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Particle hygroscopicity and its link to chemical composition in the urban atmosphere of Beijing, China during summertime Z. J. Wu¹, J. Zheng¹, D. J. Shang¹, Z. F. Du¹, Y. S. Wu¹, L. M. Zeng¹, A. Wiedensohler², M. Hu¹

5 [1] State Key Joint Laboratory of Environmental Simulation and Pollution Control, College of

6 Environmental Sciences and Engineering, Peking University, Beijing 100871, China

7 [2] Leibniz Institute for Tropospheric Research, 04318, Leipzig, Germany

8 Corresponding to: Min Hu (<u>minhu@pku.edu.cn</u>) or Zhijun Wu (<u>zhijunwu@pku.edu.cn</u>)

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10 Abstract:

Simultaneous measurements of particle number size distribution, particle 11 hygroscopic properties, and size-resolved chemical composition were made during the 12 summer of 2014 in Beijing, China. During the measurement period, the mean 13 hygroscopicity parameters (xs) of 50, 100, 150, 200, and 250 nm particles were 14 respectively 0.16±0.07, 0.19±0.06, 0.22±0.06, 0.26±0.07, and 0.28±0.10, showing an 15 increasing trend with increasing particle size. Such size-dependency of particle 16 hygroscopicity was similar to that of the inorganic mass fraction in PM₁. The 17 hydrophilic mode (HGF>1.2) was more prominent in growth factor probability 18 density distributions and its dominance of hydrophilic mode became more 19 pronounced with increasing particle size. When PM_{2.5} mass concentration is greater 20 than 50 μ g/m³, the fractions of the hydrophilic mode for 150, 250, and 350 nm 21 22 particles increased towards 1 as PM2.5 mass concentration increased. This indicates that aged particles dominated during severe pollution periods in the atmosphere of 23 Beijing. Particle hygroscopic growth can be well predicted using high time-resolution 24 size-resolved chemical composition derived from AMS measurement using the ZSR 25 mixing rule. The organic hygroscopicity parameter (κ_{org}) showed a positive 26 correlation with oxygen to carbon ratio. During the new particle formation event 27 associating with strongly active photochemistry, the hygroscopic growth factor or κ of 28 newly formed particles is greater than for particle with the same sizes during non-NPF 29 periods. A quick transformation from external mixture to internal mixture for 30 pre-existing particles (for example 250 nm particle) was observed. Such 31

transformations may modify the state of mixture of pre-exiting particles and thus
modify properties such as the light absorption coefficient and cloud condensation
nuclei activation.

4 1 Introduction

Particle hygroscopicity is one of the important parameters controlling direct and 5 indirect climate effects of atmospheric particles (McFiggans et al., 2006;Haywood 6 and Boucher, 2000). Due to water uptake, hydrophilic particles grow significantly in 7 8 size at high relative humidity (RH), which influences the particle light scattering and extinction coefficients, thereby impairing visibility (Sloane and Wolff, 1985). In 9 addition, the water content of atmospheric aerosol particles can serve as a site for 10 heterogeneous nucleation and reactions that perturb local photochemistry 11 (Kreidenweis and Asa-Awuku, 2014). Therefore, a better understanding of 12 hygroscopic behavior of atmospheric aerosol particle is required to further elucidate 13 the physicochemical processes in the atmosphere. 14

The association of the particle chemical composition with their size-dependent 15 16 hygroscopic behavior is rather complex. In order to overcome such complexities, Petters and Kreidenweis (2007) proposed a single hygroscopicity parameter 17 (κ), namely κ -Köhler theory. On the basis of the κ -Köhler theory and 18 Zdanovskii-Stokes-Robinson (ZSR) mixing rule (Stokes Robinson, 19 and 1966;Zdanovskii, 1948), particle hygroscopic growth of a homogeneous chemical 20 mixture can be predicted, knowing hygroscopic growth factors of pure chemical 21 species. Aerosol mass spectrometers (AMS), which have been increasingly deployed 22 in atmospheric aerosol studies, can provide a high time resolution of the size-resolved 23 24 chemical composition of non-refractory particle material (DeCarlo et al., 2006). 25 Therefore, coupled measurements of an AMS and a Hygroscopicity Tandem Differential Mobility Analyzer (H-TDMA) are able to capture highly variable changes 26 in chemical particle composition and hygroscopicity in real time. Some studies 27 highlighted the advantage of using size-selected AMS information over size-averaged 28

information from off-line chemical characterization (Medina et al., 2007;Gunthe et al., 1 2009;Cerully et al., 2011;Wu et al., 2013). 2

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Another key product of AMS measurements is the oxidation level and chemical information of organic aerosols. Compared to inorganic species, which exhibit a 4 well-characterized hygroscopic behavior, knowledge on the influence of the water 5 uptake of the organic aerosols remains limited (Kanakidou et al., 2005;Hallquist et al., 6 2009). The hygroscopicity of organic material varies with its oxidation state (Jimenez 7 8 et al., 2009), which may be highly variable in the real atmosphere, depending on the history of an air mass. Such variation may present a significant challenge when 9 predicting hygroscopicity assuming a constant hygroscopic growth factor of the 10 organic aerosol fraction at a given relative humidity, as has usually been done in 11 closure studies. 12

Currently, some studies have been performed to investigate the relationship 13 between particle hygroscopicity and chemical composition in both field 14 measurements and laboratory experiments (Massoli et al., 2010; Wong et al., 15 2011;Lambe et al., 2011;Rickards et al., 2013;Moore et al., 2012b;Suda et al., 16 2014; Paramonov et al., 2013; Levin et al., 2012; Moore et al., 2012a). These works 17 specially focused on parametrizing the empirical correlations between the atomic 18 Oxygen : Carbon (O:C) ratio and organic hygroscopicity parameter (κ) derived from 19 either hygroscopic growth factor (e.g. Wu et al., 2013; Rickards et al., 2013) or Cloud 20 Condensation Nuclei (CCN) activity (e.g. Mei et al., 2013; Wong et al., 2011; Lambe et 21 al., 2011; Chang et al., 2010). Typically, a linear parametrization of the correlation 22 between κ and O:C was presented. Rickards et al. (2013) recently summarized the 23 24 literature data and pointed out the systematic variability in parametrizations between organic κ and the O:C ratio determined from the different studies remains large. A 25 recent work done by Suda et al. (2014) tested the influence of the number and location 26 of molecular functional groups on the hygroscopicity of organic aerosols and may 27 improve our understanding the mechanisms of organics hygroscopicity. 28

29 Over the past several decades, particle hygroscopicity measurements have been carried out world-wide, using the H-TDMA technique. Atmospheric environments, in 30 3 / 39

which those measurements were performed included marine, Antarctic, boreal forest, 1 rural, and urban areas. Swietlicki et al. (2008) and Kreidenweis and Asa-Awu (2014) 2 compiled the existing observations on particle hygroscopic growth in the literature. 3 Throughout these compilations, measurements of particle hygroscopicity have been 4 rarely performed in China, which is experiencing frequently severe haze pollution 5 episodes. These few particle hygroscopicity measurements using the H-TDMA 6 technique were deployed in Yangtze River Delta (Shanghai (Ye et al., 2013) and 7 8 Hangzhou (Zhang et al., 2011)), Pearl River Delta (Xinken (Cheng et al., 2008) and Hong Kong (Lopez-Yglesias et al., 2014; Yeung et al., 2014)) and North China Plain 9 (Beijing (Massling et al., 2009; Meier et al., 2009), Yufa (Achtert et al., 2009), and 10 Wuqing (Liu et al., 2011)). Unfortunately, most of measurements lack a linkage 11 between particle hygroscopicity and chemical composition with a high time 12 resolution. 13

This study investigated the size-resolved particle hygroscopicity and chemical 14 composition in Beijing, China, during summertime. Our work provided a general 15 16 overview of particle hygroscopic behavior as well as a comparison of the observed hygroscopic particle growth and simulated one using AMS-based chemical particle 17 composition, emphasizing on the organic mass fraction. Additionally, the evolution of 18 particle hygroscopicity during the new particle formation event was investigated to 19 20 understand the effects of strong photochemistry-driven atmospheric oxidation processes on particle hygroscopicity and the mixing state. 21

22 **2 Measurements**

23 **2.1 The Sampling site**

The sampling site is on the campus of Peking University, located in northwest Beijing. The laboratory was equipped with a suit of aerosol instruments sites on the roof of a building (30 m above the ground). The relative humidity (RH) of the sampled air was kept to below 30% using a silica gel dryer and a Nafion dryer in

series. The particle number size distribution, particle hygroscopicity, and aerosol mass 1 spectrometric measurements were concurrently made. Particle number size 2 distributions were measured by SMPS (Long-DMA3081+CPC3775) and Nano-SMPS 3 (Nano-DMA3085+UCPC3776). The sheath flow rates for Nano-SMPS and SMPS 4 were 15 L/min and 3 L/min, respectively. The ratio of the sample flowrate to the 5 sheath air flowrate is 1:10 for both DMAs. By taking 3 L/min in the Long-DMA, the 6 maximum size detected is 736 nm. The multiple charge correction, CPC counting 7 efficiency, and particle loss correction were carried out. Other core instruments will 8 be briefly described below. 9

10 **2.2 Particle hygroscopicity measurements**

The H-TDMA used in this study has been described in detail in previous 11 publications (Wu et al., 2011;Massling et al., 2003), and complied to the instrumental 12 standards and quality assurance prescribed in Massling et al. (2011). The H-TDMA 13 consists of three main parts: (1) A Differential Mobility Analyzer (DMA1) that selects 14 quasi-monodisperse particles, and a Condensation Particle Counter (CPC1) that 15 16 measures the particle number concentration leaving the DMA1 at the selected particle size; (2) An aerosol humidifier conditioning the particles selected by DMA1 to a 17 defined relative humidity (RH); (3) The second DMA (DMA2) coupled with another 18 condensation particle counter (CPC2) to measure the number size distributions of the 19 20 humidified particles. The second DMA and the aerosol humidification were placed in a temperature-controlled box. Hygroscopicity scans with 100 nm ammonium sulfate 21 particles were performed every 3 hours to analyze the stability of the relative 22 humidity of 90% in the second DMA. Hygroscopicity scan with a deviation of more 23 24 than 3% in relative humidity to the set-point of 90% was not considered for further analysis. 25

The hygroscopic growth factor (*HGF*) is defined as the ratio of the particle mobility diameter, Dp(RH), at a given RH to the dry diameter, Dp_{dry} :

28 HGF(RH) =
$$\frac{Dp(RH)}{Dp_{dry}}$$
 [1]
5/39

The TDMA*inv* method developed by Gysel et al. (2009) was used to invert the
 H-TDMA data. Dry scans (under RH<10%) were used to calibrate a possible offset
 between DMA1 and DMA2 and define the width of the H-TDMA's transfer function
 (Gysel et al., 2009).

Based on the ZSR method, the *HGF* of a mixture can be estimated from the *HGFi* of the pure components and their respective volume fractions, ɛi (Malm and
Kreidenweis, 1997):

 $HGF_{mixed} = (\sum_{i} \varepsilon_{i} HGF_{i}^{3})^{1/3}$ [2]

9 Here, we assumed that two components including soluble and insoluble fractions 10 consist of aerosols (also refer to Ehn et al., 2007;Swietlicki et al., 1999). The soluble 11 fraction was assumed to be ammonium sulfate. Then, the water-soluble volume 12 fraction ($\varepsilon_{soluble}$) can be calculated by:

13
$$\varepsilon_{soluble} = \frac{HGF_{measured}^3 - 1}{HGF_{(NH4)_2SO_4}^3 - 1}$$
[3]

where $HGF_{measured}$ is the HGF of the particles measured by H-TDMA, and HGF_{(NH4)2SO4} is the HGF of pure (NH₄)₂SO₄ particles with the same size. When calculating HGF_{(NH4)2SO4} in different diameters, the parameterizations for (NH₄)₂SO₄ water activity developed by Potukuchi and Wexler (1995) and the density reported by Tang and Munkelwitz (1994) were used. The Kelvin term was considered in the calculation. In this study, the hygroscopic growth factors of 50, 100, 150, 250, and 350 nm particles were measured at RH=90%.

21 2.3 Particle chemical composition

The Aerodyne HR-ToF-AMS (here simply referred to as AMS) (DeCarlo et al., 2006) was operated in "mass spectrum" and "particle-time-of-flight" submodes for equal time periods. Due to the 600°C surface temperature of the vaporizer, the AMS can only analyze the non-refractory chemical composition of the particles. Elemental carbon, crustal material, and sea-salt cannot be detected. Therefore, based on the transmission efficiency of the aerodynamic lenses and the detected compounds, the AMS can provide the size-resolved chemical composition of the submicrometer 6/39

non-refractory aerosol particle fraction (NR-PM₁) (Canagaratna et al., 2007). 1 Applying the method developed by Canagarantna et al. (2015) the high resolution 2 organic particle mass spectra were used to determine the elemental composition and 3 the Oxygen to Carbon atomic ratio (O:C). The vacuum aerodynamic diameter for 4 AMS measurements was converted to the particle mobility diameter by division of 5 AMS vacuum aerodynamic diameter by the estimated particle density. Here, Particle 6 density was estimated by comparing mass-diameter distributions derived from the 7 AMS measurements and the particle volume distributions calculated from the SMPS 8 measurements, which is used in Kostenidou (2007) and Chen (2012). Here, we 9 assume that the particles being detected with a shape factor of 1 are spherical. The 10 particle volume size distribution is calculated from particle number size distribution. 11 The optimized particle effective density is 1520 kg/m³. Hereafter, the mobility 12 diameter (assuming spherical particles) was used for AMS data below. 13

AMS-positive matrix factor (PMF) analysis was performed to identify different 14 organic aerosols (OA) factors on the basis of the high resolution mass spectra of 15 organics (Ulbrich et al., 2009). Four OA components were resolved by PMF, 16 including low-volatility oxygenated organic aerosol (LV-OOA), semi-volatile 17 oxygenated OA (SV-OOA), hydrocarbon-like OA (HOA) and cooking OA (COA). 18 LV-OOA and SV-OOA typically represented aged SOA and freshly formed SOA, 19 respectively (Ulbrich et al., 2009). HOA and COA were both anthropogenic primary 20 organic aerosol (POA) components (Lanz et al., 2007). 21

Black carbon (BC) mass concentration in μ g/m³ is derived from Photoacoustic Extinctiometer (PAX) measurements (DMT Company) (Arnott et al., 1999) equipped with PM₁ cut-off inlet. In this study, PAX measurements were performed at wavelength 532 nm. Before carrying out the PAX measurements, the device was calibrated carefully using lab-generated monodisperse PSL particles and poly-disperse propane soot particles. The calibration curves were used to correct the measured scattering and absorption coefficients.

2.4 Meteorological parameters

Additionally, a weather station (Met One Instruments Inc.) provided the meteorological parameters. The wind speed, wind direction, ambient temperature, and relative humidity (RH) were detected.

Air mass backward trajectories arriving at the sampling site were calculated 5 using the NOAA "HYSPLIT-4" (Hybrid Single-Particle Lagrangian Integrated 6 7 Trajectory) model (Draxler and Hess, 1998). The 48 h trajectories terminated on a height of 200 m above the ground at 00:00, 06:00, 12:00 and 18:00 local time 8 (UTC+08). In total, 100 air mass backward trajectories were grouped by assigning to 9 10 five clusters using a k-means clustering algorithm. The number of clusters was identified according to the changes of total spatial variance (TSV) (cf. HYSPLIT4 11 user's guide). Five was chosen as the final number of clusters considering optimum 12 separation of trajectories (larger number of clusters) and simplicity of display (lower 13 number of cluster). 14

15 **3 Theory**

16 **3.1 Hygroscopicity parameter**

The hygroscopicity parameter, κ, can be calculated from the hygroscopic growth
factor (*HGF*) measured by H-TDMA (Petters and Kreidenweis, 2007):

19
$$\kappa_{HTDMA} = (HGF^3 - 1)\left(\frac{exp\left(\frac{A}{D_{P_{dry}} \cdot HGF}\right)}{RH} - 1\right)$$
 [4]

$$20 \qquad A = \frac{4\sigma_{s/a}M_w}{RT\rho_w}$$
[5]

Where D_{Pdry} and *HGF* are the initial dry particle diameter and the hygroscopic growth factor at 90% RH measured by H-TDMA, respectively. $\sigma_{s/a}$ is the droplet surface tension (assumed to be that of pure water, $\sigma_{s/a} = 0.0728$ N m⁻²), M_w the molecular weight of water, ρ_w the density of liquid water, R the universal gas constant, and T the 1 absolute temperature.

For a given internal mixture, κ can also be predicted by a simple mixing rule on
the basis of chemical volume fractions ε_i (Petters and Kreidenweis, 2007):

4
$$\kappa_{chem} = \sum_i \varepsilon_i \kappa_i$$
 [6]

5 Here, κ_i and ε_i are the hygroscopicity parameters and volume fraction for the 6 individual (dry) component in the mixture with *i* the number of components in the 7 mixture. We derived ε_i from the particle chemical composition measured by AMS and 8 PAX. The detailed description of how to calculate volume fraction is given in section 9 3.2. In the following discussions, κ_{HTDMA} and κ_{chem} denote respectively the values 10 derived from H-TDMA and predicted using the ZSR mixing rule.

3.2 Hygroscopicity-chemical composition closure

The AMS provided the particle mass size distribution of sulfate (SO₄²⁻), nitrate 12 (NO_3) , and ammonium (NH_4) ions as well that of organic compounds. We used a 13 simplified ion pairing scheme as presented in Gysel et al. (2007) to convert the ion 14 mass concentrations to the mass concentrations of their corresponding inorganic salts 15 16 as listed in Table 1. Unlike inorganic salts, the hygroscopicity of organic aerosols is not well-recognized. In the literature, there were different approaches in representing 17 κ_{org} in the closure studies. Typically, κ_{org} is assumed as a constant value. Chang et al., 18 (2010) represented κ_{org} by using the factors from the PMF analysis to group organics 19 20 measured by AMS into two components: a non-hygroscopic, unoxygenated component consisting of the hydrocarbon-like organic aerosol (HOA) factor and a 21 hygroscopic component, consisting of the oxygenated factors LV-OOA, SV-OOA, and 22 biomass burning organic aerosol (BBOA). In our study, organic materials derived 23 24 from AMS measurements were grouped into two components including secondary organic aerosols (SOA) and primary organic aerosols (POA) based on AMS-PMF 25 analysis. SOA, including LV-OOA and SV-OOA factors, is a more oxygenated 26 organic aerosol, thereby more hygroscopic and has a κ_{SOA} of 0.1, which was 27 calculated from the hygroscopic growth factor of organics at RH=90% as given in 28

Gysel et al. (2007) using Eq. (4) in section 3.1. The κ s, which range from 0.06 up to 1 0.2, with a bin width of 0.02 were selected for testing the closure in our study. By 2 taking $\kappa_{SOA}=0.1$, the slope of linear curve fitting is closest to 1. Therefore, $\kappa_{SOA}=0.1$ 3 was chosen to perform the closure between κ_{HTDMA} and κ_{chem} . One should note that 4 kappa of SOA may varied with its oxidation state (Jimenez et al., 2009). The usage of 5 a constant kappa value may introduce uncertainty in the closure of particle 6 hygroscopicity and chemical composition. POA is the unoxygenated component 7 8 consisting of the HOA and COA factors and is treated as hydrophobic material with $\kappa_{POA} = 0$. Then, κ_{org} can be calculated as: 9

[7]

10

$$k_{org} = f_{POA} * k_{POA} + f_{SOA} * k_{SOA}$$

Here, κ_{org} is overall κ for organic aerosols. f_{POA} and f_{SOA} are volume fraction of 11 POA and SOA in total organic aerosols measured by AMS. One should note that Sun 12 et al. (2012b) found that the contributions of POA and SOA to OA showed a 13 size-dependency in an urban environment. The relative contribution of POA to OA 14 significantly increased with decreasing particle sizes. In this study, the closure studies 15 16 were performed for particles with the mobility diameters of 150, 250, and 350 nm (larger than 200 nm in vacuum aerodynamic diameter). Using the relative contribution 17 of POA to OA in PM₁ tended to overestimate percentage of POA for the size range 18 focused in this study, thus underestimate the κ . In our case, the POA/OA and SOA/OA 19 were respectively 0.39 and 0.61. According to equation [7], the κ_{org} can be calculated 20 as 0.06 assuming κ_{SOA} =0.1. On the basis of Sun et al.'s study, the POA/OAs for 150, 21 250, and 350 nm particles were 0.30, 0.23, and 0.19, respectively. Using these ratios 22 and equation [7], the calculated $\kappa_{org}s$ were 0.07, 0.08, and 0.08, respectively, which 23 were slightly higher than the one (κ_{org} =0.06) in our case. This minor difference can be 24 negligible. 25

The volume fraction of each species was calculated from the particle mass concentration divided by its density as given in Table 1. The densities for inorganic salts were well defined. By summarizing the articles published (Park et al., 2004;McMurry et al., 2002;Kondo et al., 2011;Kiselev et al., 2010), 1700 kg/m³ was selected as BC density. The hygroscopicity parameter κ of the hydrophobic black 10/39 carbon was considered to be zero. The density of SOA was taken to be 1400 kg/m³ (Gysel et al., 2007;Alfarra et al., 2006;Dinar et al., 2006). The density for POA is chosen as 1000 kg/m³, since POA is thought to be similar to lubricating oil. The $\kappa_{\rm HTDMA}$ values for the individual compounds listed in the Table 1 were calculated from the hygroscopic growth factor at 90% RH as given in Gysel et al. (2007) using equation [4] in the section 3.1.

7 One should note that mineral dust (e.g. Shao et al., 2007) and sea salt (Clarke et al., 2006) can extend into the submicron range. Due to sea salt and dust particles 8 cannot be detected by AMS, neglecting dust and sea salt may introduce bias in the 9 closure between particle hygroscopicity and chemical composition. Neglecting Sea 10 salt particles with a high hygroscopicity growth (κ_{NaCl} =1.12 at 90% (Petters and 11 Kreidenweis, 2007)) may cause an underestimation of particle hygroscopicity. 12 Inversely, neglecting mineral dust with less hygroscopicity (mineral dust κ = 13 0.01~0.08 (Koehler et al., 2009)) may cause an overestimation of particle 14 hygroscopicity. The statistical analyses on the basis of a long-term observation 15 16 showed that the dust storm events typically take place in the spring in Beijing (Wu et al., 2009). In this study, the measurements were carried out during summertime. 17 Meanwhile, no dust storm events were observed. The sea salt is not a major source of 18 atmospheric particles (e.g. Song et al., 2007) because Beijing is not a coastal city. 19 Therefore, the uncertainties in hygroscopicity-chemical composition closure caused 20 by neglecting mineral dust and sea salt might be ignored. 21

22 **4 Results and discussion**

4.1 Meteorological condition during the sampling period

Fig. 1 showed the mean air mass backward trajectories for five clusters arriving at the sampling site from May 31 to June 24, 2014. The mean backward trajectories in five clusters showed the significant differences in direction and length. The air masses from the east (45%) and the south (26%) were the dominate trajectories. The short-length air mass backward trajectories in cluster 1 and 2 indicated that air parcels moved slowly and spent much more time over the industrialized regions south and east of Beijing. As a result, the southerly and easterly air masses may be heavily polluted once they arrived at Beijing (Wehner et al., 2008). Cluster 3 spent much more time over the sea and may be associated with humid air masses. Northerly (8%) and north-westerly (10%) air masses, as represented by clusters 4–5, typically lead to the advection of dry and continental air into the Beijing area.

8 Fig. 2 displays the time series of wind speed, wind direction, ambient temperature, and RH during the sampling period. There was a clear diurnal cycle for all 9 meteorological parameters. During nighttime, the wind speed was usually very low 10 (around 1 m/s) and started to increase around noon on each day. The nighttime static 11 wind may lead to very poor dilution with clean air and dispersion of pollutants and 12 result in the local emissions were trapped in the urban atmosphere. The ambient 13 temperature usually was above 30 °C during daytime and around 20 °C during 14 nighttime. The average temperature and RH were respectively 24±7 °C and 45±20%. 15 16 It rained several times during the measuring period, as indicated in the Fig. 2 (a). The heaviest wet deposition occurred on 17, June. The wet deposition obviously removed 17 the atmospheric particles, as can be seen from the particle number size distribution 18 shown in Fig. 3 (a). 19

In summer, the new particle formation and traffic emissions are the major sources of ultrafine particles in the atmosphere of Beijing (Wu et al., 2008;Wu et al., 2007). In addition, air masses across the industrialized regions in the south and east typically bring the high concentrations of accumulation mode particles to urban areas of Beijing (Wehner et al., 2008).

4.2 Overview of particle hygroscopic growth and the mixing state

Fig. 3 provides an overview of the particle number size distribution (a), hygroscopicity parameters (κ) (b), and chemical composition of PM₁ (c) during the entire field campaign. The trajectory clusters were marked as black circles in the Fig.

3 (a). As shown in the Fig. 3 (a), new particle formation (NPF) events were observed 1 frequently. During the sampling period, the inorganic species and SOA were 2 dominated in NR-PM₁ when air masses came from south and east of Beijing 3 (trajectory cluster 1 and 2 as indicated by black circles in Fig.3 (a)). SOA was usually 4 dominated in the organic compounds during the sampling period. Differently, the 5 POA was a major fraction on June 7, 8, and 9, on which the BC mass fraction 6 increased too. During this time period, the northerly air masses (trajectory cluster 5 7 8 marked in Fig. 3 (a)) arriving at the measurement site may be influenced by the wheat straw burning, which usually takes place from late May to early June over North 9 China Plain. Several previous studies showed that wheat straw burning significantly 10 contributes to degradation of air quality in Beijing during the harvest season in the 11 summer (Li et al., 2008;Zheng et al., 2005). 12

Fig. 3 (b) displays the time series of hygroscopicity parameters for 50 nm (κ_{50nm}) 13 and 250 nm (κ_{250nm}) particles. Both κ_{50nm} and κ_{250nm} had an obvious temporal 14 variability. Their variations were similar to that of inorganic mass fraction in PM₁ 15 16 displayed in Fig. 3 (c). An in-depth analysis of the relationship between particle hygroscopicity and chemical composition will be given in section 4.3. Fig. 4 gives an 17 overview of growth factor probability density distributions (GF-PDF) for 50 and 250 18 nm particles during the entire field campaign. The GF-PDFs of both 50 and 250 nm 19 showed two distinct modes, which are identified as hydrophobic mode (GF<1.2) and 20 hydrophilic mode (GF>1.2). This implied that the particles were usually externally 21 mixed. The hydrophilic mode of 250 nm particles is more prominent most of the time. 22 Differently, the hydrophobic mode was dominated in 50 nm particles. As marked in 23 the Fig. 4 (a) by the square with dashed line, the hydrophobic mode disappeared 24 occasionally, indicating that the vast majority of particles in this size range can be 25 fully hygroscopic. This phenomenon took place during the NPF events. A case study 26 of particle hygroscopic behavior during the NPF event will be given in section 4.4. 27

Fig. 5 (left) shows the size-dependent particle hygroscopicity parameters and inorganic mass fraction of NR-PM₁ derived from averaging over the entire measuring period. The particle hygroscopicity increased with increasing particle size, displaying 13/39

the same size-dependency with the mass fraction of inorganic composition in 1 NR-PM₁. This is because inorganics including ammonium sulfate and ammonium 2 nitrate are major water-soluble chemical compounds in the atmospheric particles. 3 Compared to inorganic components, the hygroscopicity parameter of organic aerosols 4 are typically lower than 0.1 in the ambient atmosphere (Varutbangkul et al., 5 2006; Virkkula et al., 1999). The similar size-dependency of particle hygroscopicity 6 was observed in various environments. For examples, Levin et al. (2012;2014) and 7 8 Paramonov et al. (2013) reported that particle hygroscopicity increased with particle size at a forested site in Colorado and a boreal environment of southern Finland at the 9 SMEAR station, respectively. Jurányi et al (2013) observed that particle hygroscopic 10 growth increased with increasing dry diameter in the urban areas of Paris. Swietlicki 11 et al. (2008) compiled worldwide H-TDMA data and found that the particle 12 hgyroscopicity showed a pronounced size-dependency, with hygroscopicity increasing 13 with particle diameter. 14

Fig. 5 (right) shows the size-dependency of the fraction of the hydrophilic mode. 15 16 It can be seen that the hydrophilic mode was more prominent, no matter what particle size was considered. With increasing particle size, the dominance of hydrophilic mode 17 became more pronounced. Above 150 nm, the number fraction of hydrophilic mode 18 was around 0.8, and its size-dependency was insignificant. Below 150 nm, the number 19 fraction of hydrophilic mode increases significantly with increasing particle size. The 20 median number fraction of hydrophilic mode for 50 nm particles was 0.6, which was 21 smaller than those of larger particles. Fors et al. (2011) also reported that smaller 22 particles had a higher fraction of less hygroscopic particles in southern Sweden. 23 Larger particles (here, above 150 nm) constituting a larger fraction of the hydrophilic 24 mode can be explained as such: In the urban area, traffic emissions are major sources 25 for particles below 100 nm. Typically, freshly emitted particles, such as soot, are 26 initially hydrophobic and externally mixed. In contrast, larger particles have 27 undergone atmospheric aging processes during transport (such as coagulation, 28 29 condensation, chemical reaction) (Pöschl, 2005) for a longer time. These aging processes enhance the particle's water solubility (Pöschl, 2005; Jimenez et al., 2009) 30 14 / 39

1 and result in more internally mixed particles.

Over the entire study, the mean ks of 50, 100, 150, 250, and 350 nm particles were 2 0.16±0.07, 0.19±0.06, 0.22±0.06, 0.26±0.07, and 0.28±0.10, respectively. These 3 values were similar to the hygroscopicity parameter $\kappa = 0.12-0.27$ (measured at 4 RH=90%) for 35-265 nm determined in the urban atmosphere of Paris (Jurányi et al., 5 2013). Yeung et al. (2014) observed that hygroscopicity κ s of particles with sizes of 6 75, 100, 150, and 200 nm were respectively 0.28, 0.29, 0.26, and 0.27 when Hong 7 8 Kong experienced a continental airstream. In their study, the particle hygroscopicity showed no obvious size-dependency and was higher than our observation in Beijing. 9 In contrast, κ s measured were relatively low at a forested site in Colorado (κ = 10 0.16 \pm 0.08 detected by CCNc), a boreal forest in Finland (κ = 0.18 at RH=90%) (Sihto 11 et al., 2011), and a tropical forest site in the Amazon ($\kappa = 0.16 \pm 0.06$ detected by 12 CCNc) (Gunthe et al., 2009). At these forested locations, organic species were 13 predominance in particles. Differently, in the atmosphere of Beijing, particles were 14 dominated by the inorganic fraction, as shown in the Fig.3 (c). 15

16 The haze issue caused by high aerosol loadings over the northern plain of China is a major concern, for both air quality and climate effects. Here, the $PM_{2.5}$ mass 17 concentration which measured by TEOM[@] Monitor (Series 1400ab), a key factor 18 characterizing air pollution, vs. the number fraction of the hydrophilic mode is plotted 19 (Fig. 6) to analyze the relationship between the particle mixing state and air pollution. 20 There was no obvious dependency between the PM_{2.5} mass concentration and the 21 number fraction of hydrophilic mode for 50 nm particles, which can be expected due 22 to the low mass fraction of ultrafine particles. This was however also true for 150, 250, 23 and 350 nm particles, if $PM_{2.5}$ mass concentration is lower than 50 μ g/m³. The reason 24 for this is that the particle mass concentration is dominated by local sources and less 25 26 by secondary aerosol particles formed during long-range transport. Conversely, when $PM_{2.5}$ mass concentration was larger than 50 μ g/m³, the fraction of the hydrophilic 27 mode was larger than 0.7. With the increasing $PM_{2.5}$ mass concentration, the fraction 28 29 rose towards to 1, indicating that the aged aerosols were dominant. This means that secondary aerosol particles were dominant during severe particulate pollution 30 15 / 39

episodes, occurring frequently in Beijing. Our results were consistent to recent 1 scientific findings (Guo et al., 2014;Huang et al., 2014), which pointed out that the 2 haze pollution events were mainly attributable to secondary aerosol formation. As an 3 example, the number fraction of the hydrophilic mode was colored by the inorganic 4 $(SO_4^{2-}+NO_3^{-}+NH_4^{+})$ mass fraction in PM1 in the Fig. 6. Clearly, the inorganic mass 5 fraction spanned from 0.1 up to 0.8 associating with an increasing number fraction of 6 hydrophilic mode. When $PM_{2.5}$ mass concentration is larger than 50 $\mu g/m^3,$ the 7 inorganic mass fraction ranged from 0.5 to 0.7. This indicates that both inorganic and 8 organic species played key roles in leading to the particle aging. The contribution of 9 inorganic material is slightly higher than that of organic compounds. 10

11 4.3 Closure between particle hygroscopicity and chemical components

The AMS-derived particle mass concentrations for different chemical compounds 12 were used to perform a closure study with hygroscopicity of 150, 250 and 350 nm 13 particles. The particle mass concentrations for individual species were integrated over 14 the size interval of $D_{Pdry}\pm50$ nm. Here, D_{Pdry} is the dry particle diameter selected by 15 16 H-TDMA. Considering the limited signal statistics in this narrow size range, AMS data were used to carry out the closure only if the sum of sulfate, nitrate, ammonium, 17 and organics mass concentrations derived from integrating size range of $D_{Pdry}\pm50$ nm 18 was greater than $1 \,\mu g/m^3$. 19

The BC particle mass concentration within the size range of $D_{Pdry} \pm 50 \text{ nm}$ was 20 estimated as follows: First, the ratio (R_{BC/PM1}) of BC particle mass concentration 21 (derived from PAX) to bulk particle mass concentration (derived from AMS 22 23 measurement) was calculated, assuming this ratio was independent on the particle size. 24 Afterwards, the BC particle mass concentration in a certain size range, e.g., 150±50 nm was estimated by multiplying the mass concentration derived from integrating 25 particle size range of 150±50 nm (AMS data) and R_{BC/PM1}. One should note that this 26 assumption may give an uncertainty in the closure, because the BC mass 27 concentration has a dependency with particle size (Huang et al., 2006). Sun et al. 28

(2012a) reported that the average mass size distribution of BC had one mode peaking 1 at a volume-equivalent diameter of 207 nm. The sizes of 150, 250, 350 nm covered 2 the peak of BC mass size distribution. As a result, the BC mass concentration for 3 particles in diameter of 150, 250, and 350 nm should be higher than that estimated 4 with the assumption of uniformly distributed BC across the whole particle size range. 5 We should note that Sun et al. (2012a)'s measurements were performed in the summer 6 of 2012. The soot size distribution in 2012 might be different from our measurements. 7 8 Considering that the weather condition and source emissions during summertime are typically similar in different years in Beijing, the BC size distributions measured in 9 2012 are considered as be representative. 10

The SOA and POA mass fractions within the size range of $D_{Pdry} \ \pm 50 \ \text{nm}$ were 11 estimated using a similar method as the calculation of BC mass concentration given 12 above. The POA (MFPOA) and SOA (MFSOA) mass fractions in total organic aerosols 13 in NR-PM1 are calculated on a basis of the AMS-PMF analysis results. Assuming 14 MF_{POA} and MF_{SOA} were independent of the particle diameter, the POA or SOA mass 15 16 concentration in the size range D_{Pdry}±50 nm can be calculated by multiplying the organic mass concentration derived from integrating particle size range of 150±50 nm 17 (AMS data) and MF_{POA} or MF_{SOA} . Fig. 7 shows the scattering plots of κ_{chem} calculated 18 19 from the size-resolved chemical composition against κ_{HTDMA} . The fitted slopes for 150, 250, and 350 nm particles were 1.01, 102, and 0.97, respectively, which is close 20 to unit. The root mean square errors (RMSE) of these linear fits were 0.04. This 21 indicates that the measured κ can be well predicted on a basis of AMS data and the 22 ZSR mixing rule. While, one should note that the assumption of BC mass size 23 distribution and κ_{org} value in the closure as well as the measurement uncertainties for 24 both H-TDMA and AMS could introduce the biases in the closure. This may lead to a 25 scatter of data point around the fitting line. 26

Assuming the inorganic fraction was fully explained by the ZSR mixing rule, κ_{org}
can be calculated by subtracting κ of inorganic fraction and BC from κ_{HTDMA}.
Here, κ_{org} for 150 nm particles was calculated because it provided a better closure
result and higher organic fraction in contrast to other particle sizes. Duplissy et al. 17/39

(2011) pointed out that the uncertainty in the estimation of κ_{org} decreases with 1 increasing organic fraction, thereby, only data featuring organic fractions larger than 2 3 50% were used in this calculation. In addition, the evaporation of NH₄NO₃ could occur in the DMAs and the humidification section. This leads to a positive prediction 4 bias because the volatile NH₄NO₃, which is fully detected by AMS, can evaporate in 5 the H-TDMA system (Gysel et al., 2007). Here, only data with NH₄NO₃ volume 6 fraction below 20% were considered in order to reduce the evaporation artifact of 7 NH4NO3. Restricting data to times when ammonium nitrate is below 20% and 8 organics were greater than 50% may lead to a bias in data points between daytime and 9 nighttime. The statistics showed that nighttime data points (204 data points) were 10 more than those during daytime (160 data points). This is because that the organic 11 mass fraction during nighttime was higher than that during daytime. This bias could 12 13 make the fit between κ_{org} and O:C ratio more representative for nighttime situation than daytime. 14

15 Fig. 8 shows κ_{org} as a function of O:C ratio. With regards to the scattering point, κ_{org} was not correlated to the O:C ratio. Several previous studies reported the similar 16 plots of κ_{org} values as a function of O:C ratios (Chang et al., 2010;Bhattu and Tripathi, 17 2015; Rickards et al., 2013). In order to derive an empirical relationship between κ_{org} 18 19 and O:C ratios, κ_{org} values were usually binned by O:C in increments of 0.1. As displayed in Fig. 8, a linear fitting function ($\kappa_{org} = (0.08 \pm 0.02) \times O(C + (0.02 \pm 0.01))$) was 20 obtained. Some empirical functions reported by other previous studies were also 21 shown in Fig.8. In these previous studies (Wu et al., 2013; Jimenez et al., 22 23 2009;Rickards et al., 2013;Duplissy et al., 2011), the κ_{org} were derived from the 24 measurements performed in the sub-saturation regime. In Massoli et al.' study (2010) (not shown in the Fig. 8 due to the linear fitting using HGF, not κ_{org}), they reported a 25 linear relationship (HGF_{90%} = (0.58 ± 0.15) *O:C + (0.85 ± 0.08)) between HGF_{90%} and 26 27 O:C for the laboratory-generated SOA particles. Both results displayed in Fig. 8 and 28 Massoli's study showed a positive correlation between κ_{org} and O:C. Such positive 29 correlation was also reported by those studies based on CCNc measurements, for examples, Chang et al. (2010) and Mei et al. (2013). We note that the slopes of the 30 18 / 39

linear fitting varied with different studies, indicating there was no a simple
 parametrization to describe the relationship between organic hygroscopicity and its
 oxidation state though the various atmospheric environments.

In order to gain insight into the similarities of OA measured in Beijing and other 4 environments, the average elemental composition, which is an approach to simply 5 describe organic aerosol (OA) chemistry (Kroll et al., 2011) is analyzed, here. The 6 O:C vs H:C ratios of OA (Van Krevelen (V-K) diagram) can be used to characterize 7 both the source profiles and the atmospheric evolution of OA (Heald et al., 2010;Ng et 8 al., 2011). Fig. 9 displays the V-K diagram for the O:C and H:C ratios during the 9 entire sampling period in our study. The O:C vs H:C ratios can be fitted by a line with 10 a slope of -0.63 and an intercept of 1.95 by the reduced-major-axis (RMA) regression 11 method. V-K diagram for OA summarized by Chen et al (2015) is also given in Fig.9 12 in order to make comparisons between OA measured in Beijing and in other 13 atmospheric environments. Chen et al (2015) found ambient organic aerosols line up 14 in the V-K space along a line with a slope of -0.6 by synthesizing a large dataset of 15 surface field observations covering urban, rural and remote environments. The 16 trajectory of our work agrees well to this general trend, as shown in Fig. 9. It implies 17 that the atmospheric aging of OA in Beijing has great similarities to the results 18 measured in other locations. 19

The grey lines in the Fig.9 display a conceptual V-K diagram, illustrating how 20 reactions involving the addition of functional groups fall along straight lines proposed 21 by Heald et al. (2010). A slope of -1 is produced by the simultaneous addition of both 22 functional groups, forming a hydroxycarbonyl or carboxylic acid. The horizontal line 23 24 (slope=0) means the replacement of a hydrogen with an alcohol group (-OH) involves an increase in oxygen but no change in hydrogen (Heald et al., 2010). The 25 slope for O:C vs H:C ratios in our study falls into the space between lines with a slope 26 of -1 and 0. This indicates that the addition both alcohol and carboxylic function 27 28 could lead to the enhancement of O:C ratio. However, these reactions could result in 29 different particle hygroscopic behaviors. This could be one of reasons to explain that O:C does not encompass changes in detailed chemical composition that are 30 19 / 39

responsible for changes in hygroscopicity. Recently, Richards et al. (2013) had undertaken an extensive review of κ values published in the literature and showed that κ_{org} vs. O:C plot has a large degree of scatter. This indicates that other factors, such as phase state (Pajunoja et al., 2015) and molecular structures (Suda et al., 2014) of organic aerosols (OA) other than oxidation state may also play a role in the determination of the OA hygroscopicity.

7 4.4 Case study: particle hygroscopicity during a NPF event

8 As shown in Fig. 3 (a), the NPF events frequently took place during the sampling period. In order to understand the effects of NPF on particle hygroscopic behavior, 9 this section will exemplify the evolution of particle hygroscopicity during a NPF 10 11 event. As an example, Fig. 10 displays the time series of particle number size distribution, GF-PDFs and water soluble fraction of 50 and 250 nm particles, and 12 chemical composition of PM1 during a NPF event occurred on 5th June, 2014. Here, 13 the particles with 50 nm in diameter represented the newly formed particles, and 14 particles with 250 nm in diameter represented the pre-existing particles. 15

16 The NPF event started at around 10:30 am and ended at 5:30 am the next day. After the starting of new particle formation, the number fraction of the hydrophilic 17 mode for 50 nm particles increased from 0.5 to around 1, showing the conversion of 18 externally to more internally mixed particles, as marked by the black dashed lines in 19 Fig. 9 (b). Around 8:30 pm, the fraction of the hydrophilic mode particles dropped to 20 0.6, and the hydrophobic mode appeared again. This is attributed to the intensive 21 traffic emissions at the time of rush hour, which can clearly be seen from the particle 22 number size distribution. During nighttime, the growth factor of hydrophilic mode 23 24 particles decreased. This can be explained by sulfuric acid condensation playing a 25 minor role in particle growth during nighttime. Simultaneously, ambient temperature decreased from 27 to 20 °C. Lower temperature facilitated the condensation of 26 semi-volatile organic vapors onto the newly formed particles. The chemical 27 composition of PM1 also (Fig. 9 (d)) showed that the inorganic species and SOA were 28

dominated before 8:30 pm, while mass fraction of organic compounds, especially
 POA increased significantly afterwards.

Clearly, an obvious enhancement in the water soluble fraction of 50 nm particles 3 took place after the NPF event started. Similar phenomenon was also observed by 4 Shantz et al. (2012), which showed that the 36 nm particles became increasingly CCN 5 active within 1-4 h after the nucleation during the NPF events. They hypothesized that 6 the condensation of sulphate on these small particles enhanced their CCN activity. 7 The water soluble fraction accounted for 42% in 50 nm newly formed particles. The 8 water soluble fraction was most likely ammonium sulfate formed from neutralization 9 reaction between ammonia and sulfuric acid. In contrast, the newly formed particles 10 consisted a minor fraction of water soluble fraction (16%) in Hyytiälä, Finland (Ehn 11 et al., 2007). Other observations in the clean atmospheric environments (relative to 12 Beijing), such as a forested site in Colorado (Levin et al., 2012), Mace Head, Ireland 13 (Väkevä et al., 2002), Melpitz, Germany (Wu et al., 2015) also showed that low water 14 soluble compounds, most likely secondary organic species mainly contributed to new 15 particle growth. Differently, in urban Atlanta (Sakurai et al., 2005), the water soluble 16 fraction was dominated in the newly formed particles, which was similar to our 17 observation in this study. 18

As shown in Figure 9 (c), the fraction of the hydrophilic mode of 250 nm particles 19 increased significantly and approached 1 after the NPF event started. This can be 20 explained as such: during the particle formation, a large amount of condensable 21 vapors, such as sulfuric acid and secondary organic species produced because of the 22 strongly active photochemistry. These condensable vapors can condense onto the 23 24 pre-existing particle and result in the transformation of external mixture to internal mixture. Such transformation may alter the atmospheric behaviors of pre-exiting 25 particles, such as optical property and cloud condensation nuclei activation during the 26 new particle formation events. 27

1 5 Conclusions

Particle number size distribution, particle hygroscopicity, and size-resolved 2 chemical composition were measured concurrently during summertime 2014 in 3 Beijing, China. The particle hygroscopicity showed a pronounced size-dependency. It 4 increased with increasing particle size. During the measurement period, the mean κ s 5 6 of 50, 100, 150, 200, and 250 nm particles are 0.16±0.07, 0.19±0.06, 0.22±0.06, 0.26±0.07, and 0.28±0.10, respectively. The size-dependency behavior of particle 7 hygroscopicity was similar to that of inorganic compounds in PM_1 . The hydrophilic 8 mode (HGF>1.2) was more prominent, no matter what particle size was considered. 9 10 With increasing particle size, the dominance of hydrophilic mode became more pronounced. When $PM_{2.5}$ mass concentration was below 50 $\mu g/m^3,$ no dependency 11 between PM_{2.5} mass concentration and the number fraction of hydrophilic mode was 12 found. Above 50 μ g/m³, the number fraction of hydrophilic mode for 150, 250, 350 13 nm particles increased and rose towards to 1 with the increasing PM2.5 mass 14 concentration. This means that aged particles was dominated the particle mass 15 concentration, especially during severe particulate pollution events in Beijing. Based 16 on the size-resolved AMS data, the particle hygroscopic growth can be well predicted 17 18 using the ZSR method. The organic hygroscopicity parameter showed a positive 19 correlation with O:C ratio.

Frequent new particle formation events took place during the measuring period. 20 The hygroscopic growth factor or κ of newly formed particles was greater than the 21 22 hygroscopic growth factor of particles with the same sizes during non-NPF periods. During the new particle formation, fast transformations of external mixture to internal 23 mixture for existing particles (for example 250 nm particle) have been observed. This 24 was a strong indication that secondary aerosol material such as organics and sulfates 25 26 were produced due to the strongly active photochemistry during NPF events, and 27 subsequently condensed onto the particles. Such transformation may modify the atmospheric behaviors of pre-exiting particles, such as optical property and cloud 28 condensation nuclei activation. 29

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25	Table and figures

- 27 Table 1: Gravimetric densities (ρ) and hygroscopicity parameters (κ) used in this
- 28 study.

Species	NH ₄ NO ₃	NH ₄ HSO ₄	(NH ₄) ₂ SO ₄	SOA	POA	BC
[kg/m ³]	1720	1780	1769	1400	1000	1700
κ	0.58	0.56	0.48	0.1	0	0

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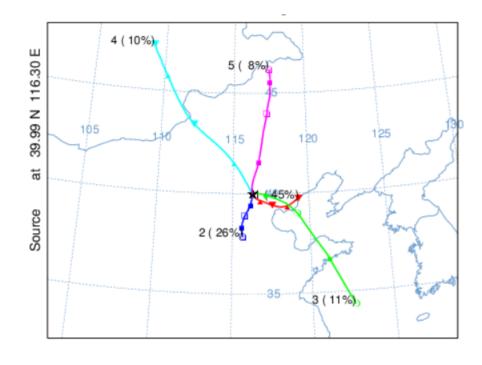


Figure 1: Mean air mass backward trajectories for five clusters arriving at thesampling site.

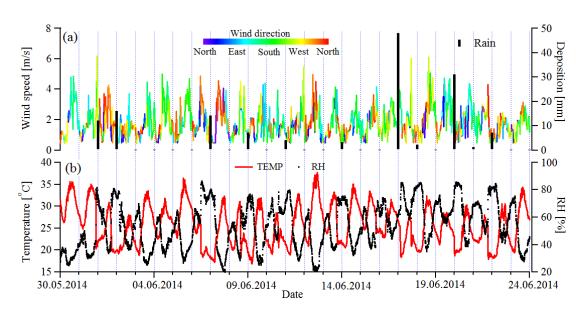




Figure 2: The time series of wind speed, wind direction, wet deposition (a) and
temperature and RH (b) during the sampling period.

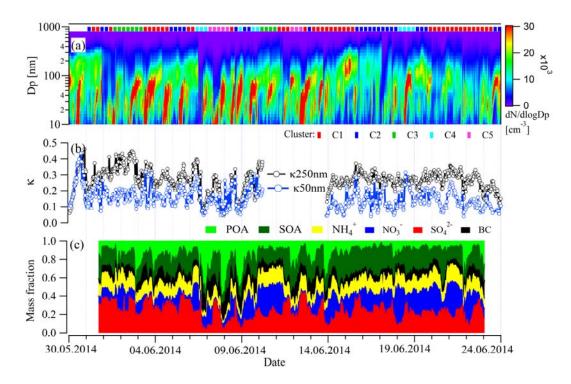
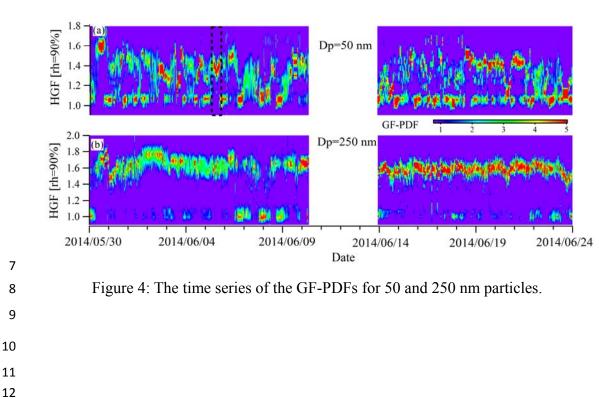
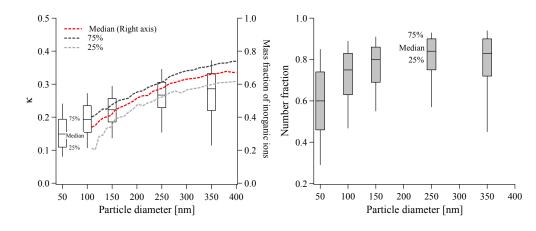
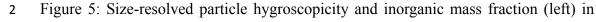


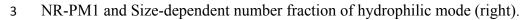
Figure 3: Time series of particle number size distribution (a), hygroscopicity parameters (κ) (b), and chemical composition of PM1 (c) during the measuring period. The color bars in the upper panel (a) indicate the trajectory clusters. C1-C5 represents five trajectory clusters.

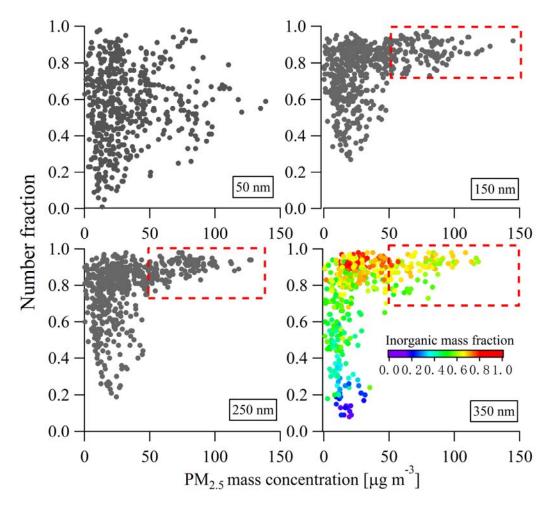














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Figure 6: Number fraction of hydrophilic mode vs PM_{2.5} mass concentration. For 350 nm particles, the number fraction is colored by inorganic mass fraction (sulfate+nitrate+ammonium) in PM1.

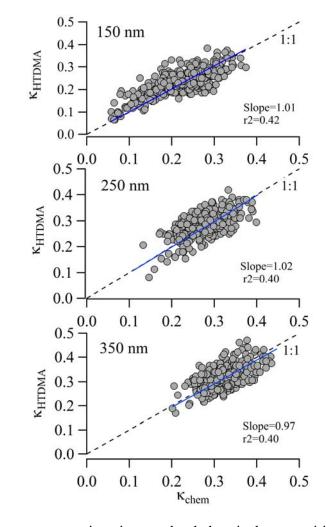


Figure 7: κ_{HTDMA} vs. κ_{chem} using size-resolved chemical composition data. All the root mean square errors (RMSE) of the linear fits were 0.04.

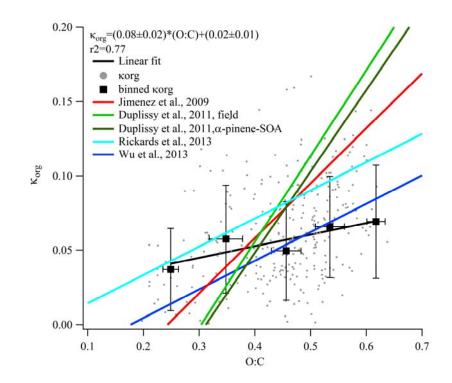
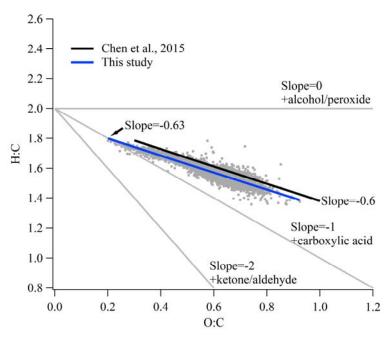


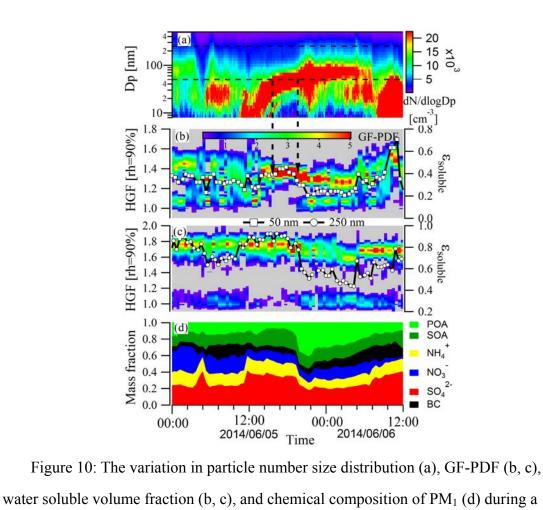


Figure 8: The relationship between organic hygroscopicity parameter (κ_{org}) and oxygen to carbon ratio (O: C). In this figure, the O:C ratios determined applying the method developed by Aiken (2008) are used considering the comparability between this study and others.



7 Figure 9: Van Krevelen diagram for the O:C and H:C ratios. The grey lines illustrating

- 8 how functionalization reactions of organic species affect H:C and O:C from an
- 9 arbitrary starting point (adopted from (Heald et al., 2010)).
- 10



NPF event.



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