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4 **On the submicron aerosol distributions and CCN number concentrations in**
5 **and around the Korean Peninsula**
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1 **Abstract**

2 Total number concentrations of particles having diameter larger than 10 nm (N_{CN}), cloud
3 condensation nuclei at several supersaturation (S) values (N_{CCN}), and the number size distribution of
4 particles with 10-414 nm diameter were measured in Seoul between 2004 and 2010. Overall average
5 values of N_{CN} and geometric mean diameter were $17811 \pm 5581 \text{ cm}^{-3}$ and $48 \pm 6 \text{ nm}$. Average N_{CCN} at
6 0.4, 0.6, and 0.8% S were 4145 ± 2016 , 5323 ± 2453 and $6067 \pm 2780 \text{ cm}^{-3}$ and corresponding N_{CCN}/N_{CN}
7 were 0.26 ± 0.11 , 0.33 ± 0.11 and 0.37 ± 0.12 . There is a clear seasonal variation of aerosol concentration,
8 which seems to be due to the monsoon. N_{CN} and N_{CCN} are also found to be dependent on the volume
9 of traffic and the height of the planetary boundary layer, respectively.

10 During aircraft campaigns in 2009 and 2011, N_{CN} and N_{CCN} at 0.6% S ($N_{0.6\%}$) were measured
11 in and around the Korean Peninsula. During the 2011 campaign, aerosol scattering coefficient was
12 also measured. N_{CN} and $N_{0.6\%}$ in the lower altitudes were generally higher than at higher altitudes,
13 except for cases when particle formation and growth events were thought to occur at higher altitudes.
14 N_{CN} and $N_{0.6\%}$ generally show a positive correlation with aerosol scattering coefficients but this
15 correspondence tends to vary with altitude. Occasional instances of low (<0.3) $N_{0.6\%}/N_{CN}$ in the
16 boundary layer are demonstrated to be associated with particle formation and growth events. With the
17 support of ground measurements, it is confirmed that a particle formation and growth event indeed
18 occurred over the Yellow Sea on a flight day and the areal extent of this event is estimated to be
19 greater than $100 \text{ km} \times 450 \text{ km}$.

20 With the combination of the current and several relevant previous studies, a composite map
21 of N_{CN} and N_{CCN} in and around the Korean Peninsula is produced. Overall, the exhibited
22 concentrations are typical of values measured over polluted regions elsewhere on the globe. Moreover,
23 there is a generally decreasing trend from west to east over the region, implying that the region is
24 constantly under the dominant influence of continental outflow.

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26

1 **1. Introduction**

2 With increasing attention to climate change, interest in cloud condensation nuclei (CCN) has
3 not been limited within the cloud physics community due to CCN effects on various cloud properties
4 including cloud albedo and lifetime (i.e., aerosol indirect effects, AIE). Interest is wide spread
5 throughout atmospheric science, climate science and aerosol science communities. With increasing
6 fear that societies are not adopting necessary measures to prevent catastrophic climate change quickly
7 enough (Rojeli et al., 2011), AIE has also sparked debate about so-called ‘geo-engineering’ (Crutzen,
8 2006; Robock, 2008).

9 AIE has attracted many researchers not only because its magnitude is estimated to be large
10 enough to compensate for a significant portion of the greenhouse gas effect but also because of its
11 large uncertainty (IPCC, 2007), especially for determining climate sensitivity (Kiehl, 2007; Schwartz
12 et al., 2010). One reason for such large uncertainty is that aerosol properties exhibit high geographical
13 heterogeneity due to short atmospheric lifetime (~days) compared to greenhouse gases (~years) (IPCC,
14 2007). Such heterogeneity makes it difficult for scientists to draw a global and long term picture of
15 aerosol contributions. Therefore, securing aerosol data sets of global coverage is important, especially
16 that of the number concentration, which is of primary concern when it comes to cloud and aerosol
17 interaction because the number of cloud droplets is initially determined by the number of aerosol
18 particles that can be activated as embryonic cloud droplets at a given supersaturation (S). Such
19 information is relatively scarce in East Asia compared to Europe and North America (Kumala et al.,
20 2004), although various efforts have been put forth in recent years, including the ones that tried to
21 characterize new particle formation (Weber et al. 2003; Buzorius et al., 2004; McNaughton et al.,
22 2004; Wu et al., 2007; Lee et al., 2008; Park et al., 2008; Wiedensohler et al., 2009; Song et al., 2010;
23 Kim et al., 2012; Kim et al., 2013) and CCN properties (Matsumoto et al., 1997; Adhikari et al., 2005;
24 Yum et al., 2005, 2007; Kim et al., 2011a; Kuwata and Kondo, 2008; Kuwata et al., 2008; Mochida et
25 al., 2010; Rose et al., 2010).

26 This study is an addition to this effort and aims to characterize the number concentration of
27 submicron particles (i.e., condensation nuclei, CN) (N_{CN}) and CCN concentration (N_{CCN}) measured in

1 and around the Korean Peninsula, which is strategically located about 500 km downwind of China
2 (considering the prevailing westerly winds in this region) and therefore suitable for monitoring
3 continental outflow first hand.

4 This study first focuses on aerosol and CCN characteristics measured in Seoul, which may
5 represent a typical large urban area in East Asia. About 1000 and 800 days, respectively, of CN and
6 CCN data, covering 2004-2010, are analyzed in order to provide statistically robust results. It is
7 suggested that while the majority of CN observed in Seoul had local origin, the same could not be said
8 for CCN.

9 We then present CN and CCN data observed during two aircraft measurement campaigns in
10 and around the Korean Peninsula. To our knowledge, these are the first attempts to illustrate such data
11 over the Korean Peninsula. The main purpose of these campaigns was to verify from in-situ
12 measurements if there really are east-west gradients of aerosol concentrations in continental outflow
13 regions as exemplified by Kim et al. (2011b) that showed a smoothly decreasing trend of aerosol
14 optical depth (AOD) from China to the East Sea by averaging satellite retrieved AOD data for several
15 years. Vertical profiles of N_{CN} and N_{CCN} measured during the two campaigns varied a lot but
16 concentrations were generally higher in the planetary boundary layer (PBL) than the free troposphere
17 and the concentrations in the PBL were higher over the Yellow Sea than over the East Sea, indeed
18 demonstrating the east-west gradient.

19 Lastly, we provide a composite map of N_{CN} and N_{CCN} in the PBL in and around the Korean
20 Peninsula by combining data presented in this study with data from several previous measurement
21 studies on various platforms within this region: rural sites (Yum et al., 2005; Kim et al., 2012), island
22 sites (Yum et al., 2007; Kim et al., 2011a) and cruise ships (Kim et al., 2009). Statistically robust
23 results from Seoul enabled us to distinguish characteristics that may be shared with other regions
24 within the Korean Peninsula from those arising from local sources in Seoul. Aircraft measurements
25 provided data at locations that ground measurements could not cover such as the Yellow Sea and the
26 East Sea. This map is the product of 8 years of effort to measure the aerosol size distribution and N_{CCN}
27 within the PBL over this region. Considering the fact that aerosol concentrations, especially N_{CCN} , did

1 not vary much within the PBL as shown in this study, these concentrations should be highly relevant
2 to the droplet concentrations of clouds with bases within the PBL. Therefore this composite map is
3 expected to be highly used as a valuable reference dataset for modeling studies and for satellite
4 remote sensing retrievals of aerosol distributions in East Asia.

5

6 **2. Seoul measurement (2004-2010)**

7 Seoul (37.6°N, 127.0°E) is a megacity of more than 10 million people. Owing to numerous
8 anthropogenic sources and large seasonal variations due to the monsoon, Seoul's aerosol properties
9 cannot be characterized from few intensive campaigns. Here we present a long term measurements
10 from 2004 to 2010.

11

12 **2.1. Method**

13 N_{CN} and submicron aerosol size distributions by TSI CPC3010 ($D_p > 10$ nm) and TSI SMPS
14 3936L10 ($10 \text{ nm} < D_p < 414 \text{ nm}$), respectively were measured at the Yonsei University campus
15 located at the northwestern part of Seoul. The instruments were placed in a 6th floor room of a
16 building on the campus, which is about 300 m from major roads outside the campus and therefore
17 were safely removed from the immediate influence of traffic emissions to the campus. The data were
18 obtained every 1 and 180 s for CN and aerosol size distributions, respectively, accumulated from June
19 and September 2004, respectively, until the end of December 2010. Due to very high N_{CN} in Seoul,
20 the sample air for N_{CN} was diluted by splitting the sample flow into two branches and passing one of
21 them through a HEPA filter to remove all particles and then combining it with the other branch before
22 feeding it into the instrument. The flow of each branch was measured every one or two weeks and the
23 resulting dilution factor was mostly between 2.5 and 3.0. N_{CCN} measurement started at the same site
24 from September 2006 with a single column CCN counter (CCNC) from Droplet Measurement
25 Technologies. This instrument produced data every 1 s and was calibrated with the method illustrated
26 by Kim et al. (2011a).

27 Because the instruments had to be deployed for various field campaigns (e.g. Yum et al.,

1 2005, 2007; Kim et al., 2009, 2011a, 2012) a total of 981, 1066, 797 days of data were collected for
2 N_{CN} , aerosol size distribution and N_{CCN} , respectively, which covers about 41-50% of the days in the
3 corresponding period. There are more aerosol size distributions than N_{CN} data due to optical
4 contamination for the CPC that arose from the high level of air pollution in Seoul.

5 Mean N_{CN} was obtained by averaging a total of 53 monthly averaged values, each of which
6 is again the average of about 19 daily average values. Average D_g is obtained in a similar fashion, with
7 a total of 52 months and about 20 days for each month. Average N_{CCN} at 0.4, 0.6 and 0.8% S is from
8 35 monthly averaged values (about 23 days for each month) and N_{CCN}/N_{CN} is from the 28 months
9 (about 22 days each) when N_{CCN} and N_{CN} were simultaneously available.

10

11 **2.2. Results**

12 **2.2.1. Overall statistics**

13 Figure 1 shows the temporal variation of the monthly average values of N_{CN} , N_{CCN} ,
14 geometric mean diameter (D_g) and N_{CCN}/N_{CN} . A seasonal variation is obvious as will be discussed in
15 detail below. The average submicron aerosol size distribution for the whole period is shown in Fig. 2.
16 The average values of N_{CN} and D_g are $17811 \pm 5581 \text{ cm}^{-3}$ and $48 \pm 6 \text{ nm}$. The average N_{CCN} at 0.4, 0.6,
17 and 0.8% S ($N_{0.4\%}$, $N_{0.6\%}$ and $N_{0.8\%}$) are 4145 ± 2016 , 5323 ± 2453 and $6067 \pm 2780 \text{ cm}^{-3}$, respectively.
18 The corresponding ratios of N_{CCN}/N_{CN} are 0.26 ± 0.11 , 0.33 ± 0.11 and 0.37 ± 0.12 , respectively.

19 N_{CN} measured in Seoul is much lower than the one measured in some other megacities of
20 Asia such as New Delhi, India (Mönkkönen et al., 2005) or Beijing, China (Wu et al., 2007). It is
21 comparable to N_{CN} measured in Guangzhou, China (Rose et al., 2010) and is much higher than N_{CN}
22 measured in Tokyo, Japan (Kuwata and Kondo, 2008). Compared to rural sites in Europe, N_{CN} is more
23 than a factor of two higher (Asmi et al., 2011). N_{CCN} is much lower than the N_{CCN} measured in Beijing
24 (Weidenohler et al., 2009) and Guangzhou, but higher than N_{CCN} measured in Tokyo.

25 Figure 3 is the scatterplot of simultaneously measured, hourly averaged D_g vs. $N_{0.6\%}/N_{CN}$ that
26 shows a strong positive correlation. Interpreting $N_{0.6\%}/N_{CN}$ as an average probability of a randomly
27 selected particle acting as a CCN (for some data in Fig. 3 this value exceeds one due to instrumental

1 differences between TSI CPC 3010 with DMT CCNC), and D_g as its average diameter, it can be said
2 that the sizes of the particles determined the CCN activity to a certain degree. However, it should also
3 be pointed out that $N_{0.6\%}/N_{CN}$ values vary quite significantly for a constant D_g in Fig. 3, especially for
4 the diameter range between 40 and 70 nm. This implies that while the size may have been the primary
5 factor for determining whether a particle would act as a CCN, there were still some other factors (e.g.
6 chemical composition) that affected the CCN activity of particles in Seoul.

7

8 **2.2.2. Seasonal variation**

9 Monthly average values of N_{CN} , $N_{0.4\%}$, $N_{0.6\%}$, $N_{0.8\%}$, N_{CCN}/N_{CN} and D_g are shown in Fig. 4b
10 and 4c. The values are first daily averaged and then averaged for the month of each year. The number
11 of monthly measurement days for each instrument is also shown in Fig. 4a. N_{CN} and N_{CCN} during the
12 winter (Dec.-Feb.) are double those during the summer (Jun.-Jul.). It may be suspected that
13 wintertime heating emissions may have contributed to the high wintertime concentrations. However,
14 N_{CN} and N_{CCN} were not especially higher on colder days when more heating was expected (not shown).
15 Therefore, the higher concentration in winter cannot be attributed to emission from heating. The
16 seasonal variation of N_{CN} and N_{CCN} can be attributed to the monsoon that generally brings continental
17 air during winter and maritime air from the Pacific during summer to the Korean Peninsula. The
18 monsoon also brings more than half of the annual Seoul precipitation during the summer. However,
19 negligible correlation is found between N_{CCN} or N_{CN} , and daily precipitation amount for the summer
20 months. This reduces the possibility that the low concentrations during summer months are solely due
21 to precipitation scavenging. Even on the record breaking day of 259 mm precipitation (Sep. 21, 2010),
22 N_{CN} and $N_{0.6\%}$ are close to 70% of the average values for that month and there were other days in that
23 month exhibiting even lower concentrations.

24 In Fig. 4c, D_g is the largest in March and April when Asian Dust events are the most frequent
25 (Kim, 2008). This implies that the sizes of submicron particles in Seoul were being affected by these
26 events. The largest D_g , however, does not result in the highest N_{CCN}/N_{CN} for these two months as
27 might be expected from Fig. 3. This means that the particle sizes were the largest but their

1 hygroscopicity was much lower during these two months. This explains the large vertical spread of
2 N_{CCN}/N_{CN} for a constant D_g in Fig. 3. For each CCN spectrum obtained every 30 min, the parameter k
3 for the relationship ($N_{CCN} = C \times S^k$, where C is $N_{1\%}$) known as the ‘Twomey equation’ was calculated
4 and its monthly averaged values are shown in Fig. 4c. Parameter k is the slope of the CCN spectrum
5 in log-log plot (for 0.4%-0.8% S range) and indicates how fast N_{CCN} increases with S . For the same
6 chemical composition for all particles, k will be higher when the portion of smaller CCN with higher
7 critical supersaturation (S_c) is relatively greater. Therefore it is understandable that k seems to have a
8 negative relationship with D_g in Fig. 4c. However, k is the highest in December when D_g is not
9 especially small compared to other months. Such high k can be explained if relatively large portions
10 of the particles measured in December had high S_c even though the sizes were not small. This implies
11 relatively low aerosol hygroscopicity in December especially for small particles. Nevertheless, these
12 particles were considered to be more hygroscopic than the particles measured during March and April:
13 see the low N_{CCN}/N_{CN} in these two months despite the large D_g .

14

15 **2.2.3. Diurnal variation**

16 Diurnal variations were obtained by averaging the data every 30 minutes (N_{CN} , N_{CCN} and D_g)
17 or every hour (N_{CCN}/N_{CN} and k) of the day. The average numbers of the measurements used to
18 represent each time bin are 897 ± 7 , 725 ± 9 , 715 ± 9 , 695 ± 19 and 967 ± 7 for N_{CN} , $N_{0.4\%}$, $N_{0.6\%}$, $N_{0.8\%}$ and
19 D_g , respectively. Similarly these numbers are 552 ± 5 , 552 ± 6 , 549 ± 7 and 729 ± 7 for $N_{0.4\%}/N_{CN}$,
20 $N_{0.6\%}/N_{CN}$, $N_{0.8\%}/N_{CN}$ and k , respectively. Seasonally classified diurnal variations are shown in Fig. 5.
21 For N_{CN} , there is a clear minimum before dawn and there are mid-morning and evening peaks. This
22 diurnal pattern changes little from season to season except that the concentration itself is much higher
23 during winter than the other seasons (Fig. 5a). N_{CCN} show a different pattern from N_{CN} : early morning
24 minimum is inconspicuous or absent and the mid-morning maximum is pronounced only in winter
25 (Fig. 5b). Now we examine the possible reasons for these diurnal patterns.

26

27 **A. Traffic amount**

1 The average diurnal variations of N_{CN} , $N_{0.6\%}$, $N_{0.6\%}/N_{CN}$, D_g and k for the entire period are
2 shown in Fig. 6 along with the hourly averaged traffic amounts on the major road just outside the
3 Yonsei University campus (~300 m from the measurement site). Traffic data are limited to only 25
4 days mostly during autumn but the traffic trend is expected not to differ significantly with the seasons.
5 It is reported that in Seoul 30% and 70% of the vehicles on the road use diesel and gasoline as their
6 fuel, respectively, (Pandey et al., 2008) although it should be noted that diesel vehicles are larger and
7 consume more fuel.

8 The most prominent feature in Fig. 6 is that the time of the minimum N_{CN} around 4–5 AM
9 coincides with the traffic minimum. The time of maximum N_{CN} at 7–8 PM slightly lags the traffic
10 minimum (6–7 PM). N_{CN} increases sharply during 5–8 AM, which is in accordance with the increasing
11 traffic during these hours. Strikingly similar behavior for nitric oxide (NO) has been reported (Pandey
12 et al., 2008). Such similarity suggests that the traffic, which is a primary source of NO, is also the
13 main contributor of N_{CN} in Seoul. Such similarity is not obvious for N_{CCN} , implying that traffic
14 emissions may not have been the primary source of N_{CCN} . However, N_{CCN} is not completely
15 independent of traffic emissions. Figure 7 shows the relationship between the hourly-averaged values
16 of traffic amount and N_{CCN} and N_{CN} . If we interpret N_{CN} as N_{CCN} at a very high S (i.e., > 0.8%), we
17 can say that the correlation becomes more significant with increasing S. This implies then that the
18 particles originating from traffic emission were more likely to be the particles that could be activated
19 mostly at higher S, probably because they were smaller and less hygroscopic. Hudson (1991)
20 suggested diesel engine as the obvious source of CCN from the traffic.

22 **B. Planetary boundary layer height**

23 Planetary boundary layer (PBL) height can have an effect on N_{CN} and N_{CCN} because the
24 atmosphere becomes diluted as PBL expands. Due to the lack of the atmospheric thermodynamic
25 sounding data with sufficiently high temporal resolution, we analyzed instead the Continuous Micro
26 Pulse Lidar (MPL) measurement data obtained at the Seoul National University campus in Seoul
27 (Kim et al., 2007) to estimate the PBL height. Seoul National University is located 11 km south of

1 Yonsei measurement site. MPL measures the vertical profile of aerosol attenuated backscatter
2 coefficient at 532 nm wavelength every 15 minutes. Automated wavelet covariance transform (WCT)
3 method from Brooks (2003) was applied to the backscatter profiles obtained from August 2006 to
4 December 2010. Only the days when there was no precipitation and the daily cloud amount was less
5 than 1/10 were selected and 213 days (mostly during winter) met those criteria during the above
6 period.

7 For the selected days, the average diurnal variation of PBL height shows almost no seasonal
8 variation although the maximum PBL height of the day differs from season to season: about 1500 m
9 during summer and lower than 1000 m during winter (not shown). Generally PBL starts to rise around
10 9 AM, reaches a maximum at about 4 PM, lowers to 600-800 m at about midnight and stays largely at
11 this height throughout the nighttime. When this night period (0-9 AM) is excluded there is a
12 remarkably consistent but opposite trend between the PBL height and $N_{0.6\%}$ as shown in Fig. 8, where
13 the normalized PBL height and $N_{0.6\%}$ are shown together with the axis for the normalized PBL height
14 reversed. The normalization is done for each day by converting the daily maximum and minimum
15 values of $N_{0.6\%}$ to 1.0 and 0.0, respectively. Normalized PBL height is similarly obtained. In this way
16 the influence of daily fluctuations is removed for both parameters. However, the days when both
17 parameters were simultaneously measured almost throughout a day (more than 18 h) were limited (40
18 days) and they were usually not consecutive. Along with the normalization process itself, this is the
19 main reason why the values just before and after midnight are not smoothly varied especially for
20 normalized $N_{0.6\%}$ in Fig. 8.

21 Figure 9 shows the scatterplot of the hourly-averaged PBL height vs. N_{CCN} and N_{CN} in a
22 manner similar to Fig. 7. Note that N_{CN} and N_{CCN} in Fig. 9 are higher than the corresponding values in
23 Fig. 7 because in Fig. 9 the data mostly from winter are used to calculate N_{CN} and N_{CCN} to match the
24 times of MPL data while the data used in Fig. 7 are mostly from autumn as mentioned in the previous
25 section. The data points for 9:00-24:00 are highlighted with circle in Fig. 9 and as expected from Fig.
26 8 N_{CCN} for all S show strong negative correlations with the PBL height. However, no such tendency is
27 found for N_{CN} (panel d). These contrasting results seem to be related to the diurnal variation of traffic

1 amount and its much closer relationship with N_{CN} than with N_{CCN} . For N_{CN} the increased traffic
2 amount that reaches nearly its daily maximum at 9 AM can compensate for the dilution effect of PBL
3 height which starts to increase at this hour (Fig. 8). After 4 PM, the PBL height starts to decrease but
4 the traffic amount starts to decrease as well a few hours later (6 PM) and therefore the concentrating
5 effect of descending PBL height is again compensated by decreasing traffic. Because traffic emission
6 has only a secondary influence on N_{CCN} , such compensations do not seem to occur and the effect of
7 dilution and concentration due to PBL height variation is fully exerted for N_{CCN} . From midnight to 9
8 AM, PBL height shows little variation and therefore the traffic emission seems to act as the single
9 most important factor driving both N_{CN} and N_{CCN} .

10

11 **3. Airborne measurement (2009, 2011)**

12 **3.1 Instrumentation**

13 Two aircraft campaigns were conducted using a Beechcraft King Air (C90GT) aircraft. The
14 first one was conducted during September 30 - October 18, 2009 and the second one during June 8-17,
15 2011. For both campaigns DMT CCNC and TSI CPC 3010 were used to measure N_{CCN} and N_{CN} . For
16 the 2011 campaign, TSI Nephelometer 3536 was also onboard the aircraft and measured scattering
17 coefficients at three wavelengths- 450, 500 and 700 nm. All the instruments were calibrated before
18 each campaign. Because the internal S field within the CCNC varies with ambient pressure (Roberts
19 and Nenes, 2005), CCNC was operated with the fixed internal S of 0.6% and the fixed internal
20 pressure of 650 and 530 mb for the 2009 and 2011 campaigns, respectively. Such pressure values
21 were selected to guarantee that they were sufficiently lower than the ambient pressures at the
22 maximum flight altitudes of the two campaigns (3000 m for 2009 and 5000 m for 2011). In order to
23 make it possible to offset ambient pressure fluctuations an orifice, an adjustable valve and a pump
24 were used. The counting efficiency of CPC is known to be insensitive to ambient pressure fluctuations
25 under such pressure ranges (Zhang and Liu, 1991). The nephelometer was considered to not suffer
26 from ambient pressure fluctuations (Bodhaine et al., 1991).

27 During the 2009 campaign, an isokinetic inlet system was not available and the aircraft cabin

1 window was slightly open in order to stick out a quarter inch tube and draw the sample air from
2 outside the aircraft. In order to see the effect of such an an-isokinetic inlet design, additional TSI CPC
3 3010 was installed on the Korea Global Atmosphere Watch Center (KGAWC, 36.5°N, 126.3°E) which
4 is about 7 km from Tae-An Airport (36.6°N, 126.3°E) where the aircraft took off and landed. It is
5 found that N_{CN} measured during the take-offs and landings (altitude < 400 m) exhibit values larger
6 than N_{CN} measured at KGAWC by 21-55%. Such values can be interpreted as a representative error
7 arising from using an an-isokinetic inlet. During the 2011 campaign, an isokinetic inlet system was
8 implemented.

9

10 **3.2 Flight design**

11 The measurement data were obtained from 8 research flights during the 2009 campaign. The
12 flight design was aimed at verifying east-west gradients of N_{CN} and N_{CCN} as explained in section 1.
13 The aircraft took off at Tae-An Airport located at the western coast of the Korean Peninsula and chose
14 one of two routes. For the first route, it flew west to 124.7°E over the Yellow Sea; then headed south
15 at constant longitude until 33.4°N; then it flew east to Jeju Island (126.3°E); and headed back to Tae-
16 An Airport. This closed circuit route is denoted as 'Yellow Sea route.' In some flights, the circuit route
17 in exactly the opposite direction was chosen. The second route was to fly east across the Korean
18 Peninsula and over the East Sea to 131.15°E, then head straight north to Ulleung Island (37.5°N,
19 130.9°E) and then return to Tae-An, this is 'East Sea route.' The two routes are shown in Fig. 10.
20 During most of the flights the aircraft cruised at 3000 m altitude, but it made vertical soundings in
21 each leg, spiraling down to an altitude of about 500 m and back up to the cruising altitude. The
22 horizontal area span during the spiral was smaller than 9 km x 10 km.

23 During the 2011 campaign, data were obtained from three research flights. The first and
24 second flights took the Yellow Sea route and the East Sea route, respectively. The last flight covered
25 both the Yellow Sea and the East Sea. The vertical soundings were made in the identical manner to
26 that of the 2009 campaign but their maximum altitude was extended to 5000 m.

27

1 **3.3 Results**

2 **3.3.1 Vertical distribution**

3 In total, 17 and 11 vertical soundings were made during the 2009 and 2011 campaigns,
4 respectively. For comparison, the vertical soundings are classified into three regions based on
5 longitude as shown in Fig. 10 – region I (33-37°N x 124-125.7°E) covers the eastern part of the
6 Yellow Sea, region II (33-37.7°N x 125.7-127°E) covers the western coast of the Korean Peninsula
7 and the region III (36-38°N x 130-132°E) covers the East Sea around Ulleung Island. Regions I and II
8 are used to see if the effects of local sources in the western part of the Korean Peninsula can be
9 separated from the overall influence of continental outflow. A total of 4, 5 and 8 soundings were made
10 in regions I, II and III, respectively, during the 2009 campaign, and 4, 3 and 4 soundings during the
11 2011 campaign.

12

13 **A. N_{CN} and $N_{0.6\%}$**

14 Figure 11 shows the average vertical distributions of $N_{0.6\%}$, N_{CN} and $N_{0.6\%}/N_{CN}$ for each
15 region from the two campaigns. (All of the data shown in this study is adjusted to surface pressure,
16 1000 mb). First, the data are averaged by 100 m altitude bins for each spiral sounding. These 100 m
17 altitude bin average values are then averaged for each region. In most of the panels in Fig. 11, N_{CN} are
18 relatively higher at lower altitudes, showing the influence of surface sources. $N_{0.6\%}$ also shows a
19 similar trend but with smaller vertical gradients, implying that the influence of the surface sources is
20 less pronounced for CCN. On the other hand, $N_{0.6\%}/N_{CN}$ does not vary much with altitude and the
21 average for the whole depth of each sounding ranges from 0.57 to 0.78 in Fig. 11. Average N_{CN} are
22 comparable to N_{CN} obtained at locations between the Korean Peninsula and Japan during ACE-ASIA
23 in 2001 (Clarke and Kapustin, 2010) as marked in Fig. 11. It is also worth noting that when the layer
24 with enhanced particle concentration (such as the noticeable bumps observed around 3000 m altitude
25 in panels e and f) is not considered, N_{CN} and $N_{0.6\%}$ measured near or above 3000 m altitude were
26 comparable to those measured during ACE-1 in 1995 over the Southern Ocean when the air mass
27 originated from the Australian continent (Hudson et al., 1998). Based on measurements of N_{CN} and

1 N_{CCN} at 0.3% S in a southwestern island of Japan, Adhikari et al. (2005) suggested that N_{CCN}/N_{CN} of
2 0.5 or higher was characteristic of continentally/anthropogenically influenced marine air. Consistently
3 we may assess that our values also mostly represent such air masses in East Asia. However, these
4 values are still about a factor of two larger than those in Seoul (discussed above), suggesting greater
5 N_{CCN}/N_{CN} over this marine region than in a highly populated city.

6 For both 2009 and 2011 campaigns but especially for the 2009 campaign, $N_{0.6\%}$ and N_{CN}
7 below 1000 m altitude are higher over regions I and II than over region III (Fig. 11), surely due to fact
8 that the regions I and II are closer to China and also local industrial activities are more active in the
9 west than in other parts of Korea. However, there is no significant difference between regions I and II,
10 except somewhat higher concentrations for region II perhaps due to the addition of local sources. The
11 general westward gradient diminishes at higher altitudes, indicating that the influences of the surface
12 sources are more likely to be confined to PBL. Much smaller standard deviations of $N_{0.6\%}/N_{CN}$ over
13 region III at the lowest altitudes suggests that there are fewer local sources or particle formation
14 events over this region than over regions I and II.

15 The relatively large standard deviations as well as the high N_{CN} shown in several panels of
16 Fig. 11 (e.g. near the surface in a, b and e; between 2300 m and 4000 m altitudes in d) are suspected to
17 be due to occasional particle formation and growth events that led to elevated N_{CN} at the affected
18 region. More detailed discussion on this is given below.

19

20 **B. Relationship with scattering coefficient**

21 Vertical distributions of the 300 m altitude bin averaged scattering coefficient (σ) at three
22 wavelengths (450, 550 and 700 nm) and the Angström exponent (AE) measured during the 2011
23 campaign are shown in Fig. 12 for each of the regions I, II and III. σ values tend to be higher at the
24 western side of the Korean Peninsula than at the eastern side. The σ for 550 nm (σ_{550}) of 50-110 Mm^{-1}
25 below 1000 m altitude is a factor of two to four smaller than σ_{550} measured in Seoul ($\sim 200 Mm^{-1}$;
26 Shim et al., 2008) but similar to or larger than σ_{550} observed over the East Asian seas during the ACE-
27 Asia project (Carrico et al., 2003). In Fig. 12 for all three regions there is an elevated σ layer between

1 1000 and 2500 m altitude and then it decrease with altitude to near the detection limit above 4000 m
2 altitude. This trend is not very consistent with vertical profiles of N_{CN} and $N_{0.6\%}$ shown in Fig. 11d-11f
3 except for the rather similar feature in region III (compare Fig. 11f and Fig. 12c). This demonstrates
4 that the particle number concentration is not the only parameter that determines the scattering
5 properties.

6 However, some previous measurement studies over the Korean Peninsula (Shim et al., 2008;
7 Kim et al., 2012) and in other parts of the globe (Andreae, 2009; Clarke and Kapustin, 2010) suggest
8 a positive correlation between σ and N_{CN} and between σ and $N_{0.6\%}$. Figure 13 is the scatterplot of
9 simultaneously measured N_{CN} and $N_{0.6\%}$ vs. σ_{550} for the entire 2011 campaign, which indicates a
10 positive correlation with scatter. σ_{550} tends to be smaller at higher altitudes regardless of N_{CN} and $N_{0.6\%}$
11 variations. Throughout the entire campaign, CN and CCN (0.6%) observed at the highest altitudes ($>$
12 4500 m, purple) tend to scatter less light: All 357 data points collected above 4500 m altitude are
13 located below the dashed line for CN and CCN (0.6%), respectively, in Fig. 13. In particular, over
14 region II, N_{CN} and $N_{0.6\%}$ increase above 4900 m altitude (Fig. 11e), but the increase here for σ is
15 negligible (Fig. 12b). A careful examination of the nephelometer data found no instrumental issue for
16 this case, implying that these CN and CCN (0.6%) indeed had negligible σ . In relation to this unique
17 feature, it is worth mentioning that at higher altitudes $N_{0.6\%}/N_{CN}$ tends to decrease with altitude for all
18 three regions during the 2011 campaign (Fig. 11d-11f). This may suggest that aerosol particles were
19 smaller at higher altitudes and therefore σ_{550} is smaller. However, this cannot completely explain the
20 complicated correspondence between σ_{550} and, N_{CN} or $N_{0.6\%}$ shown in Fig. 13, which reflects the fact
21 that the relationship between number concentration and scattering coefficient of the particles is
22 mediated by various properties. A detailed analysis of the factors that regulate the relationship
23 between N_{CN} , N_{CCN} and σ requires further study.

24

25 **3.3.2 Horizontal distribution at 3000 m altitude**

26 Horizontal distributions of N_{CN} and $N_{0.6\%}$ are obtained when the aircraft was cruising at 3000
27 m altitude during periods between spiral vertical soundings. The spatial distribution of $N_{0.6\%}$ is

1 illustrated in Fig. 14. Overall average values of $N_{0.6\%}$, N_{CN} and $N_{0.6\%}/N_{CN}$ were $1207\pm915\text{ cm}^{-3}$,
2 $1870\pm1463\text{ cm}^{-3}$ and 0.64 ± 0.06 , respectively. Average values of σ for 450, 550 and 700 nm and AE
3 measured during the 2011 campaign were $57.0\pm42.7\text{ Mm}^{-1}$, $42.7\pm31.9\text{ Mm}^{-1}$, $28.2\pm21.1\text{ Mm}^{-1}$ and
4 1.51 ± 0.30 , respectively. Average values for each flight and each campaign are shown in Tables 1 and
5 2.

6 Most N_{CN} and $N_{0.6\%}$ in Table 1 are much lower than values measured within PBL around the
7 Korean Peninsula (section 4.2), indicating that aerosol characteristics in the free troposphere are
8 certainly different from those within the boundary layer. However, some high values were observed
9 on some days (October 10, 2009, June 11 and 12, 2011), which are comparable to, or even higher than
10 those measured at the surface sites. N_{CN} and $N_{0.6\%}$ higher than 7000 cm^{-3} and 5000 cm^{-3} , respectively,
11 are also observed during the flights on October 15, 2009 at the east coast of the Korean Peninsula (Fig.
12 14). Such high values may suggest an elevated pollution layer transported from China or local surface
13 emissions reaching high altitudes without much dilution. Westward gradient of N_{CCN} is apparent only
14 in the flight on October 11, 2009 (Fig. 14), which may indicate that the general westward gradient of
15 aerosol concentrations (Fig. 11) or AOD (Kim et al., 2011b) is mostly confined at the lower
16 atmosphere.

17

18 **4. Discussion**

19 **4.1. Particle formation and growth events**

20 Buzorius et al. (2004), Lee et al. (2008) and Kim et al. (2009) all independently reported
21 particle formation and growth events observed over the Yellow Sea. Yum et al. (2007) and Kim et al.
22 (2013) also reported such events at Gosan, Jeju Island (33.2°N , 126.1°E) located south of the Yellow
23 Sea.

24 Although there was no instrument capable of measuring particle size distribution onboard,
25 such information can be inferred from the $N_{0.6\%}/N_{CN}$ data obtained from the aircraft measurements.
26 During the vertical soundings, layers of low (<0.3) $N_{0.6\%}/N_{CN}$ compared to those (~0.6) at other
27 altitudes were identified. Small particles generally have high S_c . So the significantly lower $N_{0.6\%}/N_{CN}$

1 may imply that a greater portion of the particles are too small to have S_c of 0.6%. Such phenomena
2 occurred 16 times during the vertical soundings. Yet one cannot rule out the possibility that such low
3 (<0.3) $N_{0.6\%}/N_{CN}$ may be due to the presence of large particles that have low hygroscopicity. Moreover,
4 the fact that there existed small particles alone may not be sufficient to regard such phenomena as
5 particle formation and growth events.

6 So we analyze the aerosol size distribution data measured with an SMPS at KGAWC located
7 at the western coast of the Korean Peninsula. For all three low $N_{0.6\%}/N_{CN}$ events that took place over
8 regions I and II below 1000 m altitude, an enhanced nucleation mode is found from the SMPS
9 measurement at KGAWC, confirming that a particle formation and growth event had occurred.
10 Conversely, on the days when low $N_{0.6\%}/N_{CN}$ was not observed, particle formation event was not
11 observed at KGAWC. Such correspondence strongly supports our claim that low $N_{0.6\%}/N_{CN}$ is a sign
12 of a particle formation and growth event.

13 The October 18, 2009 research flight conducted between 12:14 and 15:10 local time along
14 the Yellow Sea route provides a unique opportunity to demonstrate the spatial scale of the particle
15 formation and growth event that occurred over the Yellow Sea on this day. Low $N_{0.6\%}/N_{CN}$ at the
16 altitudes below 1000 m is observed in all three spiral vertical soundings (Fig. 15b) (also during the
17 takeoff and landing). Both SMPS measurements at KGAWC and Gosan (33.2°N, 126.1°E) indicate a
18 particle formation and growth event during this flight (Fig. 15c and 15d, respectively). This was a
19 very sunny day. The 3 day HYSPLIT back-trajectories at 500 m altitude (Draxler and Rolph, 2013;
20 Rolph, 2013) for the three sounding locations and Tae-An Airport consistently indicate that the air
21 mass originated from a remote continental region, suggesting that this region is under the influence of
22 an identical air mass (Fig. 16a). The sunny weather condition and the remote continental origin of the
23 air mass for this event is consistent with the finding of Yum et al. (2007) that a such condition is
24 favorable for particle formation and growth events that took place at Gosan, Jeju Island.

25 The composite of the vertical soundings and the surface measurements at KGAWC and
26 Gosan lead us to suggest that the spatial extent of the particle formation and growth event that took
27 place in the boundary layer on October 18, 2009 covers at least 100 km x 450 km areal extent over the

1 Yellow Sea (Fig. 15a). Considering that the event lasted more than several hours at KGAWC and
2 Gosan, the affected area may have extended even further toward the upwind region by several
3 hundred kilometers (Yum et al., 2007; Hussein et al., 2009). This argument is in accordance with
4 Buzorius et al. (2004), Yum et al. (2007) and Kim et al. (2009), where the authors independently
5 suggested that the particle formation and growth event in this region was not a local event but rather
6 took place at a regional scale spanning several hundred kilometers.

7 On several occasions, low $N_{0.6\%}/N_{CN}$ was observed at a confined layer above 1000 m altitude,
8 a good example of which is shown in Fig. 17. The 3 day back-trajectories for these occasions also
9 indicated remote continental origin (Fig. 16b). Although we cannot provide direct evidence (e.g.,
10 SMPS measurement data) of particle formation and growth events for such layers, it can be suspected
11 that some kind of secondary particle formation event occurred in the free troposphere or at the
12 entrainment layer as previously suggested by McNaughton et al. (2004) and Buzorius et al. (2004) for
13 the Yellow Sea region.

14

15 **4.2. Composite map of N_{CN} and $N_{0.6\%}$ near surface altitudes**

16 Figure 18 provides a composite map of N_{CN} and $N_{0.6\%}$ for near surface altitudes in and
17 around the Korean Peninsula. Here is not only data analyzed in this study but also data presented in
18 several previous studies. Notably the very same instruments were used in all but one (Yum et al., 2005)
19 study. The measurement platforms include ground stations, ship and aircraft, and the total span of the
20 measurements period is 8 years. For the aircraft measurement, only data below 1100 m altitude are
21 considered. Although each measurement activity was conducted under different meteorological
22 conditions, seasons and years, one can still find some general characteristics of the aerosol
23 distributions over this region.

24 First, the overall averages of N_{CN} and $N_{0.6\%}$ range $2500\text{-}20000\text{ cm}^{-3}$ and $1000\text{-}5000\text{ cm}^{-3}$,
25 respectively. According to a study that compiled various studies from around the globe (Andreae,
26 2009), such concentration level correspond to polluted marine or continental conditions.

27 Second, N_{CN} and $N_{0.6\%}$ over the Yellow Sea and East China Sea, although measured at least

1 100 km from land, are comparable to or even higher than those measured at island sites near by the
2 Korean Peninsula such as Baengyeongdo (Kim et al., 2011a), Yeongjongdo (Kim et al., 2012) or Jeju
3 Island (Yum et al., 2007; Kim et al., 2011a). Moreover, the concentrations observed at the western part
4 of the Korean Peninsula tend to be higher than those measured at the eastern part of the Korean
5 peninsula and over the East Sea, which agrees well with what Kim et al. (2011b) found from satellite
6 retrievals of AOD. This trend indicates that continental outflow is dominantly affecting aerosol
7 characteristics over the region.

8 Third, while N_{CN} in Seoul are higher than N_{CN} at all other locations in this region by a factor
9 of two or more, $N_{0.6\%}$ in Seoul is not as conspicuously higher and is actually comparable to $N_{0.6\%}$
10 measured at Anmyeon (Yum et al., 2005) and Baengyeongdo (Kim et al., 2011a). Interestingly, $N_{0.6\%}$
11 measured in Tokyo (1760 cm^{-3} , from Kuwata and Kondo, 2008) is quite comparable to $N_{0.6\%}$ obtained
12 at Daegwallyeong and over the East Sea. It may be suggested that local sources in Seoul contribute
13 dominantly to N_{CN} but not to $N_{0.6\%}$, and that the majority of CCN in Seoul originate in continental
14 outflow that affects the whole Korean Peninsula. Such an explanation is in accordance with the
15 discussion in section 2.2.

16

17 **5. Summary**

18 Total number concentrations of particles having diameter larger than 10 nm (N_{CN}), cloud
19 condensation nuclei at several supersaturation values (N_{CCN}), and the number size distribution of
20 particles for 10-414 nm particle diameter range were measured in Seoul between 2004 and 2010. The
21 results illustrate that concentrations are highest during winter and lowest during summer, perhaps
22 largely due to the monsoon circulation. The elevated heating emission locally in Seoul and also in
23 China may have contributed to the highest concentrations in winter, although no conclusive evidence
24 is provided. Traffic emission profoundly influences the diurnal variation of N_{CN} but its influence on
25 N_{CCN} is rather limited. In contrast, there is a strong negative relationship between N_{CCN} and planetary
26 boundary layer (PBL) height (N_{CCN} decreased as PBL expands) but this is not the case for N_{CN} . Such
27 findings suggest that a significant portion of CCN measured in Seoul may not be directly from local

1 sources.

2 N_{CN} and N_{CCN} at 0.6% supersaturation ($N_{0.6\%}$) were measured during aircraft campaigns in
3 2009 and 2011. The vertical structure of N_{CN} and $N_{0.6\%}$ reveals that the concentrations in the lower
4 altitudes were generally higher than at higher altitudes, except for the cases when particle formation
5 and growth events are thought to occur at higher altitudes. N_{CN} and N_{CCN} show generally a positive
6 correlation with aerosol scattering coefficients measured by a nephelometer but its correspondence
7 tends to vary with altitude. Occasional instances of low (<0.3) $N_{0.6\%}/N_{CN}$ in the boundary layer are
8 demonstrated to be associated with particle formation and growth events. With the support of ground
9 measurements, it is confirmed that a particle formation and growth event occurred on a flight day over
10 the Yellow Sea and the areal extent of this event is estimated to be greater than 100 km x 450 km.

11 The composite map of the aerosol distributions near the surface altitudes in and around the
12 Korean Peninsula is constructed by combining the data analyzed in this study with those presented in
13 several previous measurement studies in this region (Fig. 18). This map is the product of 8 years of
14 effort to measure the aerosol distribution and CCN concentrations in this region. Considering the fact
15 that cloud droplet concentrations are directly determined by the CCN concentration at cloud base
16 altitudes and the further growth of the cloud and its radiative properties are in turn highly dependent
17 on cloud droplet concentrations, the data shown in this map is expected to be used as a valuable
18 reference dataset for modeling studies that assess aerosol indirect effects in the East Asian region.
19 Applicability can also be found for the validation of the satellite remote sensing of the aerosol
20 distribution in this region. Overall, the exhibited concentrations are representative of the values
21 measured over polluted regions elsewhere on the globe. There is also a generally decreasing trend
22 from west to east over the region, implying that the region is constantly under the dominant influence
23 of continental outflow.

24

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3

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1 **Table and figure captions**

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3 cruises in each flight of the 2009 and 2011 campaigns.

4

Year	Date	$N_{0.6\%}$ (cm ⁻³)	N_{CN} (cm ⁻³)	$N_{0.6\%}/N_{CN}$	Data counts
2009	Oct. 5	469±95	876±376	0.61±0.17	206
	Oct. 6 morning	515±130	791±280	0.68±0.17	231
	Oct. 6 afternoon	494±148	647±198	0.77±0.10	371
	Oct. 10	1207±506	1392±504	0.85±0.12	1240
	Oct. 11	459±171	632±287	0.74±0.13	3164
	Oct. 12	397±144	648±768	0.69±0.15	2477
	Oct. 15	641±918	1186±1450	0.55±0.14	2612
	Oct. 18	292±119	517±121	0.58±0.21	1819
	2009 Average	559±280	835±304	0.68±0.10	
2011	Jun. 8	441±164	851±249	0.51±0.06	1219
	Jun. 11	2304±864	3886±1078	0.59±0.10	5856
	Jun. 12	2817±1252	3975±1611	0.70±0.05	9178
	2011 Average	1854±1250	2904±1779	0.60±0.09	

5

1 Table 2. Average scattering coefficients (σ) at 450, 550 and 700 nm wavelengths, Angström exponent
2 (AE) and the number of data count obtained during the horizontal cruises in each flight of the 2011
3 campaign.

Year	Date	σ_{450} (Mm $^{-1}$)	σ_{550} (Mm $^{-1}$)	σ_{700} (Mm $^{-1}$)	AE	Data count	4
2011	Jun. 8	18.0 \pm 44.3	14.2 \pm 34.1	10.1 \pm 22.6	1.17 \pm 0.90	619	6
	Jun. 11	86.5 \pm 36.9	63.3 \pm 27.5	40.1 \pm 17.8	1.75 \pm 0.22	3732	7
	Jun. 12	140.0 \pm 65.4	105.5 \pm 53.1	70.7 \pm 40.4	1.61 \pm 0.24	4951	8

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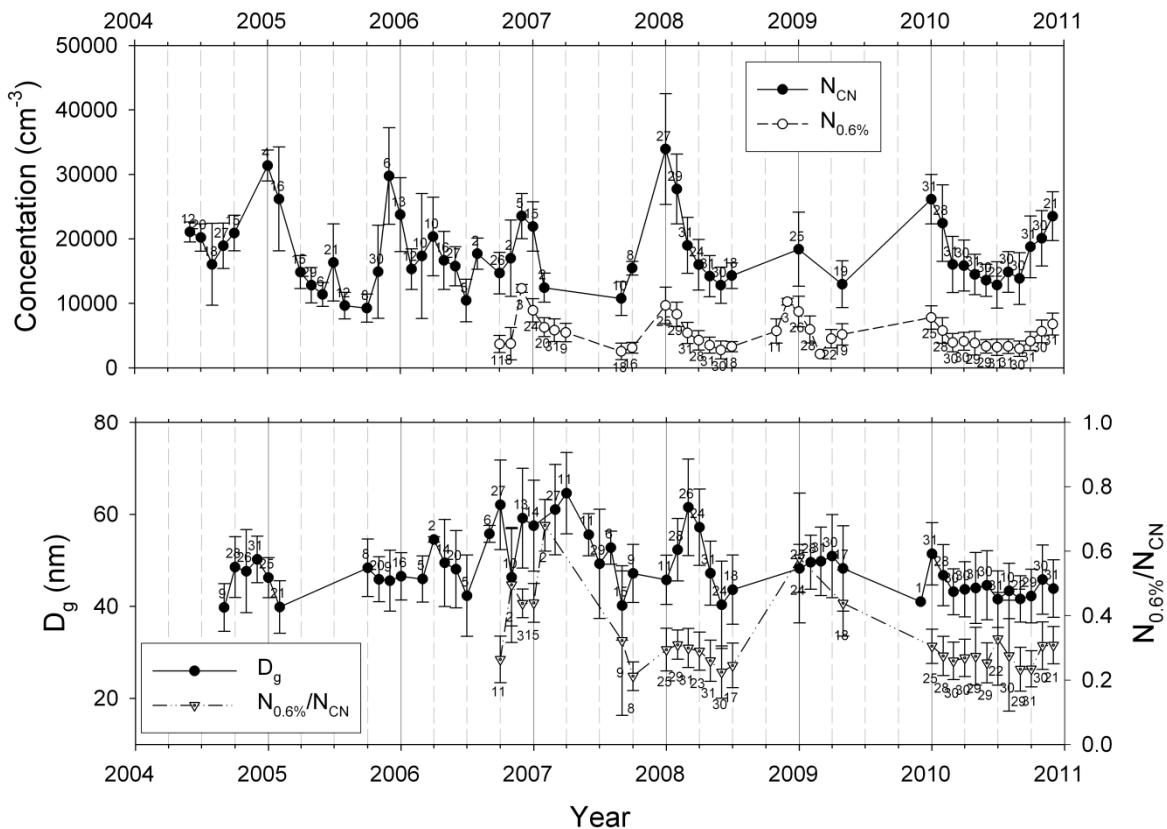
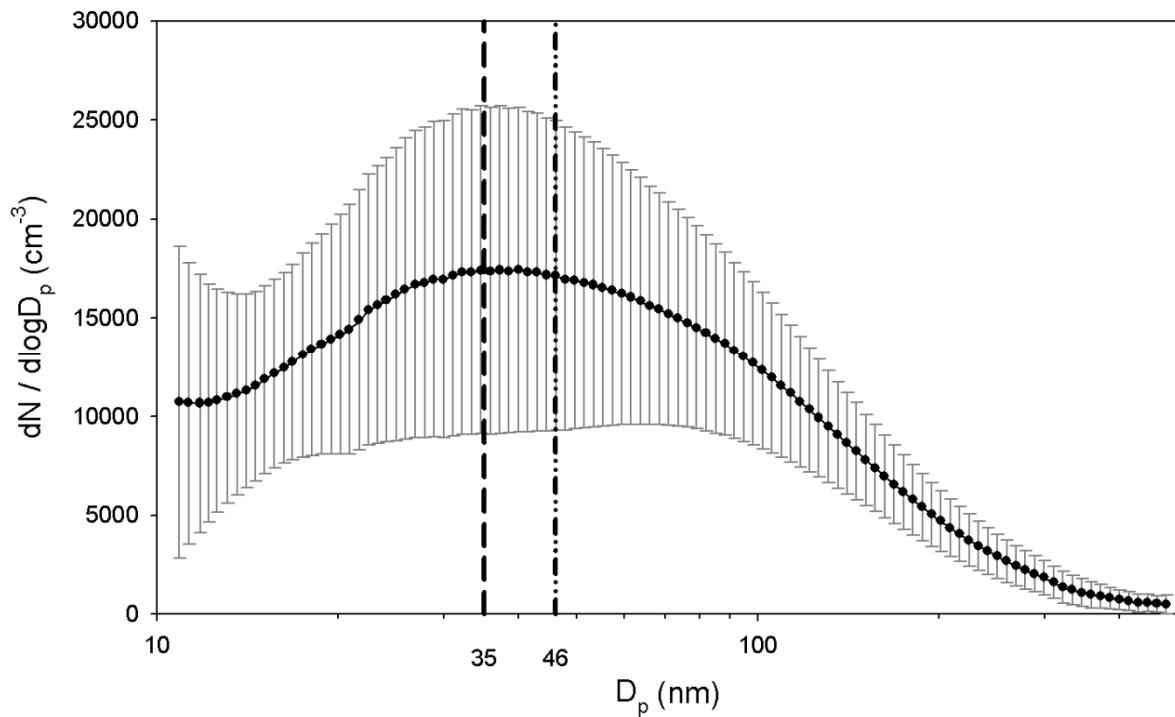
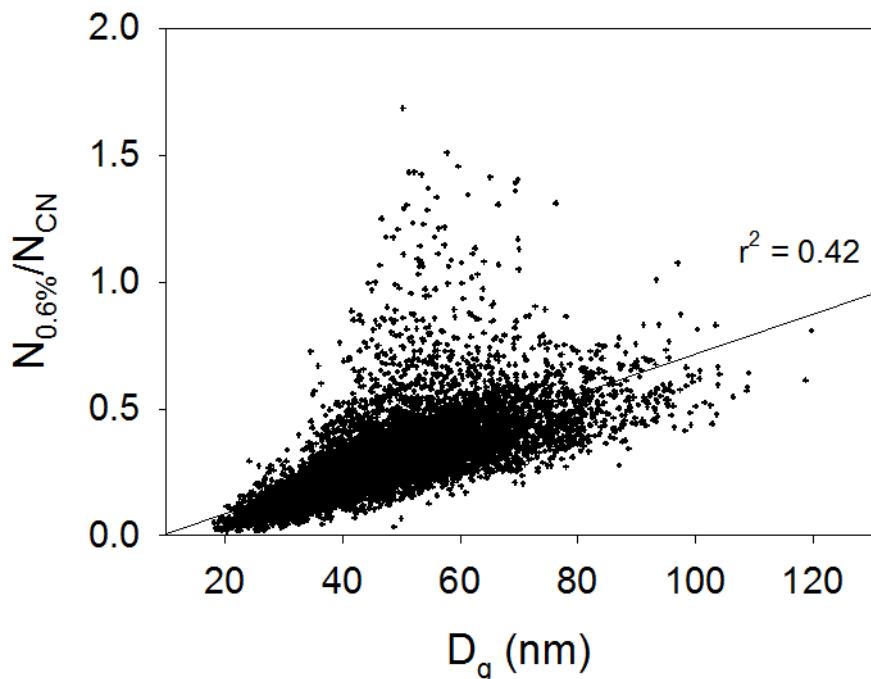


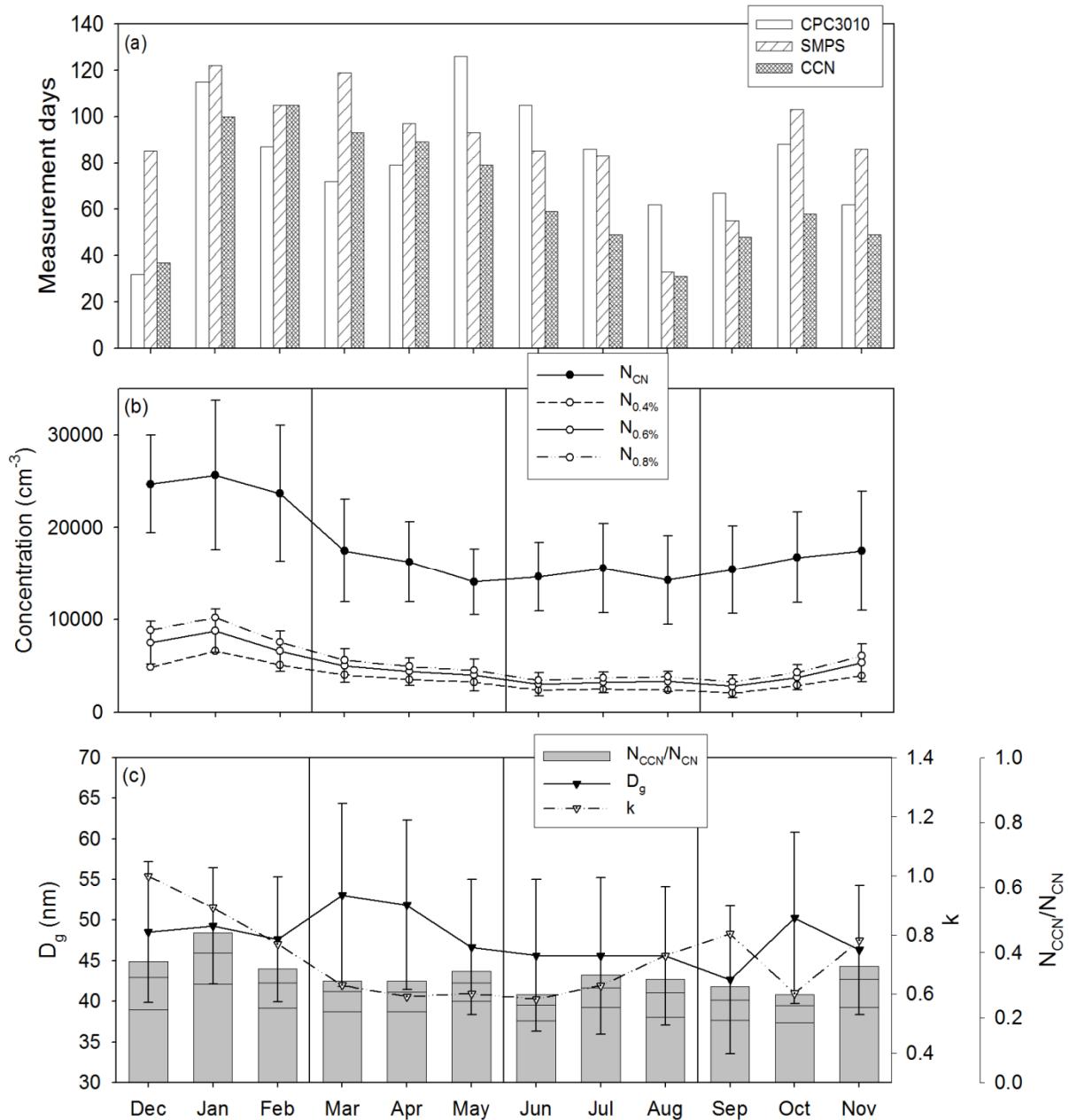
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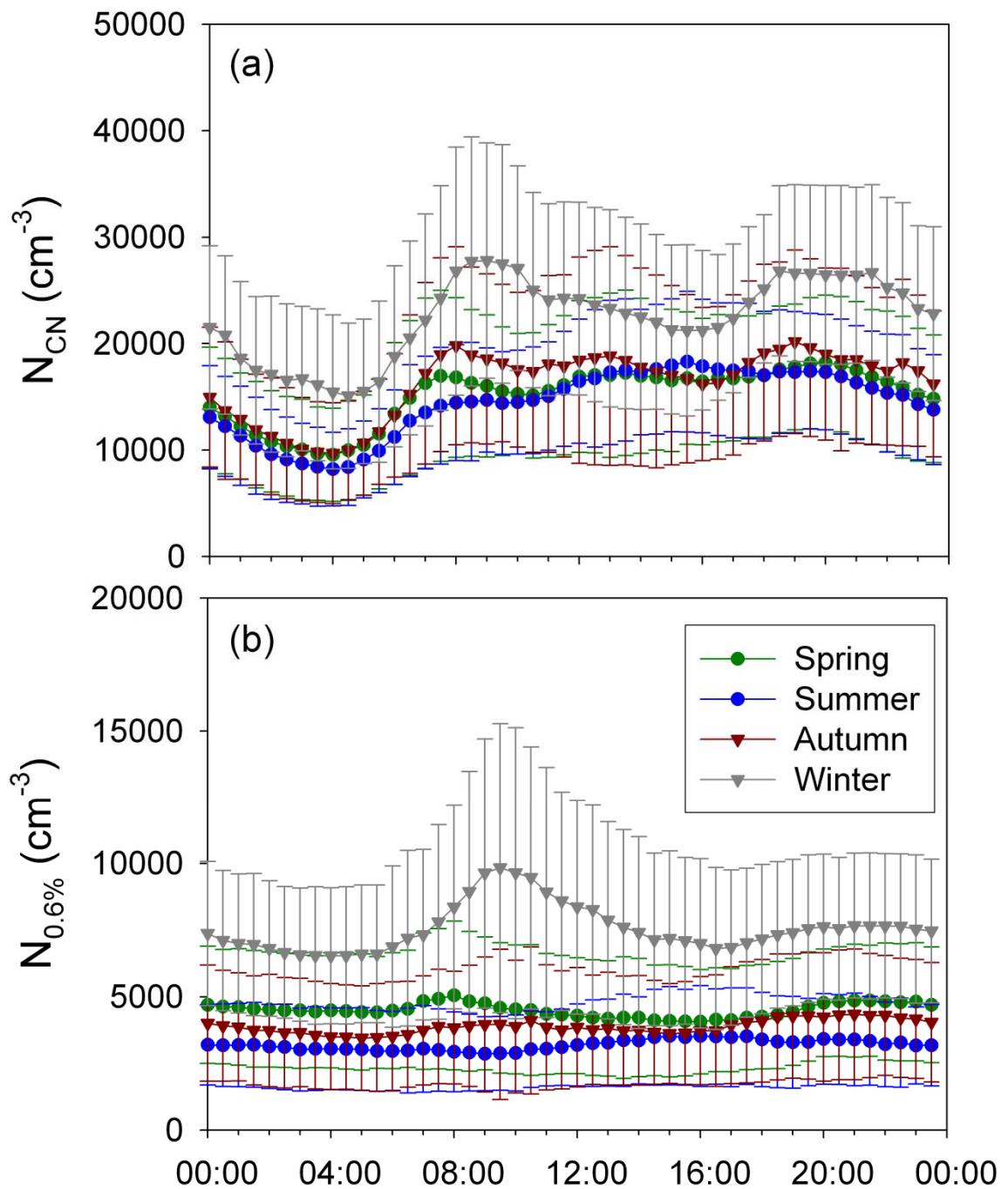


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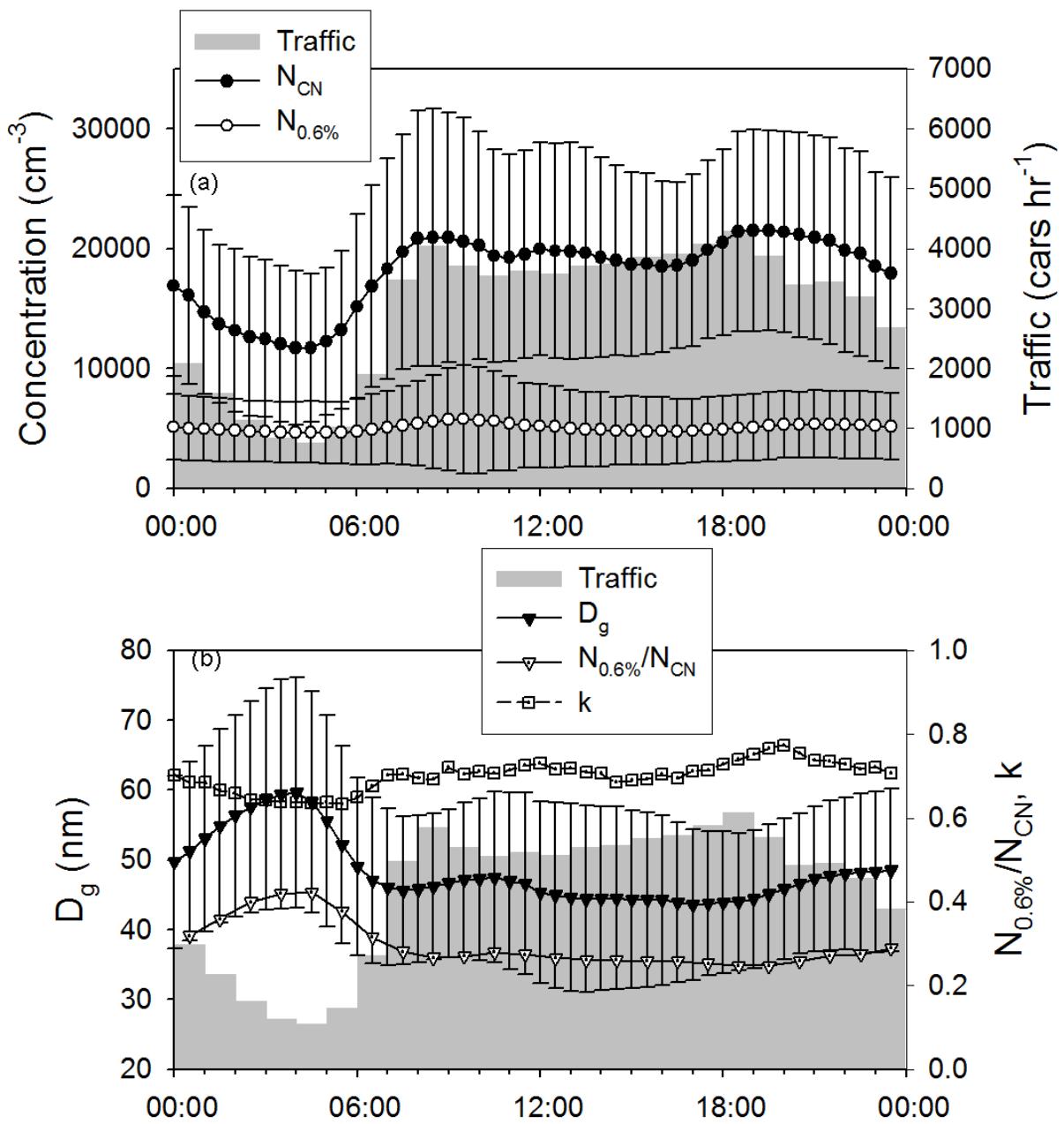


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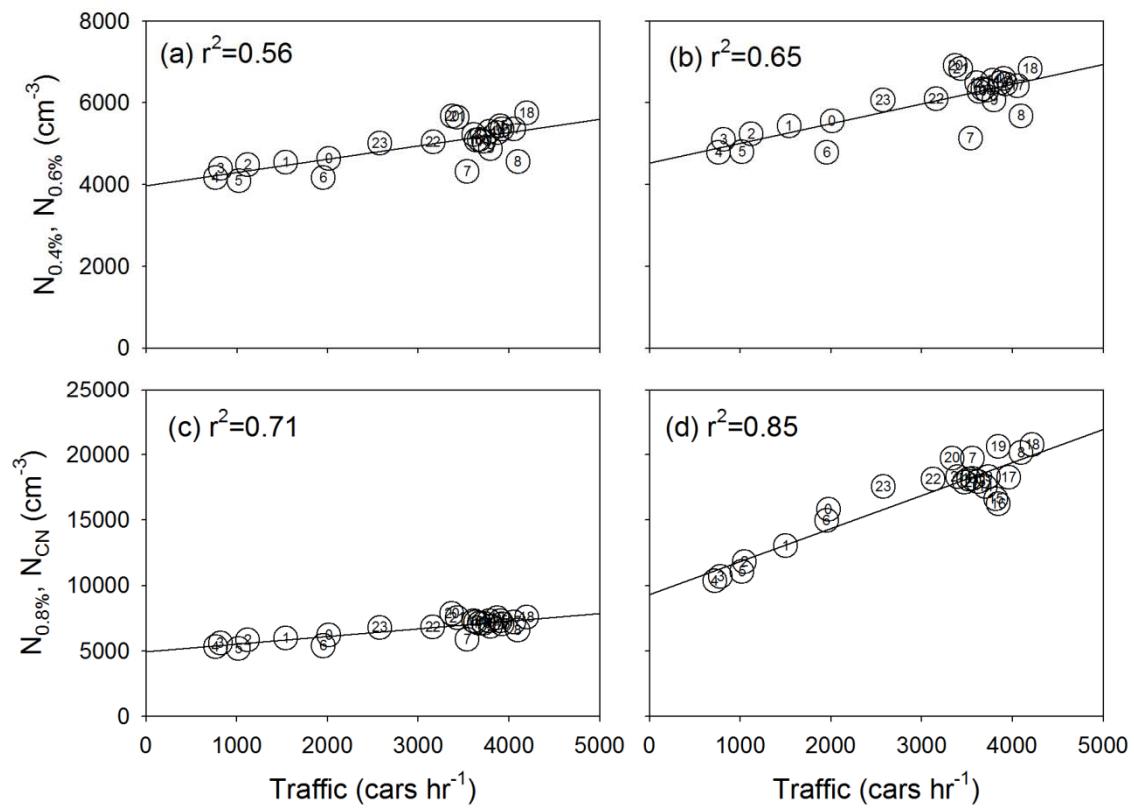
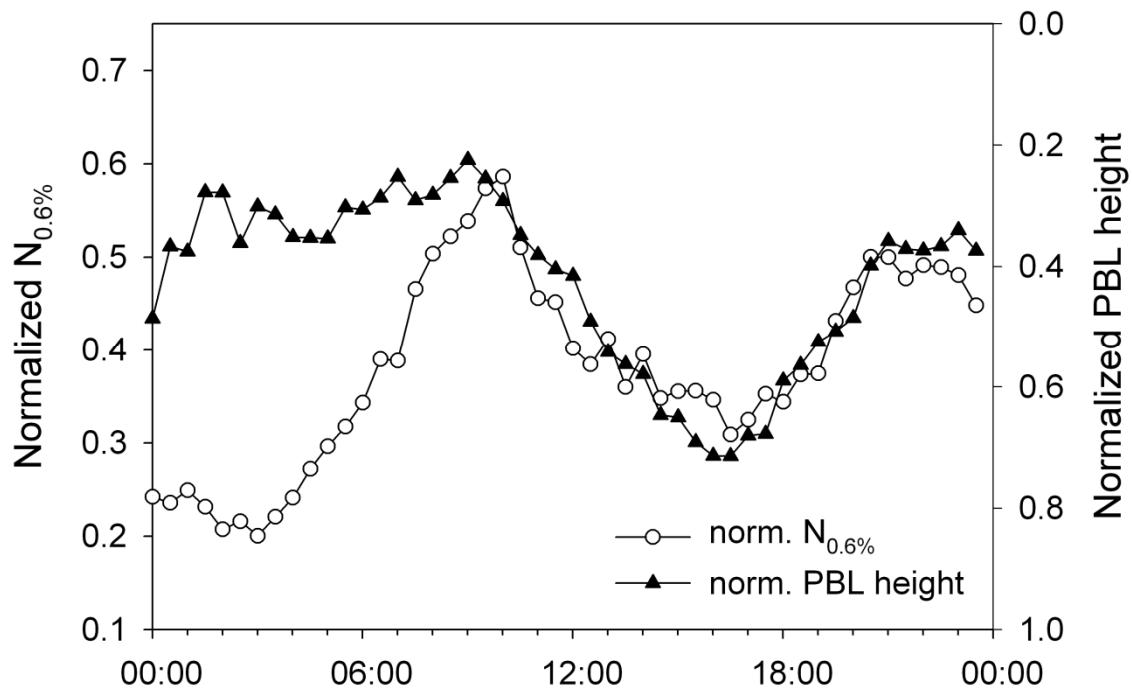
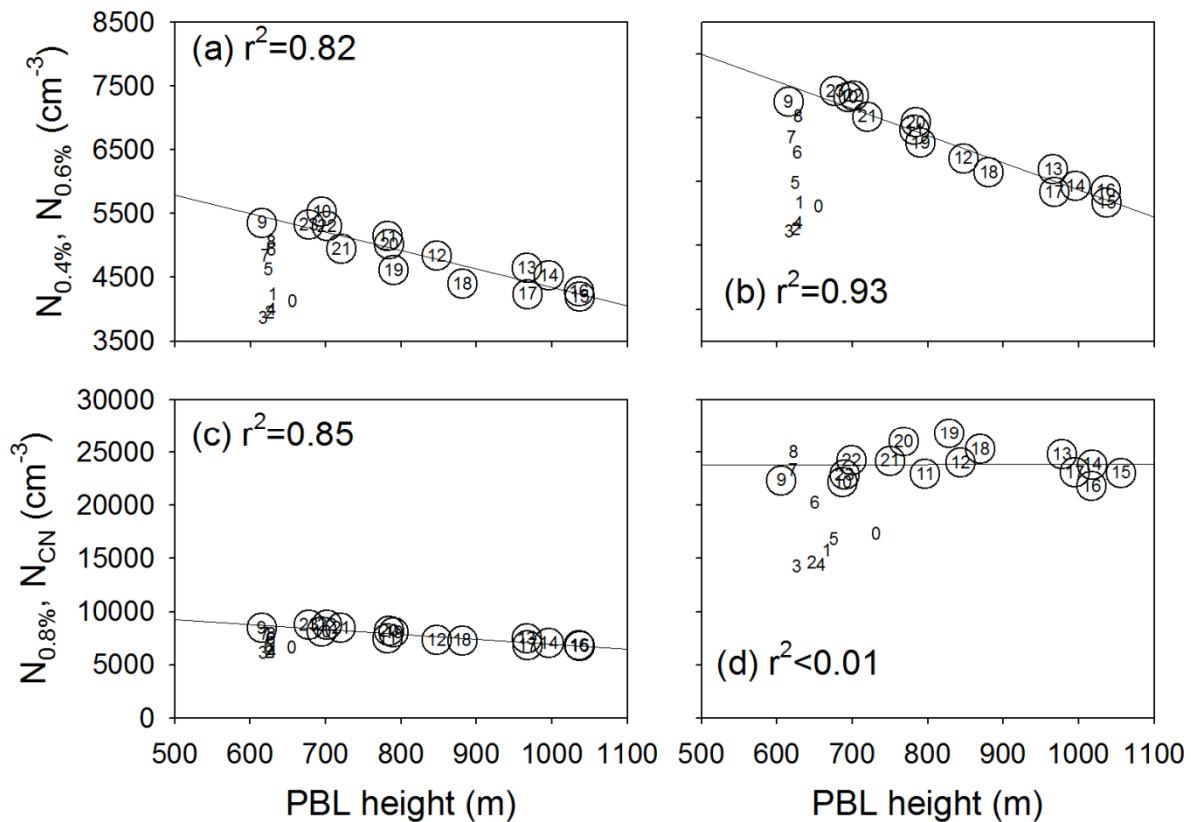


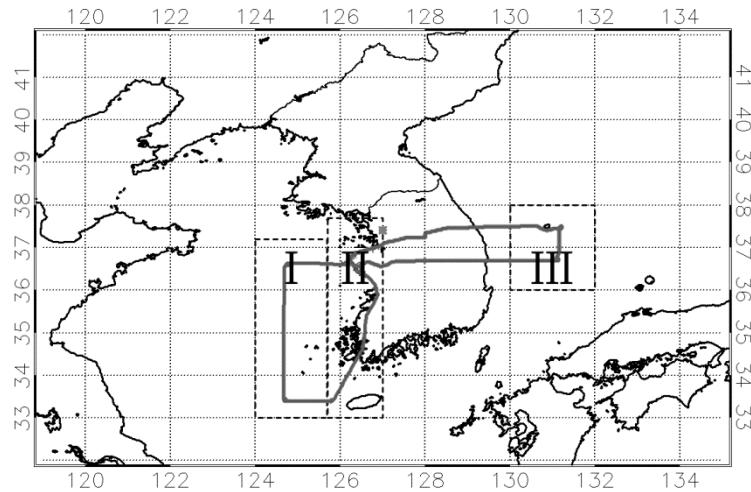
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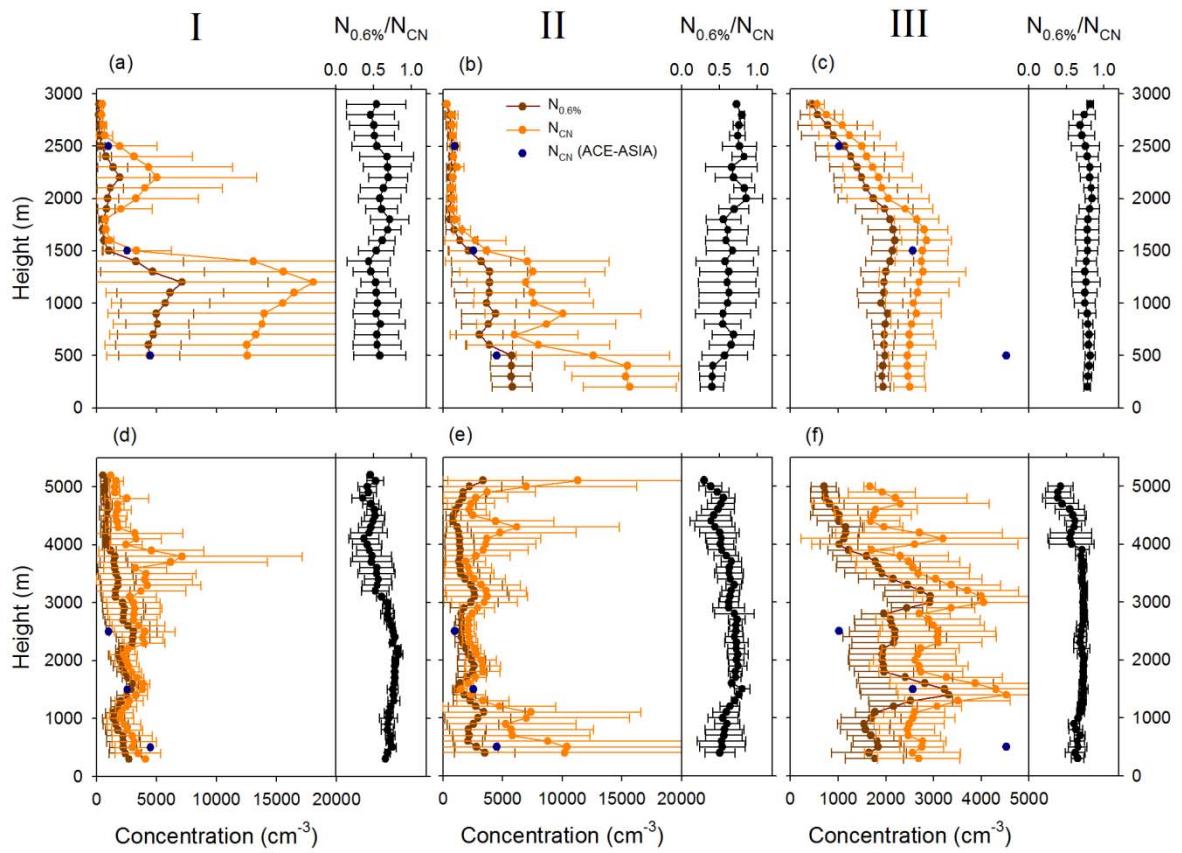


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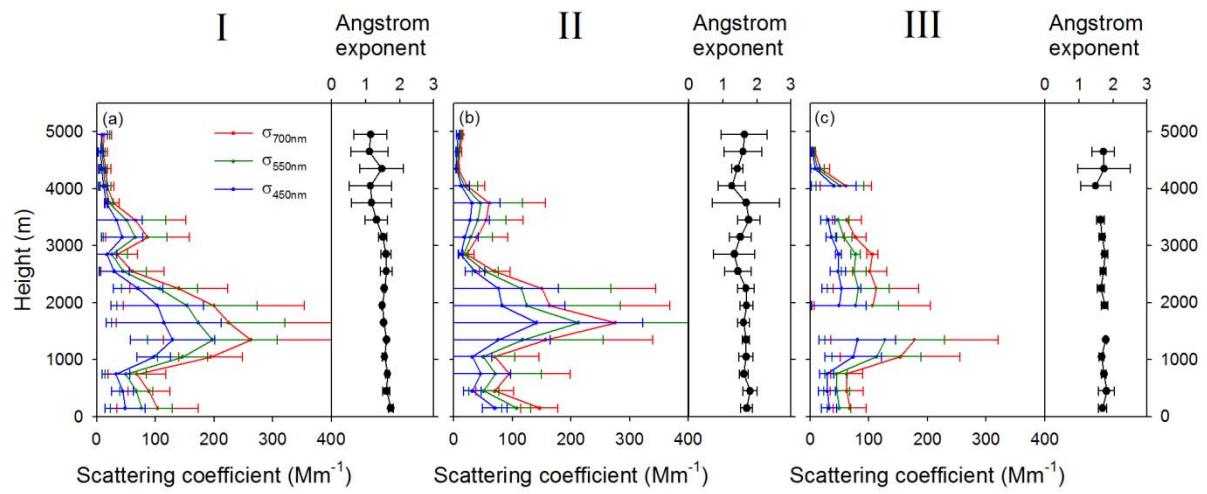
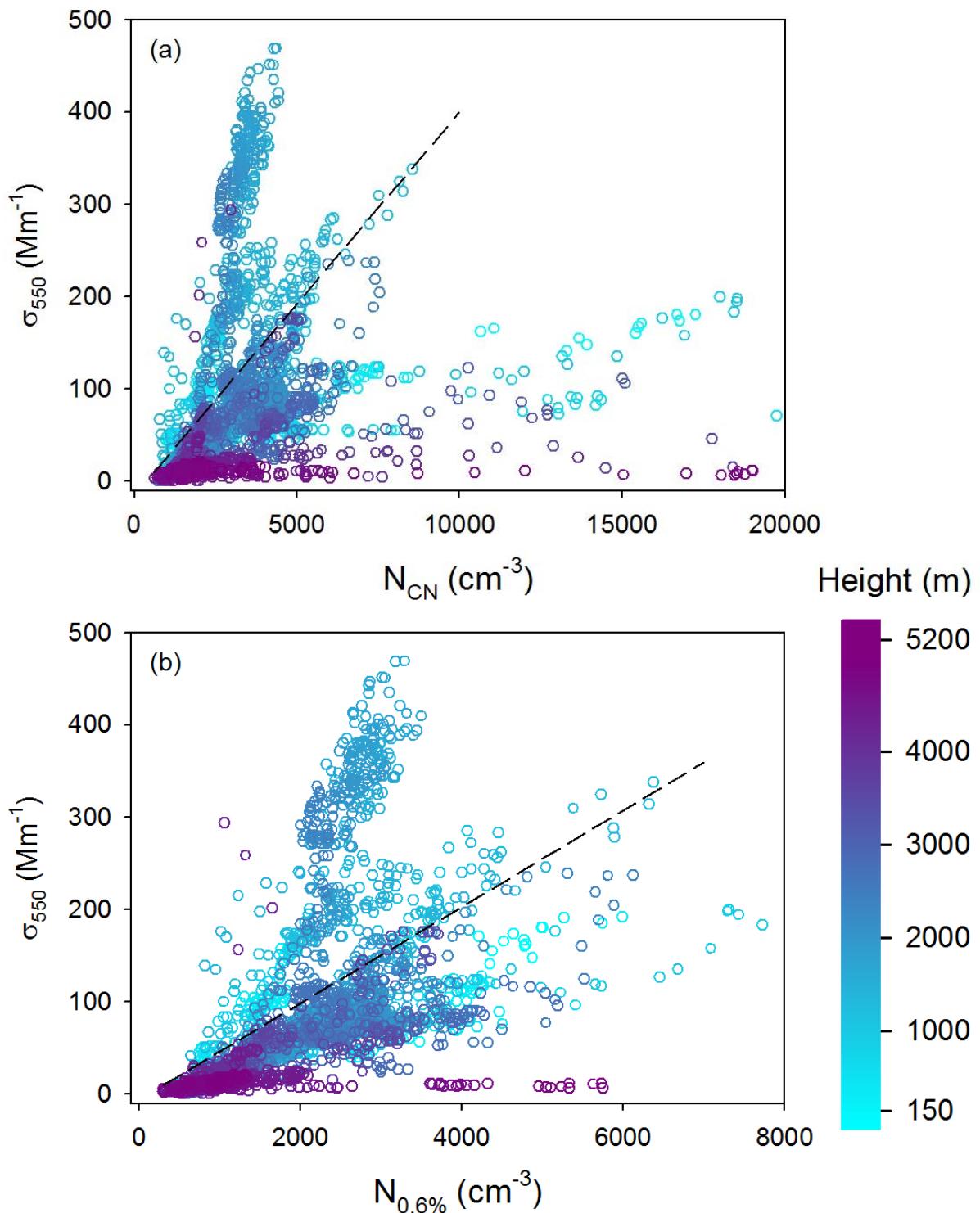


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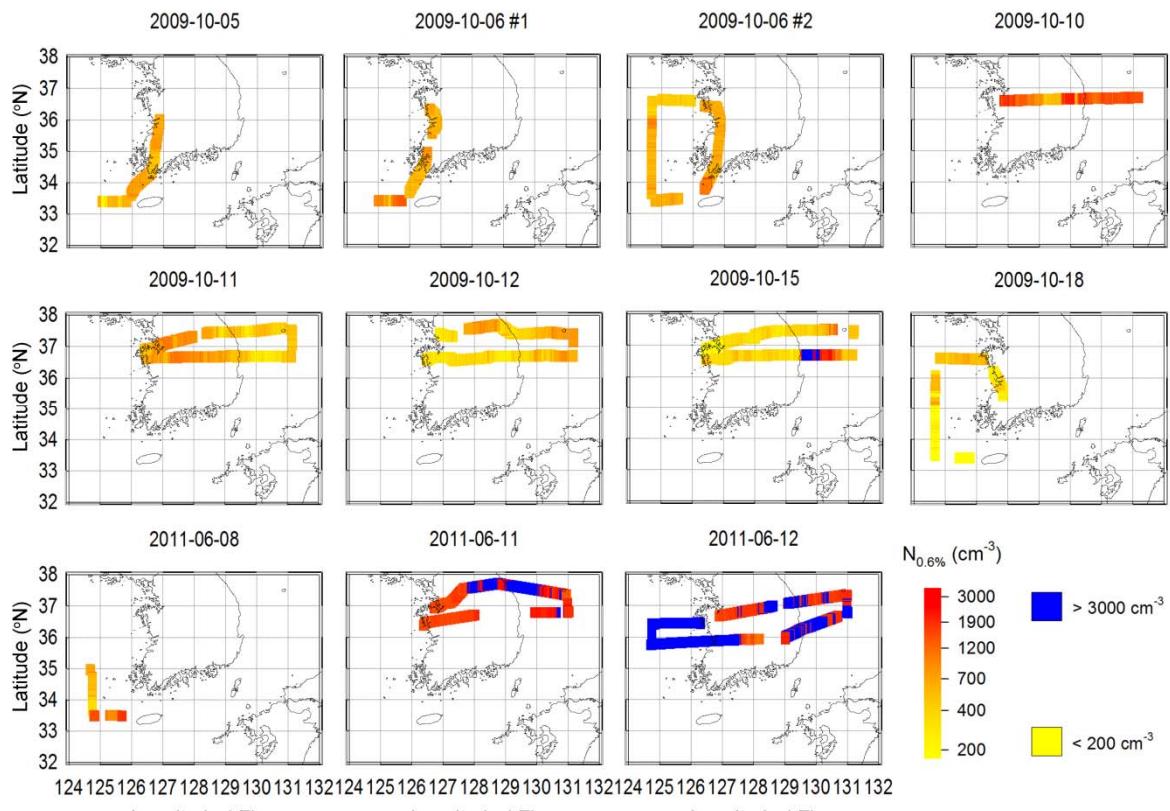


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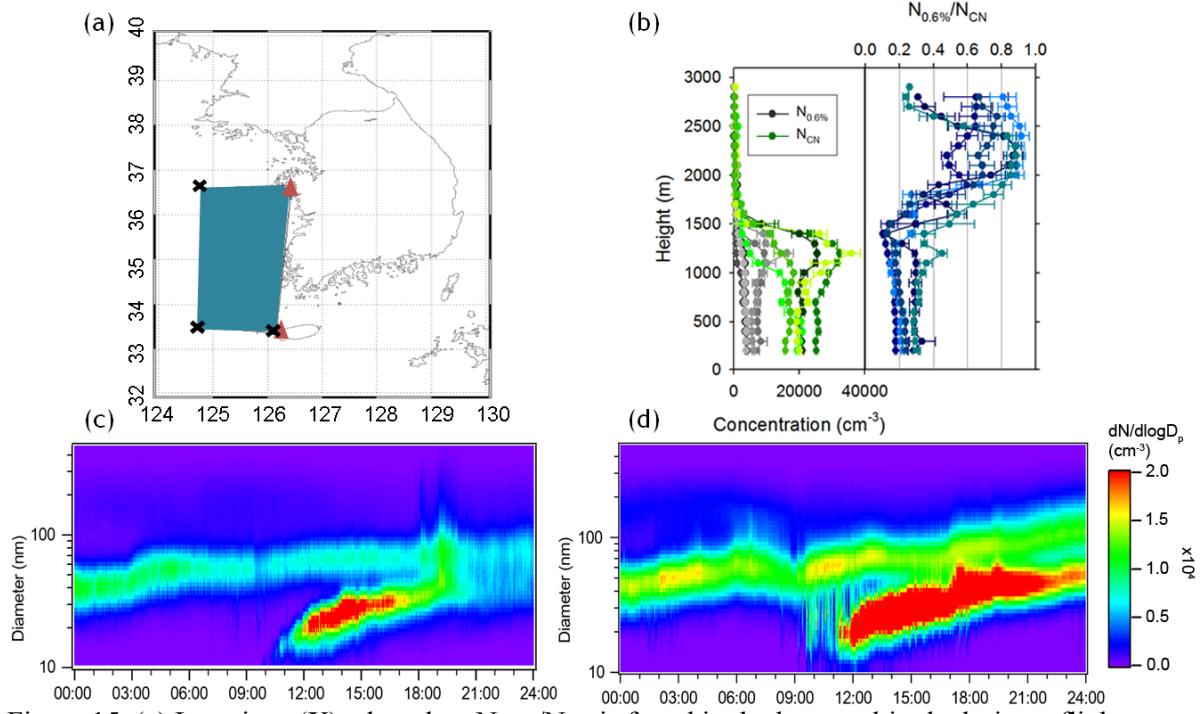
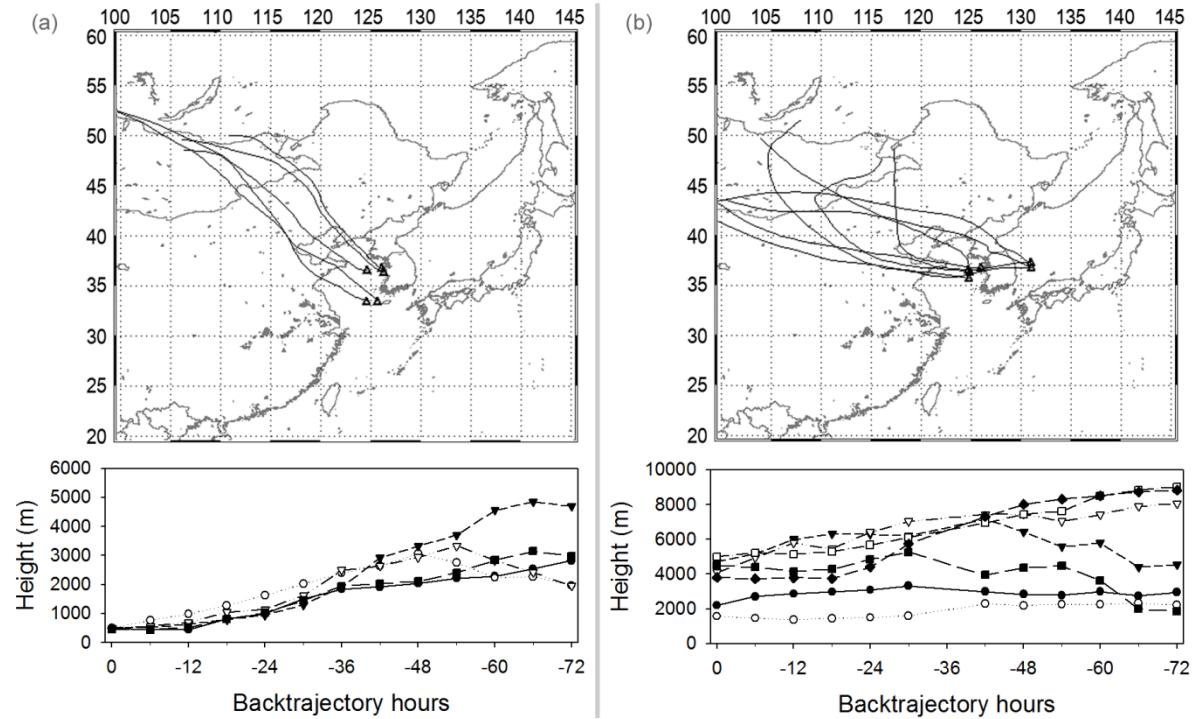
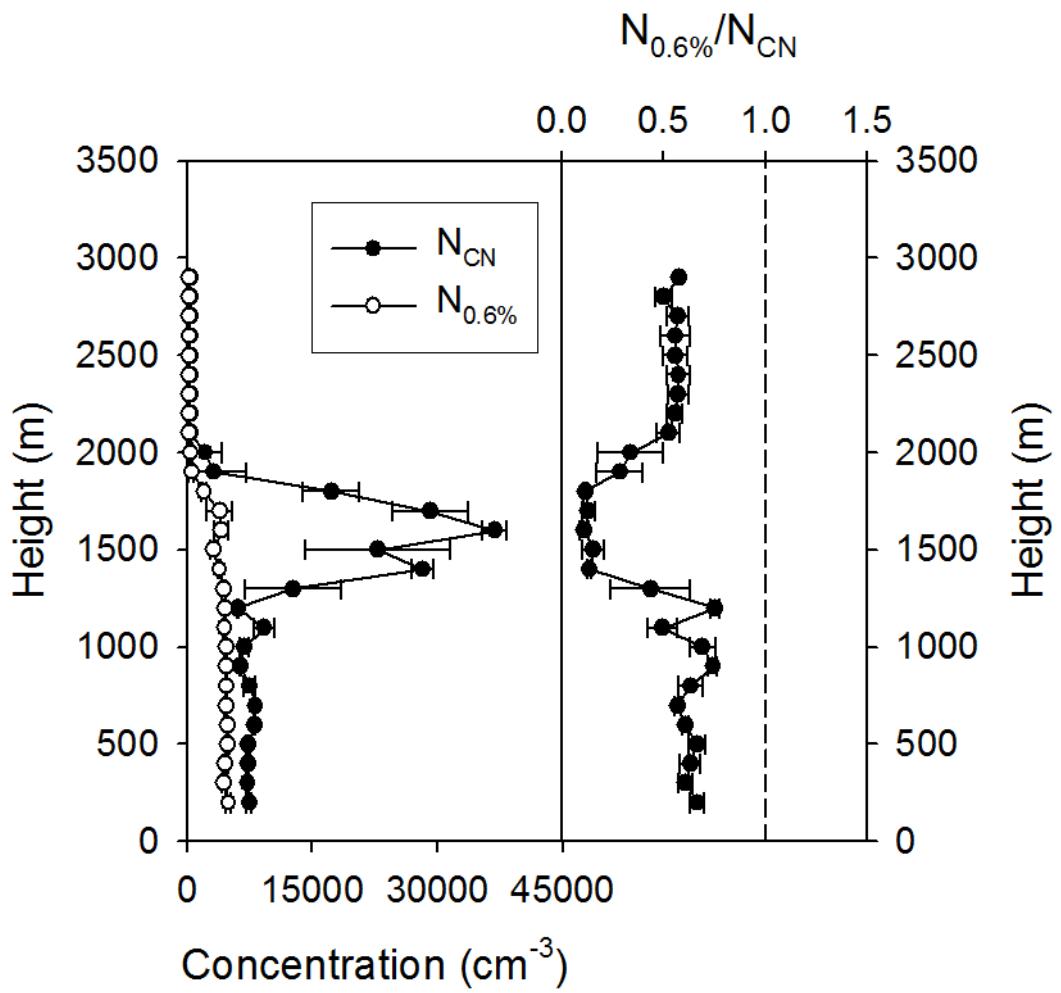


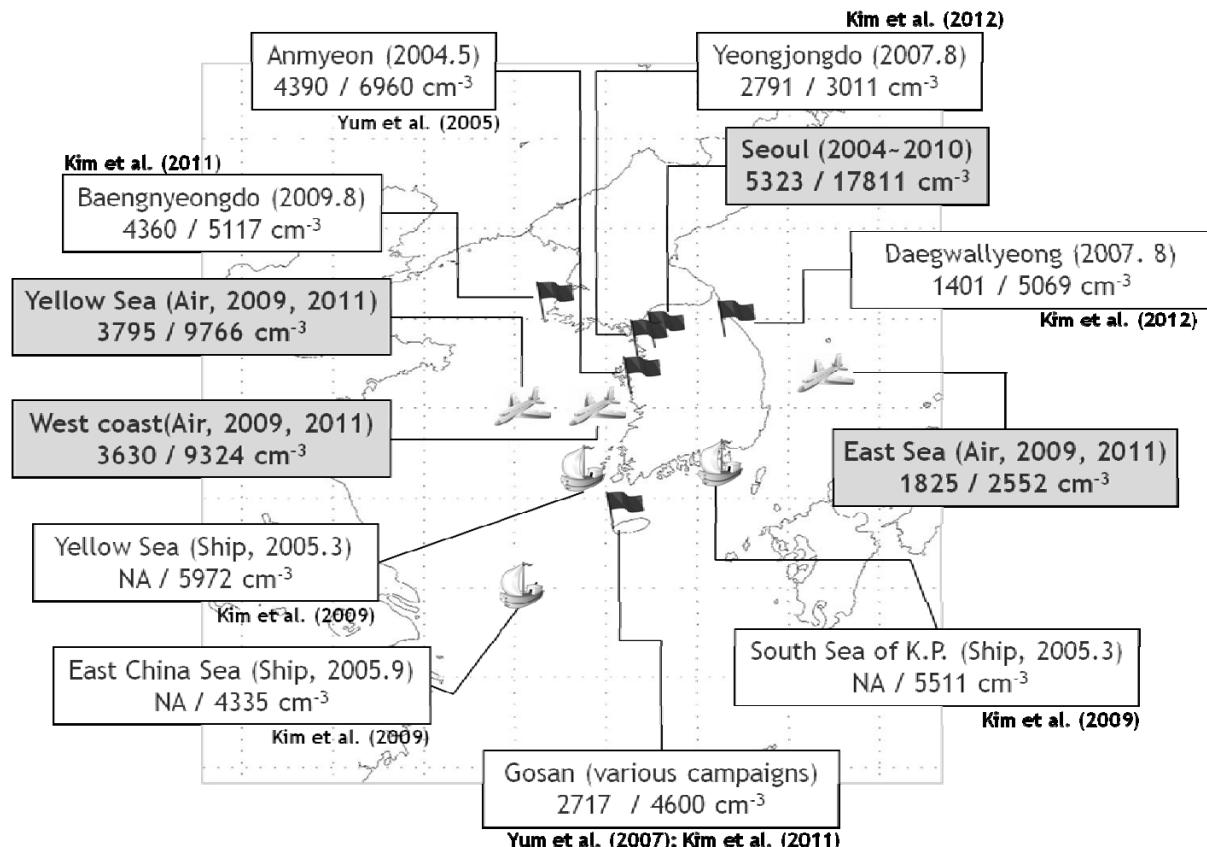
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