#### Evaluation of tropospheric SO<sub>2</sub> retrieved from MAX-DOAS 1

#### measurements in Xianghe, China 2

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#### **Abstract**

14 measurements of sulfur dioxide (SO<sub>2</sub>) have been performed at the Xianghe station (39.8°N, 15 117.0°E) located at ~50 km southeast of Beijing from March 2010 to February 2013. Tropospheric SO<sub>2</sub> vertical profiles and corresponding vertical column densities (VCDs), 16 17 retrieved by applying the Optimal Estimation Method to the MAX-DOAS observations, have been used to study the seasonal and diurnal cycles of SO<sub>2</sub>, in combination to correlative 18 19 measurements from in situ instruments, as well as meteorological data. A marked seasonality was observed in both SO<sub>2</sub> VCD and surface concentration, with a maximum in winter 20 (February) and a minimum in summer (July). This can be explained by the larger emissions in 21 22 winter due to the domestic heating and, in case of surface concentration, by more favorable

Ground-based Multi-Axis Differential Optical Absorption Spectroscopy (MAX-DOAS)

Wind speed and direction are also found to be two key factors in controlling the level of the

meteorological conditions for the accumulation of SO<sub>2</sub> close to the ground during this period.

- SO<sub>2</sub>-related pollution at Xianghe. In the case of east or southwest wind, the SO<sub>2</sub> concentration
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does not change significantly with the wind speed, since the city of Tangshan and heavy polluting industries are located to the east and southwest of the station, respectively. In contrast, when wind comes from other directions, the stronger the wind, the less SO<sub>2</sub> is observed due to a more effective dispersion. Regarding the diurnal cycle, the SO<sub>2</sub> amount is larger in the early morning and late evening and lower at noon, in line with the diurnal variation of pollutant emissions and atmospheric stability. A strong correlation with correlation coefficients between 0.6 and 0.9 is also found between SO<sub>2</sub> and aerosols in winter, suggesting that anthropogenic SO<sub>2</sub>, through the formation of sulfate aerosols, contributes significantly to the total aerosol content during this season, while other sources dominate in summer. The observed diurnal cycles of MAX-DOAS SO<sub>2</sub> surface concentration are also in very good agreement (correlation coefficient close to 0.9) with those from collocated in-situ data, indicating the good reliability and robustness of our retrieval.

#### 1 Introduction

Sulfur dioxide (SO<sub>2</sub>), one of the most common air pollutants, is of major concern in pollution control acts (Gauderman et al., 2000). In China, the Ministry of Environmental Protection (MEP) lists SO<sub>2</sub> as one of the three conventional pollutants, together with NO<sub>2</sub> and PM10, and daily averaged SO<sub>2</sub> concentrations were used as an indicator to quantify the level of pollution (Yan et al., 2010). This trace gas is predominantly produced by the burning of fossil fuels including oil and coal, and the smelting of mineral ores that contain sulfur (Yan et al., 2005; Zhao et al., 2012). SO<sub>2</sub> contributes to a large extent to the process of acidification resulting in acid rain and to the formation of sulfate aerosols, both of which cause human health damages, building surface corrosion, and visibility reduction. In particular, the secondary pollutant sulfate aerosols generated by SO<sub>2</sub> are the primary source of fine solid particles in cities, which are also responsible for severe air pollution issues (Meng et al., 2009). In addition, the on-going industrial development, population growth, and heavy traffic contribute to higher energy consumption and therefore, to an increase in SO<sub>2</sub> emissions into the atmosphere (Wu et al., 2013). Consequently, in order to meet the urgent demand to improve and control air

- quality in China, as well as to promote sustainable development, it is of the greatest
- 2 importance to study the evolution of a pollutant like SO<sub>2</sub> and to identify its possible origins.
- 3 So far, the SO<sub>2</sub> surface concentration has been monitored using in-situ and long-path DOAS
- 4 (Differential Optical Absorption Spectroscopy) instruments (Meng et al., 2009), while satellite
- 5 sensors like GOME, SCIAMACHY, GOME-2, OMI, OMPS, and IASI have shown their
- 6 ability to measure the SO<sub>2</sub> vertical column density (VCD) over polluted areas (see e.g.
- 7 Eisinger and Burrows, 1998; Krotkov et al., 2006; Lee et al., 2009; Nowlan et al., 2011;
- 8 Fioletov et al., 2013; Yang et al., 2013; Boynard et al., 2014). During the last decade, a new
- 9 remote sensing technique called MAX-DOAS (Multi-Axis Differential Optical Absorption
- 10 Spectroscopy) has been developed, providing information on both VCD and vertical
- distribution of trace gases in the troposphere (Hönninger et al., 2004; Platt and Stutz, 2008). It
- is based on the measurement of sunlight scattered at multiple elevation angles towards the
- horizon, thus increasing the sensitivity to absorbers present close to the ground compared to
- the zenith viewing geometry (Hönninger et al., 2004). MAX-DOAS studies published so far
- have been mainly focused on the retrieval of NO<sub>2</sub> (e.g. Wittrock et al., 2004; Vlemmix et al.,
- 16 2010; Frins et al., 2012; Hendrick et al., 2014; Ma et al., 2013; Wang et al., 2014), halogen
- oxides like BrO and IO (e.g. Frieß et al., 2011; Großmann et al., 2013), formaldehyde (e.g.
- Heckel et al., 2005; Wagner et al., 2011), and aerosols (e.g. Wagner et al., 2004; Frieß et al.,
- 19 2006; Clémer et al., 2010). A lot of work has been done on MAX-DOAS measurements of
- volcanic SO<sub>2</sub> (e.g. Bobrowski et al., 2007a; Galle et al., 2010), but so far, only a few studies
- deal with MAX-DOAS observations of this species in polluted area (e.g. Irie et al., 2011; Lee
- et al., 2008; Wu et al., 2013), despite the fact that as for other trace gases like NO<sub>2</sub>, HCHO,
- and BrO, the combination of both surface concentration and VCD retrievals makes
- 24 MAX-DOAS a useful technique for validating SO<sub>2</sub> satellite data.
- Here we present three years (March 2010-February 2013) of continuous MAX-DOAS SO<sub>2</sub>
- observations at the Xianghe Observatory, China (39.75°N, 116.96°E), located at about 50 km
- southeast of Beijing, at the borders among Beijing, Tangshan and Tianjin (see Fig. 1). The
- 28 station is operated by the Institute of Atmospheric Physics (IAP)/ Chinese Academy of

Sciences (CAS) while the MAX-DOAS instrument was developed by the Belgian Institute for 1 2 Space Aeronomy (BIRA-IASB) and validated in several intercomparison exercises, in particular as part of the international Cabauw Intercomparison of Nitrogen Dioxide measuring 3 Instruments (CINDI, Roscoe et al., 2010) and more recently a national Chinese MAX-DOAS 4 instruments intercomparison campaign held in Xianghe (Wang et al., 2013). SO<sub>2</sub> 5 MAX-DOAS observations are used here in combination with in-situ measurements as well as 6 7 conventional meteorological data (temperature, humidity, wind direction and speed) to 8 investigate the seasonal and diurnal cycles of SO<sub>2</sub> vertical profiles and VCDs. The paper is divided into three main Sections. In Sect. 2, the SO<sub>2</sub> measurements are described, including 9 10 the DOAS analysis, vertical profile retrieval, and retrieval verification through comparison with in situ data. The seasonal and diurnal cycles of SO<sub>2</sub>, and the relationship between SO<sub>2</sub> 11 12 and aerosols are investigated in Sect. 3. Finally, conclusions are given Sect. 4.

#### 13 **2 Data**

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#### 2.1 Instrument

The MAX-DOAS instrument operated at the Xianghe Observatory consists of three 15 components: a thermo-regulated box containing two spectrometers, an optical head mounted 16 17 on a sun tracker, and two computers for instrument control and data storage (Clémer et al., 18 2010). The optical head and the two spectrometers are linked by two-way splitter optical 19 fibers (Clémer et al., 2010; Wang et al., 2013). This setup is capable of measuring scattered as 20 well as direct sunlight. One spectrometer works in the UV region (300 to 390 nm) and its 21 instrumental function is close to a Gaussian with a full width at half maximum (FWHM) of 22 0.4 nm. The other spectrometer covers the visible wavelength range from 400 to 720 nm with 23 a FWHM equal to 0.9 nm. During the observation, the azimuth direction of the telescope is 24 fixed to the North. A full MAX-DOAS scan consists of 9 elevation viewing angles (2°, 4°, 6°, 8°, 10°, 12°, 15°, 30°, and 90°) and lasts about 15 minutes (Clémer et al., 2010). The 3-year 25 data set investigated in this study covers the March 2010 to February 2013 period. 26

## 2.2 DOAS analysis

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2 Scattered-sunlight spectra measured at different elevation angles (EVAs) are analyzed using the DOAS technique (Platt and Stutz, 2008) where high-frequency molecular absorption 3 4 structures in the UV and visible regions of the spectrum are exploited to detect and quantify a number of key atmospheric gases such as SO<sub>2</sub>. 5 In this work, the spectra obtained from MAX-DOAS observations are analyzed using the **BIRA-IASB** 7 **QDOAS** spectral-fitting software suite developed at 8 (http://uv-vis.aeronomie.be/software/QDOAS/). QDOAS calculates the SO<sub>2</sub> differential slant 9 column densities (DSCDs), which are defined as the difference between the trace-gas 10 concentration integrated along the effective light path and the amount of the absorber in a 11 measured reference spectrum. (MAX-)DOAS is recognized as a "self-calibrating" technique 12 because differential absorptions are measured and therefore the impact of possible 13 instrumental degradations can be largely removed by using appropriate reference spectra. In 14 contrast, in-situ instruments need to be optically and/or chemically calibrated on a regular 15 basis, especially when performing long-term measurements. For tropospheric studies, a zenith 16 spectrum is frequently chosen as reference, in this way also removing the contribution of the 17 stratosphere in off-axis DSCDs. The SO<sub>2</sub> DOAS settings have been investigated through sensitivity tests on several key 18 19 parameters, such as wavelength interval, choice of absorption cross sections, polynomial order, and intensity off-set terms. The selected settings are summarized in Table 1 and 20 21 described below. 22 SO<sub>2</sub> fitting windows ranging between 303 and 325 nm have generally been used in previous 23 studies (Bobrowski and Platt, 2007b; Lee et al., 2008; Galle et al., 2010; Irie et al., 2011). At 24 wavelengths shorter than 303 nm, the limiting factor is the strong ozone absorption which 25 interferes with SO<sub>2</sub>, leading to lower signal to noise ratio. At wavelengths longer than 325 nm, 26 the SO<sub>2</sub> differential absorption signal becomes too weak. In order to identify the wavelength 27 interval which minimizes both random and systematic uncertainties on SO<sub>2</sub> retrieval, 6

1 wavelength intervals have been investigated. The results of these sensitivity tests for two example days are presented in Figs. 2 and 3. On the first day (1st October 2011), the SO<sub>2</sub> 2 content is minimum and stable in time. On the second day (4<sup>th</sup> October 2011), large variations 3 of the SO<sub>2</sub> content occur, so the ability of the different intervals to give consistent and stable 4 values can be verified. As can be seen, the 305-317.5 nm interval provides the lowest fitting 5 6 errors throughout the day and the smallest dependence on the solar zenith angle (SZA) for 7 both days. Due to the larger absorption and therefore interference by O<sub>3</sub> at large SZAs, it has been decided to exclude measurements taken at SZAs larger than 75°. For these tests, the 8 following spectral signatures have been included: SO<sub>2</sub>, O<sub>3</sub>, NO<sub>2</sub>, and the Ring effect (Grainger 9 and Ring, 1962; Chance and Spurr, 1997). Daily zenith-sky radiance spectra recorded around 10 11 local noon have been selected as reference. To account for the temperature dependence of the ozone absorption, cross sections at 2 different temperatures (223°K and 243°K) were used 12 13 according to Van Roozendael et al. (2006). A fifth-order polynomial is applied to fit the 14 low-frequency spectral structure due to Rayleigh and Mie scattering and instrumental effects. 15 Attempts to further adjust these settings, e.g. by adding BrO cross-section or by including 16 additional ozone correction terms according to Puķīte et al. (2010) were not successful (less 17 stable retrievals with larger noise on the SO<sub>2</sub> DSCDs). Fig. 4 shows a typical example of a DOAS fit for SO<sub>2</sub> at 43° SZA. We see that fitting 18 residuals range in between  $-2 \times 10^{-3}$  and  $2 \times 10^{-3}$ , corresponding to a root-mean-squares (RMS) 19 of  $9 \times 10^{-4}$ , which appears to be small in comparison to the SO<sub>2</sub> differential structures presented 20 in the lowest panel of the figure. The typical fitting uncertainty on SO<sub>2</sub> DSCDs is of about 21 1-6x10<sup>15</sup> molec·cm<sup>-2</sup> (less than 10%), and for the case illustrated here, corresponds to 2%. For 22 near-noon conditions, the detection limit on the SO<sub>2</sub> DSCD can be conservatively estimated 23 as 3 times the one-sigma uncertainty on the slant column, which means approximately  $3x10^{15}$ 24 molec·cm<sup>-2</sup>. This detection limit is similar for the vertical columns estimated using the 25 geometrical approximation at 30° elevation (see Sect. 2.3). Vertical columns derived from the 26 full inversion generally have a smaller detection limit, owing to the gain in sensitivity 27 obtained when including near horizontal viewing measurements. 28

## 2.3 Profile retrieval

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- 2 SO<sub>2</sub> vertical profiles are retrieved for each MAX-DOAS scan by using the bePRO profiling tool developed at BIRA-IASB (Clémer et al., 2010; see also Hendrick et al., 2014). It is based 3 on the Optimal Estimation Method (Rodgers, 2000) and includes the LIDORT radiative 4 transfer model (RTM) as a forward model. A two-step approach is implemented in bePRO: 5 First, aerosol extinction profiles are retrieved from measured O<sub>4</sub> DSCDs. This step is needed 6 7 because the aerosols strongly influence the effective light path in the atmosphere and therefore the optical density of trace gases like SO<sub>2</sub>. Secondly, bePRO is applied to measured 8 9 trace-gas DSCDs using the retrieved aerosol extinction profiles for the radiative transfer 10 calculations (see below). Since the DOAS analysis is performed using daily zenith radiance spectra around noon as reference, bePRO is feeded for each scan with SO<sub>2</sub> and O<sub>4</sub> DSCDs 11 12 obtained by taking the difference between off-axis DSCDs and the zenith DSCD interpolated 13 at the time of each off-axis measurement using the zenith DSCDs of two consecutive scans. Proceeding this way allows to properly remove the contributions of the stratosphere from the 14 measurements and is similar, at least for SZA < 75°, as taking the zenith spectrum of each scan 15 as reference for the DOAS analysis. 16 Both linear and non-linear iterative approaches have been implemented in our profiling
- Both linear and non-linear iterative approaches have been implemented in our profiling algorithm. For weak absorbers like NO<sub>2</sub>, HCHO and SO<sub>2</sub>, the linear method is selected (see e.g. Hendrick et al., 2004). In case of strong absorbers like O<sub>4</sub>, the non-linear iterative approach is used:

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$$\mathbf{x}_{i+1} = \mathbf{x}_i + (\mathbf{S}_a^{-1} + \mathbf{K}_i^{\mathrm{T}} \mathbf{S}_{\varepsilon}^{-1} \mathbf{K}_i)^{-1} \cdot [\mathbf{K}_i^{\mathrm{T}} \mathbf{S}_{\varepsilon}^{-1} (\mathbf{y} - \mathbf{F}(\mathbf{x}_i)) - \mathbf{S}_a^{-1} (\mathbf{x}_i - \mathbf{x}_a)]$$
(1)

where y is the observation vector with the DSCDs at the different EVAs,  $\mathbf{F}$  is the forward model describing the physics of the measurements,  $\mathbf{K}$  is the weighting function, expressing the sensitivity of the measurements to changes in the aerosol extinction or  $SO_2$  vertical profile and calculated on-line by the LIDORT RTM,  $\mathbf{S}_{\varepsilon}$  is the measurement uncertainty covariance matrix,  $\mathbf{x}_a$  and  $\mathbf{S}_a$  are the a priori vertical profile and its corresponding error covariance matrix. A priori information is needed in the OEM method in order to indirectly reject unrealistic

solutions compatible with the measurements. Another important quantity in the OEM is the

averaging kernel matrix A, which represents the sensitivity of the retrieval to the true state.

4 More specifically, each element  $A_{ij}$  in the matrix A describes the sensitivity of the retrieval at

i<sup>th</sup> level to the true states at the different altitude levels j. Furthermore, the trace of the matrix

6 A gives the degrees of freedom of signal (DFS), which corresponds to the number of

independent pieces of information contained in the measurements. Due to the nonlinearity of

8 the inverse problem in case of aerosols, the solution to equation (1) must be iterated until

satisfactory convergence is achieved between measured DSCDs and those calculated using

the retrieved aerosol extinction vertical profile.

Regarding the choice of the a priori profile  $x_a$ , exponentially decreasing a priori SO<sub>2</sub> and

aerosol extinction profiles with a fixed scaling height of 0.5km have been constructed

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$$14 X_{a}(Z) = \frac{\text{VCD}_{a}}{\text{SH}} e^{-\frac{Z}{\text{SH}}}$$
 (2)

where  $x_a(z)$  is the a priori profile, SH the scaling height (0.5 km), and VCD<sub>a</sub> (AOD<sub>a</sub>) is the a priori vertical column density (aerosol optical depth). For each scan, VCD<sub>a</sub> is derived using the geometrical approximation method, i.e. the SO<sub>2</sub> layer is assumed to be located below the scattering altitude at 30° EVA, so that tropospheric SO<sub>2</sub> VCDs can be derived by applying a geometrical air mass factor (AMF) to measured 30° EVA DSCDs (Hönninger et al., 2004; Brinksma et al., 2008; see also Hendrick et al., 2014). In case of aerosols, a fixed AOD of 0.2 is used. Since the DOAS fitting intervals are different for SO<sub>2</sub> and aerosols, the aerosol extinction profiles utilized as input for the calculation of SO<sub>2</sub> weighting functions have been derived by directly converting the aerosol profiles retrieved in the 338-370 nm wavelength range to the 305-317.5 nm interval using the Ångström exponents (Cachorro et al., 2000) retrieved from collocated CIMEL/AERONET sunphotometer measurements (Holben et al., 1998; see http://aeronet.gsfc.nasa.gov):

- 1 Extinction(z, 313 nm) = Extinction(z, 360 nm) x  $(313/360)^{-\alpha}$  (3)
- where z is the altitude and  $\alpha$  is the Ångström exponent.
- 3 The 340-440 nm exponents are used in a first approximation since values for a wavelength
- 4 range closer to the SO<sub>2</sub> fitting interval (305-317.5 nm) are not available so far. The
- 5 corresponding mean scaling factor for the March 2010 February 2013 period is of 1.16±0.06.
- 6 The single scattering albedo and phase function of aerosols at 360 nm required by bePRO for
- 7 retrieving aerosol extinction profiles are calculated off-line based on the aerosol size
- 8 distribution and refractive index retrieved from the same CIMEL/AERONET sunphotometer
- 9 measurements as above. The temperature-pressure profiles are obtained from the US standard
- atmosphere.  $S_{\varepsilon}$  and  $S_a$  matrices are similar as in Clémer et al. (2010) and Hendrick et al.
- 11 (2014).  $S_{\varepsilon}$  is a diagonal matrix, with variances equal to the square of the DOAS fitting error.
- For  $S_a$ , the diagonal element corresponding to the lowest layer,  $S_a(1,1)$ , is set equal to the
- square of a scaling factor  $\beta$  times the maximum partial VCD (AOD) of the profiles. Here
- $\beta$ =0.4 for SO<sub>2</sub> and 0.2 for aerosol. The other diagonal elements decrease linearly with altitude
- down to  $0.2 \times S_a(1,1)$ . The off-diagonal terms in  $S_a$ , were set using Gaussian functions as
- 16 follows:

$$\mathbf{S}_{a}(i,j) = \sqrt{\mathbf{S}_{a}(i,i)\mathbf{S}_{a}(j,j)\exp(-\ln(2)(\frac{z_{i}-z_{j}}{\gamma})^{2})}$$
17 (4)

- where  $z_i$  and  $z_i$  are the altitudes of i<sup>th</sup> and j<sup>th</sup> levels, respectively. The correlation length is set to
- 19 0.1 km for SO<sub>2</sub> and 0.05km for aerosol in order to optimize the DFS.
- 20 The retrieval altitude grid is also the same as in Clémer et al. (2010) and Hendrick et al.
- 21 (2014), i.e. ten layers of 200 m thickness between 0 and 2 km, two layers of 500 m between 2
- and 3 km and 1 layer between 3 and 4 km.
- Fig. 5 shows an example of a SO<sub>2</sub> profile retrieval (Xianghe, 29 September 2010, 10:15 LT).
- Fig. 5(a) compares the a priori and retrieved profiles; Fig. 5(b) shows an example of fit results,
- i.e. the comparison between measured DSCDs and those calculated from the retrieved profile.
- 26 The quality of the profile retrieval is checked for each scan by calculating the relative Root

- 1 Mean Square Error (RMS) between observed and calculated DSCDs. This RMS corresponds
- 2 to the standard RMS expressed in molec/cm<sup>2</sup> divided by the mean DSCD of the scan. All
- retrievals based on the following selection criteria have been selected: RMS < 15%, DFS >0.7,
- 4 and negative values not allowed. For each year, the number of selected retrievals using these
- 5 criteria reaches  $\sim$ 70% of the total number of scans.
- 6 Also shown in Fig. 5 are the smoothing and noise errors (c) and the averaging kernels (d).
- 7 Regarding the errors, the smoothing error limits the ability of the retrieval to obtain solutions
- 8 far from the a priori, while the noise error is related to the propagation of the noise in the
- 9 measurements into the retrieval (Rodgers, 2000). From Fig. 5(c), we see that the smoothing
- error is significantly larger than the noise error, except in the 0-200m layer. The averaging
- kernels show that the retrieval is mainly sensitive to the layer close to the surface in addition
- to the total vertical column. In this example, the DFS is about 2.4, suggesting that two
- independent pieces of information can be determined from the measurements.
- The error budget is presented in Table 2. Uncertainty related to aerosols is estimated by
- 15 retrieving SO<sub>2</sub> profiles using wavelength-converted retrieved aerosol profiles plus their
- 16 corresponding error (i.e. the sum of smoothing and noise errors plus a 20% error due to the
- uncertainty on the O<sub>4</sub> cross sections (Clémer et al., 2010)) as input and comparing the results
- to the standard retrievals. The uncertainty on the SO<sub>2</sub> cross sections is set to 5%, as suggested
- by Vandaele et al. (1994). The uncertainty on the a priori profiles is estimated by taking SH =
- 20 1 km in Eq. (2) instead of 0.5 km in the standard retrieval. The total uncertainty is calculated
- 21 by adding the different terms in Gaussian quadrature.
- 22 Monthly-mean SO<sub>2</sub> profiles are shown in Fig. 6. There is a maximum SO<sub>2</sub> concentration in
- 23 the 200-400m layer for each profile, except in summer where the maximum is located near
- the surface. The largest vertical gradient is observed in February and November, the minimum
- in July and August. This is mainly due to the fact that the SO<sub>2</sub> emissions are the highest in
- 26 February and November. This will be discussed in detail below.
- 27 Fig. 7 shows the seasonal mean of diurnal cycle of DFS. The diurnal distribution in any

- season shows a single peak at mid-day due to the fact that the retrieval error at late evening or
- 2 early morning overweights that at noon. If we compare the DFS around noon among the
- different seasons, values in summer are lower compared to the other seasons due to the lower
- 4 SO<sub>2</sub> amounts associated with larger uncertainties observed during this period.

# 6 2.4 SO<sub>2</sub> surface concentration retrieval verification

- 7 For verification purpose, our retrieved SO<sub>2</sub> surface concentrations have been compared to
- 8 measurements from a modified commercial in-situ instrument, based on pulsed UV
- 9 fluorescence technology (Thermo Environmental Instruments Model 43C) (Li et al., 2007).
- 10 Comparison results for December 2011 when the in-situ instrument was freshly calibrated are
- shown in Fig. 8. Hourly and daily averages of SO<sub>2</sub> concentration are plotted in Fig. 8(a) and
- 12 (b), respectively. A good agreement is obtained with a correlation coefficient of 0.86 and a
- 13 slope of 0.95.
- 14 In Fig. 9, the daytime variations of the MAX-DOAS and in-situ SO<sub>2</sub> surface concentration are
- 15 compared for 9 continuous days. A very good agreement is found between both data sets,
- indicating the good overall reliability and the robustness of our MAX-DOAS retrievals.

#### 17 3 Results and discussion

- Based on the SO<sub>2</sub> profiles retrieved for the period from March 2010 to February 2013, we
- 19 have investigated the daily and seasonal variations of the SO<sub>2</sub> VCD and surface concentration
- and the possible influence of meteorological conditions, including atmospheric stability, wind
- 21 direction and speed. We have adopted the following convention for the seasons: MAM, JJA,
- 22 SON, and NJF for spring, summer, autumn, and winter, respectively.

#### 23 3.1 Seasonal variation of SO<sub>2</sub>

Fig. 10(a) shows that the SO<sub>2</sub> VCD is highly correlated with concentration close to the ground

- 1 (correlation coefficient of 0.85). From Fig. 10(b), we see that the temporal evolutions of SO<sub>2</sub>
- 2 VCD and concentration are very similar, consistent with the fact that the SO<sub>2</sub> emission
- 3 sources are located near the ground.
- 4 The monthly averaged SO<sub>2</sub> VCD and surface concentrations are shown in Fig. 11. Both show
- 5 a marked seasonal signature with a maximum in winter and a minimum in summer, implying
- 6 that SO<sub>2</sub> originates mainly from human sources rather than natural ones (Lin et al., 2011).
- 7 Generally, the fluctuations of any atmospheric pollutant in a region of interest can be mainly
- 8 attributed to three factors: emission level, residence time, and atmospheric transport (Wang et
- 9 al., 2010; Lin et al., 2011). From the perspective of emission level, firstly, owing to enhanced
- domestic heating and associated coal and oil consumption in winter, the heating-related
- emissions of SO<sub>2</sub> are much larger during this period than in summer. Secondly, the residence
- time, defined as the rate of removal mechanisms, also plays an important role in determining
- the seasonal variation of SO<sub>2</sub> concentrations (Lee et al., 2011). Processes responsible for the
- 14 removal of SO<sub>2</sub> involve dry and wet deposition and homogeneous or inhomogeneous
- gas-phase reactions leading to the production of H<sub>2</sub>SO<sub>4</sub> or sulfate (Tu et al., 2004). As shown
- in Fig. 12, the relative humidity is lower in winter, so that the removal of SO<sub>2</sub> through wet
- deposition is not as substantial as in summer. Thirdly, the transport can also influence the
- 18 evolution of SO<sub>2</sub> at a given location. Although in winter the wind is stronger at Xianghe, the
- 19 emissions also increase during the same period. In addition, the reduced atmospheric
- 20 boundary layer height and frequent temperature inversion events result in larger surface
- 21 concentrations due to an accumulation of SO<sub>2</sub> in the lower troposphere (Meng et al., 2009). In
- summary, the aforementioned three factors jointly lead to the observed seasonal pattern of
- SO $_2$  concentration in Xianghe.
- 24 From Fig. 11, we see that the amount of SO<sub>2</sub> strongly increases in November with respect to
- October, as a consequence of increasing domestic heating (November is the beginning of the
- domestic heating season). Moreover, the higher wind speed observed in December (see Fig.
- 27 12) leads to a decrease of SO<sub>2</sub> during this month due to more efficient diffusion and dilution
- effects. Finally, it is also noticeable that SO<sub>2</sub> in January 2011 is remarkably lower than that in

other years. This will be further discussed below.

# 2 3.2 Impact of meteorological conditions

3 Because of the high correlation coefficient and similar seasonal variations of the SO<sub>2</sub> VCD 4 and concentration, we decided to investigate the impact of meteorological conditions on 5 VCDs only. The variation of the SO<sub>2</sub> VCD is closely linked not only to the spatial distribution 6 of emission sources but also to meteorological conditions including wind (speed and direction) and precipitation. As shown in Fig. 12, in general, the variations of temperature and humidity 7 8 appear to exhibit similar behavior from year to year. This suggests that the contribution of the 9 wind speed and direction as driver for the SO<sub>2</sub> VCD variation is probably different over the different years investigated here. We further explore the relationship between SO2 and wind 10 (speed and direction), as displayed in Fig. 13. It can be seen that the amount of SO<sub>2</sub> is strongly 11 12 dependent on the wind direction (Fig. 13a): high VCDs are prominent when the winds blow 13 from the east, because Tangshan, a heavy industrial city releasing large amounts of SO<sub>2</sub>, is 14 situated to the east of Xianghe (see Fig. 1); in contrast, the northwest direction corresponds to 15 a minimum in SO<sub>2</sub> VCD, since it is a mountain area, characterized by much less emissions 16 than in Xianghe. The wind therefore contributes significantly to the dispersion of the 17 pollutants, as expected. Regarding the dependence of the SO<sub>2</sub> VCD on wind speed, Fig. 13(b) shows that the VCD is almost constant with wind speed for the E and SW, which means that 18 19 no good dispersion happens with the wind from these directions, since high-emission 20 industrial areas and Tangshan are located to the southwest and east of Xianghe, respectively. 21 In contrast, an anti-correlation is observed for NE/NNE, NW, and SE, which means that the 22 wind from these directions corresponding to less polluted areas can efficiently disperse 23 pollutants. In addition, the SO<sub>2</sub> content at Xianghe is more sensitive to the emission sources in 24 Tangshan (E) than in Beijing (WNW), which is consistent with the fact that Beijing has taken 25 regulatory actions to reduce air pollution through traffic-control measures and the closure of 26 heavy polluting industries initiated before the 2008 Olympic Games (Yu et al., 2010).

The annual cycles of SO<sub>2</sub> are generally in good agreement among the different years.

- 1 However, the SO<sub>2</sub> VCD in January 2011 drastically deviates by up to 30% from the values
- during the same month in 2012 and 2013, which is also the case in May 2012. Wind roses in
- Fig. 14 reveal that the inter-annual variability of wind speed and direction is responsible for
- 4 the significantly different SO<sub>2</sub> VCD in January 2011. During that month, the frequency of
- 5 north-west winds reaches 70% and wind speed predominantly exceed 5m.s<sup>-1</sup>. As mentioned
- 6 above, the strong northwesterly wind favors the atmospheric dispersion of pollutants.
- 7 Consequently, the SO<sub>2</sub> VCDs are generally lower than  $4\times10^{16}$  molec.cm<sup>-2</sup>. For January 2012
- 8 and 2013, uniformly distributed wind on each side and low velocity (<5 m.s<sup>-1</sup>,
- 9 frequency>50%) jointly result in relatively high SO<sub>2</sub> VCDs compared to January 2011.
- 10 Similar features can explain the May 2012 case.

## 11 **3.3 Diurnal Cycle**

- 12 In Fig. 15, we further compare the diurnal cycles of SO<sub>2</sub> VCDs for the different seasons.
- 13 Since the sunshine duration is different in the four seasons, the available time period for
- 14 MAX-DOAS observations also differs: 7:30—17:30 in spring and autumn, 6:30—18:30 in
- summer, and 8:30—16:30 in winter. As can be seen, the diurnal cycles for all years are very
- 16 consistent, especially in summer. The retrieved SO<sub>2</sub> VCDs in autumn 2011 and spring 2012
- are significantly higher than those during the same period of the other years due to the
- anomalous VCD values in November 2011 and May 2012. Furthermore, the amplitude of the
- 19 SO<sub>2</sub> VCD diurnal cycle, which shows a minimum at noon and a maximum in the morning and
- 20 late afternoon, is larger in winter. This can be explained a strengthened diurnal variation of
- emission sources during this period (Meng et al., 2009).
- 22 It should be noted that similar investigations have been done for NO<sub>2</sub> (Wang et al., 2014). One
- can conclude that both NO<sub>2</sub> and SO<sub>2</sub> display a similar seasonal variation and are impacted in
- 24 the same way by meteorological conditions. However, SO<sub>2</sub> abundances are always higher than
- NO<sub>2</sub> ones and their diurnal cycles are different, especially in winter and summer: SO<sub>2</sub> has a
- 26 more pronounced diurnal cycle than NO<sub>2</sub> in winter which is in line with the known diurnal
- 27 cycle of burning of fossil fuels for heating and atmospheric stability, and the photochemical

- reaction activity leads to an obvious decrease of NO<sub>2</sub> during daytime in summer (Wang et al.,
- 2 2008; Meng et al., 2009; Lin et al., 2011).

# 3.4 Relationship between SO<sub>2</sub> and aerosols

4 SO<sub>2</sub> is known as a major aerosol precursor through its conversion into sulfates and sulfuric 5 acid by reaction with OH (see e.g. Ma et al., 2012). Since aerosol extinction profiles are retrieved in the first step of the SO<sub>2</sub> retrieval (see Sect. 2.3), our data set offers a unique 6 opportunity to investigate the relationship between SO<sub>2</sub> emission and aerosol production in 7 the Beijing suburban area. This will be done through a correlation study as in Lu et al., 2010 8 9 and Veefkind et al. (2011). Fig. 16 shows monthly scatter plots of the SO<sub>2</sub> concentration versus aerosol extinction 10 coefficient retrieved in the 0-200m layer for the March 2010 – February 2013 period. In all 11 plots, data points correspond to MAX-DOAS scans satisfying the selection criteria based on 12 the quality of the retrievals (see Sect. 2.3). A strong correlation (Pearson correlation 13 14 coefficients in the 0.6-0.9 range) is obtained in J, F, M and O, N, D while a significantly lower 15 correlation is observed in late spring/summer with correlation coefficients around 0.3 in J. J. A. Similar features are found from the scatter plots of SO<sub>2</sub> VCD versus AOD but also when 16 outliers outside the 95% confidence interval are removed and/or the uncertainties on both SO<sub>2</sub> 17 and aerosol data are taken into account (not shown here). The marked seasonality of the 18 correlation between SO<sub>2</sub> and aerosols is further illustrated in Fig. 17 where monthly 19 20 correlation coefficients for both surface concentration and integrated column are reported. The positive correlation (>0.2) observed throughout the year indicates that in most cases, high 21 22 pollution events in Xianghe are associated with enhanced SO<sub>2</sub> and aerosol levels (Chan and 23 Yao, 2008; Li et al., 2007). The higher correlation coefficients obtained in winter (>0.6) suggest that anthropogenic SO<sub>2</sub>, through the formation of sulfate aerosols, is a major 24 contributor to the total aerosol content during this period of the year. In late spring/summer, 25 the Beijing area is strongly influenced by other sources of aerosols, especially particles 26 27 emitted from massive agricultural fires in the surrounding region (Xia et al., 2013) as well as dust particles transported from the Kumutage and Taklimakan deserts in western China and from the Mongolian deserts (Yu et al., 2009). In combination to the lower SO<sub>2</sub> concentration, this could explain the significantly weaker correlation between anthropogenic SO<sub>2</sub> and aerosols obtained in J, J, A. The intercept values much larger than zero found in summer scatter plots (see Fig. 16) further support the fact that aerosol sources other than anthropogenic ones dominate in summer, as also suggested by Lu et al. (2010) from a correlation study between SO<sub>2</sub> emission inventories and AODs measured by the MODIS satellite instrument. It is however important to note that co-located measurements of the chemical composition of aerosols in Xianghe would be needed to confirm our findings.

# 4 Summary and conclusions

Tropospheric SO<sub>2</sub> vertical profiles and corresponding column densities at the Xianghe station have been retrieved by applying an OEM-based profiling tool to continuous ground-based MAX-DOAS observations from March 2010 to February 2013. The 305-317.5 nm wavelength range was found to be the most suitable fitting window for near-noon DOAS analysis of SO<sub>2</sub>. For verification purpose, retrieved SO<sub>2</sub> surface concentrations have been compared to collocated in-situ data. An excellent agreement was found, with correlation coefficient and slope close to 0.9, indicating the good reliability and robustness of our retrievals. These MAX-DOAS measurements have been used to investigate the seasonal and diurnal cycles of SO<sub>2</sub> vertical columns and surface concentrations, in combination with conventional meteorological data (temperature, humidity, and wind speed and direction). Regarding the seasonal variation, both VCD and surface concentrations exhibit the same patterns, with a maximum in winter (February) and a minimum in summer (July), in accordance with the large emissions due to domestic heating in winter. The high levels of SO<sub>2</sub> during the cold season are further enhanced by the weakness of the wet deposition mechanism and, in case of surface concentration, by the frequent temperature-inversion events occurring during this period,

1 favoring the accumulation of SO<sub>2</sub> in the atmospheric layers close to the ground. The variation 2 of the SO<sub>2</sub> amount in Xianghe is also found to be largely driven by wind speed and direction. In the case of east or southwest wind, the VCD at the station remains almost constant with the 3 increase of wind speed, since the city of Tangshan and heavy polluting industries are located 4 to the east and southwest of Xianghe, respectively. In contrast, an anti-correlation between 5 SO<sub>2</sub> VCD and wind speed is observed for NE/NNE, NW, and SE directions, which means the 6 7 wind from these directions can efficiently disperse the pollution in Xianghe. With respect to 8 the diurnal cycle, larger SO<sub>2</sub> amounts are obtained in the early morning and late evening with 9 a minimum around noon, in line with the diurnal variation of pollutant emission and 10 atmospheric state. Moreover, the diurnal cycle is more pronounced during wintertime, mainly due to the more marked diurnal variation of emission sources during this season. The 11 relationship between SO<sub>2</sub> and aerosols has been also investigated. A strong correlation 12 13 between both is found in winter but not in summer. This seasonality could be related to the 14 fact that in the Beijing area in winter, the aerosol content depends significantly on anthropogenic SO<sub>2</sub> through the formation of sulfate aerosols while in spring/summer, dust and 15 biomass burning particles, which are much less SO<sub>2</sub>-dependent, are the dominant aerosol 16 sources. 17 18 These three-year MAX-DOAS SO<sub>2</sub> measurements in Xianghe constitute a unique data set for 19 validating and improving space-borne observations over China, which is the region in the

These three-year MAX-DOAS SO<sub>2</sub> measurements in Xianghe constitute a unique data set for validating and improving space-borne observations over China, which is the region in the world where anthropogenic SO<sub>2</sub> emissions are the largest (Yang et al., 2013; Boynard et al., 2014). In particular, retrieved SO<sub>2</sub> vertical profiles can be used as a priori information for the AMF calculation in satellite retrievals. Moreover, the combination of both integrated columns and surface concentrations could provide useful information to make explicitly the link between measured satellite columns and surface concentrations.

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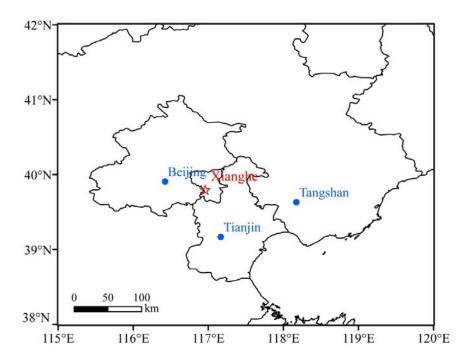
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Table 1: Settings used for the SO<sub>2</sub> and O<sub>4</sub> DOAS analysis.

Parameter	Data source	Fitting interval (nm)	
		338-370 (O <sub>4</sub> )	305-317.5(SO <sub>2</sub> )
$NO_2$	Vandaele et al. (1998) 220K, 294K	x	x(only 294K)
$\mathrm{SO}_2$	Vandaele et al. (1994) 294K		x
$O_3$	Bogumil et al. (2003) 223K, 243K	x(only 223K)	x
$\mathrm{O}_4$	Hermans et al. (2003) 296K	X	
BrO	Fleischmann et al. (2004) 223K	x	
$H_2CO$	Meller and Moortgat (2000) 293K	X	
Ring	Chance and Spurr (1997)	x	x
Polynomial		5	5
degree			

Table 2: Error budget of retrieved SO<sub>2</sub> concentration (0-200m) and VCD.

Uncertainty (%)	Concentration (0-200m)	VCD
Smoothing + noise errors	16	11
Uncertainty related to aerosols	16	5
Uncertainty related to the a priori	8	19
Uncertainty on SO <sub>2</sub> cross section	5	5
Total uncertainty	24	23



2 Figure 1: Location of the Xianghe Observatory (red star) and major neighborhood cities.

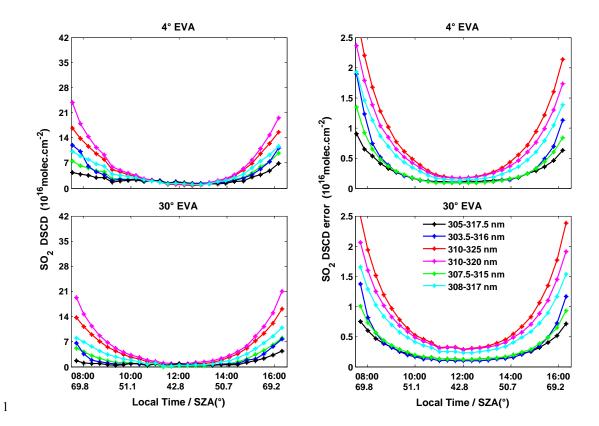


Figure 2: SO<sub>2</sub> DSCDs (1<sup>st</sup> column) and corresponding fitting uncertainties (2<sup>nd</sup> column) retrieved at 4° (upper plots), 30° (lower plots) elevation for different wavelength intervals on 1<sup>st</sup> October 2011. Local time (h) and corresponding SZA (°) are given on the x-axis.

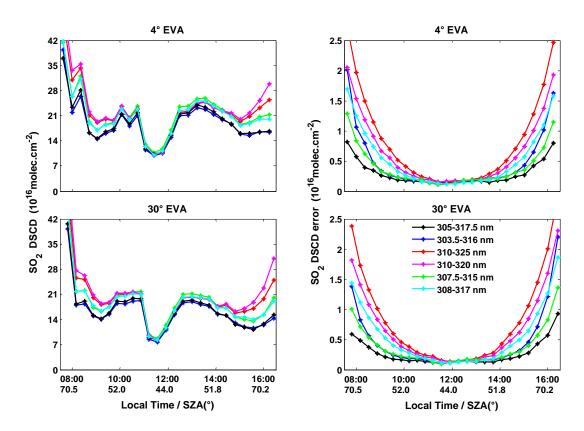
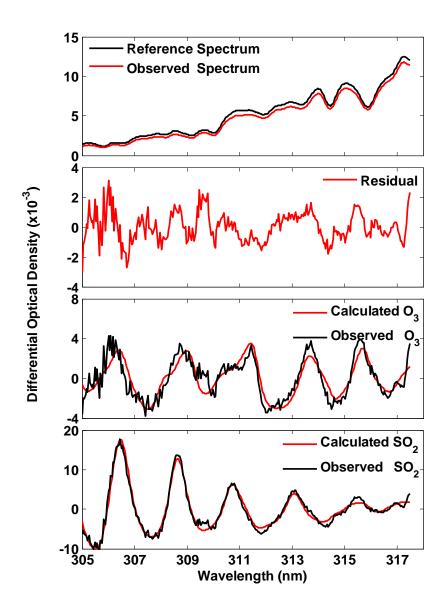


Figure 3: Same as Figure 2, but for 4<sup>th</sup> October, 2011.



2 Figure 4: Example of DOAS fit result for SO<sub>2</sub>. It corresponds to 29 September 2010 at ~11:20

3 LT. SZA and EVA values are 43° and 30°, respectively.

1

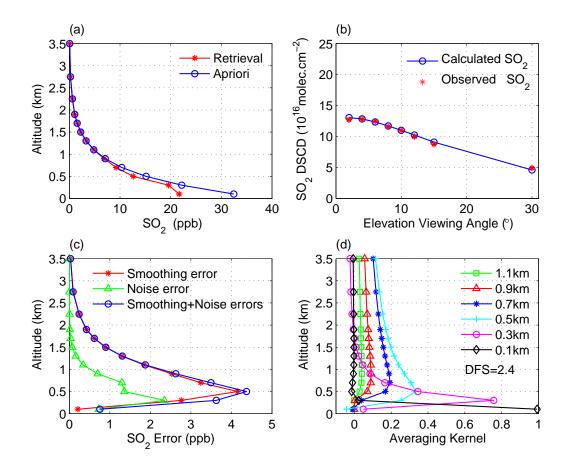


Figure 5: Example of SO<sub>2</sub> vertical profile retrieval from MAX-DOAS measurements at Xianghe (29 September, 2010 at 10:15 LT). (a) a priori (blue) and retrieved profile (red); (b) observed (red) and calculated (blue) DSCD (c) smoothing error (red), noise error (green) and sum of these two (blue); (d) averaging kernels.

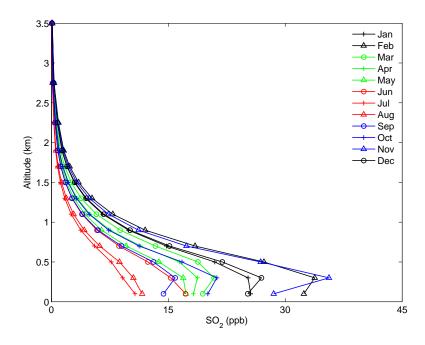
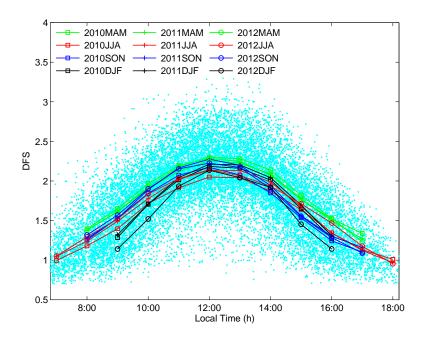


Figure 6: Monthly-averaged SO<sub>2</sub> concentration vertical profiles for the March 2010 - February 2013 period.



 ${\small 2} \quad \ \ Figure \ 7: Seasonally-averaged \ DFS \ diurnal \ cycles \ corresponding \ to \ the \ SO_2 \ profile \ retrievals.$ 

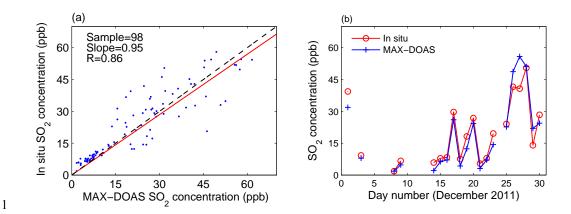


Figure 8: (a) Scatter plot of in situ SO<sub>2</sub> surface concentrations (0-200m layer) against MAX-DOAS data for December 2011 (hourly-averaged concentrations). The red line denotes the linear least-squares fit to the data. (b) Temporal evolution of daily averaged MAX-DOAS and in situ SO<sub>2</sub> concentrations during December 2011. Gaps in the data series are due to missing MAX-DOAS measurements.

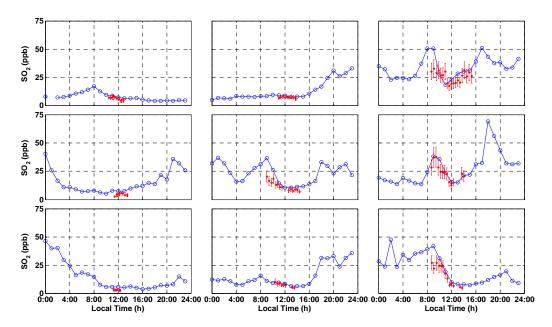


Figure 9: Comparison between in situ (blue, hourly means) and MAX-DOAS SO<sub>2</sub> surface concentrations (red, each point represents the retrieval from one scan) for the December 15-23, 2011 period (upper plots are for December 15-17, middle plots are for December 18-20, lower plots are for December 21-23).

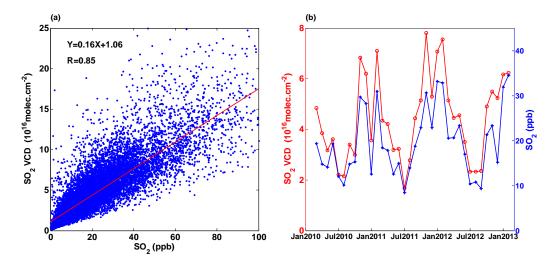


Figure 10: (a) Scatter plot of SO<sub>2</sub> VCD against surface concentration. The red line represents the linear least-squares fit to the data. (b) Temporal evolutions of monthly mean VCD and concentration from March 2010 to February 2013.

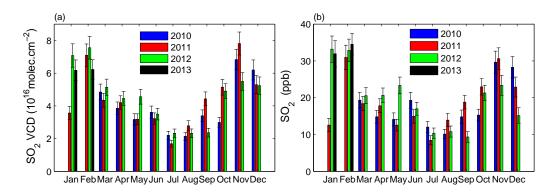


Figure 11: Monthly mean SO<sub>2</sub> VCD (a) and surface concentration (b) for the March 2010 - February 2013 period.

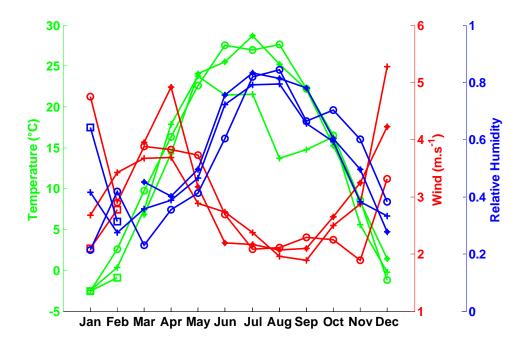


Figure 12: Seasonal cycles (monthly means) of temperature, humidity, and wind speed in 2010 (marker: star), 2011 (plus), 2012 (circle), and 2013 (square).

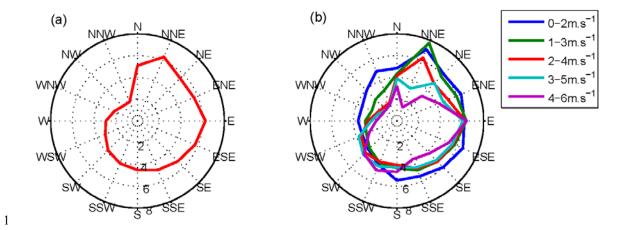


Figure 13: (a) Wind rose showing the SO<sub>2</sub> VCD (10<sup>16</sup> molec.cm<sup>-2</sup>) as a function of the wind direction (average for all wind speed). (b) Dependence of SO<sub>2</sub> VCD (10<sup>16</sup> molec.cm<sup>-2</sup>) on wind direction for different wind speeds.

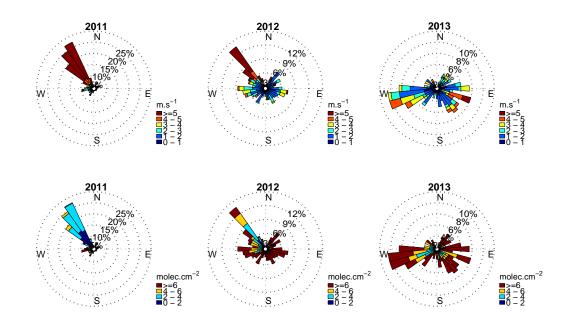
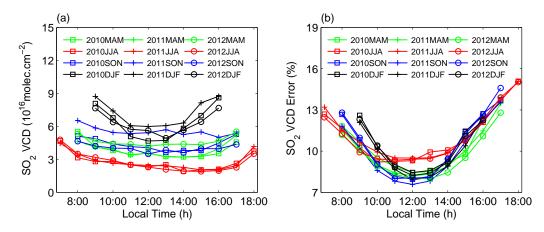


Figure 14: Wind rose for wind speed (1<sup>st</sup> row; m.s<sup>-1</sup>) and SO<sub>2</sub> VCD (2<sup>nd</sup> row; 10<sup>16</sup> molec.cm<sup>-2</sup>)

for January 2011 (1<sup>st</sup> column), 2012 (2<sup>nd</sup> column), and 2013 (3<sup>rd</sup> column).



2 Figure 15: (a) Seasonally-averaged SO<sub>2</sub> VCD diurnal cycles, and (b) corresponding errors.

3 Data points represent hourly means.

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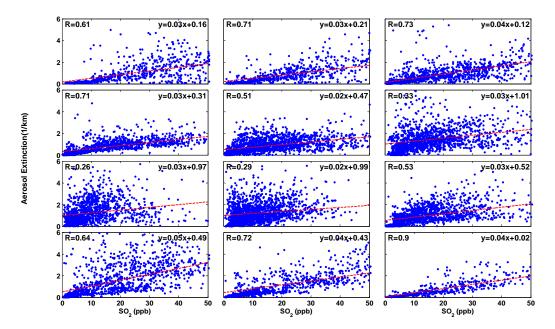


Figure 16: Scatter plots of aerosol extinction coefficient versus SO<sub>2</sub> concentration in the 0-200m layer for months 1-12 of the March 2010 – February 2013 period (first row from left to right is for J, F, M, respectively; second row for A, M, J; third row for J, A, S; fourth row for O, N, D). The data points correspond to the different MAX-DOAS scans. The red line denotes the linear least-squares fit to the data.

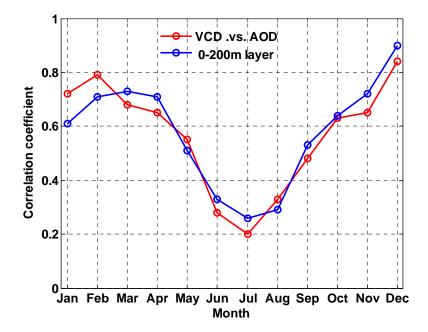


Figure 17: Seasonal variation of the correlation coefficient between  $SO_2$  and aerosols over the March 2010-February 2013 period. The red curve corresponds to VCD versus AOD and the blue curve to  $SO_2$  concentration versus aerosol extinction coefficient in the 0-200m layer.