Lightning NO<sub>x</sub>, a key chemistry-climate interaction: impacts of future climate change
 and consequences for tropospheric oxidising capacity

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# 12 Abstract

Lightning is one of the major natural sources of NO<sub>x</sub> in the atmosphere. A suite of time-slice 13 experiments using a stratosphere-resolving configuration of the Unified Model (UM), 14 15 containing the United Kingdom Chemistry and Aerosols sub-model (UKCA), has been performed to investigate the impact of climate change on emissions of NO<sub>x</sub> from lightning 16 (LNO<sub>x</sub>) and to highlight its critical impacts on photochemical ozone production and the 17 oxidising capacity of the troposphere. Two Representative Concentration Pathway (RCP) 18 scenarios (RCP4.5 and RCP8.5) are explored. LNO<sub>x</sub> is simulated to increase in a year-2100 19 climate by 33% (RCP4.5) and 78% (RCP8.5), primarily as a result of increases in the depth 20 of convection. The total tropospheric chemical odd oxygen production  $(P(O_x))$  increases 21 linearly with increases in total  $LNO_x$  and consequently, tropospheric ozone burdens of 29±4 22  $Tg(O_3)$  (RCP4.5) and 46±4  $Tg(O_3)$  (RCP8.5) are calculated here. By prescribing a uniform 23 surface boundary concentration for methane in these simulations, methane driven feedbacks 24 are essentially neglected. A simple estimate of the contribution of the feedback reduces the 25 increase in ozone burden to 24 and 33  $Tg(O_3)$ , respectively. We thus show that, through 26 changes in LNO<sub>x</sub>, the effects of climate change counteract the simulated mitigation of the 27 ozone burden, which results from reductions in ozone precursor emissions as part of air 28 quality controls projected in the RCP scenarios. Without the driver of increased LNO<sub>x</sub>, our 29 simulations suggest that the net effect of climate change would be to lower free tropospheric 30 31 ozone.

In addition, we identify large climate-change induced enhancements in the 32 concentration of the hydroxyl radical (OH) in the tropical upper troposphere (UT), 33 particularly over the Maritime Continent, primarily as a consequence of greater LNO<sub>x</sub>. The 34 OH enhancement in the tropics increases oxidation of both methane (with feedbacks onto 35 chemistry and climate) and very short-lived substances (VSLS) (with implications for 36 stratospheric ozone depletion). We emphasise that it is important to improve our 37 understanding of LNO<sub>x</sub> in order to gain confidence in model projections of composition 38 39 change under future climate.

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# 41 **1. Introduction**

Lightning is one of the primary sources of nitrogen oxides  $(NO_x=NO+NO_2)$  in the troposphere and the only natural source remote from the Earth's surface. Emissions of  $NO_x$ from lightning  $(LNO_x)$  in the mid and upper troposphere (UT), where the  $NO_x$  lifetime is longer than at the surface, exert a disproportionally large influence on tropospheric chemistry. Lightning occurs predominantly in regions of strong convection. These regions, and hence  $LNO_x$ , are likely to change in a warmer, more moist climate;  $LNO_x$  therefore has the potential to be a particularly important factor in chemistry-climate interactions.

49 LNO<sub>x</sub> has several roles relevant to both the composition and the radiative properties 50 of the troposphere. NO<sub>x</sub> from lightning induces production of ozone (O<sub>3</sub>) in the mid to upper 51 troposphere (e.g. Williams et al., 2005; Schumann and Huntrieser, 2007; Barret et al., 2010), 52 where ozone can exert a particularly strong radiative forcing (Forster and Shine, 1997). The 53 production can be large enough to affect the tropospheric column ozone over or downwind of 54 LNO<sub>x</sub>, particularly when other natural sources of NO<sub>x</sub> (e.g. biomass burning) are absent (Ryu 55 and Jenkins, 2005).

Concentrations and partitioning of other important trace gases are also affected. For 56 57 example, the partitioning of the  $HO_x$  ( $HO_x=OH+HO_2$ ) family can be altered by the conversion of HO<sub>2</sub> to OH via the reaction between HO<sub>2</sub> and NO. In addition, formation of 58 59 HO<sub>x</sub> can be induced indirectly, as lightning produced ozone is subsequently photolysed to form  $O(^{1}D)$ , which then reacts with water vapour to generate OH. In contrast,  $HO_{x}$  loss 60 61 ensues when OH and NO<sub>2</sub> react to form nitric acid, which can then be deposited to the surface (Brasseur et al., 2006; Schumann and Huntrieser, 2007). Any changes in HO<sub>x</sub> can 62 63 affect the lifetime of methane, whose loss depends primarily on OH (Holmes et al., 2013; Murray et al., 2013). Since methane is the second most important greenhouse gas in terms of 64

radiative forcing, this represents an important chemistry-climate feedback resulting from
changes in LNO<sub>x</sub>.

Changes in climate can also exert a direct influence on LNO<sub>x</sub> where, generally, global 67 LNO<sub>x</sub> is found to increase in a warmer climate (Grenfell et al., 2003; Zeng and Pyle, 2003; 68 Brasseur et al., 2006; Zeng et al., 2008; Hui and Hong, 2013). However, given the large 69 uncertainty that surrounds present-day LNO<sub>x</sub> estimates (generally between 2 and 8 Tg(N) yr<sup>-</sup> 70 71 <sup>1</sup>), its vertical distribution and generation mechanisms (Schumann and Huntrieser, 2007; Wong et al., 2013), future projections are also highly uncertain (Price, 2013). A large part of 72 73 the uncertainty in future changes arises from deficits in our understanding of the processes 74 that drive modelled changes in convection. Chadwick et al. (2013) analysed tropical convective mass fluxes in the models contributing to the recent Coupled Model 75 Intercomparison Project phase 5 (CMIP5) and found both a climatological weakening and a 76 deepening of convection to be robust responses to a warmer climate. The depth of convection 77 is likely to increase due, at least in part, to an uplifting of the tropopause with climate change. 78 However, the mechanisms behind the changes in convection are complicated by several 79 potential contributing factors and are still under debate. These factors might include: 80 81 increasing sea-surface temperatures (SSTs) (Ma et al., 2012; Ma et al., 2013; Chadwick et al., 82 2013), spatial changes in SST patterns (Xie et al., 2010), increases in the static stability of the lower atmosphere (as the upper troposphere warms more than the lower troposphere) 83 84 (Chadwick et al., 2012) and increases in the depth of convection itself (Chou et al., 2009; Chou and Chen, 2010). With these uncertainties in mind, it is nonetheless important to 85 86 explore the possible feedback processes involving LNO<sub>x</sub> in a future climate.

To do this, we use a stratosphere-resolving configuration of the Unified Model (UM) 87 88 containing the United Kingdom Chemistry and Aerosols (UKCA) sub-model with both stratospheric and tropospheric chemistry, to perform a series of sensitivity experiments 89 90 perturbing perpetual year-2000 conditions to year-2100. In these experiments, we explore climate change using two Representative Concentration Pathway (RCP) scenarios: RCP4.5 91 and RCP8.5 (IPCC, 2013); we also change the concentrations of ozone-depleting substances 92 (ODS) and tropospheric ozone precursor emissions. The focus in this study lies in examining 93 changes in LNO<sub>x</sub> and subsequent impacts on tropospheric composition. We do not attempt to 94 provide a detailed description of all the changes associated with the applied perturbations; 95 that will form the basis of a future publication. 96

97 The following sections are organised as follows. Section 2 describes the experimental
98 set-up and the method in which LNO<sub>x</sub> is calculated in UM-UKCA. Section 3 then discusses

99 the impacts of future climate change on LNO<sub>x</sub>. The associated changes in tropospheric ozone 100 and oxidising capacity are highlighted. Section 4 concludes with a summary of the results.

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#### 2. Model description 102

#### **2.1 Experimental set-up** 103

We use UM-UKCA in its atmosphere-only set-up at N48L60 resolution  $(3.75^{\circ} \times 2.5^{\circ})$  with 60 104 hybrid-height levels extending up to 84km). The dynamical core is described by Hewitt et al. 105 (2011). The model is forced by prescribed sea surface temperatures (SSTs) and sea ice. A 106 107 uniform concentration for CO<sub>2</sub> is assumed while uniform surface boundary conditions are prescribed for the remaining greenhouse gases (GHGs) and ozone-depleting substances 108 109 (ODS) (N<sub>2</sub>O, methane and halogen-containing species). These can be varied independently within the radiation and chemistry schemes. There are surface emissions of 9 species (NO, 110 CO, HCHO, C<sub>2</sub>H<sub>6</sub>, C<sub>3</sub>H<sub>8</sub>, CH<sub>3</sub>COCH<sub>3</sub>, CH<sub>3</sub>CHO, C<sub>5</sub>H<sub>8</sub> and biogenic CH<sub>3</sub>OH) and multi-level 111 emissions for NO<sub>x</sub> emitted from aircraft. 112

113 The chemistry scheme used is a combination of the well-established tropospheric (O'Connor et al., 2014) and stratospheric (Morgenstern et al., 2009) schemes. It includes the 114 O<sub>x</sub>, HO<sub>x</sub> and NO<sub>x</sub> chemical cycles and the oxidation of CO, ethane, propane, and isoprene 115 (Archibald et al., 2011), in addition to chlorine and bromine chemistry, including 116 heterogeneous processes on polar stratospheric clouds (PSCs) and liquid sulfate aerosols. 117 Photolysis is calculated interactively by the Fast-JX scheme (Telford et al., 2013) and ozone 118 119 is coupled interactively between chemistry and radiation.

We perform a series of time-slice integrations with fixed boundary conditions. For 120 each, we allow the model to spin up for 10 years and integrate for a further 10 years. Through 121 122 a total of 10 different simulations, we evaluate the response of the model to three types of perturbations and their combinations. The full set of simulations is summarised in Table 1. 123 124 The Base run is defined by year-2000 boundary conditions.

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The separate perturbations are described as follows:

126 i) Climate change (CC) - The climate is changed by varying concentrations of GHGs (CO<sub>2</sub>, methane, N<sub>2</sub>O, CFCs and HCFCs) in the radiation scheme, and prescribed SST/sea ice fields. 127 128 The changes in GHGs are not imposed in the chemistry scheme. We adopt three different realisations for climate: a) year 2000, b) year 2100, RCP4.5, and c) year 2100, RCP8.5. For 129 130 year 2000, GHGs are fixed at historical concentrations for this year according to the RCP dataset (van Vuuren et al., 2011); the SST/sea ice fields are obtained from the observational 131

HadISST dataset (Rayner et al., 2003) and are averages over the years 1998-2002. For year
2100, GHG concentrations are specified according to the concentrations in the RCP4.5 or
RCP8.5 scenarios; the SST/sea ice fields are obtained from simulations using the HadGEM2CC coupled atmosphere-ocean model for these respective scenarios (Martin et al., 2011) and
are averages over the years 2081-2100 (RCP4.5) and 2091-2100 (RCP8.5).

ii) Ozone-depleting substances (ODS) - Changes in ODS are imposed only within the
chemistry scheme; for radiatively active ODS (e.g. CFC-11 and CFC-12), these changes are
decoupled from the radiation scheme. We only consider future changes in halogen-containing
species, while N<sub>2</sub>O and methane, which are source gases for ODS, are left unchanged. For
year 2000, we apply historical surface concentrations obtained from the RCP dataset; for year
2100, we apply the concentrations projected by the RCP4.5 scenario.

iii) Ozone precursor emissions (O3pre) - We consider a future reduction in the anthropogenic
components of emissions relative to year-2000 values as according to the RCP4.5 scenario.
Emissions from natural sources, including isoprene emissions, remain unchanged. Methane is
also not changed in the chemical scheme.

We aim to isolate the impact of  $LNO_x$  from other effects of climate change by 147 performing two further simulations in which we fix LNO<sub>x</sub> but allow climate (and its influence 148 149 on convection) to vary between them. These are the Base(fLNO<sub>x</sub>) and  $\Delta CC8.5$ (fLNO<sub>x</sub>) simulations which are run under year-2000 and year-2100 RCP8.5 climate, respectively. In 150 151 these, both the amount and distribution of LNO<sub>x</sub> are fixed to that of the Base run. To do this, we switch off the interactive calculation of LNO<sub>x</sub> (see Sect. 2.2) and instead, impose a 152 153 monthly mean climatology of these emissions obtained from the Base run, which is linearly interpolated to 5-day averages. The Base(fLNO<sub>x</sub>) and Base runs should be identical; indeed, 154 155 there are negligible differences in temperature, tropospheric ozone and OH between these runs, providing validation for the method of imposing an LNO<sub>x</sub> climatology. It is therefore 156 also valid to present results of  $\Delta CC8.5$  (fLNO<sub>x</sub>) as differences from Base (as with all other 157 perturbations in this study), with the confidence that there are no differences generated from 158 the contrasting experimental set-ups. Base(fLNO<sub>x</sub>) will henceforth not be discussed and 159  $\Delta CC8.5$  (fLNO<sub>x</sub>) will be referred to as the "fixed-LNOx" run. 160

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### 162 **2.2 Lightning NO<sub>x</sub> parameterisation**

163 LNO<sub>x</sub> is calculated every hour in UM-UKCA following the method applied in the p-164 TOMCAT model. Details of the methodology are provided in Barret et al. (2010) and references therein but a brief description is provided here. Lightning flash frequencies are parameterised according to the Price and Rind (1992, 1994a) scheme (henceforth abbreviated as PR92):

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$$F_c = 3.44 \times 10^{-5} \,\mathrm{H}^{4.9}$$
 (1)

169 
$$F_m = 6.40 \times 10^{-4} \,\mathrm{H}^{1.73}$$
 (2)

where  $F_c$  and  $F_m$  are continental and marine lightning frequencies (flashes minute<sup>-1</sup> 25km<sup>-2</sup>), 170 respectively, and H is the cloud-top height (kilometres), which is determined from the model 171 convection scheme. The PR92 method for calculating the proportion of cloud-to-ground (CG) 172 and intra-cloud (IC) flashes is incorporated, but here, the energy per flash is constant 173 regardless of the type of flash.  $10^{26}$  molecules of NO are produced per flash and the flash 174 175 frequencies are scaled to match observations of the present-day (Barret et al., 2010), resulting in 6 Tg(N) yr<sup>-1</sup> of total, global LNO<sub>x</sub> for the year 2000. The scaling factor is unchanged 176 177 between runs, such that LNO<sub>x</sub> will vary only with changes in convective cloud-top height through changes in convection. The molecules of NO<sub>x</sub> produced in each column are then 178 distributed evenly in log-pressure coordinates from 500 hPa to the cloud-top and the ground 179 to 500 hPa for IC and CG flashes, respectively. 180

Implementation of the PR92 scheme varies in its details from model to model, 181 generally with an aim to generate lightning flash frequencies and distribution for the present-182 day atmosphere (as within the development of UM-UKCA) or for a particular choice of total, 183 global LNO<sub>x</sub>. In a model study, Labrador et al. (2005) have demonstrated that, in addition to 184 the overall magnitude of LNO<sub>x</sub>, concentrations of tropospheric trace constituents are also 185 particularly sensitive to the vertical distribution of LNO<sub>x</sub>. However, they were unable to 186 select a best fitting distribution due to the low number of observational campaigns and the 187 188 large scatter in existing data. Compared to other vertical LNO<sub>x</sub> distributions, such as those suggested by Pickering et al. (1998) and Ott et al. (2010), UKCA distributes LNO<sub>x</sub> more 189 190 evenly by mass in the vertical. As a result, UKCA would simulate lower ozone and OH in the mid and upper troposphere for a given magnitude of total LNO<sub>x</sub>, relative to these 191 distributions. In the lower troposphere and the boundary layer, where NO<sub>x</sub> lifetimes are short, 192 trace gas concentrations are far less sensitive to LNO<sub>x</sub> (Labrador et al., 2005). 193

194 Convection itself is also parameterised at the horizontal resolution used in this model 195 and in most current chemistry-climate and chemical transport models (CCMs, CTMs). Russo 196 et al. (2011) showed that although a high vertical model resolution is needed to match the vertical distribution of clouds to observations, a low horizontal resolution is sufficient tocapture the geographical distribution.

As in many sensitivity studies, we bear these caveats in mind and use our parameterisations as reference schemes relative to which we study changes. Our goal is thus to understand the mechanisms by which climate change could drive changes in chemistry, with a focus on the role of  $LNO_x$ , rather than attempt to predict the future state of the atmosphere.

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# 205 **3. Results**

We primarily address changes related to  $LNO_x$  between the runs outlined in Sect. 2.1. We will first discuss changes in the  $LNO_x$  amount and distribution with climate change in Sect. 3.1. Then, in Sect. 3.2, we will show the resulting impacts on the tropospheric, global odd oxygen budget. In Sect. 3.3, we will address consequences for the OH radical, which principally determines the oxidising capacity of the troposphere, and finally, we will discuss the associated impacts on methane and other trace gases in Sect. 3.4.

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### 213 **3.1 Changes in LNO**<sub>x</sub>

The fifth column in Table 1 shows that experiments with a warmer climate simulate greater 214  $LNO_x$ . Relative to the year-2000 climate, there are substantial increases in  $LNO_x$  of 2 Tg(N) 215 yr<sup>-1</sup> (33%) and 4.7 Tg(N) yr<sup>-1</sup> (78%) between runs for which only the climate changes, 216 according to the RCP4.5 and RCP8.5 scenarios, respectively. This corresponds to a 217 sensitivity of 0.96 Tg(N)  $K^{-1}$  or 16%  $K^{-1}$  although the relationship between LNO<sub>x</sub> and global 218 mean surface temperature is not quite linear (not shown). This sensitivity is stronger than that 219 reported by some previous model studies: 9% K<sup>-1</sup> (Brasseur et al., 2006), 12% K<sup>-1</sup> (Grenfell 220 et al., 2003), 5-6% K<sup>-1</sup> (Price and Rind, 1994b). This could reflect differences in the specific 221 tuning of the PR92 parameterisation (used in all of these cited studies), in convection 222 schemes and/or in the model resolutions. 223

With regard to its geographical distribution,  $LNO_x$  occurs predominantly over the tropics in regions which show high convective activity: South America, Central Africa and the West Pacific/Maritime Continent. Figure 1 shows changes in the tropically averaged (20°S-20°N), annual mean distribution of  $LNO_x$  between Base and the runs which change climate only (Fig. 1a:  $\Delta$ CC4.5 and Fig. 1b:  $\Delta$ CC8.5). Increases in  $LNO_x$  occur primarily over

the Maritime Continent for  $\Delta CC4.5$ .  $\Delta CC8.5$  displays, in addition, large increases over 229 Central Africa and South America, highlighting the potential importance of all three regions 230 with respect to future changes in LNO<sub>x</sub>. In contrast to the study of Hui and Hong (2013), in 231 which the Maritime Continent displays the weakest increases in LNO<sub>x</sub> by 2050 (except in 232 boreal winter when they are comparable to the increases over South America), this region is 233 associated with the largest changes in LNO<sub>x</sub> in UM-UKCA for all months of the year and 234 both RCP scenarios. These opposing results might be attributable to a difference in model 235 resolutions. Compared to UM-UKCA, the coarser resolution  $(4^{\circ} \times 5^{\circ})$  GEOS-Chem model 236 used by Hui and Hong (2013) is less able to resolve the islands and peninsulas of the 237 Maritime Continent, which may result in systematic biases in LNO<sub>x</sub> over this region. 238

Changes in LNO<sub>x</sub> can result from changes in both the intensity (depth) of individual 239 convective events and the overall frequency of convection. Distributions of convective cloud-240 top height (CTH) (not shown) indicate a shift towards greater CTH under future climate 241 change. For example, in  $\Delta CC8.5$ , mean CTH increases by 23.6% (Maritime Continent), 9.3% 242 (Africa) and 4.6% (South America) relative to Base, where the regions are defined as in 243 Russo et al. (2011). These increases in the depth of convection are consistent with rising 244 tropopause heights (Fig. 1). Using the number of CTH occurrences as a crude measure of the 245 246 overall frequency of convective events, we find increases of 12.4% and 3.6% over the Maritime Continent and Africa, respectively, but a decrease of 5.2% over South America in 247 248  $\Delta CC8.5$ . Since the PR92 parameterisation for LNO<sub>x</sub> is highly sensitive to the magnitude of CTH, it is the increases in the depth of convection, scaling with the climate forcing, which 249 250 primarily lead to increases in LNO<sub>x</sub> in our simulations. The effect of the parameterisation is highlighted over South America in  $\Delta CC8.5$ , where, although convection occurs less often on 251 252 average, LNO<sub>x</sub> still increases due to an increase in the depth of convection. The largest increases in LNO<sub>x</sub> occur over the Maritime Continent because this region is associated with 253 254 the largest increases in both the frequency and depth of convection.

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# 256 **3.2 Changes in ozone**

As a global measure of changes in ozone, we have analysed the tropospheric budget of odd oxygen  $(O_x)$ , of which chemical production  $(P(O_x))$  represents one term. Since  $LNO_x$  is one driver of  $P(O_x)$ , we first study the correlation between  $P(O_x)$  and  $LNO_x$ , shown in Fig. 2a. For each set of experiments (i.e. climate change; climate change plus changes in ODS; and climate change plus changes in tropospheric ozone precursors), a highly linear fit between the changes in  $P(O_x)$  and  $LNO_x$  is found. Within this ensemble of simulations, we find that increases in  $LNO_x$  with climate change are concurrent with increases in  $P(O_x)$  of 413±28  $Tg(O_3)$  yr<sup>-1</sup> and 977±33  $Tg(O_3)$  yr<sup>-1</sup> for the RCP4.5 and RCP8.5 scenarios, respectively, where the reported ranges represent the interannual variability as one standard deviation.

Figure 2a allows for an assessment of the importance of climate change versus non-266 climate change related impacts on  $P(O_x)$ . Reductions in  $P(O_x)$  of approximately 100 Tg(O<sub>3</sub>) 267 vr<sup>-1</sup> due to removal of ODS (green line, Fig. 2a) are small in magnitude relative to climate-268 change driven increases. Runs containing reduced emissions of anthropogenic ozone 269 precursors (red line, Fig. 2a) show approximately 800  $Tg(O_3)$  yr<sup>-1</sup> lower P(O<sub>x</sub>) than 270 corresponding runs without (blue line). However, for the RCP8.5 scenario, this reduction is 271 more than cancelled by the effect of climate change on  $LNO_x$ , such that  $P(O_x)$  in 272  $\Delta$ (CC8.5+O3pre) is greater than in Base. 273

 $P(O_x)$  represents one of four contributing terms to the global burden of ozone in the 274 troposphere, the others being chemical loss  $(L(O_x))$ , deposition and stratosphere-troposphere 275 exchange (STE). A future publication will discuss the effect of the applied perturbations on 276 these terms in detail. Here, we simply note that  $LNO_x$  driven increases in  $P(O_x)$  induced by 277 climate change represent a significant contribution to the increases in ozone burden of 29±4 278 279  $Tg(O_3)$  for RCP4.5 and 46±4  $Tg(O_3)$  for RCP8.5, as shown in Table 1 and Fig. 2b. In contrast to  $P(O_x)$ , the changes in ozone burden and  $LNO_x$  are non-linearly related, since several 280 281 factors, and not just LNO<sub>x</sub>, contribute significantly to changes in the burden in a warmer climate. From Fig. 2b, it is also evident that the decrease in burden of  $34\pm4$  Tg(O<sub>3</sub>) due to 282 283  $\Delta O3$  pre is just outweighed by the increase in  $\Delta(CC8.5+O3$  pre), although by using a fixed methane surface concentration in these simulations, the additional feedbacks on ozone and 284 285 OH are not included (see Sect. 3.4). Nevertheless, it appears that reductions in the ozone burden due to emission policies could be counteracted by future changes in climate. 286

287 To confirm that  $LNO_x$  is the dominant factor leading to increases in  $P(O_x)$  and the ozone burden, we examine the  $\Delta CC8.5$  (fLNO<sub>x</sub>) simulation, which includes RCP8.5 climate 288 forcings but with LNO<sub>x</sub> taken from the Base run rather than calculated online. Table 2 shows 289 numerical changes in the tropospheric  $O_x$  budget terms for the  $\Delta CC8.5$  and  $\Delta CC8.5$  (fLNO<sub>x</sub>) 290 runs relative to Base. With fixed LNO<sub>x</sub>, P(O<sub>x</sub>) increases by only 7.0% as compared to 20.1% 291 when LNO<sub>x</sub> is allowed to vary with climate change. There is strong buffering in the response 292 of the burden by the loss terms: fixing LNO<sub>x</sub> also leads to smaller magnitude changes in loss 293 through  $L(O_x)$  and deposition. Overall however, there is a greater decrease in net chemical 294 295 production (P(O<sub>x</sub>) minus L(O<sub>x</sub>)) from Base for  $\Delta CC8.5$  (fLNO<sub>x</sub>) than for  $\Delta CC8.5$ .

Table 2 shows that we also find a smaller increase in STE when fixing  $LNO_x$ . Comparing Fig. 3a and b gives one possible explanation: without increases in  $LNO_x$  and consequently upper tropospheric ozone, the amount of ozone in the lower stratosphere is reduced (following entry into the tropical lower stratosphere and quasi-horizontal mixing). In the mid-latitudes, this would reduce the STE of ozone back into the troposphere. Thus, in our model, we estimate that the increase in  $LNO_x$  with climate change at RCP8.5 contributes 6.4% to the increase in STE.

Importantly, the balance between the budget terms means that, without inclusion of changes in  $LNO_x$ , there results a slight decrease (-5.8%) rather than an increase (13.2%) in the ozone burden with climate change at RCP8.5. In fact, the decrease in ozone is seen throughout the troposphere in the zonal and annual mean (Fig. 3b), primarily due to increased humidity in a warmer climate (e.g. Thompson et al., 1989). Hence, these results suggest that climate change would enhance possible future mitigation of free tropospheric ozone if  $LNO_x$ were not to increase in a warmer climate.

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# 311 **3.3 Changes in OH**

The impacts of LNO<sub>x</sub> extend to other chemical species. Figure 4 illustrates changes in the 312 tropically averaged (20°S-20°N), annual mean distribution of OH for  $\Delta$ CC4.5,  $\Delta$ CC8.5 and 313  $\Delta CC8.5$  (fLNO<sub>x</sub>) as absolute (a-c) and relative differences (d-f) from Base. Regions of OH 314 enhancement in Fig. 4 correspond to regions of increased LNO<sub>x</sub> in Fig. 1. The Maritime 315 Continent, which experiences the greatest increases in  $LNO_x$  in these simulations, also 316 displays the strongest enhancements in OH. Figure 4 shows that these changes are large, with 317 a peak of over 0.2 ppt (100%) for  $\Delta$ CC4.5 and 0.3 ppt (160%) for  $\Delta$ CC8.5. An analysis of 318 319 species concentrations and reaction fluxes indicates that these changes in OH are due to a combination of: 320

- 321 i) direct chemical conversion of  $HO_2$  to OH via NO emitted from lightning;
- 322 ii) deeper convection transporting water vapour into these regions of the UT and 323 hence inducing OH production through  $O(^{1}D)+H_{2}O$ ;
- 324 iii) feedbacks through other chemical species e.g. ozone produced following process 325 i) can photolyse to produce  $O(^{1}D)$  and induce OH production, once again, through 326  $O(^{1}D)+H_{2}O$ .
- We examined process ii) in isolation by switching  $LNO_x$  changes off in the model in the  $\Delta CC8.5(fLNO_x)$  simulation. So, when  $LNO_x$  increases are ignored (Fig. 4c and f), we only

find an increase in OH over the Maritime Continent, amounting to about 20%. OH decreases elsewhere, indicating that an increase in water vapour transport into the tropical UT is not the dominant process controlling OH increases with climate change throughout that region. In contrast, our analysis shows that  $LNO_x$  increases the flux through HO<sub>2</sub>+NO (process i) and, as a result, also through O(<sup>1</sup>D)+H<sub>2</sub>O (process iii) throughout the tropical UT.

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# **335 3.4 Consequences for methane and other trace gases**

Since OH is the primary tropospheric oxidant, substantial enhancements in its abundance, 336 337 such as those shown in Sect. 3.3, can have ramifications for a range of other chemical species. For example, oxidation by OH is the main loss process for atmospheric methane. Hence, 338 there are potentially global consequences through perturbation of the methane lifetime. A 339 measure of this effect can again be deduced from the  $\Delta CC8.5$  (fLNO<sub>x</sub>) run. Relative to Base, a 340 reduction of 1.79 years in the methane lifetime against loss by OH ( $\tau_{CH4+OH}$ ) is calculated for 341  $\Delta$ CC8.5; in contrast, a smaller reduction of 1.04 years is found for  $\Delta$ CC8.5(fLNO<sub>x</sub>). Inclusion 342 of changes in LNO<sub>x</sub> thus contributes 0.75 years to the reduction in  $\tau_{CH4+OH}$  due to climate 343 344 change.

Changes in  $\tau_{CH4+OH}$  will have implications for both chemistry and climate through 345 346 methane's role as a tropospheric ozone precursor, an OH sink and a greenhouse gas. However, by fixing a uniform lower boundary condition for methane, such feedbacks are 347 essentially neglected within these experiments. If methane concentrations were allowed to 348 respond to decreases in its lifetime with climate change, lower methane concentrations would 349 be simulated at equilibrium in a future climate, with a lower increase in ozone burden and an 350 enhanced increase in OH. The strength of the response is determined by the model dependent 351 methane feedback factor, f (Fuglestvedt et al., 1999). Using a further integration in which 352 methane is increased by 20% in the chemistry scheme only (not otherwise discussed here), 353 we derive a value of 1.52 for f in our model, which lies on the upper end of the large literature 354 range (1.19-1.53) (Prather, 2001; Voulgarakis et al., 2013; Stevenson et al., 2013). From this, 355 356 we obtain an estimate of equilibrium methane concentrations, following the methodology 357 detailed in Stevenson et al. (2013), and equilibrium ozone burdens, following Wild et al. (2012). We find that accounting for methane adjustments lowers the ozone burden in future 358 climate simulations by, on average, 5 Tg(O<sub>3</sub>) (RCP4.5) and 13 Tg(O<sub>3</sub>) (RCP8.5). The 359 corresponding increases in ozone burden relative to Base are 24 Tg(O<sub>3</sub>) (RCP4.5) and 33 360  $Tg(O_3)$  (RCP8.5), which still represent substantial increases with future climate change and 361

362 greater LNO<sub>x</sub>. The adjusted increase in burden in  $\Delta$ (CC8.5+O3pre) (33 Tg(O<sub>3</sub>)) is now more 363 comparable to the adjusted decrease in  $\Delta$ O3pre (32 Tg(O<sub>3</sub>)).

OH is also important in determining the lifetime of very short-lived substances 364 (VSLS). There is currently considerable interest in the role of VSLS in stratospheric ozone 365 depletion following rapid convective transport into the upper troposphere-lower stratosphere 366 (UTLS) region. Increased oxidation of VSLS by OH in the UT in a future climate could serve 367 to counteract increased stratospheric VSLS loading following enhanced convective lofting 368 into the tropical tropopause layer (TTL) and subsequent transport into the lower stratosphere. 369 370 The effect could be particularly important over the Maritime Continent, since it is a region characterised by both high deep convective activity and coastal emissions of VSLS (Hosking 371 et al., 2010). These feedbacks add weight to the importance of future changes in LNOx. 372

373

# 374 **4. Summary**

We have assessed the impacts of climate change on emissions of  $NO_x$  from lightning (LNO<sub>x</sub>) 375 376 and the consequences for tropospheric chemistry using UM-UKCA. Using the Price and Rind (1992, 1994a) parameterisation for calculation of LNO<sub>x</sub>, our year-2000 integrations generate 377 6 Tg(N) yr<sup>-1</sup> of total, global LNO<sub>x</sub>, which lies within the range of values simulated in the 378 literature (e.g. Schumann and Huntrieser, 2007) and within  $1\sigma$  of the ACCMIP multi-model 379 mean (Young et al., 2013). We simulate greater LNO<sub>x</sub> at the year 2100 under two scenarios 380 for future climate change: RCP4.5 and RCP8.5, with LNO<sub>x</sub> increases of 2 Tg(N) yr<sup>-1</sup> (33%) 381 and 4.7 Tg(N) yr<sup>-1</sup> (78%), respectively, primarily in response to increases in the depth of 382 convection. These correspond to a greater sensitivity of LNO<sub>x</sub> to climate than found in some 383 other studies and the total LNO<sub>x</sub> simulated for RCP8.5 is above  $1\sigma$  of the ACCMIP models. 384 385 The sensitivity will depend upon the treatment of convection and LNO<sub>x</sub> in the different models; these remain an area of considerable uncertainty. Note that we have not explored 386 387 other LNOx parameterisations and some studies using alternate approaches, such as those based on convective mass fluxes, have found different sensitivities for lightning changes 388 389 under a warmer climate (e.g. Grewe et al., 2009). However, the PR92 method employed here is commonly adopted in state-of-the-art chemistry-climate models, such as most of the 390 391 ACCMIP models (Lamarque et al., 2013).

For the simulations which change climate only between the years 2000 and 2100, according to RCP4.5 ( $\Delta$ CC4.5) and RCP8.5 ( $\Delta$ CC8.5), we also analysed changes in the distribution of LNO<sub>x</sub> within the tropics. Increases in LNO<sub>x</sub> are found to occur predominantly 395 over the Maritime Continent for  $\Delta$ CC4.5 but also over Central Africa and South America for 396  $\Delta$ CC8.5. The Maritime Continent is associated with the largest increases in both the overall 397 frequency and depth of convection, which explains the largest increases in LNO<sub>x</sub> found over 398 this region.

A positive and linear relationship is simulated between the changes in  $LNO_x$  and 399 global, tropospheric chemical  $O_x$  production (P(O<sub>x</sub>)), which increases by 413±28 Tg(O<sub>3</sub>) yr<sup>-1</sup> 400 and  $977\pm33$  Tg(O<sub>3</sub>) yr<sup>-1</sup> for climate change under the RCP4.5 and RCP8.5 scenarios. 401 respectively. The tropospheric ozone burden increases correspondingly by  $29\pm4$  Tg(O<sub>3</sub>) 402 403 (RCP4.5) and 46 $\pm$ 4 Tg(O<sub>3</sub>) (RCP8.5). We confirm through a fixed-LNO<sub>x</sub> run that LNO<sub>x</sub> plays the major role in these correlations, contributing more than 50% to the increase in  $P(O_x)$ 404 at RCP8.5. We also show that the effects of climate change, at least for the RCP8.5 scenario, 405 would decrease the ozone burden if this effect on  $P(O_x)$  through LNO<sub>x</sub> were not present. 406

To examine the sensitivity of the effects of climate change to the background state of 407 the atmosphere, three sets of experiments were conducted which combined the separate 408 climate forcings with different chemical drivers: i) year-2000 chemical boundary conditions, 409 ii) lower concentrations of stratospheric ozone-depleting substances, and iii) lower emissions 410 of tropospheric ozone precursors. The linear relationship between the increases in LNO<sub>x</sub> and 411 412  $P(O_x)$  and the corresponding increases in tropospheric ozone burden under climate change are found to be quantitatively robust under the different chemical background states. Hence, 413 414 although we find that regulations aimed at air quality improvement decrease the future tropospheric burden of ozone in the  $\Delta O3$  pre simulation, we suggest that climate change and 415 416 increased LNO<sub>x</sub> could counteract this change.

Changes in LNO<sub>x</sub> impact on the OH radical. Our  $\Delta$ CC4.5 and  $\Delta$ CC8.5 simulations 417 418 show positive anomalies in upper tropospheric OH over Central Africa, South America and the Maritime Continent. The effect is greatest over the Maritime Continent in both these 419 420 simulations and is particularly large in  $\Delta CC8.5$ , in which an increase of over 160% is found in this region. The response is not reproduced by the fixed-LNO<sub>x</sub> run, leading us to conclude 421 that LNO<sub>x</sub> drives these changes in OH, although we also find a smaller contribution from 422 deeper convection over the Maritime Continent. An analysis of reaction fluxes indicates that 423 the dominant reaction pathways for increased OH production through LNO<sub>x</sub> in these regions 424 are HO<sub>2</sub>+NO (directly, following production of NO<sub>x</sub>) and O(<sup>1</sup>D)+H<sub>2</sub>O (indirectly, through 425 photochemical ozone and hence  $O(^{1}D)$  production). 426

427 Changes in OH could have further important consequences. For methane, we quantify 428 the LNO<sub>x</sub>-OH driven impact on its lifetime against loss by OH ( $\tau_{CH4+OH}$ ) using the fixed429 LNO<sub>x</sub> run. LNO<sub>x</sub> contributes 0.75 years to the decrease in  $\tau_{CH4+OH}$  projected under climate change at RCP8.5. The resulting changes in methane concentration and subsequent feedbacks 430 are not simulated by these experiments. Since methane is both a tropospheric ozone precursor 431 and an OH sink, we expect that a shorter  $\tau_{CH4+OH}$  would feedback negatively into LNO<sub>x</sub> 432 433 driven increases in ozone but positively into increases in OH. For ozone, we have estimated that accounting for adjustments in methane concentration in a changing climate would lead to 434 increases in the ozone burden of 24 Tg(O<sub>3</sub>) (RCP4.5) and 33 Tg(O<sub>3</sub>) (RCP8.5). Although, as 435 expected, these are smaller than the simulated changes reported above (of 29 and 46 Tg( $O_3$ ), 436 437 respectively), they still represent substantial increases through future climate change. Since methane is a greenhouse gas, we would also expect a negative feedback into climate change 438 through its radiative forcing effect. 439

In addition, very short-lived substances (VSLS), which have a strong source region in
the Maritime Continent and are convectively lifted into the UT, could undergo enhanced
oxidation by OH if the levels of the latter were to increase over this region. Some studies (e.g.
Dessens et al., 2009; Hossaini et al., 2012) project an increase in concentrations of VSLS or
their oxidised products in the UTLS, which deplete ozone if they remain in the stratosphere.
LNO<sub>x</sub>-derived OH could partially offset this effect in a future climate.

446 We have demonstrated that NO<sub>x</sub> production from lightning, following tropical convection, is a key process through which climate can influence the chemistry of the 447 448 troposphere. Hence, given its importance, we believe it is crucial to strengthen our confidence in model representations of both convection and LNO<sub>x</sub>. Our results are dependent on the 449 450  $LNO_x$  and convective parameterisations utilised. In particular, the vertical profile of  $LNO_x$ affects the simulated changes in ozone and OH, particularly in the UT (Labrador et al., 2005). 451 452 If we were to employ the vertical distributions of Pickering et al. (1998) or Ott et al. (2010), which weight LNO<sub>x</sub> more greatly to the UT than is done in UKCA, we postulate that even 453 larger changes in ozone, OH and subsequent feedbacks would occur for a given change in 454 total LNO<sub>x</sub>. 455

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Table 1. List of model simulations. The final two columns are averages over the 10 yearsimulation periods.

Scenario	Climate	ODS: $Cl_y$ , $Br_y^b$	Anthropogenic	LNO <sub>x</sub> /	Tropospheric
	(SSTs, sea ice,		ozone	Tg(N) yr <sup>-1</sup>	ozone burden /
	GHGs <sup>a</sup> )		precursor		$Tg(O_3)$
			emissions <sup>c</sup>		
Base	2000	2000	2000	6.04	326
$\Delta ODS$	2000	2100 (RCP4.5)	2000	5.98	344
ΔO3pre	2000	2000	2100 (RCP4.5)	5.98	292
$\Delta$ (ODS+O3pre)	2000	2100 (RCP4.5)	2100 (RCP4.5)	6.05	308
ΔCC4.5	2100 (RCP4.5)	2000	2000	8.08	356
$\Delta(CC4.5+ODS)$	2100 (RCP4.5)	2100 (RCP4.5)	2000	7.97	374
$\Delta$ (CC4.5+O3pre)	2100 (RCP4.5)	2000	2100 (RCP4.5)	8.01	319
ΔCC8.5	2100 (RCP8.5)	2000	2000	10.7	369
$\Delta(CC8.5+ODS)$	2100 (RCP8.5)	2100 (RCP4.5)	2000	10.6	393
$\Delta$ (CC8.5+O3pre)	2100 (RCP8.5)	2000	2100 (RCP4.5)	10.6	337
Base(fLNO <sub>x</sub> )	2000	2000	2000	6.04 <sup>d</sup>	325
$\Delta CC8.5(fLNO_x)$	2100 (RCP8.5)	2000	2000	6.04 <sup>d</sup>	307

<sup>a</sup> These are the changes in GHGs imposed within the radiation scheme only.

661 <sup>b</sup> Relative to Base, runs containing  $\Delta ODS$  include total chlorine (Cl<sub>y</sub>) and total bromine (Br<sub>y</sub>) reductions of 60-

662 70% (1.6 ppb) and 40-50% (9.4 ppt), respectively.

 $^{\rm c}$  Relative to Base, runs containing  $\Delta$ O3pre include average global and annual emission changes of: NO(-51%),

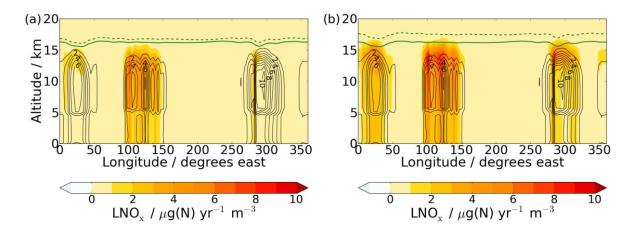
 $664 \qquad CO (-51\%), HCHO(-26\%), C_2H_6 (-49\%), C_3H_8 (-40\%), H_3CCOCH_3 (-2\%), CH_3CHO (-28\%).$ 

 $^{d}$  LNO<sub>x</sub> is not interactively calculated but imposed by applying a monthly mean climatology of the Base run.

	Base	$\Delta CC8.5$ -Base	$\Delta CC8.5(fLNO_x)$ -Base
Production / Tg(O <sub>3</sub> ) yr <sup>-1</sup>	4870	980 (20.1%)	340 (7.0%)
Loss / Tg(O <sub>3</sub> ) yr <sup>-1</sup>	4220	1090 (25.8%)	500 (11.8%)
Net chemical production / $Tg(O_3)$ yr <sup>-1</sup>	655	-109 (-16.6%)	-159 (-24.3%)
Deposition / $Tg(O_3)$ yr <sup>-1</sup>	1020	-10 (-1.0%)	-87 (-8.5%)
STE inferred <sup>*</sup> / Tg(O <sub>3</sub> ) yr <sup>-1</sup>	360	101 (28.1%)	78 (21.7%)
Burden / Tg(O <sub>3</sub> )	326	43 (13.2%)	-19 (-5.8%)
Methane lifetime / yrs	7.60	-1.79 (-23.5%)	-1.04 (-13.8%)

666 Table 2. Tropospheric  $O_x$  budget of the Base run and changes from Base to  $\Delta CC8.5$  and 667  $\Delta CC8.5$ (fLNO<sub>x</sub>).

668 \* Stratosphere-troposphere exchange calculated as the residual from closure of the O<sub>x</sub> budget.



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Fig. 1. Annual mean, longitude-altitude cross sections of tropically averaged (20°S-20°N) LNO<sub>x</sub> (contours) of the Base run and changes (shading) from Base to (a)  $\Delta$ CC4.5 and (b)

672  $\triangle CC8.5$ . Regions which show notable changes in LNO<sub>x</sub> are: Central Africa (0-50°E), the

Maritime Continent (100-150°E) and South America (280-320°E). Solid (Base run) or dashed

674 (future runs) green lines indicate the height of the thermal tropopause, which is calculated

based on the WMO lapse rate definition (WMO, 1957).

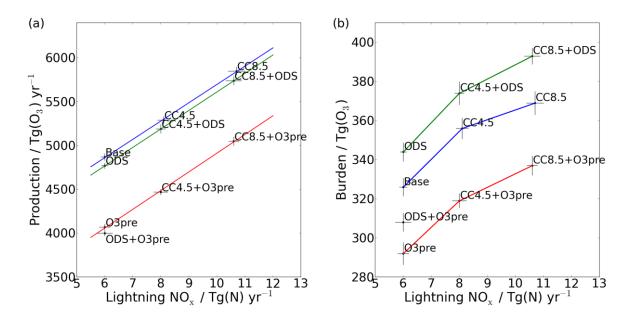
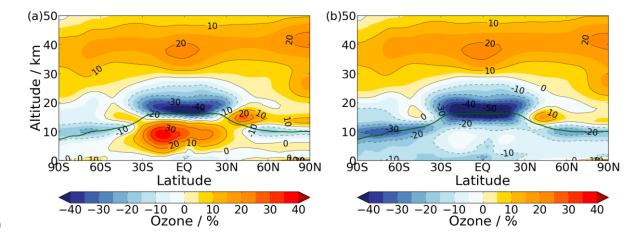


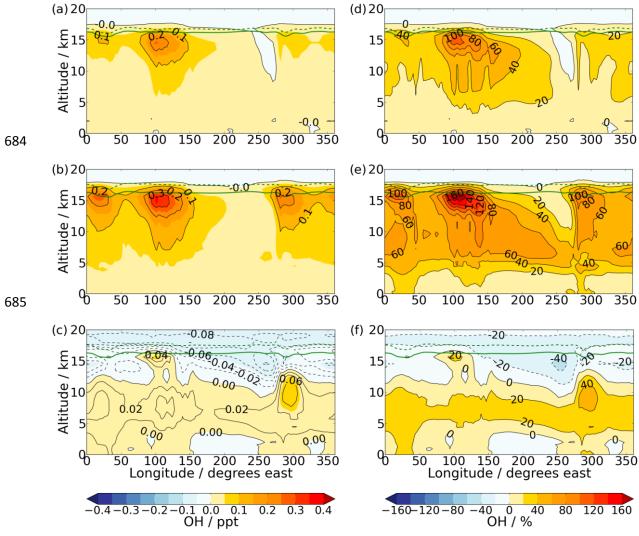
Fig. 2. Correlation between (a)  $P(O_x)$  and  $LNO_x$  and (b) tropospheric ozone burden and LNO<sub>x</sub>. Linear fits in (a) and connecting lines in (b) are drawn between runs which differ only in their climate states. Error bars indicate  $\pm 1$  standard deviation.

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Fig. 3. Annual mean, zonal mean changes (shading and contours) in ozone (%) relative to Base for (a)  $\Delta$ CC8.5 and (b)  $\Delta$ CC8.5(fLNO<sub>x</sub>). Solid green lines indicate the height of the thermal tropopause of the Base run.



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Fig. 4. Annual mean, longitude-altitude cross sections of tropically averaged (20°S-20°N) changes (shading and contours) in OH mixing ratios (ppt) from Base to (a)  $\Delta$ CC4.5 (b)  $\Delta$ CC8.5 and (c)  $\Delta$ CC8.5(fLNO<sub>x</sub>); the differences as a percentage of the Base values for (d)  $\Delta$ CC4.5 (e)  $\Delta$ CC8.5 and (f)  $\Delta$ CC8.5(fLNO<sub>x</sub>). Solid (Base run) or dashed (future runs) green lines indicate the height of the thermal tropopause.