

On the submicron aerosol distributions  
and CCN number  
concentrations

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# On the submicron aerosol distributions and CCN number concentrations in and around the Korean Peninsula

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## Abstract

Total number concentrations of particles having diameter larger than 10 nm ( $N_{\text{CN}}$ ), cloud condensation nuclei at several supersaturation ( $S$ ) values ( $N_{\text{CCN}}$ ), and the number size distribution of particles for 10–414 nm particle diameter range were measured in Seoul between 2004 and 2010. Overall average values of  $N_{\text{CN}}$  and geometric mean diameter are  $17\,811 \pm 5581 \text{ cm}^{-3}$  and  $48 \pm 6 \text{ nm}$ , respectively. Average  $N_{\text{CCN}}$  at 0.4, 0.6, and 0.8%  $S$  are  $4145 \pm 2016$ ,  $5323 \pm 2453$  and  $6067 \pm 2780 \text{ cm}^{-3}$ , respectively and corresponding  $N_{\text{CCN}}/N_{\text{CN}}$  are  $0.26 \pm 0.11$ ,  $0.33 \pm 0.11$  and  $0.37 \pm 0.12$ . There is a clear seasonal variation of aerosol concentration, which seems to be due to the monsoon.  $N_{\text{CN}}$  and  $N_{\text{CCN}}$  are also found to be dependent on the volume of traffic and the height of planetary boundary layer, respectively.

During the two aircraft campaigns in 2009 and 2011,  $N_{\text{CN}}$  and  $N_{\text{CCN}}$  at 0.6%  $S$  were measured in and around the Korean Peninsula. During the 2011 campaign, aerosol scattering coefficient was also measured.  $N_{\text{CN}}$  and  $N_{\text{CCN}}$  at 0.6 in the lower altitudes were generally higher than at higher altitudes, except for the cases when particle formation and growth events are thought to occur at higher altitudes.  $N_{\text{CN}}$  and  $N_{\text{CCN}}$  at 0.6 show generally a positive correlation with aerosol scattering coefficients but its correspondence tends to vary with altitude. Occasional instances of low ( $< 0.3$ )  $N_{\text{CCN}}/N_{\text{CN}}$  in the boundary layer are demonstrated to be associated with particle formation and growth events. With the support of ground measurements, it is confirmed that a particle formation and growth event indeed occurred on a flight day over the Yellow Sea and the areal extent of the event is estimated to be greater than  $100 \text{ km} \times 450 \text{ km}$ .

With the combination of the current and several relevant previous studies, a composite map of  $N_{\text{CN}}$  and  $N_{\text{CCN}}$  in and around the Korean Peninsula is produced. Overall, the exhibited concentrations are typical of the values measured over the polluted regions elsewhere in the globe. Moreover, there is a generally decreasing trend from west to east over the region, implying that the region is constantly under the dominant influence of continental outflow.

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## 1 Introduction

With increasing attention on climate change in general, interest in cloud condensation nuclei (CCN) due to its effects on various cloud properties including cloud albedo and lifetime (i.e., aerosol indirect effects) is not limited within the cloud physics community but wide spread throughout the atmospheric science, climate science and aerosol science communities. With an increasing fear that societies as a whole are not adopting the necessary measures required to prevent catastrophic climate change quickly enough (Rojeli et al., 2011), it also sparked a debate on so-called “geo-engineering” (Crutzen, 2006; Robock, 2008).

The aerosol indirect effects on climate have attracted many researchers not only because its magnitude is estimated to be large enough to compensate a significant portion of the greenhouse gas effect but also because its uncertainty is large (IPCC, 2007), especially when it comes to determining the climate sensitivity (Kiehl, 2007; Schwartz et al., 2010). One of the several reasons behind such large uncertainty is that aerosol properties exhibit high geographical heterogeneity due to its short lifetime in the atmosphere ( $\sim$  days) compared to greenhouse gases ( $\sim$  years) (IPCC, 2007). Such heterogeneity makes it difficult for scientists to draw a global and long term picture of aerosol contributions. Therefore securing aerosol dataset of global coverage is important, especially that of the number concentration, which is of primary concern when it comes to cloud and aerosol interaction problem because the number of cloud droplets is initially determined by the number of aerosol particles that can be activated as embryonic cloud droplets at a given supersaturation ( $S$ ). Such information is relatively scarce in East Asia compared to those in Europe and North America (Kumala et al., 2004), although various efforts have been put forth in recent years, including the ones that tried to characterize the new particle formation (Weber et al., 2003; Buzorius et al., 2004; McNaughton et al., 2004; Wu et al., 2007; Lee et al., 2008; Park et al., 2008; Wiedensohler et al., 2009; Song et al., 2010; J. H. Kim et al., 2012, Y. Kim et al., 2013) and CCN properties (Matsumoto et al., 1997; Adhikari et al., 2005; Yum et al.,

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2005, 2007; J. H. Kim et al., 2011; Kuwata and Kondo, 2008; Kuwata et al., 2008; Mochida et al., 2010; Rose et al., 2010).

This study is an addition to this effort and aims at characterizing the number concentration of submicron aerosols (i.e., condensation nuclei, CN) ( $N_{\text{CN}}$ ) and CCN ( $N_{\text{CCN}}$ ) measured in and around the Korean Peninsula, which is strategically located about 500 km downwind of the Chinese continent (considering the prevailing westerly winds in this region) and therefore suitable for monitoring the continental outflow at first hand.

This study first focuses on the aerosol and CCN characteristics measured in Seoul that may represent a typical large urban city in East Asia. About 1000 and 800 days, respectively, of CN and CCN data, which cover the years between 2004 and 2010, are analyzed in order to provide statistically robust result. It is suggested that while the majority of CN observed in Seoul had local origin, the same could not be said for CCN.

Then we present the CN and CCN data observed during two aircraft measurement campaigns in and around the Korean Peninsula. Up to our knowledge, these are the first attempts to illustrate such data over the Korean Peninsula. The main purpose of these campaigns was to verify from in-situ measurements if there really was the east-west gradient of aerosol concentrations in the continental outflow region as exemplified by Y.-J. Kim et al. (2011) that showed the smoothly decreasing trend of aerosol optical depth (AOD) from China to the East Sea by averaging the satellite retrieved AOD data for several years. The vertical profiles of  $N_{\text{CN}}$  and  $N_{\text{CCN}}$  measured during the two campaigns varied a lot but the concentrations were generally higher in the planetary boundary layer (PBL) than over the free troposphere and the concentrations in the PBL were higher over the Yellow Sea than over the East Sea, indeed demonstrating the east-west gradient.

Lastly, we provide the composite map of  $N_{\text{CN}}$  and  $N_{\text{CCN}}$  in the PBL in and around the Korean Peninsula by combining the data presented in this study with those from several previous measurement studies at various platforms in this region: rural sites (Yum et al., 2005; Kim et al., 2012), island sites (Yum et al., 2007; J. H. Kim et al., 2011) and cruise ships (Kim et al., 2009). Statistically robust results from Seoul enabled us to

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distinguish the characteristics that may be shared with other regions within the Korean Peninsula from the ones arising from local sources in Seoul. Aircraft measurements provided us the data at the locations where ground measurements could not cover such as the Yellow Sea and the East Sea. This map is the product of 8 years long effort to measure the aerosol size distribution and CCN concentration in the PBL over this region (all but one study used the very same instruments). Considering the fact that aerosol concentrations, especially  $N_{\text{CCN}}$ , did not vary much within the PBL as shown in this study, these concentrations should be highly relevant to the cloud droplet concentrations of the clouds whose base is within the PBL. Therefore this composite map is highly expected to be used as a valuable reference dataset for modeling studies and for satellite remote sensing retrieval of the aerosol distribution in East Asia.

## 2 Seoul measurement (2004–2010)

Seoul (37.6° N, 127.0° E) is a megacity of which the population is more than 10 million. Owing to numerous anthropogenic sources and large seasonal variation due to monsoon, Seoul's aerosol properties cannot be characterized from a few intensive campaigns. Here we present a long term measurement data for the period from 2004 to 2010.

### 2.1 Method

$N_{\text{CN}}$  and submicron aerosol size distribution data were measured at the Yonsei University campus located at the northwestern part of Seoul by TSI CPC3010 and SMPS 3936L10, respectively. The instruments were placed at a 6th floor room of a building in the campus which is about 300 m away from the major traffic road outside the campus and therefore were safely removed from the immediate influence of traffic emissions in the campus and in the major road. The data were obtained every 1 and 180 s for CN and aerosol size distribution, respectively, and accumulated since June and Septem-



and  $48 \pm 6$  nm, respectively. The average  $N_{\text{CCN}}$  at 0.4, 0.6, and 0.8%  $S$  ( $N_{\text{CCN}0.4}$ ,  $N_{\text{CCN}0.6}$ , and  $N_{\text{CCN}0.8}$ ) are  $4145 \pm 2016$ ,  $5323 \pm 2453$  and  $6067 \pm 2780 \text{ cm}^{-3}$ , respectively. The corresponding ratio of  $N_{\text{CCN}}/N_{\text{CN}}$  are  $0.26 \pm 0.11$ ,  $0.33 \pm 0.11$  and  $0.37 \pm 0.12$ , respectively.

$N_{\text{CN}}$  measured in Seoul is much lower than the one measured in some other megacities of Asia such as New Delhi, India (Mönkkönen et al., 2005) or Beijing, China (Wu et al., 2007). It is comparable to the one measured in Guangzhou, China (Rose et al., 2010) and is much higher than the one measured in Tokyo, Japan (Kuwata and Kondo, 2008). Compared to rural sites in Europe, it is higher at least by a factor of two (Asmi et al., 2011).  $N_{\text{CCN}}$  is much lower than the ones measured in Beijing (Weidensohler et al., 2009) and Guangzhou, but higher than that measured in Tokyo.

Figure 3 is the scatterplot of simultaneously measured, hourly averaged  $D_g$  vs.  $N_{\text{CCN}0.6}/N_{\text{CN}}$  that shows a strongly positive correlation. Interpreting  $N_{\text{CCN}0.6}/N_{\text{CN}}$  as an average probability of a randomly selected particle acting as CCN (for a few data in Fig. 3 the value exceeds one due to instrumental differences between TSI CPC 3010 and DMT CCNC), and  $D_g$  as its average diameter, it can be said that the sizes of the particles determined the CCN activity to a certain degree. However, it should also be pointed out that  $N_{\text{CCN}0.6}/N_{\text{CN}}$  values vary quiet significantly for a constant  $D_g$  in Fig. 3, especially for the diameter range between 40 and 70 nm. It implies that while the size may have been the primary factor for determining whether a particle would act as CCN or not, there were still some other factors (e.g. chemical composition) that affected the CCN activity of particles in Seoul.

### 2.2.2 Seasonal variation

Monthly average values of  $N_{\text{CN}}$ ,  $N_{\text{CCN}0.4}$ ,  $N_{\text{CCN}0.6}$ ,  $N_{\text{CCN}0.8}$ ,  $N_{\text{CCN}}/N_{\text{CN}}$  and  $D_g$  are shown in Fig. 4b and c. The values are first daily averaged and then averaged for the month of the year. Number of monthly measurement days for each instrument is also shown in Fig. 4a.  $N_{\text{CN}}$  and  $N_{\text{CCN}}$  during the winter (December–February) are

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high  $S$  to be activated as cloud droplets even though the sizes were not small, implying relatively low aerosol hygroscopicity in December. Nevertheless, these particles were considered to be more hygroscopic than the particles measured in March and April: see the low  $N_{\text{CCN}}/N_{\text{CN}}$  in these two months despite the large  $D_g$ .

### 2.2.3 Diurnal variation

Diurnal variations were obtained by averaging the data every 30 min ( $N_{\text{CN}}$ ,  $N_{\text{CCN}}$  and  $D_g$ ) or every one hour ( $N_{\text{CCN}}/N_{\text{CN}}$  and  $k$ ) bin for the day. The average number of the data used to represent each time bin are  $897 \pm 7$ ,  $725 \pm 9$ ,  $715 \pm 9$ ,  $695 \pm 19$  and  $967 \pm 7$  for  $N_{\text{CN}}$ ,  $N_{\text{CCN}0.4}$ ,  $N_{\text{CCN}0.6}$ ,  $N_{\text{CCN}0.8}$  and  $D_g$ , respectively. Similarly the numbers are  $552 \pm 5$ ,  $552 \pm 6$ ,  $549 \pm 7$  and  $729 \pm 7$  for  $N_{\text{CCN}0.4}/N_{\text{CN}}$ ,  $N_{\text{CCN}0.6}/N_{\text{CN}}$ ,  $N_{\text{CCN}0.8}/N_{\text{CN}}$  and  $k$ , respectively. Seasonally classified diurnal variations are shown in Fig. 5. There is a clear minimum before the dawn and the mid-morning and evening peaks for  $N_{\text{CN}}$ . This diurnal pattern changes little from season to season except that the concentration itself is much higher during winter than the other seasons (Fig. 5a).  $N_{\text{CCN}}$  show a different pattern from  $N_{\text{CN}}$ : early morning minimum is not very conspicuous or never exists and the mid-morning maximum is pronounced only in winter (Fig. 5b). Now we examine the possible reasons for these diurnal patterns.

### Traffic amount

The average diurnal variations of  $N_{\text{CN}}$ ,  $N_{\text{CCN}0.6}$ ,  $N_{\text{CCN}0.6}/N_{\text{CN}}$ ,  $D_g$  and  $k$  for the entire period are shown in Fig. 6 along with the hourly averaged traffic amounts on the major road just outside the Yonsei University campus ( $\sim 300$  m from the measurement site). Traffic data are limited only for 25 days mostly during autumn but the traffic trend is expected not to differ significantly for different seasons. It is reported that in Seoul 30 % and 70 % of the vehicles on the road use diesel and gasoline as their fuel, respectively (Pandey et al., 2008).

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The most prominent feature in Fig. 6 is that the time of the minimum  $N_{\text{CN}}$  around 4–5 a.m. coincides with that of the traffic amount. The time of the maximum  $N_{\text{CN}}$  at 7–8 p.m. slightly lags that of the traffic amount (6–7 p.m.).  $N_{\text{CN}}$  increases sharply during 5–8 a.m., which is in accordance with the increasing traffic amount in these hours. Strikingly similar behavior for nitric oxide (NO) concentration was reported (Pandey et al., 2008). Such similarity suggests that the traffic, which is a primary source of NO, is also the main contributor of  $N_{\text{CN}}$  in Seoul. Such similarity seems not obvious for  $N_{\text{CCN}}$ , implying that traffic emission may not have been the primary source of  $N_{\text{CCN}}$ . However,  $N_{\text{CCN}}$  is not completely independent from the traffic emission. Figure 7 shows the relationship between the hourly-averaged values of traffic amount and  $N_{\text{CCN}}$  or  $N_{\text{CN}}$ . If we interpret  $N_{\text{CN}}$  as  $N_{\text{CCN}}$  at a very high  $S$  (i.e.,  $> 0.8\%$ ), we can say that the correlation becomes more significant with increasing  $S$ . It implies then that the particles originated from traffic emission were more likely to be the particles that could be activated mostly at higher  $S$ , probably because they were smaller and less hygroscopic.

## Planetary boundary layer height

Planetary boundary layer (PBL) height can have an effect on  $N_{\text{CN}}$  and  $N_{\text{CCN}}$  because the atmosphere becomes diluted as PBL expands. Due to the lack of the atmospheric thermodynamic sounding data with sufficiently high temporal resolution, we analyzed instead the Continuous Micro Pulse Lidar (MPL) measurement data obtained at the Seoul National University campus in Seoul (Kim et al., 2007) to estimate the PBL height. Seoul National University is located 11 km south of our measurement site. MPL measures the vertical profile of aerosol attenuated backscatter coefficient at 532 nm wavelength every 15 min. Automated wavelet covariance transform (WCT) method from Brooks (2003) was applied to the backscatter profiles obtained from August 2006 to December 2010. Only the days when there was no precipitation and the daily cloud amount was less than 1/10 were selected and 213 days (mostly during winter) met those criteria during the above period.

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For the selected days, the average diurnal variation of PBL height shows almost no seasonal variation although the maximum PBL height of the day differs from season to season: about 1500 m during summer and lower than 1000 m during winter (not shown). Generally it starts to rise around 9 a.m., reaches the maximum at about 4 p.m., reduces to the height of 600–800 m at about midnight and stays largely at this value throughout the night. When this night period is excluded there is a remarkably consistent but opposite trend between the PBL height and  $N_{\text{CCN}0.6}$  as shown in Fig. 8, where the normalized PBL height and  $N_{\text{CCN}0.6}$  are shown together with the axis for the normalized PBL height reversed. The normalization is done for each day by converting the daily maximum and minimum values of  $N_{\text{CCN}0.6}$  to 1.0 and 0.0, respectively. Normalized PBL height is similarly obtained. In this way the influence of daily fluctuation is removed for both parameters. However, the days when both parameters were simultaneously measured almost throughout a day (more than 18 h) were limited (40 days) and they were usually not consecutive. Along with the normalization process itself, this is the main reason why the values just before and after midnight are not smoothly varied especially for normalized  $N_{\text{CCN}0.6}$  in Fig. 8.

Figure 9 shows the scatterplot of the hourly-averaged PBL height vs.  $N_{\text{CCN}}$  and  $N_{\text{CN}}$  in a manner similar to Fig. 7. Note that  $N_{\text{CN}}$  and  $N_{\text{CCN}}$  in Fig. 9 are higher than the corresponding values in Fig. 7 because in Fig. 9 the data mostly from winter are used to calculate  $N_{\text{CN}}$  and  $N_{\text{CCN}}$  to match the time of MPL data while the data used in Fig. 7 are mostly from autumn as mentioned in the previous section. The data points for 09:00–24:00 LT are highlighted with circle in Fig. 9 and as expected from Fig. 8  $N_{\text{CCN}}$  for all  $S$  show strong negative correlations with the PBL height. However, no such tendency is found for  $N_{\text{CN}}$ . These contrasting results seem to be related to the diurnal variation of traffic amount and its much closer relationship with  $N_{\text{CN}}$  than with  $N_{\text{CCN}}$ . For  $N_{\text{CN}}$  the increased traffic amount that reaches nearly its daily maximum at 9 a.m. can compensate the dilution effect of PBL height which starts to expand at this hour (Fig. 8). After 4 p.m., the PBL height starts to shrink but the traffic amount starts to decrease as well a few hours later (6 p.m.) and therefore the concentrating effect of

descending PBL height is again compensated by decreasing traffic amount. Because traffic emission has only a secondary importance for  $N_{CCN}$ , such compensations do not seem to occur and the effect of dilution and concentration due to PBL height variation is fully exerted for  $N_{CCN}$ . From midnight to 9 a.m., PBL height shows little variation and therefore the traffic emission seems to act as the single most important factor driving both  $N_{CN}$  and  $N_{CCN}$ .

### 3 Airborne measurement (2009, 2011)

#### 3.1 Instrumentation

Two aircraft campaigns were conducted using a Beechcraft King Air (C90GT) aircraft. The first one was conducted during 30 September–18 October 2009 and the second one during 8–17 June 2011. For both campaigns DMT CCNC and TSI CPC 3010 were used to measure  $N_{CCN}$  and  $N_{CN}$ , respectively. For the 2011 campaign, TSI Nephelometer 3536 was also onboard the aircraft and measured scattering coefficients at three wavelengths – 450, 500 and 700 nm. All the instruments were calibrated before each campaign. Because the internal  $S$  field within the CCNC varies with ambient pressure (Robert and Nenes, 2005), CCNC was operated with the fixed internal  $S$  of 0.6 % and the fixed internal pressure of 650 and 530 mb for the 2009 and 2011 campaigns, respectively. Such pressure values were selected to guarantee that they were sufficiently lower than the ambient pressures at the maximum flight altitudes of the two campaigns (3000 m for 2009 and 5000 m for 2011), in order to make it possible to offset the ambient pressure fluctuation with an orifice, an adjustable valve and a pump. The counting efficiency of CPC is known to be insensitive to ambient pressure fluctuation under such pressure range (Zhang and Liu, 1991). The nephelometer was considered not to suffer from ambient pressure fluctuation as well (Bodhaine et al., 1991).

During the 2009 campaign, an isokinetic inlet system was not available and the aircraft cabin window was slightly open to stick out a quarter inch tube and draw the sam-

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ple air from outside the aircraft. In order to see the effect of such an an-isokinetic inlet design, additional TSI CPC 3010 was installed on Korea Global Atmosphere Watch Center (KGAWC, 36.5° N, 126.3° E) which is about 7 km away from Tae-An Airport (36.6° N, 126.3° E) where the aircraft took off and landed. It is found that  $N_{CN}$  measured during the take-offs and landings (altitude < 400 m) exhibit values larger than  $N_{CN}$  measured at KGAWC by 21–55 %. Such values can be interpreted as a representative error arising from using an an-isokinetic inlet. During the 2011 campaign, an isokinetic inlet system was implemented.

### 3.2 Flight design

The measurement data were obtained from 8 research flights during the 2009 campaign. The flight design was aimed at verifying east-west gradient of  $N_{CN}$  and  $N_{CCN}$  as explained in Sect. 1. The aircraft took off at Tae-An Airport located at the western coast of the Korean Peninsula and chose one of the two routes. In the first route, it flew out west to 124.7° E over the Yellow Sea; then headed south following the constant longitude line until it reached 33.4° N; then it flew east to Jeju Island (126.3° E); and headed back to Tae-An Airport. This closed circuit route is denoted as “Yellow Sea route”. In some flights, the circuit route in exactly the opposite direction was chosen. The second route was to fly east and across the Korean Peninsula and over the East Sea until it reached 131.15° E, then head straight north to Ulleung Island (37.5° N, 130.9° E) and then return to Tae-An, which is called “East Sea route”. The two routes are shown in Fig. 10. During most of the flights the aircraft cruised at the altitude of 3000 m, but it made vertical soundings in each leg, spiraling down to an altitude of about 500 m and back up to the cruising altitude. The horizontal area span during the spiral was smaller than 9 km × 10 km.

During the 2011 campaign, the measurement data were obtained from three research flights. The first and second flights took the Yellow Sea route and the East Sea route, respectively. The last flight covered both the Yellow Sea and the East Sea. The

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## Relationship with scattering coefficient

Vertical distributions of the 300 m altitude bin averaged scattering coefficient ( $\sigma$ ) at three wavelengths (450, 550 and 700 nm) and the Angström exponent (AE) measured during the 2011 campaign are shown in Fig. 12 for each of the regions I, II and III.

$\sigma$  values tends to be higher at the western side of the Korean Peninsula than at the eastern side. The  $\sigma$  for 550 nm ( $\sigma_{550}$ ) of 50–110  $\text{Mm}^{-1}$  below 1000 m altitude is a factor of two to four smaller than those measured in Seoul ( $\sim 200 \text{Mm}^{-1}$ ; Shim et al., 2008) but similar to or larger than those observed over the East Asian seas during the ACE-Asia project (Carrico et al., 2003). In Fig. 12 for all three regions there is an elevated  $\sigma$  layer in between 1000 m and 2500 m altitudes and then it decrease with altitude to reach near the detection limit above 4000 m altitude. This trend is not much consistent with the vertical profiles of  $N_{\text{CN}}$  and  $N_{\text{CCN}0.6}$  shown in Fig. 11d–f except the rather similar feature in the region III (compare Figs. 11f and 12c). This demonstrates that the particle number concentration is not the only parameter that determines the scattering properties.

However, some previous measurement studies over the Korean Peninsula (Shim et al., 2008; Kim et al., 2012) and in other parts of the globe (Andreae, 2009; Clarke and Kapustin, 2010) suggest a positive correlation between  $\sigma$  vs.  $N_{\text{CN}}$  and  $\sigma$  vs.  $N_{\text{CCN}0.6}$ . Figure 13 is the scatterplot of simultaneously measured  $N_{\text{CN}}$  or  $N_{\text{CCN}0.6}$  vs.  $\sigma_{550}$  for the entire 2011 campaign and indeed seems to suggest that there is a positive correlation although the scatter is large. To note is that there seems be an altitude dependence of the relationship.  $\sigma_{550}$  tends to be smaller at higher altitudes regardless of  $N_{\text{CN}}$  and  $N_{\text{CCN}0.6}$  variations. Throughout the entire campaign, CN and CCN0.6 observed at the highest altitudes ( $> 4500$  m) tend to scatter less light: all 357 data points collected above 4500 m altitude are located below the solid line for CN and CCN0.6, respectively, in Fig. 13. In particular, over the region II,  $N_{\text{CN}}$  and  $N_{\text{CCN}0.6}$  increase quite significantly above 4900 m altitude (Fig. 11e), but the correspondence for  $\sigma$  is almost negligible (Fig. 12b). A careful examination of the nephelometer data found no instrumental issue

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for this case, implying that these CN and CCN0.6 indeed had negligible  $\sigma$ . In relation to this unique feature, it is worth mentioning that at higher altitudes  $N_{\text{CCN}0.6}/N_{\text{CN}}$  tends to decrease with altitude for all three flights during the 2011 campaign (Fig. 11d–f). This may suggest that aerosol particles were smaller at higher altitudes and therefore smaller  $\sigma_{550}$ . However, this cannot completely explain the complicated correspondence between  $\sigma_{550}$  and,  $N_{\text{CN}}$  or  $N_{\text{CCN}0.6}$  shown in Fig. 13, which reflects the fact that the relation between the number concentration and scattering coefficient of the particles is mediated by various properties. A detailed *analysis* of the factors that regulate the relationship between  $N_{\text{CN}}$ ,  $N_{\text{CCN}}$  and  $\sigma$  requires further study in the future.

### 3.3.2 Horizontal distribution at 3000 m altitude

Horizontal distributions of  $N_{\text{CN}}$  and  $N_{\text{CCN}0.6}$  are obtained when the aircraft was cruising at the altitude of about 3000 m in the period between the times of the spiraling vertical soundings. The spatial distribution of  $N_{\text{CCN}0.6}$  is illustrated in Fig. 14. Overall average values of  $N_{\text{CCN}0.6}$ ,  $N_{\text{CN}}$  and  $N_{\text{CCN}0.6}/N_{\text{CN}}$  were  $1207 \pm 915 \text{ cm}^{-3}$ ,  $1870 \pm 1463 \text{ cm}^{-3}$  and  $0.64 \pm 0.06$ , respectively. Average values of  $\sigma$  for 450, 550 and 700 nm and AE measured during the 2011 campaign were  $57.0 \pm 42.7 \text{ Mm}^{-1}$ ,  $42.7 \pm 31.9 \text{ Mm}^{-1}$ ,  $28.2 \pm 21.1 \text{ Mm}^{-1}$  and  $1.51 \pm 0.30$ , respectively. Average values for each flight and each campaign are shown in Tables 2 and 3.

Most of  $N_{\text{CN}}$  and  $N_{\text{CCN}0.6}$  values in Table 2 are much lower than the values measured within the boundary layer around the Korean Peninsula (Sect. 4.2), indicating that aerosol characteristics in the free troposphere are certainly different from those within the boundary layer. However, some high values are observed on some days (10 October 2009, 11 and 12 June 2011), which are comparable to, or even higher than those measured at the surface sites.  $N_{\text{CN}}$  and  $N_{\text{CCN}0.6}$  higher than  $7000 \text{ cm}^{-3}$  and  $5000 \text{ cm}^{-3}$ , respectively, are also observed during the flights on 15 October 2009 at the eastern coast of the Korean Peninsula (Fig. 14). Such high values may suggest an elevated pollution layer transported from China or local surface emission reaching at

high altitudes without much dilution. The westward gradient of CCN concentration is apparent only in the flight on 11 October 2009 (Fig. 14), which may indicate that the general westward gradient of aerosol concentrations (Fig. 11) or AOD (Y.-J. Kim et al., 2011) is mostly determined by the aerosols in the lower atmosphere.

## 4 Discussion

### 4.1 Particle formation and growth events

Buzorius et al. (2004), Lee et al. (2008) and Kim et al. (2009) all independently reported the particle formation and growth events observed over the Yellow Sea. Yum et al. (2007) and Kim et al. (2013) also reported such events at Gosan, Jeju Island (33.2° N, 126.1° E) located south of the Yellow Sea.

Although there was no instrument capable of measuring particle size distribution onboard, such information can be inferred from the  $N_{\text{CCN}0.6}/N_{\text{CN}}$  data obtained from the aircraft measurements. During the vertical soundings, layers of low ( $< 0.3$ )  $N_{\text{CCN}0.6}/N_{\text{CN}}$  compared to those ( $\sim 0.6$ ) at other altitudes were identified. Small particles generally require high  $S$  to activate as CCN. So the significantly lower  $N_{\text{CCN}0.6}/N_{\text{CN}}$  may imply that a greater portion of the particles is too small to activate at the given  $S$  of 0.6%. Such phenomena occurred 16 times during the vertical soundings. Yet one cannot rule out the possibility that such low ( $< 0.3$ )  $N_{\text{CCN}0.6}/N_{\text{CN}}$  may be due to the presence of large particles that have low hygroscopicity. Moreover, the fact that there existed small particles alone may not be sufficient for regarding such phenomena as a particle formation and growth event.

So we analyze the aerosol size distribution data measured with an SMPS at KGAWC located at the western coast of the Korean Peninsula. For all three low  $N_{\text{CCN}0.6}/N_{\text{CN}}$  events that took place over the regions I and II below 1000 m altitude, an enhanced nucleation mode is found from the SMPS measurement at KGAWC, confirming that a particle formation and growth event had occurred. Conversely, on the days when low

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$N_{\text{CCN}0.6}/N_{\text{CN}}$  is not observed, a particle formation event is not observed at KGAWC. Such correspondence strongly supports our claim that low  $N_{\text{CCN}0.6}/N_{\text{CN}}$  is a sign of a particle formation and growth event.

The research flight on 18 October 2009 conducted between 12:14 and 15:10 LT along the Yellow Sea route provides a unique opportunity to demonstrate the spatial scale of particle formation and growth event occurred over the Yellow Sea on this day. Low  $N_{\text{CCN}0.6}/N_{\text{CN}}$  at the altitudes below 1000 m is observed in all three spiraling vertical soundings (Fig. 15b) (also during the takeoff and landing). Both SMPS measurements at KGAWC and Gosan (33.2° N, 126.1° E) indicate a particle formation and growth event during the flight duration (Fig. 15c and d, respectively). It was very sunny on this day. The 3 day HYSPLIT back-trajectories at 500 m altitude (Draxler and Rolph, 2013; Rolph, 2013) for the three sounding locations and Tae-An Airport consistently indicate that the air mass is originated from the remote continental region, suggesting that this region is under the influence of an identical air mass (Fig. 16a). The sunny weather condition and the remote continental origin of the air mass for this event is consistent with the finding by Yum et al. (2007) who reported that such condition is favorable for the particle formation and growth events that took place at Gosan, Jeju Island.

The composite of the vertical soundings and the surface measurements at KGAWC and Gosan lead us to suggest that the spatial extent of the particle formation and growth event that took place in the boundary layer on 18 October 2009 covers at least 100 km × 450 km areal extent over the Yellow Sea (Fig. 15a). Considering that the event lasted more than several hours at KGAWC and Gosan, the affected area may have been extended even farther out to the upwind region by several hundred kilometers (Yum et al., 2007; Hussein et al., 2009). This argument is in accordance with Buzorius et al. (2004), Yum et al. (2007) and Kim et al. (2009), where the authors independently suggested that the particle formation and growth event in this region is not a local event but is rather taking place at a regional scale spanning several hundred kilometers across.

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at the western part of the Korean Peninsula tend to be higher than the ones measured at the eastern part of the Korean peninsula and over the East Sea, which agrees well with what Y.-J. Kim et al. (2011) found from the satellite retrieval of AOD. This trend indicates that continental outflow is dominantly affecting the aerosol characteristics over the region.

Third, while  $N_{\text{CN}}$  in Seoul are higher than those at all other locations in this region by about or more than a factor of two,  $N_{\text{CCN}0.6}$  in Seoul is not as conspicuously higher and is actually comparable to the ones measured at Anmyeon (Yum et al., 2005) and Baengyeongdo (Kim et al., 2012). Interestingly,  $N_{\text{CCN}0.6}$  measured in Tokyo ( $1760 \text{ cm}^{-3}$ , from Kuwata and Kondo, 2008) is quiet comparable to the ones obtained at Daegwallyeong and over the East Sea. It may be suggested that local sources in Seoul contribute dominantly to  $N_{\text{CN}}$  but not to  $N_{\text{CCN}0.6}$ , and that the majority of CCN in Seoul has its origin in continental outflow that affects the whole Korean Peninsula. Such explanation is in accordance with the discussion in Sect. 2.2.

## 5 Summary

Total number concentrations of particles having diameter larger than 10 nm ( $N_{\text{CN}}$ ), cloud condensation nuclei at several supersaturation values ( $N_{\text{CCN}}$ ), and the number size distribution of particles for 10–414 nm particle diameter range were measured in Seoul between 2004 and 2010. The result illustrates that the concentrations are the highest during the winter and the minimum occurs in summer, perhaps largely due to the monsoon circulation. The elevated heating emission locally in Seoul and also in China may have contributed to the highest concentrations in winter, although no conclusive evidence is provided. Traffic emission profoundly influences the diurnal variation of  $N_{\text{CN}}$  but its influence on  $N_{\text{CCN}}$  is rather limited. In contrast, there is a strong negative relationship between  $N_{\text{CCN}}$  and planetary boundary layer (PBL) height ( $N_{\text{CCN}}$  decreased as PBL expands) but this is not the case for  $N_{\text{CN}}$ . Such findings suggest

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that a significant portion of CCN measured in Seoul may not be directly originated from local sources.

$N_{\text{CN}}$  and  $N_{\text{CCN}}$  at 0.6 % supersaturation were measured during the aircraft measurement campaigns in 2009 and 2011. The vertical structure of  $N_{\text{CN}}$  and  $N_{\text{CCN}}$  reveals that the concentrations in the lower altitudes were generally higher than at higher altitudes, except for the cases when particle formation and growth events are thought to occur at higher altitudes.  $N_{\text{CN}}$  and  $N_{\text{CCN}}$  show generally a positive correlation with aerosol scattering coefficients measured by a nephelometer but its correspondence tends to vary with altitude. Occasional instances of low ( $< 0.3$ )  $N_{\text{CCN}}/N_{\text{CN}}$  in the boundary layer are demonstrated to be associated with particle formation and growth events. With the support of ground measurements, it is confirmed that a particle formation and growth event indeed occurred on a flight day over the Yellow Sea and the areal extent of the event is estimated to be greater than  $100 \text{ km} \times 450 \text{ km}$ .

The composite map of the aerosol distributions near the surface altitudes in and around the Korean Peninsula is constructed by combining the data analyzed in this study and those presented in several previous measurement studies in this region (Fig. 18). This map is the product of 8 years long effort to measure the aerosol distribution and CCN concentration in this region. Considering the fact that cloud droplet concentrations are directly determined by the CCN concentration at cloud base altitudes and the further growth of the cloud and its radiative properties are in turn highly dependent on cloud droplet concentrations, the data shown in this map is highly expected to be used as a valuable reference dataset for modeling studies that assess the aerosol indirect effects in the East Asian region. Applicability can also be found for the validation of the satellite remote sensing of the aerosol distribution in this region. Overall, the exhibited concentrations are representative of the values measured over the polluted regions elsewhere in the globe. There is also a generally decreasing trend from west to east over the region, implying that the region is constantly under the dominant influence of continental outflow.

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**Table 1.** List of the vertical soundings obtained during the 2009 and 2011 campaigns.

Vertical soundings	Date	Beginning time (LT)	End time (LT)	Location (° N, ° E)	Region	Data count <sup>b</sup>	Data count <sup>c</sup>
1	5 Oct 2009	14:35:54	14:43:59	33.41, 125.81	Western coast	142	
2	6 Oct 2009 <sup>a</sup>	10:23:53	10:28:15	33.40, 125.79	Western coast	89	
3	6 Oct 2009 <sup>a</sup>	14:55:48	15:07:11	33.47, 126.41	Western coast	170	
4	6 Oct 2009 <sup>a</sup>	16:39:30	16:45:27	33.42, 124.70	Yellow Sea	99	
5	6 Oct 2009 <sup>a</sup>	17:42:04	17:46:44	36.56, 124.72	Yellow Sea	95	
6	10 Oct 2009	14:32:15	14:37:47	36.71, 131.17	East Sea	333	
7	10 Oct 2009	14:52:54	14:56:21	37.46, 131.14	East Sea	208	
8	11 Oct 2009	14:37:31	14:43:27	36.71, 131.14	East Sea	357	
9	11 Oct 2009	14:57:51	15:04:35	37.50, 131.13	East Sea	349	
10	12 Oct 2009	09:53:13	09:58:58	36.72, 131.15	East Sea	346	
11	12 Oct 2009	10:11:00	10:21:08	37.38, 131.20	East Sea	302	
12	12 Oct 2009	11:29:46	11:38:50	37.58, 126.70	Western coast	517	
13	15 Oct 2009	10:18:22	10:24:25	36.74, 131.13	East Sea	364	
14	15 Oct 2009	10:36:26	10:44:58	37.47, 131.19	East Sea	513	
15	18 Oct 2009	12:40:16	12:48:43	36.58, 124.79	Western coast	379	
16	18 Oct 2009	13:37:38	13:48:06	33.47, 124.73	Yellow Sea	587	
17	18 Oct 2009	14:00:00	14:09:42	33.40, 125.81	Yellow Sea	531	
1	8 Jun 2011	14:17:39	14:26:58	34.97, 124.73	Yellow Sea	512	477
2	8 Jun 2011	14:55:14	15:05:04	33.50, 124.83	Yellow Sea	539	354
3	8 Jun 2011	15:18:22	15:28:13	33.50, 125.70	Western coast	541	423
4	11 Jun 2011	12:21:12	12:31:42	37.34, 130.97	East Sea	588	262
5	11 Jun 2011	12:46:35	12:56:20	36.78, 131.01	East Sea	532	219
6	11 Jun 2011	15:43:01	15:52:39	36.39, 126.39	Western coast	541	240
7	12 Jun 2011	10:21:11	10:31:19	37.32, 131.00	East Sea	538	249
8	12 Jun 2011	10:46:17	10:55:57	36.78, 131.03	East Sea	509	146
9	12 Jun 2011	13:43:09	13:54:06	35.75, 124.76	Yellow Sea	576	216
10	12 Jun 2011	14:11:24	14:21:01	36.38, 124.88	Yellow Sea	491	195
11	12 Jun 2011	14:47:53	14:57:51	36.41, 126.39	Western coast	521	106

<sup>a</sup> Two research flights were conducted on 6 October 2009 – one in the morning and another in the afternoon.

<sup>b</sup> For  $N_{CN}$ ,  $N_{CCN0.6}$  and  $N_{CCN0.6}/N_{CN}$ .

<sup>c</sup> For  $\sigma_{450}$ ,  $\sigma_{550}$ ,  $\sigma_{700}$ .

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**Table 2.** Average  $N_{\text{CCN}0.6}$ ,  $N_{\text{CN}}$ ,  $N_{\text{CCN}0.6}/N_{\text{CN}}$  and the number of data count obtained during the horizontal cruises in each flight of the 2009 and 2011 campaigns.

Year	Date	$N_{\text{CCN}0.6}$ ( $\text{cm}^{-3}$ )	$N_{\text{CN}}$ ( $\text{cm}^{-3}$ )	$N_{\text{CCN}0.6}/N_{\text{CN}}$	Data counts
2009	5 Oct	$469 \pm 95$	$876 \pm 376$	$0.61 \pm 0.17$	206
	6 Oct morning	$515 \pm 130$	$791 \pm 280$	$0.68 \pm 0.17$	231
	6 Oct afternoon	$494 \pm 148$	$647 \pm 198$	$0.77 \pm 0.10$	371
	10 Oct	$1207 \pm 506$	$1392 \pm 504$	$0.85 \pm 0.12$	1240
	11 Oct	$459 \pm 171$	$632 \pm 287$	$0.74 \pm 0.13$	3164
	12 Oct	$397 \pm 144$	$648 \pm 768$	$0.69 \pm 0.15$	2477
	15 Oct	$641 \pm 918$	$1186 \pm 1450$	$0.55 \pm 0.14$	2612
	18 Oct	$292 \pm 119$	$517 \pm 121$	$0.58 \pm 0.21$	1819
2009 Average		$559 \pm 280$	$835 \pm 304$	$0.68 \pm 0.10$	
2011	8 Jun	$441 \pm 164$	$851 \pm 249$	$0.51 \pm 0.06$	1219
	11 Jun	$2304 \pm 864$	$3886 \pm 1078$	$0.59 \pm 0.10$	5856
	12 Jun	$2817 \pm 1252$	$3975 \pm 1611$	$0.70 \pm 0.05$	9178
2011 Average		$1854 \pm 1250$	$2904 \pm 1779$	$0.60 \pm 0.09$	

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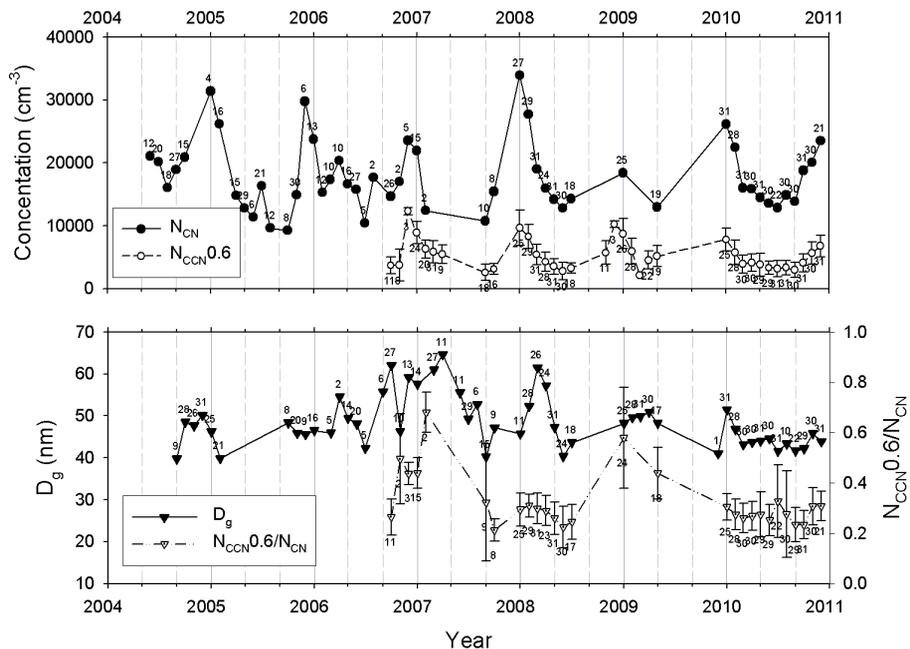
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**Table 3.** Average scattering coefficients ( $\sigma$ ) at 450, 550 and 700 nm wavelengths, Angström exponent (AE) and the number of data count obtained during the horizontal cruises in each flight of the 2011 campaign.

Year	Date	$\sigma_{450}$ ( $\text{Mm}^{-1}$ )	$\sigma_{550}$ ( $\text{Mm}^{-1}$ )	$\sigma_{700}$ ( $\text{Mm}^{-1}$ )	AE	Data count
2011	8 Jun	$18.0 \pm 44.3$	$14.2 \pm 34.1$	$10.1 \pm 22.6$	$1.17 \pm 0.90$	619
	11 Jun	$86.5 \pm 36.9$	$63.3 \pm 27.5$	$40.1 \pm 17.8$	$1.75 \pm 0.22$	3732
	12 Jun	$140.0 \pm 65.4$	$105.5 \pm 53.1$	$70.7 \pm 40.4$	$1.61 \pm 0.24$	4951

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**Fig. 1.** Time variation of the monthly average values of (top)  $N_{CN}$  and  $N_{CCN,0.6}$ , (bottom)  $D_g$  and  $N_{CCN,0.6}/N_{CN}$  measured in Seoul. The numbers near each symbol indicates the number of measurement days for the month. Error bars for  $N_{CCN,0.6}$  and  $N_{CCN,0.6}/N_{CN}$  indicate the standard deviation.

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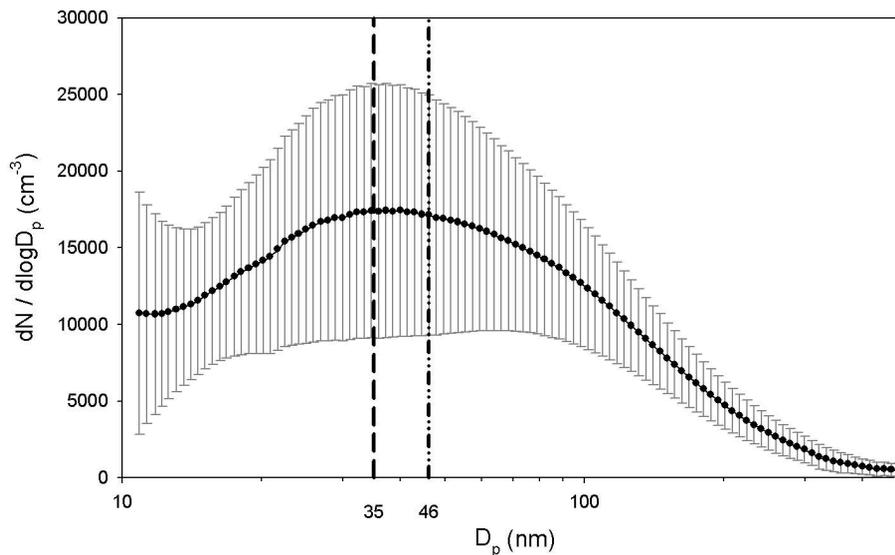
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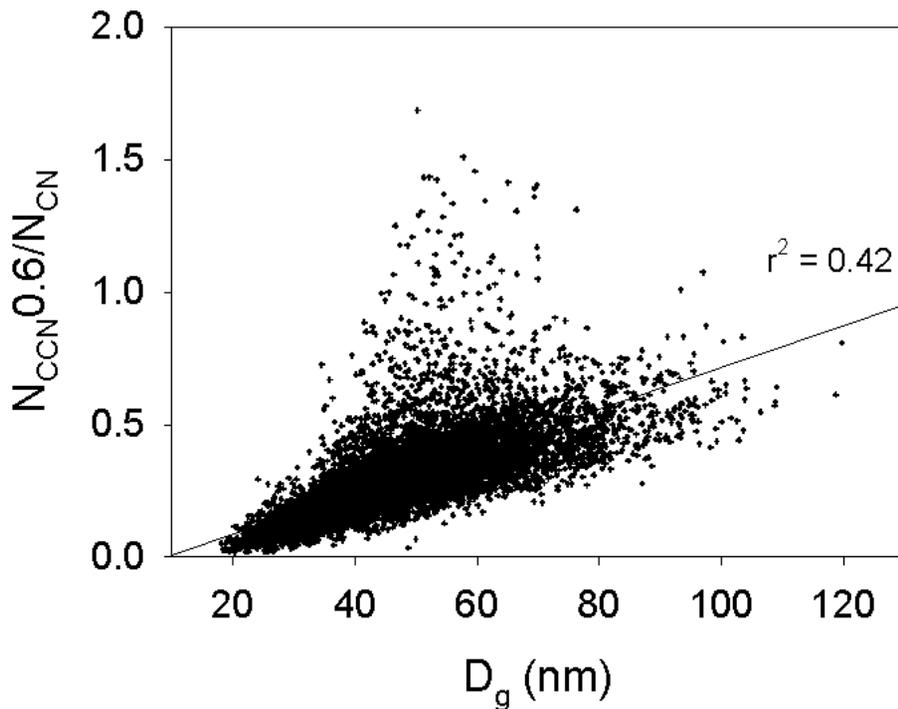
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**Fig. 2.** Overall average aerosol size distribution in Seoul. The error bars indicate the standard deviation value for each size bin. 35 nm (vertical dash line) and 46 nm (vertical dash-dot-dot line) are the mode diameter and geometric mean diameter of the distribution, respectively.



**Fig. 3.** Scatterplot of  $D_g$  vs.  $N_{CCN0.6}/N_{CN}$  for hourly averaged data in Seoul. Occasionally the ratio exceeds one due to instrumental differences between TSI CPC 3010 and DMT CCNC. Total number of data was 11 339.

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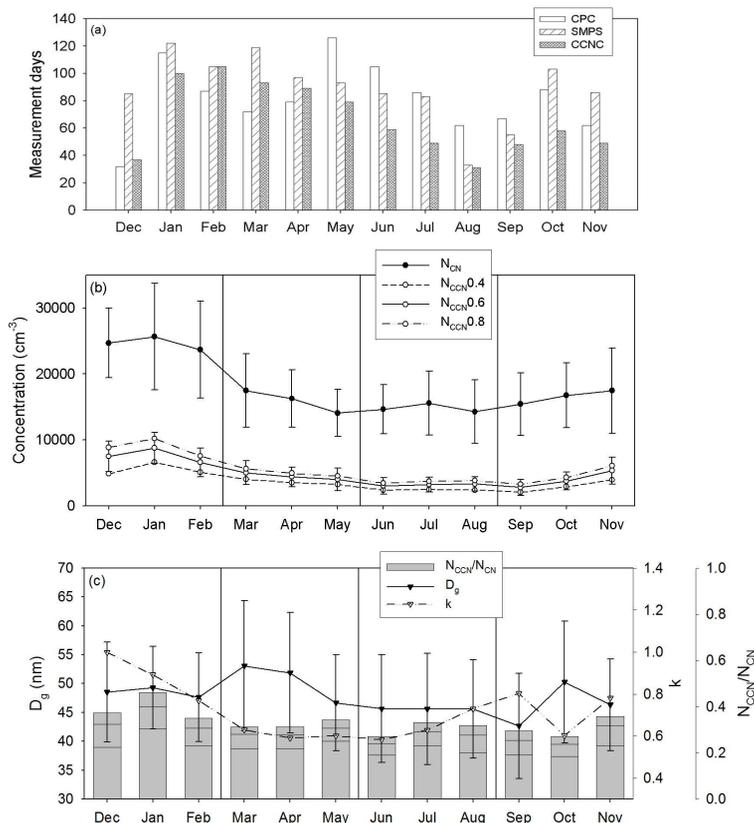
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**Fig. 4.** (a) Distribution of measurement days in Seoul for each month of the years between 2004 and 2010, seasonal variations of (b)  $N_{CN}$  and  $N_{CCN}$ , and (c)  $N_{CCN}/N_{CN}$ ,  $D_g$  and parameter  $k$  of the Twomey equation ( $N = C \times S^k$ ) in Seoul. In (b) and (c), the error bars indicate standard deviation for  $N_{CN}$ ,  $N_{CCN}$  0.6 and  $D_g$ . In (c), the top, middle and bottom lines within each grey box indicate  $N_{CCN}/N_{CN}$  for 0.4, 0.6 and 0.8 %  $S$ .

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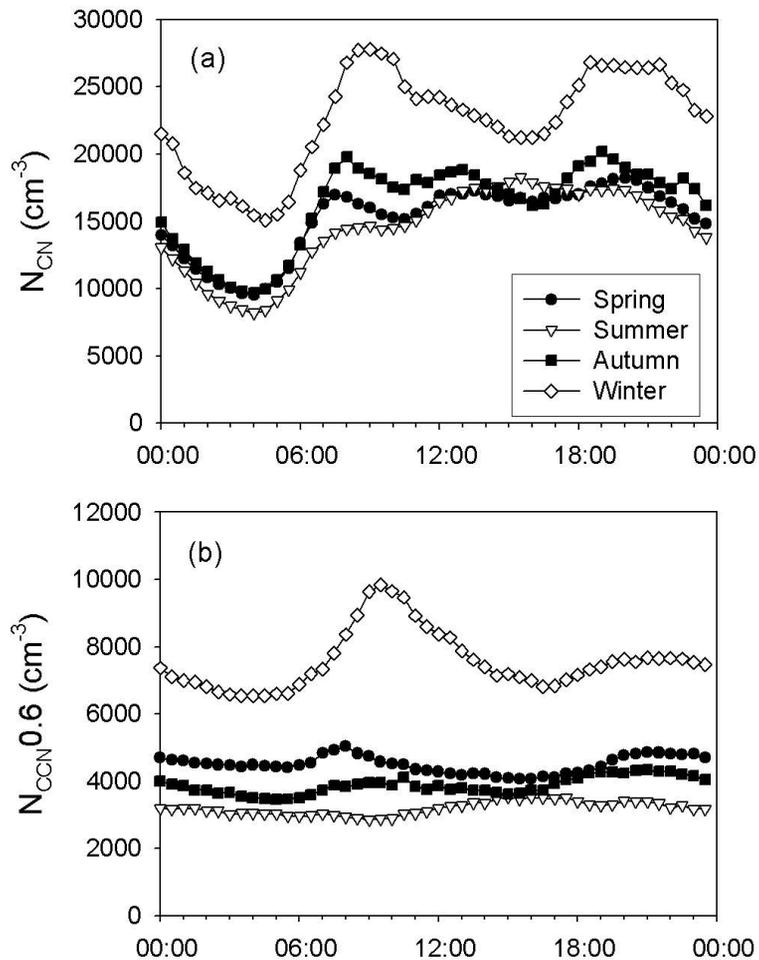
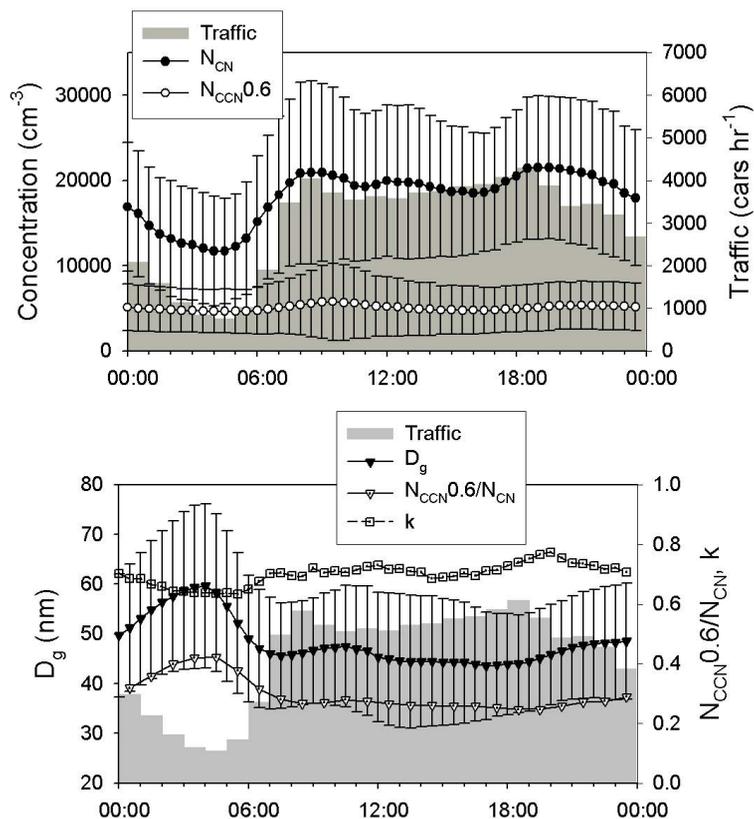


Fig. 5. Average diurnal variation of (a)  $N_{CN}$  and (b)  $N_{CCN,0.6}$  in Seoul for each season.

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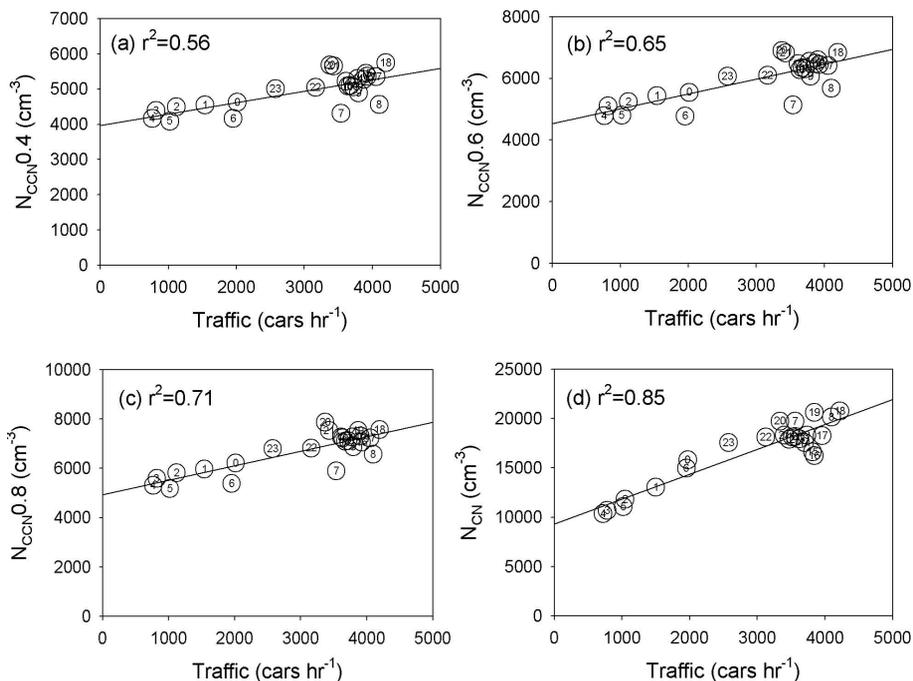


**Fig. 6.** Average diurnal variation of (top)  $N_{CN}$ ,  $N_{CCN0.6}$  and traffic amount at the nearest major road and (bottom)  $D_g$ ,  $N_{CCN0.6}/N_{CN}$  and  $k$  in Seoul. Traffic amount is re-plotted with the same vertical scale at the bottom panel. Error bars indicate standard deviation.

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**Fig. 7.** Scatterplot of traffic amount vs.  $N_{CCN}$  at (a) 0.4%  $S$ , (b) 0.6%  $S$  and (c) 0.8%  $S$ , and (d)  $N_{CN}$  in Seoul. The numbers inside the symbols indicate the hour of the day.

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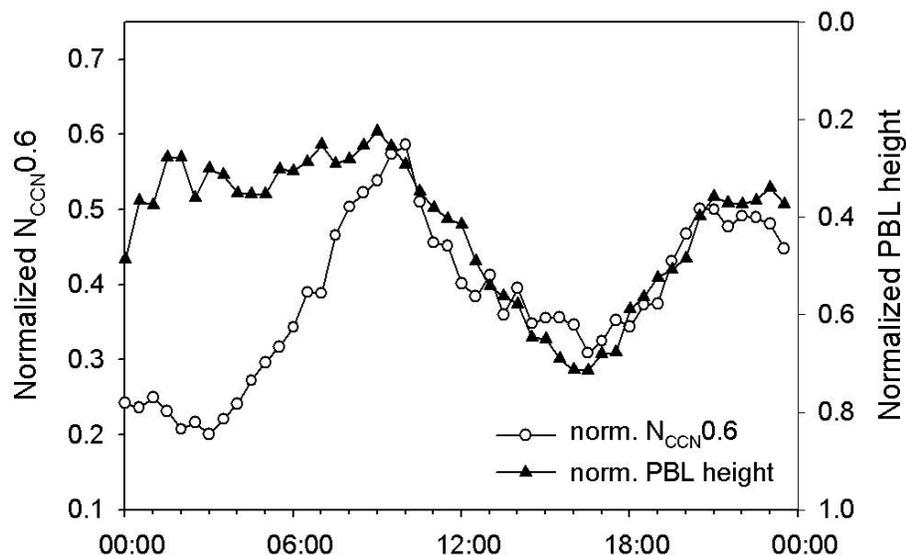
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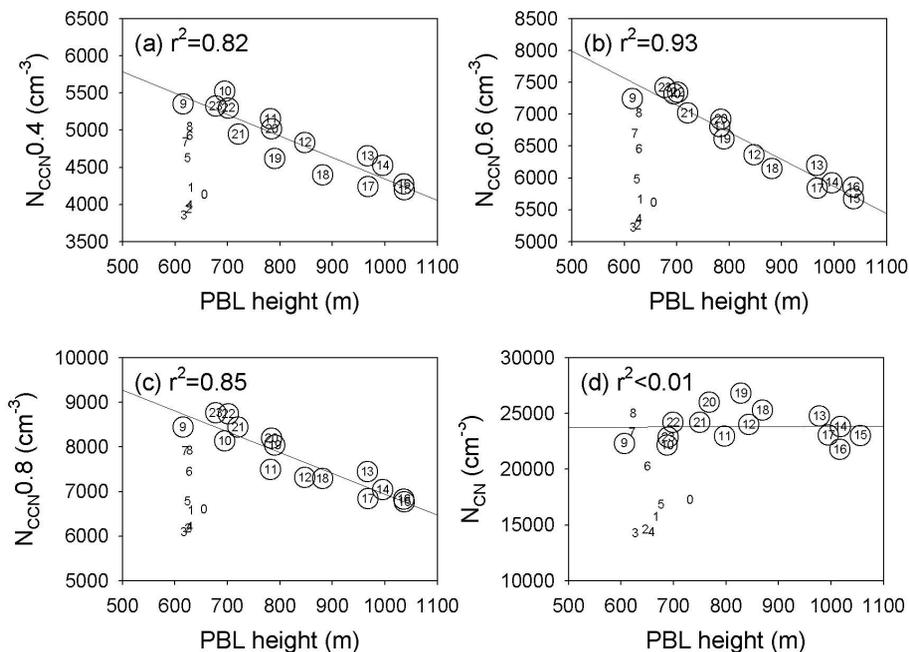


**Fig. 8.** Average diurnal variation of normalized  $N_{CCN0.6}$  and normalized PBL height calculated from MPL data measured on clear days in Seoul. Both vertical axes are scaled to illustrate the diurnal variation and axis for normalized PBL height is reversed for illustration.

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**Fig. 9.** Scatterplot of PBL height vs.  $N_{CCN}$  at (a) 0.4%  $S$ , (b) 0.6%  $S$  and (c) 0.8%  $S$ , and (d)  $N_{CN}$  in Seoul. The numbers are the hour of the day and the hours between 9 a.m. and midnight are circled to illustrate the relationship. The linear regression line and the coefficient of determination are calculated only for these hours.

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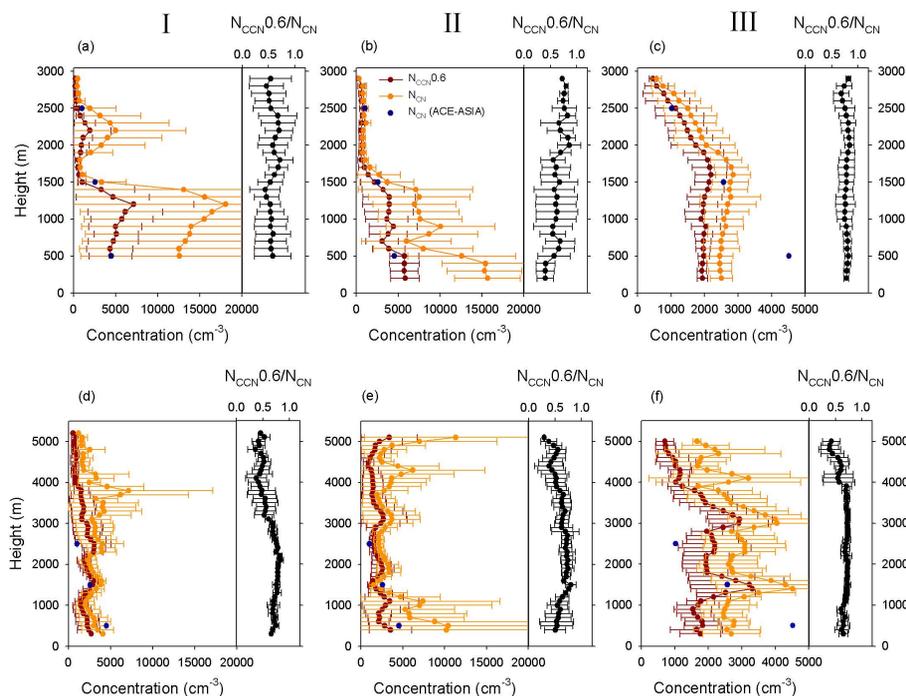
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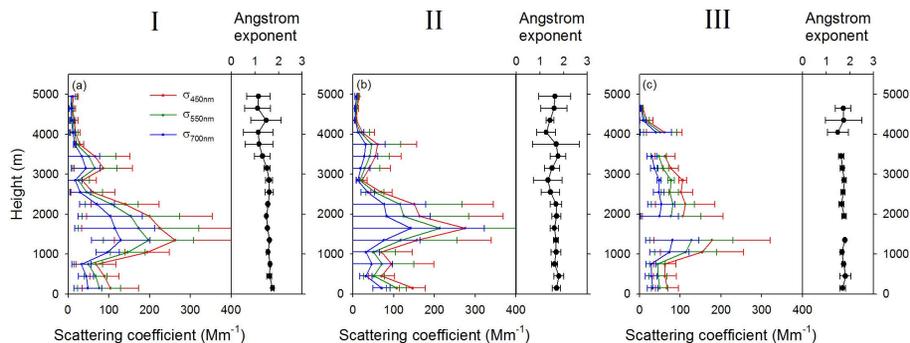


**Fig. 11.** Average vertical distributions of  $N_{CCN0.6}$  (brown),  $N_{CN}$  (orange) and  $N_{CCN0.6}/N_{CN}$  (black) during the 2009 (a–c) and 2011 (d–f) campaigns, for each classified region (I, II and III). The averages are taken for each 100 m height bin. Note that the scale of y axis is different for the two campaigns.  $N_{CN}$  measured during ACE-ASIA (Clarke and Kapustin, 2010) are marked with blue dots for comparison. Error bars denote standard deviations.

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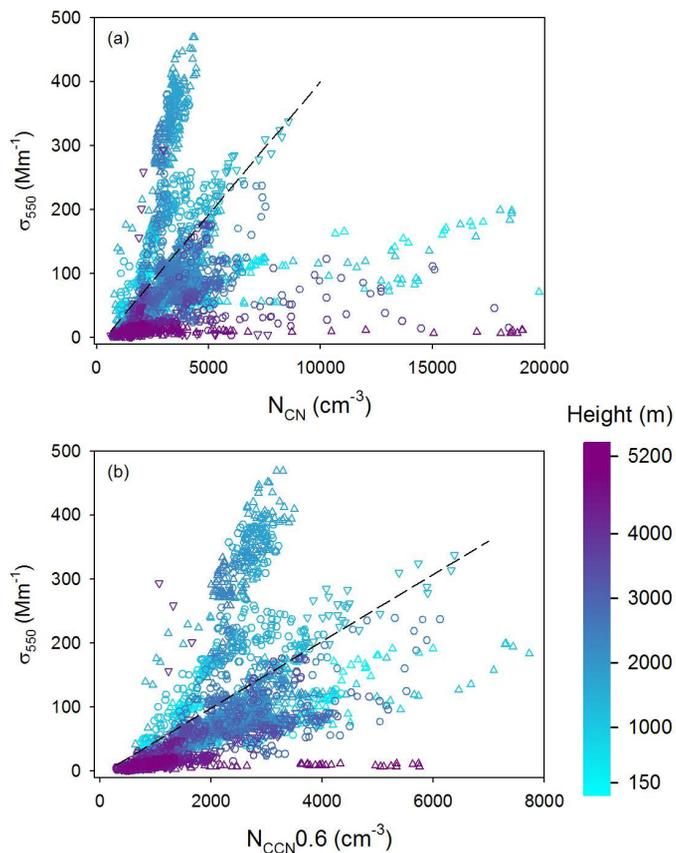
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**Fig. 12.** Average vertical distributions of aerosol scattering coefficient ( $\sigma$ ) at 450 (blue), 550 (green) and 700 (red) nm wavelengths during the 2011 campaign for the regions **(a)** I, **(b)** II and **(c)** III, respectively. Corresponding distributions of angstrom exponent are also shown in each panel.

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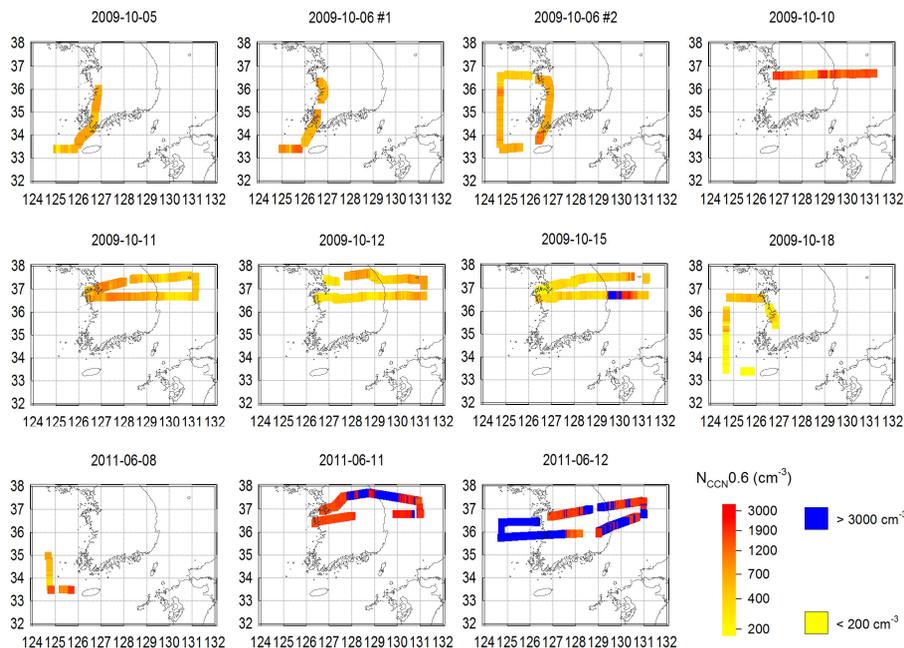
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**Fig. 13.** Scatterplot of simultaneously measured (a)  $N_{CN}$  vs.  $\sigma_{550}$ , and (b)  $N_{CCN0.6}$  vs.  $\sigma_{550}$ . The measurement regions I, II and III are denoted by circle, triangle and reverse triangle, respectively. The color of each data represents the altitude of measurement. The dash line is drawn for explanatory purposes for each panel (see text).

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**Fig. 14.** Horizontal distributions of  $N_{CCN,0.6}$  for all flights.  $N_{CCN,0.6}$  below  $200\text{ cm}^{-3}$  and above  $3000\text{ cm}^{-3}$  are denoted by yellow and blue, respectively.  $N_{CCN,0.6}$  in between are denoted by the transient color from yellow to red as shown at the bottom right.

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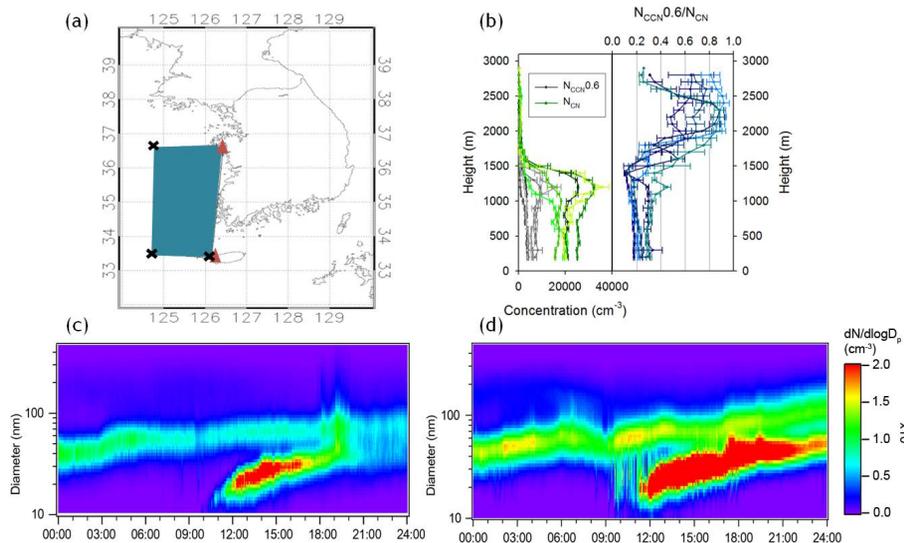
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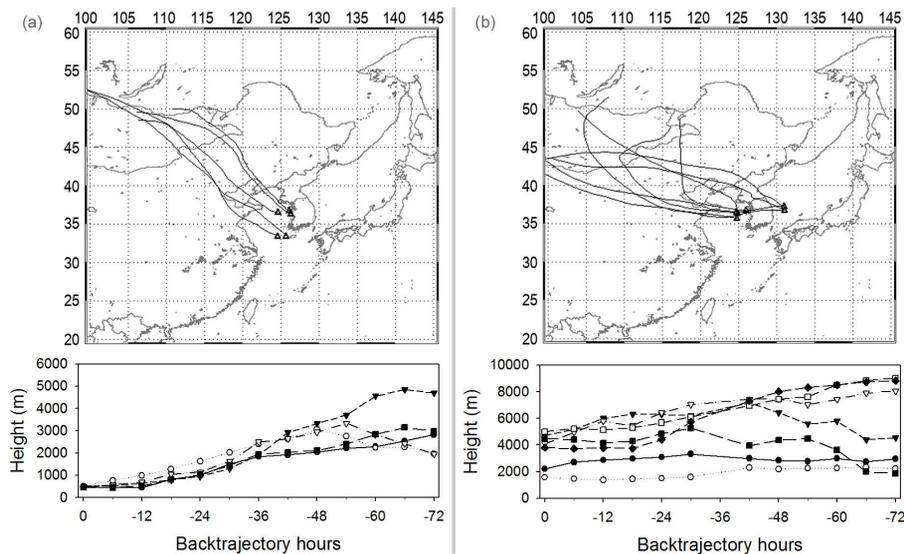
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**Fig. 15.** (a) Locations (X) where low  $N_{CCN0.6}/N_{CN}$  is found in the boundary layer during a flight between 12:14 and 15:30 LT on 18 October 2009 and the locations (triangle) of the surface SMPS measurements at KGAWC and Gosan, (b) vertical distributions of  $N_{CN}$  (green variant),  $N_{CCN0.6}$  (gray variant) and  $N_{CCN0.6}/N_{CN}$  (blue variant) during this flight, and the time variation of aerosol size distributions measured at (c) KGAWC and (d) Gosan.

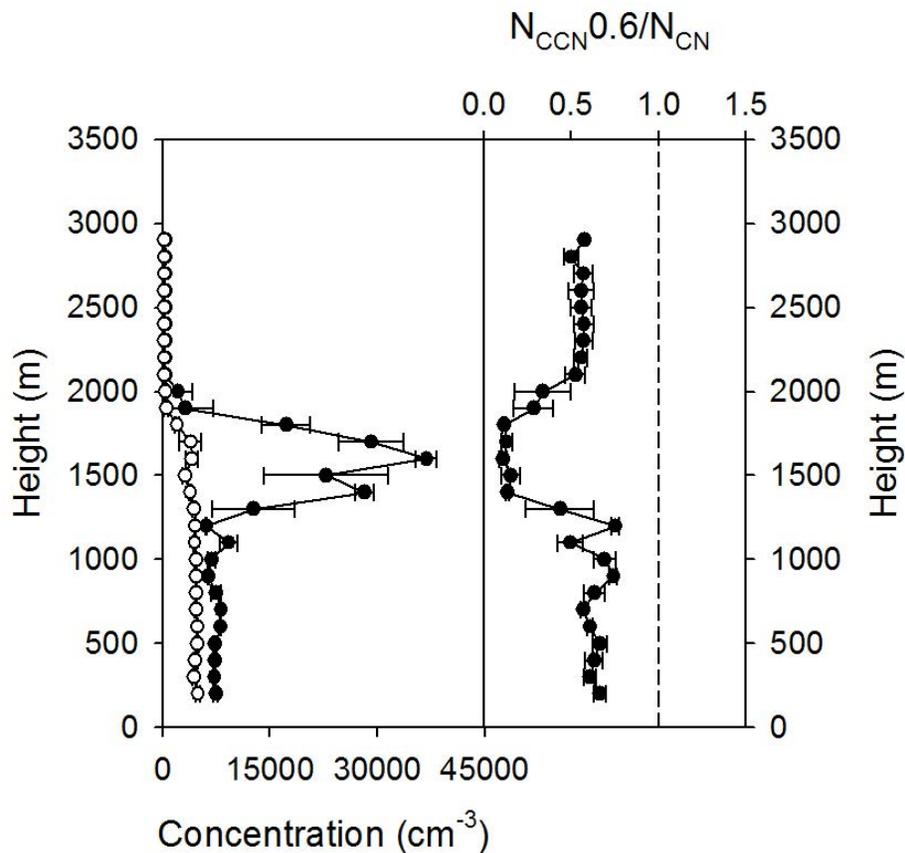
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**Fig. 16.** The 3 day back-trajectories of the air mass at the locations of low  $N_{\text{CCN}0.6}/N_{\text{CN}}$  vertical soundings (left) during the flight on 18 October 2009 and (right) on some other days.

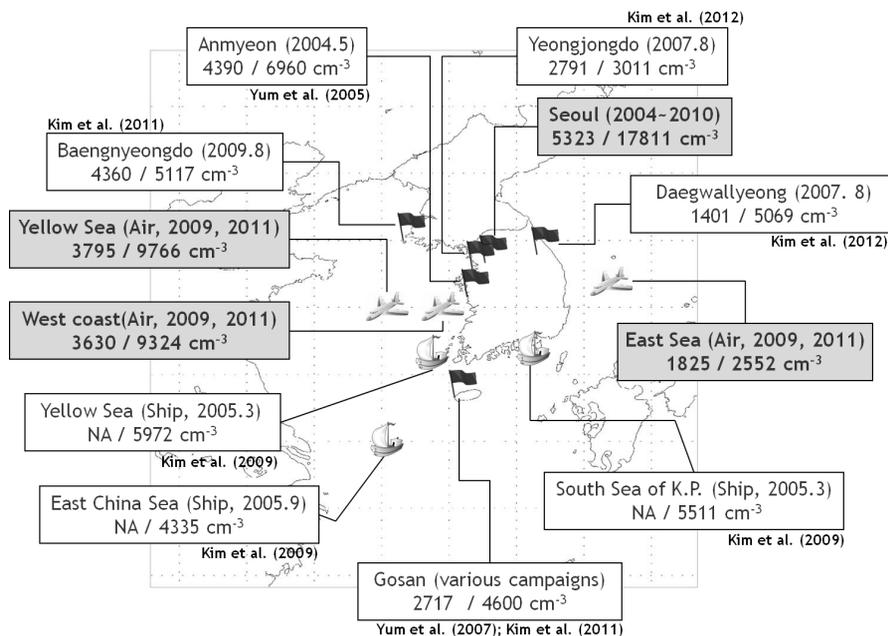
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**Fig. 17.** A typical example of low  $N_{\text{CCN } 0.6} / N_{\text{CN}}$  layer aloft (> 1000 m) (on 15 October 2009).

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**Fig. 18.** Composite map of the average  $N_{CCN,0.6}$  and  $N_{CN}$  measured on the ground (flag), over the sea (ship) surface or in the boundary layer below 1100 m altitude (airplane), in and around the Korean Peninsula. The data presented in this study are shown in shaded box.

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