

1 **Detection of anthropogenic dust using CALIPSO lidar measurements**

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21 **Abstract**

22 Anthropogenic dusts are those produced by human activities on disturbed soils,
23 which are mainly cropland, pasture, and urbanized regions and are a subset of the total
24 dust load which includes natural sources from desert regions. Our knowledge of
25 anthropogenic dusts is still very limited due to a lack of data. To understand the
26 contribution of anthropogenic dust to the total global dust load, it is important to
27 identify them from total dust. In this study, a new technique for distinguishing
28 anthropogenic dust from natural dust is proposed by using Cloud-Aerosol Lidar and
29 Infrared Pathfinder Satellite Observation (CALIPSO) dust and planetary boundary
30 layer (PBL) height retrievals along with a land use dataset. Using this technique, the
31 global distribution of dust is analyzed and the relative contribution of anthropogenic
32 and natural dust sources to regional and global emissions are estimated. Results reveal
33 that local anthropogenic dust aerosol due to human activity, such as agriculture,
34 industrial activity, transportation, and overgrazing, accounts for about 25% of the
35 global continental dust load. Of these anthropogenic dust aerosols, more than 53%
36 come from semi-arid and semi-wet regions. Annual mean anthropogenic dust column
37 burden (DCB) values range from 0.42 g m^{-2} with a maximum in India to 0.12 g m^{-2}
38 with a minimum in North America. A better understanding of anthropogenic dust
39 emission will enable us to focus on human activities in these critical regions and with
40 such knowledge we will be better able to improve global dust models and to explore
41 the effects of anthropogenic emission on radiative forcing, climate change and air
42 quality in the future.

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45 **1 Introduction**

46 Dust accounts for some of the highest mass loadings in the atmosphere and plays
47 an important role in modulating radiative forcing and climate via a number of
48 complex processes (Huang et al., 2006a, 2006b, Su, et al., 2008, Huang et al., 2014).
49 Although mineral dust is widely distributed and has a relatively large optical depth,
50 the existing atmospheric dust load cannot be explained by natural sources alone
51 (Tegen and Tung, 1995). The atmospheric dust load that originates from soils
52 disturbed by human activities such as land use practices, which can be interpreted as
53 “anthropogenic” dust (Tegen and Fung, 1995) can increase dust loading, which, in
54 turn, affects the radiative forcing. It is critical to quantify the relative importance of
55 different dust sources and the factors that affect dust emissions to understand the
56 global dust cycle, including historical and future changes in dust emissions, as noted
57 by Okin et al. (2011) and Bullard et al. (2011).

58 Anthropogenic dust primarily originates from agricultural practices (harvesting,
59 ploughing, and overgrazing), changes in surface water (e.g., shrinking of the Caspian
60 and Aral Seas and Owens Lake), and urban and industrial practices (e.g., construction,
61 cement production, and transportation) (Prospero et al., 2002). Over the last few
62 decades, more frequent warmer and dryer (Huang et al., 2012) winters and springs in
63 semi-arid and semi-wet regions, in concert with changes in vegetated land cover due
64 to human activities, have likely increased anthropogenic dust emissions (Mahowald
65 and Luo, 2003; Moulin and Chiapello, 2004; Tegen et al., 2004). Mulitza et al.(2010)
66 demonstrated that the development of agriculture in the Sahel corresponded to a large

67 increase in dust emission and deposition in the region. The current consensus is that
68 up to half of the modern atmospheric dust load originates from anthropogenically
69 disturbed soils (Tegen et al., 2004). Sokolik and Toon (1996) revealed that the direct
70 solar radiative forcing from anthropogenic dust is very uncertain; thus, forcing from
71 anthropogenically generated dust aerosols may be comparable to forcings from other
72 anthropogenic aerosols. Therefore, a clear understanding of anthropogenic dust
73 emissions is critical for predicting how changes in land use (and thus changes in land
74 use policies) will influence dust emissions, loading, and deposition in the future (Okin
75 et al, 2011).

76 However, assessments of the role of anthropogenic activity in the atmospheric
77 dust cycle are limited by the accuracy of the available datasets (Mahowald et al.
78 2003a). There are large uncertainties regarding the impact of anthropogenic activities
79 on dust emissions (Sokolik and Toon, 1996). Understanding the radiative forcing
80 caused by dust both directly (e.g., by disturbing soils, removing vegetation cover, or
81 desiccating water bodies), and indirectly (e.g., by changing the climate or
82 hydrological cycle) requires an improved dataset. Although there are many examples
83 of humans altering their environment and thereby causing an additional dust burden, it
84 is challenging to separately quantify the natural and anthropogenic components of
85 mineral aerosols (Sagan et al., 1979). Sokolik and Toon (1996) made the rough
86 assumption that the dust production rate is linearly proportional to the dust source
87 area and estimated the amount of anthropogenic mineral aerosols through assessment
88 of the land area converted to desert by human activities. Tegen and Fung (1995)

89 estimated the anthropogenic contribution to mineral dust to be 30 to 50% of the total
90 dust burden in the atmosphere by using a three-dimensional atmospheric dust
91 transport model. Later, Tegen et al. (2004) provided an updated estimate by
92 comparing observations of visibility; they suggested that only 5 to 7% of mineral dust
93 is derived from anthropogenic sources by calibrating a dust-source model with
94 emission indices from dust storm observations. There is limited understanding of the
95 anthropogenic dust emissions because of the difficulty of identifying and measuring
96 them, which derives from strong heterogeneities in the sources (Mahowald et al.,
97 2003b). Ginoux et al. (2012) conducted one of the first studies that estimated
98 anthropogenic dust emissions using observations. They estimated that 25% of dust is
99 anthropogenic by using the Moderate Resolution Imaging Spectroradiometer (MODIS)
100 Deep Blue satellite products in combination with a land-use fraction dataset. A
101 limitation of these products is that they can be retrieved only over surfaces that are
102 bright at visible wavelengths, excluding forests and ocean surfaces. Additionally,
103 MODIS products do not include vertical distribution information and therefore cannot
104 readily exclude natural dust aerosols from deserts or marine seasalt aerosols that are
105 transported over anthropogenic sources.

106 The Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations
107 (CALIPSO) satellite can actively remotely sense cloud and aerosol vertical profiles
108 (Winker et al., 2007, Hu et al., 2007a, 2007b, 2009, Chen et al. 2010). CALIPSO's
109 measurement of vertical resolution and polarization ratios can provide new insights
110 into global anthropogenic dust emissions. In this study, we develop a new technique

111 for detection of anthropogenic dust emissions that uses CALIPSO lidar measurements
112 and analyzes their global distribution. Section 2 presents the data used in this study,
113 and the method for separating anthropogenic from natural dust is outlined in Section 3.
114 Section 4 discusses the calculation of the anthropogenic dust column burden (DCB).
115 Section 5 presents the global distribution of anthropogenic dust. Finally, the
116 conclusions are presented in Section 6.

117 **2 Data**

118 **2.1 CALIPSO data**

119 This study relies on the CALIPSO Cloud-Aerosol Lidar with Orthogonal
120 Polarization (CALIOP) for dust detection. CALIOP acquires vertical profiles of
121 elastic backscatter at two wavelengths (532 and 1064 nm) and linear depolarization at
122 532 nm from a near nadir-viewing geometry during both day and night (Winker et al.,
123 2007, Hu et al., 2007a, 2007b, 2009, Chen et al., 2010). This study uses Level 1
124 backscatter, depolarization ratio, and color ratio profiles along with the Level 2
125 Vertical Feature Mask (VFM) products and 5-km Aerosol Profile Products. The
126 depolarization ratio is a useful indicator for identifying non-spherical particles, and it
127 can distinguish between atmospheric dust and spherical aerosols (Liu et al., 2004, Sun
128 et al., 2013). The CALIPSO algorithm classifies aerosol layers that have volume
129 depolarization ratio (δ_v) greater than 0.075 as dust (Omar et al., 2009; Mielonen et al.,
130 2009). Mielonen et al. (2009) also confirmed that classification of dust is more
131 reliable than classification of fine aerosols because depolarization ratio can be used to
132 distinguish non-spherical aerosols from spherical ones while the color ratio is

133 sensitive mainly to particle size.

134 The CALIPSO Level 2 lidar VFM product (Liu et al., 2004; Vaughan et al., 2004)
135 provides information about cloud and aerosol layer boundaries and positions. In
136 CALIPSO Version 3 VFM data, the cloud aerosol discrimination (CAD) algorithm
137 separates clouds and aerosols based on multi-dimensional histograms of scattering
138 properties (e.g., intensity and spectral dependence), that is, the altitude-and
139 latitude-dependent feature integrated color ratio, χ' , the layer-integrated volume
140 depolarization ratio, δ_v , and the feature mean attenuated backscatter coefficient, β'_{532}
141 (Liu et al., 2010). A parameter (CAD score) indicates confidence to distinguish a
142 feature (aerosol or cloud) using the CAD algorithm. Liu et al. (2010) revealed that the
143 feature classification is more reasonable by using, higher magnitude of absolute CAD
144 score and suggested the absolute values of selected CAD score is larger than 70. In
145 our study, we selected a features where the $|\text{CAD}| \geq 70$ as well.

146 The Level 2 Aerosol Profile Product (Young and Vaughan, 2009) provides
147 profiles of particle extinction coefficient and backscatter and additional profile
148 information. In addition, the CALIPSO extinction quality control (QC) flags were
149 also provided. Extinction QC=0 (the lidar ratio is unchanged during the extinction
150 retrieval) and QC=1 (if the retrieval is constrained) are chosen in this paper, which are
151 used to calculate optical depth by integrating extinction coefficients. Chen et al. (2013)
152 noted that the impact of the screening procedure in this specific case is negligible.

153 **2.2 Land cover data**

154 The Collection 5.1 MODIS global land cover type product (MCD12C1) from

155 2011 is used in this study to provide anthropogenic dust source types. The MCD12C1
156 product has 0.05° spatial resolution, includes 17 different surface vegetation types,
157 and was developed by the International Geosphere-Biosphere Programme data (IGBP)
158 (Loveland and Belward, 1997; Friedl et al., 2010). It provides the dominant land
159 cover type as well as the sub-grid frequency distribution of land cover classes within
160 each 0.05° cell. Because we are focusing on sources of anthropogenic dust in this
161 paper, we limit our study to three agricultural surface types: Croplands, Grasslands,
162 and Cropland Mosaics. Cropland Mosaics are lands with a mosaic of croplands less
163 than 60% of the landscape (Friedl et al., 2002). Because urban environments can also
164 be sources of anthropogenic dust, we get information about the extent of urban areas
165 from the Global Rural-Urban Mapping Project (GRUMP) v1 (Schneider et al., 2010)
166 dataset. In Figure 1, we summarize the geographical distribution of the anthropogenic
167 dust source types described above. The colors indicate the locations of the four
168 different anthropogenic dust source types: red represents urban areas, orange
169 represents grassland, yellow represents cropland, and green represents cropland
170 mosaics. The four black rectangles denote four regions that will be emphasized later:
171 Eastern China, India, North America, and Africa.

172 **2.3 Precipitation data**

173 Anthropogenic dust emissions depend on soil moisture content and therefore on
174 precipitation and climate state regime. In this study, we use precipitation as a proxy
175 for climate state regime. The University of East Anglia Climate Research Unit (CRU)
176 Global Climate Dataset provides the monthly mean precipitation climatologies for

177 global land areas, excluding Antarctica (New et al., 1999), which is used in this study.
178 The data set is based on analysis of over 4000 individual weather station records and
179 is provided at 0.5° latitude and longitude resolution. The CRU Global Climate Dataset
180 temperature and precipitation, estimates were made for 80-100% of the land surface
181 (Mitchell and Jones, 2005). In this study the monthly mean climatology was
182 calculated relative to the average for the period 1961-1990.

183 **3 Calculation of dust column burden (DCB)**

184 Based on the detection methods described above, we are able to identify
185 anthropogenic dust and calculate anthropogenic dust column burden as a subset of the
186 global dust column burden. First, we used the dust extinction coefficient through the
187 parameter “Atmospheric Volume Description” which is used to discriminate between
188 aerosols and clouds in the CALIPSO Level 2 aerosol extinction profile products. Then,
189 dust extinction coefficients with higher confidence levels ($|CAD| \geq 70$) (Liu et al.,
190 2010) and quality-control (QC=0 or QC=1) based on the study of Chen et al. (2013)
191 were selected. Therefore, dust optical depth (DOD, τ) can be calculated by integrating
192 the CAD and QC quality-controlled extinction coefficient of dust aerosol over the
193 height of the dust layer.

194 After calculating global total DOD (τ_t) and anthropogenic DOD (τ_a) from the
195 CALIPSO profile products between January 2007 and December 2010, we were able
196 to calculate dust column burdens. The conversion from dust optical depth (τ) to dust
197 column mass burden (M) was calculated following Ginoux et al. (2001):

$$198 \quad M = \frac{4 \rho r_{eff}}{3 Q_{ext}} \tau = \frac{1}{\epsilon} \tau(1)$$

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199 Where, r_{eff} is the dust effective radius, ρ is the density of dust, Q_{ext} is the dust
200 extinction efficiency, and ε is the mass extinction efficiency. Ginoux et al. (2012) used
201 daily global DOD from MODIS deep blue aerosol products and converted it into
202 column burden. In this study, we follow those empirical values taken by Ginoux et al.
203 (2012) and assume $r_{\text{eff}} = 1.2 \mu\text{m}$, $\rho = 2600 \text{ kg m}^{-3}$, $Q_{\text{ext}} = 2.5$, $\varepsilon = 0.6 \text{ m}^2 \text{ g}^{-1}$, and τ is
204 the dust optical depth derived from the CALIPSO retrievals.

205 **4 Dust Detection and Identification Methods**

206 It is a challenge to distinguish the anthropogenic dust component from natural
207 dust (Sagan et al., 1979; Sokolik and Toon, 1996) due to the indirect nature of the
208 satellite-based measurement data. In 2012, Ginoux et al. proposed a method to detect
209 anthropogenic dust by using MODIS deep blue products, but MODIS, a passive
210 instrument, has limited accuracy over relatively bright, land surfaces. In order to get
211 more accuracy and comprehensive results, we developed a new method to separate
212 natural and anthropogenic dust and assess anthropogenic impacts on dust emissions at
213 the global scale by using CALIPSO measurements.

214 Figure 2 shows a schematic of dust sources and vertical and horizontal transport
215 processes underlying our approach for separating anthropogenic dust from natural
216 dust. The yellow dots represent dust aerosol in the atmosphere; the arrows and red
217 wavy lines indicate lifting and turbulence, respectively. It illustrates that natural dust
218 from deserts can undergo long-range transport to other regions by lifting through the
219 planetary boundary layer (PBL) to the free troposphere, as confirmed by Chen et al.
220 (2013). Horizontal transport of natural dust aerosols occurs mainly above the PBL

221 (Jordan et al., 2010; Yu et al., 2012). Only a small amount of this dust enters and
222 remains within the PBL. However, it is this fraction that may be most relevant to air
223 quality (Yu et al., 2012). Dusts from other land surface types and pollution sources are
224 predominately trapped in the PBL where industrial and commercial activities, except
225 for air travel, are conducted (Stull, 1988; 2000). We go through four steps to
226 discriminate anthropogenic dust from natural dust in the CALIPSO data. The first step
227 is to detect the total dust load (both natural and anthropogenic). The second step is to
228 determine the source region of the dust. The third step is to determine the height of
229 PBL, and the final step is to determine which dust is anthropogenic dust i.e., that
230 subset of the total dust within PBL.

231 **4.1 Step 1: Total dust detection**

232 Aerosol subtypes are stored in the parameter “Feature Classification Flags” of
233 CALIPSO VFM data. Therefore, dust aerosols are identified by Feature Classification
234 Flags in this paper. We only use dust aerosol feature for which there is high
235 confidence, i.e., absolute values of CAD score greater than 70. Then, dust aerosol
236 extinction coefficients are integrated under the condition of extinctions $QC=0$ and
237 $QC=1$, which are chosen from CALIPSO’s aerosol profile product. Next, we
238 calculated dust aerosol optical depth as well as dust column burden (g m^{-2}) in Eq. (1).

239 **4.2 Step 2: Selection of source regions of anthropogenic dust**

240 As stated previously, anthropogenic dust mainly comes from harvesting,
241 ploughing, overgrazing, construction, traffic, etc. We assume that anthropogenic dust
242 will typically be emitted from cropland, grasslands, and urban surfaces (referred to as

243 “anthropogenic surface”), will have thinner dust aerosol layers, will be predominately
244 trapped in PBL, and will rarely be lifted into the free atmosphere by wind and
245 turbulence. Therefore, we restrict our source regions to the urban, grassland, cropland,
246 and mosaic cropland surfaces from the MODIS and GRUMP datasets, as seen in Fig. 1.

247 **4.3 Step 3: Determination of PBL height**

248 In this step, we determine and used PBL height to exclude long-distance
249 transport of dust aerosol from dust sources above the anthropogenic surface described
250 above, so it is important to accurately determine PBL height to separate out the
251 anthropogenic dust.

252 We can use CALIPSO to determine PBL height because, in general, the PBL is
253 capped by a temperature inversion that tends to trap moisture and aerosols. The
254 gradient of backscatter seen by lidar is almost always associated with this temperature
255 inversion and the simultaneous decrease in moisture content (Palm et al., 1998; Melfi
256 et al., 1985). Thus, the definition of the PBL top as the location of the maximum
257 aerosol scattering gradient is analogous to the more conventional thermodynamic
258 definition. McGrath-Spangler and Denning (2012) revealed that the Modern-era
259 Retrospective Analysis for Research and Applications (MERRA) PBL depths are
260 within 25% of the estimates derived from the maximum standard technique (Jordan et
261 al., 2010) by CALIPSO, which is better than radiosonde estimates of space/time
262 average PBL depth (Angevine et al., 1994).

263 We modified the maximum standard technique developed by Jordan et al. (2010)
264 and derived global PBL heights using this method, which are consistent with results

265 of McGrath-Spangler and Denning (2012). And, we found that this technique
266 compared favorably to the ground-based lidar at the Semi-Arid Climate and
267 Environment Observatory of Lanzhou University (SACOL) (Huang et al., 2008) with
268 a correlation coefficient of 0.73 (Liu et al., 2014).

269 **4.4 Step 4: identification of anthropogenic dust within PBL**

270 The final step is to identify the anthropogenic dust within the PBL. Two
271 parameters, the layer integrated depolarization ratio δ' and the layer integrated
272 attenuated backscatter coefficient γ' , can be used to explore the difference in optical
273 properties between natural dust and anthropogenic dust. As an illustration of the
274 process and resulting output of this step, we chose two typical areas based on dust
275 optical depth (τ), population density, and land cover distribution, to represent sources
276 of anthropogenic dust (North China: 35.0-39.0°N, 114.0-118.0°E) and natural dust
277 source (Taklamakan: 38.0-40.0°N, 78.0-83.0°E). Because spring (March to May) is
278 the most active season for dust emission in the Taklamakan region, 4 years (2007
279 through 2010) of spring, daytime CALIPSO measurements were used to look at the
280 optical properties of natural dust aerosol. Because anthropogenic dust has little
281 seasonal dependence and natural dust is at its minimum during dust in active season
282 (eg. Autumn for Northern China), we used 4 years (2007 through 2010) of autumn
283 measurements to look at the optical properties of anthropogenic dust. For these two
284 seasons, the statistical distribution of the layer-integrated δ' and γ' for both
285 anthropogenic dust and natural dust from the entire profile and within the PBL,

286 respectively was constructed by summing occurrences within grid boxes of $\Delta\delta' - \Delta\gamma'$
287 measuring 0.01-by-0.001 sr^{-1} .

288 In Fig. 3 (a), we can see that a threshold of $\delta' = 0.25$ can be used to discriminate
289 dust based on the entire profiles from the Taklamakan and North China. Fig. 3 (b)
290 shows that a lower threshold of $\delta' = 0.23$ can be used to separate anthropogenic dust
291 from natural dust within the PBL. The larger threshold value for the entire profile
292 compared to the PBL is mainly due to the fact that natural dust transport above the
293 PBL in North China leads to a larger depolarization ratio. Furthermore, anthropogenic
294 dust has lower layer-integrated attenuated backscatter is because anthropogenic dust
295 produced by human activities and generally mixed with other type aerosols within the
296 PBL, which has lower non-spherical. Natural dust is more non-spherical than
297 anthropogenic dust, so anthropogenic dust has lower layer-integrated depolarization
298 ratio than natural dust.

299 Therefore, anthropogenic dust could be accurately distinguished from natural
300 dust by the above steps. Inevitably, there are some misclassifications of anthropogenic
301 and natural dust owing to anthropogenic dust mixed with natural dust above and
302 below the PBL. This problem should be kept in mind in the following results and
303 discussion. Quantitatively, $\sim 9.6\%$ of anthropogenic dust is misclassified as natural
304 dust and 8.7% of natural dust is misclassified as anthropogenic dust within the PBL
305 along with the anthropogenic dust, respectively.

306 A detailed flow chart of the anthropogenic dust detection algorithm is shown in
307 Figure 4.

308 **5 Results**

309 The global distributions of seasonal mean and total DOD with $1.25^\circ \times 1.25^\circ$
310 resolution derived from CALIPSO measurements for 2007-2010 are presented in Fig.
311 5. Dust covers a larger area in the Northern Hemisphere than in the Southern
312 Hemisphere. The Taklamakan and Gobi Deserts in China (Qian et al., 2002, Huang et
313 al., 2007, 2008) and the deserts on the Indian Subcontinent (Middleton, 1986) are
314 major dust source regions that are subordinate only to North Africa and the Arabian
315 Peninsula (Prospero et al., 2002; Liu et al., 2008). These major dust sources are
316 located in the broad “dust belt” that stretches from the western coast of North Africa
317 to China, covering the Sahara and Sahel regions, the Arabian Peninsula, northern
318 India, the Tarim Basin and the Gobi Desert (Herman et al., 1997; Prospero et al., 2002;
319 Liu et al., 2008, Huang et al., 2010, 2014). The dust sources are usually associated
320 with topographical basins in these arid regions, on land adjacent to high mountainous
321 or plateau regions or in intermountain basins as discussed in detail by Prospero et al.
322 (2002). The annual rainfall in these dust source regions is generally low, less than
323 200–250 mm. Significant seasonal variation in the DOD is illustrated panels (a)
324 through (d) of Fig. 5. Dust outbreaks are most active in this dust belt during the spring
325 and summer. In North Africa and the Arabian Peninsula, summer is the most active
326 season, where as spring is the most active season in the Indian subcontinent and the
327 Taklamakan region. In the Arabian Peninsula, the Indian subcontinent and
328 Taklamakan, dust activities weaken rapidly in autumn, reaching a minimum in winter.
329 Fig. 5 illustrates a major dust transport pathway, in which the trans-Atlantic transport

330 of North African dust stretches the “dust belt” towards the North American continent.
331 North African dust is transported across the Atlantic throughout the year, although
332 spring and summer are the most active seasons and autumn is the least active. Fig. 5
333 also shows that the Hexi Corridor is a minor transport pathway in East Asia, although
334 it is clearly subordinate to the North Atlantic pathway.

335 Using eq. (1), we calculated the global annual mean total DCB to be 79.3 Tg.
336 The global seasonal mean values, which are 81.5 (spring), 81.0 (summer), 73.7
337 (autumn) and 77.5 (winter) Tg, indicate that the dust burden in the atmosphere is
338 greater during the spring and summer. According to Huneus et al. (2011), the global
339 annual mean dust burden values from 14 models range from 6.8 to 29.5 Tg. These
340 values are far less than our results, possibly because we include air masses with both
341 pollution aerosols and dust, thereby accounting for episodes in which dust mixes with
342 smoke from biomass burning, urban pollution and sea salt aerosols (Omar et al., 2009).
343 In these cases the depolarization ratio is dominated by the dust component, thus
344 causing the entire mixture to be classified as dust and imparting a positive bias to the
345 DCB.

346 Fig. 6 illustrates the global distribution of the seasonal mean anthropogenic
347 DCBs. The global seasonal mean anthropogenic DCBs are 7.0 (spring), 6.9 (summer),
348 6.1 (autumn), and 6.0 (winter) Tg, respectively. This pattern differs from the seasonal
349 pattern of natural DOD (τ_n); the anthropogenic DCB has minimal seasonal variation
350 because anthropogenic dust emissions are controlled by human activities and urban
351 pollutants. Greater DCBs occurred in eastern China, India, and North Africa during all

352 seasons. These greater DCBs are related to higher population densities in eastern
353 China and India and biomass burning throughout the year in Africa because of
354 farmers preparing land for the agricultural season and grazing (Justice et al., 1996).
355 The global annual mean anthropogenic DCB is 6.7 Tg, which accounts for 8.4% of
356 the total global DCB. To avoid the impact of dust on the ocean, we only calculated
357 global continental dust aerosols. We found that anthropogenic dust sources account
358 for 24.8% of total continental dust sources (including polluted dust). There are two
359 reasons for the difference between our results and those of Ginoux et al. (2012): first,
360 the MODIS Deep Blue algorithm only retrieves the DOD over bright surfaces
361 (excluding forest and oceans), thus leading to a lower dust burden. Second, MODIS
362 data products lack vertical information; therefore, they cannot extract natural dust
363 from deserts transported to anthropogenic surfaces, and thus they tend to yield larger
364 results.

365 Fig. 7 shows the global distribution of the anthropogenic dust percentage of the
366 total DCB over land. This figure illustrates the significance of human activities on
367 dust in many areas. Several features are evident in these maps. Highly populated or
368 intensively cultivated agricultural regions, such as eastern North America, India,
369 eastern China and Europe, all have anthropogenic dust percentages of greater than
370 60%. Lower percentages occur over places such as western North America and North
371 Africa, where less human activity leads to fewer anthropogenic dust aerosols.

372 Fig. 8 compares the global DCB as a function of climatological mean
373 precipitation for spring, summer, autumn, and winter. Although precipitation is related

374 to the surface temperature, the long-term mean precipitation is the simplest index for
375 classifying climate regions. The mean precipitation varies from less than 100 mm yr⁻¹
376 to a maximum of 2000 mm yr⁻¹ in Fig. 8, and the interval value is 100 mm yr⁻¹. The
377 average anthropogenic DCB that corresponds to each precipitation intervals is plotted.
378 Fig. 8 shows that anthropogenic dust mainly comes from semi-arid and semi-wet
379 regions over the entire year. Semi-arid regions are transition zones between arid and
380 semi-wet regions. They are defined as areas in which the precipitation is less than the
381 potential evaporation, and are characterized by high temperatures (30-45°C) during
382 the hottest months. The annual mean precipitation ranges from 200 to 600 mm yr⁻¹ in
383 semi-arid regions. Semi-wet regions cover considerable parts of eastern North
384 America, Europe, and central China, with precipitation ranging from 600 to 800 mm
385 yr⁻¹. The total anthropogenic DCB is greater in spring and summer than in autumn and
386 winter. This difference is most significant in arid regions. There is almost no
387 anthropogenic dust observed in arid regions because of the minimal agricultural and
388 human activities and urban pollutions. Table 1 presents the annual mean
389 anthropogenic DOD (τ_a), total area, total anthropogenic DCB and the percentage
390 contribution to the total DCB from wet, combined semi-arid and semi-wet, and arid
391 regions. In wet regions the mean DOD is 0.12, and the anthropogenic contribution to
392 the total DCB in wet regions is 80.3%. This value is greater than the anthropogenic
393 contributions from combined semi-arid and semi-wet regions and arid regions, thus
394 revealing that anthropogenic dust plays an important role in determining the total
395 amount of dust because the frequency of total natural dust events (suspended dust,

396 blowing dust, and dust storms) is lower in wet regions. Table 1 suggests that
397 anthropogenic dust aerosols from the combined semi-arid and semi-wet regions
398 contribute 52.5% to the total anthropogenic dust aerosols over all three regions. The
399 more frequent occurrence of anthropogenic dust emissions over semi-wet and
400 semi-arid regions may be related to greater human activities and poor ecological
401 practices in those regions.

402 Fig. 9 shows the regional distribution of the annual mean anthropogenic DCB
403 derived from CALIPSO measurements in four regions: Eastern China, India, North
404 America and North Africa. Table 2 lists their latitude and longitude ranges, the area
405 and percentage of each region that is considered to contribute to anthropogenic dust
406 emissions and the annual mean anthropogenic DCB of the regions. In India,
407 anthropogenic dust sources are distributed relatively evenly over the region; the
408 anthropogenic dust source area is 70.2% of the total area that is characterized by
409 intense agricultural and human activities (Prasad et al., 2007). In North Africa, we
410 note in Figs. 9 and 10 that the southern Sahel dust sources are overwhelmingly
411 anthropogenic and are associated with aerosols from biomass burning. There is a clear
412 separation between natural dust sources in the Sahara and anthropogenic dust in the
413 southern Sahel. The area dominated by anthropogenic dust sources is only 21.5% of
414 the total area; i.e., most of the area is dominated by natural dust sources. Fig. 9 also
415 shows that anthropogenic dust sources in Eastern China are mostly confined to areas
416 in the North-Eastern China, the North China Plain, and Inner Mongolia. The largest
417 anthropogenic DCBs are located over the North China Plain. This result is consistent

418 with the conclusions of Wang et al. (2006), who found that dust storm frequency does
419 not exceed 8 days per year in northern China, even where there are high levels of
420 human activity. In Mongolia, there are dozens of small anthropogenic dust sources
421 associated with pasturelands or grasslands. In North America, most dust sources are
422 centered in two eastern areas, the Great Plains, which are separated by the continental
423 divide. A major difference from the results of Ginoux et al. (2012) is that on the east
424 side of the divide, anthropogenic and natural dust sources are intertwined, and on the
425 west side of the divide, the sources are predominantly anthropogenic. The largest
426 anthropogenic DCBs are distributed over Southeastern of North America.

427 A histogram that illustrates the relative contribution of anthropogenic and natural
428 dust sources over anthropogenic dust source surfaces for the four study regions is
429 shown in Fig. 10. The annual mean anthropogenic DCB values range from a
430 maximum of 0.42 g m^{-2} in India to a minimum of 0.12 g m^{-2} in North America,
431 including 0.23 g m^{-2} in eastern China and 0.24 g m^{-2} in North Africa. The
432 anthropogenic dust contributions to regional emissions from Eastern China and India
433 are 91.8% and 76.1%, respectively, followed by North America, with 73.9%. In recent
434 years, urbanization and human activities have increased in eastern China; thus, its
435 annual mean contribution of anthropogenic dust is the largest, approximately 91.8%.
436 In Africa, the Sahara Desert is a rich source of natural dust. Although the
437 anthropogenic dust contribution is minimal, it is greater than in North America and
438 eastern China. A lower amount of urban construction and human activity in North
439 America means that both its anthropogenic dust content and contribution are the

440 lowest of the four regions. A possible explanation for the above phenomenon is that
441 eastern China and India have larger population densities and thus more intense
442 agricultural and human activities.

443 **6 Discussion and conclusions**

444 Emission of soil and mineral dust particles from the Earth's surface is a
445 small-scale process that has global consequences (Okin et al., 2011), such as cloud
446 formation (Huang 2006b, 2010, 2014), anthropogenic carbon dioxide emission, snow
447 albedo changes (Huang et al., 2011), and land use changes (Sokolik et al., 2011). Dust
448 emissions are affected by climate variability and in turn can impact climate, air quality,
449 and human health (Ginoux et al., 2012). Global dust aerosols contain not only locally
450 emitted anthropogenic aerosols (including agricultural dust and industrial black
451 carbon) but also natural dust from deserts. Dust emissions from anthropogenic
452 activities could account for a large proportion of global dust emissions, but
453 quantifying anthropogenic dust emissions, is subject to large uncertainty (Sokolik and
454 Toon, 1996). In this paper, we have developed an algorithm to detect anthropogenic
455 dust based on CALIPSO measurements and the MODIS land cover dataset. Using this
456 algorithm, we determined the contribution of anthropogenic dust to the total global
457 dust load.

458 We conducted a case study to test our algorithm using CALIPSO data for the
459 Taklamakan Desert and northern China, both of which are known natural and
460 anthropogenic dust source regions respectively. We found that anthropogenic dust has
461 a layer-integrated depolarization ratio that is less than that of natural dust. This

462 difference exists because anthropogenic dust produced by human activities is
463 generally mixed with other types of aerosols within the PBL and is thus more
464 spherical than natural dust. However, we note that approximately 9.6% of
465 anthropogenic dust is misclassified as natural dust and 8.7% of natural dust is
466 misidentified as anthropogenic dust within the PBL. Another source of uncertainty in
467 the method comes from the uncertainty in the PBL depth and MODIS land cover.
468 Local anthropogenic dust aerosols from human activities such as agriculture and
469 industrial endeavors contribute 25% of the global continental dust load.
470 Anthropogenic dust aerosols mainly come from semi-arid and semi-wet regions,
471 which account for more than 52% of the total anthropogenic dust aerosols.

472 An analysis of sources over four different continental regions revealed regional
473 characteristics. The annual mean anthropogenic DCB value varies from 0.12 g m⁻² in
474 North America to 0.42 g m⁻² in India. Considering the mean DCB in the four regions,
475 the greatest burden of anthropogenic dust occurs over India, and the greatest burden
476 of natural dust occurs over Africa. On a percentage basis, anthropogenic dust is
477 greatest over eastern China, and natural dust is greater over Africa. Some studies have
478 confirmed that human activities (mainly farming, overgrazing, and water usage) are
479 likely responsible for the expansion of dust sources in northern China and India (Xuan
480 and Sokolik, 2002; Prasad et al., 2007). Igarashi et al. (2011) noted that drought has
481 been a contributing factor. Gong et al. (2004) demonstrated that although
482 desertification has increased by only a few percent in China, it has generated
483 disproportionately large areas of enhanced dust emissions. The relationship between

484 population density and the anthropogenic DCB from our four study regions further
485 supports the above results. In this paper, anthropogenic dust mainly comes from
486 cropland, urban areas, and pasture. Anthropogenic dust from intermittent dry lake
487 basins is not considered. A major uncertainty in these results comes from the
488 assumption of a single value for the mass extinction efficiency in eq. (1) that was used
489 in this paper; this parameter probably varies among the different regions. To reduce
490 this uncertainty, it will be necessary to determine different mass extinction
491 efficiencies for natural and anthropogenic dust from different regions. We note that
492 the local anthropogenic dust also affects local climate, air quality, and human health.
493 Therefore, it is necessary to further investigate the interactions among
494 aerosol-cloud-precipitation processes and improve the parameterization of local air
495 pollution effects (Huang et al., 2006a, 2006b, 2010, 2014; Park et al., 2010; Li et al.,
496 2011).
497

498

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706

707 **Figure captions:**

708 Fig. 1. Global anthropogenic land cover (including urban, cropland and pasture)
709 distribution retrieved by combining MODIS and GRUMP data.

710 Fig. 2. A schematic figure for the detection process of anthropogenic dust.

711 Fig. 3. The relationship between the layer-integrated depolarization ratio and the
712 layer-integrated attenuated backscatter coefficient for anthropogenic dust (North
713 China) and natural dust (Taklamakan).

714 Fig. 4. Flow chart of anthropogenic dust detection by combining CALIPSO and land
715 cover dataset provided MODIS.

716 Fig. 5. Global seasonal distributions of total (including polluted dust) dust optical
717 depth derived from CALIPSO measurements (2007 - 2010).

718 Fig. 6. Global seasonal distributions of mean anthropogenic dust column
719 burden(2007- 2010).

720 Fig. 7. Global distributions of the percentage of anthropogenic dust column burden
721 account for total dust column burden.

722 Fig. 8. Comparisons of dust column burden as function of climatological mean
723 precipitation for different four seasons (spring, summer, autumn, and winter) of global.
724 The precipitation interval is 100 mm yr^{-1} .

725 Fig. 9. Regional distribution of annual mean anthropogenic dust column burden
726 derived from CALIPSO measurements (2007 through 2010) for a) Eastern China, b)
727 India, c) North America, and d) North Africa.

728 Fig. 10. Anthropogenic (red) and natural (blue) mean dust column burdens and
729 percentages in four regions (Eastern China, India, North America and Africa).

730

731

732 Table 1. Summary of anthropogenic dust annual mean statistics by climate region.

733 Anthropogenic dust optical depth (ADOD); total regional area; regional

734 anthropogenic dust column burden (DCB) (and percent contribution by

735 region); regional dust column burden (DCB); and percent contribution to regional

736 DOD.

Region	Mean anthropogenic DOD	Area (km²)	Anthropogenic DCB (Tg)	DCB (Tg)	Contribution to regional DOD (%)
Wet	0.12	1.77×10 ⁷	2.48(41.2)	3.09	80.3
Semi-arid & semi-wet	0.07	2.46×10 ⁷	3.16(52.5)	4.67	67.7
Arid	0.06	1.21×10 ⁶	0.38(6.3)	0.56	67.9

737

738

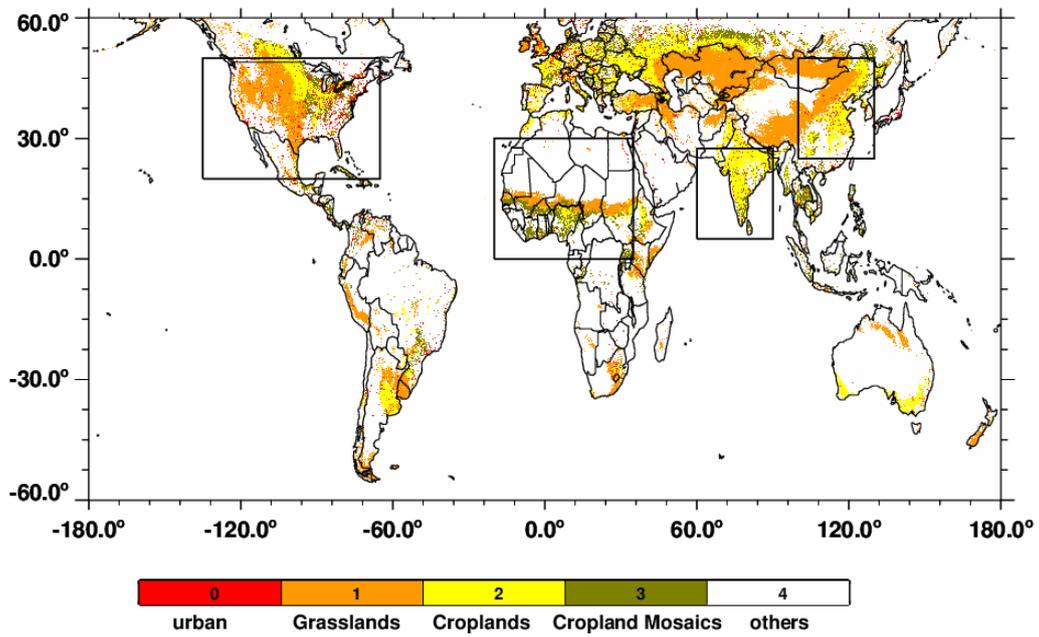
739

740 Table 2. Description of dust study areas. Latitude and longitude ranges; area and
741 percent of the region considered to contribute to anthropogenic dust emissions; and
742 annual mean anthropogenic dust column burden (ADCB) of the regions considered in
743 this study.

Region	Longitude Range	Latitude Range	Anthropogenic area km²(%)	Mean ADCB (g m⁻²)
Eastern China	100.0°E-130.0°E	25.0°N-50.0°N	3.71×10 ⁶ (63.0)	0.17
India	60.0°E-90.0°E	5.0°N-27.5°N	1.98×10 ⁶ (70.2)	0.42
North America	135.0°W-65.0°W	20.0°N-50.0°N	5.56×10 ⁶ (54.0)	0.09
North Africa	20.0°W-35.0°E	0.0°N-30.0°N	3.40 ×10 ⁶ (21.5)	0.26

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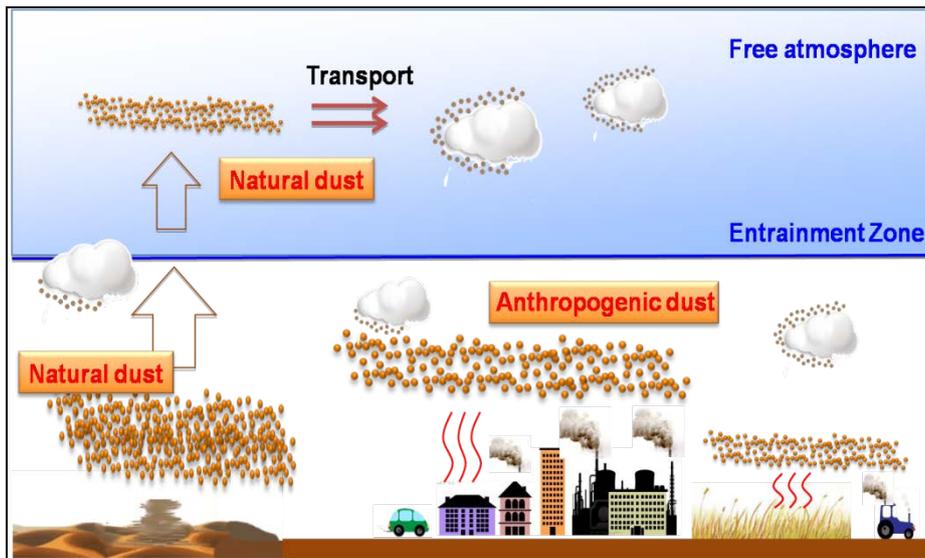


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748 Fig. 1. Global distribution of the land cover types for anthropogenic dust source types
749 (including urban, cropland and grasslands) retrieved by combing MODIS and
750 GRUMP data. The black rectangles denote four majors source regions studied:
751 Eastern China, India, North America, and Africa.

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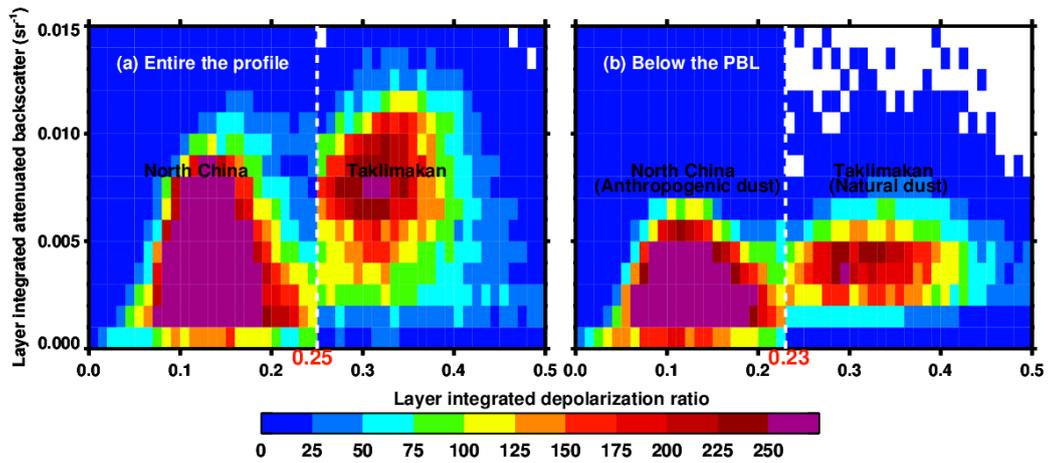
755

756 Fig. 2. A conceptual schematic for sources and transport of dusts upon which the
757 detection process of anthropogenic dust is based. The yellow dots represent dust
758 aerosol in the atmosphere; the arrow and red wavy lines represent lifting and
759 turbulence, respectively.

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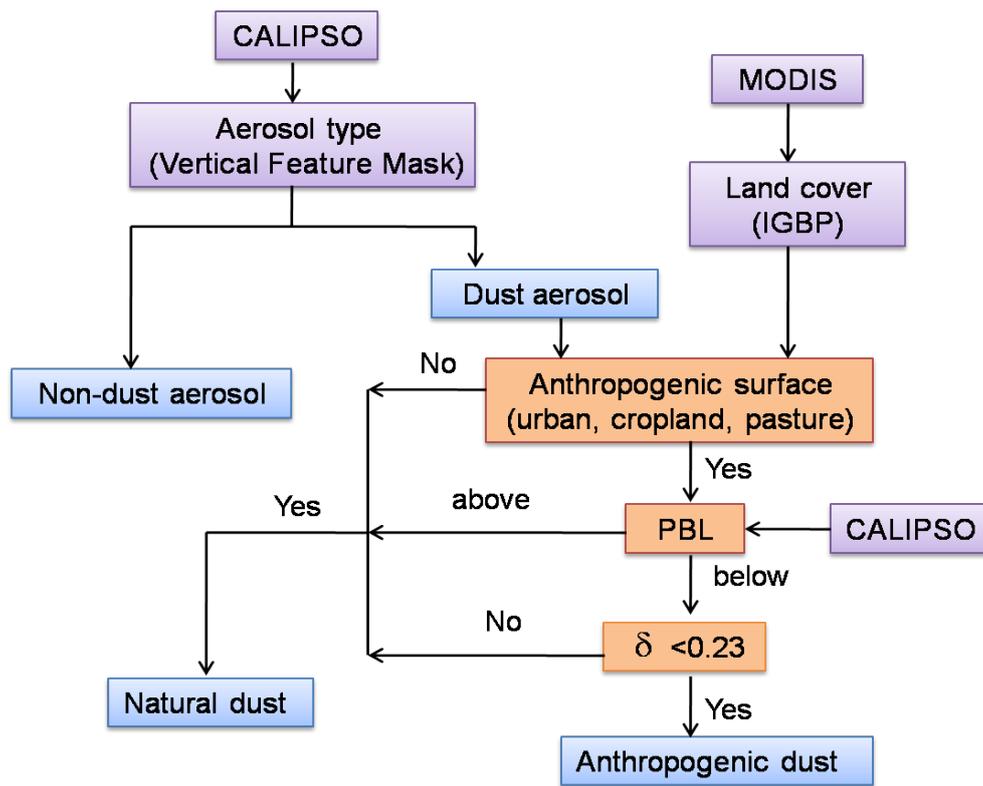
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764 Fig. 3. The relationship between the layer-integrated depolarization ratio δ' and the
765 layer-integrated attenuated backscatter coefficient γ' for North China and Taklamakan
766 from the entire profile (a) and within the PBL (b), respectively. The color of each
767 pixel represents the frequency of occurrence for a $\Delta\delta'$ - $\Delta\gamma'$ box measuring
768 0.01-by-0.001 sr^{-1} .
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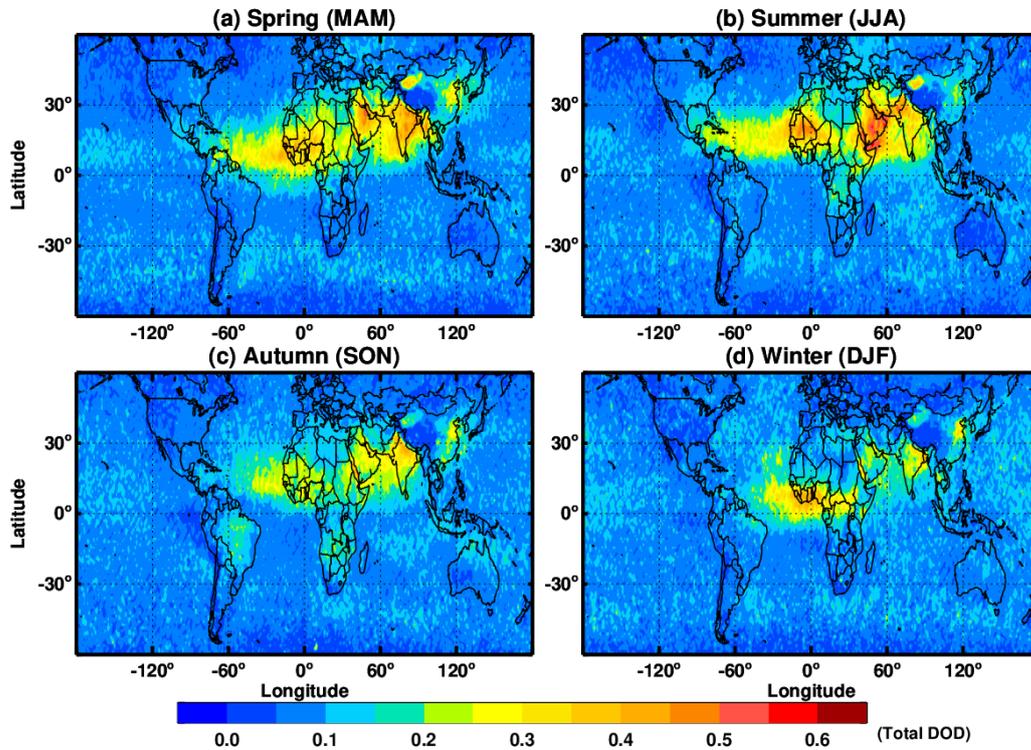
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772 Fig. 4. Flow chart of anthropogenic dust detection by combining CALIPSO and land
773 cover dataset provided MODIS.

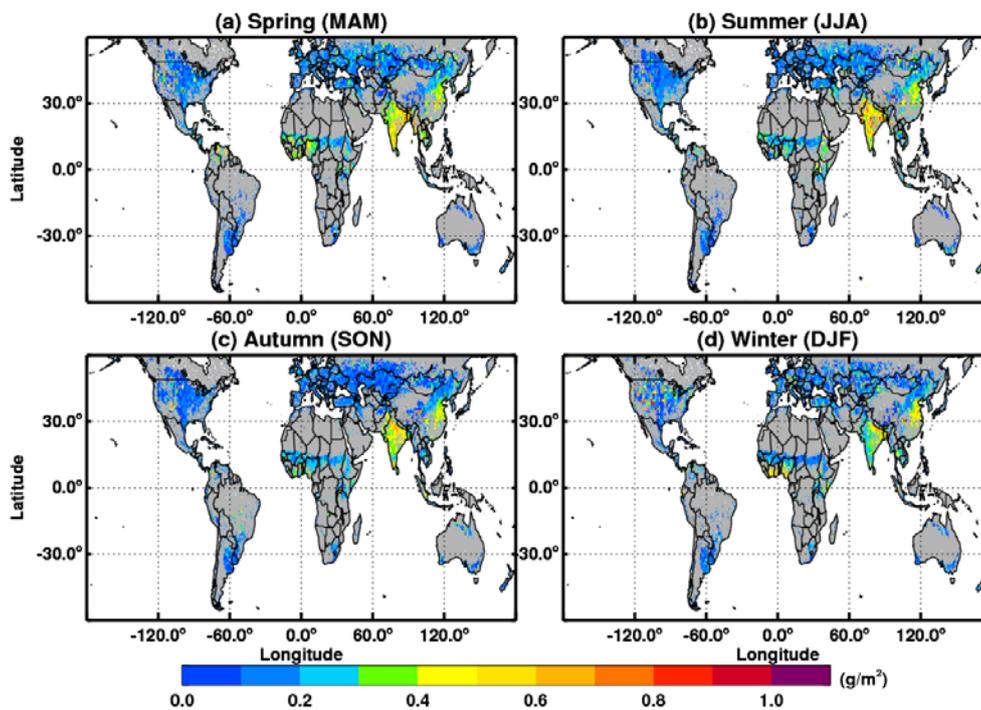
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777 Fig. 5. Global distributions of seasonal mean for total dust optical depth derived from
778 CALIPSO measurements from 2007 through 2010.

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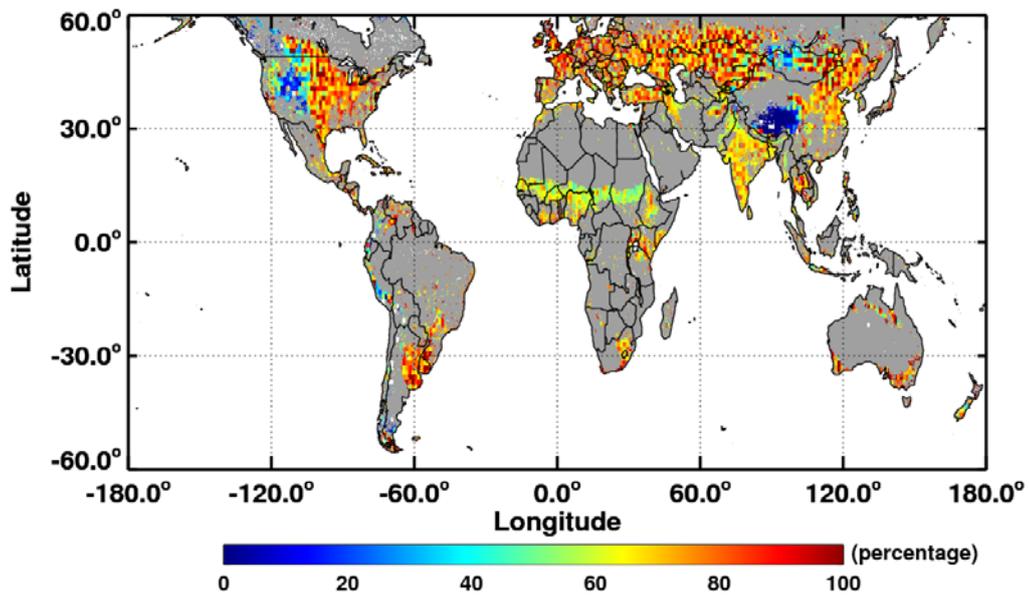


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782 Fig. 6. Global distribution of seasonal mean for anthropogenic dust column burden
783 from 2007 through 2010.

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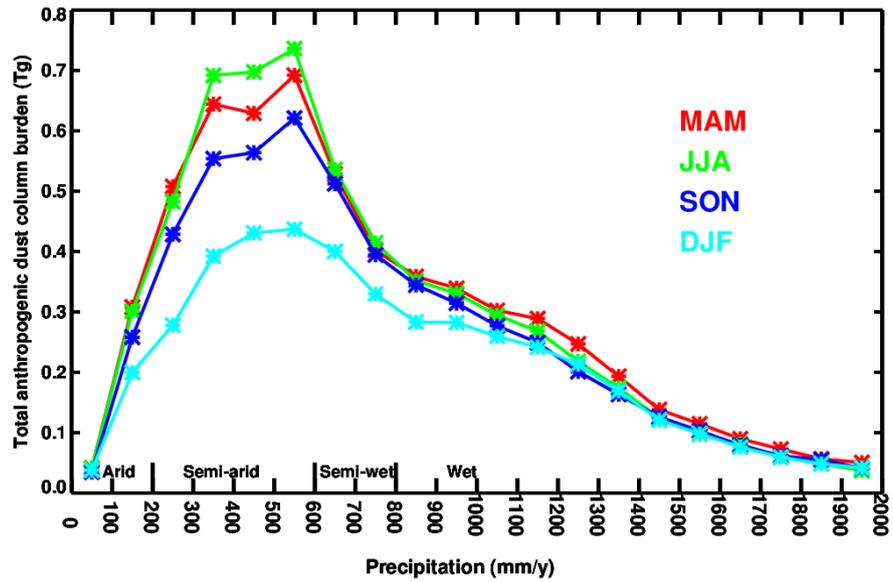
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787 Fig. 7. Global distribution of the percentage of anthropogenic dust within the total
788 dust column burden.

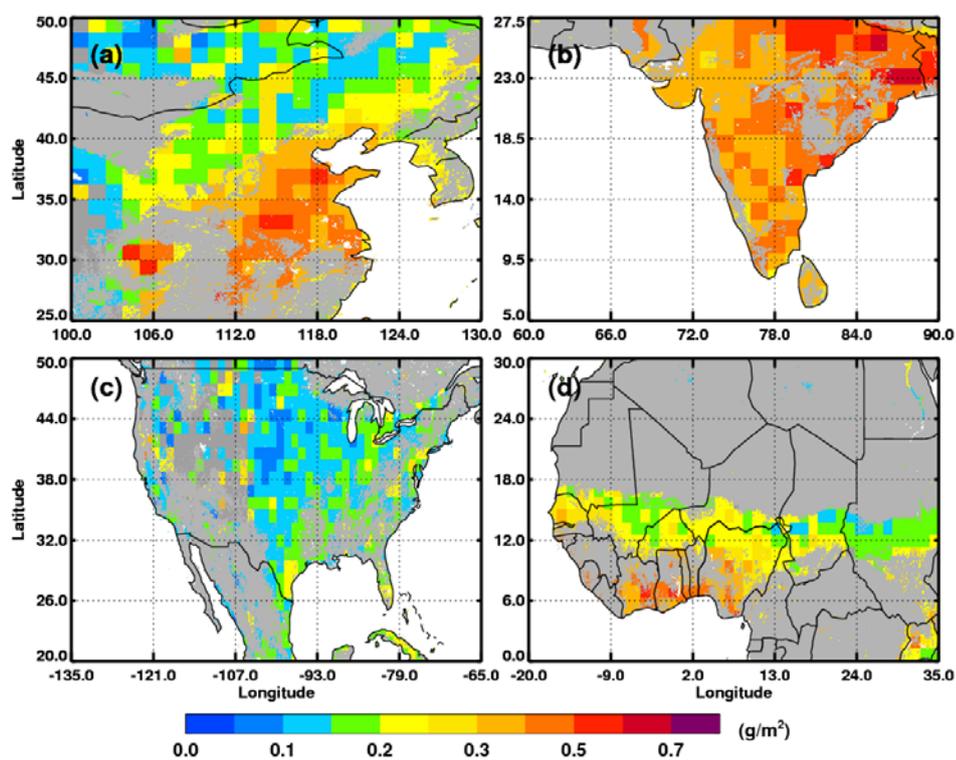
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792 Fig. 8. Comparisons of dust column burden over four seasons as a function of
 793 climatological mean precipitation. The precipitation interval is 100 mm yr^{-1} .

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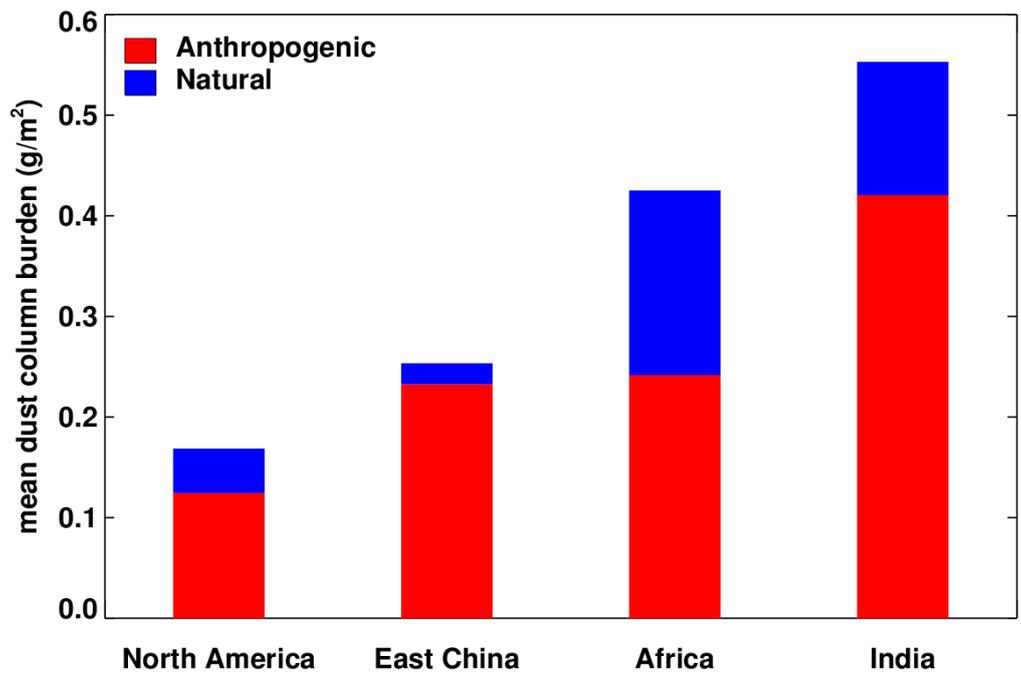
796

797 Fig. 9. Regional distribution of annual mean anthropogenic dust column burden
798 derived from CALIPSO measurements (2007 through 2010) for a) Eastern China, b)
799 India, c) North America, and d) North Africa.

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801

802



803

804 Fig. 10. Comparison of the relative contribution of mean anthropogenic (red) and
805 natural (blue) dust column burdens in four geographical regions.

806

807