

1 **Lightning NO<sub>x</sub>, a key chemistry-climate interaction: impacts of future climate change**  
2 **and consequences for tropospheric oxidising capacity**

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11

12 **Abstract**

13 Lightning is one of the major natural sources of NO<sub>x</sub> in the atmosphere. A suite of time-slice  
14 experiments using a stratosphere-resolving configuration of the Unified Model (UM),  
15 containing the United Kingdom Chemistry and Aerosols sub-model (UKCA), has been  
16 performed to investigate the impact of climate change on emissions of NO<sub>x</sub> from lightning  
17 (LNO<sub>x</sub>) and to highlight its critical impacts on photochemical ozone production and the  
18 oxidising capacity of the troposphere. Two Representative Concentration Pathway (RCP)  
19 scenarios (RCP4.5 and RCP8.5) are explored. LNO<sub>x</sub> is simulated to increase in a year-2100  
20 climate by 33% (RCP4.5) and 78% (RCP8.5), primarily as a result of increases in the depth  
21 of convection. The total tropospheric chemical odd oxygen production (P(O<sub>x</sub>)) increases  
22 linearly with increases in total LNO<sub>x</sub> and consequently, tropospheric ozone burdens of 29±4  
23 Tg(O<sub>3</sub>) (RCP4.5) and 46±4 Tg(O<sub>3</sub>) (RCP8.5) are calculated here. By prescribing a uniform  
24 surface boundary concentration for methane in these simulations, methane driven feedbacks  
25 are essentially neglected. A simple estimate of the contribution of the feedback reduces the  
26 increase in ozone burden to 24 and 33 Tg(O<sub>3</sub>), respectively. We thus show that, through  
27 changes in LNO<sub>x</sub>, the effects of climate change counteract the simulated mitigation of the  
28 ozone burden, which results from reductions in ozone precursor emissions as part of air  
29 quality controls projected in the RCP scenarios. Without the driver of increased LNO<sub>x</sub>, our  
30 simulations suggest that the net effect of climate change would be to lower free tropospheric  
31 ozone.

32 In addition, we identify large climate-change induced enhancements in the  
33 concentration of the hydroxyl radical (OH) in the tropical upper troposphere (UT),  
34 particularly over the Maritime Continent, primarily as a consequence of greater LNO<sub>x</sub>. The  
35 OH enhancement in the tropics increases oxidation of both methane (with feedbacks onto  
36 chemistry and climate) and very short-lived substances (VSLs) (with implications for  
37 stratospheric ozone depletion). We emphasise that it is important to improve our  
38 understanding of LNO<sub>x</sub> in order to gain confidence in model projections of composition  
39 change under future climate.

40

## 41 **1. Introduction**

42 Lightning is one of the primary sources of nitrogen oxides (NO<sub>x</sub>=NO+NO<sub>2</sub>) in the  
43 troposphere and the only natural source remote from the Earth's surface. Emissions of NO<sub>x</sub>  
44 from lightning (LNO<sub>x</sub>) in the mid and upper troposphere (UT), where the NO<sub>x</sub> lifetime is  
45 longer than at the surface, exert a disproportionately large influence on tropospheric chemistry.  
46 Lightning occurs predominantly in regions of strong convection. These regions, and hence  
47 LNO<sub>x</sub>, are likely to change in a warmer, more moist climate; LNO<sub>x</sub> therefore has the potential  
48 to be a particularly important factor in chemistry-climate interactions.

49 LNO<sub>x</sub> has several roles relevant to both the composition and the radiative properties  
50 of the troposphere. NO<sub>x</sub> from lightning induces production of ozone (O<sub>3</sub>) in the mid to upper  
51 troposphere (e.g. Williams et al., 2005; Schumann and Huntrieser, 2007; Barret et al., 2010),  
52 where ozone can exert a particularly strong radiative forcing (Forster and Shine, 1997). The  
53 production can be large enough to affect the tropospheric column ozone over or downwind of  
54 LNO<sub>x</sub>, particularly when other natural sources of NO<sub>x</sub> (e.g. biomass burning) are absent (Ryu  
55 and Jenkins, 2005).

56 Concentrations and partitioning of other important trace gases are also affected. For  
57 example, the partitioning of the HO<sub>x</sub> (HO<sub>x</sub>=OH+HO<sub>2</sub>) family can be altered by the  
58 conversion of HO<sub>2</sub> to OH via the reaction between HO<sub>2</sub> and NO. In addition, formation of  
59 HO<sub>x</sub> can be induced indirectly, as lightning produced ozone is subsequently photolysed to  
60 form O(<sup>1</sup>D), which then reacts with water vapour to generate OH. In contrast, HO<sub>x</sub> loss  
61 ensues when OH and NO<sub>2</sub> react to form nitric acid, which can then be deposited to the  
62 surface (Brasseur et al., 2006; Schumann and Huntrieser, 2007). Any changes in HO<sub>x</sub> can  
63 affect the lifetime of methane, whose loss depends primarily on OH (Holmes et al., 2013;  
64 Murray et al., 2013). Since methane is the second most important greenhouse gas in terms of

65 radiative forcing, this represents an important chemistry-climate feedback resulting from  
66 changes in LNO<sub>x</sub>.

67 Changes in climate can also exert a direct influence on LNO<sub>x</sub> where, generally, global  
68 LNO<sub>x</sub> is found to increase in a warmer climate (Grenfell et al., 2003; Zeng and Pyle, 2003;  
69 Brasseur et al., 2006; Zeng et al., 2008; Hui and Hong, 2013). However, given the large  
70 uncertainty that surrounds present-day LNO<sub>x</sub> estimates (generally between 2 and 8 Tg(N) yr<sup>-1</sup>),  
71 its vertical distribution and generation mechanisms (Schumann and Huntrieser, 2007;  
72 Wong et al., 2013), future projections are also highly uncertain (Price, 2013). A large part of  
73 the uncertainty in future changes arises from deficits in our understanding of the processes  
74 that drive modelled changes in convection. Chadwick et al. (2013) analysed tropical  
75 convective mass fluxes in the models contributing to the recent Coupled Model  
76 Intercomparison Project phase 5 (CMIP5) and found both a climatological weakening and a  
77 deepening of convection to be robust responses to a warmer climate. The depth of convection  
78 is likely to increase due, at least in part, to an uplifting of the tropopause with climate change.  
79 However, the mechanisms behind the changes in convection are complicated by several  
80 potential contributing factors and are still under debate. These factors might include:  
81 increasing sea-surface temperatures (SSTs) (Ma et al., 2012; Ma et al., 2013; Chadwick et al.,  
82 2013), spatial changes in SST patterns (Xie et al., 2010), increases in the static stability of the  
83 lower atmosphere (as the upper troposphere warms more than the lower troposphere)  
84 (Chadwick et al., 2012) and increases in the depth of convection itself (Chou et al., 2009;  
85 Chou and Chen, 2010). With these uncertainties in mind, it is nonetheless important to  
86 explore the possible feedback processes involving LNO<sub>x</sub> in a future climate.

87 To do this, we use a stratosphere-resolving configuration of the Unified Model (UM)  
88 containing the United Kingdom Chemistry and Aerosols (UKCA) sub-model with both  
89 stratospheric and tropospheric chemistry, to perform a series of sensitivity experiments  
90 perturbing perpetual year-2000 conditions to year-2100. In these experiments, we explore  
91 climate change using two Representative Concentration Pathway (RCP) scenarios: RCP4.5  
92 and RCP8.5 (IPCC, 2013); we also change the concentrations of ozone-depleting substances  
93 (ODS) and tropospheric ozone precursor emissions. The focus in this study lies in examining  
94 changes in LNO<sub>x</sub> and subsequent impacts on tropospheric composition. We do not attempt to  
95 provide a detailed description of all the changes associated with the applied perturbations;  
96 that will form the basis of a future publication.

97 The following sections are organised as follows. Section 2 describes the experimental  
98 set-up and the method in which LNO<sub>x</sub> is calculated in UM-UKCA. Section 3 then discusses

99 the impacts of future climate change on LNO<sub>x</sub>. The associated changes in tropospheric ozone  
100 and oxidising capacity are highlighted. Section 4 concludes with a summary of the results.

101

## 102 **2. Model description**

### 103 **2.1 Experimental set-up**

104 We use UM-UKCA in its atmosphere-only set-up at N48L60 resolution ( $3.75^\circ \times 2.5^\circ$  with 60  
105 hybrid-height levels extending up to 84km). The dynamical core is described by Hewitt et al.  
106 (2011). The model is forced by prescribed sea surface temperatures (SSTs) and sea ice. A  
107 uniform concentration for CO<sub>2</sub> is assumed while uniform surface boundary conditions are  
108 prescribed for the remaining greenhouse gases (GHGs) and ozone-depleting substances  
109 (ODS) (N<sub>2</sub>O, methane and halogen-containing species). These can be varied independently  
110 within the radiation and chemistry schemes. There are surface emissions of 9 species (NO,  
111 CO, HCHO, C<sub>2</sub>H<sub>6</sub>, C<sub>3</sub>H<sub>8</sub>, CH<sub>3</sub>COCH<sub>3</sub>, CH<sub>3</sub>CHO, C<sub>5</sub>H<sub>8</sub> and biogenic CH<sub>3</sub>OH) and multi-level  
112 emissions for NO<sub>x</sub> emitted from aircraft.

113 The chemistry scheme used is a combination of the well-established tropospheric  
114 (O'Connor et al., 2014) and stratospheric (Morgenstern et al., 2009) schemes. It includes the  
115 O<sub>x</sub>, HO<sub>x</sub> and NO<sub>x</sub> chemical cycles and the oxidation of CO, ethane, propane, and isoprene  
116 (Archibald et al., 2011), in addition to chlorine and bromine chemistry, including  
117 heterogeneous processes on polar stratospheric clouds (PSCs) and liquid sulfate aerosols.  
118 Photolysis is calculated interactively by the Fast-JX scheme (Telford et al., 2013) and ozone  
119 is coupled interactively between chemistry and radiation.

120 We perform a series of time-slice integrations with fixed boundary conditions. For  
121 each, we allow the model to spin up for 10 years and integrate for a further 10 years. Through  
122 a total of 10 different simulations, we evaluate the response of the model to three types of  
123 perturbations and their combinations. The full set of simulations is summarised in Table 1.  
124 The Base run is defined by year-2000 boundary conditions.

125 The separate perturbations are described as follows:

126 i) Climate change (CC) - The climate is changed by varying concentrations of GHGs (CO<sub>2</sub>,  
127 methane, N<sub>2</sub>O, CFCs and HCFCs) in the radiation scheme, and prescribed SST/sea ice fields.  
128 The changes in GHGs are not imposed in the chemistry scheme. We adopt three different  
129 realisations for climate: a) year 2000, b) year 2100, RCP4.5, and c) year 2100, RCP8.5. For  
130 year 2000, GHGs are fixed at historical concentrations for this year according to the RCP  
131 dataset (van Vuuren et al., 2011); the SST/sea ice fields are obtained from the observational

132 HadISST dataset (Rayner et al., 2003) and are averages over the years 1998-2002. For year  
133 2100, GHG concentrations are specified according to the concentrations in the RCP4.5 or  
134 RCP8.5 scenarios; the SST/sea ice fields are obtained from simulations using the HadGEM2-  
135 CC coupled atmosphere-ocean model for these respective scenarios (Martin et al., 2011) and  
136 are averages over the years 2081-2100 (RCP4.5) and 2091-2100 (RCP8.5).

137 ii) Ozone-depleting substances (ODS) - Changes in ODS are imposed only within the  
138 chemistry scheme; for radiatively active ODS (e.g. CFC-11 and CFC-12), these changes are  
139 decoupled from the radiation scheme. We only consider future changes in halogen-containing  
140 species, while  $N_2O$  and methane, which are source gases for ODS, are left unchanged. For  
141 year 2000, we apply historical surface concentrations obtained from the RCP dataset; for year  
142 2100, we apply the concentrations projected by the RCP4.5 scenario.

143 iii) Ozone precursor emissions ( $O_3pre$ ) - We consider a future reduction in the anthropogenic  
144 components of emissions relative to year-2000 values as according to the RCP4.5 scenario.  
145 Emissions from natural sources, including isoprene emissions, remain unchanged. Methane is  
146 also not changed in the chemical scheme.

147 We aim to isolate the impact of  $LNO_x$  from other effects of climate change by  
148 performing two further simulations in which we fix  $LNO_x$  but allow climate (and its influence  
149 on convection) to vary between them. These are the Base( $fLNO_x$ ) and  $\Delta CC8.5(fLNO_x)$   
150 simulations which are run under year-2000 and year-2100 RCP8.5 climate, respectively. In  
151 these, both the amount and distribution of  $LNO_x$  are fixed to that of the Base run. To do this,  
152 we switch off the interactive calculation of  $LNO_x$  (see Sect. 2.2) and instead, impose a  
153 monthly mean climatology of these emissions obtained from the Base run, which is linearly  
154 interpolated to 5-day averages. The Base( $fLNO_x$ ) and Base runs should be identical; indeed,  
155 there are negligible differences in temperature, tropospheric ozone and OH between these  
156 runs, providing validation for the method of imposing an  $LNO_x$  climatology. It is therefore  
157 also valid to present results of  $\Delta CC8.5(fLNO_x)$  as differences from Base (as with all other  
158 perturbations in this study), with the confidence that there are no differences generated from  
159 the contrasting experimental set-ups. Base( $fLNO_x$ ) will henceforth not be discussed and  
160  $\Delta CC8.5(fLNO_x)$  will be referred to as the “fixed- $LNO_x$ ” run.

161

## 162 **2.2 Lightning $NO_x$ parameterisation**

163  $LNO_x$  is calculated every hour in UM-UKCA following the method applied in the p-  
164 TOMCAT model. Details of the methodology are provided in Barret et al. (2010) and

165 references therein but a brief description is provided here. Lightning flash frequencies are  
166 parameterised according to the Price and Rind (1992, 1994a) scheme (henceforth abbreviated  
167 as PR92):

$$168 \quad F_c = 3.44 \times 10^{-5} H^{4.9} \quad (1)$$

$$169 \quad F_m = 6.40 \times 10^{-4} H^{1.73} \quad (2)$$

170 where  $F_c$  and  $F_m$  are continental and marine lightning frequencies (flashes  $\text{minute}^{-1} 25\text{km}^{-2}$ ),  
171 respectively, and  $H$  is the cloud-top height (kilometres above ground level), which is  
172 determined from the model convection scheme. The PR92 method for calculating the  
173 proportion of cloud-to-ground (CG) and intra-cloud (IC) flashes is incorporated, but here, the  
174 energy per flash is constant regardless of the type of flash.  $10^{26}$  molecules of NO are  
175 produced per flash and the flash frequencies are scaled to match observations of the present-  
176 day (Barret et al., 2010), resulting in  $6 \text{ Tg(N) yr}^{-1}$  of total, global  $\text{LNO}_x$  for the year 2000.  
177 The scaling factor is unchanged between runs, such that  $\text{LNO}_x$  will vary only with changes in  
178 convective cloud-top height through changes in convection. The molecules of  $\text{NO}_x$  produced  
179 in each column are then distributed evenly in log-pressure coordinates from 500 hPa to the  
180 cloud-top and the ground to 500 hPa for IC and CG flashes, respectively.

181 Implementation of the PR92 scheme varies in its details from model to model,  
182 generally with an aim to generate lightning flash frequencies and distribution for the present-  
183 day atmosphere (as within the development of UM-UKCA) or for a particular choice of total,  
184 global  $\text{LNO}_x$ . In a model study, Labrador et al. (2005) have demonstrated that, in addition to  
185 the overall magnitude of  $\text{LNO}_x$ , concentrations of tropospheric trace constituents are also  
186 particularly sensitive to the vertical distribution of  $\text{LNO}_x$ . However, they were unable to  
187 select a best fitting distribution due to the low number of observational campaigns and the  
188 large scatter in existing data. Compared to other vertical  $\text{LNO}_x$  distributions, such as those  
189 suggested by Pickering et al. (1998) and Ott et al. (2010), UKCA distributes  $\text{LNO}_x$  more  
190 evenly by mass in the vertical. As a result, UKCA would simulate lower ozone and OH in the  
191 mid and upper troposphere for a given magnitude of total  $\text{LNO}_x$ , relative to these  
192 distributions. In the lower troposphere and the boundary layer, where  $\text{NO}_x$  lifetimes are short,  
193 trace gas concentrations are far less sensitive to  $\text{LNO}_x$  (Labrador et al., 2005).

194 Convection itself is also parameterised at the horizontal resolution used in this model  
195 and in most current chemistry-climate and chemical transport models (CCMs, CTMs). Russo  
196 et al. (2011) showed that although a high vertical model resolution is needed to match the

197 vertical distribution of clouds to observations, a low horizontal resolution is sufficient to  
198 capture the geographical distribution.

199 As in many sensitivity studies, we bear these caveats in mind and use our  
200 parameterisations as reference schemes relative to which we study changes. Our goal is thus  
201 to understand the mechanisms by which climate change could drive changes in chemistry,  
202 with a focus on the role of LNO<sub>x</sub>, rather than attempt to predict the future state of the  
203 atmosphere.

204

### 205 **3. Results**

206 We primarily address changes related to LNO<sub>x</sub> between the runs outlined in Sect. 2.1. We  
207 will first discuss changes in the LNO<sub>x</sub> amount and distribution with climate change in Sect.  
208 3.1. Then, in Sect. 3.2, we will show the resulting impacts on the tropospheric, global odd  
209 oxygen budget. In Sect. 3.3, we will address consequences for the OH radical, which  
210 principally determines the oxidising capacity of the troposphere, and finally, we will discuss  
211 the associated impacts on methane and other trace gases in Sect. 3.4.

212

#### 213 **3.1 Changes in LNO<sub>x</sub>**

214 The fifth column in Table 1 shows that experiments with a warmer climate simulate greater  
215 LNO<sub>x</sub>. Relative to the year-2000 climate, there are substantial increases in LNO<sub>x</sub> of 2 Tg(N)  
216 yr<sup>-1</sup> (33%) and 4.7 Tg(N) yr<sup>-1</sup> (78%) between runs for which only the climate changes,  
217 according to the RCP4.5 and RCP8.5 scenarios, respectively. This corresponds to a  
218 sensitivity of 0.96 Tg(N) K<sup>-1</sup> or 16% K<sup>-1</sup> although the relationship between LNO<sub>x</sub> and global  
219 mean surface temperature is not quite linear (not shown). This sensitivity is stronger than that  
220 reported by some previous model studies: 9% K<sup>-1</sup> (Brasseur et al., 2006), 12% K<sup>-1</sup> (Grenfell  
221 et al., 2003), 5-6% K<sup>-1</sup> (Price and Rind, 1994b). This could reflect differences in the specific  
222 tuning of the PR92 parameterisation (used in all of these cited studies), in convection  
223 schemes and/or in the model resolutions.

224 With regard to its geographical distribution, LNO<sub>x</sub> occurs predominantly over the  
225 tropics in regions which show high convective activity: South America, Central Africa and  
226 the West Pacific/Maritime Continent. Figure 1 shows changes in the tropically averaged  
227 (20°S-20°N), annual mean distribution of LNO<sub>x</sub> between Base and the runs which change  
228 climate only (Fig. 1a: ΔCC4.5 and Fig. 1b: ΔCC8.5). Increases in LNO<sub>x</sub> occur primarily over

229 the Maritime Continent for  $\Delta\text{CC4.5}$ .  $\Delta\text{CC8.5}$  displays, in addition, large increases over  
230 Central Africa and South America, highlighting the potential importance of all three regions  
231 with respect to future changes in  $\text{LNO}_x$ . In contrast to the study of Hui and Hong (2013), in  
232 which the Maritime Continent displays the weakest increases in  $\text{LNO}_x$  by 2050 (except in  
233 boreal winter when they are comparable to the increases over South America), this region is  
234 associated with the largest changes in  $\text{LNO}_x$  in UM-UKCA for all months of the year and  
235 both RCP scenarios. These opposing results might be attributable to a difference in model  
236 resolutions. Compared to UM-UKCA, the coarser resolution ( $4^\circ \times 5^\circ$ ) GEOS-Chem model  
237 used by Hui and Hong (2013) is less able to resolve the islands and peninsulas of the  
238 Maritime Continent, which may result in systematic biases in  $\text{LNO}_x$  over this region.

239 Changes in  $\text{LNO}_x$  can result from changes in both the intensity (depth) of individual  
240 convective events and the overall frequency of convection. Distributions of convective cloud-  
241 top height (CTH) (not shown) indicate a shift towards greater CTH under future climate  
242 change. For example, in  $\Delta\text{CC8.5}$ , mean CTH increases by 23.6% (Maritime Continent), 9.3%  
243 (Africa) and 4.6% (South America) relative to Base, where the regions are defined as in  
244 Russo et al. (2011). These increases in the depth of convection are consistent with rising  
245 tropopause heights (Fig. 1). Using the number of CTH occurrences as a crude measure of the  
246 overall frequency of convective events, we find increases of 12.4% and 3.6% over the  
247 Maritime Continent and Africa, respectively, but a decrease of 5.2% over South America in  
248  $\Delta\text{CC8.5}$ . Since the PR92 parameterisation for  $\text{LNO}_x$  is highly sensitive to the magnitude of  
249 CTH, it is the increases in the depth of convection, scaling with the climate forcing, which  
250 primarily lead to increases in  $\text{LNO}_x$  in our simulations. The effect of the parameterisation is  
251 highlighted over South America in  $\Delta\text{CC8.5}$ , where, although convection occurs less often on  
252 average,  $\text{LNO}_x$  still increases due to an increase in the depth of convection. The largest  
253 increases in  $\text{LNO}_x$  occur over the Maritime Continent because this region is associated with  
254 the largest increases in both the frequency and depth of convection.

255

### 256 **3.2 Changes in ozone**

257 As a global measure of changes in ozone, we have analysed the tropospheric budget of odd  
258 oxygen ( $\text{O}_x$ ), of which chemical production ( $\text{P}(\text{O}_x)$ ) represents one term. Since  $\text{LNO}_x$  is one  
259 driver of  $\text{P}(\text{O}_x)$ , we first study the correlation between  $\text{P}(\text{O}_x)$  and  $\text{LNO}_x$ , shown in Fig. 2a. For  
260 each set of experiments (i.e. climate change; climate change plus changes in ODS; and  
261 climate change plus changes in tropospheric ozone precursors), a highly linear fit between the



262 changes in  $P(O_x)$  and  $LNO_x$  is found. Within this ensemble of simulations, we find that  
263 increases in  $LNO_x$  with climate change are concurrent with increases in  $P(O_x)$  of  $413 \pm 28$   
264  $Tg(O_3) yr^{-1}$  and  $977 \pm 33 Tg(O_3) yr^{-1}$  for the RCP4.5 and RCP8.5 scenarios, respectively,  
265 where the reported ranges represent the interannual variability as one standard deviation.

266 Figure 2a allows for an assessment of the importance of climate change versus non-  
267 climate change related impacts on  $P(O_x)$ . Reductions in  $P(O_x)$  of approximately  $100 Tg(O_3)$   
268  $yr^{-1}$  due to removal of ODS (green line, Fig. 2a) are small in magnitude relative to climate-  
269 change driven increases. Runs containing reduced emissions of anthropogenic ozone  
270 precursors (red line, Fig. 2a) show approximately  $800 Tg(O_3) yr^{-1}$  lower  $P(O_x)$  than  
271 corresponding runs without (blue line). However, for the RCP8.5 scenario, this reduction is  
272 more than cancelled by the effect of climate change on  $LNO_x$ , such that  $P(O_x)$  in  
273  $\Delta(CC8.5+O3pre)$  is greater than in Base.

274  $P(O_x)$  represents one of four contributing terms to the global burden of ozone in the  
275 troposphere, the others being chemical loss ( $L(O_x)$ ), deposition and stratosphere-troposphere  
276 exchange (STE). A future publication will discuss the effect of the applied perturbations on  
277 these terms in detail. Here, we simply note that  $LNO_x$  driven increases in  $P(O_x)$  induced by  
278 climate change represent a significant contribution to the increases in ozone burden of  $29 \pm 4$   
279  $Tg(O_3)$  for RCP4.5 and  $46 \pm 4 Tg(O_3)$  for RCP8.5, as shown in Table 1 and Fig. 2b. In contrast  
280 to  $P(O_x)$ , the changes in ozone burden and  $LNO_x$  are non-linearly related, since several  
281 factors, and not just  $LNO_x$ , contribute significantly to changes in the burden in a warmer  
282 climate. From Fig. 2b, it is also evident that the decrease in burden of  $34 \pm 4 Tg(O_3)$  due to  
283  $\Delta O3pre$  is just outweighed by the increase in  $\Delta(CC8.5+O3pre)$ , although by using a fixed  
284 methane surface concentration in these simulations, the additional feedbacks on ozone and  
285 OH are not included (see Sect. 3.4). Nevertheless, it appears that reductions in the ozone  
286 burden due to emission policies could be counteracted by future changes in climate.

287 To confirm that  $LNO_x$  is the dominant factor leading to increases in  $P(O_x)$  and the  
288 ozone burden, we examine the  $\Delta CC8.5(fLNO_x)$  simulation, which includes RCP8.5 climate  
289 forcings but with  $LNO_x$  taken from the Base run rather than calculated online. Table 2 shows  
290 numerical changes in the tropospheric  $O_x$  budget terms for the  $\Delta CC8.5$  and  $\Delta CC8.5(fLNO_x)$   
291 runs relative to Base. With fixed  $LNO_x$ ,  $P(O_x)$  increases by only 7.0% as compared to 20.1%  
292 when  $LNO_x$  is allowed to vary with climate change. There is strong buffering in the response  
293 of the burden by the loss terms: fixing  $LNO_x$  also leads to smaller magnitude changes in loss  
294 through  $L(O_x)$  and deposition. Overall however, there is a greater decrease in net chemical  
295 production ( $P(O_x)$  minus  $L(O_x)$ ) from Base for  $\Delta CC8.5(fLNO_x)$  than for  $\Delta CC8.5$ .

296 Table 2 shows that we also find a smaller increase in STE when fixing LNO<sub>x</sub>.  
297 Comparing Fig. 3a and b gives one possible explanation: without increases in LNO<sub>x</sub> and  
298 consequently upper tropospheric ozone, the amount of ozone in the lower stratosphere is  
299 reduced (following entry into the tropical lower stratosphere and quasi-horizontal mixing). In  
300 the mid-latitudes, this would reduce the STE of ozone back into the troposphere. Thus, in our  
301 model, we estimate that the increase in LNO<sub>x</sub> with climate change at RCP8.5 contributes  
302 6.4% to the increase in STE.

303 Importantly, the balance between the budget terms means that, without inclusion of  
304 changes in LNO<sub>x</sub>, there results a slight decrease (-5.8%) rather than an increase (13.2%) in  
305 the ozone burden with climate change at RCP8.5. In fact, the decrease in ozone is seen  
306 throughout the troposphere in the zonal and annual mean (Fig. 3b), primarily due to increased  
307 humidity in a warmer climate (e.g. Thompson et al., 1989). Hence, these results suggest that  
308 climate change would enhance possible future mitigation of free tropospheric ozone if LNO<sub>x</sub>  
309 were not to increase in a warmer climate.

310

### 311 **3.3 Changes in OH**

312 The impacts of LNO<sub>x</sub> extend to other chemical species. Figure 4 illustrates changes in the  
313 tropically averaged (20°S-20°N), annual mean distribution of OH for ΔCC4.5, ΔCC8.5 and  
314 ΔCC8.5(fLNO<sub>x</sub>) as absolute (a-c) and relative differences (d-f) from Base. Regions of OH  
315 enhancement in Fig. 4 correspond to regions of increased LNO<sub>x</sub> in Fig. 1. The Maritime  
316 Continent, which experiences the greatest increases in LNO<sub>x</sub> in these simulations, also  
317 displays the strongest enhancements in OH. Figure 4 shows that these changes are large, with  
318 a peak of over 0.2 ppt (100%) for ΔCC4.5 and 0.3 ppt (160%) for ΔCC8.5. An analysis of  
319 species concentrations and reaction fluxes indicates that these changes in OH are due to a  
320 combination of:

- 321 i) direct chemical conversion of HO<sub>2</sub> to OH via NO emitted from lightning;
- 322 ii) deeper convection transporting water vapour into these regions of the UT and  
323 hence inducing OH production through O(<sup>1</sup>D)+H<sub>2</sub>O;
- 324 iii) feedbacks through other chemical species e.g. ozone produced following process  
325 i) can photolyse to produce O(<sup>1</sup>D) and induce OH production, once again, through  
326 O(<sup>1</sup>D)+H<sub>2</sub>O.

327 We examined process ii) in isolation by switching LNO<sub>x</sub> changes off in the model in the  
328 ΔCC8.5(fLNO<sub>x</sub>) simulation. So, when LNO<sub>x</sub> increases are ignored (Fig. 4c and f), we only

329 find an increase in OH over the Maritime Continent, amounting to about 20%. OH decreases  
330 elsewhere, indicating that an increase in water vapour transport into the tropical UT is not the  
331 dominant process controlling OH increases with climate change throughout that region. In  
332 contrast, our analysis shows that LNO<sub>x</sub> increases the flux through HO<sub>2</sub>+NO (process i) and,  
333 as a result, also through O(<sup>1</sup>D)+H<sub>2</sub>O (process iii) throughout the tropical UT.

334

### 335 **3.4 Consequences for methane and other trace gases**

336 Since OH is the primary tropospheric oxidant, substantial enhancements in its abundance,  
337 such as those shown in Sect. 3.3, can have ramifications for a range of other chemical species.  
338 For example, oxidation by OH is the main loss process for atmospheric methane. Hence,  
339 there are potentially global consequences through perturbation of the methane lifetime. A  
340 measure of this effect can again be deduced from the ΔCC8.5(fLNO<sub>x</sub>) run. Relative to Base, a  
341 reduction of 1.79 years in the methane lifetime against loss by OH ( $\tau_{\text{CH}_4+\text{OH}}$ ) is calculated for  
342 ΔCC8.5; in contrast, a smaller reduction of 1.04 years is found for ΔCC8.5(fLNO<sub>x</sub>). Inclusion  
343 of changes in LNO<sub>x</sub> thus contributes 0.75 years to the reduction in  $\tau_{\text{CH}_4+\text{OH}}$  due to climate  
344 change.

345 Changes in  $\tau_{\text{CH}_4+\text{OH}}$  will have implications for both chemistry and climate through  
346 methane's role as a tropospheric ozone precursor, an OH sink and a greenhouse gas.  
347 However, by fixing a uniform lower boundary condition for methane, such feedbacks are  
348 essentially neglected within these experiments. If methane concentrations were allowed to  
349 respond to decreases in its lifetime with climate change, lower methane concentrations would  
350 be simulated at equilibrium in a future climate, with a lower increase in ozone burden and an  
351 enhanced increase in OH. The strength of the response is determined by the model dependent  
352 methane feedback factor,  $f$  (Fuglestad et al., 1999). Using a further integration in which  
353 methane is increased by 20% in the chemistry scheme only (not otherwise discussed here),  
354 we derive a value of 1.52 for  $f$  in our model, which lies on the upper end of the large literature  
355 range (1.19-1.53) (Prather, 2001; Voulgarakis et al., 2013; Stevenson et al., 2013). From this,  
356 we obtain an estimate of equilibrium methane concentrations, following the methodology  
357 detailed in Stevenson et al. (2013), and equilibrium ozone burdens, following Wild et al.  
358 (2012). We find that accounting for methane adjustments lowers the ozone burden in future  
359 climate simulations by, on average, 5 Tg(O<sub>3</sub>) (RCP4.5) and 13 Tg(O<sub>3</sub>) (RCP8.5). The  
360 corresponding increases in ozone burden relative to Base are 24 Tg(O<sub>3</sub>) (RCP4.5) and 33  
361 Tg(O<sub>3</sub>) (RCP8.5), which still represent substantial increases with future climate change and

362 greater LNO<sub>x</sub>. The adjusted increase in burden in  $\Delta(\text{CC8.5}+\text{O3pre})$  (33 Tg(O<sub>3</sub>)) is now more  
363 comparable to the adjusted decrease in  $\Delta\text{O3pre}$  (32 Tg(O<sub>3</sub>)).

364 OH is also important in determining the lifetime of very short-lived substances  
365 (VSLS). There is currently considerable interest in the role of VSLS in stratospheric ozone  
366 depletion following rapid convective transport into the upper troposphere-lower stratosphere  
367 (UTLS) region. Increased oxidation of VSLS by OH in the UT in a future climate could serve  
368 to counteract increased stratospheric VSLS loading following enhanced convective lofting  
369 into the tropical tropopause layer (TTL) and subsequent transport into the lower stratosphere.  
370 The effect could be particularly important over the Maritime Continent, since it is a region  
371 characterised by both high deep convective activity and coastal emissions of VSLS (Hosking  
372 et al., 2010). These feedbacks add weight to the importance of future changes in LNO<sub>x</sub>.

373

#### 374 **4. Summary**

375 We have assessed the impacts of climate change on emissions of NO<sub>x</sub> from lightning (LNO<sub>x</sub>)  
376 and the consequences for tropospheric chemistry using UM-UKCA. Using the Price and Rind  
377 (1992, 1994a) parameterisation for calculation of LNO<sub>x</sub>, our year-2000 integrations generate  
378 6 Tg(N) yr<sup>-1</sup> of total, global LNO<sub>x</sub>, which lies within the range of values simulated in the  
379 literature (e.g. Schumann and Huntrieser, 2007) and within 1σ of the ACCMIP multi-model  
380 mean (Young et al., 2013). We simulate greater LNO<sub>x</sub> at the year 2100 under two scenarios  
381 for future climate change: RCP4.5 and RCP8.5, with LNO<sub>x</sub> increases of 2 Tg(N) yr<sup>-1</sup> (33%)  
382 and 4.7 Tg(N) yr<sup>-1</sup> (78%), respectively, primarily in response to increases in the depth of  
383 convection. These correspond to a greater sensitivity of LNO<sub>x</sub> to climate than found in some  
384 other studies and the total LNO<sub>x</sub> simulated for RCP8.5 is above 1σ of the ACCMIP models.  
385 The sensitivity will depend upon the treatment of convection and LNO<sub>x</sub> in the different  
386 models; these remain an area of considerable uncertainty. Note that we have not explored  
387 other LNO<sub>x</sub> parameterisations and some studies using alternate approaches, such as those  
388 based on convective mass fluxes, have found different sensitivities for lightning changes  
389 under a warmer climate (e.g. Grewe et al., 2009). However, the PR92 method employed here  
390 is commonly adopted in state-of-the-art chemistry-climate models, such as most of the  
391 ACCMIP models (Lamarque et al., 2013).

392 For the simulations which change climate only between the years 2000 and 2100,  
393 according to RCP4.5 ( $\Delta\text{CC4.5}$ ) and RCP8.5 ( $\Delta\text{CC8.5}$ ), we also analysed changes in the  
394 distribution of LNO<sub>x</sub> within the tropics. Increases in LNO<sub>x</sub> are found to occur predominantly

395 over the Maritime Continent for  $\Delta\text{CC4.5}$  but also over Central Africa and South America for  
396  $\Delta\text{CC8.5}$ . The Maritime Continent is associated with the largest increases in both the overall  
397 frequency and depth of convection, which explains the largest increases in  $\text{LNO}_x$  found over  
398 this region.

399 A positive and linear relationship is simulated between the changes in  $\text{LNO}_x$  and  
400 global, tropospheric chemical  $\text{O}_x$  production ( $\text{P}(\text{O}_x)$ ), which increases by  $413\pm 28 \text{ Tg}(\text{O}_3) \text{ yr}^{-1}$   
401 and  $977\pm 33 \text{ Tg}(\text{O}_3) \text{ yr}^{-1}$  for climate change under the RCP4.5 and RCP8.5 scenarios,  
402 respectively. The tropospheric ozone burden increases correspondingly by  $29\pm 4 \text{ Tg}(\text{O}_3)$   
403 (RCP4.5) and  $46\pm 4 \text{ Tg}(\text{O}_3)$  (RCP8.5). We confirm through a fixed- $\text{LNO}_x$  run that  $\text{LNO}_x$   
404 plays the major role in these correlations, contributing more than 50% to the increase in  $\text{P}(\text{O}_x)$   
405 at RCP8.5. We also show that the effects of climate change, at least for the RCP8.5 scenario,  
406 would decrease the ozone burden if this effect on  $\text{P}(\text{O}_x)$  through  $\text{LNO}_x$  were not present.

407 To examine the sensitivity of the effects of climate change to the background state of  
408 the atmosphere, three sets of experiments were conducted which combined the separate  
409 climate forcings with different chemical drivers: i) year-2000 chemical boundary conditions,  
410 ii) lower concentrations of stratospheric ozone-depleting substances, and iii) lower emissions  
411 of tropospheric ozone precursors. The linear relationship between the increases in  $\text{LNO}_x$  and  
412  $\text{P}(\text{O}_x)$  and the corresponding increases in tropospheric ozone burden under climate change are  
413 found to be quantitatively robust under the different chemical background states. Hence,  
414 although we find that regulations aimed at air quality improvement decrease the future  
415 tropospheric burden of ozone in the  $\Delta\text{O3pre}$  simulation, we suggest that climate change and  
416 increased  $\text{LNO}_x$  could counteract this change.

417 Changes in  $\text{LNO}_x$  impact on the OH radical. Our  $\Delta\text{CC4.5}$  and  $\Delta\text{CC8.5}$  simulations  
418 show positive anomalies in upper tropospheric OH over Central Africa, South America and  
419 the Maritime Continent. The effect is greatest over the Maritime Continent in both these  
420 simulations and is particularly large in  $\Delta\text{CC8.5}$ , in which an increase of over 160% is found  
421 in this region. The response is not reproduced by the fixed- $\text{LNO}_x$  run, leading us to conclude  
422 that  $\text{LNO}_x$  drives these changes in OH, although we also find a smaller contribution from  
423 deeper convection over the Maritime Continent. An analysis of reaction fluxes indicates that  
424 the dominant reaction pathways for increased OH production through  $\text{LNO}_x$  in these regions  
425 are  $\text{HO}_2+\text{NO}$  (directly, following production of  $\text{NO}_x$ ) and  $\text{O}(^1\text{D})+\text{H}_2\text{O}$  (indirectly, through  
426 photochemical ozone and hence  $\text{O}(^1\text{D})$  production).

427 Changes in OH could have further important consequences. For methane, we quantify  
428 the  $\text{LNO}_x$ -OH driven impact on its lifetime against loss by OH ( $\tau_{\text{CH}_4+\text{OH}}$ ) using the fixed-

429 LNO<sub>x</sub> run. LNO<sub>x</sub> contributes 0.75 years to the decrease in  $\tau_{\text{CH}_4+\text{OH}}$  projected under climate  
430 change at RCP8.5. The resulting changes in methane concentration and subsequent feedbacks  
431 are not simulated by these experiments. Since methane is both a tropospheric ozone precursor  
432 and an OH sink, we expect that a shorter  $\tau_{\text{CH}_4+\text{OH}}$  would feedback negatively into LNO<sub>x</sub>  
433 driven increases in ozone but positively into increases in OH. For ozone, we have estimated  
434 that accounting for adjustments in methane concentration in a changing climate would lead to  
435 increases in the ozone burden of 24 Tg(O<sub>3</sub>) (RCP4.5) and 33 Tg(O<sub>3</sub>) (RCP8.5). Although, as  
436 expected, these are smaller than the simulated changes reported above (of 29 and 46 Tg(O<sub>3</sub>),  
437 respectively), they still represent substantial increases through future climate change. Since  
438 methane is a greenhouse gas, we would also expect a negative feedback into climate change  
439 through its radiative forcing effect.

440 In addition, very short-lived substances (VSLS), which have a strong source region in  
441 the Maritime Continent and are convectively lifted into the UT, could undergo enhanced  
442 oxidation by OH if the levels of the latter were to increase over this region. Some studies (e.g.  
443 Dessens et al., 2009; Hossaini et al., 2012) project an increase in concentrations of VSLS or  
444 their oxidised products in the UTLS, which deplete ozone if they remain in the stratosphere.  
445 LNO<sub>x</sub>-derived OH could partially offset this effect in a future climate.

446 We have demonstrated that NO<sub>x</sub> production from lightning, following tropical  
447 convection, is a key process through which climate can influence the chemistry of the  
448 troposphere. Hence, given its importance, we believe it is crucial to strengthen our confidence  
449 in model representations of both convection and LNO<sub>x</sub>. Our results are dependent on the  
450 LNO<sub>x</sub> and convective parameterisations utilised. In particular, the vertical profile of LNO<sub>x</sub>  
451 affects the simulated changes in ozone and OH, particularly in the UT (Labrador et al., 2005).  
452 If we were to employ the vertical distributions of Pickering et al. (1998) or Ott et al. (2010),  
453 which weight LNO<sub>x</sub> more greatly to the UT than is done in UKCA, we postulate that even  
454 larger changes in ozone, OH and subsequent feedbacks would occur for a given change in  
455 total LNO<sub>x</sub>.

456

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461

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658 Table 1. List of model simulations. The final two columns are averages over the 10 year  
 659 simulation periods.

Scenario	Climate (SSTs, sea ice, GHGs <sup>a</sup> )	ODS: Cl <sub>y</sub> , Br <sub>y</sub> <sup>b</sup>	Anthropogenic ozone precursor emissions <sup>c</sup>	LNO <sub>x</sub> / Tg(N) yr <sup>-1</sup>	Tropospheric ozone burden / Tg(O <sub>3</sub> )
Base	2000	2000	2000	6.04	326
ΔODS	2000	2100 (RCP4.5)	2000	5.98	344
ΔO3pre	2000	2000	2100 (RCP4.5)	5.98	292
Δ(ODS+O3pre)	2000	2100 (RCP4.5)	2100 (RCP4.5)	6.05	308
ΔCC4.5	2100 (RCP4.5)	2000	2000	8.08	356
Δ(CC4.5+ODS)	2100 (RCP4.5)	2100 (RCP4.5)	2000	7.97	374
Δ(CC4.5+O3pre)	2100 (RCP4.5)	2000	2100 (RCP4.5)	8.01	319
ΔCC8.5	2100 (RCP8.5)	2000	2000	10.7	369
Δ(CC8.5+ODS)	2100 (RCP8.5)	2100 (RCP4.5)	2000	10.6	393
Δ(CC8.5+O3pre)	2100 (RCP8.5)	2000	2100 (RCP4.5)	10.6	337
Base(fLNO <sub>x</sub> )	2000	2000	2000	6.04 <sup>d</sup>	325
ΔCC8.5(fLNO <sub>x</sub> )	2100 (RCP8.5)	2000	2000	6.04 <sup>d</sup>	307

660 <sup>a</sup>These are the changes in GHGs imposed within the radiation scheme only.

661 <sup>b</sup>Relative to Base, runs containing ΔODS include total chlorine (Cl<sub>y</sub>) and total bromine (Br<sub>y</sub>) reductions of 60-  
 662 70% (1.6 ppb) and 40-50% (9.4 ppt), respectively.

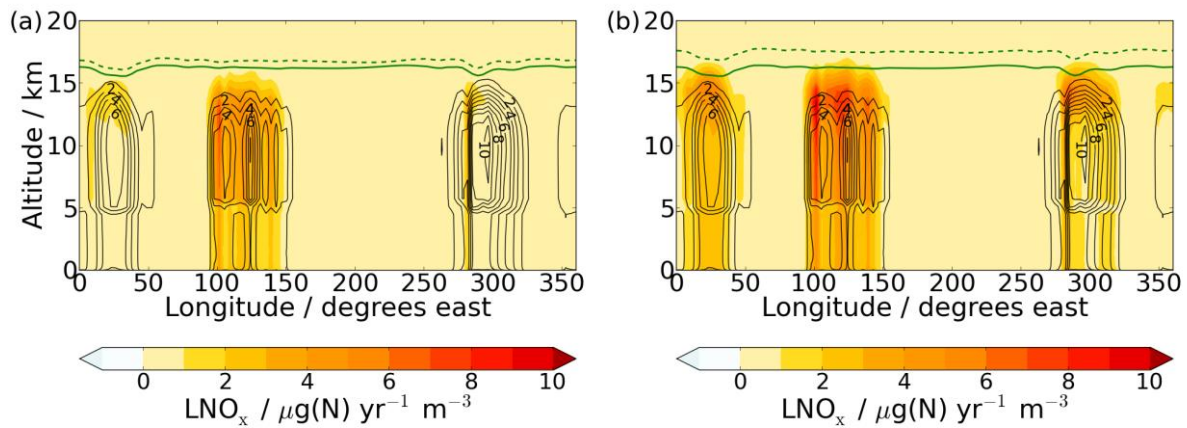
663 <sup>c</sup>Relative to Base, runs containing ΔO3pre include average global and annual emission changes of: NO(-51%),  
 664 CO (-51%), HCHO(-26%), C<sub>2</sub>H<sub>6</sub> (-49%), C<sub>3</sub>H<sub>8</sub> (-40%), H<sub>3</sub>CCOCH<sub>3</sub> (-2%), CH<sub>3</sub>CHO (-28%).

665 <sup>d</sup>LNO<sub>x</sub> is not interactively calculated but imposed by applying a monthly mean climatology of the Base run.

666 Table 2. Tropospheric O<sub>x</sub> budget of the Base run and changes from Base to ΔCC8.5 and  
 667 ΔCC8.5(fLNO<sub>x</sub>).

	Base	ΔCC8.5-Base	ΔCC8.5(fLNO <sub>x</sub> )-Base
Production / Tg(O <sub>3</sub> ) yr <sup>-1</sup>	4870	980 (20.1%)	340 (7.0%)
Loss / Tg(O <sub>3</sub> ) yr <sup>-1</sup>	4220	1090 (25.8%)	500 (11.8%)
Net chemical production / Tg(O <sub>3</sub> ) yr <sup>-1</sup>	655	-109 (-16.6%)	-159 (-24.3%)
Deposition / Tg(O <sub>3</sub> ) yr <sup>-1</sup>	1020	-10 (-1.0%)	-87 (-8.5%)
STE inferred* / Tg(O <sub>3</sub> ) yr <sup>-1</sup>	360	101 (28.1%)	78 (21.7%)
Burden / Tg(O <sub>3</sub> )	326	43 (13.2%)	-19 (-5.8%)
Methane lifetime / yrs	7.60	-1.79 (-23.5%)	-1.04 (-13.8%)

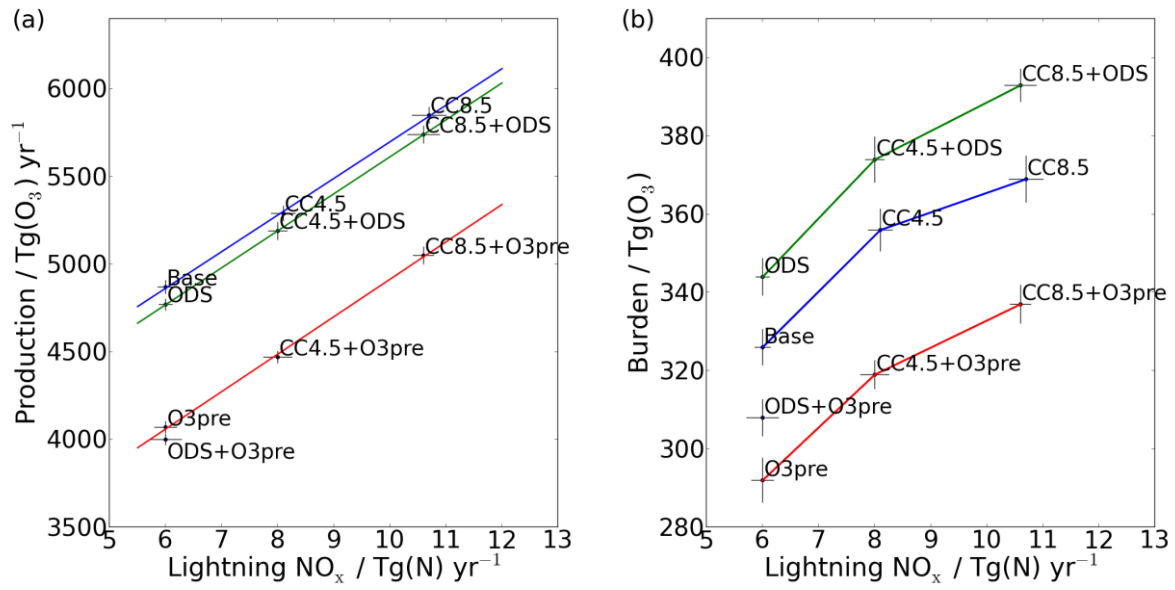
668 \* Stratosphere-troposphere exchange calculated as the residual from closure of the O<sub>x</sub> budget.



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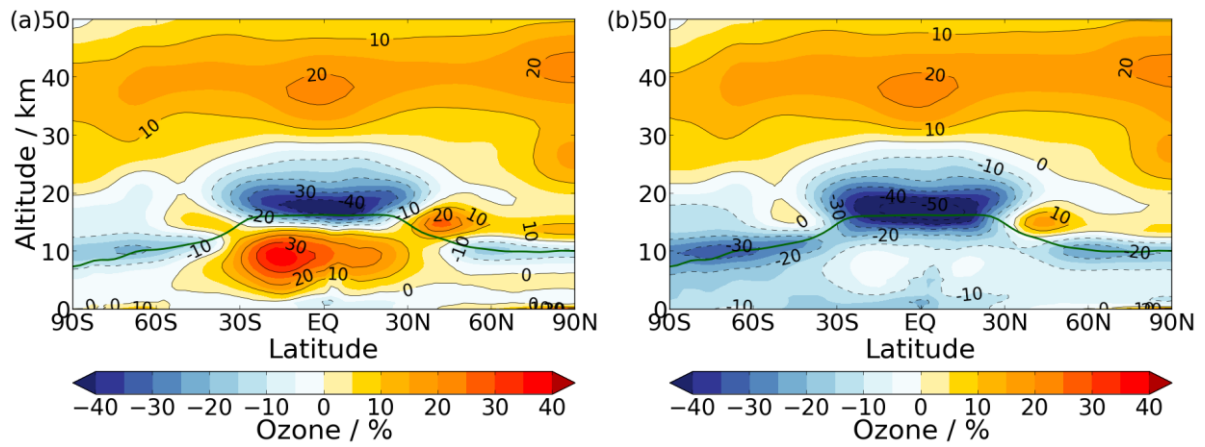
670 Fig. 1. Annual mean, longitude-altitude cross sections of tropically averaged (20°S-20°N)  
 671 LNO<sub>x</sub> (contours) of the Base run and changes (shading) from Base to (a) ΔCC4.5 and (b)  
 672 ΔCC8.5. Regions which show notable changes in LNO<sub>x</sub> are: Central Africa (0-50°E), the  
 673 Maritime Continent (100-150°E) and South America (280-320°E). Solid (Base run) or dashed  
 674 (future runs) green lines indicate the height of the thermal tropopause, which is calculated  
 675 based on the WMO lapse rate definition (WMO, 1957).





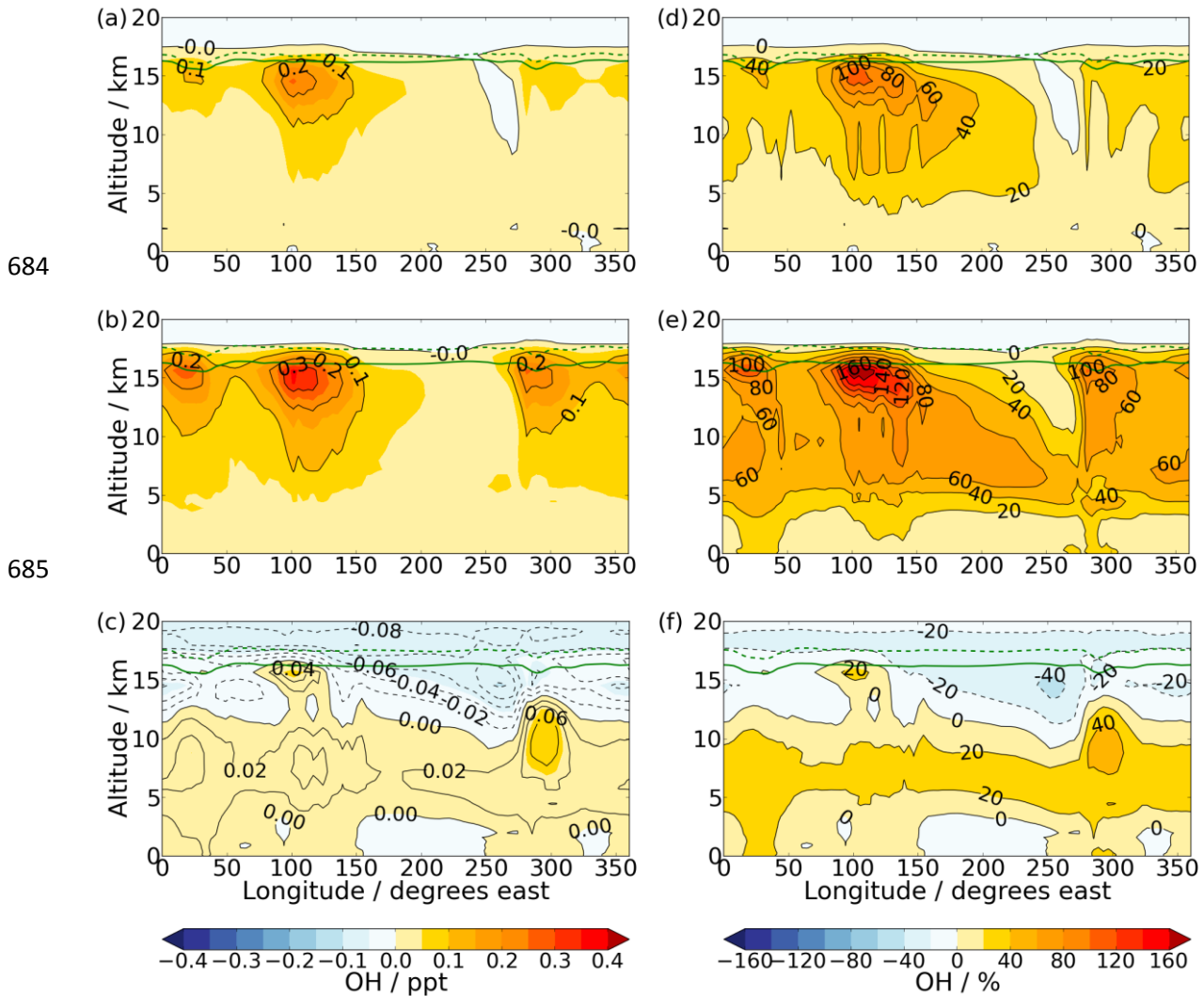
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677 Fig. 2. Correlation between (a) P(O<sub>x</sub>) and LNO<sub>x</sub> and (b) tropospheric ozone burden and  
 678 LNO<sub>x</sub>. Linear fits in (a) and connecting lines in (b) are drawn between runs which differ only  
 679 in their climate states. Error bars indicate ±1 standard deviation.



680

681 Fig. 3. Annual mean, zonal mean changes (shading and contours) in ozone (%) relative to  
 682 Base for (a)  $\Delta\text{CC8.5}$  and (b)  $\Delta\text{CC8.5}(\text{fLNO}_x)$ . Solid green lines indicate the height of the  
 683 thermal tropopause of the Base run.



686

687 Fig. 4. Annual mean, longitude-altitude cross sections of tropically averaged ( $20^{\circ}\text{S}$ - $20^{\circ}\text{N}$ )  
 688 changes (shading and contours) in OH mixing ratios (ppt) from Base to (a)  $\Delta\text{CC4.5}$  (b)  
 689  $\Delta\text{CC8.5}$  and (c)  $\Delta\text{CC8.5}(\text{fLNO}_x)$ ; the differences as a percentage of the Base values for (d)  
 690  $\Delta\text{CC4.5}$  (e)  $\Delta\text{CC8.5}$  and (f)  $\Delta\text{CC8.5}(\text{fLNO}_x)$ . Solid (Base run) or dashed (future runs) green  
 691 lines indicate the height of the thermal tropopause.