# Functional characterization of the water-soluble organic carbon of size fractionated aerosol in Southern Mississippi Valley

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### 13 Abstract

14 The chemical content of the water-soluble organic carbon (WSOC) as a function of particle size was characterized in Little Rock, Arkansas in winter and spring 2013. The objectives of this 15 16 study were to: (i) compare the functional characteristics of coarse, fine and ultrafine WSOC and 17 (*ii*) reconcile the sources of WSOC for period when carbonaceous aerosol was the most abundant 18 particulate component. The WSOC accounted for 5% of particle mass for particles with  $d_p > 0.96$  $\mu$ m and 10% of particle mass for particles with d<sub>p</sub> < 0.96  $\mu$ m. Non-exchangeable aliphatic (*H*-C), 19 20 unsaturated aliphatic (H-C-C=), oxygenated saturated aliphatic (H-C-O), acetalic (O-CH-O) and 21 aromatic (Ar-H) protons were determined by proton nuclear magnetic resonance (<sup>1</sup>H-NMR). The total non-exchangeable organic hydrogen concentrations varied from  $4.1 \pm 0.1$  nmol m<sup>-3</sup> for 22 particles with  $1.5 < d_p < 3.0 \ \mu m$  to  $73.9 \pm 12.3 \ nmol \ m^{-3}$  for particles with  $d_p < 0.49 \ \mu m$ . The 23 24 molar H/C ratios varied from 0.48  $\pm$  0.05 to 0.92  $\pm$  0.09, which were comparable to those 25 observed for combustion-related organic aerosol. The R-H was the most abundant group 26 representing about 45% of measured total non-exchangeable organic hydrogen concentration 27 followed by H-C-O (27%) and H-C-C= (26%). Levoglucosan, amines, ammonium and 28 methanesulfonate were identified in NMR fingerprints of fine particles. Sucrose, fructose, 29 glucose, formate and acetate were associated with coarse particles. These qualitative differences of <sup>1</sup>H-NMR profiles for different particle sizes indicated the possible contribution of biological 30 31 aerosol and a mixture of aliphatic and oxygenated compounds from biomass burning and traffic 32 exhausts. The concurrent presence of ammonium and amines also suggested the presence of 33 ammonium/aminium nitrate and sulfate secondary aerosol. The size-dependent origin of WSOC was further corroborated by the increasing  $\delta^{13}$ C abundance from -26.81 ± 0.18‰ for the smallest 34 particles to  $-25.93 \pm 0.31\%$  for the largest particles and the relative distribution of the functional 35 36 groups as compared to those previously observed for marine, biomass burning and secondary 37 organic aerosol. The latter also allowed for the differentiation of urban combustion-related 38 aerosol and biological particles. The five types of organic hydrogen accounted for the majority of WSOC for particles with  $d_p > 3.0 \ \mu m$  and  $d_p < 0.96 \ \mu m$ . 39

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### 41 **1** Introduction

42 Atmospheric aerosols affect climate directly by absorption and scattering of incoming solar 43 radiation and indirectly through their involvement in cloud microphysical processes (Pöschl, 44 2005; Ghan and Schwartz, 2007). They also influence atmospheric oxidative burden, visibility 45 and human health (Sloane et al., 1991; Cho et al., 2005; Schlesinger et al., 2006). Organic carbon 46 (OC) represents more than 40% of aerosol mass in urban and continental areas with the largest 47 fraction of that being soluble in water, yet, less than 20% of that is chemically characterized 48 (Putaud et al., 2004; Goldstein and Galbally, 2007). Moreover, the optical (absorption coefficient  $(\sigma(\lambda))$ , single scattering albedo  $(\omega_0)$  and hydrophilic properties (vapor pressure  $(p_0^L)$ , 49 50 evaporation, condensation and repartitioning) of organic aerosol cannot be described by any 51 mathematical formulation of the properties of single compounds, since they are related to the 52 number and type of chromophores (i.e. functional) groups and supra-molecular non-covalent 53 interactions (e.g. hydrogen and van der Walls bonds) (Kavouras and Stephanou, 2002; Cappa et 54 al., 2008; Rincon et al., 2009; Reid et al., 2011). Consequently, the incomplete characterization 55 and the heterogeneity of organic aerosol limit our understanding of their fate and impacts.

56 OC is composed of primary and secondary compounds originating from anthropogenic and 57 biogenic sources. The water-soluble fraction of organic carbon (WSOC) accounts for 30-90% of 58 OC, and it is composed of dicarboxylic acids, keto-carboxylic acids, aliphatic aldehydes and 59 alcohols, saccharides, saccharide anhydrides, amines, amino acids, aromatic acids, phenols, 60 organic nitrates and sulfates, and humic and fulvic acids (Timonen et al., 2008; Miyazaki et al., 2009; Pietrogrande et al., 2013; Wozniak et al., 2013). Proton nuclear magnetic resonance (<sup>1</sup>H-61 62 NMR) spectroscopy has been applied to characterize the WSOC content of urban, biogenic, 63 marine, continental background and marine aerosol (Suzuki et al., 2001; Graham et al., 2002; 64 Matta et al., 2003; Cavalli et al, 2004; Decesari et al., 2006; 2011; Finessi et al., 2012). Solid state <sup>13</sup>C Cross Polarized Magic Angle Spinning (<sup>13</sup>C-CPMAS) NMR was also used to 65 66 characterize atmospheric aerosol (Subbalakshmi et al., 2000; Sannigrahi et al., 2006). In 67 addition, the secondary organic aerosol (SOA) composition was studied using two-dimensional (2D) <sup>1</sup>H-<sup>1</sup>H correlation spectroscopy (COSY) and <sup>1</sup>H-<sup>13</sup>C heteronuclear single quantum 68 coherence (HSQC) spectroscopy (Tagliavini et al., 2006; Maksymiuk et al., 2009). The analysis 69 of the WSOC hydrophobic fraction by <sup>1</sup>H and 2D <sup>1</sup>H-<sup>1</sup>H gradient COSY (gCOSY) NMR allowed 70 71 for the detection of alkanoic acids based on resonances attributed to terminal methyl (CH<sub>3</sub>) at  $\delta$ 72 0.8 ppm, *n*-methylenes (*n*CH<sub>2</sub>) at  $\delta$  1.3 ppm,  $\alpha$  and  $\beta$ -methylenes ( $\alpha$ CH<sub>2</sub>,  $\beta$ CH<sub>2</sub>) at  $\delta$  2.2 ppm and 73  $\delta$  1.6 ppm (Decesari et al., 2011). Carbohydrates and polyhydroxylated polynuclear aromatic hydrocarbons were identified on urban surface films in Toronto, Canada by <sup>1</sup>H, 2D <sup>1</sup>H-<sup>1</sup>H total 74 75 correlation spectroscopy (TOCSY) and semi-solid state NMR (Simpson et al., 2006).

Cluster and positive matrix factorization (PMF) were applied to twenty one <sup>1</sup>H-NMR spectra 76 77 using 200 (and 400) NMR bands as variables in Mace Head, Ireland (Decesari et al., 2011). 78 Despite the inherent statistical errors associated with the use of a limited number of equations 79 (samples, n=21) to predict substantially more variables (m=200 or 400), three to five factors 80 were retained and assigned to methanesulfonate (MSA), amines, clean marine samples, polluted 81 air mass and clean air masses. PMF was also applied on NMR and aerosol mass spectrometer 82 data to apportion the sources of biogenic SOA in the boreal forest (Finessi et al., 2012). The four 83 retained factors were attributed to glycols, humic-like compounds, amines+MSA and biogenic 84 terpene-SOA-like originating from a polluted environment.

The overall aim of this study was to determine the compositional fingerprints of particulate WSOC for different particle sizes of urban aerosol in Little Rock, Arkansas. The specific objectives were: (*i*) compare the functional characteristics of coarse, fine and ultrafine WSOC and (*ii*) reconcile the sources of WSOC by NMR spectroscopy and <sup>13</sup>C isotope ratios. The Little

89 Rock/North Little Rock metropolitan area is a mid-sized Midwestern urban area with PM<sub>2.5</sub> 90 (particles with diameter less than 2.5  $\mu$ m) levels very close to the newly revised annual PM<sub>2.5</sub> national ambient air quality standard of 12 µg m<sup>-3</sup> (Chalbot et al., 2013a). OC was the 91 predominant component representing approximately  $\sim 55\%$  of PM<sub>2.5</sub> mass with the highest 92 93 concentrations being measured during winter. The sources of fine atmospheric aerosol in the 94 region included primary traffic particles, secondary nitrate and sulphate, biomass burning, diesel 95 particles, aged/contaminated sea salt and mineral/road dust (Chalbot et al., 2013a). The region 96 also experiences elevated counts of pollen in early spring due to the pollination of oak trees 97 (Dhar et al., 2010). Due to the seasonal variation of weather patterns, the chemical content of 98 aerosol may also be modified by regional transport of cold air masses from the Great Plains and 99 Pacific Northwest in the winter (Chalbot et al., 2013a).

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### 101 **2** Materials and Methods

### 102 **2.1. Sampling**

103 Seven-day urban size fractionated aerosol samples were collected every second week with a 104 high-volume sampler in Little Rock, Arkansas in winter and early spring of 2013 (February-105 March). The sampling duration was selected to reduce the effect of sampling biases (i.e. weekday/weekend or day/night) and obtain sufficient quantities for NMR analysis in each 106 107 particle size range. The sampling site was located at the north end of the UAMS Campus 108 (34°45'3.69"N and 92°19'10.28"W). It was 20 meters above the ground and approximately 100 109 meters from the West Markham Street with annual average daily traffic (AADT) of 13,000 110 vehicles. The I-630 Expressway is located 1 mile to the south of the sampling site (south end of 111 UAMS Campus) with an AADT of 108,000 vehicles. The 6-lane (3 per direction) highway is an 112 open below surface-level design to reduce air pollution and noise in the adjacent communities.

113 A five-stage (plus backup filter) Sierra Andersen Model 230 Impactor mounted on a high-114 volume pump was used (GMWL-2000, Tisch Environmental, Ohio, USA). Particles were 115 separated into six size fractions on quartz fiber filters, according to their aerodynamic cutoff 116 diameters at 50% efficiency: (*i*) the first stage: >7.2  $\mu$ m; (*ii*) second stage: 7.2–3.0  $\mu$ m; (*iii*) third 117 stage: 3.0–1.5  $\mu$ m; (*iv*) fourth stage: 1.5–0.96  $\mu$ m; (*v*) fifth stage: 0.96–0.5  $\mu$ m; and (*vi*) backup filter:  $<0.5 \ \mu\text{m}$ , at a nominal flow rate of 1.13 m<sup>3</sup> min<sup>-1</sup>. We assumed an upper limit of 30  $\mu\text{m}$  for the larger particles, in agreement with the specification for the effective cut-point to standard high volume samplers and to facilitate comparison with previous studies (Kavouras and Stephanou, 2002). After collection, filters were placed in glass tubes and stored in a freezer at -30°C until extraction and analysis.

### 123 **2.2. Materials**

Quartz microfiber filters were purchased from Whatman (QM-A grade, 203 x 254 mm, Tisch Environmental, USA), were precombusted at 550 °C for 4 h and then kept in a dedicated clean glass container, with silica gel, to avoid humidity and contamination. Water (HPLC grade), Deuterium oxide (NMR grade, 100 atom % D), 3-(Trimethylsilyl)propionic acid-d4 sodium salt (98 atom % D), sodium phosphate buffer (for analysis, 99%) and sodium azide (extra pure, 99%) were purchased from Acros Organics (Fisher Scientific Company LLC, USA).

### 130 **2.3.** Analysis

A piece of the filters (1/10 of impactor stages (12.5  $\text{cm}^2$ ) and 5.1  $\text{cm}^2$  of the backup) was 131 analyzed for  $\delta^{13}$ C by an elemental analyzer (NC2500 Carlo Erba, Milan Italy) interfaced via a 132 Conflo III to a Delta Plus isotope ratio mass spectrometer (Thermo Finnigan, Bremen Germany) 133 134 at the University of Arkansas Stable Isotope Laboratory. The samples were combusted at 1060°C 135 in a stream of helium with an aliquot of oxygen. Nitrogen oxides are reduced in a copper furnace 136 at 600°C. Resultant gases are separated using a 3 meter chromatography column at 50°C. Raw 137 data is created using monitor gases, pure nitrogen and carbon dioxide. Raw results are 138 normalized to the Vienna Pee Dee Belemnite (VPDB) using a combination of certified and in 139 house standards (Nelson 2000). The relative isotope differences are expressed in permil versus 140 VPDB calculated as follows:

141 
$$\delta^{13}C = [R(({}^{13}C/{}^{12}C)_{sample}) - R(({}^{13}C/{}^{12}C)_{standard}) / R(({}^{13}C/{}^{12}C)_{sample})] \times 1000$$
(1)

142 where  $R(({}^{13}C/{}^{12}C)_{sample})$  and  $R(({}^{13}C/{}^{12}C)_{standard})$ (VPDB) are the carbon isotope ratios of the 143 sample and the standard, respectively (Coplen, 2011).

A 1-cm<sup>2</sup> piece of each filter was extracted in 1-ml de-ionized water and an aliquot (20 μl) was
analyzed for WSOC using a DRI Model 2001 Thermal/Optical thermal optical reflectance (TOR)

146 Carbon Analyzer (Atmoslytic Inc., Calabasas, CA) following the Interagency Monitoring of
147 PROtected Visual Environments (IMPROVE) thermal/optical reflectance (TOR) protocol at
148 DRI's Environmental Analysis Facility (Ho et al., 2006).

149 The remaining portion of each filter was extracted in 50-ml of ultrapure  $H_2O$  for 1 hour in an 150 ultrasonic bath. The aqueous extract was filtered on 0.45µm polypropylene filter (Target2, 151 Thermo Scientific), transferred into a pre-weighted vial (for the gravimetric determination of the 152 total water-soluble extract (TWSE)), dried using a SpeedVac apparatus and re-dissolved in 500 153 µl of deuterated water (D<sub>2</sub>O). A microbalance (Mettler-Toledo, Model: AB265-S) with precision 154 of 10 µg was used in a temperature-controlled environment. To minimize any variation in the pH 155 of the samples and block microbial activity, 100 µL of a buffer solution of disodium 156 phosphate/monosodium phosphate (0.2 M Na<sub>2</sub>HPO<sub>4</sub>/0.2 M NaH<sub>2</sub>PO<sub>4</sub>, pH 7.4) and 100 µL of sodium azide (NaN<sub>3</sub>) (1% w/w) were added into the sample, respectively. The <sup>1</sup>H-NMR spectra 157 158 were obtained on a Bruker Avance 500 MHz instrument equipped with a 5 mm double resonance 159 broad band (BBFO Plus Smart) probe at 298K with 3,600 scans, using spin-lock, acquisition 160 time of 3.2 s, relaxation delay of 1 s, and 1 Hz exponential line broadening and presaturation to 161 the H<sub>2</sub>O resonance (Chalbot et al., 2013b). Spectra were apodized by multiplication with an 162 exponential decay corresponding to 1 Hz line broadening in the spectrum and a zero filling factor 163 of 2. The baseline was manually corrected and integrated using the Advanced Chemistry 164 Development NMR processor (Version 12.01 Academic Edition). The determination of chemical shifts ( $\delta^{1}$ H) was done relative to that of trimethylsilyl-propionic acid-d<sub>4</sub> sodium salt (TSP-d<sub>4</sub>) (set 165 166 at 0.0 ppm). The segment from  $\delta$  4.5 ppm to  $\delta$  5.0 ppm, corresponding to the water resonance, 167 was removed from all NMR spectra. We applied the icoshift algorithm to align the NMR spectra 168 (Savorani et al., 2010) and integrated the intensity of signals of individual peaks as well as in 169 five ranges (Decesari et al., 2000; 2001; Suzuki et al., 2001). The saturated aliphatic region (H-170 C,  $\delta 0.6$  ppm –  $\delta 1.8$  ppm) was assumed to include protons from methyl, methylene and methine groups (R-CH<sub>3</sub>, R-CH<sub>2</sub>, and R-CH, respectively). The unsaturated aliphatic region (H-C-C=,  $\delta$ 171 172 1.8 ppm –  $\delta$  3.2 ppm) contained signal of protons bound to aliphatic carbon atoms adjacent to a 173 double bond, including allylic (H-C-C=C), carbonyl (H-C-C=O) or imino (H-C-C=N) groups. 174 Secondary or tertiary amines (H-C-NR<sub>2</sub>) may also be present in the  $\delta$  2.2 ppm –  $\delta$  2.9 ppm 175 region. The oxygenated saturated aliphatic region (*H*-C-O,  $\delta$  3.2 ppm –  $\delta$  4.4 ppm) contained 176 alcohols, ethers and esters. The fourth region included acetalic protons (O-CH-O) with signals of 177 the anomeric proton of carbohydrates and olefins (long chain R-C*H*=C*H*-R,  $\delta$  5.0 ppm –  $\delta$  6.4 178 ppm). Finally, the fifth region ( $\delta$  6.5 ppm –  $\delta$  8.3 ppm) contained aromatic protons (Ar-*H*).

### 179 **2.4.** Calculations

180 The Lundgren diagrams and mass median aerodynamic diameter (MMAD) were used to describe 181 the size distribution of particle mass, WSOC and non-exchangeable organic hydrogen 182 concentrations ( $n_{conc}^{o}$ ) as follows (Van Vaeck and Van Cauwenberghe, 1985; Kavouras and 183 Stephanou, 2002):

184 
$$n_{Conc}^{o} = \frac{dC}{C_t \cdot dlog(d_p)}$$
(2)

185 where C is the concentration ( $\mu g m^{-3}$ ) for a given stage,  $d_p$  is the aerodynamic diameter ( $\mu m$ ), and 186 C<sub>t</sub> is the total concentration ( $\mu g m^{-3}$ ).

187 The MMAD denotes the particle diameter  $(\mu m)$  with half of the particle mass, TWSE, WSOC or 188 non-exchangeable organic hydrogen concentration above and the other half below. It was 189 calculated stepwise as follows:

190 
$$\left(\int_{d_1}^{MMAD} C_i d(d_p)\right) + \sum_{j=1}^{i-1} C_j = \frac{1}{2} C_t$$
 (3)

where  $d_1$  is the lower particle size (µm) for *i*-impactor stage;  $C_i$  and  $C_j$  are the mass concentrations for *i*- and *j*-impactor stages, respectively. If MMAD was higher than the upper particle size collected by the *i*-impactor stage, the calculation was repeated for the next stage. The MMAD was calculated for the entire particle range, coarse particles (higher than 3.0 µm) and fine particles (less than 3.0 µm).

Multivariate linear regression analysis was used to attribute WSOC (in nmol m<sup>-3</sup>) to carbon
 associated with five types of non-exchangeable organic hydrogen as follows:

198 
$$WSOC = a_1 \cdot [H]_{R-H} + a_2 \cdot [H]_{H-C-C=} + a_3 \cdot [H]_{O-C-H} + a_4 \cdot [H]_{O-CH-O} + a_5 \cdot [H]_{Ar-H} + a_o$$
 (4)

199 where  $\alpha_1$ ,  $\alpha_2$ ,  $\alpha_3$ ,  $\alpha_4$  and  $\alpha_5$  are the regression coefficients of non-exchangeable R-*H*, *H*-C-C=, O-200 C-*H*, O-C*H*-O and Ar-*H* concentrations (in nmol m<sup>-3</sup>). The intercept,  $\alpha_0$ , accounted for carbon 201 not associated with the five organic hydrogen types such as carboxylic. The coefficient of 202 variation of the root mean square error, CV(RMSE), was used to evaluate the residuals between 203 measured and predicted WSOC values. It was defined as the RMSE normalized to the mean of204 the observed values:

205 
$$CV(RMSE) = \frac{RMSE}{\overline{WSOC_{measured}}} = \frac{\sqrt{\sum_{i=1}^{n} (WSOC_{predicted,i} - WSOC_{measured,i})^2}}{n \cdot \overline{WSOC_{measured}}}$$
 (5)

with RMSE being defined as the sample standard deviation of the differences between predicted values and observed values, n is the number of measurements and  $\overline{WSOC_{measured}}$  is the average WSOC concentration.

209

### 210 **3** Results and Discussion

211 Table 1 shows the ambient temperature (°C), barometric pressure (torr), concentrations of major 212 aerosol types and concentration diagnostic ratios of PM<sub>2.5</sub> aerosol during the monitoring period 213 at Little Rock at the nearest PM2.5 chemical speciation site (EPA AIRS ID: 051190007; Lat.: N 214 34.756072; Long.: W 92.281139) (Chalbot et al., 2013a). The site is located 3.6 km ENE 215 (heading of 77.9°) of the UAMS Campus. The Interagency Monitoring of Protected Visibility 216 Environments (IMPROVE) PM2.5 mass reconstruction scheme was used to estimate the mass of 217 the secondary inorganic (sulfate and nitrate) aerosol, organic mass, elemental carbon, soil dust 218 and sea spray (Sisler 2000).

219 Organic carbon (OC) was the predominant component of fine aerosol accounting for 49% of reconstructed PM<sub>2.5</sub> mass followed by secondary inorganic aerosol (40%) and elemental carbon 220 221 (EC) (7%) which were comparable to those previously observed for the 2002-2010 period. The 222 OC/EC ratio (4.58  $\pm$  1.06) was comparable to those observed in the same region for the 2000-223 2010 period (Chalbot et al., 2013a) that identified biomass burning and traffic as the most 224 important sources of carbonaceous aerosol in the region. This was further corroborated by the 225 prevalence of soluble potassium, a tracer of biomass burning ( $K^+/K$  ratio of 1.00 ± 0.28) (Zhang 226 et al., 2013). The low K/Fe ratio (0.87  $\pm$  0.25) and the ratios of mineral elements (Al, Si and Ca) 227 were comparable to those previously observed in the US demonstrating the presence of soil dust (Kavouras et al., 2009; Chalbot et al., 2013a). The high molar  $NH_4^+/SO_4^{2-}$  ratio suggested the 228 complete neutralization of sulfate by ammonia while the  $SO_4^{2^2}/S$  suggested the presence of other 229 230 forms of S from oil and coal combustion.

231

### 232 **3.1. Size distribution**

233 The mean (± standard error) of particle mass, total water soluble extract (TWSE), WSOC and 234 non-exchangeable organic hydrogen concentrations for the five regions (R-H, H-C-C=, H-C-O, 235 O-CH-O and Ar-H) for each particle size range are presented in Table 2. In Table 2, the mean ( $\pm$ standard error) molar H/C ratio and  $\delta^{13}$ C for each particle size are also reported. The total 236 particle mass concentration ranged from  $1.6 \pm 0.1 \ \mu g \ m^{-3}$  for particles with  $0.96 < d_p < 1.5 \ \mu m$  to 237 11.2  $\pm$  2.8  $\mu g$  m  $^{\text{-3}}$  for particles with  $d_p < 0.49~\mu m.$  These levels were substantially lower than 238 239 those measured in other urban areas but comparable to those observed in forests (Kavouras and Stephanou, 2002). The lowest and highest TWSE concentrations were 0.5  $\pm$  0.1  $\mu\text{g/m}^3$  and 5.4  $\pm$ 240 1.4  $\mu$ g/m<sup>3</sup> accounting for about 13% of the largest (d<sub>p</sub> > 7.2  $\mu$ m) and up to 61% of the smallest 241 particles (d<sub>p</sub> < 0.96  $\mu$ m), respectively. The WSOC levels were 0.1  $\pm$  0.1  $\mu$ gC m<sup>-3</sup> for particles 242 with  $d_p > 0.96 \ \mu m$  representing 10% of TWSE and 5% of particle mass and increased to  $1.2 \pm 0.1$ 243  $\mu gC~m^{\text{-3}}$  (22.2% of TWSE and 10% of particle mass) for particles with  $d_p < 0.96~\mu m.$  The 244 245 contribution of WSOC to particle mass was slightly higher than that computed in Hong Kong for 246 PM<sub>10</sub> particles, albeit at substantially lower levels (Ho et al., 2006). For comparison, the WSOC 247 concentrations of size fractionated aerosol collected during the dry season in the Amazon varied from 0.2 (3.5 - 10  $\mu$ m) to 30.4  $\mu$ gC m<sup>-3</sup> (0.42 - 1.2  $\mu$ m) (Tagliavini et al., 2006). The total non-248 exchangeable organic hydrogen concentrations varied from  $4.1 \pm 0.1$  nmol m<sup>-3</sup> for particles with 249  $0.96 < d_p < 1.5 \ \mu m$  to  $73.9 \pm 12.3 \ nmol \ m^{-3}$  for particles with  $d_p < 0.49 \ \mu m$  with R-H being the 250 251 most abundant group representing about 45% of measured total non-exchangeable organic 252 hydrogen concentration followed by *H*-C-O (27%) and *H*-C-C= (26%).

253 The molar H/C ratio may provide information on the types of sources; however, they should be 254 cautiously evaluated because of the inherent inability to identify exchangeable protons in hydroxyl, carboxylic and amine functional groups at neutral pH values by <sup>1</sup>H-NMR (Duarte et 255 256 al., 2007). H/C values higher than 2 were indicative of compounds with strong aliphatic 257 components while H/C values from 1 to 2 were typically associated with oxygenated or nitro-258 organic species and H/C values lower than 1 suggested an aromatic signature (Fuzzi et al., 2001). 259 The H/C molar ratios were 0.84  $\pm$  0.02 and 0.92  $\pm$  0.09 for particles with d<sub>p</sub> > 3.0  $\mu$ m, decreased to 0.48  $\pm$  0.05 for particles with 0.96 < d\_p < 3.0  $\mu m$ ) and increased to 0.54  $\pm$  0.05 and 0.73  $\pm$  0.02 260

for smaller particles ( $d_p < 0.96 \ \mu m$ ). In a previous study, the molar H/C ratios for vegetation combustion and prescribed fire emissions collected very close to the fire front were 0.39 and 0.64-0.68, respectively, suggesting a strong polyaromatic content that was typically observed in combustion-related process (Adler et al., 2011; Chalbot et al., 2013b).

265 The normalized concentration-based size distributions (i.e. Lundgren diagrams (Van Vaeck and 266 Van Cauwenberghe, 1985)) of particles mass, TWSE, WSOC and total non-exchangeable 267 organic hydrogen concentrations are presented in Figs. 1a and b, respectively. Table 3 also shoes 268 the mass median aerodynamic diameter for each measured variable. Particle mass and TWSE followed a bimodal distribution with local maxima for particles with  $0.49 < d_p < 1.5 \ \mu m$  and 3.0 269 270  $< d_p < 7.2 \mu m$ . The first mode (i.e. fine particles) corresponded to MMADs of 0.39  $\pm$  0.03  $\mu m$  for 271 particle mass and  $0.39 \pm 0.02 \mu m$  for TWSE which was typical for those observed in other urban 272 areas (Table 3) (Aceves and Grimalt, 1993; Kavouras and Stephanou, 2002). The MMADs of 273 particle mass and TWSE for the second mode (i.e. coarse particles) were 9.15  $\pm$  2.75  $\mu$ m and 274  $6.35 \pm 0.45$  µm suggesting the presence of water insoluble species (e.g. metals oxides) in larger 275 particles ( $d_p > 7.2 \mu m$ ). The MMADs calculated for the whole range of particle sizes were 0.68 ± 276 0.48  $\mu$ m and 0.46  $\pm$  0.02  $\mu$ m for particles mass and TWSE, respectively. This confirmed the 277 accumulation of water-soluble species in the fine range. For WSOC and non-exchangeable 278 organic hydrogen, the size distribution illustrated an one-mode pattern maximizing at particles 279 with  $0.49 < d_p < 1.5 \mu m$  and corresponding to MMADs for the whole range of particle sizes of 280  $0.43 \pm 0.02 \ \mu m$  for WSOC and  $0.41 \pm 0.01 \ \mu m$  for non-exchangeable organic hydrogen. Coarse 281 particles (>3.0  $\mu$ m) had a MMAD of 11.83 ± 2.20  $\mu$ m for WSOC and 11.35 ± 1.45  $\mu$ m, which 282 was substantially higher than that computed for particle mass and TWSE, indicating the possible 283 contribution of very large carbonaceous particles. Pollen particles from oak trees (*Ouercus*) have 284 diameters from 6.8 to 37 µm and only 10% of them are present in smaller particles (0.8-3.1 µm) 285 (Takahashi et al., 1995). The particle diameter of various types of tree and grass pollen ranged 286 from 22 and 115 µm (Diehl et al., 2001). On the other hand, the fine particle MMADs for WSOC 287 and non-exchangeable organic hydrogen of fine particles were 0.37  $\pm$  0.01  $\mu$ m and 0.34  $\pm$  0.01 288 µm (comparable to those computed for particle mass and TWSE) indicating the considerable 289 influence of WSOC to TWSE and particle mass in this size range.

### **3.2.** Functional characterization

The <sup>1</sup>H-NMR spectra of WSOC for different particle sizes are showed in Fig. 2. The structure of the compounds identified and the hydrogen assignment are shown in Fig. 3. The spectra are characterized by a combination of sharp resonances of the most abundant organic species and convoluted resonances of many organic compounds present at low concentrations. This section describes the variability of <sup>1</sup>H-NMR spectra for different particles sizes in qualitative terms. A limited number of resonances were assigned to specific organic compounds using reference NMR spectra and in comparison with previous studies (Wishart et al., 2009).

299 The predominant peaks for particles with  $d_p < 0.49 \ \mu m$  were those in the  $\delta 0.8 \ ppm$  to  $\delta 1.8 \ ppm$ 300 range with a somewhat bimodal distribution maximizing at  $\delta \sim 0.9$  ppm and  $\delta \sim 1.3$  ppm 301 respectively. They were previously attributed to terminal methyl groups, alkylic protons and 302 protons bound on C=O in compounds with a combination of functional groups and long aliphatic chains (Decesari et al., 2001). The <sup>1</sup>H-NMR fingerprint in this region was comparable to that 303 304 obtained for soil humic compounds, atmospheric humic-like species and urban traffic aerosol 305 (Suzuki et al., 2001; Bartoszeck et al., 2008; Song et al., 2012; Chalbot et al., 2013b). It was 306 previously observed that long chain ( $C_6$ - $C_{30}$ ) *n*-alkanoic acids, *n*-aldehydes and *n*-alkanes 307 accumulated in particles with  $d_p < 0.96 \mu m$  (Kavouras and Stephanou, 2002). The intensity of the 308 convoluted resonances decreased for increasing particle sizes.

309 In the  $\delta$  1.8-3.2 ppm range, the sharp resonances at  $\delta$  1.92 ppm and  $\delta$  2.41 ppm were previously 310 assigned to aliphatic protons in  $\alpha$ -position to the COOH group in acetate (H-4 in Fig.3) and in 311 succinate (H-4 and H-5 in Fig.3). These species were observed in the coarse fraction (Fig. 2e-f) 312 but not in fine and ultrafine particles (Figs. 2a-c). These two acids (as well as formate) were 313 typically associated with photo-oxidation processes and were present in the accumulation mode; 314 however, Matsumoto et al. (1998) demonstrated that they were also present in sea spray coarse 315 particles. Coarse acetate and formate were also observed in soil dust particles (Chalbot et al., 316 2013b).

The CH<sub>3</sub>- in mono-, di- and tri-methylamines (Fig. 3) were allocated to sharp resonances at  $\delta$ 2.59,  $\delta$  2.72, and  $\delta$  2.92 ppm, respectively. The major source of amines was animal husbandry and they were co-emitted with ammonia (Schade and Crutzen, 1995). They were present as vapors but they partition to aerosol phase by forming non-volatile aminium salts through 321 scavenging by aqueous aerosol and reactions with acids, gas-phase acid-base reactions and 322 displacement of ammonia from pre-existing salts (VandenBoer et al., 2011). The three amines 323 were observed in particles with  $d_p < 0.96 \mu m$ , which was consistent with previous studies and the 324 suggested gas-to-particle partitioning mechanism (Mueller et al., 2009; Ge et al., 2011). Nitrate 325 and sulfate particles constituted a considerable fraction of fine particles in Little Rock, Arkansas 326 and it was associated with transport of air masses over the Great Plains and Upper Midwest, two 327 regions with many animal husbandry facilities and the highest NH<sub>3</sub> emissions in the US (Chalbot 328 et al., 2013a). The presence of aminium/ammonium salts in the water-soluble fraction was also verified by the strong ammonium  ${}^{1}\text{H}{}^{-14}\text{N}$  coupling signals at  $\delta$  7.0 – 7.4 ppm (1:1:1 triplet, 329 330  $J_{\rm HN}$ ~70 Hz) (Suzuki et al., 2001). Methanesulfonic acid (MSA) was also present (CH<sub>3</sub> at  $\delta$  2.81 331 ppm). MSA is a tracer of marine aerosols, formed from dimethylsulfide oxidation. We 332 previously demonstrated the contribution of marine aerosols originating from the Gulf of Mexico 333 in Little Rock (Chalbot et al., 2013a). MSA was accumulated to fine and ultrafine particles ( $d_p <$ 334 1.5 μm) (Fig. 2d-f).

335 Two segments of the carbohydrate region ( $\delta 3.0 - 4.4$  ppm and  $\delta 5.1 - 5.6$  ppm) of the <sup>1</sup>H-NMR 336 spectra for the largest and smallest particles sizes are presented in Figs 4a-b and c-d, 337 respectively. In addition, Fig. 4e and f show the combination of individual NMR reference 338 spectra for glucose (HMDB00122), sucrose (HMDB00258), fructose (HMDB00660) and 339 levoglucosan (HMDB00640) retrieved from the Human Metabolome Database (HMDB) NMR databases (Whishart et al., 2009). The <sup>1</sup>H-NMR spectra of size fractionated WSOC contain both 340 341 convoluted resonances illustrated by a broad envelope in the spectra and sharp resonances. For 342 particles with  $d_p > 7.2 \mu m$ , the spectra was dominated by sharp resonances assigned to glucose 343 (G in Fig.2; H-3, multiplet at  $\delta$  3.24 ppm; H-5, multiplet at  $\delta$  3.37 – 3.43 ppm; H-6, multiplet at  $\delta$ 344 3.44 – 3.49 ppm; H-3, multiplet at δ 3.52 ppm; H-4, multiplet at δ 3.68-3.73 ppm; H-11, 345 multiplet at  $\delta$  3.74-3.77 ppm and 3.88-3.91 ppm; H6 and H11, multiplet at 3.81-3.85 ppm; and alpha H-2, doublet at 5.23 ppm), sucrose (S in Fig. 2; H-10, multiplet at 3.46 ppm; H-12, 346 347 multiplet at 3.55 ppm; H-13, singlet at  $\delta$  3.67 ppm; H-11, multiplet at 3.75 ppm; H-17 and H-19, 348 multiplet at  $\delta$  3.82 ppm; H-9, multiplet at 3.87 ppm; H-5, multiplet at 3.89 ppm; H-4, multiplet at 349  $\delta$  4.06 ppm; H-3, doublet at  $\delta$  4.22 ppm and H-7, doublet at 5.41 ppm) and fructose (F in Fig.2; 350 H-7, multiplet at  $\delta$  3.55 – 3.61 ppm; H-7 and H-11, multiplet at  $\delta$  3.66 – 3.73 ppm; H-3, H-5 and 351 H-11, multiplet at  $\delta$  3.79 – 3.84 ppm; H-4, multiplet at  $\delta$  3.89-3.91 ppm; H-5 and H-11, multiplet 352 at  $\delta$  3.99-4.04 ppm; H-3 and H-4, multiplet at  $\delta$  4.11-4.12 ppm). The overall NMR profile in this 353 range was comparable to that observed for the combination of glucose, sucrose and fructose 354 reference spectra (Figs. 4e and f) and atmospheric pollen (Chalbot et al., 2013c). The intensity of 355 proton resonances in the  $\delta$  3.30 – 4.15 ppm range was the highest for the largest (d<sub>p</sub> > 7.2 µm) and smallest ( $d_p < 0.49 \mu m$ ) particles and decreased approximately eight times for particles in the 356  $0.96 < d_p < 1.5 \mu m$  size range (Figs. 2a-f). Carbohydrates of biological origin (i.e. pollen) were 357 358 typically associated with large particles; however, they were also observed in fine biomass 359 burning or biogenic aerosols (Bugni and Ireland, 2004; Medeiros et al., 2006; Agarwal et al., 360 2010; Fu et al., 2012; Chalbot et al., 2013c). The diameter of airborne fragments of fungal and 361 pathogenic material may be  $< 1 \mu m$  with their highest concentrations being measured in fall and spring (Yamamoto et al., 2012). The presence of sugars in particles with  $d_p < 0.49 \ \mu m$  may be 362 due to particle breakup during sampling, an inherent artifact of impaction (Kavouras and 363 364 Koutrakis, 2001). It has been shown that this error may account for up to 5% of the particle mass 365 for particles with diameters higher than the cut-off point of the impactor stage. In our study, this would add up to 0.05 nmol  $m^{-3}$  (or 0.2%) of the non-exchangeable H-C-O concentration to the 366 concentration of particles with  $d_p < 0.49 \mu m$ , suggesting the negligible influence of sampling 367 368 artifacts on the observed size distribution.

369 Levoglucosan (H-6, multiplet at  $\delta$  3.52 ppm; H-7 and H8, multiplet at  $\delta$  3.67; H2, multiplet at  $\delta$ 370 3.73-3.75 ppm and at 4.08 ppm; H-5, singlet at 5.45 ppm (H-3 at 4.64 ppm, this peak was not 371 visible due to interferences from solvent residues)) was also detected in the carbohydrate region of the ultrafine and fine <sup>1</sup>H-NMR. Its concentrations, computed using the resonance at  $\delta$  5.45 372 ppm, ranged from 1.1 ng/m<sup>3</sup> for particles with  $d_p > 7.2 \mu m$  to 19.1 ng/m<sup>3</sup> for particles with 0.49 < 373  $d_p < 0.96 \mu m$ . The mean total concentration was 33.1 ng/m<sup>3</sup>, which was comparable to those 374 observed in US urban areas (Hasheminassab et al., 2013). Levoglucosan was previously 375 observed in the <sup>1</sup>H-NMR spectra of aerosol samples dominated by biomass burning in the 376 377 Amazon (Graham et al., 2002).

- 378 A group of very sharp resonances between  $\delta$  3.23 and  $\delta$  3.27 ppm were observed with increasing
- 379 intensity as particle size increased (Fig. 2a-f). These peaks were previously attributed to H-C-X
- 380 (where X=Br, Cl, I) functional groups (Cavalli et al., 2004).

381 The intensities of proton resonances in the aromatic region were very low accounting for 0.3 to 382 1.2% of the total non-exchangeable hydrogen concentration, which was consistent with those 383 observed in other studies (Decesari et al., 2007; Cleveland et al., 2012). Resonances were 384 previously attributed to aromatic amino acids and lignin derived structures, mainly phenyl rings 385 substituted with alcohols OH, methoxy groups  $O-CH_3$  and unsaturated C=C bonds, and their 386 combustion products (Duarte et al., 2008). Four organic compounds were identified by means of 387 their NMR reference spectra. These were: formate (Fo in Fig.2; H-2, singlet at 8.47 ppm), 388 trigonelline (T in Fig.2; H-4, multiplet at  $\delta$  8.09 ppm; H-5 and H-3, multiplet at  $\delta$  8.84 ppm; H-1, 389 singlet at δ 9.13 ppm; H-9, singlet at 4.42 ppm), phthalic acid (P in Fig.2; H-4 and H-5, multiplet 390 at  $\delta$  7.58 ppm; H-3 and H-6, multiplet at  $\delta$  7.73 ppm) and terephthalic acid (TA in Fig.2; H-6, H-391 2, H-5 and H-3, multiplet at  $\delta$  8.01). Formate and trigonelline were only observed in particles 392 with  $d_p > 7.2 \mu m$  due to the absorption of formate on pre-existing particles and the biological 393 origin of trigonelline (Chalbot et al., 2013c). The phthalic acid and its isomer, terephthalic acid, 394 were only observed in particles with  $d_p < 0.49 \mu m$ . These compounds have already been detected 395 in urban areas and vehicular exhausts (Kawamura and Kaplan, 1987; Alier et al., 2013). They 396 may also be formed during the oxidation of aromatic hydrocarbons but oxidation reactions are 397 not favored by prevailing atmospheric conditions in the winter at the study area (Kawamura and 398 Yasui, 2005).

399 Overall, the qualitative analysis of 1H-NMR spectra showed the prevalence of sugars in larger 400 particles and a mixture of aliphatic and oxygenated compounds associated with combustion-401 related sources such as biomass burning and traffic exhausts. The presence of 402 ammonium/aminium salts, probably associated with nitrate and sulfate secondary aerosol, was 403 also identified.

### 404 **3.3. Source reconciliation**

The  $\delta^{13}$ C ratios and the relative presence of the different types of protons were further analyzed to identify the sources of WSOC. Stable <sup>13</sup>C isotope ratios have been estimated for different types of organic aerosol. The compounds associated with marine aerosols, emitted via sea spray have  $\delta^{13}$ C values from -20 to -22‰ (Fontugne and Duplessy, 1981) and a decrease of the  $\delta^{13}$ C to -26 ± 2 ‰ of marine tropospheric aerosols has been associated with the presence of continental organic matter (Cachier et al., 1986, Chesselet et al., 1981). The carbon isotopic ratio of particles 411 from the epicuticular waxes of terrestrial plants is related to the plant physiology and carbon fixation pathways, with C<sub>3</sub> plants being less enriched in  ${}^{13}$ C (from -20% to -32%) than the C<sub>4</sub> 412 plants (-9‰ to -17‰) (Collister et al., 1994; Ballantine, 1998). The  $\delta^{13}$ C ratio of organic aerosol 413 from combustion of unleaded gasoline and diesel are -24.2  $\pm$  0.6‰ and -26.2  $\pm$  0.5‰ 414 415 respectively (Widory et al, 2004). Atmospheric aging during transport increases the isotopic ratios (Aggarwal et al., 2013). In our study, the  $\delta^{13}$ C values increased from -26.81 ± 0.18‰ for 416 the smallest particles ( $d_p < 0.49 \ \mu m$ ) to  $-25.93 \pm 0.31\%$  for the largest particles ( $d_p > 7.2 \ \mu m$ ), 417 indicating a size-dependent mixture of anthropogenic and biogenic sources. Fig. 5 shows the 418 association ( $r^2=0.69$ ) between WSOC-to-particle mass ratio and  $\delta^{13}C$  for particles with different 419 sizes. The <sup>13</sup>C enrichment of WSOC for low WSOC-to-particle mass ratios indicated the 420 421 negligible effect of atmospheric aging. The predominance of R-H, moderate H/C ratios and low  $\delta^{13}C$  for the smaller particles (d<sub>p</sub> < 0.96 µm) were consistent with the contribution of 422 combustion-related sources (Fig. 1c and d). A high  $\delta^{13}$ C ratio, prevalence of oxygenated groups 423 (H-C-O) and high H/C ratio such as those observed for coarse particle ( $d_p > 3.0 \ \mu m$ ) would point 424 425 towards aged organic aerosol; however, the large size of particles with these characteristics and 426 the low WSOC-to-particle mass ratio suggested the influence of primary biogenic particles 427 (Table 1).

428 By plotting the ratios of calculated carboxylics and ketones (H-C-C=O) (by subtraction of the 429 Ar-H from the H-C-C= region) to the total aliphatics ( $\Sigma$ (H-C-)) and H-C-O/ $\Sigma$ (H-C-), Decesari et 430 al. (2007) assigned three areas of the plot to OC sources, namely, biomass burning, marine and 431 secondary organic aerosol. The  $\Sigma$ (H-C-) included the saturated (H-C-O, hydroxyls) and the 432 unsaturated oxygenated (HC-C=O in acids and ketones) groups, the benzylic (H-C-Ar) groups, 433 the unfunctionalized alkyls (H-C) groups, and minor contributions from other aliphatic groups 434 such as the sulfonic group of MSA. More recently, Cleveland et al., (2012) demonstrated the 435 need to define the boundaries for urban and industrial aerosol that were described by moderate 436 H-C-O/ $\Sigma$ (H-C-) and H-C-C=O/ $\Sigma$ (H-C-) ratios. Fig. 6 depicts the locations of the urban size 437 fractionated samples collected in this study, in relation to the three aforementioned WSOC 438 sources. Overall, the H-C-C=O/ $\Sigma$ (H-C-) ratio increased and H-C-O/ $\Sigma$ (H-C-) ratio decreased for 439 decreasing particle sizes. The H-C-C=O/ $\Sigma$ (H-C-) varied from 0.12 to 0.50 and the H-C-O/ $\Sigma$ (H-C-) 440 C-) varied from 0.13 to 0.79. The data points for the smaller particles ( $d_p < 1.5 \mu m$ ) were within 441 the boundaries of biomass burning and SOA demonstrating the significance of wood burning

emissions. The presence of biological aerosol with  $d_p > 3.0 \mu m$  yielded low H-C-C=O/ $\Sigma$ (H-C-) ratios with a clear separation from combustion-related processes. These findings, in conjunction with those presented by Decesari et al. (2007) and Cleveland et al. (2012) suggest distinct signatures for different sources of organic aerosol that, once defined, may be used to determine the predominant sources of particulate WSOC.

447 The MMAD for the specific types of organic hydrogen may also provide qualitative information 448 on the origin of organic aerosol. The MMAD of an organic species is found at a significantly 449 smaller particle size than for the total aerosol when condensation (i.e. hot vapors cooling) or gas-450 to-particle conversion mechanism prevails. The MMAD for R-H and H-C-C= were comparable 451 indicating a common origin. Their MMAD values for the total particle size range, coarse 452 particles and fine particles were lower than those computed for particle mass and WSOC that can 453 be interpreted by the condensation of hot vapor emissions from fossil fuel combustion and wood 454 burning. This was further corroborated by the similar MMAD values for the total particle size 455 range and fine particles for R-H and H-C-C=.

456 However, different trends were observed for O-C-H, O-CH-O and Ar-H. For O-C-H, the 457 MMADs suggested a dual origin: (i) a strong condensation pathway for fine particles with an 458 MMAD value (0.31  $\pm$  0.01  $\mu$ m) for fine particles that was lower than that for the entire particle 459 size range (0.48  $\pm$  0.02 µm) and fine MMADs for particle mass and WSOC and (*ii*) a dominant 460 primary (i.e. direct particles emissions) pathway for coarse particle with the highest MMAD 461 values for all particle metrics in this study (13.05  $\pm$  1.95  $\mu$ m). Lastly, the high MMAD values for 462 O-CH-O and Ar-H for the entire and fine particle size ranges as compared to those computed for 463 the other types of organic hydrogen, particle mass and WSOC pointed towards emissions of 464 primary particles.

465

### 466 **3.4. WSOC reconstruction**

In this section, we estimated the contribution of each type of non-exchangeable organic hydrogen on WSOC levels by regression analysis (Eq. 4) without making any assumptions on the H/C ratio. The regression coefficients are estimates of the product of H/C ratio and the relative presence of the functional group to the overall organic composition. Fig. 7a presents a 471 comparison between the measured and calculated WSOC levels and Fig. 7b illustrates the 472 attribution of WSOC concentrations to specific types of carbon using the same definitions as for 473 the non-exchangeable protons, i.e. saturated aliphatic (R-H), unsaturated aliphatic (H-C-C=), 474 oxygenated saturated aliphatic (H-C-O), acetalic (O-CH-O) and aromatic (Ar-H), respectively. There was a very good agreement ( $r^2=0.99$ , slope of 0.9964) between measured WSOC and 475 476 predicted WSOC concentrations with an CV(RMSE) of 0.02 (or 2%). The R-H carbon was the 477 predominant type of WSOC for particles with  $d_p < 7.2 \mu m (41 - 60\%)$  and declined to 28% for 478 the largest particles. Similarly, the H-C-C= carbon was the second most abundant WSOC type 479 for particles with  $d_p < 7.2 \mu m$  (25-34%) and declined moderately to 17% for the largest particles. 480 The H-C-O carbon accounted for approximately 49% of the identified WSOC for particles with 481  $d_p > 7.2 \ \mu m$  and decreased to 4% of WSOC for particles with  $d_p < 1.5 \ \mu m$ ). The contribution of 482 aromatic carbon to WSOC increased from 2% for the smallest particles to 6% for the larger 483 particles, while acetalic carbon accounted for 1% for all particle size ranges. The WSOC not associated with the five carbon types was negligible (less than 1%) for particles with  $d_p < 0.49$ 484 485  $\mu$ m and increased to 47% of WSOC for particles with 1.5 < d<sub>p</sub> < 3.0  $\mu$ m and 22% for larger 486 particles. The carbon deficit may be related to carbon associated with carboxylic and/or hydroxyl 487 groups and carbon atoms with no C-H bonds (e.g. quaternary C). Alkenoic acids and alcohols in urban environments have been shown to be accumulated in particles with  $0.96 < d_p < 3.0 \ \mu m$ 488 489 (Kavouras and Stephanou, 2002). Overall, this analysis showed that aliphatic carbon originating 490 from anthropogenic sources accounted for the largest fraction of fine and ultrafine WSOC. 491 Sugars and other oxygenated compounds associated with biological particles dominated larger 492 particles. Atmospheric aging appeared to be negligible during the monitoring period.

493

### 494 **4** Conclusions

Functional characteristic of water soluble organic carbon for different particles sizes in an urban area during winter and spring has been studied. Using <sup>1</sup>H-NMR fingerprints, <sup>13</sup>C isotopic analysis and molecular tracers, the sources of particulate WSOC were reconciled for specific functional organic groups. A bimodal distribution was drawn for particle mass and water soluble extract. WSOC and organic hydrogen were distributed between fine particles with MMADs of 0.37 and 0.34 µm and coarse particles with MMADs of 11.83 and 11.35 µm, indicating a mixture

501 of primary large organic aerosol and condensed organic species in the accumulation mode. The 502 NMR spectra for larger particles ( $d_p > 3.0 \mu m$ ) demonstrated a strong oxygenated saturated 503 aliphatic content and the presence of fructose, sucrose, glucose, acetate, formate and succinate. 504 These compounds have been previously found in pollen, soil and sea spray particles. For smaller 505 particles ( $d_p < 1.5 \mu m$ ), the NMR spectra were dominated by saturated and unsaturated aliphatic 506 protons. Organic species associated with biomass burning (i.e. levoglucosan) and urban traffic 507 emissions (phthalate and terephthalate) were tentatively determined. Furthermore, resonances 508 attributed to ammonium and amines were recognized, suggesting the presence of ammonium/aminium nitrate and sulfate secondary aerosol. The  $\delta^{13}$ C corroborated the local 509 510 anthropogenic origin of fine and ultrafine organic aerosol. The values of the H-C-C= $O/\Sigma(H-C-)$ 511 and H-C-O/ $\Sigma$ (H-C-) ratios for the different particle sizes also confirmed the mixed contributions 512 of urban and biomass burning emissions for fine and ultrafine aerosol. The observed distribution 513 of functional groups allowed for the distinct separation of biomass burning and pollen particles, 514 in agreement with previous studies. More than 95% of WSOC was associated with the five types 515 of non-exchangeable organic hydrogen shown for the largest and smallest particle sizes. Overall, 516 we characterized the WSOC in southern Mississippi Valley, a region influenced by local 517 anthropogenic sources, intense episodes of pollen, and regional secondary sources of 518 anthropogenic and marine origin. We showed that NMR provides qualitative, and in conjunction 519 with thermal optical reflectance and isotopic analysis, quantitative information on the 520 compositional features of WSOC. Finally, the relative distribution of non-exchangeable organic 521 hydrogen functional groups appeared to be distinctively unique for pollen particles and different 522 than that previously observed for biomass burning and biogenic secondary organic aerosol, 523 indicating that the origin of WSOC may be determined.

524

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Variable	Value Ratio		Value	
	(mean $\pm$ st. error)		(mean $\pm$ st. error)	
Ambient temperature (°C)	10.6 (6.4 – 16.6)	OE/EC	$4.58 \pm 1.06$	
Barometric pressure (torr)	758 (756 – 762) Molar $NH_4^+/SO_4^{2-}$		$3.07\pm0.29$	
Organic mass (µg m <sup>-3</sup> )	$5.5\pm0.9$	SO4 <sup>2-</sup> /S	$2.66\pm0.90$	
Elemental carbon (µg m <sup>-3</sup> )	$0.7\pm0.1$	$K^+/K$	$1.00\pm0.28$	
Ammonium sulfate and nitrate ( $\mu g m^{-3}$ )	$4.4 \pm 1.6$	K/Fe	$0.87\pm0.25$	
Soil dust (µg m <sup>-3</sup> )	$0.5\pm0.1$	Ni/V	$0.44\pm0.41$	
Sea spray (µg m <sup>-3</sup> )	$0.1\pm0.1$	Al/Si	$0.40\pm0.20$	
		Al/Ca	$1.71\pm0.82$	

# **Table 1.** Major aerosol types and diagnostic ratios of PM<sub>2.5</sub> chemical species in Little Rock, Arkansas during the study period

	Diameter (µm)					
-	30-7.2 μm	7.2 – 3.0 μm	3.0 – 1.5 μm	1.5 – 0.96 μm	0.96 – 0.49 μm	$< 0.49 \ \mu m$
Particle mass ( $\mu g/m^3$ )	$3.6 \pm 0.8$	$3.5\pm0.9$	$1.7 \pm 0.3$	$1.6 \pm 0.1$	$2.6\pm0.1$	$11.2 \pm 2.8$
TWSE ( $\mu g/m^3$ )	$0.5 \pm 0.1$	$1.0 \pm 0.4$	$0.6 \pm 0.2$	$0.7\pm0.2$	$1.6 \pm 0.1$	$5.4 \pm 1.4$
WSOC ( $\mu g/m^3$ )	$0.2 \pm 0.1$	$0.1 \pm 0.1$	$0.1 \pm 0.1$	$0.1 \pm 0.1$	$0.4 \pm 0.1$	$1.2\pm0.2$
Total organic H (nmol m <sup>-3</sup> )	$12.5\pm0.9$	$7.8 \pm 1.0$	$4.1 \pm 0.1$	$5.7 \pm 1.3$	$17.4 \pm 3.5$	$73.9 \pm 12.3$
$R-H (nmol/m^3)$	$1.7 \pm 0.3$	$1.9\pm0.4$	$1.1 \pm 0$	$2.6\pm1.4$	9.1 ± 2.5	33.8 ± 11.9
H-C-C= (nmol/m <sup>3</sup> )	$1.4 \pm 0.1$	$1.5 \pm 0.1$	$0.9 \pm 0.1$	$1.6 \pm 0.8$	$6.4 \pm 1.9$	$19.3 \pm 8.4$
H-C-O (nmol/m <sup>3</sup> )	9.0 ± 1.2	$4.2 \pm 1.6$	$1.9 \pm 0.1$	$1.4 \pm 0.2$	$1.7\pm0.5$	$20 \pm 2.7$
O-CH-O (nmol/m <sup>3</sup> )	$0.2 \pm 0.2$	$0.1 \pm 0.2$	$0.1 \pm 0.1$	$0.1 \pm 0.1$	$0.1 \pm 0.1$	$0.5\pm0.4$
Ar- $H$ (nmol/m <sup>3</sup> )	$0.1 \pm 0.1$	$0.1 \pm 0.1$	$0.1 \pm 0.1$	$0.1 \pm 0.1$	$0.1 \pm 0.1$	$0.3 \pm 0.2$
Molar H/C ratio	$0.84 \pm 0.02$	$0.92\pm0.09$	$0.48 \pm 0.02$	$0.48\pm0.02$	$0.54\pm0.05$	$0.73\pm0.02$
$\delta^{13}C$	$-25.93 \pm 0.31$	$-25.83\pm0.19$	$-25.61\pm0.05$	$-26.13 \pm 0.11$	$-26.76\pm0.22$	$-26.81 \pm 0.18$

**Table 2.** Particle mass, TWSE, WSOC and non-exchangeable organic hydrogen concentrations and  $\delta^{13}$ C in each impactor stage for urban aerosol

	Total	Coarse	Fine
Particle mass	$0.68 \pm 0.19$	$9.15\pm2.75$	$0.39\pm0.03$
TWSE	$0.46\pm0.02$	$6.35\pm0.45$	$0.39\pm0.02$
WSOC	$0.43\pm0.02$	$11.83 \pm 2.20$	$0.37\pm0.01$
Organic hydrogen	$0.41\pm0.01$	$11.35 \pm 1.45$	$0.34\pm0.01$
R- <i>H</i>	$0.37\pm0.01$	$7.00\pm0.01$	$0.34\pm0.01$
<i>H</i> -C-C=	$0.41\pm0.03$	$7.13\pm0.03$	$0.37\pm0.02$
О-С- <i>Н</i>	$0.48\pm0.02$	$13.05 \pm 1.95$	$0.31\pm0.01$
O-CH-O	$0.73\pm0.07$	$10.25\pm0.25$	$0.40\pm0.04$
Ar-H	$1.25\pm0.65$	$10.10\pm0.90$	$0.53\pm0.12$

Table 3. Mass median aerodynamic diameter (in µm) of particle mass, TWSE, WSOC and nonexchangeable organic hydrogen.



Figure 1. Size distribution for urban particles mass and TWSE (a), WSOC and nonexchangeable organic hydrogen (b), molar H/C ratio (c) and  $\delta^{13}$ C (d).

**Figure 2.** 500 MHz <sup>1</sup>H-NMR of size fractionated WSOC. The segment from  $\delta$  4.5 to  $\delta$  5.0 ppm was removed from all NMR spectra due to H<sub>2</sub>O residues. The peaks were assigned to specific compounds as follows: Formate (Fo), Levoglucosan (L), Glucose (G), Sucrose (S), Methanesulfonate (MSA), Trimethylamine (TMA), Succinate (Su), Acetate (A), Dimethylamine (DMA), Monomethylamine (MMA), Fructose (F), Trigonelline (T), Phthalic Acid (PA), Terephthalic Acid (TA), ammonium ions (NH<sub>4</sub><sup>+</sup>).



**Figure 3.** Structure of compounds assigned from the NMR spectra of fractionated aerosols. The protons responsible for the NMR signals are colored as follows: brown (bound to carbon alpha of carboxylic acid group), orange (methyl groups bound to amines), light blue (bound to carbon alpha of sulfonic acid group), green (Glucose), blue (Sucrose), purple (Fructose), red (Levoglucosan), light green (aromatic hydrogen). The H in bold indicate the signals in the 5.1-5.7 ppm range (see figure 4).



**Figure 4.** 500 MHz  $\delta$  3.0 – 4.4 ppm and  $\delta$  5.1 – 5.6 ppm <sup>1</sup>H-NMR segments for the largest (a,b) and smallest particles sizes (c,d) and reference NMR spectra (e,f) of Levoglucosan (red), **Glucose** (green), **Sucrose** (blue) and **Fructose** (purple).









**Figure 6.** Functional group distributions of WSOC for each impactor stage. The boundaries of

biomass burning, marine and secondary organic aerosol were obtained from Decesari et al.,2007)



Figure 7. Measured and predicted WSOC concentrations (a) and contributions of R-H, H-C-C=, H-C-O, O-CH-O and Ar-H on WSOC (b) for each impactor stage of urban aerosol.

