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# Global modelling of direct and indirect effects of sea spray aerosol using a source function encapsulating wave state

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## Abstract

Recently developed parameterizations for the sea spray aerosol source flux, encapsulating wave state, and its organic fraction were incorporated into the aerosol-climate model ECHAM-HAMMOZ to investigate the direct and indirect radiative effects of sea spray aerosol particles. Our simulated global sea salt emission of  $805 \text{ Tgyr}^{-1}$  (uncertainty range  $378\text{--}1233 \text{ Tgyr}^{-1}$ ) was much lower than typically found in previous studies. Modelled sea salt and sodium ion concentrations agreed relatively well with measurements in the smaller size ranges at Mace Head (annual normalized mean model bias  $-13\%$  for particles with vacuum aerodynamic diameter  $D_{va} < 1 \mu\text{m}$ ), Point Reyes ( $-29\%$  for particles with aerodynamic diameter  $D_a < 2.5 \mu\text{m}$ ) and Amsterdam Island ( $-52\%$  for particles with  $D_a < 1 \mu\text{m}$ ) but the larger sizes were overestimated ( $899\%$  for particles with  $2.5 \mu\text{m} < D_a < 10 \mu\text{m}$ ) in Amsterdam Island. This suggests that at least the high end of the previous estimates of sea spray mass emissions is unrealistic. On the other hand, the model clearly underestimated the observed concentrations of organic or total carbonaceous aerosol at Mace Head ( $-82\%$ ) and Amsterdam Island ( $-68\%$ ). The large overestimation ( $212\%$ ) of organic matter at Point Reyes was due to the contribution of continental sources. At the remote Amsterdam Island site, the organic concentration was underestimated especially in the biologically active months, suggesting a need to improve the parameterization of the organic sea spray fraction. Globally, the satellite-retrieved AOD over the oceans, using PARASOL data, was underestimated by the model (means over ocean  $0.16$  and  $0.10$ , respectively); however, in the pristine region around Amsterdam Island the measured AOD fell well within the simulated uncertainty range. The simulated sea spray aerosol contribution to the indirect radiative effect was positive ( $0.3 \text{ W m}^{-2}$ ), in contrast to previous studies. This positive effect was ascribed to the tendency of sea salt aerosol to suppress both the in-cloud supersaturation and the formation of cloud condensation nuclei from sulphate. These effects can be accounted for only in models with sufficiently detailed aerosol microphysics and physics-based parameterizations of cloud activation. However, due

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to a strong negative direct effect, the simulated effective radiative forcing (total radiative) effect was  $-0.2 \text{ W m}^{-2}$ . The simulated radiative effects of the primary marine organic emissions were small, with a direct effect of  $0.03 \text{ W m}^{-2}$  and an indirect effect of  $-0.07 \text{ W m}^{-2}$ .

## 1 Introduction

The magnitude of the aerosol radiative effect remains a large unknown in current estimates of anthropogenic effects on radiative forcing (Forster et al., 2007). One of the key quantities needed for better estimates of anthropogenic radiative forcing is an accurate estimate of the radiative effects from natural aerosol (Carslaw et al., 2013). It is, after all, the change from the natural background that is important when quantifying human effects on the climate. With over 71 % of the Earth's surface covered by oceans, sea spray aerosol makes a significant contribution to the Earth's radiation balance (Haywood et al., 1999; Rap et al., 2013). Because of their high global emissions and relatively large sizes, sea spray aerosol particles provide a major contribution to the scattering of solar radiation (cf. de Leeuw et al., 2011), and to a lesser extent of thermal radiation (Li et al., 2008). Furthermore, their size and hygroscopicity make them efficient cloud condensation nuclei (CCN) and they can therefore affect the Earth's climate by modifying marine cloud properties and lifetime (Pierce and Adams, 2006; Korhonen et al., 2008).

The current estimates of global sea spray aerosol emissions remain highly uncertain (de Leeuw et al., 2011), and values ranging over several orders of magnitude have been presented based on recent modelling studies (Textor et al., 2006; Gantt et al., 2012; Grythe et al., 2013). Much of this variation is due to uncertainties in the wind speed dependence of the production flux, or the upper cut-off size of the sea spray aerosol particles included in the models, but also to different experimental methods used to determine the emission parameterizations (de Leeuw et al., 2011). In addition to the amount of sea spray aerosol mass emitted, the chemical composition of sea

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spray aerosol particles as a function of particle size, location and time remains poorly quantified (Albert et al., 2012; Gantt and Meskhidze, 2013). While inorganic components constitute most of the global sea spray aerosol mass, during biologically active months organic compounds contribute significantly to, and can in some cases even dominate, the mass of submicron sea spray aerosol particles (Novakov et al., 1997; O'Dowd et al., 2004; Facchini et al., 2008; Sciare et al., 2009; Fuentes et al., 2010a, b; King et al., 2012). Recent measurements have indicated that the organic fraction consists of a myriad of chemically distinct types of surface-active compounds (Hawkins and Russell, 2010; Schmitt-Kopplin et al., 2012), but the exact identity of these compounds is largely unknown. Uncertainties also remain regarding the mixing state of the organic matter with sea salt (Middlebrook et al., 1998; Leck and Bigg, 2005; Hultin et al., 2010). Furthermore, Ovadnevaite et al. (2011) noticed that sea spray particles enriched in organic matter show a dichotomous behaviour in terms of water uptake, in that they have a low hygroscopicity in subsaturated conditions but act as very efficient cloud condensation nuclei (CCN) in supersaturated conditions. All these unknowns and poorly constrained phenomena lead to the current large uncertainty in our estimates of sea spray aerosol radiative effects (Gantt and Meskhidze, 2013).

Recently, Ovadnevaite et al. (2014) developed a new sea spray aerosol source function by combining measurements of aerosol number concentration at the Mace Head station (O'Connor et al., 2008) and open-ocean eddy correlation fluxes during the SEA-SAW cruise (Norris et al., 2012). Instead of the commonly used 10 m wind speed, this source function parameterizes the particle production as a function of the Reynolds number and thus encapsulates the influences of wave height and history as well as sea water viscosity (dependent on the sea surface temperature and salinity). While the new source function predicts sea spray aerosol fluxes on the lower end of other recently published source functions, it was shown to agree well with independent measurements of the submicron sea salt mass measured at Mace Head (Ovadnevaite et al., 2014).

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This study provides a further evaluation of the Ovadnevaite et al. (2014) sea spray aerosol source function against a variety of in situ and remote sensing measurements. We have implemented the source function into the global aerosol-climate model ECHAM-HAMMOZ, and extended the parameterization to include organic enrichment of sea spray aerosol particles based on recent work by Rinaldi et al. (2013). After the evaluation, we use the source function together with ECHAM-HAMMOZ to provide estimates of the direct and indirect radiative effects of sea spray aerosol and the impact of organic enrichment of sea spray aerosol particles to radiative effects.

## 2 Methods

### 2.1 Climate model ECHAM-HAMMOZ

The global aerosol-climate model ECHAM-HAMMOZ (ECHAM5.5-HAM-SALSA) (Stier et al., 2005; Zhang et al., 2012; Bergman et al., 2012) consists of an atmospheric core model ECHAM, which solves the fundamental equations for atmospheric flow and physics, and tracer transport, and of an aerosol model HAM. In this study, aerosol microphysics was calculated using the sectional model SALSA (Kokkola et al., 2008; Bergman et al., 2012). SALSA describes the aerosol population consisting of sulphate, sea salt, organic matter, black carbon and dust using 10 size sections to cover the size range from 3 nm to 10  $\mu\text{m}$ , with 10 additional sections to account for the external mixing of particles. The model resolves the aerosol processes of nucleation of new particles (Kulmala et al., 2006), condensation of sulphuric acid and organic gases onto pre-existing particles, coagulation, hydration, and removal of particles via dry and wet deposition.

The anthropogenic and biomass burning aerosol emissions in the model were taken from AeroCom-II ACCMIP data (Riahi et al., 2007, 2011). Natural emissions were simulated as described in Zhang et al. (2012), apart from the sea salt and primary marine organic matter (PMOM) emissions which are detailed in Sect. 2.2. Interactions be-

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tween aerosols and radiation were calculated online (Zhang et al., 2012), and the total aerosol direct effect was diagnosed by a second call of the radiation routine without any aerosols. The first and second indirect effects were calculated following Lohmann and Hoose (2009). The activation of aerosol particles into cloud droplets was calculated with the physically based parameterization of Abdul-Razzak and Ghan (2002).

## 2.2 Implementation of the sea spray aerosol source function

The standard version of ECHAM-HAMMOZ simulates the sea salt source flux by combining the parameterizations of Gong et al. (2003) with dry diameter between 50 and 400 nm, of Monahan et al. (1986) for particles with dry diameter between 400 nm and 8  $\mu\text{m}$ , and of Andreas (1998) for particles with dry diameters of and 8–10  $\mu\text{m}$  (Guelle et al., 2001; Bergman et al., 2012). Furthermore, it does not include emissions of PMOM. For the current study, we implemented the recently developed source function by Ovadnevaite et al. (2014) into the model, and combined it with the approach of Rinaldi et al. (2013) to account for the fraction of PMOM as a function of chlorophyll *a* concentration and 10 m wind speed.

The Ovadnevaite et al. (2014) parameterization describes the sea spray aerosol flux in the size range 15 nm–6  $\mu\text{m}$  in diameter, whereas the aerosol module SALSA used in this study tracks sea spray aerosol particles between 30 nm and 10  $\mu\text{m}$ . Correspondingly, we used the Ovadnevaite parameterization for the particle diameter range 30 nm–6  $\mu\text{m}$ , and extended it over the size range 6–10  $\mu\text{m}$  by using (the shape of) the Monahan (1986) source function, but matching the flux at 6  $\mu\text{m}$  with the Ovadnevaite et al. (2014) flux. Using this approach, the simulated sea spray aerosol flux for particles larger than 6  $\mu\text{m}$  was significantly lower than in the original Monahan (1986) formulation. Hereafter, we refer to the original parameterization by Ovadnevaite et al. (2014) as the OSSA source function, and to the combined flux parameterization of Ovadnevaite et al. (2014) and scaled Monahan (1986) as the extended OSSA source function.

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The Ovadnevaite et al. (2014) sea spray aerosol source function has been parameterized in terms of five lognormal modes (Table 1):

$$\frac{dF}{d\log_{10} D} = \sum_{i=1}^5 \frac{F_i(R_{\text{Hw}})}{\sqrt{2\pi} \log_{10} \sigma_i} \exp \left( -\frac{1}{2} \left( \frac{\log_{10} \left( \frac{D}{D_{g,i}} \right)}{\log_{10}(\sigma_i)} \right)^2 \right), \quad (1)$$

where  $D$  is particle dry diameter,  $\sigma_i$  and  $D_{g,i}$ , are geometric standard deviation and geometric mean (count-median) dry diameter of mode  $i$ , respectively, and  $F_i(R_{\text{Hw}})$  is total number flux of mode  $i$  depending on the Reynolds number

$$R_{\text{Hw}} = u_* H_s / \nu_w. \quad (2)$$

Here  $u_*$  is the friction velocity calculated online by the ECHAM-HAMMOZ model,  $H_s$  is the significant height of wind-generated waves taken from 6 hourly ECMWF reanalysis data (see Sect. 2.3), and  $\nu_w$  is the temperature-dependent kinematic viscosity of sea water. We calculated the viscosity by linear interpolation from the values in Table 2 and by assuming that the salinity of sea water is  $35 \text{ g kg}^{-1}$  (see Ovadnevaite et al. (2014) for a discussion on the effect of salinity).

Number and volume fluxes of sea spray aerosol particles for each of the SALSA size sections below  $6 \mu\text{m}$  were calculated by integrating over each of the five modal OSSA emissions distributions separately. For particles smaller than  $700 \text{ nm}$  in diameter, SALSA tracks both number and mass separately. In the size range  $30\text{--}700 \text{ nm}$ , both the number and volume distributions were integrated for each section. In the size range above  $700 \text{ nm}$ , the size sections in SALSA have a fixed dry diameter and only the aerosol number is tracked in each section. It was therefore not possible to set both number and volume emissions equal to the exact integral of the OSSA distributions for that size range. We chose to calculate the volume emissions exactly and, using the fixed section sizes, converted the emitted volume flux to a corresponding number emission flux.

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Due to the nature of its derivation, using data obtained in the winter with low biological activity, the OSSA source function represents the total emission of sea spray aerosol particles. In this work, due to lack of further information, it was assumed that the total emission flux does not change during periods of higher biological activity – that is, the function describes the total flux, including both sea salt and PMOM. For sea spray aerosol particles larger than 700 nm in diameter, SALSA does not explicitly track the organic fraction, i.e. all sea spray aerosol particles are assumed to consist solely of sea salt. This introduces a relatively small error since these large particles contain only a small fraction of organic matter (Facchini et al., 2008). For smaller particles, the mass fraction of the PMOM in the sea spray aerosol emissions ( $f_{\text{PMOM}}$ ) was calculated following Rinaldi et al. (2013):

$$f_{\text{PMOM}} = (0.569 \times c_{\text{Chl } a}) + (-0.0464 \times u_{10\text{m}} + 0.409), \quad (3)$$

where  $c_{\text{Chl } a}$  is the chlorophyll  $a$  concentration in surface water ( $\mu\text{g m}^{-3}$ ) and  $u_{10\text{m}}$  is the 10 m wind speed ( $\text{m s}^{-1}$ ). The chlorophyll  $a$  concentration in the current study was taken from GlobColour satellite retrievals (<http://www.globcolour.info>), as it was in Rinaldi et al. (2013). We used the mean value of the previous eight-day period to account for the lag in correlation between organic mass fraction and chlorophyll  $a$  concentration (Rinaldi et al., 2013) (see Sect. 2.3 for details).

To distinguish PMOM from organics from other sources, the aerosol model was extended to include a new tracer for PMOM in each of the four size sections in the range 30–700 nm. The density of the PMOM was assumed to be  $1300 \text{ kg m}^{-3}$ , its molar mass was set to  $150 \text{ g mol}^{-1}$ , and its refractive index was set to  $1.48 \times 10^{-9}$  i at all wavelengths to reflect recent measurements (Aas, 1996; Kanakidou et al., 2005; Nessler et al., 2005; Vaishya et al., 2013)

As mentioned earlier, Ovadnevaite et al. (2011) observed that PMOM at Mace Head shows a dichotomous behaviour: a low hygroscopicity at subsaturated conditions but a high CCN activity at supersaturated conditions. This was accounted for in the model in the following way: the liquid water content (LWC) resulting from water uptake by sea

salt and PMOM was calculated from

$$\text{LWC}_{\text{SS+PMOM}} = (V_{\text{SS}} + V_{\text{PMOM}}) \times (\text{HGF}^3 - 1) \times \rho_w, \quad (4)$$

where  $V_{\text{SS}}$  and  $V_{\text{PMOM}}$  are the volume concentrations of sea salt and marine PMOM and  $\rho_w$  is the density of water. The hygroscopic growth factor HGF was obtained by bi-linear interpolation of the values from the look-up table by Vaishya et al. (2013) for the relative humidity and PMOM mass fraction in each model grid box. The total LWC of the particles was calculated by adding up  $\text{LWC}_{\text{SS+MOC}}$  and LWC for other aerosol compounds calculated using the ZSR method (Stokes and Robinson, 1966) as described in Kokkola et al. (2008).

Since a theoretical understanding of the high CCN activity of PMOM is currently lacking, we tuned the modelled cloud activation of PMOM to approximately match the observations of Ovadnevaite et al. (2011). In order to do this, we used the cloud-activation subroutine of the model (Abdul-Razzak and Ghan, 2002) in a 0-dimensional framework together with a representative marine aerosol size distribution from the model simulations. We then adjusted the dissociation coefficient of PMOM (i.e. how many ions each PMOM molecule dissociates into in a solution) within this subroutine so that when the mass fraction of PMOM was 50 %, all soluble particles larger than 30 nm in diameter were activated at a supersaturation of about 0.7 % (cf. Ovadnevaite et al., 2011). The best match was obtained when the dissociation coefficient was set to five. It is important to note that the chosen value of dissociation coefficient affects only the cloud activation routine of the model and is not physically based. Its purpose is only to fit the model results to match observations of Ovadnevaite et al. (2011).

## 2.3 Input data for the sea spray aerosol source function

ECHAM-HAMMOZ is an atmosphere-only model and therefore does not predict the significant height of wind-generated ocean waves. However, this quantity was needed to calculate the Reynolds number (Eq. 2) in the OSSA source function. We obtained the significant wave height from the Global Wave Analysis Data Set by the European

Centre for Medium-range Weather Forecasts (ECMWF) at a 6 h time resolution over the whole simulated time period (Uppala et al., 2005). Since all model simulations presented in this study were nudged to the ECMWF winds, the off-line wave height data is expected to correspond well to the simulated surface wind fields.

The  $1^\circ \times 1^\circ$  wave height data from the Global Wave Analysis Data Set was interpolated to the ECHAM-HAMMOZ model resolution of T63. Since the land–sea masks of the wave height data and the ECHAM-HAMMOZ model were not identical, we needed to fill in some blank values over the model ocean grid cells after the interpolation. This was done by using the average values of the neighbouring grid cells in the blank grid cells.

The chlorophyll *a* data needed to calculate the PMOM mass fraction of sea spray aerosol emissions (Eq. 3) was obtained from GlobColour satellite retrievals. GlobColour provides two chlorophyll retrievals, CHL1 and CHL2. The CHL1 data set makes use of the assumption that variations in ocean colour in open water are attributed to phytoplankton or co-varying substances, and the retrieval algorithms make use of this assumption. Near the coast, other dissolved substances can cause significant changes in ocean colour, and the retrieval algorithms used to provide the CHL2 data set try to take account of this.

We used eight-day-mean  $1^\circ \times 1^\circ$  GlobColour retrievals of CHL1 and CHL2 data for the years 2005–2010. The data sets were combined by using the CHL2 data within 4 grid boxes of the coast and the CHL1 data elsewhere (Garver–Siegel–Maritorena (GSM) model; Maritorena and Siegel, 2005). Due to cloud cover and breaks in satellite observations, there were still large gaps present in the data set. These gaps were filled using the Multiple Singular-Spectrum Analysis (MSSA) toolkit Spectra (Kondrashov and Ghil, 2006). MSSA works by fitting periodic functions to the data. The maximum period of any of these functions is known as the window length (in our case, 46 eight-day-mean data points, or one year worth of data). MSSA includes information from both spatial and temporal neighbours when fitting a periodic function to fill the gaps.

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A large portion of the winter hemisphere is outside the satellite field of view. This systematic omission of winter-time data is a major challenge in providing a chlorophyll data set suitable for use in a global climate model, as the fitting algorithms will not capture the low winter-time chlorophyll values when only provided with high summer-time data. To remedy this, we first read in the maximum and minimum observed latitude from each eight-day-mean satellite retrieval file. Outside of this latitude range, the chlorophyll concentration in a given grid cell ( $C_i$ ) was then set according to the following formula:

$$C_i = C_b \times \left( \frac{1}{2} \right)^{\left| \frac{\text{lat}_i - \text{lat}_b}{4} \right|}, \quad (5)$$

where  $C_b$  is the value in the nearest marine grid cell to the latitude boundary,  $\text{lat}_i$  is the latitude (in degrees) of grid cell  $i$ , and  $\text{lat}_b$  is the latitude of the boundary value (either highest or lowest latitude with a value for chlorophyll concentration). Due to the extreme seasonal variations in chlorophyll at high latitudes, this method may still lead to some underestimation in the summer hemisphere, where polar chlorophyll values can be extremely high, and some overestimation in the winter hemisphere where chlorophyll would be close to zero (cf. Albert et al., 2012, for a discussion of the effect of gap-filling methods). However, it is still expected to provide more accurate values than simply filling in winter-time values based on summer observations.

After the temporal gap-filling was done for the chlorophyll  $a$  data, the remaining gaps, due to either totally missing data in some grid-cells or differences in land–sea masks between the data and our model, were filled with the same procedure as described above for the wave height data.

## 2.4 Observational data for model evaluation

### 2.4.1 In-situ measurements to evaluate aerosol chemical composition

Continuous marine aerosol physico-chemical measurements are undertaken at the Mace Head atmospheric research station (54°19′ N, 9°54′ W, see Fig. 1), located on

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the west coast of Ireland (O'Connor et al., 2008). Aerosol measurements are performed by sampling ambient particles at 10 m a.g.l. through a community air-sampling duct. The size-resolved non-refractory chemical composition of submicron aerosol particles is measured with an Aerodyne High Resolution Time of Flight Aerosol Mass Spectrometer (HR-ToF-AMS) deployed in standard mode (DeCarlo et al., 2006). HR-ToF-AMS particulate matter with (vacuum aerodynamic) diameter below 1  $\mu\text{m}$  ( $\text{PM}_{10}$ ) sea salt concentrations were derived following the method described in (Ovadnevaite et al., 2012). The HR-ToF-AMS was routinely calibrated according to the methods described by Jimenez et al. (2003) and Allan et al. (2003). The measurements were performed with a time resolution of 5 min and a vaporizer temperature of  $\sim 650^\circ\text{C}$ . Composition-dependent collection efficiency was applied for the measurements used here, and ranged from 0.45 to 0.97. Aerosol size distributions and number concentrations were measured using a scanning mobility particle sizer (SMPS) system. The system comprised of a differential mobility analyzer (DMA, TSI model 3071), a condensation particle counter (TSI model 3010), and an aerosol neutralizer (TSI 3077). The aerosol diameter range covered was 3–500 nm. Before their sizes were measured, the particles were dried to a relative humidity below 40 %. For this study, we used the Mace Head measurement data covering both marine and continental air masses to make the results comparable with modelled mean conditions.

Continuous physico-chemical measurements of marine aerosol are undertaken also at Amsterdam Island atmospheric research station ( $37^\circ 48' \text{S}$ ,  $77^\circ 34' \text{E}$ , see Fig. 1), located in the southern Indian Ocean sector of the Austral Ocean. The station is located at 3400 km and 5000 km from the nearest upwind lands (Madagascar and South Africa, respectively). Throughout most of the year, it benefits from pristine marine conditions, especially during the summer when high-pressure conditions and low wind speeds are prevailing. Size-segregated aerosols in four size ranges ( $D_a < 1 \mu\text{m}$ ;  $1 \mu\text{m} < D_a < 2.5 \mu\text{m}$ ;  $2.5 \mu\text{m} < D_a < 10 \mu\text{m}$ ;  $D_a > 10 \mu\text{m}$ , where  $D_a = D \cdot (\rho/1000)^{1/2}$  is the aerodynamic diameter) were sampled at about 30 m a.s.l. every eight days for the period 2006–2008. Sampling was carried out on preweighed Teflon filters for PM

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(gravimetry) and ion composition analyses, and on pre-fired quartz filters for EC and OC measurements. Aerosol size segregation was achieved using a four-stage cascade impactor (Dekati Ltd) running at  $30 \pm 1$  LPM. A detailed description of the site characteristics and the chemical analytical protocols used to determine ions and carbon contents in aerosols is provided by Sciare et al. (2009). Given the remote character of the site, no clean-sector strategy was necessary to avoid local contaminations. However, a post-sampling data treatment was applied to the database, discarding all samples associated with an equivalent black carbon (EBC) value higher than  $10 \text{ ng C m}^{-3}$ , which effectively excludes all anthropogenically contaminated samples. To compare the measurements with the modelled total carbonaceous aerosol mass concentrations, the total carbon concentration measurements from Amsterdam Island were multiplied with 1.8 to account for compounds other than carbon.

Chemical aerosol composition data from Point Reyes (Fig. 1) were obtained from the Interagency Monitoring of Protected Visual Environments (IMPROVE) network.  $\text{PM}_{2.5}$  sulphate, sea salt and organic matter concentrations were deployed in this study. Ion chromatography methods from the Nylasorb substrate, extracted ultrasonically in de-ionized water, are used by the IMPROVE network to analyse inorganic ions, while organic carbon is analysed from quartz fiber filters. An average ambient particulate organic compound was assumed to have a constant fraction of carbon by weight (56 %), which was used to correct the organic carbon mass for other elements (in addition to carbon) associated with the assumed organic molecular composition. Therefore, organic matter (OM) mass concentration is assumed to be  $\text{OM} = 1.8 \cdot \text{OC}$  where OC is organic carbon mass concentration. A detailed IMPROVE monitoring program description is presented by Malm et al. (2004).

Simulated sea spray aerosol mass concentration values in Europe were evaluated also by comparing surface-level model data to measurements of the sodium ion ( $\text{Na}^+$ ) concentration in total  $\text{PM}_{2.5}$  and  $\text{PM}_{10}$  values from remote stations of the European Monitoring and Evaluation Programme (EMEP, <http://www.emep.int>, 2013). Model  $\text{Na}^+$  values were calculated by assuming that sea spray consists only of sodium chloride,

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and the  $\text{Na}^+/\text{SS}$  ratio is therefore 22.99/58.44. We have compared monthly mean values from both the model and the observations. In cases where a single model grid box contained more than one station, we averaged the stations' data. Aerodynamic diameter was used for the cut-off diameter of  $\text{PM}_{2.5}$  and  $\text{PM}_{10}$  in the model.

## 2.4.2 Satellite and sun-photometer data for aerosol optical depth comparison

For the evaluation of the modelled aerosol optical depth (AOD), i.e. the column-integrated extinction, two independent data sets were used: AERONET sun photometer data and satellite retrieved AOD. AERONET is a global network of sun photometers (Holben et al., 1998) which directly measure the solar radiation as well as scattered (diffuse) radiation over a large number of angles. Together this information provides highly accurate information on the aerosol properties at each site. The AOD is measured with an accuracy of 0.015 (Eck et al., 1999). In our study we used monthly-mean cloud-screened and quality assured Level 2.0 data from 17 island and 24 coastal AERONET stations which have at least one month of data in the period 2006–2010, and which are located below 2000 m altitude. The 500 nm AERONET AOD measurements were interpolated to 550 nm using  $\tau_{550} = \tau_{500} \times (550/500)^{-\alpha}$ , where  $\tau_{500}$  and  $\tau_{550}$  are the AODs for 500 nm and 550 nm, respectively. For  $\alpha$ , we use the monthly mean Ångström exponent for extinction between 440 nm and 870 nm (Mielonen et al., 2011).

The second data source used was the AOD retrieved from the POLDER (Polarization and directionality of the Earth's Reflectances) radiometer onboard the PARASOL (Polarization and Anisotropy of Reflectances for Atmospheric Science coupled with Observations from a Lidar) satellite. Launched in year 2005 as part of the A-train mission (L'Ecuier and Jiang, 2010), PARASOL has a sun-synchronized orbit with 1.30 p.m. ascending node.

The POLDER instrument measures the polarized light in different directions and at different wavebands; the use of these data in dedicated retrieval algorithms provides the the best-possible information on aerosol (as well as cloud) optical and physical properties (Deschamps et al., 1994). Comparison of the AOD retrieved over ocean

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using PARASOL data with AERONET ground-based measurements (Holben et al., 1998) has shown a very good correlation (0.91) with a bias of around 0.03 (Breon et al., 2010). Validation of the PARASOL AOD using different statistical methods has shown that PARASOL provides a very high accuracy over ocean and covers features well (de Leeuw et al., 2014).

Aerosol products retrieved with PARASOL (Tanre et al., 2011) are provided at an 18.5 km × 18.5 km resolution. For the comparison with model results, PARASOL AOD for the oceans was remapped to the model resolution of T63 and interpolated into wavelength of 550 nm using monthly-mean Ångström exponent from PARASOL.

## 2.5 Design of the experiments

To test the new source function we set up several model simulations, summarized in Table 3. The control simulation (*control*) had no sea spray aerosol emissions at all. Our baseline run (*ossa-ref*) simulated the sea spray aerosol flux using the extended OSSA source function, as described in Sect. 2.2. In order to separate the respective radiative effects of sea salt and PMOM, we also made a run using the extended OSSA source function, but excluding PMOM emissions (simulation *ossa-salt*).

Ovadnevaite et al. (2014) estimated that the uncertainty in the submicron part of their source function is in the range of 55–60 %. It is caused by uncertainties in e.g. particle concentration measurements and boundary layer height. Therefore, to test the sensitivity of our results to these uncertainties, we set up two sensitivity runs (*ossa-lowflux* and *ossa-highflux*) in which the sea spray aerosol flux from the extended OSSA source function was multiplied by 0.4 and 1.6, respectively.

When comparing the simulated aerosol fields with in situ and remote sensing measurements, discrepancies may arise, not only from uncertainties in the modelled source function, but also from uncertainties in the modelled removal mechanisms. To test the effect of the removal description, we set up two additional sensitivity simulations with decreased (*ossa-low-ics*) and increased (*ossa-high-ics*) in-cloud scavenging coefficients in stratiform clouds (Table 4) but otherwise identical to the baseline run

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(*ossa-ref*). In-cloud scavenging coefficient gives the fraction of in-cloud aerosol particles inside cloud droplets. In case of precipitation, they are removed from the atmosphere. The low and high values of in-cloud scavenging coefficients for the size ranges of 30–70 nm and 700 nm–10  $\mu$ m were estimated using measurements by Henning et al. (2004). They measured the scavenging coefficients for liquid phase clouds to be about 1 at the diameter of about 400 nm, and hence we used 0.99 for the larger size range also in the simulation *ossa-low-ics*. In-cloud scavenging is a major removal mechanism for marine aerosol (Textor et al., 2006) and the modelled aerosol burdens have been shown to be sensitive to in-cloud scavenging parameterizations in ECHAM-HAMMOZ (Croft et al., 2010).

For comparison, we also run the ECHAM-HAMMOZ model with its default sea spray aerosol source function (see Sect. 2.2), i.e. using a combination of Gong et al. (2003), Monahan et al. (1986), and Andreas (1998) source functions without any PMOM emissions (simulation *default-salt*).

All simulations were run with a model resolution T63L31, corresponding to a  $1.9^\circ \times 1.9^\circ$  grid in the horizontal and 31 vertical levels extending to 10 hPa. The model meteorology was nudged towards the reference state of the ERA-interim reanalysis data (Dee et al., 2011). Sea surface temperatures were prescribed from the reanalysis data. The model runs covered the years 2006–2010 and were preceded with a five-year spin-up to allow the aerosol system to reach equilibrium. The first four years and ten months of the spin-up had no sea spray aerosol emissions. Each simulation then had a final two-months spin-up period using the appropriate sea spray aerosol emissions.

## 3 Evaluation of the extended OSSA source function

### 3.1 Emissions and burdens

Table 5 summarizes the emissions and burdens of sea salt and PMOM in the different simulations. The baseline run *ossa-ref* produced a global emission of sea salt of

805 Tgyr<sup>-1</sup> in the PM<sub>10</sub> size range, with the sensitivity simulations using the extended OSSA source function suggesting a range of 378–1233 Tgyr<sup>-1</sup>. These values were approximately an order of magnitude lower than the 7229 Tgyr<sup>-1</sup> yielded by the default ECHAM-HAMMOZ sea-spray aerosol source function in the *default-salt* simulation, and on the low side of previously reported estimates. The AeroCom phase I models simulated a median global sea salt emission of 6280 Tgyr<sup>-1</sup> (mean 16 600 Tgyr<sup>-1</sup>) (Textor et al., 2006). More recently, Tsigaridis et al. (2013) compared several different sea spray aerosol source functions within their global model and obtained a range of global sea salt emissions from 2272 to 12 462 Tgyr<sup>-1</sup>. Grythe et al. (2013) reviewed 21 different sea salt source functions and calculated annual mean emissions in the range of  $\sim 1830\text{--}2.44 \times 10^6$  Tgyr<sup>-1</sup>. On the other hand, the simulations by Gantt et al. (2012) provided a global sea salt emission of 73.6 Tgyr<sup>-1</sup>, which is clearly lower than values obtained in this study. These data demonstrate the large uncertainties associated with current estimates of sea spray aerosol emissions. (Note that only a fraction of the discrepancy is explained by different model studies using different upper cut-off sizes for the sea salt emissions).

The simulated sea salt burden in the current study was also at the low end of published values, consistent with the low emissions obtained using the OSSA source function (Ovadnevaite et al., 2014). The baseline run *ossa-ref* gave a burden of 2.9 Tg, and the sensitivity simulations a range of 1.2–4.6 Tg. Of the sea salt burden, 17 % was in the size range of PM<sub>1</sub>, 42 % in PM<sub>1–2.5</sub>, and 41 % in PM<sub>2.5–10</sub>. Again, these values were approximately an order of magnitude lower than those obtained using the default sea spray aerosol flux in ECHAM-HAMMOZ (12.9 Tg in simulation *default-salt*) and also smaller than the AeroCom phase I median burden of 6.37 Tg (mean 7.52 Tg) (Textor et al., 2006). It is interesting to note that the uncertainty due to the in-cloud scavenging in stratiform clouds had a negligible effect on the simulated sea salt burden (runs *ossa-low-ics* and *ossa-high-ics*). However, this is in line with a sensitivity study by Andersson et al. (2013), where the SALSA aerosol model coupled to the air quality model MATCH

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was evaluated. In their study, they found that the aerosol size distributions were fairly insensitive to in-cloud scavenging parameters when using SALSA.

Our baseline simulation predicted global PMOM emissions of  $1.1 \text{ Tgyr}^{-1}$  (sensitivity range  $0.5\text{--}1.8 \text{ Tgyr}^{-1}$ ; see Table 5). This value was well in the range of  $0.1\text{--}11.9 \text{ Tgyr}^{-1}$  simulated by Gantt et al. (2012), who compared six different ways to estimate the organic mass fraction of sea spray aerosol emissions. It should be noted, however, that the simulated PMOM emissions are sensitive to the choice of sea spray aerosol source function, and that the sea salt emissions predicted in Gantt et al. (2012) are even lower than the ones obtained in this study. The estimated magnitude of submicron PMOM emissions in other previous studies were typically much higher than we simulated here, in the range of  $2.8\text{--}76 \text{ Tgyr}^{-1}$  (Gantt et al., 2011; Vignati et al., 2010; Mezkhidze et al., 2011; Tsigaridis et al., 2013).

While one reason for the relatively low PMOM emissions in the current study was the extended OSSA source function, which gave sea spray aerosol emissions in the lower end of the published range, it should be noted that most of the previously published estimates have assumed that the organic mass fraction in the emitted sea spray aerosol is determined solely by the chlorophyll *a* concentration. Gantt et al. (2011) showed, however, that there is a clear inverse correlation between the organic mass fraction and the wind speed, as high winds result in mixing of the organic-enriched surface layer with below-surface waters. The parameterization used in this study (Eq. 3) takes this effect into account through the use of the Rinaldi et al. (2013) parameterization, leading to low organic fractions in high-wind-speed regions even when the chlorophyll *a* concentration is high ( $\sim 1 \text{ mgm}^{-3}$ ) (Fig. 2). Regionally, the reduction of the organic fraction with increasing wind speed was most evident in the Southern Ocean, where wind speeds are high (on average about  $10 \text{ ms}^{-1}$ ) but the organic fraction was mostly below 2.5 % also between December and February (Fig. 3c). In the simulation *ossa-ref*, the global mean organic mass fraction in the dry diameter range of 30–700 nm of sea spray aerosol emissions was only about 4 %, with values exceeding 20 % only along coastlines (Fig. 3c and d).

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As expected, the largest sea salt emissions were seen in southern mid-latitudes (Figs. 3a and 4a) where the surface-level wind speeds are consistently high throughout the year. Another region with high sea salt emissions was the northern mid-latitudes (Fig. 3a), especially in the winter months (Fig. 4a). However, the emissions in this region showed large seasonal variation. Across these latitude bands, the sea salt fluxes were typically lowest in the summer months (Fig. 4a). This implies that the seasonal changes in wind speed are much more important than seasonal changes in sea surface temperature in terms of determining the total sea spray aerosol flux.

Despite the small organic fraction in emitted sea spray aerosol in the southern mid-latitudes, some of the highest marine PMOM emissions in terms of mass were seen in this region (Figs. 3b and 4c). This was due to the very high total sea spray aerosol emissions in these high wind speed regimes. Another prominent source region of PMOM was the Northern Hemisphere mid-latitudes, where emissions were especially high in the autumn months (Fig. 4c). Comparing Fig. 4a and c, it is evident that the seasonality and zonal patterns of sea salt and PMOM differed quite a lot. PMOM showed a strong seasonal variation due to the seasonality of biological activity, especially polewards of  $\pm 50^\circ$  latitude, while the seasonal variation of the sea salt emissions was largest in the mid-latitudes and Southern Hemisphere tropics. Furthermore, whereas the contribution of low latitudes to global sea salt emissions was small, a significant fraction of PMOM was emitted from these regions, especially in the boreal summer months.

It is also worth noting that the simulated sea spray aerosol emissions (Fig. 4a and c) and burdens (Fig. 4b and d) showed very different zonal behaviour. For example, while the emissions of both sea salt and PMOM were relatively low at low latitudes compared to the mid-latitudes, the burdens of both compounds peaked in the tropics due to significantly slower removal in that region and possibly transport of sea spray from the higher latitudes. This result implies that it is very difficult to use in situ measurements of sea spray aerosol mass or remote sensing measurements of aerosol optical depth (AOD) to directly deduce information about sea spray aerosol emissions.

## 3.2 Comparison to in-situ measurements

We compared the simulated aerosol mass concentrations and size distributions obtained using the extended OSSA source function with the high-quality long-term observations available from one marine (Amsterdam Island) and two coastal (Mace Head, Point Reyes) sites as described in Sect. 2.4 and Fig. 1.

The Mace Head station on the west coast of Ireland makes measurements of the  $PM_{10}$  concentrations of sulphate, sea salt and organic matter, and of the aerosol size distribution. The cut-off size of  $1\ \mu m$  in the  $PM_{10}$  measurements was based on vacuum aerodynamic diameter, i.e.  $D_{va} = 0.8 \cdot D \cdot (\rho/1000)$ , where  $D$  is modelled particle diameter and  $\rho$  is particle density. Since the grid-cell containing the exact location of the Mace Head station is defined as “land” in the model, and thus included continental emissions but not sea spray aerosol emissions, we used the adjacent grid-cell to the west of the site in our comparison with in situ measurements. This grid-cell is defined as “sea” in the model and showed about 40 % higher sea salt concentrations compared to the grid-cell containing the exact Mace Head location.

Figure 5 shows the monthly-mean sulphate, sea salt, and total organic matter (both continental and PMOM)  $PM_{10}$  concentrations in Mace Head for the years 2009 and 2010. The sea salt concentration at this site was captured well by the model with both the extended OSSA source function and the default sea salt source function in ECHAM-HAMMOZ (simulations *ossa-ref* and *default-salt*, respectively): the measured sea salt concentration fell within the simulated uncertainty range of the extended OSSA source function (defined by the sensitivity simulations *ossa-highflux*, *ossa-lowflux*, *ossa-high-ics*, and *ossa-low-ics*) in 19 out of 22 months with measurement data available. However, on average the simulation *ossa-ref* tended to underestimate sea salt concentrations slightly (normalized mean bias of  $-13\%$ ).

On the other hand, the sulphate and organic matter concentrations were underestimated for most of the months during the comparison period (normalized mean biases of  $-59\%$  and  $-82\%$ , respectively). Whereas the model seemed to have some skill in

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predicting the seasonal variation of sulphate, the same was not true for organic matter. Note that both the measured and the simulated sulphate and organic matter concentrations shown in Fig. 5 also include material emitted from continental sources (only 15 % of modelled organic matter was PMOM on two-year average at Mace Head). Therefore, some of the poor match between the model and observations is likely to have arisen from uncertainties in continental emissions. Even in the summer time, when the organic fraction of sea spray aerosol peaks according to the measurements (e.g., O'Dowd et al., 2004), 80 % of the modelled organic matter concentration originated from continental sources. Therefore, it seems likely that the parameterization used in the study for predicting the organic fraction of the sea spray aerosol (Rinaldi et al., 2013) is unable to capture all the nuances of PMOM emissions.

Figure 6 shows the observed (solid lines) and modelled (dashed lines) annual mean size distributions at Mace Head for years the 2009 and 2010. The model captured the size distribution reasonably well between 20–200 nm, but underestimated the size distribution below 20 nm and above 200 nm. The underestimation of the nucleation mode was expected, since the model included only activation nucleation of sulphuric acid (Kulmala et al., 2006) while previous observations from Mace Head have suggested that iodine nucleation is likely to play an important role at this site (O'Dowd et al., 2002). The underestimation of the large accumulation mode particles was likely caused mostly by the poor model skill in simulating the aerosol organic matter content (cf. Fig. 5c), although uncertainties in simulating the sulphate and sea salt aerosol sources may also have contributed to some extent.

Figure 7 depicts the modelled mass concentrations at Amsterdam Island together with measurements of sodium ion ( $\text{Na}^+$ ) mass concentration in three size classes ( $\text{PM}_1$ ,  $\text{PM}_{1-2.5}$ , and  $\text{PM}_{2.5-10}$ ), and total carbonaceous aerosol concentration for  $\text{PM}_1$  (using the aerodynamic diameter:  $D_a = D \cdot (\rho/1000)^{1/2}$ ). Overall, the model somewhat underestimated (normalized mean bias of -52 %) sodium ion mass concentration in  $\text{PM}_1$  (Fig. 7a), but clearly overestimated it in larger sizes (Fig. 7b and c). However, the extended OSSA source function provided a clear improvement compared to the default

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sea salt source function (simulation *default-salt*) in all three size ranges. In the largest size range, PM<sub>2.5–10</sub>, the normalized mean bias was reduced from 4519 % in *default-salt* to 899 % in *ossa-ref*. As at Mace Head, the model underestimated (normalized mean bias of –68 %) the total carbonaceous aerosol concentration (Fig. 7d). The underestimation was largest during the summer months, when the contribution of PMOM is expected to be largest. The total carbonaceous aerosol concentration in the model consisted of 72 % continental organic matter, only 21 % PMOM, and 8 % black carbon. In the summer time, the modelled monthly-mean fraction of PMOM of total carbonaceous aerosol peaked at 59 %. The fraction of PMOM was significantly less than was predicted by, e.g., Vignati et al. (2010), who calculated that the average primary marine fraction of organic carbon in the Southern Ocean in January and July would be more than 90 % and 80 %, respectively. The large relative contribution of continental emissions to total carbonaceous aerosol in our study was caused by the low emissions of PMOM, not high continental contribution in absolute terms as total carbonaceous aerosol was underestimated.

Figure 8 shows the observed and modelled PM<sub>2.5</sub> (in terms of aerodynamic diameter) mass concentrations of sulphate, sea salt, and total (both continental and PMOM) organic matter for the years 2006–2010 at Point Reyes, which is located on the west coast of the US. Unlike Mace Head, the location of Point Reyes is defined as “sea” in the model, so we used the grid-cell containing Point Reyes for comparisons. The model run with the extended OSSA source function captured the monthly mean values of observed sea salt concentrations well, with 70 % of the observed monthly mean values falling within the modelled uncertainty range (Fig. 8b). The extended OSSA source function showed also a clear improvement over the *default-salt* run, with the normalized mean bias reduced from 50 % to –29 %. The sulphate mass concentration and its seasonal variability were also reproduced fairly well by the model (Fig. 8a). The organic carbon mass concentration was overestimated (normalized mean bias 212 %) in the model, especially in the summer months (Fig. 8c). As with the other two stations, the contribution of PMOM from sea spray aerosol emissions was very small at Point

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Reyes (monthly-mean fraction of PMOM of total organic matter was between 0.3–8 %). Thus, the overestimation of organic matter was caused by continental sources.

Figure 9 shows a comparison between simulated (*ossa-ref*) and observed (EMEP) monthly mean values of sodium ion concentration in PM<sub>2.5</sub> and PM<sub>10</sub>. Figure 9a shows a clear underestimation of the largest observed PM<sub>2.5</sub> monthly mean values. There were no clear seasonal differences present in the observed values, but simulated winter values were larger than summer values. There was an even stronger seasonal dependence in PM<sub>10</sub> in the model (Fig. 9b). Measured and modelled PM<sub>10</sub> values also correlated better during the summer months, but in the winter the model clearly overestimated the sodium ion concentration.

It is difficult to compare simulated values with point measurements, as the model cannot capture the subgrid-scale variability in aerosol concentrations. All except one of the measurement stations are located in grid boxes classified as “land”, meaning that there were no sea spray aerosol emission sources within the stations’ grid boxes. Some measurement stations are located quite near the coast, but stations which are further inland can better represent modelled conditions as sea salt concentration gradients (and thus the sensitivity to grid-cell selection) were highest near the coasts.

### 3.3 Comparison to AOD measurements

The modelled AOD values (at wavelength of 550 nm) over the oceans were compared with satellite-retrieved AOD field (Fig. 10a). It has previously been shown that the ECHAM-HAMMOZ-SALSA using the default sea spray aerosol source function (corresponding to our simulation *default-salt*) tends to overestimate the oceanic AOD derived from MODIS/MISR in the tropics and to underestimate at high latitudes (Bergman et al., 2012). This can be seen also in Fig. 10c, which shows the annual normalized mean bias between AOD calculated in the *default-salt* simulation and AOD retrieved from PARASOL satellite measurements. On average, the *default-salt* simulation predicted an AOD which is 13 % higher over the ocean than PARASOL retrievals.

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When the default source function was replaced by the extended OSSA source function (simulation *ossa-ref*), the satellite-retrieved AOD was underestimated over most oceanic regions (Fig. 10b). As a result, the normalized mean bias over the oceans was –31 %. While the absolute value of the normalized mean bias to PARASOL was clearly smaller when using the default sea spray aerosol source function (13 %, Fig. 10c) than the extended OSSA source function (–31 %, Fig. 10b), this was mainly due to the large compensating over- and underestimations in different parts of the world when using the default source function. Normalized mean errors for *ossa-ref* and *default-salt* were 35 % and 41 %, respectively, showing that overall, the extended OSSA source function improved the results. The extended OSSA source function significantly improved the agreement between model and measurements in the tropics and mid-latitudes, although it deteriorated somewhat at high latitudes (where satellite observations have the least coverage). The PARASOL values fell within the uncertainty range from *ossa-highflux* and *ossa-lowflux* across 36 % of the ocean's area (shaded area in Fig. 10b). The model performed especially well in marine regions from the Equator to 45° S, which represent some of the least polluted oceanic regions in the world, and are therefore dominated by natural aerosol emissions.

We made a more detailed evaluation of the model-predicted AOD against PARASOL data over the Southern Ocean (30–60° S) and in proximity to the three stations discussed in Sect. 3.2 (see the ocean masks used in Fig. 1). Over the Southern Ocean, the extended OSSA source function tended to underestimate the satellite-retrieved AOD even when the uncertainty range is accounted for (Fig. 11a, compare black line with red line and shading). It is also apparent that the seasonal cycle in AOD was shifted compared to the measurements: whereas the peak monthly mean values were observed in the spring months, the model predicted the highest values in the middle of the summer. However, compared to the default sea spray aerosol source function in ECHAM-HAMMOZ (simulation *default-salt*), the extended OSSA source function provided some improvement in simulating AOD over this region.

Around Amsterdam Island, the model captured the magnitude and also much of the seasonal variability of the observed AOD (Fig. 11b). The measured monthly AOD fell within the simulated uncertainty range (red shading) for all but six months (out of 60). However, there was a slight decreasing trend in the measured AOD which the model was unable to reproduce; as a result, the agreement between the baseline simulation *ossa-ref* and the measurement improved towards the end of the simulated period. Part of the good match between the modelled and measured AOD in this region is probably explained by underestimation of small particles and overestimation of large particles (Fig. 7) compensating the error of each other. Over this region, the model predicted that 69 % of the AOD is from sea spray aerosol (the difference between the solid red and dashed black lines relative to the solid red line in Fig. 11b). The default sea spray aerosol source function in ECHAM-HAMMOZ (*default-salt*) predicted almost twice the observed AOD values (solid blue line).

Around Mace Head and Point Reyes, both of which are much more heavily influenced by continental emissions than Amsterdam Island, the modelled AOD values in the *ossa-ref* run were clearly lower than the measured ones (Fig. 11b and c, respectively). At both sites, the model captured some features of the observed seasonal variation but underestimated most of the monthly peak values in winter/early spring by over 50 % or by absolute AOD value 0.1. It is worth noting that at both of these sites, the *default-salt* run gave a much better match with the measurements than *ossa-ref*. However, our comparison with in situ mass concentrations (Fig. 5) suggests that the underestimation of AOD in *ossa-ref* at Mace Head may be due to poor model performance in predicting the PMOM rather than the sea salt emissions.

We also compared the modelled monthly mean AOD to AERONET measurements (500 nm interpolated to 550 nm) at 17 island and 24 coastal stations (Fig. 12). The model showed reasonably good skill in all seasons, with correlation coefficients of 0.70, 0.83, 0.66, and 0.70 for boreal winter, spring, summer, and autumn months respectively. The normalized mean biases (normalized mean errors) for the sea-

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sons were 8.83 % (44.49 %), −28.96 % (39.61 %), −23.97 % (40.07 %), and −11.98 % (36.50 %) for boreal winter, spring, summer, and autumn months, respectively.

#### 4 Radiative effects of sea spray aerosol particles

The radiative effects of sea spray aerosol particles were estimated from the difference between all-sky top-of-atmosphere net total radiation in each of the sea-spray simulations and in the *control* run. This method yields an effective radiative forcing (ERF) (also known as radiative flux perturbation) which includes both direct and indirect effects (Haywood et al., 2009). The all-sky direct radiative effect (direct component of ERF) of sea spray aerosol particles was calculated as follows: first, the radiation routine during each time step was called with and without aerosol. The difference in total net radiation between these calls was taken as the total (including all aerosols) aerosol direct effect of a given model run. Then, the direct radiative effect of sea spray aerosol particles was calculated from the difference in total aerosol direct effect between a sea-spray simulation and the *control* run. The total indirect effect (indirect component of ERF) of sea spray aerosol particles was calculated by subtracting the direct radiative effect from the ERF (semi-direct effect of sea spray aerosol is negligible due to low absorption).

Table 6 summarizes the simulated global mean radiative effects of sea spray aerosol particles in the different runs. All our simulations predicted a negative ERF due to sea spray aerosol particles (i.e. total cooling effect); however, the runs using the extended OSSA source function showed much lower values ( $-0.20 \text{ W m}^{-2}$  in the baseline run *ossa-ref*, with a sensitivity range from  $-0.10$  to  $-0.37 \text{ W m}^{-2}$ ) than the run using the default sea spray aerosol source function in ECHAM-HAMMOZ ( $-0.64 \text{ W m}^{-2}$  in simulation *default-salt*). Furthermore, our baseline simulation *ossa-ref* gave a direct all-sky radiative effect of  $-0.50 \text{ W m}^{-2}$  (sensitivity range from  $-0.21$  to  $-0.77 \text{ W m}^{-2}$ ) (Table 6). This is in good agreement with previously published estimates: for example, Reddy et al. (2005) obtained a direct sea spray aerosol radiative effect of  $-0.3 \text{ W m}^{-2}$ , whereas

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Ma et al. (2008) predict an all-sky value of  $-0.6 \text{ W m}^{-2}$ . The direct all-sky radiative effect in our run *default-salt* was  $-1.17 \text{ W m}^{-2}$ , the absolute value of which is clearly larger than many previously published estimates. On the other hand, the global mean clear-sky direct effect in *ossa-ref* was  $-0.84 \text{ W m}^{-2}$ , which is also in the range of estimates from previous studies. It is, for example, higher (in magnitude) than  $-0.44 \text{ W m}^{-2}$  calculated by Rap et al. (2013), but lower than  $-1.5 \text{ W m}^{-2}$  calculated by Ayash et al. (2008).

Rather surprisingly, our simulations predicted a positive total indirect effect (i.e. warming effect due to interactions with clouds) for sea spray aerosol. The baseline run gave a value of  $0.30 \text{ W m}^{-2}$  (sensitivity range  $0.11\text{--}0.40 \text{ W m}^{-2}$ ), which was lower than in the run using the ECHAM-HAMMOZ's default sea spray aerosol source function ( $0.53 \text{ W m}^{-2}$  in *default-salt*). Our results contrast with several previous global modelling studies, which have obtained a negative total indirect radiative effect for sea spray aerosol particles, such as the value of  $-2.9 \text{ W m}^{-2}$  from the study of Ma et al. (2008). The positive indirect effect was most evident in the Southern Ocean (Fig. 13c). In the same region, there was a clear decrease in the cloud droplet number concentration (CDNC) burden between runs *ossa-ref* and *ctrl* (Fig. 14a). This suggests two likely reasons for the positive indirect effect in our simulations. First, large sea salt particles take up so much water that they decrease the maximum supersaturation and thus prevent smaller particles from activating (Ghan et al., 1998; O'Dowd et al., 1999a, b; Gong and Barrie, 2003; Korhonen et al., 2010). Second, sea salt particles also act as a condensation sink for sulphuric acid, which reduces nucleation and the condensation of sulphuric acid onto nucleation mode and other sub-CCN particles (Gong and Barrie, 2003; Korhonen et al., 2010). The effect of these two mechanisms on the positive indirect effect is supported by the fact that the total number of soluble particles with dry diameter larger than 30 nm was 7 % lower in *ossa-ref* than in *ctrl* in the lowest five model levels (up to about 1 km) above the ocean. Together, these effects mean that sea spray aerosol emissions may not increase the cloud droplet concentration in the marine atmosphere, even if they increase the emissions of primary CCN.

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These results are consistent with those of O'Dowd et al. (1999b) who illustrated that the addition of more CCN could reduce rather than increase the CDNC if the CCN properties differed from those of the existing population as is in the case of adding sea salt CCN to an existing sulphate CCN population. O'Dowd et al. (1999b) reported also that sea salt can increase CDNC in very clean air with low sulphate concentration, but we did not find this effect by analysing the annual mean data from the simulation *ossa-ref*. Even though the mean sulphate concentration in the accumulation mode ( $0.1 \mu\text{m} < D_{\text{dry}} < 1 \mu\text{m}$ ) over the Southern Ocean ( $\sim 70 \text{ cm}^{-3}$ ) was less than half the switch-over point for decreased CDNC ( $\sim 150 \text{ cm}^{-3}$ ) estimated by O'Dowd et al. (1999b), the high modelled updraft velocities ( $> 1 \text{ ms}^{-1}$ ) resulted in decreased CDNC also in the Southern Ocean. This result differs from simulations by Ghan et al. (1998) who predicted that low-sulphate and high-updraft-velocity conditions would lead to increased CDNC with sea salt. The difference in results between our study and that of Ghan et al. (1998) may be a result of differences in sea salt or sulphate size distribution as the activation parameterization used by Ghan et al. (1998) (Abdul-Razzak et al., 1998) was very similar to that in this study (Abdul-Razzak and Ghan, 2002).

According to our simulations, PMOM decreased the magnitude of both the direct and indirect radiative effects of sea spray aerosol (Table 6, runs *ossa-ref* and *ossa-salt*). As described in Sect. 2.2, we tuned the cloud activation activity of PMOM high to match the observations of Ovadnevaite et al. (2011). As a result, CDNC burden was higher in *ossa-ref* than in *ossa-salt*, which had no organic enrichment of sea spray aerosol emissions (Fig. 14b) and the positive indirect effect  $0.07 \text{ W m}^{-2}$  lower (Table 6). As PMOM were internally mixed with soluble sea salt particles, the higher cloud-activation activity of PMOM increased the activation of smaller soluble particles, leading to a higher concentration of cloud droplets and thus to a stronger cooling effect. However, this effect did not overcome the two effects of large sea salt particles described above and therefore the total indirect effect of sea spray aerosol was still positive. On the other hand, the global mean direct effect of PMOM was  $0.03 \text{ W m}^{-2}$  (Table 6, calculated as the difference between *ossa-ref* and *ossa-salt*). This slight warming effect from PMOM

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is due to their lower water uptake compared to sea salt, part of which it is replacing in the *ossa-ref* simulation compared to *ossa-salt*. The refractive index of PMOM was similar to that of sea salt at 550 nm ( $1.48 + 10^{-9}i$  vs.  $1.49 + 10^{-6}i$ ), so the difference in optical properties has no role in the difference in direct radiative effect.

## 5 Summary and conclusions

We have used a global aerosol-climate model ECHAM-HAMMOZ with the microphysics module SALSA to evaluate a new sea spray aerosol source function, which encapsulates wave state (Ovadnevaite et al., 2014) with the organic fraction parameterized following Rinaldi et al. (2013), against both in situ and satellite measurements. We used the model to predict the direct and indirect radiative effect of sea spray aerosol particles consisting of both sea salt and primary marine organic matter (PMOM).

The model with the new parameterisation predicted global annual mean emissions of sea salt to be  $805 \text{ Tgyr}^{-1}$  (with a sensitivity range of  $378\text{--}1233 \text{ Tgyr}^{-1}$ ) and emissions of PMOM to be  $1.1 \text{ Tgyr}^{-1}$  (with a sensitivity range of  $0.5\text{--}1.8 \text{ Tgyr}^{-1}$ ), both of which are considerably lower than the typical range given in previous studies. However, our simulations agreed reasonably well with long-term in situ measurements at three marine or coastal sites, showing a low bias of  $-13\%$  at Mace Head and  $-29\%$  at Point Reyes for  $\text{PM}_{2.5}$  sea salt concentration, and a high bias of  $899\%$  for  $\text{PM}_{2.5-10}$  sodium ion concentration at Amsterdam Island. This suggests that at least the upper range of previous estimates of global sea spray aerosol emissions is likely to be unrealistic. The model underpredicted PMOM, especially during the summer months. This was probably due to both the low magnitude of the total sea spray aerosol flux and the fact that the Rinaldi et al. (2013) parameterization takes into account mixing of organic-rich and organic-poor layers of the ocean at high wind speeds, and thus predicts a lower mass fraction of PMOM in sea spray aerosol particles compared to previous studies.

Overall, the model with the extended OSSA source function underestimated AOD compared to the values retrieved using satellite data (PARASOL). However, agree-

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ment between measured and modelled values had clearly improved in the tropics and mid-latitudes compared to the default sea spray aerosol source function. The annual mean AOD retrieved from the PARASOL satellites was within the uncertainty bounds of the new sea spray aerosol source function (evaluated by two sensitivity simulations with high and low estimates of the source function) across 36 % of the oceans. The contributions from other major aerosol sources such as dust and anthropogenic emissions were likely causing part of the disagreement between the AOD estimate from PARASOL and ECHAM-HAMMOZ in some oceanic regions. In the region between the Equator and 45° S, which contains some of the more pristine oceanic regions, the annual mean AOD from PARASOL was within the sensitivity range in 60 % of the area. When looking at individual monthly means, the PARASOL-retrieved AOD fell outside the modelled uncertainty range most often in model grid-cells with low Reynolds number (i.e. low wind speed or small significant wave height). This indicates that some fine-tuning may be needed for the threshold Reynolds numbers for different modes of the new sea spray aerosol source function. When compared against AOD from sun-photometer measurements at several island and coastal stations of the AERONET network, the modelled monthly mean AOD performed well with correlation coefficients ranging from 0.66 to 0.83 in different seasons.

In contrast to several previous studies (e.g., Ayash et al., 2008; Ma et al., 2008), the model predicted that sea spray aerosol emissions had a positive indirect effect (global mean of  $0.30 \text{ W m}^{-2}$ ). Although primary cloud condensation nuclei (CCN) emissions increased, the additional surface area provided by the large sea salt particles prevented both sulphuric acid and water from condensing onto smaller particles, and thus decreased the total number of CCN and the CDNC. Our results agreed well with other global simulations where competition effects were taken into account (Gong and Barrie, 2003; Korhonen et al., 2010). Despite the positive indirect effect, the total radiative effect of sea spray aerosol emissions was negative due to a negative direct effect (global mean of  $-0.50 \text{ W m}^{-2}$ ) more than compensating for the positive indirect effect.

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The simulated organic fraction in sea spray aerosol was small (0.1 % in PM<sub>10</sub>, and 4 % in particles smaller than 700 nm in dry diameter), which was reflected in the minor radiative effects PMOM. Averaged over the year, the PMOM had a slight cooling indirect effect (global mean of  $-0.07 \text{ W m}^{-2}$ ) due to their high cloud activation efficiency in supersaturated conditions (Ovadnevaite et al., 2011). On the other hand, the direct effect of PMOM was positive (global mean of  $0.03 \text{ W m}^{-2}$ ) due to their lower water uptake compared to sea salt in sub-saturated conditions.

Overall, our study shows that even if total global emissions of PMOM are lower than several previous studies predict, they can still have effects on the global radiative budget. However, there are still large uncertainties in estimating the physical, chemical and optical properties of PMOM, and the uncertainty is naturally even larger when modelling its climate effects in a global scale.

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**Table 1.** Lognormal parameters for the Ovadnevaite et al. (2014) sea spray source function. The geometric standard deviation for each mode is denoted by  $\sigma_i$ , the geometric mean (count-median) diameter by  $D_{g,i}$ , and the mode total number flux by  $F_i(R_{\text{HW}})$ . The number flux is expressed as a function of Reynolds number ( $R_{\text{HW}}$ ).

$i$	$\sigma_i$	$D_{g,i}$	$F_i(R_{\text{HW}})$
1	1.37	0.018	$104.51 \cdot (R_{\text{HW}} - 10^5)^{0.556}$
2	1.50	0.041	$0.044 \cdot (R_{\text{HW}} - 10^5)^{1.08}$
3	1.42	0.090	$149.64 \cdot (R_{\text{HW}} - 10^5)^{0.545}$
4	1.53	0.233	$2.96 \cdot (R_{\text{HW}} - 10^5)^{0.79}$
5	1.85	0.830	$0.52 \cdot (R_{\text{HW}} - 2 \times 10^5)^{0.87}$

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**Table 2.** Kinematic viscosity ( $\nu_w$ ) of sea water at different sea water temperatures ( $T_w$ ). Data were interpolated for water salinity of  $35 \text{ g kg}^{-1}$  with parameterization by Sharqawy et al. (2010).

$T_w$ (°C)	$\nu_w$ ( $10^{-6} \text{ m}^2 \text{ s}^{-1}$ )
0	1.854
10	1.360
20	1.051
30	0.843
40	0.695

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**Table 3.** List of simulations.

Simulation name	Description
<i>control</i>	No sea spray emissions.
<i>ossa-ref</i>	Baseline extended OSSA source function.
<i>ossa-salt</i>	Extended OSSA source function without organic component.
<i>default-salt</i>	Model default source function for sea salt.
<i>ossa-lowflux</i>	Extended OSSA source function multiplied with 0.4.
<i>ossa-highflux</i>	Extended OSSA source function multiplied with 1.6.
<i>ossa-low-ics</i>	Decreased in-cloud scavenging coefficients (see Table 4).
<i>ossa-high-ics</i>	Increased in-cloud scavenging coefficients (see Table 4).

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**Table 4.** In-cloud scavenging coefficients for soluble particles in stratiform clouds used in different runs. Default values are used in all other runs except in *ossa-low-ics* and *ossa-high-ics*. Scavenging coefficients for insoluble particles were kept at the model default values in all runs.

	Liquid clouds	Mixed clouds	Ice clouds
Default			
30–700 nm	0.85	0.75	0.1
700 nm–10 $\mu$ m	0.99	0.75	0.1
<i>ossa-low-ics</i>			
30–700 nm	0.5	0.65	0.05
700 nm–10 $\mu$ m	0.99	0.65	0.05
<i>ossa-high-ics</i>			
30–700 nm	0.99	0.85	0.15
700 nm–10 $\mu$ m	0.99	0.85	0.15

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**Table 5.** Global total sea spray aerosol emissions and burdens in the model runs. Here SS refers to sea salt and PMOM to primary marine organic matter.

Model run	SS emission (Tgyr <sup>-1</sup> )	PMOM emission (Tgyr <sup>-1</sup> )	SS burden (Tg)	PMOM burden (Gg)
<i>ossa-ref</i>	805	1.1	2.9	9.0
<i>ossa-salt</i>	807	0	2.9	0
<i>default-salt</i>	7229	0	12.9	0
<i>ossa-lowflux</i>	378	0.5	1.2	3.5
<i>ossa-highflux</i>	1233	1.8	4.6	14.6
<i>ossa-low-ics</i>	805	1.1	2.9	9.6
<i>ossa-high-ics</i>	806	1.1	2.9	8.8

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**Table 6.** Global mean radiative effects (five-year means) in the model runs. ERF stands for effective radiative forcing.

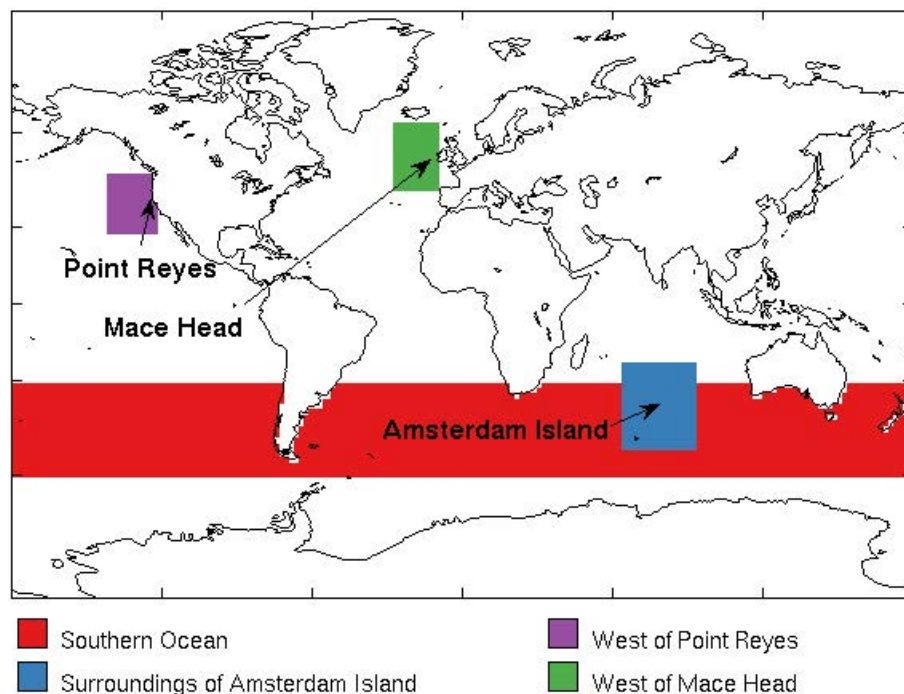
Model run	ERF (W m <sup>-2</sup> )	Direct effect (W m <sup>-2</sup> )	Indirect effect (W m <sup>-2</sup> )
<i>ossa-ref</i>	−0.20	−0.50	0.30
<i>ossa-salt</i>	−0.15	−0.53	0.37
<i>default-salt</i>	−0.64	−1.17	0.53
<i>ossa-lowflux</i>	−0.10	−0.21	0.11
<i>ossa-highflux</i>	−0.37	−0.77	0.40

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**Fig. 1.** The locations of the three in-situ measurement stations, and ocean masks used for aerosol optical depth calculations.

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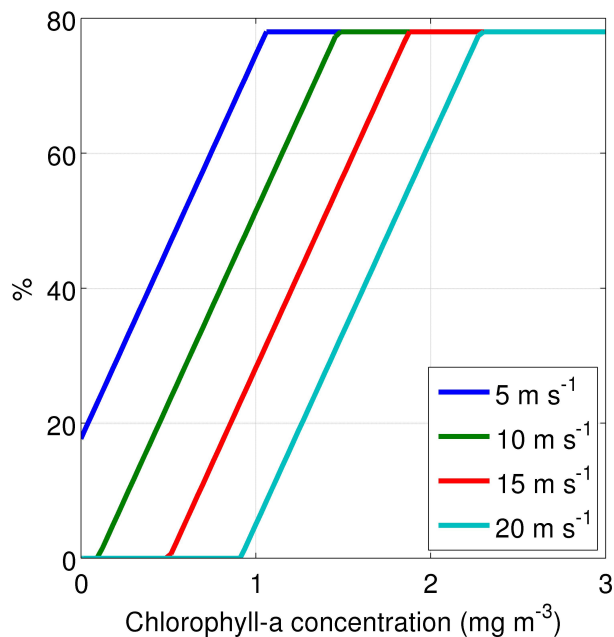
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**Fig. 2.** Dependence of organic mass fraction of sea spray emissions (Rinaldi et al., 2013) on the oceanic chlorophyll *a* concentration and 10 m wind speed.

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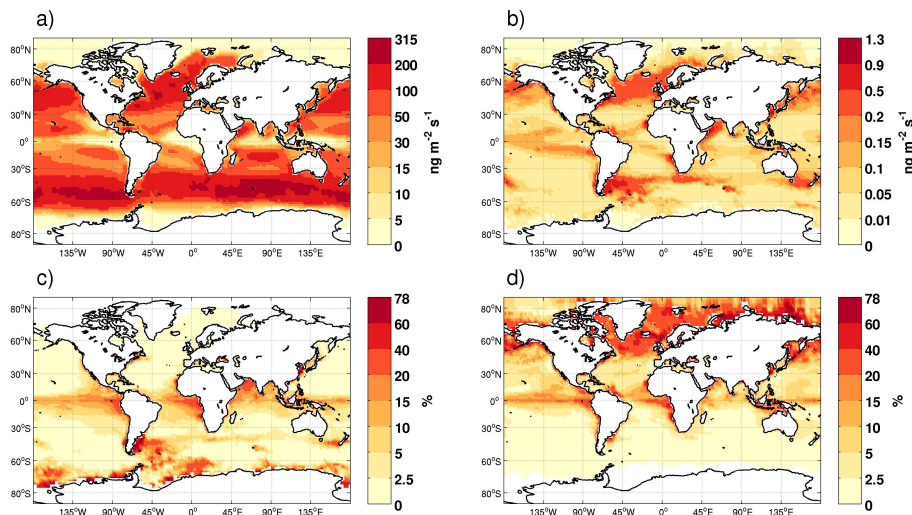
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**Fig. 3.** Annual mean emissions (2006–2010) of **(a)** sea salt aerosol and **(b)** primary marine organic matter (PMOM) in sea spray aerosol, **(c)** the mass fraction (in the dry diameter range of 30–700 nm) of PMOM in sea spray aerosol emissions during December-January-February, and **(d)** the mass fraction of PMOM in sea spray aerosol emissions during June-July-August.

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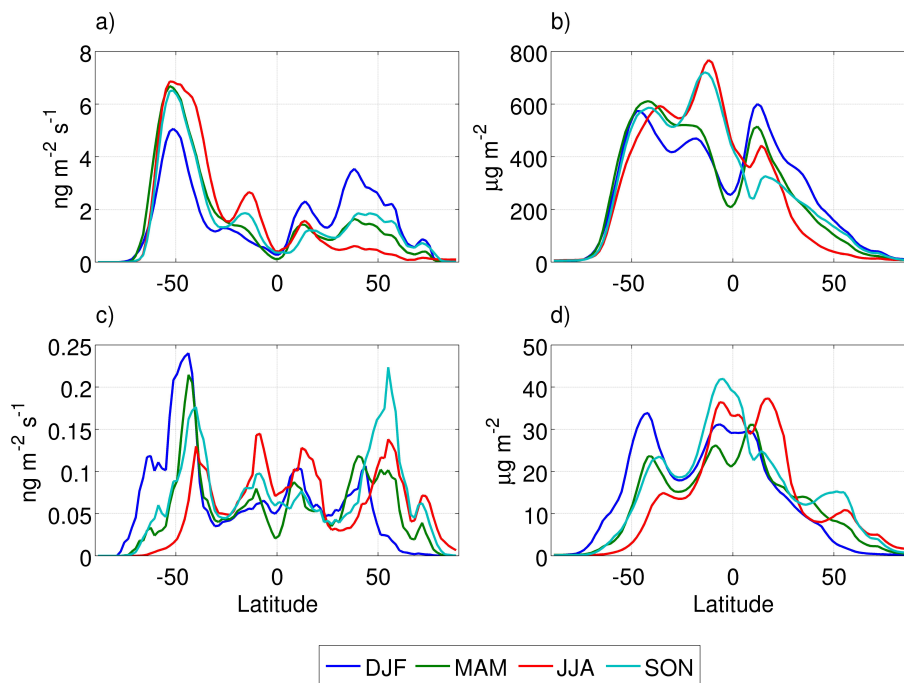
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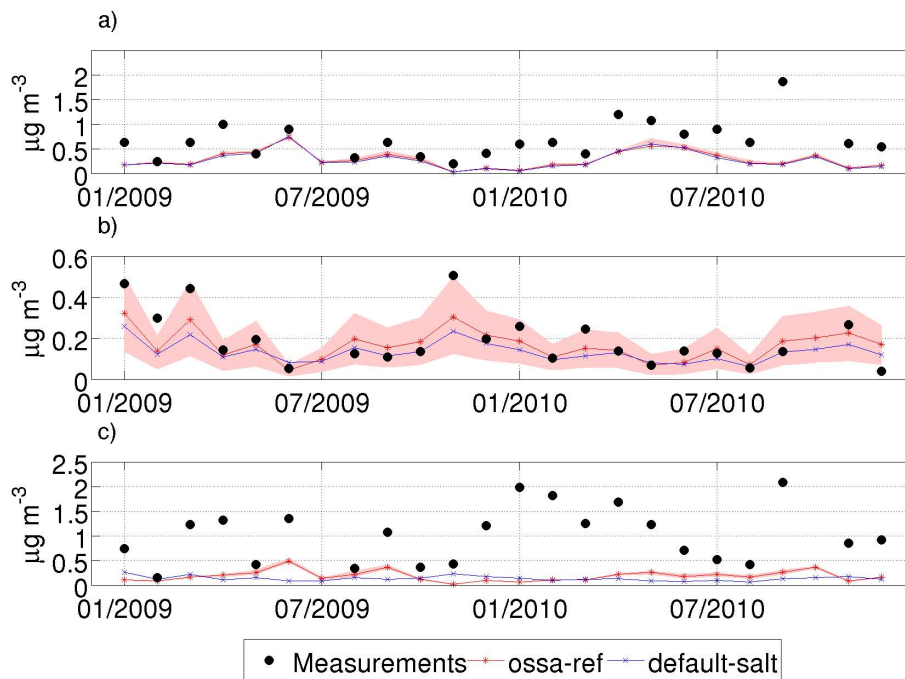
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**Fig. 4.** Seasonal zonal means of **(a)** emissions of sea salt, **(b)** burden sea salt, **(c)** emissions of primary marine organic matter, and **(d)** burden of primary marine organic matter. DJF, MAM, JJA, and SON stand for mean over December-January-February, March-April-May, June-July-August, and September-October-November, respectively.

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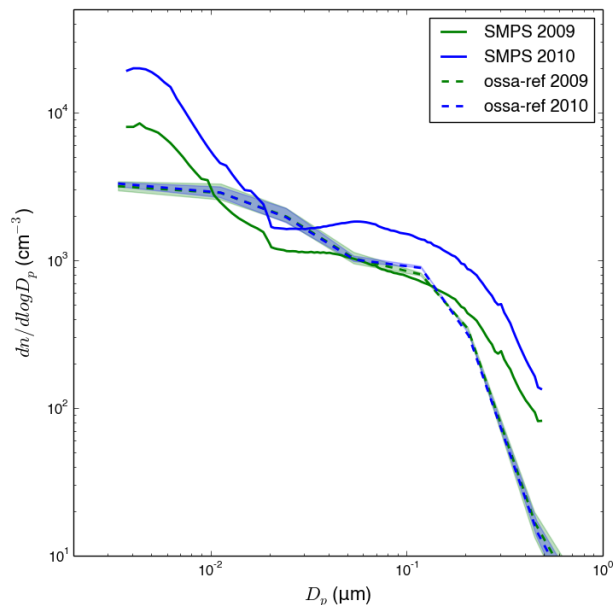


**Fig. 5.** Monthly mean  $\text{PM}_{10}$  mass concentration of **(a)** sulphate, **(b)** sea salt and **(c)** organic matter in in situ measurements at Mace Head and in the model. The shading around the red line (*ossa-ref*) indicates the spread of the model results from the sensitivity simulations. The model  $\text{PM}_{10}$  concentration was calculated by integrating the size distribution up to  $1\text{ }\mu\text{m}$  in terms of vacuum aerodynamic diameter (see text).

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**Fig. 6.** Annual mean measured and modelled size distribution at Mace Head. The modelled values are for the references simulation *ossa-ref* (solid line) and the spread is given by the sensitivity simulations (shading).

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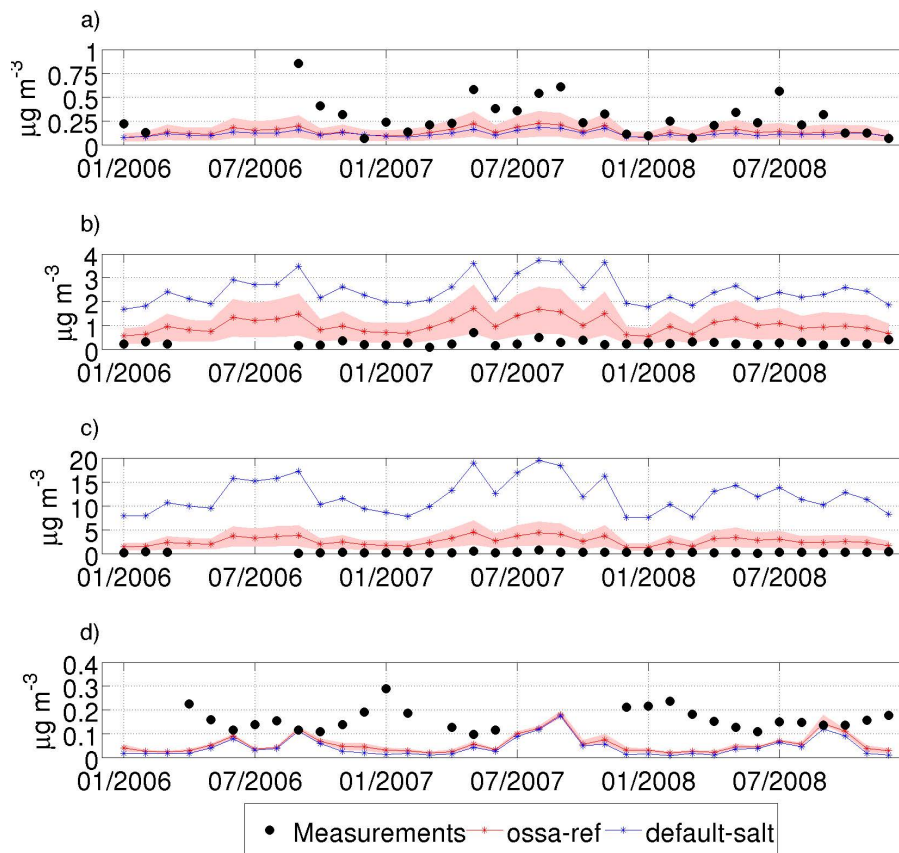
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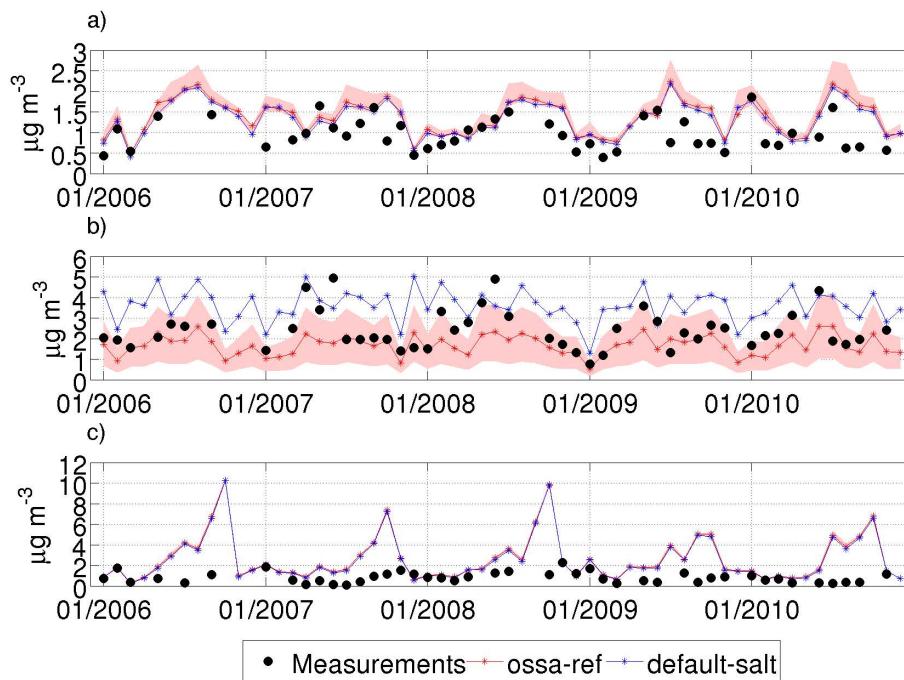


**Fig. 7.** Measured and modelled monthly mean mass concentration of the sodium ion in three different size ranges: **(a)**  $\text{PM}_{10}$ , **(b)**  $\text{PM}_{1-2.5}$ , and **(c)**  $\text{PM}_{2.5-10}$ , and **(d)** total carbonaceous aerosol at Amsterdam Island. The shaded area around the red line corresponding to ossa-ref represents the uncertainty from the sensitivity runs.



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**Fig. 8.** Measured and modelled monthly mean mass concentration of **(a)** sulphate, **(b)** sea salt, and **(c)** organic matter (bottom) at Point Reyes. The shaded area around the red line corresponding to simulation ossa-ref represents the uncertainty from the sensitivity runs.

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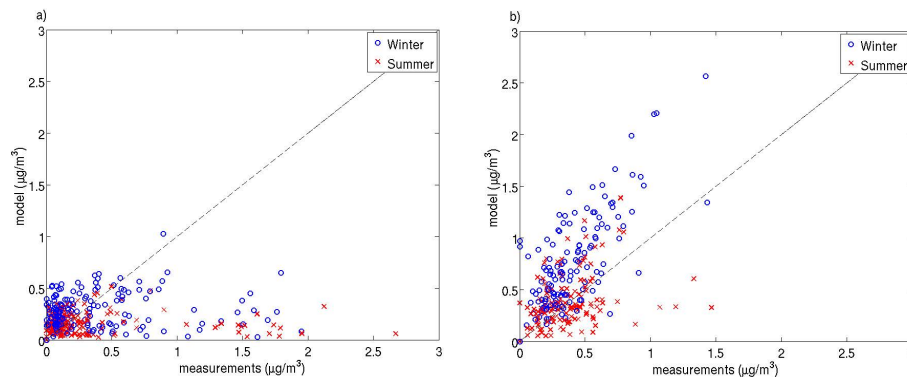
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**Fig. 9.** Comparison of measured (EMEP stations) and modelled (simulation *ossa-ref*) monthly mean sodium ion concentration in **(a)**  $\text{PM}_{2.5}$  and **(b)**  $\text{PM}_{10}$  at various sites for the years 2006–2011. Blue circles indicate boreal winter months (October–March) and red crosses indicated boreal summer months (April–September).

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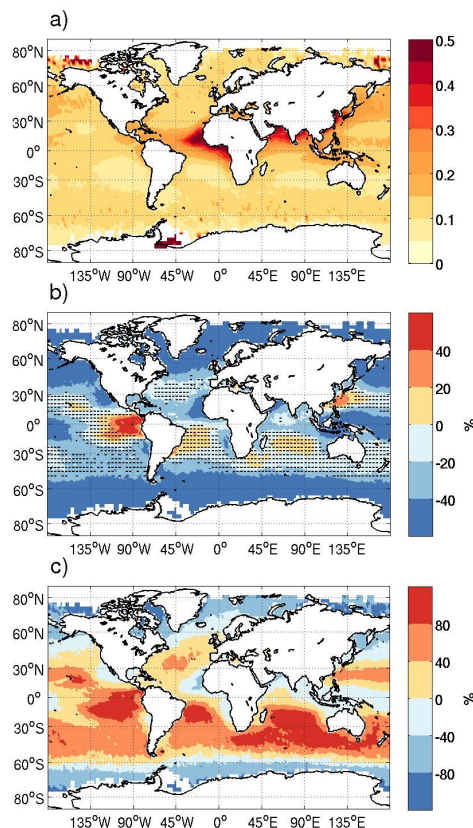
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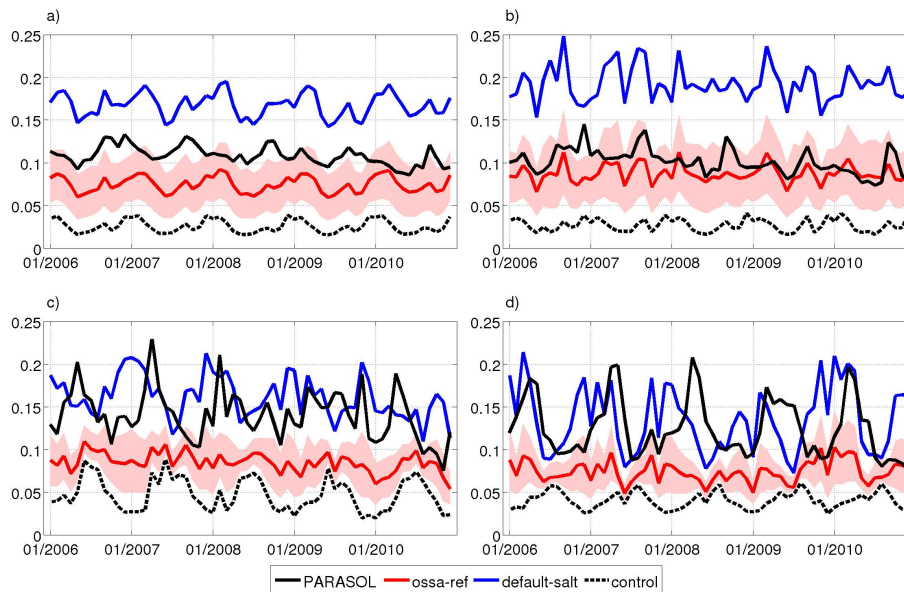
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**Fig. 10.** Comparison of modelled five-year-mean mean AOD fields to five-year-mean satellite-retrieved observations from PARASOL. The panels show **(a)** the observed AOD, and the normalized mean bias (with respect to PARASOL AOD) in the simulations **(b)** *ossa-ref*, and **(c)** *default-salt*. The shaded area in **(b)** represents area where AOD from PARASOL was within the OSSA sensitivity range. The normalized mean bias ranged spatially between  $-100\%$  and  $138\%$ , and between  $-100\%$  and  $168\%$  in *ossa-ref* and in *default-salt*, respectively.

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**Fig. 11.** Satellite-retrieved (PARASOL) and modelled monthly mean AOD values **(a)** over the Southern Ocean, **(b)** around Amsterdam Island, **(c)** west of Mace Head, and **(d)** west of Point Reyes (see Fig. 1).

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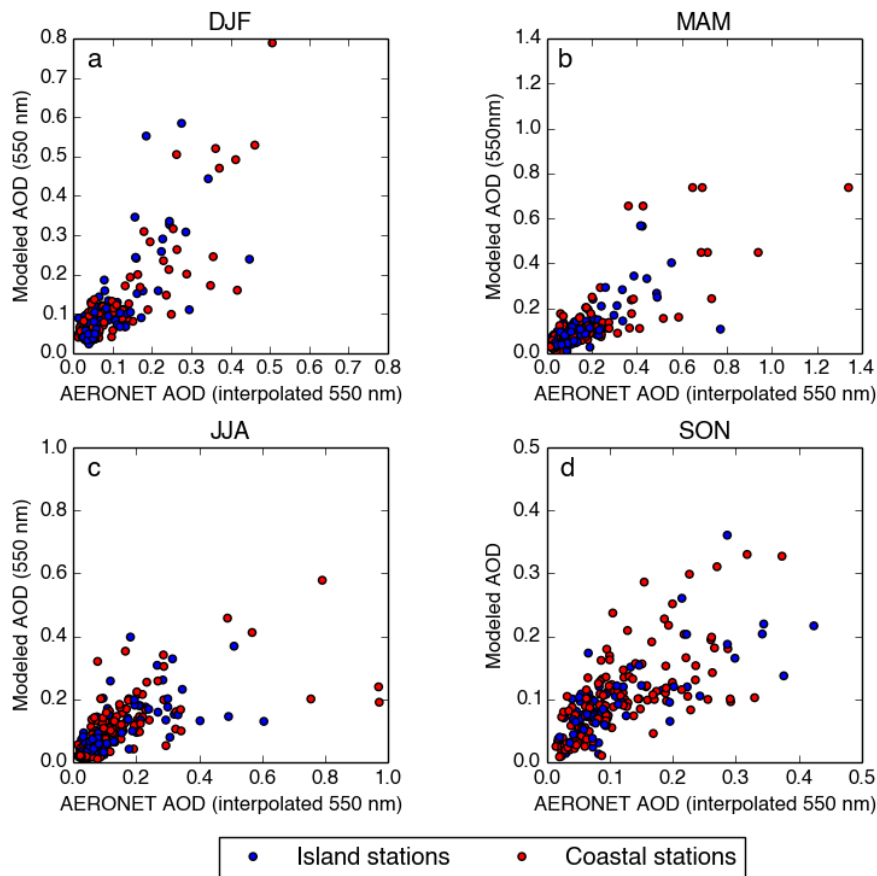
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**Fig. 12.** Comparison of observed (AERONET) and modelled (ossa-ref) monthly mean AOD in **(a)** December-January-December (DJF), **(b)** March-April-May (MAM), **(c)** June-July-August (JJA), and **(d)** September-October-November (SON). The comparison includes the AERONET island and coastal stations which had data available in the years 2006–2010.

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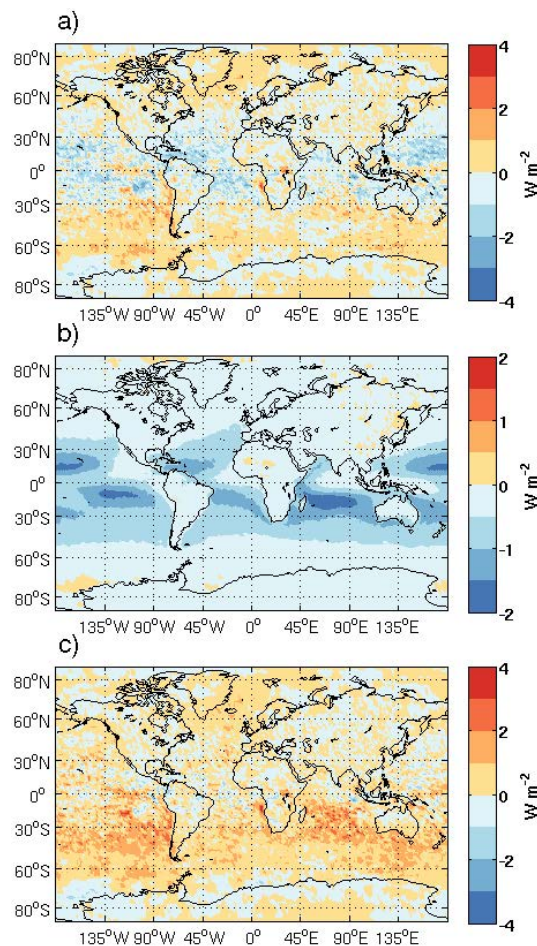
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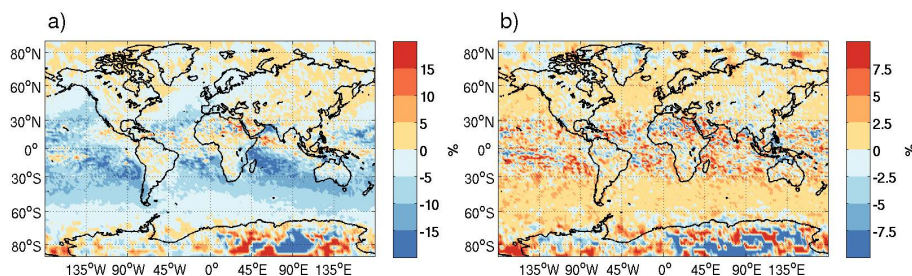
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**Fig. 13.** Radiative effects (five-year means) of sea spray aerosol in simulation *ossa-ref*. **(a)** Effective radiative forcing (total effect), **(b)** direct effect, **(c)** indirect effect.

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**Fig. 14.** Relative difference in five-year-mean cloud droplet number burden between (a) *ossa-ref* and *ctrl* (range from  $-78\%$  to  $150\%$ ) and (b) *ossa-ref* and *ossa-salt* (range from  $-66\%$  to  $102\%$ ).

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