

1 **The Amazon Tall Tower Observatory (ATTO): Overview of**  
2 **pilot measurements on ecosystem ecology, meteorology,**  
3 **trace gases, and aerosols**

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55

56 **Abstract**

57           The Amazon Basin plays key roles in the carbon and water cycles, climate change,  
58 atmospheric chemistry, and biodiversity. It has already been changed significantly by hu-  
59 man activities, and more pervasive change is expected to occur in the next decades. It is  
60 therefore essential to establish long-term measurement sites that provide a baseline record  
61 of present-day climatic, biogeochemical, and atmospheric conditions and that will be oper-  
62 ated over coming decades to monitor change in the Amazon region, as human perturba-  
63 tions increase in the future.

64           The Amazon Tall Tower Observatory (ATTO) has been set up in a pristine rain for-  
65 est region in the central Amazon Basin, about 150 km northeast of the city of Manaus. Two  
66 80-m towers have been operated at the site since 2012, and a 325-m tower is nearing com-  
67 pletion in mid-2015. An ecological survey including a biodiversity assessment has been  
68 conducted in the forest region surrounding the site. Measurements of micrometeorological  
69 and atmospheric chemical variables were initiated in 2012, and their range has continued to  
70 broaden over the last few years. The meteorological and micrometeorological measure-  
71 ments include temperature and wind profiles, precipitation, water and energy fluxes, turbu-  
72 lence components, soil temperature profiles and soil heat fluxes, radiation fluxes, and visi-  
73 bility. A tree has been instrumented to measure stem profiles of temperature, light intensi-  
74 ty, and water content in cryptogamic covers. The trace gas measurements comprise contin-  
75 uous monitoring of carbon dioxide, carbon monoxide, methane, and ozone at 5 to 8 differ-  
76 ent heights, complemented by a variety of additional species measured during intensive  
77 campaigns (e.g., VOC, NO, NO<sub>2</sub>, and OH reactivity). Aerosol optical, microphysical, and  
78 chemical measurements are being made above the canopy as well as in the canopy space.  
79 They include aerosol light scattering and absorption, fluorescence, number and volume  
80 size distributions, chemical composition, cloud condensation nuclei (CCN) concentrations,  
81 and hygroscopicity. In this paper, we discuss the scientific context of the ATTO observato-  
82 ry and present an overview of results from ecological, meteorological, and chemical pilot  
83 studies at the ATTO site.

84

## 85 **1 Introduction**

86 A little over thirty years ago, Eneas Salati and Peter Vose published a landmark pa-  
87 per entitled “Amazon Basin: A System in Equilibrium” (Salati and Vose, 1984). Since  
88 then, a paradigm shift has occurred in the minds of the public at large as well as the scien-  
89 tific community, which is reflected in the title of a recent synthesis paper by a group of  
90 prominent Amazon researchers, “The Amazon Basin in transition” (Davidson et al., 2012).  
91 Despite its reassuring title, Salati and Vose’s paper had already pointed at growing threats  
92 to the integrity of the Amazon ecosystem, mostly resulting from ongoing large-scale defor-  
93 estation. Since then, deforestation has indeed continued and has only begun to abate in  
94 recent years (Lapola et al., 2014; Tollefson, 2015). It goes hand in hand with road con-  
95 struction and urbanization (Fraser, 2014), affecting ecosystems and air quality in many  
96 parts of the Basin. And, whereas Salati and Vose were concerned with climate change as a  
97 regional phenomenon driven by deforestation and its impact on the hydrological cycle, the  
98 focus now is on the interactions of global climate change with the functioning of the Ama-  
99 zon forest ecosystem (Keller et al., 2009). In the following sections, we will present the  
100 key roles the Amazon is playing in the global ecosystem, which form the rationale for set-  
101 ting up a long-term measuring station, including a tall tower, for monitoring its functioning  
102 and health.

### 103 **1.1 Carbon cycle**

104 The Amazon Basin covers about one third of the South American continent and ex-  
105 tends over about  $6.9 \cdot 10^6$  km<sup>2</sup>, of which about 80% is covered with rain forest (Goulding et  
106 al., 2003). It contains 90-120 Pg C in living biomass, representing about 84% of the  
107 aboveground biomass in Latin America and ca. 40% of all tropical forests worldwide  
108 (Baccini et al., 2012; Gloor et al., 2012). Another 160 Pg C are stored in the Amazon Ba-  
109 sins’s soils – putting this in perspective, the Amazon holds about half as much carbon as  
110 was in the Earth’s atmosphere before the industrial revolution (Gloor et al., 2012). Given  
111 the magnitude of this carbon reservoir, it is clear that tropical forests in general, and the  
112 Amazon forest in particular, have the potential to play a crucial role in climate change be-  
113 cause of their potential to gain or lose large amounts of carbon as a result of land use and  
114 climate change. A recent study shows a strong correlation between climate change on the  
115 tropical continents and the rate at which CO<sub>2</sub> increases in the atmosphere, and indicates  
116 that the strength of this feedback has doubled since the 1970s (Wang et al., 2014). The

117 interaction between physical climate and the biosphere represents one of the largest uncer-  
118 tainties in the assessment of the response of the climate system to human emissions of  
119 greenhouse gases.

120         Depending on the path land use change takes and the interactions between the for-  
121 est biota and the changing climate, the Amazon can act as a net source or sink of atmos-  
122 pheric CO<sub>2</sub>. The most recent global carbon budget estimates indicate that in the decade of  
123 2004-2013 land use change worldwide resulted in a net carbon release of  $0.9\pm 0.5 \text{ Pg a}^{-1}$ , or  
124 about 9% of all anthropogenic carbon emissions (Le Quéré et al., 2014). This represents a  
125 significant decrease since the 1960s, when land-use carbon emissions of  $1.5\pm 0.5 \text{ Pg a}^{-1}$   
126 accounted for 38% of anthropogenic CO<sub>2</sub>. Part of this decrease in the relative contribution  
127 from land use change is of course due to the increase in fossil fuel emissions, but there has  
128 also been a significant decrease in deforestation in recent years, particularly in the Brazili-  
129 an Amazon (Nepstad et al., 2014).

130         The “net” land use emissions, as presented above, are the sum of “gross” release  
131 and uptake fluxes, where deforestation represents the dominant gross source, whereas af-  
132 forestation, regrowth, and uptake by intact vegetation are the main gross sinks. Using an  
133 approach based on forest inventories and land use budgeting, Pan et al. (2011) estimated  
134 that tropical land use change represented a net carbon source of  $1.3\pm 0.7 \text{ Pg a}^{-1}$  in the 1990s  
135 and early 2000s, consisting of a gross tropical deforestation carbon emission of  $2.9\pm 0.5 \text{ Pg}$   
136  $\text{a}^{-1}$  partially compensated for by a carbon sink in tropical forest regrowth of  $1.6\pm 0.5 \text{ Pg a}^{-1}$ .  
137 A more recent comprehensive analysis of the role of land vegetation in the global carbon  
138 cycle concluded that carbon sources and sinks in the tropics are approximately balanced,  
139 with regrowth and CO<sub>2</sub>-driven carbon uptake compensating the large deforestation source  
140 (Schimel et al., 2015). For the South American continent, a detailed budgeting study also  
141 concluded that, at present, carbon uptake by the biosphere approximately compensates the  
142 emissions from deforestation and fossil fuel burning, with a slight trend of the continent  
143 becoming a carbon source in the most recent period (Gloor et al., 2012).

144         Attempts to verify these carbon budgets with measurements have remained incon-  
145 clusive so far. The largest spatial scale is represented by global inversion models, which  
146 derive fluxes from concentration measurements and global transport models. An early at-  
147 tempt deduced a large tropical sink from inverse modeling (Stephens et al., 2007), whereas  
148 a more recent analysis suggests a net tropical carbon source of  $1.1\pm 0.9 \text{ Pg a}^{-1}$  (Steinkamp

149 and Gruber, 2013). Gloor et al. (2012) have reviewed the numerous attempts to deduce the  
150 South American carbon budgets from inverse modeling and came to the conclusion that  
151 they are not adequately constrained to produce meaningful results, a conclusion that they  
152 extend to the application of digital global vegetation models for larger time and space  
153 scales. Molina et al. (2015) also show that application of inversion models to Amazonia is  
154 critically limited by model uncertainties and sparseness of observational data.

155 Efforts to upscale local measurements to larger scales have also lead to inconclu-  
156 sive and often contradictory results. Flux measurements using the eddy covariance tech-  
157 nique initially suggested a fairly large carbon sink ( $1-8 \text{ t ha}^{-1} \text{ a}^{-1}$ ) in intact Amazon forests  
158 (e.g., Grace et al., 1995; Carswell et al., 2002; de Araújo et al., 2002). But as more studies  
159 were conducted, this uncertainty range expanded, reaching from a sink of  $8 \text{ t ha}^{-1} \text{ a}^{-1}$  to a  
160 source of  $1.4 \text{ t ha}^{-1} \text{ a}^{-1}$ . It thus became clear that issues related to nighttime fluxes and ter-  
161 rain effects make upscaling of  $\text{CO}_2$  fluxes from eddy covariance measurements difficult to  
162 impossible (de Araujo et al., 2010, and references therein). Nevertheless, such flux meas-  
163 urements are essential for understanding micrometeorological and ecological processes and  
164 for monitoring changes in the functioning of the forest ecosystem.

165 An alternative approach to upscaling from local to regional carbon balances is fol-  
166 lowed in the RAINFOR project, where initially some 140 forest plots have been monitored  
167 over decades for standing biomass (Phillips et al., 2009). This study suggested substantial  
168 carbon uptake by intact forest, interrupted by biomass loss during drought years. It has  
169 been proposed that a large fraction of the uptake extrapolated from the RAINFOR sites is  
170 compensated by carbon losses due to rare disturbance events, such as forest blow-downs  
171 resulting from severe thunderstorms (Chambers et al., 2013, and references therein). The  
172 latest analysis from the RAINFOR project, now based on 321 plots and 25 years of data,  
173 indicates that the Amazon carbon sink in intact forest has declined by one-third during the  
174 past decade compared to the 1990s. This appears to be driven by increased biomass mortal-  
175 ity, possibly caused by greater climate variability and feedbacks of faster growth on mor-  
176 tality (Brienen et al., 2015). Like flux-tower measurements, biomass inventories also miss  
177 the contributions of wetlands and water bodies to the carbon flux, which may make a sub-  
178 stantial contribution to  $\text{CO}_2$  outgassing (Richey et al., 2002; Abril et al., 2014).

179 An intermediate scale between global inverse modeling and plot-size flux and in-  
180 ventory studies is captured by aircraft  $\text{CO}_2$  soundings through the lowest few km of the

181 troposphere. This method averages regional fluxes on scales of tens to hundreds of km.  
182 Early measurements made during the 1987 ABLE-2 experiment were reanalyzed by Chou  
183 et al. (2002) and suggested a near-neutral carbon balance for their study region near Ma-  
184 naus. A series of flights north of Manaus during the 2001 wet-to-dry transition season also  
185 revealed that daytime carbon uptake and nighttime release were in approximate balance  
186 (Lloyd et al., 2007). A 10-year aircraft profiling study conducted near Santarem in the  
187 eastern Amazon concluded that the fetch region was a small net carbon source ( $0.15 \text{ t ha}^{-1}$   
188  $\text{a}^{-1}$ ), mostly as a result of biomass burning, with no significant net flux to or from the forest  
189 biosphere (Gatti et al., 2010). In 2010, this study was extended to include the southern and  
190 western parts of the Amazon Basin (Gatti et al., 2014). The results from 2010, an unusually  
191 dry year, show the Amazon forest biosphere to be sensitive to drought, resulting in net car-  
192 bon emission from the vegetation. The following year, 2011, was wetter than average, and  
193 the Basin returned to an approximately neutral carbon balance, with a modest biospheric  
194 sink compensating the biomass burning source. A detailed study on the carbon dynamics  
195 over the years 2009 to 2011 showed a complex response of the forest ecosystem to the  
196 drought episode, which not only affected net primary production (NPP) and tree mortality,  
197 but also the allocation of carbon to the canopy, wood, and root compartments (Doughty et  
198 al., 2015).

199         Seen together, these studies suggest that the Amazon Basin teeters on a precarious  
200 balance between being a source or sink of carbon to the world's atmosphere, with its future  
201 depending on the extent and form of climate change as well as on human actions. The re-  
202 gion has already warmed by 0.5-0.6 °C, and warming is expected to continue (Malhi and  
203 Wright, 2004). Together with the increased frequency of drought episodes (Saatchi et al.,  
204 2013), the occurrence of periods of net biospheric carbon emissions will be enhanced and  
205 the likelihood of destructive understory fires will increase (Gloor et al., 2013; Balch, 2014;  
206 Zeri et al., 2014; van der Laan-Luijkx et al., 2015). On the other hand, the observed 20%  
207 increase in Amazon River discharge may reflect increasing water availability to the vegeta-  
208 tion (Gloor et al., 2013), which together with increasing atmospheric CO<sub>2</sub> may lead to  
209 more net carbon uptake by the intact forest vegetation (Schimel et al., 2015). While remote  
210 sensing can provide important information on the response of the Amazon forest to chang-  
211 ing climate and ecological factors, the recent controversy about the effects of seasonal  
212 change and drought on the “greenness” of the forest illustrates how important long-term

213 ground based observations are to our understanding of the Amazon system (Morton et al.,  
214 2014; Soudani and Francois, 2014; Zeri et al., 2014).

215 Ultimately, the fate of the carbon stored in the Amazon Basin will depend on the in-  
216 teracting and often opposing effects of human actions, especially deforestation, global and  
217 regional climate change, and changing atmospheric composition (Soares-Filho et al., 2006;  
218 Poulter et al., 2010; Rammig et al., 2010; Davidson et al., 2012; Cirino et al., 2014; Lapola  
219 et al., 2014; Nepstad et al., 2014; Schimel et al., 2015; Zhang et al., 2015). Interactions of  
220 the carbon cycle with the cycles of other key biospheric elements, especially nitrogen and  
221 phosphorus, are also likely to play important roles (Ciais et al., 2013). This applies equally  
222 to two other greenhouse gases, methane (CH<sub>4</sub>) and nitrous oxide (N<sub>2</sub>O), both of which  
223 have important sources in the wetlands or soils of the Amazon (Miller et al., 2007; D'Ame-  
224 lio et al., 2009; Beck et al., 2012).

## 225 **1.2 Water and energy cycle**

226 The Amazon River has by far the greatest discharge of all the World's rivers —  
227 about 20% of the world's freshwater discharge, and five times that of the Congo, the next  
228 largest river in discharge. This reflects the immense amount of water that is cycling  
229 through the water bodies, soils, plants, and atmosphere of the Amazon Basin. As a result,  
230 the hydrological cycle of the Amazon Basin is crucial for providing the water that supports  
231 life within the Basin and even beyond its borders. Most moisture enters the Basin from the  
232 Atlantic Ocean with the trade wind circulation, but recirculation of water through evapo-  
233 transpiration maintains a flux of precipitation that becomes increasingly more important as  
234 airmasses move into the western part of the Basin (Spracklen et al., 2012). When reaching  
235 the Andes, moisture becomes deflected southward, with the result that Amazonian evapo-  
236 ration even supports the rain-fed agriculture in Argentina (Gimeno et al., 2012). As a re-  
237 sult, perturbations of the Amazonian moisture flux and the effects of smoke aerosols from  
238 fires in Amazonia on cloud processes can affect rainfall even over the distant La Plata Ba-  
239 sin (Camponogara et al., 2014; Zemp et al., 2014).

240 Evaporation of water from the Earth's surface also supports a huge energy flux in  
241 the form of latent heat, which is converted to sensible heat and atmospheric buoyancy  
242 when the water vapor condenses to cloud droplets. This heat transfer represents one of the  
243 major forces that drive atmospheric circulation at all scales (Nobre et al., 2009). Changes



244 in land cover, e.g., conversion of forest to pasture, alter the amount and type of clouds over  
245 the region (e.g., Heiblum et al., 2014) and shift the proportion of rain that flows away as  
246 runoff versus the fraction that is transformed to water vapor by evapotranspiration (Silva  
247 Dias et al., 2002; Davidson et al., 2012; Gloor et al., 2013; and references therein). This in  
248 turn changes local and regional circulation and rainfall patterns, and consequently defor-  
249 estation has been predicted to reduce the potential for hydropower generation in Amazonia  
250 (Stickler et al., 2013). When the scale of deforestation exceeds some 40% of the Basin,  
251 these perturbations of the water cycle may change the functioning of the entire Amazon  
252 climate and ecosystem (Coe et al., 2009; Nobre and Borma, 2009; Lawrence and  
253 Vandecar, 2015).

254 Our ability to prognosticate the possible outcomes for the Amazon ecosystem in the  
255 coming decades is severely curtailed by limitations in the representation of key processes  
256 in climate/vegetation models, including the role of the Andes and the teleconnections be-  
257 tween the Amazon and the Atlantic and Pacific Oceans (Boisier et al., 2015). In addition,  
258 the biophysical response of the vegetation to changing water supply and increasing CO<sub>2</sub>  
259 and temperature remains very poorly understood (Davidson et al., 2012). Long-term meas-  
260 urements and process studies at key locations are urgently needed to improve our under-  
261 standing of these interactions.

### 262 **1.3 Biodiversity**

263 The Amazon Basin contains the most species-rich terrestrial and freshwater ecosys-  
264 tems in the world (Hoorn et al., 2010; Wittmann et al., 2013). It houses at least 40,000  
265 plant species, over 400 mammal species, about 1300 bird species, and countless numbers  
266 of invertebrate and microbe species (Da Silva et al., 2005), accounting for about 10-20% of  
267 all the world's species diversity. Of these, the great majority have not yet been described  
268 scientifically, and possibly never will be. The variety of species in the Amazon Basin is  
269 directly related to the variety of habitats, and consequently is threatened by any form of  
270 exploitation that is accompanied by habitat destruction, particularly land clearing and de-  
271 forestation. The genetic information stored in these ecosystems and their biodiversity is  
272 beyond measure and may be of enormous economic significance. This diversity is now  
273 under great threat, mostly as a result of habitat loss due to deforestation and other land use  
274 changes (Vieira et al., 2008).

275 Much of the Amazon's aboveground biomass is in its trees, and a single hectare of  
276 the forest can be home to over 100 different tree species. Scientists still do not know how  
277 many tree species occur in the Amazon, and the current estimate of about 16,000 tree spe-  
278 cies is the result of an extrapolation from the existing scattered census data. Surprisingly, a  
279 relatively small number (227 species, or 1.4%) account for half of all individual trees (ter  
280 Steege et al., 2013), which therefore account for a large fraction of the Amazon's ecosys-  
281 tem services. This fact may greatly facilitate research in Amazonian biogeochemistry, for  
282 example studies on the trace gas exchange between plants and the atmosphere.

#### 283 **1.4 Atmospheric composition and self-cleansing**

284 The tropical atmosphere has been referred to as the “washing machine of the at-  
285 mosphere” by Paul Crutzen (pers. comm., 2013). Both, human activities and the biosphere,  
286 release huge amounts of substances such as nitrogen oxides ( $\text{NO}_x$ ), carbon monoxide (CO),  
287 and volatile organic compounds (VOC) into the atmosphere, which must be constantly  
288 removed again to prevent accumulation to toxic levels. Most such gases are poorly soluble  
289 in water, and are thus not effectively washed out by rain. The self-purification of the at-  
290 mosphere therefore requires chemical reactions by which the trace substances are brought  
291 into water-soluble form. These reaction chains normally begin with an initial oxidation  
292 step in which the trace gas is attacked by a highly reactive molecule, such as ozone ( $\text{O}_3$ ) or  
293 the hydroxyl radical (OH). Production of these atmospheric detergents requires UV radi-  
294 ation and water vapor, both of which are present in generous quantities in the tropics. It  
295 comes thus as no surprise, that the tropics are the region in which large fractions of many  
296 atmospheric trace gases, including CO and  $\text{CH}_4$ , are eliminated (Crutzen, 1987). Recent  
297 discoveries indicate that the atmospheric oxidant cycles in the boundary layer are even  
298 much more active than had been previously assumed, yet the mechanisms of these reac-  
299 tions are still a matter of active research (Lelieveld et al., 2008; Martinez et al., 2010;  
300 Taraborrelli et al., 2012; Nölscher et al., 2014).

301 The functioning of this self-cleansing mechanism is challenged by human activities  
302 that change the emissions from the biosphere and add pollutants from biomass burning and  
303 industrial activities. This may convert the “washing machine” into a reactor producing  
304 photochemical smog with high concentrations of ozone and other atmospheric pollutants,  
305 and large quantities of fine aerosols – which in turn influence the formation of clouds and  
306 precipitation and thus modify the water and chemical cycles (Andreae, 2001; Pöschl et al.,

307 2010). Increased ozone concentrations over Amazonia, resulting from biomass burning  
308 emissions, have also been implicated in plant damage, which may substantially decrease  
309 the carbon uptake by the Amazon forest (Pacífico et al., 2015).

310 The concentrations and types of aerosol particles over the Amazon Basin exhibit  
311 huge variations in time and space. In the absence of pollution from regional or distant  
312 sources, and especially in the rainy season, the Amazon has among the lowest aerosol con-  
313 centrations of any continental region (Roberts et al., 2001; Andreae, 2009; Martin et al.,  
314 2010b; Pöschl et al., 2010; Andreae et al., 2012; Artaxo et al., 2013; Rizzo et al., 2013).  
315 Biogenic aerosols, either emitted directly by the biota or produced photochemically from  
316 biogenic organic vapors, make up most of this “clean-period” aerosol (Martin et al.,  
317 2010a). At the other extreme, during the biomass burning season in the southern Amazon,  
318 aerosol concentrations over large regions are as high as in the most polluted urban areas  
319 worldwide (Artaxo et al., 2002; Eck et al., 2003; Andreae et al., 2004). These changes in  
320 the atmospheric aerosol burdens have strong impacts on the radiation budget, cloud phys-  
321 ics, precipitation, and plant photosynthesis (Schafer et al., 2002; Williams et al., 2002;  
322 Andreae et al., 2004; Lin et al., 2006; Oliveira et al., 2007; Freud et al., 2008; Bevan et al.,  
323 2009; Martins et al., 2009; Venzon et al., 2009; Sena et al., 2013; Cirino et al., 2014;  
324 Rap et al., 2015). Episodic inputs of Saharan dust, biomass smoke from Africa, and marine  
325 aerosols transported over long distances with the trade winds further complicate the picture  
326 (Formenti et al., 2001; Ansmann et al., 2009; Ben-Ami et al., 2010; Baars et al., 2011).  
327 This complexity of aerosol sources is one important reason why the mechanisms that lead  
328 to the production of biogenic aerosols in Amazonia are still enigmatic (Pöhlker et al., 2012;  
329 Chen et al., 2015).

## 330 **1.5 The Amazon Tall Tower Observatory (ATTO)**

331 The foregoing sections have cast some spotlights on the key roles of the Amazon  
332 Basin in the Earth System and on the important ecosystem services it provides. It is evident  
333 that to avoid irreversible damage to this complex system we need a better understanding of  
334 the interactions between biosphere and atmosphere in this important region. While consid-  
335 erable knowledge has been gained from campaign-style studies, it is clear that the full pic-  
336 ture will not emerge from these “snapshots,” but rather that continuous, long-term studies  
337 are required at key locations (Hari et al., 2009; Zeri et al., 2014). This is true especially in  
338 view of the fact that the Amazon and its global environment are rapidly changing, and that

339 continuing observations are essential to keep track of these changes. It is particularly ur-  
340 gent to obtain baseline data now, to document the present atmospheric and ecological con-  
341 ditions before upcoming changes, especially in the eastern part of the Basin, will forever  
342 change the face of Amazonia.

343         Observations from tall towers are especially useful for this purpose, because they  
344 allow measurements at several heights throughout the planetary boundary layer and there-  
345 by can reflect both local processes at the lower levels and regional influences at the upper  
346 levels (Bakwin et al., 1998; Andrews et al., 2014). The effects of emission and uptake by  
347 local vegetation and soil are much reduced at 300 m as compared to 50 m (Winderlich et  
348 al., 2010), and the analysis of the diurnal variation of the vertical concentration profile  
349 provides an estimate of the flux of trace gases such as CO<sub>2</sub> and CH<sub>4</sub> (Winderlich et al.,  
350 2014). The influence footprint of typical flux tower measurements made at a few tens of  
351 meters above the canopy is of the order of a few kilometers (e.g., de Araújo et al., 2002;  
352 Chen et al., 2012), whereas the concentration footprint of a tall tower is of the order of  
353 1000 km, and measurements at the top of the tower are therefore representative of regional  
354 processes (Gloor et al., 2001; Heimann et al., 2014). For micrometeorological investiga-  
355 tions, a tall tower provides the unique ability to obtain continuous measurements at a series  
356 of heights throughout the lower part of the planetary boundary layer. This makes possible  
357 investigations of phenomena such as the formation and dissolution of nocturnal stable  
358 boundary layers, the production and behavior of intermittent turbulent structures, gravity  
359 waves, boundary layer rolls, etc. A summary of the characteristics of the Amazon planetary  
360 boundary layer can be found in Fisch et al. (2004).

361         The need for tall tower observatories at mid-continental locations, especially in  
362 Eurasia, Africa, and South America, was recognized in the late 1990s (Gloor et al., 2000)  
363 and the establishment of sites in Siberia and Amazonia was proposed to the Max Planck  
364 Society. This led to the construction of the Zotino Tall Tower Observatory (ZOTTO) as a  
365 joint Russian-German project, with measurements beginning in 2006 (Heimann et al.,  
366 2014), and to the concept of the Amazon Tall Tower Observatory (ATTO).

367         The ATTO project was initiated in 2008 as a Brazilian-German partnership. A site  
368 was selected 150 km northeast of Manaus which fulfilled the following criteria: 1) large  
369 fetch with minimal current human perturbation, but with potential future land use change at  
370 a large scale, 2) relatively flat topography with no large wetlands in the fetch region, 3)

371 stable and protected land ownership and controlled access, and 4) the possibility to reach  
372 the site in a reasonable time to facilitate research and educational activities.

373 In order to characterize the site and begin research activities, the site was set up ini-  
374 tially with two measurement towers of intermediate height (80 m). Atmospheric measure-  
375 ments from these towers and ecological studies of the surrounding forest ecosystems were  
376 initiated in 2012. The construction of the 325-m tall tower began in September 2014 and is  
377 currently nearing completion. The tall tower will serve as a basis for continuous monitor-  
378 ing of long-lived biogeochemically important trace gases such as CO<sub>2</sub>, CH<sub>4</sub>, CO, and N<sub>2</sub>O,  
379 and a multitude of reactive gases, including NO<sub>x</sub>, O<sub>3</sub>, and VOC, as well as a broad range of  
380 aerosol characteristics. The chemical measurements are complemented by a full suite of  
381 micrometeorological measurements. Furthermore, the observing system will also include a  
382 component directed at the underlying vegetation canopy, such as phenological observa-  
383 tions from the tower by automated cameras, potentially a canopy lidar, as well as an array  
384 of in-situ sensors of critical physical and biological variables in the ecosystems near the  
385 tower and the ground.

386 The continuous long-term data collected at ATTO will also serve to evaluate air-  
387 borne and satellite observations. Expected to operate for an indeterminate length of time,  
388 this unique observatory in South America will provide long-term observations of the tropi-  
389 cal Amazonian ecosystem affected by climate change.

390 Specific research objectives at the ATTO observatory are:

391 1) To obtain regionally representative measurements of carbon gas concentrations  
392 (CO<sub>2</sub>, CH<sub>4</sub>, CO, and VOC), in order to improve our understanding of the carbon budget of  
393 the Amazonian rain forest under changing climate, land use, and other anthropogenic in-  
394 fluences in the fetch region of ATTO.

395 2) To continuously observe anthropogenic and biogenic greenhouse gases in the  
396 lower troposphere, within the planetary boundary layer by day and outside it at night, in  
397 order to help constrain inverse methods for deriving continental source and sink strengths  
398 and their changes over time.

399 3) To continuously measure trace gases and aerosols for improvement of our under-  
400 standing of atmospheric chemistry and physics in the Amazon, with emphasis on the at-  
401 mospheric oxidant cycle and the life cycle of the Amazonian aerosol, and to identify the

402 effects of anthropogenic perturbations, e.g., land use change and pollution, on these pro-  
403 cesses. Measurements of isotopic composition will be made to help distinguish anthropo-  
404 genic and biogenic fluxes.

405 4) To determine vertical trace gas and aerosol fluxes and gradients from the tower  
406 top to the ground to estimate biosphere-atmosphere exchange rates.

407 5) To study turbulence and transport processes in the lower atmospheric boundary  
408 layer, as well as to understand the extent and characteristics of the roughness sublayer over  
409 the forest.

410 6) To develop and validate dynamic vegetation models, atmospheric boundary layer  
411 models, and inverse models for the description of heat, moisture, aerosol, and trace gas  
412 fluxes.

413 7) To evaluate satellite estimates of greenhouse gas concentrations and temperature  
414 and humidity profiles by providing a ground truth site.

415 This paper is intended as an overview paper for a special issue on research at the  
416 ATTO observatory. Here we discuss the scientific background and context of the observa-  
417 tory and describe the site characteristics, infrastructure, and measurement methodologies.  
418 We present initial results from studies in the ecosystem surrounding ATTO and from  
419 measurements at the two 80-m towers. Future papers in the special issue will provide a  
420 detailed discussion of the tall tower and present the results of the various scientific investi-  
421 gations at ATTO.

## 422 **2 Site description and infrastructure**

### 423 **2.1 Site characteristics**

424 The ATTO site is located 150 km northeast of Manaus in the Uatumã Sustainable  
425 Development Reserve (USDR) in the Central Amazon (Fig. 1a). This conservation unit is  
426 under the control and administration of the Department of Environment and Sustainable  
427 Development of Amazonas State (SDS/CEUC). The USDR is bisected by the Uatumã Riv-  
428 er through its entire NE-SW extension. The climate is tropical humid, characterized by a  
429 pronounced rainy season from February to May and a drier season from June to October  
430 (IDESAM, 2009).

431 The tower site is located approximately 12 km NE of the Uatumã River (Fig. 1b).  
432 As is typical for this region in the central Amazon Basin, there is little large-scale relief,  
433 but at smaller scales a dense drainage network has produced a pattern of plateaus and val-  
434 leys with a maximum relief height of about 100 m (Planalto Dissecado do Rio Trombetas -  
435 Rio Negro). The ATTO site is located at 120 m a.s.l. on a plateau that measures about 1.5  
436 km in the NW-SE direction and about 5 km along the NE-SW axis. The topography sur-  
437 rounding ATTO resembles that around the Manaus LBA site (ZF2, also referred to as k34  
438 site) in the Cuieiras Reserve, where the influence of topography on the micrometeorology  
439 and the fluxes of CO<sub>2</sub> has been studied in detail by Tota et al. (2012). From the perspective  
440 of micrometeorological flux measurements, this is not an ideal type of terrain because it  
441 induces significant upslope and downslope circulations. The effects of local topography on  
442 the local flux measurements from the small towers are the subject of ongoing investiga-  
443 tions.

444 It must be pointed out, however, that the main objective of the tall tower with re-  
445 spect to greenhouse gas and aerosol monitoring is the measurement of concentrations  
446 above the level of local circulations. For this purpose, measurements from tall towers, such  
447 as ATTO, have the advantage of being less influenced by the surface layer variability due  
448 to diurnal changes in photosynthesis and respiration, as well as by ecosystem and terrain  
449 heterogeneity. This results in smoothing of the large daily cycles of near-surface signals  
450 and efficient integration over daily cycles and small-scale heterogeneities, which facilitates  
451 the detection of long-term changes in the background atmospheric composition.

452 The plateaus in this region are covered by yellow clayey ferralsols (latosols,  
453 oxisols) overlying the Miocene sedimentary Barreiras formation (Chauvel et al., 1987). In  
454 the valleys, alisols and sandy podzols are the dominant soil types.

455 The USDR consists of several different forested ecosystems. Dense, non-flooded  
456 upland forests (terra firme) prevail on plateaus at a maximum altitude of approximately  
457 130 m above sea level (asl). Seasonally flooded black-water (igapó) forest dominates along  
458 the main river channel, oxbow lakes, and the several smaller tributaries of the Uatumã Riv-  
459 er (approximately 25 m asl). Interspersed with these formations are non-flooded terra firme  
460 forests on ancient river terraces (35-45 m asl), and campinas (savanna on white-sand soils)  
461 and campinaranas (white-sand forest), which are predominantly located between the river  
462 terraces and the slope to the plateaus.

463 Upwind of the site in the main wind direction (northeast to east), large areas cov-  
464 ered by mostly undisturbed terra firme forests extend over hundreds of kilometers. To the  
465 northeast, the nearest region with dense human activity is in the coastal regions of the  
466 Guyanas and of Amapá State, about 1100 km away. In the easterly direction, the main stem  
467 of the Amazon is in the fetch region of ATTO, with scattered smaller towns and the cities  
468 of Santarém and Belém at distances of about 500 and 900 km, respectively. To the south-  
469 east, the densely populated states of the Brazilian Nordeste lie at distances greater than  
470 1000 km. Figure 2 presents an overview of the population density and the dominant land  
471 cover in northern South America.

472 The origins of the predominant airmasses at ATTO change throughout the year, as  
473 the Intertropical Convergence Zone (ITCZ) undergoes large seasonal shifts over the Ama-  
474 zon Basin, resulting in pronounced differences in meteorological conditions and atmos-  
475 pheric composition (Andreae et al., 2012). This is illustrated in Fig. 3, which shows month-  
476 ly trajectory frequency plots for 9-day backtrajectories arriving at ATTO at an elevation of  
477 1000 m. During boreal winter, the ITCZ can lie as far south as 20°S, so that a large part of  
478 the Basin, including ATTO, is in the meteorologically Northern Hemisphere (NH). Air-  
479 masses then arrive predominantly from the northeast over a clean fetch region covered  
480 with rain forest. During this period, long-range transport from the Atlantic and Africa  
481 brings episodes of marine aerosol, Saharan dust, smoke from fires in West Africa, and pos-  
482 sibly even pollution from North America and Europe. This flow pattern shifts abruptly at  
483 the end of May, when the ITCZ moves to the north of ATTO. This shift marks the begin-  
484 ning of the dry season at ATTO, a period of time during which the site is exposed to air-  
485 masses from the easterly and southeasterly fetch regions, which receive considerable pollu-  
486 tion from biomass burning and other human activities in northeastern Brazil. In July almost  
487 the entire Basin is south of the ITCZ, and thus lies in the meteorologically Southern Hemi-  
488 sphere (SH). The transition to the northeasterly flow pattern is more gradual, beginning in  
489 September and becoming complete only in March.

## 490 **2.2 Access**

491 The ATTO site is reached from Manaus by the paved highway BR-174 for 101 km  
492 northward, then 70 km to the E on highway AM-240 towards Balbina. From there, a 38 km  
493 dirt road along the Uatumã River, Ramal de Morena, leads to the small community of Por-  
494 to Morena, where the road ends. After a 61 km motor-boat ride on the Rio Uatumã towards



495 the SE one reaches the landing, Porto ATTO. The access road from the landing to the  
496 ATTO site on the plateau follows an old trail used in the 1980s to extract Pau Rosa wood  
497 from the forest. This trail was re-opened in 2010 and widened to an ATV and tractor traffi-  
498 cable path that was used during the initial years of the development of the ATTO site. In  
499 2012/13 a 6 m wide dirt road was constructed between the Uatumã River and the ATTO  
500 tower site, which accommodates pickups and trucks. The overall distance along this road,  
501 Ramal ATTO, is 13.7 km, rising from 25 to 130 m a.s.l. Total travel time from Manaus to  
502 the site is about five hours. For the delivery of large and heavy equipment to Porto ATTO,  
503 fluvial transportation by ship or pontoon is possible from Manaus by going down the Ama-  
504 zonas River and up its tributary, Rio Uatumã, a distance of ca. 550 km and travel time of 2  
505 days.

### 506 **2.3 Camp**

507 The base camp on the ATTO plateau was built in 2011/12 and has electrical power  
508 and water. Facilities include toilets and a dormitory with hammocks that can accommodate  
509 ca. 20 people. Another camp is planned by INPA at the Uatumã River landing, which will  
510 serve also as a base station for ecological research in the area. A helicopter landing site is  
511 intended adjacent to this camp.

### 512 **2.4 Towers**

513 The measurement facilities on the ATTO plateau consist of two towers of ca. 80 m  
514 height, already implemented, and the 325-m tall tower, whose construction began in Sep-  
515 tember 2014 and is now nearing completion. In 2010, an 81-m triangular mast was estab-  
516 lished for pilot measurements, which is currently used for a wide set of aerosol measure-  
517 ments, followed in 2011 by an 80-m heavy-duty guy-wired walk-up tower (Instant Up-  
518 Right, Dublin, Ireland). The walk-up tower can carry a total payload of 900 kg, with out-  
519 board platforms on five levels. It is currently used for meteorological and trace gas meas-  
520 urements. The measurements at the top level, at 79.3 m, are the highest ground based  
521 measurements within the Amazonian rain forest performed so far. The tower coordinates  
522 (WGS 84) are given in Table 1. The measuring instruments are accommodated in three air-  
523 conditioned containers, the trace gas lab and the greenhouse gas lab at the base of the  
524 walk-up tower, and the aerosol lab at the base of the mast; each lab has inside dimensions  
525 of 292 x 420 x 200 cm (WxLxH) and is supplied by 230/135 V electrical power.

## 526 **2.5 Communications**

527 Since the end of 2013, the ATTO site has been connected to the internet by satel-  
528 lite. The uplink is realized by the mobile satellite terminal Cobham EXPLORER 700 using  
529 the INMARSAT / BGAN broadband network, providing a data bandwidth of up to 492  
530 kbps. Operating in the L-Band, its active antenna performance allows up to 20 dB compen-  
531 sation of signal attenuation due to bad weather. The antenna is mounted at 50 m height on  
532 the walk-up tower, aligned by 43.9° elevation and 273.1° azimuth towards the geostation-  
533 ary satellite INMARSAT 4-F3 Americas.

534 A cluster of two redundant routers manages the internet traffic and provides direct  
535 access from the internet to the various computers and networkable instruments at the  
536 ATTO site. The routers provide additional features like centralized data storage, remote  
537 server access, optimized file transfer, monitoring systems, updating clients, VoIP telepho-  
538 ny between the local infrastructure sites, etc. Internal data communication between the  
539 various sites on the ATTO plateau (towers, labs, camp) is realized via a wireless LAN  
540 bridge, operating in the 5 GHz mode, featured by access points with directed-beam anten-  
541 nas.

542 Data communication within each site occurs via wired LAN with data rates of up to  
543 1000 kbps. In addition, at the camp there is WLAN available in the 2.4 GHz mode. The  
544 communication system allows monitoring and controlling of networkable instruments in all  
545 three lab containers, as well as internet e-mailing, locally and globally. For oral communi-  
546 cation with the remote ATTO site and for safety matters, satellite phones (IsatPhonePro)  
547 are available operating in the INMARSAT net.

## 548 **2.6 Electrical power supply**

549 Electrical power is provided by a system of diesel generators. Currently, the scien-  
550 tific sites (lab containers and towers) are supplied by two 60 Hz generators with 45 and 40  
551 kVA, operating alternately by weekly switching. They are located ca. 800 m downwind  
552 from the measuring sites to avoid contamination. Due to the long distance between power  
553 generation and consumption, power is transmitted via two 600 V transformers, using two  
554 parallel cables, each 3 x 16 mm<sup>2</sup>. The voltage provided to the labs is 230 and 135 V, and  
555 UPSs are being used to stabilize energy. Power to the camp is provided separately to avoid  
556 power fluctuations at the measurement sites. When the tall tower is established, it is

557 planned to upgrade the power generation to a new system of 2 x 100 kVA generators at a  
558 distance of 2-3 km downwind of the tower.

### 559 **3 Measurement methods**

#### 560 **3.1 Ecological studies**

##### 561 3.1.1 Floristic composition and biomass characterization

562 Forest plots of three ha each were inventoried in the igapó, the campinarana, the  
563 terra firme on ancient river terraces, and the terra firme on the plateau in order to provide a  
564 preliminary description of the floristic composition and turnover as well as the above-  
565 ground wood biomass (AGWB). All trees with  $\geq 10$  cm DBH (diameter at breast height)  
566 were numbered, tagged with aluminum plates, and, when possible, identified in the field.  
567 Fertile and sterile vouchers were collected for later identification in the INPA herbarium.  
568 The AGWB was estimated by a pantropical allometric model (Feldpausch et al., 2012)  
569 considering DBH, tree height, and wood specific gravity. We measured tree height with a  
570 trigonometric measuring device (Blume-Leiss) and determined wood specific gravity by  
571 sampling cores from the tree trunk and calculating the ratio between dry mass (after drying  
572 the wood samples at 105 °C for 72 hours) and fresh volume. Additionally we used data  
573 from the Global Wood Density Database DRYAD (Chave et al., 2009) for tree species in  
574 the terra firme forests and from Targhetta (2012) for tree species in the campina and igapó  
575 forests.

##### 576 3.1.2 Leaf phenology

577 An RGB camera (Stardot Netcam XL 3MP) was installed in June 2013 at the top of  
578 the walk-up tower. The wide-angle view with 2048 x 1536 pixel resolution includes over  
579 250 separable tree crowns within an area of ~4 ha of the forest plateau. The camera aim is  
580 steeply oblique and toward the west, so that the sun is behind the camera when images are  
581 recorded from mid-morning until noon. Illumination artifacts are minimized by selecting  
582 images with homogeneous overcast sky and a fixed narrow range of incident radiance, and  
583 by post-selection radiometric normalization. Leaf phenology change is most evident in  
584 individual crowns, so timelines of the green chromatic coordinate,  $g_c$ , (Richardson et al.,  
585 2007) were made for each crown. A steep and sustained increase in the  $g_c$  of a crown can

586 only be caused by the flushing of a new leaf cohort. The number of crowns reaching a  
587 flush-caused peak of  $g_c$  in their individual timelines were counted each month.

### 588 3.1.3 Soil characterization

589 Soil sampling was performed on the ancient terraces (old floodplains) and terra  
590 firme plateaus at the ATTO site according to a standard protocol (Quesada et al., 2010).  
591 Five samples up to 2 m in depth were taken in each forest plot and one 2 m depth pit was  
592 dug close to each plot. We used the World Reference Base for soil resources to classify  
593 soil types (IUSS (International Union of Soil Science) Working Group WRB, 2006). Soil  
594 exchangeable cations were determined with the silver thiourea method (Pleysier and Juo,  
595 1980), and soil carbon and nitrogen were analyzed using an automated elemental analyzer  
596 (Pella, 1990; Nelson and Sommers, 1996). Particle size was analyzed using the pipette  
597 method (Gee and Bauder, 1986). Soil physical properties were calculated for each plot  
598 using the “Quesada Index” (Quesada et al., 2010). This index is based on measurements of  
599 effective soil depth, soil structure, topography, and anoxia. To investigate the current soil  
600 weathering levels, a chemically based weathering index, Total Reserve Bases ( $\Sigma RB$ ), was  
601 calculated.  $\Sigma RB$  is based on total soil cation concentration and is considered to give a  
602 chemical estimation of weatherable minerals (Quesada et al., 2010).

## 603 3.2 Meteorology

604 The walk-up tower is equipped with a suite of standard meteorological sensors (Ta-  
605 ble 2). The following quantities are continuously recorded: (a) soil heat flux, soil moisture,  
606 and soil temperature (10 minutes time resolution), (b) incoming and outgoing short and  
607 long wave radiation, photosynthetic active radiation (PAR), net radiation, ultraviolet radia-  
608 tion, rainfall, relative humidity (RH), air temperature, atmospheric pressure, and wind  
609 speed and direction (1 minute time resolution). Data acquisition is performed by several  
610 data loggers (CR3000 and CR1000, Campbell Scientific Inc., USA). Visibility is measured  
611 with an optical fog sensor (OFS, Eigenbrodt GmbH, Königsmoor, Germany), which de-  
612 tects the backscattered light intensity from a 650 nm laser.

## 613 3.3 Turbulence and flux measurements

614 Turbulent exchange fluxes of  $H_2O$  and  $CO_2$  as well as surface boundary layer sta-  
615 bility are measured within and above the canopy using the eddy covariance (EC) tech-

616 nique. The method is well documented in the literature (e.g., Baldocchi, 2003; Foken et al.,  
617 2012) and will not be described here. Three-dimensional wind and temperature fluctuations  
618 were measured by sonic anemometers at 81, 46 and 1.0 m a.g.l. (see Table 2). CO<sub>2</sub> and  
619 H<sub>2</sub>O fluctuations are detected by three fast response open-path CO<sub>2</sub>/H<sub>2</sub>O infrared gas ana-  
620 lyzers installed at a lateral distance of about 10 cm from the sonic path. The high-  
621 frequency signals are recorded at 10 Hz by CR1000 data loggers. The raw data are pro-  
622 cessed applying state-of-the-art correction methods using the software Alteddy (version  
623 3.9; [www.climatexchange.nl/projects/alteddy/](http://www.climatexchange.nl/projects/alteddy/)) based on Aubinet et al. (2000). Fluxes,  
624 means and variances are calculated for half-hourly intervals (de Araújo et al., 2002; de  
625 Araujo et al., 2008; de Araujo et al., 2010). Continuous micrometeorological measure-  
626 ments have been made since September 2012, with some interruptions due to technical  
627 problems. The raw data are archived and are made available under the LBA data policy  
628 ([https://daac.ornl.gov/LBA/lba\\_data\\_policy.html](https://daac.ornl.gov/LBA/lba_data_policy.html)).

### 629 **3.4 Vertical profiles of reactive trace gases and total OH reactivity**

630 Ozone is measured by a UV-absorption technique (Thermo Scientific 49i, Franklin,  
631 MA, USA), using Nafion dryers to minimize the effects of changing water vapor concen-  
632 trations (Wilson and Birks, 2006). Mixing ratios of CO<sub>2</sub> and H<sub>2</sub>O are measured by non-  
633 dispersive infrared absorption techniques (Licor-7000, LI-COR, Lincoln, USA). The detec-  
634 tion limits are 0.5 ppb for ozone, 1 ppm for CO<sub>2</sub> and 0.2 mmol mol<sup>-1</sup> for H<sub>2</sub>O. Instrumental  
635 noise for 60-s averages is 0.25 ppb for ozone, 6 ppb for CO<sub>2</sub> (at 370 ppm), and 0.4 ppm for  
636 H<sub>2</sub>O (at 10 mmol mol<sup>-1</sup>).

637 During intensive campaigns, measurements of mixing ratios of Volatile Organic  
638 Compounds (VOC), total OH reactivity, nitric oxide (NO), nitrogen dioxide (NO<sub>2</sub>), ozone  
639 (O<sub>3</sub>), and water vapor (H<sub>2</sub>O) were carried out at 8 heights in and above the rain forest can-  
640 opy, using a reactive trace gas profile system similar to that described by Rummel et al.  
641 (2007). The lower part of the vertical profile (0.05, 0.5, and 4 m above the forest floor) was  
642 set up at an undisturbed location near the walk-up tower (distance 12 m). The upper part of  
643 the vertical profile (12, 24, 38, 53, and 79 m above forest floor) was mounted on the north-  
644 west corner of the walk-up tower. Heated and insulated intake lines (PTFE) were fed to the  
645 analyzers, which were housed in the air conditioned lab container 10 m west of the walk-  
646 up tower.

647 The NO mixing ratio was determined by a gas-phase chemiluminescence technique  
648 (CLD TR-780, Ecophysics, Switzerland). NO<sub>2</sub> was determined by the same analyzer after  
649 specific conversion to NO by a photolytic converter (Solid-state Photolytic NO<sub>2</sub> Converter  
650 (BLC); DMT, Boulder/USA). Detection limits are 0.05 ppb for NO and 0.1 ppb for NO<sub>2</sub>.  
651 The signal noise is <0.5% of signal, limited by the zero point noise.

652 Measurements of VOC were performed using a Proton Transfer Reaction Mass  
653 Spectrometer (PTR-MS, Ionicon, Austria) operated under standard conditions (2.2 hPa,  
654 600 V, 127 Td; 1 Td = 10<sup>-21</sup> V m<sup>2</sup>). The instrument is capable of continuously monitoring  
655 VOCs with proton affinities higher than water and at low mixing ratios (several ppt with a  
656 time resolution of about 1-20 s) (Lindinger et al., 1998). One entire VOC vertical profile  
657 (from 0.05 m to 80 m, 8 heights in total) can be determined every 16 minutes using the  
658 same inlet system as the NO, NO<sub>2</sub>, O<sub>3</sub>, and CO<sub>2</sub> instruments.

659 Calibration was performed using a gravimetrically prepared multicomponent stand-  
660 ard (Ionimed, Apel&Riemer). Occasionally, samples were collected in absorbent packed  
661 tubes (130 mg of Carbograph 1 [90 m<sup>2</sup> g<sup>-1</sup>] followed by 130 mg of Carbograph 5 [560 m<sup>2</sup>  
662 g<sup>-1</sup>]; Lara s.r.l., Rome, Italy) (Kesselmeier et al., 2002) and analyzed by GC-FID in order to  
663 cross-validate the measurements by PTR-MS and to determine the monoterpene speciation  
664 for the total OH reactivity measurement.

665 In addition to the measurement of individual reactive inorganic trace gases and the  
666 VOCs, the total OH reactivity was determined. Total OH reactivity is the summed loss rate  
667 of all OH-reactive molecules (mixing ratio × reaction rate coefficient) present in the at-  
668 mosphere. Direct measurements of total OH reactivity were conducted by the Comparative  
669 Reactivity Method (Sinha et al., 2008) using a PTR-MS as a detector. The PTR-MS moni-  
670 tored the mixing ratio of a reagent (pyrrole) after mixing and reaction in a Teflon-coated  
671 glass reactor. Pyrrole alternatingly reacts with OH alone and with OH in the presence of  
672 ambient air containing many more OH reactive compounds. The competitive reactions of  
673 the reagent and the ambient OH reactive molecules cause a change in the detected levels of  
674 pyrrole. This can be equated to the atmospheric total OH reactivity provided the instrument  
675 is well calibrated and appropriate corrections are applied (Nölscher et al., 2012). The total  
676 OH reactivity instrument was regularly tested for linearity of response using an isoprene  
677 gas standard (Air Liquide). VOC and total OH reactivity measurements were performed  
678 simultaneously with two separate PTR-MS systems measuring from the same inlet, so that

679 the results may be directly compared over time, height, and season. The CRM method was  
680 able to measure OH reactivity down to  $3 \text{ s}^{-1}$ , estimated by the minimum observable modu-  
681 lation above two times the standard deviation ( $\sigma$ ) of the noise (measured in zero air). The  
682 overall uncertainty in the measurement was 16%, including errors in detector (5%), rate  
683 coefficient (14%), gas standard (5%) and flow dilution (2%).

### 684 **3.5 Vertical profiles of long-lived trace gases (CO, CO<sub>2</sub>, and CH<sub>4</sub>)**

685 In March 2012, continuous and high precision CO<sub>2</sub>/CH<sub>4</sub>/CO measurements were  
686 established in an air-conditioned container at the foot of the 80 m-tall walk-up tower. The  
687 sample air inlets are installed at five levels: 79, 53, 38, 24, and 4 meters above ground. The  
688 inlet tubes are constantly flushed at a flow rate of several liters per minute to avoid wall  
689 interaction within the tubing. A portion of the sample air is sub-sampled from the high  
690 flow lines at a lower flow rate for analysis with instruments based on the cavity ring-down  
691 spectroscopy technique (G1301 and G1302 analyzers [Picarro Inc., USA] for measuring  
692 CO<sub>2</sub>/CH<sub>4</sub> and CO/CO<sub>2</sub>, respectively).

693 The G1301 analyzer (Serial CFADS-109) provides data with a standard deviation  
694 of the raw data below 0.05 ppm for CO<sub>2</sub> and 0.5 ppb for CH<sub>4</sub>, the long-term drift is below  
695 2 ppm and 1 ppb per year for CO<sub>2</sub> and CH<sub>4</sub>, respectively. For the G1302 (Serial CKADS-  
696 018), tests with a stable gas tank show a standard deviation of the raw data of 0.04 ppm for  
697 CO<sub>2</sub> and 7 ppb for CO. The long-term drift of the analyzer is below 2 ppm and 4 ppb per  
698 year for CO<sub>2</sub> and CO, respectively. Both analyzers agree well with a CO<sub>2</sub> difference below  
699 0.02 ppm. When the G1301 analyzer broke down in 2012, it was replaced from December  
700 2012 until October 2013 with a Fast Greenhouse Gas Analyzer (FGGA) based on Off-Axis  
701 Integrated Cavity Output Spectroscopy (OA-ICOS; Los Gatos Research Inc., USA) as an  
702 emergency solution. This CO<sub>2</sub>/CH<sub>4</sub>/H<sub>2</sub>O analyzer is designed for measuring at rates of  $\geq 10$   
703 Hz and is primarily used for eddy covariance and chamber flux measurements, where a  
704 low drift rate is less vital than for highly precise and stable long-term measurements. The  
705 FGGA operates with a raw standard deviation of 0.6 ppm for CO<sub>2</sub> and 2 ppb for CH<sub>4</sub>; the  
706 drift is quite large with 1 ppm and 3 ppb per day for CO<sub>2</sub> and CH<sub>4</sub>, respectively. For the  
707 time when the FGGA was used, the calibration and drift correction routines were adopted  
708 accordingly. The detailed description of the whole measurement system, including meas-  
709 urement, calibration, and correction routines will be presented elsewhere.

## 710 **3.6 Aerosol measurements**

### 711 3.6.1 Size distributions and optical measurements

712 Aerosols are sampled above the canopy at 60 m height, without size cut-off, and  
713 transported in a laminar flow through a 2.5 cm diameter stainless steel tube into an air-  
714 conditioned container (aerosol lab at mast, see Sect. 2.4). The sample humidity is kept be-  
715 low 40% using silica diffusion driers. Since January 2015, the aerosol sample air is being  
716 dried using a fully automatic silica diffusion dryer, developed by the Institute for Tropo-  
717 spheric Research, Leipzig, Germany (Tuch et al., 2009). Aerosol size distributions at 60 m  
718 are currently measured from 10 nm up to 10  $\mu\text{m}$  using three instruments: a Scanning Mo-  
719 bility Particle Sizer (SMPS, TSI model 3080, St. Paul, MN, USA; size range: 10-430 nm),  
720 an Ultra-High Sensitivity Aerosol Spectrometer (UHSAS, DMT, Boulder, CO, USA; size  
721 range: 60-1000 nm), and an Optical Particle Sizer (OPS, TSI model 3330; size range: 0.3-  
722 10  $\mu\text{m}$ ). The SMPS provides an electromobility size distribution, whereas the UHSAS and  
723 OPS measure aerosol light scattering and derive the size distributions from the particle  
724 scattering intensity (Cai et al., 2008). In addition to these continuous above-canopy meas-  
725 urements, aerosol size distributions are measured with a Wide Range Aerosol Spectrometer  
726 (WRAS, Grimm Aerosol Technik, Ainring, Germany; size range: 6 nm - 32  $\mu\text{m}$ ) from a  
727 separate inlet line below the canopy at 3 m height. The WRAS provides electromobility  
728 size distributions in the size range of 6-350 nm and uses particle light scattering for the size  
729 range above 300 nm. Details of the instrumentation setup are given in Table 2.

730 For measuring aerosol light scattering, we use a three-wavelength integrating neph-  
731 elometer (until Feb 2014: TSI model 3563, wavelengths 450, 550, and 700 nm; after Feb  
732 2014: Ecotech Aurora 3000, wavelengths 450, 525, and 635 nm) (Anderson et al., 1996;  
733 Anderson and Ogren, 1998). Calibration is carried out using  $\text{CO}_2$  as the high span gas and  
734 filtered air as the low span gas. The zero signals are measured once every twelve hours  
735 using filtered ambient air. For the 300-s averages applied here, the detection limits, defined  
736 as a signal to noise ratio of 2, for scattering coefficients are 0.45, 0.17, and 0.26  $\text{Mm}^{-1}$  for  
737 450, 550/525, and 700/635 nm, respectively. Since sub-micrometer particles predominate  
738 in the particle number size distribution at our remote continental site, the sub-micron cor-  
739 rections given in Table 4 of Anderson and Ogren (1998) were used for the truncation cor-  
740 rections. Bond et al. (2009) suggested that this correction is accurate to within 2% for a



741 wide range of atmospheric particles, but that the error could be as high as 5% for highly  
742 absorbing particles.

743 A Multi-Angle Absorption Photometer (MAAP, Model 5012, Thermo Electron  
744 Group, USA,  $\lambda = 670$  nm) and a 7-wavelength Aethalometer (until Jan 2015 model AE-31,  
745 since then model AE-33) (Magee Scientific Company, Berkeley, CA, USA,  $\lambda = 370, 470,$   
746  $520, 590, 660, 880,$  and  $950$  nm) are used for measuring the light absorption by particles.  
747 The MAAP and aethalometer have been deployed at ATTO since March 2012. In the  
748 MAAP instrument, the optical absorption coefficient of aerosol collected on a filter is de-  
749 termined by radiative transfer calculations, which include multiple scattering effects and  
750 absorption enhancement due to reflections from the filter. A mass absorption efficiency  
751 ( $\alpha_{\text{abs}}$ ) of  $6.6 \text{ m}^2 \text{ g}^{-1}$  was used to convert the MAAP absorption data to equivalent BC ( $\text{BC}_e$ ).  
752 For the Aethalometer, an empirical correction method described by Rizzo et al. (2011) was  
753 used to correct the data for the scattering artifact.

754 Refractory black carbon (rBC) is measured by a 4-channel Single Particle Soot  
755 Photometer (SP2). The instrument is calibrated every 6 months using monodisperse fuller-  
756 ene aerosol particles for rBC calibration, and polystyrene latex (PSL) spheres for scattering  
757 calibration. The instrument is sensitive to rBC in the size range between 70 and 280 nm. A  
758 recent instrumental upgrade provides a broader rBC dynamic range (70 - 480 nm).

759 Regular quality checks are performed with all aerosol sizing instruments and CPCs,  
760 including flow checks, zero tests, and intercomparisons with ambient aerosol and mono-  
761 disperse PSL cells. Exemplary plots are already included in the manuscript (Fig. 26). The  
762 MAAP and aethalometer are subject to frequent intercomparisons with the other optical  
763 instruments. For example, two aethalometers and the MAAP were operated side-by-side  
764 during an intensive campaign in Nov/Dec 2014. The  $\text{BC}_e$  concentrations from the individ-  
765 ual instruments agreed well. The SP2 instrument was carefully intercalibrated with another  
766 SP2 during the GoAmazon-2014 campaign.

767 Fluorescent biological aerosol particles (FBAP) are measured with the Wideband  
768 Integrated Bioaerosol Spectrometer (WIBS-4A, DMT). The WIBS utilizes light-induced  
769 fluorescence technology to detect biological materials in real-time based on the presence of  
770 fluorophores in the ambient particles (Kaye et al., 2005). A 2x2 excitation (280 nm and  
771 370 nm) - emission (310-400 nm and 420-650 nm) matrix is recorded along with the parti-  
772 cle optical size and shape factor. The FBAP concentrations reported in this study corre-

773 spond to the FL3 channel (excitation at 370 nm and emission in the waveband of 420-650  
774 nm) of the WIBS instrument (Healy et al., 2014).

### 775 3.6.2 Chemical measurements and hygroscopicity

776 The submicron non-refractory aerosol composition at a height of 60 m is measured  
777 using an Aerosol Chemical Speciation Monitor (ACSM, Aerodyne, USA) as described by  
778 Ng et al. (2011). The ACSM samples aerosol particles in the 75-650 nm size range. The  
779 non-refractory fraction flash vaporizes on a hot surface (600 °C), the evaporated gas phase  
780 compounds are ionized by 70 eV electron impact, and their spectra determined using a  
781 quadrupole mass spectrometer. The chemical speciation is determined via deconvolution of  
782 the mass spectra according to Allan et al. (2004). Mass concentrations of particulate organ-  
783 ics, sulfate, nitrate, ammonium, and chloride are obtained with detection limits  $<0.2 \mu\text{g m}^{-3}$   
784 for 30 min of signal averaging. Mass calibration of the system is performed using size-  
785 selected ammonium nitrate and ammonium sulfate aerosol following the procedure de-  
786 scribed by Ng et al. (2011). A collection efficiency (CE) of 1.0 is applied (similar to Chen  
787 et al., 2015), yielding good agreement with other instruments.

788 PM<sub>2.5</sub> sampling was carried out from 7 March to 21 April 2012 on Nuclepore®  
789 polycarbonate filters at 80 m on the walk-up tower using a Harvard Impactor; samples  
790 were collected over 48 hour periods. They were analyzed by Energy-Dispersive X-ray Flu-  
791 orescence (EDXRF) (MiniPal 4, PANalytical) at 1 mA and 9 kV for low-Z (Na to Cl) ele-  
792 ments, and 0.3 mA, 30 kV, and internal Al filter for the other elements. Soluble species  
793 were determined by Ion Chromatography (Dionex, ICS-5000) using conductivity detection  
794 for cations and anions and UV-VIS for soluble transition metals. For cation separation, a  
795 capillary column CS12A was used, for anions, an AS19 column, and for transition metals,  
796 a CS5A column (calibrated to quantify traces of Fe<sup>2+</sup> and Fe<sup>3+</sup>).

797 Size-resolved cloud condensation nuclei (CCN) measurements are performed using  
798 a continuous-flow streamwise thermal gradient CCN counter (CCNC; model CCN-100,  
799 DMT, Boulder, CO, USA), a differential mobility analyzer (DMA, Grimm Aerosol  
800 Technik, Ainring, Germany) and a condensation particle counter (CPC model 5412, Grimm  
801 Aerosol Technik). By changing the temperature gradient, the supersaturation of the CCNC  
802 is set to values between 0.1% and 1.1%. The completion of a full measurement cycle  
803 comprising CCN efficiency spectra at 10 different supersaturation levels takes ~ 4 h. The

804 CCNC is calibrated frequently as part of the maintenance routines with size selected  
805 monodisperse ammonium sulfate particles (Rose et al., 2008; Gunthe et al., 2009).

### 806 3.6.3 Microspectroscopic analysis of single aerosol particles

807 Aerosol samples for Scanning Electron Microscopy with Electron Probe Micro-  
808 analysis (EPMA) were collected on top of the 80 m tower in April 2012. For the collection  
809 of size-segregated samples for single particle analysis, we used a Battelle impactor with  
810 aerodynamic diameter cut-offs at 4, 2, 1 and 0.5  $\mu\text{m}$ . The particles were collected on TEM  
811 grids covered with a thin carbon film (15–25 nm). Aerosol samples for x-ray microspec-  
812 troscopy were collected using a single stage impactor, operated at a flow rate of 1–1.5 L  
813  $\text{min}^{-1}$  and a corresponding 50% size cut-off of about 500 nm. Particles below this nominal  
814 cut-off are not deposited quantitatively; however, a certain fraction is still collected via  
815 diffusive deposition. Aerosol particles were collected onto silicon nitride substrates (mem-  
816 brane width 500  $\mu\text{m}$ , membrane thickness 100 nm, Silson Ltd., Northhampton, UK) for  
817 short sampling periods ( $\sim 20$  min), which ensures a thin particle coverage on the substrate  
818 appropriate for single particle analysis.

819 Scanning Transmission X-ray Microscopy with Near-Edge X-ray Absorption Fine  
820 Structure Analysis (STXM-NEXAFS) measurements were made at the Advanced Light  
821 Source (ALS, Berkeley, CA, USA) and the Berliner Elektronenspeicherring-Gesellschaft  
822 für Synchrotronstrahlung (BESSY II, Helmholtz-Zentrum Berlin für Materialien und Ener-  
823 gie (HZB), Germany). A detailed description of the instrumentation and techniques can be  
824 found elsewhere (Kilcoyne et al., 2003; Follath et al., 2010; Pöhlker et al., 2012; Pöhlker et  
825 al., 2014). Scanning Electron Microscopy with Energy Dispersive X-ray spectroscopy  
826 (SEM/EDX) analysis was carried out using a Jeol JSM-6390 SEM equipped with an Ox-  
827 ford Link SATW ultrathin window EDX detector. For EPMA, quantitative and qualitative  
828 calculations of the particle composition were performed using iterative Monte Carlo simu-  
829 lations and hierarchical cluster analysis (Ro et al., 2003) to obtain average relative concen-  
830 trations for each different cluster of similar particle types.

### 831 3.6.4 Chemical composition of secondary organic aerosol

832 Filter sampling for Secondary Organic Aerosol (SOA) analysis was performed on  
833 the walk-up tower at a height of 42 m above ground level. Fine aerosol (PM 2.5) was sam-  
834 pled at a flow rate of 2.3  $\text{m}^3 \text{h}^{-1}$  on TFE-coated borosilicate glass fiber filters (PALLFLEX,

835 T60A20, Pall Life Science, USA). The sampling times were 6, 12, or 24 hours. After sam-  
836 pling the filters were stored at 255 K until extraction.

837 The extraction of the filters was performed with acetonitrile ( $\geq 99.9\%$ ; Sigma Al-  
838 drich) in a sonication bath at room temperature. The filter extracts were evaporated with a  
839 gentle nitrogen flow at room temperature in an evaporation unit (Reacti Vap 1; Fisher Sci-  
840 entific), and the residue was re-dissolved in 100  $\mu\text{L}$  HPLC grade water (Milli-Q water sys-  
841 tem, Millipore, Bedford, USA) / acetonitrile ( $\geq 99.9\%$ ; Sigma Aldrich) mixture (8:2).

842 The separation and analysis was performed with an UHPLC-system (Dionex Ulti-  
843 Mate 3000) coupled to a Q Exactive electrospray ionization Orbitrap mass spectrometer  
844 (Thermo Scientific). A Hypersil Gold column (50 mm x 2.1 mm, 1.9  $\mu\text{m}$  particle size, 175  
845  $\text{\AA}$  pore size; Thermo Scientific) was used. The eluents were HPLC grade water (Milli-Q  
846 water system, Millipore, Bedford, USA) with 0.01% formic acid and 2% acetonitrile (elu-  
847 ent A) and acetonitrile with 2% HPLC grade water (eluent B). The flow rate of the mobile  
848 phase was 0.5  $\text{mL min}^{-1}$ . The column was held at a constant temperature of 298 K in the  
849 column oven. The MS was operated with an auxiliary gas flow rate of 15 (instrument spe-  
850 cific arbitrary units, AU), a sheath gas flow rate of 30 AU, a capillary temperature of 623  
851 K, and a spray voltage of 3000 V. The MS was operated in the negative ion mode, the  
852 resolution was 70000, and the measured mass range was  $m/z$  80-350.

853

## 854 **4 Ongoing Research and Initial Results**

### 855 **4.1 Ecological studies**

#### 856 **4.1.1 Tree species richness, composition, turnover, and aboveground wood bio-** 857 **mass**

858 In total, 7293 trees  $\geq 10$  cm DBH were recorded in the 12 1-ha inventoried plots,  
859 which included 60 families, 206 genera, and 417 species. Tree species richness was highest  
860 in the terra firme forest on the plateau, followed by the terra firme forest on the fluvial ter-  
861 race, the campinarana, and the seasonally flooded igapó (Table 3). Floristic similarity  
862 (Bray-Curtis index) within plots of the same forest types ranged from 45-65%, but was  
863 highly variable between different forest types (2-54%). Accordingly, the species turnover  
864 across the investigated forest types was high, especially when seasonally inundated forest

865 plots were compared to their non-flooded counterparts (Fig. 4). AGWB varied considera-  
866 bly between the studied forest ecosystems as a result of varying tree heights, DBH, and  
867 basal area (Table 3). Carbon stocks in the AGWB increased from  $74 \pm 12 \text{ Mg ha}^{-1}$  in the  
868 igapó forest to  $79 \pm 26 \text{ Mg ha}^{-1}$  in the campina/campinarana, and  $101 \pm 13 \text{ Mg ha}^{-1}$  on the an-  
869 cient fluvial terrace, reaching maximum values of  $170 \pm 13 \text{ Mg ha}^{-1}$  in the terra firme for-  
870 ests. Tree species richness correlated significantly with carbon stocks in AGWB ( $n=12$ ;  $r^2$   
871  $= 0.61$ ;  $p < 0.01$ ).

872 The floristic data indicate that the rain forests at the ATTO site combine high alpha  
873 diversity with high beta diversity at a small geographic scale, where tree species segregate  
874 mainly due to contrasting local edaphic conditions (e.g., Tuomisto et al., 2003; ter Steege  
875 et al., 2013; Wittmann et al., 2013). Biomass and carbon stocks vary considerably between  
876 habitats, and show low values on flooded and nutrient-poor soils and high values on well-  
877 drained upland soils, as previously reported elsewhere for other Amazonian regions (e.g.,  
878 Chave et al., 2005; Malhi et al., 2006; Schöngart et al., 2010).

#### 879 4.1.2 Cryptogamic covers

880 We are investigating the potential of cryptogamic covers to serve as a source of bi-  
881 oaerosol particles and chemical compounds. Cryptogamic covers comprise photoauto-  
882 trophic communities of cyanobacteria, algae, lichens, and bryophytes in varying propor-  
883 tions, which may also host fungi, other bacteria, and archaea (Elbert et al., 2012). A com-  
884 mon feature of all these organism groups is their poikilohydric nature, meaning that their  
885 moisture status follows the external water conditions. Thus the organisms dry out under  
886 dry conditions, being reactivated again upon rain, fog, or condensation.

887 Since September 2014, we have been conducting long-term measurements to moni-  
888 tor the activity patterns of cryptogamic covers at four different canopy heights at 10-min  
889 intervals, during which we measure temperature and water content within and light intensi-  
890 ties directly on top of bio-crusts growing on the trunk of a tree. First analyses of the micro-  
891 climate data indicate that microorganisms in the upper stem region of the trees are activat-  
892 ed by fog or dewfall in the early morning hours, often coinciding with an aerosol particle  
893 burst in the accumulation mode. Particle measurements conducted on isolated organisms  
894 also show a significant release of accumulation mode particles by wet and thus active or-  
895 ganisms, e.g., fungi belonging to the phylum of Basidiomycota. Thus, we have the first

896 clear indications that cryptogamic covers may play a key role in the enigmatic bioaerosol  
897 occurrence frequently observed at the ATTO site.

### 898 4.1.3 Upper Canopy Leaf Phenology

899 A single annual leaf flush was seen in most upper canopy crowns, concentrated in  
900 the five driest months (July to November) (Fig. 5). Consequently, mature leaves with high  
901 light-use efficiency will be most abundant in the late dry season and early wet season.  
902 Massive leaf renewal in the dry season on the ATTO plateau may drive seasonality of pho-  
903 tosynthesis and of photosynthetic capacity at the landscape scale, as has been indicated at  
904 the Santarém and the LBA km34 eddy flux tower sites in the Central Amazon (Doughty  
905 and Goulden, 2008; Restrepo-Coupe et al., 2013).

906 The lack of a near-infrared (NIR) band in our camera precludes the direct meas-  
907 urement of leaf amount, but the RGB band space discriminates crown phenostages whose  
908 relative NIR reflectances are known. Gradual leaf attrition over the wet season, when leaf  
909 replacement is low, followed by early dry season pre-flush abscission and the emergence  
910 of young unexpanded leaves, should all lead to a lower landscape-scale amount of fully  
911 expanded leaves around June or July. Completion of leaf flushing in most crowns by the  
912 late dry season should lead to a maximum amount of fully expanded leaves in the late dry  
913 and early wet seasons. This is consistent with the seasonal pattern of Central Amazon leaf  
914 amount detected with the Enhanced Vegetation Index from the MODIS orbital sensor (e.g.,  
915 Huete et al., 2006) and counters recent critiques of detectability of seasonal change in Am-  
916 azon forest greenness (Galvão et al., 2011; Morton et al., 2014).

### 917 4.1.4 Soil Characterization

918 Soils in the terra firme plateaus were classified as Ferralsol, which are ancient,  
919 highly weathered, and well-drained soils frequently occurring in geologically ancient sur-  
920 faces (Chauvel et al., 1987). Soils at the fluvial terraces were classified as Alisol, which  
921 show a more recent pedogenetic status when compared to the highly weathered Ferralsols  
922 at the plateaus. Due to their lower weathering degree, soils from the terrace have a greater  
923 capacity to supply nutrients, with higher total P and higher total reserve bases. The soil  
924 carbon stocks varied from  $129 \pm 7 \text{ Mg ha}^{-1}$  on the terrace to  $164 \pm 7 \text{ Mg ha}^{-1}$  on the plateau,  
925 indicating that belowground C stocks are of similar magnitude to the aboveground carbon

926 stocks in the forest (Table 4). Differences of belowground carbon stocks between terrace  
927 and plateau are mainly associated with a higher clay content of the plateau soils.

928         Soil physical constraints are more frequent on the terraces, which show higher bulk  
929 density values (Fig. 6) and therefore increased soil compaction. Some of these terrace soils  
930 also show signs of anoxia (mottling) in deeper layers. Such impeditive conditions may  
931 have an influence on forest structure (Quesada et al., 2012; Emilio et al., 2014) and dynam-  
932 ics (Cintra et al., 2013), thereby possibly restricting tree height or even tree individual bi-  
933 omass storage (Martins et al., 2015).

## 934 **4.2 Meteorological conditions and fluxes**

935         An overview of the climatic characteristics of the Amazon Basin has been present-  
936 ed by Nobre et al. (2009). The meteorological setting of the ATTO site has been described  
937 in Section 2.1, and the basic meteorological measurements (wind, temperature, humidity,  
938 radiation, etc.) at the site reflect the regional climate and micrometeorological conditions  
939 influenced by local topography and vegetation. In the following sections we present over-  
940 views of meteorological observations that characterize the site and initial results of micro-  
941 meteorological investigations at ATTO. Since the quantification of the exchange of trace  
942 gases and aerosols between the rain forest and the atmosphere is a key objective of the  
943 ATTO program, the study of the structure and behavior of the atmospheric boundary layer  
944 is a central focus here.

### 945 **4.2.1 Wind speed and direction above the forest canopy**

946         The wind roses for the dry season (15 June - 30 Nov) and the wet season (1 Dec -  
947 14 June) (based on half-hourly averages of wind speed and direction measured at 81 m  
948 a.g.l. for the period from 18 Oct 2012 to 23 July 2014; Fig. 7) indicate the dominance of  
949 easterly trade wind flows at the measurement site. A slight shift of the major wind direc-  
950 tion towards ENE is observed during the wet season, whereas flows are mainly from the  
951 east during the dry season. This seasonality can be explained by the inter-annual north-  
952 south migration of the Intertropical Convergence Zone (ITCZ), which also governs the  
953 amount of rainfall (see Poveda et al., 2006). The wind roses show a slight diurnal variation  
954 with small contributions from the north, west and south during nighttime, when the noctur-  
955 nal boundary layer is decoupled, in both seasons. In contrast, during daytime the wind  
956 blows nearly all the time from the east (dry season) and northeast (wet season), with much

957 higher wind speeds. Maximal wind speeds observed at the site are about  $9 \text{ m s}^{-1}$ . The influ-  
958 ence of river and/or lake breeze systems caused by the Rio Uatumã (~12 km distance) is of  
959 minor importance and an effect from Lake Balbina (~50 km distance) or other thermally  
960 driven mesoscale circulations could not be detected. This shows that the sampled air mass-  
961 es mainly have their origin within the fetch of the green ocean extending several hundred  
962 kilometers to the east of the site.

#### 963 4.2.2 Temperature, precipitation, and radiation

964 As is typical for the central Amazon Basin, the mean air temperature does not show  
965 strong variations at seasonal timescales due to the high incident solar radiation throughout  
966 the year (Nobre et al., 2009). Climatologically in the Manaus region, the highest tempera-  
967 tures are observed during the dry season, with a September monthly mean of  $27.5 \text{ }^\circ\text{C}$ ,  
968 whereas the lowest temperatures prevail in the rainy season, with a monthly mean of  $25.9$   
969  $^\circ\text{C}$  in March.

970 Vertical profiles of temperature show clear diurnal cycles driven by radiative heat-  
971 ing of the canopy during the day and cooling of the canopy and the forest floor during the  
972 night (Fig. 8). Therefore, both temperature minima and maxima are observed at the canopy  
973 top during both seasons. A second temperature minimum during night can be observed at  
974 the forest floor during the dry and wet season. During the day warm air from above the  
975 canopy is transported into the forest. Minimum temperatures at the canopy top are around  
976  $22.5 \text{ }^\circ\text{C}$  during both seasons, whereas daytime maxima are around  $28 \text{ }^\circ\text{C}$  during the wet  
977 season and may reach slightly above  $30 \text{ }^\circ\text{C}$  in the dry season.

978 Rainfall in the Manaus region shows a pronounced seasonal variation, reaching the  
979 highest amounts in March ( $335.4 \text{ mm}$ ) and the lowest amounts in August ( $47.3 \text{ mm}$ ), for an  
980 average annual total of  $2307.4 \text{ mm}$  at the INMET station in Manaus for the standard refer-  
981 ence period 1961 to 1990 ([www.inmet.gov.br](http://www.inmet.gov.br)). Precipitation at the ATTO site follows this  
982 seasonal cycle with maximum values around March and minimum values in August and  
983 September (Fig. 9). The interannual variability appears to be high at all times of the year,  
984 but especially in the transition to the rainy season, a fact that has also been evident in the  
985 data from the years 1981 to 2010 at the Manaus station (Fernandes, 2014). Therefore, the  
986 large deviations from the regional mean during October to January and also in April, when  
987 the ATTO values from the years 2012-2014 differ substantially from the long term mean of  
988 Manaus, are likely the result of interannual variability.



989 Overall, however, the precipitation patterns at the ATTO site are in good agreement  
990 with its position in the Central Amazon, where the months between February and May are  
991 the wettest ones. In this period, the ITCZ reaches its southernmost position and acts as a  
992 strong driver of convective cloud formation at the equatorial trough. Due to the interaction  
993 of trade winds and sea breeze at the northeast Brazilian coastline, the ITCZ also takes part  
994 in the formation of instability lines that enter the continent and regenerate during their  
995 westerly propagation (Greco et al., 1990). In this way, they account for substantial amounts  
996 of precipitation. After this period, the ITCZ shifts to the northern hemisphere, accompany-  
997 ing the movement of the zenith position of the sun. This leads to less precipitation at the  
998 ATTO site, with the driest months being between July and September, when precipitation  
999 is formed mostly by local convection. In the following months, the amount of precipitation  
1000 increases again, which coincides with the formation of a cloud band in a NW/SE direction  
1001 that is linked to convection in the Amazon due to the South Atlantic Convergence Zone  
1002 (SACZ) (Figuroa and Nobre, 1990; Rocha et al., 2009; Santos and Buchmann, 2010).

1003 The radiation balance at ATTO as well as the albedo presents a clear difference be-  
1004 tween the wet and the dry seasons. Some episodes when the incident solar radiation ex-  
1005 ceeds the top-of-atmosphere radiation have been observed for the ATTO data. They were  
1006 more frequent during the wet season, probably due to the effect of cloud gap modulation  
1007 that intensifies the radiation received at the surface by reflection and scattering.

#### 1008 4.2.3 Roughness sublayer measurements

1009 The measurement of turbulent fluxes over tall forest canopies very often implies  
1010 that these measurements are made in the so-called *roughness sublayer* (RSL). It is usually  
1011 assumed that the RSL extends to 2 or 3 times the height of the roughness obstacles,  $h_0$   
1012 (Williams et al., 2007). The roughness sublayer is considered to be a part of the surface  
1013 sublayer of the atmospheric boundary layer, but it is too close to the roughness elements  
1014 for Monin-Obukhov Similarity Theory (MOST) to hold. Some progress in the parameteri-  
1015 zation of the RSL has been made in terms of applying correction factors to the traditional  
1016 similarity functions of the surface layer (see for example, Mölder et al., 1999, and refer-  
1017 ences therein). However, the universality of such procedures remains unknown.

1018 In this section, we briefly show strong evidence that a simple adjustment factor that  
1019 depends on the factor  $z/z_*$  (where  $z$  is the height of measurement and  $z_*$  is the height of

1020 the RSL), as employed by Mölder et al. (1999), is not able to collapse the “variance meth-  
1021 od” dimensionless variables

$$1022 \quad \phi_w(\zeta) \equiv \frac{\sigma_w}{u_*} \quad (1)$$

1023 and

$$1024 \quad \phi_a(\zeta) \equiv \frac{\sigma_a}{a_*}, \quad (2)$$

1025 where  $\sigma_w$  is the standard deviation of the vertical velocity,  $u_*$  is the friction velocity,  $\sigma_a$  is  
1026 the standard deviation of a scalar, and  $a_*$  is its turbulent scale (see (3) and (4) below). In  
1027 (1) and (2),  $\zeta$  is the Obukhov length with a zero-plane displacement height calculated as  
1028  $d_0 = 2h_0/3$ ,  $h_0 = 40$  m.

1029 We analyzed measurements collected during April 2012 at the 39.5 m level, which  
1030 is right at the height of the tree tops, in terms of the turbulent scales

$$1031 \quad \overline{u'w'} \equiv -u_*^2 \quad (3)$$

1032 and

$$1033 \quad |\overline{w'a'}| \equiv u_* a_*. \quad (4)$$

1034 We only analyzed measurements under unstable conditions, and considered only cases  
1035 where the sensible and latent heat fluxes are both positive (directed upwards) and the CO<sub>2</sub>  
1036 flux is negative (directed downwards). In (4), the absolute value is used, so that  $a_*$  is al-  
1037 ways positive. The scalar  $a$  represents virtual temperature  $\theta_v$  (measured by the sonic ane-  
1038 mometer), specific humidity  $q$ , and CO<sub>2</sub> mixing ratio  $c$ .

1039 The analysis is made in terms of the dimensionless standard deviation functions,  
1040  $\phi_w(\zeta)$  and  $\phi_a(\zeta)$ , defined above. The overall results for vertical velocity, virtual tempera-  
1041 ture, and CO<sub>2</sub> concentration are shown in Fig. 10. The solid lines in the figure give repre-  
1042 sentative functions found in the literature for the surface layer well above the roughness  
1043 sublayer (see, for example, Dias et al., 2009).

1044 Similar figures were drawn for specific times of day, namely 0700-0900, 0900-  
1045 1100, 1100-1300, 1300-1500 and 1500-1700 LT, in an attempt to identify periods of the  
1046 day when better agreement (or even a systematic departure, for example by a constant ver-  
1047 tical shift) with the surface-layer curves could be identified. Temperature and humidity are

1048 somewhat better behaved in this case, but not CO<sub>2</sub>, for reasons that are not clear. Because  
1049 no conclusive explanation can be found, we do not show these analyses here.

1050 Finally, we tried to apply some concepts recently developed by Cancelli et al.  
1051 (2012) to relate the applicability of MOST to the strength of the surface forcing. Cancelli et  
1052 al. (2012) found that the applicability of MOST can be well predicted by their “surface flux  
1053 number”,

$$1054 \quad Sf_a = \frac{\overline{|w'a'|}(z-d_0)}{\nu_a \Delta \bar{a}}, \quad (5)$$

1055 where  $\nu_a$  is the molecular diffusivity of scalar  $a$  in the air, and  $\Delta \bar{a}$  is the gradient of its  
1056 mean concentration between the surface and the measurement height.

1057 In our case, there is no easy way to obtain  $\Delta \bar{a}$ , so instead we use

$$1058 \quad Sf_a = \frac{\overline{|w'a'|}(z-d_0)}{\nu_a \sigma_a}. \quad (6)$$

1059 As a measure of the applicability of MOST, we use the absolute value of the difference  
1060 between the observed value of  $\phi_a(\zeta)$  and its reference value for the surface layer, as used  
1061 by Dias et al. (2009), and shown by the solid lines in Fig. 10. The results are shown in Fig.  
1062 11. A relatively stronger forcing is clearly related to a behavior that is closer to that ex-  
1063 pected by MOST for both temperature and humidity, *but not for CO<sub>2</sub>*. This suggests that  
1064 CO<sub>2</sub> presents even greater challenges for our proper understanding of its turbulent transport  
1065 in the roughness sublayer over the Amazon Forest.

1066 Ultimately, the lack of conformity to MOST found in these investigations (a fact  
1067 that has been generally observed in the roughness sublayer over other forests) implies that  
1068 scalar fluxes over the Amazon forest derived from standard models, which use MOST, are  
1069 bound to have larger errors here than over lower vegetation, such as grass or crops. We can  
1070 expect this to affect any chemical species, and therefore the implications for ATTO are  
1071 quite wide-ranging. On the other hand, once the 325-m tall tower is instrumented and oper-  
1072 ational, a much better picture will emerge on the extent of the roughness sublayer and the  
1073 best strategies to model scalar fluxes over the forest.

#### 1074 4.2.4 Nighttime vertical coupling mechanisms between the canopy and the at- 1075 mosphere

1076 During daytime, intense turbulent activity provides an effective and vigorous cou-  
1077 pling between the canopy layer and the atmosphere above it. As a consequence, vertical  
1078 profiles of chemical species do not commonly show abrupt variations induced by episodes  
1079 of intense vertical flux divergence. Accordingly, scalar fluxes between the canopy and the  
1080 atmosphere are relatively well-behaved during daytime, so that their inference from the  
1081 vertical profiles of mean quantities can be achieved using established similarity relation-  
1082 ships. At night, on the other hand, the reduced turbulence intensity often causes the canopy  
1083 to decouple from the air above it (Fitzjarrald and Moore, 1990; Betts et al., 2009; van Gor-  
1084 sel et al., 2011; Oliveira et al., 2013). In these circumstances, vertical fluxes converge to  
1085 shallow layers in which the scalars may accumulate intensely over short time periods. In  
1086 the absence of convective turbulence, which is the main factor for daytime transport, other  
1087 physical processes become relevant in the stable boundary layer (SBL), such as drainage  
1088 flow (Sun et al., 2004; Xu et al., 2015), vertical divergence of radiation (Drüe and Heine-  
1089 mann, 2007; Hoch et al., 2007), global intermittency (Mahrt, 1999), atmosphere-surface  
1090 interactions (Steenefeld et al., 2008), and gravity waves (Nappo, 1991; Brown and Wood,  
1091 2003; Zeri and Sa, 2011).

1092 In this section, we discuss the role of intermittent turbulent events of variable inten-  
1093 sity and periodicity, which provide episodic connection between the canopy and the at-  
1094 mosphere and can induce oscillatory behavior in the nocturnal boundary layer (Van de  
1095 Wiel et al., 2002). They are characterized by brief episodes of turbulence with intervening  
1096 periods of relatively weak or unmeasurably small fluctuations (Mahrt, 1999). In some cas-  
1097 es, such events may comprise almost the entirety of the scalar fluxes during a given night.  
1098 The effects of gravity waves are discussed in the next section.

1099 Nocturnal decoupling occurs rather frequently at the ATTO site, usually punctuated  
1100 by intermittent mixing episodes, in agreement with previous studies made over the Ama-  
1101 zon forest (Fitzjarrald and Moore, 1990; Ramos et al., 2004). During a typical decoupled,  
1102 intermittent night, the horizontal wind components are weak in magnitude and highly vari-  
1103 able temporally, often switching signs in an unpredictable manner (Fig. 12). As a conse-  
1104 quence, it is common that winds from all possible directions occur in such a night. The  
1105 example from the ATTO site indicates that despite such a large variability, both horizontal

1106 wind components are generally in phase above the canopy, from the 42-m to the 80-m lev-  
1107 el. Vertical velocity at the 42-m level is highly intermittent, with various turbulent events  
1108 of variable intensity scattered throughout the night. While being less turbulent, the 80-m  
1109 level is also less intermittent, presenting a more continuous behavior. The relevance of the  
1110 intermittent events to characterize canopy-atmosphere exchange becomes clear when one  
1111 looks at the fluxes of the scalars, such as CO<sub>2</sub> (Fig. 12, bottom panel). During this night,  
1112 the majority of the exchange just above the canopy (42 m) happened during two specific  
1113 events, at around 02:00 and 03:30 LT.

1114 A proper understanding of nocturnal vertical profiles and fluxes of scalars above  
1115 any forest canopy depends, therefore, on explaining the atmospheric controls on intermit-  
1116 tent turbulence at canopy level. In the Amazon forest, this necessity is enhanced, as there  
1117 are indications that turbulence is more intermittent here, possibly as a consequence of flow  
1118 instabilities generated by the wind profile at canopy level (Ramos et al., 2004). This is cor-  
1119 roborated by early observations at the ATTO site, which indicated decoupling and inter-  
1120 mittency occurring during more than half of the nights.

1121 It is not yet clear what triggers these intermittent events. In general, previous stud-  
1122 ies indicate that the more intense events are generated above the nocturnal boundary layer,  
1123 propagating from above (Sun et al., 2002; Sun et al., 2004). On the other hand, less intense  
1124 events that occur in the decoupled state have been characterized as natural modes of turbu-  
1125 lence variability generated near the surface (Costa et al., 2011). At ATTO, the occurrence  
1126 of the highest intensity at 42 m indicates that intermittency is generated at the canopy level.  
1127 Is it possible, then, to identify the mechanisms that trigger their occurrence?

1128 Some evidence can be gathered from a spectral decomposition of the turbulent flow  
1129 at the different observation levels. Although the horizontal velocities in Fig. 12 are highly  
1130 in phase between 42 and 80 m, it is clear from this plot that the wind speed is generally  
1131 higher at 80 m, while there are more turbulent fluctuations at 42 m. When these signals are  
1132 decomposed in terms of their time scale to provide a turbulent kinetic energy (TKE) spec-  
1133 trum (Acevedo et al., 2014), the more intense turbulence at 42 m appears as a peak at time  
1134 scales just greater than 10 s (Fig. 13). At longer time scales, on the other hand, there is a  
1135 sharp energy increase at 80 m, making this the most energetic level for scales larger than  
1136 100 s. This low-frequency flow at 80 m is characterized by the large wind direction varia-  
1137 bility apparent in Fig. 12. These are non-turbulent flow patterns that have been recently

1138 classified as “submeso” (Mahrt, 2009). Submeso flow has low intensity, with large and  
1139 apparently unpredictable temporal variability. It is usually present in the atmospheric  
1140 boundary layer, becoming dominant in conditions when the turbulent scales are highly  
1141 reduced, such as in the decoupled nocturnal boundary layer.

1142 Evidence from ATTO indicates that it is possible to associate the intermittent  
1143 events at canopy level with the mean wind shear above the canopy. In Figure 14, it is evi-  
1144 dent that the two intense events at 42 m, around 21:30 and 02:00 LT, are triggered by epi-  
1145 sodes of intense wind shear between 42 and 80 m. In conditions where the 80-m wind field  
1146 is dominated by submeso processes, such as in the examples in Figs. 12 and 14, it is this  
1147 portion of the flow that determines the occurrence of intense wind shear episodes. Fur-  
1148 thermore, it is clear from these examples that flow patterns at levels as high as 80 m exert  
1149 important controls on the exchange of scalars at canopy level. Questions such as the height  
1150 variation of submeso flow have yet to be answered. Tall tower observations, such as those  
1151 planned to be carried on at ATTO, are very important to provide the data for this kind of  
1152 analysis and to deepen the understanding of exchange processes between the canopy and  
1153 the atmosphere during the calm nights that are common in the Amazon forest.

#### 1154 4.2.5 Orographically induced gravity waves in the stable boundary layer above 1155 the Amazon forest

1156 Gravity waves (GWs) may occur in the forest boundary layer during relatively calm  
1157 nights. Depending on the magnitude of the turbulent drag, they influence the exchange  
1158 processes that take place in the stable boundary layer of the atmosphere (Steenefeld et al.,  
1159 2009). Internal gravity waves can be generated by several forcing mechanisms, including  
1160 sudden changes of surface roughness, topography, convection, terrain undulations, etc.  
1161 (Nappo, 2002). These features can reallocate energy and momentum and are significant in  
1162 determining atmospheric vertical structure and the coupling of mesoscale to microscale  
1163 phenomena (Steenefeld et al., 2008; Steenefeld et al., 2009). Chimonas and Nappo (1989)  
1164 showed that under typical conditions of the planetary boundary layer, GWs can interact  
1165 with the mean flow resulting in turbulence at unexpected altitudes.

1166 Fast response data of vertical wind velocity,  $w$ , and temperature,  $T$ , measured in the  
1167 nocturnal boundary layer (NBL) at the ATTO site were analyzed to detect the occurrence  
1168 of GWs, and to identify under which situations they would be generated by terrain undula-  
1169 tions, using the methodology proposed by Steenefeld et al. (2009). One of the goals of this

1170 study is to investigate the structure of turbulence associated with the conditions under  
1171 which GWs would be forced by ground undulations (class I) in contrast to those under  
1172 which GWs would be expected to be forced by other mechanisms (class II). To reach this  
1173 goal, the methodology of Steeneveld et al. (2009), based on Chimonas and Nappo (1989),  
1174 has been used to define whether a specific measurement belongs to class I or class II, based  
1175 on the condition:

$$1176 \quad L_s^2 = N^2 / U^2 - U'' / U > k^2 \quad (7)$$

1177 where  $k$  is the wave number associated with the ground undulations,  $L$  is the Scorer pa-  
1178 rameter,  $U$  is the mean wind speed, and  $U''$  is second derivative of the wind speed in rela-  
1179 tion to the height,  $z$ , computed as

$$1180 \quad U'' = \partial^2 U / \partial z^2 \quad (8)$$

1181  $N$  is the Brunt-Väisälä frequency, defined as:

$$1182 \quad N = \sqrt{g \Delta_z \theta / \theta} \quad (9)$$

1183 where  $g$  is the gravity acceleration and  $\Delta_z \theta / \theta$  is the dimensionless gradient of the virtual  
1184 potential temperature.

1185 Two kinds of data were used: topographic and meteorological. A digital topograph-  
1186 ic image of the region surrounding the experimental site (Fig. 15a) was used to analyze the  
1187 features of surface undulations and their scales of occurrence, as well as the space-scale  
1188 analysis by complex Morlet wavelet transforms (Farge, 1992; Thomas and Foken, 2005).  
1189 Local geomorphometric variables were derived from the Shuttle Radar Topographic Mis-  
1190 sion (SRTM) data (Valeriano, 2008). These data were refined to 1 arcsecond ( $\sim 30$  m) from  
1191 the original spatial resolution of 3 arcsecond ( $\sim 90$  m) and are available on the site  
1192 [www.dsr.inpe.br/topodata/dados.php](http://www.dsr.inpe.br/topodata/dados.php).

1193 Time series of the vertical wind velocity and of the fast response temperature data  
1194 provided by a sonic anemometer and thermometer were used to detect GW events at a  
1195 height of 81 m above the ground. The sampling rate of the measured turbulence data was  
1196 10 Hz. Wind speed and temperature vertical profiles were provided by cup anemometer  
1197 and thermometer measurements, respectively, with a sampling rate of 60 Hz for both, mak-  
1198 ing it possible to compute the Brunt-Väisälä frequency, the vertical gradients of wind ve-  
1199 locity, and the Scorer parameter for GW classification (Steeneveld et al., 2009). Data from

1200 five nights were analyzed, consisting of 120 files of 30 minutes each between Julian days  
1201 42 and 46 of the year 2012, representing the first observational data available from the  
1202 ATTO site. The analyses were carried out for the time between 18:00 and 06:00 LT for  
1203 each night with available data (Fig. 15).

1204 The black points on the arrows in Fig. 15b represent the GW events that were in-  
1205 duced by the topography of the terrain, whereas the gray points represent GW events that  
1206 were not generated by terrain orography. The results show that a considerable fraction of  
1207 the analyzed situations represent GWs induced by terrain undulations. This finding is very  
1208 important for the environmental studies that are being carried out at the ATTO site, as it  
1209 indicates that some mixing characteristics of the nocturnal boundary layer depend on the  
1210 characteristics of terrain undulations and therefore change with the wind direction.

#### 1211 4.2.6 Coherent structure time scale above the ATTO site

1212 Coherent structures (CSs) are a ubiquitous phenomenon in the turbulent atmospher-  
1213 ic flow, particularly over forests (Hussain, 1986). They occur in the roughness sub-layer  
1214 immediately above the plant canopy, where the CSs of the scalar signals show a "ramp-  
1215 like" shape associated with the two-phase movement of sweep and ejection of the flow  
1216 interacting with the canopy. Coherent structures play an important role in biosphere-  
1217 atmosphere exchange processes (Gao and Li, 1993; Serafimovich et al., 2011). There is  
1218 some consensus that CSs are associated with turbulent flows, although there is no full  
1219 agreement on the percentage of the turbulent fluxes associated with them (Lu and Fitzjar-  
1220 rald, 1994; Thomas and Foken, 2007; Foken et al., 2012). There has been much research  
1221 on the dominant scale of occurrence of CSs (Collineau and Brunet, 1993; Thomas and  
1222 Foken, 2005) and the physical mechanisms responsible for their generation (Paw U et al.,  
1223 1992; Raupach et al., 1996; McNaughton and Brunet, 2002; Campanharo et al., 2008; Dias  
1224 Júnior et al., 2013). Considerable research has also been devoted to the detection of CSs  
1225 (Collineau and Brunet, 1993; Krusche and Oliveira, 2004) and the dissimilarity between  
1226 CSs associated with the transport of momentum and scalars (Li and Bou-Zeid, 2011).  
1227 However, many aspects of their occurrence are still poorly known, particularly: i) their  
1228 vertical variability (Lohou et al., 2000); ii) the manifestations of their interaction with  
1229 gravity waves (Sorbjan and Czerwinska, 2013); iii) the influence of surface heterogeneity  
1230 on their features; iv) aspects of their numerical simulation (Patton, 1997; Bou-Zeid et al.,  
1231 2004; Dupont and Brunet, 2009; Wan and Porte-Agel, 2011), particularly in the nocturnal



1232 boundary layer (Durden et al., 2013; Zilitinkevich et al., 2013), and v) implications of the  
1233 existence of CSs for the chemistry of the atmosphere (Steiner et al., 2011; Foken et al.,  
1234 2012).

1235         A study on the structure of atmospheric turbulence was performed at the ATTO site  
1236 under daytime conditions, with the aim of contributing to the detection of CSs and devel-  
1237 oping a better understanding of their vertical and temporal variability over a very uneven  
1238 terrain covered by primary forest in central Amazonia. Wind, temperature, and humidity  
1239 data were obtained using sonic anemometers and gas analyzers, installed at 42 m and 81 m  
1240 above ground, as specified in the methods section. The scales of coherent structures were  
1241 determined following the methodology proposed by Thomas and Foken (2005). Figure 16  
1242 shows the average duration of CSs for horizontal and vertical wind velocities ( $u$ ,  $w$ ), tem-  
1243 perature ( $T$ ), and humidity ( $q$ ). For the data at 81 m height, the CS of  $u$  and  $w$  exhibit tem-  
1244 poral scales around 46 s and 29 s, respectively. For the two scalars,  $T$  and  $q$ , the time scales  
1245 of the CS are about 44 s and 55 s, respectively. For the height of 42 m the coherent struc-  
1246 ture time scales of  $u$ ,  $w$ ,  $T$ , and  $q$  were approximately equal to 33 s, 26 s, 30 s, and 31 s,  
1247 respectively.

1248         The results revealed that the CS time scale of the vertical wind velocity is often  
1249 smaller than the scales of the horizontal velocity and the scalar properties, for both levels.  
1250 This can be explained by the fact that the scalar spectra exhibit greater similarity to the  
1251 spectra of the horizontal velocity than to the vertical velocity for low frequencies. Another  
1252 interesting feature is that the temporal scale of the CSs for both the wind velocity and sca-  
1253 lars are considerably shorter for the data measured at 81 m compared with those at 42 m,  
1254 i.e., the region immediately above the forest canopy appears to be under the influence of a  
1255 high-pass filter that removes the lower frequency oscillations of the turbulent signals (Kru-  
1256 sche and Oliveira, 2004; Thomas and Foken, 2005).

#### 1257 4.2.7 Characteristics of the nocturnal boundary layer

1258         The characteristics of the nocturnal boundary layer (NBL) at the ATTO site near  
1259 the Uatumã River were analyzed for the wet and the dry seasons, based on two methodolo-  
1260 gies: i) the thermodynamic classes of the NBL proposed by Cava et al. (2004) and ii) the  
1261 turbulence regimes proposed by Sun et al. (2012).

1262 Cava et al.'s (2004) classification of nocturnal time series is based on the existence  
1263 of a dominant pattern in scalar data, such as CO<sub>2</sub> concentration, temperature, or specific  
1264 humidity. It also takes into account the variability of nocturnal net radiation ( $R_n$ ), measured  
1265 at a sufficiently high sampling rate, which allows cloud detection (with passage of clouds  
1266 being identified by rapid  $R_n$  changes greater than  $10 \text{ W m}^{-2}$ ). Classes (I), (II), (III), are de-  
1267 fined by atmospheric conditions free of the influence of clouds, which can disturb the sta-  
1268 ble boundary layer above the forest. The classes are defined as followed by Cava et al.  
1269 (2004): (I) the occurrence of coherent structures in the form of "ramps" in scalar time se-  
1270 ries; (II) the presence of sinusoidal signals ("ripples") that simultaneously occur in the time  
1271 series of scalars above the canopy and that are typical for gravity waves; (III) the existence  
1272 of turbulence fine structure (i.e., according to Cava et al. (2004), "periods that lack any  
1273 geometric structure or periodicity in the time series data"). The last two categories, (IV)  
1274 and (V), of Cava et al.'s classification refer to the simultaneous occurrence of clouds and  
1275 organized movements with variations in  $R_n > 10 \text{ W m}^{-2}$ . They are: (IV) cases where the net  
1276 radiation induces organized movements, and (V) those where the change in net radiation is  
1277 not correlated with changes in organized movements.

1278 The search of parameters to characterize the turbulent regimes of the nocturnal  
1279 boundary layer is based on Sun et al. (2012). The three turbulent regimes in the NBL are  
1280 defined as follows: Regime 1 shows weak turbulence generated by local shear instability  
1281 and modulated by the vertical gradient of potential temperature. Regime 2 shows strong  
1282 turbulence and wind speed exceeding a threshold value ( $V_\lambda$ ), above which turbulence in-  
1283 creases systematically with increasing wind speed. This describes the turbulence generated  
1284 by bulk shear instability, defined as the mean wind speed divided by the measuring height.  
1285 In Regime 3, the turbulence occurs at wind speeds lower than  $V_\lambda$ , but is associated with  
1286 occasional bursts of top-down turbulence. In Regimes 1 and 2 the scale of turbulent veloci-  
1287 ty ( $V_{TKE}$ ) is related to the mean wind velocity,  $V$ . The turbulent velocity,  $V_{TKE}$ , is defined  
1288 as:

$$1289 \quad V_{TKE} = \left[ (1/2) (\sigma_u^2 + \sigma_v^2 + \sigma_w^2) \right]^{1/2} \quad (10)$$

1290 where  $u$ ,  $v$ , and  $w$  are the components of the zonal, meridional and vertical winds, respec-  
1291 tively, and  $\sigma$  represents the standard deviation of each variable.

1292 We analyzed 53 data files from the wet season and 79 data files collected during the  
1293 dry season at the ATTO site. Our results show that the prevailing conditions in the NBL  
1294 are represented by Cava's classes I, II, and V for both wet and dry seasons (Table 5). Fur-  
1295 thermore, during the wet season the classes I and V show their highest percentage of occur-  
1296 rence associated with turbulent Regime 3. Class IV is more frequent when turbulence Re-  
1297 gime 1 prevails. For the dry season we observe that turbulent classes I, IV and V occur  
1298 most frequently in situations associated with Regime 1 (Table 6).

### 1299 **4.3 Measurements of atmospheric composition**

1300 In March 2012, a basic set of measurements ( $\text{CO}$ ,  $\text{CO}_2$ ,  $\text{CH}_4$ , and equivalent black  
1301 carbon,  $\text{BC}_e$ ) was initiated at the site, which has been running almost continuously up to  
1302 the present. As  $\text{CO}_2$  and  $\text{BC}_e$  were measured with multiple instruments in parallel (see Ta-  
1303 ble 2) an almost complete time series since March 2012 is available for these quantities. In  
1304 November 2012, the long-term measurement setup was upgraded to include measurements  
1305 of ozone, aerosol scattering, aerosol size distribution, and aerosol number concentration.  
1306 Due to the complex logistics at this remote site, there are a few large data gaps in some of  
1307 these time series, but the datasets are almost complete from the middle of May 2013 to  
1308 November 2013 and from February 2014 to now. Continuous measurements of aerosol  
1309 chemical composition by an aerosol mass spectrometer were also initiated in February  
1310 2014. Furthermore, several intensive campaigns were conducted with additional measure-  
1311 ments of aerosol properties, VOC, OH reactivity, and  $\text{NO}_x$ .

#### 1312 **4.3.1 $\text{CO}_2$ , $\text{CH}_4$ , and $\text{CO}$**

1313 Figures 17a-c show the diurnal cycles of the vertical distributions of  $\text{CO}_2$ ,  $\text{CH}_4$ , and  
1314  $\text{CO}$  at the ATTO site.  $\text{CO}_2$  and  $\text{CO}$  show a nighttime accumulation in the sub-canopy space  
1315 and a corresponding steepening of the vertical concentration gradient, which is greatly re-  
1316 duced during daytime due to the enhanced vertical mixing throughout the canopy. In addi-  
1317 tion,  $\text{CO}_2$  exhibits a clear minimum during daytime at mid-canopy level induced by photo-  
1318 synthesis. Interestingly, the build-up of the nighttime maximum of  $\text{CH}_4$  proceeds from  
1319 above the canopy (Fig. 17b). The origin of this behavior, which seems to be linked to mul-  
1320 tiple processes, is under investigation. During daytime,  $\text{CO}_2$ ,  $\text{CH}_4$ , and  $\text{CO}$  still exhibit a  
1321 small vertical gradient below the canopy, indicating a local source near the ground.

1322 Additional evidence for local surface sources are sporadic concurrent increases of  
1323 CH<sub>4</sub> and CO, predominantly at the lowest measurement level. Examples are shown in Fig.  
1324 18. The origin of this local CH<sub>4</sub> and CO source is not known. A remote source (e.g., from  
1325 the large water reservoir behind the Balbina Dam, 60 km northwest of ATTO) seems un-  
1326 likely, as such a signal would be vertically diluted before reaching the ATTO site. A com-  
1327 bustion source also appears unlikely, as the observed CH<sub>4</sub>/CO ratios are several orders of  
1328 magnitude higher than the values typical of combustion emissions.

1329 Apart from these CH<sub>4</sub> and CO peaks, we occasionally observe, mostly during night-  
1330 time, short CH<sub>4</sub> peaks of up to more than 100 ppb amplitude. These peaks last a few hours,  
1331 they do not always concur with increases in CO concentrations, and often coincide with  
1332 “bursts” of particles with a diameter of a few tens of nanometers.

1333 Figure 19 shows the statistics of monthly daytime (defined as between 1300-1600  
1334 LT, or 1700-2000 UT) 30-min measurements of CO<sub>2</sub> from three levels (4 m, 38 m, and 79  
1335 m). The values at the 4-m level are consistently higher than at the upper levels, while the  
1336 38-m level consistently shows lower values during daytime than the top level (79 m). This  
1337 indicates that photosynthesis is active throughout the year. The record is still too short to  
1338 reveal a clear seasonality. Nevertheless, it appears that CO<sub>2</sub> from June to August is about 5  
1339 ppm above the values during the months from December to February.

1340 Statistics of monthly daytime 30-min measurements of CH<sub>4</sub> and CO are shown in  
1341 Fig. 20 (from the 79-m level only). Because of a large data gap due to a malfunctioning of  
1342 the analyzer, a seasonal cycle is not discernible in the present CH<sub>4</sub> record. CO does show a  
1343 seasonal cycle at ATTO with concentrations higher by about 50 ppb during the dry months  
1344 with a significant fraction of air coming from the south-east (see Fig. 3), where vegetation  
1345 fires are very active at this time.

1346 Monthly daytime concentrations of CO<sub>2</sub>, CH<sub>4</sub>, and CO are compared in Fig. 21 with  
1347 measurements upstream of ATTO: Cape Verde (green symbols) reflecting the subtropics  
1348 of the northern hemisphere, and Ascension Island (brown symbols) representing conditions  
1349 in the southern hemisphere. At least during the period of July to December, CO<sub>2</sub> concentra-  
1350 tions clearly reach lower values than at both upstream locations, reflecting the regional  
1351 carbon sink in the Amazon domain. In contrast, CH<sub>4</sub> levels at ATTO lie almost on northern  
1352 hemisphere levels throughout the year, even when the ITCZ is north of ATTO in austral  
1353 winter and the site is in the atmospheric southern hemisphere with its lower background

1354 CH<sub>4</sub> concentrations. This suggests the presence of regional CH<sub>4</sub> emissions in the airshed of  
1355 ATTO. The CO concentrations at ATTO during the wet season are close to those at Cape  
1356 Verde, reflecting the absence of significant combustion sources in the South American part  
1357 of the fetch during this season. In contrast, dry season CO mixing ratios at ATTO are about  
1358 80 ppb higher than those at Ascension Island, reflecting biomass burning emissions in the  
1359 southeastern Amazon (Andreae et al., 2012).

#### 1360 4.3.2 Biogenic volatile organic compounds and OH reactivity

1361 The first successful vertical gradients of biogenic VOCs and total OH reactivity  
1362 were measured in November 2012 at the walk-up tower using the gradient system as de-  
1363 scribed in Section 3.4. Diurnal fluctuations of isoprene are apparent at all heights (Fig. 22).  
1364 Under daylight conditions, isoprene mixing ratios were always highest at the 24 m level,  
1365 reaching up to 19.9±2.0 ppb (average ± standard deviation) and indicating a source at the  
1366 canopy top. During nighttime, the light-driven emissions of isoprene cease and the in-  
1367 canopy mixing ratio fell to 1.1±0.5 ppb, which was lower than observed above the forest at  
1368 79 m (2.3±0.3 ppb). Measurements in the canopy (24 m) vary by a factor of ten from day  
1369 to night, while measurements close to the ground (0.05 m) vary only by a factor of two.  
1370 This clearly demonstrates a canopy emission of isoprene, with a peak around noon, when  
1371 light and temperature are at their maximum. Isoprene mixing ratios at the ground level  
1372 were always the lowest, indicating a potential sink at the soil/litter level or relatively slow  
1373 downward mixing. A detailed discussion of measurements of isoprene and other biogenic  
1374 VOC at ATTO was published recently (Yañez-Serrano et al., 2015).

1375 In November 2012, the high levels of isoprene measured above the canopy contrib-  
1376 uted significantly (on average about 85%) to the total OH reactivity. From Fig. 23, it can  
1377 be seen that median isoprene mixing ratios of between 0.5 ppb at 6:00 LT and 8 ppb in the  
1378 late afternoon above the canopy give an OH reactivity of about 1-20 s<sup>-1</sup>. The gap between  
1379 the two curves is the fraction of total OH reactivity that is not due to isoprene. For most of  
1380 the time this gap is small and within the uncertainty of the measurements. On two occa-  
1381 sions, however, the total OH reactivity was significantly higher than the isoprene contribu-  
1382 tion, these being in the early morning (0900 LT) coincident with a drop in light levels, and  
1383 in the afternoon just after sunset (1700 LT). For all other times in the course of the day,  
1384 isoprene was the major sink for OH above the canopy. Overall, a distinct diel variability in  
1385 total OH reactivity can be observed, similar to that of its major contributor, isoprene. The

1386 median lifetime of OH radicals during the dry-to-wet transition season above the forest  
1387 canopy at 80 m varied from about 50 ms by day to 100 ms at night. Ongoing measurements  
1388 will determine the seasonal variability in total OH reactivity and the relative contribution  
1389 of isoprene.

### 1390 4.3.3 Ozone profiles

1391 The O<sub>3</sub> mixing ratios (Fig. 24) show typical diurnal cycles for both seasons, with  
1392 values increasing from the morning to the afternoon and subsequently decreasing due to  
1393 deposition and chemical reactions. The afternoon O<sub>3</sub> maxima at the uppermost height (79  
1394 m) are about a factor of 1.4 higher during the dry season than during the wet season, aver-  
1395 aging about ~11 ppb and ~8 ppb, respectively. As found in previous studies, its deposition  
1396 to surfaces causes O<sub>3</sub> to exhibit pronounced vertical gradients (Fig. 24), which makes a  
1397 direct intercomparison to measurements at other sites difficult. However, the mixing ratios  
1398 above the canopy from different studies in the Amazonian rain forest during the wet season  
1399 are within a narrow range of 7 to 12 ppb, and the value measured at ATTO falls near the  
1400 lower end of this range (Kirchhoff et al., 1990; Andreae et al., 2002; Rummel et al., 2007;  
1401 Artaxo et al., 2013). A budget study by Jacob and Wofsy (1990) revealed that downward  
1402 transport of O<sub>3</sub> mainly controlled the losses near the surface, with only a minor contribu-  
1403 tion from photochemical formation above the canopy. This may explain the similar mixing  
1404 ratios in the different studies. Furthermore, only small O<sub>3</sub> differences were measured be-  
1405 tween 38 m (just above the canopy) and the top of the tower at 79 m during the wet season.

1406 A different picture is observed during the dry season, with much higher O<sub>3</sub> mixing  
1407 ratios at more polluted sites (~40 ppb in Rondônia: Kirchhoff et al., 1989; Andreae et al.,  
1408 2002; Rummel et al., 2007; Artaxo et al., 2013), which can be related to biomass burning  
1409 emissions causing photochemical O<sub>3</sub> formation (Crutzen and Andreae, 1990). A site com-  
1410 parable to the ATTO site is the ZF2 site, located about 60 km north-west of Manaus, which  
1411 has been used extensively in the past (Artaxo et al., 2013), but which is occasionally af-  
1412 fected by the Manaus urban plume (Kuhn et al., 2010; Trebs et al., 2012). At the ZF2 site,  
1413 mean maximum O<sub>3</sub> mixing ratios measured at 39 m from 2009-2012 (Artaxo et al., 2013)  
1414 match exactly those measured at the ATTO site for the wet season, but are about a factor of  
1415 1.5 higher during the dry season. This may be attributed to the more pristine character of  
1416 the ATTO site, but could also be related to the different measurement periods or different  
1417 biogenic emissions at the sites. In order to distinguish these different influences, high-

1418 quality long-term measurements are required, which are now being generated within the  
1419 ATTO project.

1420 During the wet season, the amplitude of the mean diurnal cycle at 79 m is only  
1421 about 2 ppb, whereas it is 3-4 ppb during the dry season. The highest amplitudes are ob-  
1422 served within the canopy and the understory with up to 5 ppb (24 m) in the dry season.  
1423 These variations can be attributed to downward mixing of O<sub>3</sub>, which is “stored” within the  
1424 canopy (so called storage flux, see Rummel et al., 2007). It is subsequently depleted by  
1425 chemical reactions, mostly with soil biogenic NO, and deposition after the forest canopy  
1426 becomes decoupled from the atmosphere above at nighttime. During the wet season, the  
1427 largest decrease in O<sub>3</sub> mixing ratios occurs at the canopy top. This might be attributed to a  
1428 lower canopy resistance to O<sub>3</sub> deposition due to enhanced stomatal aperture during the wet  
1429 season as proposed by Rummel et al. (2007) and will be the subject of future work. Further  
1430 investigations will also focus on the interactions between turbulence (supply of O<sub>3</sub>) and  
1431 trace gases that react with O<sub>3</sub>, especially nitric oxide (NO).

#### 1432 4.3.4 Aerosol optical properties

1433 The aerosol optical properties measured at the ATTO site are shown as a time series  
1434 in Fig. 25 and summarized in Table 7. The averages were calculated for the dry season,  
1435 August-October, and the wet season, February-May (2012-13 for the absorption measure-  
1436 ments and 2013 for the scattering measurements). The transition periods between these two  
1437 seasons are not included in the summary, in order to show the contrast between the clean-  
1438 est and “more polluted” periods. The scattering coefficients are similar to those reported by  
1439 Rizzo et al. (2013) from measurements performed at the ZF2 site (60 km N of Manaus).  
1440 The regional transport of biomass burning emissions and fossil-fuel derived pollution is the  
1441 main source of particles during the dry season. Its influence is pronounced, as can be seen  
1442 by comparing the scattering and absorption coefficients from both seasons, which average  
1443 about 3-6 times higher during the dry than during the wet season. During the wet season,  
1444 ATTO is meteorologically located in the NH and the scattering and absorption coefficients  
1445 reach their minimum values; however, episodes of long-range transport of aerosols from  
1446 the Atlantic Ocean and Africa still lead to episodically elevated values.

1447 The contrast between the wet and dry seasons can be attributed to a combination of  
1448 higher removal rates by wet deposition during the wet season and the dominant influence  
1449 from biomass burning and fossil fuel emissions during the dry season, which are the main

1450 sources of submicron particles at that time. The scattering Ångström exponent ( $\hat{a}_s$ ) averag-  
1451 es 1.25 during the wet season, lower than the 1.62 obtained for the dry season. This behav-  
1452 ior results from the high relative proportion of larger particles (mostly primary biogenic  
1453 particles, but also dust and seaspray) during the wet season, because in contrast to the large  
1454 seasonal variability of the submicron particles, the supermicron fraction shows less intense  
1455 seasonal changes.

1456 The seasonality of the absorption coefficient,  $\sigma_a$ , is comparable to that of the scat-  
1457 tering coefficient. The regional transport of biomass burning emissions, most important  
1458 between August and October, produces a rise in the  $\sigma_a$  values, reaching an average of 3.46  
1459  $\text{Mm}^{-1}$  during this period. In contrast, during the wet season,  $\sigma_a$  is very low, around 0.52  
1460  $\text{Mm}^{-1}$  on average.

1461 The absorption Ångström exponent ( $\hat{a}_a$ ) is often used to estimate the composition of  
1462 light absorbing aerosols. An  $\hat{a}_a \sim 1$  indicates the aerosol is in the Rayleigh regime, and the  
1463 absorption is dominated by soot-like carbon and is therefore wavelength independent  
1464 (Moosmüller et al., 2011). Higher  $\hat{a}_a$  values indicate the presence of additional light absorb-  
1465 ing material, like brown carbon (BrC) (Andreae and Gelencsér, 2006). This kind of yellow-  
1466 ish or brown organic material, abundant in biomass burning aerosols, usually has an  $\hat{a}_a \sim$   
1467 2.0 or greater (Bond et al., 1999). Our measurements show only relatively minor seasonal  
1468 differences in  $\hat{a}_a$ , with somewhat higher values during the wet season (1.53) than in the dry  
1469 season (1.40), suggesting that soot carbon is the most important contributor to aerosol light  
1470 absorption throughout the year. The contribution of the different light absorbing compo-  
1471 nents of the aerosol to the total observed aerosol absorption is currently being investigated.

1472 We conducted the first long-term refractory black carbon (rBC) measurements by  
1473 an SP2 instrument at a remote Amazonian site. The mass absorption cross section ( $\alpha_a$ ) has  
1474 been calculated by applying an orthogonal regression to the MAAP absorption coefficient  
1475 measurements at 637 nm vs. rBC mass concentrations measured by the SP2. The average  
1476  $\alpha_a$  obtained for the 2013-2014 wet season measurements was  $13.5 \text{ m}^2 \text{ g}^{-1}$ , which is much  
1477 higher than the  $4.7 \text{ m}^2 \text{ g}^{-1}$  reported previously for another Amazonian forest site (Gilardoni  
1478 et al., 2011), who used a thermal-optical method to determine the apparent elemental car-  
1479 bon content. The high apparent  $\alpha_a$  could be partly explained by the fact that the SP2 size  
1480 dynamic range was 70-280 nm and thus the technique did not account for rBC particles  
1481 larger than 280 nm. However, it is also likely related to an enhancement of light absorption



1482 by coatings on the rBC particles, or to the presence of additional light-absorbing substanc-  
1483 es besides rBC (Bueno et al., 2011; McMeeking et al., 2014). Single-particle studies (Sec-  
1484 tion 4.3.7) on aerosols from the ATTO site consistently show thick coatings on the soot  
1485 carbon particles. Our preliminary results indicate that the constant  $\alpha_a$  ( $6.6 \text{ m}^2 \text{ g}^{-1}$ ), imple-  
1486 mented by the MAAP in order to retrieve the BC mass concentration, is not representative  
1487 of the true optical properties of Amazonian aerosol particles.

#### 1488 4.3.5 Aerosol number concentrations and size distributions

1489 Continuous measurements of aerosol particle size and concentration have been  
1490 conducted at the ATTO site since March 2012. Over the last years, the extent of the sizing  
1491 instrumentation has been increased stepwise to provide uninterrupted and redundant aero-  
1492 sol size and concentration time series. Figure 26 shows one of the frequent instrument in-  
1493 tercomparisons, including four different instruments that are based on optical and electro-  
1494 mobility sizing. It confirms the overall consistency and comparability of the different siz-  
1495 ing techniques. Integrated particle number concentrations agree within 15% with meas-  
1496 urements of total particle number concentrations by a CPC. The sample air for this inter-  
1497 comparison was collected through the main aerosol inlet at 60 m height, which is also used  
1498 for instruments measuring aerosol scattering, absorptivity, hygroscopicity, and chemical  
1499 composition.

1500 At the ATTO site, the atmospheric aerosol burden shows remarkable differences in  
1501 terms of size distribution and concentration depending on the seasons. Figure 27 displays  
1502 the average particle number and volume size distributions for typical wet (6-13 May 2014)  
1503 and dry season (13-20 Sep 2014) conditions, covering an aerosol size range from 10 nm to  
1504 10  $\mu\text{m}$ . The wet season is characterized by clean air masses from NE directions (Fig. 3),  
1505 which result in a near-pristine atmospheric state at the ATTO site. Total particle concentra-  
1506 tions typically range from 100-400  $\text{cm}^{-3}$  and aerosol size spectra reveal the characteristic  
1507 “wet season shape”. A representative example is shown in Fig. 27. The size spectrum is  
1508 characterized by a 3-modal shape with pronounced Aitken and accumulation modes as well  
1509 as a noticeable coarse mode. Aitken (maximum at  $\sim 70 \text{ nm}$ ) and accumulation (maximum at  
1510  $\sim 150 \text{ nm}$ ) modes are separated by the so called Hoppel minimum (at  $\sim 110 \text{ nm}$ ), which is  
1511 thought to be caused by cloud processing (e.g., Zhou et al., 2002; Rissler et al., 2004; Ar-  
1512 taxo et al., 2013).

1513           The near-pristine conditions that prevail at the ATTO site during the wet season,  
1514 when the aerosol concentrations are remarkably low and dominated by local and/or region-  
1515 al biogenic sources, are episodically interrupted by long-range transport of sea spray, Sa-  
1516 haran dust, and/or African biomass burning and fossil fuel combustion aerosol (e.g., Talbot  
1517 et al., 1990; Martin et al., 2010a; Martin et al., 2010b; Baars et al., 2011). Figure 28 dis-  
1518 plays characteristic changes in the wet season size distribution during selected episodes  
1519 with long-range transport intrusions. Typically, the aerosol abundance in the accumulation  
1520 and coarse mode size range is substantially increased and the Hoppel minimum almost  
1521 completely disappears. The aerosol volume distribution clearly indicates a pronounced  
1522 enhancement of coarse particles, which increases the integrated particle volume concentra-  
1523 tion by almost one order of magnitude (Fig.28b).

1524           During the dry season, the dominant wind direction is E to SE (Fig. 3), which  
1525 brings polluted air from urban sources and deforestation and pasture fires in in the south-  
1526 eastern Amazon and the Brazilian Nordeste to the ATTO site. Dry season aerosol number  
1527 concentrations typically range from 500-2000  $\text{cm}^{-3}$ . A characteristic dry season size spec-  
1528 trum is illustrated in Fig. 27, which shows increased particle concentrations across the en-  
1529 tire size range. Typically, the accumulation mode (maximum at  $\sim 140$  nm) shows the high-  
1530 est relative increase and therefore partly ‘swamps’ the Aitken mode (shoulder at  $\sim 70$  nm).

1531           Besides the Aitken and accumulation modes, which dominate the total aerosol  
1532 number concentration, a persistent coarse mode is observed at about  $3 \mu\text{m}$ , which accounts  
1533 for a significant fraction of the total aerosol mass (Fig. 27). The coarse mode peak occurs  
1534 throughout the year, with higher abundance in the dry season. In the absence of long-range  
1535 transport, primary biological aerosol particles (PBAP) are assumed to dominate the coarse  
1536 mode (Pöschl et al., 2010; Huffman et al., 2012).

1537           Autofluorescence-based techniques such as the Wideband Integrated Bioaerosol  
1538 Sensor, WIBS-4A) are an efficient approach to probe fluorescent biological aerosol parti-  
1539 cles (FBAP) in online measurements (Kaye et al., 2005; Healy et al., 2014). Figure 29  
1540 shows the first measurements of the FBAP number and volume size distributions from the  
1541 WIBS instrument at the ATTO site. The FBAP size distributions are dominated in number  
1542 by a narrow peak at  $2.7 \mu\text{m}$  and in volume by a broad peak from  $2$  to  $5 \mu\text{m}$  (Fig. 29). For  
1543 particles larger than  $1 \mu\text{m}$ , the mean integral FBAP number concentration is  $0.22 \text{ cm}^{-3}$   
1544 (40% of the concentration of supermicron particles), and the corresponding volume con-

1545 centration is calculated to be  $3.0 \mu\text{m}^3 \text{cm}^{-3}$  (62%). The ratio of FBAP to total particles  
1546 (number concentration) shows a clear size dependence, starting from 10% at  $1 \mu\text{m}$  and  
1547 rising to a peak value  $\sim 70\text{-}80\%$  in the size range of  $3\text{-}10 \mu\text{m}$ . These observations are con-  
1548 sistent with FBAP measurements made with an alternative instrument (UVAPS) during the  
1549 AMAZE-08 campaign at the ZF2 rainforest site north of Manaus (Pöschl et al., 2010;  
1550 Huffman et al., 2012).

1551 CCN size/supersaturation spectra have been measured since 2014 and are being  
1552 continued. The long-term data set provides unique information on the size dependent hy-  
1553 groscopicity of Amazonian aerosol particles throughout the seasons. The results will com-  
1554 plement and extend the results from previous campaigns (e.g., Gunthe et al., 2009; Rose et  
1555 al., 2011; Levin et al., 2014). The measurements of CCN and other aerosol properties at the  
1556 ATTO site will also be an important reference for the analysis of the results from the  
1557 ACRIDICON-CHUVA aircraft campaign, which took place in central Amazonia in Sep-  
1558 tember 2014 (Wendisch et al., 2015).

#### 1559 4.3.6 Aerosol chemical composition

1560 For the continuous determination of aerosol composition, an Aerosol Chemical  
1561 Speciation Monitor (ACSM) was installed at the ATTO site in February 2014 with the ob-  
1562 jective of making long-term measurements. The data reported here summarize the annual  
1563 cycle of aerosol concentrations and composition from May 2014 to April 2015 (Fig. 30).

1564 During the middle of the rainy season (March to May), the aerosol concentrations at  
1565 ATTO reach their annual minimum and are in relatively good agreement with previous wet  
1566 season studies, including those conducted at the ZF2 site, ca. 140 km SW of ATTO (Chen  
1567 et al., 2009; Pöschl et al., 2010; Artaxo et al., 2013). With the onset of the dry season, the  
1568 shift of airmass origins to the southeast, and the transition of ATTO into the atmospheric  
1569 southern hemisphere (Fig. 3), aerosol concentrations increase sharply and remain high until  
1570 the end of December, well into the rainy season. Trajectory analyses suggest that burning  
1571 in Africa may contribute significantly to pollution levels at ATTO during this part of the  
1572 year. Only when the rainy conditions in the Amazon combine again with dominant airmass  
1573 origins in the tropical and subtropical North Atlantic and with the waning of biomass burn-  
1574 ing in West Africa can aerosol concentrations at ATTO drop again to their seasonal lows.

1575           The composition of the aerosol at ATTO shows surprisingly little variation  
1576 throughout the year in spite of the huge change in total concentrations between seasons.  
1577 Organic aerosol is always the dominant mass fraction at about 70%, sulfate comprises  
1578 about 10-15%, followed by BC<sub>e</sub> (5-11%), ammonium (~5%), nitrate (~4%) and chloride.  
1579 Elevated concentrations of chloride were observed during a few episodes, when this spe-  
1580 cies represented up to 13% of the total submicron particulate mass, which is consistent  
1581 with earlier observations of long-range transport of sea salt, going back to the ABLE-2B  
1582 campaign (Talbot et al., 1990).

1583           The ionic mass balance indicates that the aerosol was approximately acid-base neu-  
1584 tral. While sulfate is mostly in the form of ammonium sulfate, there is some indication that  
1585 part of the nitrate could be present in the form of organic nitrate. This is because the ratio  
1586 between the fragments NO<sup>+</sup> and NO<sub>2</sub><sup>+</sup> (main nitrate fragments measured by the ACSM at  
1587 mass-to-charge ratios 30 and 46) is expected to be large (~10) when this ion is in organic  
1588 forms, and low (2-3) when in inorganic forms, such as ammonium nitrate (Alfarra et al.,  
1589 2006; Fry et al., 2009). Large values for this ratio were often observed during this period  
1590 and may indicate the presence of organic nitrate.

1591           The bulk composition of PM<sub>2.5</sub> was measured for up to 10 elements by EDXRF  
1592 analysis on a set of samples obtained in March/April 2012. The analysis showed a high  
1593 abundance of crustal elements, illustrating one exemplary episode of long-range dust  
1594 transport from Africa (Fig. 31). Back trajectories indicate that this period was indeed influ-  
1595 enced by dust transport from Africa, which is a phenomenon observed annually and partic-  
1596 ularly pronounced in February, March, and April (Prospero et al., 1981; Swap et al., 1992;  
1597 Ben-Ami et al., 2012). Local sources of mineral dust aerosol can be excluded, especially  
1598 during the wet season, because of the wetness of the soils. The prevalence of mineral dust  
1599 aerosols during the wet season, when air mass trajectories reach from the North African  
1600 deserts to the Amazon Basin, in combination with observations of transatlantic dust plumes  
1601 by lidar, is strong evidence for the long-range origin of the observed crustal elements.

1602           To explore the bioavailability of important trace elements, the oxidation state and  
1603 solubility of iron (Fe) in the PM<sub>2.5</sub> aerosols were analyzed. The soluble (and therefore bio-  
1604 available) fraction of Fe is an important parameter in the overall biogeochemical cycles,  
1605 with impact on the phosphorus cycle and biomass production (Liptzin and Silver, 2009). A  
1606 soluble fraction of only 1.5% (1.8 ng m<sup>-3</sup> Fe(III) of 120 ng m<sup>-3</sup> of total Fe) was found, sug-

1607 gesting that aeolian transport of Fe is not likely to make a significant contribution of bio-  
1608 available Fe to the ecosystem at ATTO.

1609         The extended measurements of aerosol composition at the ATTO site, now reach-  
1610 ing well over a full year, suggest the need for a reassessment of the relative contributions  
1611 of biogenic and anthropogenic sources even in this very remote region. Black carbon, a  
1612 unique tracer of combustion, is present in a roughly equal fraction throughout the year.  
1613 Sulfate, which has a more complex mixture of sources, also contributes a fairly constant  
1614 fraction. In the rainy season, much of this sulfate could come from biogenic or marine  
1615 sources (Andreae et al., 1990), but the high concentrations during the August to December  
1616 period suggest substantial contributions from fossil fuel burning. Periods with aerosol  
1617 compositions suggesting pristine conditions (low  $BC_e$  and sulfate, dominant organic mat-  
1618 ter) occur more as episodes at ATTO than as seasonal characteristics, similar to what is  
1619 observed at the remote ZOTTO site in Siberia (Chi et al., 2013).

#### 1620 4.3.7 Microspectroscopic analysis of single aerosol particles

1621         The microspectroscopic analysis of aerosol samples can be seen as a ‘snapshot’ of  
1622 the aerosol population at a given time. In combination with the long-term aerosol meas-  
1623 urements at the ATTO site, single particle characterization provides detailed insights into  
1624 the highly variable aerosol cycling in the rain forest ecosystem. In the soft X-ray regime,  
1625 STXM-NEXAFS is a powerful microscopic tool with high spectroscopic sensitivity for the  
1626 light elements carbon (C), nitrogen (N), and oxygen (O) as well as a variety of other at-  
1627 mospherically relevant elements (e.g., K, Ca, Fe, S, and Na). The technique allows analyz-  
1628 ing the microstructure, mixing state, and the chemical composition of individual aerosol  
1629 particles. As an example, Fig. 32 displays the STXM-NEXAFS analysis of an aerosol  
1630 sample with substantial anthropogenic pollution, collected at the ATTO site during the dry  
1631 season. X-ray microspectroscopy reveals a substantial fraction of internally mixed particles  
1632 with soot cores (strong  $\pi$ -bond signals) and organic coatings of variable thickness. The  
1633 spectral signature of the organic coating is characteristic for secondary organic material  
1634 (SOM) (Pöhlker et al., 2014). These observations underline the dominance of aged pyro-  
1635 genic aerosols at the ATTO site during the dry season. During the rainy season, when bio-  
1636 mass burning is absent and undisturbed biosphere-atmosphere interactions prevail in the  
1637 region, the aerosol population is dominated by biogenic aerosol, such as primary biological

1638 aerosol particles (PBAP), biogenic SOA, and biogenic salts (Pöhlker et al., 2012). Figure  
1639 33 displays STXM elemental maps of this typical rainy season aerosol population.

1640 As mentioned in the previous section, the biogenic background aerosol in the wet  
1641 season (i.e., February to April) is episodically superimposed by transatlantic dust and  
1642 smoke events. Statistical analysis of the electron microscope (EPMA) results by hierar-  
1643 chical clustering reveals the abundance of the various particle types observed at the ATTO  
1644 tower in this season (Table 8). In order to determine the sources and possible chemical  
1645 interactions, particles were classified into representative groups according to their chemical  
1646 composition. They are classified as “mineral” when Al, Si, O, and Ca are dominant, and  
1647 also contain minor elements like K, Na, Mg, and Fe. Particles are identified as being “or-  
1648 ganic”, when the concentrations of C and O in the particles are similar and when they also  
1649 contain some P and S (<10 weight %). “Biogenic” particles occur in the larger size classes;  
1650 they have smooth boundaries and always contain C, O, S, N, P, and K. Irregular crystal-  
1651 lized particles with Na, Mg, S, O and C are classified as “salt” particles. Soot particles can  
1652 be distinguished by their morphology, and always contain the elements C and O.

1653 With single particle analysis, important information was obtained concerning the  
1654 contribution from organic aerosol particles and the agglomeration of various types of parti-  
1655 cles. The majority of particles in the fine fraction consist of organic matter with traces of S  
1656 and K. This observation corroborates that small biogenic potassium and sulfur-containing  
1657 particles from primary emissions can act as seeds for the condensation of organic material  
1658 (Pöhlker et al., 2012).

#### 1659 4.3.8 Chemical composition of secondary organic aerosol

1660 Measurements of the organic chemical composition of the aerosol over the Amazon  
1661 rainforest are rare. Levoglucosan, several mono- di- and polycarboxylic acids, as well as  
1662 isoprene tracer compounds have been identified in the aerosol phase (Mayol-Bracero et al.,  
1663 2002; Claeys et al., 2004; Schkolnik et al., 2005; Claeys et al., 2010), whereas the contri-  
1664 bution of highly reactive compounds, such as monoterpenes and sesquiterpenes, to SOA in  
1665 this region is still largely unknown.

1666 The concentrations of monoterpene and sesquiterpene oxidation products in ambi-  
1667 ent aerosol collected in November 2012 at the ATTO research site are shown in Fig. 34. A  
1668 median concentration of  $102 \text{ ng m}^{-3}$  was measured for the sum of terpene oxidation prod-

1669 ucts in the aerosol sampled over the Amazon rain forest. As can be seen in Fig. 34, mono-  
1670 terpene oxidation products accounted for the major part of the terpene oxidation products.  
1671 Their concentration showed a high variance during November ranging between 23 and 146  
1672  $\text{ng m}^{-3}$ . The oxidation products derived from the pinene skeleton ( $\alpha$ - and  $\beta$ -pinene) were  
1673 most abundant in the sampled aerosols, followed by limonene oxidation products. Among  
1674 the pinene derived oxidation products, MBTCA (3-methyl-1,2,3-butanetricarboxylic acid)  
1675 was observed to be the most abundant individual monoterpene oxidation product, with  
1676 concentrations of up to  $73 \text{ ng m}^{-3}$ , followed by pinonic acid with a maximum concentration  
1677 of  $46 \text{ ng m}^{-3}$  (van Eijck, 2013). Interestingly, these concentrations are in the same range as  
1678 monoterpene oxidation products measured during summertime in boreal forest environ-  
1679 ments (Vestenius et al., 2014), ecosystems which are known to strongly emit monoter-  
1680 penes. However, these observations of high monoterpene product concentrations match  
1681 with the high monoterpene mixing ratios measured at the same site (Yañez-Serrano et al.,  
1682 2015).

1683         The products from sesquiterpene oxidation showed much lower concentrations  
1684 (Fig. 34). On average the sesquiterpene oxidation products reached about 10% of the mon-  
1685 oterpene oxidation product concentration; however, on some days they were as high as  
1686 26% of the total monoterpene oxidation product concentration. Overall, twenty-three indi-  
1687 vidual oxidation products of sesquiterpenes were identified in the aerosol collected in the  
1688 Amazonian rainforest. The total concentration of these sesquiterpene oxidation products  
1689 ranged from 6 to  $12 \text{ ng m}^{-3}$ . The oxidation products could be assigned to four sesquiterpene  
1690 precursors:  $\beta$ -caryophyllene, aromadendrene, cedrene, and isolongifolene. Among them,  
1691 the products from the oxidation of  $\beta$ -caryophyllene were the most abundant (van Eijck,  
1692 2013). Very few measurements exist of sesquiterpene oxidation product concentrations and  
1693 actually none in tropical forests, which complicates a comparison. Measurements in boreal  
1694 ecosystems (Vestenius et al., 2014) showed mean summertime concentrations of caryo-  
1695 phyllinic acid (one of the  $\beta$ -caryophyllene oxidation products) of about  $8 \text{ ng m}^{-3}$ , which is a  
1696 high concentration for a single compound compared to the concentrations measured at  
1697 ATTO, where the measured concentration range of caryophyllinic acid is  $0.26 - 1.38 \text{ ng m}^{-3}$ .  
1698

1699         In summary, the contribution of monoterpene oxidation products to SOA at ATTO  
1700 is relatively high and essentially comparable with their contribution to boreal forest SOA,

1701 whereas the contribution of sesquiterpene products is much less (about one tenth) than in  
1702 boreal forest ecosystems.

## 1703 **5 Summary and Future Outlook**

1704 Our initial ecological studies have shown the ATTO site to be located in an area of  
1705 high biodiversity, containing forest and wetland ecosystems that are representative of many  
1706 regions in the central Amazon Basin. The meteorological measurements reflect rainfall,  
1707 temperature, and wind conditions typical of the region, with pronounced seasonality in  
1708 rainfall and airmass origins, but they also show substantial interannual variability. Early  
1709 micrometeorological studies have characterized the nocturnal boundary layer and its cou-  
1710 pling with the overlying atmosphere, the properties of turbulence structures in the bounda-  
1711 ry layer, and the formation of orographically induced gravity waves.

1712 Continuous measurements of the carbon gases CO<sub>2</sub>, CO, and CH<sub>4</sub> at five heights  
1713 reveal the effects of photosynthesis and respiration on the vertical distribution of CO<sub>2</sub>, the  
1714 presence of a source of CO at the forest floor, and yet unidentified intensive and episodic  
1715 sources of CH<sub>4</sub>. Ozone, VOC, and OH reactivity measurements indicate an active photo-  
1716 chemical cycle in the tropical boundary layer and a strong forest sink for ozone.

1717 The Amazonian aerosol is strongly influenced by seasonal variations in airmass or-  
1718 igins. In the rainy season, when airmasses come from the northeast across almost undis-  
1719 turbed rain forest, there are long periods when natural, biogenic aerosols prevail, character-  
1720 ized by low particle number concentrations and a very large fraction of organic matter. In  
1721 spite of considerable research efforts, the mode of formation of these aerosols remains en-  
1722 igmatic. Nucleation and new particle formation events are almost never observed in clean  
1723 air over Amazonia. The deployment of instrumentation that explores the size range at the  
1724 border between gases and particles, and the measurement of species that are involved in  
1725 the formation and growth of aerosol particles, such as H<sub>2</sub>SO<sub>4</sub>, extremely low volatility or-  
1726 ganic compounds (ELVOCs), ammonia, and amines may shed light on the processes re-  
1727 sponsible for the formation of biogenic aerosols over the tropical forest (Kulmala et al.,  
1728 2014).

1729 During the rainy season, the biogenic aerosol over Amazonia is overprinted period-  
1730 ically by episodes of intense transatlantic transport, which bring Saharan dust, smoke from  
1731 fires in West Africa, Atlantic marine aerosols, and possibly pollution from fossil fuel burn-  
1732 ing in Africa, Europe, and North America to the site. In contrast, during the dry season the



1733 dominant airmass source regions lie to the east and southeast, where biomass and fossil  
1734 fuel combustion result in persistent and substantial production of pollution aerosols.

1735 Overall, our measurements at ATTO support the view that there is no longer any  
1736 place on Earth that can be considered truly pristine. Even at this remote site, trace gas and  
1737 aerosol concentrations show the impact of anthropogenic emissions. For long-lived spe-  
1738 cies, like CO<sub>2</sub> and CH<sub>4</sub>, this reflects the secular increase in concentrations as a result of  
1739 global emissions. For shorter-lived trace gases and aerosols, the effects of regional sources  
1740 and long range transport can be detected almost at all times, even though they may be very  
1741 small during the cleanest periods.

1742 During 2015, we expect that many measurements will be relocated from the 80-m  
1743 towers to the 325-m tall tower. This will significantly enlarge the footprint of the meas-  
1744 urements of long-lived trace gases, especially CO<sub>2</sub>. Integration of ATTO into networks for  
1745 the study of carbon cycling, such as the proposed long-term, pantropical network that as-  
1746 sesses NPP using multiple approaches (Cleveland et al., 2015) could significantly increase  
1747 the knowledge that can be gained from this site. The challenge for the future will be to  
1748 maintain these measurements over the coming decades, so that they can reveal secular  
1749 trends in atmospheric composition and the health of the Amazonian ecosystem.

1750

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1779 authors and not of the participating institutions.  
1780

1781 **Figure captions**

1782 Figure 1: (a) Location of the ATTO site. The main map shows the access to the site via the  
1783 road and riverboat connections (background map from Google Earth). (b) Topogra-  
1784 phy in the region around the ATTO site. The Balbina Reservoir is in the northwest-  
1785 ern corner of the map.

1786 Figure 2: Land cover and population density map of northern South America. The land  
1787 cover map (GlobCover 2009, downloaded from: <http://www.esa-landcover-cci.org/>,  
1788 11/07/14, ESA and UCLouvain) highlights vegetated areas in green tones (decidu-  
1789 ous forest, broadleaf forest, evergreen forest, and mixed broadleaf and needleleaf  
1790 forest) and water bodies in blue tones (regularly flooded and permanently flooded  
1791 areas). Populated areas (given as population density map) span a range from 1  
1792 (light red) to 1000+ (dark red) persons per km<sup>2</sup> (from: Gridded Population of the  
1793 World, Version 3 (GPWv3) provided by the Center for International Earth Science  
1794 Information Network (CIESIN), Columbia University). The ATTO site is marked  
1795 by a star.

1796 Figure 3: Back trajectory frequency plots and satellite fire maps for the ATTO site in 2014.  
1797 Back trajectories (9 days) have been calculated with HYSPLIT (NOAA-ARL,  
1798 GDAS1, start height 1000 m) (Draxler and Rolph, 2015). Four back trajectories  
1799 have been initiated per day (0:00, 06:00, 12:00, 18:00 UTC) – frequency plots are  
1800 based on monthly trajectory ensembles. Color coding of frequency plots: >10%  
1801 (green), >1% (blue), >0.1% (cyan). Monthly fire map derived from GFAS (Global  
1802 Fire Assimilation System) and averaged to 1 degree grid resolution (Kaiser et al.,  
1803 2012).

1804 Figure 4: Species turnover of the four inventoried forest types at the ATTO site. Turnover  
1805 is expressed as Shmida & Wilson's (1985) index:  $SMI = (g+l) / (a+b)$ ; where g and  
1806 l are gained and lost species from site 1 to site 2; a and b are the numbers of species  
1807 in site 1 and site 2. TF = terra firme forest upon plateau, Terr = terra firme forest  
1808 upon fluvial terrace, Camp = campinarana, and IG = seasonally flooded black-  
1809 water forest (igapó).

1810 Figure 5: Portion of camera view, contrast enhanced. Spatial and temporal crown color  
1811 differences are most evident in the five driest months (July to November) when  
1812 crowns present rapidly changing phenostages associated with leaf flush -- briefly  
1813 deciduous pre-flush abscission, young red unexpanded leaves, or bright green re-  
1814 cently expanded leaves.

1815 Figure 6: Plot of bulk density (g cm<sup>-3</sup>) and carbon stocks (Mg ha<sup>-1</sup>) against soil depth. Pro-  
1816 nounced differences of belowground carbon stocks between terrace and plateau oc-  
1817 cur in deeper layers (Depth > 100 cm).

1818 Figure 7: Wind roses for (a) dry season (15 June - 30 Nov) and (b) wet season (1 Dec - 14  
1819 June) based on half-hourly averages of wind speed and direction measured at 81 m  
1820 a.g.l. for the period from 18 Oct 2012 to 23 July 2014.

1821 Figure 8: Diurnal profiles of temperature for a) wet season (March 2014) and b) dry season  
1822 (September 2013). Contour plots interpolate from measurements at 0.4 m, 1.5 m, 4  
1823 m, 12 m, 26 m, 36 m, 40 m, 55 m, 73 m, and 81 m.

- 1824 Figure 9: Monthly sums of precipitation at the ATTO site for the years 2012 to 2014. For  
 1825 comparison the data from the Manaus INMET-station ([www.inmet.gov.br](http://www.inmet.gov.br)) for the  
 1826 standard reference period (1961-1990) are shown.
- 1827 Figure 10: The dimensionless standard deviation function for a) vertical velocity  $\phi_w(\zeta)$ , b)  
 1828 virtual temperature  $\phi_{\theta_v}(\zeta)$ , and c) CO<sub>2</sub> concentration  $\phi_c(\zeta)$  for the ATTO site from  
 1829 measurements at 39.5 m.
- 1830 Figure 11: From top to bottom, the departure of the dimensionless standard deviation func-  
 1831 tion,  $\phi_a(\zeta)$ , from its surface-layer behavior for  $\theta_v$ ,  $q$ , and  $c$ , respectively.
- 1832 Figure 12: Upper panels: Temporal evolution of the three wind components for the night of  
 1833 27 April 2012 at each of the ATTO observation levels. The lower panel shows the  
 1834 corresponding eddy covariance CO<sub>2</sub> fluxes.
- 1835 Figure 13: Mean multi-resolution turbulent kinetic energy (TKE) spectra at the three ob-  
 1836 servation levels.
- 1837 Figure 14: Upper panel: Multi-resolution 42-m vertical velocity spectra for the night of 04  
 1838 May 2012 (colors and contours), and mean wind difference between the 80-m and  
 1839 42-m levels (red line, scale at the right side). Lower panel: temporal evolution of  
 1840 vertical velocity at the 42-m level for the same night.
- 1841 Figure 15: (a) Area of approximately 900 km<sup>2</sup> surrounding the ATTO site. The axes repre-  
 1842 sent the directions (0°, 5°, 10°, 15°, ..., 175°, 180°) from the ATTO tower. The col-  
 1843 or scale represents terrain elevation in meters above sea level. (b) Schematic with  
 1844 axes corresponding to (a); the black dots indicate gravity wave events induced by  
 1845 terrain undulations and the gray points represent gravity wave events not induced  
 1846 by terrain effects.
- 1847 Figure 16: Coherent structures time scale of  $w$ ,  $u$ ,  $T$ , and  $q$ , recorded at heights of 42 m and  
 1848 81 m at the ATTO site.
- 1849 Figure 17: Diurnal cycle of a) CO<sub>2</sub>, b) CH<sub>4</sub>, and c) CO. The CO<sub>2</sub> plot is computed from  
 1850 the measurements in January 2013, the CH<sub>4</sub> and CO plots from all available meas-  
 1851 urements until the end of 2014. Time is given in UT, with the first 12 hours repeat-  
 1852 ed for clarity. The white vertical lines indicate the times of local sunrise (10 UT)  
 1853 and sunset (22 UT), respectively. Black dashed horizontal lines show the heights of  
 1854 the 5 inlets.
- 1855 Figure 18: Examples of sporadic concurrent increases in CH<sub>4</sub> and CO recorded at the low-  
 1856 ermost (4 m) inlet in 2012.
- 1857 Figure 19: Statistics of monthly daytime (1700-2000 UT) 30-min measurements of CO<sub>2</sub> at  
 1858 the 80-m walk-up tower. Shown are whisker plots indicating min/max and quartiles  
 1859 of the monthly measurements. The white line in the box indicates the median.  
 1860 Brown: 4-m level, green: 38-m level, blue: 79-m level.
- 1861 Figure 20: Statistics of monthly daytime (1700-2000 UT) 30-min measurements of CH<sub>4</sub>  
 1862 and CO at the 79-m level of the 80-m walk-up tower. Shown are whisker plots in-  
 1863 dicating min/max and quartiles of the monthly measurements. The white line in the  
 1864 box indicates the median.
- 1865 Figure 21: Monthly averaged daytime (1700-2000 UT) measurements of CO<sub>2</sub>, CH<sub>4</sub> and CO  
 1866 at the 79 m level of the ATTO tower (blue line, standard deviation indicated by  
 1867 shading) in comparison with monthly averaged concentration measurements from

- 1868 Ascension Island (brown; data for 2014 are preliminary; Dlugokencky et al., 2014;  
1869 Novelli and Masarie, 2014) and Cape Verde (green: Carpenter et al., 2010, updat-  
1870 ed).
- 1871 Figure 22: Profiles of isoprene derived from measurements at three different heights (0.05  
1872 m, 24 m, and 79.3 m) below, within, and above the canopy, respectively, in Novem-  
1873 ber 2012 (transition period from dry to wet season).
- 1874 Figure 23: Isoprene and total OH reactivity measurements during November 2012 at the  
1875 highest point above the canopy (79 m), binned as 60 minute medians for all periods  
1876 when both data were available (about 4 days). The isoprene mixing ratio scale (left  
1877 axis) was set to match its contribution to the total OH reactivity (1 ppb isoprene =  
1878  $2.46 \text{ s}^{-1}$  isoprene OH reactivity), which is presented on the right axis. The upper  
1879 panel shows the diel variation of temperature (measured at 81 m) and the net radia-  
1880 tion.
- 1881 Figure 24: Mean diurnal profiles of  $\text{O}_3$  mixing ratios measured on the walk-up tower dur-  
1882 ing the dry season (left panel, 15 August to 14 September 2013) and the wet season  
1883 (right panel, 1 February to 3 March 2014).
- 1884 Figure 25: Time series of scattering and absorption coefficients and particle number con-  
1885 centration (diameter > 80 nm).
- 1886 Figure 26: Intercomparison of the median particle number size distributions from the  
1887 SMPS, OPS, WRAS, and UHSAS instruments. Instruments were operated for 6 h  
1888 using the same inlet line during clean rainy-season conditions (26 Jan 2015).
- 1889 Figure 27: Median particle number (a) and volume (b) size distributions from the SMPS  
1890 and OPS instruments, representative for conditions during the wet (dashed lines)  
1891 and dry (solid lines) seasons. Plotted data sets comprise continuous SMPS and OPS  
1892 data covering 7-day periods for wet (06-13 May 2014) and dry (13-20 Sep 2014)  
1893 season conditions. Integrated number and volume concentrations for the selected  
1894 wet season period:  $N_{\text{Ait,wet}} = 141 \text{ cm}^{-3}$ ,  $N_{\text{Acc,wet}} = 130 \text{ cm}^{-3}$ ,  $N_{\text{Total,wet}} = 282 \text{ cm}^{-3}$ ;  
1895  $V_{\text{sub-}\mu,\text{wet}} = 0.5 \mu\text{m}^3 \text{ cm}^{-3}$ ,  $V_{\text{super-}\mu,\text{wet}} = 1.5 \mu\text{m}^3 \text{ cm}^{-3}$ ,  $V_{\text{Total,wet}} = 2.0 \mu\text{m}^3 \text{ cm}^{-3}$ .  
1896 Integrated number and volume concentrations for the selected dry season period:  
1897  $N_{\text{Ait,dry}} = 395 \text{ cm}^{-3}$ ,  $N_{\text{Acc,dry}} = 967 \text{ cm}^{-3}$ ,  $N_{\text{Total,dry}} = 1398 \text{ cm}^{-3}$ ;  
1898  $V_{\text{sub-}\mu,\text{dry}} = 4.0 \mu\text{m}^3 \text{ cm}^{-3}$ ,  $V_{\text{super-}\mu,\text{dry}} = 3.5 \mu\text{m}^3 \text{ cm}^{-3}$ ,  $V_{\text{Total,dry}} = 7.5 \mu\text{m}^3 \text{ cm}^{-3}$ .
- 1899 Figure 28: Median particle number (a) and volume (b) size distributions from the SMPS  
1900 and OPS instruments, showing the contrast between pristine wet season conditions  
1901 and episodes with long-range transport influence (i.e., Saharan dust, African  
1902 biomass burning, and sea salt). Wet season number and volume size spectra are  
1903 taken from Fig. 27. The long-range transport size spectrum is averaged from three  
1904 selected episodes in Feb and Mar 2014. Integrated number and volume  
1905 concentrations for the long-range transport episodes:  $N_{\text{Ait,long}} = 80 \text{ cm}^{-3}$ ,  
1906  $N_{\text{Acc,long}} = 308 \text{ cm}^{-3}$ ,  $N_{\text{Total,long}} = 409 \text{ cm}^{-3}$ ;  $V_{\text{sub-}\mu,\text{long}} = 2.3 \mu\text{m}^3 \text{ cm}^{-3}$ ,  
1907  $V_{\text{super-}\mu,\text{long}} = 12.7 \mu\text{m}^3 \text{ cm}^{-3}$ ,  $V_{\text{Total,long}} = 15.0 \mu\text{m}^3 \text{ cm}^{-3}$ .
- 1908 Figure 29: Average number (a) and volume (b) size distributions of the total and fluores-  
1909 cent aerosol particles measured by WIBS. Orange lines refer to the size-resolved  
1910 fraction of FBAP.
- 1911 Figure 30: Time series of monthly mean aerosol mass concentrations and chemical specia-  
1912 tion at the ATTO site, measured by ACSM from May 2014 to April 2015.

- 1913 Figure 31: Average bulk elemental concentrations (in weight-percent) of PM 2.5 aerosols  
1914 collected at 80 m height between 7 March and 21 April 2012.
- 1915 Figure 32: STXM images and elemental maps with corresponding NEXAFS spectra of  
1916 aerosol particles collected at the ATTO site during a period with anthropogenic pol-  
1917 lution. (a) Carbon post-edge image (293 eV) of a characteristic region showing in-  
1918 ternally mixed droplet-like particles with cores (black arrows) and coatings of vari-  
1919 able thickness (green boxes). (a) Carbon elemental map (pre-edge 280 eV, post-  
1920 edge 293 eV) showing the distribution of carbonaceous material. (a) NEXAFS  
1921 spectra showing high abundance of pi- (C=C) and keto (O=C) functional groups in  
1922 cores. Coating reveals high abundance of carboxylic acid groups (CCOH) and  
1923 weaker signals for keto and pi groups.
- 1924 Figure 33: Microscopic images of aerosol particles during rainy season. (a) SEM images of  
1925 representative region. (b) STXM carbon post-edge image (293 eV) and (c-f) STXM  
1926 elemental maps of same region. The particle types are indicated in panel (b): prima-  
1927 rily biological aerosol particles (region i), droplet-like SOA particles (region ii), and  
1928 potassium-rich biogenic salts (region iii).
- 1929 Figure 34: Concentration of monoterpene and sesquiterpene oxidation products in ambient  
1930 aerosol collected in November 2012 over the Amazon rain forest.
- 1931
- 1932

1933 **References**

1934

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3084 **Tables**

3085 Table 1: Location and specifications of the towers and masts at the ATTO site.

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Towers/masts	Coordinates (WGS 84)	Base elevation [m]	Height [m]
Walk-up tower	S 02° 08.647' W 58° 59.992'	130	80
Triangular mast	S 02° 08.602' W 59° 00.033'	130	81
ATTO Tall Tower	S 2° 08.752' W 59° 00.335'	130	325

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Table 2: Overview of (micro)-meteorological sensors, trace gas and aerosol instrumentation installed at the walk-up tower.

Quantity	Instrument	Height a.g.l./depth [m]	Institution
Soil heat flux	Heat flux sensor (HFP01, Hukseflux, Netherlands)	0.05	INPA, EMBRAPA, MPIC
Soil moisture	Water content reflectometer (CS615, Campbell Scientific Inc., USA)	0.1; 0.2; 0.3; 0.4; 0,6; 1.0	INPA, EMBRAPA
Soil temperature	Thermistor (108, Campbell Scientific Inc., USA)	0.1; 0.2; 0.4	INPA, EMBRAPA, MPIC
Shortwave radiation (incoming and reflected)	Pyranometer (CMP21, Kipp & Zonen, Netherlands)	75.0	INPA, EMBRAPA
Longwave radiation (atmospheric and terrestrial)	Pyrgeometer (CGR4, Kipp & Zonen, Netherlands)	75.0	INPA, EMBRAPA
PAR (incoming and reflected)	Quantum sensor (PAR LITE, Kipp & Zonen, Netherlands)	75.0	USP
Net radiation	Net radiometer (NR-LITE2, Kipp & Zonen, Netherlands)	75.0	INPA, EMBRAPA
Ultra violet radiation	UV radiometer (CUV5, Kipp & Zonen, Netherlands)	75.0	INPA, EMBRAPA
Rainfall	Rain gauge (TB4, Hydrological Services Pty. Ltd., Australia)	81.0	INPA, EMBRAPA
Air temperature and relative humidity	Termohygrometer (CS215, Rotronic Measurement Solutions, UK)	81.0; 73.0; 55.0; 40.0; 36.0; 26.0; 12.0; 4.0; 1.5; 0.4	INPA, EMBRAPA
Atmospheric pressure	Barometer (PTB101B, Vaisala, Finland)	75.0	INPA, EMBRAPA
Wind speed and direction	2D sonic anemometer (Windsonic, Gill Instruments Ltd., UK)	73.0; 65.0; 50.0; 42.0; 26.0; 19.0	INPA, EMBRAPA

Wind vector components (u, v, w)	3D sonic anemometer (Windmaster, Gill Instruments Ltd., UK)	81.0; 46.0; 36.0; 4.0; 1.0	INPA, EMBRAPA
CO <sub>2</sub> and H <sub>2</sub> O molar density	IRGA (LI-7500A, LI-COR Inc., USA) IRGA (LI-7200, LI-COR Inc., USA)	81.0; 46.0 1.0	INPA, EMBRAPA
Vertical profile of CO <sub>2</sub> , CH <sub>4</sub> and CO mixing ratios	G1301 (CFADS-109) and G1302 (CKADS-018; both Picarro Inc., USA)	4.0; 24.0; 38.0; 53.0; 79.0	MPI-BGC, MPI-C
Vertical profile of NO, NO <sub>2</sub> , O <sub>3</sub> , CO <sub>2</sub> , and H <sub>2</sub> O mixing ratios	CLD 780TR (Eco Physics, Switzerland), BLC (Droplet Measurement Technologies Inc., USA), TEI 49i (Thermo Electron Corp, USA), IRGA 7000 (LI-COR Inc., USA)	0.05; 0.5; 4.0; 12.0; 24.0; 38.3; 53.0; 79.3	INPA, MPI-C, UEA
Vertical profile of VOCs	Proton Transfer Mass Spectrometer (PTR-QMS 500, Ionicon, Austria)	0.05; 0.5; 4.0; 12.0; 24.0; 38.3; 53.0; 79.3	MPI-C, USP, INPA
Vertical profile of total reactivity to OH	Comparative Reaction Method, Proton Transfer Mass Spectrometer	0.05; 0.5; 4.0; 12.0; 24.0; 38.3; 53.0; 79.3	MPI-C
Black carbon equivalent	Multi Angle Absorption Photometer (model 5012, Thermo-Scientific, USA)	60.0	MPI-C
Refractory black carbon	Single Particle Soot Photometer (SP-2, Droplet Measurement Technologies, USA)	60.0	MPI-C
Black carbon equivalent	Aethalometer (model AE31 or AE33, Magee Scientific Corporation, USA)	60.0	USP
Aerosol scattering	Nephelometer (model 3563, TSI, USA)  Ecotech Aurora 3000; wavelengths 450, 525, and 635 nm	60.0	USP
Aerosol number concentration	Condensation particle counter (model 3022A, TSI, USA)	60.0	MPI-C
Aerosol size distribution	Ultra-High Sensitivity Aerosol Spectrometer (Droplet Measurement Technologies,	60.0	MPI-C

	USA)		
	Scanning Mobility Particle Sizer (SMPS, TSI model 3080, St. Paul, MN, USA; size range: 10-430 nm)	60.0	
	Optical Particle Sizer (OPS, TSI model 3330; size range: 0.3-10 µm)	60.0	
	Wide Range Aerosol Spectrometer (WRAS, Grimm Aerosol Technik, Ainring, Germany; size range: 6 nm - 32 µm)	3.0	
Primary Biological Aerosol Particles (PBAP)	Wideband Integrated Bioaerosol Spectrometer (WIBS-4, DMT)	60.0	MPI-C
Aerosol chemical composition	Aerosol Chemical Speciation Monitor (ACSM, Aerodyne, USA)	60.0	USP

Table 3: Tree species richness, forest structure, above-ground wood biomass (AGWB) and carbon stocks of the inventoried forest plots.

	Density (Trees ha <sup>-1</sup> )	DBH Mean±sd (max) (cm)	Tree height Mean±sd (max) (m)	Basal area (m <sup>2</sup> ha <sup>-1</sup> )	Species richness (spp. ha <sup>-1</sup> )	AGWB <sup>2</sup> (Mg ha <sup>-1</sup> )	Carbon stock <sup>3</sup> AGWB (Mg C ha <sup>-1</sup> )
<b>Floodplain (igapó)<sup>1</sup></b>							
plot1	695	19.5±8.1 (136)	12.2±3.8 (27)	26.8	26	126	63
plot2	540	20.9±12.0 (78)	10.5±4.2 (29)	25.8	49	146	73
plot3	928	17.9±9.4 (117)	11.5±1.9 (18)	30.3	31	173	87
<b>Mean±sd</b>	<b>721±195</b>	<b>19.4±1.5</b>	<b>11.4±0.9</b>	<b>27.6±2.4</b>	<b>35±12</b>	<b>148±24</b>	<b>74±12</b>
<b>Campina/campinarana</b>							
plot 1	560	20.1±12.1 (90)	15.2±4.7 (34)	24.3	82	190	95
plot 2	503	17.2±10.4 (83)	11.2±3.6 (26)	16.3	46	98	49
plot 3	786	18.3±17.7 (162)	12.9±5.0 (33)	27.8	65	185	93
<b>Mean±sd</b>	<b>616±150</b>	<b>18.5±1.5</b>	<b>13.1±2.0</b>	<b>22.8±5.9</b>	<b>64±18</b>	<b>158±52</b>	<b>79±26</b>
<b>Ancient fluvial terrace</b>							
plot 1	516	20.9±11.2 (100)	14.9±3.0 (30)	22.7	135	181	91
plot 2	483	20.8±12.7 (117)	14.8±3.3 (32)	22.6	120	194	97
plot 3	492	21.1±14.6 (177)	14.8±3.5 (38)	25.4	126	232	116
<b>Mean±sd</b>	<b>497±17</b>	<b>20.9±0.2</b>	<b>14.8±0.1</b>	<b>23.6±1.6</b>	<b>127±8</b>	<b>202±27</b>	<b>101±13</b>
<b>Terra firme</b>							
plot 1	522	21.3±13.9 (152)	20.5±4.6 (40)	26.4	132	318	159
plot 2	644	20.5±12.0 (120)	20.4±4.3 (38)	28.6	142	335	168
plot 3	624	22.1±12.5 (96)	21.1±4.4 (36)	31.7	137	368	184
<b>Mean±sd</b>	<b>597±65</b>	<b>21.3±0.8</b>	<b>20.7±0.4</b>	<b>28.9±2.7</b>	<b>137±5</b>	<b>340±25</b>	<b>170±13</b>

<sup>1</sup> Mean flood height in the igapó floodplains: plot 1: 3.40±1.06 m; plot 2: 3.12±0.62 m; plot 3: 1.81±0.64 m

<sup>2</sup> Aboveground wood biomass (AGWB) was calculated using a pantropical allometric equation considering diameter (*DBH* in cm), tree height (*H* in m) and wood specific gravity ( $\rho$  in g cm<sup>-3</sup>) as independent parameters (Feldpausch et al., 2012):  $AGWB = -2.9205 + 0.9894 \times \ln(DBH^2 \times H \times \rho)$

<sup>3</sup> The carbon stock was estimated by 50% of the AGWB (Clark et al., 2001)

Table 4: Carbon stocks, soil bulk density, concentrations of K, Mg, Ca, P, Total reserve bases ( $\Sigma_{RB}$ ), clay, silt, and sand, and Quesada's index of the forest plots.

	C stock (Mg ha <sup>-1</sup> ) <sup>a</sup>	Bulk density (g cm <sup>-3</sup> )	K (mmol <sub>c</sub> kg <sup>-1</sup> ) <sup>b</sup>	Mg (mmol <sub>c</sub> kg <sup>-1</sup> ) <sup>b</sup>	Ca (mmol <sub>c</sub> kg <sup>-1</sup> ) <sup>b</sup>	P (mg kg <sup>-1</sup> )	$\Sigma_{RB}$ (mmol <sub>c</sub> kg <sup>-1</sup> clay) <sup>2</sup>	Clay (%)	Silt (%)	Sand (%)	Quesada's Index <sup>c</sup>
<b>PLATEAUS</b>											
1	143.7±8.7	0.9	0.3	0.4	0.4	84.8	1.7	85.1	5.2	9.7	0
2	160.7±7.6	0.9	0.4	0.4	0.8	125.9	1.8	86.2	4.6	9.2	0
3	164.1±6.9	0.9	0.4	0.3	0.8	121.4	1.0	84.6	3.8	12.1	0
<b>Means</b>	<b>156.2±10.9</b>	<b>0.9</b>	<b>0.4</b>	<b>0.4</b>	<b>0.7</b>	<b>100.2</b>	<b>1.5</b>	<b>85.3</b>	<b>4.5</b>	<b>10.4</b>	<b>0</b>
<b>TERRACES</b>											
1	140.2±6.4	1.2	0.3	0.4	0.6	92.5	4.9	52.8	12.4	34.8	3
2	129.4±6.8	1.1	0.3	0.4	0.7	181.1	5.1	70.7	7.1	24.8	2
3	140.8±5.9	1.1	0.4	0.5	0.8	129.1	5.5	68.3	6.4	25.4	1
<b>Means</b>	<b>136.8±6.4</b>	<b>1.0</b>	<b>0.3</b>	<b>0.4</b>	<b>0.7</b>	<b>161.1</b>	<b>5.2</b>	<b>74.3</b>	<b>6.6</b>	<b>19.3</b>	<b>2</b>

<sup>a)</sup> Total cumulative C stock up to 2 m depth. Mean per plot and their respective standard deviations

<sup>b)</sup> Mean nutrient concentration up to 30 cm depth

<sup>c)</sup> Quesada's Index indicating soil physical constraints in which higher values of the index show stronger physical constraint. It is a semi-quantitative index and does not show intermediate values, therefore the value shown in the "means" line is the median value of the three plots.

Table 5: Percentage of occurrence of Cava's classes for dry and wet season obtained at the ATTO site and a comparison with the results found by Cava for the Duke Forest, North Carolina, USA.

Class	ATTO			Duke
	Wet	Dry	Avg.	Avg.
I	46.8 %	49.1 %	47.9 %	45.7 %
II	14.0 %	28.3 %	21.2 %	5.9 %
III	7.6 %	7.6 %	7.6 %	29.2 %
IV	3.8 %	3.7 %	3.75 %	1 %
V	27.8 %	11.3 %	19.6 %	18.2 %

Table 6: Distribution of Cava's classes associated with the turbulence regimes for the ATTO site nocturnal boundary layer.

	Regime 1		Regime 2		Regime 3	
	Wet	Dry	Wet	Dry	Wet	Dry
<b>Class I</b>	19.2 %	49 %	38.5 %	16 %	42.3 %	35 %
<b>Class IV</b>	100 %	67 %	0 %	0 %	0 %	33 %
<b>Class V</b>	25 %	50 %	25 %	27 %	50 %	23 %



Table 7: Summary of aerosol optical parameters for the dry and wet seasons. Average and standard deviations are calculated from 60-min data.

		Dry season		Wet season	
		Mean	Std. Dev.	Mean	Std. Dev.
Scattering coefficient ( $\sigma_s$ , Mm <sup>-1</sup> )	450 nm	<b>31</b>	15	<b>8.0</b>	7.4
	550 nm	<b>23</b>	11	<b>6.4</b>	6.5
	700 nm	<b>15</b>	8	<b>4.8</b>	5.3
Scattering Ångström Exponent ( $\text{Å}_s$ )	450/700	<b>1.62</b>	0.26	<b>1.25</b>	0.71
Absorption coefficient ( $\sigma_a$ , Mm <sup>-1</sup> )	637 nm	<b>3.46</b>	2.32	<b>0.52</b>	1.25
Absorption Ångström Exponent ( $\text{Å}_a$ )	470/960	<b>1.40*</b>	0.26	<b>1.53*</b>	0.36
Mass absorption cross-section ( $\alpha_a$ , m <sup>2</sup> /g)	637 nm			<b>13.5<sup>§</sup></b>	

\* Calculated by a log-log linear fit including the last six wavelengths measured by the Aethalometer ( $R^2 > 0.99$ ).

<sup>§</sup> Obtained by orthogonal regression ( $R^2 = 0.92$ )

Table 8: Relative abundance of single particle types obtained at top of the walk-up tower in April 2012 (in percent).

Date April 2012	Size fraction ( $\mu\text{m}$ )	Organic	Organic with S,K	Mineral	Biogenic	Salts	Soot
1	0.25~0.5	70	13	17	0	0	0
	0.5~1.0	0	27	71	1.2	0	0
	1.0~2.0	24	28	47	1.7	0	0
16	0.25~0.5	42	58	0	0	0	0
	0.5~1.0	60	32	8	0	0	0
	1.0~2.0	50	5.3	16	13	16	0
17	0.25~0.5	82	6.1	3	9.1	0	0
	0.5~1.0	37	27	6.7	17	13	0
	1.0~2.0	0	79	21	0	0	0
18	0.25~0.5	72	28	0	0	0	0
	0.5~1.0	41	36	21	2.4	0	0
	1.0~2.0	34	31	17	5.7	0	11