

1 **Quantification of ice nuclei active at near 0°C temperatures**  
2 **in low altitude clouds at the puy de Dôme atmospheric**  
3 **station**

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## 20 **Abstract**

21 The distribution, abundance and nature of ice nucleation active particles in the atmosphere are  
22 major sources of uncertainty in the prediction of cloud coverage, precipitation patterns and  
23 climate. Some biological ice nuclei (IN) induce freezing at temperatures at which most other  
24 atmospheric particles exhibit no detectable activity ( $>-10^{\circ}\text{C}$ ). Their actual contribution to the  
25 pool of IN in clouds remains poorly known, but numerical studies suggested a probable  
26 significance of biological IN in atmospheric processes. In this study, cloud water was  
27 collected aseptically from the summit of puy de Dôme (1465 m a.s.l., France) within  
28 contrasted meteorological and physico-chemical situations. Total and biological (i.e. heat-  
29 sensitive) IN were quantified by droplet-freezing assay between  $-5^{\circ}\text{C}$  and  $-14^{\circ}\text{C}$ . We  
30 observed that freezing was systematically induced by biological material, between  $-6^{\circ}\text{C}$  and -  
31  $8^{\circ}\text{C}$  in 92% of the samples. Its removal by heat treatment consistently led to a decrease of the  
32 onset freezing temperature, by  $3^{\circ}\text{C}$  or more in most samples. At  $-10^{\circ}\text{C}$ , 0 to  $\sim 220$  biological  
33  $\text{IN mL}^{-1}$  of cloud water were measured (i.e. 0 to  $\sim 22 \text{ m}^{-3}$  of cloud air based on cloud liquid  
34 water content estimates) and these represented 65% to 100% of the total IN. Based on back-  
35 trajectories and on physico-chemical analyses, the high variability observed resulted probably  
36 from a source effect, with IN originating mostly from continental sources. Assuming that  
37 biological IN were all bacteria, at maximum 0.6% of the bacterial cells present in cloud water  
38 samples could have acted as IN at  $-8^{\circ}\text{C}$ , 1.5% at  $-10^{\circ}\text{C}$ , and 3.1% at  $-12^{\circ}\text{C}$ . The dataset  
39 generated here will help elucidate the role of biological and bacterial IN on cloud  
40 microphysics by numeric modelling, and their impact on precipitation at local scale.

41

## 42 **1. Introduction**

43 The formation of clouds and their evolution have global impacts on Earth's climate. Within  
44 the last decade, considerable efforts have been made in order to identify and quantify the  
45 particles acting as ice nuclei (IN) in the atmosphere. Those particles are responsible for the  
46 heterogeneous nucleation of ice in supercooled clouds, leading to modifications of their  
47 radiative properties and initiating precipitation. At temperatures colder than about  $-15^{\circ}\text{C}$ ,  
48 feldspar particles were recently demonstrated to account for a great part to the pool of IN in  
49 mixed-phase clouds at a global scale (Atkinson et al., 2013). However, at warmer  
50 temperatures, most of the mineral aerosols as well as metallic and soot particles exhibit only  
51 very low or undetectable IN activity (INA), and the best ice nuclei candidates are biological  
52 (bacteria, fungi), or biogenic (macromolecules derived from living organisms, such as

53 proteins) (**Conen et al., 2011; DeMott and Prenni, 2010**). Hence, biological IN are thought  
54 to largely influence clouds' evolution within the upper range of temperatures around freezing  
55 (e.g. **Möhler et al., 2007**). Among those, the most efficient natural IN described so far are  
56 bacteria, with representatives active at temperatures as “warm” as  $-2^{\circ}\text{C}$  (**Maki et al., 1974**);  
57 other very active biological IN different from bacteria were also detected in the air, but their  
58 exact nature remains unknown (**Garcia et al., 2012**). Specimens of INA bacteria have been  
59 recovered from all the compartments of the water cycle: freshwaters (**Maki and Willoughby,**  
60 **1978; Morris et al., 2008**), clouds (**Joly et al., 2013**) and precipitation at high altitude (**Sands**  
61 **et al., 1982**) or closer to the ground (**Constantinidou et al., 1990; Maki and Willoughby,**  
62 **1978; Šantl-Temkiv et al., 2009; Stephanie and Waturangi, 2011**). This supports the  
63 hypothetical concept termed “bioprecipitation” that such bacteria could participate to  
64 hydrological cycles by triggering precipitation (**Morris et al., 2004**).

65 Fig. 1 summarizes our current quantitative knowledge about high-negative-temperature  
66 ( $> -15^{\circ}\text{C}$ ) IN in the atmosphere and in the environmental compartments of the water cycle.  
67 The main results of the present study are also indicated. Most plants harbor relatively large  
68 populations of epiphytic ice nucleation active (INA) bacteria (**Constantinidou et al., 1990;**  
69 **Lindemann et al., 1982; Lindow et al., 1978; Maki and Willoughby, 1978; Morris et al.,**  
70 **2008**), so the main source of atmospheric biological IN is probably vegetation (**Pöschl et al.,**  
71 **2010**). Recently, oceans were also cited as possible emitters of biogenic IN into the  
72 atmosphere (**Burrows et al., 2013**).

73 In the air at low altitude, **Garcia et al. (2012)** observed concentrations of 90 to  $460\text{ IN m}^{-3}$   
74 active at  $-10^{\circ}\text{C}$  over vegetated agricultural areas, most of which were classified as biological  
75 based on their sensitivity to heat. In this latter study, INA bacterial cells were estimated to  
76 represent only a small fraction of the total airborne bacteria ( $\sim 0.002\%$ ) (**Garcia et al., 2012**).  
77 Nevertheless, some specimens of INA bacterial strains have been recovered by culture from  
78 atmospheric samples (e.g. **Stephanie and Waturangi, 2011**). At high altitude,  
79 notwithstanding their suspected importance in atmospheric processes, much less quantitative  
80 data of high-temperature IN is available. Their concentration there is in general much below  
81  $25\text{ m}^{-3}$ , but it can vary drastically between  $<1$  and  $\sim 100\text{ m}^{-3}$  within very short timeframes  
82 (**Bowers et al., 2009; Conen et al., 2012; Xia et al., 2013**). Interestingly, the highest  
83 concentrations were observed at high relative humidity.

84 Airborne IN can be transported to regions very distant from the source of emission and affect  
85 rain patterns after being incorporated into clouds (**Creamean et al., 2013**). In the single

86 orographic cirrus cloud event studied by **Pratt et al. (2009)**, about half of the 46 ice crystals  
87 residues (140-700 nm in diameter; -31°C ambient temperature) had a mass spectrometry  
88 signature typical of mineral dust, while about 33% were biological particles. More recent and  
89 more extensive *in situ* observations of cirrus clouds at temperatures < -30°C showed that  
90 biological particles are probably much more scarce among the solid residues of ice crystals  
91 (*i.e.* less than 1%), but that rather mineral dust and metallic particles dominate (**Cziczo et al.,**  
92 **2013**). However, observing ice crystal residues does not guarantee identifying the actual IN,  
93 and cirrus are high-altitude, very low temperature and non-precipitating clouds, so probably  
94 not the most appropriate environments for investigating high-temperature and biological IN.  
95 A quantitative study of high-temperature atmospheric IN at lower altitude was led at the  
96 Jungfrauoch summit in the Alps (3450 m a.s.l.); concentrations of 0 to 3.8 m<sup>-3</sup> were measured  
97 when clouds were present on the site (**Xia et al., 2013**). Albeit, as emphasized by authors,  
98 their "precision was low" due to a limited air sample volume of less than 3 m<sup>3</sup>.

99 Fresh snow and rain collected at different locations over the planet, from poles to sub-  
100 equatorial regions, carried ~1 to ~100 IN active at -7°C per liter of water. Most were altered  
101 by heat treatment and were thus categorized as biological, and about half of these were  
102 probably bacteria (**Christner et al., 2008a, 2008b**). INA bacteria were reported to be  
103 relatively more abundant in rainfall than in the air at a given site (**Stephanie and Waturangi,**  
104 **2011**), which may indicate that INA bacteria are preferentially incorporated into rainfall than  
105 other bacteria.

106 Based on these studies, biological IN are undoubtedly present throughout the water cycle.  
107 They represent an important fraction of the pool of high subzero temperature IN where they  
108 were unambiguously quantified: in the air at low altitude, and in precipitation. However, our  
109 knowledge about their relative abundance in clouds is still scarce, which limits the evaluation  
110 of their impact on hydrological cycles using modeling approaches (**Hoose et al., 2010;**  
111 **Phillips et al., 2008**). As stressed by **DeMott and Prenni (2010)**, it is technically not possible  
112 to provide any realistic concentration of airborne IN particles at the altitude of a cloud from  
113 measurements in precipitation, due to possible dilution/concentration effects and to non-  
114 nucleation particle scavenging. With the objective to provide quantitative data of IN  
115 concentration in clouds that could be utilized for modeling purposes, cloud water samples  
116 were collected throughout the year and under various meteorological situations from the  
117 summit of puy de Dôme mountain in France (1465 m a.s.l). Total and biological IN  
118 concentrations were measured by the droplet-freezing method (immersion freezing mode)

119 between -5°C and -14°C. Data were then analyzed against meteorological, chemical and  
120 biological variables, and maximum possible values of INA bacteria concentration were  
121 inferred.

122

## 123 **2. Materials and methods**

### 124 **2.1. Cloud water sampling and meteorological measurements**

125 Twelve random cloud events were sampled at the puy de Dôme station (45° 46' 20" North,  
126 2° 57' 57" East, 1465 m above sea level; see Figure S1 for localization) between June 2011  
127 and October 2012. These events were visually classified as non-orographic and care was  
128 taken to avoid precipitating clouds during collection at the sampling site. These events were  
129 identified as samples #76 to #87 following the numbering of cloud events sampled at puy de  
130 Dôme since 2001, for which chemical and microbiological datasets are publically available at  
131 <http://wwwobs.univ-bpclermont.fr/SO/beam/data.php>. Sampling operations were decided  
132 after visual estimation of cloud optical thickness at the sampling site. Cloud droplets were  
133 selectively collected using single-stage aluminum droplet impactors (cut-off diameter: ~7 µm,  
134 **Kruisz et al., 1992**) sterilized by autoclave, as in **Väitilingom et al., (2012)** and previous  
135 studies from our group. Meteorological data was recorded continuously during sampling by  
136 the Observatory of the Globe of Clermont-Ferrand (OPGC)'s atmospheric station. The  
137 following parameters were considered in our analysis: temperature (T; Vaisala), relative  
138 humidity (RH; Vaisala), liquid water content (LWC; Gerber PVM-100), and cumulated  
139 precipitation downwind the sampling site (Figure S1). For most samples, LWC was not  
140 available. Sample collection rates were not judged reliable enough for estimating LWC due to  
141 variations of collection efficiency with droplet size distribution or ice formation (**Kruisz et**  
142 **al., 1992**). So, in those cases, LWC was approximated from archive data by assigning the  
143 minimum, average or maximum value observed in clouds at puy de Dôme (0.1, 0.3 or 0.6 g  
144 m<sup>-3</sup>, respectively; **Deguillaume et al., 2014**) depending on the sample collection rate.

145 The global meteorological context was examined through 72-hour back-trajectories of the air  
146 masses sampled using the HYSPLIT model (HYbrid Single-Particle Lagrangian Integrated  
147 Trajectory) with GDAS1 meteorological data archive and default settings (**Draxler and**  
148 **Rolph, 2010**) and satellite visible images of Europe and France from Eumetsat, available for  
149 academic purpose at <http://www.woksat.info/wwp.html>.

## 150 **2.2. Physico-chemical characterization and total cell counts**

151 Cloud water samples were recovered either liquid or frozen onto the impaction plate  
152 depending on ambient temperature during sampling. For each sample, pH was measured  
153 (Consort multiparameters C830) and major inorganic and organic ions were examined by ion  
154 chromatography (Dionex DX320 for anions and Dionex ICS1500 for cations).

155 Total bacteria were counted by epifluorescence microscopy on DAPI stained samples as in  
156 **Vaithilingom et al., (2012)**. Directly after collection, samples were fixed by the addition of 2%  
157 formaldehyde (final concentration; from 20% stock solution prepared in phosphate buffer 0.1  
158 M, pH 7.0), and incubated in the presence of  $2.5 \mu\text{g mL}^{-1}$  of DAPI (4',6-diamino-2-  
159 phenylindol) in the dark for at least 20 min before filtration on GTBP black filters (0.22  $\mu\text{m}$   
160 porosity; Millipore). Filters were then mounted on microscope slides and observed under UV-  
161 epifluorescence microscopy ( $\lambda_{\text{exc}} = 365 \text{ nm}$ ;  $\lambda_{\text{em}} = 420 \text{ nm}$ ) (Leica DM-IRB).

162

## 163 **2.3. Droplet-freezing assays**

164 The ice nucleation activity (INA) of the cloud water samples in the immersion freezing mode  
165 was determined within 2 hours after collection following the well-tried droplet-freezing  
166 method (**Vali, 1971**). Thirty-two to 160 drops (Table 2) of 20  $\mu\text{L}$  were distributed in 0.2 mL  
167 microtubes designed for high thermal conductivity and preventing aerial contamination and  
168 evaporation (**Stopelli et al., 2013**). These were placed in a cooling bath (Julabo F34-ED) at  
169 decreasing temperatures from  $-5^{\circ}\text{C}$  to  $-14^{\circ}\text{C}$ , with  $1^{\circ}\text{C}$  intervals for 8 min. The tubes were  
170 visually inspected at the end of each temperature step and those still liquid were counted. The  
171 concentration ( $\text{mL}^{-1}$ ) of ice nuclei  $C_{\text{IN}}$  at the temperature T in the suspensions was calculated  
172 using the equation in **Vali (1971)**:  $C_{\text{IN}} = [\ln(N_{\text{total}}) - \ln(N_{\text{liquid}})]_{\text{T}} / V \times (1 / D_f)$

173 where  $N_{\text{total}}$  is the total number of droplets,  $N_{\text{liquid}}$  the number of droplets still liquid after 8  
174 minutes at the temperature T, V the volume of the droplets assayed (mL) and  $D_f$  the dilution  
175 factor of the suspension. Under our experimental conditions, the quantification limits ranged  
176 from 1.6 to 173.3  $\text{IN mL}^{-1}$  in the case where 32 droplets were assayed, and from 0.3 to 253.8  
177  $\text{IN mL}^{-1}$  in the case where 160 droplets were assayed. Negative controls consisted of ultrapure  
178 sterile water droplets and these remained liquid over all the range of temperatures  
179 investigated.

180

## 181 **2.4. Biological IN quantification**

182 For each sample, the concentration of biological IN ( $INA_{\text{bio}}$ ) was calculated as the difference  
183 between the concentration of IN measured in untreated sample ( $INA_{\text{total}}$ ) and the concentration  
184 of IN measured after heating for 10 minutes at 95°C ( $INA_{\text{heated}}$ ), as in **Christner et al. (2008a)**  
185 and in **Garcia et al. (2012)**. Heat denatures protein structures, so it eliminates at least a  
186 certain fraction of biological IN without altering non-biological material. When  $[(INA_{\text{heated}})_{T-1}$   
187  $- (INA_{\text{heated}})_T]$  exceeded  $[(INA_{\text{total}})_{T-1} - (INA_{\text{total}})_T]$ , this calculation artificially led to a  
188 decrease in the concentration of  $INA_{\text{bio}}$  at T-1 compared to T and values of  $INA_{\text{heated}}$  were  
189 corrected for being consistent with the values of  $INA_{\text{total}}$ . Following this rule, three values of  
190  $INA_{\text{heated}}$  were corrected: -12°C in sample #79, -10°C in sample #82 and -11°C in sample #86.

191

## 192 **2.5. Statistical analyses**

193 Principal Component Analysis was made using R software version 2.12.2 (**R Core Team,**  
194 **2011**), and non-parametric tests (Pearson's rank correlation test, Mann-Whitney test) were  
195 performed as most data were not normally distributed and the number of samples was quite  
196 low ( $< 30$ ), using PAST version 2.04 (**Hammer et al., 2001**).

197

## 198 **3. Results and discussion**

### 199 **3.1. Main characteristics of the cloud water samples**

200 Twelve cloud water samples were collected between 29 June 2011 and 10 October 2012 from  
201 cloud events lasting in total approximately 20 to 180 hours at the puy de Dôme, based on  
202 relative humidity measurements (Table 1; Figure S1). The meteorological context associated  
203 with each sampling period is presented in Fig S1. Most of the air masses sampled originated  
204 from West (Atlantic Ocean) and travelled over different continental areas in Europe before  
205 reaching the puy de Dôme, following different trajectories. Sampling operations were started  
206 about 5 to 110 hours after clouds arrived at the sampling site. Cloud water was then collected  
207 for 1h10 to 5h15, and after sampling, the sampling site remained embedded in cloud for 5 to  
208 more than 160 additional hours.

209 Only clouds that were non-precipitating at the sampling site were collected, but at some  
210 occasions rainfalls occurred in the vicinity. The amount of precipitation that fell at 5 sites  
211 downwind the sampling site around the sampling period of time was measured (see Fig S1

212 and Table 1); most cloud events were not or slightly precipitating in this area, with less than 1  
213 mm of rain accumulated considering the 5 rain gauges together. In contrast, it reached 1.6 and  
214 7 mm for samples #76 and #77, respectively. Ambient temperature during sampling ranged  
215 from -1.5°C to 13.3°C, so some samples consisted of ice formed upon impaction on the  
216 collectors (samples #80 through #84); other samples were collected as liquid.

217 Bacteria concentration in the samples ranged between  $1.65 \times 10^3$  to  $3.37 \times 10^4$  mL<sup>-1</sup>. The  
218 chemical composition varied greatly from one sample to another (Table S1): pH ranged from  
219 4.6 to 6.2, which are typical values for cloud water (e.g. **Deguillaume et al., 2014**).  
220 Ammonium (16.8 to 531.1 μM), sodium (0.6 to 145.7 μM), nitrate (1.0 to 126.0 μM) and  
221 sulfate (0.5 to 52.2 μM) dominated among inorganic ions, and formate was the most abundant  
222 dissolved carboxylic acid (3.2 to 109.6 μM). The chemical signature of the samples attested  
223 of mixed influences from oceanic and continental sources, the respective contributions to the  
224 global chemical composition of which were more or less marked depending on the origin of  
225 the air mass.

226

### 227 **3.2. Quantification of total and biological ice nuclei**

228 The total concentration of IN active between -5°C and -14°C was determined by droplet  
229 freezing assays. In 11 of the 12 cloud samples (92%), the onset temperature of freezing (i.e.  
230 temperature at which the first droplet froze) was -8°C or warmer. Only sample #87 started to  
231 freeze at colder temperature (-11°C) (Table 2; Fig. 2). Ice initially formed due to the presence  
232 of 0.6 to 8.5 IN mL<sup>-1</sup> (Table 2; Fig. 3a). Two samples (#81 and #83) were clearly outlying  
233 with much higher IN concentrations (~70 mL<sup>-1</sup> at -8°C). Overall, the onset freezing  
234 temperature was significantly correlated with the concentration of IN in the sample at the  
235 warmest temperatures (Table S2;  $p < 0.03$ ,  $0.66 < \rho < 0.79$  with IN concentrations at -8°C and  
236 -9°C). After correction for LWC (Fig. 3b), the concentration of IN per volume of cloud air  
237 ranged from 0.06 to more than 71.1 m<sup>-3</sup> between -6°C and -14°C. This is in the range of  
238 concentrations typically observed in the air at high altitude (Fig. 1) (**Bowers et al., 2009; Xia**  
239 **et al., 2013**), and one order of magnitude lower than the concentrations measured at low  
240 altitude (**Garcia et al., 2012**). Rain and surface snow samples analyzed using similar methods  
241 by **Christner et al. (2008a, 2008b)** had total IN concentrations of about ~1 to ~300 per liter  
242 of water at -8°C, i.e. 2 orders of magnitude fewer than in our cloud water samples. This  
243 probably resulted from the relative dilution of insoluble particles in precipitation compared to  
244 cloud water (**Flossmann and Wobrock, 2010**), and from differences in sample handling:



245 **Christner et al.** filtered samples for concentrating particles larger than 0.22  $\mu\text{m}$ , so smaller  
246 IN particles were missed, among which some could have originated from bacteria (**Phelps et**  
247 **al., 1986**). In addition, it is possible that a fraction of IN particles was not recovered from the  
248 filters.

249 Heating samples for 10 min at 95°C invariably decreased the highest temperature of freezing  
250 (Fig. 2), in general by 3°C to 4°C, and by 1°C (sample #81) to more than 4°C in samples #77  
251 and #79, respectively (Table 2). This indicated that heat sensitive IN (thereafter termed  
252 biological IN) were systematically responsible for freezing at the warmest temperatures. The  
253 proportion of biological IN in samples did not depend on the absolute total IN concentration  
254 (Table S2;  $p > 0.05$ ). As other IN were activated at lower temperature, the relative  
255 contribution of biological IN decreased with decreasing temperature, from 97% to 100% of  
256 the total number of IN active at -8°C to as low as 77% at -12°C (Table 2). These are in  
257 accordance with observations of IN in the air (**Garcia et al., 2012**) and in precipitation  
258 (**Christner et al., 2008a, 2008b**).

259 The average absolute concentrations of biological and non-biological IN are represented on  
260 Fig. 4. Since heat treatment does probably not inactivate every IN site of biological material  
261 such as fungi or pollen (**Pummer et al., 2012**), the concentrations of biological IN reported  
262 here should be seen as conservative (i.e. lowest possible) values. Clearly, non-biological (i.e.  
263 heat resistant) particles contribution became significant only around -12°C and colder. We  
264 examined the influence of the different variables measured on the IN content of our samples.  
265 Table S2 shows Spearman's correlation matrices ( $p$ -values,  $\rho$  and  $n$ ) linking the variables  
266 together. Among noticeable correlations, coldest sampling temperatures were linked with  
267 highest onset freezing temperatures (Table S2; Spearman's rank correlation test;  $n = 12$ ,  $p =$   
268  $0.0361$ ,  $\rho = -0.61$ ). Consistently, IN activity was higher in samples collected frozen than in  
269 samples collected liquid: higher IN concentrations at the highest temperatures and warmer  
270 onset freezing temperature (Mann-Whitney's test; medians = -8°C and -6°C, respectively).  
271 This result is quite surprising if, logically, one considers that the most active IN should be  
272 activated and precipitated first, and so that cold air masses should be depleted in highest  
273 temperatures IN compared to warmer air masses. **Bigg (1996)**'s observations of airborne IN in  
274 the Arctic indeed suggested that such selection process occurs in the atmosphere. In our case,  
275 the minimum temperature during sampling was  $> -2^\circ\text{C}$  (Table 1), so likely still too warm for  
276 leading to any temperature partitioning of IN in the clouds sampled. So, despite the fact that  
277 the influence of freezing on further IN concentration measurements in our samples cannot be

278 totally excluded, it is possible that the relationship observed results from a higher expression  
279 level of IN proteins by bacteria in the coldest clouds (Nemecek-Marshall et al., 1993).

280 Clouds which precipitated downwind the puy de Dôme had globally a shorter lifespan at the  
281 sampling site (Table S2). Despite the potential influence of IN on precipitation, no correlation  
282 was found here between IN concentrations and local rainfalls.

283 Principal component analysis (PCA) revealed 2 different groups of IN depending on their  
284 temperature of activity, with a net separation between  $-10^{\circ}\text{C}$  and  $-11^{\circ}\text{C}$  (Fig. S2). This  
285 demonstrated differences in the origin of the two sets of IN and so probably in their nature as  
286 well. The clear positive correlation existing between  $\text{IN}_{T \leq -11^{\circ}\text{C}}$  and soluble inorganic ions  
287 concentrations supports their inorganic composition (Fig. S2). The concentrations of  $\text{IN}_{T > -11^{\circ}\text{C}}$ ,  
288 i.e. biological IN, and  $\text{Ca}^{2+}$  were positively correlated, while the trend toward Chloride ,  
289 which mostly originates from marine environment (Warneck, 1999) was negative (Table S2).  
290 These tend to situate the sources of biological IN on the continent, at the puy de Dôme site,  
291 probably including both regional and more distant areas.

292 Considering cloud droplets as spherical, we propose an extrapolation of IN concentration per  
293 droplet based on the total IN concentration measured. Thus, for a population of cloud droplets  
294 distributed as a single mode of  $20 \mu\text{m}$  in diameter, at the temperature of  $-8^{\circ}\text{C}$  there was a  
295 maximum of 1 IN every  $\sim 3 \times 10^6$  droplets, and the median value corresponded to 1 IN every  
296  $\sim 5 \times 10^7$  droplets.

297

### 298 **3.3. Estimation of the contribution of bacteria to biological IN**

299 **Joly et al. (2013)** proposed an estimation of the concentration of INA bacteria in clouds based  
300 on laboratory results. It was proposed that between 0 and  $\sim 500$  bacterial cells  $\text{mL}^{-1}$  could act  
301 as IN in cloud water at  $-10^{\circ}\text{C}$ . This very wide range needed clarification. In order to  
302 discriminate bacterial IN from other biological IN, **Christner et al. (2008a, 2008b)** suggested  
303 treating samples with lysozyme. This was intended to alter bacterial cell wall and selectively  
304 eliminate bacterial IN. Lysozyme is indeed responsible for the lysis of peptidoglycans by  
305 hydrolyzing the  $1,4\text{-}\beta$ -linkages between N-acetylmuramic acid and N-acetylglucosamine, so  
306 it is particularly active towards Gram-positive bacteria; its efficiency towards Gram-negative  
307 species is much less marked and it requires additional treatments incompatible with droplet  
308 freezing assays (**Masschalck and Michiels, 2003; Repaske, 1956**). So far, all INA bacteria  
309 described in literature including those encountered in clouds were Gram-negative species

310 **(Cochet and Widehem, 2000; Joly et al., 2013)**. We verified lysozyme efficiency in altering  
311 INA of bacteria on 2 of our cloud samples and on laboratory cultures of INA Gamma-  
312 Proteobacteria (Gram-negative) isolated from cloud water (those reported in **Joly et al.,**  
313 **2013**): lysozyme had no effect on the freezing profiles (not shown). So, this treatment was  
314 finally judged not reliable enough here for suppressing specifically bacterial INA and it was  
315 not applied further.

316 In our samples, bacteria concentration ranged from  $1.6 \times 10^3$  to  $3.4 \times 10^4$  mL<sup>-1</sup>, which is within  
317 the range of concentrations typically observed in cloud water at the puy de Dôme site  
318 **(Vaïtilingom et al., 2012)** (Table 1). As expected, since only a small proportion of bacteria is  
319 actually IN active and that this can be very variable even within INA+ bacterial strains  
320 cultures (e.g. **Joly et al., 2013**), IN concentration did not vary with bacteria concentration.  
321 Rather, it was significantly correlated with most ion concentrations, particularly strongly with  
322 K<sup>+</sup> and NO<sub>3</sub><sup>-</sup> (Table S2), suggesting similar source, i.e. continental origin. In order to provide  
323 an estimation of the possible proportion of INA bacteria in our samples, biological IN  
324 concentration was normalized to bacteria concentration (Table 3). This has to be considered  
325 as an upper estimate as it obviously assumes only one IN site per cell, which is the most likely  
326 **(Hartmann et al., 2013)**, and it ignores the fact that a certain but unknown fraction of  
327 biological materials other than bacteria could also have been inactivated by heat and  
328 contributed to the population of biological IN, such as cell fragments for example **(Hartmann**  
329 **et al., 2013)**. At the temperature of -6°C, a maximum of 0.1% of the bacteria could have been  
330 responsible for freezing (sample #82). This proportion reached maxima of 1.24% at -9°C and  
331 3.06% at -12°C (in samples #83 and #85, respectively), or about 200 INA cells mL<sup>-1</sup>. In the  
332 air over vegetated areas, INA bacteria were estimated to contribute only ~0.002% of the total  
333 cells **(Garcia et al., 2012)**, and this proportion falls to less than 0.001% at high **(Xia et al.,**  
334 **2013)**. In snowfall, comparable estimations gave a very similar fraction of 0.4% of bacterial  
335 cells acting as IN between -4°C and -7°C **(Christner et al., 2008a)** (Fig. 1). In laboratory  
336 cultures of INA bacteria, the proportion of individual cells actually acting as IN largely  
337 depends on the strain. Except in some exceptionally efficient microorganisms for which this  
338 can reach up to more than 4%, this is often around 1% at -9°C, and in general well below  
339 0.1% at -6°C **(Joly et al., 2013; Šantl-Temkiv et al., 2009; Yankofsky et al., 1981)**. So, at  
340 temperatures below -6°C, the proportion of INA bacterial cells in clouds basically matched  
341 laboratory cultures of INA+ strains.

342 Low pH (i.e. pH ~4) was shown to negatively impact bacterial INA (**Turner et al., 1990**).  
343 This suggested attenuation of bacterial IN efficiency in polluted clouds due to anthropogenic  
344 emissions responsible for acidification (**Attard et al., 2012**). Among the set of clouds  
345 investigated here, only sample #79, with a pH of 4.6, was clearly under influence of Human  
346 emissions. Yet its freezing profile was not different from others, and on the whole we found  
347 no significant relationship between pH and total or biological IN concentrations (Spearman's  
348 correlation test; the p-values ranged between 0.46 and 1 between -6 and -13°C).

349

#### 350 **4. Conclusion**

351 To our knowledge, this study constitutes the first quantitative dataset of biological IN  
352 measured directly in cloud water. A basic but straightforward experimental set up allowed to  
353 determine that the concentration of total IN varies in general between ~1 and ~200 mL<sup>-1</sup>  
354 at -10°C. As previously observed in the air (**Garcia et al., 2012**) and in precipitation  
355 (**Christner et al., 2008a**), heat-sensitive material, i.e. biological particles, were systematically  
356 responsible for freezing at the warmest temperatures and largely dominated the population of  
357 IN particles at temperatures down to -11°C. These data support the possibility that biological  
358 material could contribute to clouds evolution by triggering precipitation at temperatures close  
359 to 0°C.

360 A certain proportion of the biological IN detected in the cloud water samples were likely  
361 bacterial cells. Some specimens were indeed previously recovered by culture from several  
362 clouds collected at that site (**Joly et al., 2013**). Assuming that the biological IN observed were  
363 all bacterial cells, between 0% and about 1.5% of the total bacteria were IN at -10°C. This  
364 extends to much higher values than the proportion of around 0.001% and 0.4% proposed for  
365 air (**Garcia et al., 2012; Xia et al., 2013**) and precipitation, respectively (**Christner et al.,**  
366 **2008a**).

367 Our experimental procedure by conventional droplet freezing assay only allowed processing a  
368 limited number of samples, which also limited our conclusions. In addition, seasonality was  
369 not approached here. The development of online measurements is opening new perspectives  
370 in the prospection for atmospheric IN, and, in the near future, it should greatly help  
371 elucidating their role and environmental drivers (e.g., **Bundke et al., 2010; Huffman et al.,**  
372 **2013**). Such estimates of in-cloud biological IN concentrations will allow the community of  
373 atmospheric scientists to explore, e.g. using cloud-resolving models, the extent to which these

374 particles can contribute to cloud glaciation, to modification of cloud radiative properties and  
375 to regional precipitation patterns.

376

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385

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- 530

531 **Table 1.** Main characteristics of the cloud events sampled. Samples recovered as ice formed  
 532 upon impaction in the sampler are indicated in *italic*. See detailed ion composition in Table  
 533 SM1.

Sample	Date	Sampling period (UTC)		Sampling duration (h)	Volume sampled (mL)	Cloud period (UTC) <sup>a</sup>		Cloud event duration (h) <sup>a</sup>	Time in cloud before sampling (h) <sup>a</sup>	Time in cloud after sampling (h) <sup>a</sup>	Precipitation accumulated in the vicinity (mL) <sup>b</sup>	Mean sampling temperature (°C)	Mean LWC during sampling (g m <sup>-3</sup> )	Bacteria concentration (mL <sup>-1</sup> )
		From	To			From	To							
# 76	29-Jun-11	6:30 AM	11:45 AM	5.25	> 200	6/28/11 10:00 PM	6/30/11 0:00 AM	26	8.5	12.3	1.6	11.5	0.6	n.d.*
# 77	7-Jul-11	1:50 PM	3:00 PM	1.17	15	7/7/11 9:00 AM	7/8/11 6:00 AM	21	4.8	15	7	12.0	0.1	n.d.*
# 78	20-Jul-11	7:30 AM	9:10 AM	1.67	47	7/19/11 3:00 PM	7/23/11 4:00 PM	97	16.5	78.8	0.2	8.3	0.3 <sup>c</sup>	12355
# 79	7-Nov-11	1:00 PM	2:30 PM	1.50	193	11/6/11 8:00 AM	11/8/11 11:00 AM	51	29	20.5	0.4	7.0	0.6 <sup>c</sup>	10825
# 80	20-Jan-12	12:45 PM	3:00 PM	2.25	55	01/18/12 11:00 PM	01/26/12 0:00 AM	169	37.7	129	0	-0.4	0.3 <sup>c</sup>	9980
# 81	23-Jan-12	1:00 PM	4:00 PM	3.00	53	01/18/12 11:00 PM	01/26/12 0:00 AM	169	110	56	0	-1.2	0.1 <sup>c</sup>	33724
# 82	19-Mar-12	12:10 PM	4:10 PM	4.00	45	3/17/12 11:00 PM	3/21/12 11:00 AM	84	37.2	42.8	0.2	-1.5	0.1 <sup>c</sup>	1648
# 83	4-Apr-12	6:10 AM	9:20 AM	3.17	29	4/3/12 11:00 PM	4/6/12 12:00 PM	61	7.2	50.7	0.25	-0.4	0.1 <sup>c</sup>	14914
# 84	18-Apr-12	8:10 AM	12:15 PM	4.08	31	4/17/12 6:00 PM	4/25/12 6:00 AM	180	14.2	161.8	0	0.2	0.1 <sup>c</sup>	3902
# 85	25-Jun-12	1:35 PM	5:00 PM	3.42	66	6/25/12 01:00 AM	6/26/12 12:00 AM	35	12.6	19	0	13.3	0.3 <sup>c</sup>	4474
# 86	13-Sep-12	7:50 AM	9:50 AM	2.00	75	9/12/12 7:00 PM	9/13/12 3:00 PM	20	12.8	5.2	0.8	6.0	0.6 <sup>c</sup>	5199
# 87	10-Oct-12	8:40 AM	9:50 AM	1.17	70	10/08/12 9:00 PM	10/11/12 0:00 AM	63	35.7	26.2	0	9.4	0.6 <sup>c</sup>	19658

a: Defined as RH > 95% based on hourly average (see Fig. S1).

b: Sum of precipitation accumulated at 5 rain gauge stations in the vicinity of puy de Dôme (Royat, Farnette, Sayat, Trois Ponts and Blanzat) (see Fig. S1).

c: Estimation from sample collection rate and puy-de-Dôme data archive.

534 \* n.d.: not determined.

535

536 **Table 2.** Total IN concentration and proportion of heat-sensitive IN in the cloud water  
 537 samples between -5°C and -14°C. Values below the detection limit are presented as '0' for  
 538 visual clarity, and '>' indicates values higher than our quantification limit.

539

Sample	n *	Onset freezing temperature (°C)	Onset freezing temperature after heat treatment (°C)	Decrease of onset freezing temperature by heat treatment (°C)	IN mL <sup>-1</sup> [total (% heat sensitive)]									
					Temperature (°C)									
					-5°C	-6°C	-7°C	-8°C	-9°C	-10°C	-11°C	-12°C	-13°C	-14°C
# 76	32	-8	-12	4	0 (- %)	0 (- %)	0 (- %)	4.9 (100%)	18.7 (100%)	31.6 (100%)	45.0 (100%)	118.4 (99%)	n.d.	n.d.
# 77	32	-8	<-12	>4	0 (- %)	0 (- %)	0 (- %)	4.9 (100%)	12.3 (100%)	14.4 (100%)	92.8 (100%)	138.6 (100%)	n.d.	n.d.
# 78	32	-8	-11	3	0 (- %)	0 (- %)	0 (- %)	1.6 (100%)	8.5 (100%)	16.5 (100%)	26.1 (94%)	69.3 (88%)	n.d.	n.d.
# 79	32	-8	<-12	>4	0 (- %)	0 (- %)	0 (- %)	3.2 (100%)	8.5 (100%)	12.3 (100%)	16.5 (100%)	16.5 (100%)	n.d.	n.d.
# 80	32	-8	-11	3	0 (- %)	0 (- %)	0 (- %)	4.2 (100%)	12.3 (100%)	16.5 (100%)	18.7 (92%)	53.4 (91%)	n.d.	n.d.
# 81	32	-7	-8	1	0 (- %)	0 (- %)	8.5 (100%)	63.4 (97%)	>173.3 (<99 %)	>173.3 (<97%)	>173.3 (<95%)	>173.3 (<92 %)	n.d.	n.d.
# 82	32	-6	-9	3	0 (- %)	1.6 (100%)	6.7 (100%)	10.4 (100%)	18.7 (74%)	21.1 (66%)	28.8 (70%)	53.4 (77%)	n.d.	n.d.
# 83	160	-6	-10	4	0 (- %)	0.6 (100%)	7.4 (100%)	73.2 (100%)	184.4 (100%)	219.1 (99%)	>253.8 (<97%)	>253.8 (<93 %)	n.d.	n.d.
# 84	64	-6	-9	3	0 (- %)	1.6 (100%)	2.4 (100%)	11.4 (100%)	16.5 (90%)	18.7 (92%)	30.2 (95%)	41.3 (88%)	110.6 (66%)	>207.9 (<55%)
# 85	160	-8	-12	4	0 (- %)	0 (- %)	0 (- %)	2.2 (100%)	3.2 (100%)	5.3 (100%)	34.7 (100%)	138.6 (99%)	>253.8 (<91%)	>253.8 (<45%)
# 86	32	-7	-10	3	0 (- %)	0 (- %)	1.6 (100%)	3.2 (100%)	8.5 (100%)	14.4 (89%)	14.4 (89%)	18.7 (83%)	49.0 (93%)	>173.3 (<56 %)
# 87	32	-11	-13	2	0 (- %)	0 (- %)	0 (- %)	0 (- %)	0 (- %)	0 (- %)	3.2 (100%)	28.8 (100%)	83.7 (72%)	>173.3 (<46 %)
<b>Median</b>		-8	-10,5	3	0 (- %)	0 (- %)	0 (- %)	4.9 (100%)	>12.3 (100%)	>16.5 (100%)	>29.5 (<96 %)	>61.4 (<92%)	>97.2 (<82%)	>190.6 (<51%)
<b>Min</b>		-11	-13	1	0 (- %)	0 (- %)	0 (- %)	0 (- %)	0 (- %)	0 (- %)	3.2 (70%)	16.5 (91%)	49.0 (66%)	>173.3 (<45%)
<b>Max</b>		-6	-8	>4	0 (- %)	1.6 (100%)	8.5 (100%)	73.2 (100%)	184.4 (100%)	219.1 (100%)	>253.8 (100%)	>253.8 (100%)	>253.8 (93%)	>253.8 (<56%)

\*Number of 20 µL droplets assayed by immersion freezing assays

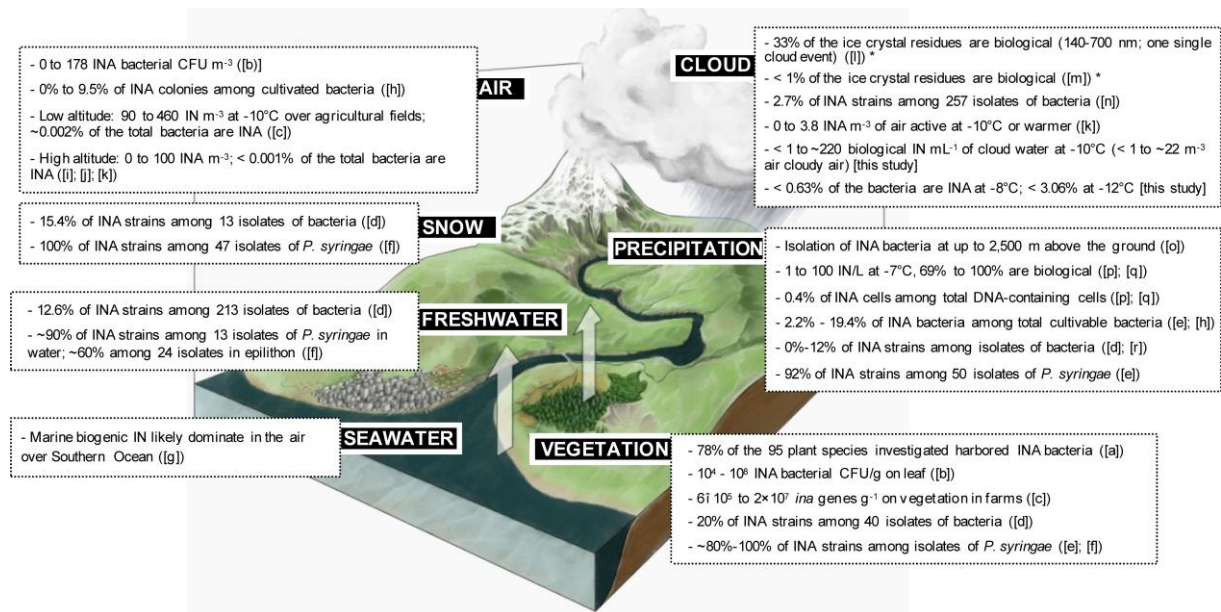
n.d.: not determined.

540

541 Table 3. Inferred maximum possible fraction of INA bacteria among total bacteria in the  
 542 samples based on heat-sensitive IN concentrations and on total bacteria counts. A '>' indicate  
 543 values higher than experimental quantification limit for heat-sensitive IN.

Sample	IN/ bacterial cell								
	Temperature (°C)								
	-6°C	-7°C	-8°C	-9°C	-10°C	-11°C	-12°C	-13°C	-14°C
# 78	0.00%	0.00%	0.01%	0.07%	0.13%	0.20%	0.49%	>0.49%	>0.49%
# 79	0.00%	0.00%	0.03%	0.08%	0.11%	0.15%	0.15%	>0.15%	>0.15%
# 80	0.00%	0.00%	0.05%	0.12%	0.17%	0.17%	0.49%	>0.49%	>0.49%
# 81	0.00%	0.03%	0.18%	>0.51%	>0.51%	>0.51%	>0.51%	>0.51%	>0.51%
# 82	0.10%	0.41%	0.63%	0.84%	0.84%	1.23%	2.49%	>2.49%	>2.49%
# 83	0.00%	0.05%	0.49%	1.24%	1.46%	>1.66%	>1.66%	>1.66%	>1.66%
# 84	0.04%	0.06%	0.29%	0.38%	0.44%	0.73%	0.93%	1.86%	>2.95%
# 85	0.00%	0.00%	0.05%	0.07%	0.12%	0.77%	3.06%	>5.15%	>5.15%
# 86	0.00%	0.03%	0.06%	0.16%	0.25%	0.25%	0.30%	0.88%	>1.87%
# 87	0.00%	0.00%	0.00%	0.00%	0.00%	0.02%	0.15%	0.31%	>0.41%
<b>Mean</b>	0.01%	0.06%	0.18%	>0.35%	>0.40%	>0.57%	>1.02%	>1.40%	>1.62%

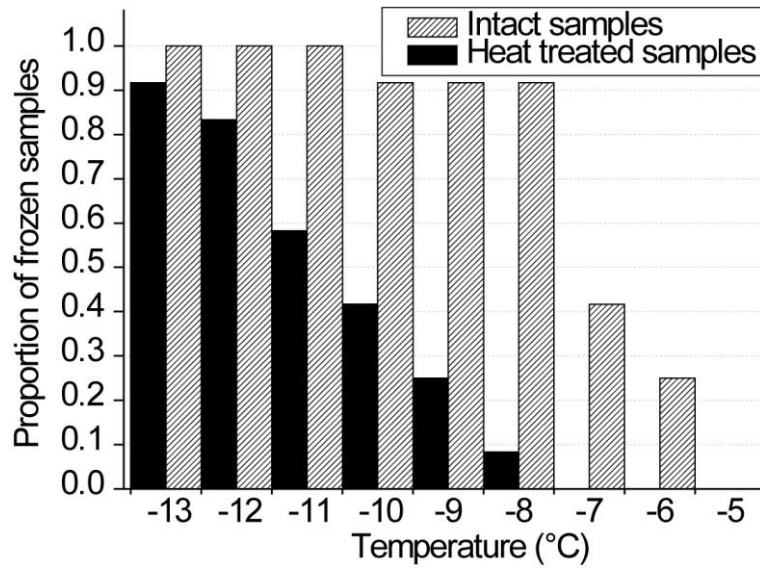
544



545

546 Figure 1. Schematic summarizing our current knowledge about the abundance of biological  
 547 IN active at temperatures  $\geq -10^{\circ}\text{C}$  in the different environmental links of the water cycle. A  
 548 ‘\*’ indicates data relative to ice crystal residues in clouds at much colder temperatures. [a]  
 549 Lindow et al. (1978); [b] Lindemann et al. (1982); [c] Garcia et al. (2012); [d] Maki and  
 550 Willoughby (1978); [e] Constantinidou et al. (1990); [f] Morris et al. (2008); [g] Burrows et  
 551 al. (2013); [h] Stephanie and Waturangi (2011); [i] Bowers et al. (2009); [j] Conen et al.  
 552 (2012); [k] Xia et al. (2013); [l] Pratt et al. (2009); [m] Cziczo et al. (2013); [n] Joly et al.  
 553 (2013); [o] Sands et al. (1982); [p] Christner et al. (2008a); [q] Christner et al. (2008b); [r]  
 554 Šantl-Temkiv et al. (2009).

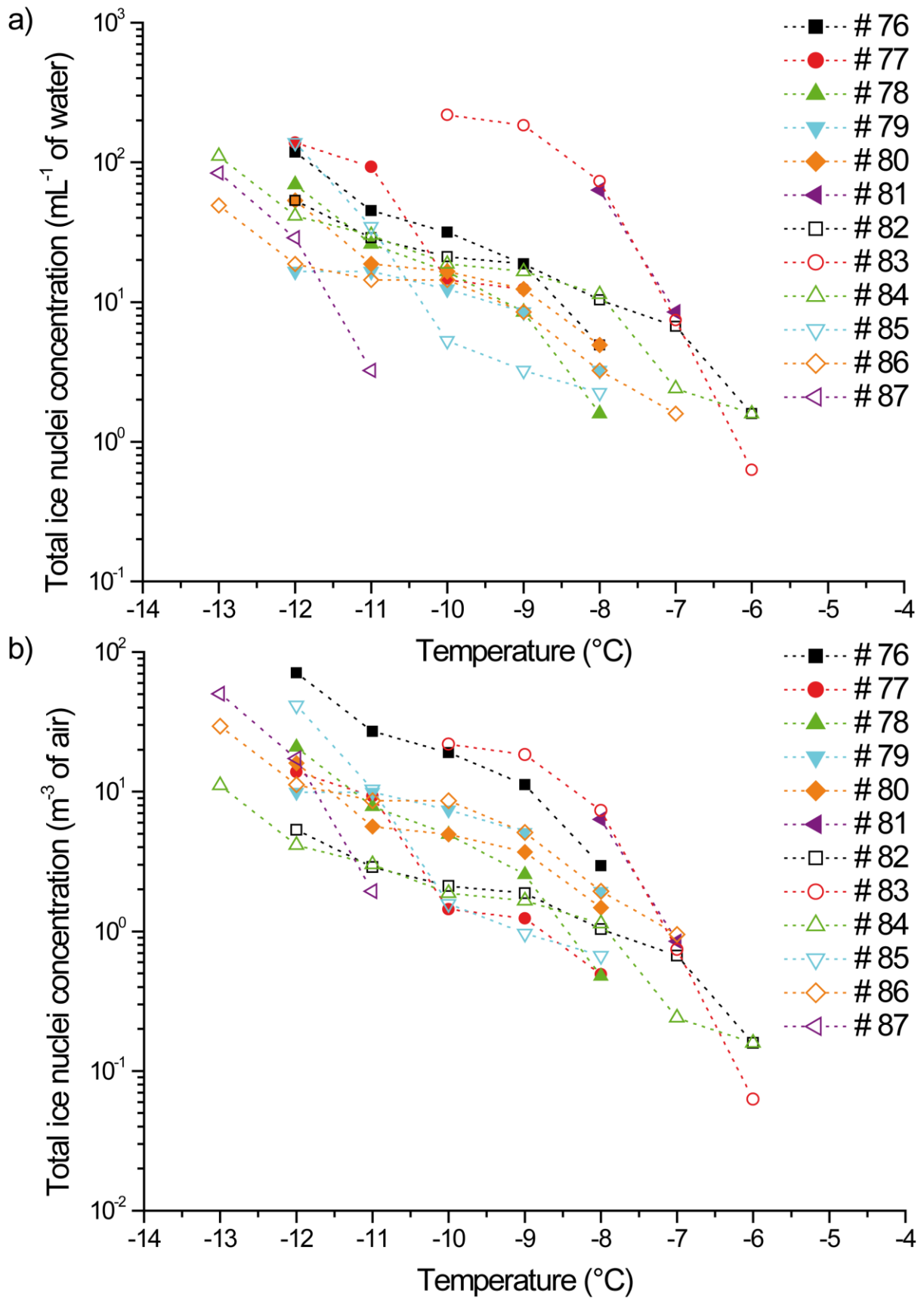
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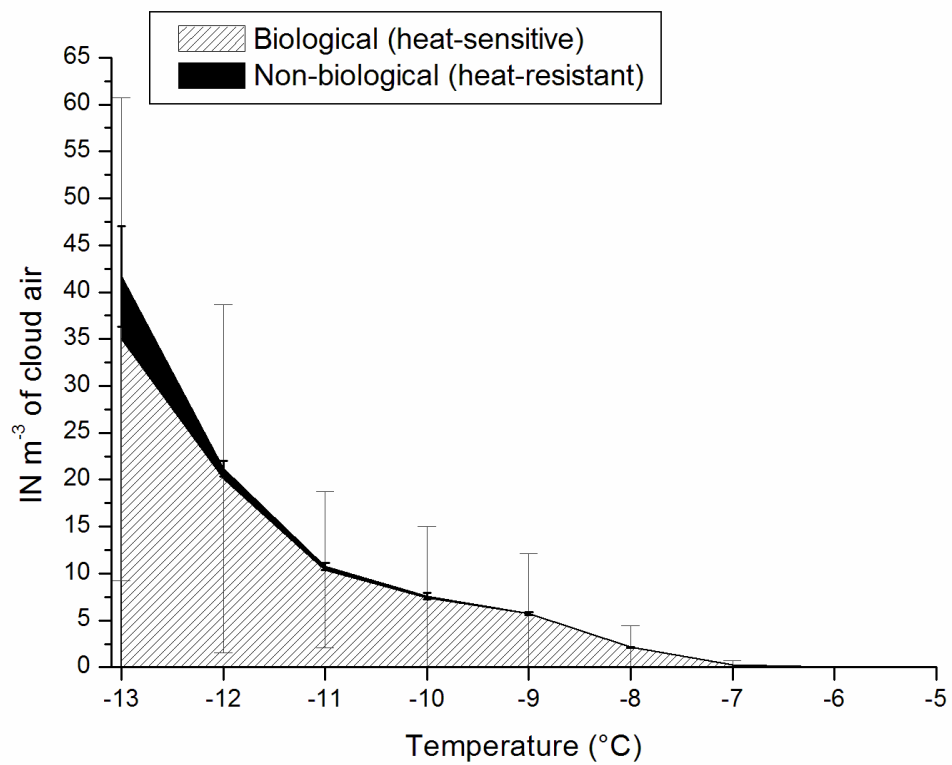
557 Figure 2. Cumulative proportion of cloud samples for which at least one freezing event was  
 558 observed during IN assays, in the absence of treatment (shaded bars) or after heating at 95°C  
 559 for 10 minutes (black bars).

560



561

562 Figure 3. Cumulative concentration of total IN in the cloud samples. (a) per volume of water  
 563 sample (mL<sup>-1</sup>) and (b) per corresponding volume of cloud air (m<sup>-3</sup>).



564

565 Figure 4. Mean cumulative concentrations of biological (heat-sensitive, shaded area) and non-  
 566 biological (heat-resistant, black area) IN in clouds (n=12) per volume of air. The sum of the  
 567 two categories corresponds to the mean concentration of total IN. The lower bound was  
 568 considered for values below the detection limit.