1	Estimating regional greenhouse gas fluxes: An uncertainty analysis of
2	planetary boundary layer techniques and bottom-up inventories
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#### 15 Abstract

Quantification of regional greenhouse gas (GHG) fluxes is essential for establishing mitigation 16 strategies and evaluating their effectiveness. Here, we used multiple top-down approaches and 17 multiple trace gas observations at a tall tower to estimate regional-scale GHG fluxes and evaluate 18 the GHG fluxes derived from bottom-up approaches. We first applied the eddy covariance, 19 equilibrium, inverse modeling (CarbonTracker), and flux aggregation methods using three years 20 of carbon dioxide (CO<sub>2</sub>) measurements on a 244-meter tall tower in the Upper Midwest, USA. 21 We then applied the equilibrium method for estimating CH<sub>4</sub> and N<sub>2</sub>O fluxes with one-month 22 high-frequency CH<sub>4</sub> and N<sub>2</sub>O gradient measurements on the tall tower and one-year 23 concentration measurements on a nearby tall tower, and evaluated the uncertainties of this 24 application. The results indicate that: 1) The flux aggregation, eddy covariance, the equilibrium 25 method, and the CarbonTracker product all gave similar seasonal patterns of the regional CO<sub>2</sub> 26 flux ( $10^5$ - $10^6$  km<sup>2</sup>), but that the equilibrium method underestimated the July CO<sub>2</sub> flux by 52-69%. 27 2) The annual budget varied among these methods from -54 to -131 g C-CO<sub>2</sub> m<sup>-2</sup> yr<sup>-1</sup>, indicating 28 a large uncertainty in the annual CO<sub>2</sub> flux estimation. 3) The regional CH<sub>4</sub> and N<sub>2</sub>O emissions 29 according to a top-down method were at least six and two times higher than the emissions from a 30 31 bottom-up inventory (Emission Database for Global Atmospheric Research), respectively. 4) The global warming potentials of the CH<sub>4</sub> and N<sub>2</sub>O emissions were equal in magnitude to the cooling 32 benefit of the regional CO<sub>2</sub> uptake. The regional GHG budget, including both biological and 33 anthropogenic origins, is estimated at  $7 \pm 160$  g CO<sub>2</sub> eq m<sup>-2</sup> yr<sup>-1</sup>. 34

Keywords: eddy covariance; equilibrium method; greenhouse gas; inverse method; land surface
flux; tall tower

#### 37 **1. Introduction**

Although quantifying GHG fluxes at the regional scale  $(10^2 - 10^6 \text{ km}^2)$  is essential for 38 coordinating GHG mitigation strategies, observations and flux information at these relevant 39 scales are still extremely limited (e.g., Chen et al., 2008; Nisbet and Weiss, 2010). To fill this 40 scale gap, some researchers build ecosystem models and aggregate the modeled flux according to 41 land information (e.g., Desai et al., 2008; Tang et al., 2012; Xiao et al., 2008), while others use 42 GHG concentration observations in combination with atmospheric transport models to derive the 43 land surface flux (Lauvaux et al., 2012; Peters et al., 2007). The aggregation method is a bottom-44 45 up approach. Another bottom-up method is the IPCC national GHG inventory system (IPCC, 2006) based on emission factors and data concerning anthropogenic activities. The bottom-up 46 applications are relatively easy to implement; however, they require independent verification, 47 because uncertainties in land cover, anthropogenic activity, vegetation flux, and emission factors 48 can lead to large biases (Chen et al., 2008; Levy et al., 1999). Hence, there is a strong motivation 49 for using top-down methods to provide an independent constraint on the regional fluxes. 50 There are several top-down methods for estimating regional GHG fluxes, including tall-tower 51 eddy covariance (Davis et al., 2003), the equilibrium boundary layer approach (Bakwin et al., 52 2004; Betts et al., 2004; Desai et al., 2010; Helliker et al., 2004), and inverse modeling (Peters et 53 al., 2007). Each method uses different assumptions, has inherent advantages and disadvantages, 54 and is sensitive to different parameters. Eddy covariance (EC) provides a direct measurement of 55 the flux using measurement of the wind fluctuations and the scalar of interest. EC has been used 56 for CO<sub>2</sub> flux measurement on tall towers (Davis et al., 2003; Haszpra et al., 2005), while few 57 tall-tower flux observations of CH<sub>4</sub> and N<sub>2</sub>O have been carried out due to instrument limitations 58 (Desai et al., 2012) and the relatively large uncertainty for these measurements (20-300% for 59

CH<sub>4</sub>, 30–1800% for N<sub>2</sub>O) (Kroon et al., 2010). Based on the mass balance in the atmospheric 60 boundary layer, the equilibrium method assumes that the exchange at the top of the boundary 61 layer and the exchange at the land surface are in equilibrium over periods longer than about 1 62 month (Betts, 2000). The largest source of uncertainty of this method lies in determining the 63 background concentration above the boundary layer and the entrainment rate at the top of the 64 boundary layer. Inverse modeling determines the land surface flux using atmospheric transport 65 models that are constrained by observed trace gas concentrations. The prior land surface flux, 66 land surface observations, the meteorological inputs, and atmospheric transportation schemes are 67 68 all important for determining the accuracy of the modeled flux (Peters et al., 2007). As a result, the deficiency in any of these four factors can limit the accuracy of the model. 69 In this study, we used several top-down approaches to evaluate the bottom-up fluxes of  $CO_2$ , 70 CH<sub>4</sub>, and N<sub>2</sub>O for a region dominated by agriculture. The inter-comparison of multiple 71 techniques was used to identify systematic biases of each method and constrain the overall 72 uncertainties. We first used CO<sub>2</sub> to evaluate the equilibrium boundary layer method against tall-73 tower eddy covariance, flux aggregation, and the flux produced by an inverse model. We then 74 applied the equilibrium method to estimate the  $CH_4$  and  $N_2O$  fluxes. The final task was to 75 compare the  $CH_4$  and  $N_2O$  fluxes with two bottom-up emission inventories: 1) EDGAR42 76 (European Commission, Joint Research Centre [JRC]/Netherlands Environmental Assessment 77 Agency [PBL], Emission Database for Global Atmospheric Research [EDGAR], release version 78 4.2, http://edgar.jrc.ec.europa.eu, 2011), an inventory dataset used widely in atmospheric models 79 80 (Jeong et al., 2012); and 2) a national GHG inventory developed by the U.S. Environmental 81 Protection Agency (U.S. EPA, 2014).

82

#### 83 **2. Data and Methods**

#### 84 2.1 Research site

The boundary layer observations were made on a 244 m communication tower (KCMP tower) 85 located at the Rosemount Research and Outreach Center, University of Minnesota, about 25 km 86 south of Minneapolis/Saint Paul (44°41'19"N, 93°4'22"W). According to the U.S. Department of 87 Agriculture Crop Data Layer data in 2009, the landscape around the tall tower was dominated by 88 cropland, which accounted for 41% of the land cover within the 10 km radius of the tower and 89 37% within the 600 km radius. Corn and sovbean were the dominant crop species, accounting for 90 55% and 38% of the cropland, respectively. About 40% of the land within the 600 km radius was 91 covered by forest, grassland and pasture. The other land use was comprised of developed land, 92 wetland, and open water. The land cover pattern described here for 2009 had a smaller corn to 93 soybean ratio than that reported by Griffis et al. (2010) for 2007. This difference was mainly 94 attributed to more corn plantation in 2007 stimulated by increased ethanol biofuel demand. 95

#### 96 2.2 Mixing ratio data

CO<sub>2</sub> mixing ratios at the 32 m, 56 m, 100 m, and 200 m heights above the ground were measured 97 by a tunable diode laser analyzer (TDL) (model TGA 100A, Campbell Scientific Inc., Logan, 98 UT, USA) (Griffis et al., 2010). Air at these levels was drawn down by a pump (model DOA-99 V502A-FB, Gast Group Inc., Benton Harbor, MI, USA) through four Synflex tubes (6.25 mm ID) 100 at a line pressure of 60 kPa and at a flow rate of 16 L min<sup>-1</sup>. The air was sampled sequentially, 101 each for 30 s. The sampled air was dried prior to analysis using a Nafion drier and brought to a 102 common temperature. The  $CO_2$  measurement was calibrated for every measurement cycle 103 104 against the National Oceanic and Atmospheric Administration-Earth System Research

Laboratory (NOAA-ESRL) standards. The hourly precision of the CO<sub>2</sub> measurement was
approximately 0.03 ppm.

In addition, an intensive campaign was carried out from August 30 to September 25 (DOY 243 -107 269), 2009. During this campaign, we measured CO<sub>2</sub>, H<sub>2</sub>O, CH<sub>4</sub>, and N<sub>2</sub>O mixing ratios at the 108 200 m and 3 m height on the tower. Air was drawn from these heights at a flow rate of 1.3 L min 109 <sup>1</sup> and 0.9 L min<sup>-1</sup>, respectively, through two Synflex tubes (6.25 mm ID). A portion (0.6 L min<sup>-1</sup>) 110 of this flow was delivered to an infrared gas analyzer (IRGA model LI-6262, LI-COR, Lincoln, 111 NE, USA) for CO<sub>2</sub> and H<sub>2</sub>O mixing ratio measurements, and a small amount (180 mL min<sup>-1</sup>) was 112 delivered to another TDL for CH<sub>4</sub> and N<sub>2</sub>O measurements. Measurement precisions for CO<sub>2</sub>, 113 CH<sub>4</sub>, and N<sub>2</sub>O were 0.2 ppm, 1.2 ppb, and 0.5 ppb, respectively. The IRGA was manually 114 calibrated with a standard CO<sub>2</sub> gas ( $391.03 \pm 0.03$  ppm) and a dew point generator (LI-610, LI-115 COR) at the beginning of the experiment. The accuracy of its measurement was improved in 116 post-field analysis by adding offsets so that its 200-m reading matched that registered by the 117 TDL CO<sub>2</sub> analyzer for the same height. The TDL for N<sub>2</sub>O and CH<sub>4</sub> measurement was plumbed 118 to a four-port manifold that used a switching sequence in the order of 200 m, 3 m, calibration 119 zero and calibration span, with 30 s spent on each port and the first 15 s after each switching 120 omitted from the analysis. The N<sub>2</sub>O concentration of the calibration span was traceable to a 121 NOAA-ESRL gold standard. The CH<sub>4</sub> concentration of the calibration span was calibrated 122 against a known standard provided by a local supplier and was also traceable to the NOAA-123 ESRL standard scale. 124

# 125 **2.3 Eddy covariance data**

A closed-path EC system installed at the height of 100 m on the tower was used to measure the
CO<sub>2</sub> flux from 2007 to 2009 (Griffis et al., 2010). This system consisted of a 3-D sonic

anemometer-thermometer (model CSAT3, Campbell Scientific Inc.) and the TDL analyzer

129 (model TGA 100A, Campbell Scientific) for CO<sub>2</sub> concentration. The sample tubing was 125 m

long (6.25 mm ID, Synflex), which resulted in a typical lag time of 11 s, with Reynolds numbers

exceeding 3500. Fluctuations in the velocities and concentrations were recorded at 10 Hz, and a

- block averaging time of 60-min was used to capture the dominant flux-containing frequencies.
- 133 Further, in 2009 two closed-path eddy covariance systems were used at two 10-m towers in the
- middle of corn (G21) and soybean fields (G19) (Baker and Griffis, 2005) about 3 km away from
- the tall tower. They recorded half-hourly fluxes of  $CO_2$  and  $H_2O$ .

# 136 **2.4 Top-down flux estimation methods**

### 137 **2.4.1 Tall-tower eddy covariance**

Briefly, the tall-tower CO<sub>2</sub> flux was determined as the sum of the eddy covariance term measured at the 100-m height ( $\overline{w'c'}$ ) and the storage term between the land surface and this height (F<sub>S</sub>).

141 
$$F_{EC} = \overline{w'c'} + F_S \tag{1}$$

Here, we assume that horizontal and vertical advection were negligible (Davis et al., 2003; 142 143 Griffis et al., 2010). Wind statistics and fluxes were transformed into the planar fit coordinate system (Lee et al., 2004). Eddy fluxes were computed using the maximum covariance method 144 with strict limits on window size based on manifold pressure and flow rates. Flux losses 145 attributed to a combination of sensor separation, sonic path averaging, tube attenuation, and 146 147 block averaging were estimated using the analytical model of Massman (2000). These losses typically ranged between 5% and 20%. A detailed description of the eddy covariance system and 148 flux calculation can be found in Griffis et al. (2010). 149

The eddy covariance method does not perform well in stable atmospheric conditions and friction velocity ( $u_*$ ) is commonly used as a quality control for such conditions (Davis et al., 2003; Goulden et al., 1996). In this study, we discarded the nighttime flux data when  $u_*$  was less than 0.10 m s<sup>-1</sup>, which is a threshold often used for agricultural environments (Baker and Griffis, 2005; Griffis et al., 2005).

Large negative fluxes in the early morning have been observed at many eddy covariance tower 155 sites, and it may lead to an overestimation of CO<sub>2</sub> uptake during the growing season by as much 156 as 20% (Anthoni et al., 1999; Davis et al., 2003; Yi et al., 2000). Davis et al. (2003) suggested 157 that this bias is caused by horizontal and vertical advection, and it can be corrected by excluding 158 159 the negative  $CO_2$  flux that exceeds a pre-defined level. In this study, we excluded the morning (06:00 and 10:00 LST) data when the storage term was large (i.e.  $F_{\rm S} < -4 \ \mu {\rm mol} \ {\rm m}^{-2} \ {\rm s}^{-1}$ ). This 160 storage term screening reduced the estimated CO<sub>2</sub> uptake during the growing season (May to 161 September) by 18% and is consistent with that reported in the literature (Davis et al., 2003; Yi et 162 al., 2000). Details about the calculation of the storage term and a discussion about the data-163 164 screening standard for the negative storage term in early morning is reported in the supplementary materials (Section S1). 165

166 The monthly  $CO_2$  flux was determined by the mean of the composite diurnal variation of the  $CO_2$ 

167 flux. In 2009, excluding the malfunctioning of the instrumentation, the available data was 78%.

168 The  $u_*$  and storage term screening eliminated an additional 12% and 2% of the data, respectively.

We estimated the monthly mean from the diurnal composite of the  $CO_2$  flux based on valid

170 observations (Section S2 in supplementary materials).

171 **2.4.2** Equilibrium method

172 The equilibrium method (EQ) provides a way to quantify regional trace gas fluxes from mixing

ratio measurements in the boundary layer (Bakwin et al., 2004; Betts, 2000; Betts et al., 2004;

Denmead et al., 1996; Desai, 2010; Helliker et al., 2004; Williams et al., 2011). So far, this

method has been applied to  $CO_2$  and  $N_2O$  (Griffis et al., 2013) but not to  $CH_4$ . The EQ method

assumes that, over relatively long timescales (weeks), the diurnal dynamics of boundary layer

177 processes can be ignored and the boundary layer reaches statistical equilibrium (Griffis et al.,

178 2013; Helliker et al., 2004). Therefore, the averaged horizontal advection and storage are

negligible in the boundary layer budget (Williams et al., 2011) and the land surface flux ( $F_{Eq}$ ) is

in balance with the exchange at the top of the boundary layer, as

181 
$$F_{Eq} = \rho W(c_{+} - c_{m})$$
 (2)

where  $c_+$  and  $c_m$  are the mixing ratio of CO<sub>2</sub>, CH<sub>4</sub> or N<sub>2</sub>O above and within the boundary layer, 182 respectively and  $\rho$  and W are air density and the vertical velocity, respectively, at the top of 183 184 boundary layer. Here  $c_+$  was assumed as the concentration measured at Niwot Ridge (NWR, 40°3'11"N, 105°35'10"W) CO, USA, which is the closest background site operated by NOAA 185 (Conway et al., 1994) and is upwind of KCMP tower in the Ferrel cell.  $c_m$  was the concentration 186 at 200 m measured by TDL analyzers and calibrated to the NOAA-ESRL standards. The 187 concentrations used in the calculation were the composite diurnal variations for each month in 188 189 the case of CO<sub>2</sub> and the diurnal composites for the intensive campaign in the case of CH<sub>4</sub> and N<sub>2</sub>O. The equilibrium method was used for calculating the CO<sub>2</sub> flux from 2007 to 2009. 190 However, due to availability of data, the comparison among methods is limited to the 2009  $CO_2$ 191 fluxes. 192

We used the following two methods to determine  $\rho W$  (Helliker et al., 2004) for the three GHGs:

$$\rho W = \frac{F_w}{c_{w,+} - c_{w,m}}$$
(3)

194 
$$\rho W = -\frac{\Omega}{g \cdot M_{air}}$$
(4)

where  $F_w$  and  $c_{w,m}$  are the water vapor flux and mixing ratio measured at 100 m and 200 m on the tall tower, respectively,  $c_{w,+}$ , and  $\Omega$  are the water vapor mixing ratio and the pressure vertical velocity (in units of Pa s<sup>-1</sup>) at the 700 hPa level in the NCEP/NCAR Reanalysis-2 data, *g* is gravitational acceleration, and  $M_{air}$  is molecular mass of dry air (g mol<sup>-1</sup>).  $\rho W$  calculated from equation 3 is essentially the same as equation 4 under the EQ assumptions, because large scale synoptic subsidence dominates the exchange at the top of the boundary layer (Helliker et al., 2004). In addition, for CH<sub>4</sub> and N<sub>2</sub>O, we also used CO<sub>2</sub> as a tracer to determine  $\rho W$ :

$$\rho W = \frac{F_C}{c_{C,+} - c_{C,m}}$$
(5)

where  $F_c$  is the CO<sub>2</sub> flux measured by the EC system on the tall tower,  $c_{C,+}$  and  $c_{C,m}$  are the CO<sub>2</sub> mixing ratio measured at the NWR background site and at the 200 m level on the tall tower, respectively.

# 205 2.4.3 Inverse modeling

We used the CO<sub>2</sub> flux product from the global inversion model CarbonTracker 2011\_oi (CT)

207 (Peters et al., 2007 with updates documented at http://carbontracker.noaa.gov) as a reference to

208 compare with the flux determined with the methods described above. This product provides

three-hourly CO<sub>2</sub> fluxes from 2000-2010 at a spatial resolution of  $1^{\circ}$  by  $1^{\circ}$ , so the number of grid

points within the 100 km, 200 km, 300 km, and 600 km radii of the tall tower is 2, 10, 25, and 90,
respectively.

The inversion CO<sub>2</sub> flux consists of fossil fuel burning, fire, land, and ocean flux. The CO<sub>2</sub> flux from fossil fuel was the average of two fossil fuel CO<sub>2</sub> emission datasets: one is the legacy CarbonTracker fossil fuel product using the global total from the Carbon Dioxide Information and Analysis Center (CDIAC, Boden et al. 2011) and the spatial distribution from EDGAR; and the other is the ODIAC (Open-source Data Inventory for Anthropogenic CO<sub>2</sub>) emission product reported by Oda and Maksyutov (2011).

#### 218 **2.5 Flux aggregation (FA)**

The regional trace gas flux ( $F_{FA}$ ) can be estimated by aggregating sectorial and spatial fluxes using sectorial statistics and land cover information (Chen et al., 2008; Desai et al., 2008; Nisbet and Weiss, 2010; Tang et al., 2012). The total CO<sub>2</sub> flux from the landscape is the sum of the anthropogenic flux and biological flux. In this study, the anthropogenic flux ( $F_{ant}$ ) was the prescribed fossil fuel flux in the CarbonTracker product for a target region. The biological flux was calculated by aggregating the CO<sub>2</sub> flux from six major land cover types.

225 
$$F_{FA} = F_{ant} + \sum_{i=1}^{6} \operatorname{frac}_{i} \cdot F_{bio,i}$$
(6)

In this equation,  $frac_i$  is the fraction of land cover type *i* for a target region, and  $F_{bio,i}$  is the CO<sub>2</sub> flux from land cover type *i*. The six land cover types are cropland (corn and soybean), forest, grassland/pasture, wetland, open water, and developed land.

In order to compare with the fluxes from top-down methods, we estimated the bottom-up flux

using the flux aggregation method within the tall tower footprint. Various methods have been

231 developed for determining the footprint of the concentration or eddy flux measurement (Chen et

232 al., 2009; Kljun et al., 2004; Lin et al., 2003; Vesala et al., 2008). We used two footprint methods. The first method is based on determining an equally-weighted circular footprint centered at the 233 tall tower. This method assumes that the area within each circular footprint has the same 234 influence on the flux measured at the tall tower despite its distance from the tower, and therefore, 235  $frac_i$  is the fraction of land cover type *i* within a certain radius around the tall tower. We tested 236 the radii from 5 km to 600 km because the fetch of the EC flux footprint is thought to be 10 237 (during strong convection) to 100 (during neutral or stable condition) times the measurement 238 height (Horst and Weil, 1992; Davis et al., 2003), however, some studies also suggest that the 239 fetch-to-measurement-height ratio is much higher than 100 when the flux is measured at a high 240 level (e.g. higher than 20 m) (Gash, 1986; Leclerc and Thurtell, 1990). The second footprint 241 method we applied derives the footprint from the Stochastic Time-Inverted Lagrangian Transport 242 model (STILT, Lin et al., 2003). During September, when the intensive campaign was carried 243 out, we released 100 air parcels hourly at the tower and transported these parcels backward for 244 245 two days. The distribution of the air parcels determined the tall tower footprint. (This footprint represents the source area of the EQ flux calculated based on the 200 m concentration.) 246 247 Therefore,  $frac_i$  was determined by overlaying the weighted footprint map with the land cover map. The values of  $frac_i$  from these two different methods are summarized in Table S3 of the 248 supplementary materials. 249

The cropland CO<sub>2</sub> flux was the weighted average of the flux measured with EC in a soybean
field and a cornfield near the tall tower as described above. The forest CO<sub>2</sub> flux was obtained
from the AmeriFlux data archive (Level 2 data) for the deciduous forest site at the University of
Michigan Biological Station (UMBS), 662 km northeast of the tall tower (Curtis et al., 2005;
Schmid et al., 2003). The grassland CO<sub>2</sub> flux was also from USIB2 AmeriFlux for Fermi Prairie,

255 Illinois, 503 km southeast of the tower (Gomez-Casanovas et al., 2012). Each of the three land cover types was measured by EC flux towers in 2009, and showed different seasonal patterns of 256 CO<sub>2</sub> flux (Figure 1). The biological CO<sub>2</sub> flux from wetland, open water, and developed land was 257 considered as negligible in this study, because these three land cover types only accounted for 258 about 20% of the tall tower footprint, and the reported annual CO<sub>2</sub> fluxes from those land cover 259 types were not significantly different from zero or relatively small (Striegl et al., 1998; Knoll et 260 al., 2013; Olson et al., 2013). For example, Olson et al. (2013) reported that a temperate peat 261 land in northern Minnesota, USA was a small net sink of CO<sub>2</sub> in 2009 (-26.8  $\pm$  18.7 g C-CO<sub>2</sub> m<sup>-2</sup> 262 yr<sup>-1</sup>), only about 5% of the CO<sub>2</sub> flux from a cornfield, and about 10% of the biogenic flux in the 263 tall tower footprint. Considering the land fraction of peat land in the tall tower footprint, the CO<sub>2</sub> 264 flux was estimated to be less than 1% of the biogenic flux. 265

# 266 **3. Results**

### 267 **3.1 Constraints on the regional CO<sub>2</sub> flux**

The tall tower EC CO<sub>2</sub> flux exhibits a strong seasonal pattern (Figure 2). From October to April, 268 the landscape was a net source of CO<sub>2</sub>, and the averaged efflux was  $0.68 \pm 0.10 \ \mu mol \ m^{-2} \ s^{-1}$  (the 269 270 mean and standard deviation of the three annual values from 2007 to 2009). From May to September, the landscape was a sink of CO<sub>2</sub>, reaching a peak uptake in July at the rate of  $-3.68 \pm$ 271  $0.99 \ \mu mol \ m^{-2} \ s^{-1}$ . There was no monthly mean data for June 2007 and June 2009 due to 272 measurement problems. Since June is the only month that has missing CO<sub>2</sub> flux data for 2009, 273 274 we gap-filled it according to the flux values observed in May to July 2008 and 2009. The annual cumulative flux in 2008 and 2009 was -24 and -131 g C-CO<sub>2</sub> m<sup>-2</sup> yr<sup>-1</sup>, respectively. 275

In order to test the size of the region that our monthly averaged EC flux represents, we first used CT and FA methods to estimate the CO<sub>2</sub> flux with the equally-weighted circular footprint for a radius up to 600 km and compared them with the EC flux. The monthly flux values from these methods for the range of radii correlated well with the EC flux (r > 0.9, p < 0.001) (Table 1), suggesting the land surface flux was relatively homogeneous and was dominated by the seasonal pattern of the biological flux. Further, to test the accuracy of the estimation, the Nash-Sutcliffe efficiency (NSE) was calculated (Nash and Sutcliffe, 1970), as

NSE = 
$$1 - \frac{\sum (o_i - m_i)^2}{\sum (o_i - \bar{o})^2}$$
 (7)

where  $o_i$  is the EC flux and  $m_i$  is the regional mean flux from CT or FA. The summation is 283 performed over all months and the overbar denotes the mean over these months. It is considered 284 a very good fit when NSE > 0.75, and a good fit when  $0.65 < NSE \le 0.75$  (Moriasi et al., 2007). 285 The results show that the EC flux agrees very well with the regional mean flux from both the CT 286 and FA methods with a 200 km radius or larger (NSE > 0.80). Furthermore as the radius 287 increased from 200 km to 600 km, the CT and FA fluxes did not change significantly. The CT 288 and FA fluxes within a 100 km radius were more positive than the EC flux, mainly due to the 289 strong local anthropogenic emission from the Minneapolis/Saint Paul urban area. Consequently, 290 for the KCMP tower site, we can consider the EC flux as representing the average flux from 600 291 km radius around the tall tower, a typical size of the footprint of tall tower concentration 292 measurement  $(10^6 \text{ km}^2; \text{ Gloor et al., 2001}).$ 293

The aggregated flux based on the STILT footprint was  $-1.01 \mu$ mol m<sup>-2</sup> s<sup>-1</sup> for the month of

September, 2009. In comparison, the EC flux during the same period was -0.93  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>, and

the FA flux with a 300 km and 600 km radius was -1.04  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> and -0.94  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>,

respectively. The results again confirm that the land cover type around the tower was relativelyhomogeneous at scales ranging from 200 to 600 km.

299 Consequently, we consider the tall-tower EC flux as a robust estimate of the regional flux and

used it to evaluate the performance of the EQ method. Two  $CO_2$  fluxes were determined by EQ

method for each month, one using H<sub>2</sub>O as a tracer ( $F_{EH}$ , calculated with  $\rho W$  with Eq 3) and the

other using  $\rho W$  in the NCEP reanalysis data ( $F_{EO}$ , calculated with  $\rho W$  with Eq 4) (Figure 3).

Both  $F_{EH}$  and  $F_{EO}$  reproduced the seasonal pattern of the EC flux (r > 0.85, p < 0.001) but

significantly underestimated the magnitude of the flux in July. The  $F_{EH}$  and  $F_{EO}$  fluxes were only

305 31% and 48% of the EC flux in July.

#### **306 3.2 GHG concentration patterns**

During the intensive campaign, the  $CO_2$  mixing ratio at the height of 200 m increased from 365.2 ppm during the first five days to 406.2 ppm during the last five days (Figure 4). The mixing ratio changed from below that at the NWR (384.4 ppm) to above that at the NWR site, indicating a transition of the landscape from a  $CO_2$  sink to a source. This observation is consistent with the seasonal pattern in the  $CO_2$  flux shown in Figure 3.

The mean  $CH_4$  mixing ratio during the observation period was 2.096 and 2.017 ppm at the

heights of 3 m and 200 m, respectively. The CH<sub>4</sub> mixing ratio at both heights was consistently

higher than the background mixing ratio at NWR (1.844 ppm), suggesting that the landscape

around the tall tower was a  $CH_4$  source.

The  $N_2O$  mixing ratio during the observation period was also higher than that at NWR. The average  $N_2O$  mixing ratio at the heights of 3 m and 200 m was 326.7 and 324.8 ppb, which were

4.0 ppb and 2.1 ppb higher than the value at NWR site (322.7 ppb), respectively, indicating that
the landscape was a N<sub>2</sub>O source during the observation period.

# 320 3.3 Regional CH<sub>4</sub> and N<sub>2</sub>O fluxes

We applied the EQ method in estimating the regional CH<sub>4</sub> and N<sub>2</sub>O fluxes during the intensive campaign. During this period  $\rho W$  determined with three independent methods (using CO<sub>2</sub> and H<sub>2</sub>O tracers and the NCEP reanalysis data) was -0.09 ± 0.02 mol m<sup>-2</sup> s<sup>-1</sup> (mean ± 1 standard deviation of the three estimates) The CH<sub>4</sub> and N<sub>2</sub>O fluxes were 16.0 ± 3.1 nmol m<sup>-2</sup> s<sup>-1</sup> and 0.19 ± 0.04 nmol m<sup>-2</sup> s<sup>-1</sup>, respectively.

In order to estimate an annual budget of CH<sub>4</sub> and N<sub>2</sub>O with the EQ method, we need the CH<sub>4</sub> and 326 N<sub>2</sub>O mixing ratio within and above the boundary layer for the whole year. Therefore, we 327 assumed that the seasonal pattern of the CH<sub>4</sub> and N<sub>2</sub>O mixing ratios at the KCMP tower was 328 identical to the pattern at a nearby NOAA tall tower site (WBI in Iowa) (Andrews et al., 2013a; 329 Andrews et al., 2013b; Dlugokencky et al., 2013), and extrapolated the  $CH_4$  and  $N_2O$ 330 concentration during the intensive campaign period to the whole year for 2009 according to the 331 seasonal pattern at the WBI site. The WBI site was chosen because it has similar land cover 332 types in its footprint as the KCMP tower site (Zhang et al., 2014). The CH<sub>4</sub> and N<sub>2</sub>O mixing 333 ratios above the boundary layer were determined at the NWR site and  $\rho W$  were determined by 334 the three methods (Equation 3-5) throughout the year 2009 (Figure S6). It follows that the annual 335 regional CH<sub>4</sub> and N<sub>2</sub>O fluxes were  $22.4 \pm 4.2$  nmol m<sup>-2</sup> s<sup>-1</sup> and  $0.49 \pm 0.09$  nmol m<sup>-2</sup> s<sup>-1</sup>. 336 respectively. The uncertainties of the annual fluxes were the result of the uncertainties in  $\rho W$ . In 337 comparison, the annual CH<sub>4</sub> and N<sub>2</sub>O fluxes at the WBI tower were 14.5 nmol  $m^{-2} s^{-1}$  and 0.32 338 nmol  $m^{-2} s^{-1}$  using the EO method (Zhang, 2013). The impact of advection was considered as 339 negligible since there was no prevailing wind direction throughout the year of 2009. 340

#### 341 **4. Discussion**

#### 342 **4.1 Annual carbon dioxide flux**

Determining the annual CO<sub>2</sub> flux at the regional scale is challenging because the flux has both 343 diurnal and seasonal cycles and the magnitude of the annual average is substantially smaller than 344 the seasonal and diurnal variations. For example, in 2009, the tall tower's annual average EC 345 flux was -0.35  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> (-131 g C-CO<sub>2</sub> m<sup>-2</sup> yr<sup>-1</sup>), while the seasonal variation was about 6 346  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> and the diurnal variation during summer time was about 40  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>, about 16 347 and 113 times, respectively, higher than the annual average. So far, there is no single method that 348 can directly assess the regional CO<sub>2</sub> flux, because for all the available methods there are periods 349 or conditions where the underlying theory is not met or where the available data is limited to 350 truly capture the temporal and spatial variability. A small systematic bias in the daily and 351 monthly flux estimation, such as that caused by the data-screening and gap-filling approaches, is 352 significant for the annual average CO<sub>2</sub> flux, and it may result in opposite conclusions of whether 353 the landscape is a carbon source or a sink. 354

A number of studies have attempted to estimate the annual CO<sub>2</sub> flux in the vicinity of the Upper 355 Midwest USA (Table 2) (Davis et al., 2003; Bakwin et al., 2004; Helliker et al., 2004; Ricciuto 356 et al., 2008). Based on EC measurements on the LEF tall tower, which is about 260 km northeast 357 of the KCMP tower, Ricciuto et al. (2008) reported that the annual CO<sub>2</sub> flux was 120 g C-CO<sub>2</sub> m<sup>-</sup> 358  $^{2}$  yr<sup>-1</sup> with a strong inter-annual variation from 1997 to 2004 (140 g C-CO<sub>2</sub> m<sup>-2</sup> yr<sup>-1</sup>). This result 359 was similar to Davis et al.'s (2003) result, but opposite to Helliker et al.'s (2004) EC flux for 360 2000, the latter of which is -71 g C-CO<sub>2</sub> m<sup>-2</sup> yr<sup>-1</sup>. The major difference is that Helliker et al. 361 (2004) did not gap-fill the data, and the reported  $CO_2$  flux has excluded the periods when water 362 vapor flux was not available. 363

364 Our annual CO<sub>2</sub> flux was calculated as the average of monthly fluxes, and the monthly fluxes were determined by the diurnal composite of available observations after  $u_*$  and storage term 365 screening. We performed a Monte Carlo simulation to assess the uncertainty associated with 366 missing data following Griffis et al. (2003). We randomly removed 30% of the data for each 367 month, and recorded the calculated monthly and annual fluxes following the same data 368 369 processing procedure. By repeating this simulation 5000 times, we determined the standard deviation of the annual flux estimates. As a result, the uncertainty in the annual CO<sub>2</sub> flux due to 370 data gaps was  $\pm 31$  g C m<sup>-2</sup> yr<sup>-1</sup>. In addition, the random errors in hourly averaged EC flux may 371 also significantly affect the annual budget. Assuming a 20% random error in hourly EC flux, the 372 resulting uncertainties in annual flux was  $\pm 4$  g C m<sup>-2</sup> yr<sup>-1</sup>, about one magnitude lower than the 373 uncertainties from data gaps (Morgenstern et al., 2004). Considering the uncertainties from data 374 gaps and random errors, the 2009 CO<sub>2</sub> budget was  $-131\pm 35$  g C m<sup>-2</sup> yr<sup>-1</sup>, suggesting the region 375 around the tall tower was a carbon sink. 376

The uncertainty of the annual FA estimate was affected by the accuracy of the land cover 377 information, the carbon flux data for each land cover type, and anthropogenic emissions. We 378 assessed the uncertainties of the annual CO<sub>2</sub> flux from each land cover type with the method 379 used for tall tower EC flux (Table 2), and assume the uncertainty of anthropogenic emission was 380 10% (NRC, 2010). Without considering the uncertainties in land cover information, the annual 381 FA flux was  $-130 \pm 13$  g C m<sup>-2</sup> yr<sup>-1</sup>. The accuracy of the Crop Data Layer was 85%-95% for 382 major crops (Boryan et al., 2011), therefore, we assume that the fraction of each land cover type 383 has an uncertainty up to 20%. By using a Monte Carlo simulation we estimated the uncertainty 384 of the annual FA estimate will increase to 34 g C m<sup>-2</sup>. In other words, the FA annual flux (-130  $\pm$ 385 34 g C-CO<sub>2</sub>  $m^{-2}$  yr<sup>-1</sup>) showed very good agreement with the EC flux in 2009. 386

Although the CT monthly flux tracked the seasonal pattern of the EC and FA flux, the annual CT flux was -54 g C-CO<sub>2</sub> m<sup>-2</sup> yr<sup>-1</sup>, considerably lower than EC and FA annual fluxes. This indicates that the CT method performed reasonably well on reproducing the monthly fluxes, but that it systematically underestimated the annual flux compared to the other methods.

Overall, the good agreement between EC (a top-down method) and FA (a bottom-up method) provides strong evidence that the landscape around the tall tower was a carbon sink at the rate of  $-131\pm 35$  g C m<sup>-2</sup> yr<sup>-1</sup> in 2009.

## **4.2** Uncertainties in CO<sub>2</sub> flux from the equilibrium method

The EQ method provided good estimates of the CO<sub>2</sub> flux for each month except July, when the regional CO<sub>2</sub> flux was the most negative (strong sink) during 2009. Excluding July, the difference between the monthly EC flux and EQ flux in 2009 was  $0.37 \pm 0.29 \ \mu mol \ m^{-2} \ s^{-1}$ , only 6% of the seasonal variation (6  $\mu mol \ m^{-2} \ s^{-1}$ ).

The underestimation of the EQ flux might be attributed to uncertainties in  $\rho W$  or concentration 399 difference at the top of boundary layer  $(c_+ - c_m)$ , according to Equation 2. In July, the  $\rho W$  was -400 0.17 mol m<sup>-2</sup> s<sup>-1</sup> (Eq 3) and -0.26 mol m<sup>-2</sup> s<sup>-1</sup> (Eq 4) while the concentration difference was 9.03 401 ppm. To bring the equilibrium flux into agreement with the tall-tower EC flux (-4.82  $\mu$ mol m<sup>-2</sup> s<sup>-</sup> 402 <sup>1</sup> in July 2009),  $\rho W$  would have to increase to -0.53 mol m<sup>-2</sup> s<sup>-1</sup>, which is much larger in 403 magnitude than  $-0.26 \pm 0.09$  mol m<sup>-2</sup> s<sup>-1</sup>, the average July value for 2007 to 2011 obtained with 404 the NCEP reanalysis data. The 0.09 mol m<sup>-2</sup> s<sup>-1</sup> uncertainty in  $\rho W$  (the standard deviation of July 405  $\rho W$  from 2007 to 2011) leads to 0.81  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> uncertainty in the monthly flux, about 17% of 406 407 the July flux. In addition, the monthly  $\rho W$  values in 2007 to 2011 period were mostly within - $0.18 \pm 0.08$  mol m<sup>-2</sup> s<sup>-1</sup>, and the maximum and minimum values were -0.05 and -0.36 mol m<sup>-2</sup> s<sup>-1</sup>. 408

Even the most negative value in the five-year period cannot fully explain the underestimation of
the EQ flux in July, indicating that the concentration difference at the top of boundary layer may
be underestimated.

Two sources of uncertainty exist in the concentration difference observed at the top of boundary 412 layer  $(c_+ - c_m)$ . One is the accuracy of the CO<sub>2</sub> measurement at 200 m level of the KCMP tower 413 site and NWR background site, and the other is the assumption that those two concentrations are 414 415 the same as the concentration within and above the boundary layer. The CO<sub>2</sub> concentration at the KCMP tower was calibrated with the NOAA-ESRL standard throughout the measurement, and 416 the measurement precision was 0.03 ppm. Meanwhile, the precision at NWR site was on the 417 order of 0.1 ppm (Helliker et al., 2004). As a result the first source of uncertainty led to about 418 0.04  $\text{umol m}^{-2}$  s<sup>-1</sup> uncertainties, which was not significant. 419

The second source of uncertainty can potentially lead to 1.55  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> uncertainties in the 420 July CO<sub>2</sub> flux. Even though the NWR site is only about 5° south of the KCMP tower, CO<sub>2</sub> 421 concentration at the NWR site may be significantly different from the CO<sub>2</sub> concentration above 422 the boundary layer at the KCMP site, due to a large latitudinal CO<sub>2</sub> gradient (Denning et al., 423 1995). To examine the uncertainties in using CO<sub>2</sub> concentration at NWR site as a proxy for  $c_+$ 424 we surveyed the following observation data that could be used as a proxy for  $c_{+}$  in July 2009: 1) 425 the CO<sub>2</sub> concentration in the Marine Boundary Layer at the same latitude as the KCMP tower 426 was 382.47 ppm; 2) the average CO<sub>2</sub> concentration measured by aircraft (between 3000 m-4500 427 m) at three sites near the KCMP tower were  $384.80 \pm 4.55$  ppm (Cooperative Global 428 Atmospheric Data Integration Project, 2014; Yi et al., 2004); 3) the average CO<sub>2</sub> concentrations 429 in the nearby background sites were 380.03 ppm (Cold Bay, Alaska, US) and 383.81 ppm 430 (Barrow, Alaska, US). The CO<sub>2</sub> concentration at the NWR site (386.01 ppm) is not significantly 431

different from the aircraft measurements and is lower than the rest of the concentrations with the maximum difference of 5.98 ppm. Therefore, the CO<sub>2</sub> concentration at NWR site may overestimate  $c_+$  by up to 5.98 ppm and result in up to 1.55 µmol m<sup>-2</sup> s<sup>-1</sup> uncertainty in the July CO<sub>2</sub> flux. The uncertainties in other months in 2009 were examined and presented in the supplementary materials (Section S4).

Bakwin et al. (2004) adjusted the CO<sub>2</sub> concentration at the 30 m height by increasing it by 2.5 ppm to estimate  $c_m$  in the summer. Since our CO<sub>2</sub> concentration was measured at 200 m, a much higher level, the uncertainty in using 200 m concentration to estimate  $c_m$  should be much less than 2.5 ppm. Direct measurement at the top of the boundary layer is needed to evaluate these two important uncertainties.

Another more likely source for the significant underestimation in July is that horizontal 442 advection is not negligible when the prevailing winds align with a strong spatial CO<sub>2</sub> gradient. 443 With a tall tower observation network, Miles et al. (2012) reported that the  $CO_2$  gradients 444 between the KCMP tower and other sites range from 0.3 to 2.1 ppm 100 km<sup>-1</sup> during the growing 445 season. The CarbonTracker 3-D CO<sub>2</sub> concentration product also shows large CO<sub>2</sub> depletion in 446 the upper Midwest Corn Belt during the growing season due to the strong CO<sub>2</sub> uptake by corn 447 plants. According to this product, the mean concentration of the 34 m - 1274 m air layer has an 448 averaged gradient of 0.8 ppm / (100 km) along the prevailing wind (from northwest) in July 2009 449 (Figure 5). Using a mean wind speed of  $5.4 \text{ m s}^{-1}$  recorded on the tall tower and a boundary layer 450 depth of 1000 m (Yi et al., 2001), the resulting advection flux was -1.88  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> which is 451 comparable to the bias of the equilibrium method. In comparison, the EC flux was not as 452 453 sensitive to the advective influence. For instance, using the same spatial gradient data, the

advection flux at the 100 m level was only -0.02  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> according to the accumulated 454 concentration for the lowest two grid levels in the CarbonTracker product (34.5 m and 112 m). 455 Overall, the uncertainties in  $\rho W$ ,  $(c_+ - c_m)$ , and horizontal advection can potentially lead to 456 uncertainties in the July CO<sub>2</sub> flux estimate on the order of 0.81, 1.55, and -1.88  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> 457 respectively, and they might be large enough to account for the discrepancy between EQ and EC 458 methods for July 2009 and the annual 2009 flux. Direct and accurate measurements of these 459 three terms using methods such as aircraft (Yi et al., 2004) or drones are needed to reduce these 460 uncertainties. 461

### 462 **4.3 Uncertainties in the CH<sub>4</sub> and N<sub>2</sub>O fluxes**

Uncertainties in trace gas concentration measurements within and above the boundary layer can 463 lead to large uncertainties in the trace gas flux estimation. The averaged CH<sub>4</sub> concentrations 464 during the intensive campaign at the nearby background sites were 1.883 ppm (Cold Bay, Alaska, 465 US), 1.887ppm (Barrow, Alaska, US), and 1.842 ppm (NWR, Colorado, US). The maximum 466 difference between NWR and the other background sites in North America was 0.045 ppm. If the 467 measurements at these other sites were used for the concentration above the boundary layer at 468 the tall tower site, the regional flux would decrease by up to 4.1 nmol  $m^{-2} s^{-1}$ , or about 30% of 469 the estimated EO flux. In addition, the uncertainty in our CH<sub>4</sub> concentration measurement caused 470 by uncertainties in the calibration standard was 0.055 ppm, with a resulting uncertainty in the 471 flux of  $\pm 5.0$  nmol m<sup>-2</sup> s<sup>-1</sup>. The combined uncertainty range of the CH<sub>4</sub> flux is 6.9-21.0 nmol m<sup>-2</sup> 472 s<sup>-1</sup>. 473

The systematic bias in the trace gas measurement between the KCMP tower and NOAA

475 background sites was avoided in the other two independent boundary layer methods, which

476 depend on the relative concentration difference at 3 m and 200 m levels and the build-up (change in concentration with time) concentration at night. Here, we used these two methods, a modified 477 Bowen ratio method (Werner et al., 2003) and a modified nocturnal boundary layer method 478 (Kelliher et al., 2002), to calculate the nighttime  $CH_4$  flux for comparison with the equilibrium 479 estimate. The modified Bowen ratio method assumes that the vertical transport of a trace gas is 480 driven by eddy diffusion and that the diffusivity is the same for all scalar quantities. The 481 nocturnal boundary layer method uses CO<sub>2</sub> as a tracer and assumes that the build-up of CO<sub>2</sub> and 482 CH<sub>4</sub> near the land surface is caused by land surface emissions. The CH<sub>4</sub> fluxes from these two 483 methods were  $14.8 \pm 10.3$  nmol m<sup>-2</sup> s<sup>-1</sup> and  $17.1 \pm 9.4$  nmol m<sup>-2</sup> s<sup>-1</sup> (Zhang et al., 2013), 484 respectively. The results confirm that the  $CH_4$  flux from the equilibrium method (16.0 nmol m<sup>-2</sup> 485 s<sup>-1</sup>) gave a reasonable estimation of the regional flux. 486

N<sub>2</sub>O has a much more homogeneous background concentration than CH<sub>4</sub> and CO<sub>2</sub>. The 487 differences between the background sites in the northern hemisphere were less than 0.5 ppb 488 during the intensive campaign. The N<sub>2</sub>O measurements at the tall tower were calibrated against 489 NOAA-ESRL standards and, therefore, can be compared against the NOAA background sites. 490 The uncertainties in the background concentration will lead to a bias within 0.05 nmol  $m^{-2} s^{-1}$  for 491 the N<sub>2</sub>O flux estimation, or 26% of the estimated N<sub>2</sub>O flux (0.19 nmol  $m^{-2} s^{-1}$ ). Applying the 492 modified Bowen ratio method and the modified nocturnal boundary layer method, we obtained a 493 regional nighttime N<sub>2</sub>O flux of  $1.09 \pm 0.56$  nmol m<sup>-2</sup> s<sup>-1</sup> and  $0.90 \pm 0.65$  nmol m<sup>-2</sup> s<sup>-1</sup>, 494 respectively, both of which were higher than the flux estimated from the equilibrium method. It 495 is possible that EQ method underestimated the regional N<sub>2</sub>O flux because the advection was not 496 negligible during the intensive observation period, but it is not feasible to evaluate due to scarce 497

498  $N_2O$  concentration measurements.

# 499 4.4 Climate impact of the major GHG fluxes

According to the KCMP tower measurement, the regional fluxes of three major greenhouse gases 500 in 2009 were  $-131 \pm 35$  g C-CO<sub>2</sub> m<sup>-2</sup> vr<sup>-1</sup>, 8.50  $\pm 1.58$  g C-CH<sub>4</sub> m<sup>-2</sup> vr<sup>-1</sup>, and 0.43  $\pm 0.08$  N-N<sub>2</sub>O 501 m<sup>-2</sup> yr<sup>-1</sup>. The global warming potential (GWP) over a 100-year time horizon for CO<sub>2</sub>, CH<sub>4</sub>, and 502  $N_2O$  was -480 ± 128, 283 ± 53, and 205 ± 37 g  $CO_2$  eq m<sup>-2</sup> yr<sup>-1</sup> respectively. The GWP for  $CO_2$ 503 was a result of anthropogenic emission (454 g  $CO_2$  eq m<sup>-2</sup> yr<sup>-1</sup>, according to the fossil fuel 504 emission prescribed in CarbonTracker product) and biological  $CO_2$  uptake (934 g  $CO_2$  eq m<sup>-2</sup> yr<sup>-1</sup>) 505 for the region around the tall tower (Table 2). The total climate impact of the CH<sub>4</sub> and N<sub>2</sub>O 506 507 emission offset about 30% and 22% of the biological CO<sub>2</sub> uptake and was comparable to the anthropogenic  $CO_2$  emission, indicating the important role of  $CH_4$  and  $N_2O$  for the regional 508 GHG emission portfolio. 509

Considering all three major GHG fluxes, the landscape around the tall tower had a near neutral 510 impact on the climate in 2009 (7  $\pm$  160 g CO<sub>2</sub> eq m<sup>-2</sup> yr<sup>-1</sup>). This conclusion, however, did not 511 consider that the carbon fixed by crops will be harvested and some fraction will be transported 512 and emitted outside of the tall tower footprint. According to West et al. (2011), the harvested 513 biomass from the KCMP tower footprint is approximately 140 g C m<sup>-2</sup> yr<sup>-1</sup> (513 g CO<sub>2</sub> eq m<sup>-2</sup> yr<sup>-1</sup> 514 <sup>1</sup>). In other words, the tall tower footprint likely has a warming impact on the climate when all 515 three major GHG fluxes and emission leakage (i.e. loss of carbon to the atmosphere from 516 harvested biomass) are considered. 517

# 518 **4.5** Comparison with bottom-up inventories

EDGAR is a widely used anthropogenic GHG inventory for atmospheric research, having fine spatial resolutions  $(0.1^{\circ} \times 0.1^{\circ})$  (Jeong et al., 2012; Zhao et al., 2009). So far, only a few studies have evaluated it with atmospheric observations. These studies indicate that EDGAR may have significantly underestimate N<sub>2</sub>O and CH<sub>4</sub> emission in North America by as much as three times
(Kort et al., 2008; Miller et al., 2012).

We first compared the CH<sub>4</sub> and N<sub>2</sub>O fluxes at the KCMP tower during the intensive campaign 524 with EDGAR42 for the area within the 300 km radius around the tower, and found that the CH<sub>4</sub> 525 flux was 5.8 times higher and the N<sub>2</sub>O flux was 50% higher than the EDGAR42 values. In this 526 comparison, the EDGAR42 annual estimate was scaled to the emissions in September using its 527 seasonal factor (1.1 for September). Another comparison was carried out on the annual time 528 scale. The estimates of the annual CH<sub>4</sub> and N<sub>2</sub>O fluxes based on the tall tower EO measurement 529 were 6-9 times and 2-3 times higher than the EDGAR42 annual flux respectively. 530 The primary reason for the lower regional CH<sub>4</sub> flux from EDGAR42 is because it has excluded 531 532 natural sources of CH<sub>4</sub>. Wetland is the major natural CH<sub>4</sub> source in this region. Although wetlands account for less than 5% of the land around the tall tower, it is not negligible in the 533 regional CH<sub>4</sub> budgeting because CH<sub>4</sub> emissions from wetlands can be as high as 250 nmol  $m^{-2} s^{-1}$ 534 535 in September (Bridgham et al., 2006). EDGAR42 may have also underestimated the CH<sub>4</sub> emission from anthropogenic sources, because it does not account for factors such as natural gas 536 leakage, and have low biases for the CH<sub>4</sub> emissions from agricultural activities (Mays et al., 537 2009; Wunch et al., 2009; Ussiri et al., 2009). 538

We hypothesize that the lower N<sub>2</sub>O flux in the EDGAR42 inventory is likely a result of the underestimation of anthropogenic N<sub>2</sub>O emission, since natural sources were not significant in the region around the tall tower. A recent study on global N<sub>2</sub>O emission from a natural ecosystems suggests soil emissions in the upper-Midwest US is mostly around 0.10 kg N ha<sup>-1</sup> yr<sup>-1</sup> (0.01 nmol  $m^{-2} s^{-1}$ ) (Zhuang et al., 2012), only 10% of the EDGAR42 anthropogenic emission.

544	In addition to EDGAR42, we compare the CH <sub>4</sub> and N <sub>2</sub> O fluxes measured at the KCMP tower
545	with the GHG inventory developed by the EPA (EPA inventory), which was based on more
546	country-specific emission factors or models (e.g. N <sub>2</sub> O from agriculture soil was simulated with a
547	biogeochemical model). The national $CH_4$ and $N_2O$ emissions in EPA inventory were 12% and 35%
548	higher than the EDGAR42 inventory. However, we cannot directly compare the EPA inventory
549	with the top-down estimates for a region since the EPA inventory does not have spatial
550	distribution for all emission sectors. If we assume that the spatial distribution of the EPA
551	inventory is the same as the EDGAR42 inventory, the EPA inventory brings the bottom-up
552	estimates closer to the top-down EQ estimates. But the tall tower EQ estimates for regional $CH_4$
553	and N <sub>2</sub> O emissions were still 5-8 times and 1-2 times higher than the EPA inventory.

## 554 **5.** Conclusions

The regional budget of CO<sub>2</sub>, CH<sub>4</sub>, and N<sub>2</sub>O for the Upper Midwest, USA was quantified with 555 multiple top-down and bottom-up approaches. The four methods for the regional CO<sub>2</sub> flux (tall-556 tower eddy covariance, CarbonTracker inverse modeling, flux aggregation, and the equilibrium 557 boundary layer method) produced similar seasonal patterns (linear correlation of the monthly 558 flux > 0.85, p < 0.001). However, discrepancies exist in the magnitude of the monthly and annual 559 fluxes. The CarbonTracker annual flux for 2009 (-54 g C-CO<sub>2</sub> m<sup>-2</sup> yr<sup>-1</sup>) was much lower in 560 magnitude than the flux aggregation estimate (-  $130 \pm 37$  g C-CO<sub>2</sub> m<sup>-2</sup> yr<sup>-1</sup>) and that measured 561 with eddy covariance  $(-131 \pm 40 \text{ g C-CO}_2 \text{ m}^{-2} \text{ yr}^{-1})$ . The equilibrium method significantly 562 underestimated the July uptake by 52-69% in comparison to eddy covariance. The 563 underestimation cannot be fully explained by the bias in  $\rho W$  or concentration difference at the 564 top of the boundary layer, and we suggest that the large spatial gradient along the prevailing 565 wind in July 2009 was a main contributor to the underestimation. 566

The CH<sub>4</sub> and N<sub>2</sub>O regional fluxes estimated from equilibrium method during the intensive campaign (DOY 243 – 269, 2009) were  $16.0 \pm 3.1$  and  $0.19 \pm 0.04$  nmol m<sup>-2</sup> s<sup>-1</sup>, respectively, and were 5.8 times and 50% higher than in the EDGAR42 inventory. The annual CH<sub>4</sub> and N<sub>2</sub>O fluxes also suggest significant underestimation by the EDGAR42 inventory and the EPA inventory.

- 572 Considering the global warming potential on a 100-year time scale, the  $CH_4$  and  $N_2O$  emissions
- from the landscape were comparable to the anthropogenic  $CO_2$ . The landscape appeared to have
- a near neutral impact on climate when all three major GHGs were considered. Our results
- 575 confirm that for this agriculture dominated landscape, climate change mitigation should include
- 576  $CH_4$  and  $N_2O$  emissions.

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# 870 Tables

Table 1. Correlation coefficient and NSE (Nash-Sutcliffe efficiency) between EC flux and the other two methods. CT denotes

Distance		5 km	10 km	20 km	50 km	100 km	200 km	300 km	600 km
СТ	NSE	NA	NA	NA	NA	0.41	0.82	0.92	0.97
	r	NA	NA	NA	NA	0.96	0.97	0.98	0.99
FA	NSE	0.48	0.49	0.37	0.23	0.58	0.90	0.95	0.96
	r	0.98	0.98	0.98	0.98	0.98	0.98	0.98	0.98

872 CarbonTracker, and FA denotes the flux aggregation method.

Table 2. A summary of annual NEE estimated from different methods. NEE in the "Reference" column is from the study in Midwest

US in recent years. Negative fluxes indicate carbon sink from the atmosphere and positive fluxes indicate carbon release to the

atmosphere.

	This study (g C-CO <sub>2</sub> m <sup>-2</sup> yr <sup>-1</sup> )	Reference (g C-CO <sub>2</sub> m <sup>-2</sup> yr <sup>-1</sup> )
Tall tower Eddy Covariance	-131 ± 35	120 ± 140 (1997-2004) (Ricciuto et al., 2010) 16 ± 19 (1997) (Davis et al., 2003) -71 (2000) (Helliker et al., 2004) 16 (1997) (Bakwin et al., 2004)
CarbonTracker	$-54 \pm 12$	-58 (2000-2006) (Desai et al., 2010)
Equilibrium	46-74	-110 ± 14 (1997-2006) (Desai et al., 2010) -38 (2000) (Helliker et al., 2004) 79 (1997) (Bakwin et al., 2004)
Flux Aggregation	$-130 \pm 34$	
Corn	$-599 \pm 26$	-466 ± 38 (2004-2007) (Hernandez-Ramirez et al., 2011) -576 ± 101 (1997-2002) (Hollinger et al., 2005)
Soybean	$10 \pm 18$	-13 ± 39 (2004-2007) (Hernandez-Ramirez et al., 2011) -32 ± 161 (1997-2002) (Hollinger et al., 2005)
Grassland	$-411 \pm 10$	-148 ± 116 (1997-1999) (Suyker et al., 2003)
Forest	$-227 \pm 14$	-137 ± 49 (1999-2001) (Schmid et al., 2003)
Fossil fuel	124± 12	
Other methods		
Interannual Flux Tower Upscaling Experiment		-321 ± 13 (1997-2006) (Desai et al., 2010)
Mesoscale inverse modeling		-183 ± 35 (1997-2006) (Lauvaux et al., 2012)



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Figure 1. The biogenic  $CO_2$  flux (thick solid line) from the landscape around the tall tower, calculated from monthly averages of  $CO_2$ flux from major land cover types. The fluxes for corn (solid line with triangles) and soybean (thin solid line) were measured with eddy covariance tower at the G21 and G19 site near the tall tower in Minnesota. The fluxes for forest (dotted line) and grassland (solid line with stars) were from UMBS and USIB2 AmeriFlux sites in North America.



Figure 2. Monthly averages of CO<sub>2</sub> flux in 2007 (dotted line), 2008 (dot-dashed line), and 2009 (dashed line) measured with EC on the

- tall tower. White bars are the mean monthly value from the available data during the three-year observation period. Error bars on the
- top of white bars show the lower and upper boundary of the three-year measurements for each month.



Figure 3. Monthly CO<sub>2</sub> flux in 2009 estimated with flux aggregation (FA, thick solid line), eddy covariance method ( $F_{EC}$ , line with triangles), CarbonTracker ( $F_{CT}$ , line with stars), equilibrium method with H<sub>2</sub>O as a tracer ( $F_{EH}$ , thin dashed line), and equilibrium method using NCEP reanalysis data ( $F_{EO}$ , thin dashed line with cross). The FA flux ended in October because the grassland data was missing in November and December. The missing FA flux was determined by assuming the missing grassland flux in November and December was the same as October flux (thick dashed line).



Figure 4. Hourly averages of CO<sub>2</sub> (a), CH<sub>4</sub> (b), N<sub>2</sub>O (c), and H<sub>2</sub>O (d) mixing ratio during the observation period from DOY 243 to
DOY 269, 2009. Blue solid line— mixing ratio on 200 m. Red dotted line— mixing ratio on 3 m. Black dashed line— mixing ratio at
Niwot Ridge site.





Figure 5.  $CO_2$  concentration averaged from land surface to 1274.1 m according to the CarbonTracker 3D  $CO_2$  concentration product in July, 2009. Dashed line — previling wind direction in July from northwest to southeast. Red triangle — the KCMP tower site and WBI tower obserservotory operated by NOAA. Red circle — Ameriflux sites. Blue circle — background observation site. The color scale is the  $CO_2$  concentration in ppm. The resolution of the concentration data is 1° by 1°.