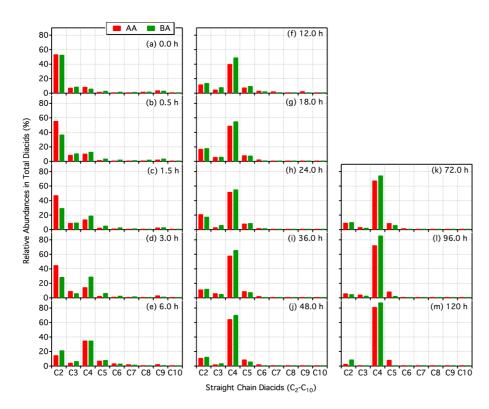
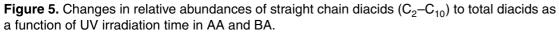
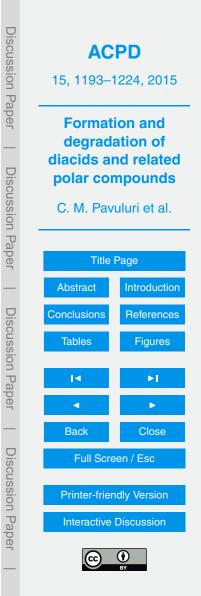


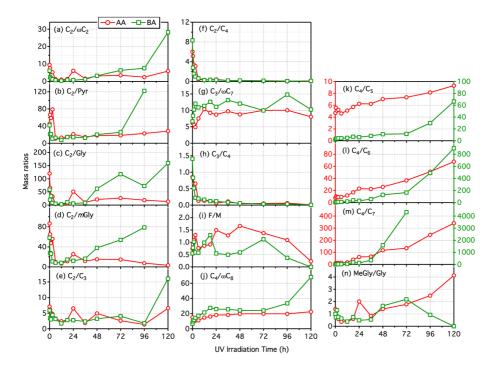
Figure 4. Changes in concentrations of individual oxoacids and α -dicarbonyls and total oxoacids and α -dicarbonyls as a function of UV irradiation time in AA and BA.

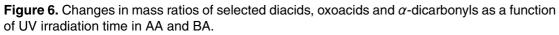


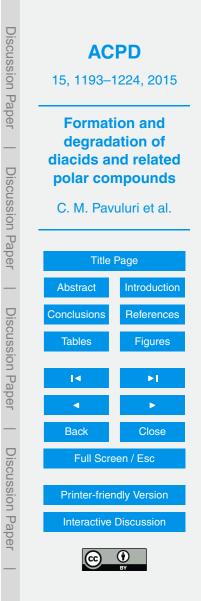












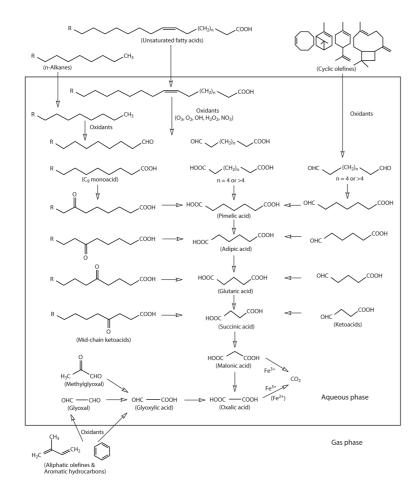


Figure 7. Possible photochemical formation and/or degradation pathways of diacids, oxoacids and α -dicarbonyls in aqueous aerosols.

