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A global 3-D CTM evaluation of black carbon in the Tibetan Plateau

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Abstract

We evaluate the black carbon (BC) simulations for 2006 over the Tibetan Plateau by a global 3-D chemical transport model using surface observations of BC in surface air and in snow and BC absorption aerosol optical depth (AAOD). Using updated Asian
⁵ anthropogenic BC emissions (Lu et al., 2011; Zhang et al., 2009) and global biomass burning emissions (Randerson et al., 2012; van der Werf et al., 2010), model results of both surface BC and BC in snow are statistically in good agreement with observations (biases < 15%). Model results capture the seasonal variation of surface BC concentration, but the observed wintertime high values at rural sites in the Indo-Gangetic Plain
¹⁰ are absent in the model. Model results are in general agreement with observations (within a factor of two) at remote sites. Model simulated BC concentrations in snow are spatiotemporally consistent with observations to the northwest of the Plateau and along the southern slopes of the Himalavas during winter and spring, reflecting

¹⁵ model deficiencies in emissions, topography and BC mixing state. We find that anthropogenic emissions strongly affect surface BC concentration and AAOD, while the BC aging mainly affects BC in snow over the Plateau.

1 Introduction

Black carbon (BC) is the most important light-absorbing particle formed during incom plete combustion. The major sources of BC include fossil fuel and biofuel combustion and open biomass burning (Bond et al., 2004). BC warms the atmosphere by strongly absorbing solar radiation in the visible wavelengths and in the near infrared (Ramanathan and Carmichael, 2008), influences cloud formation by acting as cloud condensation nuclei (Jacobson, 2006), and accelerates snow melting by significantly
 reducing snow and ice albedo (the snow albedo effect) (Flanner et al., 2007; Hansen and Nazarenko, 2004). With a total climate forcing of +1.1 Wm⁻², BC is now con-





sidered the second most important human emission in terms of its climate forcing in the present-day atmosphere after carbon dioxide (Bond et al., 2013; Ramanathan and Carmichael, 2008). The regional warming effect of BC is even stronger, particularly over snow-covered regions (Jacobson, 2004; Flanner et al., 2007, 2009). There is evidence

- that BC aerosols deposited on Tibetan glaciers have been a significant contributing factor to observed rapid glacier retreat in the region (Xu et al., 2009). It has also been proposed that the radiative forcing by increasing deposition of BC in snow as an important cause for the Alps glacier retreat from last little ice age in the mid-19th century (Painter et al., 2013).
- The Tibetan Plateau is the highest plateau in the world with the largest snow and ice mass outside the Polar Regions (Xu et al., 2009). The glaciers in the Plateau and the associated snowmelt are the sources of fresh water for more than one billion people in Asia (Immerzeel et al., 2010). The snowmelt from the Plateau vitally affects agriculture and hydropower through much of South, East, and Southeast Asia. The Plateau also plays a critical role in regulating the Asian hydrological cycle. Changes of snow cover
- affect heat flux and water exchange between the surface and the atmosphere, and further disturb the formation of the Asian monsoon (Lau and Kim, 2006).

Observations over the Tibetan Plateau show a remarkable surface temperature increase and accelerated glacier retreat in the past two to three decades (Qin et al., 2006;

- Prasad et al., 2009), which indicates there may be additional processes (Xu et al., 2009) besides the increasing greenhouse gases (Barnett et al., 2005). Ramanathan et al. (2005, 2007) argued that the snow melting in the Himalayas is related to the enhanced BC amount transported to this region, accounting for half of the observed warming in the region. Recent studies confirmed a strong regional warming caused
- ²⁵ by BC over the Plateau, including more than 1 % decrease of snow/ice cover (Menon et al., 2010; Lau et al., 2010), 2 ~ 5 % reduction of snow albedo (Yasunari et al., 2010), and an increase of runoff in early spring (Qian et al., 2011). Surrounded by the world's two largest BC source regions, South Asia and East Asia (Lamarque et al., 2010), the Plateau has received an increasing BC deposition from 1951 to 2000, particularly after



1990 (Ming et al., 2008). Recent studies have shown that the amount of BC transported to this region has increased by 41 % from 1996 to 2010, with South Asia and East Asia accounting for 67 % and 17 % on an annual basis, respectively (Lu et al., 2012). In addition, long-range transport from Middle East, Europe, and North Africa also contributes to the BC deposition over the Plateau (Carrico et al., 2003; Kopacz et al., 2011; Lu et al., 2012).

The climate effects of BC over the Tibetan Plateau are not well understood, with large uncertainties in the estimates of BC radiative forcing (e.g. Flanner et al., 2007; Kopacz et al., 2011; Ming et al., 2013). One of the major reasons is the large discrepancy be-¹⁰ tween modeled and observed BC concentrations in this region. For example, the simulations of surface BC at several Indian sites near the southern slope of the Himalayas are biased low by more than a factor of two, particularly in winter and spring, based on regional, multi-scaled and global model studies (Nair et al., 2012; Moorthy et al., 2013). Kopacz et al. (2011) and Qian et al. (2011) found significant deviations of model simulated BC in snow from observations at a number of sites over the Plateau. Sato

et al. (2003) and Bond et al. (2013) pointed out large underestimates of BC absorption aerosol optical depth (AAOD) in previous models compared with Aerosol Robotic Network (AERONET) retrievals.

In this study we seek to systematically evaluate a global 3-dimentional chemical transport model (GEOS-Chem) simulation of BC in the Tibetan Plateau, against three types of surface measurements of BC : BC in surface air, BC in snow, and BC AAOD. We further delineate the effects of anthropogenic BC emissions from China and India as well as BC aging process on the simulation. Observations and model description are presented in Sect. 2. Simulations of surface BC, BC in snow and BC AAOD are discussed in Sect. 3. Sensitivity and uncertainty analyses are in Sects. 4 and 5. Finally,

discussion and conclusions are given in Sect. 6.



2 Method

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2.1 Observations

For the sake of clarity, we define here the Tibetan Plateau roughly as the region in 28° N– 40° N latitudes and 75° E– 105° E longitudes. We also define several sub-regions of the Plateau and adjacent regions (Fig. 1); the central Plateau (20° N $_{2}6^{\circ}$ N $_{2}6^{\circ}$ N

- of the Plateau and adjacent regions (Fig. 1): the central Plateau (30° N–36° N, 82° E– 95° E), the northwestern Plateau (36° N–40° N, 75° E–85° E), the northeastern Plateau (34° N–40° N, 95° E–105° E), the southeastern Plateau (28° N–34° N, 95° E–105° E), to the north of the Plateau (40° N–50° N, 85° E–95° E), to the northwest of the Plateau (40° N–50° N, 70° E–85° E), to the northeast of the Plateau (40° N–50° N, 95° E–105° E), and
- the Himalayas. There are rather limited measurements of BC in the Tibetan Plateau. Figure 1 shows sites with measurements of BC surface concentration, concentration in snow, and AAOD in the region.

2.1.1 BC surface concentration

There are 13 sites with monthly or seasonal measurements of surface BC concentration (Table 1 and Fig. 1). Observations are available for 2006 at nine of the sites. Four sites provide observations for 1999–2000, 2004–2005 or 2008–2009. We distinguish these sites as urban, rural, or remote sites based upon annual mean surface BC concentration, following Zhang et al. (2008). The concentration is typically higher than 5 μg m⁻³ at urban sites (within urban centers or near strong local residential and vehicular emissions), in the range of 2–5 μg m⁻³ at rural sites, and less than 2 μg m⁻³ at more remote, pristine sites.

Ganguly et al. (2009b) retrieved surface BC concentration at Gandhi College site by combining aerosol optical properties from AERONET measurements and aerosol extinction profiles from Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) observations. The retrieval is rather sensitive to errors in the aerosol single scattering albedo, size distribution and vertical profiles derived from the observations (Gan-





guly et al., 2009a). Measurements at Delhi (28.6° N, 77.2° E, 260 ma.s.l.), Digrugarh (27.3° N, 94.6° E, 111 ma.s.l.), Kharagpur (22.5° N, 87.5° E, 22 ma.s.l.) and Nepal Climate Observatory at Pyramid (NCOP, 28.0° N, 86.8° E, 5079 ma.s.l.) use Aethalometer (Pathak et al., 2010; Beegum et al., 2009) or Multi-angle Absorption Photometer (Nair et al., 2012; Bonasoni et al., 2010). The uncertainties of these measurements are mainly from the interference from other components in aerosols in the samples (Petzold and Schonlinner, 2004; Bond et al., 1999) and the shadowing effects under high filter loads (Weingartner et al., 2003). BC concentrations at the other sites were derived from measurements of the Thermal Optical Reflectance or Thermal Optical Transmittance (Ming et al., 2010; Ram et al., 2010a, b; Qu et al., 2008; Zhang et al., 2008; Carrico et al., 2003). The measurements are strongly influenced by the temperature selected to separate BC and organic carbon (OC) (Chow et al., 2004; Schmid et al., 2001).

2.1.2 BC concentration in snow

- ¹⁵ There are 16 sites with monthly or seasonal measurements of BC concentration in snow during 1999–2007 and two with annual measurements (Xu et al., 2006, 2009; Ming et al., 2009a, b, 2012, 2013). These sites are at high-elevation (> 3500 ma.s.l.), remote locations in the Himalayas and other parts of the Plateau (Table 2 and Fig. 1). The snow and ice samples taken from these sites are heated and filtered through fiber
- filters in the laboratory. Thermal techniques (Cachier and Pertuisot, 1994; Chow et al., 2004) are then used to isolate BC from other constituents (especially OC) in the filters, followed by analysis using carbon analyzers including heating-gas chromatography (Xu et al., 2006), optical carbon analysis (Chow et al., 2004) and coulometric titration-based analysis (Cachier and Pertuisot, 1994). The accuracy of the heating-gas chromatogra-
- ²⁵ phy system is dominated by the variability of the blank loads of pre-cleaned filters (Xu et al., 2006). The coulometric titration-based analysis measures the acidification of the solution by carbon dioxide produced from BC combustion in the system (Ming et al., 2009a), where the pH value of the solution may be interfered by other ions.





2.1.3 AERONET AAOD

There are 14 AERONET sites with AAOD retrievals in the Tibetan Plateau and adjacent regions (Table 3 and Fig. 1). These sites are mostly in the Indo-Gangetic Plain, in northern India and along the southern slope of the Himalayas. We infer BC AAOD

- ⁵ from monthly averaged AOD data from 2006 to 2012, following Bond et al. (2013). The measurements provide sun and sky radiance observations in the mid-visible range (Dubovik and King, 2000), which allows for inference of aerosol column absorption from retrievals of AOD and single scattering albedo (SSA) via AAOD = AOD × (1-SSA). Both BC aerosols and dust particles contribute to the absorption. The absorption by fine-
- ¹⁰ mode aerosols is primarily from BC while the absorption by larger particles (diameter > 1 μ m) is principally from dust. Dust AAOD is estimated from the super-micron part of aerosol size distributions provided by the AERONET retrieval method and an assumed refractive index of 1.55 + 0.0015i (Bond et al., 2013). BC AAOD is then the difference between the total and dust AAOD. This process attributes all fine-mode aerosol absorp-
- tion to BC. Because of the contributions from OC and fine dust particles to fine-mode AAOD, the inferred BC AAOD is likely biased high. Bond et al. (2013) estimated that the uncertainty from the impacts of dust and OC on the fine-mode AAOD can be as large as 40–50 %. The limited AERONET sampling in this region is another source of uncertainty (Bond et al., 2013).

20 2.2 Model description and simulations

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The GEOS-Chem model is driven by assimilated meteorology from the Goddard Earth Observing System (GEOS) of the NASA Global Modeling and Assimilation Office (GMAO). We use here GEOS-Chem version 9-01-03 (available at http://geos-chem. org), driven by GEOS-5 meteorological fields. The meteorological fields have a native horizontal resolution of $0.5^{\circ} \times 0.667^{\circ}$, 72 vertical layers, and a temporal resolution of 6 h (3 h for surface variables and mixing depths). The spatial resolution is degraded to $2^{\circ} \times 2.5^{\circ}$ in the horizontal and 47 layers in the vertical (from the surface to 0.01 hPa) for





computational expediency. The lowest model levels are centered at approximately 60, 200, 300, 450, 600, 700, 850, 1000, 1150, 1300, 1450, 1600, 1800 ma.s.l.

Tracer advection is computed every 15 min with a flux-form semi-Lagrangian method (Lin and Rood, 1996). Tracer moist convection is computed using GEOS convective,

entrainment, and detrainment mass fluxes as described by Allen et al. (1996a, b). The deep convection in GEOS-5 is parameterized using the relaxed Arakawa–Schubert scheme (Moorthi and Suarez, 1992; Arakawa and Schubert, 1974), and the shallow convection treatment follows Hack (1994). Park et al. (2003, 2006) first described GEOS-Chem simulation of carbonaceous aerosols.

10 2.2.1 BC emissions

The global anthropogenic BC emissions are from Bond et al. (2007), with an annual emission of 4.4 TgC for the year 2000. Anthropogenic BC emissions in Asia, chiefly in China and India, have increased significantly since 2000 (Granier et al., 2011). Zhang et al. (2009) developed an Asian anthropogenic BC emissions (for China and India and the rest of Asia) for 2006 for the Intercontinental Chemical Transport Experiment-B (INTEX-B) field campaign (Singh et al., 2009), with considerable updates to a previous inventory developed by Streets et al. (2003). They employed a dynamic methodology that accounts for rapid technology renewal and updated the fuel consumption data. Lu et al. (2011) further updated the activity rates, technology penetration data and emission factors in China and India, and reported anthropogenic BC emissions are previous and provide an anthropogenic BC emission and anthropogenic BC emission and anthropogenic BC emission and anthropogenic BC emission and previous inventory developed by Streets et al. (2003). They employed a dynamic methodology that accounts for rapid technology renewal and updated the fuel consumption data.

- ²⁰ emission factors in China and India, and reported anthropogenic BC emissions only in these two countries for 1996–2010. Table 4 is a summary of the two inventories. Anthropogenic BC emissions in India are lower in the Zhang et al. (2009) inventory (hereinafter the INTEX-B inventory) than in the Lu et al. (2011) inventory (hereinafter the LU inventory) by a factor of two, while emissions in China are 10% higher in the
- INTEX-B inventory than in the LU inventory (Table 4). The higher emissions in India in the LU inventory are primarily a result of the updated biofuel emission factors and the new method used to estimate biofuel consumptions. The biofuel emissions, which are dominated by residential burning, account for more than 50 % of total BC emissions in





India (Lu et al., 2011). There are large uncertainties in both inventories. The uncertainty in the LU inventory ranges from -43% to 93% for China and from -41% to 87% for India (Lu et al., 2011), while it is $\pm 208\%$ for China and $\pm 360\%$ for India in the INTEX-B inventory (Zhang et al., 2009).

- Global biomass burning emissions are from the Global Fire Emissions Database version 3 (GFEDv3) (van der Werf et al., 2010). Kaiser et al. (2012) showed that GFEDv3 underestimates carbon emissions by a factor of 2–4 globally because of undetected small fires. Randerson et al. (2012) reported an updated GFEDv3 inventory that accounts for small fire emissions. Small fires increase carbon emissions by 50 %
- in Southeast Asia and Equatorial Asia (Randerson et al., 2012). We use the GFEDv3 emissions with a monthly temporal resolution in the present study. The uncertainty of the GFEDv3 emissions is at least 20% globally and higher in boreal regions and Equatorial Asia (van der Werf et al., 2010). The major uncertainty lies in insufficient data on burned area, fuel load and emission factor (van der Werf et al., 2010; Randerson et al., 2012).

2.2.2 BC deposition

Simulation of aerosol dry and wet deposition follows Liu et al. (2001). Dry deposition of aerosols uses a resistance-in-series model (Walcek et al., 1986) dependent on local surface type and meteorological conditions. There have since been many updates.
A standard resistance-in-series scheme (Wesely, 1989) has been implemented in the non-snow/non-ice regions (Wang et al., 1998) with a constant aerosol dry deposition velocity of 0.03 cm s⁻¹ prescribed over snow and ice (Wang et al., 2011). This velocity is within the range (0.01–0.07 cm s⁻¹) employed in Liu et al. (2011) to improve the BC simulation in the AM3 global model (Donner et al., 2011). We found that dry deposition accounts for 20% of the total BC deposition over the Tibetan Plateau in winter and 10% in summer.

Liu et al. (2001) described the wet scavenging scheme for aerosols in the GEOS-Chem. Wang et al. (2011) implemented in the model a new below-cloud scavenging





parameterization for individual aerosol mode, which distinguishes between the removal by snow and by rain drops for aerosol washout. They also applied different in-cloud scavenging schemes to cold and to warm clouds, and with an improved areal fraction of a model grid box that experiences precipitation. These changes are included in the 5 GEOS-Chem version used for the present study.

The GEOS-Chem model does not directly predict BC (or any aerosols for that matter) in snow at the surface in the absence of a land-surface model that explicitly treats snow including its aging. As an approximation, we estimate BC concentration in snow in the model as the ratio of total BC deposition to total precipitation, following Kopacz et al. (2011) and Wang et al. (2011). The use of total precipitation here is justified con-

- et al. (2011) and Wang et al. (2011). The use of total precipitation here is justified considering the low temperature typically over the Tibetan Plateau (Wu and Liu, 2004). We also neglect the aging of surface snow, which potentially can be important. Our calculation of BC concentration in snow assumes a well mixing of BC and snow. However, BC content is not uniform throughout a snow column. Thus, an ideal comparison
- of modeled and observed BC concentration in snow should be for the same depth of a snow column. Also, ignoring snow aging and internal mixing of snow and BC conceivably contributes to the underestimate of BC concentration in snow in the model. This may be an especially important issue for comparisons in the central Plateau and to the north of the Plateau, where snow melting has been suggested to strongly increase BC
 concentration in snow (Zhou et al., 2007; Ming et al., 2013).

2.3 BC aging

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Freshly emitted BC is mostly (80%) hydrophobic (Cooke et al., 1999). Hydrophobic BC becomes hydrophilic typically on the timescale of a few days (McMeeking et al., 2011 and references therein), because of coating with soluble materials like sulfate and organic matter (Friedman et al., 2009; Khalizov et al., 2009). The internal mixing of BC and other aerosol constituents significantly changes the morphology, hygroscopicity and optical properties of BC particles (Zhang et al., 2008). This further influences BC absorption efficiency (Bond et al., 2006) and lifetime against deposition (Mikhailov





et al., 2001). However, the aging process is not explicitly simulated in the GEOS-Chem, where an e-folding time of 1.15 days for the conversion of hydrophobic to hydrophilic BC is simply assumed (Park et al., 2005; Kopacz et al., 2011; Wang et al., 2011). Liu et al. (2011) proposed a parameterization for BC aging where the conversion time is not uniform but varies. Specifically, the conversion is assumed to be primarily a result of

- sulfuric acid deposition (condensation) onto BC particles and the mass deposition rate is proportional to the concentration of gaseous sulfuric acid and to the BC particle surface area. Gaseous atmospheric sulfuric acid is a product of sulfur dioxide oxidation by the hydroxyl radical (OH). Consequently its steady-state concentration is linearly linked
- to OH concentration. Thus, in the absence of nucleation and coagulation, the BC aging rate can be parameterized as a linear function of OH concentration.

2.4 Simulations

For the present study, we conducted three GEOS-Chem simulations for 2006 (Table 5). Detailed discussions and justifications for these model experiments are provided below

¹⁵ where appropriate. Model results are sampled at the corresponding locations of the measurement sites. Model results presented here are monthly averages. As pointed out in previous studies (Mao et al., 2011; Fairlie et al., 2007), comparing localized observations with model results that are representative of a much larger area is inherently problematic. The mountainous sites and the complex terrain in the Tibetan Plateau fur-20 ther complicate the comparison.

In experiment A, we replace the Bond et al. (2007) emissions in China and India with the LU inventory and use the INTEX-B inventory for the rest of Asia. This is our standard simulation and the results are used for all model evaluations presented here unless stated otherwise. We find that wet deposition accounts for 83 % of the global an-

²⁵ nual BC deposition, consistent with the previous results of 78.6±17 % from the Aerosol inter-Comparison project (AeroCom) multi-model study (Textor et al., 2006). The tropospheric lifetime of BC against deposition is 5.5 days, within the range of 5–11 days reported by Koch et al. (2009). The difference between experiments B and A is that we



replace the Bond et al. (2007) emissions in China and India with the INTEX-B inventory in experiment B. By contrasting model results from these two experiments, we aim to assess the sensitivity of BC in the Tibetan Plateau to changes in the anthropogenic emissions from India and China, the two largest source regions of BC to the Plateau

- ⁵ (Kopacz et al., 2011), as will be discussed in further details in Sect. 4. Both experiments A and B use an e-folding time of 1.15 days for BC aging. Experiment C applies the Liu et al. (2011) parameterization for BC aging instead. We used monthly mean OH concentrations with diurnal variations in the parameterization, which is derived from the offline GEOS-chem simulation with the same spatial resolution as BC simulations. The
- resulting e-folding time is 2.5 days on average globally and 2 days in Asia. The longer e-folding time results in longer atmospheric lifetime, larger deposition and higher hydrophobic fraction of BC over the Tibetan Plateau (not shown). We discuss further in Sect. 5 the differing results between experiments C and A, which allow us to appraise the effect of a variable BC aging time on BC in the Plateau.

15 3 Results

3.1 BC in surface air

Surface concentrations of BC at Lhasa and Delhi, two urban sites (see Table 1), are strongly affected by emissions from city traffic and industries (Zhang et al., 2008; Beegum et al., 2009). The BC concentrations at Dibragarh are highly impacted by the emissions from the oil wells upwind and vehicular emissions from national highways nearby (Pathak et al., 2010). The concentrations at Dunhuang, a well-known tourist attraction and archaeological site, likely reflect vehicular emissions associated with tourist traffic including tour buses. All four sites are characterized by strong local emissions. Model results reproduce the seasonal trends at these "urban" sites (sites that are near urban centers or heavily influenced by local emissions), but are low by





an order of magnitude (Fig. 2 and Table 1). We thus exclude these four sites from our analysis hereinafter.

Figure 3 shows surface BC concentrations at Kharagpur (22.5° N, 87.5° E, 28 ma.s.l., Fig. 3a), Gandhi College (25.9° N, 84.1° E, 158 ma.s.l., Fig. 3b) and Kanpur (26.4° N, 80.3° E, 142 ma.s.l., Fig. 3c), three rural sites. Model results reproduce the observed BC concentrations with the exception of winter, when the model underestimates the concentrations by 50 %. The high wintertime concentrations are primarily because of emissions from agricultural waste and wood fuel burning that is dominant over the Indo-Gangetic Plain during winter (Ram et al., 2010b). The wintertime low biases in the model therefore clearly call for improved (increased) emission estimates. Moorthy et al. (2013) found that modeled surface BC concentrations in this region are underestimated by more than a factor of two during winter when the planetary boundary layer

(PBL) is convectively stable, while model underestimates are smaller in summer when the PBL is unstable. They suggested that the overestimate of wintertime PBL height in chemical transport models is an important contributor to model underestimates of sur-

- face pollutant concentrations. Lin and McElory (2010) pointed out that the assumption of full PBL mixing (instantaneous vertical mixing throughout the mixing depth) in the GEOS-Chem tends to overestimate vertical mixing under a stable PBL condition. They proposed and implemented in GEOS-Chem a non-local PBL mixing scheme (Holtslag
- and Boville, 1993; Lin et al., 2008), where the mixing states are determined by static instability. They used a local K-theory scheme (Louis, 1979) for a stable PBL and added a "non-local" term for an unstable PBL to account for the PBL-wide mixing triggered by large eddies. Our results show that the non-local boundary layer mixing increases surface BC concentrations by up to 25% in winter and spring, a significant improvement. Noir et al. (2012) abound that the non-local boundary layer mixing a till tende to
- ²⁵ ment. Nair et al. (2012) showed that the non-local boundary layer mixing still tends to overestimate the vertical mixing during winter in the Indo-Gangetic Plain.

Model results are within $\pm 50\%$ of the observations at two remote sites, Zhuzhang (28.0° N, 99.7° E, 3583 ma.s.l., Fig. 3h) and NCOS (30.8° N, 91.0° E, 4730 ma.s.l., Fig. 3i), where observations are available for only fall and winter. Model results are





lower than the observations at NCOP (28.0° N, 86.8° E, 5079 ma.s.l., Fig. 3e) and Nagarkot (27.7° N, 85.5° E, 2150 ma.s.l., Fig. 3g) by a factor of two in spring. The latter two sites are influenced by emissions from nearby Nepal valleys transported by the mountain-valley wind (Carrico et al., 2003; Bonasoni et al., 2010). In contrast, model re-

- ⁵ sults capture the relatively high concentrations in winter and spring observed at Manora Peak (29.4°,79.5° E,1950 m a.s.l., Fig. 3d) and Langtang (28.1° N, 85.6° E, 3920 m a.s.l., Fig. 3f), but overestimate the summertime concentrations by a factor of two. Part of the discrepancies is explained by the inherent difficulty in simulating the meteorological fields over the complex Himalayan terrain. Chen et al. (2009) showed that the terrain
- ¹⁰ effects and meteorological features in the Tibetan Plateau are not entirely reproduced by the GEOS-5 meteorological fields. Such difficulty is not unique to the Himalaya region. Emery et al. (2012) also showed that the transport of chemical species is not well simulated over the complex terrain in the western US using GEOS-Chem with GEOS-5 meteorological fields $2^{\circ} \times 2.5^{\circ}$.
- ¹⁵ There is a small negative bias of $-0.3 \,\mu gm^{-3}$ in model simulated surface BC concentrations (Fig. 4, left column), and the difference between model results and the observations is statistically insignificant. Overall, the model reproduces the spatiotemporal variation of surface BC concentrations in the Tibetan Plateau (r = 0.9, root-meansquare-error RMSE = $1.3 \,\mu gm^{-3}$), but misses the high values (Fig. 4).

20 3.2 BC in snow

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BC deposition and precipitation together determine BC concentration in snow, which we approximate as the ratio of total BC deposition to total precipitation (see Sect. 2.2.2). Figure 5 shows GEOS-Chem simulated annual mean BC deposition and GEOS-5 precipitation over Asia. The largest depositions over the Tibetan Plateau are in the Himalayas and the southeastern Plateau (Fig. 5a), reflecting the proximity of strong BC sources in northern India and southwestern China (Lu et al., 2012) and the intense precipitation in the region (Fig. 5b). The northern Plateau is heavily influenced by BC





transported in the westerlies (Kopacz et al., 2011; Lu et al., 2012), but the lack of strong precipitation (Fig. 5b) results in considerably small BC deposition (Fig. 5a).

Table 2 shows BC concentrations in snow at 18 sites across the Plateau. The concentrations are 30 % lower during the monsoon season (June–September) than during

- the non-monsoon seasons (October–May), both in the observations and in the model. Here the monsoon and non-monsoon seasons are defined following Xu et al. (2009). The lowest concentrations (minimum of 4.3 μgkg⁻¹) are seen in the northern slope of the Himalayas, while the highest values (maximum of 141 μgkg⁻¹) are found to the north of the Plateau. Such spatial variation largely reflects the different elevations of
- the sites. Ming et al. (2009a, 2013) have shown that observed BC concentration in snow over the Tibetan Plateau is inversely correlated with the elevation of a site, with lower concentrations at higher elevations. Our model results capture this spatial variation, but deviate from the observations by more than a factor of two at several sites in the Himalayas and the central Plateau (Table 2).
- ¹⁵ Model results overestimate BC concentrations in snow during the monsoon by a factor of 2–4 at three Himalayan sites, Zuoqiupu (29.2° N, 96.9° E, 5500 ma.s.l.), East Rongbuk (28.0° N, 87.0° E, 6500 ma.s.l.) and Namunani (30.4° N, 81.3° E, 5900 ma.s.l.) (Fig. 6). Wet scavenging accounts for more than 80% of the BC deposition over the Tibetan Plateau during the monsoon season in the model. The large overestimate in
- the model implies either excessive wet deposition or too weak precipitation or both in the Himalayas, given that BC concentration in snow is approximated as the ratio of BC deposition to total precipitation (see Sect. 2.2.2). Figure 7 shows the monthly precipitation over different parts of the Tibetan Plateau from the Global Precipitation Climatology Project (GPCP, Huffman et al., 2001), the NOAA Climate Prediction Center (CPC)
- ²⁵ Merged Analysis of Precipitation (CMAP, Xie and Arkin, 1997), the University of East Anglia Climate Research Unit (CRU, Harris et al., 2014) and GEOS-5. GPCP precipitation is generally consistent with that from CMAP in most parts of the Plateau except the southeastern Plateau, where it is stronger by more than a factor of two. CRU precipitation tends to be much stronger than those from GPCP and CMAP during the monsoon





season, particularly in the southeastern Plateau and the Himalayas (Fig. 7). Previous studies have shown that the monsoon precipitation in the Himalayas is too weak in both GPCP and CMAP data (Kitoh and Kusunoki, 2008; Voisin et al., 2008) yet too strong in the CRU data (Zhao and Fu, 2006; Xie et al., 2007). The scarcity of observational sites

- and the complex terrain of the Himalayas are two of the principle reasons for large uncertainties in and apparent inconsistences among different precipitation datasets (Ma et al., 2009; Andermann et al., 2011). Figure 7 shows that GEOS-5 precipitation is stronger than GPCP and CMAP data by a factor of two in the Himalayas during the monsoon season. To probe the sensitivity of BC deposition and our calculated BC
- ¹⁰ concentration in snow in the Himalayas to precipitation, we conducted a GEOS-Chem simulation where we reduced GEOS-5 precipitation in the region by 20% during the monsoon season. The resulting BC wet deposition is only slightly lower (up to 5%), rather insensitive to changes in presumably already intense precipitation during the monsoon season in the region. This lack of strong sensitivity reflects an already ef-
- ficient wet scavenging of BC in the intense monsoon precipitation. The resulting BC concentrations in snow are higher by 18% on average in the region, because the reduced precipitation tends to concentrate BC in snow. Therefore, model overestimates of BC concentration in snow in the region cannot be resulted from the overestimate of monsoon precipitation but from the excessive BC deposition. This is likely a result
- of overlong BC lifetime due to insufficient wet removal. Wang et al. (2014) compared GEOS-Chem simulated atmospheric BC concentrations with the HIAPER Pole-to-Pole Observations (HIPPO) aircraft measurements and concluded that wet scavenging in the model is too weak. The excessive deposition is also likely because of too strong PBL mixing, which lifts up excessive BC into the free troposphere. Our results show the table of the table of the table.
- that the non-local boundary layer mixing (Lin and McElory, 2010) reduces BC wet deposition by up to only 5 % on average in the Himalayas during the monsoon season.

Figure 6 shows that our calculated BC concentrations in snow are lower than observations by a factor of two across the sites in the central Plateau. Ming et al. (2009a) pointed out that this region is predominantly influenced by biofuel burning (residential





cooking and heating) and biomass burning from religious activities. These local emissions are largely unaccounted for in current emission inventories (Wang et al., 2012). In addition, it is likely that the lack of consideration of snow aging leads to lower estimate of BC concentration in snow (Xu et al., 2006). We do not include two sites, Meikuang

- and Zhadang, in the comparison here, because of local emissions from coal-containing rock strata (Xu et al., 2006) at the former and strong snow melting (Zhou et al., 2007) at the latter. GEOS-5 precipitation in the central Plateau is in general agreement with those from CMAP and CRU during the non-monsoon season and that from GPCP during the monsoon season (Fig. 7e).
- Model results are consistent with observations at the elevated sites in the northwestern and northeastern Plateau and to the north of the Plateau (Fig. 6), where free tropospheric BC is dominated by northern mid-latitude pollution transported by the westerlies (Kopacz et al., 2011; Lu et al., 2012). Regional emissions from western and central China also contribute to BC deposition in these regions (Lu et al., 2012). Although precipitation in these regions is weaker in GEOS-5 than in GPCP and CMAP
- (Fig. 7b and d), previous studies have shown that GPCP and CMAP precipitation is likely too strong there (Voisin et al., 2008; Ma et al., 2009).

Overall model results of BC concentration in snow have a small negative bias but a large RMSE (Fig. 4, middle column) caused by the large discrepancies in the Hi-

²⁰ malayas and the central Plateau. Model results are statistically in good agreement with observations and reproduce the observed spatiotemporal variation (r = 0.85).

3.3 BC AAOD

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Modeled BC AAOD is consistently biased lower than the AERONET retrievals at most sites on both a monthly (Fig. 4, right column) and an annual basis (Table 3). The annual mean modeled BC AAOD over the Tibetan Plateau is 0.002 (Fig. 8), considerably lower than the observations. Model results somewhat capture the observed spatial and seasonal trends (r = 0.53), but to varying degrees underestimate the magnitudes (Fig. 9). Forty percent of the data points are too low by more than a factor of two in the

Discussion ACPD 14, 7305–7354, 2014 Paper A global 3-D CTM evaluation of black carbon in the Tibetan **Discussion** Paper Plateau C. He et al. **Title Page** Abstract Introduction Discussion Conclusions References Figures Tables Paper Back Close **Discussion** Pape Full Screen / Esc **Printer-friendly Version** Interactive Discussion



model, particularly at sites in the Himalayas and to the northwest of the Plateau, especially during the large emission seasons of winter and spring. Most of the AERONET measurements in and around the Plateau are from after 2006, while our model results are for only 2006. BC emissions in India have increased by $3.3 \% \text{ yr}^{-1}$ since 2006

⁵ (Lu et al., 2011). Therefore the large low bias of model results clearly bears out the abovementioned temporal (hence emission) match. We also note that there are large uncertainties in the AERONET AAOD retrieval (Bond et al., 2013), and that BC AAOD data is only scarcely available in the Plateau and adjacent regions.

Another equally important factor contributing to the large discrepancy is the assumption of external mixing of BC in the model, which leads to weaker BC absorption (Jacobson, 2001). Previous studies have found that BC absorption is enhanced by 50 % due to internal mixing (Bond et al., 2006). A 50 % increase to our model results would largely reduce the discrepancy, particularly for sites in the Indo-Gangetic Plain and the southeastern Plateau and to the northeast of the Plateau (Table 3 and Fig. 9). There

- ¹⁵ is evidence that the enhancement of BC absorption due to internal mixing may be considerably smaller than previously thought (Cappa et al., 2012). It is clear that the large discrepancy of more than a factor of two in the Himalayas and to the northwest of the Plateau cannot be fully explained by the lack of BC internal mixing consideration (and the associated larger absorption) in the model. Bond et al. (2013) pointed
- out that most models significantly underestimate BC AAOD, particularly in South and Southeast Asia, primarily because of the absence of internal mixing and the underestimated emissions. They recommended scaling up modeled BC AAOD to AEROENT observations in order to accurately estimate BC radiative effects.

Therefore, although surface BC concentration is relatively well captured by model results (see Sect. 3.1), more measurements of vertical profiles over the Tibetan Plateau are imperative for evaluating column quantities such as BC AAOD.



4 Sensitivity to BC emissions

Figure 3 shows that model simulated surface BC concentrations are considerably lower in experiment B (using the INTEX-B inventory) relative to experiment A (using the LU inventory). The difference in surface BC concentration is more than 30 % at rural sites

- and 10–20% at remote sites, decreasing with distance from the source region. Such varying difference in surface BC concentration largely reflects the spatially non-uniform differences between the two emission inventories. The difference in BC concentration in snow between the two sets of results is less than 20%. The relatively smaller difference is because the sites with measurements of BC concentration in snow are invariably re-
- ¹⁰ mote high-elevation sites, further away from the source regions. BC concentrations in snow are higher over the northwestern and northeastern Plateau and to the north of the Plateau but lower in the Himalayas and the central Plateau in experiment B than in A. This is because of the lower BC emissions in the central Plateau and India and the higher emissions in northwestern and central China in the INTEX-B than in the LU
- ¹⁵ inventories. BC AAOD values are higher (< 15%) to the northeast and northwest of the Plateau and lower (10–60%) in the Indo-Gangetic Plain in experiment B than in A. Therefore, both surface BC concentration and AAOD along the southern slope of the Himalayas are strongly sensitive to Indian emissions, while the high-altitude remote sites are less affected by the emission changes in the source regions. Overall, experi-
 ²⁰ ment B results show larger negative bias and root mean square error (RMSE) (Table 6)
- and lower Taylor score (Fig. 10) relative to experiment A. As such, our results suggest that the INTEX-B inventory considerably underestimates anthropogenic BC emissions in India.

5 Sensitivity to BC aging parameterization

²⁵ Compared with model results from the standard simulation (experiment A, Table 5), the use of Liu et al. (2012) parameterization for BC aging in the model (experiment C, Ta-





ble 5) results in increased surface BC concentrations, BC concentrations in snow, and BC AAOD, because of the longer BC atmospheric lifetime against wet scavenging (see Sect. 2.2.3). The increase in surface BC concentration is 1% on average (maximum 3%) at rural sites and 10% on average (maximum 30%) at remote sites (Fig. 3). The
increase in BC AAOD is 10% on average (maximum 30%) at most AERONET sites but leads to lower spatiotemporal correlations with observations (Table 6). The aging parameterization has a much stronger impact on model simulated BC concentration in snow than on surface BC concentration and BC AAOD. The increase in BC concentration in snow is more than 30% at a number of sites in the Himalayas and the central Plateau (Table 2). Compared with the standard simulation (experiment A), the use of the Liu et al. (2012) parameterization results in an overestimate of BC concentration in snow relative to observations, which increases the absolute bias by a factor of two (Table 6) and decreases the Taylor score (Fig. 10). This suggests that the Liu et al. (2011) aging parameterization may result in too long conversion times of BC (from

¹⁵ hydrophobic to hydrophilic) hence too long atmospheric lifetimes.

6 Summary and conclusions

We systematically evaluated global 3-D chemical transport model simulations of BC in the Tibetan Plateau against surface measurements of BC in surface air, BC in snow, and BC AAOD for 2006.

²⁰ Model results captured the seasonal variation of surface BC concentrations, but the observed winter high values at rural sites were absent in the model. Model simulated surface BC concentrations at remote sites were generally within a factor of two of the observations. Model simulated BC concentrations in snow were spatiotemporally consistent with observations. Model results were too high at several sites in the Himalayas

and too low in the central Tibetan Plateau. The highest concentrations of surface BC and BC in snow were seen north of the Plateau (40° N–50° N) and along the southern slope of the Himalayas during non-monsoon season. Model results of both surface BC





and BC in snow showed no statistically significant difference with observations with biases less than 15%. Model results of BC AAOD were biased low at most AERONET sites over the Plateau, especially to the northwest of the Plateau and in the Himalayas during winter and spring. This large negative bias were mainly because of the under-

estimated emissions and the assumption of external mixing in the model, though the retrieved AAOD has a positive bias (Bond et al., 2013). This implies that the modeled BC AAOD probably should be scaled to AERONET observations in order to correctly estimate BC climate forcing.

Sensitivity tests showed that the emissions from China and India had a large impact on surface BC and AAOD simulations over the Tibetan Plateau, while the BC aging mainly affected simulations of BC in snow. Uncertainty analysis implied that model emissions, wet deposition and transport over the Himalayas were important sources of uncertainties. However, more quantitative analyses are required to investigate the relative contribution from each factor.

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A global 3-D CTM evaluation of black carbon in the Tibetan Plateau

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| Region | Site | Lat. (° N) | Lon. (° E) | Elev. (m) | Time | Freq. | Technique ^a | Surface | e BC (μg m | -3) | |
|--------|----------------|---------------|---------------|--------------|-----------|----------|------------------------|---------------------|---------------------|---------------------|---------------------|
| | | (, | (_) | () | | | | Obs. ^b | Exp. A ^c | Exp. B ^d | Exp. C ^e |
| Urban | Delhi | 28.6 | 77.2 | 260 | 2006 | monthly | Aethalometer | 13.5 ^[1] | 2.6 | 1.7 | 2.6 |
| | Dibrugarh | 27.3 | 94.6 | 111 | 2008–2009 | monthly | Aethalometer | 8.9 ^[2] | 0.8 | 0.5 | 0.9 |
| | Lhasa | 29.7 | 91.1 | 3663 | 2006 | monthly | TOR | 3.7 ^[3] | 0.1 | 0.1 | 0.1 |
| | Dunhuang | 40.2 | 94.7 | 1139 | 2006 | monthly | TOR | 4.1 ^[3] | 0.1 | 0.2 | 0.1 |
| Rural | Kharagpur | 22.5 | 87.5 | 28 | 2006 | monthly | Aethalometer | 5.5 ^[4] | 4.2 | 2.4 | 4.2 |
| | Kanpur | 26.4 | 80.3 | 142 | 2006 | monthly | ТОТ | 3.7 ^[5] | 3.1 | 2.2 | 3.1 |
| | Gandhi College | 25.9 | 84.1 | 158 | 2006 | monthly | Retrieval | 4.8 ^[6] | 4.6 | 3.2 | 4.6 |
| Remote | Nagarkot | 27.7 | 85.5 | 2150 | 1999–2000 | seasonal | ТОТ | 1.0 ^[7] | 0.8 | 0.7 | 0.8 |
| | NCOP | 28.0 | 86.8 | 5079 | 2006 | monthly | MAAP | 0.2 ^[8] | 0.1 | 0.1 | 0.1 |
| | Manora Peak | 29.4 | 79.5 | 1950 | 2006 | monthly | ТОТ | 1.1 ^[9] | 1.3 | 1.2 | 1.3 |
| | NCOS | 30.8 | 91.0 | 4730 | 2006 | monthly | TOR | 0.1 ^[10] | 0.1 | 0.1 | 0.1 |
| | Langtang | 28.1 | 85.6 | 3920 | 1999–2000 | seasonal | тот | 0.4 ^[7] | 0.4 | 0.4 | 0.4 |
| | Zhuzhang | 28.0 | 99.7 | 3583 | 2004–2005 | monthly | TOR | 0.3 ^[11] | 0.3 | 0.3 | 0.4 |

Table 1. Observed and simulated surface BC concentrations over the Tibetan Plateau (see also Fig. 1).

^a Thermal Optical Reflectance (TOR), Thermal Optical Transmittance (TOT), Multi-Angle Absorption Photometer (MAAP). ^b Values are multi-month averages. References: ^[1] Beegum et al. (2009), ^[2] Pathak et al. (2010), ^[3] Zhang et al. (2008), ^[4] Nair et al. (2012), ^[5] Ram et al. (2010b), ^[6] Ganguly et al. (2009b), ^[7] Carrico et al. (2003), ^[8] Bonasoni et al. (2010), ^[9] Ram et al. (2010a), ^[10] Ming et al. (2010), ^[11] Qu et al. (2008). ^c Values from experiment A (Table 5) for 2006. See text for more details.

^d Values from experiment B (Table 5) for 2006. See text for more details.

^e Values from experiment C (Table 5) for 2006. See text for more details.

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Table 2. Observed and simulated BC concentrations in snow over the Tibetan Plateau (see also Fig. 1).

| Region | Site | Lat. (°N) | Lon. (° E) | Elev. (km) | Time | BC in s | BC in snow (µgkg ⁻¹) | | |
|------------------------------|------------------|--------------|---------------|---------------|------------------|-------------------------------|----------------------------------|---------------------|---------------------|
| | | () | () | () | | Obs. ^a | Exp. A ^b | Exp. B ^c | Exp. C ^d |
| The Himalayas | Zuoqiupu | 29.21 | 96.92 | 5.50 | monsoon 2006 | 7.9 ^[2] | 22.5 | 18.4 | 25.5 |
| | | 29.21 | 96.92 | 5.60 | non-monsoon 2006 | 15.9 ^[2] | 21.2 | 18.3 | 31.9 |
| | Qiangyong | 28.83 | 90.25 | 5.40 | summer 2001 | 43.1 ^[1] | 66.1 | 49.7 | 63.7 |
| | Noijin Kangsang | 29.04 | 90.20 | 5.95 | annual 2005 | 30.6 ^[2] | 39.5 | 34.6 | 52.3 |
| | East Rongbuk | 28.02 | 86.96 | 6.50 | monsoon 2001 | 35.0 ^[3] | 26.4 | 22.7 | 29.2 |
| | | 28.02 | 86.96 | 6.50 | non-monsoon 2001 | 21.0 ^[3] | 32.8 | 31.1 | 59.4 |
| | | 28.02 | 86.96 | 6.50 | summer 2002 | 20.3 ^[4] | 26.5 | 22.8 | 28.9 |
| | | 28.02 | 86.96 | 6.50 | Oct 2004 | 18.0 ^[4] | 20.5 | 20.8 | 26.0 |
| | | 28.02 | 86.96 | 6.50 | Sep 2006 | 9.0 ^[7] | 26.0 | 22.4 | 30.0 |
| | | 28.02 | 86.96 | 6.52 | May 2007 | 41.8 ^[6] | 27.1 | 24.7 | 29.7 |
| | Kangwure | 28.47 | 85.82 | 6.00 | summer 2001 | 21.8 ^[1] | 26.5 | 22.8 | 28.9 |
| | Namunani | 30.45 | 81.27 | 5.90 | summer 2004 | 4.3 ^[1] | 24.8 | 21.2 | 26.6 |
| Northwestern Tibetan Plateau | Mt. Muztagh | 38.28 | 75.02 | 6.35 | summer 2001 | 37.2 ^[1] | 31.0 | 36.6 | 31.9 |
| | | 38.28 | 75.10 | 6.30 | 1999 | 26.6 ^[1] 33.0 36.4 | 36.4 | 45.8 | |
| Northeastern Tibetan Plateau | Laohugou #12 | 39.43 | 96.56 | 5.05 | Oct 2005 | 35.0 ^[4] | 54.4 | 60.0 | 60.3 |
| | Qiyi | 39.23 | 97.06 | 4.85 | Jul 2005 | 22.0 ^[4] | 25.7 | 30.3 | 27.4 |
| | July 1 glacier | 39.23 | 97.75 | 4.60 | summer 2001 | 52.6 ^[1] | 59.2 | 68.8 | 61.0 |
| Central Tibetan Plateau | Meikuang | 35.67 | 94.18 | 5.20 | summer 2001 | 446 ^[1] | 24.4 | 24.8 | 27.2 |
| | | 35.67 | 94.18 | 5.20 | Nov 2005 | 81.0 ^[5] | 40.9 | 43.3 | 50.5 |
| | Tanggula | 33.11 | 92.09 | 5.80 | 2003 | 53.1 ^[2] | 16.1 | 14.5 | 25.6 |
| | Dongkemadi | 33.10 | 92.08 | 5.60 | summer 2001 | 18.2 ^[1] | 19.6 | 17.7 | 22.1 |
| | | 33.10 | 92.08 | 5.60 | year 2005 | 36.0 ^[7] | 15.8 | 14.2 | 23.7 |
| | La'nong | 30.42 | 90.57 | 5.85 | Jun 2005 | 67.0 ^[4] | 39.1 | 35.9 | 37.8 |
| | Zhadang | 30.47 | 90.50 | 5.80 | Jul 2006 | 87.4 ^[4] | 27.9 | 21.7 | 30.3 |
| North of the Plateau | Haxilegen River | 43.73 | 84.46 | 3.76 | Oct 2006 | 46.9 ^[4] | 36.1 | 37.9 | 36.7 |
| | Urumqi Riverhead | 43.10 | 86.82 | 4.05 | Nov 2006 | 141 ^[5] | 131.9 | 155.2 | 118.4 |
| | Miao'ergou #3 | 43.06 | 94.32 | 4.51 | Aug 2005 | 111 ^[4] | 98.8 | 113.2 | 103.7 |

^a References: ^[1]Xu et al. (2006), ^[2]Xu et al. (2009), ^[3]Ming et al. (2008), ^[4]Ming et al. (2009a), ^[5]Ming et al. (2009b), ^[6]Ming et al. (2012), ^[7]Ming et al. (2013).

^b Values from experiment A (Table 5) for 2006. See text for more details.

^c Values from experiment B (Table 5) for 2006. See text for more details.

^d Values from experiment C (Table 5) for 2006. See text for more details.



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Table 3. Observed and simulated annual mean BC AAOD at AERONET sites over the TibetanPlateau (see also Fig. 1).

| Region | Site | Lat. (° N) | Lat. Lon. (°N) (°E) | | Time | BC AAOD | | |
|----------------------------------|-----------------|---------------|------------------------|------|-------------|-------------------|--------------------|--------------------|
| | | . , | . , | . , | | Obs. ^a | Model ^b | Ratio ^c |
| The Indo-Gangetic Plain | Lahore | 31.54 | 74.33 | 270 | 2007 ~ 2012 | 0.0434 | 0.0162 | 2.7 |
| | Kanpur | 26.51 | 80.23 | 123 | 2006 ~ 2012 | 0.0426 | 0.0221 | 1.9 |
| | Gandhi College | 25.87 | 84.13 | 60 | 2006 ~ 2012 | 0.0443 | 0.0282 | 1.6 |
| | Gual_Pahari | 28.43 | 77.15 | 384 | 2008 ~ 2010 | 0.0511 | 0.0222 | 2.3 |
| | Jaipur | 26.91 | 75.81 | 450 | 2009 ~ 2012 | 0.0202 | 0.0168 | 1.2 |
| The Himalayas | Jomsom | 28.78 | 83.71 | 2803 | 2012 | 0.0231 | 0.0136 | 1.7 |
| | Pantnagar | 29.05 | 79.52 | 241 | 2008 ~ 2009 | 0.0507 | 0.0114 | 4.4 |
| | Nainital | 29.36 | 79.46 | 1939 | 2008 ~ 2010 | 0.0204 | 0.0125 | 1.6 |
| | Pokhara | 28.15 | 83.97 | 807 | 2010 ~ 2012 | 0.0524 | 0.0056 | 9.4 |
| | Kathmandu Univ. | 27.60 | 85.54 | 1510 | 2009 ~ 2010 | 0.0406 | 0.0057 | 7.1 |
| Northeastern Tibetan Plateau | SACOL | 35.95 | 104.14 | 1965 | 2007 ~ 2011 | 0.0163 | 0.0100 | 1.6 |
| Northeast of the Tibetan Plateau | Dalanzadgad | 43.58 | 104.42 | 1470 | 2006, 2012 | 0.0038 | 0.0025 | 1.5 |
| Northwest of the Tibetan Plateau | Issyk-Kul | 42.62 | 76.98 | 1650 | 2008 ~ 2010 | 0.0196 | 0.0020 | 9.8 |
| | Dushanbe | 38.55 | 68.86 | 821 | 2011 ~ 2012 | 0.0131 | 0.0030 | 4.4 |

^a AERONET retrieved BC AAOD (Bond et al., 2013). Values are multi-year averages.

^b Values from experiment A (Table 5) for 2006. See text for more details.

^c The ratio of AERONET retrieved to GEOS-Chem modeled BC AAOD.





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Table 4. Anthropogenic BC emissions in China and India in 2006.

| | Cł | nina | | ndia |
|---------------------------------|-------------------------------|---------------------|------------------|---------------------|
| Emissions (Ggyr ⁻¹) | Lu et al. (2011) | Zhang et al. (2009) | Lu et al. (2011) | Zhang et al. (2009) |
| Industry | 509 | 575 | 201 | 47 |
| Power plants | 15 | 36 | 1 | 8 |
| Residential | 971 | 1022 | 608 | 268 |
| Transportation | 178 | 205 | 75 | 80 |
| Total | 1673 (954–3229 [*]) | 1838 (884–3823) | 885 (522–1655) | 404 (112–1454) |

* Uncertainties (in parentheses).

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Table 5. GEOS-Chem simulations of BC.

| Model experime | ent | Α | В | С | | | |
|-----------------------------|----------------|---|----------------------------|------------------|--|--|--|
| Anthropogenic emissions | China & India | Lu et al. (2011) | Zhang et al. (2009) | Lu et al. (2011) | | | |
| | Rest of Asia | | Zhang et al. (2009) | | | | |
| | Rest of world | Bond et al. (2007) | | | | | |
| Biomass burning emission | s | GFEDv3 (van der Werf et al., 2010), with updates from Randerson et al. (2012) | | | | | |
| BC aging (hydrophobic to hy | drophilic) | e-folding time 1.15 days e-folding time 1.15 days Liu et al. (2011) | | | | | |
| Deposition | Dry deposition | Wesely (1989) as implemented by Wang et al. (1998) | | | | | |
| | Wet deposition | Liu et al. (2001) with upd | ates from Wang et al. (201 | 1) | | | |

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$(\mathbf{\hat{H}})$ (cc)

Table 6. Error statistics of GEOS-Chem simulations of BC in the Tibetan Plateau for 2006.

| Statistical quantities | BC in surface air | | | BC in snow | | | BC AAOD | | |
|---|-------------------|-------|-------|------------|-----------|---------------------|---------|--------|--------|
| | Model experimer | | | | periments | ients (see Table 5) | | | |
| | А | В | С | Α | В | С | A | В | С |
| Mean Error | -0.29 | -0.82 | -0.26 | -1.23 | -0.75 | 3.39 | -0.019 | -0.023 | -0.020 |
| Mean Absolute Error | 0.59 | 0.93 | 0.59 | 13.39 | 12.74 | 16.09 | 0.020 | 0.023 | 0.020 |
| Fractional Gross Error | 0.39 | 0.46 | 0.39 | 0.43 | 0.40 | 0.48 | 0.78 | 0.93 | 0.80 |
| Root Mean Square Error (RMSE) | 1.34 | 1.95 | 1.33 | 16.73 | 16.65 | 18.67 | 0.026 | 0.029 | 0.027 |
| Bias-corrected RMSE | 1.31 | 1.77 | 1.30 | 16.69 | 16.63 | 18.36 | 0.017 | 0.018 | 0.018 |
| Correlation coefficient (p value < 0.001) | 0.90 | 0.87 | 0.90 | 0.85 | 0.86 | 0.81 | 0.53 | 0.46 | 0.45 |



Fig. 1. BC measurements at sites in and around the Tibetan Plateau (see also Tables 1–3). Black circles are surface measurements: Delhi (A), Dibragarh (B), Lhasa (C), Dunhuang (D), Kanpur (E), Kharagpur (F), Gandhi College (G), Manora Peak (H), Langtang (I), Nagarkot (J), Nepal Climate Observatory at Pyramid (NCOP, K), Nam Co Observational Station (NCOS, L), Zhuzhang (M). Red circles are measurements of BC in snow: Zuoqiupu (1), Qiangyong (2), Noijin Kangsang (3), East Rongbuk (4), Kangwure (5), Namunani (6), Mt. Muztagh (7), Haxilegen Riverhead (8), Urumqi Riverhead (9), Miao'ergou No. 3 (10), Laohugou No. 12 (11), Qiyi (12), 1 July glacier (13), Meikuang (14), Tanggula (15), Dongkemadi (16), La'nong (17), Zhadang (18). Blue circles are BC AAOD measurements: Lahore (a), Jaipur (b), Gual_Pahari (c), Kanpur (d), Gandi college (e), Nainital (f), Pantnagar (g), Jomsom (h), Pokhara (i), Kathmandu University (j), SACOL (k), Dalanzadgad (I), Issyk-Kul (m), Dushanbe (n). The rectangles are the six sub-regions: the northwestern Plateau (I), the northern Plateau (II), the northeastern Plateau (III), the southeastern Plateau (IV), the central Plateau (V), and the Himalayas (VI). Topography is also shown (dashed colored contours).











Fig. 3. Same as Fig. 2, but for three rural sites (a–c) and six remote sites (d–i) (see Table 1 and Fig. 1). Only seasonal mean observations are available at sites (e) and (f).







Fig. 4. Frequency histogram of residual errors (model – observation) (top row) and cumulative probability distributions (bottom row) for surface BC (left column), BC in snow (middle column), and BC AAOD (right column) at sites in and around the Tibetan Plateau (see Tables 1–3 and Fig. 1). Also shown are the mean and standard deviation of residual errors. Values are for 2006 unless stated otherwise. See text for details.



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Fig. 5. (a) GEOS-Chem simulated annual mean total BC deposition (kgmonth⁻¹) over Asia and **(b)** GEOS-5 annual mean total precipitation (mm day⁻¹) over Asia. Values are for 2006.







Fig. 6. Observed and GEOS-Chem simulated monthly or seasonal mean BC concentration in snow (μ gkg⁻¹) at sites over the Tibetan Plateau (see Table 2 and Fig. 1). Left panel: subregions of the Tibetan Plateau are color coded. Right panel: different temporal resolutions are symbol-coded: monthly – square; seasonal – triangle; annual – diamond, with blue for monsoon season and red for non-monsoon season. Solid lines are 1 : 1 ratio lines; dashed lines are 1 : 2 (or 2 : 1) ratio lines; dashed-dotted lines are 1 : 4 (or 4 : 1) ratio lines. Also shown are the correlation coefficient (*r*) and *p* value. Values are for 2006 unless stated otherwise. See text for details.





Fig. 7. Monthly mean precipitation (mmd⁻¹) in 2006, averaged over different parts of the Tibetan Plateau (see Fig. 1). Data is from the Goddard Earth Observing System Model version 5 (GEOS-5), Global Precipitation Climatology Project (GPCP), NOAA Climate Prediction Center (CPC) Merged Analysis of Precipitation (CMAP), and Climate Research Unit (CRU) of University of East Anglia.







Fig. 8. GEOS-Chem simulated annual mean BC AAOD (color contours) for 2006. Colored circles are values retrieved from AERONET observations (see Table 3).



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Fig. 9. Observed and GEOS-Chem simulated monthly mean BC AAOD at AERONET sites over the Tibetan Plateau (see Table 3 and Fig. 1). Different regions are color-coded: Indo-Gangetic Plain (red), the Himalayas (green), the northeastern Plateau (blue), Northeast of the Plateau (grey), Northwest of the Plateau (magenta). Solid line is 1 : 1 ratio line; dashed lines are 1 : 2 (or 2 : 1) ratio lines; dashed-dotted lines are 1 : 4 (or 4 : 1) ratio lines. Also shown are the correlation coefficient (r) and p value. Values are for 2006 unless stated otherwise. See text for details.







Fig. 10. Taylor diagram of GEOS-Chem simulated vs. observed BC concentration in surface air (BC_{air}) and in snow (BC_{snow}), and BC AAOD at sites over the Tibetan Plateau (see Tables 1–3 and Fig. 1). Red asterisk is the observation. Triangles, circles and squares, respectively, indicate modeled BC_{air}, BC_{snow}, and BC AAOD from experiments A (blue), B (green) and C (magenta). See Table 5 and text for more details on the model experiments. Also shown are the Taylor scores (S). Values are for 2006 unless stated otherwise. See text for details.



