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3 **Volatility basis-set approach simulation of organic aerosol**
4 **formation in East Asia: implications for**
5 **anthropogenic-biogenic interaction and controllable amounts**
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22

23 **Abstract**

24 Organic aerosol (OA) simulations using the volatility basis-set approach were made for
25 East Asia and its outflow region. Model simulations were evaluated through
26 comparisons with OA measured by aerosol mass spectrometers in and around Tokyo (at
27 Komaba and Kisai in summer 2003 and 2004) and over the outflow region in East Asia
28 (at Fukue and Hedo in spring 2009). The simulations with aging processes of organic
29 vapors reasonably well reproduced the mass concentrations, temporal variations, and
30 formation efficiencies of observed OA at all of the sites. As OA mass was severely
31 underestimated in the simulations without the aging processes, the oxidations of organic
32 vapors are essential for reasonable OA simulations over East Asia. By considering the
33 aging processes, simulated OA concentrations increased from 0.24 to 1.28 $\mu\text{g m}^{-3}$ in the
34 boundary layer over the whole of East Asia. OA formed from the interaction of
35 anthropogenic and biogenic sources was also enhanced by the aging processes. The
36 fraction of controllable OA was estimated to be 87 % of total OA over the whole of East
37 Asia, which indicated that most of the OA in our simulations were formed
38 anthropogenically (from controllable combustion sources). A large portion of biogenic
39 secondary OA (78 % of biogenic secondary OA) was formed through the influence of
40 anthropogenic sources. These fractions were higher than the fraction of anthropogenic
41 emissions. An important reason for these higher controllable fractions was higher
42 oxidant concentrations and the resulting faster oxidation rates of OA precursors by
43 considering anthropogenic sources. Both the amounts (from 0.18 to 1.12 $\mu\text{g m}^{-3}$) and
44 the fraction (from 75 % to 87 %) of controllable OA were increased by aging processes
45 of organic vapors over East Asia.

47 **1. Introduction**

48 Organic aerosol (OA) accounts for a significant mass fraction of submicron
49 aerosols in the atmosphere (Kanakidou et al., 2005; Zhang et al., 2007) and influences
50 the Earth's climate directly (by scattering/absorption of solar radiation) and indirectly
51 (by modifying cloud microphysical properties) (Hallquist et al., 2009). OA is directly
52 emitted from fossil fuel combustion, biomass burning, and other sources (primary
53 organic aerosol, POA) or formed from the oxidation of thousands of volatile organic
54 compounds (VOCs) in the atmosphere (secondary organic aerosol, SOA). Recent
55 studies have shown that SOA accounts for a large fraction of OA globally (e.g.,
56 Kanakidou et al., 2005; Goldstein and Galbally, 2007; Zhang et al., 2007; de Gouw and
57 Jimenez, 2009). However, as SOA formation processes are very complicated,
58 estimates of the SOA burden in the atmosphere and its impact on climate and human
59 health remain highly uncertain compared with those of other aerosols such as inorganic
60 aerosol species (Hallquist et al., 2009). The current estimation of global SOA
61 formation rate is about 30 – 450 Tg yr⁻¹ (Hallquist et al., 2009; Heald et al., 2010;
62 Spracklen et al., 2011).

63 In traditional OA models, the mass concentrations of SOA produced from
64 individual parent VOCs (for example, isoprene and terpenes for biogenic VOCs, and
65 benzene, toluene and xylene for anthropogenic VOCs) are calculated by using two
66 mass-based yield coefficients and two partitioning coefficients which are estimated by
67 fitting of laboratory experimental results (two-product approach) (Odum et al., 1996,
68 1997). Various global- and regional-scale simulations have been made using these
69 coefficients (e.g., Chung and Seinfeld, 2002; Tsigaridis and Kanakidou, 2003, 2007;

70 Heald et al., 2005, 2008), but they have underestimated observed OA and/or SOA
71 concentrations and formation rates in the atmosphere by roughly an order of magnitude,
72 especially over urban regions (e.g., McKeen et al., 2007; Han et al., 2008; Matsui et al.,
73 2009a).

74 More recently, a significant source of SOA was proposed by laboratory studies
75 (e.g., Robinson et al., 2007), which found missing sources of semivolatile and
76 intermediate volatility organic compounds (S/IVOCs) and the importance of chemical
77 aging of S/IVOCs and VOCs in the atmosphere. Donahue et al. (2006) developed a
78 new framework for OA modeling, the volatility basis set (VBS) approach. In the VBS,
79 individual organic vapors are categorized to surrogate species with similar volatility,
80 and their photochemical multigenerational oxidation and gas/particle partitioning
81 processes are calculated. The VBS approach has recently been applied to global- and
82 regional-scale simulations (e.g., Lane et al., 2008a, 2008b; Farina et al., 2010; Pye and
83 Seinfeld, 2010; Jathar et al., 2011). Improvements of the agreement between
84 oxygenated OA (OOA, thought to be analogous to SOA) observed by aerosol mass
85 spectrometers (AMS) and simulated SOA have been reported for the air over Mexico
86 City (Hodzic et al., 2010; Tsimpidi et al., 2010, 2011; Shrivastava et al., 2011), the
87 United States (Ahmadov et al., 2012), and Europe (Fountoukis et al., 2011;
88 Athanasopoulou et al., 2013).

89 East and Southeast Asia is one of the largest sources of aerosols in the world
90 (e.g., Dentener et al., 2006; Bond et al., 2013). Many studies have reported impacts of
91 Asian aerosols on regional and hemispherical scales (e.g., Ramanathan et al., 2001;
92 Carmichael et al., 2003; Adhikary et al., 2010; Matsui et al., 2011a, 2011b, 2013a;

93 Oshima et al., 2012, 2013). Several global and regional modeling studies have
94 simulated and evaluated OA over East Asia (e.g., Heald et al., 2005; 2011; Han et al.,
95 2008; Matsui et al., 2009a; Utembe et al., 2011; Mahmud and Barsanti, 2013). Most
96 previous OA simulation studies have underestimated observed OA and SOA
97 concentrations over the region. For example, Utembe et al. (2011) evaluated their
98 global OA simulations over the outflow region in East Asia through the comparisons
99 with OA measurements during the ACE-Asia campaign. While their simulations
100 reproduced the vertical profile of observed OA mass concentrations, they
101 underestimated absolute OA mass concentrations by a factor of 5. Matsui et al.
102 (2009a) simulated OA over the urban area of Tokyo in July and August 2003. The
103 simulations reproduced the absolute concentrations and their temporal variations of
104 observed NO_x, ozone (O₃), VOCs, and inorganic aerosols reasonably well, but severely
105 underestimated observed SOA (by a factor of 5) and OA concentrations (by a factor of
106 2).

107 Few studies have focused on OA concentrations and their spatial distributions
108 over the whole of East and Southeast Asia and its outflow region (Han et al., 2008;
109 Jiang et al., 2012). They also underestimated observed OA and/or SOA concentrations
110 over China. As the VBS approach has a potential to explain realistic OA
111 concentrations over East and Southeast Asia, the application and evaluation of the VBS
112 approach to the Asian region is important to obtain a quantitative understanding of OA
113 concentrations and their spatial distributions over this region.

114 The understanding on the interaction of anthropogenic and biogenic sources is
115 also very limited over the Asian region. Anthropogenic sources may substantially

116 influence biogenic SOA (BSOA) formation (e.g., Carlton et al., 2010; Hoyle et al.,
117 2011; Spracklen et al., 2011). The formation of BSOA is enhanced by anthropogenic
118 POA, NO_x, and VOCs because they increase the concentrations of precursor VOCs, the
119 oxidation rates of VOCs, and the particle-to-gas partitioning ratios of organic
120 compounds (e.g., Heald et al., 2008; Tsigaridis et al., 2006; Tsigaridis and Kanakidou,
121 2007). Carlton et al. (2010) estimated the effect of anthropogenic emissions on BSOA
122 formation and demonstrated that more than 50 % of the predicted BSOA concentrations
123 were influenced by anthropogenic emissions in the eastern United States. Some global
124 modeling studies have estimated much higher contributions from enhanced BSOA
125 (Tsigaridis et al., 2006; Hoyle et al., 2009; Spracklen et al., 2011). As anthropogenic
126 and biogenic emissions are both very large over East and Southeast Asia, the interaction
127 of anthropogenic and biogenic sources and the resulting enhancement of BSOA are very
128 important and should be examined for this region. These understandings would be
129 useful for estimating the past, current, and future OA concentrations and their regional
130 and hemispherical climatic impacts.

131 The objective of this study is to understand OA concentrations and their spatial
132 distributions over East and Southeast Asia and its outflow region with the interaction of
133 anthropogenic and biogenic sources. We simulate OA concentrations over East Asia
134 and its outflow region by using a VBS model we have developed (Sect. 2), and evaluate
135 the results through comparisons with AMS measurements conducted in and around
136 Tokyo and over the outflow region in East Asia (Sect. 3 and 4). OA spatial
137 distributions over East Asia are described with the importance of aging treatments in the
138 VBS (Sect. 5.1.1). We also examine the interaction of anthropogenic and biogenic

139 sources in OA formation processes, such as the enhancement of BSOA formation due to
140 aging processes of anthropogenic S/IVOCs and VOCs (Sect. 5.1.3). Finally, we
141 estimate the contribution of anthropogenically induced (controllable) OA over East Asia
142 and the impact of aging treatments on it (Sect. 5.2). The abbreviations of organic
143 vapors and aerosols used in this study are summarized in Table 1.

144

145 **2. Regional three-dimensional model**

146 **2.1. WRF-chem model**

147 In this study, we use the Weather Research and Forecasting/Chemistry
148 (WRF-chem) model with the MOSAIC aerosol module (version 3.4) (Skamarock et al.,
149 2008; Grell et al., 2005; Fast et al., 2006; Zaveri et al., 2008), which has been used in
150 our previous studies (Matsui et al., 2009b, 2010, 2011c, 2013b, 2013c), with
151 modifications of the schemes related to organic aerosol formation (see Sect. 2.2). The
152 chemical processes considered in the original WRF-chem model are emissions of
153 gaseous and aerosol species, gas-phase chemistry (Zaveri and Peters, 1999), new
154 particle formation (Wexler et al., 1994), dynamical gas-particle partitioning
155 (condensation/evaporation) (Zaveri et al., 2005a, 2005b, 2008), Brownian coagulation
156 (Jacobson et al., 1994), aerosol activation (Abdul-Razzak and Ghan, 2000),
157 aqueous-phase chemistry for inorganic species (Fahey and Pandis, 2001), and dry and
158 wet deposition (Easter et al., 2004). The mass (sulfate (SO₄), nitrate, ammonium,
159 black carbon (BC), POA, dust, sodium, chloride, and aerosol water) and number
160 concentrations of aerosols are explicitly calculated for the size range from 40 nm to 10
161 μm in 8 size bins. The meteorological and chemical process options adopted in this

162 study are summarized in Table 2. More detailed descriptions of the
163 WRF-chem/MOSAIC model are given elsewhere (Fast et al., 2006).

164

165 **2.2. OA formation scheme (VBS)**

166 The WRF-chem model was modified to consider OA formation processes using
167 the VBS approach (Fig. 1). Table 3 shows the summary of the OA formation scheme
168 developed in this study. Similar to previous studies (e.g., Lane et al., 2008a; Tsimpidi
169 et al., 2010, Shrivastava et al., 2011), this study uses 9 surrogate volatility species to
170 represent S/IVOCs with effective saturation concentrations (C^* , saturation
171 concentrations at 300K) of 10^{-2} , 10^{-1} , 1, 10, 10^2 , 10^3 , 10^4 , 10^5 , and 10^6 $\mu\text{g m}^{-3}$.
172 Gas-phase chemistry is represented by the SAPRC99 mechanism (Carter, 2000) with
173 the formation of first-generation oxidized VOCs (OVOCs) from the 9 lumped VOCs;
174 alkanes (ALK4 and ALK5), olefins (OLE1 and OLE2), aromatics (ARO1 and ARO2),
175 isoprene (ISOP), monoterpene (TERP), and sesquiterpene (SESQ). The mass yields of
176 the OVOCs from each lumped VOC are calculated using the same NO_x -dependent
177 4-product basis fit (C^* of 1, 10, 100, and 1000 $\mu\text{g m}^{-3}$) used by Tsimpidi et al. (2010).
178 S/IVOCs and OVOCs are oxidized to the surrogate species with an order of magnitude
179 lower C^* by OH radical with an assumed rate constant of 1×10^{-11} $\text{cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$
180 (Fig. 1). The increase in SOA mass due to the addition of an oxygen atom is taken into
181 account, as described by Tsimpidi et al. (2010): 7.5% increase for the reduction of
182 volatility by one order of magnitude. The enthalpy of vaporization is based on
183 Tsimpidi et al. (2010) and Lane et al. (2008a): 64 – 112 kJ mol^{-1} for POA and 30 kJ
184 mol^{-1} for SOA. Our scheme traces 53 surrogate vapor species (9 for primary S/IVOCs,

185 8 for oxygenated S/IVOCs, and 36 for OVOCs) and the corresponding 53 aerosol
 186 species for bulk aerosol mass concentrations. In this study, we define oxidized POA
 187 (OPOA) as OA from oxygenated S/IVOCs, anthropogenic SOA (ASOA) as OA from
 188 anthropogenic VOCs (ALK4, ALK5, OLE1, OLE2, ARO1, and ARO2), and biogenic
 189 SOA (BSOA) as OA from biogenic VOCs (ISOP, TERP, and SESQ) (Fig. 1).

190 The scheme assumes equilibrium between the vapor and particulate species.
 191 Bulk equilibrium gas-particle partitioning is calculated with an iteration scheme of
 192 Schell et al. (2001). The changes in size-resolved mass concentrations in the 8 size
 193 bins are calculated based on Koo et al. (2003) with the Kelvin effect. The fraction of
 194 total flux of species i between gas and aerosol phases that condenses onto or evaporates
 195 from aerosol size bin k ($f_{i,k}$) is given by

$$196 \quad f_{i,k} = \frac{2\pi N_k d_k D_i F (C_i - C_i^{eq} \eta)}{\sum_k 2\pi N_k d_k D_i F (C_i - C_i^{eq} \eta)} \quad (1)$$

198
 199 where N_k is the number concentrations in bin k , d_k is the mean diameter of bin k , D_i , C_i ,
 200 and C_i^{eq} are the diffusivity, bulk gas-phase concentration, and equilibrium concentration
 201 at the particle surface of species i , respectively, F is the correction for the
 202 non-continuum effects which depends on the Knudsen number and the accommodation
 203 coefficient (we assume a value of 0.1, which has been used in previous studies (Zhang
 204 et al., 2004; Zaveri et al., 2008)), and η is the Kelvin effect correction. In our scheme,
 205 equation (1) is calculated for individual VBS species (53 species), but all of the
 206 size-resolved information is not directly used to calculate the three-dimensional
 207 transport processes to reduce the computational cost. Only total OA (sum of all VBS

208 species) is transported with the size-resolved information, and individual VBS species
209 are transported with the information of bulk mass concentrations only (not size-resolved
210 and we assume that all VBS species have the same size distribution). This treatment
211 can reduce the number of transport variables (therefore computational cost) by a factor
212 of 4 compared with the size-resolved treatment for all VBS species and by a factor of 3
213 compared with the 4-bin scheme presented in Shrivastava et al. (2011), which was
214 implemented in the original WRF-chem model (Table 3). Therefore, the scheme
215 developed in this study is a detailed (9 species), size-resolved (for total OA), and
216 computationally efficient VBS scheme.

217 In WRF-chem, interstitial (aerosol-phase) and in-cloud (cloud-phase) aerosols
218 are treated separately for all aerosol species (5 inorganic species, BC, OA, and dust) and
219 size bins to calculate in-cloud aerosol formation, regeneration, and wet removal
220 processes. Therefore, OA size distribution is calculated separately for aerosol-phase
221 and cloud-phase in our model. The model considers the increase in total OA (sum of
222 all VBS species) through aerosol regeneration after cloud evaporation. As the
223 information of each VBS species is not calculated for in-cloud aerosols in our model,
224 the chemical compositions (mass fraction of each VBS species) of regenerated OA are
225 assumed to equal those of interstitial OA at the same three-dimensional grid cell.

226 The emission factors of S/IVOCs and POA were assumed based on Shrivastava
227 et al. (2011). In this study, we applied the factors for anthropogenic sources (Table 2
228 of Shrivastava et al. (2011)) to all the emission sources. The sum of all S/IVOCs and
229 POA emissions is 7.5 times the traditional POA emissions (Fig. 1), which are based on
230 the rough estimate of the SVOC/POA ratio of 3 and the IVOC/SVOC ratio of 1.5 (or the

231 IVOC/POA ratio of 4.5) in previous studies (Tsimpidi et al., 2010; Shrivastava et al.,
232 2011). To ensure consistent aerosol number concentrations between the traditional OA
233 emissions and the S/IVOCs/POA emissions, we assume particulate emissions (POA) for
234 C^* ranging from 10^{-2} to $1 \mu\text{g m}^{-3}$, gas-phase emissions (S/IVOCs) for C^* ranging from
235 10^6 to $10^2 \mu\text{g m}^{-3}$, and a mixture of gas-phase and particulate emissions for C^* of $10 \mu\text{g}$
236 m^{-3} (Fig. 1).

237 Dry deposition of organic vapors (S/IVOCs and OVOCs) is calculated by the
238 scheme of Wesely (1989), which is used in the original WRF-chem/MOSAIC model.
239 In this study, the dry deposition velocity of HNO_3 is assumed for all of the organic
240 vapors, which is consistent with Ahmadov et al. (2012). Dry deposition of OA is
241 calculated for each size bin with the scheme used in the original WRF-chem model
242 (Binkowski and Shankar, 1995; Easter et al., 2004). In-cloud scavenging of organic
243 vapors (S/IVOCs and OVOCs) is calculated by assuming an aqueous-phase fraction of
244 unity (all organic vapors are soluble). Below cloud scavenging of organic vapors is
245 calculated by assuming the mass transfer rate of HNO_3 to rain given in Levine and
246 Schwarz (1982). In-cloud and below cloud scavenging of OA are calculated for each
247 size bin as calculated in the original WRF-chem model (Easter et al., 2004). A
248 hygroscopicity value (κ) of 0.14, which is the value used in the original WRF-chem for
249 POA, is assumed for all OA species used in the VBS.

250 In our VBS model, oxidation processes are considered only for gaseous species,
251 namely, homogenous aging by OH radical. Our model does not consider other
252 processes, such as aqueous-phase reactions (e.g., Ervens et al., 2011; Liu et al., 2012),
253 heterogeneous oxidation (e.g., George et al., 2007, 2008), oligomerization (e.g.,

254 Kalberer et al., 2004; Inuma et al., 2004), and fragmentation (e.g., Jimenez et al., 2009;
255 Kroll et al., 2009; Murphy et al., 2012). These processes could be important because
256 they alter the volatility and oxidation state (i.e., an atomic O/C ratio) of organic vapors
257 and OA, which leads to changes in OA concentrations. However, these processes
258 currently have large uncertainties regarding their reaction rates and products
259 (Hallquist et al., 2009). Some recent studies have developed two-dimensional VBS
260 schemes (2D-VBS) in which the volatility and oxidation state were calculated by
261 considering functionalization and fragmentation (e.g., Jimenez et al., 2009; Donahue et
262 al., 2011; Murphy et al., 2011, 2012; Shrivastava et al., 2013). In Murphy et al. (2012),
263 heterogeneous oxidation and aqueous-phase chemistry processes were also taken into
264 account. They applied their one-dimensional (column) chemical transport model to
265 Europe and showed that the simple one-dimensional (volatility only) VBS (1D-VBS)
266 scheme reproduced observed OA mass concentrations and O/C ratios reasonably well
267 and that the performance of the 1D-VBS scheme was not worse than that of their more
268 complex 2D-VBS schemes, likely due to the uncertainties in understanding of SOA
269 evolution in the atmosphere. Considering these uncertainties and computational costs
270 of complex 2D-VBS schemes, we use a simpler 1D-VBS scheme in this study.

271

272 **2.3. Uncertainties in the treatment of the VBS model**

273 To understand the uncertainties of the simplicity in our VBS model, we
274 conducted a sensitivity simulation without the simplicity. In this simulation, OA size
275 distribution is calculated for each VBS species (53 species \times 8 size bins).
276 Aerosol-phase and cloud-phase aerosols and their size distributions are calculated

277 separately for each VBS species. From the comparison between the sensitivity
278 simulation including the full representations of OA species and the base case simulation,
279 the uncertainties in the estimation of SOA mass concentrations in the base simulation
280 were estimated to be about 20% (as a total effect of OA formation, activation, and
281 removal processes). The correlation coefficient (R^2) of SOA spatial distribution (at
282 about 1 km) between the two simulations was 0.96, suggesting that the performance of
283 the OA distributions is sufficient in the base case simulation.

284 Our VBS scheme includes large uncertainties in the treatments of aging
285 parameters, emission factors, and dry and wet deposition of organic vapors, which could
286 change simulated OA concentrations considerably. In this study, the sensitivity of
287 aging coefficients is examined in Sect. 4.2 and 5.1.2. The uncertainties in the emission
288 factors and the treatments of dry and wet deposition for organic vapors used in the VBS
289 scheme are briefly described here. The S/IVOCs-to-POA emission ratio of 7.5 that
290 was used in this study is a highly uncertain parameter. A sensitivity simulation with an
291 increase in SVOC (C^* ranging from 10^3 to $10^2 \mu\text{g m}^{-3}$) emissions by a factor of 2
292 enhanced total OA and SOA concentrations by 25% and 45%, respectively, in our
293 application over East Asia (period- and domain-averaged values at an altitude of about 1
294 km). Another sensitivity simulation with an increase in IVOC (C^* ranging from 10^6 to
295 $10^4 \mu\text{g m}^{-3}$) emissions by a factor of 2 enhanced total OA and SOA concentrations by
296 20% and 15%, respectively. These results suggest that OA concentrations are
297 moderately sensitive to the treatment of S/IVOC emissions over East Asia. OA
298 concentrations are sensitive to the dry deposition treatment of organic vapors because a
299 factor of 2 different velocities for S/IVOCs and OVOCs lead to an increase/decrease in

300 OA concentrations about 50% in our application over East Asia. OA concentrations
301 are also moderately sensitive to the wet deposition treatment of S/IVOCs and OVOCs.
302 OA concentrations were increased by 25% in the simulation without wet deposition of
303 S/IVOCs and OVOCs.

304

305 **3. Measurements and simulation setups**

306 In this study, we simulate OA formation both in and around Tokyo urban area
307 (Sect. 3.1) and over East Asia (Sect. 3.2). The purpose of the simulation in and around
308 Tokyo is to validate the VBS scheme over the region where meteorological fields,
309 emissions, and the concentrations of precursor gaseous species are relatively well
310 known (compared with over the Asian region). We used observed data during the
311 Integrated Measurement Program for Aerosol and oxidant Chemistry in Tokyo
312 (IMPACT) campaign (Takegawa et al., 2006a, 2006b; Kondo et al., 2006, 2007, 2008,
313 2010). OA mass concentrations observed with an Aerodyne AMS and gaseous species
314 such as O₃, OH, and VOCs are available for the campaign period. These data can be
315 used to validate the simulations and constrain the parameters related to OA formation
316 such as precursor VOCs. The simulation over Asia is conducted to understand the
317 behavior of OA over all of East and Southeast Asia and its outflow region, though there
318 are uncertainties in emissions and limitations of validations especially for precursor
319 gases. OA mass concentrations (Aerodyne AMS) at two sites in Japan were used to
320 evaluate the simulations over the outflow regions from the Asian continent.

321

322 **3.1. Simulation in and around Tokyo (summer 2003 and 2004)**

323 We used OA mass concentrations observed by an Aerodyne AMS and gaseous
324 species of O₃ and VOCs at an urban area, Komaba (35.66°N, 139.67°E), Tokyo, in July
325 and August 2003 during the IMPACT-2 campaign and at a suburban site, Kisai (36.08°N,
326 139.55°E), Saitama, in July and August 2004 during the IMPACT-L campaign (Fig. 2a).
327 Details of the measurements are given elsewhere (Takegawa et al., 2005, 2006a, 2006b;
328 Kondo et al., 2006, 2007, 2008, 2010, Shirai et al., 2007; Kanaya et al., 2007).

329 The oxygenated and hydrocarbon-like OA concentrations (OOA and HOA) were
330 estimated by least-squares fits to the time series of OA using a linear combination of the
331 time series of AMS-derived signals at the mass-to-charge (m/z) ratios of 44 and 57
332 (Zhang et al., 2005). Though there are some uncertainties in this method, the
333 OOA/HOA concentrations derived from this method can be used as a proxy for
334 SOA/POA concentrations because SOA and POA concentrations, which were estimated
335 from the correlation of total OA with CO, correlated well with HOA and OOA, with
336 slopes of 0.88–1.36 (R² of 0.76 – 0.85) and 0.97–1.41 (R² of 0.65 – 0.85), respectively,
337 during the IMPACT campaign (Takegawa et al., 2006a, 2006b; Kondo et al., 2007).
338 We used observed HOA concentrations to constrain POA emissions and to simulate
339 realistic POA concentrations by the model (see below). Observed OOA is used to
340 validate simulated SOA (Sect. 4.1).

341 For the simulations in and around Tokyo, the horizontal grid spacings in the
342 model domain are 27 km (outer domain) and 9 km (inner domain) (horizontal scale of 9
343 × 7 degrees, Fig. 2a), and there are 18 vertical levels from the surface to 100 hPa. The
344 lowest layer is about 30 m in depth. The simulation periods are 17 July – 15 August
345 2003 during the IMPACT-2 campaign and 23 July – 15 August 2004 during the

346 IMPACT-L campaign. The first 2 days of data were used for model spin-up. The
347 National Centers for Environmental Prediction (NCEP) Final (FNL) Operational Global
348 Analysis data were used for initial and boundary conditions and nudging (free
349 troposphere only) of meteorological fields. We made two model simulations, with and
350 without aging processes of organic vapors in the VBS.

351 We used anthropogenic emission inventories for 1998 at a horizontal resolution
352 of $10 \times 10 \text{ km}^2$ with seasonal and diurnal dependencies (Kannari et al., 2004). The
353 detailed description of the inventories is given by Matsui et al. (2009a). We also used
354 on-line biogenic emissions: the Model of Emissions of Gases and Aerosols from Nature
355 version 2 (MEGAN2) (Guenther et al., 2006). Using the same approach as described
356 in Matsui et al. (2009a), the emissions of aromatics (toluene and xylene) and POA were
357 increased or decreased over all of the simulation domains (without modification of the
358 spatial emission patterns) to achieve good agreement between the observed and
359 simulated mean concentrations of these species at the Komaba site during the
360 IMPACT-2 campaign: ARO1 (toluene-like) and ARO2 (xylene-like) emissions were
361 reduced by 50% and 30%, respectively, and POA emissions were increased by 25%.
362 As the simulations with these modifications can reproduce mean concentrations of
363 aromatics and POA during the simulation period, at least at and around Komaba, we can
364 robustly evaluate the performance of OA formation processes. The modifications of
365 emissions were applied to the simulations in and around Tokyo only. We did not use
366 these modifications in the simulations over East Asia (section 3.2).

367

368 **3.2. Simulation over East Asia (spring 2009)**

369 We used OA and sulfate mass concentrations observed with an Aerodyne AMS
370 at Fukue (32.75°N, 128.68°E) and Hedo (26.87°N, 128.25°E), Japan, in March and
371 April 2009 during the Aerosol Radiative Forcing in East Asia (A-FORCE) aircraft
372 campaign (Oshima et al., 2012). As described by Takami et al. (2005, 2007), the
373 collection efficiency was assumed to be 0.5 at Fukue and 1.0 at Hedo. Details of the
374 AMS measurements at Fukue and Hedo are described by Takami et al. (2005, 2007).
375 OA measurements over the outflow regions in East Asia are limited and are useful for
376 evaluating the model simulations. The air parcels observed at Fukue and Hedo
377 represent the histories of sources from wide areas over northern China and their
378 histories during transport (e.g., Kondo et al., 2011; Matsui et al., 2013a), which suggests
379 that model evaluations at these sites are suitable for the overall validations of aerosol
380 sources, transport, and transformation from the Asian continent to the Pacific. We also
381 used BC mass concentrations observed with a continuous soot monitoring system
382 (COSMOS) to evaluate primary aerosols at Fukue and Hedo (Kondo et al., 2011).

383 For the simulation over East Asia, the horizontal grid spacings for the model
384 domain are 180 km (outer domain) and 60 km (inner domain) (horizontal scale of 120 ×
385 60 degrees, Fig. 2b), and there are 26 vertical levels from the surface to 100 hPa. The
386 lowest layer is about 30 m in depth. The simulation period is 21 March – 26 April
387 2009 during the A-FORCE aircraft campaign. The statistics are calculated for 24
388 March – 26 April 2009 period. The NCEP-FNL data were used for initial and
389 boundary conditions and nudging (free troposphere only) of meteorological fields.
390 Our previous simulations using WRF-chem successfully reproduced meteorological
391 fields due to synoptic-scale meteorological variations and related transport and variation

392 processes of aerosol mass and number concentrations observed by both the aircraft and
393 surface measurements during the A-FORCE period (Matsui et al., 2013b, 2013c). Our
394 previous simulation using similar model settings also showed that the observed
395 precipitation and its spatial distributions were generally reproduced by WRF during the
396 simulation period (Oshima et al., 2013). Table 4 shows a list of the simulations over
397 East Asia conducted in this study.

398 We used the anthropogenic and volcanic emission inventories of Streets et al.
399 (2003), which were also used in our previous studies (Matsui et al., 2013b, 2013c).
400 SO₂ emissions from the Miyakejima volcano were modified based on measurements, as
401 shown by Matsui et al. (2013c). We also used daily biomass burning emissions from
402 the Global Fire Emissions Database version 3 (GFED3) (van der Werf et al., 2010), and
403 on-line biogenic emissions from MEGAN2. Sea salt and dust emissions from natural
404 sources are not considered in this study.

405 Anthropogenic POA (from fossil fuel and biofuel combustion) is emitted mostly
406 from China and India (Fig. 3a), while biomass burning POA is emitted mainly from
407 Southeast Asia and Siberia (Fig. 3b). Anthropogenic and biomass burning sources
408 account for 69 % and 31 % of total POA emissions, respectively. ARO1
409 (anthropogenic) emissions are distributed over China, India, Southeast Asia, Japan, and
410 South Korea (Fig. 3c). The main source regions of TERP (biogenic) are Southeast
411 Asia and southern China (Fig. 3d).

412

413 **4. Model results and evaluation**

414 **4.1. IMPACT campaign (Tokyo)**

415 Figures 4a and b show the time-series of O₃ and SOA at Kisai during the
416 IMPACT-L campaign (25 July – 15 August 2004). Simulated SOA is the sum of
417 OPOA, ASOA, and BSOA. Simulated concentrations in Sect. 4 were chosen from a
418 grid cell closest to each measurement site and were calculated for particles with
419 diameters of less than 1 μm. The data at the lowest layer were chosen for comparison
420 with the surface measurements. Meteorological conditions during the campaign are
421 summarized by Takegawa et al. (2006a). Northerly and easterly winds were dominant
422 during 25 – 30 July, persistent southerly winds were dominant during 31 July – 9
423 August (associated with a stable anticyclone located east of Tokyo), and the sea-land
424 breeze circulation was dominant during 10 – 14 August (associated with a stable
425 anticyclone over Tokyo). Due to these meteorological conditions, relatively fresh air
426 was transported from the Tokyo metropolitan area to Kisai by 9 August, which resulted
427 in relatively low O₃ and SOA concentrations at Kisai. In contrast, stagnant and aged
428 air was transported to Kisai during 10 – 14 August, which enhanced O₃ and SOA
429 concentrations at Kisai due to the accumulation of pollutants.

430 The simulation reproduces the absolute concentrations and the diurnal and
431 day-to-day variations of observed O₃ and SOA concentrations reasonably well (Fig. 4
432 and Table 5). In particular, the model reproduces the contrast between the early (25
433 July – 6 August, low concentrations) and the later (7 – 15 August, high concentrations)
434 simulation periods and the diurnal peak concentrations of both O₃ and SOA (Fig. 4).
435 The daytime peak concentrations of OH and HO₂ radicals are also reproduced by within
436 50% at Komaba during the IMPACT-L campaign in our simulations, though the
437 concentrations have large day-to-day variability: the median values of the daytime peak

438 concentrations of observed OH and HO₂ were $6.3 \times 10^6 \text{ cm}^{-3}$ and 5.7 pptv, respectively
439 (Kanaya et al., 2007), and those of simulated OH and HO₂ were $9.8 \times 10^6 \text{ cm}^{-3}$ and 6.8
440 pptv, respectively.

441 As both O₃ and SOA were produced by photochemical reactions during the
442 IMPACT-2 and IMPACT-L campaigns, the SOA/O₃ ratio can be used as an index of OA
443 formation efficiency under given oxidative conditions (Fig. 5) (Herndon et al., 2008;
444 Kondo et al., 2008). The model simulation tends to overestimate maximum SOA
445 concentrations during daytime and underestimate SOA concentrations during nighttime.
446 However, mean SOA concentrations are reproduced by the model to within 25 % of the
447 corresponding observed values (underestimations of 21 % and 13 % during the
448 IMPACT-2 and IMPACT-L campaigns, respectively) (Table 5). In both campaigns, the
449 simulated fitting slopes (with aging processes) are consistent with the observed slopes
450 (and hence, OA formation efficiency): the observed and simulated fitting slopes are 0.16
451 and 0.19, respectively, during the IMPACT-2 campaign and 0.15 and 0.20, respectively,
452 during the IMPACT-L campaign.

453 The simulation without aging processes (orange lines and triangles in Fig. 4
454 and 5), which is similar to the simulation using a traditional OA model, severely
455 underestimates mean observed OA concentrations by 76 % and 86 % and fitting slopes
456 by 80 % and 82 % during the IMPACT-2 and IMPACT-L campaigns, respectively.
457 These results show that the emissions of S/IVOCs and the oxidation processes of
458 organic vapors (S/IVOCs and OVOCs) must be considered for reasonable OA
459 simulations in and around Tokyo: including these in the VBS scheme considerably
460 improved the model's ability to simulate OA absolute concentrations and their temporal

461 variations in Tokyo and its outflow area at Kisai.

462 In our simulations, SOA is formed mainly from anthropogenic sources at
463 Komaba and Kisai (77 – 80% of total SOA). The three largest precursors of SOA are
464 aromatics (ARO1 and ARO2, 48%), olefins (OLE1 and OLE2, 13 – 18%), and
465 monoterpenes (TERP, 13 – 16%). OPOA accounts for only 6 – 7% of total SOA at
466 both sites during the simulation periods.

467

468 **4.2. A-FORCE periods (East Asia)**

469 Figures 6a-6d show the time-series of BC and SO₄ at the Fukue and Hedo sites
470 during the A-FORCE campaign (24 March – 26 April 2009). The meteorological
471 conditions during this period are described by Matsui et al. (2013b, 2013c).
472 Synoptic-scale meteorological variations controlled temporal variations of observed
473 aerosol concentrations at Fukue and Hedo: high concentrations during the period
474 covered by a high-pressure system and rapid decreases in concentrations after the
475 passage of a cold front. At Fukue, the site was covered by a high pressure system
476 during the middle of the simulation period (6 – 12 April), and cold fronts passed on 14,
477 20, and 24 April. The temporal variations of observed BC and SO₄ due to
478 synoptic-scale meteorological variations are generally reproduced by the model
479 simulation. The mean BC concentrations are reproduced well by the model at Fukue
480 and Hedo (normalized mean bias (NMB) of -14% at Fukue and -24% at Hedo). The
481 mean SO₄ concentrations at Fukue are also reproduced well by the model (NMB of
482 -11%, Table 5), while those at Hedo are overestimated by a factor of 2 during the middle
483 and latter parts of the simulation period (NMB of 78%, Table 5).

484 Figures 6e and 6f show the time-series of OA at Fukue and Hedo during the
485 A-FORCE period. At both sites, most of the measured OA was OOA and most of the
486 simulated OA was SOA (shown below). The temporal variations of OA are generally
487 similar to those of SO₄ at both sites. At Fukue, the model overestimates OA
488 concentrations during 7 – 15 April but underestimates them during 28 March – 2 April.
489 The model well reproduces observed OA concentrations during the other periods. The
490 period-averaged OA concentrations are slightly overestimated (NMB of 12%, Table 5),
491 but the model simulations agree well with the measurements.

492 At Hedo, simulated OA concentrations are overestimated by 80% (Table 5).
493 The period of OA overestimation corresponds to the period of SO₄ overestimation.
494 Therefore, it is unlikely that the problems in OA formation processes are the only
495 factors that made the discrepancy between observed and simulated OA concentrations.
496 The model may overestimate the transport of pollutants, including precursor species and
497 secondary aerosol formation from them. The uncertainties in the AMS measurements
498 may also contribute to the discrepancy between the measurements and model
499 simulations because the observed OA concentrations at Hedo are the lower limit in
500 terms of the collection efficiency (section 3.2).

501 Observed OOA/OA ratio was estimated to be greater than 95% at Fukue and
502 Hedo (Zhang et al., 2007). Simulated SOA/OA ratio is 84% at Fukue and 83% at
503 Hedo, suggesting that our model simulations tend to underestimate the fraction of SOA
504 to total OA at these measurement sites.

505 Figures 6g and 6h show the time-series of OA/SO₄ ratio at Fukue and Hedo
506 during the A-FORCE period. The OA/SO₄ ratio is used because both OA and SO₄ at

507 these sites are formed through oxidation processes in the atmosphere. Their oxidation
508 pathways may not be the same (OA is formed from gas-phase oxidation only, but SO₄ is
509 formed from both gas-phase and aqueous-phase oxidation in our model), but as the
510 formation processes of SO₄ are relatively well known compared with those of OA, the
511 OA/SO₄ ratio can be used as an index of OA formation efficiency relative to the
512 amounts of secondary aerosols transported to the measurement sites. The model
513 reproduces reasonably well the period-averaged OA/SO₄ ratio observed at Fukue and
514 Hedo: the observed ratios are 0.89 and 0.58, and the simulated ratios are 0.78 (NMB of
515 -12%) and 0.42 (NMB of -30%), respectively (Table 5).

516 In contrast, the simulation without aging processes do not capture observed OA
517 mass concentrations and OA/SO₄ ratios. The model without aging processes
518 considerably underestimates both the OA concentrations (by 88% and 83%) and the
519 OA/SO₄ ratio (by 85% and 90%) at Fukue and Hedo, respectively. These results
520 demonstrate that the VBS scheme with aging processes much improves the model
521 performance; the scheme realistically simulated OA mass concentrations and their
522 temporal variations and the OA/SO₄ ratio over the outflow regions in East Asia.

523 Simulated SOA is formed mostly from anthropogenic sources at Fukue and
524 Hedo (90 – 91% of total SOA). The three largest sources are aromatics (ARO1 and
525 ARO2, 41 – 46%), S/IVOCs (34 – 41%), and monoterpenes (TERP, 7 – 8%). The
526 contributions of OPOA at Fukue and Hedo (34 – 41% of total SOA) are much higher
527 than those at Komaba and Kisai (6 – 7% of total SOA), due to continuous aging
528 processes of organic vapors during transport from source areas to the measurement sites
529 at Fukue and Hedo.

530 The uncertainties in the aging coefficients of S/IVOCs are very large in the
531 VBS scheme. To understand the impact of these uncertainties on simulated OA mass
532 concentrations, we conducted sensitivity simulations using aging coefficients of $4 \times$
533 $10^{-11} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ (4 times of the base case, “Aging-4”) and $2.5 \times 10^{-12} \text{ cm}^3$
534 $\text{molecule}^{-1} \text{ s}^{-1}$ (1/4 of the base case, “Aging-0.25”) (Fig. 6e and 6f, Table 4). The
535 Aging-4 (Aging-0.25) simulation increased (decreased) period-averaged OA mass
536 concentrations by factors of 3.2 (2.1) and 4.1 (2.4) at Fukue and Hedo, respectively;
537 thus simulated OA concentrations over East Asia are greatly affected by the choice of
538 aging coefficients. Therefore, it is important to improve our understanding of the
539 oxidation processes of organic vapors by using laboratory and field measurements and
540 by applying and validating the VBS scheme for various atmospheric conditions.

541

542 **5. Spatial distribution of OA over East Asia**

543 **5.1. Impact of aging processes**

544 **5.1.1. Mass concentrations and contributions**

545 Next, we examine the spatial distributions of OA over East Asia. In Sect. 5,
546 all particles between 40 nm and 10 μm in diameter were used to calculate OA
547 concentrations. For the simulation with the aging process, POA concentrations at an
548 altitude of about 1 km peak over Southeast Asia and northern and central China (Fig.
549 7a), corresponding to large source regions of biomass burning and anthropogenic
550 emissions, respectively (Fig. 3a and b). The spatial distribution of OPOA is similar to
551 that of POA (Fig. 7c). ASOA concentrations are high over northern and central China
552 and moderate over southern China, Japan, and Southeast Asia (Fig. 7e). The

553 maximum of BSOA concentration is over Southeast Asia and southern China (Fig. 7g).
554 Total SOA (OPOA + ASOA + BSOA) concentrations are distributed widely over East
555 Asia with peaks over Southeast Asia and northern and central China (Fig. 7i).

556 The large contribution of BSOA over southern China and Southeast Asia is
557 consistent, at least qualitatively, with previous OA modeling studies (Han et al., 2008;
558 Jiang et al., 2012; Li et al., 2013), in which 65 – 90 % of SOA in southern China was
559 estimated to be biogenic. Several measurement studies have reported mean organic
560 carbon (OC) concentrations in spring over Guangzhou in southern China of 6 – 7 $\mu\text{g m}^{-3}$
561 (Tao et al., 2012; Huang et al., 2012), over Hong Kong of 6 – 9 $\mu\text{g m}^{-3}$ (Bahadur et al.,
562 2009), and over Bangkok of about 10 $\mu\text{g m}^{-3}$ (Sahu et al., 2011). We compared our
563 simulation results with these measurements, though the meteorological conditions, the
564 amounts of emissions (e.g., biomass burning, biogenic), or both may have differed
565 between those studies and ours. When we assume an OC-to-OA conversion rate of 1.6
566 (Turpin and Lim, 2001), our simulations underestimate observed OA concentrations by
567 35% at Guangzou and by 60 – 70% at Hong Kong and Bangkok. OOA concentrations
568 in the Pearl River Delta region observed with an AMS have also been reported: about 5
569 $\mu\text{g m}^{-3}$ in summer 2006 (Xiao et al., 2011) and in fall 2009 (Li et al., 2013). Our
570 simulations underestimate the observed SOA concentrations by 30 – 40% in this region.
571 The rough comparisons shown above suggest that our OA and SOA simulations over
572 southern China and Southeast Asia are consistent with measurements within a factor of
573 3 (underestimation by 30 – 70%). The agreement between the measurements and
574 model simulations over southern China and Southeast Asia was much improved by
575 considering aging processes of organic vapors in the VBS scheme.

576 SOA concentrations in the Aging-off simulation are much lower than those in
577 the Aging-on simulation (Fig. 7). By considering aging processes, ASOA, BSOA,
578 OPOA, total SOA, and total OA concentrations increased by between 440% and 1380%
579 over the outer domain (Fig. 8a and Table 6), demonstrating the importance of aging
580 processes in OA simulations for East Asia. POA concentrations, however, vary less:
581 the Aging-on POA concentrations over the outer domain are about 30% more than those
582 for the Aging-off simulations (Fig. 8a and Table 6). The lower POA concentrations in
583 the Aging-off simulation are likely due to the smaller amounts of low-volatile organic
584 vapors, which are produced by OH oxidation in the Aging-on simulation, and the
585 resulting reduction of OA concentrations in the particulate phase due to the shift of the
586 gas-particle partitioning to the gas-phase.

587 In addition to differences in the absolute mass concentrations, the contributions
588 from individual chemical compositions to total OA also differ greatly between the
589 Aging-on and Aging-off simulations (Fig. 8b and c). In the Aging-on simulation, POA,
590 OPOA, ASOA, and BSOA account for 18%, 29%, 26%, and 27% of OA, respectively,
591 over the outer domain. The main precursors of ASOA are aromatics (ARO1 and
592 ARO2, 80% of ASOA), and those of BSOA are monoterpenes (TERP, 55% of BSOA).
593 In the aging-off simulation, POA is dominant (70% of total OA) due to the formation of
594 much lower concentrations of ASOA and BSOA with no OPOA.

595

596 **5.1.2. Sensitivity of aging parameters over East Asia**

597 Table 6 shows the results of the Aging-4 and Aging-0.25 simulations. Similar
598 to the results at Fukue and Hedo (Sect. 4.2), SOA concentrations are highly sensitive to

599 aging coefficients over the simulation domain. The period-averaged mass
600 concentrations of OPOA, ASOA, and BSOA were enhanced (reduced) by factors of 3.3
601 (6.6), 2.0 (3.1), and 1.9 (2.6), respectively, in the Aging-4 (Aging-0.25) simulation over
602 the whole East Asian region (Table 6a). In contrast, POA concentrations are not so
603 sensitive to the aging coefficients. The average POA concentrations increased by 17%
604 in the Aging-4 simulation and decreased by 26% in the Aging-0.25 simulation, both
605 relative to the Aging-on (base) simulation.

606 Increasing the rate constant by a factor of 4 enhances OPOA concentrations
607 (increase by a factor of 3.3) more than ASOA concentrations (increase by a factor of 2).
608 IVOCs and their aging processes may contribute to the difference in the enhancement
609 between OPOA and ASOA because primary and oxygenated S/IVOCs concentrations in
610 the high volatility (C^* of $10^6 - 10^2 \mu\text{g m}^{-3}$) are lower in the Aging-4 simulation relative
611 to the Aging-on simulation (not shown).

612 OPOA increases from the Aging-on to the Aging-4 simulation mostly due to
613 the faster aging processes of primary and secondary S/IVOCs. ASOA (BSOA)
614 increases from the Aging-on to the Aging-4 simulation due to the faster aging processes
615 of both anthropogenic OVOCs (biogenic OVOCs) and S/IVOCs. The contribution of
616 S/IVOCs aging processes to ASOA and BSOA increases is about one-third of total
617 increases in ASOA and BSOA concentrations from the Aging-on to the Aging-4
618 simulation (not shown).

619

620 **5.1.3. Interaction of anthropogenic and biogenic sources**

621 The sensitivity simulations shown in Sect. 5.1.3 and 5.2 are summarized in Fig.

622 9. The simulation results with aging processes from biogenic sources only (no aging
623 treatment for S/IVOCs and anthropogenic OVOCs) are shown in Fig. 8a and Table 6
624 (the Aging-bio simulation in Table 4). The contribution of aging processes from
625 anthropogenic sources (AN-aging) can be estimated from the difference in OA
626 concentrations between the Aging-on (base case) and Aging-bio simulations (Fig. 9).
627 As expected, the impact of AN-aging on OPOA and ASOA over the outer domain is
628 very large: AN-aging increases OPOA concentrations from 0.0 to 0.37 $\mu\text{g m}^{-3}$ and
629 ASOA concentrations from 0.038 to 0.33 $\mu\text{g m}^{-3}$ (+780%) (Table 6). AN-aging also
630 moderately enhances POA concentrations (+20%, Table 6).

631 BSOA concentrations are also enhanced considerably (+45%) by AN-aging
632 (Table 6). This is because AN-aging produces large amounts of low-volatile organic
633 vapors and OA from anthropogenic VOCs and S/IVOCs, and these vapors shift the
634 gas-particle partitioning ratio of BSOA to the particulate phase. Therefore, AN-aging
635 is very important for OA formation from both anthropogenic and biogenic sources.

636 These results show that BSOA concentrations are substantially enhanced by
637 OA models that can represent realistic OA concentrations from anthropogenic OA in the
638 atmosphere (the VBS scheme in this study), even if we do not change the treatment of
639 BSOA formation processes in the model. In this study, the importance of this effect
640 was shown for springtime over East Asia, where anthropogenic and biogenic emissions
641 interact closely. Similar interaction is expected over other large emission sources such
642 as the United States and Europe, implying the importance of AN-aging to BSOA
643 concentrations on hemispherical and global scales. Therefore, to obtain more accurate
644 simulations of BSOA, which is considered to be dominant globally, it is important to

645 use a realistic OA formation scheme for anthropogenic sources.

646 Figure 8a also shows the simulation results with aging processes from
647 anthropogenic sources only (the Aging-an simulation in Table 4). We can estimate the
648 contribution of aging processes from biogenic sources (BIO-aging) by the difference in
649 OA between the Aging-on (base case) and Aging-an simulations (Fig. 9). BIO-aging
650 slightly influences (less than 4 – 7%) POA, OPOA, and ASOA, whereas it is important
651 for BSOA (increased by 210%). Therefore, the enhancement of anthropogenic OA by
652 aging processes of biogenic VOCs is limited. The difference in the importance of
653 AN-aging and BIO-aging is because anthropogenic sources are dominant over East Asia
654 (Fig. 8b).

655

656 **5.2. Estimation of controllable OA**

657 We estimate the contribution of OA influenced by anthropogenic emission
658 sources (i.e., controllable OA). Here, we assume that biomass burning emissions are
659 not anthropogenic (not controllable) sources, following the treatment in Carlton et al.
660 (2010). To estimate the contribution of controllable OA over East Asia, we conducted
661 sensitivity simulations with various amounts of anthropogenic emissions ranging from
662 0% to 200% of base case emissions for both gaseous (CO, NO_x, SO₂, VOCs, and
663 primary S/IVOCs) and aerosol species (POA and BC). Other settings are similar to the
664 base case simulation. Biomass burning, biogenic, and volcanic emissions are not
665 changed in these sensitivity simulations.

666 Period-averaged POA, OPOA, and ASOA concentrations normalized by those
667 in the base case simulation increase almost linearly with anthropogenic emissions over

668 the outer domain in the sensitivity simulations, except for the range of anthropogenic
669 emissions from 0 to 50%, where the contribution from biomass burning sources are
670 dominant (Fig. 10). In the simulation without anthropogenic emissions, POA, OPOA,
671 and ASOA decrease to 20%, 9%, and 2%, respectively, of the base case simulation.
672 This is because the reduction of anthropogenic VOCs, NO_x, and POA reduces OA
673 concentrations by changing VOC concentrations, their oxidation rates, and the
674 gas-particle partitioning of organic compounds. Controllable OA concentrations can
675 be estimated from the differences in OA between the simulations with (100%) and
676 without (0%) anthropogenic emissions (Fig. 9). The fractions of controllable POA,
677 OPOA, and ASOA are 80%, 91%, and 98%, respectively, in our simulations over all of
678 East Asia.

679 The fractions of POA, OPOA, and ASOA in the simulation without
680 anthropogenic emissions (20%, 9%, and 2% of the base case) are smaller than the
681 fractions expected from emissions, because biomass burning sources account for 30%
682 of POA emissions and 10% of aromatics emissions over the outer domain (Fig. 3c and
683 3d). An important reason for these smaller fractions is the lower OH concentrations
684 (by a factor of 3) and the resulting slower oxidation rates of organic vapors in the
685 simulation without anthropogenic emissions compared with the base case simulation.
686 In fact, the fraction of low-volatile organics (sum of vapors and aerosols) is smaller in
687 the simulation without anthropogenic emissions (not shown).

688 BSOA mass concentrations are positively related to the amounts of
689 anthropogenic emissions, though the relationship is weaker than the relationships for
690 POA, OPOA, and ASOA (green line in Fig. 10). The fraction of controllable BSOA is

691 78% in our estimation; thus a large portion of BSOA is formed through the influence of
692 anthropogenic sources (the enhancement of anthropogenic VOCs, NO_x, and preexisting
693 OA) over East Asia.

694 The period-averaged controllable OA concentrations over the outer domain are
695 1.12 μg m⁻³ and are higher than the sum of POA, OPOA, and ASOA concentrations
696 (0.94 μg m⁻³). The fraction of controllable OA is 87% (Fig. 11a), suggesting that most
697 of OA is controllable and formed anthropogenically in springtime over all of East Asia.
698 The fraction of controllable OA is more than 90% over most of India and China and its
699 outflow regions and 60 – 80% over Southeast Asia, where BSOA concentrations are
700 high (Fig. 11c), though S/IVOCs emissions and their aging processes have large
701 uncertainties (section 2.3). The fraction of controllable PM_{2.5} is 92% in our estimation,
702 though dust and sea salt from natural sources are not considered in this study.

703 We conducted an additional sensitivity simulation to quantify the importance of
704 the oxidant change (OH concentrations by a factor of 3) when estimating the
705 controllable OA concentrations. In this sensitivity simulation, we excluded the
706 emissions from combustion sources for aerosol species and SOA precursors (primary
707 S/IVOCs, aromatics (ARO1 and ARO2), alkanes (ALK4 and ALK5), and olefins
708 (OLE1 and OLE2)) without changing the emissions of the other gaseous species (CO,
709 NO_x, SO₂, and other VOCs). Period-averaged OH concentrations in this sensitivity
710 simulation were nearly the same as those in the base case simulation (the difference
711 between the two simulations is 7% for OH and 0.3% for HO₂ over East Asia). This
712 sensitivity simulation reduced OA concentrations by 73% and BSOA concentrations by
713 42% over East Asia. These results suggest that the OH change by NO_x and VOCs has

714 a large potential to increase controllable OA amounts over East Asia (from 73% to 87%
715 for total OA and from 42% to 78% for BSOA).

716 Carlton et al. (2010) estimated that more than 50% of BSOA in the eastern
717 United States was controllable. Global modeling studies showed that only 31%
718 (Tsigaridis et al., 2006) and 21% (Hoyle et al., 2009) of the simulated SOA increase
719 from the pre-industrial period to the present was formed directly from anthropogenic
720 VOC, and that the vast majority of the remainder was BSOA enhanced by
721 anthropogenic sources (Hoyle et al., 2011). Spracklen et al. (2011) made top-down
722 estimates of a global SOA budget by using AMS measurements and global model
723 simulations: these estimates suggested that 71% of SOA formed in the atmosphere was
724 controllable. The contribution of controllable OA estimated in this study is greater
725 than previously estimated contributions. The higher contribution of controllable OA in
726 this study is because anthropogenic sources are dominant over East Asia and OA is
727 enhanced considerably by aging processes of organic vapors from anthropogenic
728 sources (Sect. 5.1.3).

729 Carlton and Turpin (2013) suggested that aerosol water produced in
730 anthropogenic aerosols (e.g., SO₄) would enhance biogenic SOA mass concentrations in
731 the eastern U. S. through aqueous-phase chemistry. This process is not considered in
732 our model but could be an important mechanism for enhancing controllable OA
733 concentrations in East Asia because the spatial distributions of SO₄ and OA are
734 generally similar over East Asia.

735 Our estimation of the controllable OA fraction may include large uncertainties
736 because biomass burning emissions are still highly uncertain over East Asia (Matsui et

737 al., 2013a). The estimation may also be highly sensitive to the simulation periods due
738 to the large seasonal and interannual variations of biomass burning over East Asia
739 (Matsui et al., 2013a). Since biomass burning emissions are highest during
740 February-April over Southeast Asia and during March-May over China (Matsui et al.,
741 2013a), a higher fraction of controllable OA is expected during the other seasons in
742 terms of biomass burning emissions.

743 Without aging processes, the domain- and period averaged controllable OA is
744 $0.18 \mu\text{g m}^{-3}$, and the fraction of controllable OA is 75% (Fig. 11b). The fraction is
745 lower than that in the base case simulation with aging processes. This is because the
746 fraction of OPOA and ASOA, which are mainly formed from anthropogenic sources, is
747 larger in the base case simulation. Both the amounts (from 0.18 to $1.12 \mu\text{g m}^{-3}$) and
748 the fraction (from 75% to 87%) of controllable OA are increased by aging processes of
749 organic vapors over East Asia.

750

751 **6. Summary and conclusions**

752 We simulated OA concentrations over East Asia and its outflow region by using
753 the VBS approach. Model simulations were evaluated via comparisons with the AMS
754 measurements in and around Tokyo (at the Komaba and Kisai sites during the
755 IMPACT-2 campaign in summer 2003 and the IMPACT-L campaign in summer 2004)
756 and over the outflow region in East Asia (at the Fukue and Hedo sites during the
757 A-FORCE campaign in spring 2009).

758 Model simulations with aging processes of organic vapors (S/IVOCs and
759 OVOCs) reproduced the mass concentrations, temporal variations, and formation

760 efficiency (i.e., SOA/O₃ and OA/SO₄ ratio) of observed OA with reasonable accuracy:
761 the model reproduced SOA concentrations to within 25% during the IMPACT campaign
762 (NMB of -21% and -13% at Komaba and Kisai, respectively), the SOA/O₃ ratio to
763 within 25% at Komaba and Kisai, OA concentrations to within 15% at Fukue and within
764 a factor of 2 at Hedo, and the OA/SO₄ ratio to within 30% at Fukue and Hedo. In
765 contrast, the simulations without the aging processes did not capture these features.
766 The model without the aging processes severely underestimated mass concentrations
767 (by 76 – 88%) and formation efficiencies of OA (by 80 – 90%) at the 4 measurement
768 sites. Thus, the oxidation of organic vapors is essential for realistic OA simulations
769 over East Asia.

770 Concentrations of simulated POA and total SOA (OPOA + ASOA + BSOA)
771 peaked over northern and central China and Southeast Asia, corresponding to large
772 source regions of anthropogenic and biomass burning emissions. Concentrations of
773 ASOA (BSOA) were high over central and northern China (Southeast Asia and southern
774 China). Simulated OA concentrations at an altitude of 1 km over East Asia were
775 highly sensitive to aging processes of organic vapors: relative to the results of
776 simulations without aging, total OA concentrations increased from 0.24 to 1.28 μg m⁻³
777 (+440%). Aging processes also changed OA chemical composition: in the simulation
778 with the aging processes, the contributions of OPOA and ASOA were 29% and 26%,
779 respectively, of total OA, whereas in the simulation without the aging processes, about
780 70% of total OA was POA.

781 We also examined the importance of the aging processes of organic vapors
782 from anthropogenic (AN-aging) and biogenic sources (BIO-aging). AN-aging was

783 very important for the enhancement of OA formation from both anthropogenic and
784 biogenic sources. AN-aging enhanced BSOA concentrations considerably (+45%),
785 while the enhancement of OPOA and ASOA (SOA from anthropogenic sources) by
786 BIO-aging was very limited (less than 4 – 7%). The difference in the importance of
787 AN-aging and BIO-aging is because anthropogenic sources are dominant over East Asia.
788 These results show that BSOA concentrations are substantially enhanced by OA models
789 that can simulate realistic OA concentrations from anthropogenic sources in the
790 atmosphere, even if we do not change the treatment of BSOA formation processes in the
791 model. Therefore, to obtain more accurate simulations of BSOA, which is considered
792 to be dominant globally, it is important to use a realistic OA formation scheme for
793 anthropogenic sources.

794 The fraction of controllable OA estimated in our simulations was 87%,
795 suggesting that most of the OA was controllable and formed anthropogenically in
796 springtime over all of East Asia. The fractions of controllable POA, OPOA, ASOA,
797 and BSOA were 80%, 91%, 98%, and 78%, respectively, which indicated that a large
798 portion of BSOA was formed through the influence of anthropogenic sources (the
799 enhancement of anthropogenic VOCs, NO_x, and preexisting OA). These fractions
800 were greater than the fraction of anthropogenic emissions (70% of OA emissions and
801 90% of aromatics emissions over East Asia during the simulation periods). An
802 important reason for these higher controllable fractions was higher OH concentration
803 resulting in faster oxidation rates of organic vapors by considering anthropogenic
804 sources. Both the amounts (from 0.18 to 1.12 μg m⁻³) and the fraction (from 75% to
805 87%) of controllable OA were increased by including aging processes of organic vapors

806 over East Asia.

807 This study is a first step to examine OA concentrations and their spatial
808 distributions and the anthropogenic-biogenic interaction in OA formation over East and
809 Southeast Asia and its outflow regions. Further validations of OA, precursor VOCs,
810 and oxidant species especially over southern China and Southeast Asia, where the
811 contribution of biogenic sources are high, are necessary to understand the behavior of
812 OA and the anthropogenic-biogenic interaction over the Asian region more
813 quantitatively.

814

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1277 **Figure Captions**

1278 Fig. 1. Summary of the volatility basis-set approach used in this study. Circles and
1279 squares show individual gas-phase (open) and aerosol-phase (closed) surrogate
1280 species. Squares denote primary emission species. AN, BB, and BIO
1281 denote anthropogenic, biomass burning, and biogenic sources, respectively.
1282 The oxidation processes shown by the black arrows are calculated using the
1283 coefficients given by Tsimipidi et al. (2010). The oxidation processes shown
1284 by the orange arrows are calculated by assuming OH oxidation with a rate
1285 coefficient of $1 \times 10^{-11} \text{ cm}^{-3} \text{ molecule}^{-1} \text{ s}^{-1}$.

1286 Fig. 2. Simulation domains (a) in and around Tokyo during the IMPACT campaign
1287 and (b) over East Asia during the A-FORCE campaign. (a) Simulations were
1288 conducted for 17 July to 15 August 2003 (IMPACT-2) and for 23 July to 15
1289 August 2004 (IMPACT-L) with horizontal resolutions of 27 km (outer domain,
1290 orange) and 9 km (inner domain, red). Light blue squares show the locations
1291 of the measurement stations at Komaba (35.66°N, 139.67°E) and Kisai
1292 (36.08°N, 139.55°E). (b) Simulations were conducted for 21 March to 26
1293 April 2009 with horizontal resolutions of 180 km (outer domain, orange) and
1294 60 km (inner domain, red). Light blue squares show the locations of the
1295 measurement stations at Fukue (32.75°N, 128.68°E) and Kisai (26.87°N,
1296 128.25°E).

1297 Fig. 3. Period-averaged (24 March – 26 April 2009) emissions for (a) POA from
1298 anthropogenic sources (fossil fuel and biofuel combustion), (b) POA from
1299 biomass burning sources, (c) ARO1 (aromatics), and (d) TERP (monoterpenes).

1300 We used the anthropogenic and volcanic emission inventories of Streets et al.
1301 (2003), daily biomass burning emissions of the Global Fire Emissions Database
1302 version 3 (GFED3) (van der Werf et al., 2010), and on-line biogenic emissions
1303 of the Model of Emissions of Gases and Aerosols from Nature version 2
1304 (MEGAN2) (Guenther et al., 2006).

1305 Fig. 4. Time series of the observed and simulated (a) O₃ volume mixing ratios and (b)
1306 SOA mass concentrations at Kisai during the IMPACT-L campaign. SOA
1307 values were simulated with and without aging.

1308 Fig. 5. Correlation of SOA mass concentrations with O₃ volume mixing ratios (a) at
1309 Komaba during the IMPACT-2 campaign and (b) at Kisai during the
1310 IMPACT-L campaign. Solid lines show the fitting slopes ($y = ax$) for
1311 observation (blue) and simulations with (red) and without (orange) aging
1312 processes of organic vapors.

1313 Fig. 6. Time series of (a, b) black carbon mass concentrations, (c, d) sulfate mass
1314 concentrations, (e, f) organic aerosol mass concentrations, and (g, h) organic to
1315 sulfate mass concentration ratios at Fukue and Hedo, respectively. Red
1316 shading in panels e and f shows the range of organic aerosol mass
1317 concentrations with the aging coefficients of between $4 \times 10^{-11} \text{ cm}^3 \text{ molecule}^{-1}$
1318 s^{-1} (4 times the base case) and $2.5 \times 10^{-12} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ (1/4 times the base
1319 case). The periods when observed sulfate mass concentrations were less than
1320 $1 \mu\text{g m}^{-3}$ are not shown for measurements (blue points) in panels g and h.

1321 Fig. 7. Period-averaged (24 March – 26 April 2009) simulated mass concentrations of
1322 POA (a, b), OPOA (c, d), ASOA (e, f), BSOA (g, h), and total SOA (sum of

1323 OPOA, ASOA, and BSOA) (i, j) at an altitude of about 1 km (layer number of
1324 8, sigma level of 0.895). Left panels (a, c, e, g, i) are runs with aging, and
1325 right panels (b, d, f, h, j) are runs without aging.

1326 Fig. 8. Period-averaged (24 March – 26 April 2009) mass concentrations of POA,
1327 OPOA, ASOA, and BSOA at an altitude of about 1 km over the outer domain
1328 for Aging-on, Aging-off, Aging-bio, and Aging-an simulations (a).
1329 Period-averaged fraction of POA, OPOA, ASOA, and BSOA to total OA mass
1330 concentrations at an altitude of about 1 km over the outer domain for
1331 simulations with (b) or without (c) aging.

1332 Fig. 9. Diagram of sensitivity simulations conducted in Sect. 5. OA contributions
1333 estimated from individual simulations are shown. AVOC and BVOC denote
1334 anthropogenic and biogenic OVOCs, respectively.

1335 Fig. 10. Sensitivity of POA, OPOA, ASOA, and BSOA mass concentrations to
1336 changes in anthropogenic emissions (CO, NO_x, SO₂, VOCs, S/IVOCs, POA
1337 and BC) at an altitude of about 1 km over the outer domain. Mass
1338 concentrations and anthropogenic emissions in the sensitivity simulations are
1339 normalized by those in the base case simulation.

1340 Fig. 11. Period-averaged (24 March – 26 April 2009) fraction of controllable and
1341 non-controllable OA mass concentrations at an altitude of about 1 km over the
1342 outer domain for simulations with (a) or without (b) aging. Period-averaged
1343 (24 March – 26 April 2009) fraction of controllable OA at an altitude of about 1
1344 km over the outer domain for the simulation with aging (c).

1345

Table 1. Abbreviations for organic vapors and aerosols used in this study

Abbreviation	Definition	Explanation
OA	Organic aerosol	---
POA	Primary OA	Primary emission or formed from S/IVOCs by equilibrium (w/o oxidation)
SOA	Secondary OA	Sum of OPOA, BSOA, and ASOA
VOCs	Volatile organic compounds	Primary emission
S/IVOCs	Semi-volatile and intermediate volatility organic compounds	Primary emission (primary S/IVOCs) or secondary production through the oxidation of primary S/IVOCs (oxygenated S/IVOCs)
OVOCs	Oxygenated volatile organic compounds	Oxidation products of VOCs
HOA	Hydrocarbon-like OA	Obtained by AMS
OOA	Oxygenated OA	Obtained by AMS
OPOA	Oxygenated POA	OA formed from oxygenated S/IVOCs
BSOA	Biogenic SOA	OA formed from biogenic OVOCs
ASOA	Anthropogenic SOA	OA formed from anthropogenic OVOCs (including biomass burning sources)

Table 2. Meteorological and chemical process options used in this study

Atmospheric Process	Model Option
Longwave radiation	RRTM
Shortwave radiation	Goddard
Surface layer	Monin-Obukhov
Land surface	Noah
Boundary layer	YSU
Cumulus clouds	Kain-Fritsch
Cloud microphysics	Morrison
Gas-phase chemistry	SAPRC99
Aerosol nucleation	Binary nucleation
Aerosol condensation	MOSAIC
Aerosol coagulation	COAGSOLV
Aqueous-phase chemistry	Fahey and Pandis
Photolysis	Fast-J

Table 3. Summary of the VBS schemes developed in this study and original WRF-chem/MOSAIC model

Item/Process	This Study	Shrivastava et al. (2011)
Gas-phase chemistry	SAPRC99	SAPRC99
VBS volatility species	9 for POA and primary S/IVOCs 8 for OPOA and oxygenated S/IVOCs 4 for ASOA, BSOA, and OVOCs	9 for POA and primary S/IVOCs 8 for OPOA and oxygenated S/IVOCs 4 for ASOA, BSOA, and OVOCs
Oxidation species	VOCs, S/IVOCs, and OVOCs	VOCs and S/IVOCs
OVOCs formation	NO _x -dependent 4-product fit (Tsimpidi et al., 2010)	NO _x -dependent 4-product fit (Tsimpidi et al., 2010)
Gas-particle partitioning	Bulk equilibrium (Schell et al., 2001)	Bulk equilibrium (Donahue et al., 2006)
OA distribution to each size bin	Koo et al. (2003)	Koo et al. (2003)
Number of size bin	8 (40 – 10000 nm)	4 (40 – 10000 nm)
Number of variables in VBS	122	380
	Gas-phase: 53 Bulk aerosol: 53 Size-resolved aerosol: 16 (interstitial aerosol, in-cloud aerosol)	Gas-phase: 76 Size-resolved aerosol: 304
Dry deposition	On	On
Aerosol activation	On	Off
Wet deposition	On	Off

Table 4. List of model simulations

Simulation	Aging coefficient ($\text{cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$)	
	S/IVOCs and anthropogenic OVOCs	Biogenic OVOCs
Aging-on	1e-11	1e-11
Aging-off	0	0
Aging-an	1e-11	0
Aging-bio	0	1e-11
Aging-0.25	2.5e-12	2.5e-12
Aging-4	4e-11	4e-11

Table 5. Statistics of concentrations of chemical species at the surface measurement sites

Station	Period	Species	Units	Mean concentration			NMB (%) ^b	R ^b
				Observation	Calculation ^a (Aging-on)	Calculation ^a (Aging-off)		
Komaba	19 July – 13 August 2003 (IMPACT-2)	O ₃	ppbv	19.6	15.3	15.4	-22.3	0.63
		SOA	μg m ⁻³	4.36	3.45	1.03	-20.8	0.52
Kisai	25 July – 14 August 2004 (IMPACT-L)	O ₃	ppbv	26.4	20.6	20.7	-21.9	0.84
		SOA	μg m ⁻³	5.31	4.61	0.76	-13.1	0.70
Fukue	27 March – 26 April 2009	BC	μg m ⁻³	0.87	0.75	0.74	-14.2	0.76
		SO ₄	μg m ⁻³	9.31	8.29	8.27	-10.9	0.65
		OA	μg m ⁻³	6.02	6.75	0.71	12.2	0.34
		OA/SO ₄	---	0.89	0.78	0.13	-11.9	0.28
Hedo	24 March – 26 April 2009	BC	μg m ⁻³	0.36	0.27	0.27	-24.3	0.46
		SO ₄	μg m ⁻³	2.36	4.20	4.24	78.0	0.34
		OA	μg m ⁻³	1.08	1.99	0.18	84.2	0.25
		OA/SO ₄	---	0.58	0.42	0.058	-29.7	0.58

^a Values are calculated for the periods when measurements are available.

^b Statistics are calculated for the Aging-on simulation.

Table 6. Period-averaged organic aerosol mass concentration ($\mu\text{g m}^{-3}$) in the boundary layer (~ 1 km) over the outer domain

Simulation	POA	OPOA	ASOA	BSOA	Total OA
Aging-on	0.236	0.369	0.333	0.346	1.284
Aging-off	0.164	0.000	0.023	0.048	0.236
Aging-an	0.229	0.357	0.313	0.111	1.004
Aging-bio	0.196	0.000	0.038	0.241	0.474
Aging-0.25	0.188	0.056	0.106	0.132	0.483
Aging-4	0.275	1.223	0.661	0.654	2.813
Aging-on (an off) ^a	0.047	0.035	0.007	0.075	0.165
Aging-off (an off) ^a	0.040	0.000	0.001	0.018	0.059

^a Simulations without anthropogenic emissions (with biomass burning, biogenic, and volcanic emissions).

Fig. 1

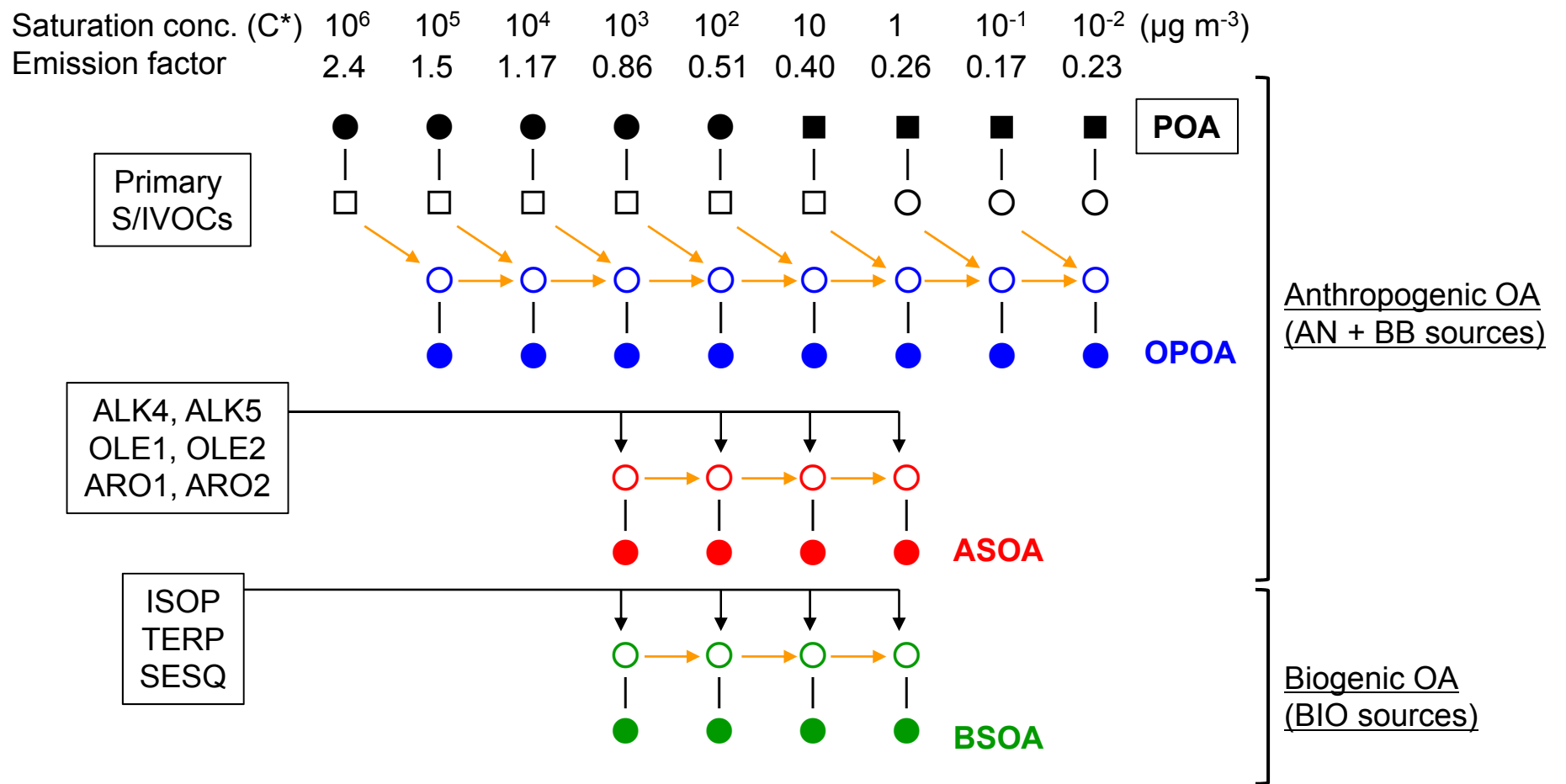


Fig. 2

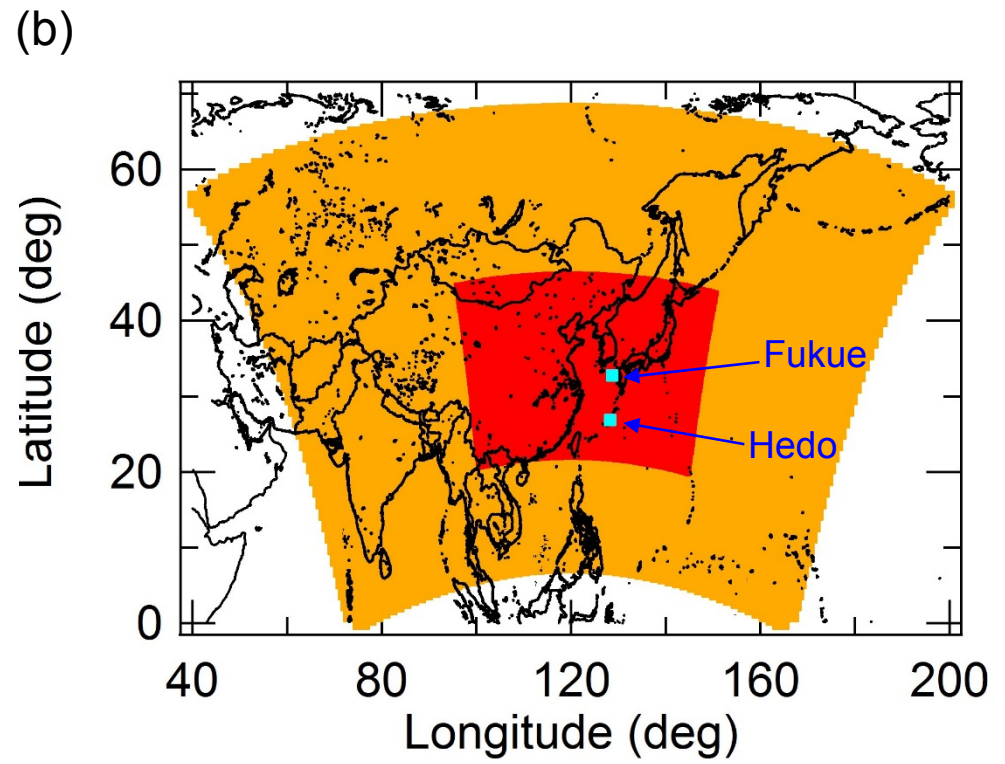
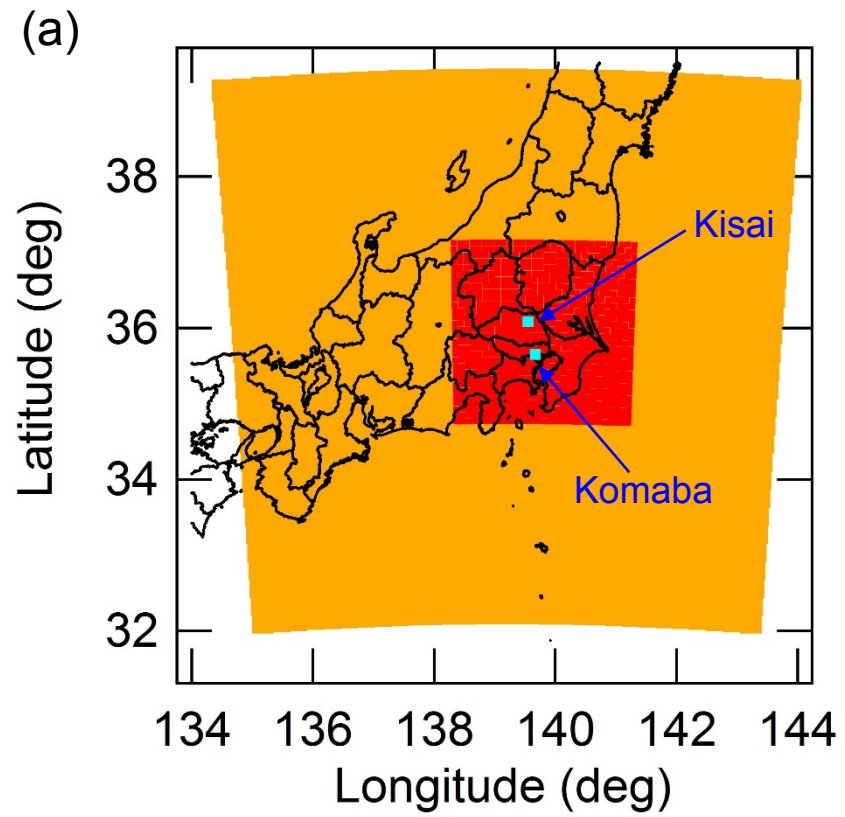


Fig. 3

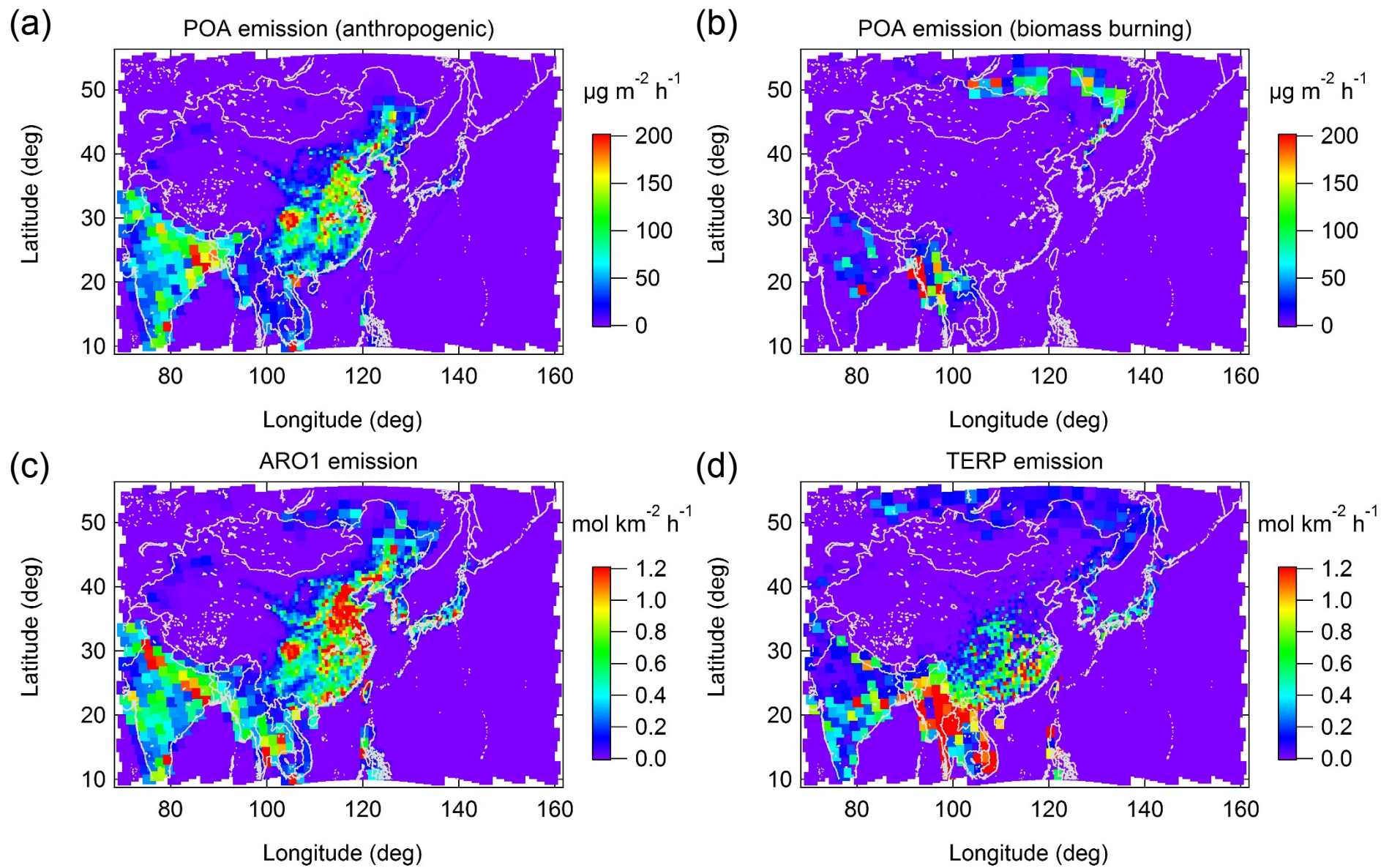


Fig. 4

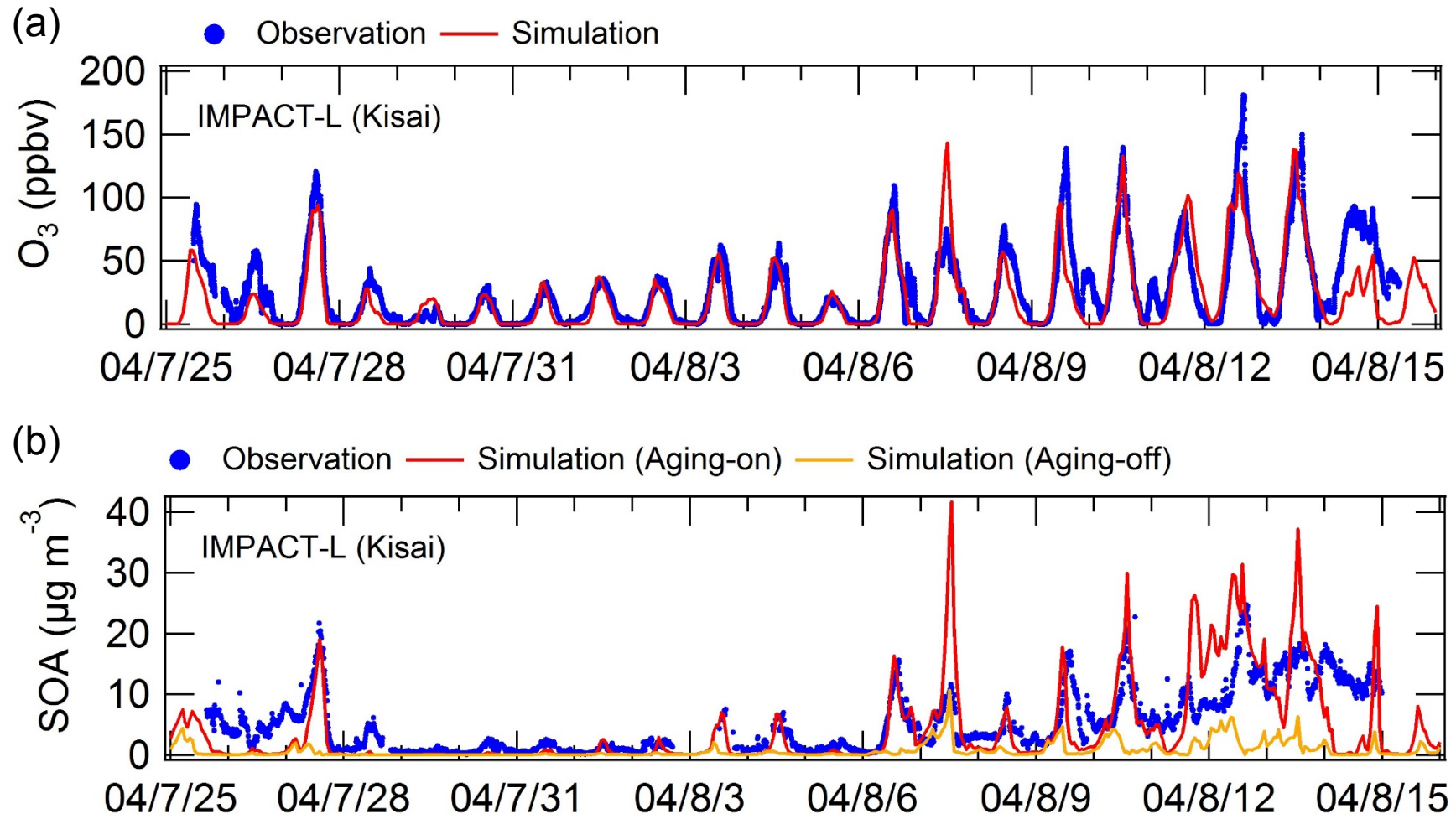


Fig. 5

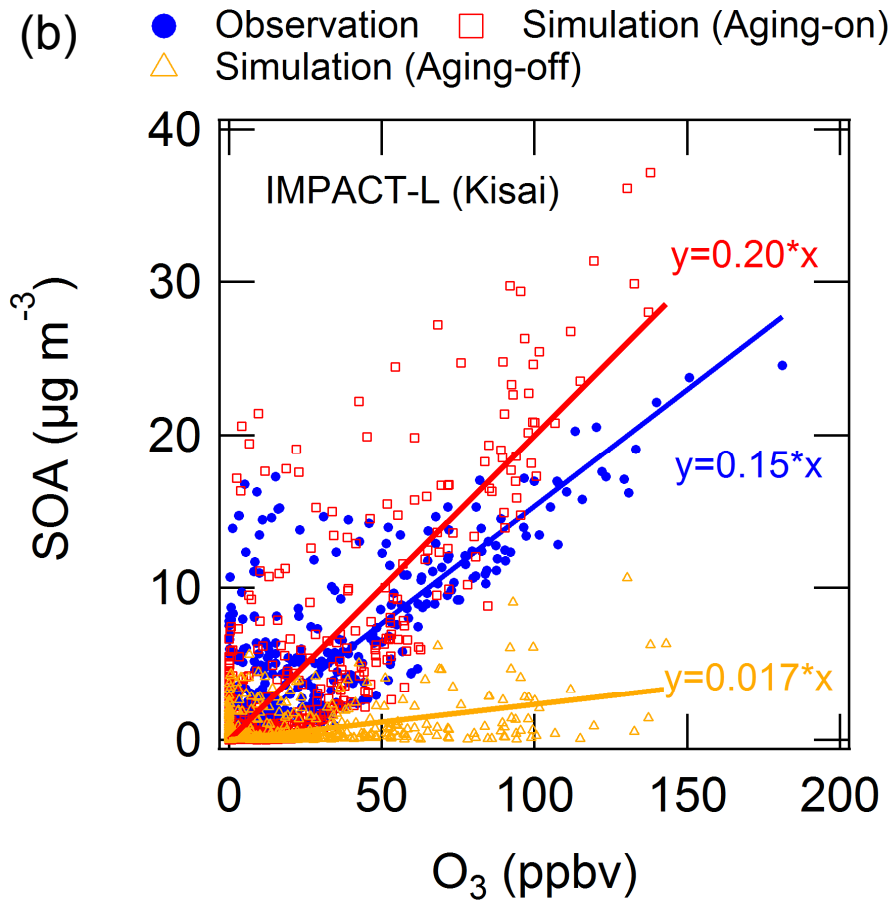
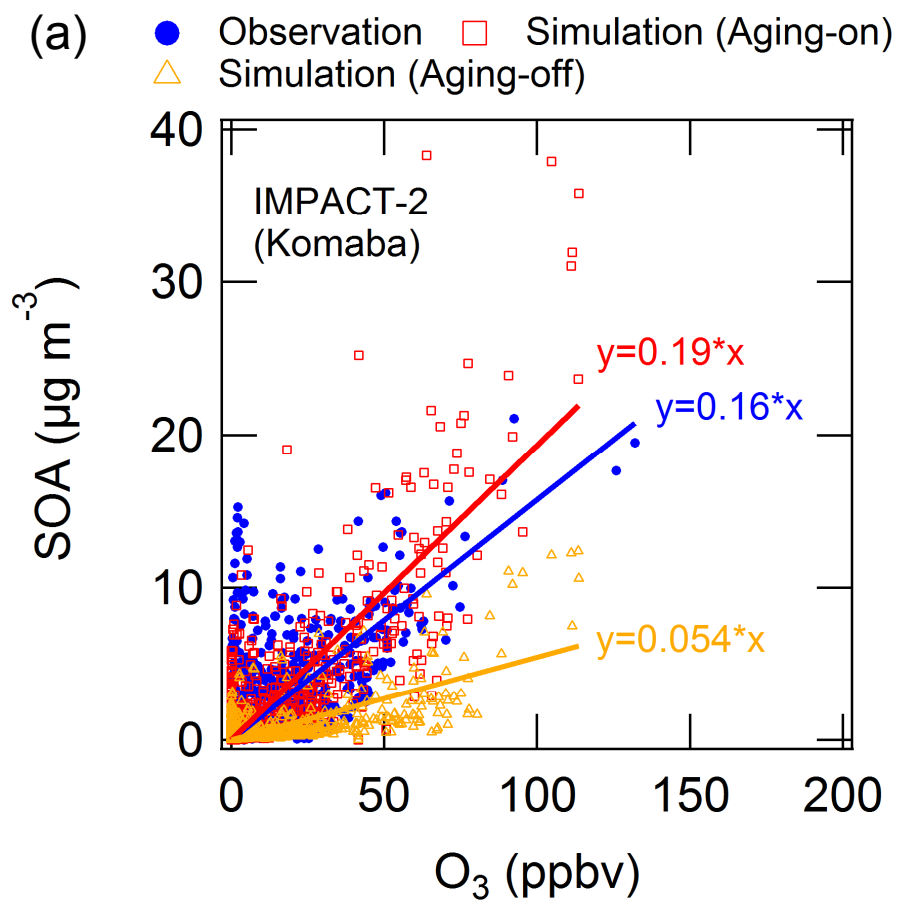


Fig. 6

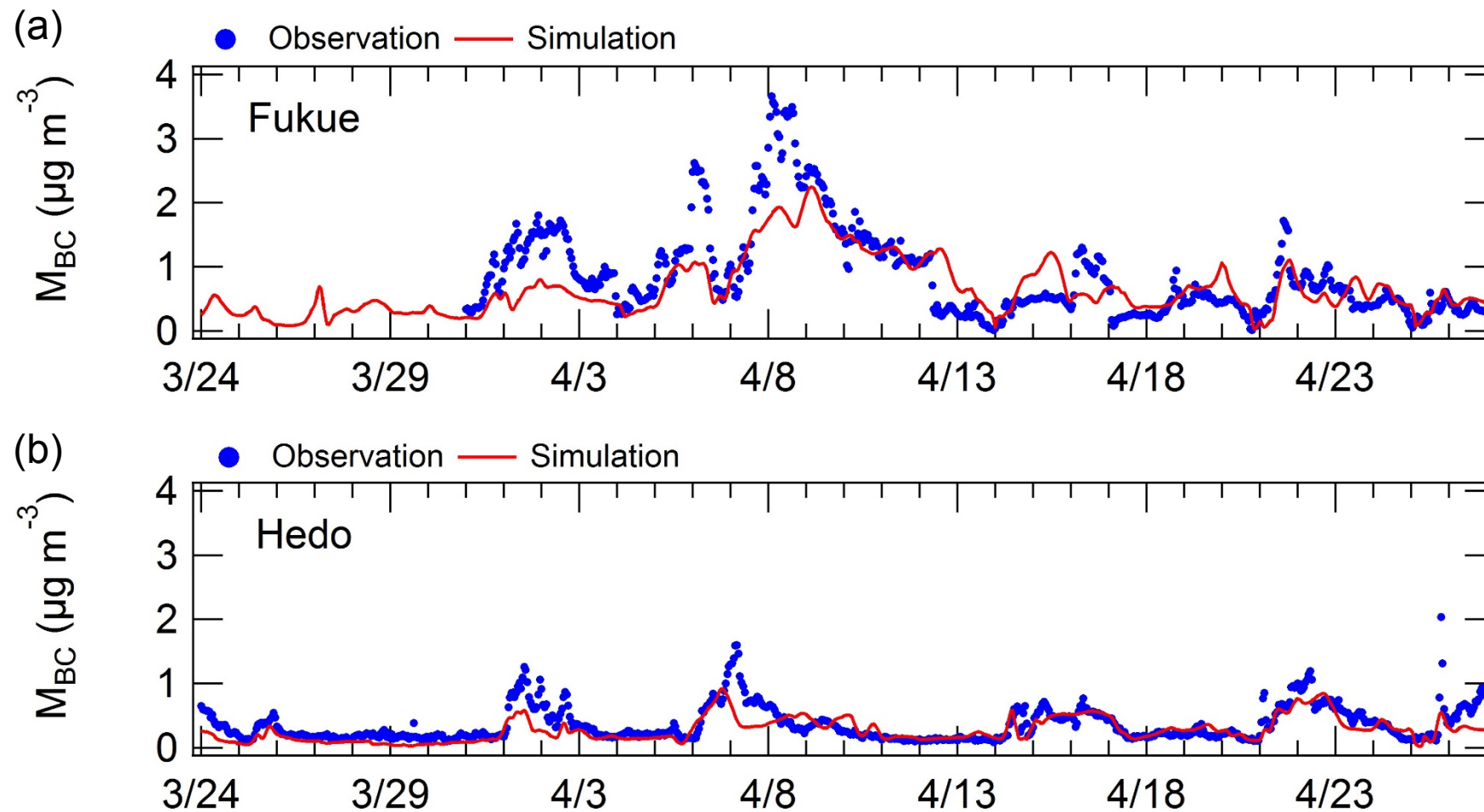


Fig. 6 (cont.)

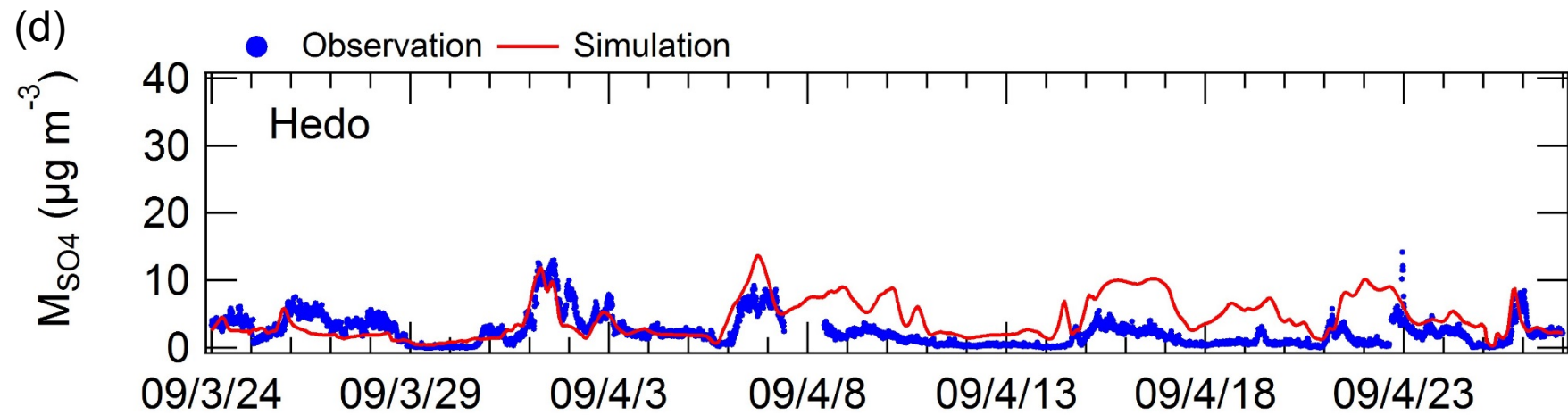
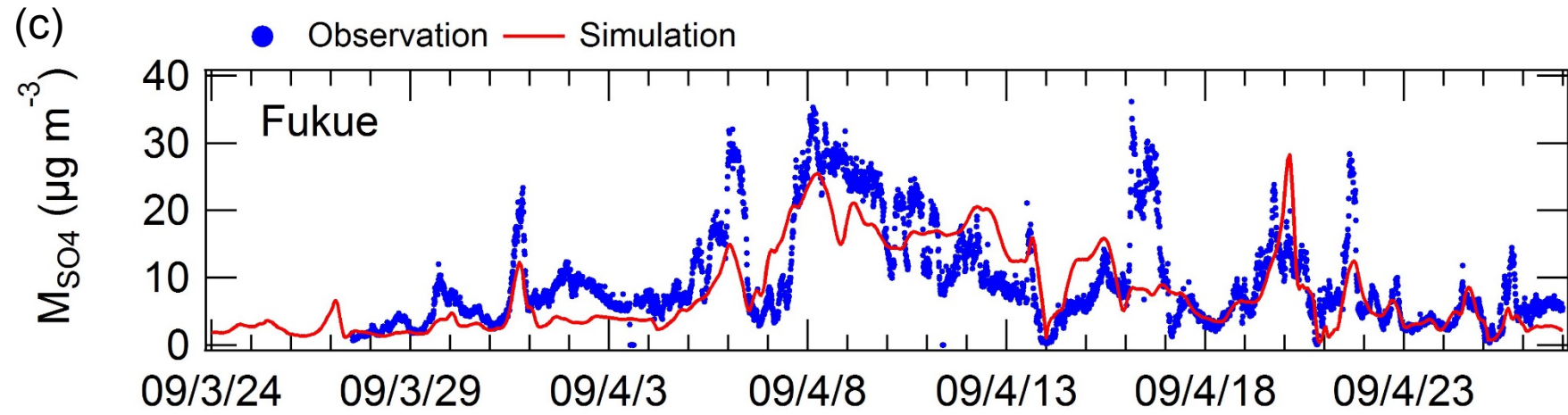


Fig. 6 (cont.)

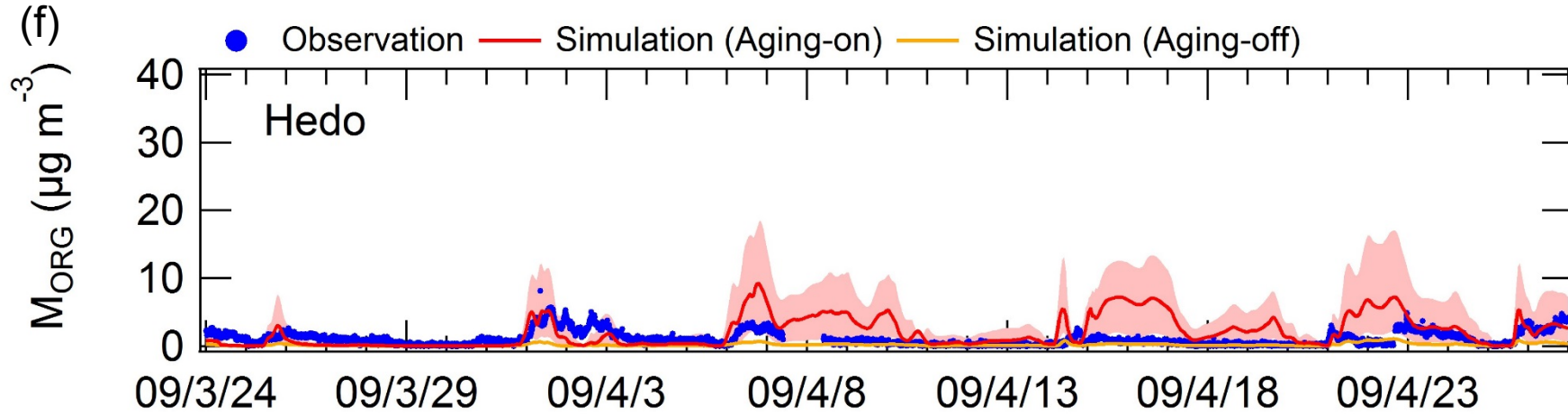
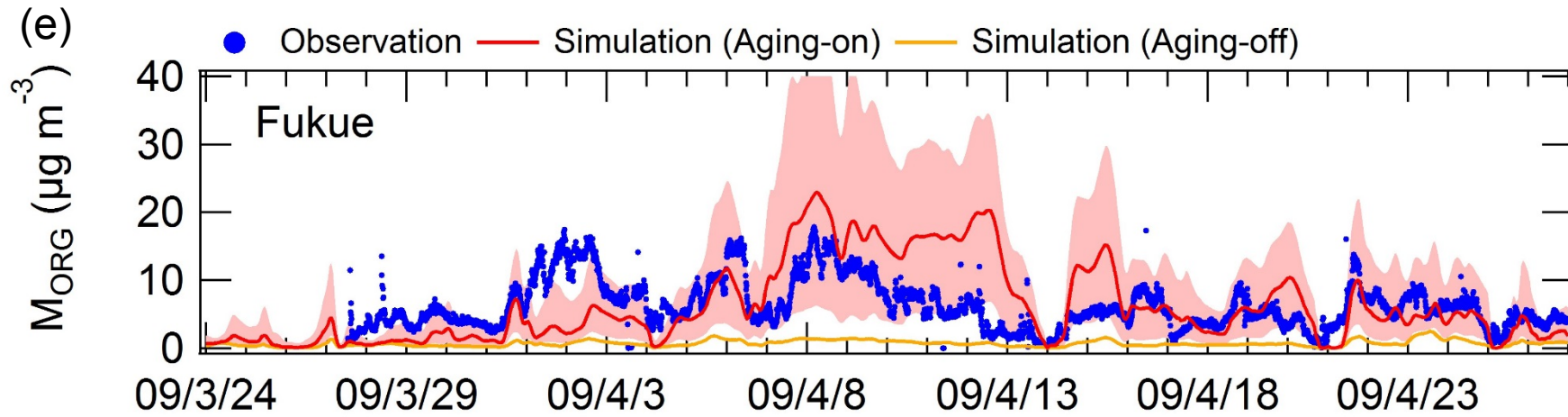


Fig. 6 (cont.)

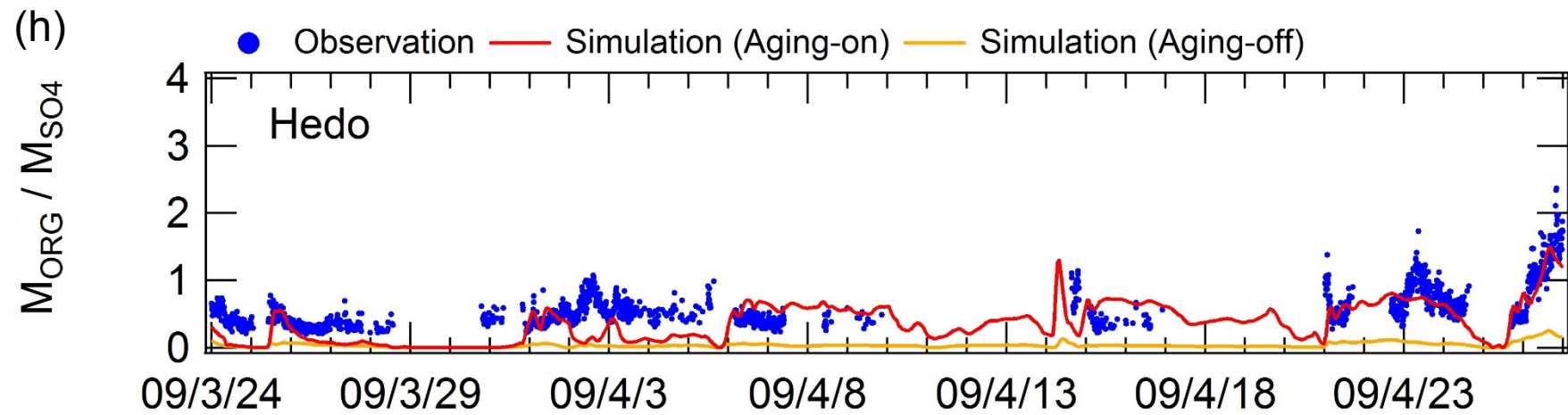
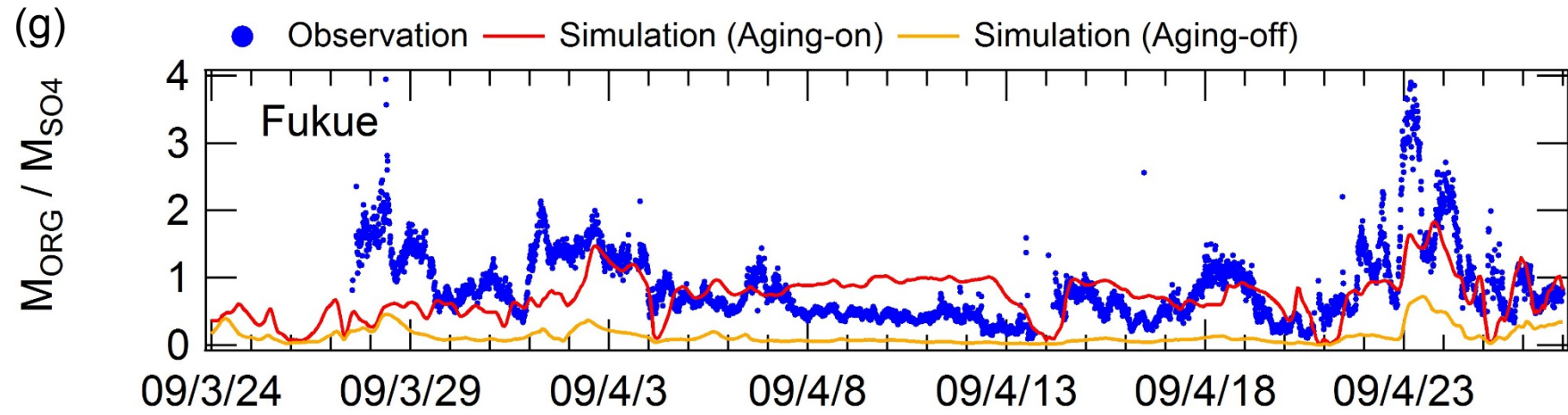


Fig. 7

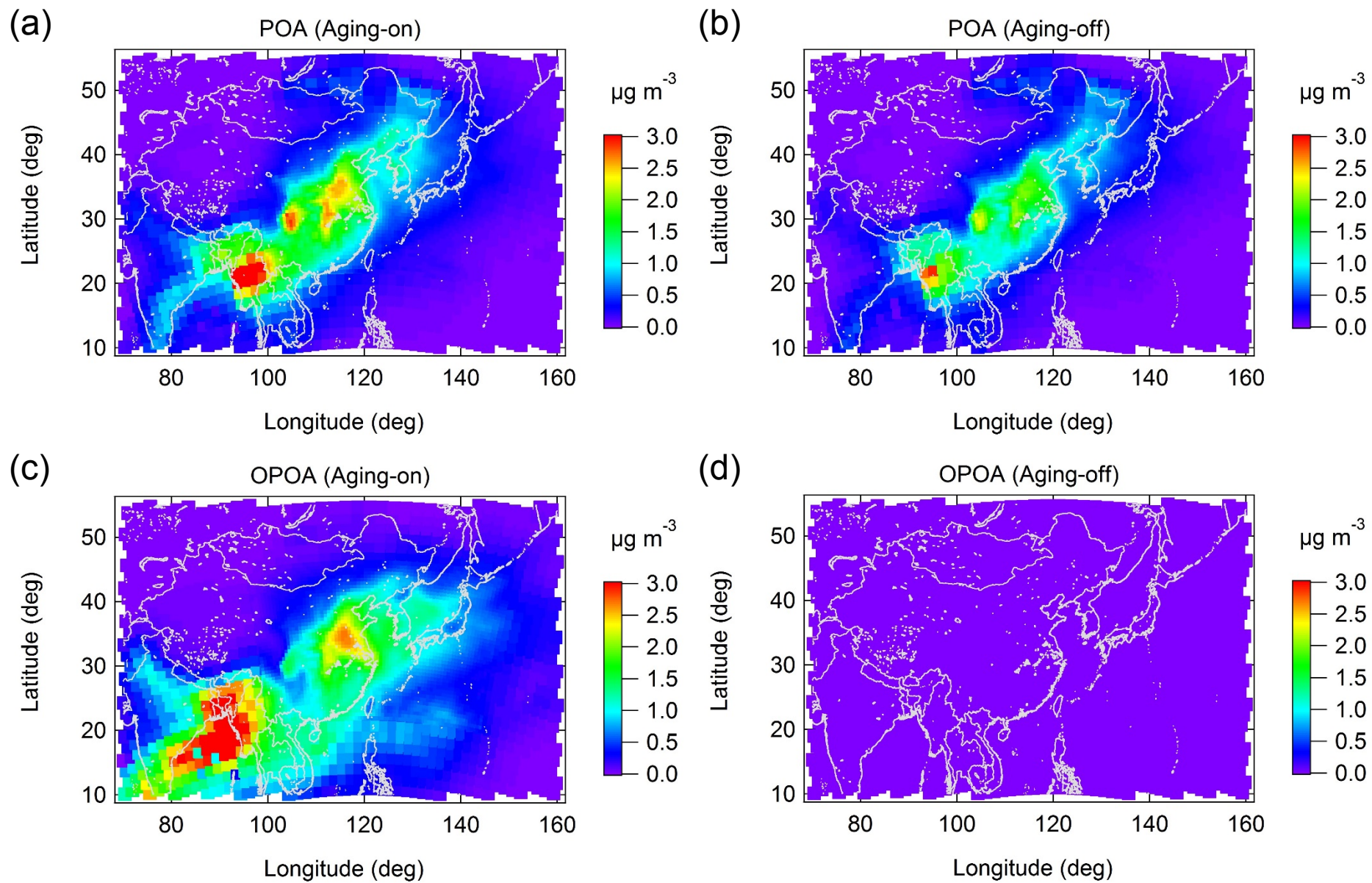


Fig. 7 (cont.)

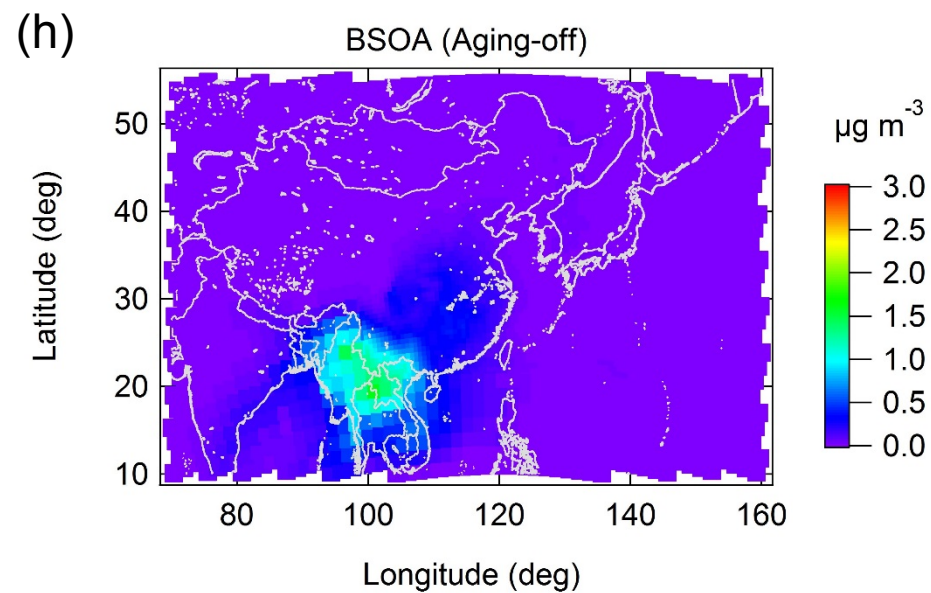
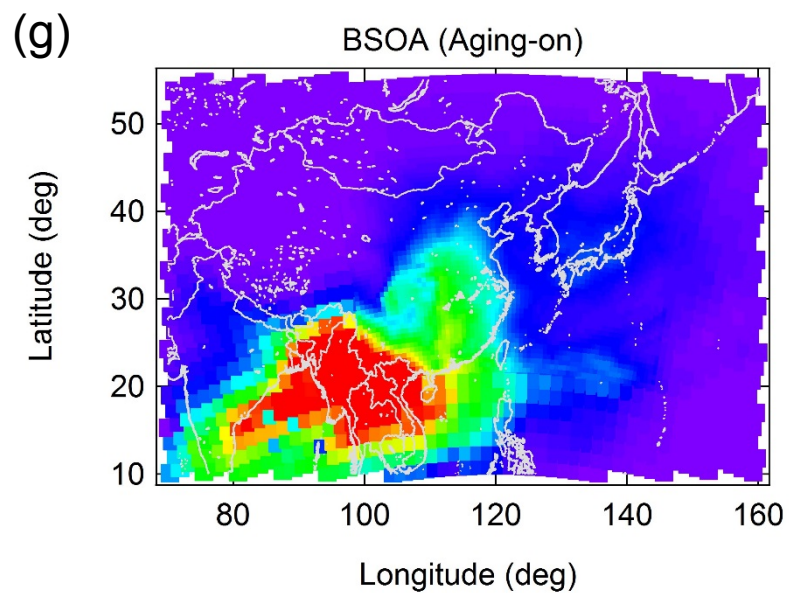
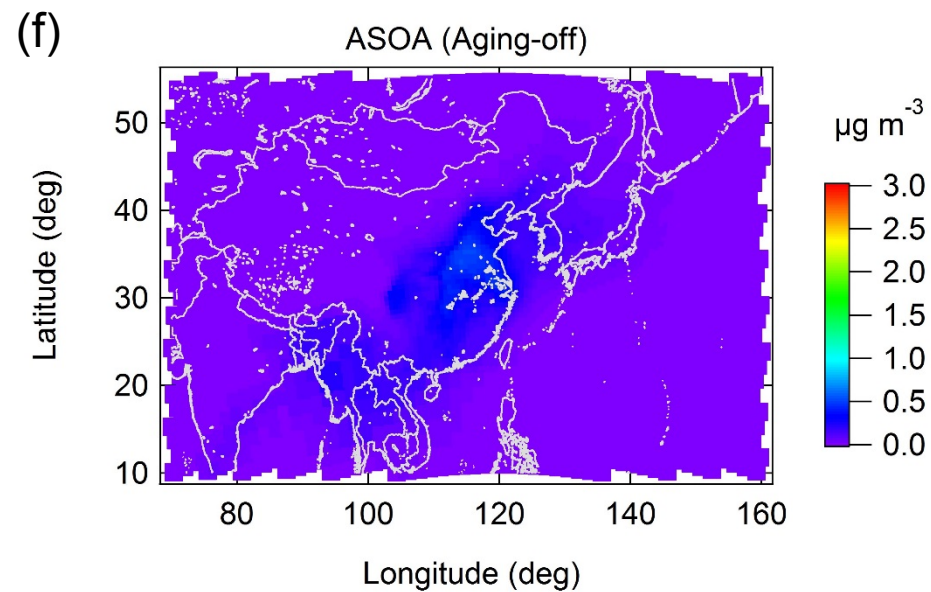
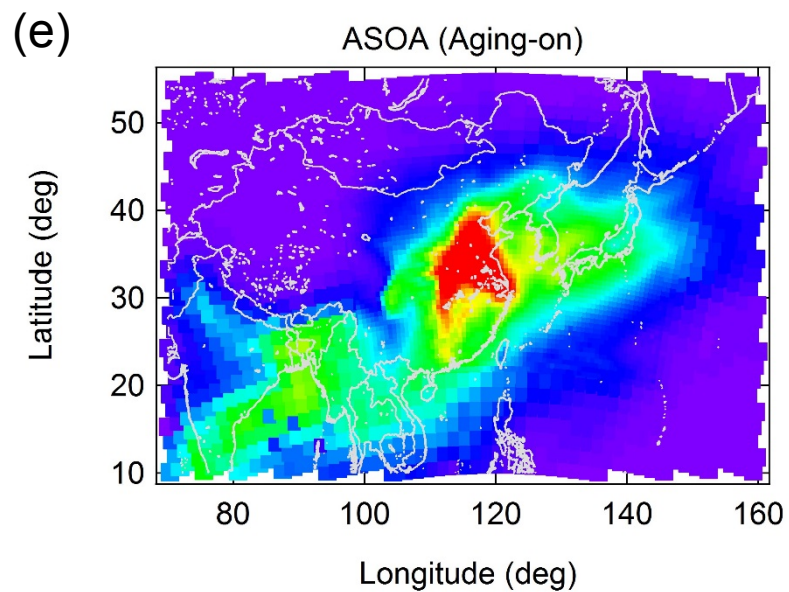


Fig. 7 (cont.)

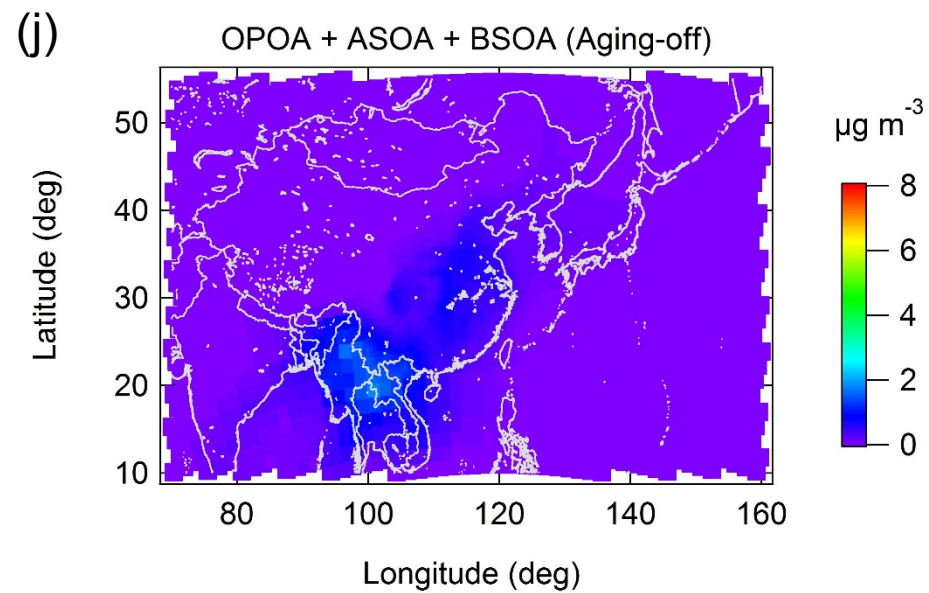
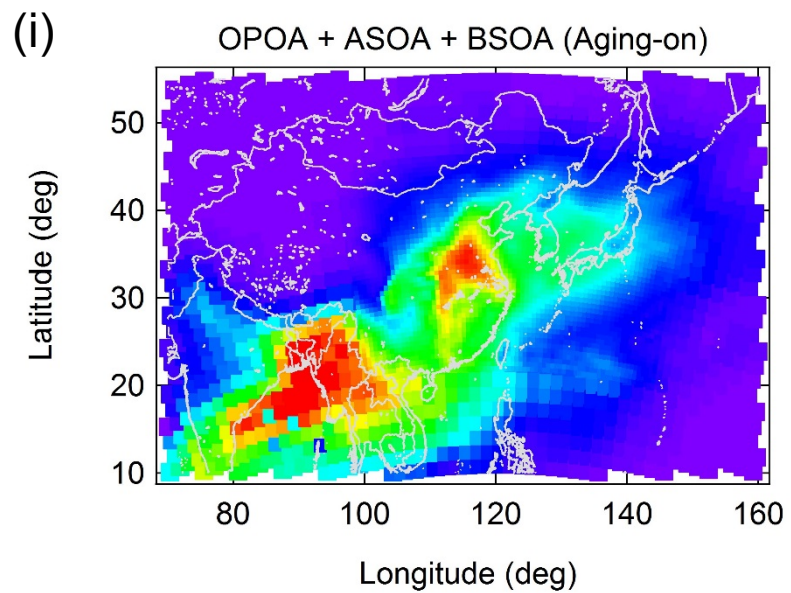


Fig. 8

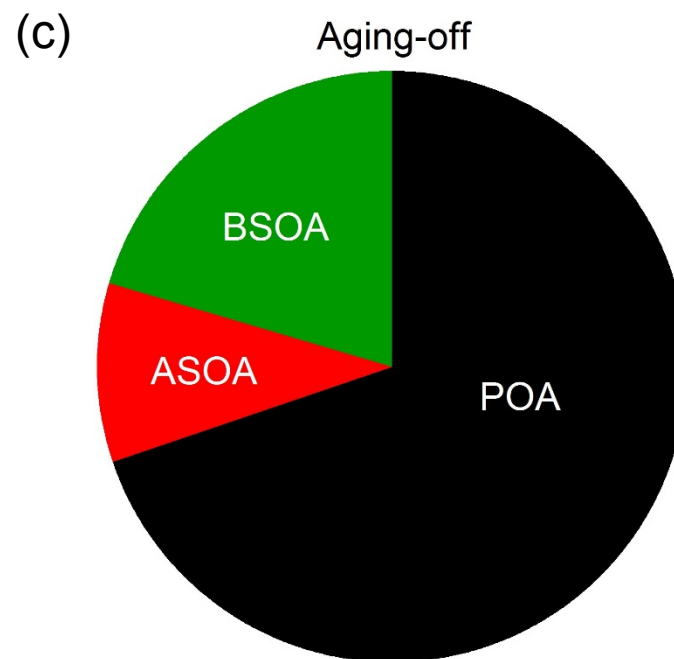
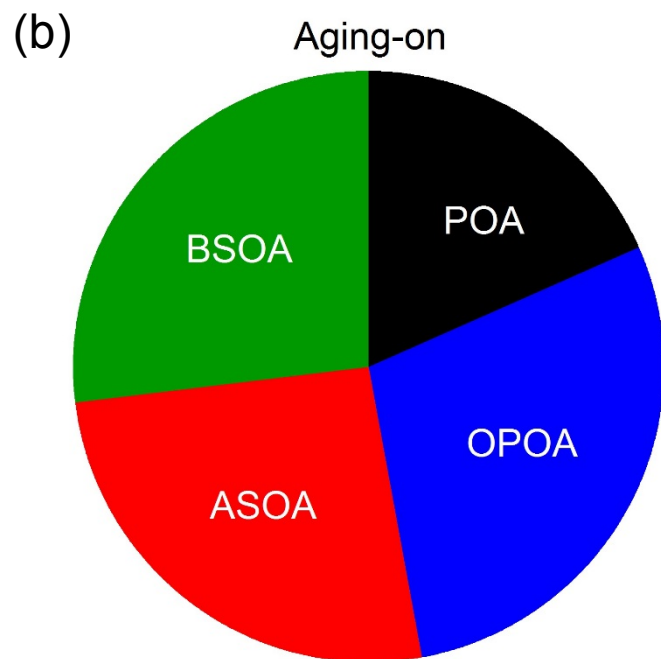
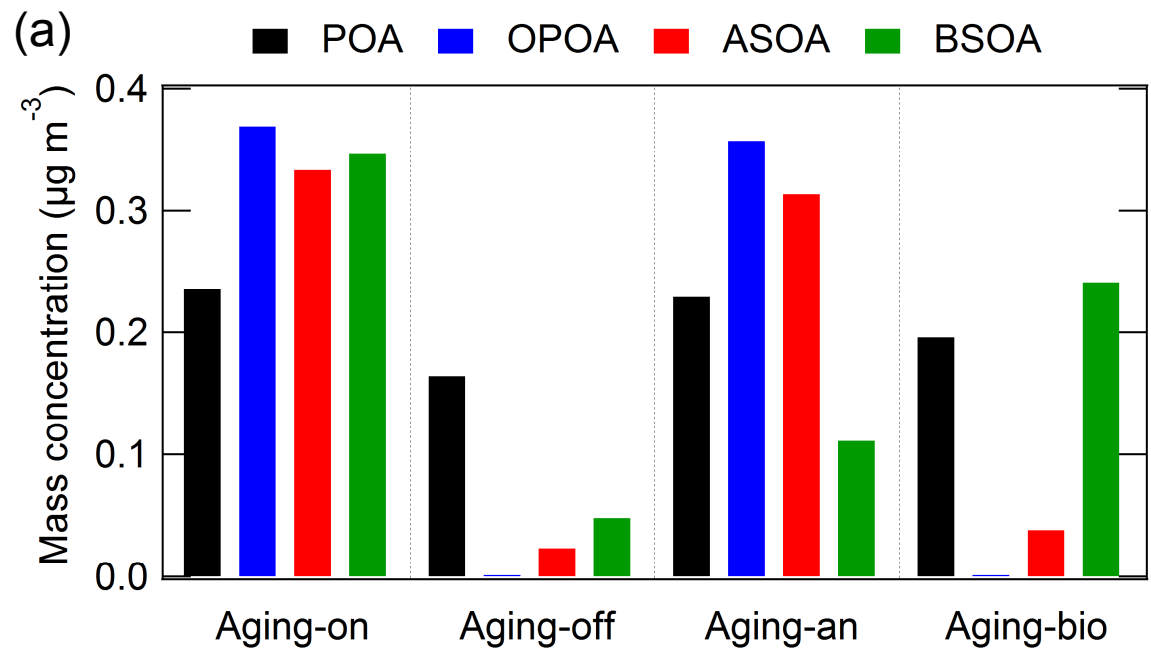


Fig. 9

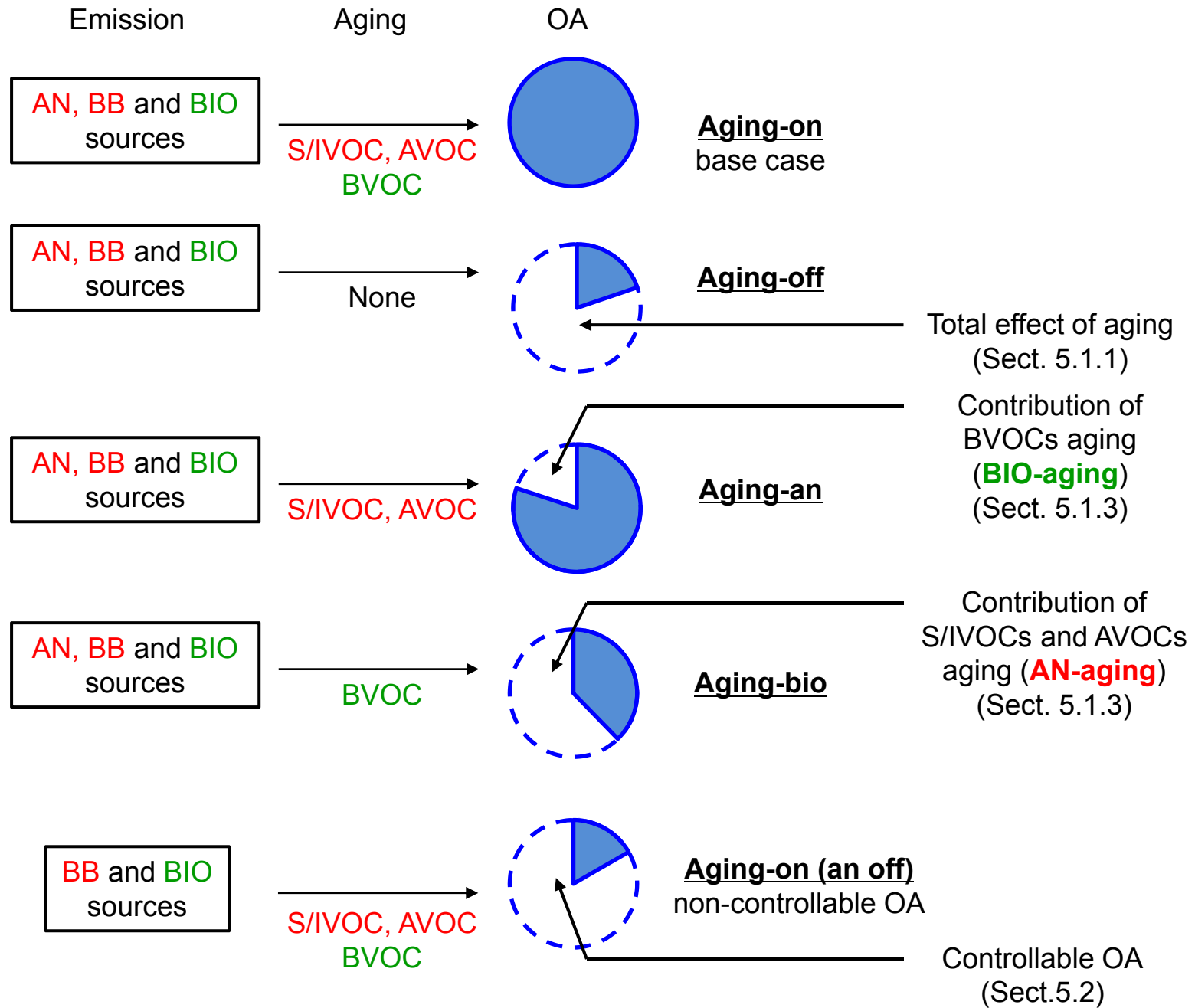


Fig. 10

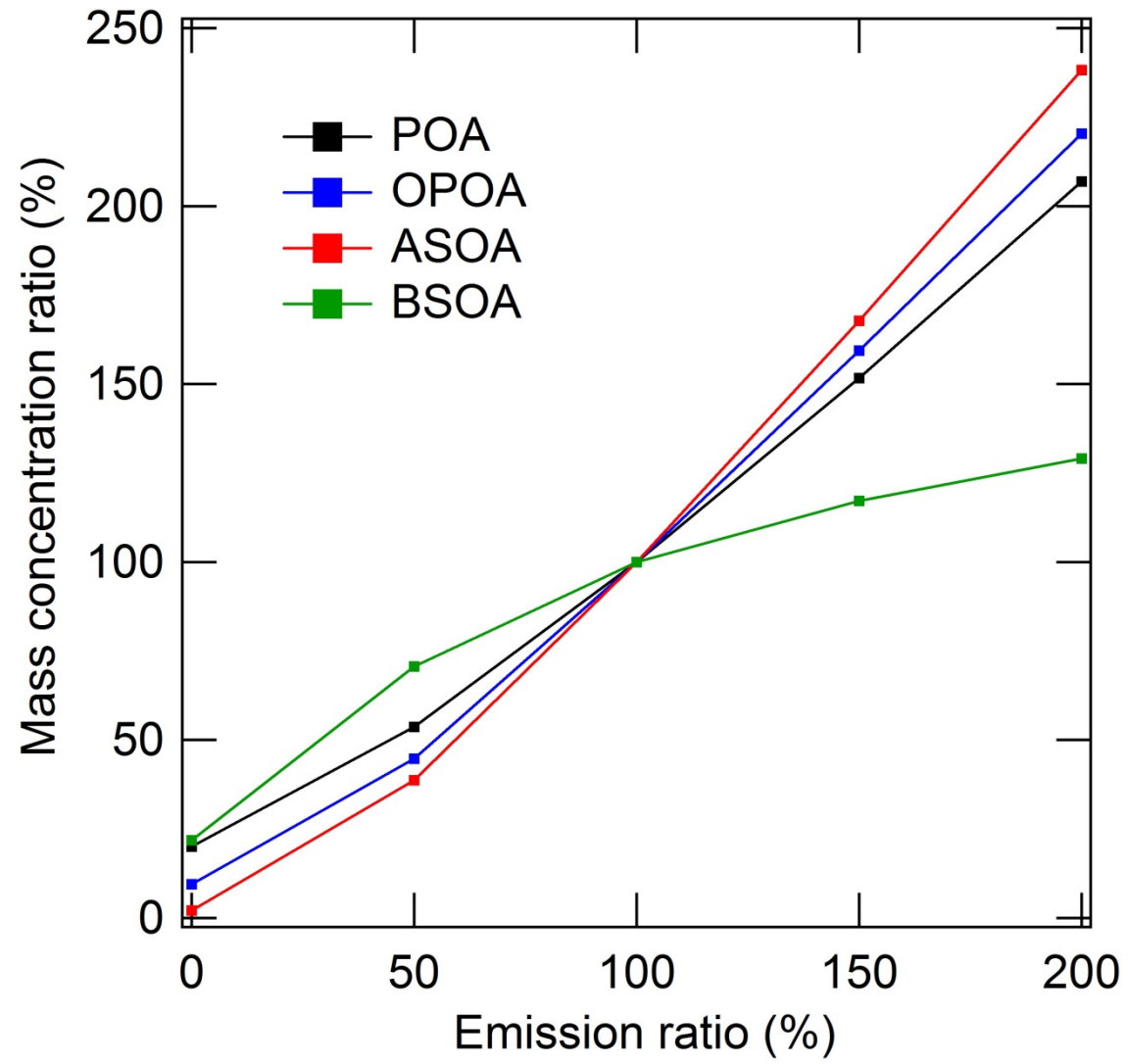


Fig. 11

