

Enhancing non-refractory aerosol apportionment from an urban industrial site through receptor modelling of complete high time-resolution aerosol mass spectra

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Abstract

Receptor modelling was performed on quadrupole unit mass resolution aerosol mass spectrometer (Q-AMS) sub-micron particulate matter (PM) chemical speciation measurements from Windsor, Ontario, an industrial city situated across the Detroit River from Detroit, Michigan. Aerosol and trace gas measurements were collected on board Environment Canada's CRUISER mobile laboratory. Positive matrix factorization (PMF) was performed on the AMS full particle-phase mass spectrum (PMF_{Full MS}) encompassing both organic and inorganic components. This approach was compared to the more common method of analysing only the organic mass spectra (PMF_{Org MS}). PMF of the full mass spectrum revealed that variability in the non-refractory sub-micron aerosol concentration and

1 composition was best explained by six factors: an amine-containing factor (Amine); an
2 ammonium sulphate and oxygenated organic aerosol containing factor (Sulphate-OA); an
3 ammonium nitrate and oxygenated organic aerosol containing factor (Nitrate-OA); an
4 ammonium chloride containing factor (Chloride); a hydrocarbon-like organic aerosol (HOA)
5 factor; and a moderately oxygenated organic aerosol factor (OOA). PMF of the organic mass
6 spectrum revealed three factors of similar composition to some of those revealed through
7 PMF_{Full MS}: Amine, HOA and OOA.

8 Including both the inorganic and organic mass proved to be a beneficial approach to analysing
9 the unit mass resolution AMS data for several reasons. First, it provided a method for
10 potentially calculating more accurate sub-micron PM mass concentrations, particularly when
11 unusual factors are present, in this case, an Amine factor. As this method does not rely on a
12 priori knowledge of chemical species, it circumvents the need for any adjustments to the
13 traditional AMS species fragmentation patterns to account for atypical species, and can thus
14 lead to more complete factor profiles. It is expected that this method would be even more
15 useful for HR-ToF-AMS data, due to the ability to better understand the chemical nature of
16 atypical factors from high resolution mass spectra. Second, utilizing PMF to extract factors
17 containing inorganic species allowed for the determination of extent of neutralization, which
18 could have implications for aerosol parameterization. Third, subtler differences in organic
19 aerosol components were resolved through the incorporation of inorganic mass into the PMF
20 matrix. The additional temporal features provided by the inorganic aerosol components
21 allowed for the resolution of more types of oxygenated organic aerosol than could be reliably
22 resolved from PMF of organics alone. Comparison of findings from the PMF_{Full MS} and
23 PMF_{Org MS} methods showed that for the Windsor airshed, the PMF_{Full MS} method enabled
24 additional conclusions to be drawn in terms of aerosol sources and chemical processes. While
25 performing PMF_{Org MS} can provide important distinctions between types of organic aerosol, it
26 is shown that including inorganic species in the PMF analysis can permit further
27 apportionment of organics for unit mass resolution AMS mass spectra.

28 **1 Introduction**

29 Atmospheric aerosol or particulate matter (PM) is known to have important implications on
30 atmospheric visibility (Watson, 2002), climate change (IPCC, 2013), and human health (Pope
31 and Dockery, 2006; Anderson et al., 2012; Brook et al., 2010). Understanding the sources
32 and processes responsible for PM composition and concentration is critical to enacting

1 effective PM reduction strategies. Receptor modeling of PM chemical speciation data is one
2 method towards achieving this. Historically, receptor modeling studies have focused on
3 understanding integrated filter measurements, which have been particularly useful for
4 providing an overview of the main chemical components of major source categories, and their
5 longer-term temporal trends (Gordon, 1980; Hopke, 2003; Watson et al., 2008). More
6 recently, receptor modeling analyses have been focused on online high time-resolution
7 chemical analysis techniques, as they can provide additional insight on sources and processes
8 not captured by the chemical or temporal resolution of daily filter measurements.

9 Aerosol mass spectrometry is among the most widely used high-time resolution PM chemical
10 speciation methods that can be used to quantify the impacts of non-refractory source
11 components, including both organic and inorganic components. Receptor modeling using
12 Positive Matrix Factorization (PMF), has become a useful analytical technique to further
13 understand the origins of AMS measured aerosol. Among these studies, most have focused
14 on the organic fraction of the AMS mass spectrum (e.g., Lanz et al., 2007; Ulbrich et al.,
15 2009) in an effort to resolve uncertainty regarding the sources and processes contributing to
16 secondary organic aerosol (SOA), an aerosol component with potential implications on
17 climate (IPCC, 2013). Many of these studies have focused on the application of factor
18 analysis to the organic mass fraction in an attempt to deconvolve it into descriptive sub-
19 components, namely a hydrocarbon-like organic aerosol (HOA) factor, and an oxygenated
20 organic aerosol (OOA) factor. Examination of datasets from numerous, diverse environments
21 has shown that the OOA fraction often splits into two sub-components, OOAI and OOAI
22 (Zhang et al., 2011). Observations of their temporal behavior have shown that these two
23 factors typically exhibit different volatility regimes, whereby OOAI exhibits significant
24 diurnal variability associated with condensation and volatilization from temperature cycling.
25 By contrast, OOAI has been mainly associated with synoptic flow regimes, with no
26 significant association with temperature cycling. The semi-volatile OOAI type factor was
27 first reported in a study by (Lanz et al., 2007), although its volatile nature was first
28 substantiated with external measurements in a study by Huffman et al. (2009); in the latter
29 study it was shown that decreased volatility of OA factors was associated with increasing
30 oxygenation, or oxygen to carbon (O/C) ratio (Huffman et al., 2009). As a result, the OOAI
31 and OOAI factors are often referred to in the literature as low-volatility OOA (LV-OOA),
32 and semi-volatile OOA (SV-OOA) respectively (Jimenez et al., 2009). While the HOA and
33 OOA components have been the most widely observed organic components deconvolved in

1 the multitude of AMS studies performed to date (Zhang et al., 2007), other factors have been
2 identified, such as biomass burning organic aerosol (BBOA) (e.g., Aiken et al., 2009), amine-
3 containing organic aerosol (e.g., Aiken et al., 2009; Sun et al., 2012; Docherty et al., 2011;
4 Hildebrandt et al., 2011) and even cooking organic aerosol (COA) (e.g., Lanz et al., 2007;
5 Allan et al., 2010; Sun et al., 2011; Mohr et al., 2012; Crippa et al., 2013a). In many studies,
6 correlation analysis of organics with inorganic species has been used to further ascertain the
7 sources and processes contributing to a particular factor. For instance, significant correlations
8 have been found between OOA1 and SO_4^{2-} , and between OOA2 and NO_3^- (Lanz et al., 2007;
9 Ulbrich et al., 2008).

10 This study presents a receptor modeling analysis of high time-resolution unit mass resolution
11 quadrupole AMS measurements. Aerosol and trace gas speciation measurements were made
12 onboard Environment Canada's CRUISER (Canadian Regional and Urban Investigation
13 System for Mobile Research) mobile laboratory at MicMac Park in Windsor, Ontario in the
14 winter of 2005. A different approach was taken in this study with respect to the majority of
15 previous receptor modeling analyses of the non-refractory sub-micron chemical composition,
16 as PMF was applied to the full mass spectrum, comprising both the organic and inorganic
17 components. To the authors' knowledge, combined PMF analysis of organic and inorganic
18 AMS mass spectra has been performed in only three other studies (Chang et al., 2011; Sun et
19 al., 2012; Crippa et al., 2013b). Of these, the study by Sun et al. was the only one to include
20 all inorganic and organic species together. While PMF had previously been applied to data
21 including AMS derived bulk concentrations of inorganic and organic species (e.g., Buset et
22 al., 2006; Crippa et al., 2013b), Chang et al. were the first to apply the PMF multivariate
23 deconvolution algorithm to combined organic and inorganic mass spectra, in that case to
24 ambient arctic aerosol (Chang et al., 2011). However, low ambient aerosol concentrations,
25 and low associated signal to noise ratios, prevented the inclusion of all species in the analysis.
26 As a result, NH_4^+ was excluded, which precluded certain conclusions regarding aerosol
27 neutralization from being drawn. Nonetheless, four factors were extracted in that study,
28 including factors representing marine biogenic emissions (containing methanesulphonic acid
29 or MSA), continental emissions, ship emissions, and OOA. Each factor was characterized by
30 differing degrees of cross-apportionment between organic and inorganic species. Eight
31 factors were identified in the study by Sun et al., many more than found in the Arctic study,
32 mainly due to the urban sampling location in New York City (Sun et al., 2012). Similar to
33 Chang et al., significant organic and inorganic cross-apportionment was noted for most of the

1 factors. In the study by Crippa et al., only SO_4^{2-} related ions were included in the PMF
2 analysis in addition to organics, which allowed for the apportionment of SO_4^{2-} ions to marine
3 and terrestrial aerosol factors (Crippa et al., 2013b).

4 This study focuses on the physical interpretation of cross-apportionment between organic and
5 inorganic non-refractory sub-micron PM species between factors. Drawing upon cold
6 condition measurements from a complex, industrialized site, this analysis illustrates how this
7 methodology can help to better understand underlying aerosol sources, and processes, and
8 identify new scientific and methodological conclusions.

9 **2 Experimental Methods**

10 **2.1 Aerosol mass spectrometer measurements**

11 Aerosol and trace gas measurements were collected on board Environment Canada's
12 CRUISER mobile laboratory, which was stationed at MicMac Park in Windsor
13 ($42^\circ 17' 5.38''\text{N}$, $83^\circ 4' 31.42''\text{W}$) in January and early February 2005. Located next to Detroit
14 on the Canada-US border in south-western Ontario, Windsor has historically been known
15 experienced frequent episodes of poor air quality. Local sources of PM are numerous and
16 diverse due to a large manufacturing base, including sources such as steelmaking, salt and
17 gypsum mining, petrochemical refining, and coal-fired power generation. Another significant
18 local source is traffic, given that the Windsor-Detroit border crossing is the largest
19 international border crossing between Canada and the US. Regionally, Windsor is impacted
20 by many sources, but perhaps most significantly by coal-fired power plants to the south in the
21 Ohio River valley. A map of the Windsor/Detroit area is shown in Figure 1.

22 Chemical speciation measurements of submicron PM were made on board CRUISER using a
23 unit mass resolution quadrupole aerosol mass spectrometer (Q-AMS) (Aerodyne Research
24 Inc., Billerica, MA, USA). The AMS sampled from 12 January – 2 February 2005, except for
25 a period of three days between 15 – 18 January when it was not operating. Sampling occurred
26 at a 15min time resolution, except for a short period at the beginning of the campaign (12 to
27 13 January), when it sampled at a 5min time resolution. CRUISER samples air at 4m above
28 ground level through a $\text{PM}_{2.5}$ sharp cut cyclone (Rupprecht and Patashnick, East Greenbush,
29 NY, USA) at a flow rate of 16.7 litres per min (lpm), to supply a primary sampling line from
30 which several on board PM and gas instruments are connected. The temperature in the
31 sampling enclosure in wintertime is approximately 20°C , although sheath air surrounding the

1 primary 1.25" diameter stainless steel sampling line maintains it at near ambient temperature.
2 The AMS is connected to CRUISER's primary sampling line by 0.8m of conductive tubing,
3 and samples at a flow rate of 1 lpm. Although the aerosol was not dried prior to AMS
4 sampling, the relative humidity (RH) remained close to that of the ambient air due to the use
5 of sheath air, and relatively short sampling line. Filtered AMS measurements were performed
6 at several times during the campaign, and were removed from the dataset, resulting in 1745
7 observations. The operating principles of the AMS instrument have been documented
8 elsewhere (Jayne et al., 2000; Canagaratna et al., 2007b), although those of the Q-AMS are
9 briefly outlined here. Particles are sampled through the particle inlet and focused into a
10 collimated beam using an aerodynamic lens. The stream of particles impact a porous tungsten
11 surface heated to ~600°C, whereupon the non-refractory components of the particles flash
12 vaporize. The resulting gases are ionized by electron impact (EI, 70 eV), and the resulting
13 ions are measured using a quadrupole mass spectrometer.

14 The AMS was calibrated for ionization efficiency by atomizing a NH_4NO_3 solution and then
15 size-selecting 300nm particles using a TSI 3071 electrostatic classifier. A relative ionization
16 efficiency (vs. NO_3^+) of 4.5 for NH_4^+ was required to obtain ion balance for the bulk
17 NH_4NO_3 calibration particles, and this value was applied to the ambient data. Default
18 relative ionization efficiencies were assumed for organics (1.4), chloride (1.3), nitrate (1.1),
19 and sulphate (1.2).

20 The collection efficiency of the AMS is often estimated by comparison of the measured mass
21 with that of a collocated instrument. If collocated external PM_{10} mass measurements are
22 unavailable, CE is often assumed by comparison of the combined AMS sub-micron PM mass
23 and BC with an external measure of $\text{PM}_{2.5}$. Middlebrook et al., have also shown that a
24 composition dependent CE can be estimated from the bulk aerosol composition (Middlebrook
25 et al., 2012). These two options were investigated to determine whether a CE other than a
26 default of 1 could be applied to the data, and the results of this investigation are presented in
27 the Supplement. Ultimately, this analysis did not yield a reliable estimate of CE; as such no
28 correction was applied to these data, and a default, simple integer collection efficiency of
29 unity was assumed for this campaign. This value has been used in other studies (Lanz et al.,
30 2007; Richard et al., 2011; Chirico et al., 2011), and reflects a lower bound for the non-
31 refractory mass concentration. While an accurate estimate of collection efficiency is required
32 for overall mass determination, it remains a multiplier (either constant, or composition

1 dependent) applied to the total mass concentration, and ultimately does not affect the primary
2 study conclusions with respect to identifying and characterizing factors.

3 The time series of collected AMS mass spectra were separated into chemically resolved mass
4 spectra (NH_4^+ , NO_3^- , SO_4^{2-} , Cl^- , and organics) using pre-defined fragmentation patterns (Allan
5 et al., 2004), as implemented in the Q-AMS analysis software Deluxe v1.43 for the IGOR Pro
6 software package (Wavemetrics, Inc.).

7 **2.2 Positive matrix factorization**

8 Positive matrix factorization or PMF, (Paatero and Tapper, 1993, 1994; Paatero, 1997) is a
9 non-negative factor analysis model that can be used to represent a time series of
10 measurements as a linear combination of static factor profiles (ideally corresponding to
11 specific sources and/or processes) and their time-dependent intensities. It is applied to an $n \times$
12 m matrix of data, X , and solves the general receptor equation:

$$13 \quad x_{ij} = \sum_{k=1}^p g_{ik} f_{kj} + e_{ij}, \quad (1)$$

14 where n is the number of samples and m is the number of species; x_{ij} is the concentration of
15 the j^{th} species in the i^{th} sample; g_{ik} is the contribution of the k^{th} factor to the i^{th} sample; f_{kj} is
16 the mass fraction of the j^{th} species contributing to the k^{th} factor; e_{ij} is the residual
17 concentration of the j^{th} species in the i^{th} sample; and p is the number of independent factors as
18 chosen by the user. The general receptor equation is solved iteratively, using a Gauss-
19 Newton, weighted least-squares algorithm, until the object function Q , is minimized:

$$20 \quad Q = \sum_{i=1}^n \sum_{j=1}^m \left(\frac{e_{ij}}{s_{ij}} \right)^2 \quad (2)$$

21 where s_{ij} is an element in the $n \times m$ matrix, S , of uncertainties corresponding to x_{ij} . The
22 expected Q value is defined as:

$$23 \quad Q_{\text{expected}} = nm - p(n + m) \quad (3)$$

24 PMF analysis was performed using EPA PMF 4.1 (Norris et al., 2010). Two different
25 approaches were taken in this study. One approach involved application of PMF to the
26 organic mass spectra only (PMF_{Org MS}), and the other involved application of PMF to the full

1 mass spectra (PMF_{Full MS}). As such, two different methodologies were required for data pre-
2 treatment, and formulation of the data and error matrices.

3 The data and error matrices for the PMF_{Org MS} analysis were prepared following principles
4 outlined in Ulbrich et al. (2009). A total of 167 m/z 's were included in the PMF_{OrgMS} analysis
5 (m/z 12 – 200), with m/z s excluded due to either low signal (e.g., 19-23), signal dominated by
6 inorganic (e.g., 14) or gaseous (e.g., 28) species, or high background levels (e.g., 149)
7 (Ulbrich et al., 2009; Zhang et al., 2005). Uncertainties for the PMF_{Org MS} analysis were
8 calculated according to the method of Allan et al. (2003), and a minimum error corresponding
9 to the counting of a single ion was enforced throughout the dataset (Ulbrich et al., 2009).
10 Within the AMS organic fraction extraction process, certain m/z (16, 17, 18, and 44) are
11 assumed to be a constant fraction of m/z 44. The uncertainties of these ions were accordingly
12 multiplied by $\sqrt{4}$ to prevent them from being over weighted by the PMF algorithm. The
13 signal to noise ratio (S/N) for each m/z was calculated to identify weak ($0.2 < S/N < 2$), and
14 bad ($S/N < 0.2$) variables; a downweighting policy was applied such that weak variables are
15 downweighted by a factor of two, and bad variables excluded. No variables were designated
16 as bad for this analysis.

17 The data and error matrices for the PMF_{Full MS} analysis were prepared following principles
18 outlined by Chang et al. (2011). The data matrix was calculated in nitrate equivalent (NO_{3eq})
19 mass (refer to section 2.3) and calculated by taking the entire raw MS matrix (“All”), and
20 from it, subtracting the mass spectral matrices of species not of interest to the analysis (i.e., air
21 and water), leaving the contributions from fragments associated with NH₄⁺, NO₃⁻, SO₄²⁻, Cl⁻,
22 and organics. Downweighting of selective organic or inorganic peaks as is required when
23 conducting the PMF_{Org MS} analysis was not required in the PMF_{Full MS} analysis, as the PMF_{Full}
24 MS matrix resulted from the subtraction of the “Air” and “Water” components from the
25 original “All” matrix. In other words, the PMF_{Full MS} matrix was not constructed from
26 application of the fragmentation scheme to create “Org”, “SO₄²⁻”, “NO₃⁻”, “Cl”, and “NH₄⁺”
27 matrices which could be added together to generate a “Full MS” matrix, but was a result of
28 the subtraction of the “Air” and “Water” components subtracted from the original “All”
29 matrix. The corresponding error matrix (in NO_{3eq} mass) was then constructed by adding in
30 quadrature the “All_err”, “Water_err”, and “Air_err” matrices. Similar to the organic matrix
31 preparation, a minimum uncertainty corresponding to a single ion was enforced, and the same
32 S/N policy was applied, although no variables were designated as bad (Ulbrich et al., 2009).

1 A total of 173 m/z s were included in the PMF_{Full MS} analysis, with m/z s excluded due to either
2 low signal (e.g., 19-23), known interferences (e.g., 18), signal dominated by gaseous species
3 (e.g., 28), high background levels (e.g., 149), or non-linear contributions (m/z 39). While
4 m/z 39 (potassium) could be useful in a PMF analysis of the full mass spectrum for the
5 potential identification of certain factors (e.g., biomass burning), it was excluded due to non-
6 linearities in the signal. Potassium can ionize by two different ionization pathways, namely
7 electron impact and surface ionization, each bearing a different relative ionization efficiency
8 (RIE) (Drewnick et al., 2006). Thus, the amount of signal measured from potassium depends
9 not only on the actual initial potassium mass, but also the particle's history within the AMS.
10 Quantifying the relative degree of vaporization via electron impact vs. surface ionization is
11 difficult, as this ratio is not entirely stable over time (e.g., minor drifts in tuning, fluctuations
12 of the vaporizer temperature), and may depend on the particle composition. Initial PMF tests
13 indicated that potassium inclusion did not aid in the extraction of a biomass burning organic
14 aerosol factor. Although some potassium is also found at m/z 41, this fragment was
15 dominated by organics (potassium contribution <7%). This signal could be removed,
16 although doing so requires referring to non-linear m/z 39. Thus, due to the low contribution
17 of potassium at m/z 41, this fragment was left unaltered to avoid introducing additional noise
18 to the matrix.

19 In addition to the uncertainties as described above, a global uncertainty of 5% of the data (the
20 C3 parameter) was added to the uncertainty matrix, in a similar fashion to (Brown et al.,
21 2012). Solutions were interpreted based on the resulting factor profiles and temporal trends.
22 The factor profile mass spectra were compared with those extracted from other PMF studies
23 of AMS data, however it should be noted that these comparisons were interpreted with care
24 due to methodological differences between PMF_{Full MS} and PMF_{Org MS} analyses. Factor
25 temporal trends were examined for particular behaviors such as diurnal trends, and
26 correlations with meteorological conditions and external species (e.g., gases and PM mass).

27 Two methods were employed to test the robustness of the factor analysis of the AMS data:
28 FPeak rotational analysis and bootstrapping. The effect of global matrix rotations through the
29 FPeak parameter was mainly evaluated in terms of the mass spectra: changes in the mass
30 spectra could be more objectively evaluated than changes in temporal trends due to the
31 availability of comparison mass spectra from other studies, and lack of a priori knowledge on
32 source temporal trends. However, the effect of FPeak rotations on correlations between some

1 factors and key external measurements was also evaluated. It should be noted that since EPA
2 PMF 4.1 utilizes the multilinear engine (ME-2), FPeak values approximately five times
3 greater than those typically used for PMF2 in order to achieve a similar degree of rotation
4 (i.e., in PMF2, FPeaks explored typically range from -2 to 2) (Norris et al., 2010). Similar to
5 the approach used by Brown et al. (2012), FPeak rotations were calculated from -10 to 10 in
6 increments of 2 (Brown et al., 2012). This range led to increases in Q/Q_{exp} of ~1%, indicating
7 that the base solution appeared rotationally robust. Furthermore, this rotational range
8 appeared sufficient to provide indication of the relative robustness of factors, by comparing
9 the relative degree of rotational ambiguity between factors: the robustness of each factor was
10 examined by applying the AMS fragmentation species extraction algorithm (Allan et al.,
11 2003) to the resulting FPeak factor profiles, and the species mass fractions across FPeak
12 values was examined. In terms of the bootstrap analysis, 100 bootstrap iterations were
13 performed. Bootstrap results were mainly interpreted according to the number of unmapped
14 factors (factors which could not be “mapped” to the base case using a threshold uncentered
15 correlation coefficient of 0.6). The results of these tests are described in the supplementary
16 material.

17 **2.3 Aerosol mass spectrometer mass quantification**

18 The use of $\text{NO}_{3\text{eq}}$ mass proved to be a useful method for obtaining better mass estimates, as
19 the various relative ionization efficiencies (RIEs) of component species can be considered in
20 the mass quantification of resolved PMF factors. In the case of PMF of organic MS, only a
21 single multiplicative factor of is applied to the dataset as a whole to account for the RIE of
22 organics (RIE of 1.4). However, another approach is required for mass estimates of multi-
23 component, combined inorganic and organic mass spectra. Firstly, PMF analysis of the full
24 mass spectrum was performed using nitrate equivalent mass ($\text{NO}_{3\text{eq}}$), whereby instrument
25 signal was converted to mass using a single RIE (in this case, that for nitrate). Following
26 PMF, the factor species composition was determined through application of the fragmentation
27 scheme to factor mass spectra (Allan et al., 2003; Allan et al., 2004), and an effective factor
28 RIE calculated through weighted average of RIEs according to the factor composition (Chang
29 et al., 2011). Default RIE values were assumed for the main AMS species, and used to
30 convert the $\text{NO}_{3\text{eq}}$ factor mass to “real” mass. It should be noted that this method works well
31 when the defining species chemical nature is well understood, and fragmentation patterns and
32 RIE values are available (i.e., as for NO_3^- , SO_4^{2-} , NH_4^+ , chloride, organics). However, the

1 AMS has been known to detect other species, such as methanesulphonic acid (Zorn et al.,
2 2008; Langley et al., 2010; Chang et al., 2011), and amines (Silva et al., 2008; Docherty et al.,
3 2011; Hildebrandt, et al., 2011), for which less information is available. In particular, it has
4 been shown that depending on their chemical nature, amines may display a wide range of
5 fragmentation patterns and RIE values (i.e., from 1.3 to 10) (Silva et al., 2008). Thus, an
6 indication of the chemical nature of the factor species may be integral to the factor mass
7 quantification calculation. Further discussion of the implication of these assumptions is
8 provided in section 3.2.

9 **2.4 Supporting measurements**

10 Trace gases were measured using a variety of techniques, namely by quadrupole Proton
11 Transfer Reaction Mass Spectrometry (PTR-MS) (Ionicon, Innsbruck, Austria) to measure
12 VOC's, as well as other gas analyzers to measure NO_x, SO₂, O₃ and CO. Particle number
13 concentration measurements were provided by a condensation particle counter (model 3010,
14 TSI Inc., Shoreview, MN, USA). Black carbon measurements were also available from an
15 aethalometer (Magee Scientific), and measurements derived from absorption at 880nm were
16 used. As reliable collocated sub-micron PM mass concentration measurements were
17 unavailable, PM mass comparisons were made to 5min PM_{2.5} measurements obtained by a
18 TEOM (TEOM model 1400ab, Rupprecht and Patashnick, East Greenbush, NY, USA)
19 onboard CRUISER. Hourly meteorological measurements were supplied courtesy of
20 Environment Canada, from a meteorological station located 10km to the east of MicMac Park
21 in an open field at Windsor Airport (42°16'48"N, 82°57'36"W). Measurements of wind
22 direction and speed, RH, and visibility were used in this analysis.

23 **2.5 Assessment of geographic origins**

24 The geographic origins of the AMS PMF factors were assessed using the conditional
25 probability function (CPF), and the potential source contribution function (PSCF), which have
26 been described elsewhere (Ashbaugh et al., 1985; McGuire et al., 2011). In this study, the
27 CPF threshold was set to the top 25th percentile, and probabilities associated with infrequently
28 observed wind directions (winds < 5% of the time), were downweighted by 3. For the PSCF
29 analysis, each cell was chosen to be 0.5° in both latitude and longitude, and the threshold for
30 the PSCF was set to the top 50th percentile. For the purposes of the Sulphate-OA factor PSCF
31 analysis, three short events known to be associated with local sources were removed from the

1 analysis (see section 3.1.2 for further description). The result is a probability distribution map
2 where higher probabilities indicate more probable regional source regions.

3 **3 Results and discussion**

4 An overview of the meteorological conditions observed during the campaign is presented in
5 Figure 2. Unusually warm January temperatures for southwestern Ontario were observed at
6 the beginning of the campaign. Higher wind speeds were associated with southerly air flow.
7 Wind speeds dropped dramatically towards the end of the campaign resulting in a stagnation
8 period, resulting in a significant increase in PM mass concentration. The time series of the
9 AMS non-refractory species, as calculated from the AMS data analysis package, is shown in
10 Figure 3, and descriptive statistics for these species are listed in Table 1. On average, prior to
11 PMF analysis, organic aerosol (37%), and NO_3^- (31%) were found to contribute most to the
12 non-refractory sub-micron PM mass. The following sections first outline results from PMF
13 analysis of the full mass spectrum, followed by PMF analysis of the organics. Finally, results
14 from both analyses are compared.

15 **3.1 PMF of AMS full mass spectra**

16 $\text{PMF}_{\text{Full MS}}$ analysis showed that six factors best captured the variability in the data. The
17 following factors were retrieved: Amine; Sulphate-OA, containing mostly ammonium
18 sulphate; Nitrate-OA, containing mostly ammonium nitrate; Chloride, composed mostly of
19 ammonium chloride; HOA, a hydrocarbon-like organic factor, which represented primary
20 organic aerosol; and OOA, an oxygenated organic aerosol factor. Figures 4 and 5 show the
21 time series (in local time) and factor profiles respectively. Figure 6 shows the mass spectra of
22 each factor's organic components, and Figure 7 details each factor's chemical composition by
23 species and factor component.

24 Solutions containing two through ten factors were analyzed. In brief, as with the six factor
25 solution, the five, seven, and eight factor solutions contained almost the same five factors
26 (Sulphate-OA, Nitrate-OA, Chloride, HOA, Amine). While the five factor solution did not
27 extract an OOA factor, the seven factor solution split the OOA resolved from the six factor
28 solution into a similar OOA factor, as well as an Other OA factor which did not sufficiently
29 resemble any known mass spectra. The eight factor solution added a Local Sulphate factor.
30 More detailed solution descriptions and justification of the six to eight factor solutions are
31 presented in the supplement. The six factor solution was chosen because: among 100 random

1 runs, all runs converged and displayed a constant, global minimum Q value; higher order
2 solutions did not explain significantly more variance in the data; and factors from this solution
3 were the most physically meaningful. The following sections detail findings for each factor
4 with a focus on new insights into aerosol sources and processes due to the incorporation of
5 both the organic and inorganic aerosol fractions into the PMF analysis.

6 **3.1.1 Amine factor**

7 The Amine factor's time series, shown in Figure 4, was characterized by several short
8 duration events. The Amine factor MS (Figure 5) was distinctly different from the other
9 factors due to the presence of fragments such as m/z 30, 58, 86, and 100. This factor also
10 contained significant signal at m/z 30, with the m/z 30/46 ratio much higher than that for
11 nitrate, suggesting the presence of other ions (e.g., CH_4N^+). The organic functionality of this
12 factor was examined through the delta (Δ) pattern displayed by its mass spectral profile,
13 whereby $\Delta = m/z - 14n + 1$ (where n is the number of CH_2 groups left on the functional group)
14 (McLafferty and Tureček, 1993). Given its characteristic fragments, and strong $\Delta = 3$ pattern
15 (i.e., 30, 44, 58, 72, 86, 100, etc.) representative of alkyl amines ($\text{C}_n\text{H}_{2n+2}\text{N}$), this factor was
16 assigned as Amine. The Amine factor was robust in the solution, emerging in each solution
17 involving at least three factors. In terms of assessing rotational ambiguity from FPeak
18 analysis, the Amine factor appeared robust, and rotationally fixed (Figure 5).

19 Gas and particle phase amines have been recorded in the troposphere for some time, and can
20 be emitted from a variety of sources. The largest global sources of amines are animal
21 husbandry, industrial operations, and waste-water treatment (Ge et al., 2011). Gaseous
22 aliphatic amines at high concentrations can have serious consequences for human health, with
23 effects ranging from irritation of mucosal membranes, to blood clots, and potentially cancer
24 (Greim et al., 1998). Particle-phase amines have been measured in widely different settings,
25 ranging from rural areas in Utah (Silva et al., 2008) and Ontario (McGuire et al., 2011;
26 Rehbein et al., 2011), to heavily urbanized areas, such as Mexico city (Aiken et al., 2009),
27 Riverside, California (Pratt et al., 2009), and Toronto (Tan et al., 2002; Rehbein et al., 2011).
28 In this study, the measurement site was located in an urban industrial setting, with known
29 amine sources located nearby: a waste-water reclamation plant, and a major amine chemical
30 manufacturer were located 1 and 13km to the southwest, respectively. According to the TRI
31 and NPRI inventories, the amine manufacturer was the largest monitored emitter of TEA in
32 2005 in the Windsor/Detroit region (Environment Canada, 2013; US EPA, 2013). The strong

1 southwest directionality observed in the CPF (Figure 8a) indicated that both of these sources
2 may have contributed. The sharp bursts in temporality were consistent with local sources,
3 such as fugitive emissions from industrial operations.

4 In order to quantify the Amine factor's mass concentration, it was necessary to obtain an
5 estimate of the factor's effective RIE. Unfortunately, an effective RIE could not be
6 determined through application of the traditional AMS fragmentation table, as the factor
7 contained amines that were not represented in the table. It is possible to alter the
8 fragmentation table to include additional species, provided the nature of the measured species
9 is known, and a species fragmentation pattern is available. This has previously been
10 accomplished, for instance, with methanesulphonic acid (MSA) (Zorn et al., 2008; Langley et
11 al., 2010; Chang et al., 2011). In a study by Chang et al., MSA could be positively identified
12 due to unique marker fragments and a lack of interfering species, and its mass could be
13 calculated through application of a laboratory determined fragmentation pattern and RIE
14 (Chang et al., 2011). Taking this approach was not obvious for the present study, as the
15 particular amine compound(s) could not be easily identified, and there was a possibility that
16 the factor represented a linear combination of different amine species with different RIEs and
17 fragmentation patterns.

18 Nonetheless, the nature of the Amine factor was investigated to determine a potential factor
19 RIE for mass estimation. Amines have been shown to exhibit a wide range of RIEs,
20 depending on their chemical nature. AMS measurements of amines present in salt form, such
21 as methylammonium chloride, dimethylammonium chloride, and trimethylammonium
22 chloride, have shown that the amine fraction in these compounds can display RIEs from 5 to
23 10 (Silva et al., 2008). However, oxidized alkyl amines such as trimethylamine-n-oxide
24 (TMAO) have been shown to ionize with an RIE of 1.3, a value closer to organics (RIE = 1.4)
25 (Silva et al., 2008). It has been hypothesized that aminium salts exhibit a relatively high RIE
26 due to surface ionization on the AMS vaporizer, similar to that observed for potassium salts
27 (Silva et al., 2008). Thus, depending on the type of amine compound or mixture of
28 compounds the Amine factor represents, its RIE may lie within a wide range (i.e., 1.3 – 10).

29 A reasonable estimate for an effective RIE for the Amine factor was sought by examining the
30 data for a dominant particle phase amine formation pathway, namely for signs of aqueous
31 dissolution, acid-base reaction, or oxidation (Ge et al., 2011). First, the Amine factor time
32 series was examined relative to external measurements. Dissolution into water droplets was

1 investigated by comparing the time series with periods of rain, fog, or high RH: no
2 association could be identified as the Amine factor often appeared on clear days with lower
3 RH. Reaction with acidic species was also considered through time series analysis of the
4 extent of neutralization, a useful metric for determining periods of particle acidity. However,
5 this metric cannot provide reliable information, as NO_3^- cannot be properly quantified prior to
6 PMF analysis due to amine interferences. The temporality of the Amine factor was also
7 investigated because the daytime maximum identified for a similar factor identified by Sun et
8 al. suggested that photo-oxidation and condensation can also be a likely formation pathway
9 (Sun et al., 2012). However, no consistent diurnal trend was noted. Docherty et al. reported
10 similar difficulty in determining the origins of an amine related factor through time series
11 analysis of results from a $\text{PMF}_{\text{Org MS}}$ analysis (Docherty et al., 2011).

12 Mass spectral comparison to laboratory generated amine MS provided better indication as to
13 the chemical nature of the Amine factor. Among comparisons with mass spectra from
14 suspected amine compounds reported in the NIST library, the Amine factor's profile was
15 most similar to that of triethylamine (TEA: $\text{C}_6\text{H}_{15}\text{N}$, 101 g mol^{-1}), as demonstrated in Figure S
16 2.1 ($r^2 = 0.23$) (Stein, 2013). However, direct comparisons between AMS and NIST mass
17 spectra are interpreted with caution, as they use different ionization techniques which can lead
18 to mass spectral differences (Canagaratna et al., 2007a). Nonetheless, the amine spectra
19 showed the same characteristic peaks (i.e., m/z s 56, 58, and 86).

20 Examination of AMS mass spectra of amines provided further perspective. Amines have
21 been studied by AMS in chamber experiments to examine potential reaction pathways, for
22 example oxidation, such as by nitrate radical (Murphy et al., 2007; Silva et al., 2008; Malloy
23 et al., 2009), and reaction with acid gases such as HNO_3 (Murphy et al., 2007; Silva et al.,
24 2008). These different mechanisms can actually lead to similar mass spectra (Malloy et al.,
25 2009). The MS of the Amine factor in this study was determined to be very similar to that of
26 TEAN reported by Murphy et al. (2007), resultant from reaction between TEA and HNO_3 ,
27 with signal at m/z s 30, 46, 58, 86 and 100 (Murphy et al., 2007). One sign of reaction
28 formation of amine salts from reaction with HNO_3 , as reported by Malloy et al., is the
29 presence of significant signal from NO^+ and NO_2^+ (at m/z 30 and 46) (Malloy et al., 2009).
30 Examination of the MS from the Amine factor showed that signal was very high at m/z 30,
31 and some signal was also present at m/z 46, although as mentioned previously, m/z 30 can also
32 represent CH_4N^+ , and CH_2O^+ . There were no mass spectral signs to suggest an oxidation

1 mechanism over salt formation. Ultimately, the factor was interpreted as being dominated by
2 TEAN.

3 With this interpretation, an effective RIE for the factor was calculated. This was achieved by
4 assuming a neutralized factor, and that TEAN was the only component. Though there did
5 appear to be other contributions (e.g., SO_4^{2-}), these appeared very low. The nitrate fraction
6 was calculated using the nitrate fragmentation pattern, with m/z 30 altered to reflect the
7 isotopic ratio between m/z 30 and 46, obtained from calibration. The RIE of the amine
8 fraction was determined by assuming factor neutrality, and that the remaining mass following
9 subtraction of nitrate was triethylammonium. An RIE for the amine fraction of 6.0 was
10 determined from this calculation, which fell within the range of RIEs previously measured for
11 various amine salts. With the nitrate fraction taken into consideration, an effective RIE of 4.3
12 was established. This amine salt interpretation appeared to provide reasonable mass
13 concentrations, as the calculated RIE resulted in spikes (<2hrs) reaching a magnitude of $4.8\mu\text{g}$
14 m^{-3} , while an RIE of 1.3 reflective of oxidized amines, resulted in concentrations exceeding
15 $15\mu\text{g m}^{-3}$. While the factor was assumed to be dominated by TEAN, its exact nature could
16 not be validated; no amine fragmentation pattern proved an exact match, and external high
17 time resolution collocated sub-micron PM mass measurements were not available to validate
18 the RIE through PM mass comparison. In considering acid-base chemistry, calculations by
19 Murphy et al. have showed that the formation of aminium salts from the reaction of HNO_3
20 and TEA is only thermodynamically favorable in the presence of very low NH_3 (Murphy et
21 al., 2007). These conditions may have been provided by plumes from a nearby source.

22 Four studies to date have identified an amine related factor through PMF of AMS mass
23 spectra to the authors' knowledge (Aiken et al., 2009; Docherty et al., 2011; Hildebrandt et
24 al., 2011; Sun et al., 2012). The analyses by Aiken et al., Docherty et al., and Hildebrandt et
25 al. all extracted the amine factors from PMF of the organic MS, while Sun et al. extracted it
26 from the full MS. Since the former three applied PMF to only the organic MS, significant
27 mass that may have been associated with this factor (i.e., m/z 30) was potentially not captured.
28 Furthermore, the latter study did not take into account the potential for a wide range of RIEs
29 for the amine-related species, as highlighted by Silva et al. (2008), and discussed in this study.
30 Regardless of the exact methodology, it can be seen that PMF can be effective in resolving
31 atypical factors, such as amines.

1 3.1.2 Sulphate-OA factor

2 The time series and mass spectral profile of the Sulphate-OA factor are shown in Figures 4
3 and 5 respectively, and the chemical composition breakdown for this factor is shown in
4 Figure 7. A mass spectral comparison between the Sulphate-OA factor and the published MS
5 of atomized $(\text{NH}_4)_2\text{SO}_4$ (Hogrefe et al., 2004), shows that they exhibit the same major peaks
6 at m/z 16, 17, 48, 64, 80, and 81, and compare well with an r^2 of 0.74. The Sulphate-OA
7 factor on average contributed $1.81\mu\text{g m}^{-3}$, or 25% to the sub-micron PM mass, and showed the
8 highest mass concentrations towards the beginning of the campaign when air masses
9 originated from the south. Overall, this factor showed a slight correlation with $\text{PM}_{2.5}$ mass
10 concentration ($r^2 = 0.21$). A moderate correlation with SO_2 ($r^2 = 0.31$) implied that this factor
11 was likely not only influenced by long range transport, but also by local sources. A more
12 local influence was determined through examination of these temporal trends, which showed
13 that several spikes in the Sulphate-OA factor coincided with large spikes (up to 58ppb) of
14 SO_2 . Local (within the metropolitan area) and local-to-regional (within $\sim 100\text{km}$) geographic
15 origins for the Sulphate-OA factor were investigated by CPF. This highlighted a strong
16 association with emissions from the southwest (Figure 8b), which was consistent with some
17 local and local-to-regional coal fired power plants (Figure 1). Three large SO_4^{2-} spikes were
18 observed on January 19th, 24th, and 27th: the first two were associated with the west-
19 southwest, and the last with southeast, and all of which were likely associated with local coal-
20 fired power plants. A smaller, yet still significant influence from the northeast was also
21 observed, which may have been associated with emissions from coal plants located to the
22 northeast. The aforementioned spikes were all associated with large excursions in SO_2 (24,
23 34, and 58ppb respectively), and each lasted about 2-6 hours. While the CPF on the whole
24 demonstrated strong directionality to the southwest, this method cannot resolve how far away
25 the responsible source(s) actually are located. Since southwesterly winds in Windsor are also
26 consistent with typical synoptic flows for this region even in winter, and there are known
27 large SO_2 emissions sources located further away in the Ohio River Valley, regional
28 influences for the Sulphate-OA factor were also investigated using the PSCF (Figure 9a). The
29 PSCF calculations for all factors were performed using data greater than the 50th percentile,
30 but for the Sulphate-OA factor, the three spikes associated with more local emissions were
31 removed. The PSCF highlighted high probability source regions around the Ohio River
32 valley, an area known as a major SO_2 source due to the presence of many large coal fired
33 power plants. A dominant regional influence was also demonstrated by a reasonably constant

1 diurnal profile (Figure 11a). The geographic origins of the factor were also consistent with
2 those of a Sulphate factor derived from a long-term receptor modeling study of Windsor
3 (Jeong et al., 2011), and the nearby rural location of Harrow, Ontario (McGuire et al., 2011).

4 As the NH_4^+ and SO_4^{2-} contained within the Sulphate-OA factor likely formed an $(\text{NH}_4)_2\text{SO}_4$
5 salt, the extent of neutralization was calculated (Table 2). It was assumed that the only
6 species capable of participating in the neutralization reaction, in this factor and in others, were
7 NH_4^+ , NO_3^- , SO_4^{2-} , and Cl^- . Ammonium species were assumed to have been effectively
8 separated into the Amine factor through PMF and thus it was assumed they did not contribute
9 to the neutralization of other factors. The extent of neutralization (Neut_{Ext}), reported as the
10 ratio of cations/anions in units of molar equivalents, was defined by:

$$11 \quad \text{Neut}_{\text{Ext}} = \left(\frac{\frac{\text{NH}_4^+}{18}}{\frac{2\text{SO}_4^{2-}}{96} + \frac{\text{NO}_3^-}{62} + \frac{\text{chl}}{35.5}} \right), \quad (4)$$

12 where a neutral factor shows a Neut_{Ext} of 1, and acidic factors show values less than 1.

13 The extent of neutralization of the Sulphate-OA factor was 0.99, indicating that the factor was
14 reasonably neutral. It should be noted that a source of uncertainty in this value lies in the use
15 of default RIE values for most species. Despite this potential uncertainty, the factor appeared
16 neutral, similar to several other factors. Rotational analysis showed that the composition of
17 this factor, and thus the degree of neutralization, did not change with FPeak rotations (Figure
18 S-2.5). This suggested that regional rather than local sources of SO_4^{2-} had a greater influence
19 on the chemical composition of this factor. While the Sulphate-OA factor appeared
20 neutralized, simultaneous SO_2 and SO_4^{2-} spikes suggested contributions from more local,
21 possibly primary SO_4^{2-} emissions sources, which may have been more acidic. As highlighted
22 in Figure 10a, these SO_4^{2-} events, while high in mass, did not account for a significant fraction
23 of the total sulphur due to the magnitude of the coincident SO_2 spikes (up to 65ppb, 1 min
24 average). This could be attributed to the observed winter conditions that do not favor rapid
25 oxidation of SO_2 . Interestingly, a smaller SO_4^{2-} size distribution was observed during these
26 spikes (from AMS p-ToF data), substantiating local SO_4^{2-} contributions. Figure 10b shows
27 that SO_4^{2-} measured over the entire campaign showed an average modal size of 500nm
28 (accumulation mode consistent with regional transport), while the size modes observed during

1 the spikes were much smaller (150 – 250nm). These results indicate that proximate sources
2 of SO_4^{2-} contributed to the total SO_4^{2-} , particularly during the largest SO_4^{2-} spikes.

3 Examination of the residuals from the six factor solution shows that some AMS signal cannot
4 be accounted for during the Sulphate-OA factor/ SO_4^{2-} spikes, indicating that another factor
5 may be required to more fully explain the mass during these spikes. As a result, higher order
6 solutions were investigated, and are reported in the supplementary material. Figure S-2.12
7 shows that at eight factors, the Sulphate-OA factor split into two factors: a Regional Sulphate
8 factor that was characterized by synoptic-scale temporal rises, and a Local Sulphate factor
9 that captured the SO_4^{2-} spikes. While the Local Sulphate factor appeared meaningful in that it
10 captured residual SO_4^{2-} , the eight factor solution could not be justified for several reasons,
11 which are further detailed in the supplement. First, while the Local Sulphate factor appeared
12 acidic ($\text{Neut}_{\text{Ext}} = 0.25$), consistent with more local origins, the Regional Sulphate factor in the
13 eight factor solution now appeared over neutralized ($\text{Neut}_{\text{Ext}} = 1.27$), relative to a reasonably
14 unchanged, and neutral Nitrate-OA factor. Second, the OOA factor appeared split, resulting
15 in an OA factor which did not show a strong enough resemblance to any known, ambient
16 deconvolved OA. Finally, the correlation between the HOA factor MS from the eight factor
17 solution and the reference HOA was worse than in lower order solutions. Mass spectral
18 examination showed that more signal was apportioned to m/z 16 and 17 in higher order
19 solutions; this effect was mainly attributed to solution uncertainty, as FPeak analysis of the
20 six factor solution showed some variability in these fragments upon rotation. Although the
21 Sulphate-OA factor from the six factor solution did not fully capture the variability and types
22 of SO_4^{2-} observed, it appeared stable, with a low degree of rotational uncertainty (Figure S-
23 2.5). It is possible that the Local Sulphate factor could be extracted more definitively if it
24 were more prominent in the dataset. However, resolving acidic factors may not always be
25 realistic, as data quality, receptor site complexity and atmospheric dynamics can all influence
26 factor resolution. Nonetheless, resolving acidic factors could be useful from a
27 parameterization perspective for resolving the effects of acidic aerosols on health, impacts on
28 materials, or acidic seed particle chemistry, such as the competition between NH_3 and organic
29 gas uptake to acidic SO_4^{2-} containing particles (Liggio et al., 2011; Liggio and Li, 2013).

30 The organic fraction of the Sulphate-OA factor was extracted for comparison with the organic
31 fraction of other factors, as well as published organic factor MS (Figure 6). Uncertainty in the
32 organic fraction was assessed through rotational analysis, and was found to be low suggesting

1 that these organics were reasonably rotationally fixed. The organic composition amongst
2 factors extracted using the PMF_{Full MS} analysis was assessed against that from other studies.
3 Only one of the past three similar studies, that of Sun et al., (2012), was sufficiently similar
4 for comparison; Chang et al., involved Arctic aerosol, and the analysis by Crippa et al., only
5 involved the organics and SO₄²⁻. The Sulphate-OA factor contained 21% organics by mass,
6 accounting for 16% of the total measured organics; interestingly this value was the same as
7 that produced in the analysis by Sun et al., (2012). Although both of these studies were
8 conducted in highly urban environments, such an agreement is interesting, considering that
9 different organic factors were found. Compared to other factors from this study with
10 significant organic content (i.e., > 15% by mass), the Sulphate-OA factor organics were most
11 similar to typical OOA mass spectra, and were the most highly oxidized according to the
12 associated F44 and O/C ratio. The F44 metric (fractional contribution of *m/z* 44 within the
13 organic MS) has been used in PMF_{Org MS} studies to assess the degree of oxygenation of OOA
14 factors, as it primarily represents CO₂⁺, the most prevalent oxygenated fragment within the
15 organic MS. An empirical relation between F44 and the atomic oxygen to carbon ratio (O/C)
16 within a PMF OOA factor has been developed based on a collection of laboratory and field
17 study data (based on PMF_{Org MS} analyses) (Aiken et al., 2008), and has been used to estimate
18 the O/C ratio for similar factors in other studies, including previous PMF studies of combined
19 organic and inorganic mass spectra (Chang et al., 2011; Sun et al., 2012; Crippa et al., 2013b).
20 An F44 of 0.15 (estimated O/C = 0.65) was calculated for this factor, the highest value from
21 this study and consistent with the average values obtained for OOA for two-component OA
22 datasets (i.e. HOA and OOA) across the Northern Hemisphere (F44 = 0.14 ± 0.04, O/C = 0.62
23 ± 0.15, mean ± 1σ) (Ng et al., 2010).

24 Many previous AMS PMF studies have shown that a high degree of correlation exists
25 between SO₄²⁻ and OOA (Lanz et al., 2008; Ulbrich et al., 2009; Slowik et al., 2010; Richard
26 et al., 2011). However, this analysis has been useful for sub-apportionment of the oxygenated
27 organic fraction of OA to different factors, as the Sulphate-OA factor was found to contain a
28 notable fraction (31%) of the total OOA (defined here as the proportion of *m/z* 44 signal
29 apportioned to this factor, excluding the Amine factor), and in this case, the most oxidized
30 fraction of the OOA. The higher degree of oxygenation exhibited by this factor is consistent
31 with its regional origins from the south, and a longer atmospheric lifetime. Although the
32 mixing state of particles associated with this factor cannot be positively deduced from these
33 data, two extremes exist. Either this factor mainly represents externally mixed OOA and

1 (NH₄)₂SO₄ particles that exhibit similar temporality due to regional transport, or it represents
2 internally mixed (NH₄)₂SO₄ particles coated by lower volatility SOA during regional transit.

3 In summary, the Sulphate-OA factor was neutralized and associated with the most highly
4 oxygenated organics. Cross-apportionment of the most oxygenated organics measured during
5 this campaign further reinforced this factor's aged, regional nature. While the Sulphate-OA
6 factor appears to be dominated by regional transport of neutralized ammonium sulphate,
7 minor contributions from more local, primary SO₄²⁻ sources were present as well.

8 **3.1.3 Nitrate-OA factor**

9 The Nitrate-OA factor time series and mass spectrum are shown in Figures 4 and 5
10 respectively, and its contribution to total mass is shown in Figure 7. Of all the factors, it
11 contributed most to the sub-micron PM mass, with an average mass concentration of 3.19 μg
12 m⁻³ (45%). Significant accumulation was observed towards the end of the campaign, when a
13 severe nitrate episode occurred, and this factor's mass alone exceeded 20 μg m⁻³.

14 Deconvolution of the Nitrate-OA factor into its component species (Table 2) shows that it was
15 mostly composed of NH₄⁺ and NO₃⁻ (78% by mass). However, other species such as organics
16 and SO₄²⁻ also comprise a significant mass fraction (16% and 6% respectively). As with the
17 Sulphate-OA factor, the Nitrate-OA factor demonstrated identical organic composition to the
18 NO₃-OA factor found in the study by Sun et al., (2012). That both of these largely inorganic
19 factors were highly similar to another study conducted in New York City is a possible
20 indication of consistent internal and/or external mixing between these combined inorganic
21 and organic species in these types of inorganic factors. The dominance of ammonium nitrate
22 in this factor's mass spectrum was confirmed by a high correlation with published NH₄NO₃
23 standard spectra ($r^2 = 0.96$) with peaks at m/z 17, 18, 30, and 46 (Hogrefe et al., 2004).
24 Comparison with NH₄NO₃ calibration mass spectra showed that it also compared well,
25 although the factor's m/z 30 to 46 ratio was 19% higher than the calibration mass spectrum,
26 which was likely due to the presence of organics. The Nitrate-OA factor contained 20% of
27 the total measured organics during the campaign, or 31% of the oxidized organics (as defined
28 by the fraction of m/z 44 apportioned to this factor). The nitrate-bound organics displayed the
29 second highest F44 (0.12) of all the factors from the PMF_{Full MS} analysis. The F44 of 0.12
30 corresponded with an estimated O/C = 0.54, which when compared to OA components from
31 PMF_{Org MS} studies, falls between typical values for OOAI and OOAI indicating moderately
32 oxidized organics (Ng et al., 2010). Several previous PMF_{Org MS} studies have found an

1 association between NO_3^- and OOAI, suggestive of temperature dependent volatility (Lanz et
2 al., 2007; Ulbrich et al., 2009). The diurnal trend for the Nitrate-OA factor partly suggests
3 semi-volatile behavior, due to a slight inverse relationship with temperature (Figures 11b and
4 e), however slightly higher nighttime values may also be associated with decreased mixing.
5 As a stronger inverse relationship was noted for the OOA factor than Nitrate-OA, it was likely
6 that the Nitrate-OA factor was likely more regionally influenced. This was reinforced by an
7 m/z 44 to 43 ratio of 1.5, which was lower than that for the Sulphate-OA factor, yet still
8 higher than that expected for OOAI. The extent of neutralization shows that the ratio of
9 cations to anions was near unity (1.04), suggestive of a reasonably neutral factor. FPeak
10 rotational analysis demonstrates that the Nitrate-OA factor appears rotationally fixed (Figure
11 S-2.5).

12 Sources of NH_4NO_3 precursor gases (i.e., NH_3 and NO_x) in the Windsor region are abundant:
13 constant vehicle traffic, in large part from the nearby border crossing, provides a constant
14 supply of NO_x (38.0 ± 35.0 ppb), as well as minor contributions of NH_3 (Li et al., 2006; Godri
15 et al., 2009). While the Windsor/Detroit area may not comprise as significant a source region
16 for NH_3 as rural agricultural areas, many point industrial (e.g., wastewater reclamation plant)
17 and diffuse (e.g., traffic, population) sources do emit large quantities of NH_3 . According to
18 Environment Canada's NAPS monitoring network, NH_3 levels are lowest in wintertime in
19 Windsor, yet are still detectable: using the multi-year average NH_3 wintertime concentration
20 measured by ion chromatography, the average wintertime NH_3 concentration in downtown
21 Windsor between 2004 and 2007 was 0.5 ± 0.6 ppb, which exceeds the 0.1 ppb detection limit
22 for this analytical method. Figure 11b presents the diurnal trend for the Nitrate-OA factor.
23 Noted along with a slight diurnal profile that was likely influenced by both temperature
24 (Figure 11b), as well as reduced mixing overnight and into the early morning, were two small
25 peaks consistent with morning and afternoon rush-hour traffic, at 09:00 and 17:00. These
26 peaks were likely due to NH_4NO_3 formation from traffic emissions. A more general traffic
27 contribution to the Nitrate-OA factor was observed by moderate and slight correlations with
28 black carbon (BC) ($r^2 = 0.31$), and NO ($r^2 = 0.17$) respectively, both traffic tracers.

29 The geographic origins of the Nitrate-OA factor were explored. The CPF, presented in Figure
30 8c, did not reveal any significant directionality; this indicated that this factor was not
31 significantly influenced by any local point sources, but did not discount potential
32 contributions from local diffuse sources, such as traffic. The regional nature of the factor was

1 explored through the PSCF (Figure 9b), which highlighted areas of high source probability to
2 the south and southwest. In comparison with the PSCF from the Sulphate-OA factor, the
3 Nitrate-OA factor also appeared more regionally influenced than the Sulphate-OA factor, yet
4 from less specific source regions. Regional influences are more likely in winter compared to
5 summer in this location, as nitrate can persist and be transported greater distances provided
6 cold enough temperatures. In summary, the Nitrate-OA factor contributed most to sub-
7 micron PM mass, was associated with moderately oxidized organics, and was likely attributed
8 to both local and regional sources, with regional contributions likely dominating.

9 **3.1.4 Chloride factor**

10 Presented in Figures 4 and 5 respectively are the time series and mass spectral profile of the
11 Chloride factor. The chemical composition breakdown for this factor is shown in Figure 7.
12 Strong peaks observed at m/z s 16 and 17 indicate significant NH_4^+ content, while peaks at
13 m/z s 35, 36, 37 and 38 indicate chloride. The factor appeared to be dominated by NH_4Cl , as
14 it compared well with the NIST mass spectrum for this species ($r^2 = 0.71$). The isotopic ratio
15 of m/z 35 to 37 matched that from the AMS chloride fragmentation pattern (3.09).
16 Application of the AMS fragmentation table to the MS demonstrates that NH_4^+ and Cl^-
17 contributed 28% and 27% respectively to the mass of this factor, however, other species were
18 also evident, namely NO_3^- (13%), organics (13%) and SO_4^{2-} (18%). This study represents the
19 first PMF analysis of AMS mass spectral data to apportion NH_4^+ and Cl^- to a unique factor
20 suggestive of mostly ammonium chloride. The extent of neutralization (1.09) shows that this
21 factor was fairly close to neutral, taking into account all identifiable ions (i.e., NH_4^+ , Cl^- , NO_3^-
22 , and SO_4^{2-}), and considering potential PMF error and uncertainty in RIEs.

23 Interestingly, the factor was composed 13% by mass of organics (Figure 6). The most
24 significant organic peaks were m/z 29, 41, and 55, and mass spectral delta pattern, with a $\Delta =$
25 0 (i.e., m/z 27, 41, 55, 69, etc.) was noted (McLafferty and Tureček, 1993). However, despite
26 a distinct delta pattern, the factor showed rotational uncertainty that precluded over-
27 interpretation of the factor's organics. With negative rotations, the Cl^- and NH_4^+ content
28 varied only slightly. However, rotation in both the positive and negative direction caused
29 significant changes to the organic fraction delta pattern: negative rotations caused the $\Delta = 0$
30 pattern to decrease and the $\Delta = 2$ (m/z 29, 43, 57, 71, etc.) to increase, while there was
31 insignificant change in the organic mass spectral profile with positive rotations. As the
32 organic profile and content varied most significantly among all of the Chloride factor

1 components (from 18% to 40% by mass), the delta pattern could not be used with confidence
2 for factor identification. It should be noted that little uncertainty was observed for the SO_4^{2-}
3 mass fraction of the Chloride factor, indicating that the SO_4^{2-} cross-apportionment was
4 relatively robust. Although the Chloride factor showed the most uncertainty among all PMF
5 factors (Figure S-2.5), its appearance from five to eight factors suggested it was robust.

6 The presence of NH_4Cl aerosol in the atmosphere has previously been noted. Pio et al.
7 performed early experiments to assess thermodynamic behavior of NH_4Cl under tropospheric
8 conditions and found that like NH_4NO_3 , NH_4Cl formation is favored by lower temperatures
9 and higher RH (Pio and Harrison, 1987a, b). The conditions associated with the Chloride
10 factor were examined (i.e., temperature, RH, cloudiness, fog, rain), to determine if rapid
11 meteorological changes triggered gas to particle transition. No association could be discerned.
12 However, it should be noted that from January 14th onward, on the whole, the conditions for
13 NH_4Cl were favorable with a mean temperature of $-8 \pm 5^\circ\text{C}$, and RH of $73 \pm 13\%$.

14 Although not directly measured, particulate NH_4Cl has been speculated to be present in
15 several settings due to high correlation between its component species. Perron et al. have
16 reported NH_4Cl -containing particles in a Swiss alpine valley setting due to significant
17 correlation in NH_4^+ and Cl^- spikes, with Cl^- likely emitted as KCl during biomass combustion
18 (Perron et al., 2010). In a study in Mexico City, Salcedo et al., reported large, yet short-lived
19 plumes of chloride, which were coincident with spikes in both NH_4^+ and organics; the
20 simultaneous appearance of both NH_4^+ and Cl^- indicated NH_4Cl formation (Salcedo et al.,
21 2006). Precursor gases (e.g., NH_3 , HCl , and HNO_3) and their sources are plentiful in this
22 region. Although NH_3 is typically the limiting agent in these reactions, in this region, point
23 industrial (e.g., wastewater reclamation plant), and diffuse sources (e.g., traffic, population) of
24 NH_3 are numerous. There are thus two plausible mechanisms for NH_4Cl formation in the
25 Windsor/Detroit region. In the first case, NH_3 could react with primary HCl which has been
26 emitted directly by anthropogenic sources. According to NPRI and TRI emissions
27 inventories, the largest primary emitter of HCl in Windsor/Detroit in 2005 was the River
28 Rouge Power Plant, located 3km southwest of the receptor site (Environment Canada, 2013;
29 US EPA, 2013). However, secondary reactions may lead to HCl production, and eventually
30 NH_4Cl formation as well. It is possible that acidic, ammonia poor, industrial plumes with
31 sufficient HNO_3 content may liberate HCl from certain sources, which then subsequently
32 repartitions as NH_4Cl to the particle phase under NH_3 rich conditions. Some of these sources

1 include NaCl particles from road salt and KCl from biomass burning emissions (including
2 coal combustion and domestic wood burning). Waste incineration has also been identified as
3 a potential source of short duration, strong chloride events; Moffet et al. reported Cl⁻ spikes
4 alongside with spikes in Zn and Pb-containing particles measured by ATOFMS in Mexico
5 City (Moffet et al., 2008a;Moffet et al., 2008b). These events were attributed to rapid
6 secondary HCl formation from the reaction of HNO₃ with PbCl and ZnCl in a waste
7 incineration plume. All of these sources were likely in this study: as mentioned previously,
8 there are several coal plants near the site, and a waste incinerator is located to the northwest.
9 Furthermore, given the winter-time conditions, domestic wood burning was prevalent in the
10 region.

11 Examination of the CPF (Figure 8d) and PSCF (not shown) did not provide any additional
12 information with respect to local or regional source origins. However, concurrent appearance
13 of sharp spikes in Cl⁻ and SO₄²⁻ (Figure 3), and moreover the apportionment of significant
14 SO₄²⁻ to this factor suggested an association with industrial emissions. Both of these spikes
15 corresponded with a 230-250° wind direction, consistent with coal plants to the west-
16 southwest. Despite these spikes having been partially captured by their respective, reasonably
17 neutralized PMF factors, the Cl⁻ and SO₄²⁻ observed in these spikes was likely not well
18 neutralized, as concurrent spikes in NH₄⁺ at these times were not as strong as those observed
19 for its counter ions. Any available NH₃ at this time was likely partitioned as (NH₄)₂SO₄ first,
20 because (NH₄)₂SO₄ formation should be thermodynamically more favorable than NH₄Cl
21 formation under the given meteorological conditions. As such, while the Chloride factor
22 appeared reasonably close to neutral on the whole, there was likely some variation in acidity
23 that was not captured by this factor, as more acidic particles appeared to be present during the
24 spikes. Furthermore, the rotational uncertainty determined through FPeak analysis may have
25 been an indication of the more dynamic nature of this factor's components. In summary, the
26 Chloride factor was most likely associated with local atmospheric processing, whereby
27 ammonium chloride secondary aerosol formed rapidly in-plume from the reaction of NH₃ and
28 HCl emissions. The source for HCl emissions may have been primary emissions from an
29 industrial facility, and/or it may have formed from secondary reactions.

30 **3.1.5 HOA factor**

31 A hydrocarbon-like organic factor (HOA) was extracted by PMF and its time series and mass
32 spectral profile are presented in Figures 4 and 5 respectively. The factor's chemical

1 composition is shown in Figure 7. Accounting for $0.94 \mu\text{g m}^{-3}$ or 13% of the sub-micron PM
2 mass during the campaign, the HOA factor represented the third largest contributor to sub-
3 micron PM mass. Delta patterns of $\Delta=0$ and $\Delta=2$ were observed in the mass spectral profile,
4 most likely corresponding to alkanes and H_2 -neutral losses from alkyl fragments and/or
5 alkenes, respectively (McLafferty and Tureček, 1993; Zhang et al., 2005). The extracted HOA
6 factor was similar to those from the Pittsburgh fall 2002 (Ulbrich et al., 2009), and the Zurich
7 winter 2006 (Lanz et al., 2008) studies, with r^2 values of 0.56 and 0.66 respectively.

8 Deconvolution of the HOA MS into organic and inorganic species was performed using the
9 AMS fragmentation table. Contributions from NH_4^+ (5%) and NO_3^- (9%) were noted,
10 indicating that this factor was likely mixed with NH_4NO_3 . FPeak rotational analysis shows
11 that although contributions of these species were moderate, their variation with rotation
12 precluded their over-interpretation (Figure S-2.5). A less than plausible extent of
13 neutralization of 1.62 also served to highlight the rotational ambiguity associated with the
14 inorganics, although this uncertainty could be a result of low overall inorganic contributions.
15 With an organic composition of 85%, it was not as similar to the HOA factor found in Sun et
16 al. (97%), as it was for other factors.

17 HOA is often referred to as a surrogate for fossil fuel combustion emissions, with traffic cited
18 as the most significant source contributor (Aiken et al., 2008). The same can be said for this
19 study; moderate r values of 0.48, and 0.64 were found between the HOA factor with BC and
20 NO respectively, pollutants which are typically associated with relatively fresh traffic
21 emissions. The HOA factor also displayed moderate and slight correlations with CO ($r^2 =$
22 0.41), particle number concentration ($r^2 = 0.21$), and aromatic hydrocarbons measured by
23 PTR-MS, such as benzene (m/z 79, $r^2 = 0.43$), toluene (m/z 93, $r^2 = 0.32$), C_2 -benzene (m/z
24 107, $r^2 = 0.58$), and C_3 -benzene (m/z 121, $r^2 = 0.54$), which are also typically associated with
25 primary anthropogenic emissions, such as traffic (Vlasenko et al., 2009). Distinct rush hour
26 peaks in the morning (05:00 – 09:00) and evening (18:00 – 22:00) further supported source
27 attribution to traffic emissions (Figure 11c). The CPF for the HOA factor provides modest
28 support for a traffic source, as excursions in this factor were moderately associated with the
29 north-east wind sector (0 - 90°), consistent with higher traffic density from the international
30 border crossing (Figure 8e). While general circulation traffic is also likely to be a significant
31 contributor to the HOA factor, many industrial operations in the region combust fossil fuels in
32 their processes, for instance heavy machinery used for onsite material transport. As such,

1 other sources may also contribute to this factor. Thus, the HOA factor represented primary
2 organic aerosol emissions, with its most significant contributions likely emitted from traffic.

3 **3.1.6 OOA factor**

4 A factor consistent with oxygenated organic aerosol (OOA) was extracted using PMF_{Full MS},
5 and its time series and MS are presented in Figures 4 and 5 respectively. On average, the
6 OOA factor comprised $0.76\mu\text{g m}^{-3}$ or 11% of the sub-micron PM mass. The fragmentation
7 table was applied to this factor to decouple the inorganic and organic species for species-
8 based mass spectral and temporal examination. The only other species associated with this
9 factor was NO_3^- (7% by mass). While the OOA factor represented the lowest F44 compared
10 to Sulphate-OA and Nitrate-OA (F44 = 0.09), it contained the highest fraction of oxygenated
11 organic aerosol, as 35% of m/z 44 was apportioned to this factor. The robustness of the factor
12 mass spectrum was examined using FPeak analysis. It was found that rotations caused the
13 minor NO_3^- concentration to vary (Figure S-2.5). With a lack of neutralizing species (i.e.,
14 NH_4^+) present, the nitrate contribution may have been attributed to model error. In examining
15 the robustness of the F44 content through FPeak analysis (Table S2.1), it varied only slightly,
16 suggesting minor rotational ambiguity with respect to the oxygenated organic fragments. In
17 terms of organic composition, this factor's organic composition (93%) was most comparable
18 to the LV-OOA factor from Sun et al., (2012), which comprised 94% organics.

19 Comparing this F44 value with previous studies, it is apparent that the F44 observed in the
20 OOA factor in this study is lower than others from two component organic results (Ng et al.,
21 2010). This observation highlights a distinct difference in PMF_{Org MS} vs. PMF_{Full MS}.
22 Typically, the contribution of m/z 44 to the total organic spectrum is highest in the OOA
23 factor when PMF is applied to only the organic MS. However, in this study it represents the
24 third most oxygenated organic component among the PMF factors, yet the highest contributor
25 to oxygenated organic aerosol. Comparing with mass spectral profiles from other studies, this
26 OOA factor more closely resembled semi-volatile OOAI factors, instead of highly
27 oxygenated OOAI factors, given the comparatively low m/z 44/43 ratio. The diurnal trend
28 provided further insight into the nature of the OOA factor (Figure 11d). It can be seen that
29 this factor was significantly higher overnight than during daylight hours, suggesting either
30 buildup in concentration in the nighttime boundary layer, or semi-volatile nighttime gas to
31 particle partitioning. The latter was suspected, as lower concentrations were observed on
32 warmer nights, suggestive of a temperature/volatility concentration dependence. In addition,

1 the OOA factor exhibited a stronger anti-correlation with temperature than the Nitrate-OA
2 factor ($r = -0.26$), suggesting that temperature played a greater role in explaining the
3 variability in the OOA factor than in the Nitrate-OA factor. This was evident in increased
4 contributions in the OOA factor mid-way through to the end of the campaign, when the air
5 was colder. These results show that less oxygenated, and thus likely more local and semi-
6 volatile OOA, appeared to be a significant fraction of the total oxygenated organic aerosol.

7 The moderately oxidized and semi-volatile behavior of the OOA factor was further
8 demonstrated through the highest correlation amongst all factors with acetaldehyde ($r^2 =$
9 0.31), a VOC with an atmospheric lifetime of less than a day. Slowik et al., also report
10 observing high correlations between a semi-volatile, OOAI factor and acetaldehyde in
11 Toronto, ON (Slowik et al., 2009), and a similar conclusion can be drawn here that this
12 factor's variability is influenced by both oxidation timescale as well as gas-particle
13 partitioning. Ultimately, this factor more closely resembles OOAI rather than OOAI.

14 The geographic origins of the OOA factor were investigated by both CPF and PSCF. The
15 CPF, shown in Figure 8f, shows a similar result as was found for the HOA factor, with a
16 moderate association with emissions to the north-east, suggestive of contributions from
17 traffic. However, on the whole, no strong directionality was observed, supporting local
18 oxidation of organic aerosol. The PSCF for this factor was also generated (not shown),
19 although no distinct source regions could be identified, reinforcing the notion that transport
20 was not responsible for the temporal behavior of OOA in Windsor's winter-time air. Hence,
21 the OOA factor mainly represented local atmospheric processing, and appeared to be
22 characterized by less oxygenated, semi-volatile organic aerosol.

23 **3.2 PMF_{Full MS} vs. PMF_{Org MS}**

24 As only two other studies to date have performed PMF on the complete or near complete MS,
25 the following section investigates the effect of including both inorganics and organics in the
26 analysis. The two to six factor solutions from PMF_{Org MS} were examined and compared to the
27 six factor PMF_{Full MS} solution. Based on the mass spectral profiles and PMF diagnostics, the
28 three factor solution provided the most physically meaningful results. A two factor solution
29 generated Amine and OOA factors, and moving to a three factor solution produced Amine,
30 OOA and HOA factors similar in profile to those from the PMF_{Full MS} analysis. Adding a
31 fourth factor caused the OOA factor to split, leading to another with an MS which did not

1 sufficiently resemble any known factors (nor any of the organic fractions contained within the
2 factors from the PMF_{Full MS} solution). The same applied to the five and six factor solutions. A
3 comparison between higher order solutions from the PMF_{Org MS} analysis and the organic
4 fraction of the PMF_{Full MS} six factor solution showed that they did not contain the same
5 information, indicating that organics were resolved differently between the analyses. As the
6 three factor solution led to the most physically meaningful results, it was compared with the
7 six factor PMF_{Full MS} solution, and is discussed below. The three factor solution justification,
8 along with descriptions of the four to six factor solutions are presented in the supplement.

9 Overall, the organic fraction was mainly split into the HOA and OOA factors, whereby these
10 factors each contributed 53 and 47% relatively to the total OA as assessed by the PMF_{Org MS}
11 analysis. This nearly 50:50 division between these two organic components was somewhat
12 different than that found in other two component studies in urban areas, with HOA making a
13 greater contribution (Zhang et al., 2007). Such a difference could possibly be attributed to the
14 significant industrial activity and traffic contributions from the international border crossing.

15 **3.2.1 Amine factor comparison**

16 The mass spectra of the Amine factors extracted from the two PMF analyses are compared in
17 Figure 12a. The most notable difference between the two profiles was the presence of a
18 significant contribution from m/z 30 in PMF_{Full MS} analysis. The majority of this signal was
19 removed during extraction of the organic MS as it is mostly attributed to NO^+ in the
20 fragmentation table. Excellent agreement was found between the factor time series ($r^2 =$
21 0.99), indicating that this factor was similarly extracted in the two analyses (Figure 13a).

22 The extraction of the Amine factor in this study highlights advantages of applying PMF to the
23 full AMS mass spectrum for unit mass resolution data. Firstly, it can be seen that PMF can
24 provide better resolution of AMS species (i.e., SO_4^{2-} , NO_3^- , NH_4^+ , Cl^- , organics) than using
25 the traditional AMS fragmentation scheme, as this method does not pre-assume the nature of
26 chemical species. In this study, the presence of the Amine factor had consequences for the
27 estimation of organics mass concentrations, and ultimately sub-micron PM mass. A
28 comparison between the AMS resolved species calculated pre-PMF with those calculated
29 from the factors post-PMF_{Full MS} analysis (excluding any mass associated with the Amine
30 factor) is presented in Table 1. It can be seen that organics may have been overestimated by
31 up 11%. Although the PMF_{Org MS} analysis could be improved by stoichiometrically fixing the

1 contribution of the subtracted inorganic component of m/z 30 (NO^+) to m/z 46 to include
2 amine ions in the analysis, this was not performed for this analysis in order to highlight the
3 potential benefits of using the $\text{PMF}_{\text{Full MS}}$ method (namely that no a priori assumptions are
4 required regarding the chemical nature of the aerosol). Ultimately, when comparing the pre-
5 PMF sub-micron PM mass to the post- PMF reconstructed mass, it can be seen that the mass
6 may have been overestimated by up to 5% using the traditional fragmentation scheme.

7 Second, PMF of the full MS also provided better factor resolution and mass estimates than
8 PMF of the organic MS; with $\text{PMF}_{\text{Org MS}}$, the organic MS was extracted through application of
9 the organic fragmentation pattern, while in the $\text{PMF}_{\text{Full MS}}$ analysis, the full MS remained as
10 measured, except for subtraction of air and water. Comparison between the $\text{PMF}_{\text{Full MS}}$ and
11 $\text{PMF}_{\text{Org MS}}$ Amine factor time series showed that the mass of the Amine factor from the
12 $\text{PMF}_{\text{Org MS}}$ was 2.6 times greater than that from the $\text{PMF}_{\text{Full MS}}$ analysis. This difference
13 accounted for both the differences in RIE (an effective RIE of 4.3 was assumed in the $\text{PMF}_{\text{Full MS}}$
14 analysis), as well as mass contributions from other fragments (namely m/z 30, which is
15 typically associated with nitrate but may also represent amines). A more direct comparison
16 between the Amine factors resolved in the $\text{PMF}_{\text{Full MS}}$ and $\text{PMF}_{\text{Org MS}}$ analyses using $\text{NO}_3^-_{\text{eq}}$
17 mass showed that the factor resolved in the $\text{PMF}_{\text{Full MS}}$ analysis contained 25% more mass,
18 given inorganic contributions. This suggests that some factors may not be fully resolved
19 using the $\text{PMF}_{\text{Org MS}}$ method. As amine species were assigned as organics in the $\text{PMF}_{\text{Org MS}}$
20 analysis (with an RIE of 1.4 as opposed to 4.3), it can be seen that errors of up to two and a
21 half times the mass may arise from not considering alternate possibilities for the chemical
22 nature of certain species, which may become clearer through the extraction of the factor's full
23 MS. It is possible that in some cases (e.g., amine rich areas with amines of higher RIE), this
24 type of error could outweigh other AMS errors such as collection efficiency.

25 **3.2.2 HOA factor comparison**

26 Figure 12b presents the mass spectral comparison between the HOA factors resolved from
27 PMF analysis of the full MS vs. the organic MS. The HOA factor mass spectra from the two
28 PMF analyses compared very well ($r^2 = 0.98$), and good agreement was also found between
29 their time series (r^2 of 0.98); a slope of 0.91 for the time series comparison indicated that a
30 portion of this factor had been cross-apportioned to other factors in the full MS analysis
31 (Figure 13b). Due to minimal differences between MS, it was difficult to distinguish across
32 which factors this HOA was further distributed in the $\text{PMF}_{\text{Full MS}}$ analysis.

1 3.2.3 OOA factor comparison

2 Figure 12c provides a comparison between the OOA factor profiles extracted in the PMF_{Full MS}
3 and PMF_{Org MS} analyses. Enhanced cross-apportionment of m/z 44 to other factors in the
4 PMF_{Full MS} analysis is evident due to the significant difference in the relative contributions of
5 F44 in the OOA factors (i.e., PMF_{Full MS} F44 = 0.09, PMF_{Org MS} F44 = 0.15). An F44 = 0.15
6 found in the PMF_{Org MS} in this factor is comparable to that found in other studies, also
7 indicative that this factor included the highly oxidized organics that were separated into the
8 Sulphate-OA factor in PMF_{Full MS}. Comparing the time-series of the OOA factors between the
9 PMF_{Full MS} and PMF_{Org MS} analyses, a comparatively low $r^2 = 0.72$ highlighted that some OOA
10 signal was cross-apportioned to inorganic factors in the PMF_{Full MS} analysis (Figure 13c). A
11 mass difference was also observed between the factors, with the PMF_{Full MS} weighing on
12 average $0.76\mu\text{gm}^{-3}$, and the PMF_{Org MS} measuring on average $1.01\mu\text{gm}^{-3}$. A higher mass
13 attributed to the PMF_{Org MS} OOA factor is likely linked with the higher contribution from m/z
14 44. A comparison of just the organic contributions from the factors containing oxidized
15 organic aerosol (Nitrate-OA, Sulphate-OA and OOA) with the OOA factor from the PMF_{Org}
16 MS three factor solution showed that the r^2 was much improved from 0.72 to 0.94 with the
17 additional variability from the organic component of these factors (Figure 13d). A slope
18 slightly higher than unity suggested that the organic aerosol extracted from these three factors
19 was likely not entirely oxidized organic aerosol as defined from the OOA factor from the
20 PMF_{Org MS} analysis, and may have contained some less oxidized aerosol as well. This was
21 corroborated by a slope of slightly less than unity for the HOA factor comparison.

22 Interestingly, the OOA factor could not be split into more OOA factors (i.e., OOAI and
23 OOAI) using PMF analysis of the organics alone. Moving to a four factor solution resulted
24 in an OOA factor, and an Other OA factor, which could not be fully justified, as its mass
25 spectrum did not sufficiently resemble any known mass spectra to accept the solution (see
26 supplement for further details). It is likely that the inorganics in the PMF_{Full MS} analysis are
27 providing additional correlational structure to more effectively apportion organics. If
28 moderately oxygenated organics are calculated as those apportioned to the OOA and Nitrate-
29 OA factors, and highly oxygenated organics are those apportioned to the Sulphate-OA factor,
30 it can be seen that highly oxygenated organics comprise only about a quarter of the total
31 oxygenated organics. With the low prevalence of highly oxygenated organics observed in this
32 campaign, these species may not have carried sufficient weight in the model to result in the

1 splitting of the OOA factor in the PMF_{Org MS} analysis into OOAI and OOAI types. With the
2 OOA dominated by moderately oxidized organics, the addition of the inorganics introduced
3 correlational structure for these more minor, more oxidized OOA types could be isolated.

4 The effect of having performed PMF on the full MS is evident when comparing the time
5 series between SO₄²⁻ and the PMF_{Full MS} and PMF_{Org MS} OOA factors. In many previous
6 studies, particularly in urban locations, the OOA factor from PMF of the organic MS has been
7 highly correlated with SO₄²⁻. Here an r^2 of 0.38 was found between SO₄²⁻ and OOA from
8 PMF of the organic fraction. However, following PMF of the full MS, the correlation
9 between the PMF_{Full MS} OOA factor and SO₄²⁻ was markedly lower ($r^2 = 0.08$). Thus, the
10 PMF_{Full MS} OOA factor shows a different temporal character, due to removal of the highly
11 oxidized contribution. The remaining OOA that characterizes this factor thus more resembles
12 the OOAI typically found when two OOA factors are extracted during PMF_{Org MS}. Thus,
13 apportionment of organics of varying degrees of oxidation was more effectively accomplished
14 through the PMF_{Full MS} over the PMF_{Org MS} method, most likely due to correlations with
15 inorganic species of differing atmospheric behavior (e.g., volatility), and origins.

16 **4 Conclusions**

17 Six factors were identified through PMF analysis of the full AMS mass spectrum: an Amine
18 factor suspected to be triethylamine nitrate; a Sulphate-OA factor composed of mostly
19 (NH₄)₂SO₄ and highly oxidized organics; a Nitrate-OA factor consisting of mostly NH₄NO₃
20 along with moderately oxidized organics; a Chloride factor hypothesized to be mostly NH₄Cl
21 along with organics and sulphate; an HOA factor classified as mostly primary organics from
22 fossil fuel combustion; and an OOA factor, consisting of moderately oxidized organics.

23 Factor variability was governed by chemical processing, primary source emissions, and
24 transport. The OOA factor appeared to be mostly influenced by local processing as it
25 exhibited a strong nighttime diurnal trend consistent with gas to particle partitioning. While
26 both the Amine and Chloride factors showed temporal trends with brief excursions suggestive
27 of primary source emissions, rapid secondary aerosol formation could not be ruled out in
28 either case. Rapid in-plume secondary formation of the Chloride factor was supported by its
29 sporadic appearance, and concurrent appearance with short-lived spikes in SO₄²⁻, consistent
30 with industrial emissions plumes. While the precise mechanism of formation for the Amine
31 factor could not be validated, it was hypothesized to be a result of acid-base reaction of
32 primary triethylamine gaseous emissions with HNO₃. Two significant industrial sources of

1 amines located to the southwest of the measurement site were likely the main contributing
2 sources. By contrast, the main determinant for the HOA factor was primary source emissions,
3 given its strong daytime diurnal trend, and high correlations with short-lived traffic related
4 gases, such as NO. Regional transport was most likely the dominant determinant for
5 variability for the Sulphate-OA and Nitrate-OA factors, although local gas to particle
6 partitioning also appeared to contribute to the Nitrate-OA factor.

7 Allowing for PMF to cross-apportion inorganic and organic species between factors led to
8 richer conclusions regarding the potential sources, chemical nature, and behavior of certain
9 factors than would otherwise have been obtained through application of PMF of organics
10 only. First, cross-apportionment of inorganic species between factors led to some enhanced
11 aerosol chemistry conclusions, such as the relative degree of neutralization of co-varying
12 inorganic species. It was found that NH_4^+ was cross-apportioned to the Sulphate-OA, Nitrate-
13 OA and Chloride factors, and on the whole, each of these factors appeared reasonably neutral,
14 at least relative to one another. In an eight factor solution, a more acidic Local Sulphate
15 factor appeared. While this solution on the whole could not be justified, it suggests that acidic
16 factors could be extracted in other studies. Second, inclusion of inorganics led to enhanced
17 apportionment of organic aerosol, which in turn led to a better understanding of each factor's
18 degree of oxygenation and chemical nature. For instance, less oxygenated organics were
19 associated with the Chloride and HOA factors, which supported local, primary emissions
20 sources. Inclusion of the inorganics also provided additional correlational structure to
21 apportion the oxygenated organic fraction, with the most highly oxygenated OA apportioned
22 to the regional Sulphate-OA factor, and the moderately oxygenated OA apportioned to the
23 Nitrate-OA and OOA factors. Using this technique, the resulting OOA factor displayed
24 behavior typical of temperature-dependent gas to particle partitioning, while the more
25 oxidized organics contained in the Nitrate-OA and Sulphate-OA factors appeared longer
26 lived, and more associated with regional transport. Without the inclusion of inorganics, the
27 highly oxygenated and moderately oxygenated organics could not be effectively separated.

28 The methodology used in this study also proved advantageous for extracting atypical factors,
29 and for obtaining more accurate mass quantification for data obtained from a unit mass
30 resolution AMS. In this study, PMF of the full MS resulted in the extraction of the Amine
31 factor, which was only partially extracted using PMF of only the organic MS. A more
32 complete mass spectral profile including inorganic fragments (e.g., m/z 30) was extracted,

1 which permitted further exploration into the factor's chemical nature. Although its formation
2 mechanism could not be positively validated, the Amine factor was ascertained to have
3 resulted from an acid-base neutralization reaction, and a factor specific relative ionization
4 efficiency was calculated to provide improved mass estimates. This method was useful for
5 preventing overestimation of certain species, such as nitrate, when the aerosol is influenced
6 by species unaccounted for by the typical AMS analysis scheme. It is likely that this method
7 would be even more useful for HR-ToF-AMS data, due to the ability to better understand the
8 chemical nature of atypical factors from high resolution mass spectra. In summary, PMF of
9 the full AMS unit mass resolution MS has been shown to be a useful method to obtain
10 additional insights into the sources and processes governing fluctuations in non-refractory
11 sub-micron PM chemical composition, in comparison to PMF of the organics alone.

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21

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20

1 **Table Captions**

2 Table 1: Descriptive statistics for AMS measured non-refractory sub-micron PM
3 species, pre- and post-PMF analysis ($\mu\text{g m}^{-3}$).

4 Table 2: Chemical composition of the six factors from the PMF_{Full MS} analysis, extent of
5 neutralization, and F44 for each factor's organic fraction.

6

7 **Figure Captions**

8 Figure 1: The location of the sampling site (MicMac Park) in Windsor, Ontario relative
9 to major industrial sources, namely coal fired power plants, steel mills, and
10 potential large amine sources. It can be seen that the measurement site was
11 located close to the largest international border crossing between the US and
12 Canada (Huron Church road and the Ambassador Bridge).

13 Figure 2: Meteorological conditions and PM_{2.5} mass concentration from the CRUISER
14 TEOM for the MicMac Park winter campaign.

15 Figure 3: Time series of AMS-measured non-refractory sub-micron PM species.

16 Figure 4: Time series of the factors from the six factor solution from PMF_{Full MS} analysis.
17 The solid line represents $F_{\text{Peak}} = 0$, and the range of uncertainties through
18 F_{Peak} analysis (-10 and +10) is shown in the shaded regions.

19 Figure 5: Mass spectra of factors from the six factor solution from PMF_{Full MS} analysis.
20 Bars represent the central, chosen rotation, and dots show the range in mass
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22 Figure 6: Mass spectra of the organic fraction of the factors from the six factor solution
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32 Sulphate-OA factors, which show strong, yet slightly different directional
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34 Figure 9: Potential source contribution function (PSCF) plot for the Sulphate-OA (a) and
35 the Nitrate-OA factor (b) from the PMF_{Full MS} analysis. Both factors showed
36 regional source influences, with the Sulphate-OA factor showing more
37 prominent, distant influences to the south and southwest. The Nitrate-OA
38 factor showed the most prominent influences to the southwest, over Indiana
39 and Illinois.

40 Figure 10: Particulate sulphate concentration (SO_4^{2-}) vs. $\text{SO}_{2(\text{g})}$ concentration, and fraction
41 of $\text{SO}_4^{2-} / \text{Total S}$ (Total S = $\text{SO}_4^{2-} + \text{SO}_2$) (a). Due to the winter conditions,

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2 in (b) are the averaged particle size distributions of AMS SO_4^{2-} over the entire
3 campaign, as well as during the extreme SO_4^{2-} spikes. Particulate SO_4^{2-}
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10 95th percentiles. The HOA factor demonstrated a strong diurnal trend
11 consistent with traffic patterns, while the OOA factor demonstrated a trend
12 more consistent with daytime lows and overnight highs. The Nitrate-OA factor
13 showed a minor diurnal trend indicative of more regional contributions as
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17 factors found by PMF of the full mass spectra (a, b, and c). The factors are
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21 Amine factor is represented by the addition of the m/z 30 peak, and for the
22 OOA factor with the difference in magnitude of the m/z 44 peak. Dots show
23 the range in mass spectral variation from FPeak rotations (-10 and 10). Also
24 shown in (d) is the average factor composition of organics for both the PMF of
25 the full mass spectrum and of the organics only.

26 Figure 13: Scatter plots Amine (a), HOA (b), and OOA (c and d) factors comparing their
27 mass derived from $\text{PMF}_{\text{Full MS}}$ vs. $\text{PMF}_{\text{Org MS}}$ analyses. One observation
28 (01/31/2005 21:00) was removed from the HOA comparison to enhance the fit
29 of the trendline.

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1 Table 1: Descriptive statistics for AMS measured non-refractory sub-micron PM species, pre-
 2 and post-PMF analysis ($\mu\text{g m}^{-3}$).

3

	Org		NH ₄ ⁺		NO ₃ ⁻		SO ₄ ²⁻		Cl ⁻		Total	
	Pre	Post	Pre	Post	Pre	Post	Pre	Post	Pre	Post	Pre	Post
Mean	2.73	2.45	1.13	1.15	2.28	2.20	1.18	1.13	0.12	0.12	7.45	7.10
1 σ	2.41	2.17	1.01	1.04	2.58	2.53	0.97	0.91	0.18	0.17	6.30	6.12
Min	0.29	0.00	0.00	0.00	0.01	0.00	0.03	0.00	0.00	0.00	0.58	0.00
Max	28.08	24.61	5.80	6.22	12.61	12.56	9.09	7.24	3.14	2.79	43.79	41.15

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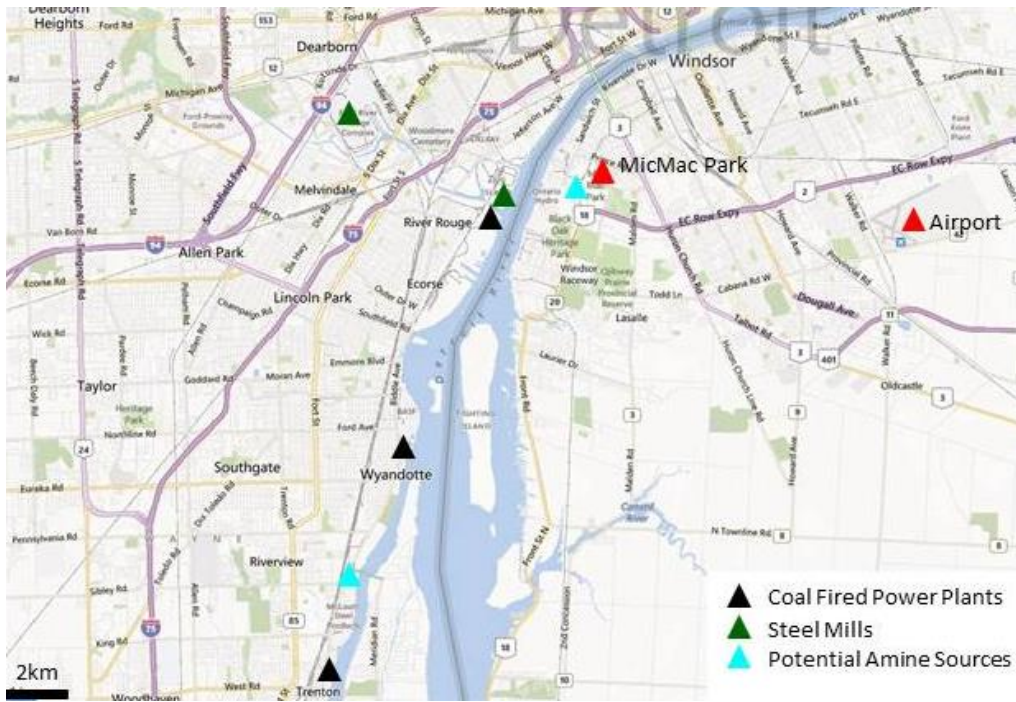
1 Table 2: Chemical composition of the six factors from the PMF_{Full MS} analysis, extent of
 2 neutralization, and F44 for each factor's organic fraction.

3

PMF Factor	Sub-micron PM Mass		AMS Species (factor mass fractional contribution)					Neut _{Ext}	F44
	($\mu\text{g m}^{-3}$)	(%)	SO ₄ ²⁻	Org	NO ₃ ⁻	NH ₄ ⁺	Cl ⁻		
Sulphate-OA	1.81	25	0.49	0.21	0.09	0.21	0.01	0.99	0.15
Nitrate-OA	3.19	45	0.06	0.16	0.58	0.20	0.00	1.04	0.12
Chloride	0.34	5	0.18	0.13	0.13	0.27	0.28	1.09	0.03
HOA	0.94	13	0.01	0.85	0.09	0.05	0.00	1.62	0.01
OOA	0.78	11	0.00	0.93	0.07	0.00	0.00	-	0.09
Amine	0.07	1	-	-	0.38	-	-	-	-

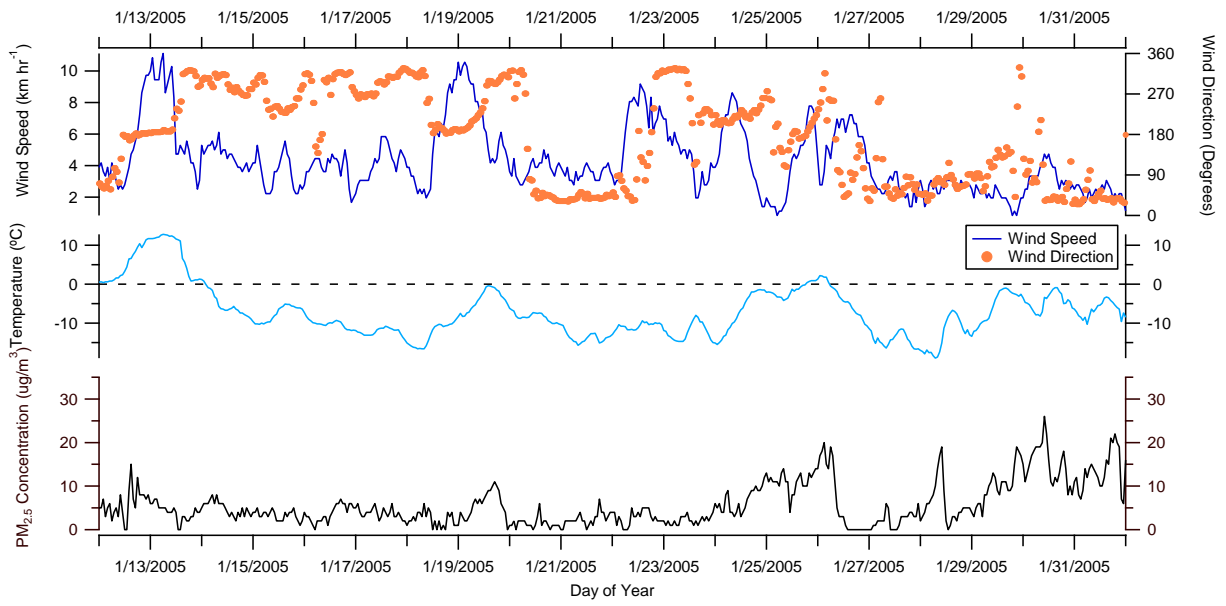
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 2 Figure 1: The location of the sampling site (MicMac Park) in Windsor, Ontario relative to
 3 major industrial sources, namely coal fired power plants, steel mills, and potential large amine
 4 sources. It can be seen that the measurement site was located close to the largest international
 5 border crossing between the US and Canada (Huron Church road and the Ambassador
 6 Bridge).
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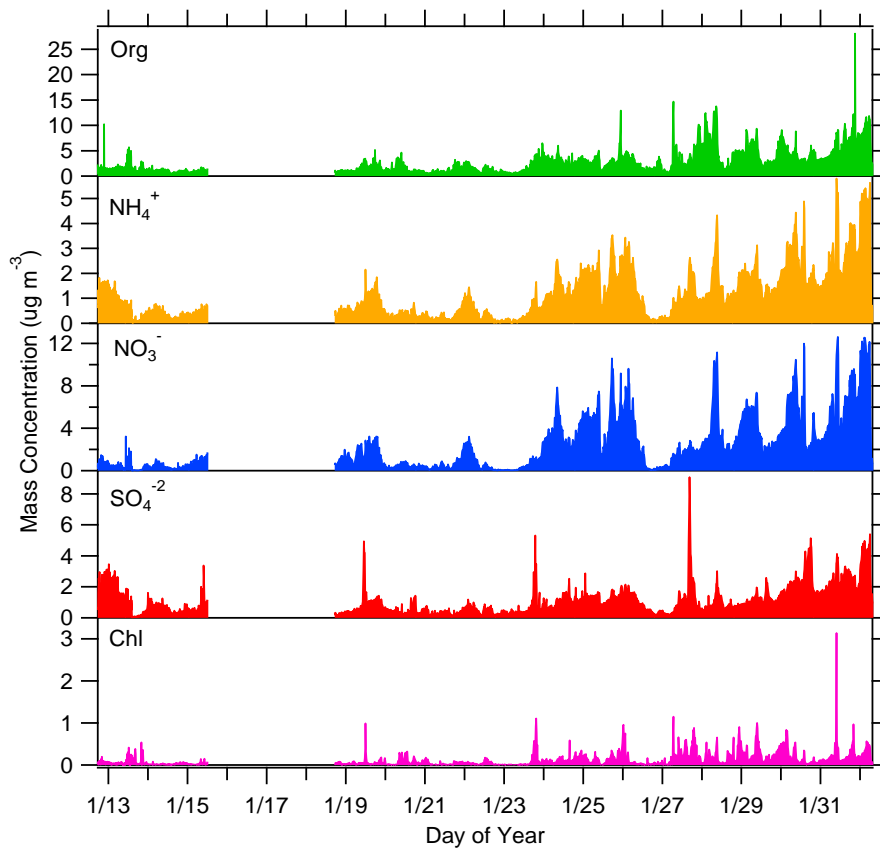


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4 Figure 2: Meteorological conditions and PM_{2.5} mass concentration from the CRUISER
5 TEOM for the MicMac Park winter campaign.

6

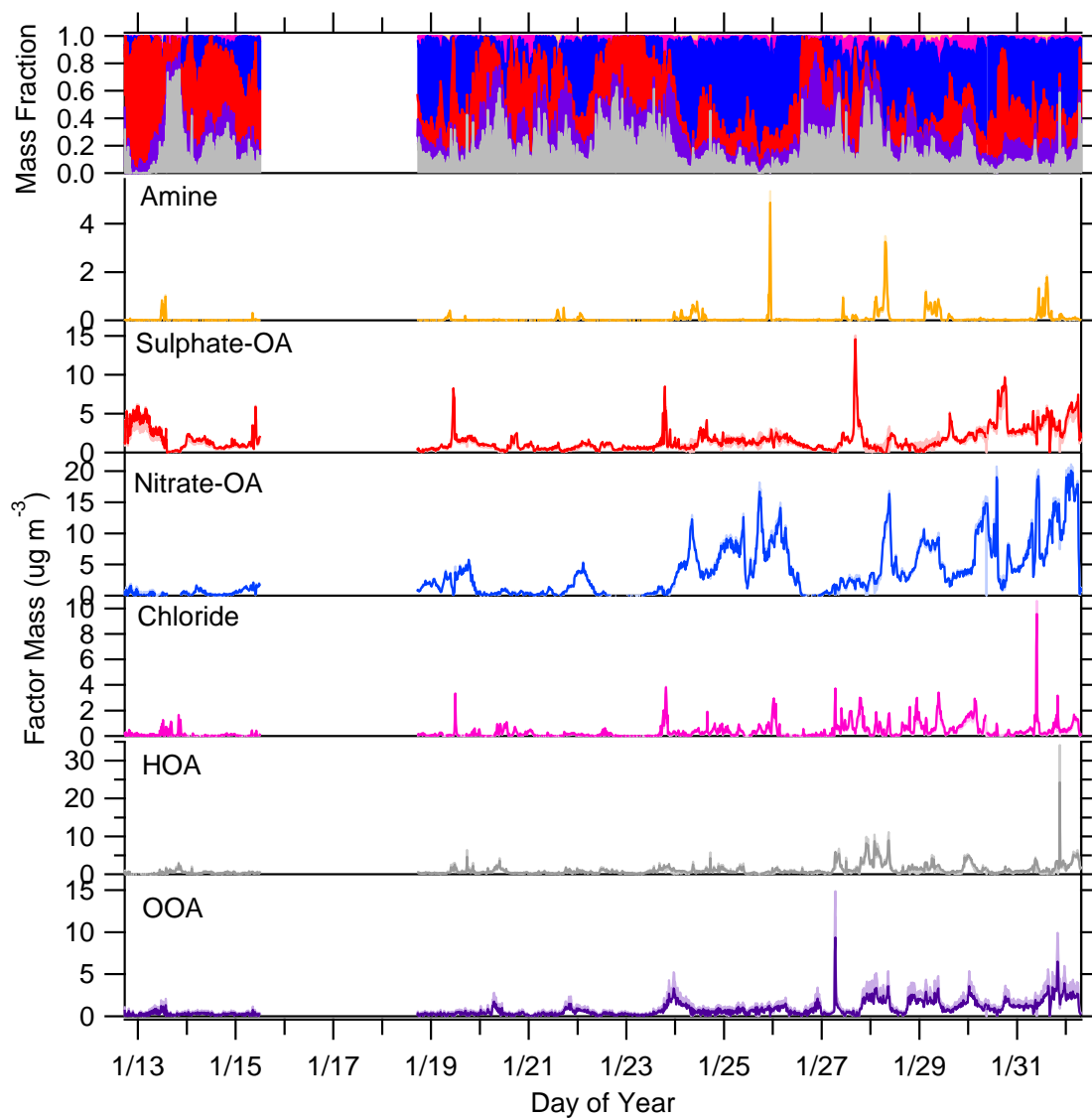


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2 Figure 3: Time series of AMS-measured non-refractory sub-micron PM species.

3

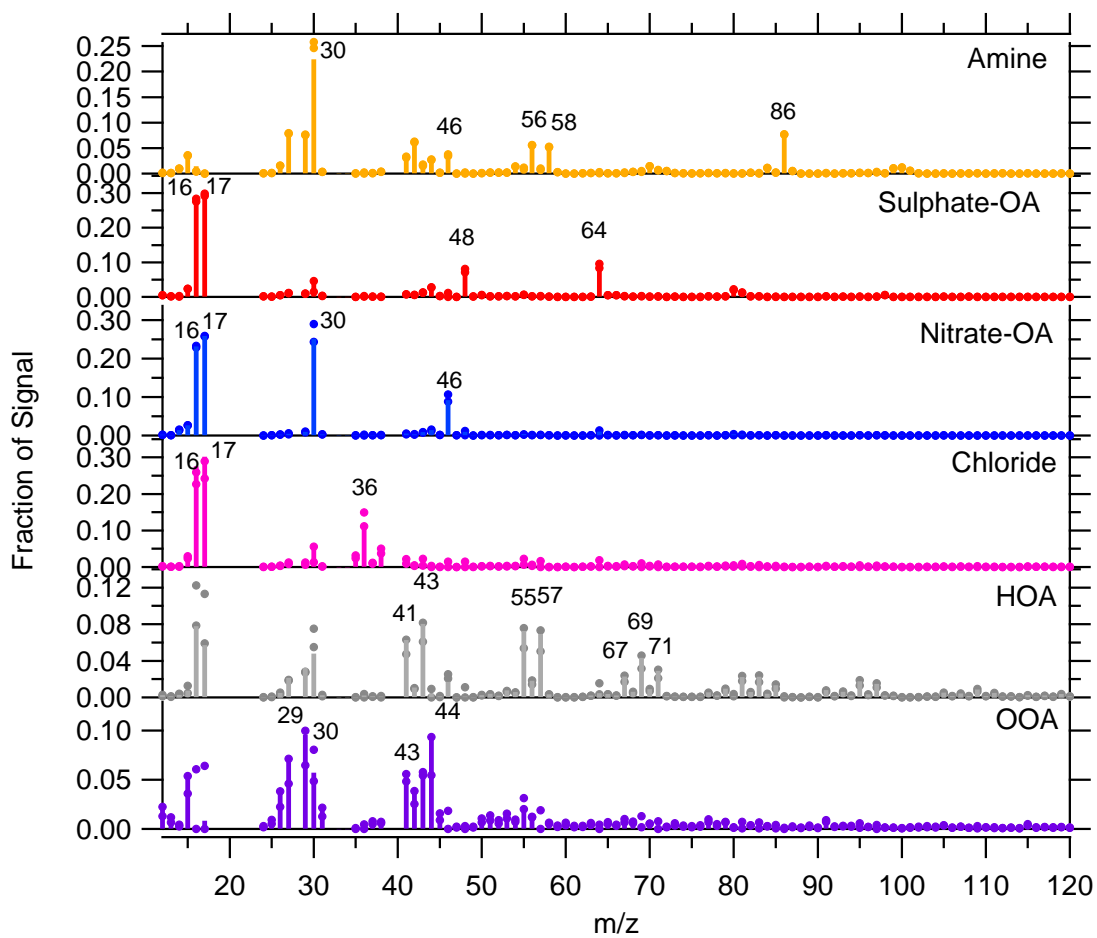
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3 Figure 4: Time series of the factors from the six factor solution from $PMF_{Full MS}$ analysis. The
4 solid line represents $F_{Peak} = 0$, and the range of uncertainties through F_{Peak} analysis (-10
5 and +10) is shown in the shaded regions.
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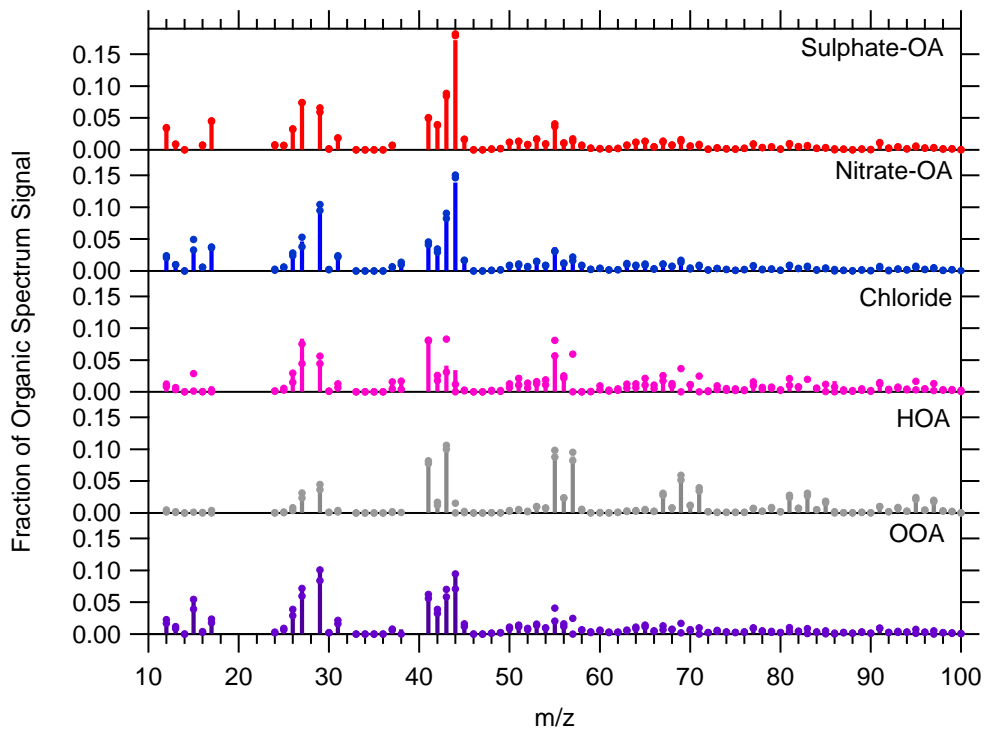
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4 Figure 5: Mass spectra of factors from the six factor solution from $PMF_{Full MS}$ analysis. Bars
5 represent the central, chosen rotation, and dots show the range in mass spectral variation from
6 FPeak rotations (-10 and 10).

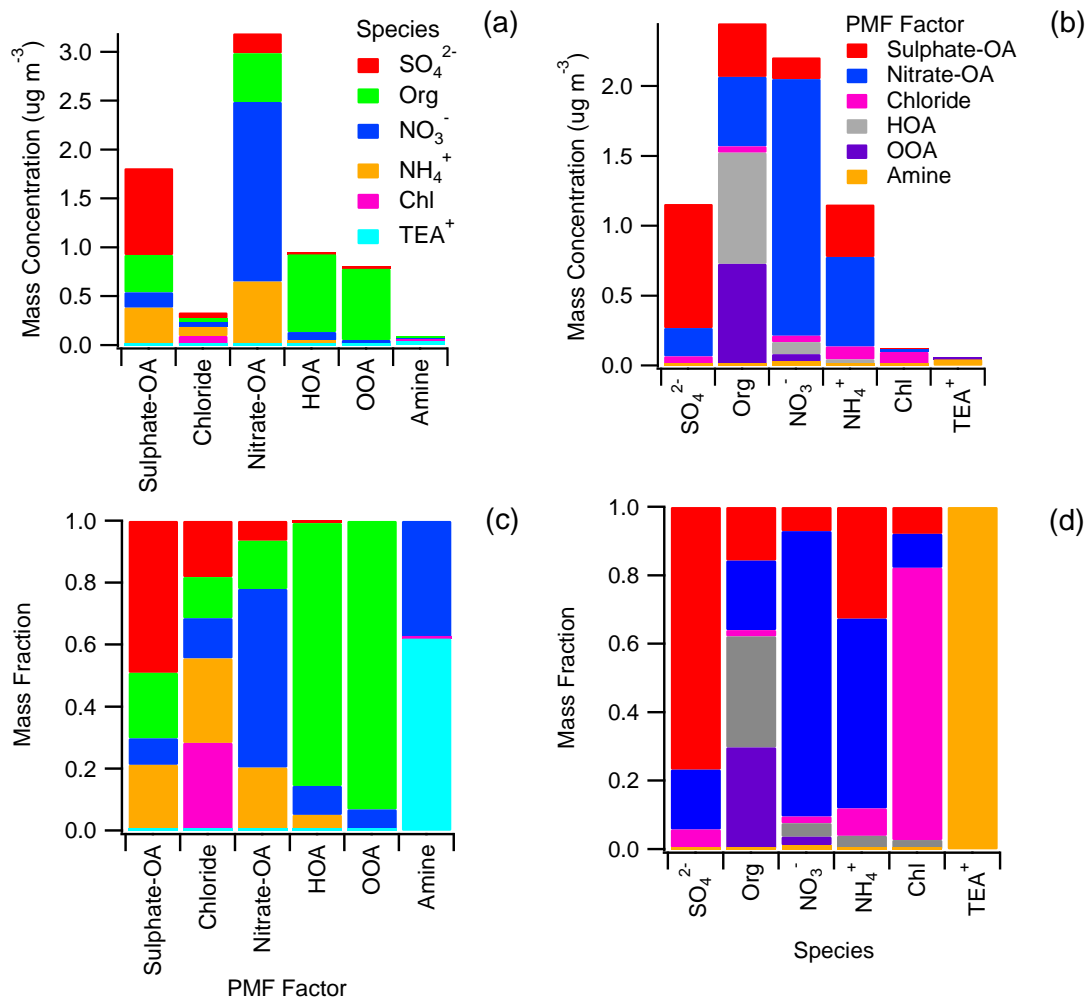
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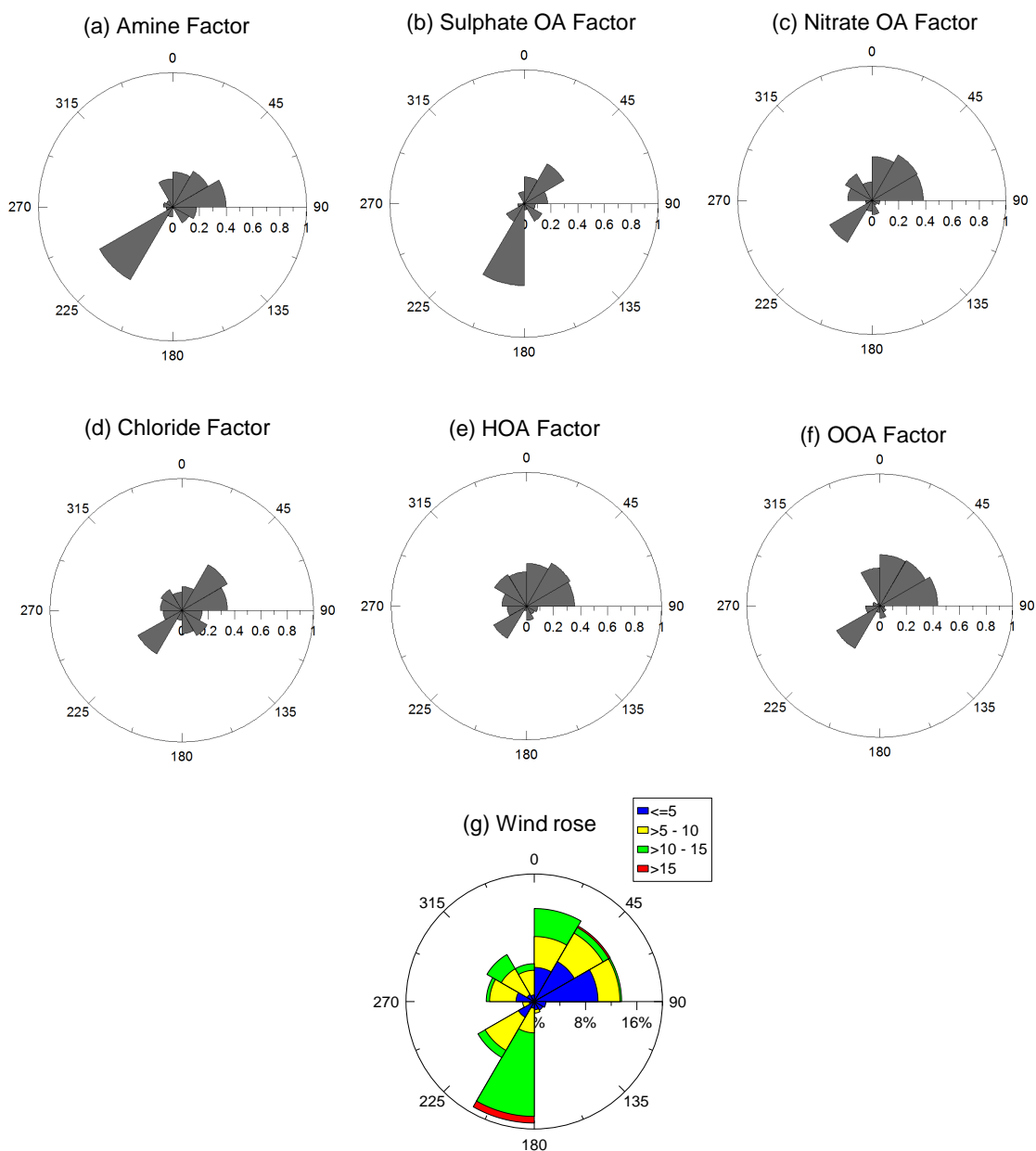
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Figure 7: Chemical composition by factor and species components of the six PMF factors from the PMF_{Full MS} analysis.

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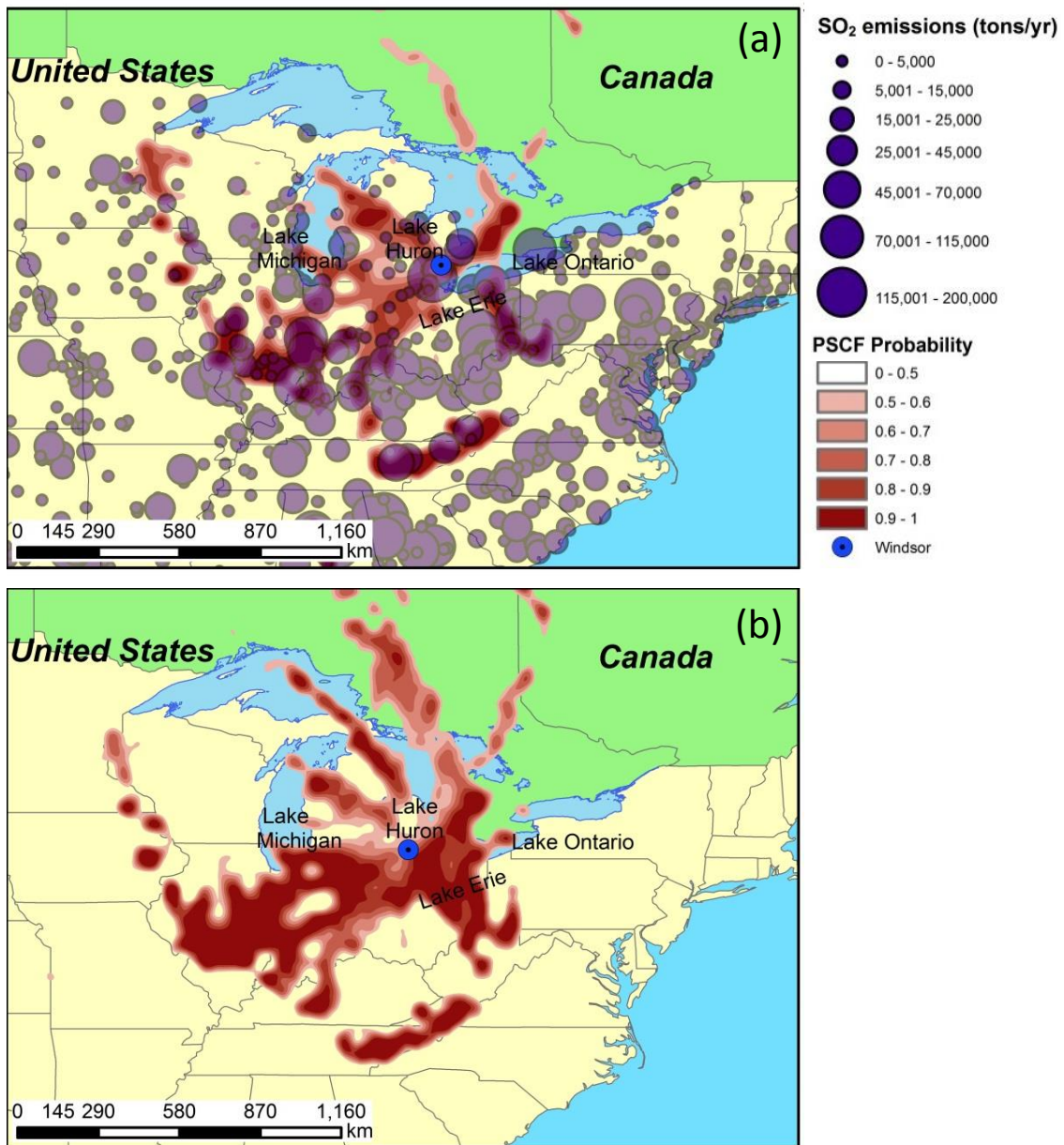
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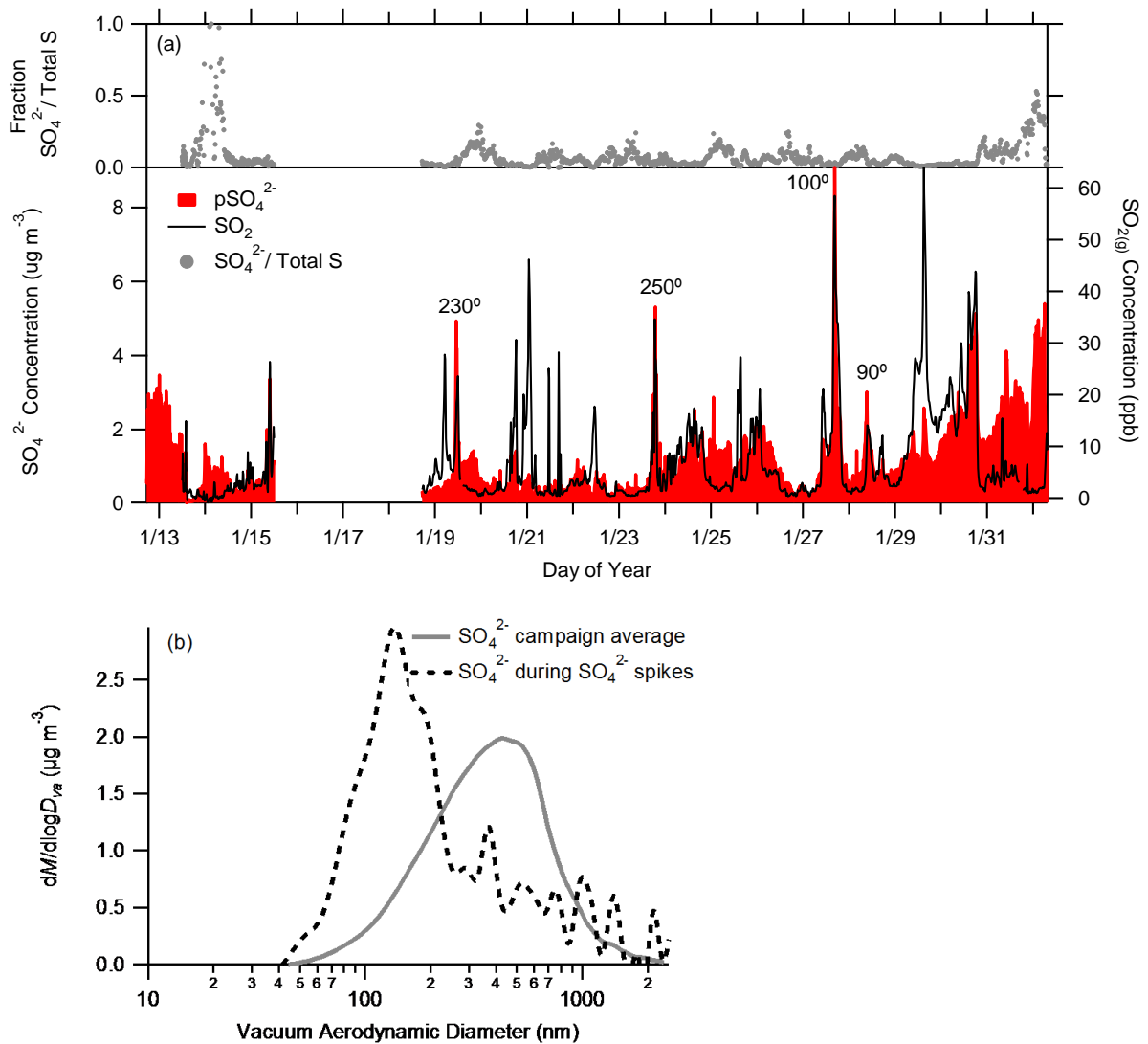
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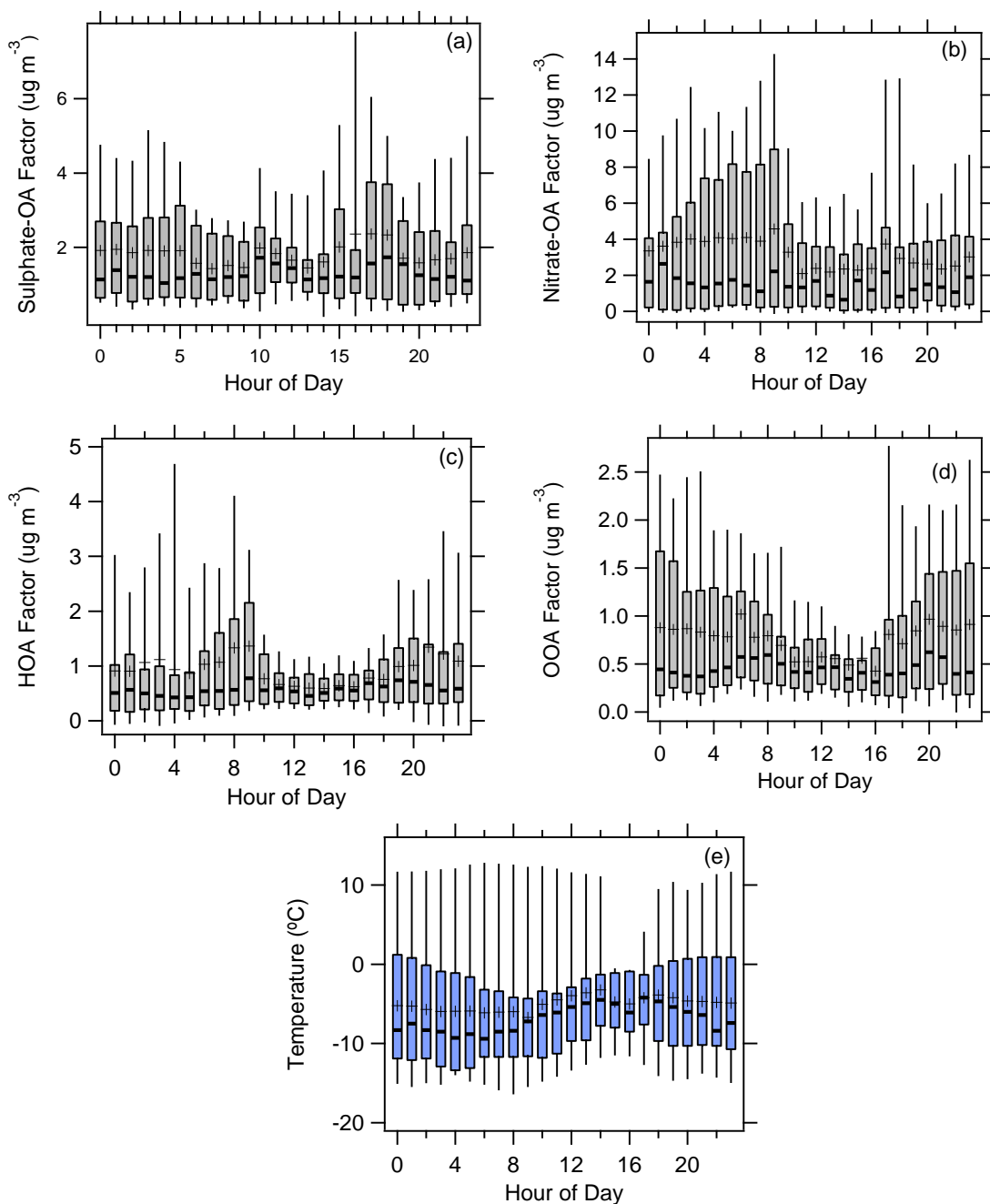


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 5 south and southwest. The Nitrate-OA factor showed the most prominent influences to the
 6 southwest, over Indiana and Illinois. SO₂ emissions represent emissions from major coal
 7 powered energy generating facilities.
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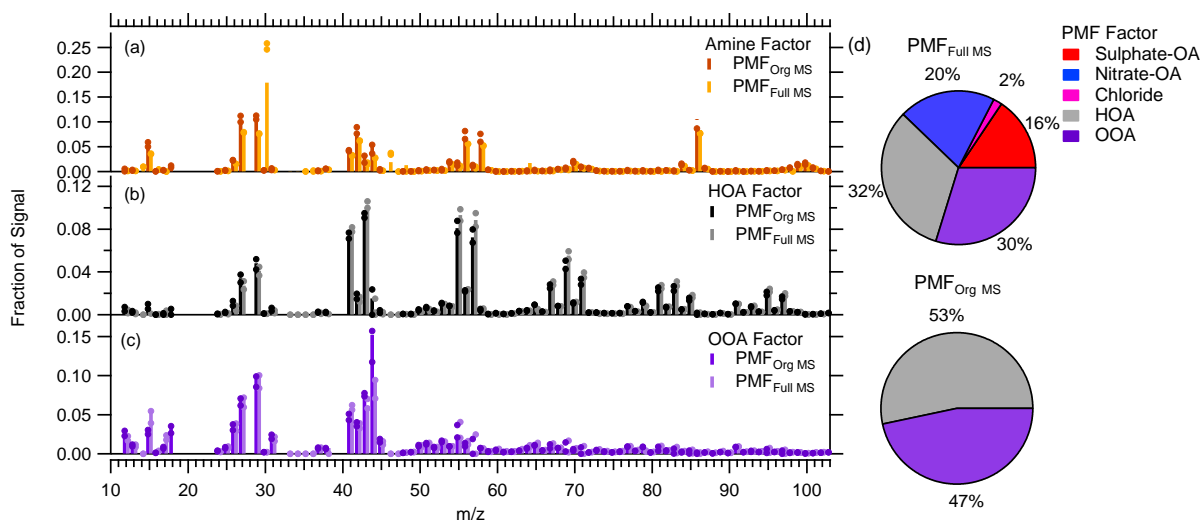
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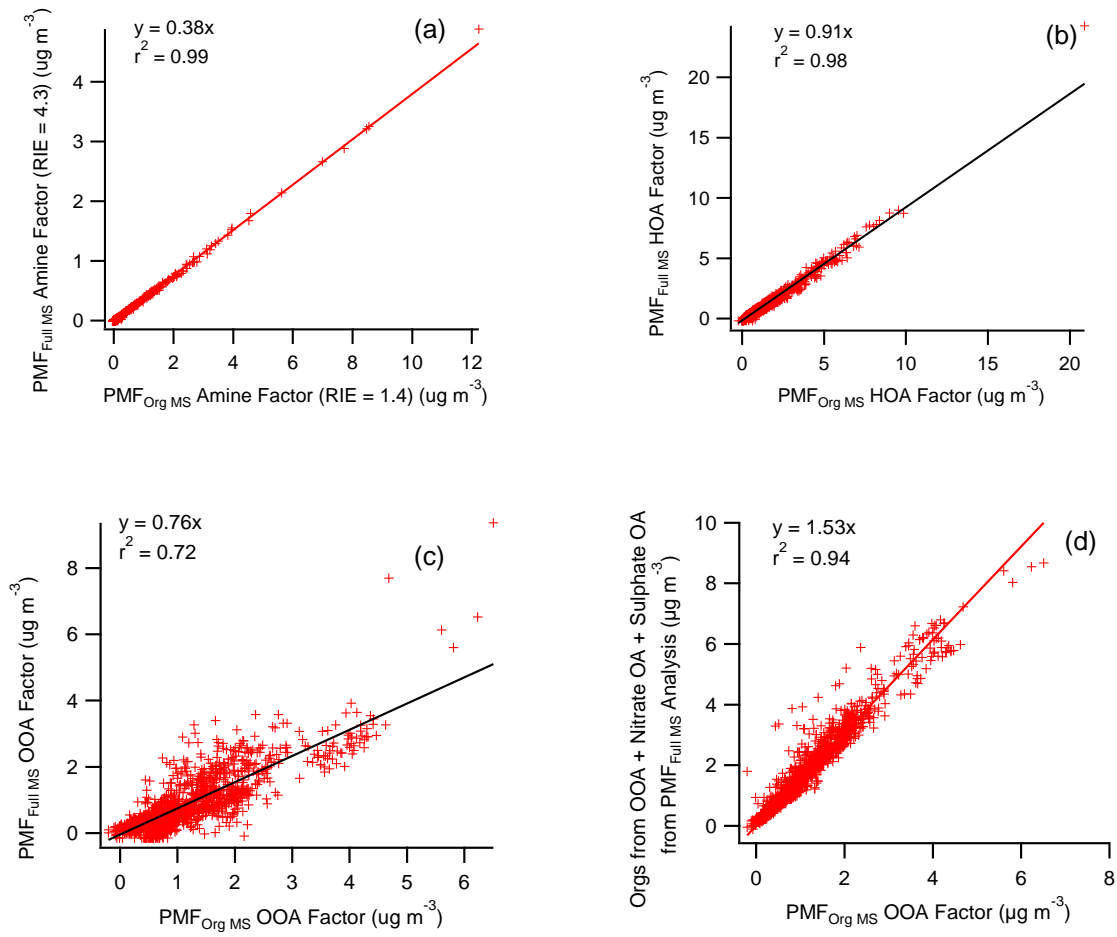
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