An alternative method estimating hygroscopic growth factor of aerosol light scattering coefficient: A case study in an urban area of Guangzhou, South China

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1 **Abstract:** A method was developed to estimate hygroscopic growth factor (f(RH)) 2 of aerosol light scattering coefficient (b_{sp}), making use of the measured size- and 3 chemically-resolved aerosol samples. In this method, chemical composition of the 4 measured aerosol samples were first reconstructed using the equilibrium model 5 ISORROPIA II. The reconstructed chemical composition, which varies with relative 6 humidity (RH), was then employed to calculate b_{sp} and hygroscopic growth factor of 7 $b_{sp}(f_{sp}(RH))$ using Mie Model. Furthermore, the calculated $f_{sp}(RH)$ was fitted with an 8 empirical curve. To evaluate the applicability of f_{sp}(RH)-curve, it was used to correct 9 the long-term records of the measured b_{sp} from the values under comparative dry 10 conditions to the ones under ambient RH conditions. Compared with the original b_{sp} 11 data, the fsp(RH)-corrected bsp had a higher linear correlation with and a smaller 12 discrepancy from the b_{sp} derived directly from visibility and absorption measurements. 13 The fsp(RH) determined here was further compared with those reported in previous 14 studies. The method described in this manuscript provides an alternative approach to 15 derive credible f_{sp}(RH) with high accuracy and has many potential applications in 16 aerosol related research.

17 1. Introduction

18 Atmospheric aerosols influence radiation budget of the Atmosphere-Earth 19 system through light scattering and absorption, which impact climate and degrade 20 air visibility (Seinfeld and Pandis, 2006). Aerosol light scattering coefficient (b_{sp}) 21 increases with increasing relative humidity (RH) due to the hygroscopic growth of 22 water-soluble fractions of aerosol (Malm et al., 2003; Xu et al., 2002). Thus, higher 23 RH may cause larger single particle scattering albedo (ω_0) according to Mie Theory, 24 and as a result, a potential cooling effect on climate. Besides, air visibility 25 degradation could be strengthened in areas with wet climate and high aerosol 26 loading.

27 Knowledge of aerosol chemical composition is needed for calculating b_{sp} 28 under a desired RH environment using Mie model (Seinfeld and Pandis, 2006). 29 Accordingly, the hygroscopic growth factor of b_{sp} ($f_{sp}(RH)$) could be determined. 30 Thermodynamic equilibrium models such as E-AIM (Wexler and Clegg, 2002) and 31 ISORROPIA II (Fountoukis and Nenes, 2007) were thus developed for the purpose 32 of reconstructing aerosol chemical composition, as well as estimating water content 33 absorbed by aerosols, in various RH conditions. These models take advantage of 34 particles size hygroscopic growth factor (Tang, 1996; Tang et al., 1997; Tang and 35 Munkelwitz, 1994) of several pure chemical species established by laboratory 36 measurements and numerical parameterization studies. On the other hand, $f_{sp}(RH)$ 37 can be estimated through simultaneous measurements of b_{sp} of both dry and 38 ambient aerosols, the latter is in equilibrium in ambient RH condition, using 39 Nephelometers (Malm et al., 2003; Xu et al., 2002). Recently, experiments were 40 carried out with more advanced measurement techniques in order to determine 41 f_{sp}(RH) of various aerosol types (Zieger et al., 2011; Zieger et al., 2013).

42 In the Pearl River Delta region of South China, air visibility degradation, the 43 so called Haze problem mainly caused by severe aerosol pollution, has attracted 44 attentions of public and the scientific community (Wu et al., 2005). Intensive haze 45 episodes sometimes happened along with wet weather, suggesting the necessity of 46 assessing the impact of high RH environment on haze formation. For this purpose, 47 a series of field experiments were conducted to understand aerosol optical 48 properties and the related hygroscopic behavior in the Pearl River Delta region. 49 One of these experiment was carried out during October to November in 2004 at 50 Xinken (Cheng et al., 2008a,b; Zhang et al., 2008b), a suburban area of Guangzhou,

51 in which HDMPS, TDMPS, MOUDI and APS were utilized to determine particles 52 size hygroscopic growth factor and optical refractive index under various RH 53 condition. b_{sp} and $f_{sp}(RH)$ were then calculated based on Mie Model. Another 54 experiment was performed in July 2006 inside the urban area of Guangzhou to 55 derive b_{sp} from visibility and absorption measurements and to compare with the 56 Nephelometer measured ones in order to quantify $f_{sp}(RH)$ (Liu et al., 2008). 57 Although these two methods are credible and have significant scientific meanings, 58 the first one relied on a combination of complicated instruments which is not 59 always practical for long-term and simultaneous observations at multiple locations, 60 while the second one could not be utilized to examine the effect of hygroscopic 61 chemical species and their mixing state on the hygroscopicity of aerosol population. 62 During 2009 to 2010, a continuous study was conducted at the observation site of 63 the South China Institute of Environmental Sciences (SCIES) located in an urban 64 area of Guangzhou. During this period, chemical composition of PM2.5 and 65 particles number size distribution measured by APS were integrated into the Mie 66 model calculation for developing a practical method to estimate $f_{sp}(RH)$ (Lin et al., 67 2013). Results from this previous study suggested the importance of a complete 68 particles number size distribution for improving performance of the developed 69 method. However, fsp(RH) introduced by the studies mentioned above still need to 70 be further evaluated with long-term measurement results.

71 Aiming at further development of a practical method estimating $f_{sp}(RH)$, the 72 size- and chemically-resolved aerosol samples collected in SCIES observation site 73 during 2010 were utilized to estimate $f_{sp}(RH)$ in the present study. The determined 74 f_{sp}(RH) was then applied to correct the long-term measured b_{sp} from Nephelometer 75 during 2008 to 2010. A comparison between the $f_{sp}(RH)$ -corrected b_{sp} with those 76 derived from visibility and absorption measurements served as an evaluation of the 77 determined $f_{sp}(RH)$. In addition, the contribution of RH to the enhancement of b_{sp} 78 and ω_0 in the urban area of Guangzhou was separately quantified based on $f_{sp}(RH)$.

79

80 2. Methods

81 2.1. Experimental

The SCIES observation site (23 70'N, 113 210'E) is situated in the downtown area of Guangzhou. All instruments were installed on the roof of a building about mabove the ground. This site was built with a clear vision of surrounding area, around which there are residential buildings and a park about 500m to the northeast.
There is no obvious air pollution source within a circumference of 3km to the site
except traffic emissions. The map showing the SCIES site's location and
surroundings can be seen in a previous study (Lin et al., 2013). At this field site,
fine particles concentrations and aerosol optical properties, as well as gaseous
pollutant concentrations and key meteorological parameters, have been recorded
and studied since 2005 (Tao et al., 2009, 2012a,b, 2014).

92 In this work, size-segregated aerosols were collected by a high flow cascade 93 impactor with the particle cut-off sizes at 10, 2.5, 1.4, 1.0, 0.44, and 0.25 µm. The impactor was operated at a flow rate of 100 L min⁻¹ for approximately 24 hours to 94 95 collect one set of filter samples. All the samplings were performed during May to 96 June (May-04, 08, 12, 16, 20, 24, 26; Jun-05, 12, 18) and November to December 97 (Nov-12, 14, 16, 18, 20, 22, 24, 26, 28, 30; Dec-02, 04, 06, 08) in 2010, 98 considering the former period as the wet season (denoted as "ws") while the latter 99 as the dry season (denoted as "ds") in South China. OC and EC were measured by a 100 carbon analyzer following the NIOSH 5040 protocol. Detailed description of the 101 measurements of OC and EC and the related bias is provided in a Supplement 102 material for this manuscript. Major water-soluble inorganic cations (Na⁺, NH₄⁺, K⁺, Mg^{2+} and Ca^{2+}) and anions (SO₄²⁻, NO₃⁻, and Cl⁻) were detected by an ion 103 104 chromatograph. The cations were separated on an Ionpac CS12 analytical column 105 with CG12 guard column using 20mM methane-sulfonic acid as eluent, while the 106 anions were separated on Ionpac AS14 and AG14 columns with a mixture of 4.5 107 mM Na₂CO₃ and 1.4 mM NaHCO₃ as eluent. More information on chemical 108 analysis procedures mentioned above were described in another paper (Zhang et al., 109 2013).

110 Concentration of BC was measured using a Magee AE-31 Aethalometer with a flow rate of 5 L min⁻¹. The sampling air passed through a $PM_{2.5}$ cutter and a drying 111 112 tube before entering into Aethalometer. The instrument was calibrated to zero by 113 replacing the old filter in canister inlet with a clean one every week. Concentration 114 of NO₂ was measured by a Thermo 42i gas analyzer. The measured b_{sp} was derived 115 from a TSI 3563 Nephelometer. Calibration of Nephelometer was performed by 116 carbon dioxide as high-span gas and filtered air as low-span gas. Nephelometer 117 drew ambient air through a temperature-controlled inlet at a flow rate of 20 L 118 \min^{-1} .

Meteorological parameters including visibility (VIS), ambient RH, air pressure
(PRES) and air temperature (TEMP) were recorded every 30 min. Visibility was
observed using a present weather detector model VAISALA PWD22. Ambient RH,
PRES and TEMP were measured by weather probes in VAISALA QMH102.
Meteorological instruments were all mounted at 3 m above the platform of the
observation site. Table 1 summarizes all the measurement techniques in this study.

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- 126

2.2. Reconstruction of aerosol chemical composition using ISORROPIA II model

127 Taking mass concentrations of the measured water-soluble ions as input, 128 thermodynamic model ISORROPIA II was used to reconstruct the chemical 129 composition of particles which were considered as supersaturated solution droplets 130 in real atmosphere (Seinfeld and Pandis, 2006). The model was setup to solve the 131 "Reverse" problem with the output chemical species in the state of "metastable". 132 The recorded RH and TEMP were also input into the model. The aqueous species $(H^+, Na^+, NH_4^+, Ca^{2+}, K+, Mg^{2+}, HSO_4^-, SO_4^{2-}, NO_3^- and Cl^-)$ in the model primary 133 134 output were associated with each other into the form of chemical compounds 135 according to the abundance of SO_4^{2-} in particles (Fountoukis and Nenes, 2007). The extent of SO_4^{2-} abundance and the related potential major compounds are described 136 137 in Table 2. If any portion of NO_3^- or Cl⁻ remained, they were combined with H⁺ to 138 become HNO₃ or HCl. CaSO₄ was assumed to be completely insoluble. As 139 mentioned earlier, E-AIM is another popular tool for aerosol chemical composition 140 reconstruction, with which the measured water-soluble ions in this study were once 141 processed. Comparison between the primary output of ISORROPIA II and E-AIM 142 was presented in a Supplement material for this paper.

Mass concentration of POM was estimated as 1.6 times of that of OC for urban aerosols (Cao et al., 2007; Turpin and Lim, 2001; Xing et al., 2013). Unlike water-soluble components, the water absorbed by POM was not considered here due to the lack of 1) adequate data for the speciation of WSOC in POM and 2) the accurate RH dependence curve for WSOC. Neither EC was assumed to undergo hygroscopic growth.

149

150 **2.3.** Calculation of b_{sp} using Mie model

151 The reconstructed chemical composition in Section 2.2 was then used to 152 calculate b_{sp} on the basis of Mie theory. Optical properties of aerosol population 153 can be calculated with particles number concentration and the scattering/absorption 154 efficiency of a single particle (Bohren and Huffman, 1998). Three different 155 assumptions of particles mixing state (Bond and Bergstrom, 2005; Seinfeld and 156 Pandis, 2006) are concerned here, which are "Internal Mixed (volume averaged)", 157 "External Mixed" and "Core-Shell mixed (encapsulated)" (denoted below as 158 subscript int, ext, cs, respectively). The formulas for the Mie model calculations 159 with these mixing states assumptions are described respectively by eqs.(1), (2) and (3) where the subscripts i and j denote the ith chemical component and the jth stage 160 161 of particle size, respectively.

162
$$b_{sp/ap, int, cal} = \sum_{j} \frac{\pi D_{j}^{2}}{4} \cdot Q_{sp/ap, int} \left(\lambda, D_{j}, \sum_{i} \alpha_{i, j} m_{i, j}\right) \cdot N_{j} \quad \text{eq. (1)}$$

163
$$b_{sp/ap,ext,cal} = \sum_{j} \sum_{i} \frac{\pi D_{i,j}^2}{4} \cdot \mathcal{Q}_{sp/ap,ext,i,j} \left(\lambda, D_{i,j}, m_{i,j}\right) \cdot N_{i,j} \quad \text{eq. (2)}$$

164
$$b_{sp/ap,cs,cal} = \sum_{j} \frac{\pi D_{j}^{2}}{4} \cdot Q_{sp/ap,cs} \left(\lambda, D_{j,c}, D_{j,s}, m_{j,c}, \sum_{i} \alpha_{i,j,s} m_{i,j,s} \right) \cdot N_{j} \quad \text{eq. (3)}$$

165 $Q_{sp/ap}$ is the single particle scattering/absorption efficiency, the calculations of which were stated in a literature (Bohren and Huffman, 1998). λ represents the light 166 167 wavelength and was referred to 550 nm throughout this work. D is the particle 168 volumetric equivalent diameter and was calculated based on the median cut-off 169 aerodynamic diameter (D_a) of the employed cascade impactor and the particle 170 density (p) (see eq.(4) below). N stands for the particles number concentration and was calculated using eq.(5). C in eqs.(5) and (6) refers to the mass concentration of 171 172 reconstructed chemical component. α stands for the component's volume fraction and was calculated using eq.(6). m (=n+ki) is the particle optical refractive index 173 174 (ORI). Values of p and m were stated in a previous study (Lin et al., 2013) and are 175 also listed here in Table 3. Computational codes of the calculations mentioned 176 above were integrated into MATLAB scripts for batch processing.

177
$$D = D_a \cdot \frac{1}{\sqrt{\rho}} \quad \text{eq.(4)}$$

178
$$N = \frac{6 \cdot C}{\pi \cdot D^3 \cdot \rho} \quad \text{eq.(5)}$$

179
$$\alpha_{i,j} = \frac{C_{i,j}}{\rho_i} / \sum_i \frac{C_{i,j}}{\rho_{i,j}}$$
 eq.(6)

180

181 **2.4. Estimation and parameterization of** $f_{sp}(RH)$

The water-soluble fractions of aerosol, such as ammonia sulfate and sodium chloride, absorb more water in the environment with higher RH. Thus, aerosol chemical composition varies with RH, and the density and ORI of aerosol particles change as a result. The RH input into ISORROPIA II can be tuned in a desired range, and then chemical composition at a certain RH can be determined by the model. This illustrates a way to simulate RH dependence of b_{sp} based on Mie model making use of ISORROPIA II.

189 $f_{sp}(RH)$ is the ratio of $b_{sp}(RH)$ to $b_{sp}(RH_0)$, where RH_0 refers to a RH condition 190 under which particles are considered to be too dry to grow. It should be noted that 191 particles number concentration in each particle size range was assumed to be 192 unchanged through hygroscopic growth. Eq.(4) had to be modified for calculating 193 b_{sp}(RH). As D in eq.(4) was determined under ambient RH condition, hygroscopic 194 growth of particles size, D(RH), should be considered when RH condition changed. 195 Therefore, hygroscopic growth factor of particles size, g(RH), which is the ratio of 196 D(RH) to $D(RH_{amb})$, was added into eq.(4) to form eq.(7).

197
$$D(RH) = D_a(RH_{amb}) \cdot \frac{1}{\sqrt{\rho(RH_{amb})}} \cdot g(RH) \quad \text{eq.(7)}$$

198 g(RH) in eq.(7) can be calculated using eq.(8) stated in a previous study (Lin
199 et al., 2013).

200
$$g(RH) = \left(\frac{V_{water}(RH) + V_{dry_particle}}{V_{dry_particle}}\right)^{1/3} \quad \text{eq.(8)}$$

201 Vwater of both "Internal Mixed" particles and "Core-Shell Mixed" particles are 202 referred to the total volume of water absorbed by particles. V_{water} under this 203 circumstance can be determined based on the total amount of water predicted by 204 ISORROPIA II. However, with regard to "External Mixed" particles, Vwater cannot 205 be determined from ISORROPIA II because the water amount separately absorbed 206 by each hygroscopic chemical component was not recorded in model output due to 207 the model design. To overcome this problem, an assumption was made in the light 208 of a previous paper (Cheng et al., 2008a): "External Mixed" particle is considered 209 as composed of three groups of components. To form one of the three groups, 210 inorganic salts and water mixed with each other following volume-average rule. 211 Meanwhile, POM and EC formed the other two groups. These three groups were 212 "External Mixed" with each other. Such three-groups mixing state was denoted as 213 "semi- External Mixed" for easy description below. Accordingly, the water amount 214 predicted by ISORROPIA II can still be utilized to quantify V_{water} of the group 215 mixed with inorganic salts and water.

For the convenience of future applications, $f_{sp}(RH)$ was usually parameterized empirically, and the following function was found to be the best curve-fitting.

218
$$f_{sp}\left(RH\right) = \left(\frac{1-RH}{1-RH_0}\right)^{-a\cdot(RH+b)} \quad \text{eq.(9)}$$

219 RH within a range of 0.12 to 0.96 was recorded at our site during 2008 to 2010. 220 Besides, ISORROPIA II was run under the state of "metastable", which allows 221 particles to absorb water at low RH conditions. Therefore, RH₀ in eq.(9) was 222 initially set to 0.10, and then the values of $f_{sp}(RH)$ at RH in the range of 0.10 to 223 0.96 were determined.

224

236

225 **2.5.** Evaluation of f_{sp}(RH)

Particles drawn into Nephelometer were heated for the purpose of RH control. b_{sp} measured by Nephelometer was corrected by $f_{sp}(RH)$ to its value in ambient environment where particles underwent hygroscopic growth. Eq.(10) describes such correction, where b_{sp,cal} stands for the $f_{sp}(RH)$ -corrected b_{sp}.

230
$$b_{sp,cal} \left(RH_{amb} \right) = b_{sp} \left(RH_{nep} \right) \cdot \frac{f_{sp} \left(RH_{amb} \right)}{f_{sp} \left(RH_{nep} \right)} \quad \text{eq.}(10)$$

Before the correction using eq.(10), Nephelometer measured b_{sp} was first
 corrected for Angular Nonidealities following the method presented in a literature
 (Anderson and Ogren, 1998).

Also, b_{sp} can be estimated by eq.(11) based on visibility and absorption measurements (Seinfeld and Pandis, 2006) and is denoted as $b_{sp,mea}$ below.

$$b_{sp,mea} = b_{ext,mea} - b_{ap,mea} - b_{ag,mea} - b_{sg,mea} \quad \text{eq.(11)}$$

In eq.(11), b_{ext,mea} represents total light extinction coefficient and was derived
from visibility following eq.(12) which is stated in the users' manual of PWD22.
b_{ag,mea} stands for light absorption by gaseous pollutant and was practically
estimated by mass concentration of NO₂ following eq.(13) (Pitchford et al., 2007).
C_{NO2} and M_{NO2} in eq. (13) are mass concentration and molecular weight of NO₂,

respectively.

243
$$b_{ext,mea} = \frac{3}{visibility}$$
 eq. (12)

244
$$b_{ag,mea} = 330 \cdot 22.4 \cdot \frac{C_{NO_2}}{M_{NO_2}}$$
 eq. (13)

Light scattering by air, denoted as $b_{sg,mea}$, was calculated using eq.(14) (Seinfeld and Pandis, 2006). Mass concentration of BC, $C_{bc,880nm}$, was used to estimate b_{ap} at light wavelength of 532nm following a linear relationship described in eq.(15) (Wu et al., 2009). The 532/550 added to eq.(15) is to correct $b_{ap,mea}$ from its value at 532nm to that at 550nm (Bergstrom et al., 2002).

250
$$b_{sg,mea} = 11.4 \cdot \frac{293}{TEMP} \cdot PRES$$
 eq.(14)

251
$$b_{ap,mea} = (8.28 \cdot C_{bc,880nm} + 2.23) \cdot (532/550)$$
 eq.(15)

Finally, the correlation between $b_{sp,cal}$ and $b_{sp,mea}$ was examined using linear regression, which was considered as an evaluation of the determined $f_{sp}(RH)$. Summary of the fundamental data involved in this evaluation are statistically stated in Table 4.

256

257 **3. Results and discussion**

258 **3.1. Reconstructed aerosol chemical composition**

259 Measured inorganic ions were needed as model input for ISORROPIA II to 260 reconstruct aerosol chemical composition. The ions, as well as OC and EC, were 261 collected during May to June and November to December in 2010. At first, the data 262 was briefly examined to ensure data quality (Figure 1). The charge equivalence of 263 cations was highly correlated to that of anions in each particle size range (Figure 264 1a). And a slope of 1.00 was found in the linear regression, indicating the balance 265 of charge equivalence among the ions. This balance warranted ISORROPIA II 266 functioning properly and the modeling result with high accuracy $(95\pm5\%)$ according 267 to mass conservation of chemical species between the model input and output data). 268 The mass concentration of ions peaked in the size ranges of 0.44-1 µm and 2.5-10 269 μ m, which can be explained by the different dominant ions in different size ranges 270 (e.g. Zhang et al., 2008a). The correlation between the mass of OC and EC is 271 shown in Figure 1b. Note that mass concentration of OC and EC in the size range

272 of >10 μ m was not weighed in laboratory and thus was not shown in Figure 1b. EC 273 and OC had significant linear correlations in size ranges of 0.44-1 μ m and 2.5-10 274 μ m, with the R² being 0.58 and 0.73, respectively.

The reconstructed aerosol chemical composition was briefly discussed below. It should be noted that the total mass of each aerosol sample mentioned through this paper is the sum of all the determined chemical components. The unidentified components were initially assumed to be negligible in mass and light scattering effect compared to the determined ones.

280 Figures 2 and 3 present the reconstructed aerosol chemical composition. The 281 major chemical compounds in aerosols were (NH₄)₂SO₄, Na₂SO₄, NH₄NO₃, NaNO₃, 282 K₂SO₄, CaSO₄, Ca(NO₃)₂, H₂O, POM and EC. As illustrated in Figure 2, they 283 accounted for most of the total mass. Specifically, major inorganic salts accounted 284 for about 35%-55% of the total mass in particles larger than 0.44 μ m and less in 285 smaller particles. In contrast, POM and EC contributed about 40%-60% in particles 286 smaller than 0.44 µm and about 15%-35% in larger particles. Generally, calcium 287 salts and NaNO₃ were mostly in coarse particles, while ammonia salts, Na₂SO₄ and 288 K_2SO_4 were in fine ones.

289 The mass fraction of each major compound differed between the wet and dry 290 season with the distinct difference in water content. The mass fraction of K_2SO_4 291 significantly increased in the dry season compared to that in the wet season, while 292 that of sodium salts decreased. Although the mass fraction of each major compound 293 varied with season, size distribution pattern of each major compound was similar 294 between the two seasons (Figure 3). Specifically, (NH₄)₂SO₄, Na₂SO₄ and K₂SO₄ 295 peaked in size range of 0.44~1.0 µm, NaNO₃, CaSO₄ and Ca(NO₃)₂ in 2.5~1.0 µm, 296 and NH₄NO₃, H₂O, POM and EC had two peaks of $0.44 \sim 1.0 \text{ }\mu\text{m}$ and $2.5 \sim 1.0 \text{ }\mu\text{m}$.

297

3.2. b_{sp} of the mixed aerosol

ORI of aerosol particles is one of the key parameters for calculating b_{sp} , and can be determined by the volume ratios of the reconstructed chemical constituents for mixed particles. ORI of "Internal mixed" particles represents the integrated property of particle population. Following the volume-average rule, the calculated ORI of "Internal mixed" particles tended to present the ORI of chemical component having large volume fraction in particles. As illustrated in Figure 4(a, b), due to smaller water fractions (Figure 3), the real part of ORI of particles in each size range generally increased in dry season when compared to that in wet season.
Larger EC fractions in smaller particles accounted for larger imaginary part of ORI.
This phenomenon was more obvious in wet season than in dry season.

- 309 b_{sp} was calculated after inputting all the required parameters into Mie model. 310 For validation, the modeled b_{sp} was compared with b_{sp} derived from visibility and 311 absorption measurements. These two data sets covered the same time periods 312 during May to June and November to December in 2010. Regardless of particles 313 mixing state, the modeled b_{sp} had strong linear correlations with the measured b_{sp} as the R² reaching around 0.85 (Figure 4c). However, the regression slopes deviated 314 315 from 1.00 and the model calculation only matched around 45% of the measured 316 magnitude. The potential cause for this discrepancy could be a truncation error in 317 the model calculation as the range of each size bin of the employed impactor was 318 too wide to get high-resolution particles number size distribution. Not considering 319 the contributions of the unidentified chemical constituents to b_{sp} in the calculation 320 could be another potential cause to some extent.
- 321 Meanwhile, b_{ap} calculated using Mie model was validated with b_{ap} derived 322 from Aethalometer (Figure 4d). It indicates that the modeled b_{ap} was also highly 323 correlated to the measured one despite of particles mixing state. On the other hand, 324 the modeled magnitude of b_{ap} largely depended on particles mixing state, which 325 was 104%, 34% and 88% of the measured magnitude regarding $b_{ap,int}$, $b_{ap,ext}$ and 326 $b_{ap,cs}$, respectively. This result had an implication that the portions of particles in 327 different mixing states could be estimated.
- 328

329 **3.3.** Parameterization of f_{sp}(RH)

The model calculated b_{sp} in the present study can be utilized to derive $f_{sp}(RH)$ (= $b_{sp}(RH)/b_{sp}(RH_0)$) considering the existence of strong linear correlations between the modeled and the measured b_{sp} . In addition, $b_{sp}(RH_0)$ and $b_{sp}(RH)$ should both be subjected to the same type of truncation error, which would eventually minimize the impact of the truncation error on their ratio $f_{sp}(RH)$.

335 It is found that the model calculated b_{sp} for "External Mixed" was very close 336 to that for "semi-External Mixed" (Figure 4c and 4d). Thus, b_{sp} for "semi-External 337 Mixed" was used to determine $f_{sp}(RH)$ in the following discussions in order to take 338 advantage of the total water amount predicted by ISORROPIA II. Accordingly, the 339 subscript "ext" below is referred to "semi-External Mixed". 340 Figure 5 plots the average $f_{sp}(RH)$ (referred to $RH_0=0.1$) generated from all the 341 24 aerosol samples and the related standard deviations. $f_{sp}(RH)$ for the three 342 different mixing states were all similar, as the differences were in the range of -5% 343 to 1% at RH between 0.1 and 0.9. f_{sp}(RH) generally increased with increasing RH, 344 and reached around 2.77 at RH of 0.9. The standard deviation of f_{sp}(RH) increased 345 to nearly 8% of the average $f_{sn}(RH)$ value at RH of 0.9. It can be inferred that the 346 seasonal variations of particles chemical composition, as well as the changes of 347 particles mixing state, only had small impact on $f_{sp}(RH)$ under most RH conditions.

348 A two-parameter function, such as eq.(9), could be more suitable for practical 349 application if one of the two parameters is set to be a constant. Since parameter "a" 350 in eq.(9) controls the rate of hygroscopic growth, tuning parameter "b" is 351 reasonable and comprehensive. Ranging parameter "b" from -0.1 to 0.4 with 352 increment of 0.05, the average of "a", standard deviation of "a", average residual of 353 curve fitting and average correlation coefficient of curve fitting by eq.(9) among all 354 samples were examined (Table 5). The best fitting using eq.(9) can be achieved by 355 setting parameter "b" to 0.15, 0.25 and 0.05 with regard to f_{sp.int}, f_{sp.ext} and f_{sp.cs}. 356 respectively, since the standard deviation of "a", as well as the average residual of 357 curve fitting, reached the valley-point while average correlation coefficient of curve 358 fitting stayed at a high level. In comparison, setting parameter "b" to zero for all the 359 three mixing states can also have comparatively low standard deviation of "a", low 360 average residual while maintaining high average correlation coefficient. Therefore, 361 for simplicity, parameter "b" was set to zero in eq.(9) for all the mixing states, and the corresponding parameter "a" was 0.5379, 0.5093 and 0.5443, respectively, for 362 363 f_{sp,int}, f_{sp,ext} and f_{sp,cs}. Under this circumstance, the variation of parameter "a" had 364 correlations with the mass fraction of water-soluble inorganic salts in dry particles, 365 with the correlation coefficient being 0.74, 0.86 and 0.62 regarding $f_{sp,int}$, $f_{sp,ext}$ and 366 f_{sp,cs}, respectively. This implied that the larger portion of the water-soluble 367 inorganic salts, the higher hygroscopic growth rate of b_{sp} will be.

368 369

3.4. Evaluation and application of f_{sp}(RH)

To evaluate the $f_{sp}(RH)$ -curve developed above, Nephelometer measured b_{sp} was corrected with $f_{sp}(RH)$ (referred to $b_{sp,cal}$), and then compared with b_{sp} derived directly from visibility and absorption measurements (referred to $b_{sp,mea}$). As an example, $f_{sp,int}$ was used and the comparison results are presented in Figure 6. The data sets involved in the comparison covered the period of Mar 2008 to April 2010
and were grouped based on the month of the year to see any possible seasonality.
During this period, the monthly average ambient RH was in the range of 0.56-0.78,
higher than that inside Nephelometer which was in the range of 0.43-0.63 (Figure 6a). Figure 6b illustrates the number of validated data (NVD) in the comparison.

379 A linear regression with zero intercept was used to correlate b_{sp.cal} and b_{sp.mea}. Before applying $f_{\text{sp}}(\text{RH})$ correction to Nephelometer measured $b_{\text{sp}},$ the correlation 380 between $b_{sp,cal}$ and $b_{sp,mea}$ had a R^2 around 0.70 and a slope ranging between 381 0.45-0.84. With $f_{sp}(RH)$ correction, the R² was in a narrow range of 0.83-0.94 while 382 383 the slope was in the range of 0.66-0.99. Note that the slopes in August, September, 384 November and December approached 1.00. Applying fsp,ext or fsp,cs into the 385 corresponding b_{sp} correction showed similar results as using f_{sp,int}. Clearly, the 386 $f_{sp}(RH)$ correction remarkably improved the correlation and the agreement between 387 b_{sp,cal} and b_{sp,mea}.

388 It is worth to mention that our observation site locates in a region with wet 389 climate. Each aerosol sample inside Nephelometer was heated in order to keep the 390 instrument properly functioning. As mentioned in section 3.1, aerosols absorb more 391 water during the wet season, thus the heating drove off water and probably lowered 392 b_{sp} irreversibly. Besides, this study did only consider the hygroscopic growth of 393 water-soluble fractions in inorganic salts, but did not include the hygroscopicity of 394 water-soluble fractions in POM. However, water-soluble fractions in POM could 395 significantly grow with RH higher than about 0.6 (Gysel et al., 2004). These two 396 cause could be important to the discrepancies between b_{sp.cal} and b_{sp,mea} during 397 February and April, despite of including $f_{sp}(RH)$ correction at that time.

398 For further evaluation, $f_{sp}(RH)$ in this study was compared with those reported 399 in previous studies (Table 6). Note that the values of $f_{sp}(RH)$ in Table 6 were all 400 referred to the ratio of $b_{sp}(RH)$ to $b_{sp}(RH_0=0.3)$ in order to have proper comparisons 401 among results from various studies. RH dependence of f_{sp}(RH) obtained in this 402 work was consistent with those from other studies though some small differences 403 existed. Specifically, (1) when compared with the direct measurement results (Liu 404 et al., 2008; Malm et al., 2003), f_{sp}(RH) determined here was a bit underestimated, 405 and the underestimation generally enlarged as RH increased; (2) when compared 406 with the result from a numerical study also without considering the hygroscopicity 407 of water-soluble organic fractions (Cheng et al., 2008a), f_{sp}(RH) determined here 408 had only a slight overestimation; (3) when compared to the result from another 409 numerical study (Lin et al., 2013), f_{sp}(RH) in this study was a bit underestimated at 410 RH between 0.30-0.76 and overestimated at higher RH. Given the fact stated in 411 point (2), it is speculated that including hygroscopic growth of water-soluble 412 organic fractions and the potential hygroscopicity of the unidentified chemical 413 component in f_{sp}(RH) calculation will minimize the underestimation discussed in 414 point (1). Regarding the point (3) above, when compared with the result from this 415 study, the previous study might underestimate the mass fractions of water-soluble 416 species in particles of certain size ranges as particles chemical compositions were 417 uniformized in all particles size ranges there.

418 Overall, the method described in this paper employed simple measurements 419 and modeling procedures to derive credible $f_{sp}(RH)$ with high accuracy, which is 420 particularly suitable for long-term and simultaneous field studies at multiple 421 locations. Furthermore, the enhancement of b_{sp} and ω_0 by RH during 2008 to 2010 422 in the urban area of Guangzhou can be quantified with $f_{sp}(RH)$. The enhancement 423 of b_{sp} , Δb_{sp} , is defined as the ratio of $(b_{sp}(RH_{amb})-b_{sp}(RH=0.1))/b_{sp}(RH=0.1)$, while 424 the enhancement of ω_0 , $\Delta \omega_0$, is calculated as $(\omega_0(\text{RH}_{amb})-\omega_0(\text{RH}=0.1))/\omega_0(\text{RH}=0.1)$. 425 Note that ω_0 was derived from the Nephelometer and Aethalometer measurement 426 results, and the hygroscopicity of absorption effect was not considered for now. As 427 illustrated in Figure 7, Δb_{sp} had two peaks respectively in February and June, and a 428 valley-point in October. Under ambient RH condition, b_{sp} was strengthened by 429 67%-104% during January to August and by 35%-60% from September to 430 December. Meanwhile, $\Delta \omega_0$ ranged around 6%-9% from January to May, peaked 431 up to around 12% during June to July, and then dropped to nearly 4% in October 432 before bouncing back to about 6% in November and December.

433

434 3.5. Uncertainties

Potential sources of uncertainties in this study included direct measurements, laboratory analysis and parameters chosen in model calculations. Table 7 lists the uncertainties attributed to input parameters of $f_{sp}(RH)$ calculation. Accordingly, the overall uncertainty of calculated $f_{sp}(RH)$ was estimated to be 9.38% using eq.(16) where U_i refers to the uncertainty of the ith parameter.

440
$$U_{total} = \left(\sum_{i} U_{i}^{2}\right)^{1/2}$$
 eq. (16)

441

442 **4. Summary and Conclusion**

443 Making use of size- and chemically-resolved aerosol samples, the aerosol 444 chemical composition under a desired RH condition was reconstructed using 445 ISORROPIA II model. The major chemical constituents were (NH₄)₂SO₄, Na₂SO₄, 446 NH₄NO₃, NaNO₃, K₂SO₄, CaSO₄, Ca(NO₃)₂, H₂O, POM and EC. The mass 447 fractions of H₂O and K₂SO₄ obviously differed between the wet and dry season. 448 Although the mass concentrations of the major constituents varied with season, 449 their mass size distribution showed similar patterns between the two seasons. 450 Calcium salts and NaNO₃ were mostly in coarse particles, while ammonia salts, 451 Na₂SO₄ and K₂SO₄ were in fine ones. Aerosol optical properties were calculated 452 using Mie model based on the reconstructed aerosol chemical composition. The 453 modeled b_{sp} well correlated with the measured b_{sp}. The model magnitude of b_{sp} was 454 smaller than the measured one by a factor of 2, which was probably caused by the 455 truncation error delivered from the low-resolution measured particles number size 456 distribution.

457 $f_{sp}(RH)$, the hygroscopic growth factor of b_{sp} , can still be determined on the 458 basis of the modeled b_{sp} under desired RH conditions, considering not only the 459 strong linear correlations between the calculated and the measured b_{sp} , but also the 460 minimum impact of the truncation error in the calculated b_{sp} on $f_{sp}(RH)$. After fitted 461 by an empirical curve, $f_{sp}(RH)$ was applied to correct Nephelometer measured b_{sp} . 462 With $f_{sp}(RH)$ correction, the linear correlation between Nephelometer measured b_{sp} 463 and visibility derived b_{sp} was remarkably improved to a higher level, and the 464 discrepancy between these two data sets was reduced substantially. This result 465 served as an evaluation of the determined $f_{sp}(RH)$. A good agreement between 466 f_{sp}(RH) developed here and those reported in previous studies further suggested 467 that the method described in this paper is capable of deriving credible $f_{sp}(RH)$ with 468 high accuracy by only employing simple measurements and modeling techniques. 469 As an example of $f_{sp}(RH)$ application, the enhancements of b_{sp} and ω_0 in ambient 470 RH condition were assessed for the urban area of Guangzhou. One lesson learned 471 from this study is that the RH-controlled procedure inside Nephelometer should be

- 472 carefully used in future experiments since the heating could lower b_{sp} of the aerosol
- 473 sample irreversibly. Moreover, the contribution of hygroscopic growth of
- 474 water-soluble organic fractions to $f_{sp}(RH)$ needs further investigation.
- 475

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Figure 1 The measurement data collected during May, June, November and December in 2010: (a) The balance of charge equivalence between cations and anions, and (b) Mass concentrations of EC versus OC.



Figure 2 Mass fractions of the reconstructed major chemical constituents in different particle size ranges in wet (a) and dry (b) season.



Figure 3 Mass size distributions of the reconstructed major chemical constituents



Figure 4 The Imaginary part versus the real part of optical refractive index in wet (a) and dry (b) season; Mie model calculated b_{sp} of mixed particles versus visibility derived b_{sp} (c); and Mie model calculated b_{ap} of mixed particles versus Aethalometer derived b_{ap} (d)



Figure 5 $f_{sp}(RH)$ for the three types of mixed particles and the related difference. The bars stand for one time standard deviation.



Figure 6 (a) Comparison of RH between ambient and inside Nephelometer; and the number of data samples (b), R^2 (c) and slope (d) of the linear regression between Nephelometer measured b_{sp} and visibility derived b_{sp} .



Property	Instrument	Variable	riable Available		RH condition	Aggregated Time-resolution
Particle Sampling	MSP high-flow cascade impactor	Particles cut-off diameter and mass	2010-05/06/11/12	~24h	ambient	~24h
Chemical Analysis	Dionex Ion Chromatography and Sunset Lab Carbon Analyzer	Water-soluble ions and OC/EC mass	2010-05/06/11/12	~24h	~40% in laboratory	~24h
Meteorology	VAISALA QMH102 VAISALA PWD22	Temperature Relative Humidity Air Pressure Air Visibility	2008-03 to 2010-12 2008-03 to 2010-12 2008-03 to 2010-12 2008-03 to 2010-12	0.5h 0.5h 0.5h 0.5h	ambient ambient ambient ambient	1h and ~24h 1h and ~24h 1h and ~24h 1h and ~24h
Gaseous pollutant	Thermo 42i	mass concentration of NO ₂	2008-03 to 2010-12	5min	ambient	1h and ~24h
Aerosol optical	Magee AE-31	mass concentration of BC (880nm)	2008-03 to 2010-12	5min	~40% drying tube	1h and ~24h
property	TSI 3563	scattering coefficient (550nm)	2008-03 to 2010-04	1min	inner heating	1h and ~24h

 Table 1
 Summary of measurement techniques information

Abundance of SO ₄ ²⁻	Potential Major Compounds
SO ₄ ²⁻ rich	NaHSO ₄ , NH ₄ HSO ₄ , KHSO ₄ , K ₂ SO ₄ , MgSO ₄ , Na ₂ SO ₄ , (NH ₄) ₂ SO ₄ , H ₂ SO ₄ ,
	CaSO ₄
SO ₄ ²⁻ less rich	K ₂ SO ₄ , MgSO ₄ , Na ₂ SO ₄ , (NH ₄) ₂ SO ₄ , H ₂ SO ₄ , CaSO ₄ , NaNO ₃ , NaCl,
	NH ₄ NO ₃ , NH ₄ Cl, Ca(NO ₃) ₂ , CaCl ₂ , Mg(NO ₃) ₂ , MgCl ₂ , KNO ₃ , KCl
SO ₄ ²⁻ poor	NaNO ₃ , NaCl, NH ₄ NO ₃ , NH ₄ Cl,
	Ca(NO ₃) ₂ , CaCl ₂ , Mg(NO ₃) ₂ , MgCl ₂ , KNO ₃ , KCl

Table 2Potential major compounds with respect to the abundance of SO_4^{2-}

Species	. –	m (= n	+ki)	Spacios	<u> </u>	m (= n+ki)		
species	h —	n	k	Species	h —	n	k	
NH ₄ HSO ₄	1.780	1.473	0.000	KHSO ₄	2.245	1.480	0.000	
$(NH_4)_2SO_4$	1.760	1.530	0.000	$Ca(NO_3)_2$	2.504	1.530	0.000	
NaHSO ₄	2.476	1.460	0.000	CaCl ₂	2.150	1.520	0.000	
Na_2SO_4	2.680	1.480	0.000	$Mg(NO_3)_2$	2.020	1.510	0.000	
NH ₄ NO ₃	1.725	1.554	0.000	MgCl ₂	2.325	1.540	0.000	
NaNO ₃	2.261	1.587	0.000	KNO ₃	2.110	1.504	0.000	
NH ₄ Cl	1.527	1.639	0.000	KCl	1.980	1.490	0.000	
NaCl	2.160	1.544	0.000	HNO ₃ ^a	1.504	1.396	0.000	
K_2SO_4	2.660	1.490	0.000	HCl ^a	1.198	1.342	0.000	
MgSO ₄	2.660	1.560	0.000	H_2O	1.000	1.333	0.000	
$CaSO_4$	2.610	1.570	0.000	POM	1.400	1.550	0.001	
H_2SO_4	1.840	1.430	0.000	EC	1.500	1.800	0.540	

 Table 3
 Density and optical refractive index of each chemical component

^a Updated in this study.

	- Statist	May-Jun 2010	Nov-Dec 2010	Mar 2008 - Dec 2010
Variable	Unit	(~24h average)	(~24h average)	(1h average)
b _{sp,mea}	Mm ⁻¹	526.3±290.5	479.0±197.9	529.1±490.4
b _{sp,cal} ^a	Mm^{-1}	-	-	350.3±277.1
RH _{vis}		0.71±0.09	0.48±0.09	0.66±0.17
RH _{nep}		-	-	0.52±0.13
b _{ag,mea}	Mm^{-1}	12.4±5.5	11.9±3.6	11.0±6.0
b _{sg,mea}	Mm^{-1}	11.1±0.1	11.4±0.1	11.3±0.3
b _{ap,mea}	Mm^{-1}	42.1±25.5	46.4±17.4	59.0±42.2
Na ⁺	$\mu g m^{-3}$	2.20±1.86	0.91±0.47	-
SO ₄ ²⁻	$\mu g m^{-3}$	13.53±5.74	16.60±5.11	-
$\mathrm{NH_4}^+$	$\mu g m^{-3}$	5.36±3.15	6.84±2.33	-
NO_3^+	μg m ⁻³	9.02±5.36	9.67±5.81	-
Cl	μg m ⁻³	1.72±0.64	1.03 ± 1.02	-
Ca ²⁺	$\mu g m^{-3}$	1.56±0.56	1.63±0.57	-
\mathbf{K}^{+}	μg m ⁻³	0.57 ± 0.32	1.32±0.39	-
Mg^{2+}	$\mu g m^{-3}$	0.23±0.08	0.17±0.06	-
OC	$\mu g m^{-3}$	9.46±3.66	14.30±4.38	-
EC	$\mu g m^{-3}$	4.02±3.81	4.53±2.56	-
a With out the f (DI) compation			

Statistical results of fundamental data in the evaluation of $f_{sp}(\mbox{RH})$ Table 4

^a Without the $f_{sp}(RH)$ correction.

	f _{sp,int}				f _{sp,ext}				$f_{sp,cs}$			
"b"	average "a"	Standard deviation "a"	average residual	average correlation coefficient	average "a"	Standard deviation "a"	average residual	average correlation coefficient	average "a"	Standard deviation "a"	average residual	average correlation coefficient
-0.10	0.6038	0.0230	0.6319	0.9880	0.5719	0.0242	0.6124	0.9858	0.6110	0.0239	0.6462	0.9881
-0.05	0.5690	0.0205	0.5770	0.9890	0.5388	0.0216	0.5560	0.9871	0.5757	0.0213	0.6138	0.9886
0.00	<u>0.5379</u>	0.0184	0.5401	<u>0.9896</u>	<u>0.5093</u>	<u>0.0193</u>	<u>0.5159</u>	<u>0.9879</u>	0.5443	<u>0.0191</u>	<u>0.5974</u>	<u>0.9888</u>
0.05	0.5100	0.0166	0.5167	0.9900	0.4829	0.0174	0.4883	0.9885	0.5160	0.0172	0.5930	0.9888
0.10	0.4848	0.0150	0.5037	0.9902	0.4590	0.0158	0.4703	0.9888	0.4905	0.0156	0.5973	0.9887
0.15	0.4620	0.0137	0.4985	0.9902	0.4374	0.0144	0.4595	0.9890	0.4674	0.0142	0.6082	0.9885
0.20	0.4412	0.0125	0.4993	0.9901	0.4177	0.0132	0.4545	0.9890	0.4464	0.0130	0.6239	0.9882
0.25	0.4222	0.0115	0.5048	0.9900	0.3996	0.0121	0.4539	0.9890	0.4271	0.0119	0.6432	0.9878
0.30	0.4048	0.0106	0.5137	0.9898	0.3831	0.0111	0.4566	0.9889	0.4095	0.0110	0.6650	0.9873
0.35	0.3887	0.0098	0.5253	0.9895	0.3678	0.0103	0.4620	0.9887	0.3932	0.0101	0.6886	0.9869
0.40	0.3738	0.0091	0.5390	0.9892	0.3538	0.0095	0.4695	0.9885	0.3782	0.0094	0.7134	0.9864

Table 5Statistics of $f_{sp}(RH)$ curve fitting by eq.(9) with constant parameter "b"

					studies					
Study	Natural Park		Urban		Urban (f	sp,int)	Urban (f	sp,int)	Suburba	n (f _{sp,int})
	(Malm et	al., 2003)	(Liu et al.,	2008)	(This study	')	(Lin et al., 2013)		(Cheng et al., 2008a)	
RH	Mean	Std	Mean ^{a,c}	Std	Mean ^{b,c}	Std ^{b,c}	Mean ^{b,c}	Std ^{b,c}	Mean ^a	Std ^a
30-35	1.02	0.13	1.01	/	1.01	0.01	1.00	0.00	1.00	0.00
35-40	1.07	0.07	1.04	/	1.05	0.01	1.08	0.06	1.01	0.00
40-45	1.11	0.07	1.07	/	1.08	0.02	1.13	0.05	1.02	0.00
45-50	1.15	0.08	1.12	/	1.12	0.02	1.19	0.06	1.04	0.00
50-55	1.21	0.07	1.18	/	1.16	0.03	1.23	0.06	1.07	0.01
55-60	1.28	0.09	1.26	/	1.22	0.03	1.28	0.08	1.12	0.01
60-65	1.38	0.12	1.35	/	1.28	0.04	1.35	0.07	1.17	0.02
65-70	1.48	0.16	1.48	/	1.36	0.04	1.42	0.09	1.25	0.03
70-75	1.67	0.19	1.62	/	1.47	0.06	1.50	0.10	1.37	0.04
75-80	1.76	0.14	1.80	/	1.62	0.08	1.61	0.13	1.53	0.07
80-85	2.06	0.25	2.00	/	1.85	0.12	1.77	0.16	1.77	0.10
85-90	2.64	0.43	2.24	/	2.25	0.20	2.06	0.25	2.19	0.17

Comparison between $f_{sp}(\mbox{\bf RH})$ obtained in this study and those reported in previous studies Table 6

^a Calculated based on the parameterized $f_{sp}(RH)$ -curve, ^b calculated based on the $f_{sp}(RH)$ without parameterization, ^c $f_{sp}(RH)=b_{sp}(RH)/b_{sp}(RH)_{0}=0.3$) in order to be properly compared with the results from other studies.

The uncertainties attributed to input parameters of $f_{\rm sp}(\rm RH)$ calculation Table 7

Parameter	Uncertainty (%)
Particle volumetric equivalent diameter	5.00 ^a
Mass of water-soluble ions and OC/EC	3.75 ^b
Ambient TEMP	2.40 °
Ambient RH	3.04 °
Real part of ORI of EC	3.00 ^d
Imaginary part of ORI of EC	5.00 ^d

^a Estimated based on the stability of flow rate, ^b estimated based on the detection limit, ^c learned from technical sheet of the weather probe, ^d learned from a previous study (Cheng et al., 2008a).