

Response to Referee Comments for acp-2014-747:

Atmospheric transport simulations in support of the Carbon in Arctic Reservoirs Vulnerability Experiment (CARVE)

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27 February 2015

Our responses to the reviewers' comments in plain font are embedded below in **boldface**.

Anonymous Referee #1

Review of Henderson et al. for Atmospheric Chemistry and Physics

This paper presents an regional atmospheric model evaluation for support of carbon atmospheric transport studies. The primary (high resolution) domain is in the vicinity of Alaska. The use of a regional climate model to provide input to the transport model is a welcome addition. The model validation is solid, albeit not particularly innovative. The validation supports the viability of the use of the regional climate model, as comparison of forecasts to observations shows that the errors are within reasonable limits. I support this paper for publication after a number of revisions that I would refer to as minor.

P. 7: It's not clear to me why three grids are necessary. Couldn't this work have been done with just the inner most grid and the intermediate grid?

The use of three nested grids in WRF ensures that the geographical extent of the largest domain (30-km grid) extends far from the primary region of interest (Alaska). This is anticipated to minimize the effect on the interior of the regional simulation of the global model boundary conditions. We believe that the existing explanation to this effect on lines 27-28 of page 27270 is sufficient.

P.7, line 30: Did you consider spectral nudging over standard grid nudging so as to force the large-scale pattern, but not fine scales? (Glissan et al. 2013, J. Climate, 26, 3985-3999.)

We considered spectral nudging as being less mature than grid nudging and with considerably fewer studies in the literature. Indeed, a recent study (<http://onlinelibrary.wiley.com/doi/10.1002/2014JD022472/abstract>) suggests that use of spectral nudging in WRF fields input to STILT was not beneficial.

P. 7, lines 6-7: It may not read 100% clear that that the 0.1 m/s wind speed reduction is

from WRF v3.4.1 to v3.5.1.

We agree and have revised the text (in the Supplement as per the recommendation of Reviewer 2) to clarify that the comparison is between v3.5.1 and v3.4.1. Note also that the correct v3.5.1 bias is -0.29 m/s and not -0.27 m/s. This typographical error has been corrected.

P. 15, line 1: From Fig. 3a, it appears that the minimum amplitude biases are closer to 1500-1600 UTC.

We agree that the minima occur typically around 1500-1600 UTC and have revised the text to reflect this.

P. 15, line 23: 2.5 m/s RMS

We intended to highlight the magnitude of the seam between 0600 and 0700 UTC, instead of the absolute magnitude of the RMS around these times. We have revised the text to make it clear that we are discussing the magnitude of the seam (now given to a less precise 0.3 m/s).

P. 17 lines 3-4: I would suppose the coastline issues (where observing site distance from the coast is less than the grid spacing) would be another important source of bias and root mean square error at these coastal North Slope sites.

We agree and have included text to this effect.

P. 18: The land surface model is another potential source of error. The LSM soil temperatures tend to be very slow to "spin-up", and could have biases whether or not they are spun-up. It would not surprise me if the extended period of cold bias at Deadhorse during June-July 2012, as seen in Fig. 10a was a period when the ground heat flux term was consistently cooling the surface, rather than showing the usual balanced diurnal cycle.

We agree that the land surface model is unlikely to be in equilibrium when the soil moisture and temperature from coarse-resolution external sources are used without long-term spin-up on the same grid. We have revised the text to explicitly mention this additional potential source of error.

P. 21: It should be pointed out that a reanalysis (with some procedure for data assimilation) will tend to have a smaller error than a forecast. (Otherwise, what is the value of doing the reanalysis?) Also, since the near-surface errors tend to be larger than the free-atmosphere errors, that links the near-surface errors to boundary layer processes and surface energy processes. The latter can, of course, be related to clouds in the free atmosphere leading to radiation errors. This should apply to the temperature errors seen in Figs. 10a and 12a.

We have included a sentence noting the purpose of reanalysis efforts in Section 4.1.2. Also, we have noted the relatively large surface vs upper-air errors and

included a statement as to potential sources of these errors in the newly denoted Section 4.2.1 Campaign bias and RMS errors. (Section 4.2.2 now contains the text of the upper-air validation in the literature.)

P. 23 and Figure 14: Is it possible to calculate Froude numbers for the different grid resolutions, so the flow can be thought of in terms of hydraulic jumps?

We have computed the Froude number along the cross-sections in Fig. 16 and note in the revised text that in domain 3 a minimum of the Froude number below 1.5 occurs in the near-surface flow upstream of the mountain barrier that induced the downslope windstorm. This supports the possibility of the flow transitioning from subcritical to supercritical in the vicinity of the mountain barrier and the occurrence of the hydraulic jump in the downstream flow that is strongly suggested in Fig 14a.

Fig. 17: The figure appears to be mislabelled.

We have corrected this typographical error.

Anonymous Review #2

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This manuscript presents the atmospheric transport modeling component underlying the CARVE mission. The main focus is on the evaluation of high-resolution WRF simulations and (subsequently) STILT-based footprints that have been generated. The ultimate goal of this exercise is not only its applicability for flux inversions (as part of the CARVE science analysis) but also the availability of a robust set of WRF-STILT footprints for Alaska. Ultimately the novelty of this work lies in generating and making these footprints available for the wider research community. As such the manuscript is acceptable for publication. The authors may want to consider the following comments, however, to make the manuscript more interesting and scientifically relevant to the wider atmospheric transport and modeling community. Additionally, the authors may want to distill the results they have presented to improve the readability of the manuscript.

(1) It is necessary to highlight the bigger scientific relevance of this work, i.e., high resolution transport modeling, nested WRF domains, impact of high-resolution input to a transport model, etc. At this stage, the manuscript is very much geared for the CARVE community and reads more like a technical note rather than a scientific paper. In Pg. 27267, Lines 15-17, the authors state that this manuscript is intended to demonstrate the benefit of high-resolution transport modeling on simulation of GHG concentrations. But only Section 5.3 presents brief results for ozone concentrations, which does not justify the claim of the authors in Section 1. What about other trace gases, for e.g., CO₂, CH₄,

etc.? Another paper by the same team (Chang et al. 2014, PNAS) discusses the CH₄ simulations. Maybe the authors can consider adding a CO₂ component here.

We appreciate the concern of the reviewer and have attempted to enrich the manuscript with further analysis. We have added another aspect of the value of using high-resolution input fields to STILT. The following text and figure have been added in Section 5.3 Impact on CARVE chemical simulations:

“Chang et al. (2014) used the WRF-STILT footprints (based on WRF v3.4.1, described in Section 3.1 above) with vertical profiles of the CARVE aircraft methane mixing ratios to determine methane fluxes for Alaska for 2012. This set of vertical profiles comprised receptors located from near ground level to over 5000 m above sea level (ASL). The vertical profiles of six chemical and dynamic tracers measured by the CARVE aircraft (CH₄, CO₂, CO, O₃, water vapor and potential temperature) were used to identify the depth of the atmospheric column enhancement, which is defined as the well-mixed surface-influenced air from the ground to the bottom of the free troposphere. An independent estimation of the depth of the column enhancement is also provided by the height (ASL) at which the WRF-STILT surface-influence function (i.e., the footprint) becomes vanishingly small ($< 0.1 \text{ ppm}/\mu\text{mol m}^{-2} \text{ s}^{-1}$). The 10-day long footprint multiplied by a landmask for each of the receptors within a profile was summed to determine the total surface influence from land for that profile. Typically each profile contains between 200 and 400 individual receptors. For each of the 30 vertical profiles used by Chang et al. (2014), the WRF-STILT transport framework identifies the top of the column enhancement to within 500 m of the value identified by the CARVE aircraft in 67% of the profiles.

In Fig. 18a, we show a sample aircraft-observed vertical profile of CH₄ over interior Alaska near Fairbanks with the top of the atmospheric column enhancement at approximately 2400 m ASL. We see a well-mixed surface-influenced layer, with free tropospheric methane mixing ratios above the top of the column enhancement. The vertical profile of the WRF-STILT influence for receptors within this flight segment (Fig. 18b) is in good agreement and demonstrates that WRF-STILT is able to capture the shape of the CH₄ enhancement throughout the column, as well as the approximate depth of the column enhancement. This also ensures that the estimation of the volume of air that is affected by surface emissions is well estimated, which ultimately is an important aspect of the simulation of GHG concentrations.”

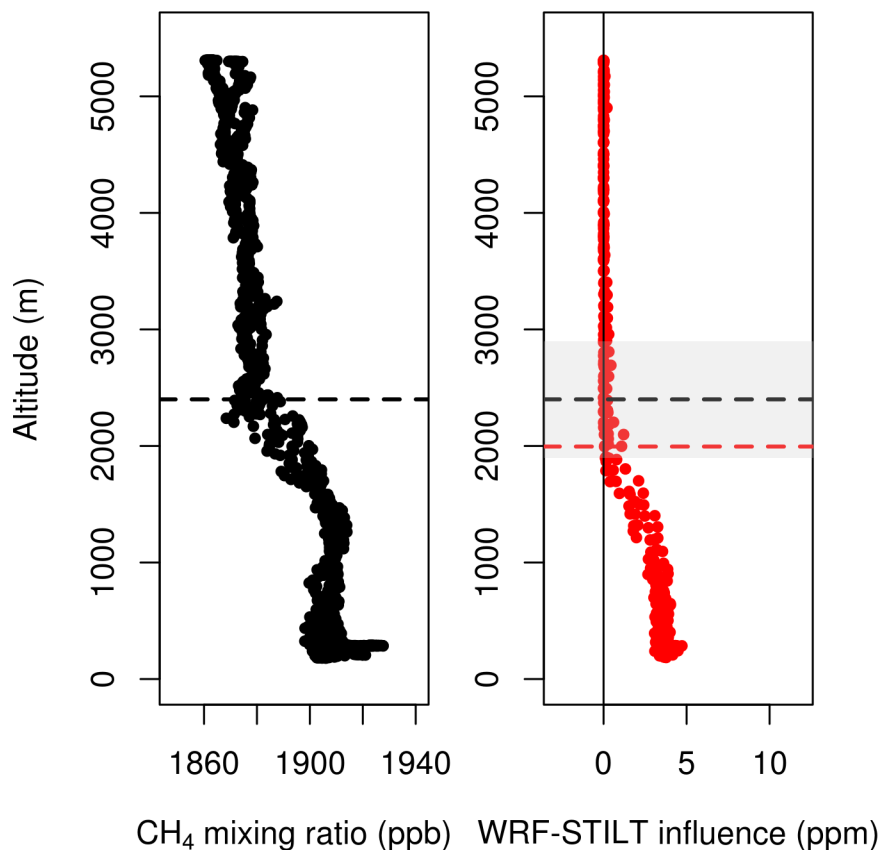


Fig. 18 - Vertical profiles of methane mixing ratios near Fairbanks, AK, on 21 August 2012 based on a) chemical and dynamical tracers as observed by the CARVE aircraft (in black), and b) modeled profile based on an aggregation of 370 WRF-STILT footprint fields (in red). Dashed black line at approximately 2400 m in each panel represents the top of the atmospheric column enhancement as determined using observations from panel a. Red dashed line in panel b at approximately 2000 m denotes the top of the atmospheric column enhancement as defined by WRF-STILT.

The analysis of methane shows the benefit of high-resolution transport modeling for a greenhouse gas species with a unidirectional and diurnally constant emission. The inclusion of a CO₂ comparison would require the use of a fully parameterized and time varying flux model combined with WRF-STILT, the details of which are too extensive to be included here. This aspect will be the basis of a number of extensive studies in the coming months.

(2) What are the main reasons for presenting the WRF v3.5.1 simulations? In the latter parts of Section 4 and Section 5 the authors persist with the v3.4.1 results; hence, the initial switch between WRF v3.4.1 and v3.5.1 is not apparent. I would suggest the authors to stick to the results with the WRF v3.4.1, and maybe add the WRF v3.5.1 results in the Supplement. Until and unless the authors have compelling evidence to show

that WRF v3.5.1 works significantly better than WRF v3.4.1. That does not seem to be the case, however.

We have removed all text and tables related to WRF v3.5.1 for both 2012 and 2013 and placed them in the Supplement. We agree that the readability of the paper is much improved.

A few additional comments/questions along those lines: (a) Section 4.1.1 - Switching to WRF v3.5.1 seems to impact the bias in the 10 m wind speed analysis (a consistent impact of -0.1 m/s across all months). The authors justify this by saying that the decreased vertical mixing in v3.5.1 results in lower surface wind speed (Page 27275, Lines 27-30). But Tables 11 and 12 indicate that the bias remains same or decreases at all pressure levels. Can the authors clarify the differences between v3.4.1 and v3.5.1 that potentially impact the wind speed? How does this impact the STILT footprint calculations? Have the authors run the STILT footprints with the WRF v3.5.1 simulations? In that case, it would be interesting to see a version of Figure 16 but showing the differences between the two footprint calculations.

We have removed our justification regarding the wind speed bias and simply state that there are no changes of note between v3.4.1 and v3.5.1 that would explain the decrease in wind speeds of approximately 0.1 m/s. We mention that substantial differences in wind speed bias between identically-configured versions of WRF have been reported by others in the literature. We reference Bromwich et al. (2013) who reported for Antarctica that, despite using the same input data and physics combinations, the wind speed biases for Polar WRF v3.2.1 versus v3.3.1 for January (July) were 1.07 (1.76) and 0.86 (1.36) m/s, respectively. These differences are considerably larger than reported in the current work.

Footprints using two-way nested v3.4.1 and v3.5.1 WRF fields have been computed for receptors representing actual observations as part of the routine data processing for the ongoing CARVE campaigns. However, we have neither one-way nested v3.4.1 runs available (Fig. 16 used one-way v3.5.1 winds to highlight domain-resolution effects) nor any v3.4.1 footprints for the synthetic (i.e., no GHG measurements were made at the time and location) receptors used in Fig. 16. A reproduction of Fig. 16 is therefore not possible. We anticipate that, for the rather short period of receptors used in Fig. 16, the differences in wind fields between v3.4.1 and v3.5.1 will be unpredictable and not necessarily representative of the systematic differences seen for the month-long periods.

(b) Section 5.1 - It is unclear why the authors suddenly switched to a non-polar WRF v3.5.1? Can the authors clarify?

Forthcoming WRF runs for the ongoing CARVE campaigns took advantage of v3.5.1 because that version had recently been released to the community with the new option of using supplemental cryospheric fields (not available at the time of these runs for the manuscript) and had addressed bug fixes, as usual, though none appeared relevant to our configuration. When performing the stand alone

simulations for Section 5.1 with one-way nesting activated, Polar WRF v3.5.1 was not yet available (its release lags the plain WRF by up to a year), though its effects on the interior of AK – the location of these specific receptors – in September are anticipated to be negligible. The text now mentions that our use of v3.5.1 ensured we took advantage of any bug fixes.

(c) Section 5.2 - What do the authors mean by "CARVE production v3.4.1 two-way nested runs"? (Page 27292, Line 4).

We have removed the term “production”. We simply meant that we were reverting back to use of the original v3.4.1 model configuration. Here, “production” was referring to the bulk set of model runs that covered our entire period of interest.

(3) Finally, can the authors comment on the computational time required to run WRF v3.4.1 at the highest resolution and then the time required to generate the STILT footprints? The authors make a strong argument against Eulerian models based on computational time and complexity (Page 27268, Lines 5-9).

Each daily run of WRF required approximately 4 h of wall-clock time, which is similar to the requirement for each STILT receptor. The former required 600 processors on the supercomputer for each run, while each STILT receptor is run independently on one processor. The ability to best match up the number of STILT receptors that are run at a single time to the availability of a supercomputer (there are 1200 users of Pleiades at NAS Ames) is preferred over the substantial adjoint computations (done in unison) that can be at least an order of magnitude larger in terms of computational demands (run time and memory) over, for example, the nonlinear WRF model itself. Once the STILT receptors have been processed, they form a library of sensitivity functions that can be applied to other trace gases without the need for rerunning. That is not the case with the Eulerian approach. Furthermore, there is no requirement to maintain the adjoint for each subsequent model release. The text has been modified to summarize the above points.

The authors also need to state the number of particles that were released. 500, or 100?

We have corrected this omission. We released 500 particles per receptor.

1 **Atmospheric transport simulations in support of the**
2 **Carbon in Arctic Reservoirs Vulnerability Experiment**
3 **(CARVE)***

4

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16 *The authors wish to dedicate this article to the memory of their co-author Dr. Janusz
17 Eluszkiewicz, who died 27 May 2014.

18

1 **Abstract**

2 This paper describes the atmospheric modeling that underlies the Carbon in Arctic Reservoirs
3 Vulnerability Experiment (CARVE) science analysis, including its meteorological and
4 atmospheric transport components [Polar variant of the Weather Research and Forecasting
5 (WRF) and Stochastic Time Inverted Lagrangian Transport (STILT) models], and provides
6 WRF validation for May-October 2012 and March-November 2013 - the first two years of the
7 aircraft field campaign. A triply nested computational domain for WRF was chosen so that
8 the innermost domain with 3.3-km grid spacing encompasses the entire mainland of Alaska
9 and enables the substantial orography of the state to be represented by the underlying high-
10 resolution topographic input field. Summary statistics of the WRF model performance on the
11 3.3-km grid indicate good overall agreement with quality-controlled surface and radiosonde
12 observations. Two-meter temperatures are generally too cold by approximately 1.4 K in 2012
13 and 1.1 K in 2013, while 2-m dewpoint temperatures are too low (dry) by 0.2 K in 2012 and
14 too high (moist) by 0.6 K in 2013. Wind speeds are biased too low by 0.2 m s⁻¹ in 2012 and
15 0.3 m s⁻¹ in 2013. Model representation of upper level variables is very good. These measures
16 are comparable to model performance metrics of similar model configurations found in the
17 literature. The high quality of these fine-resolution WRF meteorological fields inspires
18 confidence in their use to drive STILT for the purpose of computing surface-influences
19 (“footprints”) at commensurably increased resolution. Indeed, footprints generated on a 0.1-
20 degree grid show increased spatial detail compared with those on the more common 0.5-
21 degree grid, lending itself better for convolution with flux models for carbon dioxide and
22 methane across the heterogeneous Alaskan landscape. Ozone deposition rates computed using
23 STILT footprints indicate good agreement with observations and exhibit realistic seasonal
24 variability, further indicating that WRF-STILT footprints are of high quality and will support
25 accurate estimates of CO₂ and CH₄ surface-atmosphere fluxes using CARVE observations.

26

1 Introduction

2 Increased concentrations of greenhouse gases (GHGs), including carbon dioxide (CO₂) and
3 methane (CH₄), are warming the atmosphere (IPCC, 2013). The Arctic exhibits amplified
4 signs of this warming, with unprecedented changes over the past two decades, including
5 warmer surface temperatures and a moistening of the Arctic boundary layer (Cohen et al.,
6 2012), as well as a dramatic decline in sea ice extent in late summer and early fall (Stroeve et
7 al., 2012). The interplay between these processes creates feedbacks that further amplify
8 environmental change, e.g., a decrease in sea ice reduces the surface albedo and increases
9 latent and sensible heat fluxes into the atmosphere, resulting in warmer surface temperatures
10 relative to mid-latitudes (the so-called Polar Amplification, Manabe and Stouffer, 1980;
11 Holland and Bitz, 2003; ACIA, 2004; Serreze and Barry, 2011). These changes in the Arctic
12 also affect hemispheric flow patterns (e.g., Liu et al., 2012), with detection and attribution of
13 these influences (e.g., Screen et al., 2014; Barnes, 2013; Coumou et al., 2014; Hines et al.,
14 2014) gaining important attention, especially in light of the many extremes of 2012 (Peterson
15 et al., 2013)

16 A warmer and moister Arctic is expected to cause carbon (CO₂ and CH₄) releases from the
17 vast shallow continental and marine megapool reservoirs (ACIA, 2004), but conclusive
18 evidence for these releases is yet lacking (Bergamaschi et al., 2013). This creates the need for
19 continuous monitoring and modeling of concentrations and surface emissions of GHGs,
20 leading to a comprehensive quantification and process-based understanding of the Arctic
21 carbon budget that ultimately will enable prescient discussion and action in this vulnerable
22 and strategically important region. Responding to this need, the objective of the NASA
23 Carbon in Arctic Reservoirs Vulnerability Experiment (CARVE) is to “quantify correlations
24 between atmospheric and surface state variables for the Alaskan terrestrial ecosystems
25 through intensive seasonal aircraft campaigns, ground-based observations, and analysis
26 sustained over a 5-year mission” (Miller and Dinardo, 2012). The aircraft campaigns obtain
27 measurements during the spring through autumn months, while instrumented towers provide
28 year-round observations. As described in the companion papers by Miller et al. (in
29 preparation) and Karion et al. (in preparation), the overall approach to achieving the science
30 objectives of CARVE is two-pronged: 1) Direct fieldwork using aircraft and ground
31 measurements of atmospheric GHG concentrations, and 2) “Top-down” (inverse) estimates of
32 surface fluxes using concentration measurements as inputs. The basic components of the

1 inverse method include measurements of atmospheric CH₄ and CO₂ (dry air mole fractions),
2 an “invertible” transport model computing the sensitivity of the measurements to fluxes in the
3 upwind source regions (“footprints”), and a priori flux models to be optimized by minimizing
4 the model-data mismatch. CARVE also measures a suite of environmental variables to
5 evaluate and improve the transport model, develop empirical flux models, and enable a
6 physically based flux aggregation across spatial scales. This paper presents the atmospheric
7 transport model that underpins the CARVE GHG flux inversion work, with a focus on two
8 aspects: 1) Validation against observations of the meteorological fields driving the transport
9 model, and 2) Demonstration of the benefits of high-resolution transport modeling for
10 simulating concentrations of GHGs measured by CARVE and the collaborating tower sites.

11 The organization of this paper is as follows: Section 2 describes the STILT model that is used
12 for atmospheric transport. Section 3 describes a customized version of the WRF numerical
13 weather prediction (NWP) model that provides meteorological input fields to drive STILT.
14 Section 4 describes WRF model verification for both surface and upper-air variables during
15 the 2012 and 2013 CARVE campaigns. Examples of the impact of model resolution on the
16 representation of meteorology in the WRF model and on STILT footprint calculations are
17 described in Section 5. Conclusions are drawn in Section 6.

18

19 **2 Atmospheric Transport Model**

20 A central goal of CARVE is to infer surface-atmosphere fluxes of CH₄ and CO₂ from space-
21 and time-varying atmospheric concentration measurements. This problem may be solved
22 using inverse approaches ranging in complexity from simple scaling (Press et al., 1992) to
23 formal Bayesian or geostatistical inversions (e.g., Matross et al., 2006; Gourdji et al., 2012;
24 Miller et al., 2013). At the heart of flux inversions is the availability of an accurate transport
25 model that is capable of computing the sensitivity of atmospheric concentrations to surface
26 fluxes upwind. In an Eulerian (gridded) approach, the inverse method involves the calculation
27 or approximation of model adjoints, a complex and demanding approach that must be
28 performed for each version of the non-linear model, or the computationally intensive
29 calculation of “basis functions” or ensembles of forward model runs for a set of predefined
30 aggregated fluxes. Because of numerical diffusion (e.g., Eluszkiewicz et al., 2000), the
31 Eulerian approach has inherent limitations in dealing with localized (subgrid-scale) sources,
32 such as lakes, and in situ measurements, such as made from aircraft or towers. These

1 shortcomings are addressed by applying a Lagrangian Particle Dispersion Model (LPDM),
2 which is computationally more flexible when applied to a shared computational resource. In
3 an LPDM, atmospheric dispersion is simulated by advecting tracer particles (500 per receptor
4 in these transport simulations) by the three-dimensional gridded wind field from an NWP
5 model, plus a turbulent velocity component represented as a stochastic process (Markov
6 chain). The inclusion of both the mean and stochastic wind components (Uliasz, 1994) sets
7 LPDMs apart from trajectory models that only employ mean winds and thus cannot simulate
8 dispersion or surface interactions (Stohl, 1998; Fuelberg et al., 2010).

9
10 When applied backward in time from a measurement location (receptor), the LPDM creates
11 the adjoint of the transport model (“footprint”). The footprint, with units of mixing
12 ratio/(micromole $\text{m}^{-2} \text{s}^{-1}$), quantifies the influence of upwind surface fluxes on concentrations
13 measured at the receptor and is computed by counting the number of particles in a surface-
14 influenced volume (defined as the lower half of the PBL) and the time spent in that volume
15 (Lin et al., 2003). When multiplied by an a priori flux field (units of micromole $\text{m}^{-2} \text{s}^{-1}$), the
16 footprint gives the associated contribution to the mixing ratio (units of ppm) measured at the
17 receptor. Lagrangian methods minimize numerical diffusion, and through coupling to a
18 mesoscale weather model, meteorological realism and mass conservation are achieved. These
19 aspects enable the Lagrangian approach to compute realistic surface fluxes and their
20 uncertainties for measurements from a variety of platforms including towers, aircraft, and
21 satellites. Furthermore, the collection of footprints form a library of sensitivity functions that
22 can be applied to a variety of trace gases without the need to rerun.

23 The flux inversion work for CARVE relies on the Stochastic Time-Inverted Lagrangian
24 Transport (STILT) model (Lin et al., 2003, www.stilt-model.org), an LPDM rigorously tested
25 by Hegarty et al. (2013) and widely used in regional GHG flux inversions (e.g., Zhao et al.,
26 2009; Kort et al., 2008; Kort et al., 2010; Gourdji et al., 2012; Miller et al., 2012; Miller et al.,
27 2013; McKain et al., 2012). STILT is an enhanced version of the NOAA Air Resources
28 Laboratory’s HYSPLIT model (Draxler and Hess, 1998) that combines the powerful features
29 of HYSPLIT, such as the ability to make optimal use of highly-nested, high-resolution
30 meteorological input fields from a large number of data sources, with enhancements aimed at
31 mass conservation, a critical consideration for inversion work. These enhancements include a
32 reflection/transmission scheme and the use of customized meteorological fields (time-

1 averaged mass fluxes and convective mass fluxes) in the dispersion calculations (Lin et al.,
2 2003; Nehrkorn et al., 2010). Studies by Brioude et al. (2012) and Hegarty et al. (2013) have
3 confirmed the benefits of using the customized meteorological output for LPDM
4 computations, particularly in complex terrain. A sample comparison between STILT
5 footprints and HYSPLIT trajectories (Fig. 1) shows general agreement between footprints and
6 trajectories, but, in general, the convolution of footprints with surface-atmosphere flux models
7 reveals details in flux distributions not captured by mean trajectories. Furthermore, the
8 footprints are flux- and species-independent and can be efficiently applied to different flux
9 models and species and incorporated into formal inversion frameworks. In all these aspects,
10 our transport modeling for CARVE goes beyond previous airborne campaign meteorological
11 and transport modeling that was predominantly focused on studying the origin of air masses
12 through forward computation of mean trajectories or particle dispersion for selected species
13 (e.g., Fuelberg et al., 2010 for the Arctic Research of the Composition of the Troposphere
14 from Aircraft and Satellites (ARCTAS) mission).

15

16 **3 WRF Mesoscale Model**

17 **3.1 WRF v3.4.1 baseline simulations for 2012 campaign**

18 The STILT runs for CARVE carbon flux inversions are driven by customized meteorological
19 fields from the Advanced Research version of the Weather Research and Forecasting (WRF)
20 model (ARW; Skamarock et al., 2008). The atmospheric model was configured to generate
21 high-quality, high-resolution meteorological fields over Arctic and boreal Alaska. This is a
22 region of challenging atmosphere-ocean-cryosphere interactions that is subjected to numerous
23 unique physical processes (e.g., Vihma et al., 2013). The WRF fields form the starting point
24 of many lines of research by the CARVE team and were made available in a timely manner
25 through use of NASA supercomputer resources. Initially (see Section 3.2 for additional runs),
26 for the 2012 campaign, we used Polar version 3.4.1 of the WRF model (for brevity, hereafter,
27 simply WRF), with features, primarily related to the Noah land surface model (Chen and
28 Dudhia, 2001), optimized for polar applications (Wilson et al., 2011). The fields generated by
29 WRF v3.4.1 were used by the CARVE team in their subsequent 2012 analyses ([see Section](#)
30 [5.3](#)), and thus our analysis of model performance in this paper will focus on output from this
31 configuration.

1 Placement of the modeling domains, and specification of STILT receptor locations, was
2 dictated mainly by the locations of the 2012 CARVE aircraft flight tracks (Fig. 2a) over
3 mainland Alaska. The 2013 aircraft flight tracks (Fig. 2b) show a similar geographical extent.
4 A triply nested computational domain on a polar stereographic grid (Fig. 2c) was chosen so
5 that the innermost domain with 3.3-km grid spacing encompasses the entire mainland of
6 Alaska and enables the substantial orography of the state to be represented by the underlying
7 topographic input field. The edges of this domain are positioned distant from the location of
8 the CARVE aircraft flights to avoid deleterious model domain edge effects. Domain 2 is
9 similarly positioned so that the domain edges are a considerable distance from any tower
10 locations, while domain 1 is sufficiently large for effects from the lateral boundary conditions
11 to be minimized.

12 Table 1 summarizes the WRF v3.4.1 model configuration and physics options used for the
13 baseline 2012 simulations. Our choice of major physics options follows the WRF
14 configuration selected for the Arctic System Reanalysis (ASR; Bromwich et al., 2012), except
15 that we used the ensemble Grell-Devenyi cumulus parameterization that is coupled with
16 STILT. Clouds were parameterized in all three domains. Soil moisture and a binary (i.e., open
17 water versus complete ice cover) sea ice field from the NCAR/NCEP Reanalysis product
18 (Kalnay et al., 1996) were applied in our simulations. The default sea ice thickness of 3 m and
19 snow depth on sea ice of 0.05 m were used in the Noah land surface model.

20 CARVE 2012 science flights took place between 23 May and 1 October (Alaska time). WRF
21 runs were generated for 10 May through 2 October, 2012 (a total of 146 days), thus
22 accommodating back trajectory simulations for measurements starting on 23 May.
23 Meteorological fields for the entire period were formed from daily 30-h WRF runs that were
24 initiated at 0000 UTC every 24 h with the first 6 h of each simulation dropped to avoid spin-
25 up errors. That is, the WRF fields of forecast lengths 6-30 h from individual model
26 simulations are retained for each 0600 to 0600 UTC period. The 0000 to 0600 UTC period is
27 thus represented by the 24- to 30-h forecast fields from the prior day's simulation. This
28 approach was found to enhance STILT accuracy and computational efficiency (Nehrkorn et
29 al., 2010). The practice of using multiple short-length runs mitigates model drift and
30 accumulation of errors versus long-term continuous runs (Lo et al., 2008). The use of 30-h
31 simulations also minimizes the magnitude of the seams between model runs compared with
32 longer runs. The boundary and initial conditions are derived from the NASA Modern Era

1 Retrospective-analysis for Research and Applications (MERRA, Rienecker et al., 2011). Grid
2 nudging above the boundary layer every 3 h in domain 1 further prevents model drift. These
3 initial WRF v3.4.1 results for 2012 represent the baseline against which later efforts aimed at
4 optimizing the WRF performance through numerical and physics options are evaluated.

5

6 **3.2 WRF v3.5.1 simulations for 2012 and 2013 campaigns**

7 The preliminary meteorological fields from WRF v3.4.1 described above were ingested by
8 STILT. An example of CARVE chemical analysis facilitated by these data is presented in
9 Section 5.3. Subsequently, to improve the scientific fidelity of the CARVE modeling efforts,
10 WRF simulations were repeated for the 2012 campaign, and then extended to the 2013
11 CARVE campaign, using the Polar variant of WRF v3.5.1. The modeling period for 2013 was
12 expanded in time to accommodate the CARVE 2013 science flights that took place between 2
13 April and 28 October (Alaska time), and a subset of observations from the CARVE tower in
14 Fox, AK, and other existing towers. WRF runs for 2013 were generated for 1 March to 30
15 November (a total of 275 days).

16 Using v3.5.1 of WRF, more rigorous implementation of cryospheric fields was enabled. The
17 fractional MERRA snow cover field was used over land. Over water bodies in our modeling
18 domains, daily PIOMAS v2.1 ice thickness and depth of snow cover over ice (Zhang and
19 Rothrock, 2003) supplemental datasets were implemented as part of the model preprocessing
20 following Hines et al. (2014). Sea ice thickness in the Noah land surface model was restricted
21 within 0.1 to 10 m, while snow depth over ice was restricted within 0.001 to 1 m. Sea ice
22 albedo was prescribed following Hines et al. (2014) to vary by Julian Day and latitude. The
23 specified v3.5.1 model configuration, otherwise, was unchanged from that of the baseline
24 v3.4.1 and software refinements and corrections inherent to any model update are not
25 anticipated to have significant effect.

26

27 **4 WRF Verification**

28 In this section we evaluate domain 3, the innermost WRF domain with 3.3-km grid spacing,
29 against surface and upper air observations to quantify the accuracy of the WRF
30 meteorological fields. This high-resolution domain is expected to generate more realistic
31 meteorological features than conventional meso- and global-scale atmospheric NWP models

1 that utilize horizontal grids at least an order of magnitude larger. The two-way grid
2 configuration that we adopted permits feedback from domain 3 to the coarser resolution
3 domains. While this results in more realistic simulations, it does not allow an independent
4 evaluation of model performance with respect to grid resolution in the outer domains; they are
5 consequently excluded from the current verification.

6 The meteorological evaluation of our WRF runs was performed using the Model Evaluation
7 Toolkit (MET, version 4.1). MET is the official WRF validation software maintained by the
8 NCAR Developmental Testbed Center (DTC, <http://www.dtcenter.org/met/users/>) and is
9 tailored to ingest a variety of observation types available from the NCAR Research Data
10 Archive dataset 337.0; <http://rda.ucar.edu/datasets/ds337.0/>) that subsequently is used in the
11 NCEP Global Data Assimilation System. MET interpolates meteorological fields to
12 observation locations to form matched pairs for a range of variables, including temperature,
13 dewpoint, relative humidity, specific humidity, horizontal wind speed, and horizontal wind
14 components. Extensive quality control was performed to identify unphysical observations and
15 remove stations that do not meet data availability thresholds. The latter includes disuse of
16 platforms, mesonets, and locations with fewer than one third of the expected hourly
17 availability and those with unknown quality (e.g., due to poor exposure of the instruments).
18 With these procedures in place, the remaining observations form the basis of a quantitative
19 analysis aimed at ensuring that our WRF fields are adequate to drive STILT.

20

21 **4.1 Surface variables**

22 **4.1.1 Monthly bias and RMS errors**

23 WRF model performance is quantified against surface observations through two summary
24 statistics, bias and root-mean-square (RMS) error, computed for three different modeling
25 periods and configurations: a) v3.4.1 for 2012, b) v3.5.1 for 2012, and c) v3.5.1 for 2013.
26 Hereafter, we denote the ‘2012 Campaign’ as being the period 10 May – 2 October 2012 and
27 the ‘2013 Campaign’ as being the period 1 March – 30 November 2013. Statistics are also
28 compiled separately for each month. (The two days in October 2012 are included only in the
29 full 2012 Campaign statistics). [Detailed statistics based on the 2012 WRF v3.4.1 simulations](#)
30 [are provided below. Results for v3.5.1 WRF simulations are, in general, similar and tabular](#)
31 [statistics for the v3.5.1 2012 and 2013 Campaigns are available in the Supplement.](#)

1 The two calendar years of 2012 and 2013 had substantially different growing seasons: 2012
2 was cooler and wetter than the 1980-2010 mean, while 2013 was warmer and drier. In 2013 a
3 very late thaw (~20 May in Fairbanks) transitioned rapidly into summer, while the autumn
4 refreeze occurred very late (~1 Nov). Statistics provided here are computed using
5 approximately 120 land sites located in domain 3, predominantly in mainland Alaska, with
6 some on offshore Alaskan islands and in the Yukon and Northwest Territories of Canada. The
7 following model fields are interpolated every hour to the location of the observations and
8 included in the evaluation: 2-m temperature, 2-m dewpoint temperature and 10-m wind speed.
9 No vertical correction is applied to account for mismatch between model topographic heights
10 and the true station elevation. Such an adjustment is needed less for high-resolution grids at
11 3.3-km grid spacing than for coarser-resolution grids. Furthermore, the choice of lapse rate
12 used in these adjustments is often not representative of the environmental lapse rate, and, for
13 our purposes, obscures evaluation of the WRF fields as provided to the STILT model.

14 Table 2 shows that WRF v3.4.1 exhibits a bias of -1.40 K in 2-m temperature for the 2012
15 Campaign, and negative temperature biases are also present in each month. The model
16 temperatures are relatively warmer and thus closer to observations during August and
17 September, but remain too low overall. Dewpoint temperature has a negative bias of -0.16 K
18 across the 2012 Campaign. The bias changes from positive (moist) early in the campaign in
19 May and June to negative (dry) from July onwards. This evolving model performance with a
20 change in sign may indicate challenges related to inaccurate representation of the underlying
21 soil conditions and the melting of snow and ice cover. More evidence is provided later in this
22 section. Two-meter wind speed has a small bias of -0.17 m s^{-1} , which is encouraging given the
23 primary importance of the wind field as an input to STILT. A prolonged negative bias in wind
24 speed decreases in magnitude from -0.56 m s^{-1} during the spring and summer and becomes
25 positive by September. We retain model-observation pairs when the observed wind speeds are
26 greater than 3 kt ($\sim 1.5 \text{ m s}^{-1}$). Below this value, mechanical and logistical influences, such as
27 wind sensor starting thresholds, rounding, and administrative limits (Bellinger, 2011)
28 complicate scientific interpretation of the errors. Indeed, standard Automated Surface
29 Observing System (ASOS) sensors report variable direction under certain meteorological
30 conditions. The count of observed wind speeds by wind speed bin for the entire 2012
31 Campaign demonstrates an unphysical distribution (Table 3). The imposition of a wind speed
32 threshold by definition focuses the statistics on higher wind speeds that are more important to
33 transport errors. Fox (2013) summarized the effects of ASOS implementation on wind speed

1 observations, including a note that there was a factor of 2.4 increase in the number of pre-
2 versus post-ASOS incidences of calm observations. Indeed, inclusion in the statistics of an
3 artificially large number of calm observations compared to large numbers of non-zero model
4 values introduces an apparent positive bias in the model wind speeds. As well, the ambiguous
5 wind direction coding in the observation database for calm, and light and variable, winds also
6 results in inflated RMS wind direction errors. For all months of the 2012 Campaign, wind
7 direction biases are small (less than or equal to 5 degrees), with July exhibiting the smallest
8 values. Transport uncertainties related to the small wind speed and direction biases are
9 similarly expected to be small.

10 RMS errors for WRF 2012 v3.4.1 reported in Table 4, are 2.97 K, 2.52 K and 2.19 m s⁻¹ for
11 temperature, dewpoint temperature and wind speed, respectively. Temperature and moisture
12 RMS values decrease month-to-month from May-June (~3.0 to 3.5 K) to September (~2.0 K).
13 Wind speed errors, however, remain relatively constant throughout the campaign, with an
14 increase in September perhaps related to the passage of strong extratropical cyclones during
15 this month. Such storms, while typical for the region as autumn commences, pose a
16 significant modeling challenge, especially for the wind field. As detailed in Section 5.1,
17 model representation of these events is fraught with timing and position errors associated with
18 strong horizontal thermal and moisture advection from the south, mixing of strong low-level
19 winds aloft to the surface, and the possibility of downslope wind events to the lee of mountain
20 ranges. Strong cyclones affected Alaska around 5, 16 and 26 of September 2012. Model
21 performance during a downslope wind event on 16-17 September follows in Section 5.1.
22 Despite the varying amounts of cyclonic activity by month, wind direction RMS errors
23 decrease slightly from May through September with a 2012 campaign average of 52.1
24 degrees.

26 4.1.2 Validation of Arctic modeling in literature: surface variables

27 As noted earlier, the purpose of this manuscript is to assess the suitability of CARVE WRF
28 simulations for use in transport modeling. While there are many recent studies that have
29 illustrated both subjective and objective characteristics of Arctic modeling (e.g., Cassano et
30 al., 2011; Jakobson et al., 2012; Tilinina et al., 2014; Jung and Matsueda, 2014; Simmonds
31 and Rudeva, 2012; Glisan and Gutowski Jr., 2014), we put our model performance in
32 perspective by noting the most relevant recent modeling studies that share many components

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1 similar to our study. We include comparisons against recent reanalysis products that are the
2 result of intensive efforts to reduce errors in simulated fields by coupling the forecast model
3 to a data assimilation system, in contrast to a free-running forecast. When making these
4 comparisons, the evolving nature of WRF and polar modifications, differing choices of
5 observational datasets, grid resolution, modeling domain, and simulation periods must be kept
6 in mind. It should be noted that the different lengths of the CARVE 2012 and 2013 modeling
7 periods strongly influence how seasonal and monthly differences in errors affect the
8 Campaign averages. This should also be kept in mind when comparing model performance
9 against those from the literature listed below where periods of performance vary greatly.

10 Hines et al. (2011) used Polar WRF version 3.0.1.1 in a 25-km Western Arctic domain during
11 2006-2007 and compared model performance to ten observing sites over Alaska. Model
12 biases of 2-m temperature averaged over all sites for the months of May, June and July (i.e.,
13 the months that overlap with the 2012 CARVE campaign) were 1.7, 2.5 and 1.4 K,
14 respectively. RMS errors for the same months were 3.4, 3.9 and 3.5 K, respectively. The
15 biases (RMS errors) in 10-m wind speed for Barrow for May, June and July were -0.4 (1.5),
16 0.3 (1.8) and -0.3 m s⁻¹ (1.4 m s⁻¹), respectively. Wilson et al. (2011), for an ASR-like domain
17 with 60-km grid spacing during December 2006 to November 2007, reported an Arctic-wide
18 bias of -1.3 K and an RMS error of 4.4 K for temperature. For dewpoint temperature, values
19 were -0.4 K and 4.4 K, respectively. Individual sites experienced substantial biases ranging
20 from -7.0 K to 5.9 K for temperature and -7.2 K to 6.5 K for dewpoint temperature. Wind
21 speed bias was 0.5 m s⁻¹, with an RMS error of 2.7 m s⁻¹.

22 More recently, Hines et al. (2014) used Polar WRF v3.5 on a 20-km grid to perform a number
23 of sensitivity experiments related to varying sea ice treatment. In the current work, we closely
24 follow their baseline implementation of Polar WRF and use of supplemental sea ice thickness,
25 snow depth over sea ice, and sea ice albedo. Using observations from the Surface Heat
26 Budget of the Arctic Ocean (SHEBA; Uttal et al., 2002) drifting ice station for January 1998,
27 they obtained surface temperature and wind speed bias (RMS error) values of -1.2 K (3.2 K)
28 and 0.3 m s⁻¹ (1.3 m s⁻¹), respectively. Bromwich et al. (2014) reported the performance of the
29 ERA-Interim global reanalysis (ERA) and Arctic System Reanalysis (ASR) version 1 based
30 on Polar WRF v3.3.1 against a large number of standard observations during the period
31 December 2006-November 2007. They reported annual biases (RMS errors) for 2-m
32 temperature for the ASR and ERA of 0.10 K (1.33 K) and 0.29 K (1.99 K), respectively. For

1 2-m dewpoint temperature, they reported annual biases (RMS errors) for the ASR and ERA of
2 -0.02 K (1.72 K) and 0.32 K (2.04 K), respectively. For 10-m wind speed, they reported
3 annual biases (RMS errors) for the ASR and ERA of -0.24 m s^{-1} (1.78 m s^{-1}) and 0.41 m s^{-1}
4 (2.13 m s^{-1}), respectively. Errors were larger regionally where topography is complex and
5 were compounded by use of a coarse grid spacing of 30 km underlying the reanalysis product.
6 Wesslén et al. (2014) evaluated the performance of the global ERA-Interim reanalysis (~80
7 km grid spacing) and two versions of the developmental Arctic System Reanalysis (ASR1 and
8 ASR2) against ship-based observations obtained by the Arctic Summer Cloud-Ocean Study
9 (ASCOS) in the mostly ice covered Arctic Ocean in August and September 2008. For 2-m
10 temperature, they obtained biases for the ASR1, ASR2 and ERA-I of -0.8, -1.3 and 1.3 K,
11 respectively. Corresponding RMS errors were 2.3, 2.5 and 1.9 K, respectively. For wind
12 speed, biases were -1.4, -1.6 and -0.4 m s^{-1} , respectively, and RMS errors were 2.2, 2.3 and
13 1.6 m s^{-1} . The above details strongly suggest that the current CARVE modeling effort has
14 generated near-surface meteorological fields that are of comparable quality to those in the
15 recent literature. It should be kept in mind that, while realism is improved, skill scores from
16 traditional verification techniques are often degraded due to imperfect timing and placement
17 of small-scale features (Mass et al., 2002).

18

19 **4.1.3 Diurnal cycle of surface variables**

20 We now investigate model bias of the WRF v3.4.1 simulations for 2012 as a function of time
21 of day (all times are UTC unless otherwise indicated; subtract 8 h for AKDT; e.g., noon
22 AKDT=2000 UTC) for the 2012 campaign and also each month of the 2012 runs. All
23 subsequent figures and discussion, unless otherwise noted, refer to the WRF v3.4.1 model
24 simulations for 2012, since these fields were used by CARVE in preliminary analyses and in
25 the example that follows in Section 5.3. When assessing model representation of the true
26 diurnal cycle, it should be kept in mind that the first 6 h of each daily 30-h simulation is
27 discarded to avoid model spin up errors, with the 0000-0600 UTC period formed from the 24
28 to 30-h simulation period from the previous day's run. This splicing together of short model
29 simulations results in a seam between adjacent model runs. Fields from forecast hour zero
30 (not shown) exhibit very small bias and RMS error values. Since these hour-zero WRF fields
31 are simply a spatial interpolation of the MERRA reanalysis to the WRF grid, the minimal
32 error demonstrates the accuracy of the MERRA fields when used for initial conditions.

1 Conversely, deficiencies that are inherent to any numerical weather prediction model are
2 responsible for the subsequent growth of errors with respect to the observations over the 30-h
3 simulation periods. These are responsible for any discontinuities seen between 0600 and 0700
4 UTC. It should also be noted that the diurnal cycle is less well defined above the Arctic circle
5 around the summer solstice when solar insolation persists 24 h a day, with resulting effects on
6 the PBL moisture and temperature structure that can differ from those in the midlatitudes
7 (Tjernström, 2007). In general, the summer Arctic PBL is strongly modulated by surface
8 processes and the presence, and change of phase, of ice and snow. This is in contrast to the
9 properties of the free troposphere, which retains characteristics of air masses advected from
10 lower latitudes (e.g. Tjernström, 2004)

11 Performance of the WRF v3.4.1 simulations as a function of time of day for the entire 2012
12 campaign is similar to patterns seen for individual months, with exceptions noted below. A
13 rather pronounced diurnal cycle in model performance for temperature (Fig. 3a.) results in
14 increasingly negative biases starting around ~1500-1600 UTC, as the solar zenith angle
15 decreases. This indicates a lack of sufficient sensible heating at the surface through the time
16 of peak heating across all months. Of note is a pronounced increase in daytime positive bias
17 (too moist) for dewpoint temperature for May (Fig. 3b), in contrast to other months that
18 exhibit only small biases and are relatively insensitive to the time of day. This may indicate
19 misrepresentation in the model of surface processes, such as changes of state, when
20 (relatively) large diurnal cycles of solar radiation interact with extensive snow and ice cover
21 that is starting to melt. Modeling of the timing and duration of freeze/thaw cycles during the
22 spring thaw is challenging and small errors in timing can result in large errors in both
23 temperature and dewpoint temperature. Wind speed bias is generally negative across all hours
24 for all months, with several months showing a reduction in the negative bias starting around
25 1400-1500 UTC with increasing solar insolation. Of all months during the 2012 campaign,
26 September exhibits the smallest cold bias in temperature and is unique with a positive wind
27 speed bias across all hours (Fig. 3c). An increase in RMS error values of temperature (Fig. 4a)
28 occurs in the afternoon as the bias becomes more negative. RMS errors for dewpoint
29 temperature show (Fig. 4b) a marked discontinuity between 0600 and 0700 UTC reflecting
30 the seam between model runs. The discontinuity is most pronounced in May and steadily
31 decreases through the summer. Again this suggests that the quality of the model
32 representation of surface dewpoint temperature degrades substantially with forecast length
33 due to model deficiencies, including those related to changes of state in the spring months.

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1 This increase in error accelerates around 1800 UTC (noon Alaska time) and remains large
2 through the end of each 30-h simulation. A relatively marked seam of approximately 0.3 m s⁻¹
3) between 0600 and 0700 UTC in wind speed RMS error for September (Fig. 4c) is larger
4 than for all other months, though still modest in magnitude.

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6 4.1.4 Aggregate wind rose plots

7 Wind rose plots showing modeled and observed winds are shown for all stations during the
8 2012 Campaign in Fig. 5. The plots contain the same thirty-six 10-degree wind direction bins
9 used for encoding by the ASOS instrument to avoid the wind direction bias noted by Droppo
10 and Napier (2008). As noted earlier, model-observation wind pairs are retained and plotted
11 only if the observed wind speed is at least 3 knots. The wind roses demonstrate that the model
12 well represents the wind direction frequency distribution, with subtle favored wind directions
13 from the southwest and east in both the model (Fig. 5a) and observations (Fig. 5b). Of note is
14 the higher frequency in the observations of the highest wind speeds (light green and orange).

15

16 4.1.5 Spatial distribution of bias and RMS errors

17 The spatial performance of WRF v3.4.1 shows expansive areas of negative bias across domain
18 3 for the 2012 Campaign (Fig. 6a). The 2-m model temperatures are too cold across all of
19 Alaska, with sites on the North Slope adjacent to the Beaufort Sea and in the Yukon and
20 Northwest Territories of Canada showing the largest negative biases. The persistence during
21 June, July and August (monthly plots for the 2012 Campaign are provided as a Supplement)
22 of substantial negative biases on the North Slope and over other coastal sites results in these
23 locations exhibiting the largest Campaign-averaged cold bias. Similar negative biases are
24 present in interior Alaska in May, but diminish in the summer months substantially following
25 snow melt.

26 Dewpoint temperature (Fig. 6b) shows a small positive bias for most areas, except on the
27 North Slope where a small negative bias exists. Similar to temperature, a pronounced seasonal
28 change occurs over the 2012 Campaign. Monthly plots for the 2012 Campaign are provided
29 as a Supplement. During May a large positive bias of 2-4 K exists across most interior
30 stations. As summer progresses, the magnitude decreases everywhere, with a bias of -3 to -5
31 K developing and persisting across North Slope sites. The bias is minimal by September at

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1 most sites. The coastal stations at Unalakleet (PAUN) and Point Hope (PAPO), however,
2 have substantial positive biases of moisture, despite generally good model performance for
3 other variables at these sites. Wind speed (Fig. 6c) is biased slightly high at many inland sites,
4 but shows small negative bias for coastal regions and sites on the North Slope. There is no
5 substantial seasonal signal, except that many sites in southern Alaska in September exhibited
6 a noticeable positive wind speed bias of approximately $1-2 \text{ m s}^{-1}$.

7 RMS errors in temperature for the overall 2012 Campaign (Fig. 7a) are substantial (up to 6 K)
8 and extensive along the coast of the North Slope, with smaller values elsewhere in Alaska.
9 For these coastal locations, it is conceivable that errors in resolving the coastline on the 3.3-
10 km grid, with related challenges in representing land and ocean surface characteristics, may
11 profoundly affect model performance. Similar to the northward movement of the region of
12 largest values for temperature bias, RMS values of temperature are maximized during July on
13 North Slope stations, with some values of approximately 10 K. These indicate model
14 simulations that are likely challenged by the arrival from the south of warm and moist air
15 masses during the boreal summer over land surfaces still influenced by snow, ice or
16 meltwater. RMS errors decrease noticeably across all sites during August and remain small
17 (approximately 2 K) in September. RMS values for dewpoint temperature (Fig. 7b) do not
18 exhibit substantial geographical variation when averaged over the entire 2012 Campaign,
19 despite monthly values following a pattern similar to those for temperature, though with less
20 extreme and more transient maximum errors on the North Slope in July of approximately 7 K.
21 Wind speed RMS errors (Fig. 7c) are more uniform across all regions, with the most
22 pronounced feature being a substantial regional increase in September in southeast Alaska.
23 The increase in RMS error may be related to poor timing of high wind speeds associated with
24 the strong cyclones that occurred in this mountainous region of Alaska during the month.

25 Of note are persistent and large errors in dewpoint temperature for stations PAUN and PAPO.
26 Evidently erroneous low dewpoint temperatures (not shown) observed at PAPO are the
27 primary cause for the moisture errors (both RMS and bias) at that station. These unphysical
28 values are not present in the 2013 observations at these sites. The source of the large errors at
29 PAUN, however, is not obvious and may be related to the fact that the coastline is not
30 sufficiently resolved in the model.

31

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1 4.1.6 Model performance at representative stations

2 We now show WRF v3.4.1 performance for three sites in Alaska often visited by the CARVE
3 aircraft: McGrath (PAMC), Deadhorse (PASC) and Barrow (PABR). These regions are low-
4 lying wetlands with abundant seasonal CO₂ and CH₄ fluxes and substantial
5 evapotranspiration. We expect model performance at these stations to be representative of
6 neighboring flight locations. Indeed, there is relatively small spatial variation (see Fig. 7) of
7 errors in the vicinity of these three sites.

8 McGrath (62.95 N, 155.58 W) is located along the upper Kuskokwim River in Interior
9 Alaska. At McGrath, a negative bias (Fig. 8a) exists in the model temperatures, and possibly a
10 related positive (moist) bias (Fig. 8b) exists in model dewpoint temperature, from May
11 through late June. Extensive snow cover ended in the vicinity of McGrath around 10 May –
12 the date of the first model simulation. Wind speed (Fig. 8c) in the model is too high, in part
13 because of frequent calm observations. The effect on the magnitude of wind speed errors will
14 be small due to the low wind speeds involved here. Wind direction is well represented in the
15 model, with prevailing winds from the south-southwest in both the model (Fig. 9a) and
16 observations (Fig. 9b). Secondary peaks in the wind direction distribution from the west-
17 northwest, north-northwest and east are also well modeled. The varied directions (compare to
18 subsequent analyses for Deadhorse and Barrow) in part suggest complex source regions of air
19 masses in the interior of Alaska. The frequency of lower wind speeds (blue colors) is higher
20 in the observations.

21 Deadhorse (70.21 N, 148.51 W) is located on the North Slope adjacent to the Beaufort Sea
22 amid low-lying tundra. While experiencing a very cold and dry climate, it is susceptible to
23 marine influences during periods of open water. Local variability in the warmer months of the
24 year is enhanced by its location at approximately 70° N, as incursions of warm, moist air from
25 the south result in brief and infrequent but pronounced departures from a cold annual state.
26 Timing of such frontal passages and also local cooling effects of the nearby ocean are
27 challenging for NWP models to reproduce. Temperatures (Fig. 10a) and dewpoint
28 temperatures (Fig. 10b) in WRF generally exhibit a strong negative bias between the
29 disappearance of extensive snow cover around 10 June 2012 and retreat of sea ice by the
30 middle of July, with forecast errors especially large during and following a mid-June warm
31 spell. The warm spell was associated with temperature and moisture advection from the south
32 in the absence of low clouds and snow cover. Degradation in model performance, however,

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1 appears strongly related to thawing of the top layer of soil. The appearance of a pronounced
2 negative temperature and dewpoint temperature bias between 12-15 June 2012 coincides with
3 an abrupt increase in near-surface soil moisture from snowmelt as soil temperatures rise
4 above the melting point at a nearby Natural Resources Conservation Service Snow Telemetry
5 (SNOTEL) instrument at Prudhoe Bay, AK;
6 <http://www.wcc.nrcs.usda.gov/nwcc/site?sitenum=1177>; “near-surface” measurements are
7 obtained at a depth of 5 cm). A similar bias appears in the 2013 time series between 9-11 June
8 coincides with thawing of the soil. A potential source of error is that the Noah land surface
9 model in WRF may not be completely spun-up, and therefore not reach equilibrium with the
10 diurnal cycle at small spatial scales (e.g., Chen et al., 2007). Daily use of coarse-resolution
11 soil temperature and moisture inputs from MERRA and NNRP presumably contributes to the
12 degraded surface temperature simulations near the coastline in the presence of moisture from
13 snow melt and thawing soil layers. This is despite the fact that the initial soil and temperature
14 fields from MERRA and NNRP are likely in equilibrium for their respective much coarser-
15 resolution analysis systems, though biases may still be present. Indeed, to minimize the effect
16 of spin-up in the land surface model, Hines et al. (2011) performed an offline 10-year cycling
17 of soil moisture and temperature fields. Wilson et al. (2011) and Hines et al. (2011) also
18 adopted a longer spin-up of 24 h (compared to our 6 h) in an attempt to improve
19 representation of the model atmosphere, specifically the surface interface and the boundary
20 layer. However, their results, given earlier in Section 4.1.2, show bias and RMS errors
21 comparable to results from CARVE. It is to be noted that the sign of the dewpoint
22 temperature bias following melting of snow cover is different here than in McGrath. Model
23 temperatures improve noticeably around the middle of July, likely related to the retreat of
24 coastal sea ice, and errors remain small in August and September. The large negative bias in
25 dewpoint temperature also decreases around the middle of July, but frequent episodes of
26 negative bias persist into September. A time series plot of wind speed (Fig. 10c) shows a
27 negative bias, especially during May, with peak speeds during the mid-June warm spell also
28 too low. Wind roses show excellent agreement between model (Fig. 11a) and observations
29 (Fig. 11b), with the predominant direction being east-northeast. A secondary peak is seen for
30 winds from the southwest. The strongest observed winds (light green and orange in Fig. 11b)
31 occurred just prior to the June warm spell but are absent in the model (Fig. 11a).

32 Barrow (71.29 N, 156.77 W) is located on a peninsula extending into the Beaufort Sea. It is
33 the northernmost point in Alaska and is surrounded to the south by wetlands and low-lying

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1 tundra. Results for Barrow are comparable to those for Deadhorse. Of note is the large
2 negative temperature bias during the summer months starting in the middle of June (Fig. 12a),
3 while there also are occurrences of excessive nighttime cooling in May and early June when
4 snow cover is present. Extensive snow cover ended by the middle of June in Barrow and
5 extensive sea ice in close proximity to the shoreline receded by the middle of August. In
6 addition to the possibility of inadequate representation in the model of saturated soil
7 conditions, poor representation of the extent and frequency of low clouds and coastal fog,
8 which are modulated by the retreat of coastal sea ice starting in July, can affect modeled
9 temperatures (e.g., Dong and Mace, 2003) and so may play an important role in the negative
10 bias along the North Slope including Deadhorse and Barrow. Interestingly, simulation of
11 Barrow summer temperatures by Hines et al. (2011) exhibited a positive bias (contrast to the
12 negative bias reported here) of over 10 K on many occasions following snow melt in June.
13 Coastal marine influences also appeared to be poorly modeled in their study, with much better
14 agreement farther inland at, for example, Atkasuk, which lies approximately 110 km to the
15 south of Barrow and experiences a more continental climate (McFarlane et al., 2009).
16 Unfortunately, there is a dearth of non-coastal sites in our observational database with which
17 to investigate further. Very low dewpoint temperatures in our simulations (Fig. 12b) in May
18 were modeled on nights with excessive radiational cooling. A pronounced low (dry) bias from
19 late June through late August was also seen. By September, low-level moisture in the model
20 showed much improvement compared to observations. Wind speed (Fig. 12c) in the model is
21 slightly too low, but does not exhibit any egregiously bad time periods. Similar to the wind
22 roses for Deadhorse, those for Barrow indicate good agreement between model (Fig. 13a) and
23 observations (Fig. 13b), with predominantly east-northeast winds and a secondary maximum
24 from the southwest. The highest wind speeds (light green color) are more frequently seen in
25 the observations (Fig. 13b).

26

27 **4.2 Upper air variables**

28 **4.2.1 Campaign bias and RMS errors**

29 To quantify model performance aloft, model bias and RMS error statistics are computed for
30 all 0000 and 1200 UC upper air observations in the 2012 and 2013 Campaigns at 850, 700,
31 500, 300 and 200 hPa. Model values were interpolated to the location of 11 balloon-launch
32 sounding sites (ten in Alaska and one in the Northwest Territories of Canada). For WRF

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1 | v3.4.1 in Table 5, model representation of upper-level variables is very good, with acceptably
2 | small bias and RMS errors. The 200 hPa level, which is frequently above the tropopause in
3 | the Arctic, often has a bias of a different sign than in the troposphere, but the magnitude
4 | remain modest. Temperature bias values are small (magnitude less than 0.2 K) and negative at
5 | lower levels. The largest bias is 0.39 K at 300 hPa. Geopotential height bias errors range from
6 | -2.5 to -6.4 m up to 300 hPa and are small and positive at 200 hPa. Model relative humidity is
7 | too high at all levels, ranging from +4.3 to +10.8 %, except at 200 hPa, where the bias is -7.6
8 | %. Low-level wind speeds have a small positive bias of up to +0.17 m s⁻¹, with the bias
9 | remaining small but negative at 300 hPa and 500 hPa. A positive bias of +0.36 m s⁻¹ exists at
10 | 200 hPa. RMS errors are modest – +0.98 to +1.80 K for temperature, 11.4 to 18.1 m for
11 | geopotential height, 14.1 to 22.7 % for relative humidity, and 3.00 to 4.88 m s⁻¹ for wind speed
12 | -- and reasonably uniform at all levels.

13 | We note that near-surface temperature errors are larger than those from each level of the free
14 | atmosphere. This may be a result of deficiencies in boundary layer and surface energy
15 | processes or the representation of clouds above the PBL that affects surface radiation budgets.
16 | Each of these potential sources may contribute to the episodes of large temperature errors at
17 | Deadhorse (Fig. 10a) and Barrow (Fig. 12a).

19 | 4.2.2 Validation of Arctic modeling in literature: upper-air variables

20 | Bromwich et al. (2014) evaluated version 1 of the ASR and ERA-Interim against upper-level
21 | observations for December 2006 to November 2007. For temperature (values here refer to
22 | levels at 200 hPa and below to aid in direct comparison), they report mostly small negative
23 | biases of magnitude less than 0.2 K at all levels, with RMS errors ranging from 0.69 to 1.94
24 | K. For geopotential height, bias values are approximately 2 m or less in magnitude, with RMS
25 | errors between 7 and 22 m. For relative humidity, biases are of mixed sign but generally less
26 | than 2 %, while RMS errors range from approximately 9-23 %. For wind speed, biases are
27 | almost exclusively negative with magnitudes less than 0.5 m s⁻¹; RMS errors are largest at
28 | high altitudes at approximately 3 m s⁻¹. While these values generally are in good agreement
29 | with the CARVE WRF v3.4.1 values reported in Table 5, the CARVE biases for relative
30 | humidity and geopotential height are larger.

31 | CARVE errors are similar to those reported by Wilson et al. (2011). They reported the
32 | magnitude of the temperature bias through the entire column of less than 1 K, with RMS

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Deleted: Tables 12 and 13 for WRF v3.5.1 for 2012 and 2013, respectively, show only minor differences from the WRF v3.4.1 simulations for 2012 and overall reflect consistency in the modeling strategy year-to-year.

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1 errors of 1-2 K. Bias for geopotential height ranged from -11 m to +40 m and RMS errors
2 ranged from 20 to 50 m. For relative humidity, they note that obtaining accurate
3 measurements in cold conditions, such as often found in the Arctic, is challenging, thus
4 making this field difficult to verify. Truncated to those (warmer) levels below 500 hPa, they
5 report biases of less than 5 %, with RMS errors of 15-20 %. Biases in wind speed range from
6 -1.1 m s^{-1} to 1.9 m s^{-1} .

7

8 **5 Case Studies**

9 **5.1 Representation of small-scale features**

10 Recent years have seen the emergence of reanalysis datasets with increasingly high
11 resolution. These products typically have grid spacing of approximately 30 km and, as
12 described above, generally compare favorably to observations. Bromwich et al. (2014) do
13 note, however, that regions of complex topography still pose a modeling challenge, in part
14 due to poor model representation of terrain and local wind effects. Here we demonstrate the
15 ability of the WRF model on a 3.3-km grid to reasonably represent a damaging windstorm
16 that is tied closely to mountain wave activity, while the downslope windstorm is absent on a
17 coarse 30-km grid with resolution comparable to current reanalyses. It is our intent to
18 illustrate the increased realism possible with higher-resolution modeling and suggest that
19 transport studies will, in general, benefit from the increased detail in flow fields.

20 September 2012 featured the passage of several strong extratropical cyclones through Alaska.
21 During the evening of September 16, damaging downslope winds (e.g., 62 kt at 1353 AKST
22 at Delta Junction and an unofficial report of 99 kt around 2300 AKST at Dry Creek) were
23 reported across extensive regions to the north of the Alaska Range (NOAA, 2012). The winds
24 were associated with a deep low-pressure system of central pressure 975 hPa that moved
25 along the west coast of Alaska. For 5 h starting around 2300 AKST (0700 UTC 17
26 September), the village of Tanacross observed severe wind gusts from a generally southerly
27 direction. This event was noteworthy because of the presence of strong winds both at high
28 elevations and also in the valleys where the village of Tanacross is located. It is hypothesized
29 that decreased (relative to winter) static stability during the autumn months in the absence of
30 strong surface-based temperature inversions may have contributed to the occurrence of the
31 damaging wind event at Tanacross, which is located farther east than the favored high

1 elevation locations of wind storms in the region (personal communication, Rich Thoman,
2 NWS Fairbanks). Here we demonstrate the improved realism of the downslope wind event
3 that is afforded by use of high-resolution modeling. Mass et al. (2002) discussed similar
4 benefits related to flow over orography that are associated with decreasing WRF grid spacing
5 from 36 to 12 to 4 km. They also note that, while realism is improved, skill scores from
6 traditional verification techniques are often degraded due to imperfect timing and placement
7 of small-scale features.

8 To quantify the impact of model resolution on the ability of WRF to reproduce the mountain
9 waves and subsequent strong surface winds associated with this episode, we have performed
10 additional one-way nested runs of the non-polar WRF v3.5.1 for the period 11-17 September
11 2012. (Use of WRF v3.5.1 ensured that we took advantage of any software refinements and
12 corrections inherent to any new model update, but these changes are not anticipated to have a
13 significant effect.) The placement of 61 vertical levels follows the most recent configuration
14 (available from <http://www.mmm.ucar.edu/rt/amps/>) of the Antarctic Mesoscale Prediction
15 System (AMPS; Powers et al., 2012). The use of one-way nesting enables a direct comparison
16 of the effects of grid spacing, whereas the production runs reported on earlier employed two-
17 way nesting aimed at optimizing model performance. Recent studies (e.g., Moore, 2013) have
18 used the Interim Arctic System Reanalysis (ASRI) product (that has the same 30-km grid
19 spacing as domain 1 in this study) to investigate the climatology in polar WRF of high-speed
20 wind events. Here, however, we demonstrate that use of coarse resolution at 30-km grid
21 spacing versus 3.3-km grid spacing leads to a much more diffuse representation of model
22 orography, mountain waves and low-level flow fields.

23 Figure 14 shows the model representation of a downslope windstorm along the Alaska Range.
24 The 10-m wind field in the 3.3-km grid indicates extensive strong winds over and near the
25 Alaska Range (Fig. 14c), including some locations over 70 kt, while the 30-km wind field
26 offers a much more muted representation with maximum surface winds of about 30 kt (Fig.
27 14d). The spatial variation in the wind fields is considerably greater and more realistic in the
28 higher-resolution domain. The cross-section for domain 3 (Fig. 14a) clearly suggests the
29 presence of a mountain wave in both the potential temperature and wind fields, while
30 mountain waves are absent in domain 1 (Fig. 14b). The unrealistically smooth cross-barrier
31 terrain profile with insufficient vertical extent is a consequence of spatial averaging on a
32 horizontal grid of insufficient resolution to fully resolve mountain waves (e.g., Chow et al.,

1 2012), despite the presence aloft of strong antecedent cross-barrier flow during the evening of
2 16 September (not shown). Indeed, a minimum of the Froude number (e.g., Vosper, 2004)
3 below 1.5 occurs only in domain 3 and in the near-surface upstream flow. This supports the
4 possibility of the flow transitioning from subcritical to supercritical in the vicinity of the
5 mountain barrier and the occurrence of the hydraulic jump that is strongly suggested in the
6 high-resolution fields in Fig 14a. A similar insufficient model representation of the Novaya
7 Zemlya archipelago in the relatively coarse model simulations reported by Moore (2013) may
8 have contributed to the underrepresentation of high-speed winds in their simulations. While
9 damaging winds were observed at Tanacross at 1100 UTC, the high-resolution model
10 generates strong downslope winds nearby that remain just uphill of the village and do not
11 extend far into the lower elevations. Overall, strong surface winds in the model are limited to
12 higher elevations, particularly to the west of Tanacross in the vicinity of the highest
13 orography. It is encouraging then that the model, though several hours later than observed,
14 was eventually able to reproduce the strong observed winds at the low-elevation village of
15 Dry Creek (Fig. 15), with peak speeds in the vicinity of the village of approximately 100 kt at
16 1100 UTC 17 September due to breaking of a mature mountain wave. The ability of the high-
17 resolution WRF configuration, with its more realistic representation of the Alaska Range, to
18 simulate an extreme meteorological event is an indication that problems of lower resolution
19 simulations under a variety of synoptic conditions can be avoided, along with concurrent
20 improved transport in STILT.

21 Another example of the benefit of high-resolution modeling involves preservation of large
22 horizontal gradients: At 0100 UTC 24 August 2012 the CARVE aircraft encountered a
23 pronounced low-level atmospheric boundary on the North Slope during a flight southeast of
24 Barrow. A wind direction change of about 80 degrees and a rapid decrease in atmospheric
25 water vapor content occurred while crossing the boundary in a southward direction over a
26 distance of approximately 500 m. Observed concentrations of CH₄ and CO₂ also decreased
27 sharply, while CO increased across the front. While the model simulations placed the front
28 too far south in this case (not shown), we anticipate the ability of high-resolution grids to
29 “contain” the concentrations in a well-defined boundary whose sharpness could not be
30 contained at coarser resolution.

31

1 5.2 Examples of STILT footprint calculations

2 To illustrate the effect of WRF resolution on STILT output, we have computed footprints
3 using the WRF configuration in Section 5.1 ([v3.5.1 with one-way nesting](#)) for receptors
4 placed at the CARVE tower located to the northeast of Fairbanks (64.986° N, 147.600° W)
5 every hour from 0000 UTC 13 September to 2300 UTC 17 September 2012. Strong
6 tropospheric-deep wind fields associated with migratory cyclones during September likely
7 maximized the influence of orography on the near-surface receptors. The receptors in STILT
8 are positioned at an altitude of 301 m above ground level (AGL), as well as at the actual
9 altitude of 32 m AGL, to account for the 269-m discrepancy in model height (even as
10 represented in domain 3) versus the true height of the base of the CARVE tower. STILT
11 footprints for both heights are computed at 0.1-degree horizontal resolution using two sets of
12 WRF fields: first a) from all domains (i.e., 30-, 10- and 3.3-km grid spacing) and then b) from
13 just the outermost domain 1 with 30-km grid spacing. Resulting near-field footprints are
14 summed every hour over each 24-h trajectory period. The corresponding footprints are
15 substantially different, particularly for the 301-m receptors (Figs. 16a,b). For the receptors at
16 32 m (Figs. 16c,d), the footprint field is confined closer to the tower location as might be
17 expected, making the differences appear smaller. The footprint fields generated using only the
18 domain 1 wind field (Figs. 16b,d) are more diffuse because of the coarse grid spacing of the
19 input wind field. While there is, obviously, no “ground truth” for establishing which
20 footprints are more accurate, footprints derived from high-resolution WRF fields intrinsically
21 can contain more horizontal spatial detail, and benefit from more realistic orography and
22 presumably boundary layer processes, than is possible when using more traditional coarser-
23 scale flow fields. At a minimum, these differences contribute to the transport uncertainties
24 entering the measurement error budget for inverse flux estimates.

25 Another aspect of resolution is the impact of grid size employed in the STILT footprint
26 calculations. For this purpose, we have utilized ~~winds~~ from all three domains [of the v3.4.1](#)
27 [two-way nested runs](#) and computed the footprints at 0.1- and 0.5-degree resolution for a
28 subset of 79 receptors during the same five-day period as above. For a direct comparison, the
29 0.5-deg footprints have been scaled by a factor of 1/25, resulting in an effective resolution of
30 0.1-degrees. The two “native” STILT resolutions (0.5-deg coarse grid in Figs. 17a,c; 0.1-deg
31 fine grid in Figs. 17b,d) result in noticeable differences in both magnitude and spatial patterns
32 of the footprints. The 0.1-degree footprints (at 301 m in Fig. 16b and at 32 m in Fig. 16d)

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with

1 exhibit substantially more detail, making them more suitable for applications in the
2 heterogeneous Alaskan landscape. Note the color scale has been standardized across all four
3 panels to highlight the ‘washed out’ look to the coarse-grid footprints.

4

5 **5.3 Impact on CARVE chemical simulations**

6 Chang et al. (2014) used the WRF-STILT footprints (based on WRF v3.4.1, described in
7 Section 3.1 above) with vertical profiles of the CARVE aircraft methane mixing ratios to
8 determine methane fluxes for Alaska for 2012. This set of vertical profiles comprised
9 receptors located from near ground level to over 5000 m above sea level (ASL). The vertical
10 profiles of six chemical and dynamic tracers measured by the CARVE aircraft (CH₄, CO₂,
11 CO, O₃, water vapor and potential temperature) were used to identify the depth of the
12 atmospheric column enhancement, which is defined as the well-mixed surface-influenced air
13 from the ground to the bottom of the free troposphere. An independent estimation of the depth
14 of the column enhancement is also provided by the height (ASL) at which the WRF-STILT
15 surface-influence function (i.e., the footprint) becomes vanishingly small (< 0.1 ppm/μmol m
16 ² s⁻¹). The 10-day long footprint multiplied by a land mask for each of the receptors within a
17 profile was summed to determine the total surface influence from land for that profile.
18 Typically each profile contains between 200 and 400 individual receptors. For each of the 30
19 vertical profiles used by Chang et al. (2014), the WRF-STILT transport framework identifies
20 the top of the column enhancement to within 500 m of the value identified by the CARVE
21 aircraft in 67% of the profiles.

22 In Fig. 18a, we show a sample aircraft-observed vertical profile of CH₄ over interior Alaska
23 near Fairbanks with the top of the atmospheric column enhancement at approximately 2400 m
24 ASL. We see a well-mixed surface-influenced layer, with free tropospheric methane mixing
25 ratios above the top of the column enhancement. The vertical profile of the WRF-STILT
26 influence for receptors within this flight segment (Fig. 18b) is in good agreement and
27 demonstrates that WRF-STILT is able to capture the shape of the CH₄ enhancement
28 throughout the column, as well as the approximate depth of the column enhancement. This
29 also ensures that the estimation of the volume of air that is affected by surface emissions is
30 well estimated, which ultimately is an important aspect of the simulation of GHG
31 concentrations.

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1 | ~~We also compare modeled ozone loss with measured concentrations. Ozone can be used as a~~
2 | ~~chemical tracer~~ for land influence when dry deposition is the major loss process and
3 | photochemical sources and sinks are negligible. This is the case in the lowest 1.5 km of the
4 | Arctic atmosphere, where photochemistry is approximately 10 times slower than dry
5 | deposition (Jacob et al., 1992b; Walker et al., 2012). It is also more likely in the spring and
6 | fall, when incoming solar radiation is lower, resulting in less photochemistry and lower
7 | vegetative emissions of volatile organic compounds, both of which lead to lower ozone
8 | production. By studying the lowest layer of the atmosphere in which ozone flux can be
9 | assumed constant, the ozone loss can be calculated using WRF-STILT footprints and
10 | compared to measured ozone concentrations.

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11 | Model ozone loss was calculated at each receptor location by summing the portion of the
12 | footprint that had been in contact with land and multiplying it by an initial estimate of the
13 | deposition velocity (-0.3 cm s^{-1}) and the surface ozone concentration (determined for each
14 | flight by taking the mean ozone concentration below 500 m). Monthly results are shown in
15 | Fig. 19 for the lowest 1.5 km above ground level after the mean for each flight was subtracted
16 | for both the model and the observations. The best-fit lines are determined using standard
17 | major axis regression and the slope can be used to calculate the dry deposition velocity
18 | required to match the model with the observations. These computed values range from 0.1 to
19 | 0.5 cm s^{-1} , with a mean over the five months of $0.3 \pm 0.1 \text{ cm s}^{-1}$, where the uncertainty is the
20 | 95% confidence interval ($2 \times$ standard error). This range of deposition velocities is consistent
21 | with those measured over tundra, sub-Arctic fen, and sub-Arctic Norwegian spruce and Scots
22 | pine forests ($0.18\text{--}0.60 \text{ cm s}^{-1}$) (Jacob et al., 1992a; Mikkelsen et al., 2004; Tuovinen et al.,
23 | 1998, 2004). Furthermore, our simulated deposition rates exhibit a realistic seasonal cycle,
24 | with lower velocities in May (Fig. 19a) when the surface is snow covered and leaves are still
25 | unexposed, and higher velocities in the warmer months (e.g., July and August, respectively, in
26 | Figs. 19c and d). This analysis provides confidence in WRF-STILT as implemented for
27 | CARVE and lends credence to applying the WRF-STILT footprints in the science analysis
28 | and flux inversions.

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30 | 6 Conclusions

31 | We have presented a detailed description and validation of the atmospheric transport model
32 | used to estimate surface-atmosphere CO_2 and CH_4 fluxes from CARVE airborne and tower

1 observations. Polar WRF was run on a 3.3-km grid centered over Alaska to generate high-
2 resolution atmospheric fields for input to the STILT transport model. Aircraft and tower-
3 based receptor locations from 2012 and 2013 formed the starting points of backward
4 trajectory computations by the STILT transport model. Model upgrades are ongoing. Related
5 papers provide more details about research enabled by observations and modeling from the
6 CARVE campaigns (Chang et al., 2014; Miller et al., in preparation; Karion et al., in
7 preparation).

8 While the bulk statistics computed here for 2012 and 2013 CARVE model fields cannot be
9 compared directly with published values that use different time periods and observations, the
10 error magnitudes are in general agreement with others in the recent literature. The grid
11 spacing of 3.3-km used for CARVE represents an order of magnitude increase in model
12 resolution compared to standard reanalysis products. The high resolution permits more
13 realistic depiction of flow, including the explicit modeling of downslope windstorms that are
14 absent in coarser-scale model grids. The substantial influence of high-resolution model wind
15 fields input to STILT on footprint fields is demonstrated, as is the increased detail when the
16 footprints themselves are computed on a finer-scale grid. These approaches are likely to be
17 beneficial in the complex orography and surface flux patterns of Alaska and the Arctic in
18 general. These preliminary modeling results will be refined in future CARVE years to form a
19 consistent modeling database of WRF simulations and STILT-based footprints that extends
20 from the beginning to the end of the CARVE field campaigns.

21 The measurement-observation system developed for CARVE ultimately is applicable to other
22 regions of the Arctic, such as the Mackenzie Delta in the Northwest Territories of Canada,
23 Scandinavia and Siberia. The entire dataset (more than 3 TB of data) is publically available
24 from NASA from the CARVE data portal (<https://ilma.jpl.nasa.gov/portal/>). The modeling
25 framework is available to the general Arctic research community and the planned ABoVE
26 NASA mission.

27

28 **7 Author contributions**

29 J.H. and M.M. performed model simulations. J.H., J.E. and R.C. prepared the manuscript.
30 S.W. and C.M. designed the experiments. R.C., S.W., C.M., A.K., J.M. and C.S. collected
31 observations. J.H., J.E., T.N., R.C., S.W. and N.S. conducted analysis.

1

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1 **List of Tables**

2

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4 Table 2. 2012 WRF v3.4.1 Model Biases for Selected Surface Variables.

5 Table 3. Count of observed wind speeds (ws) by wind speed (in kt) for the 2012 Campaign.

6 [Table 4](#). 2012 WRF v3.4.1 Model RMS Error for Selected Surface Variables. Wind direction
7 errors computed using observations greater or equal to 3 kt (~1.5 m s⁻¹) in this table and all
8 later tables. Positive direction is clockwise.

9 [Table 5](#). 2012 Campaign Model Bias/RMS Error for Upper-Level Variables for WRF v3.4.1.

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1 **Figure Captions**

2

3 Figure 1. STILT footprints (shaded, units are ppmv/(micromole $\text{m}^{-2} \text{s}^{-1}$)) and HYSPLIT
4 trajectories from receptors located at 100 m (solid), 500 m (dashed) and 1000 m (dotted black
5 lines) at 1935 UTC 20 August 2012.

6 Figure 2. CARVE flight tracks from a) 2012 and b) 2013 superimposed on WRF innermost
7 domain 3, and c) placement of nested WRF domains used for CARVE modeling, with model
8 topography field (shaded, m) for outermost domain 1 (30-km grid spacing). Nested
9 subdomains shown by green rectangles: domain 2 (d2) with 10-km grid spacing covers
10 Eastern Russia and Western Canada, while innermost domain (domain 3, d3, 3.3-km grid
11 spacing) covers mainland Alaska. Innermost domain model topography field (shaded, m) is
12 shown in panels a) and b).

13 Figure 3. WRF v3.4.1 model bias by time of day (UTC; subtract 8 h for Alaska Daylight
14 Savings Time, AKDT) for a) temperature (K), b) dewpoint temperature (K) and c) wind speed
15 (m s^{-1}). Each curve and color indicates a different period of time (either monthly or the full
16 2012 Campaign) over which the errors are computed.

17 Figure 4. As in Fig. 3, but for RMS error.

18 Figure 5. Wind roses for a) model and b) observations for the entire 2012 Campaign. Model-
19 observation pairs are retained only if the observed wind speed is ≥ 3 knots. The unit of wind
20 speed in these plots is m s^{-1} .

21 Figure 6. Spatial distribution of WRF v3.4.1 bias on innermost domain (3.3-km grid spacing)
22 for the entire 2012 Campaign for a) temperature (K), b) dewpoint temperature (K) and c)
23 wind speed (m s^{-1}).

24 Figure 7. As in Fig. 6, but for RMS error.

25 Figure 8. Time series from WRF v3.4.1 of model (red) and observations (black) at McGrath,
26 AK (station ID: PAMC) for the 2012 Campaign for a) temperature (K), b) dewpoint
27 temperature (K) and c) wind speed (m s^{-1}). All wind observations are plotted.

28 Figure 9. As in Fig. 5, but for McGrath, AK, for a) model and b) observations.

29 Figure 10. As in Fig. 8, but for Deadhorse, AK (station ID: PASC).

1 Figure 11. As in Fig. 5, but for Deadhorse, AK.

2 Figure 12. As in Fig. 8, but for Barrow, AK (station ID: PABR).

3 Figure 13. As in Fig. 5, but for Barrow, AK.

4 Figure 14. Cross-sections of model potential temperature (panels a and b, contoured every 2
5 K) from domain 3 (panels a and c) and domain 1 (panels b and d) and locator maps (panels c
6 and d, red lines near 143° W) valid at 1100 UTC 17 September 2012. Cross-sections are along
7 model grid column 439 of domain 3 (panel c) and grid column 220 of domain 1 (panel d).
8 Wind speed (kt) on panels c and d is shaded (note scale change between top and bottom
9 rows). Model surface pressure trace shown as joined blue circles on each cross-section plot
10 (panels a and b). Locations of orange and light blue circles on surface pressure trace (panels a
11 and b) are shown in panels c and d. Line near 144.5°W on panel c) shows location of cross-
12 section in Fig. 15. MSLP (contoured) and wind field, using standard representation of grid-
13 point wind barbs (every second grid point for domain 3), shown in panels c and d. Locations
14 of Tanacross (63.38° N, 143.36° W) and Dry Creek (63.68° N, 144.60° W) denoted by black-
15 outlined circle and solid black circles, respectively.

16 Figure 15. As in Fig. 14a, but along column 418 of domain 3. Location of cross-section is
17 denoted in Fig. 14c near 144.5° W.

18 Figure 16. Aggregate of all STILT footprints (units are ppmv/(micromole m⁻² s⁻¹) on 0.1-
19 degree grid for 120 hourly receptors placed at the CARVE tower during the period 0000 UTC
20 13 September to 2300 UTC 17 September 2012. Panels a and b (c and d) are for receptors at
21 301 (32) m AGL. Winds from domains 1, 2 and 3 are used in panels a and c; winds only from
22 domain 1 (the outermost domain) are used in panels b and d. The black circle in the center of
23 the grid marks the tower location. Note the change in colour scale between the top and bottom
24 rows.

25 Figure 17. As in Fig. 16, except for the aggregate of 79 CARVE tower footprints on a 0.5-
26 degree grid (panels a and c) and 0.1-degree grid (panels b and d). The 0.5-degree footprints
27 are scaled by a factor of 1/25.

28 Figure 18. Vertical profiles of methane mixing ratios near Fairbanks, AK, on 21 August 2012
29 based on a) chemical and dynamical tracers as observed by the CARVE aircraft (in black),
30 and b) modeled profile based on an aggregation of 370 WRF-STILT footprint fields (in red).
31 Dashed black line at approximately 2400 m in each panel represents the top of the

1 | atmospheric column enhancement as determined using observations from panel a. Red dashed
2 | line in panel b at approximately 2000 m denotes the top of the atmospheric column
3 | enhancement as defined by WRF-STILT.

4 | Figure 19. Deviation of modeled and measured ozone concentrations (ppb) from the means of
5 | each flight for each month of the 2012 Campaign (panels a-e). Computed deposition velocity
6 | (cm s^{-1}) is shown in the lower right corner of each panel.

7

1 **Tables**

2 Table 1. CARVE 2012 WRF v3.4.1 Configuration and Physics Options.

Physics	Option Selected
Microphysics	Morrison 2-moment
LW Radiation	RRTMG
SW Radiation	RRTMG
Surface Layer	MYNN
Land Surface Model	Noah LSM with polar modifications
Land Surface dataset	USGS
PBL Scheme	MYNN 2.5 TKE
Cumulus	G-D ensemble (d1+d2)
Fractional Sea Ice	Binary, source: NCAR/NCEP Reanalysis Project (NNRP)
Time step	90 s
Horizontal grid spacing	d1=30, d2=10 and d3=3.3 km
Grid dimensions	418x418, 799x649 and 550x550 grid points
Vertical resolution	41 eta levels
Feedback	Two-way nesting

3

1 Table 2. 2012 WRF v3.4.1 Model Biases for Selected Surface Variables. Wind direction
 2 errors are computed using observations greater or equal to 3 kt ($\sim 1.5 \text{ m s}^{-1}$) in this table and
 3 all later tables. Positive direction is clockwise.
 4

Surface Variable	May	June	July	August	September	2012 Campaign
2-m Temperature (K)	-2.25	-1.74	-1.57	-1.06	-0.66	-1.40
2-m Dewpoint temperature (K)	1.01	0.07	-0.78	-0.67	-0.08	-0.16
10-m Wind Speed (m s^{-1})	-0.56	-0.34	-0.18	-0.21	0.36	-0.17
10-m Wind Direction (deg)	5.0	3.5	1.9	4.7	4.1	3.8

5

1 Table 3. Count of observed wind speeds (ws) by wind speed (in kt) for the 2012 Campaign.

2

0.0	0<ws<=1	1<ws<=2	2<ws<=3	3<ws<4	4<ws<=5	5<ws<=6
71371	2524	6933	33495	8259	38795	38774

3

4

4 | Table 4. 2012 WRF v3.4.1 Model RMS Error for Selected Surface Variables. Wind direction
 5 errors computed using observations greater or equal to 3 kt ($\sim 1.5 \text{ m s}^{-1}$) in this table and all
 6 later tables. Positive direction is clockwise.
 7

Surface Variable	May	June	July	August	September	2012 Campaign
2-m Temperature (K)	3.43	3.46	3.01	2.39	1.97	2.97
2-m Dewpoint temperature (K)	2.88	2.67	2.51	2.17	2.09	2.52
10-m Wind speed (m s^{-1})	2.12	1.99	2.00	2.11	2.48	2.19
10-m Wind Direction (deg)	58.0	55.5	53.2	49.0	46.7	52.1

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4 | Table 5. 2012 Campaign Model Bias/RMS Error for Upper-Level Variables for WRF v3.4.1.

5

Pressure Level (hPa)	Temperature (K)	Geopotential Height (m)	Relative Humidity (%)	Wind Speed (m s ⁻¹)
200	-0.06/1.80	0.2/16.2	-7.6/14.1	0.36/3.11
300	0.39/1.38	-4.9/18.1	10.8/21.1	-0.20/4.88
500	-0.05/0.98	-4.1/13.7	5.6/22.7	-0.06/3.15
700	-0.08/1.05	-2.5/11.4	4.5/20.1	0.08/3.00
850	-0.17/1.16	-6.4/12.6	4.3/16.3	0.17/3.05

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RMS Error for Selected Surface Variables. -
May ... [2]

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Bias/RMS Error for Upper-Level Variables for
WRF v3.5.1. -
Temperature (K) ... [3]

Supplement of:

Atmospheric transport simulations in support of the Carbon in Arctic Reservoirs Vulnerability Experiment (CARVE)*

**J. M. Henderson¹, J. Eluszkiewicz¹, M. E. Mountain¹, T. Nehrkorn¹,
R. Y.-W. Chang², A. Karion³, J. B. Miller³, C. Sweeney³, N. Steiner⁴, S. C.
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*The authors wish to dedicate this article to the memory of their co-author Dr. Janusz Eluszkiewicz, who died 27 May 2014.

This supplemental material contains verification of WRF v3.5.1 simulations for the 2012 and 2013 Campaigns.

1 WRF v3.5.1 monthly bias and RMS errors

Bias statistics for the 2012 Campaign from WRF v3.5.1 (Table 1) show minor overall differences compared to v3.4.1, with the most pronounced impact being on the surface wind speed. For the entire campaign, the wind speed bias for v3.5.1 was -0.29 m s^{-1} , with corresponding decreases in wind speeds across all months of about 0.1 m s^{-1} . This increases the negative bias in all months, except for a reduction in the positive bias in September. The minimal overall influence on temperature of the additional snow and ice fields over bodies of water suggests that changes likely are limited to those relatively few land sites immediately adjacent to the near-freezing water (see later in this section). The influence will be further reduced by late spring and summer as coastal snow and ice increasingly is restricted in spatial extent. For wind speed, there are no obvious model changes between WRF v3.4.1 and v3.5.1 that would explain the systematic decrease in wind speeds of approximately 0.1 m/s and again we suspect that the addition of the cryospheric ancillary data is unlikely to be the cause of a persistent domain-wide reduction in winds, absent a substantial and spatially large influence on temperature. It is not uncommon, however, for a new version of a model to affect summary statistics despite use of identical physics and input fields. Bromwich et al., (2013), for instance, reported for an Antarctic domain an even more substantial change in wind speed bias for the months of January (July) for Polar WRF v3.2.1 versus v3.3.1 of 1.07 (1.76) and 0.86 (1.36) m s^{-1} , respectively.

For the longer 2013 simulation period (Tables 2 and 3), a pronounced negative bias in 2-m temperature is largest in May and June, while a positive (moist) bias in 2-m dewpoint temperature changes sign in July-September, then returns in October-November. The sign of the wind speed bias also changes from negative in the spring and summer to positive starting in September. The wind direction bias is smallest during the summer months. Overall, the character of bias errors is similar in 2012 and 2013, despite the substantially different character of the two growing seasons.

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RMS error statistics for WRF v3.5.1 for 2012 (Table 4) show minimal overall impact owing to use of v3.5.1 and inclusion of the supplemental snow and ice fields. Tables 5 and 6 for WRF v3.5.1 during 2013 show that the campaign-average RMS errors for this longer campaign are heavily influenced by seasonal patterns similar to those for the shorter 2012 Campaign. Temperature and dewpoint temperature RMS errors are largest from March-June (~4 K) and decrease substantially during the summer to ~2 K, before a sharp increase in November – presumably as snow cover becomes well established. (October 2013 was the warmest on record in Alaska (NOAA, 2013)). In contrast to 2012, wind speed RMS errors of approximately 2 m s⁻¹ are smallest in the summer months. Wind direction errors during 2013 exhibit a modest minimum of approximately 44 degrees in October, compared to a June maximum of approximately 56 degrees.

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2 WRF v3.5.1 spatial distribution of bias and RMS errors,

Despite the inclusion in WRF v3.5.1 simulations of supplemental snow and ice fields over water, including the persistent sea ice in close proximity to the North Slope over the Beaufort Sea, temperature, dewpoint temperature and wind speed biases at individual sites were very similar to those from v3.4.1. This pattern is again repeated during the 2013 Campaign.

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3 WRF v3.5.1 model performance at representative stations,

McGrath: Implementation in v3.5.1 of the snow and ice fields did not have a large effect on the model time series compared to v3.4.1, except for several occurrences of strong surface moisture advection that lead to too high dewpoint temperatures around 15 August 2012. It is expected that, overall, the improved snow and ice fields over water will have minimal influence given the interior location of McGrath.

Deadhorse: The time series of v3.5.1 model temperature and dewpoint temperature differs only by the occurrence of a small number of days with lower temperature and moisture model values. In these cases, model values were already too low and the changes did not improve model performance.

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For all fields the effect of the supplemental supplemental snow and ice datasets for WRF v3.5.1 on seasonal and monthly time scales at individual stations is minimal. -

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Barrow: Similar to the effects at Deadhorse, model values for temperature and dewpoint temperature at Barrow differ only by the occurrence of a small number of days with lower temperature and moisture values.

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4 Upper air campaign bias and RMS errors

Tables 7 and 8 for WRF v3.5.1 for the 2012 and 2013 Campaigns, respectively, show only minor differences compared to the WRF v3.4.1 simulations for 2012 and overall, reflect consistency in the modeling strategy year-to-year.

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List of Tables

Table 1. 2012 WRF v3.5.1 Model Biases for Selected Surface Variables.

Table 2. 2013 March-July WRF v3.5.1 Model Biases for Selected Surface Variables.

Table 3. 2013 Campaign and August-November 2013 WRF v3.5.1 Model Biases for Selected Surface Variables.

Table 4. 2012 WRF v3.5.1 Model RMS Error for Selected Surface Variables.

Table 5. 2013 March-July WRF v3.5.1 Model RMS Error for Selected Surface Variables.

Table 6. 2013 Campaign and August-November 2013 WRF v3.5.1 Model RMS Error for Selected Surface Variables.

Table 7. 2012 Campaign model Bias/RMS Error for Upper-Level Variables for WRF v3.5.1.

Table 8. 2013 Campaign Model Bias/RMS Error for Upper-Level Variables for WRF v3.5.1.

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Tables

Table 1. 2012 WRF v3.5.1 Model Biases for Selected Surface Variables.

Surface Variable	May	June	July	August	September	2012 Campaign
2-m Temperature (K)	-2.24	-1.81	-1.60	-1.08	-0.70	-1.44
2-m Dewpoint temperature (K)	1.11	0.11	-0.74	-0.63	-0.04	-0.10
10-m Wind Speed (m s^{-1})	-0.67	-0.47	-0.30	-0.32	0.25	-0.29
10-m Wind Direction (deg)	4.7	3.3	1.6	4.6	4.1	3.7

Table 2. 2013 March-July WRF v3.5.1 Model Biases for Selected Surface Variables.

Surface Variable	March	April	May	June	July
2-m Temperature (K)	0.12	-0.95	-2.64	-2.25	-1.59
2-m Dewpoint temperature (K)	2.08	2.08	1.19	0.32	-0.74
10-m Wind Speed (m s ⁻¹)	-0.22	-0.29	-0.69	-0.68	-0.48
10-m Wind Direction (deg)	6.1	4.6	1.9	2.2	1.8

Table 3. 2013 Campaign and August-November 2013 WRF v3.5.1 Model Biases for Selected Surface Variables.

Surface Variable	August	September	October	November	2013 Campaign
2-m Temperature (K)	-1.23	-0.45	-0.8	-0.01	-1.09
2-m Dewpoint temperature (K)	-0.86	-0.11	0.33	0.87	0.56
10-m Wind Speed (m s^{-1})	-0.29	0.03	0.16	0.17	-0.26
10-m Wind Direction (deg)	3.0	3.3	5.2	5.4	3.7

Table 4. 2012 WRF v3.5.1 Model RMS Error for Selected Surface Variables.

Surface Variable	May	June	July	August	September	2012 Campaign
2-m Temperature (K)	3.41	3.49	3.04	2.40	2.01	2.99
2-m Dewpoint temperature (K)	2.97	2.73	2.53	2.17	2.09	2.56
10-m Wind speed (m s^{-1})	2.14	2.00	1.99	2.09	2.45	2.18
10-m Wind Direction (deg)	57.9	55.3	53.0	48.6	46.8	51.9

Table 5. 2013 March-July WRF v3.5.1 Model RMS Error for Selected Surface Variables.

Surface Variable	March	April	May	June	July
2-m Temperature (K)	3.73	3.51	4.00	3.99	3.10
2-m Dewpoint temperature (K)	4.47	3.95	3.29	2.95	2.35
10-m Wind Speed (m s^{-1})	2.76	2.37	2.25	2.04	1.92
10-m Wind Direction (deg)	49.7	48.2	53.5	55.6	50.9

Table 6. 2013 Campaign and August-November 2013 WRF v3.5.1 Model RMS Error for Selected Surface Variables.

Surface Variable	August	September	October	November	2013 Campaign
2-m Temperature (K)	2.68	1.98	2.21	3.75	3.45
2-m Dewpoint temperature (K)	2.35	2.09	2.00	4.12	3.30
10-m Wind Speed (m s^{-1})	1.92	2.12	2.47	2.81	2.35
10-m Wind Direction (deg)	51.2	45.6	43.9	48.0	49.7

Table 7. 2012 Campaign model Bias/RMS Error for Upper-Level Variables for WRF v3.5.1.

Pressure Level (hPa)	Temperature (K)	Geopotential Height (m)	Relative Humidity (%)	Wind Speed (m s ⁻¹)
200	0.20/1.72	6.8/16.5	-9.3/14.9	0.24/2.97
300	0.28/1.39	-1.6/17.4	10.9/21.5	-0.22/4.84
500	-0.11/0.98	-0.2/13.1	6.1/23.0	-0.06/3.16
700	-0.05/1.03	0.9/12.6	4.3/19.8	0.02/2.98
850	-0.14/1.14	-6.8/13.1	4.0/16.0	0.18/3.06

Table 8. 2013 Campaign Model Bias/RMS Error for Upper-Level Variables for WRF v3.5.1.

Pressure Level (hPa)	Temperature (K)	Geopotential Height (m)	Relative Humidity (%)	Wind Speed (m s ⁻¹)
200	0.23/1.69	5.5/15.3	-9.9/16.2	0.15/3.19
300	0.20/1.29	-3.1/17.1	5.5/20.2	-0.22/4.70
500	-0.19/1.05	-0.4/14.0	3.1/21.4	-0.01/3.46
700	-0.18/1.11	1.7/13.0	2.0/20.3	-0.01/3.03
850	-0.19/1.31	-5.1/12.5	1.8/17.8	0.32/3.17

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[NOAA: State of the Climate \(2013\): National Overview for October 2013, Tech. rep., NOAA, available from http://www.ncdc.noaa.gov/sotc/national/2013/10, 2013.](http://www.ncdc.noaa.gov/sotc/national/2013/10)