What controls the recent changes in African mineral dust aerosol across the Atlantic?

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Abstract

Dust from Africa strongly perturbs the radiative balance over the Atlantic, with emissions that are highly variable from year to year. We show that the aerosol optical depth (AOD) of dust over the mid-Atlantic observed by the AVHRR satellite has decreased by approximately 10% per decade from 1982 - 2008. This downward trend persists through both winter and summer close to source and is also observed in dust surface concentration measurements down-wind in Barbados during summer. The GEOS-Chem model, driven with MERRA re-analysis meteorology and using a new dust source activation scheme, reproduces the observed trend and is used to quantify the factors contributing to this trend and the observed variability from 1982 to 2008. We find that changes in dustiness over the East mid-Atlantic are almost entirely mediated by a reduction in surface winds over dust source regions in Africa and are not directly linked with changes in land-use or vegetation cover. The global mean all-sky direct radiative effect (DRE) of African dust is -0.18 Wm^{-2} at top of atmosphere, accounting for 46% of the global dust total, with a regional DRE of -7.4 ± 1.5 Wm^{-2} at the surface of the mid-Atlantic, varying by over 6.0 Wm^{-2} from year to year, with a trend of +1.3Wm^{-2} per decade. These large inter-annual changes and

the downward trend highlight the importance of climate feedbacks on natural aerosol abundance. Our analysis of the CMIP5 models suggests that the decreases in the indirect anthropogenic aerosol forcing over the North Atlantic in recent decades may be responsible for the observed climate-response in African dust, indicating a potential amplification of anthropogenic aerosol radiative impacts in the Atlantic via natural mineral dust aerosol.

1. Introduction

Mineral dust aerosol is ubiquitous in the atmosphere and arguably the greatest source of particulate matter. Africa is responsible for approximately half of the global emissions (Huneeus et al., 2011) resulting in transport of several hundred teragrams (Tg) of dust across the Atlantic towards the Americas throughout the year (Ginoux et al., 2004; Kaufman et al., 2005a; Ridley et al., 2012). This has consequences for air quality downwind (Prospero, 1999; Viana et al., 2002) as well as the radiative balance over the Atlantic, via scattering and absorption of solar radiation (and to a lesser extent terrestrial radiation), affecting cloud formation (Kaufman et al., 2005b; Koren et al., 2010; Twohy et al., 2009) and tropical cyclone formation (Dunion and Velden, 2004; Evan et al., 2006). African dust emissions vary greatly from year to year (Ben-Ami et al., 2012; Chiapello, 2005; Ginoux et al., 2004), implying considerable variation in the these impacts on climate and air quality.

Global dust emissions vary dramatically on millennial timescales. Sediment core measurements show that dust deposition over the Atlantic is a factor of 5 higher in the past 2,000 years than during the African Humid Period (11,700 – 5,000 years ago) and that emissions during glacial periods are generally 2 - 4 times greater than interglacial periods, likely owing to stronger winds

(McGee et al., 2010, 2013). More recently, (Mulitza et al., 2010) determined that dust emissions from Africa were negatively correlated with tropical West African precipitation from 1000 B.C. until the end of the 17th century but a sharp increase in dust deposition is observed with the advent of commercial agriculture in the 1800s, indicating the potential for anthropogenic changes to influence dust emission. Considerable population growth in Africa over recent decades, by a factor of 3 since 1950 (Prospero and Lamb, 2003), has led to an increase in agricultural activity and urbanization, with speculation that these human-induced land use and vegetation changes may also contribute to recent trends in West African dust (Chiapello, 2005; Evan et al., 2011). Since the 1950s, dust emissions from Africa have increased (Evan and Mukhopadhyay, 2010; Mbourou et al., 1997; Prospero et al., 2002), peaking in the 1980s at the same time as the extreme droughts experienced in the Sahel region. During this period a robust correlation was observed between dust transported to Barbados in the summer and Soudano – Sahel Precipitation Index of the previous year (Prospero and Lamb, 2003). In the past three decades, observations from satellite and surface measurements indicate a breakdown in this relationship (Mahowald et al., 2009), and a decrease in dustiness (Chin et al., 2013; Evan and Mukhopadhyay, 2010; Hsu et al., 2012; Shao et al., 2013; Zhao et al., 2008) coinciding with a greening of the Sahel region (Olsson et al., 2005). A vegetation-related increase in surface roughness may also have contributed to the stilling of winds (and corresponding reduction in dust) over much of the Northern Hemisphere (Vautard et al., 2010; Bichet et al., 2012), including the Sahel region (Cowie et al., 2013).

Modeling studies generally agree that changes in precipitation over the Sahel, leading to the drought and subsequent greening, can be explained by changes in the inter-hemispheric

68 temperature gradient across the Atlantic (Chiang and Friedman, 2012; Hwang et al., 2013;
69 Rotstayn and Lohmann, 2002; Zhang and Delworth, 2006) which influences the location of the
70 Inter-Tropical Convergence Zone (ITCZ). Changes in dust emissions and transport over the
71 Atlantic are associated with the location of the ITCZ (Doherty et al., 2012; Fontaine et al., 2011)
72 which may provide a feedback by modulating the radiative balance over the Atlantic (Evan et al.,
73 2011). However, whether the observed changes in dust are a direct consequence of the large-
74 scale changes associated with the ITCZ location or a consequence of the greening of the Sahel,
75 either via a reduction in available dust sources or a stilling of the surface winds, is still unclear.
76 Dust outflow from Africa is somewhat correlated with the North Atlantic Oscillation (NAO) based
77 on comparison with observations (Chiapello, 2005; Moulin et al., 1997, p.199; Nakamae and
78 Shiotani, 2013) with the strongest relationship north of 15°N (Chiapello and Moulin, 2002). The
79 NAO index, defined by the difference in normalized sea level pressure between the Icelandic low
80 and Azores high (Hurrell, 1995), is extremely noisy and our understanding of what causes the
81 fluctuations is limited (Stephenson et al., 2000). While the NAO index represents changes in
82 circulation that affect dust transport, primarily via the Azores high rather than Icelandic low
83 (Riemer et al., 2006), the correlation with observations of dust close to source regions is weak
84 (Nakamae and Shiotani, 2013). Therefore, we choose to focus primarily on the physical processes
85 the drive dust emission and export (e.g. wind strength and precipitation) rather than the climate
86 indices that may represent them.
87 In this paper we use surface and satellite dust observations along with a 27 year model simulation
88 to quantify the driving factors behind the variability and trends in dust loading over the Atlantic,
89 the importance of vegetation changes for dust emission, and whether the underlying causes are

natural or anthropogenic in origin. The magnitude of modeled and observed variability and trends in Atlantic dust AOD and direct radiative effect (DRE) are assessed and the causes quantified using the model. Finally, we discuss a potential driver of changes in surface winds using three reanalysis datasets and fifteen CMIP5 model simulations.

2. Model description and evaluation

2.1 Baseline model description

The GEOS-Chem model (version v9-01-01; http://www.geos-chem.org/) incorporates a global three-dimensional simulation of coupled oxidant-aerosol chemistry, run at a resolution of 2° x 2.5° latitude and longitude, and 47 vertical levels in this study. The model is driven by assimilated MERRA meteorology for 1982-2008 from the Goddard Earth Observing System of the NASA Global Modeling and Assimilation Office (GMAO), which includes assimilated meteorological fields at 1-hourly and 3-hourly temporal resolution. The aerosol types simulated include mineral dust (Fairlie et al., 2007; Zender et al., 2003), sea salt (Alexander et al., 2005), sulfate-nitrate-ammonium aerosols (Park et al., 2004), and carbonaceous aerosols (Henze et al., 2008; Liao et al., 2007; Park et al., 2003). Aerosol optical depth (AOD) is calculated online assuming lognormal size distributions of externally mixed aerosols and is a function of the local relative humidity to account for hygroscopic growth (Martin et al., 2003). Aerosol optical properties employed here are based on the Global Aerosol Data Set (GADS) (Kopke et al., 1997) with modifications to the size distribution based on field observations (Drury et al., 2010; Jaegle et al., 2010; Ridley et al., 2012) and to the refractive index of dust (Sinyuk et al., 2003).

For all long-term simulations in this study the standard model is modified to include dust aerosol only. Emission, dry deposition and wet scavenging of dust are all simulated as in the standard model (Fairlie et al., 2007). Dust emission in GEOS-Chem is based upon the DEAD dust scheme (Zender et al., 2003), making use of the GOCART source function (Ginoux et al., 2001) as proposed by Fairlie et al. (2007), based on evaluation of dust concentrations over the US. Mineral dust mass is transported in four size bins (0.1 - 1.0, 1.0 - 1.8, 1.8 - 3.0 and 3.0 - 6.0 μm), the smallest of which is partitioned into four bins (0.10 - 0.18, 0.18 - 0.30, 0.30 - 0.65 and 0.65 - 1.00 μm) when deriving optical properties, owing to the strong size-dependence of extinction for sub-micron aerosol (Ridley et al., 2012).

The GEOS-Chem model has been coupled with RRTMG, a rapid radiative transfer model (Mlawer et al., 1997), to quantify the DRE of aerosol species online (Heald et al., 2013). In this version of the model we calculate the shortwave (SW) and longwave (LW) radiative effects for dust based on fluxes at 30 wavelengths under both clear-sky and all-sky conditions.

2.2 Dust emission scheme updates

Two significant changes have been made to the dust scheme relative to the standard GEOS-Chem implementation described thus far. First, we account for sub-grid variability in surface winds and, second, we alter the dust source function to depend on geomorphology and include dynamic vegetation cover. We represent the sub-grid winds as a Weibull probability density function based on statistics derived from the native resolution of the assimilated meteorology wind fields (0.5° x 0.67°). We previously showed that accounting for the sub-grid wind distribution reduces

the resolution-dependence of dust emissions in the model by better representing situations when the mean wind speed is approaching the threshold for dust activation (Ridley et al., 2013). To investigate the role of vegetation changes in modulating dust emissions we must simulate dust emission from semi-arid regions. Compared to more recent dust source region studies (Ginoux et al., 2012; Koven and Fung, 2008; Schepanski et al., 2007) the original GOCART dust source derived from TOMS aerosol index is likely to underestimate emissions from regions that are not permanent deserts. Satellite-derived dust source data sets often disagree as a result of cloud cover and temporal sampling, particularly in regions where emissions show distinct diurnal patterns, such as West Africa (Schepanski et al., 2012). For this reason we implement the geomorphic dust source map of Koven et al. (2008), derived from surface roughness and levelness properties, and let vegetation cover attenuate the source strength. We follow (Kim et al., 2013) in deriving the bareness fraction from AVHRR Normalized Difference Vegetation Index (NDVI) for each year (values <0.15 are considered bare and a potential dust source) to modulate dust emissions from 1982-2008. The updated dust scheme performs at least as well as the original dust scheme when assessed relative to MODIS and AERONET observations over Africa and the mid-Atlantic (see supplementary material). The relatively small change in agreement even with substantial changes in source regions suggests that the wind fields dominate the agreement with observations, both in terms of the surface wind strength leading to emissions and the large-scale transport across the continent. Achieving a higher fidelity dust simulation therefore appears to rely more on an improvement of the wind fields than the characterization of the surface properties.

2.3 Regional direct radiative effect (DRE)

Using the updated GEOS-Chem model coupled with RRTMG we quantify the change in radiative flux at the surface resulting from the changes in dust aerosol over the 27 year period (for all-sky conditions). Figure 1 shows the average seasonal DRE of dust over Africa and the Atlantic for the whole 27 year period, both at surface and TOA. We define the radiative effect as an increase in down-welling flux and therefore a negative value constitutes a cooling of the Earth. Globally, the all-sky radiative effect from African dust is -0.18 Wm^{-2} at TOA, accounting for 46% of the total global dust DRE. Across the mid-Atlantic (5 - 20°N, 10 - 50°W) the mean annual radiative effect of dust is -3.2 ± 0.7 Wm^{-2} at TOA and -7.4 ± 1.5 Wm^{-2} at the surface, including LW contributions of +0.4 ± 0.1 Wm^{-2} and +3.1 ± 0.7 Wm^{-2}, respectively. This region covers less than 5% of the Earth's surface but accounts for almost 20% of the global dust radiative effect at TOA and at the surface. The difference between the TOA and surface radiative effects indicates the heating of the atmosphere owing to dust. When the dust outflow is over the Sahara (primarily during summer) the airborne dust can be darker than the surface beneath, decreasing the amount of outgoing radiation and producing a warming effect at TOA.

While direct comparison with previous estimates is difficult owing to different time periods, conditions and model assumptions, we find the spatial distribution and magnitude of the DRE is broadly consistent with previous modeling studies (Evan and Mukhopadhyay, 2010; Miller et al., 2004; Yoshioka et al., 2007) and observations (Haywood et al., 2003; Highwood, 2003; Hsu et al., 2000). Aerosol size, shape refractive index, altitude, and surface albedo all contribute to the uncertainty in the radiative effect and lead to considerable diversity between models and observations. Several studies have attempted to quantify the key factors leading to uncertainty

176 in radiative effect and radiative forcing (e.g. (Balkanski et al., 2007; Evan et al., 2009; Miller et al.,
177 2004; Myhre et al., 2013; Stier et al., 2013) but this is certainly an area requiring further research
178 to better constrain model estimates.
179
180 **3. Observations**
181 The primary long-term observations used in this study is a dust AOD (DAOD) dataset over the
182 mid-Atlantic for the period 1982 – 2008, derived from satellite observations by (Evan and
183 Mukhopadhyay, 2010). Satellite retrievals of AOD from the AVHRR PATMOS-x dataset, an
184 extended and recalibrated retrieval using the moderate resolution imaging spectro-radiometer
185 (MODIS) observations (Zhao et al., 2008), are converted to DAOD using MODIS AOD and fine
186 mode fraction products and with NCEP-NCAR reanalysis surface winds, following Kaufman et al.
187 (2005). The 1° x 1° DAOD product is only available over the ocean, between 0 - 30°N and 65 -
188 10°W, therefore we select three 7.5° by 10° regions representing outflow towards North America,
189 the Caribbean, and South America in which to compare model and observations (see Fig. 2). We
190 also consider a 10° by 17.5° region off the coast of Africa to assess outflow close to source; initially
191 this region was divided into two, north and south of Cape Verde; however, the results are largely
192 the same when considering the region as a whole.
193 Trade-wind aerosol has been measured almost continually at the Ragged Point site on the east
194 coast of Barbados since 1965 (Prospero and Lamb, 2003). During conditions when the on shore
195 wind exceeds 1 ms^{-1} (~95% of the time, (Savoie et al., 1989) air is drawn through a filter upon a
196 20m tower, the soluble material is removed and the remaining mineral residue (representing the

dust aerosol) weighed after ashing. We use monthly average dust concentrations for the period 1982 – 2008 to evaluate long range dust transport from Africa.

Rather than the standard Boreal seasonal classification (DJF and JJA) we follow the work of (Ben-Ami et al., 2012) who show that African dust seasonality can be broken down into three seasons: December to March, April to mid-October, and October through November. Throughout this study we compare the modeled and observed DAOD and surface concentrations either annually or for two seasons, referred to as winter (DJFM) and summer (AMJJAS). The October-November period is characterized by low dust emission and is not shown separately, but included in the annual averages.

4. Dust Transport and Trends

4.1 Trends and variability in dustiness and the radiative effect

To assess how the dust loading over the mid-Atlantic has changed since the 1980s and whether the model captures the observed variability we consider the DAOD derived from satellite data in regions close to source and further downwind. Figure 3 shows the seasonally-averaged DAOD for the model and derived from satellite observations in each of the outflow regions indicated in Fig. 2 from 1982 to 2008. The DAOD is displayed as an anomaly from the climatological average for the winter and summer seasons.

Close to source, the model captures 50 - 80% of the variance in the observations in the winter months (monthly correlations between 0.69 and 0.90). The seasonal correlation with the observations is fair during summer ($r = 0.64$) with the model struggling to represent the variability

and magnitude of emissions between June and August; likely a consequence of underestimated AOD at the Bodélé Depression and across the Sahel during summer (see Supplementary Fig. S2). Downwind, correlations between model and observations range between 0.46 and 0.65 (excluding the North America region during winter when very little dust is present). The poorer agreement downwind indicates that model transport and removal contribute to the discrepancy with observations. The variability in the model DAOD is generally less than observed, owing to lower model DAOD; however, the normalized variability (normalizing using the climatological mean DAOD) is comparable between model and observations. Previous studies have shown that the model removes dust aerosol too rapidly relative to the observations via both wet and dry deposition (Generoso et al., 2008; Ridley et al., 2012) and this is likely to be contributing to the reduced variability in DAOD at these downwind regions. Overall we see best agreement for seasons with the highest dust loading, i.e. winter at the South America region and summer in the Caribbean and North Atlantic regions.

Significant decreasing trends in observed DAOD (>95% confidence) are apparent for all seasons and locations, except winter in the North America and Caribbean regions when northerly transport of dust is limited and highly variable, respectively. There are striking similarities between the trends in observed and modeled DAOD, with the model showing significant trends at the same locations and during the same seasons. Annually, observed and modeled DAOD decreases between -0.035 (12%) and -0.032 (17%) per decade close to source and -0.021 (12%) to -0.016 (28%) per decade downwind, respectively. Previous studies show similar decreases of total AOD per decade in the mid-Atlantic based on the AVHRR PATMOS-x dataset (Mishchenko and Geogdzhayev, 2007; Zhao et al., 2008) and SeaWIFs observations from 1997 – 2010 (Hsu et

al., 2012). This suggests that the trends in total AOD over the mid-Atlantic are driven almost entirely by the changes in dust aerosol.

There is significant interannual variability in dust concentration during the spring months (March – May), a period responsible for transport of dust to South America based on both satellite and in-situ measurements (Kaufman et al., 2005a; Prospero et al., Submitted, 1981). Isolating the spring season we find significant trends in DAOD of -0.02 per decade for both observations and model in the South America region (r=0.70) indicating that the decreasing dust trends are present and captured by the model in this season as well as the broader seasons considered in this study. Figure 4 shows the anomaly in monthly dust concentration measured at Barbados alongside the modeled surface concentration anomaly. We find that seasonal correlation between the dust surface concentration measured at Barbados and simulated concentrations show similar agreement as the DAOD comparisons, with r = 0.69 and r = 0.44 during winter and summer, respectively. Surface concentration of dust measured at Barbados has been decreasing during the summer (-3.5 ± 1.3 μgm^{-3} per decade); this trend is reproduced by the model (-5.2 ± 1.1 μgm^{-3} per decade). No trend is present in either observations or model during the winter, consistent with the Caribbean regional DAOD (Fig. 3).

The consistency and geographical extent of the downward trends in both the modeled and observed dust suggest that the model simulates the process driving these trends in dust throughout this multi-decadal period.

The inset charts within Fig. 1 show the modeled time series of seasonal DRE for the region 0°N - 30°N, 50°W - 15°E, encompassing the mid-Atlantic and West Africa. We note considerable variability in the radiative effect from year to year, primarily in winter when emissions are more

sporadic in the model, confirmed by observations (Ben-Ami et al., 2012). The regional surface cooling varies by up to 8 Wm^{-2} in winter and 6 Wm^{-2} in summer, and a more modest 4 Wm^{-2} and 1.5 Wm^{-2} at TOA in winter and summer, respectively. Annually, warming trends of +1.27 Wm^{-2} and +0.37 Wm^{-2} per decade are observed at the surface and TOA, respectively, over the region including both the ocean and land from 1982 to 2008. The seasonal trends are similar in magnitude, with significant trends in both winter and summer (95% confidence). Similar trends in DRE exist over both ocean and land at the surface (+1.23 Wm^{-2} and +1.32 Wm^{-2} per decade, respectively) but at TOA there are marked differences between the trends over ocean and land (+0.60 Wm^{-2} and +0.00 Wm^{-2} per decade) as a result of surface albedo and the high concentration of large dust particles (increasing the LW warming effect). The regional trend constitutes an increase in DRE over the past three decades that is comparable in magnitude to the regional increase in CO_2 forcing since 1750 (Stocker et al., n.d.). This illustrates the strong radiative perturbation potential of dust: climatic changes that are affecting the emission of African dust are likely to have significant impacts upon the radiative balance over the Atlantic that are not accounted for in the traditional radiative forcing metric.

4.2 Attribution of variability and trends in dustiness

To attribute the driving forces behind the interannual variability in African dust near source and downwind, four 27 year simulations are performed with interannual variability removed from surface winds, transport, precipitation, or vegetation. This method also removes any inter-annual trend in that variable. The interannual variability of 10-m surface winds are removed by using the 1988 10-m winds for every year, 1988 being an 'average' year in terms of dust emissions (N.B.

this only affects the 10-m winds used for calculation of dust emission flux, not for other processes in the model). This process is repeated by holding vegetation constant at 1988 values or by fixing precipitation and 3-D winds (other than 10-m surface winds) to 1988 values for the 27 year period. Here we are investigating only the direct impact of vegetation cover reducing available surface for dust emission and do not take into account secondary effects such as stilling of winds from surface roughness changes, discussed further below.

The variability caused by each of the three factors is inferred by assessing the reduction in the variance of the DAOD in each region relative to the original model. This method does not account for confounding factors resulting from the variables being dependent (i.e. the large scale winds and 10-m wind strength will be well correlated) but results are found to be robust (within ± 5%) when removing the interannual variability using data from 2004 instead of 1988. Figure 5 shows the apportionment of variability in DAOD to the three factors tested for summer and winter. In the coastal Africa and South America regions the 10-m surface wind accounts for at least two thirds of the variability in both seasons. In North America and the Caribbean surface winds account for one third to half of the variability in the DAOD, interannual variability in large-scale transport being more important during the winter. Precipitation accounts for only a small fraction of the variability in DAOD in all regions during the winter, but becomes more important downwind during the summer. Within the model, precipitation primarily affects the variability in dust loading over the Atlantic via wet scavenging rather than by increasing soil wetness and suppressing emission. Removing the interannual variability of vegetation has a negligible impact on the variability in DAOD suggesting that the changes in dust source region resulting from vegetation cover changes are unimportant for the observed variability in dust since the 1980s.

The same four simulations described above are used to assess the cause of trends in DAOD. Figure 6 shows the resulting annual DAOD anomaly in each region for each simulation. In the Coastal Africa region it is clear that removing interannual variability in 10-m winds almost entirely removes the trend in DAOD. Further downwind the interannual variability in other meteorology contributes between 30 – 50% of the trend; however, the surface wind at source remains the dominant driver. This indicates that, in the model, the trend in dustiness results from a stilling of surface winds over source regions and combines with changes in transport and/or an increase in removal downwind, more so in the more northerly outflow regions. We find that the direct effect of vegetation changes on dust emission in the model has a negligible impact on the trend in dustiness over the Atlantic. In all locations except North America the trend with no interannual variability in 10-m surface winds is significantly different to the baseline run (>95% confidence), whereas the trend with no interannual variability in vegetation is indistinguishable from the baseline. Although surface winds have been inferred as the likely cause of the observed reduction in Atlantic dust loading (Chin et al., 2013) this is the first time that the link has been quantified.

While we do find a positive correlation between the NAO index and the DAOD in the outflow regions during winter, we find no significant trend in the NAO index between 1982 and 2008, therefore the question remains as to what is driving the stilling of winds over Africa. We have shown that changes in vegetation are unlikely to *directly* influence dust emission via changes in source regions but they may still indirectly affect the emissions via stilling of the winds. If vegetation changes are driving the decrease in winds responsible for the change in dustiness over the Atlantic then any increase in vegetation cover is expected to be coincident with the decrease in winds (Bichet et al., 2012).

Figure 7a shows the change in the bareness fraction (a reduction in bareness fraction indicating a 'greening') derived from AVHRR between 1982-1986 and 2002-2006 for summer and winter. Figure 7b shows the change in surface winds apparent in the MERRA reanalysis and the regions in which dust emissions decrease by more than 0.5 Tg per grid box. For both seasons we see that there is a limited amount of overlap between the location in which vegetation increases and the surface winds (and therefore emissions) decrease. This is not necessarily in disagreement with the conclusions of Cowie et al. (2013); there the focus is on local Sahelian emissions only. Events when dust is transported from elsewhere are excluded and account for between 50% and 90% of all dust events at the Sahel weather stations (personal comm. Sophie Cowie). The reanalysis winds are unlikely to capture the full extent of wind stilling from surface roughness changes and therefore may be missing trends in winds and dust emission in the Sahel. However, the model still captures the decreasing trends in dust over the Atlantic, suggesting that emissions from regions other than the Sahel are controlling the trends in Atlantic DAOD. The lack of spatial correspondence between the greening and the wind stilling indicate that vegetation is not driving the change in model surface winds though perhaps both are the result of a larger-scale climatic change. Indeed, shifts in dustiness over the past 20,000 years also suggest that large changes in dust emission in the past are primarily driven by changes in large-scale winds, rather than vegetation and precipitation changes (McGee et al., 2010).

4.3 Reliability of surface wind trends in reanalyses

To assess the reliability of the MERRA surface winds in this relatively observation-poor region we compare annual MERRA reanalysis wind trends between 1982 and 2008 with those from the

NCEP and ERA-Interim reanalysis products. Figure 8 shows the annual trend in winds for the three reanalyses over the region of interest with trends that are significant at the 95% confidence level indicated. The broad trends across the Atlantic are consistent between the reanalyses, primarily a significant stilling between 10 – 20°N and a strengthening of the wind in the Gulf of Guinea and south of the equator. Across North Africa, all three reanalyses show significant stilling in regions associated with dust production. The trends in MERRA are generally stronger than observed in the two other reanalysis products; however, it has been shown that NCEP and ERA-Interim reanalysis wind trends are weaker than trends in surface observations (Cowie et al., 2013; Vautard et al., 2010) and therefore the stronger trend in MERRA is expected to agree better with the surface observations. There is some disagreement in the latitude and strength of the stilling over the dust source regions but the consistency in the significant stilling trends between reanalysis products bolsters confidence in the MERRA surface wind trends.

5. Potential mechanism to explain the trends in African dust

Thus far, we have shown that the trends in North African dust are driven by stilling of the surface wind, more likely from large-scale changes in circulation than the result of land-use and vegetation changes. Here we consider a potential mechanism that may explain the recent trend. Booth et al. (2012) have shown that changes in aerosol indirect effects over the North Atlantic, primarily driven by anthropogenic aerosol, may play a key role in modulating the North Atlantic sea surface temperature (SST) and that aerosol changes may be the source of the Atlantic Multidecadal Oscillation (AMO) that is often shown to correlate with African dust concentrations

(Chin et al., 2013; Shao et al., 2013; Wang et al., 2012). Several studies have shown a southward displacement of the inter-tropical convergence zone (ITCZ) in response to a decrease in the North Atlantic SST (Broccoli et al., 2006; Rotstayn and Lohmann, 2002; Williams et al., 2001). While these studies generally consider the implications in terms of the change in precipitation and drought in the Sahel, the location of the ITCZ is associated with changes in the wind strength and direction over North Africa as well (Doherty et al., 2012; Fontaine et al., 2011). A warming of the North Atlantic is expected to produce a northward shift (or broadening) in the ITCZ, bringing more precipitation to the Sahel and also associated with decreasing wind strength, and therefore both a vegetative greening and a reduction in dust emissions, as observed. This presents a potentially important connection between anthropogenic aerosol loading in the Northern mid-latitudes and changes in African dust emissions.

While it is beyond the scope of this paper to investigate the cause of large-scale wind changes (GEOS-Chem is not a coupled climate model), we present a brief analysis of surface winds from the CMIP5 models and reanalysis products. Using monthly mean surface wind output from fifteen CMIP5 'historical' simulations we derive surface wind trends for 1982 – 2008 over Africa and the Mid-Atlantic and compare these with the average trend from the three meteorological reanalysis. The surface wind trends in the CMIP5 models over Africa and the Atlantic do not match the reanalysis products, with spatial correlations varying between -0.3 and 0.3. However, each of the models considered contain atmospheric modules of differing complexity and may capture different processes. To investigate the potential link between the aerosol indirect effect (AIE) and surface wind trends we group the models based upon whether or not they include aerosol feedback upon cloud properties, i.e. the aerosol indirect effect, required to model

observed SST changes in (Booth et al., 2012). Eight are found to include a parameterization for the feedback and seven do not. Using bootstrapping, we randomly sample three models 500 times, obtain the trend in surface winds from the ensemble, and calculate the spatial correlation with the reanalysis products over the North Africa / Atlantic region. Figure 9 shows the distribution of correlation coefficients for all samples and for subsets with only those models containing the AIE and those without AIE. We find that when the sample contains only those models known to represent the aerosol indirect effect the correlation with reanalysis surface wind trends is better than 91% of the other random samples, with a mean correlation of 0.16 (-0.05 to 0.29). Furthermore, when the sample contains only those models known to not contain the aerosol indirect effect the correlation is worse than 90% of the random samples, correlation of -0.08 (-0.22 to 0.13). While these correlations are extremely weak, the results with and without aerosol indirect effect are significantly different to one another (greater than 99% confidence) and to the mean of all the randomized samples (0.05), indicating there may be a link between simulating the indirect effect of aerosols and better representation of trends in surface winds, as represented by the assimilated meteorology.

6. Summary and Conclusions

This research and previous studies have found that satellite observations across the Atlantic show a significant downward trend in DAOD since the 1980s, persisting through both summer and winter seasons. We also observe decreasing trends in surface dust concentrations at Barbados during summer. The GEOS-Chem model captures the broad trends in dustiness over the Atlantic and we estimate that they lead to an annually averaged warming of +1.23 Wm^{-2} per

417 decade over the surface of the mid-Atlantic since 1982. We find that the trends are driven
418 primarily by a reduction in surface winds in regions that are unlikely to be associated with
419 vegetation cover changes. This suggests that the change in African dust emissions since the 1980s
420 cannot be directly attributed to vegetation changes (including anthropogenic land use changes
421 in the Sahel region); therefore, a vegetation feedback on dust emission via surface roughness
422 may be valid on a local scale but appears less important for dust sources responsible for the
423 trends in dustiness over the Atlantic.
424 Along with isolating the cause of the trends, the drivers of interannual variability in dustiness
425 over the Atlantic have been investigated. We find that the interannual variability is primarily
426 controlled by changes in surface winds over Africa, accounting for 60 - 80% of the interannual
427 variability in dust AOD off the coast of Africa. Further downwind, transport and (to a lesser
428 extent) precipitation contribute 30 - 60% and 0 - 15% to the variability in dust AOD, respectively,
429 depending upon season. Using the model we find that the variability in dust leads to substantial
430 interannual changes in surface insolation of over 6.0 Wm^{-2}, averaged over the mid-Atlantic. These
431 are likely to have a significant impact on heating of the ocean mixed layer therefore and tropical
432 storm genesis (Evan et al., 2009), highlighting the importance of well-characterized variability in
433 dust emissions in climate models.
434 Finally, we propose a potential connection between anthropogenic aerosol loading over the
435 North Atlantic and the trends in dustiness in the mid-Atlantic. The link between the aerosol direct
436 and indirect effects over the North Atlantic and changes in the SST and ITCZ are well established.
437 We take this one step further and suggest that the wind stilling over Africa, reducing dustiness
438 over the Atlantic in recent decades, may be a further consequence of these interactions. The

CMIP5 models do not capture the wind trend over Africa, preventing conclusive evidence of this mechanism. However, the CMIP5 models that include aerosol indirect effects show significantly better agreement with surface wind trends in reanalysis meteorology than those without indirect aerosol effects, offering evidence that the aerosol indirect effect may be critical to the prediction of surface winds trends over Africa. This is a potentially important anthropogenic aerosol driver upon 'natural' dust aerosol via climate, capable of amplifying the climate sensitivity to anthropogenic aerosol in the Atlantic, which is not captured by the aerosol radiative forcing metric.

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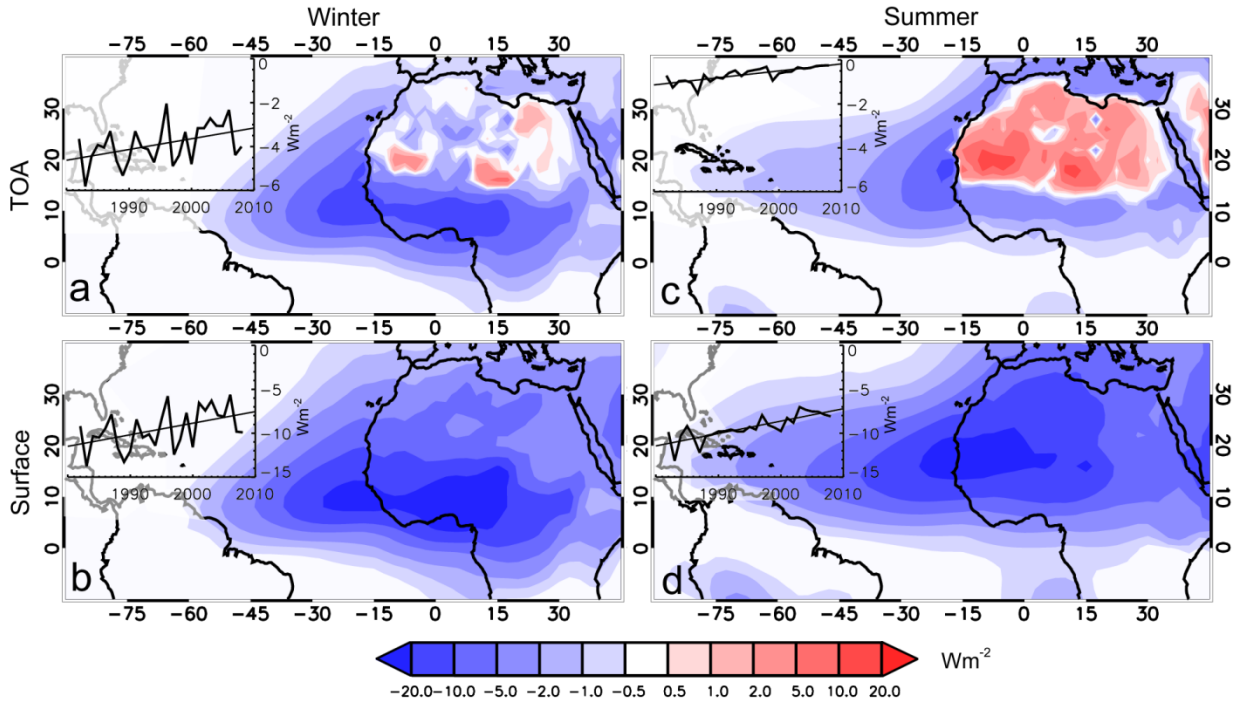
689

690 **Figure captions**

691



692

693 Figure 1 – Spatial maps of the average direct radiative effect of dust (DRE) at the surface and TOA
694 are shown for both winter (left) and summer (right) seasons. The average is based on model
695 output over the period 1982 – 2008. The inset shows the seasonal average DRE over the region
696 0°N - 30°N, 50°W - 15°E. The thin black line indicates the trend over the simulation period.

697

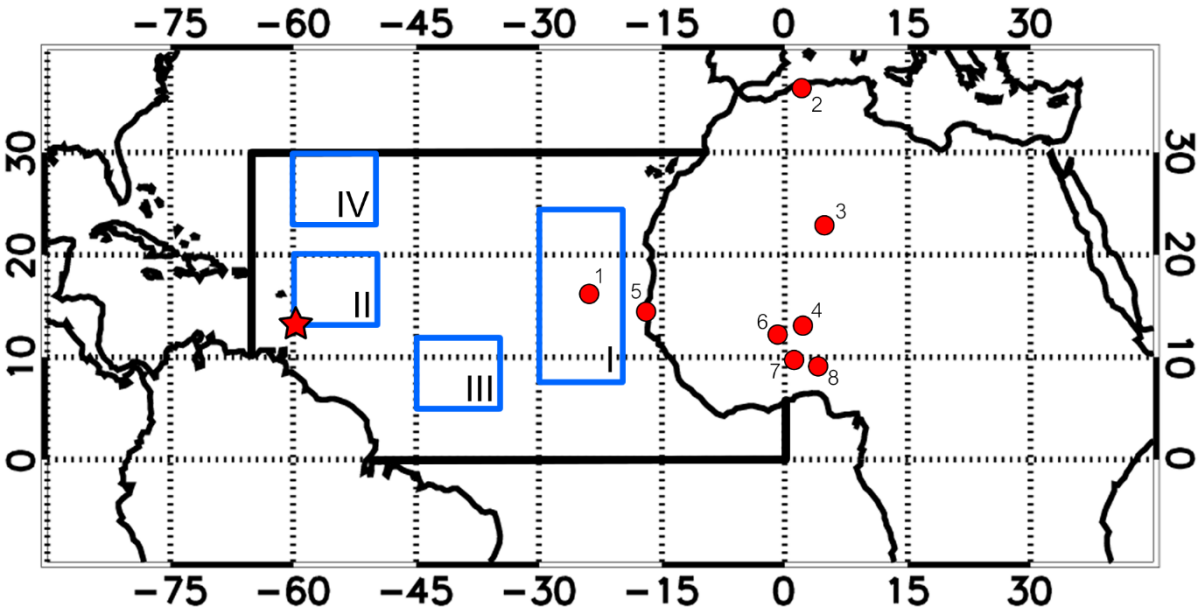
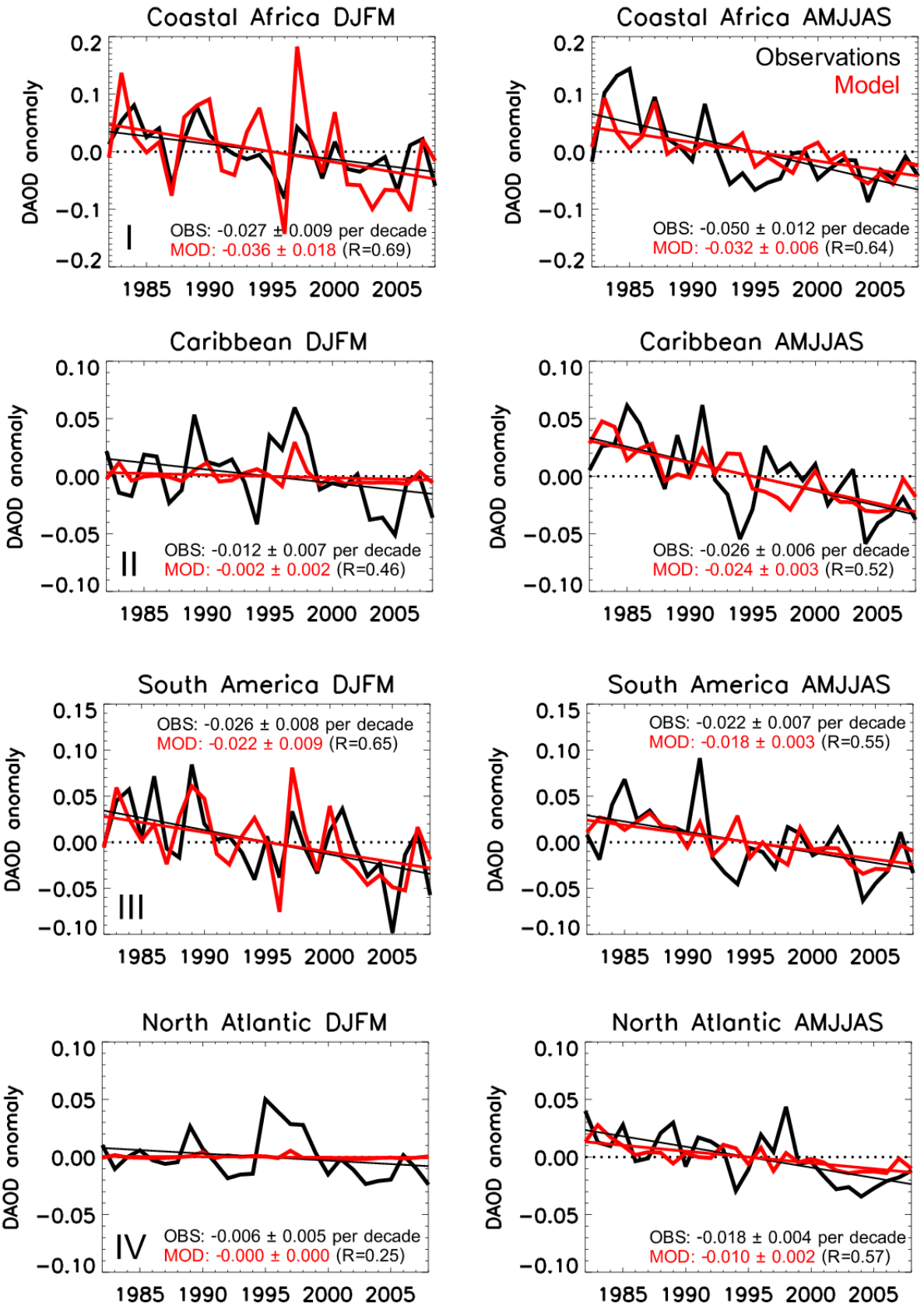


Figure 2 – The areas of interest for this study. The large black rectangle encloses the region covered by the satellite-derived monthly dust AOD dataset and the blue rectangles (labelled with roman numerals) are the regions within which model and satellite DAOD are compared. These are referred to as (I) Coastal Africa, (II) Caribbean, (III) South America and (IV) North Atlantic. Numbered circles indicate the location of AERONET sites used and the star shows the location of the surface concentration measurements in Barbados.


Coastal Africa DJFM
DAOD anomaly
0.2
0.1
0.0
−0.1
−0.2
I
OBS: -0.027 ± 0.009 per decade
MOD: -0.036 ± 0.018 (R=0.69)
1985 1990 1995 2000 2005
Coastal Africa AMJJAS
Observations
Model
OBS: -0.050 ± 0.012 per decade
MOD: -0.032 ± 0.006 (R=0.64)
Caribbean DJFM
0.10
0.05
0.00
−0.05
−0.10
II
OBS: -0.012 ± 0.007 per decade
MOD: -0.002 ± 0.002 (R=0.46)
Caribbean AMJJAS
OBS: -0.026 ± 0.006 per decade
MOD: -0.024 ± 0.003 (R=0.52)
South America DJFM
0.15
III
OBS: -0.026 ± 0.008 per decade
MOD: -0.022 ± 0.009 (R=0.65)
South America AMJJAS
OBS: -0.022 ± 0.007 per decade
MOD: -0.018 ± 0.003 (R=0.55)
North Atlantic DJFM
IV
OBS: -0.006 ± 0.005 per decade
MOD: -0.000 ± 0.000 (R=0.25)
North Atlantic AMJJAS
OBS: -0.018 ± 0.004 per decade
MOD: -0.010 ± 0.002 (R=0.57)

707

Figure 3 – Seasonal DAOD anomalies derived from AVHRR PATMOS-x (black) and from the model (red) are displayed for four regions (roman numerals relate to the locations shown in Fig. 2). Trend lines are plotted as solid lines and the trend and one standard deviation uncertainty shown in each panel for the observations (OBS) and the model (MOD). The correlation (R) between model and observations is shown in brackets.

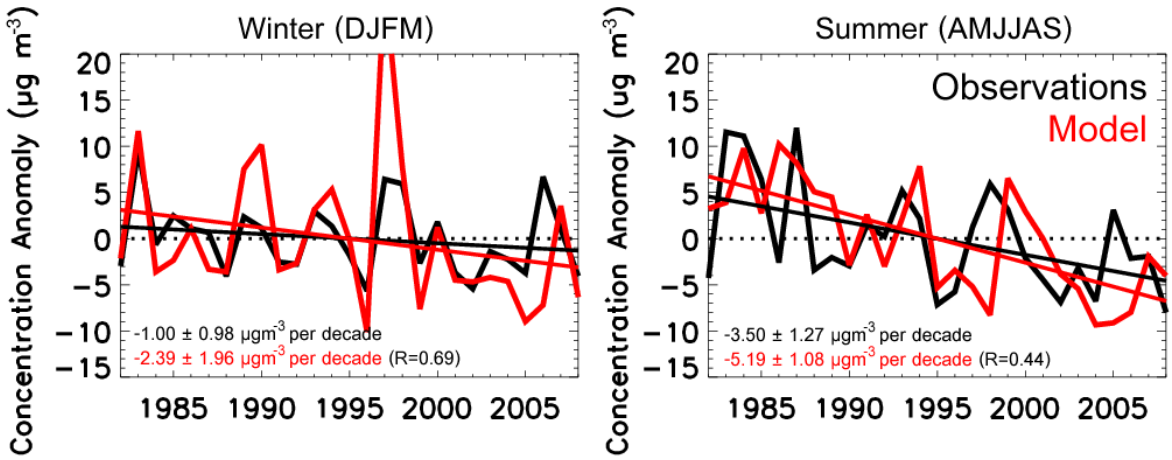
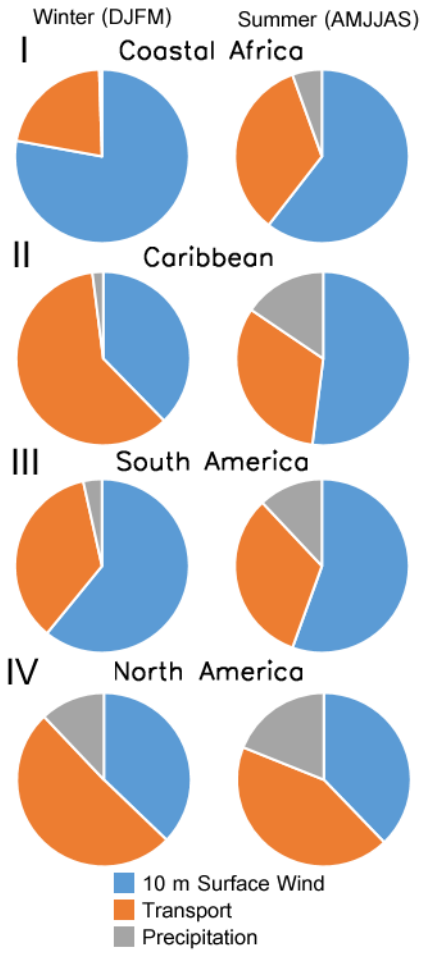


Figure 4 – Seasonally-averaged surface concentrations anomalies from Barbados observations (black) and from the model (red) are shown for winter and summer. Trend lines are plotted as solid lines and the slope with one standard deviation indicated for each season. The correlation (R) between the observations and model data is shown on each panel.

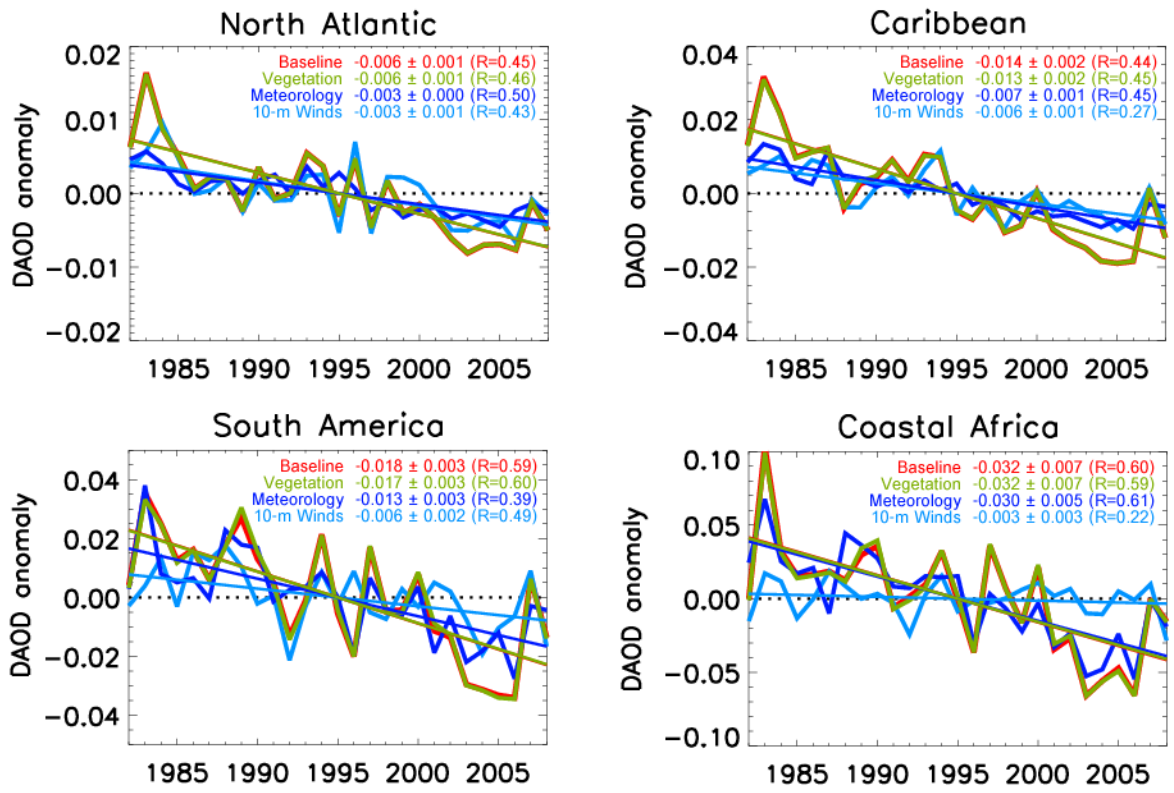


719

720 Figure 5 – Attribution of the interannual variability in dust is shown for the four regions in Fig. 2.

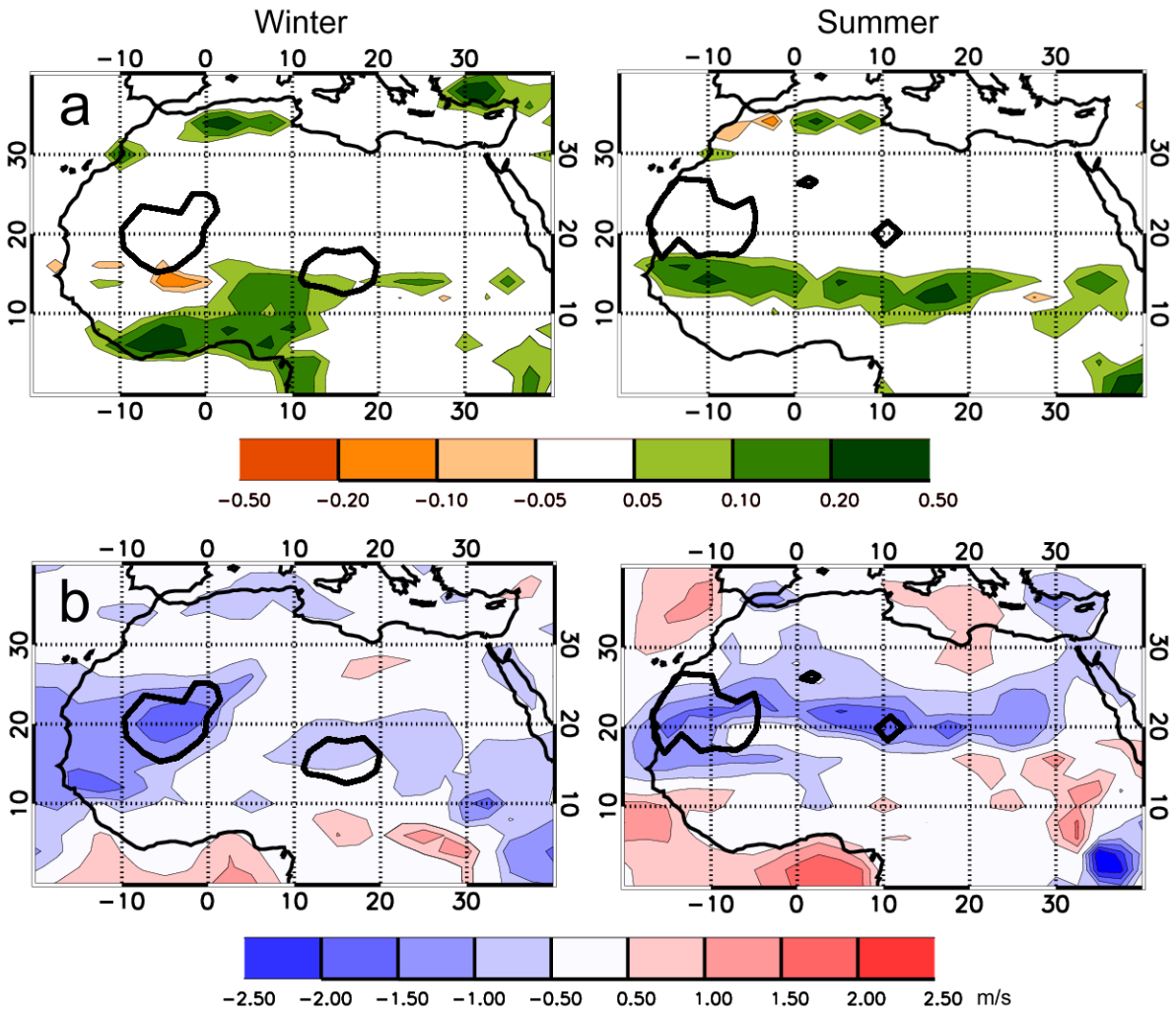
721 The approximate fraction of the variability owing to 10-m surface winds (blue), transport (orange)

722 and precipitation (grey) is displayed for winter (left) and summer (right).



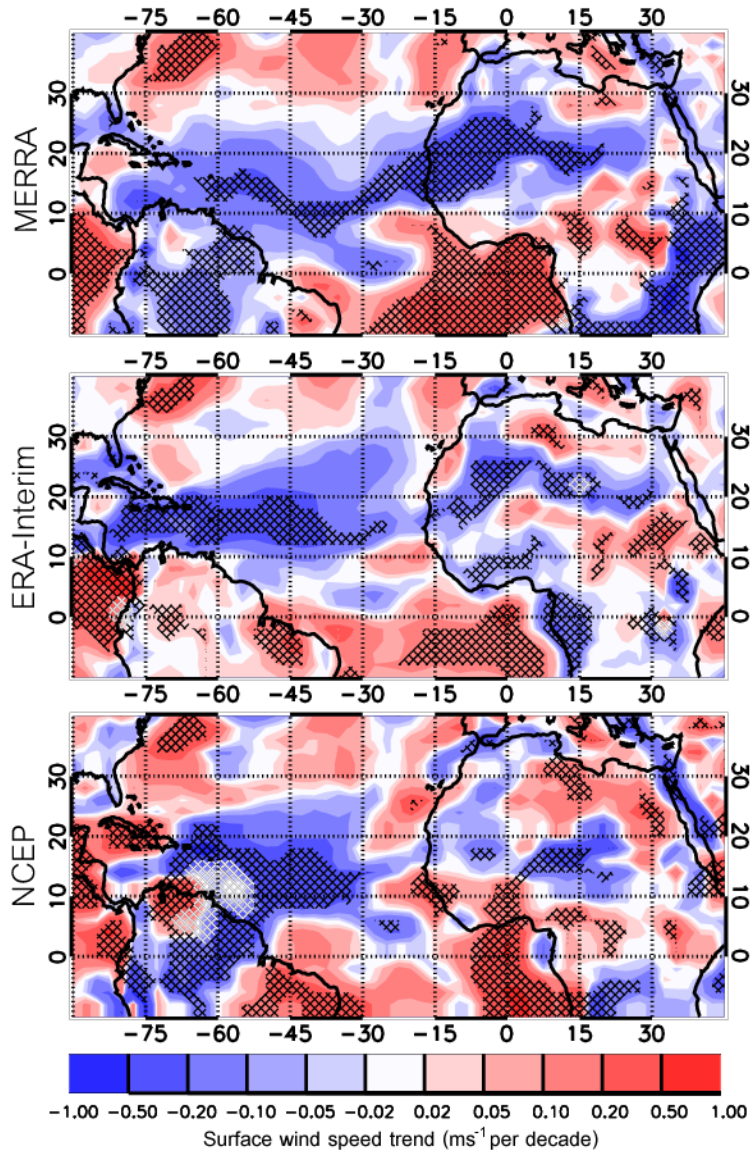
723

724 Figure 6 - Annual dust AOD (DAOD) anomalies are shown for the updated model (red, same as
725 the model in Fig. 2), with interannual variability in 10-m winds removed (light blue), with
726 interannual variability in all meteorology, except surface winds, removed (dark blue), and with
727 interannual variability in vegetation cover removed (green). Thin solid lines show the trends, and
728 the trend and one standard deviation uncertainty shown in each panel for the observations and
729 the model. The correlation between observed dust AOD and each version of the model is shown
730 in brackets. N.B. the red line is almost always obscured by the green line.



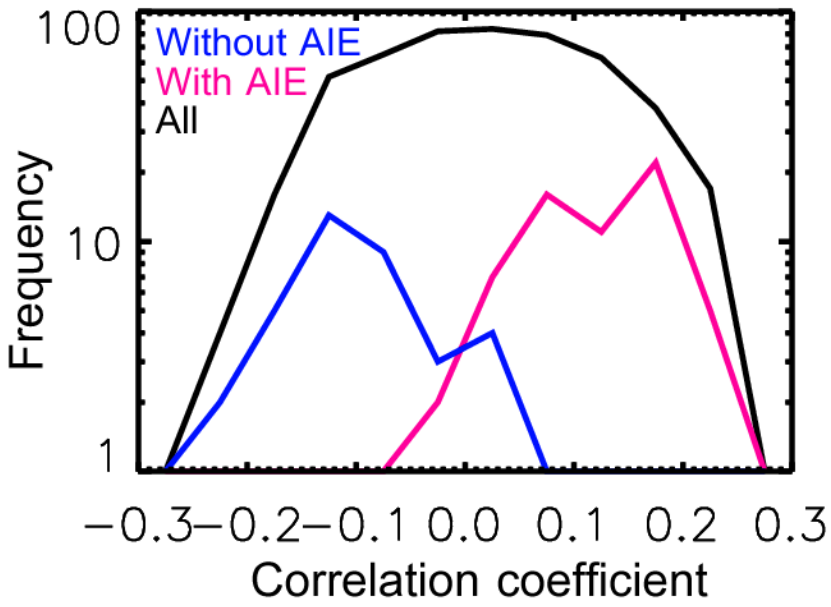
731

732 Figure 7 – The difference between 2002-2006 and 1982-1986 over North Africa is shown for (a)
733 fractional vegetation cover, represented by the decrease in bareness fraction, and (b) MERRA 10-
734 m wind speed. Black contours outline regions where emissions decrease by more than 0.5 Tg per
735 grid box.



736

737 Figure 8 – Spatial maps of the annual trend in surface winds between 1982 and 2008 based upon
738 the averaged winds from MERRA, ERA-Interim and NCEP/NCAR reanalysis. Regions with trends
739 that are significant at the 95% level are indicated with hashing.



740

741 Figure 9 – Histogram of the correlation between the spatial distribution of CMIP5 ensemble
742 surface wind trend and the reanalysis surface wind trend over 1982-2008. The histogram
743 represents 500 correlations, each based on the average surface winds of 3 randomly sampled
744 CMIP5 models (black). The blue histogram shows ensembles that only contain models with no
745 aerosol indirect effect (AIE) representation and the magenta distribution only those that do
746 contain a parameterization for the aerosol indirect effect.