

1 **TNO-MACC\_II emission inventory; A multi-year (2003-2009) consistent high-resolution**  
2 **European emission inventory for air quality modelling**

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7

8 **Abstract**

9 Emissions to air are reported by countries to EMEP. The emissions data are used for country  
10 compliance checking with EU emission ceilings and associated emission reductions. The  
11 emissions data are also necessary as input for air quality modelling. The quality of these  
12 “official” emissions varies across Europe.

13 As alternative to these official emissions, a spatially explicit high resolution emission  
14 inventory (7x7 km) for UNECE-Europe for all years between 2003 and 2009 for the main air  
15 pollutants was made. The primary goal was to supply air quality modellers with the input  
16 they need. The inventory was constructed by using the reported emission national totals by  
17 sector where the quality is sufficient. The reported data were analysed by sector in detail, and  
18 completed with alternative emission estimates as needed. This resulted in a complete emission  
19 inventory for all countries.

20 For particulate matter, for each source emissions have been split in coarse and fine particulate  
21 matter, and further disaggregated to EC, OC, SO<sub>4</sub>, Na and other minerals using fractions  
22 based on literature. Doing this at the most detailed sectoral level in the database implies that a  
23 consistent set was obtained across Europe. This allows better comparisons with observational  
24 data which can, through feedback, help to further identify uncertain sources and/or support  
25 emission inventory improvements for this highly uncertain pollutant.

26 The resulting emission dataset was spatially distributed consistently across all countries by  
27 using proxy parameters. Point sources were spatially distributed using the specific location of  
28 the point source. The spatial distribution for the point sources was made year-specific.

1 The TNO-MACC\_II is an update of the TNO-MACC emission dataset. Major updates  
2 included the time extension towards 2009, use of the latest available reported data (including  
3 updates and corrections made until early 2012) and updates in distribution maps.

4

## 5 **1 Introduction**

6 Over the last decades, environmental problems such as acidification, eutrophication, air  
7 pollution and climate change have caused significant adverse impacts on human health and  
8 vegetation (EEA, 2010). Only part of the air pollution emission reductions set by the 2010  
9 National Emission Ceilings have been achieved (EEA, 2012a), therefore transboundary air  
10 pollution remains a problem (EEA, 2010). All these environmental problems are directly  
11 related to the emissions of substances to air. Reliable emission inventories are a prerequisite  
12 to understand these environmental issues and to develop effective mitigation options.

13 For a good understanding of environmental problems, not only the magnitude of the sources  
14 but also their location is important. The spatially distributed emissions need to cover the  
15 complete domain, and describe the emissions in a consistent way, i.e. in all countries the same  
16 sources should be included, and these sources should be assessed as accurately and  
17 consistently as possible.

18 Emission inventories are typically developed by using a bottom-up approach, i.e. combining  
19 available statistics on fuel combustion, industrial production, etc. with the most appropriate  
20 emission factors. For a detailed description on how emission inventories are constructed we  
21 refer to EEA (2013), IPCC (2006) and Olivier et al. (1999). This approach has been taken  
22 also by many countries that produce annual emission inventories for greenhouse gases and air  
23 pollutants, since they have to report their emissions under the various international treaties.  
24 Over time, as experience and expertise increased, the number, substances covered and quality  
25 of these inventories significantly improved (EMEP, 2013). These in-country systems take into  
26 account all country-specific information and national legislation and are therefore capable of  
27 providing a more accurate estimate of the emissions compared to a regional or global  
28 emission inventory.

29 When using regional chemical-transport modelling in policy studies, the use of these official  
30 inventories is often required. However, the official emissions do still contain a number of  
31 gaps and shortcomings, e.g. not all countries report according to the requirements (EMEP,

1 2013). This paper presents a complete, consistent and spatially distributed inventory, which  
2 has used the official reported emissions as basis where possible. This makes this inventory  
3 suitable for application in policy-related modelling and impact studies for air pollution. The  
4 TNO\_MACC-II inventory is the successor of the widely used GEMS inventory for 2000  
5 (Visschedijk et al., 2007) and the TNO\_MACC inventory for the years 2003-2007 (Kuenen et  
6 al., 2011; Pouliot et al., 2012).

7

## 8 **2 Methodology**

### 9 **2.1 Emission estimates**

10 The Convention for Long-Range Transboundary Air Pollution (CLRTAP;  
11 <http://www.unece.org/env/lrtap/>) requires countries to report their emissions. Fifty-one  
12 countries in Europe and North America, including the EU as a whole, have to annually submit  
13 their emissions of air pollutants for the latest year and all historic years to EMEP (Co-  
14 operative Program for monitoring and evaluation of long-range transmission of air pollutants  
15 in Europe). The reporting follows well-defined Guidelines and asks countries to complete a  
16 pre-defined template with emissions by year, pollutant and sector (defined by the  
17 Nomenclature for Reporting; NFR). Countries are encouraged to set up their own inventory  
18 system and choose the best methodologies for emission estimation which fit their national  
19 situation. For larger sources, Parties have to use more advanced methodologies, with specific  
20 emission factors for each technology. When no specific national methodology is available, the  
21 EMEP/EEA Air Pollutant Emission Inventory Guidebook (EEA, 2013) provides default  
22 guidance on how to estimate emissions for each sector. The official submitted data for all  
23 countries are collected by the Centre for Emission Inventories and Projections  
24 (<http://www.ceip.at/>) and made available online. Because of the more detailed methodologies  
25 included in most inventories and the national focus of each of the inventories, the reported  
26 emissions often provide the most accurate estimate for a country. However, in many cases  
27 gaps and errors do exist in the reported emission data. Especially the consistency in emissions  
28 reporting for consecutive years is problematic.

29 In order to assess the quality of the reported emissions, we have downloaded the data from  
30 CEIP (CEIP, 2011) for CO, NO<sub>x</sub>, SO<sub>2</sub>, NMVOC, NH<sub>3</sub>, PM<sub>10</sub> and PM<sub>2.5</sub> and from EEA

1 (EEA, 2012b) for CH<sub>4</sub> for all countries for the period 2003-2009. Before analysing the data in  
2 detail, we have first aggregated the NFR sectors to 43 individual sectors (link table available  
3 from supplementary material, Excel file number 1). These 43 sectors were defined based on  
4 the SNAP (Selected Nomenclature for Air Pollution) at level 1 with one additional level of  
5 detail for most sectors. Industrial combustion (SNAP 3) and industrial process emissions  
6 (SNAP 4) have been aggregated to a new defined SNAP 34. This was done because there is  
7 often confusion between combustion and process emissions for a particular plant or facility,  
8 partly because countries may have slightly different definitions on where to draw the line or  
9 how to report. In an overarching European inventory this problem is effectively solved by  
10 merging both categories. An explanation of the SNAP source categories as used in this study  
11 is given in Table 1.

12 For this dataset we have analysed the time series between 2003 and 2009 in detail. Where the  
13 time series or the sector split of the total country emissions was not understandable (e.g.  
14 unexplainable jumps in the trend, multiple years of data missing, not understandable sector  
15 splits), the data were discarded.

16 In cases where reported data have not been used or were not available, emissions at the  
17 country level were taken from the GAINS model (IIASA, 2012). The GAINS model  
18 combines information on economic and energy development, emission control potentials and  
19 costs, atmospheric dispersion characteristics and environmental sensitivities towards air  
20 pollution (Schöpp et al., 1999). For a more detailed description we refer to Amann (2009) and  
21 Amann et al. (2011). The advantage of using the GAINS data is that it is consistent across  
22 countries and sectors and regularly updated. Emission data are available at sector and activity  
23 level, comprising more than 200 different categories for 5-yearly intervals. To obtain  
24 emissions for the years in between linear interpolation was used where necessary.

25 The GAINS model does not calculate emissions of CO. In case no country reported CO  
26 emissions of sufficient quality were available the CO emissions are gap-filled using a  
27 bottom-up emission inventory which has been developed at TNO for the year 2005. Like the  
28 GAINS model or the EDGAR inventory (JRC, 2011) this bottom-up inventory is built up  
29 using activities such as the energy statistics, industrial production figures, etc. as the baseline  
30 and combines this with the most appropriate emission factors. In the transport sector, this  
31 means that data from the TREMOVE model (De Ceuster et al., 2005) were used to

1 disaggregate the energy use to detailed vehicle classes technologies for each country. These  
2 were combined with state-of-the-art emission factors for each technology for road transport  
3 (Ntziachristos et al., 2009) to calculate the emissions. If less detail was available for certain  
4 source categories, technology-specific emission factors have been applied to groups of  
5 countries with a similar technology level. Since this bottom-up inventory was originally only  
6 developed for the year 2005, emissions for the other years were estimated by scaling this  
7 inventory. Scaling factors for the different years were calculated from the EDGAR emission  
8 inventory v4.2 (JRC, 2011), which provides sector specific annual emission estimates for CO  
9 for each country in the world.

10 For the countries Armenia, Azerbaijan and Georgia, neither reported nor GAINS emission  
11 data were available. Therefore, EDGAR (JRC, 2011) data were used at SNAP level 1 (see  
12 Table 1) for these countries for all pollutants and all years. These were disaggregated to the  
13 same subcategories as the other countries by using the relative contribution of each subsector  
14 to the SNAP level 1 sector for Turkey (for each pollutant and each year) as a blue print.

15 To illustrate in more detail the extent to which each data source has been used, the  
16 supplementary material (Excel file number 2) includes a table which shows the main source  
17 of the emissions that was used, per country per pollutant. However, for underlying sectors the  
18 choice of which emission source to use may have been updated based on the checks that were  
19 performed. In the final dataset, the share of reported data in the total emissions varies between  
20 40% (for PM) and 70% (for NH<sub>3</sub>). In geographical terms, reported emissions were the  
21 primary data source for most EU Member States and EFTA countries, while for many former  
22 Soviet Union countries and some Balkan countries the use of GAINS or other alternative data  
23 sources was necessary. The Excel file number 2 in the supplementary material also contains a  
24 full overview of the choices made per country, pollutant and sector.

25

### 26 **2.1.1 Consistency between countries and across years**

27 Emission data for certain years may be missing (Figure 1 and Figure 2, left panel), and  
28 countries may use different classifications or differ in what sources they report. To improve  
29 consistency between countries, a number of updates have been made to the resulting dataset  
30 which mainly affected the reported emissions data.

- 1 • Emissions of NO<sub>x</sub> and NMVOC from agriculture have been removed for all  
2 countries, since reporting of this source is found to be very inconsistent between  
3 countries. For NO<sub>x</sub>, 3/4 of the removed NO<sub>x</sub> (approximately 150 kton annually) was  
4 reported by Germany as emissions from biological N fixation and crop residues,  
5 which is not reported by other countries. There is a risk that some of the other  
6 countries reported emissions from agricultural machinery in SNAP 10 instead of  
7 SNAP 8 which is then “lost”.
- 8 • Estimates for emissions from agricultural waste burning have been replaced by  
9 GAINS emissions because only few countries reported emissions from this source,  
10 while emissions are significant especially for PM. This adds about 350 kton PM<sub>10</sub> per  
11 year to our inventory, where the sum of the country values adds up only to 16 kton (in  
12 2009).
- 13 • Emission estimates for national shipping were found to be very inconsistent between  
14 countries, partly due to different definitions for the various sectors (allocation issue).  
15 To avoid inconsistencies and double counted or missing emissions to the extent  
16 possible, all national shipping including international inland shipping emissions have  
17 been replaced with TNO estimates, which distinguish inland shipping and coastal  
18 shipping as separate sources. Especially with international inland navigation, countries  
19 may treat this differently in their inventories.
- 20 • For particulate matter, numerous cases were found where reported PM<sub>2.5</sub> exceeded  
21 reported PM<sub>10</sub>. These have been corrected by increasing PM<sub>10</sub> emissions to PM<sub>2.5</sub>  
22 levels. This implies that in such cases the coarse fraction was set to zero and can be  
23 seen as a conservative correction. In nearly all cases the difference was very small,  
24 therefore this change did not affect the total PM emissions in the inventory very much.
- 25 • Emissions from international shipping have been taken from CEIP (2012) for all years  
26 and pollutants.
- 27 • NMVOC, SO<sub>2</sub>, NO<sub>x</sub>, CO and PM shipping emissions for the 43 largest North Sea  
28 ports (including oil terminals) were additionally included. For the year 2009, these  
29 data were taken from MARIN (Cotteleer et al., 2011). For SO<sub>2</sub> and PM a strong  
30 decreasing emission trend for the period 2005 to 2010 is expected as a result of  
31 implementation of European sulphur reduction policies (EC, 2011). The assumed

1 average sulphur contents in marine fuel used for the calculation of in-port emissions is  
2 presented in Table 2. SO<sub>2</sub> and PM emissions have been scaled accordingly from the  
3 2009 emission data. Emissions of other substances are assumed to be constant at 2009  
4 level for the 2003-2009 period. From the MARIN emission data, implied emission  
5 factors based on port turnover capacity were derived and applied to the 1200 other  
6 ports in Europe, for which capacity data was taken from the PAREST emission  
7 database (Denier van der Gon et al. 2010). Based on Google Maps and visual  
8 identification of port activities the 1/8 x 1/16° cells occupied by the 43 MARIN ports  
9 have been manually selected (e.g. the Port of Rotterdam occupies seven of such cells).  
10 Geographical distribution of emission within cells associated with a certain port is  
11 assumed to be uniform. The location of the centre point of the 1200 other ports in  
12 Europe has been taken from the PAREST emission database (Denier van der Gon et  
13 al., 2010).

14  
15 To be suitable as model input the emissions need to be distributed on a grid (see section 2.3),  
16 for which a more detailed sectoral breakdown is needed to allow for a different spatial  
17 distribution of different subsectors and fuels underlying the 43 sectors. Therefore, emissions  
18 have been disaggregated using the more detailed data available from the GAINS model and  
19 the TNO bottom-up inventory (for CO). For power plants, residential combustion and road  
20 transport (exhaust) the emissions are disaggregated to main fuel type (coal, gas, solid  
21 biomass, waste or light, medium or heavy liquid fuels). For some other sectors such as the  
22 iron and steel and non-ferrous metal industries, emissions are disaggregated to subsectors. An  
23 overview of the disaggregated sectoral classification is given in the supplementary material  
24 (Excel file number 3).

## 26 **2.2 Particulate matter composition**

27 For particulate matter, the emissions have been further disaggregated from PM<sub>2.5</sub> and PM<sub>10</sub>  
28 to various components in the coarse and fine mode. To calculate this PM split, more detailed  
29 sectoral information is needed, for example the fuel type used in combustion installations and

1 the type of installation. Therefore, the emission data are first disaggregated to GAINS sector  
2 and activity combinations (more than 200 categories).

3 For each GAINS category, a fractional split between 5 PM components (EC, OC, SO<sub>4</sub>, Na  
4 and other minerals) was made separately for the coarse and the fine mode. The fractional split  
5 is constructed in such a way that it adds up to 1, provided that OC is converted from a C-mass  
6 basis to full molecular mass (FMM). To convert to FMM, OC was multiplied by a factor 1.3  
7 that accounts for other elements present on OC (e.g. O, N or S). It is known that the  
8 conversion factor of OC to FMM ranges between (1.1-1.8) but here a weighted average of  
9 1.3 was used for all sources. Since the PM split provides fractions and not absolute emissions,  
10 this has no influence on total PM emissions. For EC and OC, the split is based on a recent  
11 bottom-up EC and OC inventory for the year 2005 (Visschedijk et al., 2009). This inventory  
12 involved creating ‘best estimates’ per GAINS sector and activity combination for EC and OC  
13 fractions in PM, based on literature data and three earlier EC and OC emission inventories.

14 Particle-bound sulphate is mostly emitted through the combustion of high-sulphur fuels such  
15 as coal and residual fuel oil. In the LOTOS-EUROS model (Schaap et al., 2008) it is  
16 estimated that around 2% of the sulphur is emitted in the form of particles. When particle  
17 mass is calculated based on the SO<sub>2</sub> emissions using this estimation, the fraction of sulphur  
18 emitted in the form of particles ranges from 0.1% for gasoline and diesel combustion in road  
19 transport to 10-20 % for coal and residual fuel oil combustion in energy and manufacturing  
20 industries and in shipping.

21 The sodium fraction is relatively unimportant to the total PM but may be useful when looking  
22 at base cat ion deposition. The sodium content is based on reported sodium content for 40 PM  
23 sources calculated in Van Loon et al. (2005).

24 The fraction “Other minerals” contains other non-carbonaceous particles and is calculated as  
25 the remaining fraction after the other fractions have been calculated.

26 The fractions per GAINS category have been applied to the emissions of coarse PM (PM<sub>10</sub>-  
27 PM<sub>2.5</sub>) and fine PM (PM<sub>2.5</sub>) for each GAINS category, and subsequently been aggregated to  
28 the 77 source categories which are used as input to the spatial distribution.

29 EC and OC country total emissions (for both fine and coarse mode) are given for all years in  
30 the supplementary material, Excel file number 5.



1

## 2 **2.3 Spatial distribution**

3 The final step in the inventory was the distribution of the complete emission dataset across the  
4 European emission domain at  $0.125^\circ \times 0.0625^\circ$  longitude-latitude resolution. For each of the  
5 77 source categories for which emissions are available, one or more proxies were identified.  
6 These proxies provide the mapping of the emissions of a certain pollutant to the grid for a  
7 given sector and year. For each country, pollutant, sector and year the most appropriate proxy  
8 was chosen in a selection table. An overview of all the proxies used per sector is given in the  
9 supplementary material (Excel file number 3).

10 For point sources, we have made use of the E-PRTR database (<http://prtr.ec.europa.eu/>) which  
11 provides information on the location (longitude, latitude) and emissions of major facilities in  
12 Europe. E-PRTR data was available on an annual basis from 2007 onwards, while data from  
13 the years 2001 and 2004 were available from its predecessor EPER (EC, 1996). For the  
14 intermediate years, data from the closest year available was used. Since the EPER and E-  
15 PRTR data only contain emissions from facilities above a certain threshold, using this data to  
16 distribute total emissions for a certain sector can only be done for those sectors comprised of  
17 large facilities, e.g. the cement and aluminium industry. Furthermore, a judgement has been  
18 made on the quality of the data before actually using it. For example, there are multiple  
19 facilities where the geographical location points to the administrative location (e.g. company  
20 headquarters) rather than the location where the actual emissions occur. For the other point  
21 sources, and also those in countries which are not covered by E-PRTR, TNO's own point  
22 source database (described in more detail in Denier van der Gon et al., 2010) was used as a  
23 proxy for the distribution of these point source emissions.

24 For non-point sources (e.g. residential combustion, transport sectors, agriculture), proxies  
25 were selected to distribute country total emissions over the grid. These proxies include a.o.  
26 total, rural and urban population, arable land, TRANSTOOLS road network (JRC, 2005). The  
27 proxies for the area sources were assumed to be static in time, e.g. changes in the population  
28 density are not taken into account. Most proxy maps were taken from Denier van der Gon et  
29 al. (2010) but a number of modifications and improvements have been made. A new  
30 population map for the year 2005 has been implemented at high resolution, and a special  
31 proxy has been developed for the distribution of residential wood combustion. The latter takes

1 into account both the population density, but also the proximity to wood. Despite this  
2 modification for the distribution of residential wood combustion, an overallocation of the  
3 emissions in urbanized centres may well be present in the spatial distribution. This has  
4 previously been described by Timmermans et al. (2013). However, a universal, representative  
5 and well-documented approach that justifies a modification of the spatial distribution between  
6 urban and rural areas for Europe does not exist at this moment.

7 For the actual calculation of the emissions grids, a SQL server system has been set up which  
8 performs all the calculations. Emissions that could not be distributed (e.g. because the proxy  
9 was not available for that specific country) are by default distributed using either total  
10 population, rural population or arable land. In a last step the gridded emissions are aggregated  
11 to SNAP level 1, primarily to reduce the size of the output emission grid file.

12

### 13 **3 Results and discussion**

#### 14 **3.1 Analysis of reported emissions**

15 To illustrate that consistency is an issue with reported emissions, Figure 1 shows reported  
16 emissions for 5 selected combinations of country and SNAP level 1 source categories. It is  
17 shown that in a number of cases reporting only started somewhere during the time series.  
18 Also, some of the time series show unexplained trends (e.g. high emission in LVA SNAP 34  
19 for 2004; very strong increase in Hungary SNAP 2 in the last years; small emission in Russian  
20 Federation from SNAP 2 compared to other countries in 2009).

21 Especially the issue of missing data for earlier years is important to the total emissions, as  
22 illustrated by Figure 2. The left panel shows the time series for NO<sub>x</sub> and PM<sub>10</sub> for all  
23 sectors, by country group, relative to the emission in 2009. For the EU15 countries (15  
24 Member States of the EU as of 1995 plus Norway and Switzerland) the trend is a small  
25 decrease indicating improvements in technology and more abatement in later years. For the  
26 EU13 (New EU Member States joined after 1995, including Croatia) and the other (non-EU)  
27 countries, clearly a large part of the emissions is missing in earlier years.

28 The right panel of Figure 2 shows the same trend data, but now for the final dataset. In this  
29 dataset, all missing emission data and erroneous time series were corrected and / or gap-filled  
30 by replacement with other data. NO<sub>x</sub> and PM trends in EU countries are decreasing faster

1 than in non-EU countries. In fact, for PM10 in non-EU countries the total emission is even  
2 slightly increasing.

3 EMEP (2011) provides an overview of submissions under the Convention of Long-Range  
4 Transboundary Air Pollution. The report shows that out of the 50 countries that have to report  
5 (excluding the EU as a separate Party), 42 countries actually did submit an inventory, while  
6 34 submitted their inventory in time with the deadlines. Seven countries submitted an  
7 inventory without emission data for particulate matter (EMEP, 2011). For gridded data, data  
8 is to be reported every 5 years at a spatial resolution of 50x50 km<sup>2</sup>. As for the reporting in the  
9 year 2005, only 17 out of 48 countries in the EMEP area reported gridded emissions for the  
10 main pollutants, and only 15 countries reported gridded data for PM (EMEP, 2011).

11

## 12 **3.2 Resulting emissions**

13 Table 3 lists the total emissions in each year per pollutant per year. The trend shows that  
14 emissions of all pollutants are decreasing in time. The decrease is most pronounced for CO  
15 and SO<sub>2</sub>, for which emissions in 2009 are about 25% reduced compared to 2003. However,  
16 the change in emissions is not uniform. Figure 3 shows the relative reduction in 2009  
17 compared to 2003 by country group. It can be seen that for the EU15 countries (plus Norway  
18 and Switzerland) the highest emission reductions were achieved (up to 50% for SO<sub>2</sub>), and  
19 also for EU13 countries significant reductions were found. For the non-EU countries  
20 however, emission reductions were much smaller and even emission increases were found for  
21 CH<sub>4</sub> and particulate matter. On the Europeans seas, most emissions increased going from  
22 2003 to 2009, most notably for NO<sub>x</sub>, CO and NMVOC (Figure 3).

23 In the supplementary material, an Excel file is included which lists emissions by pollutant for  
24 each year between 2003 and 2009. The file contains an overview of country totals as well as a  
25 more detailed overview with emissions by sector.

26

### 27 **3.2.1 Comparing to other datasets**

28 To assess the quality of the resulting dataset, and get some feeling for the major uncertainties,  
29 we have compared our results to the official reported emissions, GAINS (IIASA, 2012) and

1 EDGAR (JRC, 2011). Figure 4 shows a comparison between the different emission  
2 inventories for NO<sub>x</sub> and PM<sub>10</sub>, for all countries included in our inventory, per SNAP level 1  
3 source category. It is observed that our inventory, GAINS and EDGAR match reasonably  
4 well, while reported emissions are much lower. At sector level, differences between our  
5 inventory and GAINS are minor, while EDGAR shows higher emissions from SNAP 1  
6 (electricity generation) and lower emissions for SNAP 8 (non-road transport), and also  
7 includes NO<sub>x</sub> emissions from SNAP 10 (agriculture) not included in any other inventory. The  
8 latter is most likely an allocation issue, since NO<sub>x</sub> emissions from agricultural machinery are  
9 included in SNAP 8 in our inventory, as well as in GAINS.

10 Figure 6 shows the same figure, but now per country group, for SO<sub>2</sub> and PM<sub>10</sub>. This figure  
11 not only includes reported emissions, but also the selection of the reported emissions that was  
12 used in this study. As described in Section 2.1, some of the reported data may not be used due  
13 to inconsistent time series or other reasons. It is shown that for EU15 (EU Member States as  
14 of 1995, plus Norway and Switzerland) the differences are small, while for the (EU12, the  
15 newer EU Member States) the reported emissions are lower due to gaps in these data. For the  
16 non-EU countries (NONEU) reported emissions are negligible compared to the other datasets,  
17 especially for PM. Our emission dataset is similar to GAINS, while EDGAR shows a  
18 different picture. SO<sub>2</sub> emissions from EU15 are higher, from EU12 lower. Higher NONEU  
19 emissions may be partly explained by the fact that the Russian Federation is completely  
20 included in EDGAR, while in our inventory and in GAINS only the European part (west of  
21 60°E) is included.

22

### 23 **3.2.2 PM fractions**

24 PM<sub>10</sub> and PM<sub>2.5</sub> are broken down into components (EC, OC, SO<sub>4</sub>, Na and other minerals)  
25 using the developed PM split . Figure 6 shows the EC and OC emissions per SNAP category  
26 for the European domain. In terms of total mass, the particulate carbonaceous emissions < 2.5  
27 μm were about 5 times higher than the coarse fraction (<2.5-10 μm) emissions. The most  
28 important source of fine OC is residential combustion (SNAP 2), particularly related to wood  
29 combustion. For coarse OC however, agriculture is the most important source of emissions.  
30 For EC residential combustion and transport (diesel combustion) are the most important  
31 sources for fine EC, while for coarse EC power plants and industry are the main sources.

1 The relative importance of source sector contributions varies substantially between countries.  
2 As an example, the EC emissions (for coarse and fine mode separately) for Poland and the  
3 Netherlands are shown (Figure 7). In Poland, high EC emissions resulted from coal and wood  
4 combustion in the residential sector, which are much less relevant for the Netherlands. Total  
5 emissions from the road transport sector in the Netherlands and Poland are quite similar, the  
6 larger fleet size in Poland is more or less compensated by the lower share of diesel in the fuel  
7 mix.

8

### 9 **3.3 Spatial distribution**

10 The result of spatially distributing the emissions using the various proxies is shown for NO<sub>x</sub>  
11 and EC (<2.5µm) for the year 2009 (Figure 8 and Figure 9, respectively). The major cities,  
12 major transport routes and shipping routes at sea can be identified as important sources in  
13 these maps.

14 Figure 10 and Figure 11 show also NO<sub>x</sub> and EC (<2.5µm), but now the difference from 2003  
15 to 2009. Positive numbers (blue colour in the maps) indicate a decrease in emissions from  
16 2003 to 2009, while negative numbers (red colour) show an increase in emissions. For NO<sub>x</sub>, it  
17 is shown that most land-based emissions decrease, but in some countries in Eastern Europe an  
18 increase is seen, e.g. in road transport for Poland, Slovak Republic and Bulgaria.

19 For fine particulate EC emissions are decreasing in most countries, but also increases are  
20 found especially in Eastern Europe and at sea. Highest reductions are achieved in cities and  
21 urban areas, since the initial 2003 emissions in these regions were higher. Increases can be  
22 due to a growth in activity, e.g. for the Slovak Republic, the increase is due to higher reported  
23 emissions of PM<sub>2.5</sub> from road transport in 2009 compared to 2003. Emissions from  
24 international shipping increased on all seas (CEIP, 2012).

25 To ensure consistency at borders, we have chosen to use a generic spatial distribution  
26 methodology. To account for sudden changes in point source emissions, e.g., due to  
27 implementation of emission abatement measures, E-PRTR data was used on an annual basis  
28 for the distribution of the emissions over the various point sources. As an example, the share  
29 of each major power plant in the total SO<sub>2</sub> emission from the power plant sector in Spain is  
30 shown in Figure 12. The largest emitters in 2003 have reduced their emissions drastically.

1 This causes some of the less important plants to become relatively more important, even  
2 though their absolute emission did not change. It was confirmed that in these specific cases  
3 for Spain, the power plants switched fuel (using coal with less or no sulphur) or installed  
4 advanced control technologies for desulphurisation. The use of annual E-PRTR data for these  
5 large point sources enables us to reflect these changes from year to year. As mentioned  
6 earlier, for the years 2003, 2005 and 2006 no point source information was available and the  
7 closest year available has been used instead.

8

### 9 **3.4 Uncertainties**

10 A typical emission inventory is compiled by collecting activity data and appropriate emission  
11 factors, according to the EMEP/EEA Guidebook (EEA, 2013):

$$12 \quad Emission_{pollutant} = \sum_{activities} Activity\ rate_{activity} \times Emission\ factor_{activity,pollutant}$$

13 Although for some sectors the equation to be used to estimate emissions is more complicated  
14 than a simple multiplication of a variable (Activity rate activity) and a parameter (Emission  
15 factor activity, pollutant), in general such a simple equation can be used to obtain uncertainty  
16 estimates. For a more detailed treatment of the uncertainty calculations we refer to Chapter 5  
17 uncertainties in EEA (2013).

18 For activity data like statistics the overall estimate of uncertainty would be 5-10% (EEA,  
19 2013). However, for the emission factors this is much more complicated as it may differ by  
20 source and pollutant and is often not known. To tackle this issue, a system has been developed  
21 that rates the uncertainty of emission factors (Table 4). This system allows for giving different  
22 ratings to various pollutant emission factors for a single source. As an illustration and  
23 indication of uncertainty we reproduce the general assessment of emission factors  
24 uncertainties for European emissions (Table 5). The values in Table 5 provide a good  
25 approximation of the uncertainty in the TNO\_MACC-II emission inventory as well as the  
26 country reported data are at the base of our inventory. A more elaborate uncertainty analysis  
27 has not been made. Although such an uncertainty analysis is desirable it should be realized  
28 that it is a highly complicated and time consuming endeavour. The mixing of the different  
29 approaches to obtain the most reliable and consistent dataset asks for a complicated weighing

1 of uncertainties, that differs country by country. Moreover, it may not be entirely feasible as  
2 we use country reported data (for good reasons) but the detailed information such as  
3 uncertainty in national statistics and often country-specific emission factors is simply not  
4 available.

5 The PM10, NO<sub>x</sub>, SO<sub>2</sub> and NH<sub>3</sub> emissions data officially submitted by EU Member States  
6 and other EEA member countries follow common calculation (EEA 2009) and reporting  
7 guidelines (UNECE, 2003). The European Environment Agency (EEA, 2011) assesses the  
8 uncertainty in emissions for the SO<sub>2</sub>, NO<sub>x</sub> and NH<sub>3</sub> as follows:

- 9 • Sulphur dioxide emission estimates in Europe are thought to have an uncertainty of  
10 about 10% as the sulphur emitted comes from the fuel burnt and therefore can be more  
11 accurately estimated. However, because of the need for interpolation to account for  
12 missing data the complete dataset used here will have higher uncertainty. EMEP has  
13 compared modelled (using emission inventory data) and measured concentrations  
14 throughout Europe (EMEP, 1998). From these studies differences in the annual  
15 averages have been estimated in the order of 30% consistent with an inventory  
16 uncertainty of 10% (there are also uncertainties in the measurements and especially  
17 the modelling).
- 18 • Nitrogen oxide emission estimates in Europe are thought to have an uncertainty of  
19 about +/-20% (EMEP, 2009), as the NO<sub>x</sub> emitted comes both from the fuel burnt and  
20 the combustion air and so cannot be estimated accurately from fuel nitrogen alone.  
21 However, because of the need for interpolation to account for missing data, the  
22 complete dataset used will have higher uncertainty.
- 23 • Ammonia emissions are relatively uncertain. NH<sub>3</sub> emission estimates in Europe are  
24 more uncertain than those for NO<sub>x</sub> or SO<sub>2</sub> due largely to the diverse nature of  
25 agricultural sources - which account for the vast majority of NH<sub>3</sub> emissions. It is  
26 estimated that they are around +/-30% (EMEP, 2009). The trend is likely to be more  
27 accurate than the individual absolute annual values - the annual values are not  
28 independent of each other.

29 The above estimates are in line with De Leeuw (2002) (but also largely based on the same  
30 methodologies) who reported uncertainties in emissions as about 50% for NH<sub>3</sub>, VOC and  
31 CH<sub>4</sub>. NO<sub>x</sub> emission estimates in Europe were thought to have an uncertainty of about ±30%

1 and SO<sub>2</sub> emission estimates in Europe were thought to have an uncertainty of about  $\pm 10\%$  as  
2 the sulphur emitted comes from the fuel burnt and so can be relatively accurately estimated.  
3 However, because of the need for interpolation to account for missing data the complete EU  
4 dataset studied by De Leeuw (2002) will have higher uncertainty.

5 More recently, Nielsen et al. (2014) reported Danish uncertainty estimates for the total  
6 emissions of air pollutants from Denmark. The Danish uncertainty estimates were still based  
7 on the simple Tier 1 approach described by Pulles and Van Aardenne (2004). The uncertainty  
8 estimates are based on uncertainties for fuel consumption and emission factors for each of the  
9 main SNAP source categories. Uncertainty in total Danish emissions for pollutants as used in  
10 the TNO-MACC\_II inventory were estimated as SO<sub>2</sub> (16%), NO<sub>x</sub> (39%), NMVOC  
11 (23%), CO (42%), NH<sub>3</sub> (29%), PM<sub>10</sub> (289%) and PM<sub>2.5</sub> (347%) (Nielsen et al., 2014). For  
12 SO<sub>2</sub>, NO<sub>x</sub> and NH<sub>3</sub> this is all rather consistent but it should be noted that always rather  
13 simple Tier 1 methods were used because the data to do a complete detailed uncertainty  
14 analysis of all relevant source are simply not available. Remarkable in the reporting by  
15 Nielsen et al. (2014) is the high uncertainty in PM emissions. This is mostly due to the high  
16 uncertainty in emission factors for residential combustion which is one of the key sources of  
17 PM in Europe. However, it is not so much uncertainty as well as definition of PM  
18 measurement methodology which is especially variable for residential combustion stoves  
19 (Nussbaumer et al., 2008). Since different countries use different methodologies this results  
20 in extremely high uncertainty of the order of 200-300% as reported by Nielsen et al. (2014).

21 Independent of the uncertainty in national total emissions is the uncertainty in spatial  
22 distribution of the emissions within a country which is done using proxy data. Some proxies  
23 are more accurate than others. For example a point source data base for power plants is fairly  
24 accurate although some uncertainty is present related to the specific fuel use, fuel quality and  
25 operation times. For some other proxies, e.g. the population density used to distribute the  
26 emission from woodstoves, the accuracy of this proxy is not known as we don't really know  
27 where the woodstoves are. The uncertainty of using such a proxy increases when going from a  
28 large to a smaller grid size. Moreover, for some countries the proxy data like road networks or  
29 industrial activity may more detailed than for other countries. Hence the uncertainty may vary  
30 from country to country.

31



## 1 4 Conclusions

2 A model-ready emission inventory at high spatial resolution for UNECE-Europe for 7  
3 consecutive years (2003-2009) was constructed, which combines the advantage of using  
4 official reported emissions to the extent possible. For air quality modelling and environmental  
5 impact assessment studies, a good understanding of the magnitude and location of the sources  
6 of pollution is of crucial importance for deriving policy conclusions. The main advantages of  
7 this inventory are:

- 8 • We use source sector specific data in a harmonized way, which allows both tracking of  
9 sources in the modelled data as well as trend analysis without artifacts such as  
10 differences between annual reporting years. For instance, NMVOC and NO<sub>x</sub> from  
11 agriculture were excluded for all countries as reporting was found to be very  
12 inconsistent.
- 13 • The application of a consistent gridding methodology for all countries ensures patterns  
14 across borders do not show sudden changes or jumps; e.g. consistent land use and  
15 animal density maps to distribute agricultural emissions
- 16 • To model particulate matter concentration and fate, models need to breakdown PM  
17 into components with different behaviour. We now provide such data which are not  
18 available from the official reporting.
- 19 • By using the point source data from E-PRTR and EPER, better locations of point  
20 sources were brought into the data base. Moreover, the point source gridding data is  
21 now year-specific, where earlier the 2005 distribution was used as a proxy for all  
22 years.
- 23 • Emission in ports were added in a harmonized way for the whole of Europe.
- 24 • Elemental Carbon and/or Black carbon is increasingly important in discussion of  
25 health effects of PM exposure as well as climate discussion focussing on short-lived  
26 climate forcers. By bringing this component into the gridded emission datasets, the  
27 models are able to better cater for policy makers in this respect.
- 28 • Finally, since the data are as much as possible (given our quality criteria) from the  
29 official reported data, the data can be readily used for policy evaluation.

1 Our emission dataset has been compared to other emission inventories to assess the quality of  
2 the inventory. Since GAINS was a primary data source used, a good match was found with  
3 this inventory. Between our inventory and EDGAR differences were found, which can partly  
4 be explained by allocation issues and by a somewhat different domain definition.

5 Uncertainties in emission inventories are difficult to quantify, especially when multiple  
6 sources are combined. General approaches to uncertainty exist, but data collection is difficult  
7 especially at European scale.

8 A potentially new way to address uncertainty in large point sources is by comparing the  
9 emission maps with satellite measurement. A first comparison between OMI satellite data and  
10 SO<sub>2</sub> source strength of major point sources (Visschedijk et al., 2012) revealed that for some  
11 major point sources in eastern Europe, no OMI signal was found, which could indicate that  
12 the point source closed, or changed fuel. The resulting information from this type of  
13 comparisons is very useful to further improve the point source databases in the future.

14 All in all, this paper presents a significantly improved spatially explicit emission dataset for  
15 the European domain. However, one should bear in mind the limitations of the European scale  
16 emission inventory. Since the spatial distribution of national emissions is done using a generic  
17 system of point sources and proxies, differences with other inventories may exist, especially  
18 when zooming in to the local scale such as a large city or urban area.

19 A next step would be to include the “semi-natural” sources in our emission inventory, which  
20 are not covered by official inventory data (e.g. resuspension of dust and NO<sub>x</sub> emissions from  
21 soils). With decreasing emissions from most anthropogenic sources, these become  
22 increasingly important for the comparison between modelled and observed concentrations.

23

24

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27 the Centre for Emission Inventories and Projections (CEIP) and the European Environment  
28 Agency (EEA) for making reported data available in a comprehensive format The IIASA  
29 GAINS and JRC EDGAR teams are acknowledged for important emission inventory work  
30 which was gratefully used in the present study.

1  
2

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29 France.

30

1

2 Table 1 Explanation of the SNAP source categories (SNAP 3 and SNAP 4 are merged to  
3 SNAP 34).

<b>SNAP</b>	<b>Sector name</b>
1	Energy industries
2	Non-industrial combustion
34	Industry (combustion + processes)
5	Extraction and distribution of fossil fuels
6	Product use
7	Road transport
8	Non-road transport and other mobile sources
9	Waste treatment
10	Agriculture

4

5



1

2 Table 2 Sulphur content assumed in the fuel for the calculation of in-port emissions (in %).

<b>Year</b>	<b>North Sea</b>	<b>Baltic Sea</b>	<b>Other EU(27)</b>	<b>Non- EU(27)</b>
<b>2005</b>	1.335	1.335	1.335	1.335
<b>2006</b>	1.335	1.095	1.335	1.335
<b>2007</b>	1.305	0.975	1.335	1.335
<b>2008</b>	0.975	0.975	1.335	1.335
<b>2009</b>	0.975	0.975	1.335	1.335
<b>2010</b>	0.1	0.1	0.1	1.335

3

4

1

2 Table 3 Overview of total emissions (kton) per pollutant and year for UNECE-Europe  
3 (including international shipping), and the overall reduction in the time period 2009-2003.

	2003	2004	2005	2006	2007	2008	2009	Reduction 2003-2009
<b>CH4</b>	48 857	47 965	47 636	47 547	47 390	47 282	46 857	4%
<b>CO</b>	48 642	47 602	44 905	43 271	41 608	40 739	38 157	22%
<b>NH3</b>	5 786	5 732	5 675	5 624	5 645	5 576	5 543	4%
<b>NMVOC</b>	15 744	15 367	14 936	14 525	14 123	13 577	12 943	18%
<b>NOX</b>	20 996	20 913	20 737	20 329	19 941	19 121	18 248	13%
<b>PM10</b>	5 430	5 432	5 414	5 328	5 257	5 142	5 029	7%
<b>PM2.5</b>	3 775	3 779	3 761	3 695	3 656	3 590	3 513	7%
<b>SO2</b>	17 921	17 353	16 689	16 144	15 815	14 483	13 189	26%

4

5

6 Table 4 Uncertainty rating definitions used for air pollutants in the Emission inventory  
7 guidebook (source: EEA, 2013)

Rating	Definition	Typical error range
A	An estimate based on a large number of measurements made at a large number of facilities that fully represent the sector	10 to 30 %
B	An estimate based on a large number of measurements made at a large number of facilities that represent a large part of the sector	20 to 60 %
C	An estimate based on a number of measurements made at a small number of representative facilities, or an engineering judgement based on a number of relevant facts	50 to 200 %
D	An estimate based on single measurements, or an engineering calculation derived from a number of relevant facts	100 to 300 %
E	An estimate based on an engineering calculation derived from assumptions only	order of magnitude

8

9

1

2 Table 5 Main relevant NFR source categories with applicable quality data ratings (source:  
3 EEA, 2013)

NFR	SOURCE CATEGORY	SO <sub>2</sub>	NO <sub>x</sub>	VOC	CO	NH <sub>3</sub>
1.A.1	Public power, cogeneration and district heating	A	B	C	B	
1.A.2	Industrial combustion	A	B	C	B	
1.A.3.b	Road transport	C	C	C	C	E
1.A.3.a 1.A.3.c 1.A.3.d 1.A.3.e	Other mobile sources and machinery	C	D	D	D	
1.A.4	Commercial, institutional and residential combustion	B	C	C	C	
1.B	Extraction and distribution of fossil fuels	C	C	C	C	
2	Industrial processes	B	C	C	C	E
3	Solvent use			B		
4	Agriculture activities		D	D	D	D
6	Waste treatment	B	B	B	C	
6	Disposal activities	C	C	C	C	E

4

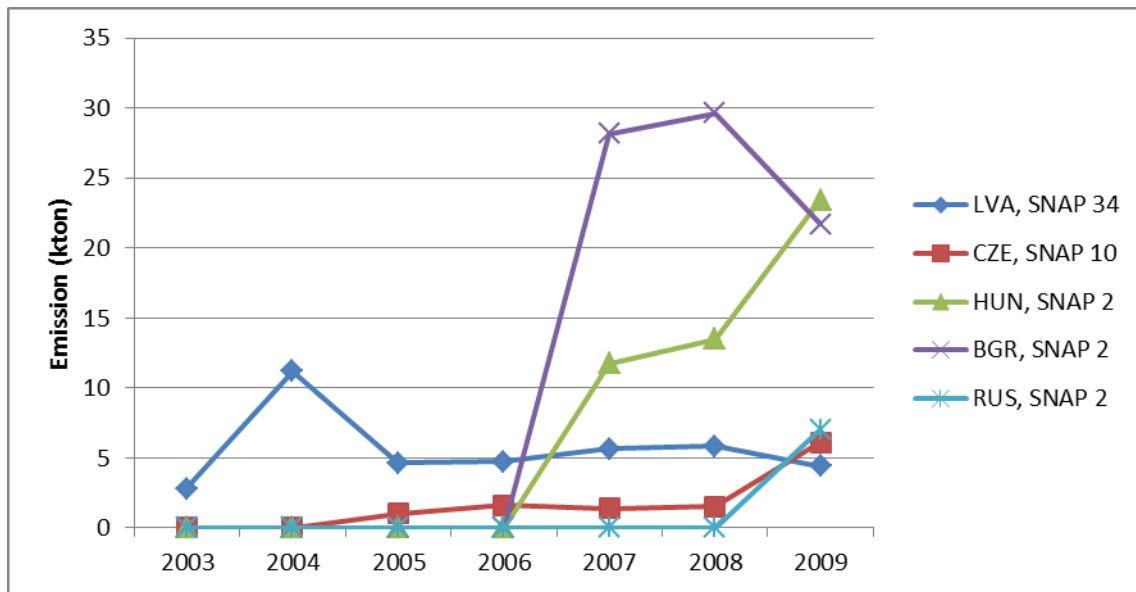
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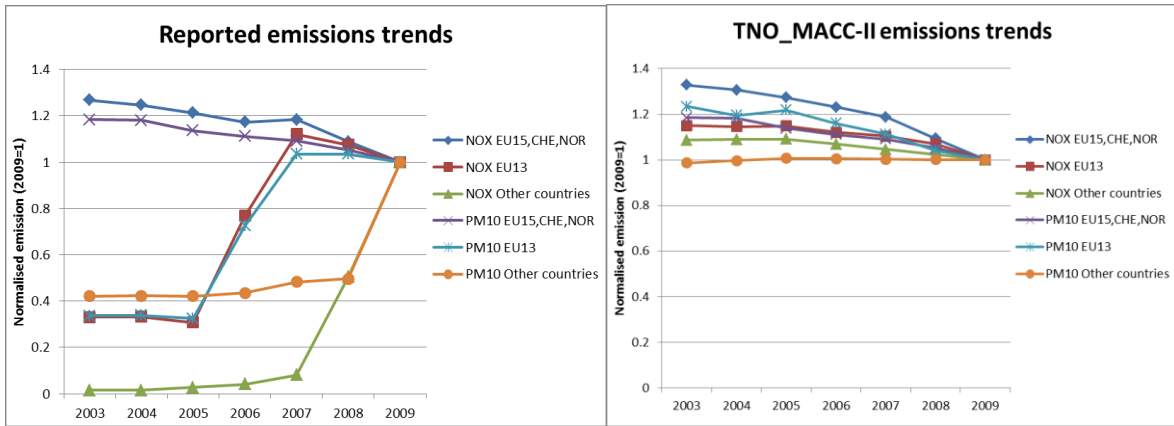


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3 Figure 1 Observed trends in PM10 reported emissions for selected countries and SNAP level  
4 1 source categories (source: CEIP, 2012).

5

1

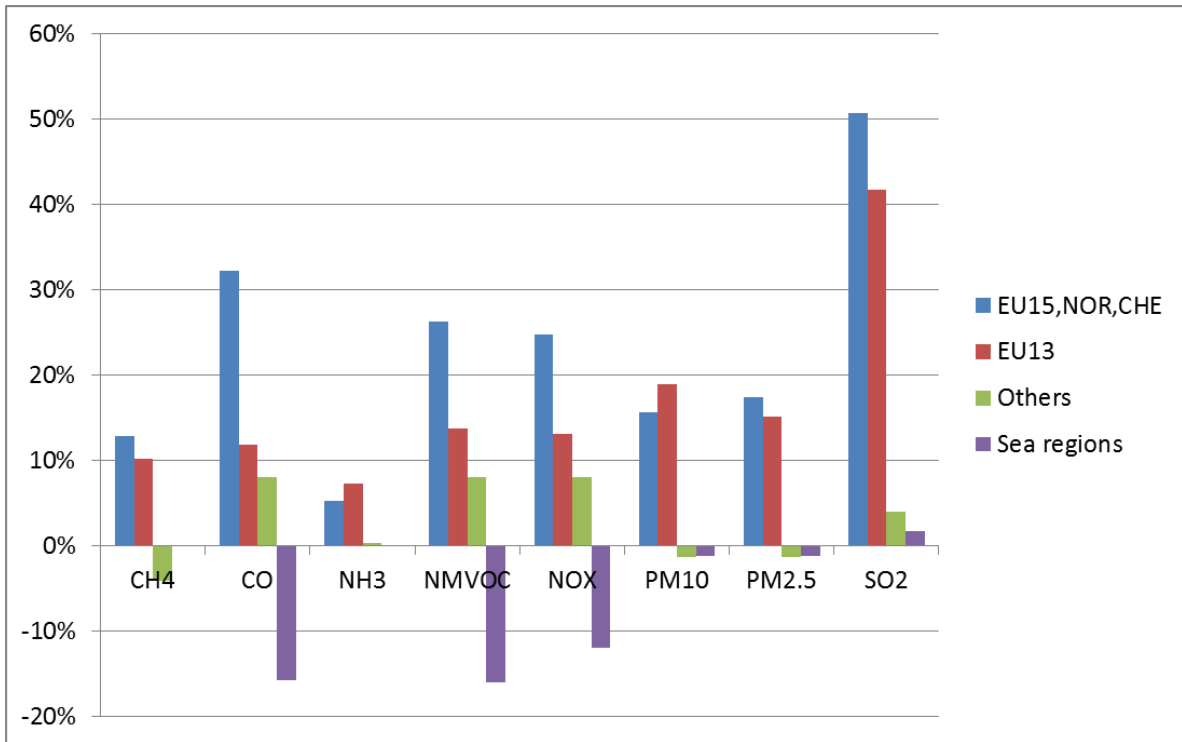


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3 Figure 2 Trends in reported emissions (left panel) and TNO\_MACC-II (right panel)  
4 normalized to 2009 = 1.

5

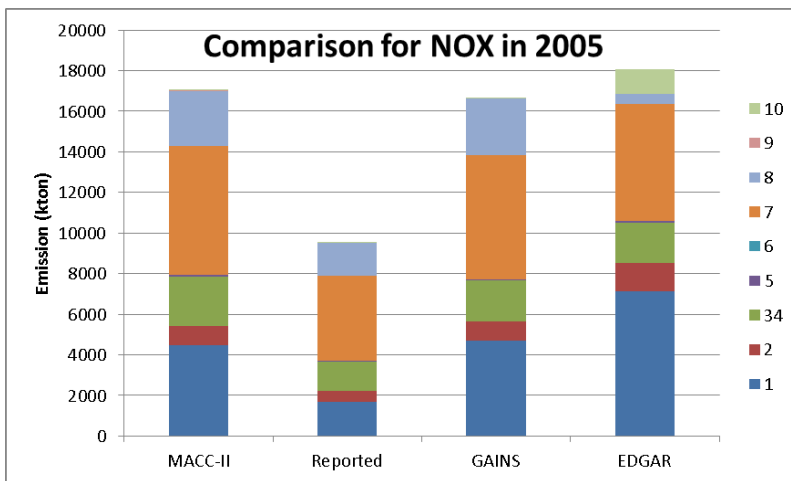
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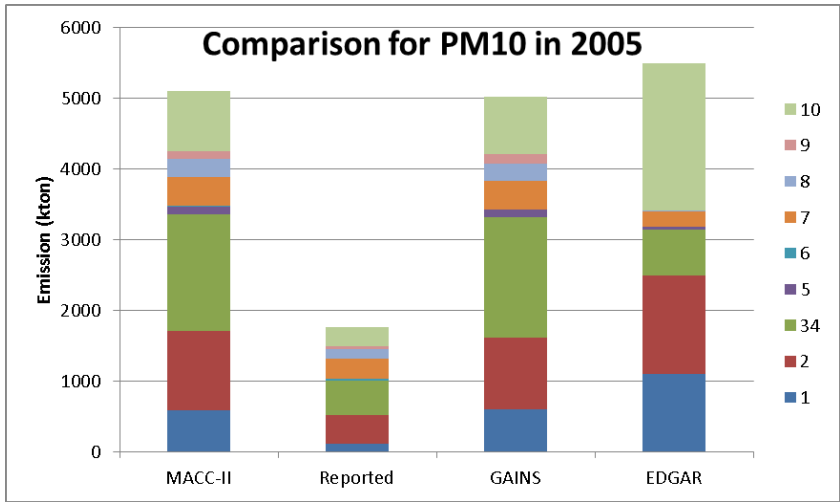
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3 Figure 3 Relative reduction in emissions per country group in 2009 compared to 2003.

4

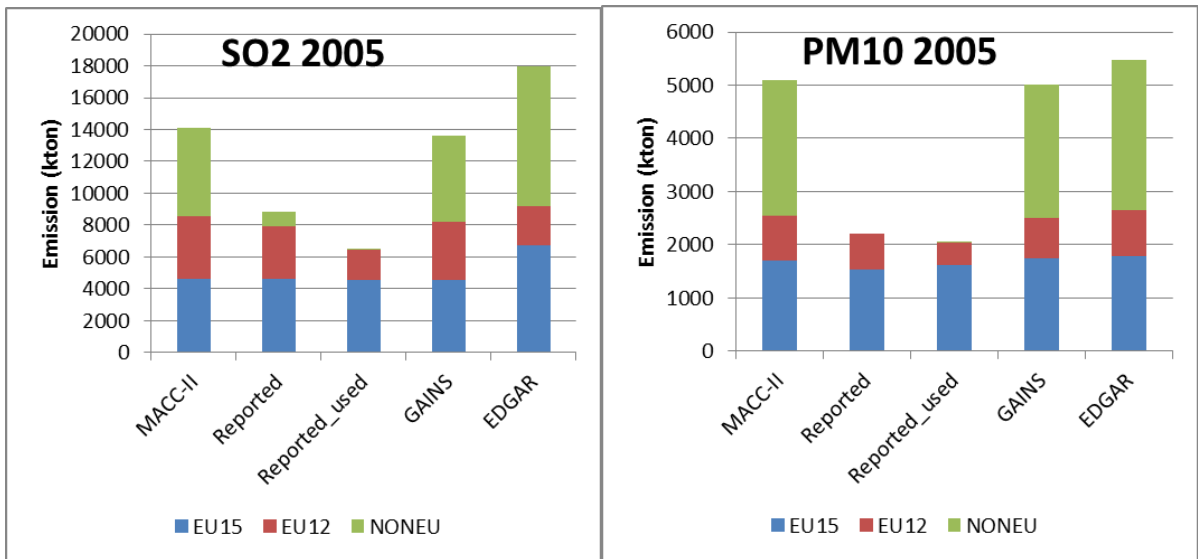


5



1  
2 Figure 4 Comparison between the TNO\_MACC-II results and the reported emissions, GAINS  
3 and EDGAR v4.2, for NOx (upper graph) and PM10 (lower graph), by SNAP level 1 sector.

4

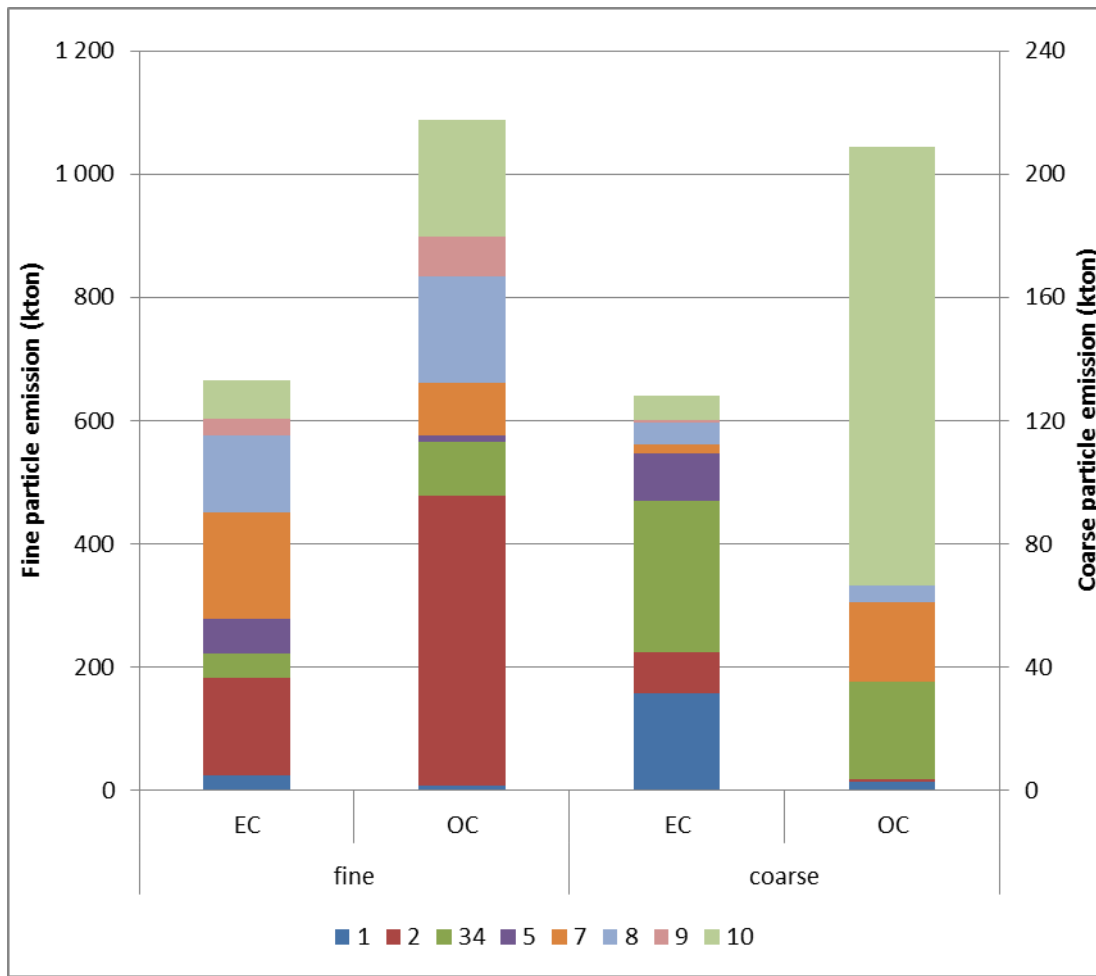


5  
6 Figure 5 Comparison between the TNO\_MACC-II results and the reported emission totals,  
7 reported emissions used in this study, GAINS and EDGAR v4.2 by country group, for SO2  
8 (left) and PM10 (right).

9

10

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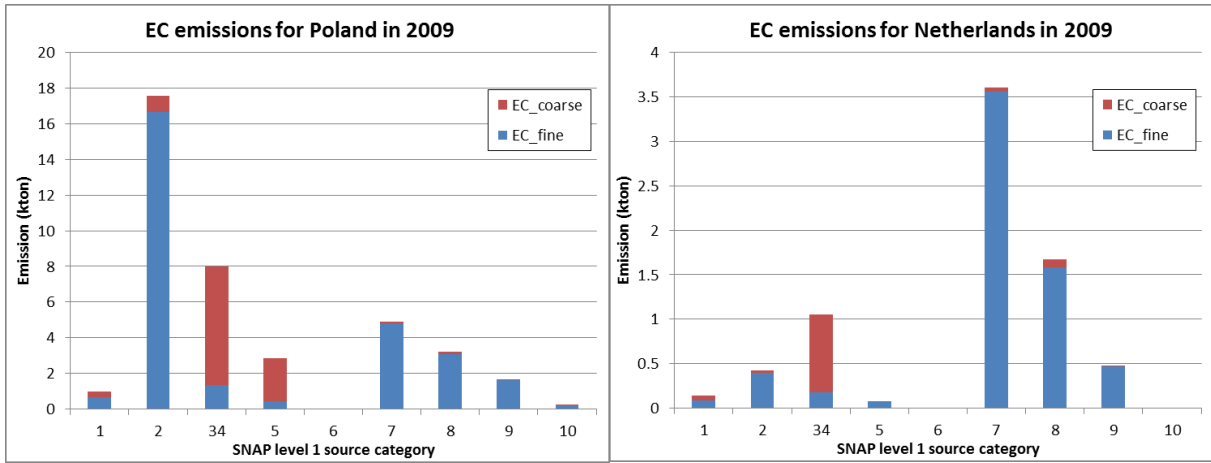
2

3 Figure 6 Total EC and OC emissions per SNAP in coarse (2.5-10  $\mu\text{m}$ ) and fine mode ( $< 2.5$   
4  $\mu\text{m}$ ) for UNECE-Europe (including sea regions) for the year 2005. Note that fine EC and OC  
5 are plotted on the left Y-axis , while coarse EC and OC are plotted on the right Y-axis.

6



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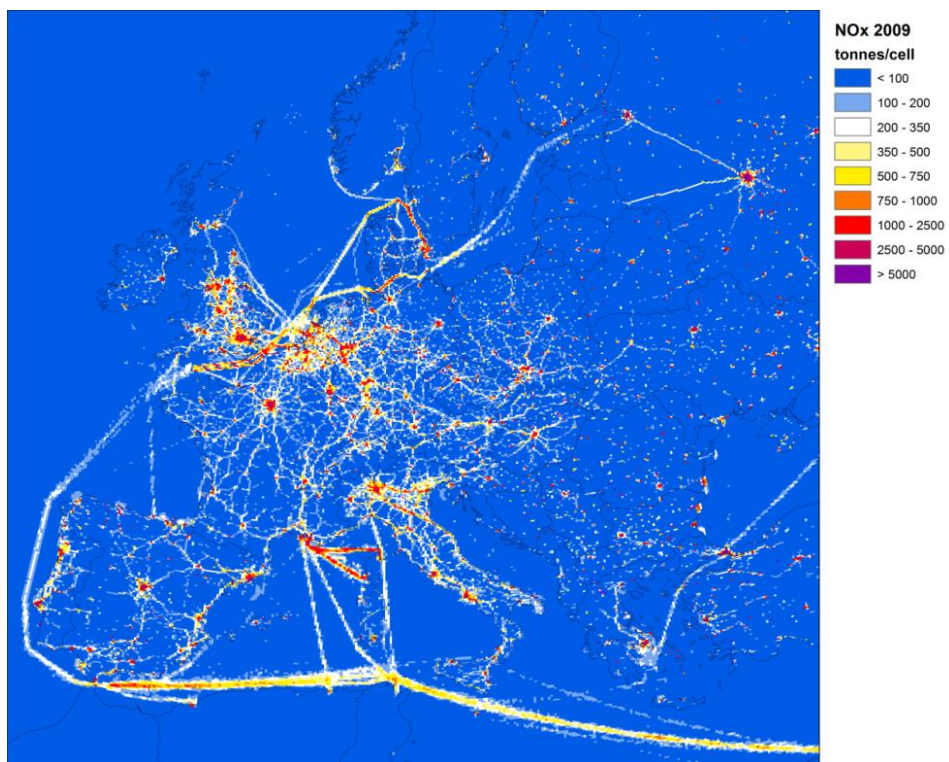


2

3 Figure 7 EC emissions in Poland (left panel) and the Netherlands (right panel) per SNAP  
4 level 1 source category in 2009.

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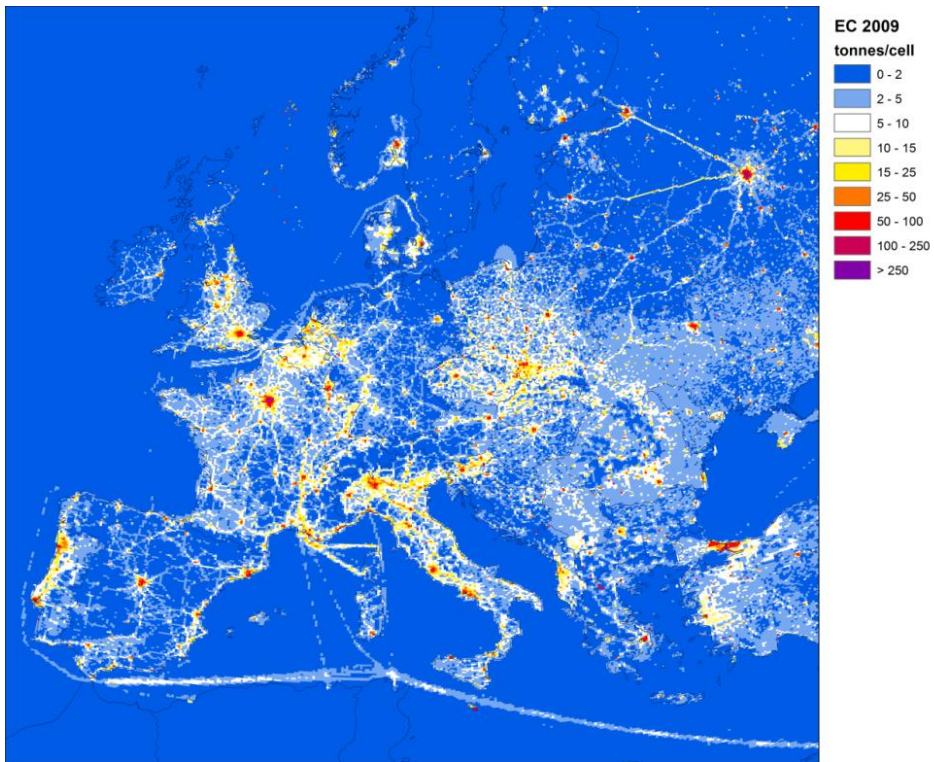


2

3 Figure 8 Spatially distributed NOx emissions from the year 2009 for all sources.

4

1

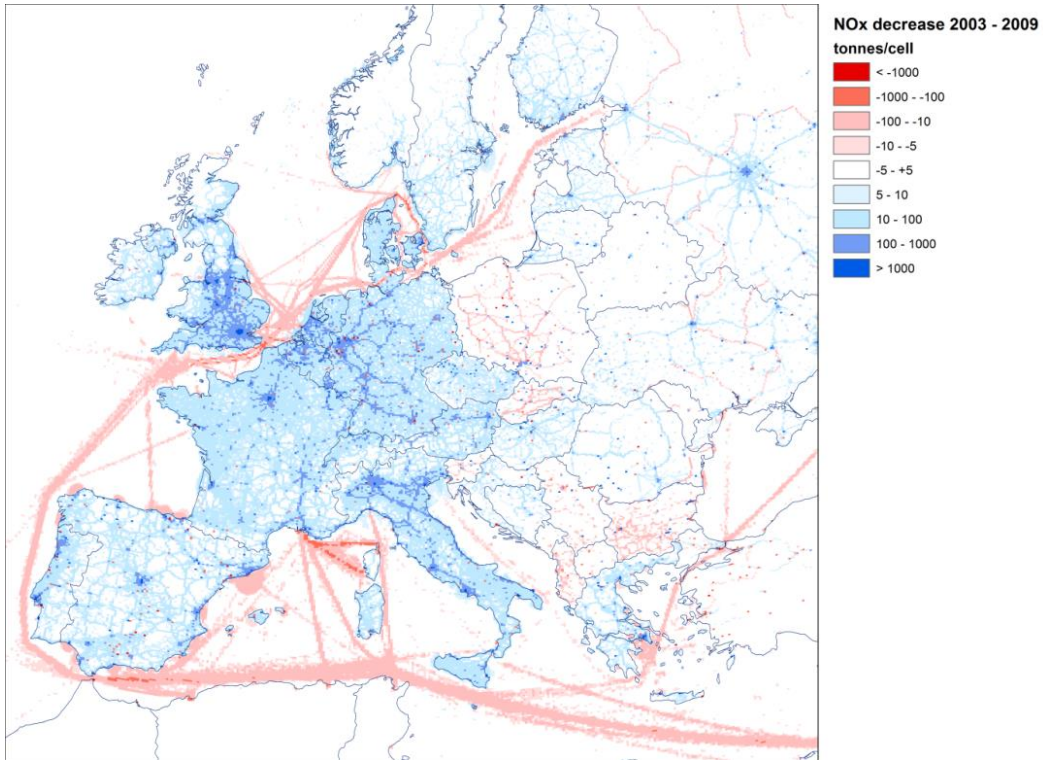


2

3 Figure 9 Spatially distributed EC emissions (fine mode) from the year 2009 for all sources.

4

1

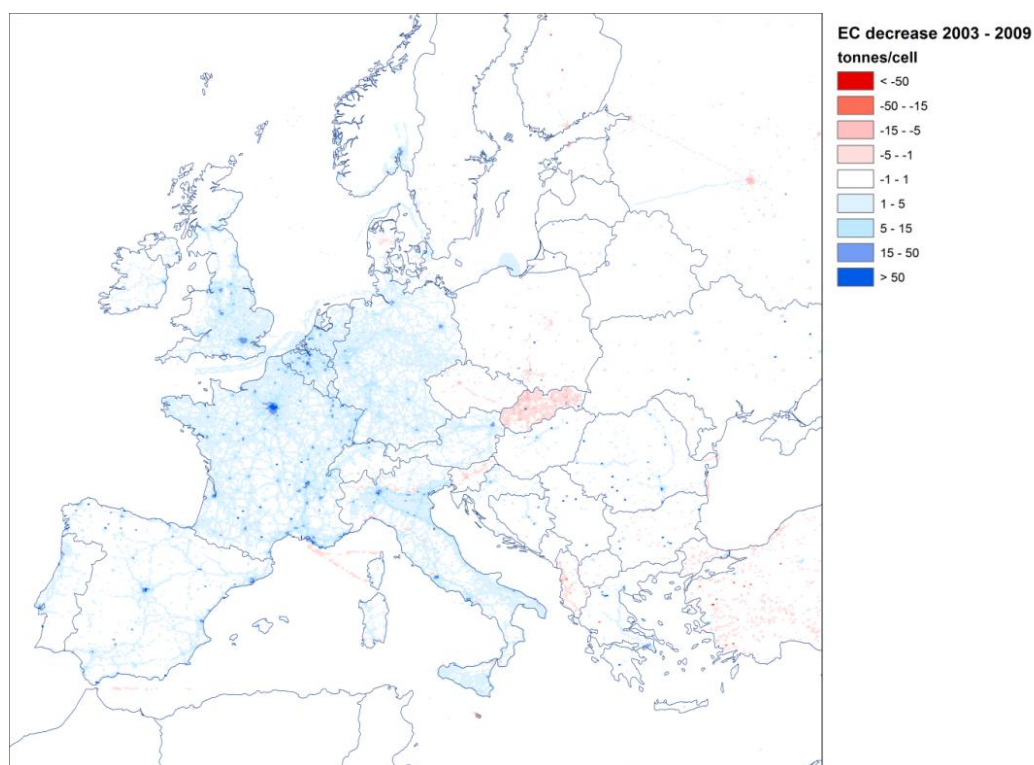


2

3 Figure 10 Change in NOx emissions between 2003 and 2009 in Europe, for all sources.

4

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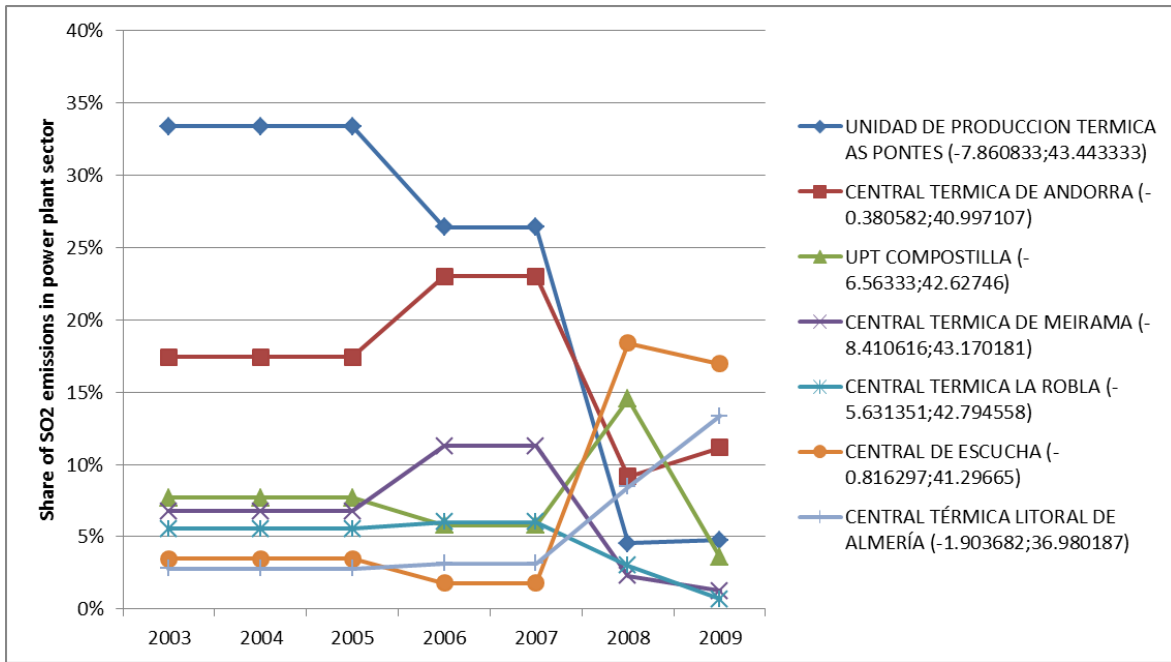


2

3 Figure 11 Change in EC (<2.5 μm) emissions between 2003 and 2009 in Europe, for all  
4 sources.

5

1



2

3 Figure 12 Contribution of the top-7 SO2 emitting power plants in Spain in 2003 to the annual  
4 total SO2 emissions from the power plant sector.

5