

1 **A Global Model Simulation for 3-D Radiative Transfer Impact on Surface**
2 **Hydrology over Sierra Nevada and Rocky Mountains**

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11 **Abstract.** We investigate 3-D mountain effects on solar flux distributions and their impact on
12 surface hydrology over the Western United States, specifically the Rocky Mountains and Sierra
13 Nevada using CCSM4 (CAM4/CLM4) global model with a $0.23^{\circ}\times 0.31^{\circ}$ resolution for
14 simulations over 6 years. In 3-D radiative transfer parameterization, we have updated surface
15 topography data from a resolution of 1 km to 90 meters to improve parameterization accuracy. In
16 addition, we have also modified the upward-flux deviation [3D – PP (plane-parallel)] adjustment
17 to ensure that energy balance at the surface is conserved in global climate simulations based on
18 3-D radiation parameterization. We show that deviations of the net surface fluxes are not only
19 affected by 3-D mountains, but also influenced by feedbacks of cloud and snow in association
20 with the long-term simulations. Deviations in sensible heat and surface temperature generally
21 follow the patterns of net surface solar flux. The monthly snow water equivalent (SWE)
22 deviations show an increase in lower elevations due to reduced snowmelt, leading to a reduction
23 in cumulative runoff. Over higher elevation areas, negative SWE deviations are found because of
24 increased solar radiation available at the surface. Simulated precipitation increases for lower
25 elevations, while decreases for higher elevations with a minimum in April. Liquid runoff
26 significantly decreases in higher elevations after April due to reduced SWE and precipitation.

27 **1. Introduction**

28 Orographic forcing is an efficient and dominant mechanism for harnessing water vapor into
29 consumable fresh water in the form of precipitation, snowpack, and runoff. It has been estimated
30 that about 60 – 90% of water resources originate from mountains worldwide. Mountain water
31 resources not only support human activities, but are also vital to diverse terrestrial and aquatic
32 ecosystems. There is strong observational evidence that mountain water resources have been and
33 continue to be threatened by global warming trends, which lead to snowpack reduction (Mote et
34 al. 2007; Kapnick and Hall, 2012) and alter the timing and amount of runoff (McCabe and Clark,
35 2005). Observations and modeling studies have suggested that warming trends are amplified in
36 mountains compared to lowlands because of the moist adiabatic structure of the atmosphere - the
37 lapse-rate effect and snow-albedo feedback (Leung et al., 2004). Also, mountains are an integral
38 part of global monsoon systems in which elevated warming may have important influence on
39 monsoon circulation and the associated water cycle. However, accurate predictions of mountain
40 snowpack have been limited by uncertainty in projecting future changes in temperature and
41 precipitation due to model limitations in representing snow processes and their interactions with
42 radiative transfer and other terrestrial processes in mountain environments.

43 The spatial and temporal distributions of surface solar radiation are the primary energy
44 sources that contribute to the energy and water balance at 3-D and inhomogeneous mountain
45 surfaces, with particularly strong influence on snowmelt processes (Geiger, 1965; Bonan, 2002;
46 Gu et al., 2002; Müller and Scherer, 2005). The spatial orientation and inhomogeneous features
47 of mountains/snow that interact with direct and diffuse solar beams are intricate and complex.
48 Quantifying the interactions of direct and diffuse solar beams with mountain topography and
49 reliably determining total surface solar fluxes for incorporation in a land surface model has been

50 a challenging task that has yet to be accomplished in regional and high-resolution global climate
51 modeling. Essentially all modern climate models have used a plane-parallel (PP) radiative
52 transfer program in performing radiation parameterization; however, the potential errors have
53 never been quantified.

54 In conjunction with radiative transfer in mountains/snow regions, we have developed a
55 Monte Carlo photon tracing program specifically applicable to intense and intricate
56 inhomogeneous mountains and demonstrated that the effect of mountains on surface radiative
57 balance is substantial in terms of subgrid variability as well as domain average conditions (Liou
58 et al., 2007; Lee et al., 2011; 2013). Because of the computational burden required by the 3-D
59 Monte Carlo photon tracing program, an innovative parameterization approach has been
60 developed in terms of deviations from PP radiative transfer results readily available in climate
61 models for the five component of surface solar flux: direct and diffuse fluxes, direct- and diffuse-
62 reflected fluxes, and coupled mountain-mountain flux (Lee et al., 2011). We have derived five
63 regression equations for flux deviations which are linear and have a general 5 by 5 matrix form
64 and successfully incorporated this efficient parameterization into the Weather Research
65 Forecasting (WRF) model, which was used as the testbed in connection with the Fu-Liou-Gu PP
66 radiation scheme (Fu and Liou 1992, 1993; Gu et al. 2010, 2011) that has been included in the
67 WRF physics package. We have investigated 3-D mountain/snow effect on solar flux distribution
68 and their impact on surface hydrology over the Western United States, specifically the Rocky
69 Mountains and Sierra Nevada using the WRF applied at a 30 km grid resolution (Gu et al. 2012;
70 Liou et al. 2013)

71 More recently, the 3-D radiative transfer parameterization has been incorporated into
72 Community Climate System Model version 4 (CCSM4) global model with a $0.23^{\circ} \times 0.31^{\circ}$

73 resolution to investigate the long-term 3-D effect on the simulated surface solar insolation
74 patterns and associated sensible and latent heat fluxes, surface temperature, and surface
75 hydrology over mountains/snow in the Western United States covering both the narrow coastal
76 Sierra-Nevada Range and the broad continental Rocky mountains. Marked by complex terrain
77 and with surface hydrology dominated by seasonal precipitation and snow accumulation and
78 melt (e.g., Leung et al., 2003 a, b), the surface hydrology of the Western United States has been
79 shown to be extremely sensitive to climate change (Leung et al., 2004; Kapnick and Hall, 2010).
80 Thus, understanding factors leading to uncertainties in modeling snowpack and runoff is
81 important for improving hydrologic predictions from seasonal to century time scales from the
82 perspective of a global model

83 The organization of the present study is as follows. In Section 2 we describe CCSM4 with a
84 brief discussion on the incorporation of the improved 3-D parameterization for surface solar
85 radiation over mountain surfaces, followed by a discussion in Section 3 on the significance of 3-
86 D radiation effect on the seasonal and elevation-dependent variations in solar flux, sensible and
87 latent heat fluxes, surface temperature, and surface hydrology, including precipitation, snow
88 water equivalent (SWE), and runoff, as well as a discussion on the potential impact of 3-D
89 parameterization of surface solar radiation on vegetation. Concluding remarks are given in
90 Section 4.

91

92 **2. 3-D Radiation Parameterization in CCSM4**

93 To study the long-term effect of 3-D mountain radiation effect over mountains/snow on the
94 surface energy and hydrology, simulations using CCSM4 have been performed. CCSM is a
95 general circulation model developed by the National Center for Atmospheric Research (NCAR).

96 The fourth version CCSM4 (Gent et al. 2011) is composed of atmosphere (Community
97 Atmosphere Model, CAM4), land (Community Land Model, CLM4), sea ice (Community Ice
98 Code, CICE4), and ocean (Parallel Ocean Program, POP2). The detail description of CCSM4 has
99 already been given in Gent et al. (2011); thus only a brief outline of the components relevant to
100 our study is presented here. Compared to the previous version, CAM4 used the finite-volume
101 dynamical core (Lin, 2004) with the revised deep convection parameterization developed by
102 Neale et al. (2008) that includes convective momentum transport. CLM4 was substantially
103 modified (Lawrence et al., 2011) to include a carbon-nitrogen cycle (CLM-CN), a Snow and Ice
104 Aerosol Radiation model (SNICAR, Flanner and Zender, 2006), and a dynamic vegetation
105 model.

106 To investigate the impact of complex topography on surface solar radiation, the
107 parameterization developed by Lee et al. (2011, 2013) has been incorporated in CCSM4. We
108 have carried out 6-year simulations at a horizontal resolution of $0.23^{\circ} \times 0.31^{\circ}$ with prescribed sea
109 surface temperatures and sea ice, greenhouse gases, and aerosols corresponding to Year 2000.
110 The carbon-nitrogen cycle in CLM4 has also been activated. Although our goal is not to
111 investigate 3-D mountain effects on vegetation, which would require long-term simulations to
112 simulate vegetation response to different climate forcing, we included the carbon-nitrogen cycle
113 in our simulations to provide preliminary indications of how vegetation processes may respond
114 to changes in solar radiation due to mountain topography. Since a global high-resolution initial
115 condition for CLM-CN is not available, our simulations were initialized using arbitrary initial
116 conditions of land surface and vegetation states. Hence we note the caveat that slow processes
117 such as groundwater table and carbon and nitrogen pools in our 6-years long simulations are far

118 from reaching an equilibrium state and will have some influence on our results even with our
119 focus on comparing simulations with and without 3-D mountain effects.

120 We have designed two experiments as follows: the PP experiment is the control run with
121 default plane-parallel radiative transfer scheme, while the 3D experiment is identical to the PP
122 experiment, except that the parameterization for 3-D solar flux is implemented. In this study, we
123 focus on a domain covering the Rocky Mountains and Sierra Nevada from 120-105°W and 35 -
124 45°N. Figure 1 displays the elevation map of the Western United States at a 0.23°×0.31°
125 resolution, and the box is the area where the spatial average is calculated (see Liou et al., 2013).

126 In the previous WRF studies of 3-D radiative transfer, surface topography with a 1 km
127 resolution was used, which was taken from the HYDRO1k geographic database available from
128 the USGS' National Center for Earth Resources Observation and Science Data Center. We have
129 since updated the surface topography data using the Shuttle Radar Topography Mission (SRTM)
130 global dataset at a resolution of 90 meter (Jarvis et al., 2008) to perform 3-D Monte Carlo photon
131 tracing simulations to improve parameterization accuracy (Lee et al., 2013). Because SRTM data
132 cover the land surface between 56 S and 60 N, the parameterization is applied to all area within
133 this range. Moreover, Lee et al. (2013) have shown that the parameterization can be applied to
134 any grid box with a size larger than 10×10 km. Therefore, it is suitable for CCSM4 at a quarter-
135 degree resolution.

136 In addition, we have also accounted for the adjustment involving upward flux deviations in
137 the parameterization for application to climate models. It should be noted that the
138 parameterization in our previous studies only adjusts downward solar fluxes calculated by the
139 conventional radiative transfer scheme in a weather or climate model, while the upward fluxes
140 remain unchanged. ~~The impact of upward flux adjustment is normally insignificant and can be~~

Deleted: The magnitudes of upward flux adjustment are normally insignificant and can be neglected in surface energy analysis associated with a regional model

141 neglected in regional model simulations since the contribution from the upward solar flux, which
 142 is only a fraction of the downward flux associated with surface albedo, to the atmospheric
 143 heating rate is much smaller than the downward flux. This slight adjustment for upward fluxes
 144 will ensure the total energy balance at the surface for simulations involving 3-D radiative transfer
 145 parameterization in a global model. Specifically, in the structure of a global climate model, land-
 146 surface model computes the surface albedo taking into account land types, snow cover, soil
 147 moisture, and other factors. This albedo is then employed as a boundary condition in the global
 148 climate model for radiative transfer calculations. We can use the parameterization for 3-D
 149 radiative transfer to adjust the land surface albedo, the ratio of the upward flux to the downward
 150 flux such that the downward flux adjustment remains unchanged. In this manner, a balance of the
 151 total energy flux at the surface would be ensured, which is critical for long-term climate
 152 simulations.

153 Following Lee et al. (2011), the downward surface solar flux can be categorized into: (1)
 154 The direct flux (F_{dir}) is composed of photons travelling from the Sun to the surface without
 155 encountering reflection or scattering. (2) The direct-reflected flux (F_{rdir}) is the reflection of F_{dir} .
 156 (3) The diffuse flux (F_{dif}) is associated with photons experiencing single and/or multiple
 157 scattering. (4) The diffuse-reflected flux (F_{rdif}) is the reflection of F_{dif} . The components related to
 158 downward direct solar radiation received by the real topography, F_{dir} and F_{rdir} , can be expressed
 159 as:

$$160 \quad F_{\text{dir}} = (1 + f_{\text{dir}}) \hat{F}_{\text{dir}} \quad \text{and} \quad F_{\text{rdir}} = f_{\text{rdir}} \hat{F}_{\text{dir}} \quad (1)$$

161 where \hat{F}_{dir} is the direct downward solar flux calculated by a plane-parallel radiative transfer
 162 scheme. f_{dir} and f_{rdir} are the relative deviations evaluated by parameterization and are functions of
 163 solar incident angle, standard deviation of elevation within a model grid box, sky view factor

164 (the fraction of sky visible to the target), and terrain configuration factor (the area of surrounding
 165 mountains seen by the target). F_{rdir} is assumed to be proportional to the direct downward surface
 166 solar flux because conventional plane-parallel radiative transfer schemes do not explicitly
 167 calculate reflected fluxes. With the surface albedo for direct fluxes, α_{dir} , calculated by the land
 168 model, the direct radiation absorbed by the surface is equal to $(F_{\text{dir}} + F_{\text{rdir}}) \times (1 - \alpha_{\text{dir}})$. We can
 169 now introduce the adjusted albedo for direct radiation in mountains, denoted as α'_{dir} . To keep the
 170 solar radiation absorbed by the surface unchanged, we must have

$$171 \quad \hat{F}_{\text{dir}} (1 - \alpha'_{\text{dir}}) = (F_{\text{dir}} + F_{\text{rdir}}) (1 - \alpha_{\text{dir}}). \quad (2)$$

172 Substituting Eq. (1) into Eq. (2) leads to

$$173 \quad \alpha'_{\text{dir}} = 1 - (1 + f_{\text{dir}} + f_{\text{rdir}}) (1 - \alpha_{\text{dir}}). \quad (3)$$

174 Therefore, given the surface albedo provided by the land model and f_{dir} and f_{rdir} defined by the
 175 original parameterization, the adjusted albedo for direct flux can be obtained. Note that the
 176 adjusted albedo is independent from the value of incoming solar radiation, indicating that it can
 177 be calculated first and then used in the plane-parallel radiative transfer scheme to account for the
 178 topography effect. Correspondingly, the same procedure can be applied to the diffuse and
 179 diffuse-reflected fluxes, since CLM4 calculates albedos for direct and diffuse fluxes separately.

180

181 3. Model Simulation Results

182 3.1 3-D mountain effects on the geographic distribution of energy and hydrology

183 As mentioned above, we have conducted two 6-year CCSM4 simulations, PP and 3D. In the
 184 following presentation we have used the results determined from the last 5 years in the analysis.
 185 The 5-year mean net surface solar flux (FSNS), clear-sky surface solar flux (FSNSC), and total
 186 cloud fraction for April simulated with the incorporation of 3-D parameterization as a function of

187 latitude and longitude are shown in Figs. 2a, 2b, and 2c, respectively, where the contour lines
 188 represent terrain height (km). FSNS generally follows the FSNSC and also depicts a pattern
 189 reflecting the negative modulation by the cloud fraction computed from the model. More clouds
 190 are generally found over the top of the mountains, where FSNS is relatively smaller because of
 191 reflection by snow over high elevation areas. The corresponding deviations (3D - PP) are
 192 displayed in Figs. 2d, 2e, and 2f. It reveals that the difference in FSNS is generally dominated by
 193 the difference in FSNSC. In this study, FSNSC is controlled by the adjusted albedo, which is
 194 related to snow cover and 3-D topography effect. Differences in FSNSC in Fig. 2e are mostly
 195 due to changes in the snow field, which will be discussed later. The 3-D topography effect can be
 196 found over the Sierra Nevada, where negative/positive deviation appears in the northern/southern
 197 slope.

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198 Changes in the surface downward solar flux distribution can affect cloud formation, which in
 199 turn will impact the transfer of solar flux reaching the surface. Figure 2f displays deviations (3D
 200 - PP) of total cloud fraction, which increases over mountain summits in the vicinity of northern
 201 Rockies around 45°N and 110°W (Fig. 2f) where the downward solar radiation decreases (Fig.
 202 2d). In high-elevation areas, because of more reflection and less shading, the surface generally
 203 receive more solar radiation in the morning when the sky is clear. The additional insolation due
 204 to the topography effect, can trigger convection earlier than 1D simulation, and then the larger
 205 cloud fraction produced by including the 3-D parameterization can reduce total daily insolation.
 206 For the broad south facing side of the mountains south of 38°N, increases in surface solar
 207 radiation correspond to decreases in cloud fraction.

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208 Figure 3a depicts the monthly mean SWE map for April simulated from CCSM4 with the
 209 inclusion of 3-D radiation parameterization for mountains. Significant SWE is mostly seen over

210 the vast Rocky Mountain region and the narrow Sierra Nevada region. Generally, the SWE
 211 pattern shows relatively larger values on the west side of the mountains in response to enhanced
 212 precipitation on the windward slopes associated with orographic forcing. However, SWE
 213 displays smaller values at the highest elevation and on the east side of mountains in response to
 214 the reduced precipitation and the largest solar flux available at mountain tops. Contours of
 215 differences (3D – PP) in the simulated SWE are shown in Fig. 3b. Due to 3-D mountain effect,
 216 SWE generally decreases over mountain tops, especially in the area south of 42°N. In the Rocky
 217 Mountains (~37°N and 107°W), for example, reduction in SWE is as high as 100 mm or 40%.
 218 Decreased/increased SWE patterns correspond closely to increased/decreased net surface solar
 219 radiation patterns, as shown in Fig. 2d.

220 3.2 3-D mountain effects on seasonal variation

221 Figure 4 shows the 5-year mean deviations (3D – PP) in the domain-averaged monthly net
 222 surface solar flux, sensible heat fluxes, total cloud cover, and surface temperature as a function
 223 of month for different elevations over Sierra Nevada and Rocky Mountain areas. For long-term
 224 simulations during which cloud fields are modified through interactions with radiation, cloud
 225 feedback can play an important role in radiation field variation. As a matter of fact, the pattern of
 226 change in net solar flux is generally opposite to that of the total cloud fraction, where
 227 increases/decreases in the net solar flux correspond to decreases/increases in cloud cover (Figs.
 228 4a and 4c). For higher elevations above 2.5 km, the net solar flux shows positive deviations
 229 largely throughout the year, indicating that mountain tops tend to receive enhanced solar
 230 radiation due to the 3-D effects. For valley areas with elevations lower than 2 km, while solar
 231 fluxes reaching the surface are also generally larger in the 3-D case, the magnitude of the
 232 increase is smaller than higher altitude regions due to the shading effect, as shown in our short-

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233 term WRF simulations for the same region (Liou et al. 2013). However, negative deviations
234 mainly occur during December-January and in June due to increases in total cloud fraction (Figs.
235 4a and 4c). 3-D mountain effects lead to the reduction in total cloud fraction most of the year,
236 except for January and June. Mountain clouds normally develop in response to surface solar
237 heating, which gradually build up at the onset of morning hours. Furthermore, upslope flows
238 contribute to convection and cloud formation as the elevated surface in mountains heats up
239 relative to the surrounding air. A reduction in surface insolation can therefore reduce upslope
240 flow and convection, leading to reduced clouds. Therefore, the reduced solar insolation in lower
241 elevations due to the 3-D mountain effect tends to cool the surface and weaken the convection
242 over mountain regions, resulting in less cloud water. Since cloud formation is primarily
243 dominated by dynamical processes, enhanced surface heating over mountains tops due to the 3-D
244 effect may not be sufficiently large to initiate cloud formation (Gu et al., 2012). However, during
245 summer (June) when the surface is heated up, or during winter (January), which is the rainy
246 season over the Sierra Nevada and Rocky Mountains in association with frontal systems,
247 additional surface heating from the 3-D mountain effect could enhance cloud formation. Changes
248 in sensible heat flux and surface temperature generally follow the patterns of net solar flux (Figs.
249 4b and 4d).

250 Figure 5 depicts the SWE, precipitation, and liquid runoff for the 3D experiment and
251 differences between 3D and PP experiments. It is shown that SWE reaches its maximum in
252 February in lower elevations and in March for higher elevations (Fig. 5a). Due to the 3-D
253 mountain effect, decreases in SWE are found for the higher elevation zone (> 2.5 km) because
254 more solar radiation is intercepted at mountain tops, while increases are found in lower
255 elevations because of topographic shading (Fig. 5d). Positive deviations become smaller after

256 January because the sun is moving northward and getting closer to the overhead position during
257 spring, leading to a reduced shading effect. The monthly mean precipitation (mm) as a function
258 of elevation over the simulation domain is shown in Fig. 5b. Generally, precipitation increases
259 with elevation due to orographic forcing. Precipitation shows maximum values around July for
260 higher elevation zones and in January for all elevations in the rainy season (Fig. 5b). Differences
261 in precipitation (Fig. 5e) are mostly negative values except for January and follow the pattern of
262 total cloud fraction (Fig. 4c). The liquid runoff reveals a significant increase during April - June
263 for the higher elevation range associated with the sun's position (Fig. 5c). Differences in liquid
264 runoff are the combined results from snowmelt and precipitation. For higher elevations, due to
265 more solar radiation, runoff first increases during February-March and then decreases after
266 March related to less available snow and reduced precipitation (Fig. 5f). For valley areas, liquid
267 runoff shows positive deviations beginning in January associated with more available snow
268 amount and precipitation. Thus, the impact of 3-D mountain effect is to speed up snowmelt at
269 mountain tops, and at the same time extend snowmelt and snowmelt-driven runoff into the warm
270 season for lower elevations.

271 3-D mountain effects could have an important impact on surface vegetation. Many plant
272 ecological studies, particularly those performed in mountainous terrain, have revealed that
273 relationships exist between vegetation and the aspect and inclination of slopes (e.g. Killick,
274 1963; Edwards, 1967; Kruger, 1974; Granger and Schulze, 1977), which results largely from
275 differences in the amounts of light, i.e. solar radiation, intercepted by different slopes. Solar
276 radiation variation has been known to affect not only surface energy budgets (Garnier, 1968) and
277 temperatures, but also soil moisture balances and photosynthesis processes. Such topographically
278 induced incoming radiation differences may be regarded as one of the most fundamental

279 variables of plant environment. Over a long-term period, plant would likely respond to
280 differences in light amount (Granger and Schulze, 1977).

281 Figure 6 illustrates deviations of the domain-averaged monthly net vegetation absorbed solar
282 radiation, sensible heat from vegetation, vegetation temperature, and total leaf area index (LAI)
283 as a function of elevation. It is shown that the 3-D mountain induced changes in these vegetation
284 related parameters, which will affect photosynthesis process and vegetation phenology, follow
285 deviation patterns in the surface solar flux produced in part by elevation dependence. For
286 example, for the vegetation absorbed solar radiation, positive deviations are seen for higher
287 elevations (>2.5 km) with a maximum value in April, whereas negative deviations are found for
288 valley areas (<1.5 km) with the largest reduction occurring in January (Fig. 6a), which largely
289 follows the net surface solar flux patterns as shown in Fig. 4a. While the global radiation budget
290 at the top of the atmosphere and surface, precipitation, and surface temperature do not have
291 significant interannual variation, large fluctuations are seen in the temporal evolution of LAI
292 over the Western United States. Clearly the vegetation results obtained from a 5-year simulation
293 have not reached equilibrium as biomass continues to build up after model initialization. Still it is
294 interesting to see how the difference in LAI between 3D and PP varies over the seasonal cycle
295 with larger differences developing in early summer (Fig. 6d), following larger changes in the
296 solar flux absorbed by the vegetation (Fig. 6a). However, much longer simulations with spun up
297 carbon and nitrogen pools will be needed to obtain meaningful results for vegetation response to
298 mountain-radiation interactions, a subject requiring further investigations in regards to the 3-D
299 mountain effects on radiation and vegetation interaction and feedback.

300

301 **4. Concluding remarks**

302 The 3-D radiative transfer parameterization developed for the computation of surface solar
303 fluxes has been incorporated into CCSM4 and applied at a resolution of $0.23^{\circ} \times 0.31^{\circ}$ over the
304 Rocky Mountains and Sierra Nevada in the Western United States. We have carried out 6-year
305 simulations with prescribed SST to understand the long-term effect of 3-D mountains on the
306 monthly variation of surface radiative and heat fluxes and the consequence of snowmelt and
307 precipitation on different elevations.

308 3-D mountain effects play an important role in the distribution of energy and water
309 sources. Significant increases of net surface solar radiation are mainly found over mountain tops,
310 while reductions, on the other hand, are mostly observed over valley areas. Changes in the
311 surface downward solar flux distribution can affect the clouds and snow fields, which in turn will
312 impact the transfer of solar flux reaching the surface. As a result, increases/decreases in surface
313 solar radiation generally correspond to decreases/increases in cloud fraction and snow amount.
314 Changes in clouds are mostly negative throughout the year due to the reduced solar radiation
315 reaching the surface of lower elevations. The enhanced surface insolation at mountain tops
316 appears to assist cloud formation during summer (June) related to surface heating or in January
317 associated with frontal systems. Deviations in the surface solar radiation field can significantly
318 alter the distribution of mountain snow. Decreases/increases in SWE correspond closely to
319 increases/decreases in net surface solar radiation.

320 3-D mountain features also affect the seasonal variation of surface fluxes and hydrology.
321 Deviations of the monthly mean surface solar flux produced by 3-D mountain effects, as
322 compared to PP results, over the Rocky Mountain and Sierra Nevada regions are a function of
323 elevation and at the same time, modulated by cloud feedback. Deviations in the net solar flux
324 show opposite patterns to changes in the total cloud fraction. Deviations in the surface solar

325 radiation field can affect heat fluxes, while changes in the surface energy balance are reflected in
326 surface temperature variation. Changes in heat flux and surface temperature generally follow the
327 deviation patterns in the net surface solar flux. Due to the 3-D mountain effect, decreases in
328 SWE are found at higher elevation zones as a result of more solar radiation intercepted at
329 mountain tops, while increases are found in lower elevations.

330 Differences in precipitation are mostly negative throughout the year, except for January,
331 which follow the patterns of total cloud fraction. Differences in liquid runoff are produced by the
332 combined results from snowmelt and precipitation. For higher elevations, due to increased solar
333 radiation, runoff first increases during February and March but then decreases after March
334 associated with reduced snow and precipitation. For valley areas, liquid runoff shows positive
335 deviations after January associated with more available snow amount. Therefore, one of the
336 important impacts of 3-D mountain effect is to speed up the snowmelt at mountain tops, while
337 extend snowmelt and snowmelt-driven runoff into the warm season for lower elevations.

338 Finally, we wish to note that compared to our previous WRF studies of 3-D radiative transfer
339 over mountains (Liou et al. 2013), similar 3-D mountain effects have been manifested in CCSM4
340 global simulations. Additionally, long-term simulations show that cloud feedback through cloud-
341 radiation interactions exerts an important impact on surface fluxes and hydrology.

342
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423 Figure Captions

424 Fig. 1. The elevation map over a $0.23^{\circ} \times 0.31^{\circ}$ resolution grid for the Rocky-Sierra areas in the
425 Western United States. The box on the map displays major mountainous areas where
426 simulation results are analyzed and presented in the paper.

427 Fig. 2. The April mean (a) net surface solar flux (W m^{-2}), (b) clear-sky net surface solar flux (W
428 m^{-2}), and (c) total cloud fraction simulated for the 3-D case, and differences (3D – PP) in
429 (d) net surface solar flux, (e) clear-sky net surface solar flux, and (f) total cloud fraction.

430 Fig. 3. The April mean (a) SWE (mm) and (b) corresponding differences (3D – PP).

431 Fig. 4. Deviations (3D - PP) of the domain-averaged monthly (a) net solar flux, (b) sensible heat
432 flux, (c) total cloud fraction, and (d) surface temperature for a 12-month period as a
433 function of elevation, lower than 1.5 km (red), 1.5-2 km (orange), 2-2.5 km (green), above
434 2.5 km (blue), and the whole domain (black).

435 Fig. 5. The monthly mean (a) Snow Water Equivalent (SWE, mm), (b) cumulative precipitation
436 (mm), (c) cumulative runoff and the corresponding deviations (3D – PP) in (d) SWE, (e)
437 precipitation, and (f) runoff, averaged over the simulation domain for a 12-month period
438 as a function of elevation, lower than 1.5 km (red), 1.5-2 km (orange), 2-2.5 km (green),
439 above 2.5 km (blue), and the whole domain (black).

440 Fig. 6 Deviations (3D - PP) of the domain-averaged monthly (a) vegetation absorbed solar flux,
441 (b) sensible heat flux from vegetation, (c) vegetation temperature, and (d) total leaf-area
442 index for a 12-month period as a function of elevation, lower than 1.5 km (red), 1.5-2 km
443 (orange), 2-2.5 km (green), above 2.5 km (blue), and the whole domain (black).