

1 **A Global Model Simulation for 3-D Radiative Transfer Impact on Surface**  
2 **Hydrology over Sierra Nevada and Rocky Mountains**

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11       **Abstract.** We investigate 3-D mountain effects on solar flux distributions and their impact on  
12 surface hydrology over the Western United States, specifically the Rocky Mountains and Sierra  
13 Nevada using CCSM4 (CAM4/CLM4) global model with a  $0.23^{\circ}\times 0.31^{\circ}$  resolution for  
14 simulations over 6 years. In 3-D radiative transfer parameterization, we have updated surface  
15 topography data from a resolution of 1 km to 90 meters to improve parameterization accuracy. In  
16 addition, we have also modified the upward-flux deviation [3D – PP (plane-parallel)] adjustment  
17 to ensure that energy balance at the surface is conserved in global climate simulations based on  
18 3-D radiation parameterization. We show that deviations of the net surface fluxes are not only  
19 affected by 3-D mountains, but also influenced by feedbacks of cloud and snow in association  
20 with the long-term simulations. Deviations in sensible heat and surface temperature generally  
21 follow the patterns of net surface solar flux. The monthly snow water equivalent (SWE)  
22 deviations show an increase in lower elevations due to reduced snowmelt, leading to a reduction  
23 in cumulative runoff. Over higher elevation areas, negative SWE deviations are found because of  
24 increased solar radiation available at the surface. Simulated precipitation increases for lower  
25 elevations, while decreases for higher elevations with a minimum in April. Liquid runoff  
26 significantly decreases in higher elevations after April due to reduced SWE and precipitation.

## 27 **1. Introduction**

28 Orographic forcing is an efficient and dominant mechanism for harnessing water vapor into  
29 consumable fresh water in the form of precipitation, snowpack, and runoff. It has been estimated  
30 that about 60 – 90% of water resources originate from mountains worldwide. Mountain water  
31 resources not only support human activities, but are also vital to diverse terrestrial and aquatic  
32 ecosystems. There is strong observational evidence that mountain water resources have been and  
33 continue to be threatened by global warming trends, which lead to snowpack reduction (Mote et  
34 al. 2007; Kapnick and Hall, 2012) and alter the timing and amount of runoff (McCabe and Clark,  
35 2005). Observations and modeling studies have suggested that warming trends are amplified in  
36 mountains compared to lowlands because of the moist adiabatic structure of the atmosphere - the  
37 lapse-rate effect and snow-albedo feedback (Leung et al., 2004). Also, mountains are an integral  
38 part of global monsoon systems in which elevated warming may have important influence on  
39 monsoon circulation and the associated water cycle. However, accurate predictions of mountain  
40 snowpack have been limited by uncertainty in projecting future changes in temperature and  
41 precipitation due to model limitations in representing snow processes and their interactions with  
42 radiative transfer and other terrestrial processes in mountain environments.

43 The spatial and temporal distributions of surface solar radiation are the primary energy  
44 sources that contribute to the energy and water balance at 3-D and inhomogeneous mountain  
45 surfaces, with particularly strong influence on snowmelt processes (Geiger, 1965; Bonan, 2002;  
46 Gu et al., 2002; Müller and Scherer, 2005). The spatial orientation and inhomogeneous features  
47 of mountains/snow that interact with direct and diffuse solar beams are intricate and complex.  
48 Quantifying the interactions of direct and diffuse solar beams with mountain topography and  
49 reliably determining total surface solar fluxes for incorporation in a land surface model has been

50 a challenging task that has yet to be accomplished in regional and high-resolution global climate  
51 modeling. Essentially all modern climate models have used a plane-parallel (PP) radiative  
52 transfer program in performing radiation parameterization; however, the potential errors have  
53 never been quantified.

54 In conjunction with radiative transfer in mountains/snow regions, we have developed a  
55 Monte Carlo photon tracing program specifically applicable to intense and intricate  
56 inhomogeneous mountains and demonstrated that the effect of mountains on surface radiative  
57 balance is substantial in terms of subgrid variability as well as domain average conditions (Liou  
58 et al., 2007; Lee et al., 2011; 2013). Because of the computational burden required by the 3-D  
59 Monte Carlo photon tracing program, an innovative parameterization approach has been  
60 developed in terms of deviations from PP radiative transfer results readily available in climate  
61 models for the five component of surface solar flux: direct and diffuse fluxes, direct- and diffuse-  
62 reflected fluxes, and coupled mountain-mountain flux (Lee et al., 2011). We have derived five  
63 regression equations for flux deviations which are linear and have a general 5 by 5 matrix form  
64 and successfully incorporated this efficient parameterization into the Weather Research  
65 Forecasting (WRF) model, which was used as the testbed in connection with the Fu-Liou-Gu PP  
66 radiation scheme (Fu and Liou 1992, 1993; Gu et al. 2010, 2011) that has been included in the  
67 WRF physics package. We have investigated 3-D mountain/snow effect on solar flux distribution  
68 and their impact on surface hydrology over the Western United States, specifically the Rocky  
69 Mountains and Sierra Nevada using the WRF applied at a 30 km grid resolution (Gu et al. 2012;  
70 Liou et al. 2013)

71 More recently, the 3-D radiative transfer parameterization has been incorporated into  
72 Community Climate System Model version 4 (CCSM4) global model with a  $0.23^{\circ} \times 0.31^{\circ}$

73 resolution to investigate the long-term 3-D effect on the simulated surface solar insolation  
74 patterns and associated sensible and latent heat fluxes, surface temperature, and surface  
75 hydrology over mountains/snow in the Western United States covering both the narrow coastal  
76 Sierra-Nevada Range and the broad continental Rocky mountains. Marked by complex terrain  
77 and with surface hydrology dominated by seasonal precipitation and snow accumulation and  
78 melt (e.g., Leung et al., 2003 a, b), the surface hydrology of the Western United States has been  
79 shown to be extremely sensitive to climate change (Leung et al., 2004; Kapnick and Hall, 2010).  
80 Thus, understanding factors leading to uncertainties in modeling snowpack and runoff is  
81 important for improving hydrologic predictions from seasonal to century time scales from the  
82 perspective of a global model

83 The organization of the present study is as follows. In Section 2 we describe CCSM4 with a  
84 brief discussion on the incorporation of the improved 3-D parameterization for surface solar  
85 radiation over mountain surfaces, followed by a discussion in Section 3 on the significance of 3-  
86 D radiation effect on the seasonal and elevation-dependent variations in solar flux, sensible and  
87 latent heat fluxes, surface temperature, and surface hydrology, including precipitation, snow  
88 water equivalent (SWE), and runoff, as well as a discussion on the potential impact of 3-D  
89 parameterization of surface solar radiation on vegetation. Concluding remarks are given in  
90 Section 4.

91

## 92 **2. 3-D Radiation Parameterization in CCSM4**

93 To study the long-term effect of 3-D mountain radiation effect over mountains/snow on the  
94 surface energy and hydrology, simulations using CCSM4 have been performed. CCSM is a  
95 general circulation model developed by the National Center for Atmospheric Research (NCAR).

96 The fourth version CCSM4 (Gent et al. 2011) is composed of atmosphere (Community  
97 Atmosphere Model, CAM4), land (Community Land Model, CLM4), sea ice (Community Ice  
98 Code, CICE4), and ocean (Parallel Ocean Program, POP2). The detail description of CCSM4 has  
99 already been given in Gent et al. (2011); thus only a brief outline of the components relevant to  
100 our study is presented here. Compared to the previous version, CAM4 used the finite-volume  
101 dynamical core (Lin, 2004) with the revised deep convection parameterization developed by  
102 Neale et al. (2008) that includes convective momentum transport. CLM4 was substantially  
103 modified (Lawrence et al., 2011) to include a carbon-nitrogen cycle (CLM-CN), a Snow and Ice  
104 Aerosol Radiation model (SNICAR, Flanner and Zender, 2006), and a dynamic vegetation  
105 model.

106 To investigate the impact of complex topography on surface solar radiation, the  
107 parameterization developed by Lee et al. (2011, 2013) has been incorporated in CCSM4. We  
108 have carried out 6-year simulations at a horizontal resolution of  $0.23^{\circ} \times 0.31^{\circ}$  with prescribed sea  
109 surface temperatures and sea ice, greenhouse gases, and aerosols corresponding to Year 2000.  
110 The carbon-nitrogen cycle in CLM4 has also been activated. Although our goal is not to  
111 investigate 3-D mountain effects on vegetation, which would require long-term simulations to  
112 simulate vegetation response to different climate forcing, we included the carbon-nitrogen cycle  
113 in our simulations to provide preliminary indications of how vegetation processes may respond  
114 to changes in solar radiation due to mountain topography. Since a global high-resolution initial  
115 condition for CLM-CN is not available, our simulations were initialized using arbitrary initial  
116 conditions of land surface and vegetation states. Hence we note the caveat that slow processes  
117 such as groundwater table and carbon and nitrogen pools in our 6-years long simulations are far

118 from reaching an equilibrium state and will have some influence on our results even with our  
119 focus on comparing simulations with and without 3-D mountain effects.

120 We have designed two experiments as follows: the PP experiment is the control run with  
121 default plane-parallel radiative transfer scheme, while the 3D experiment is identical to the PP  
122 experiment, except that the parameterization for 3-D solar flux is implemented. In this study, we  
123 focus on a domain covering the Rocky Mountains and Sierra Nevada from 120-105°W and 35 -  
124 45°N. Figure 1 displays the elevation map of the Western United States at a 0.23°×0.31°  
125 resolution, and the box is the area where the spatial average is calculated (see Liou et al., 2013).

126 In the previous WRF studies of 3-D radiative transfer, surface topography with a 1 km  
127 resolution was used, which was taken from the HYDRO1k geographic database available from  
128 the USGS' National Center for Earth Resources Observation and Science Data Center. We have  
129 since updated the surface topography data using the Shuttle Radar Topography Mission (SRTM)  
130 global dataset at a resolution of 90 meter (Jarvis et al., 2008) to perform 3-D Monte Carlo photon  
131 tracing simulations to improve parameterization accuracy (Lee et al., 2013). Because SRTM data  
132 cover the land surface between 56 S and 60 N, the parameterization is applied to all area within  
133 this range. Moreover, Lee et al. (2013) have shown that the parameterization can be applied to  
134 any grid box with a size larger than 10×10 km. Therefore, it is suitable for CCSM4 at a quarter-  
135 degree resolution.

136 In addition, we have also accounted for the adjustment involving upward flux deviations in  
137 the parameterization for application to climate models. It should be noted that the  
138 parameterization in our previous studies only adjusts downward solar fluxes calculated by the  
139 conventional radiative transfer scheme in a weather or climate model, while the upward fluxes  
140 remain unchanged. ~~The impact of upward flux adjustment is normally insignificant and can be~~

**Deleted:** The magnitudes of upward flux adjustment are normally insignificant and can be neglected in surface energy analysis associated with a regional model

141 neglected in regional model simulations since the contribution from the upward solar flux, which  
 142 is only a fraction of the downward flux associated with surface albedo, to the atmospheric  
 143 heating rate is much smaller than the downward flux. This slight adjustment for upward fluxes  
 144 will ensure the total energy balance at the surface for simulations involving 3-D radiative transfer  
 145 parameterization in a global model. Specifically, in the structure of a global climate model, land-  
 146 surface model computes the surface albedo taking into account land types, snow cover, soil  
 147 moisture, and other factors. This albedo is then employed as a boundary condition in the global  
 148 climate model for radiative transfer calculations. We can use the parameterization for 3-D  
 149 radiative transfer to adjust the land surface albedo, the ratio of the upward flux to the downward  
 150 flux such that the downward flux adjustment remains unchanged. In this manner, a balance of the  
 151 total energy flux at the surface would be ensured, which is critical for long-term climate  
 152 simulations.

153 Following Lee et al. (2011), the downward surface solar flux can be categorized into: (1)  
 154 The direct flux ( $F_{\text{dir}}$ ) is composed of photons travelling from the Sun to the surface without  
 155 encountering reflection or scattering. (2) The direct-reflected flux ( $F_{\text{rdir}}$ ) is the reflection of  $F_{\text{dir}}$ .  
 156 (3) The diffuse flux ( $F_{\text{dif}}$ ) is associated with photons experiencing single and/or multiple  
 157 scattering. (4) The diffuse-reflected flux ( $F_{\text{rdif}}$ ) is the reflection of  $F_{\text{dif}}$ . The components related to  
 158 downward direct solar radiation received by the real topography,  $F_{\text{dir}}$  and  $F_{\text{rdir}}$ , can be expressed  
 159 as:

$$160 \quad F_{\text{dir}} = (1 + f_{\text{dir}}) \hat{F}_{\text{dir}} \quad \text{and} \quad F_{\text{rdir}} = f_{\text{rdir}} \hat{F}_{\text{dir}} \quad (1)$$

161 where  $\hat{F}_{\text{dir}}$  is the direct downward solar flux calculated by a plane-parallel radiative transfer  
 162 scheme.  $f_{\text{dir}}$  and  $f_{\text{rdir}}$  are the relative deviations evaluated by parameterization and are functions of  
 163 solar incident angle, standard deviation of elevation within a model grid box, sky view factor

164 (the fraction of sky visible to the target), and terrain configuration factor (the area of surrounding  
 165 mountains seen by the target).  $F_{\text{rdir}}$  is assumed to be proportional to the direct downward surface  
 166 solar flux because conventional plane-parallel radiative transfer schemes do not explicitly  
 167 calculate reflected fluxes. With the surface albedo for direct fluxes,  $\alpha_{\text{dir}}$ , calculated by the land  
 168 model, the direct radiation absorbed by the surface is equal to  $(F_{\text{dir}} + F_{\text{rdir}}) \times (1 - \alpha_{\text{dir}})$ . We can  
 169 now introduce the adjusted albedo for direct radiation in mountains, denoted as  $\alpha'_{\text{dir}}$ . To keep the  
 170 solar radiation absorbed by the surface unchanged, we must have

$$171 \quad \hat{F}_{\text{dir}} (1 - \alpha'_{\text{dir}}) = (F_{\text{dir}} + F_{\text{rdir}}) (1 - \alpha_{\text{dir}}). \quad (2)$$

172 Substituting Eq. (1) into Eq. (2) leads to

$$173 \quad \alpha'_{\text{dir}} = 1 - (1 + f_{\text{dir}} + f_{\text{rdir}}) (1 - \alpha_{\text{dir}}). \quad (3)$$

174 Therefore, given the surface albedo provided by the land model and  $f_{\text{dir}}$  and  $f_{\text{rdir}}$  defined by the  
 175 original parameterization, the adjusted albedo for direct flux can be obtained. Note that the  
 176 adjusted albedo is independent from the value of incoming solar radiation, indicating that it can  
 177 be calculated first and then used in the plane-parallel radiative transfer scheme to account for the  
 178 topography effect. Correspondingly, the same procedure can be applied to the diffuse and  
 179 diffuse-reflected fluxes, since CLM4 calculates albedos for direct and diffuse fluxes separately.

180

### 181 3. Model Simulation Results

#### 182 3.1 3-D mountain effects on the geographic distribution of energy and hydrology

183 As mentioned above, we have conducted two 6-year CCSM4 simulations, PP and 3D. In the  
 184 following presentation we have used the results determined from the last 5 years in the analysis.  
 185 The 5-year mean net surface solar flux (FSNS), clear-sky surface solar flux (FSNSC), and total  
 186 cloud fraction for April simulated with the incorporation of 3-D parameterization as a function of

187 latitude and longitude are shown in Figs. 2a, 2b, and 2c, respectively, where the contour lines  
 188 represent terrain height (km). FSNS generally follows the FSNSC and also depicts a pattern  
 189 reflecting the negative modulation by the cloud fraction computed from the model. More clouds  
 190 are generally found over the top of the mountains, where FSNS is relatively smaller because of  
 191 reflection by snow over high elevation areas. The corresponding deviations (3D - PP) are  
 192 displayed in Figs. 2d, 2e, and 2f. It reveals that the difference in FSNS is generally dominated by  
 193 the difference in FSNSC. In this study, FSNSC is controlled by the adjusted albedo, which is  
 194 related to snow cover and 3-D topography effect. Differences in FSNSC in Fig. 2e are mostly  
 195 due to changes in the snow field, which will be discussed later. The 3-D topography effect can be  
 196 found over the Sierra Nevada, where negative/positive deviation appears in the northern/southern  
 197 slope.

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198 Changes in the surface downward solar flux distribution can affect cloud formation, which in  
 199 turn will impact the transfer of solar flux reaching the surface. Figure 2f displays deviations (3D  
 200 - PP) of total cloud fraction, which increases over mountain summits in the vicinity of northern  
 201 Rockies around 45°N and 110°W (Fig. 2f) where the downward solar radiation decreases (Fig.  
 202 2d). In high-elevation areas, because of more reflection and less shading, the surface generally  
 203 receive more solar radiation in the morning when the sky is clear. The additional insolation due  
 204 to the topography effect, can trigger convection earlier than 1D simulation, and then the larger  
 205 cloud fraction produced by including the 3-D parameterization can reduce total daily insolation.  
 206 For the broad south facing side of the mountains south of 38°N, increases in surface solar  
 207 radiation correspond to decreases in cloud fraction.

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208 Figure 3a depicts the monthly mean SWE map for April simulated from CCSM4 with the  
 209 inclusion of 3-D radiation parameterization for mountains. Significant SWE is mostly seen over

210 the vast Rocky Mountain region and the narrow Sierra Nevada region. Generally, the SWE  
 211 pattern shows relatively larger values on the west side of the mountains in response to enhanced  
 212 precipitation on the windward slopes associated with orographic forcing. However, SWE  
 213 displays smaller values at the highest elevation and on the east side of mountains in response to  
 214 the reduced precipitation and the largest solar flux available at mountain tops. Contours of  
 215 differences (3D – PP) in the simulated SWE are shown in Fig. 3b. Due to 3-D mountain effect,  
 216 SWE generally decreases over mountain tops, especially in the area south of 42°N. In the Rocky  
 217 Mountains (~37°N and 107°W), for example, reduction in SWE is as high as 100 mm or 40%.  
 218 Decreased/increased SWE patterns correspond closely to increased/decreased net surface solar  
 219 radiation patterns, as shown in Fig. 2d.

### 220 3.2 3-D mountain effects on seasonal variation

221 Figure 4 shows the 5-year mean deviations (3D – PP) in the domain-averaged monthly net  
 222 surface solar flux, sensible heat fluxes, total cloud cover, and surface temperature as a function  
 223 of month for different elevations over Sierra Nevada and Rocky Mountain areas. For long-term  
 224 simulations during which cloud fields are modified through interactions with radiation, cloud  
 225 feedback can play an important role in radiation field variation. As a matter of fact, the pattern of  
 226 change in net solar flux is generally opposite to that of the total cloud fraction, where  
 227 increases/decreases in the net solar flux correspond to decreases/increases in cloud cover (Figs.  
 228 4a and 4c). For higher elevations above 2.5 km, the net solar flux shows positive deviations  
 229 largely throughout the year, indicating that mountain tops tend to receive enhanced solar  
 230 radiation due to the 3-D effects. For valley areas with elevations lower than 2 km, while solar  
 231 fluxes reaching the surface are also generally larger in the 3-D case, the magnitude of the  
 232 increase is smaller than higher altitude regions due to the shading effect, as shown in our short-

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233 term WRF simulations for the same region (Liou et al. 2013). However, negative deviations  
234 mainly occur during December-January and in June due to increases in total cloud fraction (Figs.  
235 4a and 4c). 3-D mountain effects lead to the reduction in total cloud fraction most of the year,  
236 except for January and June. Mountain clouds normally develop in response to surface solar  
237 heating, which gradually build up at the onset of morning hours. Furthermore, upslope flows  
238 contribute to convection and cloud formation as the elevated surface in mountains heats up  
239 relative to the surrounding air. A reduction in surface insolation can therefore reduce upslope  
240 flow and convection, leading to reduced clouds. Therefore, the reduced solar insolation in lower  
241 elevations due to the 3-D mountain effect tends to cool the surface and weaken the convection  
242 over mountain regions, resulting in less cloud water. Since cloud formation is primarily  
243 dominated by dynamical processes, enhanced surface heating over mountains tops due to the 3-D  
244 effect may not be sufficiently large to initiate cloud formation (Gu et al., 2012). However, during  
245 summer (June) when the surface is heated up, or during winter (January), which is the rainy  
246 season over the Sierra Nevada and Rocky Mountains in association with frontal systems,  
247 additional surface heating from the 3-D mountain effect could enhance cloud formation. Changes  
248 in sensible heat flux and surface temperature generally follow the patterns of net solar flux (Figs.  
249 4b and 4d).

250 Figure 5 depicts the SWE, precipitation, and liquid runoff for the 3D experiment and  
251 differences between 3D and PP experiments. It is shown that SWE reaches its maximum in  
252 February in lower elevations and in March for higher elevations (Fig. 5a). Due to the 3-D  
253 mountain effect, decreases in SWE are found for the higher elevation zone ( $> 2.5$  km) because  
254 more solar radiation is intercepted at mountain tops, while increases are found in lower  
255 elevations because of topographic shading (Fig. 5d). Positive deviations become smaller after

256 January because the sun is moving northward and getting closer to the overhead position during  
257 spring, leading to a reduced shading effect. The monthly mean precipitation (mm) as a function  
258 of elevation over the simulation domain is shown in Fig. 5b. Generally, precipitation increases  
259 with elevation due to orographic forcing. Precipitation shows maximum values around July for  
260 higher elevation zones and in January for all elevations in the rainy season (Fig. 5b). Differences  
261 in precipitation (Fig. 5e) are mostly negative values except for January and follow the pattern of  
262 total cloud fraction (Fig. 4c). The liquid runoff reveals a significant increase during April - June  
263 for the higher elevation range associated with the sun's position (Fig. 5c). Differences in liquid  
264 runoff are the combined results from snowmelt and precipitation. For higher elevations, due to  
265 more solar radiation, runoff first increases during February-March and then decreases after  
266 March related to less available snow and reduced precipitation (Fig. 5f). For valley areas, liquid  
267 runoff shows positive deviations beginning in January associated with more available snow  
268 amount and precipitation. Thus, the impact of 3-D mountain effect is to speed up snowmelt at  
269 mountain tops, and at the same time extend snowmelt and snowmelt-driven runoff into the warm  
270 season for lower elevations.

271 3-D mountain effects could have an important impact on surface vegetation. Many plant  
272 ecological studies, particularly those performed in mountainous terrain, have revealed that  
273 relationships exist between vegetation and the aspect and inclination of slopes (e.g. Killick,  
274 1963; Edwards, 1967; Kruger, 1974; Granger and Schulze, 1977), which results largely from  
275 differences in the amounts of light, i.e. solar radiation, intercepted by different slopes. Solar  
276 radiation variation has been known to affect not only surface energy budgets (Garnier, 1968) and  
277 temperatures, but also soil moisture balances and photosynthesis processes. Such topographically  
278 induced incoming radiation differences may be regarded as one of the most fundamental

279 variables of plant environment. Over a long-term period, plant would likely respond to  
280 differences in light amount (Granger and Schulze, 1977).

281 Figure 6 illustrates deviations of the domain-averaged monthly net vegetation absorbed solar  
282 radiation, sensible heat from vegetation, vegetation temperature, and total leaf area index (LAI)  
283 as a function of elevation. It is shown that the 3-D mountain induced changes in these vegetation  
284 related parameters, which will affect photosynthesis process and vegetation phenology, follow  
285 deviation patterns in the surface solar flux produced in part by elevation dependence. For  
286 example, for the vegetation absorbed solar radiation, positive deviations are seen for higher  
287 elevations ( $>2.5$  km) with a maximum value in April, whereas negative deviations are found for  
288 valley areas ( $<1.5$  km) with the largest reduction occurring in January (Fig. 6a), which largely  
289 follows the net surface solar flux patterns as shown in Fig. 4a. While the global radiation budget  
290 at the top of the atmosphere and surface, precipitation, and surface temperature do not have  
291 significant interannual variation, large fluctuations are seen in the temporal evolution of LAI  
292 over the Western United States. Clearly the vegetation results obtained from a 5-year simulation  
293 have not reached equilibrium as biomass continues to build up after model initialization. Still it is  
294 interesting to see how the difference in LAI between 3D and PP varies over the seasonal cycle  
295 with larger differences developing in early summer (Fig. 6d), following larger changes in the  
296 solar flux absorbed by the vegetation (Fig. 6a). However, much longer simulations with spun up  
297 carbon and nitrogen pools will be needed to obtain meaningful results for vegetation response to  
298 mountain-radiation interactions, a subject requiring further investigations in regards to the 3-D  
299 mountain effects on radiation and vegetation interaction and feedback.

300

#### 301 **4. Concluding remarks**

302 The 3-D radiative transfer parameterization developed for the computation of surface solar  
303 fluxes has been incorporated into CCSM4 and applied at a resolution of  $0.23^{\circ} \times 0.31^{\circ}$  over the  
304 Rocky Mountains and Sierra Nevada in the Western United States. We have carried out 6-year  
305 simulations with prescribed SST to understand the long-term effect of 3-D mountains on the  
306 monthly variation of surface radiative and heat fluxes and the consequence of snowmelt and  
307 precipitation on different elevations.

308 3-D mountain effects play an important role in the distribution of energy and water  
309 sources. Significant increases of net surface solar radiation are mainly found over mountain tops,  
310 while reductions, on the other hand, are mostly observed over valley areas. Changes in the  
311 surface downward solar flux distribution can affect the clouds and snow fields, which in turn will  
312 impact the transfer of solar flux reaching the surface. As a result, increases/decreases in surface  
313 solar radiation generally correspond to decreases/increases in cloud fraction and snow amount.  
314 Changes in clouds are mostly negative throughout the year due to the reduced solar radiation  
315 reaching the surface of lower elevations. The enhanced surface insolation at mountain tops  
316 appears to assist cloud formation during summer (June) related to surface heating or in January  
317 associated with frontal systems. Deviations in the surface solar radiation field can significantly  
318 alter the distribution of mountain snow. Decreases/increases in SWE correspond closely to  
319 increases/decreases in net surface solar radiation.

320 3-D mountain features also affect the seasonal variation of surface fluxes and hydrology.  
321 Deviations of the monthly mean surface solar flux produced by 3-D mountain effects, as  
322 compared to PP results, over the Rocky Mountain and Sierra Nevada regions are a function of  
323 elevation and at the same time, modulated by cloud feedback. Deviations in the net solar flux  
324 show opposite patterns to changes in the total cloud fraction. Deviations in the surface solar

325 radiation field can affect heat fluxes, while changes in the surface energy balance are reflected in  
326 surface temperature variation. Changes in heat flux and surface temperature generally follow the  
327 deviation patterns in the net surface solar flux. Due to the 3-D mountain effect, decreases in  
328 SWE are found at higher elevation zones as a result of more solar radiation intercepted at  
329 mountain tops, while increases are found in lower elevations.

330 Differences in precipitation are mostly negative throughout the year, except for January,  
331 which follow the patterns of total cloud fraction. Differences in liquid runoff are produced by the  
332 combined results from snowmelt and precipitation. For higher elevations, due to increased solar  
333 radiation, runoff first increases during February and March but then decreases after March  
334 associated with reduced snow and precipitation. For valley areas, liquid runoff shows positive  
335 deviations after January associated with more available snow amount. Therefore, one of the  
336 important impacts of 3-D mountain effect is to speed up the snowmelt at mountain tops, while  
337 extend snowmelt and snowmelt-driven runoff into the warm season for lower elevations.

338 Finally, we wish to note that compared to our previous WRF studies of 3-D radiative transfer  
339 over mountains (Liou et al. 2013), similar 3-D mountain effects have been manifested in CCSM4  
340 global simulations. Additionally, long-term simulations show that cloud feedback through cloud-  
341 radiation interactions exerts an important impact on surface fluxes and hydrology.

342  
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**423 Figure Captions**

424 Fig. 1. The elevation map over a  $0.23^{\circ} \times 0.31^{\circ}$  resolution grid for the Rocky-Sierra areas in the  
425 Western United States. The box on the map displays major mountainous areas where  
426 simulation results are analyzed and presented in the paper.

427 Fig. 2. The April mean (a) net surface solar flux ( $\text{W m}^{-2}$ ), (b) clear-sky net surface solar flux ( $\text{W}$   
428  $\text{m}^{-2}$ ), and (c) total cloud fraction simulated for the 3-D case, and differences (3D – PP) in  
429 (d) net surface solar flux, (e) clear-sky net surface solar flux, and (f) total cloud fraction.

430 Fig. 3. The April mean (a) SWE (mm) and (b) corresponding differences (3D – PP).

431 Fig. 4. Deviations (3D - PP) of the domain-averaged monthly (a) net solar flux, (b) sensible heat  
432 flux, (c) total cloud fraction, and (d) surface temperature for a 12-month period as a  
433 function of elevation, lower than 1.5 km (red), 1.5-2 km (orange), 2-2.5 km (green), above  
434 2.5 km (blue), and the whole domain (black).

435 Fig. 5. The monthly mean (a) Snow Water Equivalent (SWE, mm), (b) cumulative precipitation  
436 (mm), (c) cumulative runoff and the corresponding deviations (3D – PP) in (d) SWE, (e)  
437 precipitation, and (f) runoff, averaged over the simulation domain for a 12-month period  
438 as a function of elevation, lower than 1.5 km (red), 1.5-2 km (orange), 2-2.5 km (green),  
439 above 2.5 km (blue), and the whole domain (black).

440 Fig. 6 Deviations (3D - PP) of the domain-averaged monthly (a) vegetation absorbed solar flux,  
441 (b) sensible heat flux from vegetation, (c) vegetation temperature, and (d) total leaf-area  
442 index for a 12-month period as a function of elevation, lower than 1.5 km (red), 1.5-2 km  
443 (orange), 2-2.5 km (green), above 2.5 km (blue), and the whole domain (black).