

1 **Impact of black carbon aerosol over Italian basin valleys: high**
2 **resolution measurements along vertical profiles, radiative**
3 **forcing and heating rate**

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19
20 **Abstract**

21 A systematic study of black carbon (BC) vertical profiles measured at high-resolution over three
22 Italian basin valleys (Terni Valley, Po Valley and Passiria Valley) is presented. BC vertical profiles
23 are scarcely available in literature. The campaign lasted 45 days and resulted in 120 measured
24 vertical profiles. Besides the BC mass concentration, measurements along the vertical profiles also
25 included aerosol size distributions in the optical particle counter range, chemical analysis of filter
26 samples and a full set of meteorological parameters. Using the collected experimental data, we
27 performed calculations of aerosol optical properties along the vertical profiles. The results,

28 validated with AERONET data, were used as inputs to a radiative transfer model (libRadtran). The
29 latter allowed an estimation of vertical profiles of the aerosol direct radiative effect, the atmospheric
30 absorption, and the heating rate in the lower troposphere.

31 The present measurements revealed some common behaviors over the studied basin valleys.
32 Specifically, at the mixing height marked concentration drops of both BC (range: from $-48.4 \pm 5.3\%$
33 to $-69.1 \pm 5.5\%$) and aerosols (range: from $-23.9 \pm 4.3\%$ to $-46.5 \pm 7.3\%$) were found at the mixing
34 height. The measured percentage decrease of BC was higher than that of aerosols: therefore, the BC
35 aerosol fraction decreased upwards. Correspondingly, both the absorption and scattering
36 coefficients decreased strongly across the mixing layer (range: from $-47.6 \pm 2.5\%$ to $-71.3 \pm 3.0\%$ and
37 from $-23.5 \pm 0.8\%$ to $-61.2 \pm 3.1\%$, respectively) resulting in a Single Scattering Albedo increase
38 along height (range: from $+4.9 \pm 2.2\%$ to $+7.4 \pm 1.0\%$).

39 This behavior influenced the vertical distribution of the aerosol direct radiative effect and of the
40 heating rate. In this respect, the highest atmospheric absorption of radiation was predicted below the
41 mixing height (~ 2 - 3 times than above it) resulting in a heating rate characterized by a vertical
42 negative gradient (range: from $-2.6 \pm 0.2 \text{ K day}^{-1} \text{ km}^{-1}$ to $-8.3 \pm 1.2 \text{ K day}^{-1} \text{ km}^{-1}$). In conclusion, the
43 present results suggested that the BC below the mixing height had the potential to promote a
44 negative feedback on the atmospheric stability over basin valleys, weakening the ground-based
45 thermal inversions and increasing the dispersal conditions.

46

47 **Keywords**

48 Black carbon, micro Aethalometer, Mie theory, radiative effect, heating rate, mixing layer, vertical
49 profile, feedback.

50

51 **1 Introduction**

52 Atmospheric aerosols influence the Earth's climate through direct effects (sunlight absorption and
53 scattering), indirect effects (i.e. modifying the lifetime of the clouds) and semi-direct effects (i.e.
54 affecting the thermal structure of the atmosphere) (Ramanathan and Feng, 2009; Koren et al. 2008;
55 IPCC, 2013; Koren et al., 2004; Kaufman et al., 2002). The instantaneous direct radiative effect
56 (DRE) due to the aerosol load amount to ~ -10 - 20 W m^{-2} at the Top of Atmosphere (TOA) and can
57 reach $\sim -50 \text{ W m}^{-2}$ at the surface (Perrone and Bergamo, 2011).

58 Among the various aerosol constituents, black carbon (BC) is the second most important
59 anthropogenic climate-forcing agent (Ramanathan and Carmichael, 2008; Bond et al., 2013). The
60 averaged BC-DRE at the TOA ranges between $+0.08 \text{ W m}^{-2}$ and $+1.4 \text{ W m}^{-2}$ (Samset et al., 2013;
61 Ramanathan and Carmichael, 2008; Jacobson, 2001) with a best estimate of $+0.71 \text{ W m}^{-2}$ (Bond,
62 2013). Unlike CO_2 , which is distributed quite homogeneously in the atmosphere, BC affects the
63 climate with significant spatial variation and the magnitude of the BC radiative forcing are also
64 highly uncertain; therefore BC may affect the climate by both warming the atmosphere (in average
65 $+2.6 \text{ W m}^{-2}$ and instantaneously up to $+75 \text{ W m}^{-2}$) or cooling (“masking”) the surface (in average -
66 1.7 W m^{-2} and instantaneously down to -60 W m^{-2}) (Chakrabarty et al., 2012; Ramanathan and
67 Carmichael, 2008).

68 The wide range of reported BC-DRE values, reported in literature, is due to different reasons: the
69 complexity of aerosol chemistry (i.e. mixing state) (Ramana et al., 2010), the surface albedo
70 (Seinfeld and Pandis, 1998) and most important, the spatial heterogeneity of BC concentrations
71 (horizontal and vertical) due to its relatively short lifetime (weeks) when compared to CO_2 (Samset
72 et al., 2013; Cape et al., 2012). In particular, recent modeling of the BC-DRE (Samset et al., 2013;
73 Zarzycki and Bond, 2010) evidenced opposing DRE behaviors depending on the BC abundance
74 along the vertical profile. The resulting overall degree of uncertainty attributable to the assumptions
75 about the vertical distribution of BC was estimated to be in the range 20-50%.

76 This is very important as the vertical heterogeneity of BC, and therefore of its DRE, the thermal
77 structure of the atmosphere; in particular, heating rates may vary as a function of height in a range
78 from 0.5 to 2 K day^{-1} (Chakrabarty et al., 2012; Ramana et al., 2010; Tripathi et al., 2007). As a
79 result, different kinds of feedback can take place, such as those on clouds dynamics (Bond, 2013),
80 on regional circulation systems (i.e. monsoons) and on the Planetary Boundary Layer dynamics
81 (Ramanathan and Feng, 2009; Ramanathan and Carmichael, 2008).

82 Since it is likely that different regions have a different sensitivity to all of these processes (Bond
83 2013) and since modeling based on observational constraints of the BC vertical distribution are
84 particularly poor, there is the need to measure the BC vertical distribution on a regional scale: from
85 areas characterized by anthropogenic emissions at ground to areas characterized by long-range
86 transport phenomena (Corrigan et al., 2008; Ramana et al., 2007) where most of the uncertainty on
87 the BC-DRE comes from the region above 5km (Samset et al., 2013). It is clear that the BC
88 evolution in the first hundred meters above the planet surface, especially across the mixing layer, is

89 going to strongly affect the BC concentration in the upward atmospheric layers. In this respect,
90 measurements in the planetary boundary layer are important and can be conducted by tethered
91 balloons and unmanned aerial vehicles (Ferrero et al., 2012; Corrigan et al., 2008; Maletto et al.,
92 2003). However, up till now, BC profiles were globally scarce compared to ground-level data, even
93 if recently many measurements have been reported over the Asian region (Safai et al., 2012; Babu
94 et al., 2011; Ramana et al., 2010; Corrigan et al., 2008; Tripathi et al., 2007) and some over the
95 ocean (Schwarz, 2013; 2010). Conversely, there is a noticeable lack of BC profile measurements
96 over Europe, where reported campaigns were limited in time and/or in space (McMeeking et al.,
97 2010; Schwarz et al., 2006); even in Italy, the only measured vertical profiles of BC and absorption
98 coefficient are reported for a short campaign by Ferrero et al. (2011a).

99 Thus, there is a clear need to improve the knowledge about aerosol vertical profiles over Europe.
100 This is true especially over mountainous regions. The complex morphological structure of the
101 Italian landscape represents an interesting and significant case study for BC and aerosols
102 monitoring. The Italian territory is characterized by a multitude of basin valleys surrounded by hills
103 or mountains, where urban and industrial centers are usually settled. These valleys represent areas
104 where low wind speeds and conditions of atmospheric stability are common, thus promoting the
105 formation of strong vertical aerosol (and BC) gradients in the lower troposphere (Moroni et al.,
106 2013; Moroni et al., 2012; Ferrero et al., 2011a; Carbone et al., 2010; Rodriguez et al., 2007).

107 This paper tries to fill a gap in measurement of vertical profiles. We report a comparative study of
108 BC and aerosol vertical profiles measured over three different Italian basin valleys (Terni Valley in
109 the Central Apennines; Po Valley, in Northern Italy; Passiria-Val Venosta Valleys in the Alps). An
110 extensive field campaign allowed collecting data for 120 vertical profiles in less than 45 days. A
111 calculation of DRE and heating rates profiles has been implemented, starting from the experimental
112 data. Finally, a modeling of possible feedbacks induced by BC gradients in the lower troposphere in
113 relationship to the mixing height (MH) dynamics has been performed.

114 In the next sections we briefly describe the sampling sites (section 2.1) and the vertical profile
115 measurements (section 2.2). BC and aerosol chemistry determination are explained in sections 2.2.1
116 and 2.2.2. The optical particle counter size-distribution correction and the optical properties
117 calculation using the Mie theory are described in section 2.3 and the radiative transfer in section
118 2.4. Results and discussion follow in section 3, with the conclusions in the final section 4.

119

120 **2 Experimental**

121 **2.1 Sampling sites**

122 Balloon soundings were carried out during winter 2010 over three Italian basin Valleys in the
123 following sites (Figure 1a):

- 124 1- Terni (TR, 42°33'58"N, 12°38'56"E, 122 m ASL), located in the Terni Valley in Central
125 Italy. The Terni Valley (~50 km²) is surrounded by mountains on three sides (NNE, SE and
126 SW) and hosts the medium-sized town of Terni, with the largest stainless-steel production
127 site in Europe and various other industries. In wintertime, wind speed is low and the aerosol
128 dispersion is limited with height. A full description of the site concerning the aerosol
129 properties (chemistry, sources and vertical profiles) is reported in Moroni et al. (2013, 2012)
130 and Ferrero et al. (2012). Within the present work, vertical aerosol and BC profiles were
131 measured over Terni from Jan 27th to Feb 4th for a total of 40 profiles.
- 132 2- Milan (MI, 45°31'19"N, 9°12'46"E, 136 m ASL), located in the Po Valley (~46000 km²,
133 Northern Italy) in the midst of an extensive conurbation that is one of the most industrialized
134 and heavily populated area in Europe. In the Po Valley stagnant conditions often occur
135 causing a marked seasonal variation of aerosol concentrations within the mixing layer.
136 Balloon soundings were conducted at the Torre Sarca sampling site within the Milano-
137 Bicocca University Campus in the northern side of Milan (the site is active from 2005 for
138 aerosol characterization both at ground-level and along vertical profiles). A full description
139 of the site and the related aerosol properties (vertical profiles, chemistry, sources, and
140 toxicity) are reported in Ferrero et al. (2010), in Perrone et al. (2013) and in Sangiorgi et al.
141 (2011). Within the framework of the 2010 winter campaign, vertical aerosol and BC profiles
142 were measured over Milan from Feb 12th to Feb 25th for a total of 36 profiles.
- 143 3- Merano (ME, 46°38'52"N, 11°10'13"E, 272 m ASL), located at the intersection of two main
144 Alpine Valleys: Val Venosta (E-W orientation) and Val Passiria (N-S orientation) allowing
145 the air masses to be transported both from Continental Europe (North) and from the Po
146 Valley (South). The sampling site was located in a rural area at the location of the local
147 authority background station for air quality monitoring of the local authorities ("Merano 2
148 station", APPA). A full description of the site and aerosol pollution in the surrounding
149 valleys are reported in Emili et al. (2010). Within the 2010 winter campaign, vertical aerosol

150 and BC profiles were measured over Merano from March 3th to March 13th for a total of 40
151 profiles.

152

153 **2.2 Vertical profile measurements**

154 Vertical BC and aerosol profile measurements were carried out over TR, MI and ME by means of a
155 helium-filled tethered balloon (diameter 4.5 m, volume 47.8 m³, payload 40 kg, Figure 1b)
156 equipped with an instrumental package consisting of: 1) the micro-Aethalometer microAeth[®] AE51
157 (Magee Scientific) to measure the BC concentrations and the absorption coefficient at 880 nm; 2)
158 an optical particle counter (OPC, 1.107 “*Environcheck*” Grimm, 31 class-sizes ranging from 0.25
159 μm to 32 μm) for the particle number size distribution determination; 3) a portable cascade
160 impactor (Sioutas equipped with Leland Legacy pump, SKC) to collect PM_{2.5} samples at different
161 heights (section 2.2.2); 4) a meteorological station (LSI-Lastem: pressure, temperature and relative
162 humidity).

163 An electric winch controlled the balloon ascent/descent rate which was set at 30.0 ± 0.1 m/min; a
164 measurement time resolution of 6 sec was chosen for each instrument, giving 3.0 meters of
165 measurement vertical resolution. The maximum height reached during each flight depended on
166 atmospheric conditions and the location; for the majority of profiles, the maximum height was
167 between 600 and 800 m AGL.

168 Vertical aerosol profiles allowed the determination of the mixing height (MH) by means of a
169 gradient method, as aerosols act as tracer of atmospheric plumes integrating the effects of turbulent
170 forces (thermal and mechanical) along height; the gradient method’s ability to infer the MH has yet
171 been assessed in previous works (Ferrero et al., 2011a, 2011b and 2010). The MH will be used to
172 calculate averaged aerosol and BC profiles for each site (section 3.2)

173

174 **2.2.1 Aethalometer data: Black Carbon and the related absorption coefficient**

175 BC and absorption coefficient profiles have been determined using the microAeth[®] AE51 (Magee
176 Scientific, 250 g, 117x66x38 mm³). The sample is continuously deposited on the filter and the
177 AE51 measures light attenuation (*ATN*) at 880 nm induced by BC collected on the PTFE-coated
178 borosilicate glass fiber filter (Fiberfilm[™] Filters, Pall Corporation) relative to a clean part of the
179 filter. *ATN* is calculated as:

$$180 \quad ATN=100*\ln(I_0/I) \quad (1)$$

181 where I_0 and I are the light intensities transmitted throughout a reference blank spot and the aerosol-
182 laden 3 mm diameter sample spot of the filter, respectively.

183 The attenuation coefficient of the filtered aerosol particles, b_{ATN} , is derived from ATN as follows
184 (Weingartner et al., 2003):

$$185 \quad b_{ATN} = \frac{A}{100Q} \frac{\Delta ATN}{\Delta t} \quad (2)$$

186 where ΔATN indicates the ATN variation during the time period Δt , A is the sample spot area
187 ($7.1 \cdot 10^{-6} \text{ m}^2$) and Q is the volumetric flow rate ($2.5 \cdot 10^{-6} \text{ m}^3 \text{ sec}^{-1}$). The aerosol absorption
188 coefficient, b_{abs} , is calculated as follows:

$$189 \quad b_{abs} = \frac{b_{ATN}}{C \cdot R(ATN)} \quad (3)$$

190 where C and $R(ATN)$ are the multiple scattering optical enhancement factor and the aerosol loading
191 factor, respectively. Briefly, the constant optical enhancement factor C compensates for the
192 enhanced optical path through the filter caused by multiple scattering induced by the filter fibers
193 themselves (Schmid et al., 2006; Arnott et al., 2005, Weingartner et al., 2003). For the AE51
194 microAeth[®] the parameter C is 2.05 ± 0.03 (at $\lambda = 880 \text{ nm}$) (Ferrero et al., 2011a). The parameter
195 $R(ATN)$ compensates for the nonlinearity – the loading effect due to reduction of the measurement
196 sensitivity due to the saturation caused by the collected sample on the filter. The compensation with
197 the parameter $R(ATN)$ is needed only when ATN becomes higher than 20 (Schmid et al., 2006;
198 Arnott et al., 2005; Weingartner et al., 2003). In this study, the experimental design allows us to
199 neglect the use of $R(ATN)$: all BC vertical profiles were conducted by changing the filter ticket
200 between profiles, thus resulting in ATN always lower than 20 as recommended by Weingartner et al.
201 (2003). It is necessary to underline that, to rightly estimate DRE profiles, multiple-wavelength
202 optical properties are needed (section 2.4); thus the b_{abs} derived from the micro-Aeth[®] AE51 at 880
203 nm was used in this study during the validation of the optical properties calculation to verify their
204 correct shaping in order to avoid the presence of compensatory effects along profiles. (section 2.3
205 and 3.2.1).

206 Finally, to determine the BC ambient concentration the apparent mass attenuation cross-section
207 ($\sigma_{ATN} = 12.5 \text{ m}^2 \text{ g}^{-1}$) is needed; it is defined for the BC collected on the PTFE-coated borosilicate
208 glass fiber filter and is provided by the manufacturer. The BC concentrations are determined as
209 follows:

210
$$BC = \frac{b_{ATN}}{\sigma_{ATN}} \quad (4)$$

211

212 2.2.2 Aerosol chemistry determination

213 The knowledge of aerosol chemical composition along height is fundamental to calculate the
214 aerosol refractive index along vertical profiles (section 2.3.1), which is the basis for the correction
215 of the OPC size-distribution (section 2.3.2), for the determination of aerosol optical properties and
216 DRE calculations (sections 2.3, 2.4, 3.2 and 3.3).

217 BC concentrations were measured with a micro-Aethalometer, as reported in the previous section
218 (2.2.1). Moreover, at ME site only, a 7- λ Aethalometer (AE31, Magee Scientific) was also present
219 at ground level, and recorded ground BC concentrations continuously during the campaign.

220 In order to complete the aerosol chemistry along profiles, PM_{2.5} samples were collected during
221 balloon flights at two different heights: ground-level (below the mixing height: BMH), and above
222 the mixing height (AMH: ~600 m AGL, depending on the local atmospheric conditions).

223 PM_{2.5} at ground level was sampled using the TECORA ECHO-PM gravimetric system (PM_{2.5}
224 sampling head, flow 2.3 m³ h⁻¹; STERLITECH Polycarbonate filters, Ø=47 mm), while at higher
225 altitudes it was sampled using a balloon-borne portable cascade impactor (Sioutas type with Leland
226 Legacy pump, SKC; 9 L min⁻¹; STERLITECH Polycarbonate filters, Ø=37 mm).

227 PM_{2.5} samples were analyzed to determine the water-soluble ionic fraction (Perrone et al., 2012).
228 Water-soluble ions were extracted by ultrapure water (Milli-Q) in an ultrasonic bath (20 minutes;
229 SOLTEC SONICA®). Cations (Na⁺, NH₄⁺, K⁺, Mg²⁺ and Ca²⁺) have been determined by a Dionex
230 ICS-90 (Ion Pac CS12A-5 μ m column, using methanesulfonic acid as eluent in an isocratic
231 concentration of 0.4 M at 0.5 mL min⁻¹). Anions (Cl⁻, NO₃⁻, SO₄²⁻), together with mono and
232 dicarboxylic acids (formiate, acetate, propionate, oxalate, malonate, succinate, glutarate), were
233 analysed by a Dionex ICS-2000 (Ion Pac AS11A-5 μ m column using KOH at 1.2 mL min⁻¹ with a
234 gradient elution between 1.0 mM and 28 mM).

235 The organic matter (OM) fraction was estimated from PM data (section 2.2.1) both BMH and AMH
236 considering the OM fraction derived from wintertime averaged data contained in previous works
237 (Perrone et al., 2012; Ferrero et al., 2011a). Moreover, for the purpose of the refractive index
238 estimation the OM was divided into: the water-soluble OM (WSOM) and the water-insoluble OM

239 (WINSOM). They were calculated using a WSOM/TC (TC = total carbon) coefficient of 0.33 for
 240 BMH data and of 0.61 for AMH data during wintertime as derived from data reported in Carbone et
 241 al. (2010).

242 Finally, since the determination of aerosol DRE requires the knowledge of the aerosol properties
 243 along the whole atmospheric column, the intrinsic limit due to the maximum height of balloon
 244 flights (800 m AGL) was overcome using a standard continental-average profile of aerosol
 245 chemistry, as defined by the OPAC model (Hess, 1998), for aerosol over 1 km.

246 Accordingly, three broad-range altitude layers were considered: BMH (from ground to MH), AMH
 247 (from MH to ~1 km), Free Troposphere (FT: 1-11 km).

248 The reliability of all the aforementioned assumptions will be discussed in section 3.2.1 through a
 249 careful validation of the results with AERONET (columnar validation) and Aethalometer data
 250 (validation along the profile).

251

252 **2.3 Aerosol Optical Properties**

253 Aerosol optical properties were calculated along vertical profiles using a Mie code (Bohren and
 254 Huffman, 1983) to subsequently evaluate the aerosol DRE (sections 2.4 and 3.4) that requires the
 255 extinction coefficient b_{ext} (the sum of scattering and absorption coefficients), the single scattering
 256 albedo (SSA) and the aerosol phase function (P) as input parameters.

257 For this purpose the scattering and absorption coefficients (b_{sca} and b_{abs}) were calculated from the
 258 integration of the corresponding scattering and absorption efficiencies (Q_{sca} and Q_{abs}) over the
 259 whole number-size distribution (Seinfeld and Pandis, 1998):

$$260 \quad b_{sca/abs} = \int_0^{D_p^{\max}} \frac{\pi D_p^2}{4} Q_{sca/abs}(m, x) n(D_p) dD_p \quad (5)$$

261 where m and x are the aerosol complex refractive index and the size parameter, respectively while,
 262 $n(D_p)$ represents the number-size distribution as a function of aerosol diameter (D_p).

263 From the knowledge of b_{sca} and b_{abs} profiles, the SSA along height was calculated:

$$264 \quad SSA = \frac{b_{sca}}{b_{sca} + b_{abs}} \quad (6)$$

265 Finally, the aerosol phase function P is defined as the normalization of the Mie scattering function
266 over the whole 4π spherical angle. For an aerosol characterized by a complex refractive index m and
267 a size parameter x , $P(\theta, x, m)$ is defined as follows (Crosbie and Davidson, 1985):

$$268 \quad P(\theta, x, m) = \frac{i(\theta, x, m)}{\frac{1}{2} \int_0^\pi i(\theta, x, m) \sin \theta d\theta} \quad (7)$$

269 where $i(\theta)$ is the Mie scattering function which, for unpolarized light, is the average between the
270 perpendicular and parallel components: $i_1(\theta)$ and $i_2(\theta)$ respectively.

271 The aerosol optical properties were calculated over five wavelengths (270, 441, 675, 880 and 3200
272 nm) in order to cover the solar spectrum (section 2.4); moreover, three of them (441, 675 and 880
273 nm) represent the main bands used in the AERONET network allowing the validation of the
274 methodology presented here (section 3.2).

275 It has to be noticed that the calculation of aerosol optical properties (equations 5-7) requires an
276 accurate knowledge of the aerosol refractive index, the aerosol size-distribution, the aerosol shape
277 and the aerosol mixing state.

278 As these basic aerosol properties (chemistry, size, shape, mixing state) can seriously affect the
279 optical properties calculation, in the following sections we discuss: 1) the assumptions used in the
280 calculations and their applicability (shape and mixing state; section 2.3.1), 2) the methodology
281 followed to calculate the aerosol refractive index (section 2.3.2) and 3) the aerosol size distribution
282 treatment starting from OPC data (section 2.3.3). In this respect, particular attention has been given
283 to the choice of the method for calculating the refractive index and to the introduction of
284 appropriate corrections to the aerosol number size distributions measured by the OPC.

285

286 2.3.1 Assumptions

287 The experimental package used for measuring vertical aerosol profiles allowed determining the
288 aerosol chemistry and the aerosol size distribution while no information about aerosol shape and
289 mixing state was available.

290 Consequently, aerosol particles were assumed as internally mixed and spherical. These two
291 assumptions are logically connected and based on previous observations conducted over the
292 investigated sites.

293 The first assumption (internal mixing) is related to the observation that optical properties were
 294 calculated along vertical profiles (within and above the mixing layer) and not in proximity of
 295 emission sources (i.e. close to a traffic line) thus giving the time for particles to age and promote an
 296 internal mixing. As a matter of fact, the aging along vertical profiles is reported in literature
 297 (McMeeking et al., 2011; Cahill et al., 2012; Morgan et al., 2010; Ferrero et al., 2012; Moroni et al.,
 298 2013) and it is also described in section 3.1.2. This behavior is also supported by several
 299 observations conducted in the past along vertical profiles (more than 300 profiles between 2005 and
 300 2008) over the investigated sites (MI and TR) reported in Ferrero et al. (2012) and in Moroni et al.
 301 (2013). During these experiments it was evidenced (through size distribution analysis, chemical
 302 speciation and SEM-EDS analysis) an increase of the mean size of aerosol with height that, along
 303 with the observed increase in secondary aerosol components (i.e. ammonium nitrate), sphericity,
 304 and correlation among fine aerosol particles, indicated the influence of recurrent aging dynamics;
 305 moreover, SEM data evidenced the internal mixing state of most of the collected particles.

306 These results support the use of the internal mixing scenario and, at the same time, the assumption
 307 of sphericity as reasonable for the context of this application.

308

309 2.3.2 Aerosol refractive index

310 The complex refractive index ($m=n+ik$) of aerosol was calculated considering a hybrid
 311 internal/external mixing scenario. The coarse ($D_p>1\mu\text{m}$) and fine ($D_p\leq 1\mu\text{m}$) particles were
 312 considered externally mixed, each one characterized by its proper value of m calculated using the
 313 internal mixing scenario (Ferrero et al., 2011a; section 2.3.1). Coarse particles ($D_p>1\mu\text{m}$) were
 314 assumed to be composed of dust (m of dust aerosol), while m for fine particles was calculated from
 315 the measured $\text{PM}_{2.5}$ chemical composition (sections 2.2.2 and 3.1) using the Bruggeman mixing rule
 316 (or effective medium approximation: EMA) (Stier et al., 2007; Aspnes 1982; Heller, 1965;
 317 Bruggeman, 1935) which does not consider a simple coated sphere assumption but it is a part of
 318 more general mixing rule formulation resumed in Aspnes et al. (1982) as follows:

$$319 \frac{\varepsilon_{eff} - \varepsilon_h}{\varepsilon_{eff} + 2\varepsilon_h} = \sum_{i=1}^n f_i \frac{\varepsilon_i - \varepsilon_h}{\varepsilon_i + 2\varepsilon_h} \quad (8)$$

320 where ε_{eff} is the complex effective dielectric constant of the mixture ($m_{eff} \approx \sqrt{\varepsilon_{eff}}$) and ε_i and f_i are the
321 complex dielectric constant, and the volume fraction, respectively, of the i -th component,
322 respectively; finally, ε_h represents the dielectric function of the host medium.

323 Depending on the choice of host medium, equation 8 can originate three different mixing rules: 1)
324 Maxwell-Garnett (MG) if the host medium is one of the components ($\varepsilon_h = \varepsilon_i$) (Stier et al., 2007;
325 Schuster et al., 2005; Bohren and Huffman, 1983; Aspnes 1982; Heller, 1965) and in this case it is
326 possible to refer to the Maxwell-Garnett as coated sphere assumption; 2) Lorentz-Lorenz (LL) if the
327 host medium is the vacuum ($\varepsilon_h = 1$) (Liu and Daum, 2008; Aspnes 1982; Heller, 1965); 3)
328 Bruggeman (BR or EMA) if no choice of host medium is made, and inclusions are considered
329 embedded in the effective medium itself ($\varepsilon_h = \varepsilon_{eff}$) (Stier et al., 2007; Aspnes 1982; Heller, 1965,
330 Bruggeman, 1935). Stier et al. (2007) and Aspnes (1982) point out that the EMA overcomes the
331 dilemma of the choice of host medium among the various aerosol components. From this point of
332 view, the EMA considers all the possible positions of each aerosol component (BC, dust, water
333 soluble materials...) respect to the others. Thus, it allows simulating the real complexity of aerosols
334 and it is suitable for use in calculating the aerosol m_{eff} . For this reason, the EMA does not consider a
335 simple coated sphere assumption and implies that the left part of the aforementioned equation
336 vanishes giving the equation 9 reported here below:

$$337 \sum_{i=1}^n f_i \frac{\varepsilon_i - \varepsilon_{eff}}{\varepsilon_i + 2\varepsilon_{eff}} = 0 \quad (9)$$

338 As the EMA simulates the real complexity of aerosols (considering all possible positions of each
339 component in an aerosol particle), it avoids the risk of overestimating the imaginary part (k) of m ,
340 thus reducing the uncertainties, as instead happens using both the linear volume-average and the
341 linear mass-average mixing rules in the presence of highly absorbing inclusions (i.e. BC) in a non-
342 absorbing medium (i.e. NH_4NO_3 and $(\text{NH}_4)_2\text{SO}_4$) (Stier et al., 2007; Lesins et al., 2002; Chýlek et
343 al., 1995).

344 Thus, vertical profiles of aerosol refractive index were calculated from the aerosol chemical
345 composition along height using the EMA; in this respect the missing mass was assigned equally to
346 both water and dust (Ferrero et al., 2011a) since it has been shown that a certain amount of water is
347 bound to particles (Subramanian et al., 2007; Hueglin et al., 2005; Rees et al., 2004), and also that
348 this amount is comparable to dust in winter (Hueglin et al., 2005; Rees et al., 2004). Densities (ρ) of

349 pure compounds were used to estimate the volume fraction of each aerosol component (Fierz-
350 Schmidhauser et al., 2010; Pesava et al., 2001; Chazette and Liousse, 2001; Heller, 1965).

351 A detailed description of refractive indexes and densities of pure aerosol components used in the
352 calculation, and yet successfully applied along vertical profiles, is reported in Ferrero et al. (2011a).
353 The density and refractive index values were carefully chosen from the literature (especially for
354 BC) considering only state-of-the-art values; here we summarize these choices. Refractive indexes
355 as a function of λ for water-soluble components, water-insoluble components and dust were that
356 reported by Hess (1998) while, the chosen value for the BC refractive index was that suggested in
357 Bond and Bergstrom (2006): $1.85+i0.71$. However, the latter value is referred to 550 nm only and
358 thus, the wavelength dependence of BC refractive index reported in Ackerman and Toon (1981),
359 and yet successfully used in Ferrero et al. (2011a), was applied to the Bond and Bergstrom (2006)
360 value in order to calculate the aerosol optical properties over the whole solar spectrum. The density
361 (ρ) values were: 1.75, 1.45, 1.45 and 2.6 g cm^{-3} for ionic components, WSOM, WINSOM and dust,
362 respectively. These values lie at the mid-point of published data reported in Ferrero et al. (2011a)
363 and references therein.

364 Since the aforementioned choices, especially for what concern the BC density and refractive index
365 would affect the aerosol refractive index calculation, a sensitivity test was conducted varying the
366 density and refractive index of pure BC in input to the EMA. In particular, results obtained using
367 the density (1.8 g cm^{-3}) and the refractive index ($1.85+0.71i$) suggested in Bond and Bengstrom
368 (2006) were compared to: 1) those obtained with the density (1.0 g cm^{-3}) and the refractive index
369 ($1.75+0.44i$) used in the OPAC software (Hess et al., 1998), 2) those obtained with the density (2.0
370 g cm^{-3}) and the refractive index ($2+1i$) reported in Roessler et al. (1984). These two references are
371 of high importance as they report the lowest density (never observed) and one of the highest
372 imaginary part reported in literature, respectively. Results are discussed in section 3.2.1.

373 Finally, the aerosol refractive index was determined point by point along vertical profiles,
374 considering the hygroscopic growth of the aerosol:

$$375 \quad D_{wet} = D_{dry} \cdot \left(\frac{1-RH}{1-DRH} \right)^{-\varepsilon} \quad (10)$$

376 where RH is the ambient relative humidity, D_{dry} is the aerosol diameter at the Deliquescence
377 Relative Humidity (DRH) and D_{wet} is the aerosol diameter at ambient RH; ε is the coefficient
378 controlling the aerosol's hygroscopic growth. Since the ground-level chemical composition of dry

379 aerosol measured at TR, MI and ME (section 3.1.2) was similar to that reported in Randriamiarisoa
380 et al. (2006) and Raut and Chazette (2008), we set ε accordingly to 0.26.

381 DRH was estimated using the aerosol's chemical composition for each site along all the profiles as
382 input to the thermodynamic Aerosol Inorganic Model (E-AIM Model-II) (Clegg et al., 1998)
383 (<http://www.aim.env.uea.ac.uk/aim/aim.php>). This is a state-of-the-art aerosol thermodynamic
384 model for the H^+ - NH_4^+ - SO_4^{2-} - NO_3^- -carboxylic acids- H_2O composition of the aerosol (Zhang et al.,
385 2000). This model had been already used to accurately predict aerosol DRH (Ferrero et al., 2013;
386 Hueglin et al., 2005; Pathak, 2004). DRH values are discussed in section 3.1.2. From hygroscopic
387 growth, the EMA was applied point by point along height to calculate the final aerosol refractive
388 index every 3.0 m for each profile.

389 As the aforementioned choices (EMA, m and ρ for pure components, hygroscopic growth) can
390 seriously affect the optical properties calculation, the calculated refractive index and the aerosol
391 optical properties were validated in detail, as presented in section 3.2.

392

393 2.3.3 Aerosol size distribution

394 The determination of aerosol optical properties requires an accurate knowledge of the aerosol size-
395 distribution.

396 In this study, the aerosol size-distribution was measured using the OPC Grimm 1.107 ($\lambda=655$ nm)
397 that classifies the aerosol particles in terms of optical equivalent diameter which is, as defined by
398 Howell et al. (2006), “the diameter of a sphere of known refractive index (that of polystyrene latex
399 spheres of calibration) that scatters light as efficiently as the real particle in question”. This effect
400 originates the “undersizing” problem, which occurs due to the OPC calibration with polystyrene
401 latex spheres (PLS, $m=1.58$ at 655 nm; Ma et al., 2003) whose refractive index has usually a larger
402 real part compared to ambient aerosol (section 3.2.1) (Guyon et al., 2003; Liu and Daum, 2008;
403 Schumann, 1990). Moreover the OPC has a PLS equivalent size range between 0.25 μm and 32 μm ,
404 which originates a “truncation effect”. Thus, both the “undersizing” and the “truncation effect” need
405 to be compensated to calculate the aerosol optical properties.

406 The “undersizing” was solved correcting the OPC size channels to account for the ambient aerosol
407 refractive index m ; the OPC response function (S : the partial light scattering cross section of the

408 particle related to the specific optical design of the OPC) was computed at 655 nm as follows
409 (Baron and Willeke, 2005; Heyder and Gebhart, 1979):

$$410 \quad S(\theta_0, \Delta\Omega, x, m) = \frac{\lambda^2}{4\pi^2} \iint_{\Delta\Omega} i(\theta, \phi, x, m) \sin\theta d\theta d\phi \quad (11)$$

411 where θ_0 represents the mean scattering angle of the optical arrangement, $\Delta\Omega$ the receiver aperture,
412 x the dimensionless size parameter, m the refractive index and $i(\theta, \phi, x, m)$ the Mie scattering function
413 composed by the perpendicular and parallel components: $i_1(\theta, x, m)$ and $i_2(\theta, x, m)$ respectively. The
414 optical arrangement of the OPC 1.107 consists of: 1) a wide angle parabolic mirror (121°, from
415 29.5° to 150.5°, $\theta_0=90^\circ$) that focuses scattered light on the photodetector located on the opposite
416 side; 2) 18° of direct collected scattered light on the photodetector (from 81° to 99°, $\theta_0=90^\circ$) (Heim
417 et al., 2008).

418 The response function was calculated both for PLS (S_{PLS}) and for ambient aerosol (S_{AMB}) along
419 each vertical profile, within and above the mixing layer. The refractive indexes of ambient aerosol
420 used in S_{AMB} calculations were calculated as reported in section 2.3.1: 1) m for fine particles
421 calculated applying the EMA (section 2.3.1) and 2) m of dust for coarse particles. Table 1 shows the
422 columnar means of the new size corrected channels for TR, MI and ME: results agreed with
423 literature studies (Ferrero et al., 2011a; Liu and Daum, 2008; Schumann, 1990).

424 The second effect (“truncation effect”) made the accumulation mode only partially measured
425 (lowest equivalent PLS size of OPC: 0.25 μm) while, the coarse mode was completely defined. No
426 measurements were available for Aitken mode particles ($D_p < 0.1 \mu\text{m}$). Even if a negligible error
427 (~2-4%) comes from not considering the Aitken mode in the optical properties calculation (Bond
428 and Bergstrom, 2006; Guyon et al., 2003; Liu and Daum, 2008; Randriamiarisoa et al., 2006), the
429 “truncation effect” in the accumulation mode cannot be neglected and has to be solved.

430 For this purpose, a log-normal interpolation of the aerosol number-size distribution was conducted
431 for each OPC data measured along vertical profiles to complete the aerosol size distribution
432 function $n(D_p)$. This procedure has to be conducted only after the correction of the OPC size
433 channels (eq. 10) and had been already successfully applied in Ferrero et al. (2011a), Deshler et al.
434 (2003) and Angelini et al. (2009).

435 As the aerosol optical properties are closely dependent on the reliability of the aerosol number-size
436 distribution, the main parameters describing the aerosol size distribution (geometric mean diameter
437 and geometric standard deviation), together with the aerosol optical properties, validated with care,

438 as explained in section 3.2, while, sensitivity tests related to the size distribution correction and
439 interpolation are reported and discussed in Ferrero et al. (2011a) and were not repeated here.

440 **2.4 Radiative transfer and heating rate calculations**

441 Aerosol scattering and absorption of solar radiation modify the intensity and spectral distribution of
442 surface incoming solar radiation. Thus, aerosol DRE can be estimated by means of radiative transfer
443 model (RTM) simulations. In this work the RTM libRadtran (Mayer and Kylling, 2005) was used at
444 this purpose, adopting as radiative transfer equation solver the discrete ordinate code disort
445 (Stamnes et al., 1988) with 18 streams. The correlated-k approach of Kato (Kato et al., 1999) was
446 used to compute the atmospheric spectral transmittance taking into account the absorption
447 coefficients of different gases.

448 RTM simulations were performed in cloud-free conditions (as the vertical profile measurements) at
449 the three measurement sites (TR, MI and ME) every 15 minutes intervals for each day of the
450 measurement campaign, from 11:00 UTC to 13:45 UTC. The average was computed in order to
451 obtain an estimate of the maximum radiative forcing of aerosol, which is generally observed around
452 noon.

453 Three main inputs were used in the RTM simulations, namely: 1) aerosol optical properties along
454 vertical profiles; 2) atmospheric profiles of gases and meteorological parameters and 3) surface
455 albedo.

456 The vertical profiles of aerosol optical properties (b_{ext} , SSA, $P(\theta)$) that were used as input for the
457 RTM were the ones calculated over TR, MI and ME as reported in the previous section (2.3).

458 Atmospheric profiles of pressure, temperature, air density, ozone, oxygen, water vapor, CO₂ and
459 NO₂ concentrations were defined by using the standard atmospheric data as defined by Anderson et
460 al., 1986, for *Midlatitude Winter*. The vertical resolution of these profiles was 1 km from 0 to 25 km
461 AMSL, 2.5 km from 25 to 50 km AMSL, and 5 km from 50 to 120 km AMSL.

462 Finally, surface albedo values used for the simulation derive from retrievals from the MODerate
463 Resolution Imaging Spectroradiometer (MODIS) V005 Climate Modeling Grid (CMG) Albedo
464 Product (MCD43C3). For each site, the average value at the nearest pixel during the measurement
465 campaign was adopted.

466 The instantaneous aerosol DRE (W m^{-2}) was quantified as the change in the net radiative flux
467 between the atmospheric conditions with and without the aerosols in the atmosphere as follows:

$$468 \quad \text{DRE}_z = F_{aer,z} - F_{w/o-aer,z} \quad (12)$$

469 where F is the radiative flux, and the subscripts aer,z and $w/o-aer,z$ refers to the atmospheric
470 conditions with and without aerosol at the height z , respectively.

471 Since the atmospheric aerosol is characterized by a significant absorptive capacity (section 3.3) the
472 difference between the DRE at the top and the bottom of each atmospheric layer represents the
473 instantaneous radiative power density absorbed by the aerosol within that atmospheric layer
474 (ΔDRE_{ATM} ; W m^{-2}) as follows (Chakrabarty et al., 2012; Kedia et al., 2010):

$$475 \quad \Delta\text{DRE}_{ATM} = \text{DRE}_{z+\Delta z} - \text{DRE}_z \quad (13)$$

476 where Δz is the differential thickness within each atmospheric layer (each measuring point along
477 vertical profiles; section 2.1).

478 ΔDRE_{ATM} is expressed in W m^{-2} which is the common metric used in literature to quantify the
479 integrated radiative power density absorbed by the aerosol in the atmospheric layer; this situation
480 occurs as usually the aerosol absorption in the atmosphere is evaluated over altitude thick layer of
481 the atmosphere and/or over the whole atmospheric column (Heald et al., 2014; IPCC, 2013; Bond et
482 al., 2013; Das et al., 2011; Kedia et al., 2010).

483 However, in order to study the Absorptive DRE (ADRE) of the aerosol in the atmosphere along
484 continuous high resolution vertical profiles, the aforementioned metric could be misleading as
485 absolute values of ΔDRE_{ATM} depend on the thickness of the layer Δz across which the DRE
486 difference is computed. To compare measurements taken at different sites with a different vertical
487 resolution, the ADRE was computed simply normalizing ΔDRE_{ATM} by the thickness Δz :

$$488 \quad \text{ADRE} = \frac{\Delta\text{DRE}_{ATM}}{\Delta z} \quad (14)$$

489 In this way, the ADRE represents the radiative power absorbed by the aerosol for unit volume of the
490 atmosphere (W m^{-3}) and allows describing continuous vertical profiles of atmospheric absorption
491 induced by aerosol even comparing data taken at different sites. Another illustration of the ADRE
492 meaning is that it is the vertical derivative of the DRE ($d\text{DRE}/dz$) as follows from Eq. 14.

493 Moreover, the ADRE can be directly related to the atmospheric heating rate (*HR*; see below). In
 494 fact, on the basis of the energy conservation principle, the absorbed radiant power must heat the
 495 atmospheric layer; the instantaneous *HR* is conventionally given by (Chakrabarty et al., 2012; Kedia
 496 et al., 2010):

$$497 \quad HR = \frac{\partial T}{\partial t} = - \frac{g}{C_p} \frac{\Delta DRE_{ATM}}{\Delta P} \quad (15)$$

498 where $\partial T/\partial t$ represents the instantaneous *HR* (K day⁻¹) of each atmospheric layer, *g* is the
 499 acceleration due to gravity, *C_p* (1005 J kg⁻¹ K⁻¹) is the isobaric specific heat of dry air, ΔP is the
 500 pressure difference between the top and the bottom of each atmospheric layer. From eq. 15,
 501 considering the relationship between atmospheric pressure and height through the hydrostatic
 502 equation ($dp = -\rho g dz$) it is possible to relate the *HR* linearly to the ADRE in each atmospheric layer:

$$503 \quad HR = \frac{\partial T}{\partial t} = \frac{1}{\rho C_p} \frac{\Delta DRE_{ATM}}{\Delta z} = \frac{1}{\rho C_p} \cdot ADRE \quad (16)$$

504 The ADRE and *HR* behavior along vertical profiles is discussed in section 3.4.

505

506 **3 Results and discussion**

507 We measured the BC and aerosol vertical profiles to determine their direct radiative effect. The
 508 obtained results are discussed here in order to highlight first the BC vertical distribution in relation
 509 to the MH (sections 3.1). Then, vertical profiles of aerosol optical properties are validated in section
 510 3.2 and discussed in section 3.3. Finally, the aerosol DRE along height is presented together with
 511 considerations on possible feedbacks on lower troposphere (section 3.4). All averaged data are
 512 reported here as mean \pm mean standard deviation.

513

514 **3.1 Vertical profile measurements**

515 **3.1.1 Black Carbon and aerosol profiles**

516 The main features of BC and aerosol vertical distribution during the campaign can be highlighted
 517 considering, as a case study, the vertical profiles measured on 28th January 2010 (13:45-14:26 UTC)
 518 over TR; they are reported in Figure 2 together with the corresponding potential temperature (*T*)
 519 and RH profiles.

520 Figure 2 highlights that the BC and the total particle number concentrations were constrained close
521 to ground, within the first 170 m AGL, where a strong negative gradient of both of them was
522 present. At the same height an evident decrease of RH (-3.5%) and a small increase in T (+0.7 K)
523 were observed, allowing to set the MH at 170 m.

524 Thus, considering changes across the MH, the BC concentration decreased going from BMH to
525 AMH characteristics by $-60.4\pm 2.3\%$ (from $5.63\pm 0.16 \mu\text{g m}^{-3}$ to $2.23\pm 0.05 \mu\text{g m}^{-3}$ for BC) and the
526 aerosol concentration by $-35.4\pm 0.2\%$ (from $869\pm 2 \text{ cm}^{-3}$ to $562\pm 2 \text{ cm}^{-3}$ for aerosol). Consequently,
527 the vertical profiles reported in this case study (Figure 2) indicate that: 1) BC and aerosol
528 concentrations were shaped in the same way by atmospheric turbulence and constrained within the
529 MH; 2) BC experienced a higher concentration drop at the MH than the aerosol number
530 concentration did.

531 In order to better investigate these features, all the vertical profiles measured over the investigated
532 basin valleys (TR, MI and ME) were statistically averaged for each site.

533 As reported in previous works (Ferrero et al., 2011a, 2012), a way to average vertical profile data
534 by taking the daily evolution of the MH into account, is to consider the relative position of each
535 measured data point in respect to the MH. Thus, vertical profiles were first normalized, introducing
536 a standardized height (H_s) calculated as follows:

$$537 \quad H_s = \frac{z - MH}{MH} \quad (17)$$

538 where z is the height above ground. H_s assumes a value of 0 at the MH, and values of -1 and 1 at
539 ground-level and at twice the MH, respectively.

540 The MH was determined applying the gradient method (section 2.2) to each profile of aerosol
541 concentration (p-MH), T (T-MH) and RH (RH-MH). Figure 3 shows an excellent correlation
542 ($R^2 > 0.9$) with linear best fits close to the ideal ones; this result illustrates the reliability and physical
543 inter-consistence of the MH determination from different parameters. Therefore, for the purpose of
544 this work and for using of eq. 17, we are going to refer to the MH as that derived from the aerosol
545 concentration gradient (p-MH), hereinafter indicated simply as MH.

546 The average MH measured in the three sites was: 142 ± 22 m (TR), 272 ± 50 m (MI) and 173 ± 42 m
547 (ME). These values reflected a common meteorological situation for the Italian basin valleys,

548 characterized by conditions of high atmospheric stability. Reported MH values are also in
549 agreement with those previously reported for TR and MI in Ferrero et al. (2012).

550 Next the standardized vertical profiles were averaged. Figure 4 shows the resulting statistical mean
551 profiles calculated over TR, MI and ME, for both BC and aerosol number concentration; some
552 common behaviors can be observed among the three sites:

553 1. At the MH ($H_s=0$) a marked concentration drop of both BC and total aerosol concentration
554 was observed. Crossing the mixing height, BC concentrations decreased over TR by -
555 $69.1\pm 5.5\%$ (from $5.63\pm 0.55 \mu\text{g m}^{-3}$ to $1.67\pm 0.36 \mu\text{g m}^{-3}$), over MI by $-66.2\pm 7.8\%$ (from
556 $7.57\pm 1.28 \mu\text{g m}^{-3}$ to $2.03\pm 0.34 \mu\text{g m}^{-3}$) and over ME by $-48.4\pm 5.3\%$ (from $2.75\pm 0.38 \mu\text{g m}^{-3}$
557 to $1.35\pm 0.17 \mu\text{g m}^{-3}$). Aerosol concentrations (from BMH to AMH) behave similarly,
558 decreasing over TR by $-46.5\pm 7.3\%$ (from $792\pm 58 \text{ cm}^{-3}$ to $434\pm 71 \text{ cm}^{-3}$), over MI by -
559 $39.0\pm 7.3\%$ (from $913\pm 120 \text{ cm}^{-3}$ to $506\pm 50 \text{ cm}^{-3}$) and over ME by $-23.9\pm 4.3\%$ (from 427 ± 45
560 cm^{-3} to $326\pm 43 \text{ cm}^{-3}$), respectively. Thus, the experimental results evidenced the crucial role
561 played by the MH in shaping both the BC and aerosol profiles over basin-valleys. As a
562 result, elevated BC and aerosol loadings were present close to the ground. Another common
563 behavior appeared: the partial decrease of BC across the MH was always higher than the
564 decrease measured for the aerosol number concentration; consequently, the relative
565 abundance of BC in the aerosol decreased along height over the three sites. In fact,
566 considering not only the number concentration, but also the $\text{PM}_{2.5}$ mass concentration
567 profiles (estimated by the OPC) and the volume concentration profiles for particles below
568 $2.5 \mu\text{m}$ ($V_{2.5}$, calculated from OPC size distribution), the BC content in $\text{PM}_{2.5}$ ($V_{2.5}$)
569 decreased along height by $-43.2\pm 7.3\%$ ($-41.8\pm 7.5\%$), $-47.5\pm 7.9\%$ ($-45.8\pm 8.3\%$) and -
570 $33.2\pm 4.9\%$ ($-33.0\pm 5.4\%$) over TR, MI and ME, respectively, resulting in a vertical change
571 of the BC aerosol fraction (see also section 3.1.2). This is a general behavior measured over
572 basin valleys under atmospheric stagnant conditions and it is in agreement with BC
573 measurements previously conducted just over MI (Ferrero et al., 2011a). The importance of
574 this behavior is discussed in sections 3.2 and 3.3 as the BC fraction changes affect the
575 aerosol optical properties (i.e. SSA) and its DRE along height.

576 2. Within the mixing layer itself, higher BC concentrations were found close to the ground in
577 all the three sites (Figure 4). In particular this ground-level layer affected the first 50-100 m
578 of atmosphere with a BC concentration increase of $+34.2\%$ (TR), $+17.3\%$ (MI) and $+16.1\%$
579 (ME) compared to the average BC concentration measured BMH. This increase of BC

580 concentration near ground-level is related to the proximity to emission sources (traffic,
581 heating, industry) (Trompeter et al., 2013) and was observed for BC only and not for the
582 particle number distribution. This further strengthens the aforementioned observation of the
583 vertical changes in the BC aerosol fraction.

584 3. BC concentrations measured AMH were found quite similar over the three sites: 1.67 ± 0.36
585 $\mu\text{g m}^{-3}$ for TR, $2.03\pm 0.34 \mu\text{g m}^{-3}$ for MI and $1.35\pm 0.17 \mu\text{g m}^{-3}$ for ME (Figure 4), indicating
586 the presence of a relatively constant background BC concentration value not directly short
587 term influenced by the source dynamics at ground.

588 As reported in literature (Samset et al., 2013; Zarzycki and Bond, 2010), a worldwide lack of
589 knowledge about BC vertical distribution is generally present. Thus, the aforementioned results
590 were used in section 3.3 and 3.4 to assess the related vertical behavior of both aerosol optical
591 properties and aerosol DRE over basin valleys.

592

593 3.1.2 Aerosol chemistry along height and DRH

594 The optical properties calculation along vertical profiles (sections 2.3 and 3.2) requires the
595 knowledge of the whole aerosol chemical composition along height. Thus, in addition to BC
596 (section 3.2.1), the other chemical components (ions, OM; section 2.2.2) in $\text{PM}_{2.5}$ are discussed
597 here. Figure 5 shows the aerosol chemical composition over TR, MI and ME both BMH and AMH.

598 As highlighted in the previous section (3.2.1), Figure 5 evidences the decrease of BC fraction from
599 BMH ($8.4\pm 1.0\%$ over TR, $10.1\pm 2.3\%$ over MI and $8.6\pm 1.5\%$ over ME) to AMH ($4.5\pm 1.2\%$ over
600 TR, $5.3\pm 1.0\%$ over MI and $5.5\pm 1.4\%$ over ME).

601 Conversely, the OM fraction increased with height (from BMH to AMH): from $29.5\pm 3.6\%$ to
602 $32.4\pm 8.4\%$ over TR, from $35.6\pm 8.2\%$ to $44.1\pm 7.4\%$ over MI and from $30.4\pm 5.3\%$ to $44.2\pm 7.3\%$
603 over ME.

604 This difference in the vertical behavior of BC and OM is related first to the presence of primary
605 sources of BC within the mixing layer (Trompeter et al., 2013), but also to the possibility of greater
606 secondary aerosol formation AMH. This is in agreement with results previously reported over the
607 Po Valley (Ferrero et al., 2011a; Perrone et al., 2010) and also over Europe by many authors
608 (Morgan et al., 2009; Schneider et al., 2006; Hueglin et al., 2005), who all found a lower vertical
609 gradient for organic species.

610 Ionic species exhibited different behavior over the three sites. Over TR the whole ionic fraction
611 increased ~ 2 times going from BMH to AMH. The mass fraction of NO_3^- , SO_4^{2-} and NH_4^+ increased
612 from $4.2 \pm 1.8\%$, $3.7 \pm 2.6\%$ and $3.0 \pm 1.5\%$ (BMH) to $11.0 \pm 3.6\%$, $4.2 \pm 1.1\%$ and $4.3 \pm 1.4\%$ (AMH),
613 respectively. This is in agreement with recent studies (Moroni et al., 2013; Ferrero et al., 2012)
614 which point out the presence of an aerosol aging AMH due to both condensation and coagulation.

615 Over MI the opposite occurred and the ionic fraction globally decreased from $40.0 \pm 9.5\%$ (BMH) to
616 $30.2 \pm 7.2\%$ (AMH). This is also in agreement with previous vertical profiles conducted over MI
617 (Ferrero et al., 2010 and 2012) which instead underlined a higher role of the OM (see above) in the
618 aerosol aging AMH over the Po Valley.

619 Over ME the ionic fraction decreased with height (from $23.0 \pm 9.0\%$ BMH to $16.7 \pm 2.2\%$ AMH) as
620 in MI. However, while in MI and TR NO_3^- remained the most abundant species AMH, over ME
621 SO_4^{2-} dominated. This was due to the alternating influence of both continental aerosol (enriched in
622 SO_4^{2-}) and Po Valley aerosol, that were transported from both north and south, respectively, along
623 the direction of the ME main Valley (section 2.1).

624 All these aspects are crucial in determining the aerosol optical properties because, as reported in
625 Ramana et al. (2010), the ratio of BC to scattering species (i.e. SO_4^{2-}) influences the solar-
626 absorption efficiency. Moreover, the same scattering species (i.e. SO_4^{2-} and NO_3^-) also influence the
627 DRH at which aerosol water uptake starts, corresponding to a phase change for the aerosol water-
628 soluble compounds which dissociate going from solid to liquid (Martin, 2000; Seinfeld and Pandis,
629 1998; Potukuchi and Wexler, 1995). Therefore DRH is of crucial importance to assess the final
630 aerosol optical properties (Di Nicolantonio et al., 2009; Randriamiarisoa et al., 2006).

631 In this respect, the E-AIM Model II (section 2.3.1) was applied to the H^+ - NH_4^+ - SO_4^{2-} - NO_3^- -
632 carboxylic acids- H_2O composition of the aerosol to accurately predict the aerosol DRH for each site
633 both BMH and AMH. BMH values for TR, MI and ME were close each other: 64.5%, 67.0% and
634 65%, respectively. They were in agreement with values measured at ground level in MI by means of
635 an Aerosol Chamber (Ferrero et al., 2014).

636 Values of DRH AMH were 55% (TR), 62% (MI) and 67% (ME). The lowest and the highest values
637 were found over TR and ME due to an increase in the nitrate and sulfate fractions, respectively. As
638 already pointed out by Potukuchi and Wexler (1995), an increase in the nitrate (sulfate) fraction
639 leads to lower (higher) DRH.

640 All the results presented in this section were used to calculate the aerosol optical properties along
641 vertical profiles (section 3.2) following the methodology reported in section 2.3.

642

643 **3.2 Validation of aerosol optical properties**

644 The vertical profiles of aerosol optical properties were calculated as reported in section 2.3. In this
645 section, the accuracy of the calculated optical properties is discussed (sections 3.2.1 and 3.2.2)
646 before investigating their vertical behavior over the three sampling sites (section 3.3). This
647 discussion is necessary because the reliability of the radiative forcing simulations depends on the
648 robustness of the aerosol optical properties calculation.

649 Thus, the obtained aerosol optical properties underwent three validation procedures: 1) a columnar
650 comparison of a set of parameters (i.e. refractive index, SSA, AOD...) independently obtained by
651 the Aerosol Robotic Network (AERONET) at 441 nm, 657 nm and 880 nm (section 3.2.1); 2) a
652 detailed comparison along vertical profiles between the absorption coefficient measured by the
653 micro-Aeth[®] AE51 at 880 nm and the one which was calculated over TR, MI and ME at the same
654 wavelength (section 3.2.2) and 3) a comparison at ground-level of the absorption coefficient and the
655 Ångström exponent independently obtained using the 7-λ Aethalometer AE31 (section 3.2.2). This
656 triple validation guarantees the quality of both the columnar-averaged optical parameters and their
657 correct shaping along vertical profiles considering different “validation levels” along vertical
658 profiles: the columnar average, the single data points along vertical profiles and the ground level
659 values. This procedure was necessary to avoid the presence of compensatory effects along profiles
660 and to perform a right estimation of the radiation absorbed in each atmospheric layer (section 3.4).

661 In this respect, the comparison with AERONET allowed assuring the reliability of the columnar
662 data and had the advantage to be performed on several wavelengths. The comparison with the
663 micro-Aeth[®] AE51 data allowed to validate the correct shaping of the optical properties along
664 vertical profiles but it was limited to one wavelength (880 nm). The comparison with the 7-λ
665 Aethalometer AE31 allows validating one point of the profiles (ground-level) but on several
666 wavelengths.

667 Finally, in addition to the validation procedure applied here, the methodology followed to calculate
668 the aerosol optical properties was already validated and published in Ferrero et al. (2011a).

669

670 3.2.1 Columnar validation (comparison with AERONET)

671 The quality of the calculated aerosol optical properties was first evaluated along the atmospheric
672 column through a comparison of a set of parameters (i.e. refractive index, SSA, AOD...) independently
673 obtained by the Aerosol Robotic Network (AERONET) at 441 nm, 657 nm and 880
674 nm (the only overlapping wavelengths between this study and AERONET). Considering the
675 location of TR, MI and ME, only three AERONET stations were available for this comparison: the
676 Ispra site (45.80°N, 8.63°E, 235 m ASL, 57 km from MI site), the Davos site (46.81°N, 9.84°E,
677 1596 m ASL, 102 km and 157 km from ME and MI sites) and the Bolzano site (46.46°N, 11.33°E,
678 262 m ASL, 25 km from ME). Unfortunately, the data in Bolzano (during winter 2010) were
679 strongly affected by local dust deposited on the photometer lens (personal communication by the
680 AERONET-Bolzano principal investigator), thus, only the AERONET sites of Ispra and Davos
681 were considered. Their data were compared with those calculated for MI, which was the nearest
682 site. The Ispra site was used for comparison concerning the entire atmospheric column while the
683 Davos site (1596 m ASL) for the FT, because of its location at high altitude.

684 MI data were calculated on statistical mean profiles (section 3.1.1) and we accordingly used the
685 AERONET averaged values from Feb 12th to Feb 25th as a reference in order to assess the accuracy
686 of our optical estimations. It is necessary to underline that, despite the averaging period is the same,
687 the instantaneous values measured by balloon sounding and by AERONET could not have been
688 coincident and this temporal difference may cause small differences during the comparison.

689 We start the comparison considering the whole atmospheric column; results are summarized in
690 Table 2. The average columnar refractive indexes calculated in this study were
691 $1.501(\pm 0.003) + i0.032(\pm 0.003)$ at 441 nm, $1.500(\pm 0.004) + i0.030(\pm 0.003)$ at 675 nm and
692 $1.494(\pm 0.004) + i0.030(\pm 0.003)$ at 880 nm. They were in agreement with the AERONET-Ispra
693 estimations: $1.415(\pm 0.047) + i0.032(\pm 0.009)$ at 441 nm, $1.418(\pm 0.046) + i0.029(\pm 0.006)$ at 675 nm
694 and $1.425(\pm 0.044) + i0.030(\pm 0.007)$ at 870 nm. A slight overestimation of the real part ($+5.6 \pm 0.4\%$)
695 was present while the imaginary part was identical to the measured one.

696 Instead, results from the sensitivity test (see section 2.3.2) conducted using the density (1.0 g cm^{-3})
697 and the refractive index ($1.75 + 0.44i$) of the OPAC software (Hess et al., 1998) and the density (2.0
698 g cm^{-3}) and the refractive index ($2 + 1i$) reported in Roessler et al. (1984) highlighted a substantial
699 equivalence of the three sets of input parameters in determining the real part of the aerosol
700 refractive index while, the imaginary part experienced an average increase of $+23.5 \pm 3.1\%$ and

701 +22.2±4.6% (all wavelengths), with respect to AERONET data, respectively. This effect was due to
702 the exceedingly low density of the OPAC data (never measured) and to the too high (one of the
703 highest in literature) imaginary part of pure BC reported in Roessler et al. (1984).

704 The comparison with AERONET validate the use of BC density and refractive index reported in
705 Bond and Bengstrom (2006) in input to the EMA together with the methodology used for the
706 aerosol refractive index calculation (EMA, m and ρ for pure components, hygroscopic growth)
707 (section 2.3.1). The validation of the refractive index m is crucial as it is at the basis of the optical
708 calculation both during the OPC size distribution correction and during the Mie calculation (in
709 which the corrected size distribution is itself an important input parameter).

710 Thus, as a second control, the corrected and interpolated aerosol size distribution was compared
711 with that retrieved by AERONET-Ispra: the calculated accumulation mode geometric mean
712 diameter (D_g : 0.204±0.010 μm) and the geometric standard deviation (σ_g : 1.560±0.060) agreed very
713 well with AERONET-Ispra (D_g : 0.206±0.016 μm , σ_g : 1.552±0.045; Table 2) allowing, together with
714 the aforementioned validation of m , to accurately estimate the profiles of optical properties using
715 the Mie theory.

716 The third step was to consider the calculated optical properties along vertical profiles. The
717 calculated SSA (0.857±0.013 at 441 nm, 0.846±0.011 at 675 nm and 0.812±0.012 at 880 nm) was
718 found to be +8.0±1.2% higher than the one derived from AERONET-Ispra (0.812±0.028 at 441 nm,
719 0.778±0.028 at 675 nm and 0.740±0.034 at 870 nm), similarly for the Aerosol Optical Depth (AOD ;
720 Table 2). The absorption Optical Depth (AOD_{Abs} ; Table 2) was instead very close to the
721 AERONET-Ispra values. The values of SSA , AOD and AOD_{Abs} are consistent with the slight
722 overestimation of the real part of the refractive index.

723 From the wavelength dependence of AOD and AOD_{Abs} the corresponding columnar Ångström
724 exponents were calculated: 1.56 and 1.24; they were close to the AERONET-Ispra estimation:
725 1.49±0.12 and 1.02±0.07 respectively.

726 As a further step, the phase function $P(\theta)$ was also validated (as it is required for the aerosol DRE
727 calculation; section 2.4) by comparing it with that estimated by AERONET-Ispra. Figure 6a shows
728 this comparison for $\lambda=675$ nm; furthermore a high correlation was found at all the wavelengths
729 ($R^2=0.988$).

730 Finally, the FT properties were also investigated comparing data above 1 km (derived as reported in
731 section 2.2.2), with AERONET-Davos data.

732 Table 3 resumes the results of this comparison underlying that the FT properties were in agreement
733 with the AERONET-Davos measurements, especially for what concern the AOD_{Abs} ; the small
734 differences encountered for other parameters (i.e. a slight overestimation of n : $+2.8\pm 0.9\%$) were
735 considered negligible.

736 In conclusion, after this comparison, the MI data were considered reliable as they were in good
737 agreement with the columnar AERONET Ispra and Davos data. This result is very important as it
738 validated the procedure used for the optical properties calculation (section 2.3) allowing its
739 application also along the vertical profiles measured over TR and ME as well.

740

741 3.2.2 Vertical profile validation (comparison with Aethalometer data)

742 In the previous section, a good agreement between the calculated optical properties and the
743 properties measured by the AERONET network was evidenced.

744 However, in order to calculate the aerosol DRE at high spatial resolution along vertical profiles
745 (section 2.4) not only a right estimation of the columnar-averaged parameters, but also a correct
746 shaping of them along vertical profiles is needed in order to avoid the presence of compensatory
747 effects along the profiles.

748 For this purpose, the b_{abs} measured by the micro-Aeth[®] AE51 at 880 nm was compared along
749 vertical profiles with that calculated over TR, MI and ME for the same wavelength (Figure 6b);
750 results showed an excellent agreement ($b_{abs_Mie}=1.001\times b_{abs_AE51}-0.157$, $R^2=0.996$, $RMSE=0.87$ Mm^{-1}
751 ¹⁾ validating the Mie calculation of the b_{abs} vertical profiles.

752 Moreover, measurements performed close to the ground by the micro-Aeth[®] AE51 at 880 nm in
753 ME were compared with that carried out at ground with the 7- λ Aethalometer AE31 (section 2.2).

754 Only AE31 data that were timely coincident with balloon profiles were considered. The averaged
755 BC and b_{abs} measured by the AE51 were 2.75 ± 0.38 $\mu g/m^3$ and 16.8 ± 2.3 Mm^{-1} in keeping with the
756 AE31 data: 2.74 ± 0.10 $\mu g/m^3$ and 18.7 ± 0.7 Mm^{-1} , respectively. Moreover, the 7- λ AE31
757 Aethalometer allowed the comparison of the ground-level absorption Ångström exponent
758 (1.43 ± 0.03) with that obtained by Mie calculation: 1.36 ± 0.01 .

759 These results conclude the validation procedure allowing discussing the behavior of both the
760 aerosol optical properties (section 3.3) and of the DRE profiles (section 3.4) over the three sites.

761

762 **3.3 Vertical profiles of aerosol optical properties**

763 The vertical behavior of aerosol optical properties was similar for all the investigated wavelengths;
764 thus, in this section, we report and discuss results at 675 nm, which represent the central wavelength
765 with respect to the wavelength range used for the DRE calculation (section 2.4). In this respect,
766 Figure 7 shows the vertical profiles of the aerosol optical properties (at 675 nm) over TR, MI and
767 ME; from this figure, it is possible to observe first the analogy with figure 4, where vertical profiles
768 of BC and aerosol concentration are reported. Thus, the observed behavior of optical properties is
769 here discussed addressing the vertical changes of the aerosol physicochemical properties reported in
770 section 3.1.

771 The most evident behavior of aerosol optical properties is related to the observed changes across the
772 MH ($H_s=0$), where b_{sca} and b_{abs} decreased strongly going from BMH to AMH. On average b_{sca} and
773 b_{abs} decreased (from BMH to AMH) over TR by $-43.1\pm 3.0\%$ (from $157.6\pm 2.3 \text{ Mm}^{-1}$ to 89.7 ± 4.5
774 Mm^{-1} for b_{sca}) and by $-58.8\pm 4.5\%$ (from $45.3\pm 4.6 \text{ Mm}^{-1}$ to $18.7\pm 0.7 \text{ Mm}^{-1}$ for b_{abs}). Over MI their
775 decrease was of $-61.2\pm 3.1\%$ (from $253.3\pm 2.0 \text{ Mm}^{-1}$ to $98.2\pm 7.7 \text{ Mm}^{-1}$ for b_{sca}) and of $-71.3\pm 3.0\%$
776 (from $69.0\pm 4.0 \text{ Mm}^{-1}$ to $19.8\pm 1.7 \text{ Mm}^{-1}$ for b_{abs}) while over ME the decrease was of $-23.5\pm 0.8\%$
777 (from $85.1\pm 0.7 \text{ Mm}^{-1}$ to $65.1\pm 0.4 \text{ Mm}^{-1}$ for b_{sca}) and of $-47.6\pm 2.5\%$ (from $23.8\pm 1.0 \text{ Mm}^{-1}$ to
778 $12.4\pm 0.2 \text{ Mm}^{-1}$ for b_{abs}), respectively. Thus, in the lower troposphere the MH becomes also crucial
779 not only to understand the aerosol pollution, but also to shape the vertical behaviour of the aerosol
780 optical properties (section 3.1.1 and Figure 4). In this respect, the most important result that can be
781 observed from the previous findings is the higher (percentage) decrease of b_{abs} across the MH than
782 that of b_{sca} . As a consequence, the SSA increased along height (from BMH to AMH) over the three
783 sites by $+5.7\pm 2.0\%$ over TR (from 0.781 ± 0.014 to 0.825 ± 0.004), $+4.9\pm 2.2\%$ over MI (from
784 0.787 ± 0.010 to 0.826 ± 0.014) and by $+7.4\pm 1.0\%$ over ME (from 0.783 ± 0.007 to 0.840 ± 0.002). The
785 SSA increase with height, across the MH, is of a great importance as, first, it appears as a general
786 behavior over the three investigated sites and, second, this behavior affects the aerosol DRE and the
787 heating rate as it will be discussed in section 3.4. This result is in agreement with the decrease of the
788 aerosol BC content over the three sites, as highlighted in section 3.1.1, Figure 4 and Figure 5, where

789 it was evidenced that AMH the BC aerosol fraction decreased and a corresponding increase in
790 scattering species (i.e. ionic compounds) was present.

791 Figure 7 also highlighted that, BMH, the highest values of b_{sca} and b_{abs} were observed in MI,
792 followed by TR and then by ME, thus reflecting the pollution level over the three sites as described
793 in section 3.1.1. Moreover, the highest values of b_{abs} were found close to the ground (within the first
794 50-100 m), as happened for BC (compare Figure 4), resulting in an average increase of +44.9%
795 over TR, of +19.8% over MI and of +21.9% over ME, compared to the average b_{abs} values found
796 BMH. On the contrary, a similar behavior of b_{sca} was not observed. Consequently, the SSA reached
797 its minimum value close to ground decreasing (compared to the average found BMH) of -8.2% over
798 TR, of -3.8% over MI and of -4.7% over ME. This behavior increased again the heating rate effect
799 of aerosol in proximity of the ground (section 3.4). The influence of the aerosol physicochemical
800 properties on the optical ones was also highlighted by the coefficients of variation of BC, aerosol
801 concentration, b_{abs} , b_{sca} and b_{ext} (resumed in table S1; Supplementary Material) that showed a good
802 agreement among themselves for both BMH and AMH data.

803 Finally, as the DRE calculations (section 2.4) require the FT aerosol optical properties as input data
804 (the FT validation has been already discussed in section 3.2.1; Table 3), we report here their values.
805 b_{sca} and b_{abs} were $1.9\pm 0.9 \text{ Mm}^{-1}$ and $0.2\pm 0.1 \text{ Mm}^{-1}$, respectively; the FT SSA was 0.932 ± 0.003 ,
806 higher than the values AMH. This observation, expected due to the decreasing BC content with
807 height, highlighted again that the most absorptive aerosol was located in the lower atmospheric
808 layers, BMH and close to the ground.

809

810 **3.4 DRE and heating rate profiles**

811 As illustrated in the previous section, the aerosol optical properties drastically changed along height
812 over the three sites. They were used as input to calculate the vertical profiles of the instantaneous
813 aerosol DRE over TR, MI and ME at noon (section 2.4).

814 The vertical profiles of aerosol DRE are reported in Figure S1 (supplementary material). They
815 showed the high level of the dimming effect induced by the aerosol at the surface: the highest DRE
816 was observed over MI ($-69.3\pm 8.8 \text{ W m}^{-2}$) followed by TR ($-54.4\pm 7.7 \text{ W m}^{-2}$) and by ME (-40.7 ± 3.7
817 W m^{-2}). Results were in keeping with the aerosol and BC concentrations, and with the optical
818 properties reported in Figure 4 and in Figure 7, respectively. At the top of atmosphere, the DRE was

819 similar among the three sites: $-5.6\pm 0.7 \text{ W m}^{-2}$ (MI), $-6.3\pm 0.3 \text{ W m}^{-2}$ (TR) and $-6.1\pm 0.6 \text{ W m}^{-2}$ (ME).
820 The aforementioned data were in keeping with values reported in literature (Perrone and Bergamo,
821 2011; Saha et al., 2008; Chakrabarty et al., 2012).

822 These data indicated a net columnar cooling effect of the aerosol on the earth-atmospheric system.
823 However, the difference between the DRE at the top and the bottom of the atmosphere indicated
824 that a significant amount of energy was absorbed into the atmosphere thus heating it.

825 In order to quantify this phenomenon, the difference between the DRE at the top and the bottom of
826 each atmospheric layer (ΔDRE_{ATM}) was first calculated using eq. 13 (section 2.4) for each site and
827 broad-range altitude layers: BMH (from ground to MH), AMH (from MH to 1 km), FT (>1 km)
828 (compare section 2.2.2). Results are reported in Figure 8a; they highlighted a generally high level of
829 atmospheric absorption both BMH (ΔDRE_{ATM} : $11.9\pm 1.6 \text{ W m}^{-2}$, $28.1\pm 4.4 \text{ W m}^{-2}$ and $7.8\pm 0.9 \text{ W m}^{-2}$
830 over TR, MI and ME) and AMH (ΔDRE_{ATM} : $27.7\pm 6.1 \text{ W m}^{-2}$, $26.4\pm 4.5 \text{ W m}^{-2}$ and $18.2\pm 2.8 \text{ W m}^{-2}$
831 over TR, MI and ME) compared to the FT (ΔDRE_{ATM} : $8.5\pm 0.3 \text{ W m}^{-2}$, $9.2\pm 0.7 \text{ W m}^{-2}$ and $8.6\pm 0.6 \text{ W}$
832 m^{-2} over TR, MI and ME). As a result, the atmospheric absorption took place mainly within the first
833 km of the atmosphere (BMH+AMH), with a percentage (compared to the whole atmosphere) of:
834 82.4%, 85.5% and 75.1% over TR, MI and ME, respectively; the high aerosol pollution level below
835 and strictly above the MH (section 3.1) was responsible of this macroscopic behavior.

836 However, as stated in section 2.4, ΔDRE_{ATM} quantifies the integrated radiative power density
837 absorbed by the aerosol within each atmospheric layer. Therefore, the aforementioned ΔDRE_{ATM}
838 data did not allow comparing the atmospheric absorption both among the three sites and, within
839 each site, along the atmospheric column. The main reasons are the different MH found over TR, MI
840 and ME ($142\pm 22 \text{ m}$, $272\pm 50 \text{ m}$ and $173\pm 42 \text{ m}$, respectively; section 3.1.1) and the broader altitude
841 range of the FT compared to the BMH and AMH layers.

842 Thus, in order to describe and compare the vertical behavior of atmospheric absorption in different
843 situations, the Absorptive DRE (ADRE, section 2.4) was calculated accordingly to eq. 14
844 normalizing ΔDRE_{ATM} by the thickness of each layer; since the wintertime aerosol absorption was
845 mainly due to the presence of BC (compare section 3.3), the ADRE represented, in first
846 approximation, the atmospheric DRE induced by BC.

847 ADRE values for each site and broad-range altitude layers (BMH, AMH and FT) are reported in
848 Figure 8b. By excluding the effect of the layer thickness, it is now possible to realize that the most

849 intense atmospheric absorption was observed BMH, particularly over MI ($103.3\pm 16.2 \text{ mW m}^{-3}$)
850 followed by TR ($84.3\pm 11.5 \text{ mW m}^{-3}$) and by ME ($45.2\pm 5.1 \text{ mW m}^{-3}$); the same order was observed
851 considering AMH data: higher values were found over MI ($36.2\pm 6.2 \text{ mW m}^{-3}$) followed by TR
852 ($32.2\pm 7.1 \text{ mW m}^{-3}$) and ME ($22.0\pm 3.4 \text{ mW m}^{-3}$). Finally the FT experienced the lower absorption:
853 $0.9\pm 0.1 \text{ mW m}^{-3}$ over the three sites.

854 Interestingly, these data evidenced an average decrease of ADRE across the MH of $-64.9\pm 0.6\%$
855 over MI, of $-61.8\pm 3.2\%$ over TR and of $-51.3\pm 2.0\%$ over ME, in keeping with the vertical behavior
856 of both BC and b_{abs} (sections 3.1.1 and 3.3). Also the continuous ADRE vertical profiles (Figure 9)
857 are in agreement with data shown in Figure 4 and Figure 7. Thus, despite the absolute values, a
858 common feature occurred over MI, TR, and ME as a sharp decrease of the ADRE was observed at
859 the MH ($H_s=0$). Most of the ADRE occurred within the mixing layer, in agreement with the BC
860 pollution loading in basin valleys, especially over the most urbanized and industrialized sites of MI
861 and TR.

862 This behavior has an important feature, as the ADRE induces an instantaneous HR that was
863 computed following eq. 16 (section 2.4). The calculated HR values are reported in Figure 8c (for
864 BMH, AMH and FT). The highest degree of instantaneous heating rate was observed BMH: 7.7 ± 1.2
865 K day^{-1} over MI, $6.2\pm 0.8 \text{ K day}^{-1}$ over TR and $3.4\pm 0.4 \text{ K day}^{-1}$ over ME. Above the MH values
866 were lower, but the same order was observed: higher values were found over MI ($2.8\pm 0.5 \text{ K day}^{-1}$)
867 followed by TR ($2.5\pm 0.5 \text{ K day}^{-1}$) and by ME ($1.7\pm 0.3 \text{ K day}^{-1}$). Finally in the FT the HR was the
868 lowest over the three sites: $0.1\pm 1\cdot 10^{-2} \text{ K day}^{-1}$.

869 These results are very important, as in literature vertical profiles of HR are few and usually only the
870 average columnar HR is estimated. For example, Chakrabarty et al. (2012) estimated a columnar
871 average HR of $\sim 2 \text{ K day}^{-1}$ considering a Δp of 300 hPa; as a comparison, using the same approach
872 over TR, MI and ME, the average columnar HR was $\sim 1\text{-}2 \text{ K day}^{-1}$ in agreement with that study.

873 However, the estimation of the average columnar HR could be misleading as it does not give any
874 information regarding where the HR is located and which feedbacks can trigger.

875 In the present work, due to the high vertical resolution of balloon soundings, a step forward can be
876 done as the heating dynamics across the MH was well characterized. In this respect, continuous HR
877 profiles are reported in Figure 10 and showed (together with Figure 8c) that most of the HR
878 occurred within the mixing layer and that a strong vertical gradient was present at the MH, in
879 agreement with ADRE (Figure 8b and Figure 9). This happened over TR, MI and ME, pointing

880 towards a common behavior over basin valleys, where stagnant atmospheric conditions are present
881 (section 3.1.1 and Figure 2).

882 As the vertical behavior of the *HR* can trigger different feedbacks able to promote the aerosol semi-
883 direct effect (IPCC, 2013), it is interesting to note (Figure 10) that the highest *HR* values were
884 observed BMH and that they could turn into a weakening of the ground-based thermal inversion,
885 which are common in basin valleys (Ferrero et al., 2012).

886 Consequently, it would be helpful to “describe” the vertical behavior of the *HR* in terms of the
887 potential feedbacks that it could promote using a simple parameter. Here we propose the use of the
888 heating rate vertical gradient ($\text{K day}^{-1} \text{ km}^{-1}$) as a proxy of the feedback potential (*HERFPO*:
889 HEating Rate Feedback POtential). The *HERFPO* represents the atmospheric thermal lapse rate that
890 would take place if the forcing induced by the instantaneous *HR* profile would last all day.

891 Thus, the *HERFPO* was calculated along the *HR* profiles (from the bottom to the top) over each
892 measuring site obtaining the following values: $-8.3 \pm 1.2 \text{ K day}^{-1} \text{ km}^{-1}$ over MI, $-6.1 \pm 0.5 \text{ K day}^{-1} \text{ km}^{-1}$
893 over TR and $-2.6 \pm 0.2 \text{ K day}^{-1} \text{ km}^{-1}$ over ME.

894 The comparison of these data with the atmospheric dry adiabatic lapse rate (-10 K km^{-1}) suggested
895 that the vertical *HR* had really the potential to result in a negative feedback promoting an increase
896 of the atmospheric dispersal conditions, by weakening the ground-based thermal inversions,
897 especially over MI and TR. However, it is of great importance to consider that *HERFPO* refers only
898 the to the behavior of the atmosphere. Hence, in order to fully understand the feedback induced by
899 the aerosol and BC on the atmospheric turbulence, the energy fluxes between ground and the
900 atmosphere have also to be considered. In this respect, the high level of dimming induced by
901 aerosol at the surface (as shown at the beginning of this section) could balance the atmospheric
902 effect of *HERFPO*.

903 In conclusion, in the context of future applications of this concept and of the aforementioned
904 results, the role of each parameter in determining atmospheric feedbacks, as well as their net effect,
905 has to be considered and investigated.

906

907 **4 Conclusions**

908 Vertical profiles of BC and aerosol number-size distribution were measured over three Italian basin
909 valleys (Terni Valley, Po Valley and Passiria Valley) allowing the characterization of the aerosol

910 and BC dispersion under similar orographic conditions. Changes of BC concentrations and of
911 aerosol BC fraction as a function of the height were related with variations of aerosol optical
912 properties, radiative forcing and heating rate.

913 Measurements were conducted during three week-long wintertime campaigns. The aerosol vertical
914 profiles have been determined by means of a tethered balloon-based moving platform (fitted with a
915 micro-Aethalometer, an OPC, a cascade impactor and a meteorological station) while the aerosol
916 chemical composition was determined analyzing PM_{2.5} samples collected at different heights.

917 Results from the measured vertical profiles allowed to clearly identify the mixing height (MH),
918 which was characterized by a strong vertical concentration gradient of both BC (range: from -
919 48.4±5.3% to -69.1±5.5%) and aerosol (range: from -23.9±4.3% to -46.5±7.3%). Above the MH,
920 not only the BC concentration, but also its aerosol fraction decreased (range: from -33.2±4.9% to -
921 47.5±7.9%) while a shallow BC layer of higher concentrations (range: from +16.1% to +34.2%)
922 was found close to the ground, in the first 50-100 m of the atmosphere, due to the proximity of BC
923 sources.

924 These behaviors caused important changes of the optical properties of the aerosol (b_{abs} , b_{sca} , b_{ext} ,
925 SSA) at different heights that were quantified applying Mie theory to aerosol data. Before this step,
926 the aerosol refractive index was calculated using the effective medium approximation applied to
927 aerosol chemical composition and the OPC number-size distribution was corrected for the ambient
928 aerosol refractive index and log-normally interpolated. Results were validated with AERONET data
929 and evidenced an increase of the Single Scattering Albedo with height (range: from +4.9±2.2% to
930 +7.4±1.0%).

931 The effect of optical properties changes with height was assessed using a radiative transfer model
932 (libRadtran) from which vertical profiles of direct aerosol radiative forcing, atmospheric absorption
933 and heating rate were calculated. In order to study the radiative power absorbed into the atmosphere
934 along continuous vertical profiles, a new parameter, the Absorptive Direct Radiative Effect (ADRE)
935 was developed normalizing the radiative power density absorbed into each atmospheric layer by the
936 layer height. The highest atmospheric absorption (ADRE) was observed below the mixing height
937 (range: from +45.2±5.1 mW m⁻³ to +103.3±16.2 mW m⁻³) influencing the heating rate profile.
938 Hence, the heating rate vertical gradient (K day⁻¹ km⁻¹) was investigated allowing to estimate the
939 HEating Rate Feedback Potential (*HERFPO*). The *HERFPO* ranged from -2.6±0.2 K day⁻¹ km⁻¹ to -
940 8.3±1.2 K day⁻¹ km⁻¹). Thus, the behavior of BC loaded below the MH promoted a negative
941 feedback favorable to the increase of the atmospheric dispersal conditions.

942 The obtained results were similar over the three sites and pointed towards a common aerosol
943 dynamics over basin valleys characterized by comparable atmospheric stagnant conditions. These
944 data represent the first high resolution vertical profile of aerosol radiative forcing and heating rate
945 obtained over Italy and Europe and allowed to describe with a great vertical detail the radiative
946 forcing induced by BC (and aerosol) in the lower troposphere, across the mixing layer and within it,
947 where the anthroposphere is located.

948

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955 respectively.

956

957 **Appendix A: Acronyms**

958 ADRE: Absorptive Direct Radiative Effect

959 AERONET: Aerosol Robotic Network

960 AGL: Above Ground Level

961 AMH: Above Mixing Height

962 ASL: Above Sea Level

963 ATN: light attenuation

964 BC: Black Carbon

965 BMH: Below Mixing Height

966 BR: Bruggeman

967 CMG: Climate Modeling Grid

968 DRE: Direct Radiative Effect

969 DRH: Deliquescence Relative Humidity

970 EMA: Effective Medium Approximation

971 FT: Free Troposphere

972 HERFPO: HEating Rate Feedback POtential

973 HR: Heating Rate

974 LL: Lorentz-Lorenz
975 ME: Merano
976 MG: Maxwell-Garnett
977 MH: Mixing Height
978 MI: Milan
979 MODIS: MODERate Resolution Imaging Spectroradiometer
980 OM: Organic Matter
981 OPC: Optical Particle Counter
982 PLS: Polystyrene Latex Spheres
983 RH: Relative Humidity
984 RTM: Radiative Transfer Model
985 SSA: Single Scattering Albedo
986 T: Temperature
987 TOA: Top Of Atmosphere
988 TR: Terni
989 WINSOM: Water INSoluble OM
990 WSOM: Water Soluble OM

991

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Instrumental size		Ambient size (μm)		
OPC Channel	PLS (μm)	Terni	Milano	Merano
1	0.25	0.27	0.27	0.26
2	0.28	0.30	0.30	0.30
3	0.30	0.32	0.33	0.32
4	0.35	0.38	0.39	0.38
5	0.40	0.46	0.47	0.45
6	0.45	0.52	0.53	0.52
7	0.50	0.56	0.58	0.56
8	0.58	0.75	0.79	0.75
9	0.65	0.80	0.91	0.81
10	0.70	0.90	0.94	0.90
11	0.80	1.00	1.06	1.00
12	1.00	1.35	1.35	1.33
13	1.30	1.78	1.78	1.78
14	1.60	2.14	2.14	2.14
15	2.00	2.40	2.40	2.40
16	2.50	2.82	2.82	2.82
17	3.00	3.67	3.67	3.67
18	3.50	4.62	4.62	4.62
19	4.00	5.01	5.01	5.01
20	5.00	5.89	5.89	5.89
21	6.50	9.55	9.55	9.55
22	7.50	10.72	10.72	10.72
23	8.50	12.16	12.16	12.16
24	10.00	16.03	16.03	16.03
25	12.50	22.65	22.65	22.65
26	15.00	29.85	29.85	29.85
27	17.50	37.15	37.15	37.15
28	>20.00	>44.67	>44.67	>44.67

1287 Table 1. Original size channels of OPC Grimm 1.107 calibrated with PLS (left side) and corrected
1288 (right side, columnar average) for the ambient refractive index determined over TR, MI and ME.

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Parameter	Atmospheric Column					
	AERONET - Ispra (235 m)			PROFILES - Milano (136 m)		
	441 nm	675 nm	870 nm	441 nm	675 nm	880 nm
n	1.415(\pm 0.047)	1.418(\pm 0.046)	1.425(\pm 0.044)	1.501(\pm 0.003)	1.500(\pm 0.004)	1.494(\pm 0.004)
k	0.032(\pm 0.009)	0.029(\pm 0.006)	0.030(\pm 0.007)	0.032(\pm 0.003)	0.030(\pm 0.003)	0.030(\pm 0.003)
SSA	0.812(\pm 0.028)	0.778(\pm 0.028)	0.740(\pm 0.034)	0.857(\pm 0.013)	0.846(\pm 0.011)	0.812(\pm 0.012)
AOD	0.232(\pm 0.091)	0.124(\pm 0.052)	0.083(\pm 0.035)	0.274(\pm 0.046)	0.152(\pm 0.034)	0.092(\pm 0.023)
AOD_{Abs}	0.050(\pm 0.023)	0.032(\pm 0.015)	0.025(\pm 0.012)	0.047(\pm 0.010)	0.028(\pm 0.006)	0.020(\pm 0.005)
D_g (μ m)	0.206(\pm 0.016)	0.206(\pm 0.016)	0.206(\pm 0.016)	0.204(\pm 0.010)	0.204(\pm 0.010)	0.204(\pm 0.010)
σ_g	1.552(\pm 0.045)	1.552(\pm 0.045)	1.552(\pm 0.045)	1.560(\pm 0.060)	1.560(\pm 0.060)	1.560(\pm 0.060)

Table 2. Comparison of the columnar optical and size distribution properties of the aerosol derived over MI from vertical profile measurements and over AERONET-Ispra site (\sim 57 km from MI). n and k are the real and imaginary part of the complex refractive index. SSA is the Single Scattering Albedo. AOD and AOD_Abs are the Aerosol Optical Depth and the Absorption Aerosol Optical Depth, respectively. D_g and σ_g are the geometric mean diameter and the geometric standard deviation, respectively.

Parameter	Free Troposphere					
	AERONET - Davos (1596 m)			PROFILES - FT (>1000 m)		
	438 nm	676 nm	870 nm	441 nm	675 nm	880 nm
n	1.449(± 0.009)	1.468(± 0.010)	1.482(± 0.010)	1.511(± 0.006)	1.510(± 0.006)	1.501(± 0.006)
k	0.010(± 0.004)	0.010(± 0.005)	0.011(± 0.005)	0.011(± 0.001)	0.011(± 0.001)	0.011(± 0.001)
SSA	0.950(± 0.018)	0.947(± 0.020)	0.941(± 0.023)	0.955(± 0.002)	0.932(± 0.003)	0.897(± 0.004)
AOD	0.036(± 0.004)	0.022(± 0.002)	0.015(± 0.001)	0.039(± 0.002)	0.014(± 0.001)	0.006(± 0.001)
AOD_Abs	0.002($\pm 5 \times 10^{-4}$)	0.001($\pm 4 \times 10^{-4}$)	0.001($\pm 3 \times 10^{-4}$)	0.002($\pm 6 \times 10^{-5}$)	0.001($\pm 4 \times 10^{-5}$)	0.001($\pm 3 \times 10^{-5}$)
D_g (μm)	0.269(± 0.011)	0.269(± 0.011)	0.269(± 0.011)	0.299(± 0.001)	0.299(± 0.001)	0.299(± 0.001)
σ_g	1.637(± 0.024)	1.637(± 0.024)	1.637(± 0.024)	1.111(± 0.001)	1.111(± 0.001)	1.111(± 0.001)

Table 3. Comparison of the Free Troposphere optical and size distribution properties of the aerosol derived from OPAC continental average data and over AERONET-Davos site. n and k are the real and imaginary part of the complex refractive index. SSA is the Single Scattering Albedo. AOD and AOD_Abs are the Aerosol Optical Depth and the Absorption Aerosol Optical Depth, respectively. D_g and σ_g are the geometric mean diameter and the geometric standard deviation, respectively.



Figure 1. a) Location of the three sampling sites: Terni in Central Italy (Terni Valley), Milano in Northern Italy (Po Valley) and Merano in the Alpine region (between Passiria and Val Venosta Valleys); b) The tethered balloon flying over Merano with the instrumentation package.

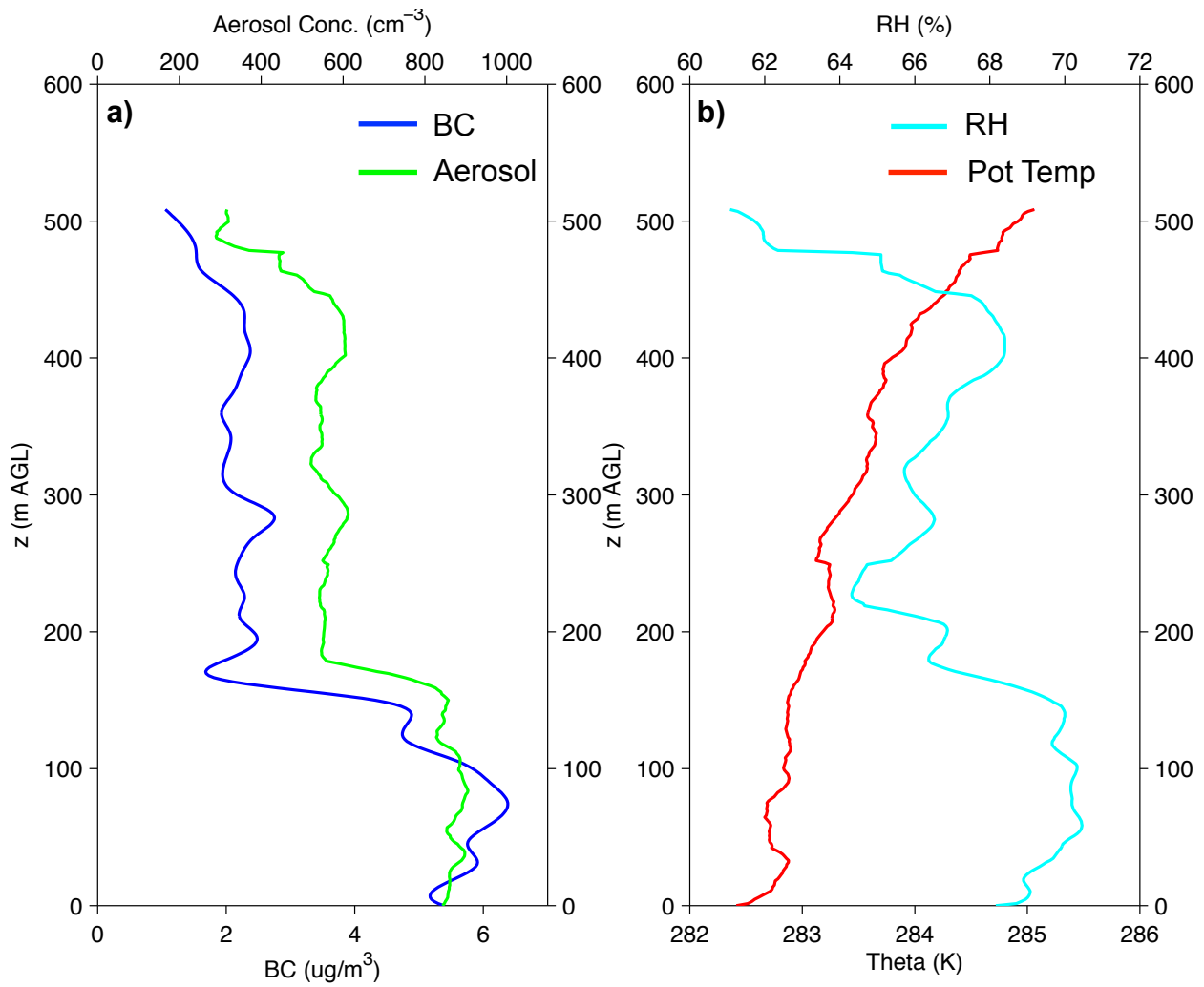


Figure 2: Vertical profiles measured over TR on 28th January 2010 (13:45-14:26 UTC): (a) BC (blue line) and aerosol (green line, total particle number concentration); (b) Potential temperature (red line) and relative humidity (light blue line).

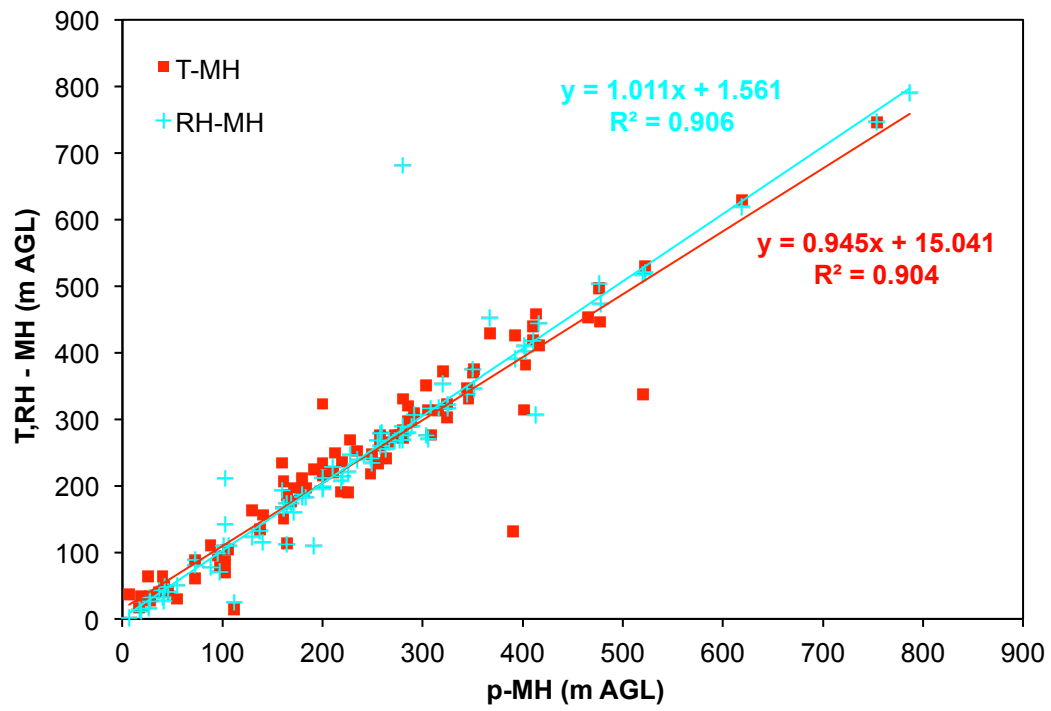


Figure 3: Linear correlation between the mixing height derived from each vertical profile of aerosol concentration (p-MH) temperature (T-MH) and relative humidity (RH-MH).

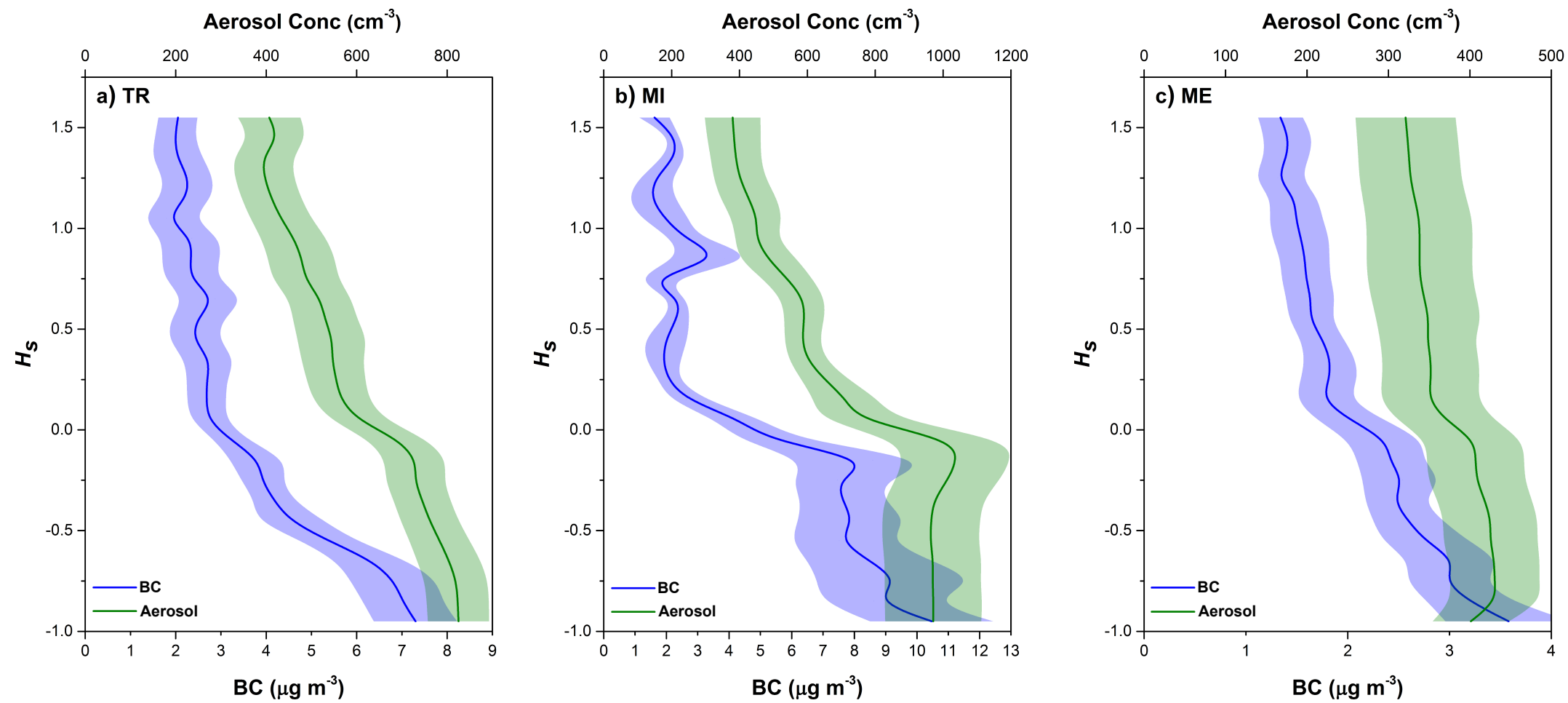


Figure 4: The statistical mean profiles of both BC and aerosol number concentrations along standardized height H_s over Terni (a), Milan (b) and Merano (c).

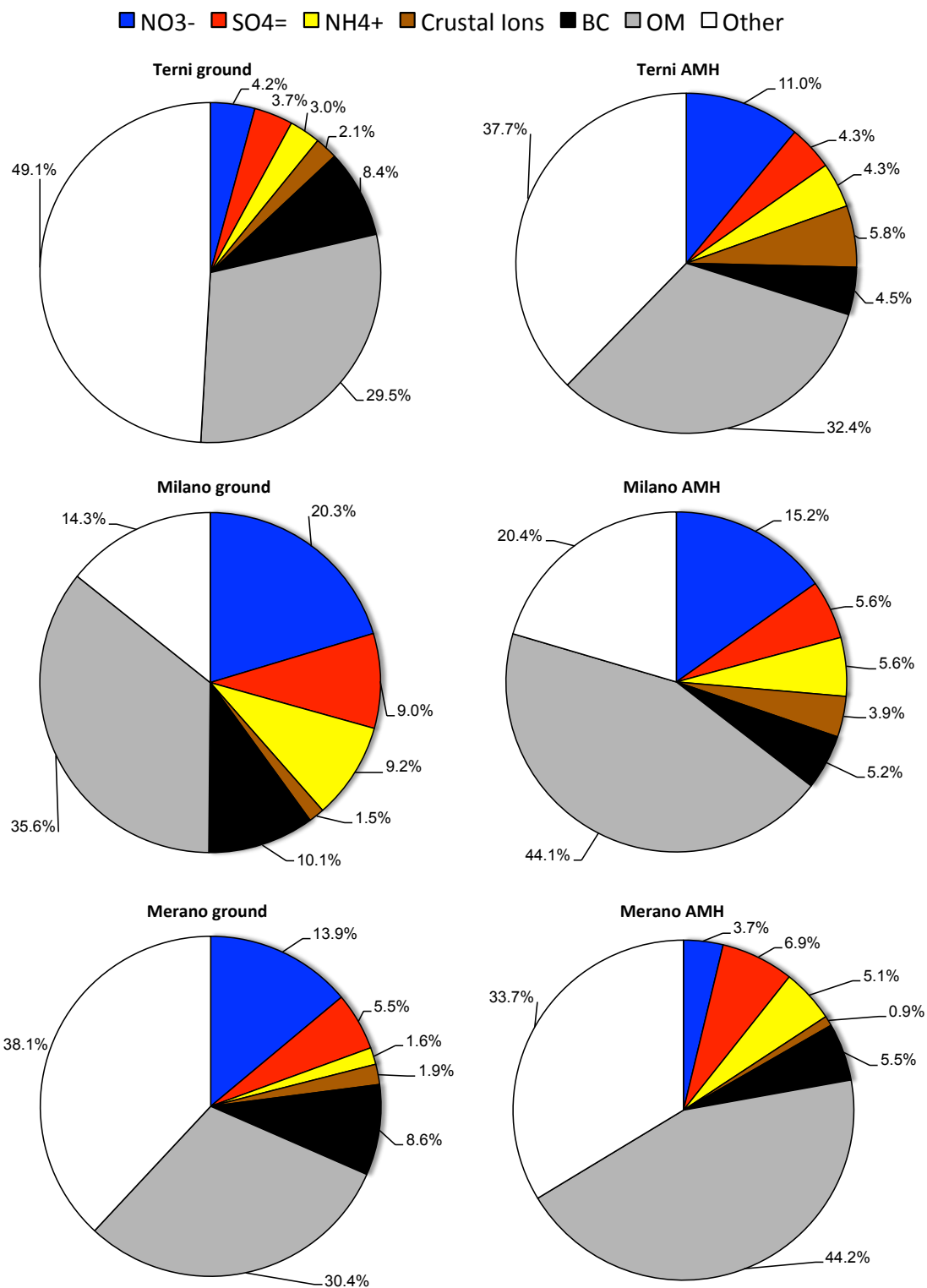


Figure 5: Aerosol chemical composition determined BMH and AMH for TR, MI and ME. Data shown are the respective aerosol mass fractions of each individual aerosol species.

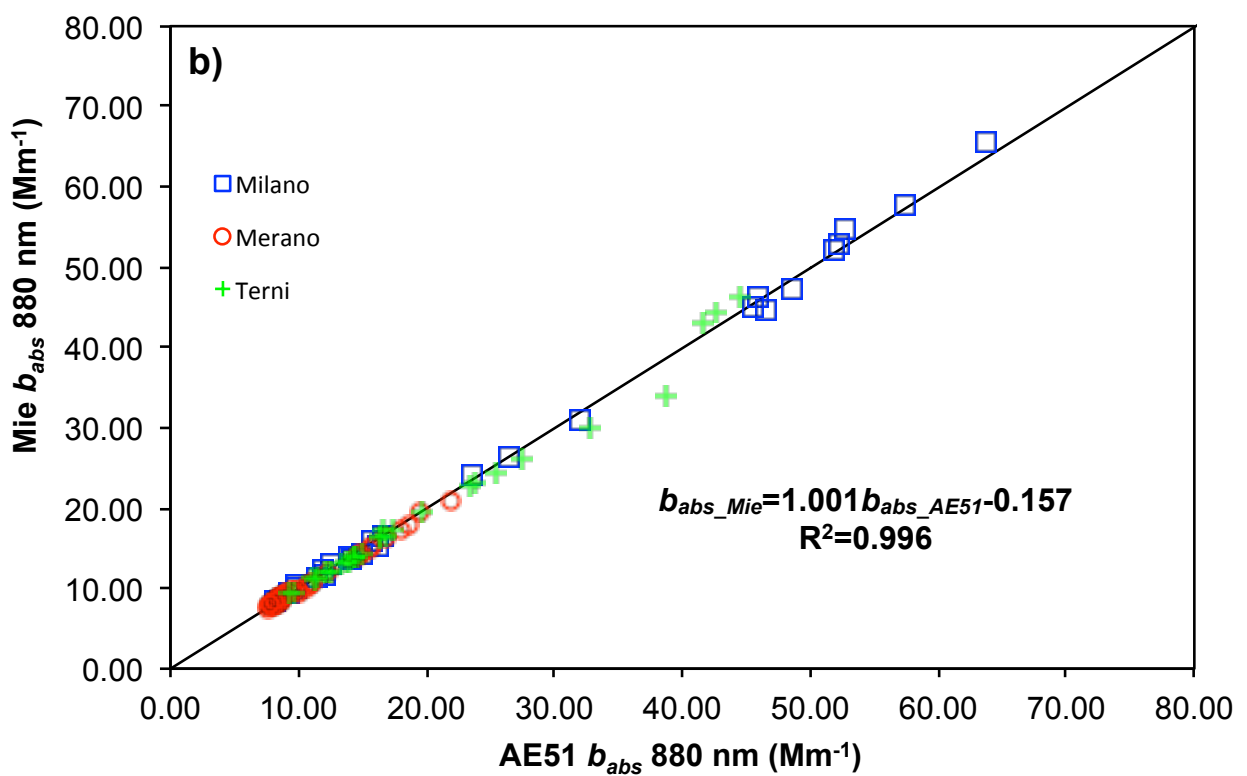
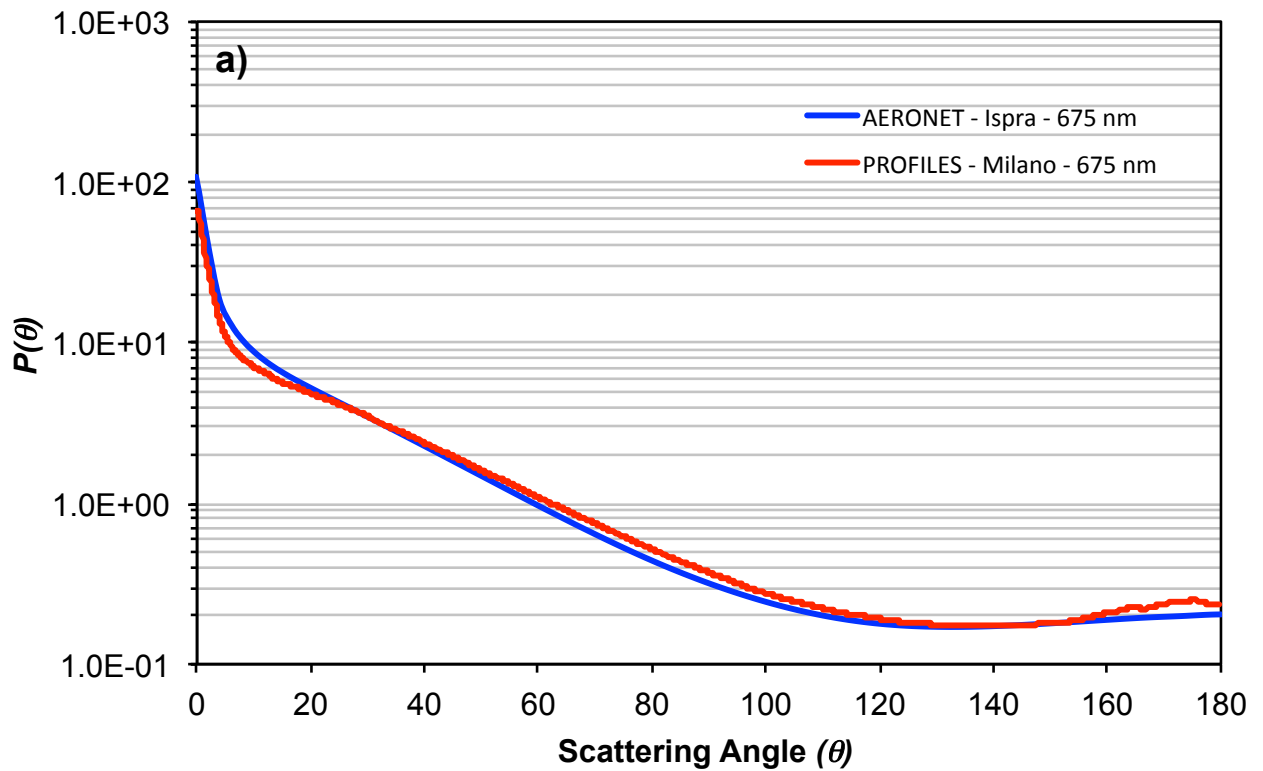


Figure 6. a) Aerosol Phase Function ($P(\theta)$) along the atmospheric column over MI and the one obtained at AERONET-Ispra; b) linear correlation between the b_{abs} determined from Mie calculations and the one measured by the micro-Aeth® AE51 along vertical profiles for TR, MI and ME (the 1:1 black line is also plotted).

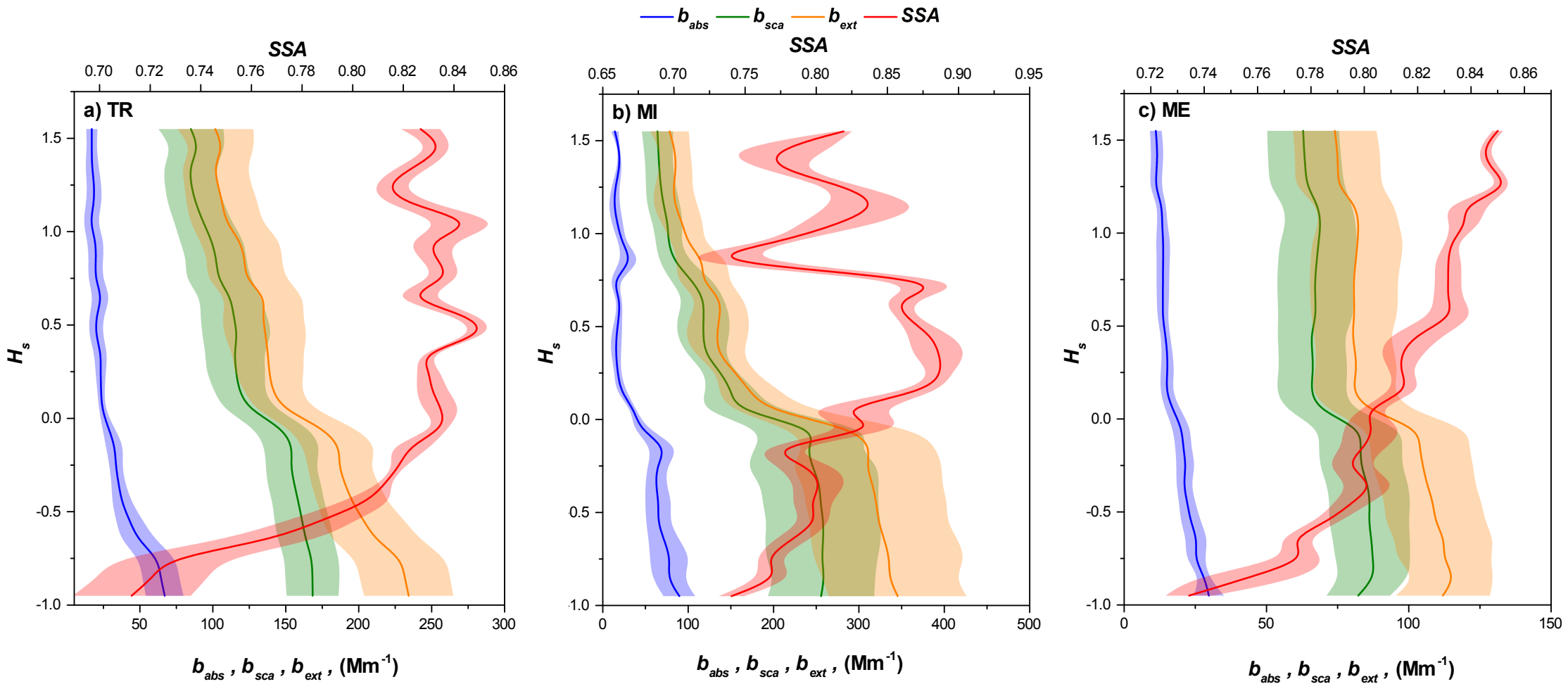


Figure 7. Vertical profiles of aerosol optical properties (b_{abs} , b_{sca} , b_{ext} , SSA) at 675 nm over: a) TR, b) MI and c) ME.

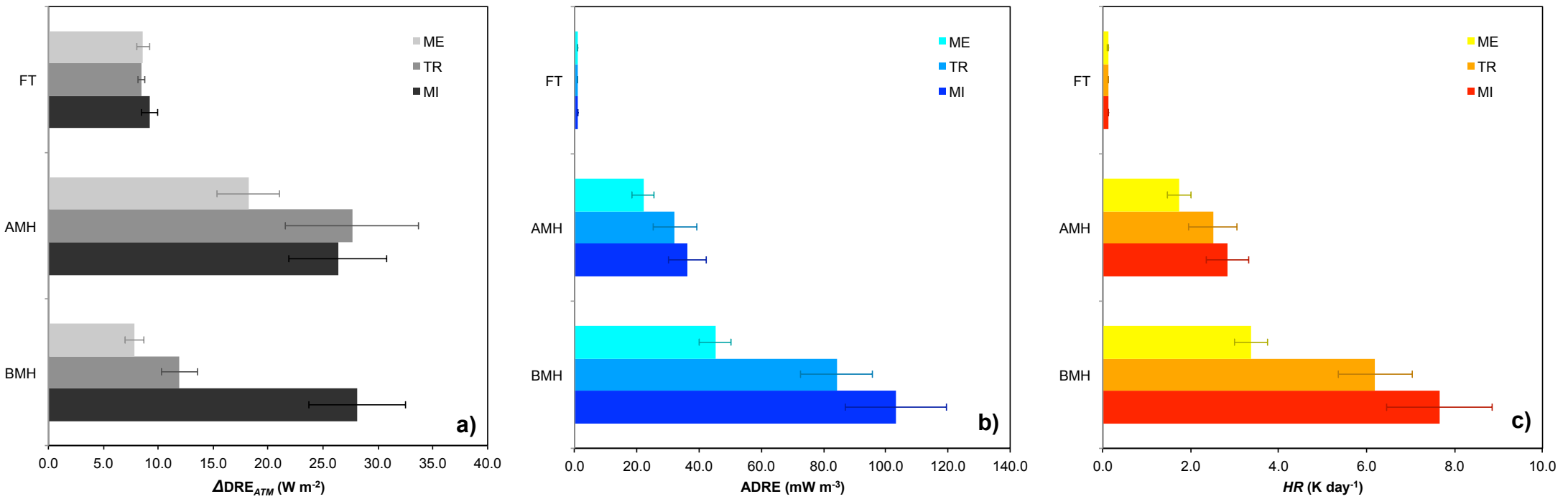


Figure 8. ΔDRE_{ATM} (a), ADRE (b) and HR (c) calculated for each site and broad-range altitude layers: BMH (from ground to MH), AMH (from MH to 1 km), FT (>1 km).

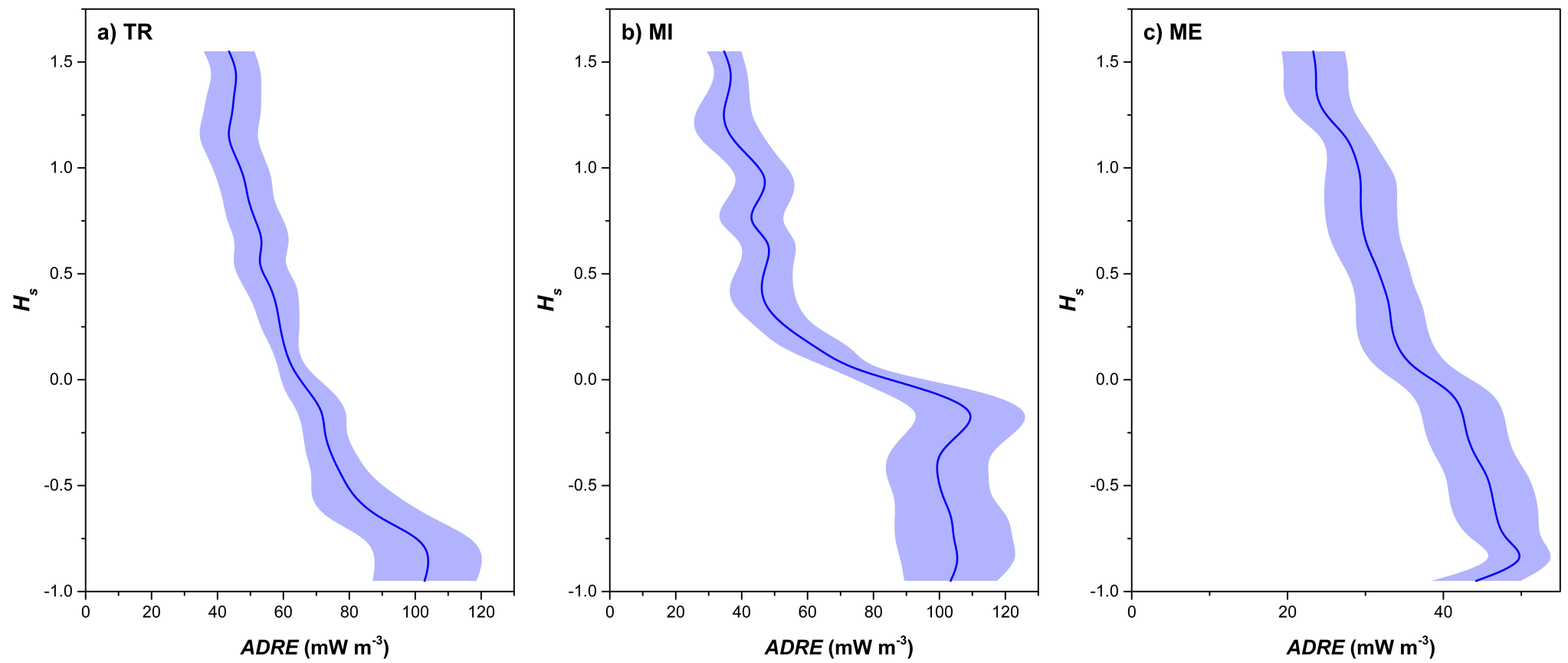


Figure 9. Continuous vertical profiles of ADRE over TR (a), MI (b) and ME (c).

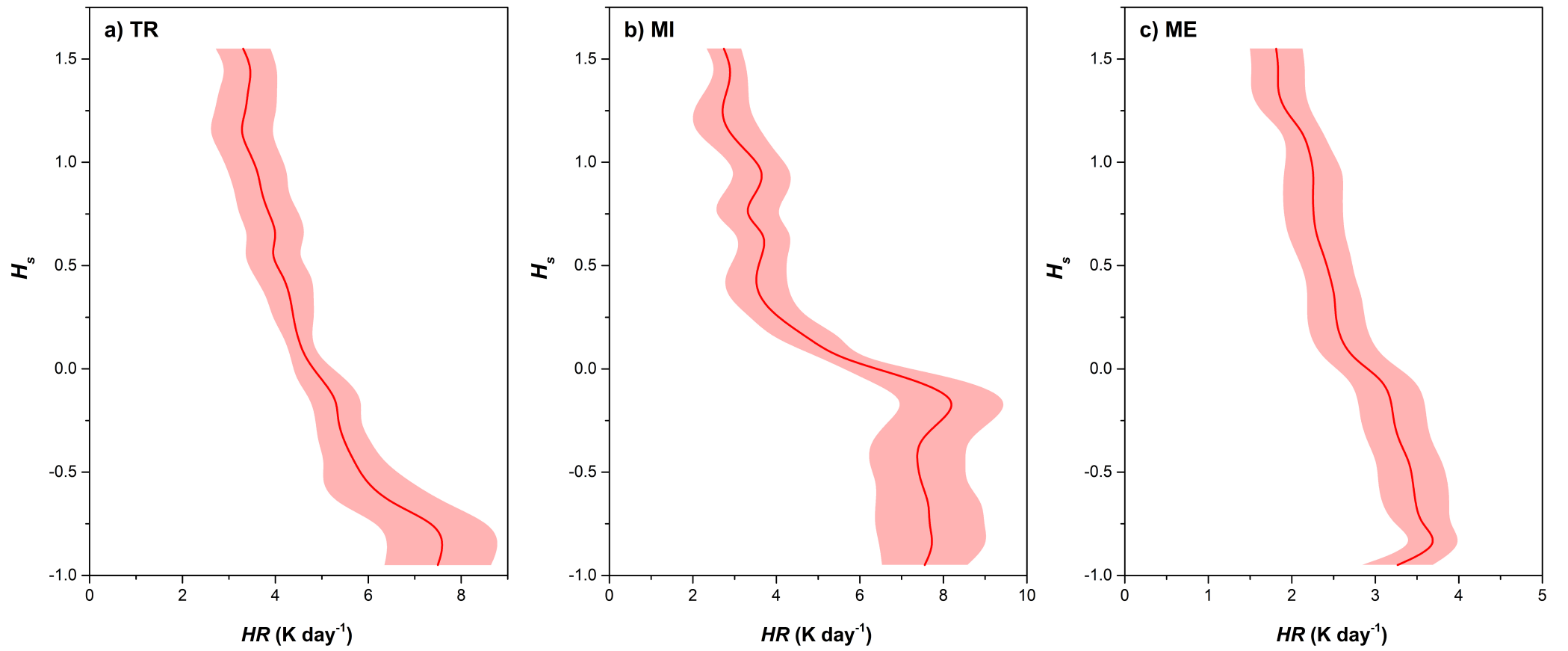


Figure 10. Continuous vertical profiles of HR over TR (a), MI (b) and ME (c).