

## Responses to Reviewers' Comments

We thank the anonymous referees for their thoughtful comments, which are helpful to improve the publication. Based on the reviewers' comments, we revised the manuscript.

### Referee #1

It is now well accepted that water soluble organic compounds undergo aqueous chemistry in atmospheric waters and form secondary organic aerosol (SOA). Moreover, aqueous chemistry could be a chemical aging process of hygroscopic wet aerosols at high RH, yet largely unexplored. The manuscript by Pavuluri et al. demonstrates this aging process through aqueous photochemistry. Pavuluri et al. sampled two types of ambient aerosols (i.e., biogenic aerosols (BA) and anthropogenic aerosols (AA)), conducted UV-photolysis after wetting BA and AA samples, presented real-time measurements of organic compounds (carbonyls and diacids) and discussed photochemical degradation and formation of these organic compounds. Most of degradation and formation were due to OH radical formed from various sources (e.g., Fenton reactions, H<sub>2</sub>O<sub>2</sub>, and photosensitizers), whereas the degradation of C2/C3 diacids was due to Fe species, which form strong light absorbing Fe ligands. I think this manuscript is well written and suitable for the readership of Atmospheric Chemistry and Physics, so I recommend it for publication. Following comments are provided for authors' consideration.

*Response:* We consider all the comments and revise the manuscript accordingly.

[Page 1198, Line 14-16] Authors should mention the phase of ambient aerosol samples. Were they liquid and all the organic/inorganic constituents well distributed? And were they hygroscopic so they took up water evenly by wetting? Since authors conducted separate photochemical reaction vessel experiments, not only the reaction time but the phase, the hygroscopicity and the morphology of aerosols should matter.

*Response:* We agree with the reviewer's opinion that, in addition to the reaction time, the phase, hygroscopicity and morphology of aerosols play an important role in photochemical reactions of organics. In this study, we have focused only on reaction time and phase of aerosols. In fact, the injected Milli Q water (~0.4 mL) onto the filter sample was higher than the required quantity of water to fully wet the sample. The excess amount of water available after wetting the sample is present at the bottom of the reaction vessel (see Fig. 2 in the MS), which could create the humid (RH = 100%) environment in the reaction vessel. Therefore, the ambient aerosol sample in our experiments is in aqueous phase. These points are included in the revised MS (please see section 2.3, lines 133-137). We consider that water contents should be evenly distributed in the aerosol samples used in the experiments, although there may be different microstructures of aerosol particles.

As noted in section 2.5, the experimental errors, including analytical errors, in replicate experiments (n = 3) conducted by using the sample cuts taken from different parts of the sample filter for each experiment are within 11% for major species. Therefore, we believe that the organic/inorganic constituents should have well distributed over the filter sample. The non-irradiated filter samples used in this study contain significant amounts of hygroscopic components: inorganic ions and water-soluble organic compounds (Pavuluri et al., Atmos. Chem. Phys., 11, 8215-8230, 2011). As noted above, these components should have well distributed over the filter and hence the filter sample should be hygroscopic and take up water evenly upon wetting. These points are added in the revised MS (please see section 2.5, lines 203-212).

[Page 1198, Line 28-Page 1200, Line 7] Pyruvic acid and methylglyoxal do absorb 254 nm UV, and radical reactions take place. But UV photolysis of these is minor when OH radical reactions of these occur. In addition to Fe-catalyzed UV photolysis and NO<sub>3</sub> photolysis, authors should discuss photochemical effects of sulfates. Although authors did not measure sulfate concentrations, in Table 1 substantial amounts of S were found. Noziere et al., Geophys. Res. Lett. (2009) measured organosulfates formed by the UV photolysis of organic compounds.

*Response:* Yes, the photolysis of pyruvic acid and methylglyoxal is minimal when HO radical reactions are significant. We included this point in the revised MS (please see section 2.3, lines 148-151).

As noted by the reviewer, organosulfates can be produced when organics such as isoprene, methyl vinyl ketone, methacrolein, and  $\alpha$ -pinene are irradiated in sulfate solutions (Noziere et al., Geophys. Res. Lett., 37, L05806, 2009). However, the presence of sulfate may not have significant influence on the formation/degradation processes of diacids and related compounds. Tan et al. (Environ. Sci. Technol., 43, 8015-8112, 2009) reported that presence of acidic sulfate in the range of 0 to 840  $\mu$ M does not alter the production rate of oxalic acid from glyoxal significantly. We added these points in the revised MS (please see section 2.3, lines 152-155).

[Page 1205, Line 12-23] Authors claim that Fe-catalyzed photolysis is the main decomposition reaction of C<sub>2</sub> & C<sub>3</sub> diacids since these diacids form strong light absorbing Fe ligands. Is this still true for the photolysis of tropospheric UV (>300 nm)? Besides, C<sub>2</sub>/C<sub>3</sub> diacids form stable and low volatile carboxylate salts with amines. Is still Fe the major sink when amines are present? By the way, photochemical reactions were conducted up to 120 hrs. I am not sure why reactions exceed daytime 12 hrs? There should occur other reactions (e.g., NO<sub>3</sub>, O<sub>3</sub> reactions, acid catalysis) during the night- time. Besides, using 254 nm UV should represent daytime photochemistry in less than 12 hrs.

*Response:* Yes, as evidenced from laboratory studies, the photolysis of C<sub>2</sub> (Zuo and Hoigne, Atmos. Environ., 28, 1231-1239, 1994) and C<sub>3</sub> (Wang et al., Environ. Sci. Technol., 44, 263-268, 2010), diacids can be decomposed under the solar UVA spectrum (>300 nm). This point is included in the revised MS (please see section 3.4, lines 339-342).

We agree with the reviewer's opinion that diacids can form salts with amines. However, because the oxalate and malonate have the strongest chelating capacity with Fe<sup>3+</sup> among all diacids, they are expected to preferably form a complex with Fe<sup>3+</sup> and can easily be photolyzed. These points are added in the revised MS (please see section 3.4, lines 338-351)

Because aerosols can reside up to 12 days (Warneck, Atmos. Environ. 37, 2423-2427, 2003) in the atmosphere and both primary and secondary organic aerosols can be subjected for photochemical processing during daytime during their stay in the atmosphere, we conducted the irradiation experiments up to 120 h in order to understand the photochemical processing of diacids and related compounds during long-range atmospheric transport. This point is added in the revised MS (please see section 2.3, lines 128-131).

[Page 1207, Line 6-7] Shouldn't author mention rate constants of the OH radical reaction in the aqueous phase? What they have is the gas-phase rate constants. By the way, in the aqueous phase, the glyoxal rate constant is bigger. To me, methylglyoxal production in AA is just sufficient to maintain "the steady state."

*Response:* Yes, the rate constant ( $1.1 \times 10^9 \text{ M}^{-1} \text{ S}^{-1}$ ) of glyoxal with OH radical in aqueous phase is higher than that ( $6.44 \times 10^8 \text{ M}^{-1} \text{ S}^{-1}$ ) of methylglyoxal (Tan et al., Atmos. Chem. Phys.

12, 801-813, 2012). However, higher abundances of methylglyoxal than glyoxal in the anthropogenic aerosol samples suggest more production of the former than the latter species during photochemical processing of aqueous aerosols derived from anthropogenic sources. We modified the text and replaced the gas-phase rate constants with those of aqueous phase in the revised MS (please see section 3.4, lines 385-392).

[Page 1209, Line 22-23] Did authors find any evidence of photochemical oligomerization (i.e., organic radical-radical reaction) when the concentrations of organic precursors are high (mM or above)? Tartaric acid is the major dimer product of glyoxal + OH (Lim et al., Atmos. Chem. Phys., 2010) and C<sub>6</sub>H<sub>10</sub>O<sub>6</sub> (m/z-177) is the major dimer product of methylglyoxal + OH (Tan et al., Atmos. Chem. Phys., 2012).

*Response:* In fact, we did not focus on oligomerization of the studied organic species and dihydroxy diacids in this study. We briefly noted this point in the revised MS (please see section 3.4, lines 392-395).

## Referee #2

The manuscript “Laboratory photochemical processing of aqueous aerosols: formation and degradation of dicarboxylic acids, oxocarboxylic acids and  $\alpha$ -dicarbonyls” submitted for publication by Pavuluri et al. describes the photochemical reactions of wetted atmospheric aerosols (PM<sub>10</sub>) collected in winter and summer during day time at Chennai (India). The authors performed irradiation experiments of the filter in the presence of moisture with low-pressure mercury lamp emitting mainly at 254 but also at 185 nm. Two types of ambient aerosols were collected and classified as anthropogenic and biogenic aerosols. This paper present many analysis results of the filters before and after irradiation and conclusions about the photochemical processes. However, I am also many concerns about the validity of such interpretation.

*Response:* We appreciate the critical comments.

Main remarks:

1) The most important concern is due to the use of such lamp with a wavelength emission at 185 nm. With this wavelength, the photolysis processes are present for many (all) compounds take into account in this study? How the authors can separate and evaluate the significance of photolysis processes and reactivity of HO• on the organic compounds? Experiments with such organic compounds in water and under irradiation with this kind of lamp seem very important to conclude about the photochemical processes. The wavelengths 254 and 185 nm are not present in the solar emission at the earth surface.

*Response:* We believe that the UV light, whose spectra are characterized by main peak at 254 nm and minor peak at 185 nm, does not cause a significant photolysis of the measured species in this study. As noted in section 2.3 of the MS, it is well established that the photolysis of diacids and related compounds by UV light at 254 nm is not significant in aqueous phase. Although some compounds, for example, pyruvic acid and methylglyoxal, can absorb UV light at 254 nm, their photolysis is minimal when OH radical reactions are significant. The UV light at 185 nm also does not cause a significant photolysis of organics because the radiation of 185 nm is mostly absorbed by water and subsequently utilized to produce OH radicals (Yang et al., Atmos. Environ., 42, 856-867, 2008). It is true that light at 254 nm is not present in the tropospheric UV spectrum (>300 nm). However, as noted in section 2.3, the UV light at 254 nm has been used to produce significant amount of OH radicals from various sources. The expected chemical reactions of organics with OH radicals

during the experiment are relevant to those present in tropospheric aqueous aerosols. We added these points in the revised MS (please see section 2.3, lines 147-157).

2) Page 1204, lines 26 and 27. Could you explain why there is no sharp increase for the compound  $\omega$ C3? There is a sharp increase for the compounds  $\omega$ C2 and  $\omega$ C4.

*Response:* Such trends are reasonable because  $\omega$ C<sub>2</sub> is significantly produced from aliphatic olefins and aromatic hydrocarbons via glyoxal and methylglyoxal and  $\omega$ C<sub>4</sub> is significantly produced from cyclic olefins and unsaturated fatty acids but not  $\omega$ C<sub>3</sub> (Bandow et al., Bull. Chem. Soc. Jpn., 58, 2531-2540, 1985; Hatakeyama et al., Environ. Sci. Technol., 21, 52-57, 1987; Kawamura et al., Atmos. Environ., 30, 1709-1722, 1996; Lim et al., Environ. Sci. Technol., 39, 4441-4446, 2005; Warneck, Atmos. Environ., 37, 2423-2427, 2003). We added this point in the revised MS (please see section 3.4, lines 318-326).

3) Page 1205, lines 27-28. The authors mentioned that the concentration of water soluble iron species may increase upon UV irradiation. Did you control this affirmation? What is level of the increase of concentration? This information is very important to explain or not some phenomenon.

*Response:* Yes, the amounts of water-soluble Fe might have increased in the sample with irradiation time, which should have further promoted the degradation of C<sub>2</sub> and C<sub>3</sub> diacids with photochemical processing of aerosols. Unfortunately, there is no control on water-soluble Fe formation from the insoluble Fe and we did not measure the concentration levels of Fe species in irradiated samples. We noted this point in the revised MS (please see section 3.4, lines 357-358). However, the present study explores the importance of the Fe-catalyzed photolysis of C<sub>2</sub> and C<sub>3</sub> diacids in atmospheric aerosols during long-range transport. In fact, the quantitative analysis of Fe-catalyzed photolysis of C<sub>2</sub> diacid including kinetics has been reported in our previous publication (Pavuluri and Kawamura, 39, L03802, 2012).

4) About the formation of complexes between iron species and the organic compounds, the authors mentioned only the possible formation with C2 and C3 compounds. This complexation phenomenon increases a lot the photolysis processes and explain the sharp decrease of these two compounds. However, for the C4 compounds the value of the stability constant with Fe<sup>3+</sup> is very similar. Why in this case a formation of C4 is observed at the beginning of the irradiation? The comment is the same for C5.

*Response:* Oxalate (C<sub>2</sub>) and malonate (C<sub>3</sub>) have the strongest chelating capability with Fe<sup>3+</sup> among all dicarboxylates including succinate (C<sub>4</sub>) and glutarate (C<sub>5</sub>) and monocarboxylates. Further, C<sub>2</sub> and C<sub>3</sub> diacids are capable of forming mono, di and tri oxalato (equilibrium constant  $\log_{10}(\beta) = 9.4, 16.2$  and  $20.4$ , respectively) and malonato (equilibrium constant  $\log_{10}(\beta) = 7.5, 13.3$  and  $16.9$ , respectively) complexes with Fe<sup>3+</sup> whereas C<sub>4</sub> diacid can form only monosuccinate (equilibrium constant  $\log_{10}(\beta) = 7.5$ ) (Wang et al., Environ. Sci. Technol., 44, 263-268, 2010). Therefore, the photolysis of C<sub>2</sub> and C<sub>3</sub> diacids is significant but not other species such as C<sub>4</sub> and C<sub>5</sub> diacids. We noted these points in the revised MS (please see sections 3.4 and 3.5 and lines 344-351 and 409-411).

5) In the same experiments could you explain more in detail why the authors observed an increase of the C4, C5 and C6 while the concentrations of all other diacids compounds decrease?

*Response:* Polyunsaturated fatty acids can undergo free radical oxidative cross-linking in the air, especially in sunlight and in the presence of transition metals, and produce high molecular weight organic compounds (Kirschenbauer, Anal. Chim. Acta., 76, 97-106, 1960).

For example, Wheeler (J. Geophys. Res., 77, 5302-5306, 1972) reported that organic compound(s) resulted from the irradiation of linolenic acid (C18:3) in sterilized seawater absorb the UV light of 270-300 nm, whose chemical structure is similar to that of marine fulvic acid (Harvey et al., Mar. Chem., 12, 119-132, 1983) and can produce the particle matter. Interestingly, oxidation of fulvic acid can significantly produce the low-molecular weight (C<sub>4</sub>-C<sub>9</sub>) diacids in aqueous phase (Harvey et al., Mar. Chem., 12, 119-132, 1983). Therefore, the production of C<sub>4</sub> to C<sub>9</sub> diacids should be increased with photochemical processing of aqueous aerosols, if polyunsaturated fatty acids are abundantly polymerized in the irradiated samples. However, the oxidation rate of C<sub>4</sub> to C<sub>9</sub> diacids is increased with increasing carbon number (Yang et al., Atmos. Environ., 42, 868-880, 2008) and hence, the photochemical breakdown of the long-chain diacids upon irradiation is more likely. Further, the photochemical breakdown of long-chain (C<sub>6</sub>-C<sub>9</sub>) diacids preferably produce the C<sub>4</sub> and C<sub>5</sub> diacids (Yang et al., Atmos. Environ., 42, 868-880, 2008). Because of these reasons, the concentrations of C<sub>4</sub> to C<sub>6</sub> diacids might have increased in our experiments, despite the decrease in the cases of other species, which is a subject of future research. We described these points in the revised MS (please see section 3.5, lines 398-425).

Minor remarks:

1) Replace “direct photolysis” by “photolysis”. 2) Page 1198 lines 3, replace Stooky by Stookey. 3) Page 1199 line 2 254 nm instead of 245 nm. 4) Replace “•OH” by “HO•” IUPAC Recommendations 2000. 5) The graphs are too small and it is difficult to appreciate the beginning of kinetics. Example page 1203, line 3 “except two cases (3 and 6h) of AA”. 6) Page 1205 line 1. I think that it is not Fig.7 but Fig.6?

*Response:* Following the reviewer’s suggestions, we corrected all the remarks, except for #5, in the revised MS (please see section 2.3; and lines 121 and 321). Because the difference between the irradiation time periods of each experiment below 12 h is small and the x-axis tick increment is 24 h, few data points are overlapped in few cases. However, the increasing and decreasing trends of all the species are reasonably clear in Figures 3, 4 and 6.

In conclusion, this paper presents many results to understand the photochemistry at the wetted aerosol surface. But I recommend to perform more control experiments and to give more explanations to consolidate the early conclusions.

*Response:* We strongly believe that our responses to the reviewer’s concerns noted above are satisfactory and do not think that there is a need of any further experiments to support the conclusions drawn from this study.

**Laboratory photochemical processing of aqueous aerosols: formation and degradation of dicarboxylic acids, oxocarboxylic acids and  $\alpha$ -dicarbonyls**

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15 **Abstract.** To better understand the photochemical processing of dicarboxylic acids and  
16 related polar compounds, we conducted batch UV irradiation experiments on two types of  
17 aerosol samples collected from India, which represent anthropogenic (AA) and biogenic  
18 aerosols (BA), for time periods of 0.5 h to 120 h. The irradiated samples were analyzed for  
19 molecular compositions of diacids, oxoacids and  $\alpha$ -dicarbonyls. The results show that  
20 photochemical degradation of oxalic ( $C_2$ ) and malonic ( $C_3$ ) and other  $C_8$ - $C_{12}$  diacids  
21 overwhelmed their production in aqueous aerosols whereas succinic acid ( $C_4$ ) and  $C_5$ - $C_7$   
22 diacids showed a significant increase (ca. 10 times) during the course of irradiation  
23 experiments. The photochemical formation of oxoacids and  $\alpha$ -dicarbonyls overwhelmed their  
24 degradation during the early stages of experiment, except for  $\omega$ -oxooctanoic acid ( $\omega C_8$ ) that  
25 showed a similar pattern to that of  $C_4$ . We also found a gradual decrease in the relative  
26 abundance of  $C_2$  to total diacids and an increase in the relative abundance of  $C_4$  during  
27 prolonged experiment. Based on the changes in concentrations and mass ratios of selected  
28 species with the irradiation time, we hypothesize that iron-catalyzed photolysis of  $C_2$  and  $C_3$   
29 diacids dominates their concentrations in Fe-rich atmospheric waters, whereas photochemical  
30 formation of  $C_4$  diacid (via  $\omega C_8$ ) is enhanced with photochemical processing of aqueous  
31 aerosols in the atmosphere. This study demonstrates that the ambient aerosols contain  
32 abundant precursors that produce diacids, oxoacids and  $\alpha$ -dicarbonyls, although some species  
33 such as oxalic acid decompose extensively during an early stage of photochemical processing.

## 34 1 Introduction

35 Dicarboxylic acids and related polar compounds constitute a significant fraction of  
36 water-soluble organic aerosols in the atmosphere (Kawamura and Sakaguchi, 1999; Pavuluri  
37 et al., 2010; Saxena and Hildemann, 1996). They have a potential contribution to the  
38 formation of cloud condensation nuclei (CCN) due to their water-soluble and hygroscopic  
39 properties (Giebl et al., 2002; Saxena and Hildemann, 1996). Thus diacids and related  
40 compounds have an impact on the indirect radiative forcing and hydrological cycle (Albrecht,  
41 1989; Twomey, 1977). They also involve in a series of reactions occurring in gas phase,  
42 aerosols and atmospheric waters (Chebbi and Carlier, 1996; Wang et al., 2010b). Although  
43 diacids, oxoacids and  $\alpha$ -dicarbonyls can be directly emitted into the atmosphere from  
44 incomplete combustion of fossil fuels (Kawamura and Kaplan, 1987) and biomass burning  
45 (Narukawa et al., 1999), they are mainly formed by secondary processes of volatile organic  
46 compounds of anthropogenic and biogenic origin (Kanakidou et al., 2005; Kawamura et al.,  
47 1996a; Kawamura and Sakaguchi, 1999). They are further subjected to photochemical  
48 oxidation during long-range transport; e.g., carbonyls to carboxylic acids (Tilgner and  
49 Herrmann, 2010) and breakdown of higher to lower diacids (Kawamura and Sakaguchi, 1999;  
50 Matsunaga et al., 1999; Wang et al., 2010a).

51 Molecular distributions of diacids in atmospheric aerosols have generally been reported  
52 with a predominance of oxalic ( $C_2$ ) acid followed by malonic ( $C_3$ ) or succinic ( $C_4$ ) acid in  
53 different environments (Kawamura and Kaplan, 1987; Kawamura and Ikushima, 1993;  
54 Kawamura and Sakaguchi, 1999; Narukawa et al., 1999; Pavuluri et al., 2010). The  
55 predominance of  $C_2$  in different environments is likely explained because it is an ultimate end  
56 product in the chain reactions of diacids and various precursors including aromatic  
57 hydrocarbons, isoprene, alkenes and  $\alpha$ -dicarbonyls (Carlton et al., 2007; Charbouillot et al.,  
58 2012; Ervens et al., 2004b; Kawamura et al., 1996a; Lim et al., 2005; Warneck, 2003). In



59 contrast, C<sub>4</sub> was reported to be more abundant than C<sub>2</sub> in some aerosol samples collected  
60 from Antarctica (Kawamura et al., 1996b), the Arctic (Kawamura et al., 2010) and over the  
61 Arctic Ocean (Kawamura et al., 2012) as well as in ice core samples from Greenland  
62 (Kawamura et al., 2001). In addition, a significant reduction in C<sub>2</sub> diacid concentration and an  
63 inverse relationship between C<sub>2</sub> and Fe has been reported in stratocumulus clouds over the  
64 northeastern Pacific Ocean (Sorooshian et al., 2013). The predominance of C<sub>4</sub> over C<sub>2</sub> in ice  
65 core samples and atmospheric aerosols from polar regions, particularly in the Arctic marine  
66 aerosol samples collected under overcast conditions with fog or brume event (Kawamura et  
67 al., 2012) and the reduction of C<sub>2</sub> in cloud water, suggest that photochemical formation of C<sub>4</sub>  
68 and/or degradation of C<sub>2</sub> (Pavuluri and Kawamura, 2012) should be enhanced in atmospheric  
69 waters.

70 However, the photochemical formation and degradation of diacids and related  
71 compounds are not fully understood, particularly in aqueous phase because the composition  
72 of aqueous solutions used in laboratory experiments do not reflect the complex mixture of  
73 organic and inorganic aerosol constituents in the atmosphere and the experimental conditions  
74 are not necessarily atmospherically relevant (Ervens et al., 2011). Hence, it is required to  
75 investigate the fate of diacids and related polar compounds with photochemical processing in  
76 atmospheric waters. In this study, we conducted a laboratory experiment using two types of  
77 ambient aerosol samples collected from Chennai, India, which represent anthropogenic (AA)  
78 and biogenic aerosols (BA). The samples were exposed to UV irradiation in the presence of  
79 moisture for different time ranging from 0.5 h to 120 h and then analyzed for diacids,  
80 oxoacids and  $\alpha$ -dicarbonyls. Here, we report their molecular compositions and discuss the  
81 photochemical formation and/or degradation of diacids as a function of the irradiation time.  
82 Based on the results obtained, we propose possible photochemical formation and degradation  
83 pathways of diacids and related compounds with atmospheric implications.

## 85 2 Materials and Methods

### 86 2.1 Atmospheric aerosol samples

87 In this study, we used two types of atmospheric aerosol (PM<sub>10</sub>) samples that were collected in  
88 winter on January 28 (IND104) and in summer on May 25 (IND178), 2007 during daytime  
89 (ca. 06:00-18:00 h local time) from Chennai (13.03° N; 80.17° E), India using a high volume  
90 air sampler and pre-combusted (450 °C, 4 h) quartz fiber filters. Sampling was conducted on  
91 the rooftop of the Mechanical Sciences building (~18 m a.g.l. (above the ground level)) at the  
92 Indian Institute of Technology Madras (IITM) campus. The details of sampling site and  
93 meteorology are described elsewhere (Pavuluri et al., 2010). The sample filter was placed in a  
94 preheated glass jar with a Teflon-lined screw cap and stored in darkness at -20°C prior to the  
95 experiment. Figure 1 presents ten-day backward air mass trajectories arriving in Chennai at  
96 500 m AGL for every 6 h during the sampling periods of IND104 and IND178. Table 1  
97 shows concentrations of elemental carbon (EC), organic carbon (OC), levoglucosan and sums  
98 of hopanes (specific biomarkers of petroleum and coal) and lipid class compounds: fatty  
99 acids and fatty alcohols, in IND104 and IND178 (Fu et al., 2010; Pavuluri et al., 2011).

100 The air mass trajectories showed that the air masses for the IND104 sample originated  
101 from the north Indian subcontinent passing over the Bay of Bengal (Fig. 1). In North India,  
102 anthropogenic emissions are mainly derived from fossil fuel combustion and forest fires  
103 (Lelieveld et al., 2001; Reddy and Venkataraman, 2002a). This sample is enriched with EC  
104 (Table 1). The anthropogenic signature of IND104 is further supported by high abundances of  
105 hopanes. In contrast, the air masses for the IND178 sample originated from the Arabian Sea  
106 passing over the south Indian subcontinent (Fig. 1), where the emissions from marine biota,  
107 combustion of biofuels (e.g., cow-dung) (Reddy and Venkataraman, 2002b) and livestock  
108 (Garg et al., 2001) are important. In addition, emission of volatile organic compounds (VOCs)

109 from tropical plant species in India is enhanced in summer (Padhy and Varshney, 2005). This  
110 sample is enriched with OC but EC is less abundant (Table 1). The biogenic signature of  
111 IND178 is supported by high abundances of fatty acids and fatty alcohols (Table 1). Hence,  
112 we consider that IND104 represents anthropogenic aerosols (AA) whereas IND178 represents  
113 biogenic aerosols (BA).

114

## 115 2.2 Determination of trace elements, metals and water-soluble iron species

116 Trace elements and metals were determined using an inductively coupled plasma mass  
117 spectrometry (ICP-MS, Thermo Electron X Series) after the acid microwave digestion of  
118 samples (a filter disc of 1.8 cm in diameter) as reported by Theodosi et al. (2010b).  
119 Recoveries obtained with the use of certified reference materials ranged from 90.0 to 104.1%.  
120 Water-soluble iron ( $Fe_{WS}$ : sum of  $Fe^{2+}$  and  $Fe^{3+}$  species) was determined spectrometrically  
121 using the Ferrozine colorimetric method developed by Stookey (1970) as reported by  
122 Theodosi et al. (2010a).  $Fe^{2+}$  was measured using the same procedure without adding the  
123 reducing agent (hydroxylamine hydrochloride), and then  $Fe^{3+}$  was estimated indirectly as the  
124 difference between  $Fe_{WS}$  and  $Fe^{2+}$ . The recovery was ~98.3% for both  $Fe_{WS}$  and  $Fe^{2+}$ .

125

## 126 2.3 Irradiation experiment of aerosol samples

127 Batch UV irradiation experiments using two aerosol samples (AA and BA) were conducted  
128 separately for 0.5, 1.5, 3.0, 6.0, 12, 18, 24, 36, 48, 72, 96 and 120 h, because both primary  
129 and secondary chemical species that are associated with aerosols can be subjected for  
130 significant photochemical processing through out their stay (i.e., up to 12 days) in the  
131 atmosphere (Warneck, 2003). In each experiment, ~12 cm<sup>2</sup> (ca. 3 × 4 cm) of sample filter  
132 was cut into 3~4 pieces and placed vertically in a cleaned quartz reaction vessel (cylinder,  
133 100 ml) with the sample surface facing to UV light as depicted in Fig. 2. The sample was

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134 fully wetted by injecting ~0.4 ml of ultra pure organic free Milli Q water and sealed with  
135 Teflon-lined screw cap under the ambient pressure. Further, the available excess Milli Q  
136 water (Fig. 2) may promote humid (RH = 100%) environment in the reaction vessel by  
137 equilibrium between water vapor and Milli Q water. The aqueous ambient aerosol sample  
138 was then irradiated with a low-pressure mercury lamp (Ushio, UL0-6DQ) that emits a UV,  
139 whose spectra are characterized by main peak at 254 nm and minor peak at 185 nm as well as  
140 broad peak at >254 nm. The experimental setup (Fig. 2) was covered with a cartoon box  
141 containing a hole on each side for the passage of ambient air, and placed in a draft chamber.  
142 The temperature around the experimental system (i.e. inside cartoon box) was equivalent to  
143 room temperature (25±1°C).

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144 The main objective of UV irradiation with a wavelength primarily at 254 nm, rather  
145 than a solar spectrum, was to produce significant amount of hydroxyl radicals (HO<sup>•</sup>) from  
146 various sources described below that should be sufficient enough to act as the main oxidant in  
147 our experimental system. Although we do not preclude a minor photolysis of some organic  
148 compounds present in the aerosol samples by irradiation at ≤254 nm, it is well established  
149 that low molecular weight diacids, oxoacids and α-dicarbonyls including pyruvic acid and  
150 methylglyoxal have negligible absorbance at 254 nm and exhibit minimal photolysis,  
151 particularly when HO<sup>•</sup> reactions of organics are significant (Carlton et al., 2006; Tan et al.,  
152 2012; Yang et al., 2008a). Because sulfate is abundant in non-irradiated AA and BA  
153 (Pavuluri et al., 2011), the production of organosulfates should be significant upon irradiation  
154 (Noziere et al., 2010) in both the samples. However, the sulfate contents may not have  
155 significant impact on the production rate of diacids and related compounds (Tan et al., 2009).  
156 Further, the radiation of 185 nm is mostly absorbed by water to subsequently produce HO<sup>•</sup>  
157 and thus minimize the photolysis of organics during the experiment (Yang et al., 2008a). On  
158 the contrary, iron-dicarboxylate complexes (e.g., oxalate and malonate) can photolyze by

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159 | absorbing both UV-C (254 nm) and UV-A light and their photolysis rate depends on the  
160 | concentration of Fe in the given sample rather than the UV light wavelength (Pavuluri and  
161 | Kawamura, 2012; Wang et al., 2010b; Zuo and Hoigne, 1994). In addition, radiation at 254  
162 | nm has been reported to impose only a marginal photolysis of most of the inorganic species,  
163 | except for nitrate, which is one of the  $\text{HO}^\bullet$  sources (Yang et al., 2008a).

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164 | The irradiation of wetted aerosol sample at 254 nm induces the formation of  $\text{O}_3$  from  
165 | the dissolved  $\text{O}_2$  followed by generation of  $\text{H}_2\text{O}_2$ , and photolysis of  $\text{H}_2\text{O}$ ,  $\text{NO}_3^-$ ,  $\text{NO}_2^-$ ,  $\text{H}_2\text{O}_2$ ,  
166 |  $\text{Fe}(\text{OH})^{2+}$  and certain organic compounds, and Fenton's reaction of photochemically formed  
167 |  $\text{Fe}^{2+}$  and  $\text{H}_2\text{O}_2$  to produce  $\text{HO}^\bullet$  in aqueous phase (Arakaki and Faust, 1998; Carlton et al.,  
168 | 2006; Yang et al., 2008a). In fact, high amount of Fe, including water-soluble  $\text{Fe}^{2+}$  and  $\text{Fe}^{3+}$   
169 | species, is available in both AA and BA samples (Table 1), which could promote the  
170 | Fenton's reaction upon UV irradiation. In addition,  $\text{O}_3$ ,  $\text{H}_2\text{O}_2$ ,  $\text{HOO}^\bullet$  and  $\text{NO}_2$  formed in  
171 | aqueous phase reactions may be partitioned into gas phase and generate the gaseous  $\text{HO}^\bullet$  that  
172 | should be re-partitioned into aqueous phase (Arakaki and Faust, 1998). These sources of  $\text{HO}^\bullet$   
173 | are similar to those of atmospheric waters: (i) gas/drop partitioning of  $\text{HO}^\bullet$  and (ii) gas/drop  
174 | partitioning of  $\text{O}_3$  followed by reaction with peroxy radical ( $\text{HOO}^\bullet$ ), (iii) photolysis of  $\text{H}_2\text{O}$ ,  
175 |  $\text{NO}_3^-$ ,  $\text{NO}_2^-$ ,  $\text{H}_2\text{O}_2$ ,  $\text{Fe}(\text{OH})^{2+}$  and certain organic compounds, and (iv) Fenton's reaction of  
176 |  $\text{Fe}^{2+}$  and  $\text{H}_2\text{O}_2$  (Arakaki and Faust, 1998).

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177 | Unfortunately, we could not approximate the actual concentrations of  $\text{HO}^\bullet$  in our  
178 | experiments because we did not add any chemical (e.g., a standard compound whose kinetics  
179 | are known) in order to keep our experimental system as realistic as possible. Furthermore, the  
180 | formation of  $\text{O}_3$  from the initially available  $\text{O}_2$  (~0.94 mM) in the reaction vessel may not  
181 | cause the deficit of the  $\text{O}_2$  that could potentially induce the polymerization of organics during  
182 | the irradiation on aerosols for several hours, because the additional  $\text{O}_2$  could be produced

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from the gaseous  $\text{HOO}^{\bullet}$  formed by photolysis of organics and Fenton's reaction (Arakaki and Faust, 1998) during the experiment.

## 2.4 Measurements of diacids, oxoacids and $\alpha$ -dicarbonyls

Immediately after the irradiation, samples were analyzed for diacids, oxoacids and  $\alpha$ -dicarbonyls using a method reported elsewhere (Kawamura, 1993; Kawamura and Ikushima, 1993). Briefly, the irradiated sample filter was extracted with Milli-Q water (10 mL x 3) under ultra sonication for 10 min and the extracts were concentrated to near dryness using a rotary evaporator under vacuum. The extracts were then derivatized with 14%  $\text{BF}_3/\text{n}$ -butanol at  $100^{\circ}\text{C}$  to butyl esters and/or butoxy acetals. Both the esters and acetals were extracted with *n*-hexane and then determined using a capillary GC (HP 6890) and GC-MS (Thermo Trace MS). Recoveries of authentic standards spiked to a pre-combusted quartz fiber filter were 73% for oxalic ( $\text{C}_2$ ) acid and more than 84% for malonic ( $\text{C}_3$ ), succinic ( $\text{C}_4$ ) and adipic ( $\text{C}_6$ ) acids (Pavuluri et al., 2010). The analytical errors in duplicate analysis of the aerosol filter sample are within 9% for major species. Gas chromatogram of the field and laboratory blanks showed small peaks for  $\text{C}_2$ , phthalic (Ph) and glyoxylic acids. Concentrations of all the species reported here are corrected for the non-irradiated field blanks (Pavuluri et al., 2010).

## 2.5 Quality control

To examine the possible experimental errors, including the distribution of organic/inorganic constituents over the filter sample, we conducted replicate experiments ( $n = 3$ ) for 18 h irradiation of AA sample by using the sample cut taken from different parts of the filter sample for each experiment because a deviation in the results of the irradiation experiment should become large if the impact of potential variance in chemical composition of aerosol at

208 different parts of the single filter, size of the filter sample used (i.e., amount of aerosols) and  
209 the amount of Milli Q water added is significant. The experimental errors, including the  
210 analytical errors, were found to be within 11% for major species, except for C<sub>3</sub> diacid (19%).  
211 These results suggest that organic and inorganic constituents are well distributed over the  
212 filter sample and took up water evenly distributed upon wetting. In addition, two irradiation  
213 experiments were conducted to check the procedural blank by using a clean quartz filter for  
214 1.5 h and 6.0 h. No peaks were detected, except for a small peak for C<sub>2</sub> and Ph. These results  
215 indicate that the occurrence of bias during the experiment is insignificant.

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the irradiation experiment

### 217 3 Results and discussion

#### 218 3.1 Concentrations of trace elements, metals and water-soluble iron species

219 Concentrations of trace elements, metals and water-soluble Fe species (Fe<sup>2+</sup> and Fe<sup>3+</sup>)  
220 determined in non-irradiated AA and BA samples are presented in Table 1. The trace  
221 elements and metals in AA sample, which mainly originate from soil dust (e.g., P, Al, Ca and  
222 Fe), non-ferrous metallurgical industrial activities (Cd, Cu and Zn) and fossil fuel combustion  
223 (Cr, Pb and V) (Mahowald et al., 2008; Pacyna and Pacyna, 2001), are significantly more  
224 abundant than in BA (by up to several times higher), except for S, Ni and Sb (Table 1). The  
225 high abundances of trace metals in AA further suggest that the AA sample should contain  
226 high abundances of anthropogenic organic matter. The high abundances of S, Ni and Sb in  
227 BA than in AA may be due to high emissions of the S from intensive consumption of biofuels,  
228 particularly cow-dung that contains higher S content (Reddy and Venkataraman, 2002b),  
229 while Ni and Sb are from some specific industrial activities in southern India. Although  
230 water-soluble Fe<sup>2+</sup> and Fe<sup>3+</sup> species are abundant in both AA and BA, their concentrations in  
231 BA are 30-50% higher than in AA (Table 1). Further the fraction of water-soluble Fe (Fe<sub>WS</sub>:

232 sum of  $\text{Fe}^{2+}$  and  $\text{Fe}^{3+}$ ) in total particulate Fe ( $\text{Fe}_{\text{Tot}}$ ) is 2.77% in AA whereas it is 14.6% in  
233 BA.

234

### 235 3.2 Molecular compositions of diacids, oxoacids and $\alpha$ -dicarbonyls

236 A homologous series of normal ( $\text{C}_2$ - $\text{C}_{12}$ ) and branched chain (iso  $\text{C}_4$ - $\text{C}_6$ ) saturated  
237  $\alpha,\omega$ -diacids were detected in both non-irradiated and irradiated AA and BA samples as well  
238 as aliphatic unsaturated diacids such as maleic (M), fumaric (F), and methylmaleic (mM)  
239 acids and aromatic diacids such as phthalic (Ph), isophthalic (*i*-Ph), and terephthalic (*t*-Ph)  
240 acids. Diacids with an additional functional group, i.e., malic (hydroxysuccinic,  $\text{hC}_4$ ),  
241 ketomalonic ( $\text{kC}_3$ ), and 4-ketopimelic ( $\text{kC}_7$ ) acids, were detected, together with  $\omega$ -oxoacids  
242 ( $\omega\text{C}_2$ - $\omega\text{C}_9$ ), pyruvic acid (Pyr), and  $\alpha$ -dicarbonyls, i.e., glyoxal (Gly) and methylglyoxal  
243 (MeGly).  $\omega\text{C}_6$  will not be reported here due to the overlapping peak on GC chromatogram.

244 Oxalic ( $\text{C}_2$ ) acid was found as the most abundant diacid in non-irradiated samples  
245 (accounting for 54% of total diacids in AA and 53% in BA), followed by Ph (10%),  $\text{C}_4$  (9%),  
246  $\text{C}_3$  (8%) and  $\text{C}_9$  (4%) in AA and by malonic ( $\text{C}_3$ ) (9%),  $\text{C}_4$  (6%) and *t*-Ph (6%) acids in BA.  
247 Branched chain diacids were significantly lower than the corresponding normal structures in  
248 both samples. Glyoxylic ( $\omega\text{C}_2$ ) acid is the most abundant oxoacid, comprising 64% and 57%  
249 of total oxoacids in AA and BA, respectively, followed by Pyr (13%) and 4-oxobutanoic  
250 ( $\omega\text{C}_4$ ) acid (10%) in AA and  $\omega\text{C}_4$  (18%) and Pyr (13%) in BA. MeGly is more abundant than  
251 Gly in AA whereas their abundances are equivalent in BA.

252

### 253 3.3 Changes in concentrations of diacids and related compounds as a function of UV 254 irradiation time

255 Changes in concentrations of individual and total diacids as a function of UV irradiation time  
256 in AA and BA are depicted in Fig. 3, while those of oxoacids and  $\alpha$ -dicarbonyls as well as



total oxoacids and  $\alpha$ -dicarbonyls in Fig. 4. Concentrations of  $C_2$  diacid were sharply decreased by a factor of 3-9 (from 553 ng m<sup>-3</sup> to 61.7 ng m<sup>-3</sup> in AA and from 339 to 118 ng m<sup>-3</sup> in BA) within 6 h and 12 h of UV irradiation, respectively (Fig. 3a). Then, the concentrations started to increase to maximize at 24 h (292 ng m<sup>-3</sup>) in AA and 18 h (306 ng m<sup>-3</sup>) in BA on further irradiation. They gradually decreased toward the end (120 h) of the experiment (Fig. 3a). Interestingly,  $C_3$  diacid showed a temporal variation similar to  $C_2$  in both AA and BA, except for few points (Fig. 3b). Relative abundances of  $C_2$  in total diacids gradually decreased from non-irradiated samples (54% in AA and 53% in BA) toward the end (120 h) of the experiment (3.2% in AA and 9.2% in BA, Fig. 5).

Concentrations of  $\omega C_2$ , an immediate precursor of  $C_2$  (Kawamura et al., 1996a; Lim et al., 2005; Warneck, 2003), increased with irradiation time up to 18 h in both AA and BA, except for two cases (3 and 6 h) of AA, and then gradually decreased until the end (120 h) of the experiment, except for one case (36 h) in AA (Fig. 4a). Pyr, Gly and MeGly, which are the precursors of  $\omega C_2$  acid, are all produced by the oxidation of VOCs of anthropogenic and biogenic origin (Carlton et al., 2006; Ervens et al., 2004b; Lim et al., 2005; Warneck, 2003). They also increased with irradiation time up to 18~24 h in both samples and then gradually decreased (except for MeGly in AA) until the end (120 h) of the experiment (Fig. 4g, i, j). However, the other precursor of  $C_2$  diacid,  $kC_3$  diacid (Kawamura et al., 1996a), showed a decrease with irradiation time throughout the experiment, except for few cases (Fig. 3v) whereas  $hC_4$ , a precursor of  $C_3$  diacid (Kawamura et al., 1996a), increased up to 18 h in BA and 24 h in AA and remained relatively high until 72 h and then gradually decreased until the end (120 h) of the experiment (Fig. 3u).

In contrast, concentrations of  $C_4$  diacid showed a gradual increase with irradiation time up to 72 h in BA and 96 h in AA followed by a slight decrease in the AA and a sharp decrease in BA (Fig. 3c). Relative abundance of  $C_4$  diacid in total diacids also increased from

282 8.9% (non-irradiated) to 82% (120 h) in AA and from 6.4% to 88% in BA (Fig. 5). Similarly,  
283 C<sub>5</sub> diacid in AA (Fig. 3d) showed a gradual increase with irradiation up to 36 h and stayed  
284 almost constant until 96 h followed by a slight decrease. Similar trend was found in BA (Fig.  
285 3d). Both C<sub>6</sub> and C<sub>7</sub> diacids showed an increase with irradiation up to 6~36 h and then a  
286 gradual decrease until the end (120 h) of the experiment (Fig. 3e,f). Concentrations of *i*C<sub>4</sub>  
287 diacid also increased with irradiation up to 18 h in BA and 36 h in AA and stayed relatively  
288 constant until 72 h or 96 h. Then, the concentrations gradually decreased until the end (120 h)  
289 of the experiment (Fig. 3l). *i*C<sub>5</sub> and *i*C<sub>6</sub> diacids (Fig. 3m,n) showed very similar trend with  
290 their corresponding normal diacids (Fig. 3d,e).

291 Long-chain (C<sub>8</sub>-C<sub>12</sub>) diacids showed a sharp decrease with irradiation up to 12 h and  
292 then a gradual decrease until the end (120 h) of the experiment (Fig. 3g-k). C<sub>8</sub>, C<sub>9</sub> and C<sub>12</sub>  
293 diacids became below the detection limit within several hours, particularly in BA. On the  
294 other hand, unsaturated aliphatic (M, F, mM, and Ph) and aromatic diacids (*i*-Ph and *t*-Ph)  
295 showed a gradual decrease with irradiation, except for few cases during the early stages of the  
296 experiment (Fig. 3o-t). Concentrations of *k*C<sub>7</sub> increased with irradiation time up to 18 h and  
297 then decreased gradually until 120 h (Fig. 3w) whereas oxoacids: ωC<sub>3</sub>, ωC<sub>7</sub> and ωC<sub>9</sub> acids,  
298 showed a gradual decrease with irradiation, except for few cases (Fig. 4b,d,f). On the other  
299 hand, ωC<sub>4</sub> acid showed a sharp increase up to 12 h and then a sharp decrease toward 24 h  
300 (Fig. 4c). Interestingly, temporal pattern of ωC<sub>8</sub> acid (Fig. 4e) was similar to that of C<sub>4</sub> diacid  
301 (Fig. 3c).

302 Thus the changes in the concentrations of individual diacids, oxoacids and  
303 α-dicarbonyls as well as relative abundances of individual diacids in total diacids and mass  
304 ratios of selected species in AA and BA found to be similar (Figs. 3-6), although significant  
305 differences are recognized between AA and BA samples during irradiation. Such similarities  
306 in the temporal variations of diacids and related polar compounds infer that their

307 photochemical formation and degradation pathways in aqueous aerosols (Fig. 7) are almost  
308 same between anthropogenic and biogenic aerosols. However, there were significant  
309 differences in the rate of formation and/or degradation of diacids and related compounds  
310 between AA and BA, which might have been driven by the differences in the abundances of  
311 the diacids and related compounds as well as their precursor compounds in the original  
312 (non-irradiated) AA and BA samples. In fact, total diacids, oxoacids and  $\alpha$ -dicarbonyls were  
313 higher in non-irradiated AA than in BA. On the contrary, OC that contains several precursor  
314 compounds (including fatty acids) of diacids and related polar compounds is higher in BA  
315 than in AA (Table 1).

316

### 317 3.4 Production and decomposition of short-chain diacids and related compounds

318 A sharp increase was observed in the concentrations of  $\omega$ C<sub>2</sub>,  $\omega$ C<sub>4</sub>, Pyr, Gly and MeGly, but  
319 not  $\omega$ C<sub>3</sub>, with irradiation up to 18~24 h following a gradual decrease (Fig. 4), demonstrating  
320 an enhanced photochemical production of short-chain ( $\leq$ C<sub>4</sub>) oxoacids and  $\alpha$ -dicarbonyls  
321 during an early stage of photochemical processing. It is likely because  $\omega$ C<sub>2</sub>, Pyr, Gly and  
322 MeGly are significantly produced by photochemical oxidation of aliphatic olefins and  
323 aromatic hydrocarbons whereas  $\omega$ C<sub>4</sub> from cyclic olefins and unsaturated fatty acids (Bandow  
324 et al., 1985; Hatakeyama et al., 1987; Kawamura et al., 1996a; Lim et al., 2005; Warneck,  
325 2003) but  $\omega$ C<sub>3</sub> may not be significantly produced from any of these precursor compounds  
326 (Fig. 7). On the other hand, the increasing trends of mass ratios of C<sub>2</sub> to its precursor  
327 compounds:  $\omega$ C<sub>2</sub>, Pyr, Gly and MeGly as well as C<sub>3</sub> (but not C<sub>4</sub>) diacid (Carlton et al., 2007;  
328 Ervens et al., 2004b; Kawamura et al., 1996a; Lim et al., 2005; Warneck, 2003), were found  
329 for BA toward to 120 h (Fig. 6a-e and f). It is noteworthy that C<sub>3</sub>/ $\omega$ C<sub>7</sub> ratios also showed a  
330 slight increase, although they are not clear in the later stages of experiment (Fig. 6g),  
331 suggesting a potential formation of C<sub>3</sub> diacid via  $\omega$ C<sub>7</sub> that is derived from unsaturated fatty

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332 acids and/or cyclic olefins. In addition, F/M ratios showed an increase with irradiation up to  
333 48 h in AA and 18 h in BA followed by a gradual decrease until the end of experiment (Fig.  
334 6i), indicating a significant photochemical transformation during an early stage of experiment  
335 and decomposition in a later stage.

336 Photochemical degradation of C<sub>2</sub> and C<sub>3</sub> diacids should have overwhelmed their  
337 photochemical production even in an early stage of experiment, except for few cases (Fig.  
338 3a,b). Diacids and other compounds containing a carbonyl group can form stable carboxylate  
339 salts with amines upon photochemical oxidation. However, based on laboratory studies, C<sub>2</sub>  
340 and C<sub>3</sub> diacids have been reported to decompose in aqueous phase in the presence of Fe<sup>3+</sup>  
341 (and C<sub>2</sub> diacid even in the presence of Fe<sup>2+</sup>) under UV irradiation at 254 nm as well as at a  
342 solar spectrum (>300 nm) (Pavuluri and Kawamura, 2012; Wang et al., 2010b; Zuo and  
343 Hoigne, 1994), but C<sub>2</sub> diacid (and maybe C<sub>3</sub> diacid) is relatively stable in the absence of Fe  
344 species (Pavuluri and Kawamura, 2012). It is well documented that both C<sub>2</sub> and C<sub>3</sub> diacids  
345 have the strongest chelating capacity with Fe<sup>3+</sup> among all diacids and tend to form mono, di  
346 and tri oxalato (equilibrium constant log<sub>10</sub>(b) = 9.4, 16.2 and 20.4, respectively) and malonato  
347 (equilibrium constant log<sub>10</sub>(b) = 7.5, 13.3 and 16.9, respectively) complexes, by acting as  
348 ligands in aqueous phase, which exhibit a strong light absorbing ability (Wang et al., 2010b).  
349 Although the equilibrium constant of Fe<sup>3+</sup>-malonato complex is slightly lower than that of  
350 Fe<sup>3+</sup>-oxalato, both diacids photolyze upon the absorption of UV light to result in Fe<sup>2+</sup> and  
351 CO<sub>2</sub> (Wang et al., 2010b; Zuo and Hoigne, 1994).

352 We found that non-irradiated AA and BA samples contain significant amounts of  
353 water-soluble Fe<sup>2+</sup> and Fe<sup>3+</sup> species (Table 1). Because high abundance of particulate Fe is  
354 present in both AA and BA (Table 1), the concentrations of water-soluble Fe<sup>2+</sup> and Fe<sup>3+</sup>  
355 species in both AA and BA samples may increase upon UV irradiation; the water-insoluble  
356 Fe can be transformed into water-soluble forms by photochemical processing of mineral

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aerosols (Solmon et al., 2009; Srinivas et al., 2012). However, we did not measure the concentrations of  $\text{Fe}^{2+}$  and  $\text{Fe}^{3+}$  species in the irradiated samples. In fact, the mass ratio of  $\text{C}_2$  diacid to  $\text{Fe}^{3+}$  is 15:1 in non-irradiated AA and 7:1 in BA, which are close to the ratio (10:1) used in laboratory experiments conducted by Pavuluri and Kawamura (2012) for Fe-catalyzed photolysis of  $\text{C}_2$  diacid in aqueous phase, in which the photolysis of  $\text{C}_2$  is very fast ( $k = 206 \text{ L mol}^{-1} \text{ s}^{-1}$ ) and 99% of the  $\text{C}_2$  is degraded in 0.5 h. Therefore, available water-soluble  $\text{Fe}^{3+}$  (and  $\text{Fe}^{2+}$ ) in AA and BA should be enough to promote the catalytic photochemical degradation of  $\text{C}_2$  (and  $\text{C}_3$ ) upon UV irradiation (Fig. 7) and thus the degradation rate of  $\text{C}_2$  (and  $\text{C}_3$ ) should have increased with the prolonged experiment due to enhancement in  $\text{Fe}^{3+}$  (and  $\text{Fe}^{2+}$ ) levels in the given sample.

The concentration of  $\text{C}_2$  diacid in AA decreased by 30% in 1.5 h and continued to decline by 90% until 12 h (Fig. 3a). On the other hand, the experiment of BA showed that the concentration of  $\text{C}_2$  decreased by 47% and 51% in 0.5 h and 1.5 h, respectively, and then gradually declined. The concentrations of  $\text{C}_3$  also showed similar trends with  $\text{C}_2$  (Fig. 3b). Although  $\text{C}_2$  and  $\text{C}_3$  diacids decreased sharply during early stages of experiment, they decreased gradually in the later stages, despite possibly enhanced levels of water-soluble  $\text{Fe}^{2+}$  and  $\text{Fe}^{3+}$  species. These trends imply that photolysis of  $\text{C}_2$  and  $\text{C}_3$  diacids is highly significant in the presence of water-soluble  $\text{Fe}^{3+}$  (and  $\text{Fe}^{2+}$ ) (Fig. 7). On the other hand, the formation of both  $\text{C}_2$  and  $\text{C}_3$  diacids is also intensive with the photochemical processing of their precursor compounds in AA and BA. However, the net rate of production or degradation of  $\text{C}_2$  and  $\text{C}_3$  diacids in each experiment (Figs. 3a,b) should depend on the abundances of water-soluble  $\text{Fe}^{2+}$  and  $\text{Fe}^{3+}$  species and their precursors in AA and BA.

We found an increase in the mass ratios of MeGly to Gly with irradiation toward the end of the experiment, except for an early stage of experiment (up to 6 h) in AA, whereas in BA they remained relatively constant up to 36 h and then increased gradually up to 72 h

followed by a rapid decrease (Fig. 6n). As noted earlier, concentrations of Gly and MeGly increased with experiment up to 18~24 h in both AA and BA. Thereafter, Gly decreased toward the end of experiment in both AA and BA whereas MeGly remained relatively constant in the AA, but decreased in BA (Fig. 4i,j). Such differences should be caused by the difference in their production rates depending on the concentrations of potential precursors and their oxidation products in AA and BA: benzene and glycolaldehyde for Gly, acetone and higher alkanes ( $>C_3$ ) and alkenes ( $>C_2$ ) for MeGly (Fu et al., 2008), rather than the reaction rates of the Gly ( $1.1 \times 10^9 \text{ M}^{-1} \text{ S}^{-1}$ ) and MeGly ( $6.44 \times 10^8 \text{ M}^{-1} \text{ S}^{-1}$ ) with  $\text{HO}^\bullet$  in aqueous phase (Tan et al., 2012). Therefore, the high abundance of MeGly in AA than Gly can be attributed to its enhanced production than the later species during photochemical processing of aqueous aerosols derived from anthropogenic sources. Further, the oligomerization of Gly and MeGly (Lim et al., 2010; Tan et al., 2009; Tan et al., 2012) might have also played an important role on the changes in their concentrations with irradiation time, however, we did not focus on the measurements of oligomers here because of the analytical limitations.

### 3.5 Possible photochemical pathways of long-chain diacids and oxoacids

Enhanced concentrations of normal and branched  $C_4$ - $C_7$  diacids during an early stage (18~36 h) (Fig. 3c-f), despite degradation of  $C_2$  and  $C_3$  and longer-chain  $>C_7$  diacids (Fig. 3a, b, g-k), may be caused by photochemical oxidation of the first generation products derived from the oxidation of anthropogenic and/or biogenic VOCs (e.g., cycloalkenes, monoterpenes, and sesquiterpenes) and unsaturated fatty acids (Gao et al., 2004; Kalberer et al., 2000) (Fig. 7). In addition, the photochemical oxidation of the polymers of polyunsaturated fatty acids, if available, can significantly produce the long-chain ( $\geq C_4$ ) diacids (Harvey et al., 1983), a subject of future research. In fact, polyunsaturated fatty acids (e.g., linolenic acid ( $C_{18:3}$ )) can undergo free radical oxidative cross-linking in the air and produce high molecular weight

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407 organic compounds (e.g., fulvic acid) (Harvey et al., 1983; Wheeler, 1972). Harvey et al.  
408 (1983) found a series of C<sub>4</sub>-C<sub>9</sub> diacids by oxidizing the marine fulvic acid in a laboratory  
409 study. On the other hand, the chelating capability of succinate (equilibrium constant log<sub>10</sub>(b)  
410 = 7.5 (Wang et al., 2010b)) and other long-chain diacids with Fe<sup>3+</sup> is weak and hence, their  
411 photolysis is insignificant. However, they should be further oxidized to result in lower diacids  
412 (Kawamura et al., 1996a; Matsunaga et al., 1999). The degradation of these diacids should be  
413 increased with increasing chain length because the oxidation rate of C<sub>4</sub> to C<sub>9</sub> diacids is  
414 increased with increasing carbon number (Yang et al., 2008b).

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415 The relatively constant levels of C<sub>5</sub>, iC<sub>4</sub> and iC<sub>5</sub> during 36 h and 72~96 h (Fig. 3d,l,m)  
416 may be due to the balance between photochemical production and degradation. The increases  
417 in the concentrations of C<sub>4</sub> with a prolonged irradiation up to 72 h in BA and 96 h in AA  
418 further demonstrate its formation from higher diacids and other precursors in aqueous  
419 aerosols (Charbouillot et al., 2012; Kawamura and Sakaguchi, 1999) (Fig. 7). In fact, total  
420 diacids stayed relatively constant from 24 h to 72~96 h (Fig. 3x). In addition, mass ratios of  
421 C<sub>4</sub> to C<sub>5</sub>-C<sub>7</sub> showed a gradual increase throughout the experiment (until 120 h) in both AA  
422 and BA (Fig. 6k-m). These results support a photochemical breakdown of longer-chain (≥C<sub>5</sub>)  
423 diacids resulting in C<sub>4</sub> (Charbouillot et al., 2012; Matsunaga et al., 1999; Yang et al., 2008b).  
424 Yang et al. (2008b) reported that the production of C<sub>4</sub> diacid is predominant followed by C<sub>5</sub>  
425 diacid during a laboratory photochemical oxidation of C<sub>6</sub>-C<sub>9</sub> diacids.

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426 In addition, ωC<sub>8</sub> acid, which can be produced by the oxidation of cyclic olefins and  
427 unsaturated fatty acids (Gao et al., 2004; Kawamura and Sakaguchi, 1999), showed a gradual  
428 increase (Fig. 4e) similar to that of C<sub>4</sub> diacid (Fig. 3c) in both AA and BA, suggesting a  
429 significant photochemical production of C<sub>4</sub> via ωC<sub>8</sub> until the consumption of the precursor  
430 compounds derived from anthropogenic and biogenic VOCs and biogenic unsaturated fatty  
431 acids (Gao et al., 2004; Kalberer et al., 2000). In fact, ratios of C<sub>4</sub> to C<sub>5</sub>-C<sub>7</sub> were 10 times

432 higher in BA than in AA whereas those of  $C_4/\omega C_8$  were similar in both the BA and AA (Fig.  
433 6j). However, their temporal profiles with irradiation time are similar in both AA and BA.  
434 These results suggest that the formation of  $C_4$  and  $\omega C_8$  is much higher in biogenic aerosols  
435 than in anthropogenic aerosols compared to  $C_5$ - $C_7$  diacids, but their formation/degradation  
436 processes may be similar irrespective of the origin of precursors. However, it is not clear  
437 from this study if  $C_4$  is mainly derived (via  $\omega C_8$ ) from cyclic olefins or unsaturated fatty acids  
438 (Fig. 7).

439 It is well established that long-chain ( $C_8$ - $C_{12}$ ) diacids are formed by photochemical  
440 oxidation of unsaturated fatty acids (e.g., oleic acid) (Kawamura and Gagosian, 1987;  
441 Matsunaga et al., 1999) (Fig. 7). However, unsaturated fatty acids were not abundant (e.g.,  
442 oleic acid was  $0.89 \text{ ng m}^{-3}$  in AA and below detection limit in BA) in non-irradiated samples  
443 (Fu et al., 2010). Hence, photochemical formation of long-chain diacids from the oxidation of  
444 unsaturated fatty acids should be less important during the experiment, although chemical  
445 forms of polymerized and/or partially oxidized unsaturated fatty acids may be abundant in the  
446 aerosols. On the other hand, photooxidation rate constant of diacids increases with an  
447 increase in carbon number of individual diacids ( $\geq C_4$ ) (Yang et al., 2008b). Hence,  
448 photochemical breakdown of  $C_8$ - $C_{12}$  diacids to lower diacids (Matsunaga et al., 1999; Yang  
449 et al., 2008b) should be very likely (Fig. 7). The gradual decreases of aliphatic unsaturated  
450 diacids, aromatic diacids, and oxoacids, except for  $\omega C_8$ , with irradiation are likely caused by  
451 the photochemical degradation (Fig. 7).

452

### 453 3.6 Atmospheric implications

454 As discussed above, this study reveals that photochemical degradation of  $C_2$  and  $C_3$  (due to  
455 Fe-catalyzed photolysis) in aqueous aerosols overwhelmed their production whereas  $C_4$   
456 diacid showed photochemical formation. These results are consistent with the recent



457 atmospheric observations: a significant reduction in C<sub>2</sub> diacid concentration and an inverse  
458 relationship between the C<sub>2</sub> and Fe in cloud water (Sorooshian et al., 2013), and the  
459 replacement of the predominance of C<sub>2</sub> by C<sub>4</sub> in the Arctic aerosols (Kawamura et al., 2010;  
460 Kawamura et al., 2012). It was also reported that C<sub>4</sub> and C<sub>5</sub> diacids are most abundant among  
461 C<sub>3</sub>-C<sub>8</sub> diacids determined during the photochemical oxidation of C<sub>6</sub>-C<sub>9</sub> diacids in a laboratory  
462 experiment (Yang et al., 2008b).

463 On the contrary, enhanced degradation of C<sub>2</sub> and C<sub>3</sub> and formation of C<sub>4</sub> diacid upon  
464 prolonged irradiation, are not consistent with previous laboratory, observation and model  
465 studies on photochemical production and degradation of diacids and related compounds in  
466 aqueous phase (e.g., cloud processing) (Carlton et al., 2007; Charbouillot et al., 2012; Ervens  
467 et al., 2004b; Kawamura et al., 1996a; Kawamura and Sakaguchi, 1999; Lim et al., 2005;  
468 Warneck, 2003). In fact, previous studies did not consider Fe-catalyzed photolysis of C<sub>2</sub>  
469 diacid, which is significant at least in Fe-rich atmospheric waters. On the other hand, the  
470 formation processes and potential precursor compounds of C<sub>4</sub> diacid have not been fully  
471 explored yet. Moreover, previous laboratory experiments on aqueous solutions of specific  
472 species did not consider the mixing state of organic and inorganic constituents in atmospheric  
473 aerosols (Ervens et al., 2011), although simplified experiments sometimes provide useful  
474 information on mechanisms.

475 Generally, it has been considered that the anthropogenic contributions of  $\alpha$ -dicarbonyls  
476 to organic aerosols are minor: 8% for Gly and 5% for MeGly (Fu et al., 2008). To the best of  
477 our knowledge, their production in atmospheric waters has not well been recognized yet. Our  
478 laboratory experiments indicate that the photochemical production of Gly and MeGly is  
479 significant in aqueous aerosols. The production of MeGly is more pronounced compared to  
480 Gly with prolonged photochemical processing of aqueous anthropogenic aerosols. Finally,  
481 our findings based on the batch laboratory experiment emphasize the importance of the

482 photolysis of C<sub>2</sub> and C<sub>3</sub> diacids and photochemical production of C<sub>4</sub> diacid and  $\alpha$ -dicarbonyls  
483 in aqueous aerosols to reconcile the current atmospheric model(s) such as cloud parcel model  
484 (Ervens et al., 2004a), and to better understand the secondary organic aerosol budget and its  
485 climatic impacts.

486

#### 487 **4 Summary and conclusions**

488 In this study, we conducted batch UV irradiation experiments on anthropogenic (AA) and  
489 biogenic (BA) aerosol samples collected from Chennai, India in the presence of moisture for  
490 the reaction time of 0.5 h to 120 h. The irradiated samples were analyzed for molecular  
491 compositions of diacids, oxoacids and  $\alpha$ -dicarbonyls. Concentrations of C<sub>2</sub> and C<sub>3</sub> and C<sub>8</sub>-C<sub>12</sub>  
492 diacids decreased with an increase in 12-24 h. In contrast, C<sub>4</sub> diacid (and C<sub>5</sub>-C<sub>7</sub>) showed a  
493 significant increase with reaction time up to 72 h in BA and 96 h in AA. Oxoacids and  
494  $\alpha$ -dicarbonyls showed a significant increase during an early stage of irradiation followed by a  
495 gradual decrease in the prolonged experiment, except for  $\omega$ C<sub>8</sub> acid that showed a pattern  
496 similar to C<sub>4</sub> diacid and for methylglyoxal that remained relatively abundant from 24 h to the  
497 end of the experiment in AA. The mass ratios of C<sub>2</sub> diacid to its precursors: glyoxylic acid,  
498 pyruvic acid,  $\alpha$ -dicarbonyls (glyoxal and methylglyoxal) and C<sub>3</sub>, showed a considerable  
499 increase with irradiation, while those of C<sub>4</sub> to C<sub>5</sub>-C<sub>7</sub> diacids and  $\omega$ C<sub>8</sub> acid and methylglyoxal  
500 to glyoxal in AA showed a significant increase with irradiation. These results demonstrate  
501 that degradation of C<sub>2</sub> and C<sub>3</sub> (and C<sub>8</sub>-C<sub>12</sub>) and formation of C<sub>4</sub> (and C<sub>5</sub>-C<sub>7</sub>) is enhanced with  
502 photochemical processing of aqueous aerosols. This study further infers that iron-catalyzed  
503 photolysis of C<sub>2</sub> and C<sub>3</sub> diacids and photochemical formation of C<sub>4</sub> diacid via  $\omega$ C<sub>8</sub> acid  
504 derived from cyclic olefins and/or unsaturated fatty acids play an important role in  
505 controlling their abundances in the atmosphere with photochemical processing of aqueous

506 aerosols. This study also suggests that photochemical production of  $\alpha$ -dicarbonyls, in  
507 particular methylglyoxal, in anthropogenic aerosols is significant.

508

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**Table 1.** Concentrations of carbonaceous components, organic molecular tracer compounds, diacids and related compounds, trace elements, metals and water-soluble iron species in non-irradiated IND104 (anthropogenic aerosols: AA) and IND178 (biogenic aerosols: BA) aerosol samples collected from Chennai, India.

	Concentrations (ng m <sup>-3</sup> )	
	IND104 (AA)	IND178 (BA)
Organic carbon <sup>a</sup>	6400	9820
Elemental carbon <sup>a</sup>	4810	1810
Levogluconan <sup>b</sup>	79.1	158
Hopanes (C <sub>27</sub> -C <sub>35</sub> ) <sup>b</sup>	11.8	3.9
Fatty acids (C <sub>8</sub> -C <sub>34</sub> ) <sup>b</sup>	167	297
Fatty alcohols (C <sub>14</sub> -C <sub>34</sub> ) <sup>b</sup>	93.3	178
Total diacids	1030	640
Total oxoacids	110	62.2
Total $\alpha$ -dicarbonyls	10.9	11.6
Al	15100	914
Ca	1640	0.00
Cd	10.7	1.73
Co	1.07	0.00
Cr	5.33	0.00
Cu	796	13.9
Fe	2070	553
K	1220	893
Mg	679	90.2
Mn	129	19.1
Na	1890	408
Ni	58.7	106
P	62.9	0.00
Pb	133	39.9
S	4640	5820
Sb	13.9	29.5
V	9.60	0.00
Zn	2030	137
Fe <sub>ws</sub> <sup>c</sup>	57.0	78.3
Fe <sup>2+</sup>	20.5	30.0
Fe <sup>3+</sup>	36.6	48.4

<sup>a</sup>: Data is obtained from Pavuluri et al. (2011), <sup>b</sup>: Data is obtained from Fu et al. (2010), <sup>c</sup>:

Fe<sub>ws</sub> is water-soluble Fe.

711 **Figure Captions**

712 **Fig. 1.** A map of South Asia with sampling site, Chennai (13.04°N; 80.17°E), India together  
713 with plots of 10-day air mass trajectories arriving at 500 m a.g.l. over Chennai, India.

714 **Fig. 2.** Schematic of experimental setup for irradiation of atmospheric aerosol filter sample.

715 **Fig. 3.** Changes in concentrations of individual dicarboxylic acids and total diacids as a  
716 function of UV irradiation time in anthropogenic (AA) and biogenic aerosols (BA).

717 **Fig. 4.** Changes in concentrations of individual oxoacids and  $\alpha$ -dicarbonyls and total  
718 oxoacids and  $\alpha$ -dicarbonyls as a function of UV irradiation time in AA and BA.

719 **Fig. 5.** Changes in relative abundances of straight chain diacids (C<sub>2</sub>-C<sub>10</sub>) to total diacids as a  
720 function of UV irradiation time in AA and BA.

721 **Fig. 6.** Changes in mass ratios of selected diacids, oxoacids and  $\alpha$ -dicarbonyls as a function  
722 of UV irradiation time in AA and BA.

723 **Fig. 7.** Possible photochemical formation and/or degradation pathways of diacids, oxoacids  
724 and  $\alpha$ -dicarbonyls in aqueous aerosols.















