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hygroscopicity in the
atmosphere of
Beijing, China

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Particle hygroscopicity and its link to chemical composition in the urban atmosphere of Beijing, China during summertime

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Abstract

Simultaneous measurements of particle number size distribution, particle hygroscopic properties, and size-resolved chemical composition were made during the summer of 2014 in Beijing, China. During the measurement period, the median hygroscopicity parameters (κ) of 50, 100, 150, 200, and 250 nm particles are respectively 0.15, 0.19, 0.22, 0.27, and 0.29, showing an increasing trend with increasing particle size. When $\text{PM}_{2.5}$ mass concentration is greater than $50 \mu\text{g m}^{-3}$, the fractions of the hydrophilic mode for 150, 250, 350 nm particles increased towards 1 as $\text{PM}_{2.5}$ mass concentration increased. This indicates that aged particles dominated during severe pollution periods in the atmosphere of Beijing. Particle hygroscopic growth can be well predicted using high time-resolution size-resolved chemical composition derived from AMS measurement on a basis of ZSR mixing rule. An empirical relationship between κ of organic fraction (κ_{org}) and oxygen to carbon ratio (O : C) ($\kappa_{\text{org}} = 0.08 \cdot \text{O} : \text{C} + 0.02$) is obtained. During new particle formation event associating with strongly active photochemistry, the hygroscopic growth factor or κ of newly formed particles is greater than for particle with the same sizes during non-NPF periods. A quick transformation from external mixture to internal mixture for pre-existing particles (for example 250 nm particle) was observed. Such transformations can modify the state of mixture of pre-existing particles and thus modify properties such as the light absorption coefficient and cloud condensation nuclei activation.

1 Introduction

Hygroscopic growth of atmospheric particles is one of the important parameters controlling their direct and indirect climate effects (McFiggans et al., 2006; Haywood and Boucher, 2000). Due to water uptake, hydrophilic particles grow significantly in size at high relative humidity (RH), which influences the particle light scattering and extinction coefficients, thereby impairing visibility (Sloane and Wolff, 1985). In addition,

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of the organic aerosol fraction at a given relative humidity, as has usually been done in closure studies.

Over the past several decades, particle hygroscopicity measurements have been carried out world-wide, using the HTDMA technique. Atmospheric environments, in which those measurements were performed includes marine, Antarctic, boreal forest, rural, and urban areas. Swietlicki et al. (2008) and Kreidenweis and Asa-Awu (2014) compiled the existing observations on particle hygroscopic growth in the literature. Throughout these compilations, measurements of particle hygroscopicity have been rarely performed in China, which experiences frequently severe haze pollution episodes. These few particle hygroscopicity measurements using the HTDMA technique were deployed in Yangtze River Delta (Shanghai, Ye et al., 2013, and Hangzhou, Zhang et al., 2011), Pearl River Delta (Xinken, Cheng et al., 2008 and Hong Kong, Lopez-Yglesias et al., 2014) and North China Plain (Beijing, Massling et al., 2009, and Wuqing, Liu et al., 2011). Unfortunately, these measurements lack a linkage between particle hygroscopicity and chemical composition.

This study investigates the size-resolved particle hygroscopicity and chemical composition in Beijing, China, during summertime. Our work provides a general overview of particle hygroscopic behavior as well as a comparison of the observed and simulated hygroscopic particle growth using AMS-based chemical particle composition, emphasizing on the organic mass fraction. Additionally, the evolution of particle hygroscopicity during new particle formation event is investigated to understand the effects of strong photochemistry-driven atmospheric oxidation processes on particle hygroscopicity and mixing state.

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scopicity scan with a deviation of more than 3% in relative humidity to the set-point of 90% will not be considered for further analysis.

The hygroscopic growth factor (HGF) is defined as the ratio of the particle mobility diameter, $D(\text{RH})$, at a given RH to the dry diameter, $D_{\text{p,dry}}$:

$$\text{HGF}(\text{RH}) = \frac{D_{\text{p}}(\text{RH})}{D_{\text{p,dry}}} \quad (1)$$

The TDMA_{inv} method developed by Gysel et al. (2009) was used to invert the HTDMA data. Dry scans (under RH < 10%) are used to calibrate a possible offset between DMA1 and DMA2 and define the width of the HTDMA's transfer function (Gysel et al., 2009).

Based on the ZSR method, the HGF of a mixture can be estimated from the HGF_{*i*} of the pure components and their respective volume fractions, ε_i (Malm and Kreidenweis, 1997):

$$\text{HGF}_{\text{mixed}} = \left(\sum_i \varepsilon_i \text{HGF}_i^3 \right)^{1/3} \quad (2)$$

Here, we assume that two components including soluble and insoluble fractions consist of aerosols (also refer to Ehn et al., 2007; Swietlicki et al., 1999). The soluble fraction is assumed to be ammonium sulfate. Then, ε of soluble fraction can be calculated by:

$$\varepsilon_{\text{soluble}} = \frac{\text{HGF}_{\text{measured}}^3 - 1}{\text{HGF}_{(\text{NH}_4)_2\text{SO}_4}^3 - 1} \quad (3)$$

where $\text{HGF}_{\text{measured}}$ is the HGF of the particles measured by HTDMA, and $\text{HGF}_{(\text{NH}_4)_2\text{SO}_4}$ is the HGF of pure $(\text{NH}_4)_2\text{SO}_4$ particles with the same size. When calculating $\text{HGF}_{(\text{NH}_4)_2\text{SO}_4}$ in different diameters, the parameterizations for $(\text{NH}_4)_2\text{SO}_4$ water activity developed by Potukuchi and Wexler (1995) and the density reported by Tang and Munkelwitz (1994) are used. The Kelvin term was considered in the calculation.

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2.3 Particle chemical composition

The Aerodyne HR-ToF-AMS (here simply referred to as AMS) (DeCarlo et al., 2006) was operated with a time resolution of 5 min. 0.5 is taken as the AMS collection efficiency. Due to the 600 °C surface temperature of the vaporizer, the AMS can only analyze the non-refractory chemical composition of the particles. Elemental carbon, crustal material, and sea-salt cannot be detected. Therefore, based on the transmission efficiency of the aerodynamic lenses and the detected compounds, the AMS can provide the size-resolved chemical composition of the submicrometer non-refractory aerosol particle fraction (NR-PM₁) (Canagaratna et al., 2007). Applying the method developed by Canagaratna et al. (2015) the high resolution organic particle mass spectra were used to determine the elemental composition and the Oxygen to Carbon atomic ratio (O : C). The vacuum aerodynamic diameter for AMS measurements was converted to the volume equivalent particle diameter by division of AMS vacuum aerodynamic diameter by the estimated particle density (1500 kg m⁻³). Hereafter, the mobility diameter (assuming spherical particles) was used for AMS data below.

AMS-positive matrix factor (PMF) analysis was performed to identify different organic aerosols (OA) factors based on the high resolution mass spectra of organics (Ulbrich et al., 2009). Four OA components were resolved by PMF, including low-volatility oxygenated organic aerosol (LV-OOA), semi-volatile oxygenated OA (SV-OOA), hydrocarbon-like OA (HOA) and cooking OA (COA). LV-OOA and SV-OOA typically represented aged SOA and freshly formed SOA, respectively (Ulbrich et al., 2009). HOA and COA were both anthropogenic primary organic aerosol (POA) components (Huang et al., 2010).

Black carbon (BC) mass concentration in μg m⁻³ is derived from Photoacoustic Extinction (PAX) measurements (Patrick Arnott et al., 1999) equipped with PM₁ cut-off inlet. In this study, PAX measurements were performed at wavelength 532 nm.

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3 Theory

3.1 Hygroscopicity parameter

The hygroscopicity parameter, κ , can be calculated from the hygroscopic growth factor (HGF) measured by HTDMA (Petters and Kreidenweis, 2007):

$$\kappa_{\text{HTDMA}} = (\text{HGF}^3 - 1) \left(\frac{\exp\left(\frac{A}{D_{\text{p,dry}} \cdot \text{HGF}}\right) - 1}{\text{RH}} - 1 \right) \quad (4)$$

$$A = \frac{4\sigma_{\text{s/a}}M_w}{RT\rho_w} \quad (5)$$

Where $D_{\text{p,dry}}$ and HGF are the initial dry particle diameter and the hygroscopic growth factor at 90% RH measured by HTDMA, respectively. $\sigma_{\text{s/a}}$ is the droplet surface tension (assumed to be that of pure water, $\sigma_{\text{s/a}} = 0.0728 \text{ N m}^{-2}$), M_w the molecular weight of water, ρ_w the density of liquid water, R the universal gas constant, and T the absolute temperature.

For a given internal mixture, κ can also be predicted by a simple mixing rule on the basis of chemical volume fractions ε_i (Petters and Kreidenweis, 2007):

$$\kappa_{\text{chem}} = \sum_i \varepsilon_i \kappa_i \quad (6)$$

Here, κ_i and ε_i are the hygroscopicity parameters and volume fraction for the individual (dry) component in the mixture with i the number of components in the mixture. We derive ε_i from the particle chemical composition measured by AMS and PAX. The detailed description of how to calculate volume fraction is given in Sect. 3.2. In the following discussions, κ_{HTDMA} and κ_{chem} denote respectively the values derived from HTDMA and predicted using ZSR mixing rule.

3.2 Hygroscopicity-chemical composition closure

The AMS provides the particle mass size distribution of sulfate (SO_4^{2-}), nitrate (NO_3), and ammonium (NH_4^+) ions as well that of organic compounds. We use a simplified ion pairing scheme as presented in Gysel et al. (2007) to convert the ion mass concentrations to the mass concentrations of their corresponding inorganic salts as listed in Table 1. Unlike inorganic salts, the hygroscopicity of organic aerosols is not well-recognized. In the literature, there are different approaches in representing κ_{org} in the closure studies. Typically, κ_{org} is assumed as a constant value. Chang et al. (2010) represented κ_{org} by using the factors from the PMF analysis to group organics measured by AMS into two components: a non-hygroscopic, unoxxygenated component consisting of the hydrocarbon-like organic aerosol (HOA) factor and a hygroscopic component, consisting of the oxygenated factors LV-OOA, SV-OOA, and biomass burning organic aerosol (BBOA). In our study, organic materials derived from AMS measurements are grouped into two components including secondary organic aerosols (SOA) and primary organic aerosols (POA) based on AMS-PMF analysis. SOA, including LV-OOA and SV-OOA factors, is a more oxygenated organic aerosol, thereby more hygroscopic and has a κ_{SOA} of 0.1, which was calculated from the hygroscopic growth factor of organics at 90 % RH as given in Gysel et al. (2007) using Eq. (4). POA is the unoxxygenated component consisting of the HOA and COA factors and is treated as hydrophobic material with $\kappa_{\text{POA}} = 0$. Then, κ_{org} can be calculated as:

$$\kappa_{\text{org}} = f_{\text{POA}} \cdot \kappa_{\text{POA}} + f_{\text{SOA}} \cdot \kappa_{\text{SOA}} \quad (7)$$

Here, κ_{org} is overall κ for organic aerosols. f_{POA} and f_{SOA} are volume fraction of POA and SOA in total organic aerosols measured by AMS.

The volume fraction of each species was calculated from the particle mass concentration divided by its density as given in Table 1. The densities for inorganic salts are well defined. By summarizing the articles published (Park et al., 2004; McMurry et al., 2002; Kondo et al., 2011; Kiselev et al., 2010), 1700 kg m^{-3} was selected as BC density. The hygroscopicity parameter κ of the hydrophobic black carbon was considered

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ter of organic aerosols are typically lower than 0.1 (Varutbangkul et al., 2006; Virkkula et al., 1999).

During the sampling period, the growth factor probability density function (GF-PDF) shows two distinct modes, which are identified as hydrophobic mode ($GF < 1.2$) and hydrophilic mode ($GF > 1.2$). Figure 2 (right) shows the size-dependency of the fraction of the hydrophilic mode. It can be seen that the hydrophilic mode is more prominent, no matter what particle size is considered. With increasing particle size, the dominance of hydrophilic mode becomes more pronounced. Above 150 nm, the number fraction of hydrophilic mode is around 0.8, and its size-dependency is insignificant. Below 150 nm, the number fraction of hydrophilic mode increases significantly with increasing particle size. The median number fraction of hydrophilic mode for 50 nm particles is 0.6, which is smaller than those of larger particles. Larger particles (here, above 150 nm) constituting a larger fraction of the hydrophilic mode can be explained as such: in the urban area, traffic emissions are major sources for particles below 100 nm. Typically, freshly emitted particles, such as soot, are initially hydrophobic and externally mixed. In contrast, larger particles have undergone atmospheric aging processes during transport (such as coagulation, condensation, chemical reaction) (Pöschl, 2005) for a longer time. These aging processes enhance the particle's water solubility (Pöschl, 2005; Jimenez et al., 2009) and result in more internally mixed particles.

The haze issue caused by high aerosol loadings over the northern plain of China is a major concern, for both air quality and climate effects. Here, the $PM_{2.5}$ mass concentration, a key factor characterizing air pollution, vs. the fraction of the hydrophilic mode is plotted (Fig. 3) to analyze the relationship between particle mixing state and air pollution. There is no obvious dependency between the $PM_{2.5}$ mass concentration and the number fraction of hydrophilic mode for 50 nm particles, which can be expected due to the low mass fraction of ultrafine particles. This is however also true for 150, 250, and 350 nm particles, if $PM_{2.5}$ mass concentration is lower than $50 \mu\text{g m}^{-3}$. The reason for this is that the particle mass concentration is dominated by local sources and less by secondary aerosol particles formed during long-range transport. Conversely, when

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$PM_{2.5}$ mass concentration is larger than $50 \mu\text{g m}^{-3}$, the fraction of the hydrophilic mode is larger than 0.7. With the increasing $PM_{2.5}$ mass concentration, the fraction rises towards to 1, indicating that the aged aerosols are dominant. This means that secondary aerosol particles are dominant during severe particulate pollution episodes, occurring frequently in Beijing. Our results are consistent to recent scientific findings (Guo et al., 2014; Huang et al., 2014), which pointed out that the haze pollution events were mainly attributable to secondary aerosol formation.

4.2 Closure between particle hygroscopicity and chemical components

The AMS-derived particle mass concentrations for different chemical compounds are used to perform a closure study. The particle mass concentrations for individual species are integrated over the size interval of $D_{p,\text{dry}} \pm 50 \text{ nm}$. Here, $D_{p,\text{dry}}$ is the dry particle diameter selected by HTDMA. Considering the limited signal statistics in this narrow size range, AMS data are used to carry out the closure only if the sum of sulfate, nitrate, ammonium, and organics mass concentrations derived from integrating size range of $D_{p,\text{dry}} \pm 5 \text{ nm}$ is greater than $1 \mu\text{g m}^{-3}$.

The BC particle mass concentration within the size range of $D_{p,\text{dry}} \pm 50 \text{ nm}$ was estimated as follows: first, the ratio ($R_{\text{BC}/\text{PM}_1}$) of BC particle mass concentration (derived from PAX) to bulk particle mass concentration (derived from AMS measurement) is calculated, assuming this ratio is independent on the particle size. Afterwards, the BC particle mass concentration in a certain size range, e.g., $150 \pm 50 \text{ nm}$ is estimated by multiplying the mass concentration derived from integrating particle size range of $150 \pm 50 \text{ nm}$ (AMS data) and $R_{\text{BC}/\text{PM}_1}$. One should note that this assumption may give an uncertainty in the closure, because the BC mass concentration has a dependency with particle size (Huang et al., 2006).

The SOA and POA mass fractions within the size range of $D_{p,\text{dry}} \pm 50 \text{ nm}$ are estimated using a similar method as the calculation of BC mass concentration given above. The POA (MF_{POA}) and SOA (MF_{SOA}) mass fractions in total organic aerosols in NR- PM_1 are

Mei et al. (2013) are taken. Due to the discrepancy between the κ s derived from HT-DMA and CCN counter (Irwin et al., 2010), the same dataset may produce a different relationship between O : C ratio and κ_{org} . We should also note that the different aerosol formation ways in different environments could also be an explanation for the different relationship between κ_{org} and O : C.

4.3 Case study: particle hygroscopic behavior during NPF events

As shown in Fig. 1, NPF events frequently took place during the sampling period. In order to understand the effects of NPF on particle hygroscopic behavior, this section will exemplify the evolution of particle hygroscopicity during a NPF event. Figure 6 displays the time series of particle number size distribution and GF-PDF during a NPF event occurred on 5 June 2014. A typical new particle formation event starts at 11 a.m. (local time) on 5 June, and continues to grow until 6 a.m. on 6 June 2014. Meanwhile, the newly formed particles grew to a particle size of approximately 100 nm. In Fig. 6, the GF-PDF and water soluble fraction of 50 and 250 nm particles are shown. The former (50 nm particles) represents the newly formed particles, and the latter (250 nm particles) represents the pre-existing particles.

As displayed in Fig. 6a, the particle formation starts at 9.50 p.m. (local time) and the newly formed particles reach to particle size of 50 nm at 12.30 p.m. Meanwhile, an obvious enhancement in hygroscopicity of 50 nm particles takes place. The fraction of the hydrophilic mode particles increased from 0.5 to 1, showing the conversion of externally to more internally mixed particles. The water soluble fraction of 50 nm new particle is 42 %. This indicates that 42 % of the chemical species contributing new particle growth to 50 nm are water soluble. The water soluble chemical component may mainly be ammonium sulfate formed from neutralization reaction between ammonia and sulfuric acid, which is a well-recognized chemical species contributing to particle formation and growth. The rest is secondary organic compounds, which are typically have low water solubility. Around 8.30 p.m., the fraction of the hydrophilic mode particles dropped to 0.6, and hydrophilic mode appeared again. This is attributed to the intensive traffic

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**Table 1.** Gravimetric densities (ρ) and hygroscopicity parameters (κ) used in this study.

Species	NH ₄ NO ₃	NH ₄ HSO ₄	(NH ₄) ₂ SO ₄	SOA	POA	BC
[kg m ⁻³]	1720	1780	1769	1400	1400	1700
κ	0.58	0.56	0.48	0.1	0	0

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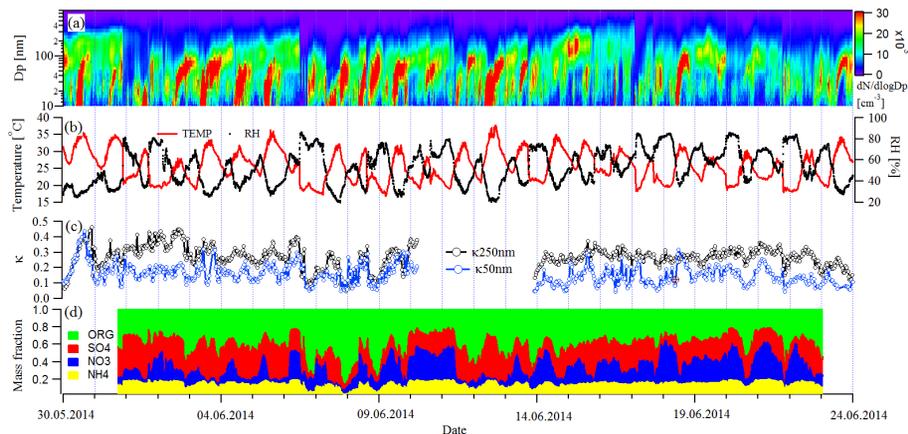


Figure 1. Time series of particle number size distribution, ambient temperature and relative humidity, hygroscopicity parameters, and chemical composition of NR-PM₁ during the measuring period.

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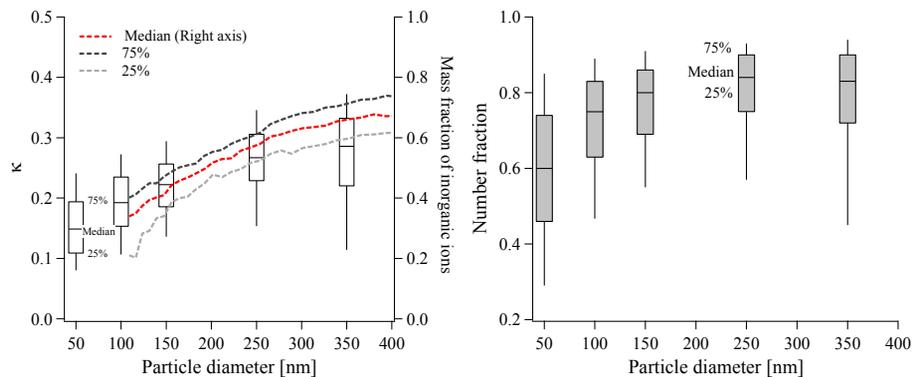


Figure 2. Size-resolved particle hygroscopicity and mass fraction of organics (left) and Size-dependent number fraction of hydrophilic mode (right).

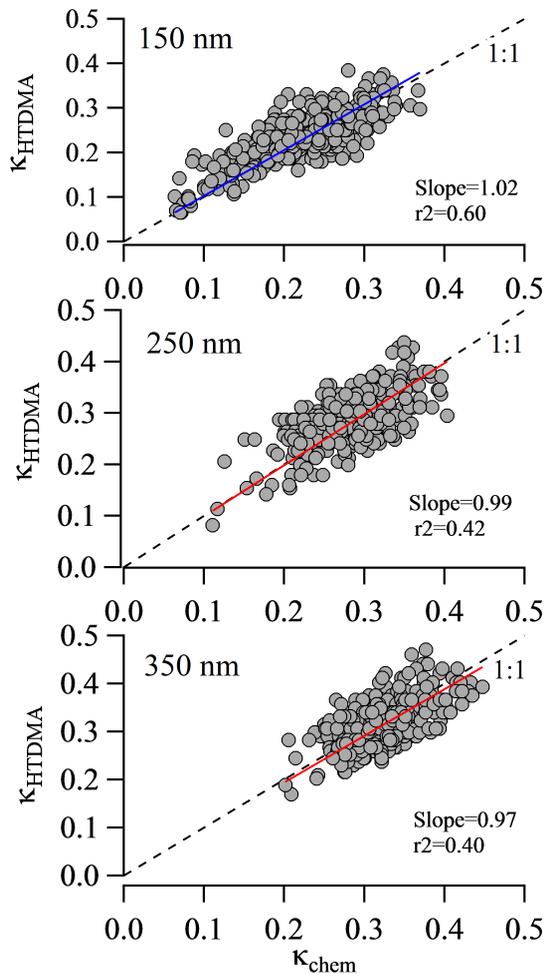


Figure 4. K_{HTDMA} VS. K_{chem} using size-resolved chemical composition data.

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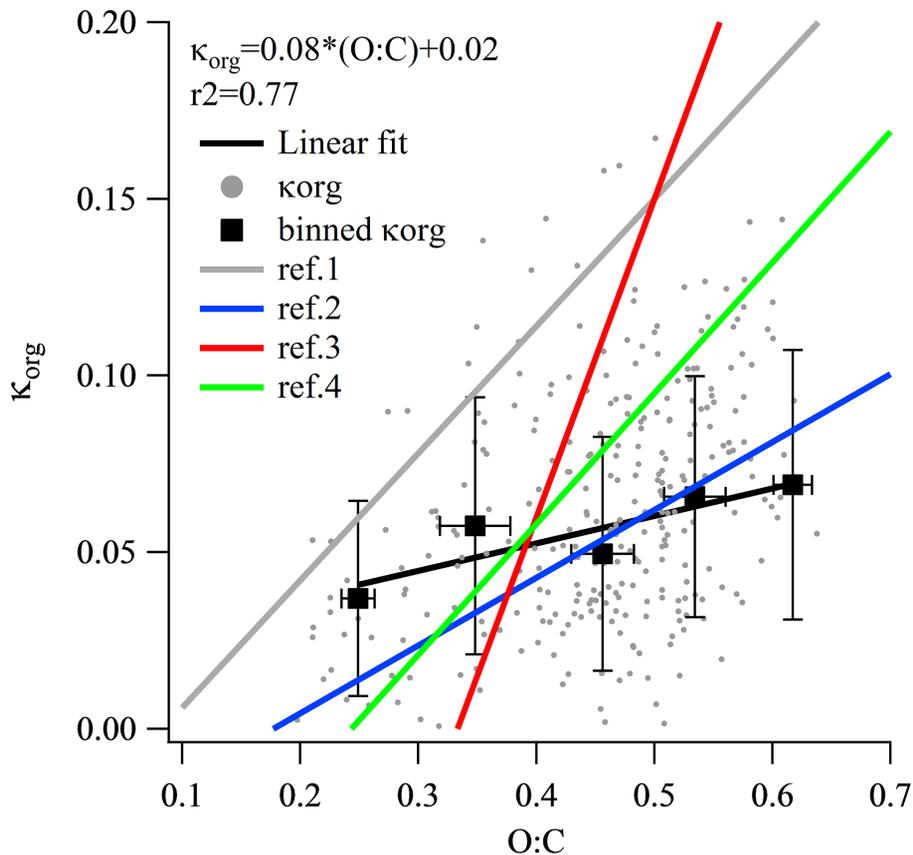


Figure 5. The relationship between organic hygroscopicity parameter (κ_{org}) and oxygen to carbon ratio (O:C). ref.1: Kanpur, India (Bhattu and Tripathi, 2015), ref.2: a forest site, Germany (Wu et al., 2013), ref.3: a rural site in Ontario, Canada, (Chang et al., 2010), ref.4: an urban site in Pasadena, California (Mei et al., 2013).

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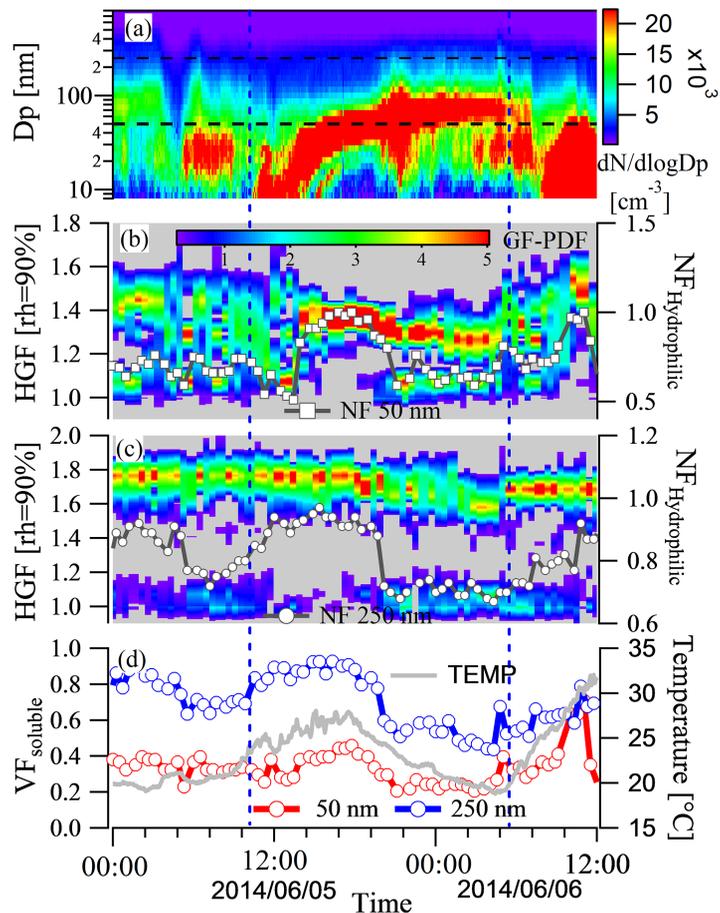


Figure 6. The variation in particle hygroscopicity during new particle formation event. HGF: hygroscopic growth factor, $NF_{\text{Hydrophilic}}$: number fraction of hydrophilic mode particle, VF_{soluble} : water soluble volume fraction.

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