

# 1 **Current model capabilities for simulating black carbon and** 2 **sulfate concentrations in the Arctic atmosphere: a multi-** 3 **model evaluation using a comprehensive measurement** 4 **data set**

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## 1 **Abstract**

2 The concentrations of sulfate, black carbon (BC) and other aerosols in the Arctic are  
3 characterized by high values in late winter and spring (so-called Arctic Haze) and low values  
4 in summer. Models have long been struggling to capture this seasonality and especially the high  
5 concentrations associated with Arctic Haze. In this study, we evaluate sulfate and BC  
6 concentrations from eleven different models driven with the same emission inventory against a  
7 comprehensive pan-Arctic measurement data set over a time period of two years (2008-2009).  
8 The set of models consisted of one Lagrangian particle dispersion model, four chemistry-  
9 transport models (CTMs), one atmospheric chemistry-weather forecast model and five  
10 chemistry-climate models (CCMs), of which two were nudged to meteorological analyses and  
11 three were running freely. The measurement data set consisted of surface measurements of  
12 equivalent BC (eBC) from five stations (Alert, Barrow, Pallas, Tiksi and Zeppelin), elemental  
13 carbon (EC) from Station Nord and Alert and aircraft measurements of refractory BC (rBC)  
14 from six different campaigns. We find that the models generally captured the measured eBC or  
15 rBC and sulfate concentrations quite well, compared to previous comparisons. However, the  
16 aerosol seasonality at the surface is still too weak in most models. Concentrations of eBC and  
17 sulfate averaged over three surface sites are underestimated in winter/spring in all but one model  
18 (model means for January-March underestimated by 59% and 37% for BC and sulfate,  
19 respectively), whereas concentrations in summer are overestimated in the model mean (by 88%  
20 and 44% for July-September), but with over- as well as underestimates present in individual  
21 models. The most pronounced eBC underestimates, not included in the above multi-site  
22 average, are found for the station Tiksi in Siberia where the measured annual mean eBC  
23 concentration is three times higher than the average annual mean for all other stations. This  
24 suggests an underestimate of BC sources in Russia in the emission inventory used. Based on  
25 the campaign data, biomass burning was identified as another cause of the modelling problems.  
26 For sulfate, very large differences were found in the model ensemble, with an apparent anti-  
27 correlation between modeled surface concentrations and total atmospheric columns. There is a  
28 strong correlation between observed sulfate and eBC concentrations with consistent  
29 sulfate/eBC slopes found for all Arctic stations, indicating that the sources contributing to  
30 sulfate and BC are similar throughout the Arctic and that the aerosols are internally mixed and  
31 undergo similar removal. However, only three models reproduced this finding, whereas sulfate  
32 and BC are weakly correlated in the other models. Overall, no class of models (e.g., CTMs,  
33 CCMs) performed better than the others and differences are independent of model resolution.

1

## 2 **1 Introduction**

3 Aerosols are important climate forcers (Ramanathan and Carmichael 2008; Myhre et al., 2013),  
4 but the magnitude of their forcing is highly uncertain and depends on altitude, position relative  
5 to clouds, the surface albedo and the optical properties of the aerosol as well as cloud indirect  
6 effects. While absorbing aerosols such as black carbon (BC) are likely to increase climate  
7 warming (Shindell and Faluvegi, 2009), scattering aerosols such as sulfate have a cooling effect  
8 (Myhre et al., 2013). In addition to atmospheric radiative forcing, deposition of absorbing  
9 aerosols on snow or ice reduces the albedo and can thus induce faster melting and efficient  
10 surface warming (Jacobson, 2004; Flanner et al., 2009). The highly reflective surfaces of snow  
11 and ice as well as strong feedback processes make the Arctic a region of particular interest for  
12 aerosol research (Quinn et al., 2008).

13 The Arctic aerosol consists of a varying mixture of sulfate and organic carbon (OC), as well as  
14 ammonium, nitrate, BC and mineral dust (Quinn et al., 2007; Brock et al., 2011). Aerosols in  
15 the Arctic feature a strong annual cycle with a late winter/spring peak (the so-called Arctic  
16 Haze) and a summer minimum. Increased transport during the cold season (Stohl, 2006) and  
17 increased removal by wet deposition during the warm season can explain this annual variation  
18 (Shaw, 1995; Law and Stohl, 2007) and also shape the aerosol size distribution (Tunved et al.,  
19 2013).

20 Models have for a long time struggled to capture the distribution of aerosols in the Arctic  
21 (Shindell et al., 2008; Koch et al., 2009). The concentrations of BC during the Arctic Haze  
22 season in particular were underestimated, in some cases by more than an order of magnitude  
23 (Shindell et al., 2008), whereas summer concentrations were sometimes overestimated. The  
24 simulated aerosol seasonality is strongly dependent on the model treatment of aerosol removal  
25 processes. For instance, changes in the calculation of aerosol microphysical properties, size  
26 distribution and removal can change simulated concentrations by more than an order of  
27 magnitude in remote regions such as the Arctic (Vignati et al., 2010) and the calculated Arctic  
28 BC mass concentrations are very sensitive to parameterizations of BC aging (conversion from  
29 hydrophobic to hydrophilic properties) and wet scavenging (Liu et al., 2011; Huang et al.,  
30 2010).

31 The seasonal decrease of aerosol concentrations from winter to summer in the Arctic is likely  
32 also due to the different efficiency of scavenging by different types of clouds. There is a

1 transition from inefficient ice-phase cloud scavenging in winter to more efficient warm cloud  
2 scavenging in summer, and there is also the appearance of warm drizzling cloud in the late  
3 spring and summer boundary layer. Including these processes in one model clearly improved  
4 its performance both in terms of absolute concentrations as well as seasonality for sulfate and  
5 BC (Browse et al., 2012). This result is in agreement with the observation-based findings that  
6 scavenging efficiencies are increased in summer both for light-scattering (of which sulfate is  
7 an important component) as well as for light-absorbing (of which BC is an important  
8 component) aerosols (Garrett et al., 2010, 2011). Another modeling problem may be excessive  
9 convective transport and underestimation of the associated wet scavenging in convective  
10 clouds, which can lead to model overestimates of BC in the upper troposphere and lower  
11 stratosphere (Allen and Landuyt, 2014; Wang et al., 2014). Despite remaining difficulties,  
12 simulations of Arctic aerosols with many models have improved considerably in the last few  
13 years by updating the model treatment of some or all of the above mentioned processes (Fisher  
14 et al., 2011; Breider et al., 2014; Sharma et al., 2013; Lund and Berntsen, 2012; Allen and  
15 Landuyt, 2014).

16 Remaining problems may also be due to missing emission sources or incorrect spatial or  
17 temporal distribution of emissions in the inventories used for the modeling. The main sources  
18 of BC are biomass burning and incomplete combustion of fossil fuels and biofuels (Bond et al.,  
19 2004). Sulfate aerosols are formed by sea spray or originate from natural sources such as  
20 oxidation of dimethyl sulfide (DMS) or volcanoes. It is also produced from oxidation of SO<sub>2</sub>  
21 emitted when sulfur-containing fossil fuels are burned or by metal smelting. Studies based on  
22 observed surface concentrations repeatedly suggest that the main source regions for Arctic BC  
23 and sulfate are located in high-latitude Eurasia (e.g., Sharma et al., 2006, Eleftheriadis 2009,  
24 Hirdman et al., 2010). Stohl et al. (2013) suggested that gas flaring in high-latitude Russia is an  
25 important source of BC which is missing from most inventories. In their simulations, BC  
26 emissions from gas flaring accounted for 42% of the annual mean BC surface concentrations  
27 in the Arctic. However, they also noted the large uncertainty of the gas flaring emissions.

28 The radiative effects of aerosols are not so much determined by the surface concentrations but  
29 by the column loadings as well as the altitude distribution of the aerosol (Samset et al., 2013;  
30 Samset and Myhre, 2011). Nevertheless, in the past, model results for the Arctic were evaluated  
31 mainly against surface measurements due to their availability over long time periods. However,  
32 surface concentrations are not representative of concentrations aloft, which are controlled, at

1 least in part, by different source regions and different processes. It is therefore important to  
2 evaluate models not only against surface measurements but also using vertical profile  
3 information.

4 The purpose of this study is to explore the capabilities of a range of chemistry transport models  
5 (CTMs) and chemistry climate models (CCMs) widely used to simulate the Arctic aerosol  
6 concentrations. The models use a common emission inventory, which includes gas flaring  
7 emissions and provides monthly resolution of the domestic burning emissions. Differences  
8 between their modeled aerosol concentrations are therefore solely due to differences in the  
9 simulated transport, aerosol processing (e.g., sulfate formation, BC aging) and removal. We  
10 concentrate our investigations on BC and sulfate, for which we collected data from six surface  
11 stations and five aircraft campaigns in the Arctic.

## 12 **2 Methods**

### 13 **2.1 Measurement data**

14 We have collected measurements of BC performed with different types of instruments, and  
15 these measurements may not always be directly comparable. Following the nomenclature of  
16 Petzold et al. (2013), we refer to measurements based on light absorption as equivalent BC  
17 (eBC), measurements based on thermal-optical methods as elemental carbon (EC) and  
18 measurements based on refractory methods as refractory BC (rBC). All these data are compared  
19 to each other as far as possible and to modeled BC values.

20 Aerosol light absorption data were obtained from five sites in different parts of the Arctic: Alert,  
21 Canada (62.3°W, 82.5°N; 210 m above sea level (asl)), Zeppelin/Ny Ålesund, Spitsbergen,  
22 Norway (11.9°E, 78.9°N; 478 m asl), Tiksi, Russia (128.9° E, 71.6°N; 1 m asl), Barrow, Alaska  
23 (156.6°W, 71.3°N; 11 m asl) and Pallas, Finland (24.12°E, 67.97°N; 565 m asl). The locations  
24 of these measurement stations are shown in Fig. 1. Different types of particle soot absorption  
25 photometers (PSAPs) were used for the measurements at Barrow, Alert, and Zeppelin, a multi-  
26 angle absorption photometer was used at Pallas (Hyvärinen et al., 2011), and an aethalometer  
27 was used at Tiksi. All these instruments measure the particle light absorption coefficient  $\sigma_{ap}$ ,  
28 each at its own specific wavelength (typically at around 530–550 nm), and for different size  
29 fractions of the aerosol (typically particles smaller than 1, 2.5 or 10  $\mu\text{m}$  are sampled at different  
30 humidities). Conversion of  $\sigma_{ap}$  to eBC mass concentrations is not straightforward and requires  
31 certain assumptions (Petzold et al., 2013). The mass absorption efficiency used for conversion

1 can be specific to a site, the instrument and the wavelength used and is uncertain by at least a  
2 factor of two. For Tiksi, the conversion is done internally by the aethalometer. For the other  
3 sites, a mass absorption efficiency of  $10 \text{ m}^2 \text{ g}^{-1}$ , typical of aged BC aerosol (Bond and  
4 Bergstrom, 2006), was used. Concentrations of eBC can be particularly uncertain and biased  
5 high when substantial amounts of organic carbon are present, e.g., as coatings of BC cores  
6 (Cappa et al., 2008; Lack et al., 2008).

7 For Barrow, Alert, Pallas and Zeppelin eBC data were available for the years 2008-2009 and  
8 could be compared directly with model data which were available for the same period. At Tiksi,  
9 the measurements started only in 2009 and thus measured values for the period July 2009 to  
10 June 2010 were compared with modeled values for the year 2009.

11 Barrow and Alert data are routinely subject to data cleaning, which should remove the influence  
12 from local sources. The Tiksi data has been quality controlled as well and episodes of local  
13 pollution have been removed. Zeppelin generally is not strongly influenced by local emissions;  
14 however, summer values are enhanced by some 11% due to local cruise ship emissions  
15 (Eckhardt et al., 2013). Thermo-optical measurements of EC were available from Station Nord,  
16 Greenland ( $16.67^\circ\text{W}$ ,  $81.6^\circ\text{N}$ ; 30 m asl) and from Alert. At Station Nord, weekly aerosol  
17 samples were collected during 2008-2009 and the EC/OC filter samples at Alert were collected  
18 as bi-weekly integrated samples For Station Nord a Digitel DHA 80 high volume sampler  
19 (HVS, Digitel/Riemer Messtechnik, Germany) was used for PM<sub>10</sub>. Both stations' samples were  
20 analyzed with a thermal-optical Lab OC/EC instrument from Sunset Laboratory Inc (Tigard,  
21 OR, USA). Punches of  $2.5 \text{ cm}^2$  were cut from the filters sampled at Station Nord and analyzed  
22 according to the EUSAAR-2 protocol (Cavalli et al., 2010). The samples from Alert were  
23 analyzed by using EnCan-total-900 thermal method originally developed by carbon isotope  
24 analysis for OC/EC (Huang et al., 2006) and further optimized (Chan et al., 2010).”

25  
26 Sulfate measurement data were available from the stations Pallas, Zeppelin, Barrow, Nord and  
27 Alert. The sulfate data were obtained on open face filters and cations and anions were  
28 subsequently quantified by ion chromatography. Non-sea salt (nss) sulfate concentrations were  
29 obtained by subtracting the sea salt contribution via analysis of  $\text{Na}^+$  and  $\text{Cl}^-$  data, thus making  
30 the sulfate data directly comparable to the modeled nss-sulfate values. For Station Nord, the  
31 contribution from sea salt is only minor (Heidam 2004), no correction was applied there.  
32 Samples were taken with daily to weekly resolution, depending on station and season.

1 Aircraft data were obtained from several campaigns. In the framework of POLARCAT (Polar  
2 Study using Aircraft, Remote Sensing, Surface Measurements, and Models of Climate  
3 Chemistry, Aerosols, and Transport; Law et al., 2014), two ARCTAS (Arctic Research of the  
4 Composition of the Troposphere from Aircraft and Satellites) campaigns in April and June/July  
5 2008 with a DC-8 aircraft covered mainly the North American Arctic (Jacob et al., 2010). The  
6 ARCPAC (Aerosol, Radiation, and Cloud Processes affecting Arctic Climate; Brock et al.,  
7 2011) campaign was conducted from Alaska together with ARCTAS in April 2008. The  
8 PAMARCMiP (Polar Airborne Measurements and Arctic Regional Climate Model Simulation  
9 Project) campaign covered the entire western Arctic in April 2009 (Stone et al., 2010). Two  
10 HIPPO (High-Performance Instrumented Airborne Platform for Environmental Research Pole-  
11 to-Pole Observations; Schwarz et al., 2010; Schwarz et al., 2013; Wofsy et al., 2011) campaigns  
12 during January 2009 and October 2009 explored the North American Arctic. Flight legs north  
13 of 70°N for all of these campaigns are shown in Fig. 1. Refractory BC (rBC) was measured  
14 during these campaigns with single particle soot photometer (SP2) instruments (Kondo et al.,  
15 2001; Schwarz et al., 2006). Observations of submicrometer aerosol sulfate mass during  
16 ARCTAS were made with a particle-into liquid-Sampler (PILS) (Sullivan et al, 2006) coupled  
17 to an ion chromatograph. Sulfate measurements during ARCPAC were made with a compact  
18 time-of-flight aerosol mass spectrometer (Bahreini et al., 2008).

19  
20 During April 2008 agricultural and boreal biomass burning influence was widespread  
21 throughout the Arctic (Warneke et al., 2010; Brock et al., 2011) and ARCTAS and ARCPAC  
22 often targeted these fire plumes. Anthropogenic pollution from Asia was also sampled by these  
23 campaigns in the western Arctic, particularly in the mid-upper troposphere (see Law et al., 2014  
24 and references therein). Pollution from Europe also made a significant contribution in the lower  
25 troposphere. In contrast, PAMARCMiP and HIPPO sampled the Arctic atmosphere at times  
26 with little influence from biomass burning and also did not target pollution plumes. Thus, the  
27 higher mean rBC concentrations found during ARCTAS and ARCPAC than during  
28 PAMARCMiP a year later are caused both by the sampling strategy of these campaigns as well  
29 as the early start of the biomass burning season in 2008. Even though all available rBC and  
30 sulfate data from several campaigns were used for model evaluation, the data coverage and  
31 representativity for the Arctic as a whole must still be considered as rather poor. The Eastern  
32 Arctic, in particular, was not sampled by any campaign.



1 ARCTAS-B was the only summertime POLARCAT campaign to make detailed measurements  
2 of BC and sulfate (Jacob et al., 2010). These flights focused mainly on boreal fires over Canada  
3 in July 2008 but several flights into the high Arctic sampled, for example Asian pollution close  
4 to the North Pole (Sodemann et al., 2010). Plumes of Asian origin were also sampled in the  
5 upper troposphere over Canada (Singh et al., 2010).

## 6 **2.2 Emissions**

7 All models made use of an identical emission dataset, the ECLIPSE (Evaluating the Climate  
8 and Air Quality Impacts of Short-Lived Pollutants) emission inventory version V4a (Klimont  
9 et al., 2015a, 2015b). The ECLIPSE inventory was created using the GAINS (Greenhouse gas  
10 – Air pollution Interactions and Synergies) model (Amann et al., 2011), which provides  
11 emissions of long-lived greenhouse gases and shorter-lived species in a consistent framework.  
12 The proxies used in GAINS are consistent with those applied within the RCP (Representative  
13 Concentration Pathways) projections as described in Lamarque et al. (2010) and as further  
14 developed within the Global Energy Assessment project (GEA, 2012). They were, however,  
15 modified to accommodate more recent information where available, e.g., on population  
16 distribution and open biomass burning, effectively making them year specific (Riahi et al.,  
17 2012; Klimont et al., 2013). Emissions for the years 2008 and 2009 were lumped into the  
18 following source categories: industrial combustion, residential combustion, energy production,  
19 transport, agriculture, waste treatment, shipping, agricultural waste burning and gas flaring. All  
20 emission data were gridded consistently to a resolution of  $0.5^{\circ} \times 0.5^{\circ}$ . Monthly disaggregation  
21 factors were provided for the domestic heating emissions, based on ambient air temperatures.  
22 For a more detailed description of the ECLIPSE emission data set, see Klimont et al. (2015a,  
23 2015b). A detailed description of the high-latitude emissions in the ECLIPSE inventory and  
24 comparisons with other emission inventories can be found in AMAP (2015).

25 Non-agricultural biomass burning emissions were not available through GAINS and were  
26 therefore taken from the Global Fire Emission Database (GFED), version 3.1 (van der Werf et  
27 al., 2010). No attempt was made to harmonize sulfur emissions from volcanic sources or the  
28 ocean, which could explain some differences in simulated sulfate concentrations.

## 1 **2.3 Models**

2 We show results of 11 different models, whose main characteristics and references are  
3 summarized in Table 1. In principle we are using two types of atmospheric models: off-line  
4 models and on-line models. Both model types have certain advantages and disadvantages. Off-  
5 line models based on meteorological re-analysis data can capture actual meteorological  
6 situations, thus facilitating a direct comparison of measured and modeled aerosol quantities.  
7 Often, they also have higher resolution than the on-line global models. However, off-line  
8 models cannot be used for predictions and the off-line coupling can also cause inaccuracies in  
9 the treatment of transport, chemistry and removal processes. The global on-line models in our  
10 study are free-running and thus produce their own model climate, which means that they cannot  
11 reproduce a given meteorological situation. Nevertheless, their modeled climate for the present  
12 time should correspond to the current climatic conditions and, thus, seasonally averaged  
13 quantities (i.e., averages over many different meteorological situations) should be comparable  
14 to measured quantities. The main advantage of the on-line models is that they can also be used  
15 for predictions.

16 Further, there were two different types of off-line models used, namely Eulerian chemistry  
17 transport models (CTMs) and one Lagrangian particle dispersion model (LPDM). Our on-line  
18 models were climate chemistry models (CCMs), where a climate model is coupled with a  
19 chemistry and aerosol module. We also use one global climate model coupled with an aerosol  
20 module which, however, does not simulate atmospheric chemistry. We refer to this as an aerosol  
21 climate model (ACM) to distinguish it from the CCMs. Furthermore, we use one regional  
22 weather forecast model coupled on-line with a chemistry model (WRF-Chem). This model is  
23 similar to the CCMs, but only used for regional simulations and it is designed for short-term  
24 simulations rather than simulations over climate time scales. WRF-Chem is also nudged  
25 towards re-analysis data and therefore can capture actual meteorological situations, similarly to  
26 the off-line models.

27 The horizontal resolution of the individual models ranges from about  $0.6^{\circ} \times 0.8^{\circ}$  to  $2.8^{\circ} \times 2.8^{\circ}$ .  
28 We use one Lagrangian particle transport model, FLEXPART (Flexible Particle Dispersion  
29 Model), which is run in backward mode for 30 days (thus, older source contributions are not  
30 accounted for). The simulation is driven by  $1^{\circ} \times 1^{\circ}$  operational analyses from the European  
31 Centre for Medium Range Weather Forecast (ECMWF). The OsloCTM2, TM4-ECPL (Tracer  
32 Model version 4 - Environmental Chemical Processes Laboratory) and SMHI MATCH

1 (Swedish Meteorological and Hydrological Institute Multi-scale Atmospheric Transport and  
2 Chemistry Model) are CTMs and also use meteorological data from ECMWF (for details see  
3 table 1). The DEHM (Danish Eulerian Hemispheric Model) CTM is driven by NCEP (National  
4 Centers for Environmental Prediction) meteorological data. WRF-Chem (Weather Research  
5 and Forecasting Model coupled with Chemistry) is an on-line atmospheric chemistry-weather  
6 forecast model which was nudged to NCEP FNL (final analysis) data for this study. The  
7 aerosol-climate model (ACM) ECHAM6-HAM2 (for brevity, referred to as ECHAM6 in  
8 figures) is the European Centre for Medium-Range Weather Forecasts Hamburg model version  
9 6 (Stevens et al., 2013) extended with the Hamburg aerosol module version 2 (HAM2) (Zhang  
10 et al., 2012). ECHAM6-HAM2 and the CCMs including HadGEM3 (Met Office Hadley Centre  
11 Climate Model, version 3) and CanAM4.2 (Canadian Atmospheric model, version 4.2) were  
12 nudged to ECMWF data. CESM1-CAM5.2 (Community Earth System Model version 1 –  
13 Community Atmosphere model version 5.2) and NorESM1-M (Norwegian Earth System  
14 Model version 1 with intermediate resolution and used here in a version where aerosols are  
15 fully coupled with a tropospheric gas-phase chemistry scheme, hereafter referred to as  
16 NorESM) are also CCMs but were running freely, thus producing their own meteorological  
17 data. These latter models cannot be compared point-to-point with the measurement data because  
18 they produced meteorological conditions which were different from the actual ones; however,  
19 longer-term (e.g., seasonal) medians should still be comparable with the measurements,  
20 especially since sea surface temperatures (SST) and sea-ice extent were prescribed and specific  
21 to the years 2008-2009. All models were sampled exactly at the locations of the measurement  
22 stations and along the flight tracks at the highest possible (mostly hourly) temporal resolution.  
23 Notice that not all models simulated the full 2008-2009 period and FLEXPART only simulated  
24 BC.

25

### 26 **3. Simulated BC and sulfate concentrations**

27 Figure 2 shows the simulated BC and sulfate column mass loadings as a function of latitude for  
28 the time periods of the Arctic Haze (March) and the much cleaner summer (July) in the Arctic,  
29 for the models for which this information was available. For BC in March, most models show  
30 a maximum near 20°N, with some models extending this maximum to 40°N. This  
31 approximately covers the latitude range with the highest global emissions where the models  
32 agree at least within a factor of two in their simulated column loadings. In contrast, larger

1 differences between the models are found in the Arctic, where column mass loadings vary by  
2 more than an order of magnitude. Similar results are also found for sulfate in March, for which  
3 most models also show a maximum around 20-40°N; however, compared to BC, the models  
4 show a less pronounced decrease towards higher latitudes and two models even simulate  
5 increasing sulfate burdens with latitude. The relatively good agreement between the models in  
6 the BC and sulfate source region latitudes is not surprising, given that they all use the same  
7 emission data set. In contrast, the differences between the atmospheric column loadings in the  
8 Arctic must mainly be due to differences in the aerosol processing and removal and hence  
9 aerosol lifetimes, and probably differences in atmospheric transport. Most models with  
10 relatively low BC column loadings in the Arctic also have low sulfate loadings there, indicating  
11 similarities in the simulated removal of these two types of aerosols. A notable exception,  
12 however, is HadGEM3, which has moderately low BC but the highest sulfate loadings in the  
13 Arctic.

14 In July, the BC column loadings show a double peak in the southern tropics and northern  
15 subtropics. The southern tropical peak is due to the migration of the inter-tropical convergence  
16 zone (ITCZ) into the northern hemisphere, which leads to less efficient wet removal and dry  
17 conditions favoring biomass burning in the southern tropics. On the other hand, BC  
18 concentrations near 10°N show a deep minimum, due to the efficient wet removal near the  
19 ITCZ. Most models show a third peak in BC loading near 60°N, which results from open  
20 vegetation fires in the boreal region. North of 60°N, the BC loadings decline rapidly towards  
21 the North Pole. The sulfate column loading distribution in July lacks the peaks in the southern  
22 tropics and the boreal region because biomass burning is not a strong source of sulfate.  
23 HadGEM3 stands out against the other models even more than in spring, as its polar sulfate  
24 loadings are more than a factor of five higher than those of all other models, which show a  
25 smooth decrease with latitude north of 40°N.

26 In the simulated surface BC and sulfate mass mixing ratios the same basic patterns are found  
27 as in the column loadings, but with enhanced gradients between source areas and remote regions  
28 (Fig. 3). When looking at individual models, there are, however, notable differences for sulfate.  
29 ECHAM6-HAM2 has the highest sulfate surface mass mixing ratios of all models, especially  
30 in the northern hemisphere subtropics and mid-latitudes. Combined with the rather “normal”  
31 column sulfate loadings of this model, this indicates that ECHAM6-HAM2 does not transport  
32 sulfate away from the surface as quickly as the other models. On the other hand, HadGEM3,

1 which has by far the largest sulfate column loadings, has the smallest surface concentrations.  
2 This deficiency was due to the implementation of the Global Model of Aerosol Processes  
3 (GLOMAP; Mann et al., 2010), which in this HadGEM3 version resulted in too little removal  
4 of the sulfate precursor SO<sub>2</sub> during the venting from the boundary layer to the free troposphere.  
5 The longer sulfate lifetime there explains the high column loadings.

6 In summary, we find that the Arctic is a region with particularly large relative differences  
7 between the models, both for the surface mass mixing ratios (with differences of more than an  
8 order of magnitude) as well as for the column loadings, and both for BC and sulfate. This result  
9 must be related to differences in aerosol removal and lifetimes in the different models. We also  
10 found that, especially for sulfate, there can be an anticorrelation between simulated surface  
11 concentrations and column loadings. Hence there is a strong motivation to evaluate the models'  
12 performance in the Arctic, based on measurements taken both at the surface and aloft.

13

#### 14 **4 Observed and simulated BC and sulfate seasonality at Arctic surface** 15 **measurement stations**

16 We start our discussion of the annual cycles of aerosol concentrations with the example of BC  
17 at the Zeppelin station in Spitsbergen (Fig. 4). Monthly medians as well as the 25<sup>th</sup> and 75<sup>th</sup>  
18 percentile are calculated for every month based on hourly data for the two years 2008 and 2009.  
19 Maximum median eBC concentrations of 46 and 53 ng/m<sup>3</sup> occur in March and April, while  
20 summer median values are only 2 to 3 ng/m<sup>3</sup>. Some of the models reproduce this seasonality  
21 with high winter/spring values and much lower summer values quite well, although in most of  
22 these models BC reaches its highest values already in January. Only the CanAM4.2 model  
23 seems to capture the observed spring maximum. All models except WRF-Chem capture that  
24 summer is having the lowest values of the year. OsloCTM2, TM4-ECPL and NorESM have  
25 smaller annual variation than observed. HadGEM3, which we have seen to produce lower BC  
26 surface concentrations than the other models in Fig. 3, strongly underestimates the measured  
27 eBC concentrations throughout the year. The variability of the modeled values within a month  
28 (described by the height of the bars) shows clear differences between the models. For instance,  
29 CESM1-CAM5.2 simulates much less variable BC concentrations than CanAM4.2 and DEHM,  
30 or the measurements.

1 The eBC mass concentrations at the three other sites in the western Arctic (Alert, Barrow,  
2 Pallas) are quite comparable to those at Zeppelin station, with monthly median values of about  
3 20-80 ng/m<sup>3</sup> in late winter/early spring and of less than 10 ng/m<sup>3</sup> in summer/early fall (see Fig.  
4 5). One exception is EC measured at Station Nord, which in summer is higher than eBC  
5 measured at the other sites. At Alert, where both eBC and EC data are available, EC values in  
6 summer are also somewhat higher than eBC values (although lower than the Station Nord EC  
7 values), probably due to systematic differences in measurement techniques.

8 At the Tiksi station, which is closer to the main source regions of Arctic BC in high-latitude  
9 Eurasia (Hirdman et al., 2010), higher monthly median eBC values were measured (more than  
10 100 ng /m<sup>3</sup> in winter/spring, about 20-40 ng /m<sup>3</sup> in summer) and the annual mean (81 ng/m<sup>3</sup>) is  
11 2.5 times higher than the average for the other stations (31 ng/m<sup>3</sup>). The seasonality of measured  
12 eBC is strongest at Alert where the summer concentrations are very low, but the winter/spring  
13 concentrations are similar to the other sites in the western Arctic. This result points to a  
14 deepening of the seasonal minimum with latitude. While the aerosol concentrations in the Arctic  
15 during late winter/early spring are comparable to remote regions further south, the  
16 concentrations in summer/early fall are lower because of the effective cleansing of the  
17 atmosphere (Garrett et al., 2010, 2011; Browse et al., 2012; Tunved et al., 2013) and less  
18 efficient transport from source regions (Stohl, 2006). The highest eBC concentrations were  
19 observed in January (Alert), February (Barrow), March (Pallas, Tiksi) or April (Zeppelin), with  
20 no clear dependence of the time of the maximum on latitude; however, the maximum occurred  
21 earlier at the two North American sites than at the other sites.

22 The models capture the Arctic BC concentrations with variable success (Fig. 5). Most models  
23 capture the much higher concentrations in winter/spring than summer/fall, and some models  
24 can approximately reproduce the concentrations reached during the Arctic Haze season (see  
25 also Breider et al., 2014). However, as already seen for the Zeppelin station (Fig. 4) and the  
26 annual mean surface mass mixing ratios (Fig. 3), there is a large variability between individual  
27 models, with seasonal median values varying by about an order of magnitude both in spring  
28 and summer even when excluding the most extreme models (see also Table 2). Seasonal mean  
29 concentrations during January to March are underestimated by up to a factor of 27 for individual  
30 models and by more than a factor 2 for the mean over all models, and only one model slightly  
31 overestimates the measured concentrations (Table 2). Nevertheless, this indicates clear progress  
32 since earlier studies (e.g. Shindell et al., 2008; Koch et al., 2009; AMAP, 2011), where it was

1 reported that most models had a completely wrong seasonality and systematically  
2 underpredicted the Arctic Haze concentrations. For instance, in Shindell et al. (2008), none of  
3 their models came close to the measured concentrations at Barrow and Alert during winter and  
4 spring, with a model-mean underestimate of about one order of magnitude (their Fig. 7). It is  
5 also important to keep in mind that the eBC measurements are uncertain and could be biased  
6 high. However, EC and eBC values at Alert are very similar and we find a similar model  
7 underestimate of measured EC at Station Nord as well.

8 Our finding that Arctic BC concentrations in the spring tend to be underestimated by our models  
9 implies that these models would also underestimate radiative forcing by BC in the Arctic. This  
10 is particularly important because spring is the season when both aerosol concentrations are large  
11 and solar radiation is abundant. Furthermore, it is the season when feedback processes, e.g., via  
12 ice and snow melting, are most important (Quinn et al., 2008). The concentrations of BC in  
13 summer are much lower than in spring, so even with more abundant solar radiation modelling  
14 problems in summer would have a relatively small effect on radiative forcing.

15 In contrast, five models overpredict the low concentrations in summer, the most extreme model  
16 by an order of magnitude (Table 2). Some models (e.g., HadGEM3) underpredict strongly  
17 throughout the year. For the sites in the western Arctic, the model deficiencies become worse  
18 with increasing latitude. For instance, at the northernmost site, Alert (82.5°N), all models  
19 underpredict for the full duration of the Arctic Haze season from January until April.

20 For Tiksi, the data comparison is less direct as measurement data from July 2009-June 2010  
21 were used. Nevertheless, it is clear that except for CanAM4.2 (which produces the highest  
22 modeled values at most sites) the models strongly underpredict for this site, especially in  
23 winter/spring. The most likely explanation for this is that the BC emissions in high-latitude  
24 Russia are underestimated in the ECLIPSE inventory. It is difficult to know where exactly the  
25 missing sources are located. However, we find that in the ECLIPSE inventory the BC emissions  
26 in Norilsk (88.2°E, 69.3°N; population 170000) are zero. We do not suggest that Norilsk  
27 emissions are responsible for the strong underestimation of BC concentrations at Tiksi, but  
28 these discrepancies (and others for sulfur emissions discussed later) suggest that the high-  
29 latitude Russian pollutant emissions are underestimated and/or wrongly placed in the ECLIPSE  
30 inventory. Similar problems likely occur with most other global emission inventories. For  
31 instance, AMAP (2015) compared the ECLIPSE emission data set with 10 other inventories

1 and found that the differences between the different inventories grow with latitude and are  
2 largest north of 70°N (i.e., high-latitude Eurasian emissions).

3 The seasonal cycle of sulfate at the monitoring stations is similar to that of eBC, with a clear  
4 maximum during the Arctic Haze season and a minimum in summer/early fall (Fig. 6).  
5 However, the seasonal cycle at the northernmost stations is less strong than for eBC, with about  
6 a factor of 5 difference between spring and summer, compared to a factor of 15 for eBC (Table  
7 2). This is probably due to the influence of biogenic sources of sulfate in summer (Quinn et al.,  
8 2002) and/or a weaker seasonality in the emissions (e.g., smelter emissions of SO<sub>2</sub> are probably  
9 relatively constant throughout the year).

10 The models have similar difficulties capturing the sulfate seasonality as they have for BC.  
11 Again, there is up to more than an order of magnitude difference between simulated seasonal  
12 median concentrations from different models, both in summer and in winter (Table 2). The  
13 model differences in summer are in fact even larger than for BC, probably related to different  
14 treatment of natural sources, especially dimethyl sulfide emissions from the Arctic Ocean.  
15 There is a tendency for models that strongly underestimate BC concentrations to also  
16 underestimate sulfate (e.g., HadGEM3 model) but the correlation between the two simulated  
17 species from the different models is quite low, especially in summer. For instance, ECHAM6-  
18 HAM2 underestimates BC by factors of 26 and 1.6 in winter and summer, but underestimates  
19 sulfate only by about 13% in winter and even overestimates sulfate by a factor of 3.8 in summer  
20 (see Table 2). As seen in Fig. 2 and 3, ECHAM6-HAM2 simulates relatively high surface  
21 concentrations of sulfate but low total column loadings, both at source and Arctic latitudes.

22 The models generally underpredict sulfate most strongly at the northernmost station (Alert),  
23 which is consistent with the BC results (compare Figs. 5 and 6). The CanAM4.2 model, which  
24 had some of the highest BC concentrations, also gives the highest sulfate values (Table 2). It is  
25 the only model that matches the high measured sulfate values at Alert and Station Nord in  
26 spring. The reason why CanAM4.2 captures the spring peak better might be that this model has  
27 a less efficient removal through wet deposition under stratiform condition compared to the other  
28 models (Mahmood et al., 2015 submitted).

29 At Pallas, the lowest-latitude station in this comparison, most models severely underestimate  
30 sulfate throughout the year (Fig. 6), although they tend to overestimate BC in spring there. One  
31 likely reason for the sulfate underestimation is the proximity of the Pallas station to the Kola  
32 peninsula, where metal smelters are a strong source of sulfur. According to AMAP (2006), SO<sub>2</sub>



1 emissions in Nikel, Zapolyarnyy and Monchegorsk together were about 170 kt/yr in the year  
2 2002. In the ECLIPSE version 4a inventory used for this study the SO<sub>2</sub> emissions in these areas  
3 are only about 33 kt/yr in total for the year 2005. Similar deficiencies were in fact reported also  
4 for other emission inventories for this region (Prank et al., 2010). Strong underestimation of the  
5 SO<sub>2</sub> emissions from metal smelting in the Kola peninsula is therefore a likely explanation for  
6 why almost all models underestimate sulfate at Pallas so strongly. Similar discrepancies were  
7 in fact found for SO<sub>2</sub> emissions in Norilsk, prompting a regridding of the ECLIPSE emissions  
8 (now available version 5a) using better location information for the metal smelting industry.

## 9 **5 Vertical Profiles**

10 Figure 7 summarizes all rBC data from the ARCTAS and ARCPAC campaigns in spring 2008.  
11 Median concentrations are shown as a function of latitude (binned into 10° intervals) both for  
12 lower (<3 km) and higher (>3 km) altitudes, and as a function of altitude both for the high  
13 Arctic (>70°N) and lower latitudes. As the campaigns focused on the Arctic, data south of 60°N  
14 are scarce and limited to North America. The models were sampled in their grid box containing  
15 a measurement location and at the time of a measurement and were subsequently binned in the  
16 same way as the measurement data to allow a direct comparison. For the free-running climate  
17 models, the same procedure was used, albeit with the caveat that the simulated meteorological  
18 situation at the measurement time does not correspond to the real conditions.

19 For the low-altitude (<3 km) bin, the highest median rBC values were measured (see 2<sup>nd</sup> from  
20 top row of panels in Fig. 7) at 35°N and 55°N, with a substantial concentration drop towards  
21 higher latitudes. The mid-latitude maximum reflects the location of the BC sources in North  
22 America, where ARCTAS and ARCPAC were conducted. Above 3 km (top row of panels in  
23 Fig. 7), the highest median rBC concentrations were measured further north, at 60°N, and the  
24 concentrations drop less strongly towards the North Pole than at lower altitudes. This is due to  
25 quasi-isentropic lifting occurring together with northward transport (Stohl, 2006). All models,  
26 except CanAM4.2, systematically underestimate the measured values for both altitude bins and  
27 for all latitudes, and they also underestimate the measured rBC variability. However, most of  
28 the models simulate a decrease of the concentrations with latitude that is consistent with the  
29 measured latitude dependence.

30 When plotted as a function of altitude (two bottom panel rows in Fig. 7), the measured values  
31 peak in the 4-5 km altitude bin, both for sub-Arctic and Arctic latitudes. The models, except for  
32 CanAM4.2, underestimate the measured median values throughout the entire depth of the

1 profile. Some of the models, mainly those driven by observed meteorology, capture the rBC  
2 maximum in the mid-troposphere in the Arctic. However, the lower-latitude 4-5 km maximum  
3 is hardly reproduced by any of the models. One likely reason for the modeling problems is the  
4 strong biomass burning activity during spring 2008, which influenced a substantial fraction of  
5 the measurement data (Warneke et al., 2010; Brock et al., 2011). Even though this should be  
6 reflected in the GFED emission data for 2008, it seems possible that the GFED emissions are  
7 underestimated. Furthermore, as some of the flights targeted biomass burning plumes  
8 specifically, the influence of the biomass burning may be enhanced in the measurement data  
9 compared to the models, especially if the models did not capture the plume transport well  
10 enough and thus potentially simulated the biomass burning plumes at other locations than  
11 observed. This sampling bias is particularly strong for the CCMs which are not driven by  
12 observed meteorological fields.

13 Comparisons like those shown in Fig. 7 were also performed for the other aircraft campaigns.  
14 For the sake of brevity, we further aggregate the data and only show results for latitudes north  
15 of 70°N and for median values below and above 3 km altitude (Fig. 8). For spring 2008, the  
16 aggregate plots for BC (Fig. 8e-f) show even more clearly than Fig. 7 that all models except  
17 CanAM4.2 underestimate the measured rBC concentrations both at low and high altitudes. The  
18 spring 2009 PAMARCMiP campaign, however, shows a different picture (Fig. 8c-d). This  
19 campaign was influenced very little by biomass burning. The measured median rBC mass  
20 concentrations at low (high) altitudes were about a factor two (three) lower than for the spring  
21 2008 campaigns. Most models also simulated lower median BC concentrations than a year  
22 earlier but the modeled reductions were less pronounced than the measured ones and, thus,  
23 about half of the models under- and the other half overestimated the measured median values.  
24 The vertical gradient of measured BC was also different in 2008 and in 2009. While in spring  
25 2008, the concentrations above 3 km were higher than those below, the opposite was true in  
26 spring 2009, likely because of the weaker biomass burning influence in 2009. This feature can  
27 be seen very clearly in the vertical profiles shown in Fig. 9 and it is not well captured by the  
28 models, most of which showed a relatively flat vertical BC distribution.

29 The concentrations measured by the ARCTAS summer campaign in 2008 are much lower than  
30 those measured in spring 2008 and 2009, both at low and high altitudes (Fig. 8g-h), which is in  
31 agreement with the seasonality seen at the surface stations. Some of the models under- and  
32 others overestimate the measured concentrations, with the majority of the models

1 overestimating, especially below 3 km. The mean values, averaged over all models, are about  
2 two (three) times as high as the measurements for altitudes above (below) 3 km. Some of the  
3 models reproduce the measured rBC maximum at 6 km (Fig. 9).

4 The HIPPO campaign in fall 2009 (Fig. 8i-j) was conducted about one month after the seasonal  
5 minimum at most surface sites and measured very low rBC mass concentrations, which is  
6 consistent with the surface observations. Most of the models overestimate the measured  
7 concentrations throughout the entire vertical profile (Fig. 9).

8 The HIPPO campaign in January 2009 (Fig. 8a-b) measured strong altitude differences:  
9 moderately high rBC mass concentrations up to 3 km, but the lowest concentrations of all  
10 campaigns above. This feature is well captured by some of the models (Fig. 9). The lack of high  
11 concentrations aloft is likely related to the minimal influence of biomass burning at this time of  
12 the year.

13 Overall, the aircraft measurements confirm the BC seasonality measured at the surface stations.  
14 They also confirm that most models underestimate the concentrations in spring (at least for the  
15 year 2008) but many models overestimate the concentrations in summer and fall. It thus seems  
16 that models produce a too weak BC seasonality throughout the depth of the troposphere.  
17 However, for the year as a whole there is a tendency towards model overestimates, in contrast  
18 to the surface sites. Even stronger model overestimates downwind of Asia over the Pacific,  
19 especially in the upper troposphere, were recently reported by Samset et al. (2014) who  
20 suggested that the BC lifetime in the models is too long. However, a uniform reduction of BC  
21 lifetime in our models would lead to strong underestimates of the BC concentrations at the  
22 Arctic measurement stations. Even our Arctic aircraft comparisons only support at most a very  
23 moderate BC lifetime reduction. Of course, regional and/or vertical differences in the model  
24 lifetime biases or excessive convective uplift could explain the contrasting findings of our study  
25 and Samset et al. (2014).

26 For sulfate, measured median concentrations in the Arctic during spring 2008 were lower above  
27 3 km than below 3 km (Fig. 10a-b). All models, except CanAM4.2, strongly underestimate the  
28 measured sulfate concentrations, some models by more than an order of magnitude. This is  
29 consistent with the findings from the surface station comparisons (Fig. 6, Table 2). The models  
30 also do not give a consistent picture of the vertical distribution of sulfate, with some models  
31 correctly simulating lower concentrations above 3 km than below but others giving the opposite  
32 result. The model underestimates for sulfate are likely not related to a sampling bias towards

1 frequent encounters of biomass burning plumes, as biomass burning plumes are relatively poor  
2 in sulfate (e.g. Brock et al., 2011). Instead, the underestimation suggests other missing sulfur  
3 sources or a too quick removal of sulfate from the atmosphere. Indeed, the latter would be  
4 consistent with the suggestion of Kristiansen et al. (2012) that sulfate lifetimes in models are  
5 too short in spring.

6 During summer 2008 (Fig. 10c-d), the measured median sulfate concentrations were about a  
7 factor of 4-6 lower than in spring 2008, consistent with the seasonality measured at surface  
8 sites. Median concentrations above and below 3 km are very similar. The models have very  
9 large differences in their simulated sulfate concentrations, with some models over- and others  
10 underestimating the measured concentrations in summer. This is again consistent with the  
11 findings from the surface site comparison (Fig. 6, Table 2).

12

## 13 **6 Station vs. low-altitude aircraft measurements**

14 Contrary to the year-round station measurement programs, the aircraft campaigns sample the  
15 atmosphere only during limited time periods and their representativeness with regard to  
16 climatological means may be questioned. Furthermore, from the aircraft measurements we have  
17 seen that spring 2008 and 2009 had very different measured rBC concentrations, and modeling  
18 problems were larger for spring 2008 when there was intensive biomass burning influence in  
19 the Arctic. A valid question is therefore whether the surface measurements show the same  
20 differences between 2008 and 2009.

21 To investigate how consistent a picture the aircraft campaigns give vis a vis the station  
22 measurements, we compare all aircraft data from the lowest 3 km and lowest 1 km to the values  
23 obtained from the surface stations for the same months (Fig. 11). Selecting data only for even  
24 lower altitudes is problematic as the data coverage becomes very poor. In Fig. 11, we also show  
25 the station measurements obtained for the years 2008 and 2009 separately. For eBC, the  
26 measurements obtained for the same month at the different stations and during different years  
27 are (with a few exceptions such as Barrow in January 2008) quite comparable with each other.  
28 In particular, April 2008 did not show higher eBC values than April 2009. This is consistent  
29 with the finding that the biomass burning layers in 2008 did not extend to the surface (Brock et  
30 al., 2011). At Alert, the EC values are similar to the eBC values, whereas the Station Nord EC  
31 values are in summer and fall higher than eBC values at other stations. The aircraft rBC  
32 measurements for all campaigns show consistently lower values than the eBC or EC

1 measurements at the ground, except for the HIPPO campaign in January 2009 where, however,  
2 the data coverage particularly below 1 km is poor. It is possible that the BC concentrations  
3 show a strong gradient in the lowest 1 km and that surface concentrations are indeed  
4 systematically higher than concentrations just aloft. However, an alternative explanation could  
5 be that the rBC measurements are biased low against the eBC or EC measurements, given the  
6 different measurement techniques used. A direct comparison of all three measurement  
7 techniques at the Alert station also suggests a low bias of rBC against eBC and EC  
8 concentrations (S. Sharma, personal communication). For sulfate (Fig. 12) the measurements  
9 show a much larger variability than for BC, both between stations and between the two different  
10 years. For instance, the 25th percentile of the sulfate concentrations at Alert in January 2009 is  
11 higher than the 75th percentile of the other stations and also of Alert in January 2008. On the  
12 other hand, the sulfate concentrations measured during the two available flight campaigns in  
13 spring and summer 2008 are not systematically different from those measured at the stations,  
14 although the median concentration in summer 2008 is somewhat lower than at the stations. This  
15 is consistent with the eBC or rBC differences.

16

## 17 **7 Sulfate/BC correlations**

18 In this section, we perform a correlation analysis of BC and sulfate. Such an analysis allows  
19 some insights into the mixing state of the Arctic aerosol. BC and sulfate largely originate from  
20 different sources (although some sulfate is co-emitted with BC by combustion processes). A  
21 poor correlation between BC and sulfate means that BC and sulfate either arrive at the  
22 measurement stations in distinct air masses or that at least the different aerosol types (even if  
23 the air masses mix) remain externally mixed and thus are affected to a different and varying  
24 extent by removal processes. On the other hand, a strong correlation implies that BC and sulfate  
25 arrive in air masses where contributions from their different emission sources are mixed and  
26 that, furthermore, also the aerosol must be internally mixed, as otherwise different removal  
27 efficiency for BC and sulfate would lead to decorrelation between the two species. Such a  
28 correlation analysis has in fact recently also been performed with measurement data from  
29 Station Nord (Massling et al., 2015). In our case, we can furthermore compare measured and  
30 modeled correlations, allowing some insights into how models treat the mixing of different  
31 aerosol types compared to reality.

1 Figure 13 shows correlation plots between monthly mean sulfate and eBC for the measurements  
2 and the models sampled at the different stations. In the observations, sulfate and eBC  
3 correlations for Alert, Pallas and Zeppelin are statistically significant at the 99.9% level (Table  
4 3). The slopes of the regression lines shown in Fig. 13 are reported in Table 3. For the  
5 observations, they are very similar: 10.1, 8.4 and 8.9 ng[SO<sub>4</sub>]/m<sup>3</sup> / ng[eBC] m<sup>3</sup> for Alert, Pallas  
6 and Zeppelin, respectively. For Barrow, where the correlation is not significant because of two  
7 eBC-rich outlier data points, the slope is smaller (6.4 ng[SO<sub>4</sub>]/ m<sup>3</sup> / ng[eBC]/m<sup>3</sup>). The strong  
8 correlation between sulfate and eBC and the similarity of the slopes suggests that the sources  
9 contributing to the measurements at the different stations are similar and that the removal of  
10 sulfate and eBC is highly correlated, which would be expected for internally mixed aged aerosol  
11 as is typical for the Arctic.

12 Most of the models, on the other hand, show much weaker correlation between sulfate and BC  
13 and some of the models have no significant correlation at all. Exceptions are DEHM, CESM1-  
14 CAM5.2 and WRF-Chem which show mainly significant correlations, and slopes that are  
15 comparable at the different stations and which are also quite similar to the observed slopes. This  
16 suggests that, with the given emissions, it is possible to reproduce the observed correlations.  
17 The lack of correlation between sulfate and BC in the other models – in disagreement with the  
18 observations – therefore suggests that they treat the two species differently, probably having a  
19 too large fraction of the aerosol as externally mixed. Correlations could also be degraded by a  
20 too strong influence of biogenic (dimethyl sulfide) emissions from the oceans or factors  
21 influencing SO<sub>2</sub> to sulfate conversion such as the level of oxidants in the models. This could  
22 lead to varying fractions of sulfur present as SO<sub>2</sub> and maybe these fractions are more variable  
23 in the models than in reality.

24 Based on the ECLIPSE inventory which is available for BC and for SO<sub>2</sub>, we estimated ratios  
25 between those two substances under the assumption that all SO<sub>2</sub> is converted to sulfate. The  
26 SO<sub>2</sub> to BC emission ratio of anthropogenic emissions in the ECLIPSE inventory is 25 globally  
27 and 40 north of 50°N. For the GFED biomass burning emissions the emission ratio is only 1.7  
28 globally and 2.5 north of 50°N, and for the sum of anthropogenic and biomass burning  
29 emissions, we obtain ratios of 19 globally and 25 north of 50°N. The mean observed slopes of  
30 the observations (9.1 ng[SO<sub>4</sub>]/m<sup>3</sup> / ng[eBC]/m<sup>3</sup>) and the slopes modeled by DEHM (5.4  
31 ng[SO<sub>4</sub>]/m<sup>3</sup> / ng[BC]/m<sup>3</sup>), CESM1-CAM5.2 (9.9 ng[SO<sub>4</sub>]/m<sup>3</sup> / ng[BC]/m<sup>3</sup>) and WRF-Chem  
32 (8.5 ng[SO<sub>4</sub>]/m<sup>3</sup> / ng[BC]/m<sup>3</sup>) are much lower than the emission ratio of anthropogenic

1 emissions in the ECLIPSE inventory and they are also lower than the emission ratio for mixed  
2 anthropogenic and biomass burning emissions. This suggests that biomass burning emissions  
3 are relatively more important in the Arctic than elsewhere, that there are missing BC sources,  
4 that sulfur emissions are overestimated (although this is not so likely, given the too low SO<sub>2</sub>  
5 emissions in high latitude Russia in the ECLIPSE version 4a inventory used here), and/or that  
6 there exists a mechanism that enriches aerosols in BC relative to sulfate in the Arctic  
7 atmosphere. The latter could be related to the hydrophobic nature of freshly emitted BC.

## 8 **8 Conclusions**

9 Based on our comprehensive study of measured and modeled BC and sulfate in the Arctic, we  
10 can draw the following conclusions:

- 11 • The simulation of BC concentrations in the Arctic has improved compared to earlier  
12 studies (e.g. Shindell et al., 2008; Koch et al., 2009; AMAP, 2011). For instance, our  
13 model-mean underestimate of Arctic eBC at Barrow and Alert is about a factor of 2,  
14 compared to one order of magnitude reported in Shindell et al. (2008). Nevertheless, the  
15 aerosol seasonality at the surface is still too weak in most models. Concentrations of  
16 eBC and sulfate averaged over three surface sites in the western Arctic are  
17 underestimated in winter/spring in all but one model (model means for January-March  
18 underestimated by 59% and 37% for BC and sulfate), whereas concentrations in summer  
19 are overestimated in the model mean (by 88% and 44% for July-September), but with  
20 over- as well as underestimates present in individual models.
- 21 • For the aircraft campaigns, the models overestimated measured rBC during all seasons  
22 except for spring and throughout the depth of the troposphere. In spring 2009, no  
23 overestimate was found, and in spring 2008 the models underestimated both rBC and  
24 sulfate strongly. For rBC, this could have been due to underestimation of the strong  
25 influence of biomass burning emissions observed during that campaign. The largest  
26 eBC underestimates are found for the station Tiksi, which is closest to potential Russian  
27 source regions and where the annual mean eBC concentration is three times higher than  
28 the average annual mean for all other stations. This suggests an underestimate of BC  
29 sources in Russia in the emission inventory used, even though this inventory contains  
30 gas flaring as an important BC source there.

- 1 • We found a strong correlation between observed sulfate and eBC with consistent  
2 sulfate/eBC slopes for all Arctic stations. This confirms earlier studies that the source  
3 regions contributing to sulfate and BC throughout the Arctic are similar (e.g., Hirdman  
4 et al., 2010) and that the aerosols are internally mixed and undergo similar removal (e.g.,  
5 Quinn et al., 2007). However, only three models reproduced this finding, whereas  
6 sulfate and BC are weakly correlated in the other models.
- 7 • We found that overall, no class of models (e.g., CTMs, CCMs) performed substantially  
8 better than the others and model performance did also not depend on resolution.  
9 Therefore, differences are largely due to the treatment of aerosol removal in the models.

## 12 **Acknowledgements**

13 The research leading to these results has received funding from the European Union Seventh  
14 Framework Programme (FP7/2007-2013) under grant agreement no 282688 – ECLIPSE. Some  
15 of the work was conducted for and funded by the Arctic Monitoring and Assessment  
16 Programme (AMAP). French authors also acknowledge support from the CLIMSLIP-ANR  
17 project and computer resources provided by IDRIS HPC resources under the allocation 2014-  
18 017141 under GENCI. Contributions by SMHI were funded by the Swedish Environmental  
19 Protection Agency under contract NV-09414-12 and through the Swedish Climate and Clean  
20 Air research program, SCAC. Simulations with CanAM4.2 were supported by the Network on  
21 Climate and Aerosols: Addressing Key Uncertainties in Remote Canadian Environments  
22 (NETCARE), with partial funding from the Natural Sciences and Engineering Research  
23 Council of Canada (NSERC). This is PMEL contribution number 4276. ECMWF gave access  
24 to their meteorological data. Environment Canada provided the sulfate data and eBC data. Shao-  
25 Meng Li (Environment Canada) provided PAMARCMIP BC Dataset obtained by the EC  
26 system (SP2). We thank Stockholm University (P. Tunved) for eBC data from Zeppelin, and  
27 all contributors to the ARCTAS, ARCPAC, HIPPO, and PAMARCMiP campaigns. HIPPO  
28 data products were downloaded from <http://hippo.ornl.gov/dataaccess>. Julia Schmale is  
29 acknowledged for valuable discussion. We thank the two anonymous reviewers for their  
30 comments and suggestions.



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1 Table 1. Model overview

2

Model Name	Model Type <sup>1</sup>	Horizontal/vertical resolution Model domain	Meteorological fields; treatment of aerosol mixtures	Periods simulated / output temporal resolution	References
FLEXPART	LPDM	Met. Input data: 1°x1°92L global	ECMWF Operational Analyses; none	2008-2009 3h	Stohl et al. (1998, 2005)
OsloCTM2	CTM	2.8°x2.8°, global	ECMWF IFS Forecasts ; aerosol externally mixed	2008-2009 3h	Myhre et al. (2009), Skeie et al. (2011a, 2011b)
NorESM	CCM	1.9°x2.5°, global	Internal, observed SST prescribed; BC internally mixed	2008-2009 3h	Kirkevåg et al. (2013), Bentsen et al. (2013)
TM4-ECPL	CTM	2°x3°, global	ECMWF ERA-interim; aerosols externally mixed	2008-2009 24h	Myriokefalitakis et al. (2011); Kanakidou et al. (2012); Daskalakis et al. (2014)
ECHAM6-HAM2	ACM	1.8°x1.8°, global	ECMWFReanalysis; aerosols internally mixed	March-August, 2008, 1h	Stevens et al. (2013), Zhang et al. (2012)
SMHI-MATCH	CTM	0.57°x0.75°, 20-90°N	ECMWF – ERA-Interim; BC internally mixed	2008, 2009 1h	Andersson et al. (2007), Robertson et al. (1999)
CanAM4.2	ACM	2.8°x2.8°, global	Nudged to ECMWF temp. and winds; aged BC internally, near emission externally	2008-2009 3h	Von Salzen et al. (2013), von Salzen (2006)
DEHM	CTM	150km <60°N, 50km >60°N, 29L 0-90°N	NCEP; internally mixed aerosols	2008-2009 3h	Christensen (1997), Brandt et al. (2012)
CESM1/CAM5.2	CCM	1.9°x2.5°, global	Internal, observed SST prescribed; internally mixed aerosols	2008-2009 1h	Liu et al. (2012), Wang et al. (2013)
WRF-Chem	RCCM	100kmx100km 27-90° N	38L Nudged every 6h to FNL to all levels above the PBL; internally mixed aerosols	March-July 2008 3h	Grell et al. (2005), Zaveri et al. (1999), Zaveri et al. (2008)
HadGEM3	CCM	1.9°x1.3°, global	ECMWF ERA-interim; internally mixed aerosol	March-June, November 2008, January, May and November 2009 2h	Hewitt et al. (2011), Mann et al. (2010)

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<sup>1</sup>Chemistry transport model (CTM), Lagrangian particle dispersion model (LPDM), chemistry climate model (CCM), aerosol climate model (ACM), regional climate model coupled with a chemistry module (RCM)

1 Table 2. Median observed eBC and modeled BC mass surface concentrations in ng/m<sup>3</sup> as well  
 2 as measured and modeled sulfate (SO<sub>4</sub>) concentrations in the Arctic during winter/spring  
 3 (January to March) and summer (July to September). The data used are from the years 2008  
 4 and 2009 and were averaged for the three stations Alert, Barrow and Zeppelin. Notice that some  
 5 models do not cover the whole periods completely (see Table 1).

Model/obs	Winter/Spring BC [ng/m <sup>3</sup> ]	Summer BC [ng/m <sup>3</sup> ]	Winter/Spring SO <sub>4</sub> [ng/m <sup>3</sup> ]	Summer SO <sub>4</sub> [ng/m <sup>3</sup> ]
Measured	49.4	3.3	561.0	103.2
Model mean:	20.1	6.2	353.6	148.6
FLEXPART	40.2	7.7		
OsloCTM2	8.4	1.3	90.2	109.7
NorESM	13.0	4.4	394.2	70.8
TM4-ECPL	5.4	1.3	71.3	149.7
ECHAM6-HAM2	1.9	2.1	488.7	388.9
SMHI-MATCH	38.6	1.1	603.3	151.1
CanAM4.2	38.8	1.6	791.3	270.9
DEHM	57.1	11.6	434.6	61.1
CESM1-CAM5	21.3	5.1	210.5	21.9
WRF-Chem	14.9	32.3	408.8	246.6
HadGEM3	1.8	0.7	43.2	15.9

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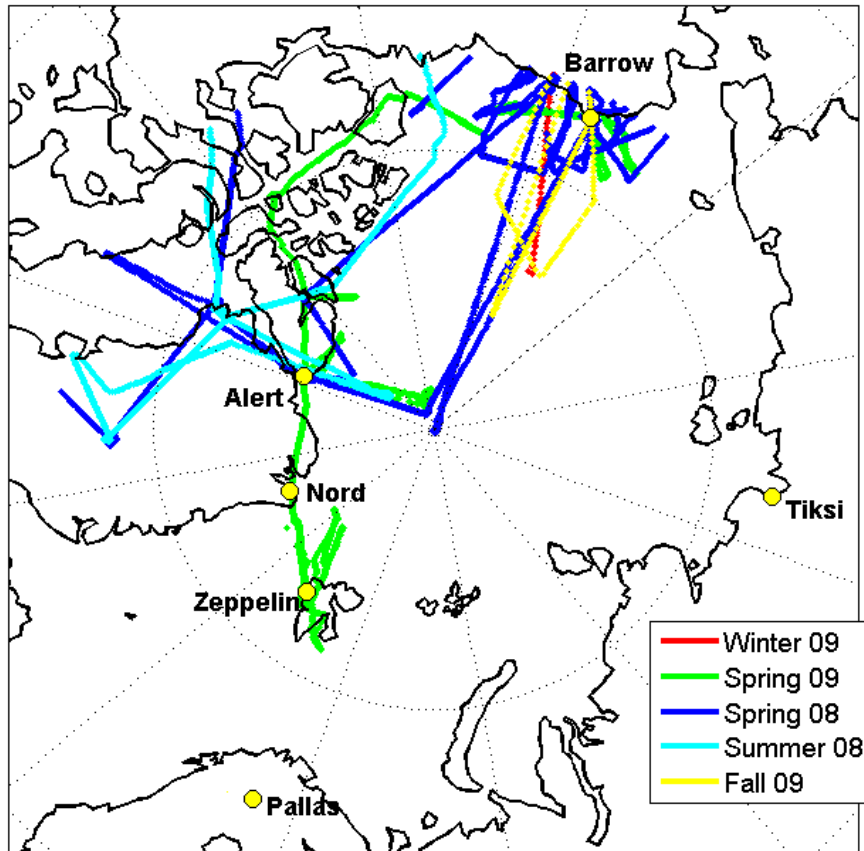
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1 Table 3: Slopes of regression lines between monthly mean concentrations of sulfate and (e)BC  
 2 for the different stations. Slopes are calculated both for the observations and the model values.  
 3 Values that are statistically significant at the 99.9% level are marked with an asterisk. For the  
 4 mean over all sites/models, only the statistically significant values were averaged.

	Alert	Barrow	Pallas	Zeppelin	Mean
Observations	<b>10.1</b>	6.4	<b>8.4</b>	<b>8.9</b>	9.1
Model mean	17.3	16.6	6.7	9.7	12.6
OsloCTM2	-8.6	2.4	-2.0	-5.5	-
NorESM	<b>35.3</b>	27.8	0.4	12.1	35.3
TM4-ECPL	9.5	<b>33.2</b>	<b>5.8</b>	8.1	19.5
ECHAM6- HAM2	30.0	90.4	1.0	-746.4	-
SMHI- MATCH	<b>25.6</b>	<b>25.9</b>	0.4	10.9	25.7
CanAM4.2	<b>18.2</b>	2.5	7.1	<b>12.4</b>	15.3
DEHM	<b>7.5</b>	<b>5.7</b>	<b>1.6</b>	<b>6.7</b>	5.4
CESM1- CAM5.2	<b>11.1</b>	<b>8.9</b>	<b>9.6</b>	<b>9.9</b>	9.9
WRF-Chem	<b>6.4</b>	<b>9.3</b>	<b>9.8</b>	2.4	8.5
HadGEM3	10.7	-8.7	-0.81	3.2	-

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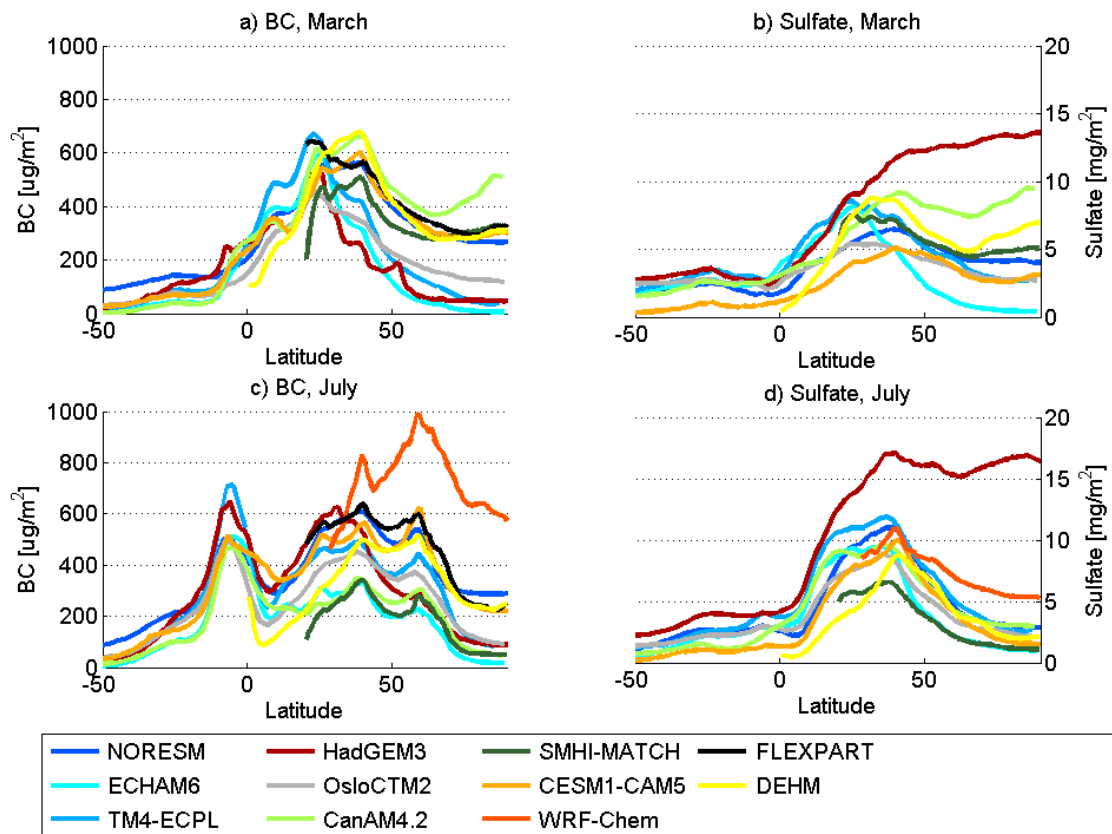
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2 Figure 1. Map showing the locations of the measurement stations (yellow circles) and the flight  
 3 tracks north of 70°N of all aircraft campaigns used in this study. Aircraft data were from the  
 4 HIPPO (winter 2009 and fall 2009), ARCTAS (spring and summer 2008), ARCPAC (spring  
 5 2008) and PAMARCMiP (spring 2009) campaigns.

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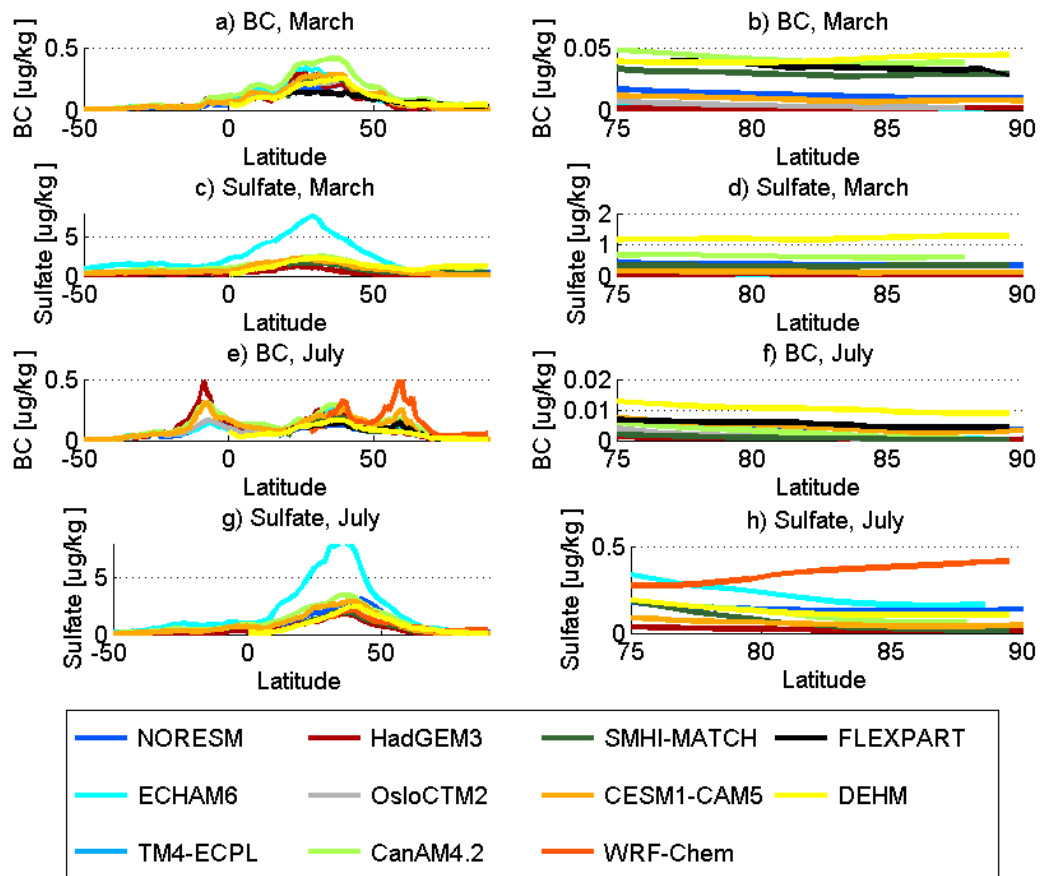


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2 Figure 2: BC (a, c) and sulfate (b, d) column mass loadings for the year 2008 averaged over  
 3 all longitudes as a function of latitude (for the range 50°S to 90°N) for March (a-b) and July  
 4 (c-d).

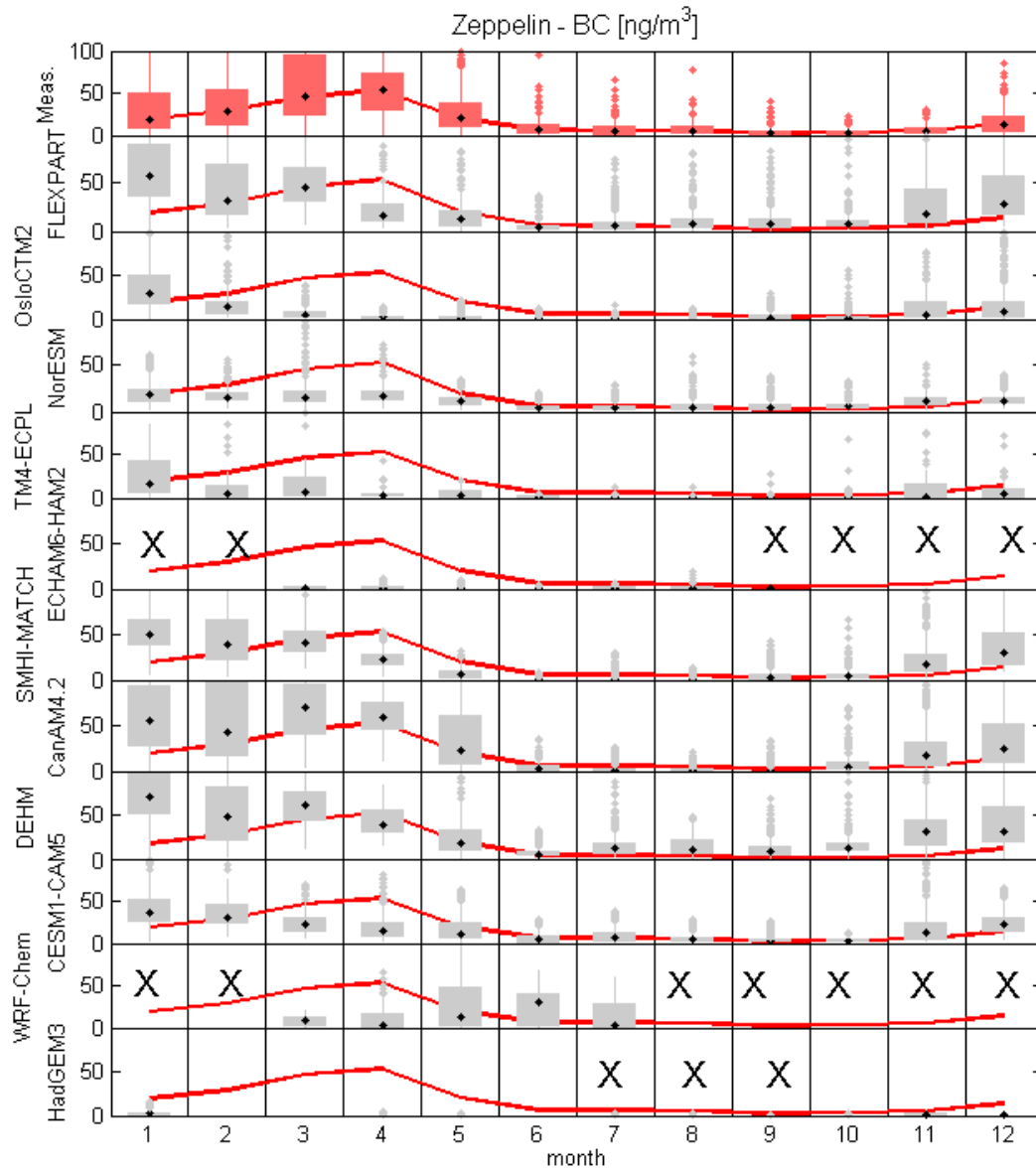
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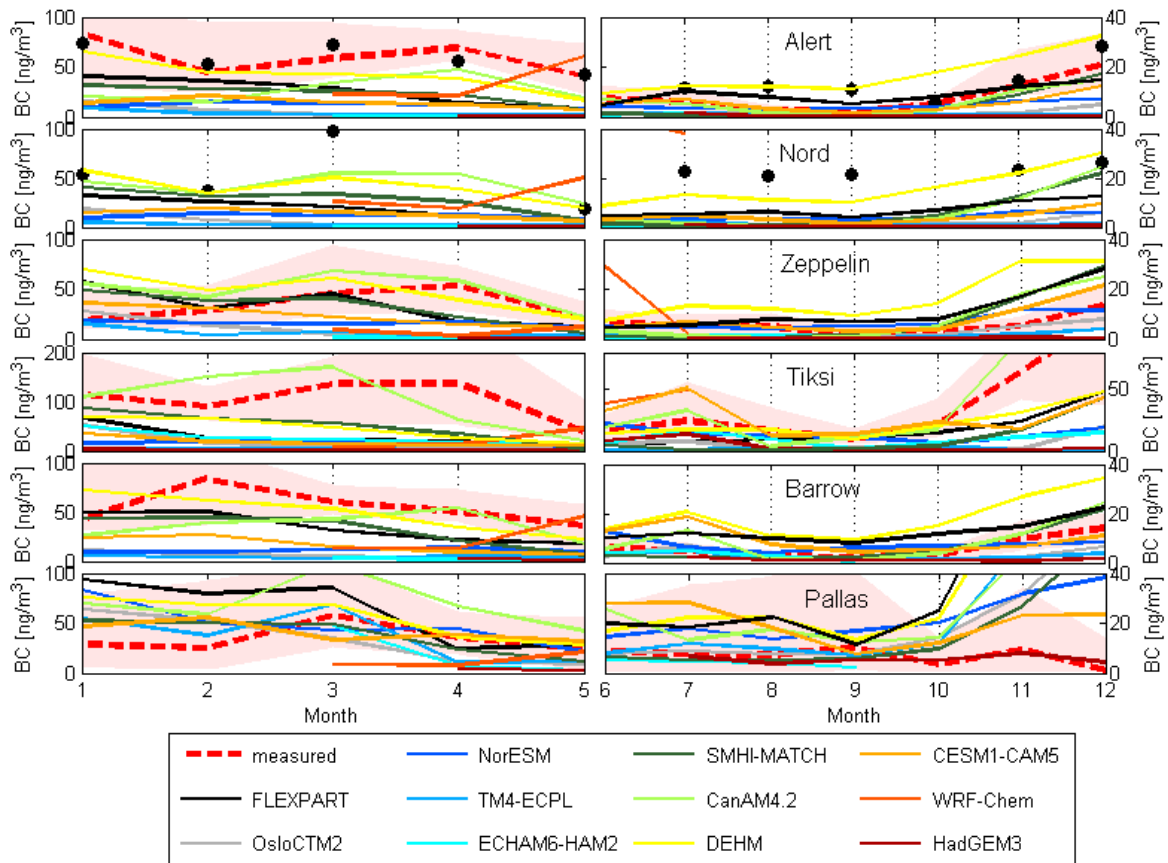
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Figure 3: BC (a-b, e-f) and sulfate (c-d, g-h) mass mixing ratios for the year 2008 at the surface averaged over all longitudes as a function of latitude (for the range 50°S to 90°N) for March (a-d) and July (e-h). The right panels show the same data as the left panels, but only for 70-90°N and with an adjusted ordinate scale.



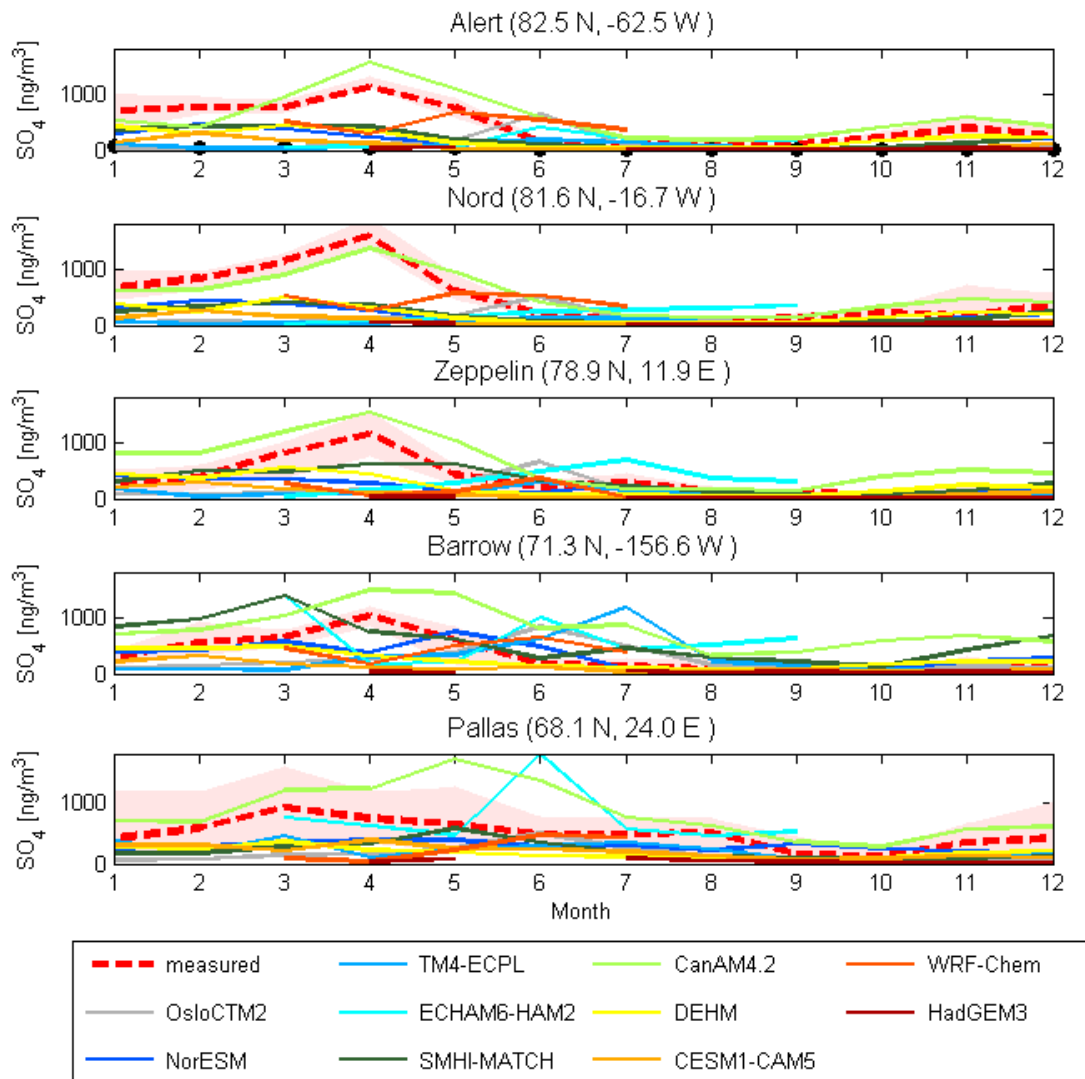
1  
 2 Figure 4. Observed and simulated mean annual cycle of (equivalent) BC mass concentrations  
 3 [ $\text{ng}/\text{m}^3$ ] at the Zeppelin station. Shown is the monthly frequency distributions using data from  
 4 the years 2008 and 2009. The uppermost panel (red boxes) shows monthly frequency  
 5 distributions of the observed eBC concentrations. The other panels below (grey boxes) show  
 6 monthly frequency distributions of the modeled BC concentrations. Black dots depict the  
 7 monthly median value, the grey boxes span the range between the 25th and 75th percentiles,  
 8 red and grey dots represent values which are outside the 1.5 fold of this interquartile range (grey  
 9 lines). The red line connects the monthly medians of the observed eBC concentrations in the  
 10 uppermost panel and is repeated in all other panels for the convenience of comparing modeled

1 and measured values. Missing model data are denoted with “X”. Notice that some models have  
2 very low BC mass concentrations, which are difficult to see on the scale used.  
3

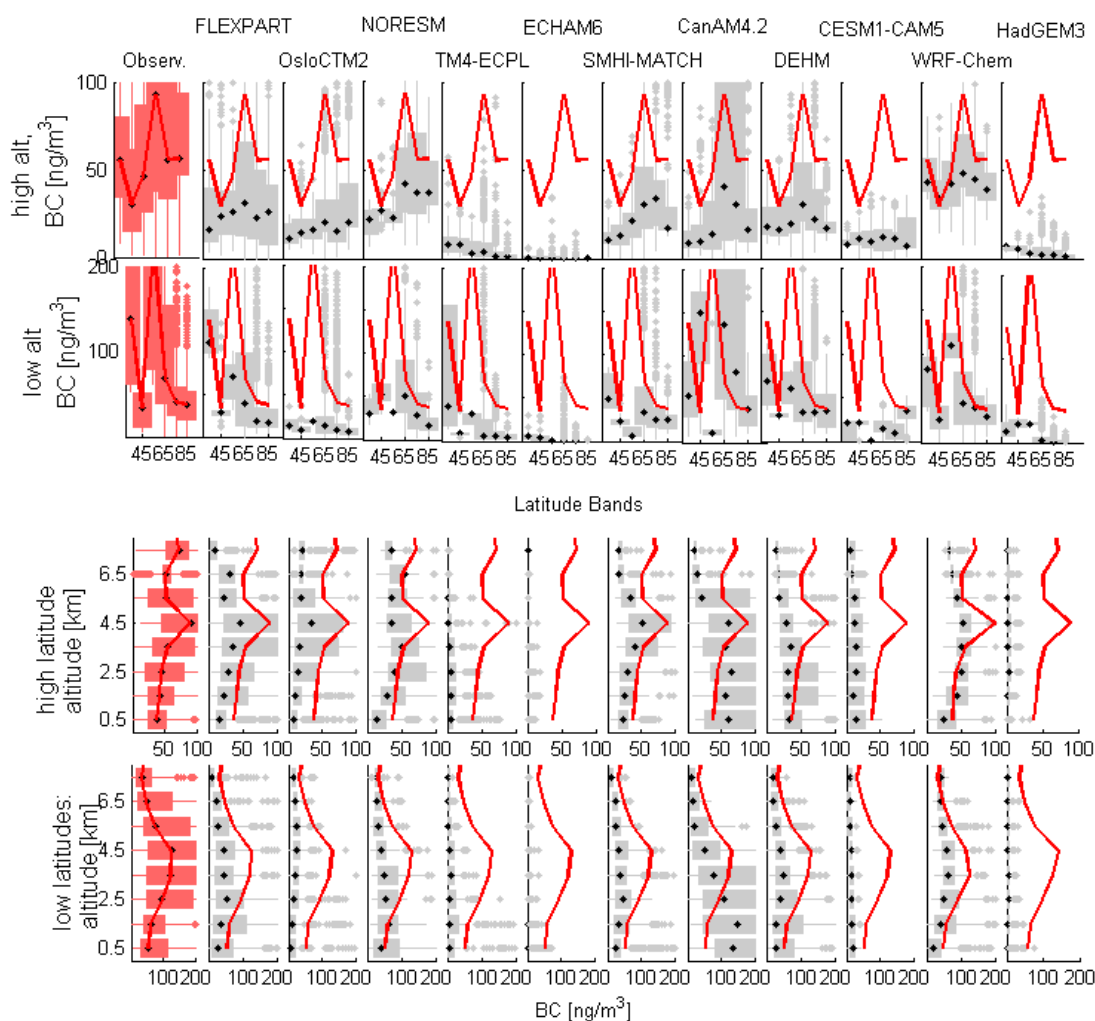


1  
 2 Figure 5. Surface concentrations of monthly (month is displayed on the abscissa) median  
 3 observed eBC or EC and modeled BC. Each row represents one station: (from top) Alert, Nord,  
 4 Zeppelin, Tiksi, Barrow and Pallas, for late winter/spring (left column) and summer/fall (right  
 5 column). The red dashed lines connect the observed median eBC values, the light red shaded  
 6 areas span from the 25<sup>th</sup> to the 75<sup>th</sup> percentile of the observations. The black dots are the EC  
 7 concentrations, which are available for Alert and Station Nord. Modeled median values are  
 8 shown with different lines according to the legend. Notice the difference in concentration scales  
 9 used for the left and right panels and also for the Tiksi station.

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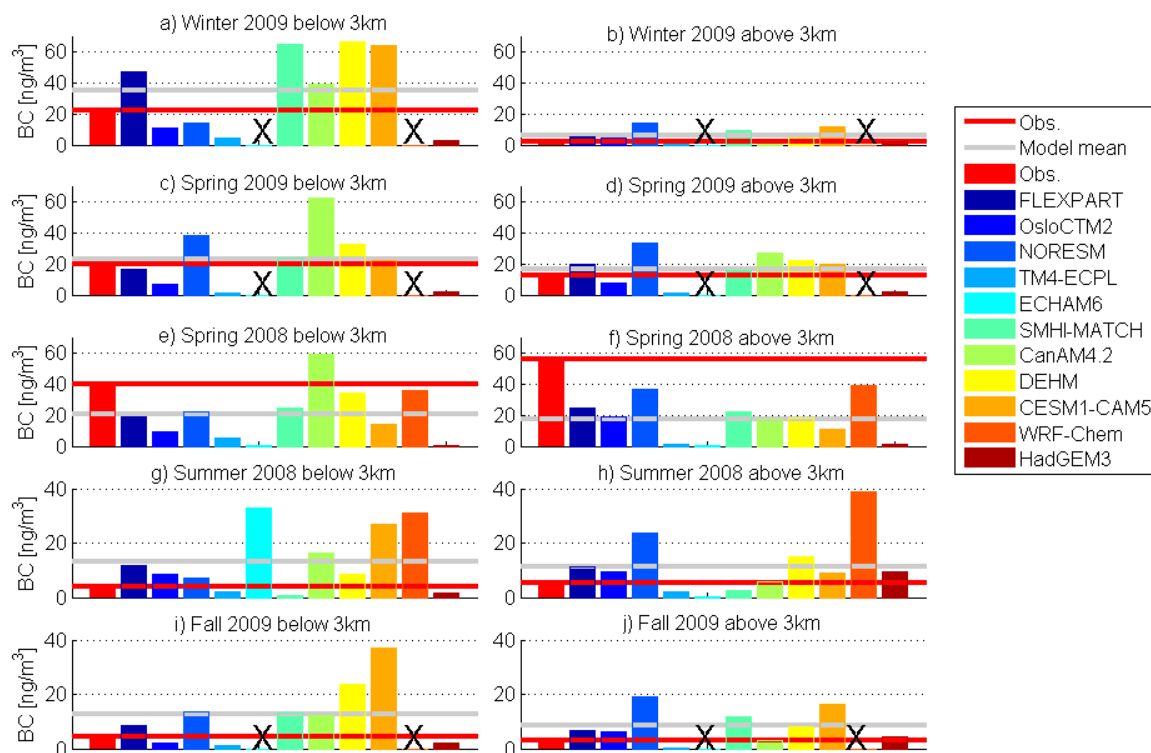


1  
 2 Figure 6. Monthly (month is displayed on the abscissa) median observed and modeled sulfate  
 3 surface concentrations for the stations (from top) Alert, Nord, Zeppelin, Barrow and Pallas. The  
 4 red dashed lines connect the observed median values. The light red shaded areas span from the  
 5 25<sup>th</sup> to the 75<sup>th</sup> percentile of the observations. Modeled median values are shown with different  
 6 lines according to the legend.

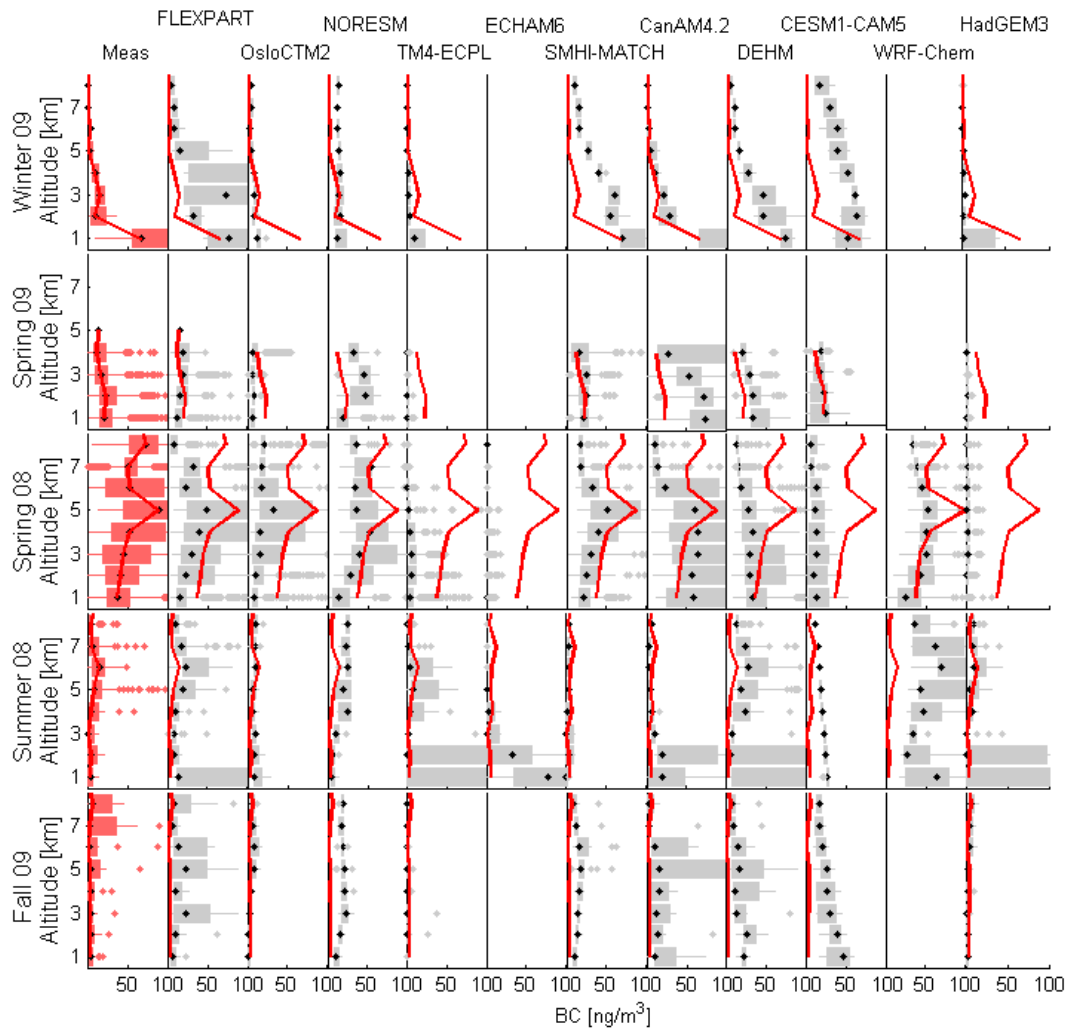


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 2 Figure 7: Comparison of modeled BC with observed rBC (red boxes and red lines) mass  
 3 concentrations from the ARCTAS-spring and ARCPAC campaigns in spring 2008. The  
 4 leftmost column shows box and whisker plots (like in Fig 4: boxes go from 25<sup>th</sup>  
 5 percentile, whiskers span the 1.5fold interquartile range) of observed rBC concentrations in  
 6  $\text{ng/m}^3$ . The black dots as well as the red lines represent the median values. The other columns  
 7 show the modeled BC concentrations for FLEXPART, OsloCTM2, NorESM, TM4-ECPL,  
 8 ECHAM6-HAM2, SMHI-MATCH, CanAM4.2, DEHM, CESM1-CAM5.2, WRF-Chem and  
 9 HadGEM3. The top row represents median (r)BC concentrations for altitudes below 3 km asl  
 10 as a function of latitude by binning the data into  $10^{\circ}$  latitude bands. The second row represents  
 11 median (r)BC concentrations for altitudes above 3 km asl. The third (bottom) row shows median  
 12 (r)BC concentrations for latitudes north of (south of)  $70^{\circ}\text{N}$  as a function of altitude by binning  
 13 the data into 1-km height intervals.

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1  
 2 Figure 8: Median observed rBC and modeled BC mass concentrations for the winter 2009  
 3 HIPPO (a, b) spring 2009 PAMARCMiP (c-d) spring 2008 ARCTAS/ARCPAC (e-f) summer  
 4 2008 ARCTAS (g-h) and the fall 2009 HIPPO (i-j) aircraft campaigns. The red bar and the red  
 5 horizontal line show the observations, the other colored bars the various models, the grey line  
 6 shows the mean value of all model medians. Results are shown separately for measurements  
 7 below 3 km (left panels) and above 3 km (right panels). Notice that the concentration scales on  
 8 the ordinates are different for the individual panels.



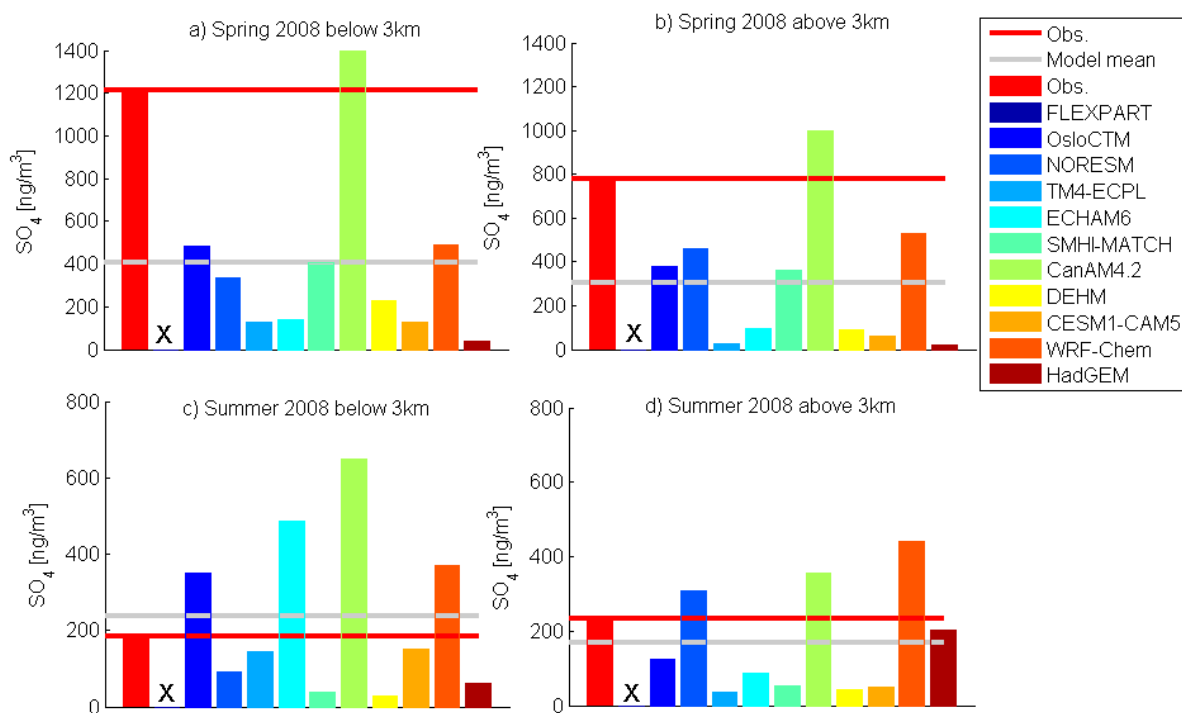
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2 Figure 9: Comparison of modeled BC with observed rBC mass concentrations as a function of  
 3 altitude for all data taken north of 70°N, for the different campaigns (same as in Fig. 8). The  
 4 leftmost column shows box and whisker plots of observed rBC concentrations in  $\text{ng}/\text{m}^3$ . The  
 5 black dots as well as the red lines represent the median values. The other columns show the  
 6 modeled BC concentrations for FLEXPART, OsloCTM2, NorESM, TM4-ECPL, ECHAM6-  
 7 HAM2, SMHI-MATCH, CanAM4.2, DEHM, CESM1-CAM5.2, WRF-Chem and HadGEM3.

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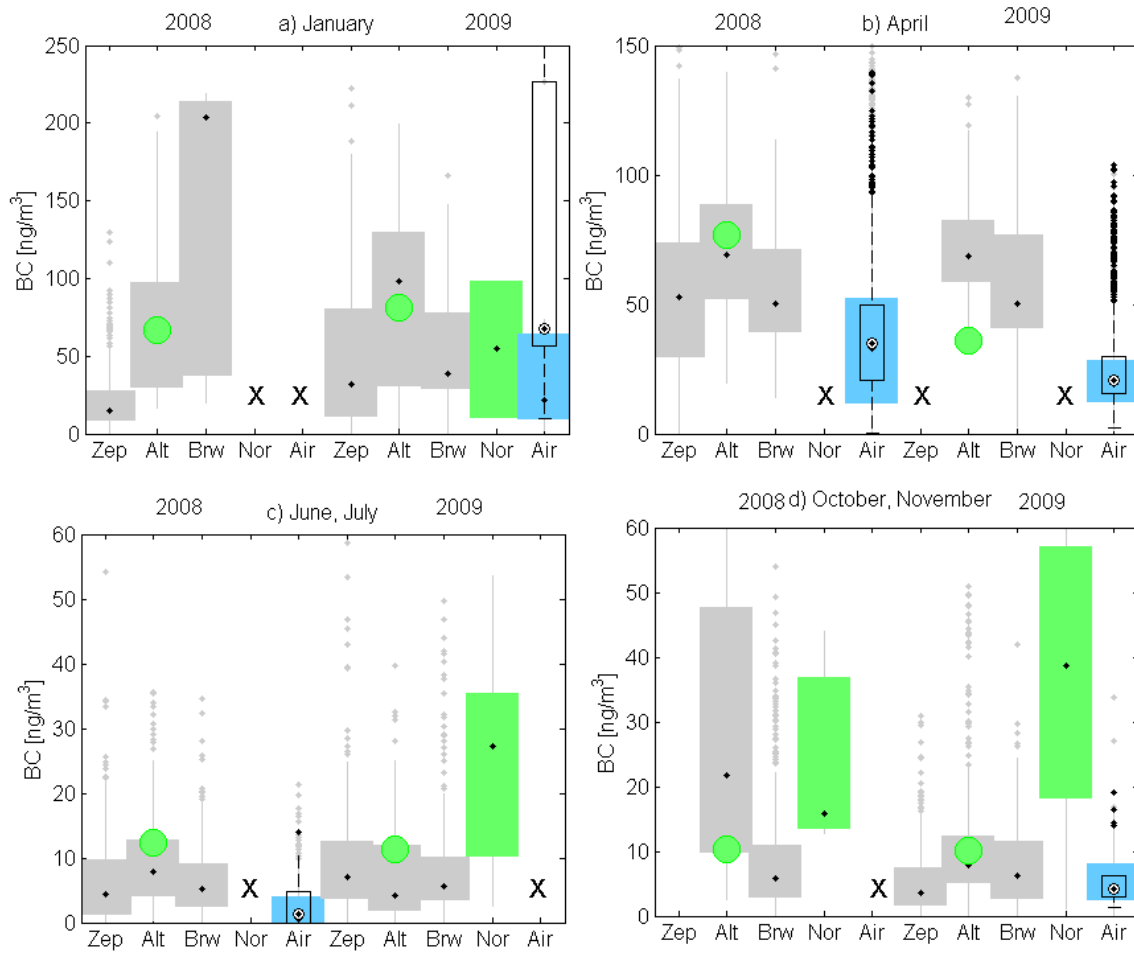
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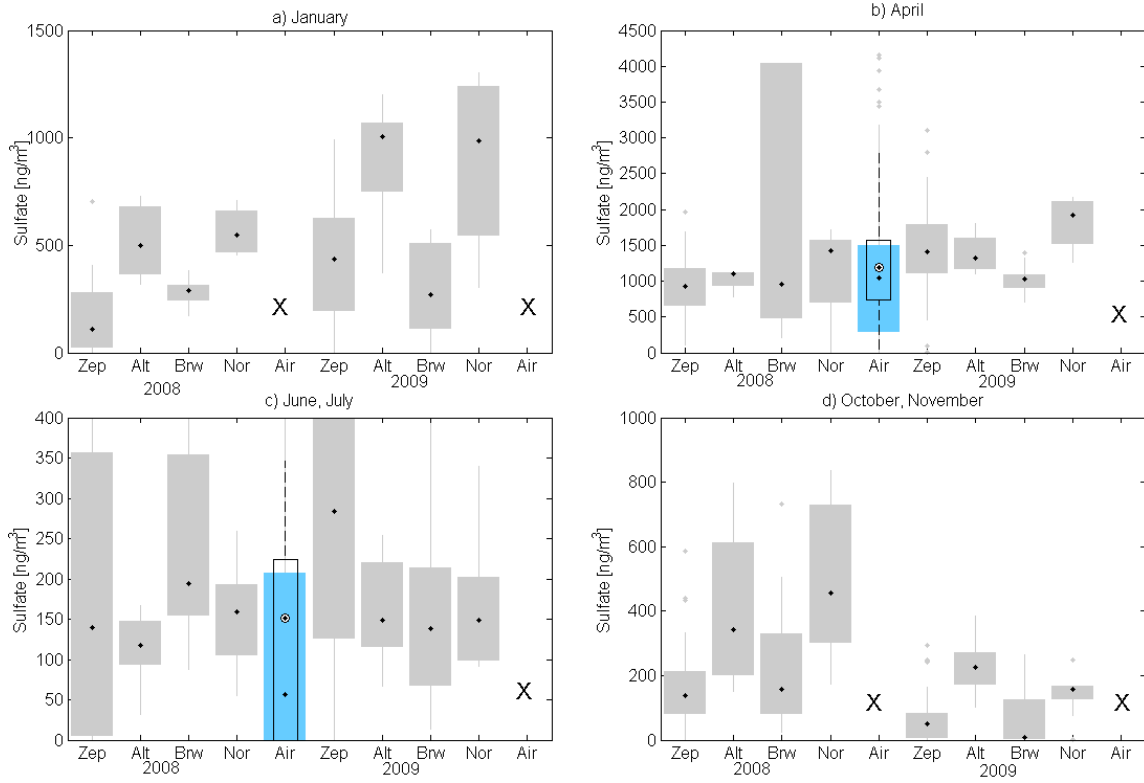
1  
 2 Figure 10: Median  $\text{SO}_4$  concentrations for the ARCTAS/ARCPAC spring 2008 (a-b) and  
 3 ARCTAS summer 2008 (c-d) campaigns. The red bar and the red horizontal line show the  
 4 observations, the other colored bars the various models. The analysis is performed for  
 5 measurements below 3 km (left panels) and above 3 km (right panels). Note: each row has a  
 6 different y-axis.

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1  
2 Figure 11: Comparison of eBC [ng/m<sup>3</sup>] measured at the stations Zeppelin (Zep), Alert (Alt),  
3 and Barrow (Brw) (grey bars), EC measured at Alert and Station Nord (Nord) (green dots and  
4 bars) and rBC [ng/m<sup>3</sup>] measured by aircraft (Air) in the lowest 3 km and 1 km, north of 70°N  
5 (blue bars) for the years 2008 and 2009 for a) January, b) April, c) June and July and d) October  
6 and November. The black dots represent the median, and the boxes the interquartile range. For  
7 the aircraft measurements, the blue boxes show the results for the lowest 3 km, the black box  
8 outlines show the results for the lowest 1 km.  
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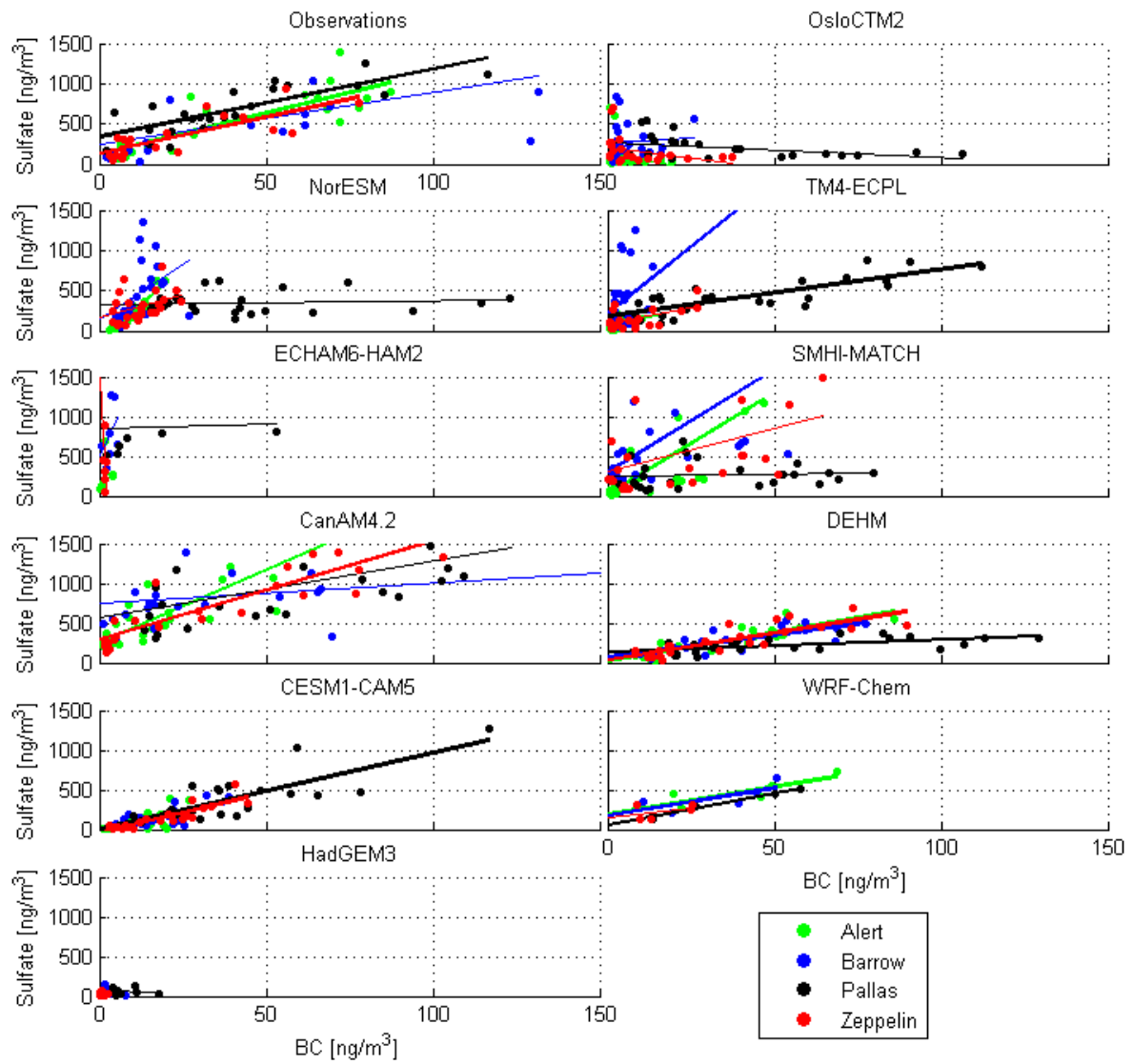
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3 Figure 12: Same as figure 9, but for sulfate.

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1  
 2 Figure 13: Correlation plots of monthly mean sulfate and (e)BC concentrations for the  
 3 observations (top left) and the different models sampled at the observation sites. Thick lines  
 4 denote significant correlations.

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 6