

Lidar-observed enhancement of aerosols in UTLS over the Tibetan Plateau induced by the Nabro volcano eruption

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Abstract.

Vertical profiles of aerosol extinction coefficients were measured by an Micro Pulse Lidar at Naqu (31.5°N, 92.1°E, 4508m a.m.s.l.), a meteorological station located on the central part of the Tibetan Plateau during summer 2011. Observations show a persistent maximum in aerosol extinction coefficients in the upper troposphere–lower stratosphere (UTLS). These aerosol layers were generally located at an altitude of 18–19 km a.m.s.l., 1–2 km higher than the tropopause, with broad layer depth ranging approximately 3–4 km and scattering ratio of 4–9. Daily averaged aerosol optical depths (AODs) of the enhanced aerosol layers in UTLS over the Tibetan Plateau varied from 0.007 to 0.030, in agreement with globally averaged levels of 0.018 ± 0.009 at 532 nm from previous observations, but the percentage contributions of the enhanced aerosol layers to the total AOD over the Tibetan Plateau are higher than those observed elsewhere. The aerosol layers in UTLS wore off gradually with the reducing intensity of the Asian monsoon over the Tibetan Plateau at the end of August. The eruption of Nabro volcano on 13 June 2011 is considered as an important factor to explain the enhancement of tropopause aerosols observed this summer over the Tibetan Plateau.

1

2 **1 Introduction**

3 Aerosols in the upper troposphere–lower stratosphere (UTLS) play an important
4 role in the global/regional climate system and the geochemical cycle (Hanson et al.,
5 1994; Borrmann et al., 1997; Solomon et al., 1997). They also influence atmospheric
6 ozone budgets through providing surface areas for efficient heterogeneous reactions
7 (Keim et al., 1996; Solomon, 1999).

8 Volcanic eruption, though as occasional event, can inject amounts of ash and
9 sulfur dioxide (SO₂) into the stratosphere, and the injected SO₂ are oxidized to sulfuric
10 acid particles through homogeneous nucleation (Wu et al., 1994). The Nabro
11 stratovolcano in Eritrea, northeastern Africa, erupted on 13 June 2011, injecting
12 approximately 1.3 teragrams of SO₂ to altitudes of 9 to 14 kilometers in the upper
13 troposphere, which resulted in a large aerosol enhancement in the stratosphere
14 (Bourassa et al., 2012). This event has been observed by lidar networks such as
15 EARLINET, MPLNET and the Network for the Detection of Atmospheric
16 Composition Change (NDACC) with independent lidar groups and satellite
17 Cloud–Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) to
18 track the evolution of the stratospheric aerosol layer in various parts of the globe
19 (Uchino et al., 2012; Sawamura et al., 2013), and other instruments, such as the
20 Infrared Atmospheric Sounding Interferometer (Clarisse et al., 2013) and the
21 ground-based spectrometry of twilight sky brightness (Tukiainen et al., 2013).
22 Bourassa et al. (2012) found that the aerosol enhancement built while remaining
23 confined for several weeks to the region between central Asia and the Middle East
24 after eruption of Nabro volcano using the limb scanning Optical Spectrograph and
25 Infra-Red Imaging System (OSIRIS) satellite instrument.

26 It is also should be noted that aerosol enhancements in UTLS over the Tibetan
27 Plateau have already been observed by many researchers before eruption of Nabro
28 volcano. Using the Stratospheric Aerosol and Gas Experiment II (SAGE II) data, Li et
29 al. (2001) found that aerosol concentrations near 100 hPa are higher over the Tibetan
30 Plateau than over China’s central and northern regions in summer. Recent

1 observations by balloon-borne optical particle counter (Tobo et al.,2007) and
2 aircraft-borne measurements (Keim et al., 1996; Solomon, 1997) showed that
3 soot-containing liquid aerosols with the major components of fine particles may also
4 affect the aerosol layer near the tropopause. Appearance of cold tropopause in the
5 upper troposphere (possibly in the lower stratosphere also) has been considered as an
6 important factor to explain the enhancement of tropopause aerosols observed in
7 summer over the Plateau (Kim et al., 2003). This observational fact is important from
8 the point view of heterogeneous reactions on aerosol surfaces since gas-to-particle
9 conversion processes are generally more active in low temperature. During summer,
10 the elevated surface heating and rising air associated with persistent deep convection
11 over the Tibetan Plateau leads to anticyclonic circulation and divergence in the UTLS
12 (Yanai et al., 1992; Hoskins and Rodwell, 1995; Highwood and Hoskins, 1998),
13 where persistently enhanced pollutants such as aerosols, CO, methane and nitrogen
14 oxides, as well as water vapor, can be linked to the rapid vertical transport of surface
15 air from Asia, India and Indonesia in deep convection and confinement by strong
16 anticyclonic circulation (Rosenlof et al., 1997; Jackson et al., 1998; Dethof et al.,
17 1999; Park et al., 2004; Filipiak et al., 2005; Li et al., 2005a; Fu et al., 2006).

18 The aerosols from Nabro eruption might overlap with the background tropopause
19 aerosols by deep convection in summer as mentioned previous studies, changing their
20 properties and evolvment in UTLS over the Plateau. A clarification of the states that
21 aerosols transport into and disperse out of the UTLS over the Plateau is an important
22 step toward understanding volcanic emission influences on hydration and chemical
23 composition in the global stratosphere. Knowing the height dependence of the aerosol
24 changes is important for understanding the volcano responsible for the transport of
25 aerosols from the troposphere to the stratosphere over the Tibetan Plateau; however, a
26 variety of aerosol vertical distributions and optical properties over the Tibetan Plateau
27 has not been assessed in a satisfactory manner due to lack of continuous direct
28 observations.

29 The vertical distributions of aerosol extinction coefficients were measured over
30 the Tibetan Plateau in the summer of 2011, as part of the project “Tibetan Ozone,

1 Aerosol and Radiation” (TOAR). In this study, the lidar and radiosonde measurement
2 results are presented and compared with satellite data. We find a persistent maximum
3 in aerosol extinction coefficients in the UTLS within the anticyclone, and show that
4 such aerosol accumulation can be linked to the eruption of Nabro volcano. These
5 results indicate that volcanic aerosol dispersed with the weakening of Tibetan
6 anticyclonic circulation could primarily affect aerosol and hence radiation properties
7 near the tropopause over the Tibetan Plateau.

8

9 **2 Measurements and Data**

10 **2.1 Micro Pulse Lidar**

11 An eye safe, compact, solid-state Micro Pulse Lidar (MPL-4B, Sigma Space
12 Corp., USA) was operated at the Naqu Meteorological Bureau (31.5°N, 92.1°E,
13 4508m a.m.s.l.) on the central part of the Tibetan Plateau. The MPL is a backscatter
14 lidar which uses an Nd:YLF laser with an output power of 12 μJ at 532nm and 2500
15 Hz repetition rate. The diameter of the receiving telescope is 20 cm, and the field of
16 view is 0.1 mrad. The vertical resolution of the lidar observation is 30m, and the
17 integration time is 30 s. Data obtained on the cloud-free days during nighttime were
18 selected in order to avoid the disturbance of cloud and/or rain to column-averaged
19 lidar ratio and solar noise.

20 In general, the inversion of the LIDAR profile is based on the solution of the
21 single scattering LIDAR equation:

$$22 \quad P(r) = O_c(r)CE \frac{\beta(r)}{r^2} \exp[-2 \int_0^r \sigma(z)dz] \quad (1)$$

23 where r is the range, C is the LIDAR constant, which incorporates the transmission
24 and the detection efficiency, and E is the laser pulse energy. $\beta(r)$ represents the total
25 backscattering coefficient $\beta(r) = \beta_m(r) + \beta_a(r)$, $\sigma(r)$ is the total extinction coefficient
26 $\sigma(r) = \sigma_m(r) + \sigma_a(r)$, $\beta_a(r)$ and $\sigma_a(r)$ are aerosol backscattering and extinction
27 coefficients, respectively. $\beta_m(r)$ and $\sigma_m(r)$ are molecular contributions to the
28 backscattering and the extinction coefficients, respectively. They can be evaluated by
29 the Rayleigh-scattering theory from the Standard Atmosphere 1976 (NASA, 1976).

1 But here the molecular extinction coefficients are evaluated using temperature and
2 pressure from the radiosondes released at the lidar field site twice a day. $O_c(r)$ is the
3 overlap correction as a function of the range caused by field-of-view conflicts in the
4 transceiver system. Systematic errors of $P(r)$ were mainly observed in the lowest
5 altitudes where an incomplete overlap between the emitted laser beam and the
6 telescope field-of-view can lead to an underestimation of aerosol backscatter and
7 extinction coefficients. Since the majority of aerosols are contained in the first several
8 kilometers of the atmosphere, the overlap problem must be solved. Overlap is
9 typically solved experimentally, using techniques outlined by Campbell et al. (2002).
10 The starting point is an averaged data sample where the system is pointed horizontally
11 with no obscuration. By choosing a time when the atmosphere is well mixed, such as
12 late afternoon, when the aerosol loading is low, backscattering through the layer is
13 roughly assumed to be constant with range (i.e., the target layer is assumed to be
14 homogeneous). The similar overlap calibration was carried out at the beginning of this
15 field experiment.

16 The vertical profile of aerosol extinction coefficient σ_a is determined by a near
17 end approach in solving the lidar equation as proposed by Fernald(1984). Considered
18 the period of TOAR campaign was only two months after eruption of Nabro volcano,
19 volcanic aerosols were still freshly nucleated particles with small size. The lidar ratios
20 should therefore feature rather high (Müller et al., 2007). Sawamura et al. (2013)
21 employed the mean lidar ratio value of 50 sr at 532 nm for most groups of global lidar
22 networks to trace the evolution of the stratospheric aerosol layer from Nabro volcano
23 eruption. Therefore, a column averaged lidar ratio of 50 sr is assumed for all
24 measurements in this study.

25 We identify the boundaries of aerosol layer in the UTLS from the lidar extinction
26 coefficient profiles. The lowest bin with $\sigma_a=0.002 \text{ km}^{-1}$ above 18 km is identified as
27 the top of aerosol layer H_t and the bin with minimum value of σ_a between 10 km and
28 16 km as the layer base H_b . The visible optical depth of the aerosol layer is derived by
29 integrating the values of σ_a between H_b and H_t .

30 **2.2 Radiosonde Observations**

1 During the field campaigns, 76 L-band (GTS1) electronic radiosondes (Nanjing
2 Bridge Machinery Co., Ltd., China) were launched to provide vertical profiles of
3 pressure, temperature, and humidity up to 25 km to 30 km high. The radiosondes were
4 released at the lidar field site in Naqu twice a day at 0000 and 1200 UTC.

5 Eleven weather balloons with Vaisala RS92 radiosondes (Vömel et al., 2007)
6 have been launched to provide profiles of air temperature, relative humidity (RH),
7 wind speed and wind direction usually up to the mid stratosphere. The RH can be
8 measured between 0 and 100% with a resolution of 1% and an accuracy of 5% at
9 -50 °C (Miloshevich et al., 2006; Währn et al., 2004). While Miloshevich et al. (2009)
10 found that the RH measured by RS92 has a moist bias in the lower stratosphere (LS)
11 and a dry bias in the upper troposphere (UT). To quantify the accuracy of RH
12 measurement by RS92 over the Tibetan Plateau, we compared RS92 RH
13 measurements with simultaneous water vapor measurements from a Cryogenic
14 Frostpoint Hygrometer (CFH) on 13 August 2011. The CFH is a lightweight (400 g)
15 microprocessor-controlled instrument and operates on the chilled-mirror principle
16 using a cryogenic liquid as cooling agent. It includes several improvements over the
17 similar NOAA/CMD instrument. It is currently designed to be combined with ozone
18 sondes to provide simultaneous profiles of water vapor and ozone (Vömel et al.,
19 2007). CFH has been taken in many inter comparison experiment as an absolute
20 reference for water vapor measurements, including the validation of Aura MLS water
21 vapor products. After applying the time-lag and solar radiation bias corrections,
22 corrected RS92 RH measurements show agreement with CFH in the troposphere. The
23 mean difference between corrected RS92 RH measurements and CFH is a dry bias of
24 2.9% in the ground layer, while the mean differences in 5-10 km, 10-15 km and
25 tropopause transition layer region are 1%, 0.6% and 1.4% moist bias, respectively.
26 Therefore, the accuracy of corrected RS92 RH measurements is comparable to the
27 accuracy of CFH in the UTLS (Yan, 2012).

28 **2.3 Satellite Observations**

29 The Cloud–Aerosol Lidar with Orthogonal Polarization (CALIOP) onboard
30 CALIPSO (Winker et al., 2003), is used to characterize aerosol extinction profiles in

1 the UTLS, which is a three-channel (532 nm parallel, 532 nm perpendicular, 1064 nm)
2 elastic lidar receiving light at the same wavelength as the emitted laser frequency.
3 CALIOP sends short and intense pulses (1064 and 532 nm) of linearly polarized laser
4 light downward towards the Earth. The atmospheric backscatter profile is retrieved at
5 60 m vertical resolution from 8–20 km with a horizontal resolution of 1 km. The
6 Level 2 aerosol extinctions at 532nm of CALIOP (version 3.0) (available at
7 http://www-calipso.larc.nasa.gov/tools/data_avail/) were used to compare with the
8 ground based MPL on the Plateau. CALIOP data are selected over a 300 km × 300 km
9 square with a MPL location in its center.

10 We used the water vapor profiles observations (version 3.3) from the Microwave
11 Limb Sounder (MLS) on the NASA Aura satellite (Waters et al., 2006). Aura MLS
12 measurements include water vapor, ozone and carbon monoxide that are useful tracers
13 of tropospheric and stratospheric air; these data have been used to document enhanced
14 levels of carbon monoxide in the upper troposphere over the Asian monsoon (Li et al.,
15 2005a; Filipiak et al., 2005) and also over the North American summer monsoon (Li
16 et al., 2005b).

17

18 **3 Results**

19 Fig.1a shows one case of aerosol enhancement observations in UTLS in the
20 whole day of 7 Aug 2011. The case is the typical situation observed frequently over
21 the Tibetan Plateau during the TOAR campaign. At nighttime (2100-0700 LST),
22 aerosol enhancements were detected at relative constant altitudes from 17.0 km to
23 18.5 km (a.m.s.l.) due to the higher signal to noise ratios (SNR) of lidar compared
24 with the daytime. A Vaisala RS92 radiosonde was launched at 0656 UTC on 8 August
25 and reached the tropopause at 17 km about 1 hour later. Fig.1b presents the
26 temperature and RH measured by this sounding along with lidar. These rather thick
27 aerosol layers had optical depths around 0.01 and occurred in the temperature range
28 between -70 and -80 °C. The radiosonde data indicate that the air in the aerosol layers
29 was relatively dry with RH of about 5% above an abrupt decrease of RH around the
30 tropopause, which is very similar to the other cases measured this month with

1 maximum RH of 10%.

2 Fig.2 shows the vertical profiles of aerosol Scattering Ratios (SR) measured at
3 Naqu during 6–26 August 2011, along with the daily mean profiles of temperature.
4 The measurements display relatively high aerosol extinction coefficients in the UTLS,
5 which are 4-9 factors higher than those at altitudes below and close to (even higher
6 than, such as on 6 and 12 August) molecular scattering coefficients at the same
7 altitude. Compared with SR profiles of Nabro volcanic aerosols from MPLNET,
8 EARLINET, NDACC and Hefei stations during June and July (Sawamura et al.,
9 2013), the maximum SR of aerosol layers in UTLS over the Tibetan Plateau are
10 similar to that over Universitat Politècnica de Catalunya, Barcelona, Spain (41.39°N,
11 2.11°E) as one of EARLINET stations but larger than the other observations. The
12 highest aerosol extinction coefficients in the UTLS over the Tibetan Plateau generally
13 located at 18-19 km altitudes, which are 1-2 km higher than the tropopause.
14 Tropopause temperatures ranged from -70 °C to -80 °C, and the height of the
15 tropopause varied from 80 hPa to 100 hPa (from 17 km to 18 km), during the
16 observational period. Moreover, such relatively high aerosol extinction coefficients
17 could extend over broad layers, ranging approximately 3-4 km.

18 The CALIOP aerosol extinction coefficients over UTLS are available for 12, 13,
19 18 and 20 August. Fig.3 compares the average extinction coefficient profile of MPL
20 with that of CALIOP and shows a good agreement between the two instruments in
21 both aerosol layers altitude and the value of extinction coefficient. In particular, the
22 MPL profiles show less standard errors at each vertical resolution altitude possibly
23 due to the good SNR of MPL observed at an high altitude of the lidar station and clear
24 atmospheric environment over the Tibetan Plateau.

25 Fig.4 shows time series of total AOD and its daily averaged results, which varied
26 from 0.075 to 0.142 with maximum of 0.214 at 11:27 LST on 25 Aug. The percentage
27 of AOD of the enhanced aerosol layers in total AOD each day is also overlapped in
28 this figure as the bar. The total AODs were derived from a Microtops II Sun
29 photometer collocated by lidar. The percentage of AOD varied between 5% (25 Aug)
30 and 40% (12 Aug) with about 15% for most of days, and it would possibly be even

1 greater due to the available Sun photometer measurements were available only in
2 daytime, when more aerosols entry the atmosphere from the ground induced by
3 stronger emissions from human activities. While the daily averaged AODs of the
4 enhanced aerosol layers in UTLS over the Tibetan Plateau varied from 0.007 to 0.030
5 with all samples averaged value of 0.016. Compared to the observations from lidar
6 networks such as EARLINET, MPLNET and NDACC in June 2011, soon after
7 eruption of Nabro volcano, such AOD levels of UTLS over the Tibetan Plateau are
8 the same as the globally averaged ones with an order of 0.018 ± 0.009 and a range of
9 0.003 to 0.04 at 532 nm (Sawamura et al., 2013). However, the percentages of the
10 UTLS AOD in the total AOD over the Tibetan Plateau are slightly higher than those
11 observations, with the latter varying from 2% to 23% at 532 nm. This might be on
12 account of more clean atmospheric environment over the Tibetan Plateau.

13 According to the period of occurrence of aerosol layers in UTLS, the continuous
14 lidar observation can be split into two stages: 6 to 12 (S1) and 22 to 26 (S2) August
15 2011 for the continuous maintenance stages of aerosol layer. Between the two stages,
16 the existence of low clouds decayed the lidar signal to the extent that available aerosol
17 layer could not be observed in UTLS. Additionally, cirrus in the upper troposphere
18 would increase the retrieval error of extinction coefficient of above aerosol layer, and
19 these cases were also removed from the dataset. Fig.5. shows the daily variation in
20 plateau monsoon index (PMI) and the seven-day averaged PMI time series from 1
21 July to 31 August 2011, with an overlap of cirrus occurrence (He et al. 2013) and
22 AOD in UTLS. PMI is an indicator of the daily mean intensity of the Tibetan Plateau
23 monsoon. A larger PMI value indicates stronger monsoon in summer, which can be
24 determined as follows (Tang et al. 1984):

$$25 \quad \text{PMI} = H_1 + H_2 + H_3 + H_4 - 4H_0 \quad (2)$$

26 where H is the daily deviation from the monthly mean geo-potential height at 600 hPa.
27 The subscript numbers 0 to 4 indicate the location of the Center (90 °E, 32.5 °N), West
28 (80 °E, 32.5 °N), South (90 °E, 25 °N), East (100 °E, 32.5 °N) and North (90 °E, 40 °N) of
29 the Plateau, respectively.

30 Many researchers have adopted the PMI to analyze the Tibetan Plateau monsoon

1 variation. It is concluded that the index can reasonably describe the main
2 characteristics of the Tibetan Plateau monsoon (e.g., Bai et al. 2001; Bai et al. 2005;
3 Xun et al. 2011). These two stages might be caused by the different circulation
4 systems due to an apparent time interval of about 10 days with PMI undergoing a
5 substantial oscillation. During the first stage (from 6 to 12 August 2011), when the
6 AOD decreased from 6 to 7 August and increased from 8 to 12 August, the values of
7 PMI experienced an increasing trend from -20 on 6 August to 63 on 12 August. The
8 values sharply decreased to below -40 in the second stage with the low and
9 continuous decreasing AOD over UTLS from 22 to 26 August 2011. Two obvious
10 features can be found in the temporal variation of AOD: (i) AOD showed a decreasing
11 trend companied by decreasing PMI during the campaign period, indicating that the
12 aerosol layers wore off gradually with the reducing intensity of the Asian monsoon
13 over the Tibetan Plateau at the end of August. Bourassa et al. (2012) found that the
14 strong Asian monsoon anticyclone, which existed from June through September over
15 Asia and the Middle East, where the Nabro volcanic aerosol was observed with
16 OSIRIS, and the enhanced aerosol dispersed and quickly circulated throughout the
17 Northern Hemisphere at the end of August, when the Asian monsoon anticyclone
18 began to decay. And (ii) when the intensity of the Tibetan Plateau monsoon
19 circulation subsided to PMI less than 0, the AOD in UTLS kept persistent decline
20 regardless of the variation trend of PMI, indicating that confinement of the air in the
21 lower stratosphere induced by Asian monsoon anticyclone were destroyed to benefit
22 the enhanced aerosol dispersing to the whole Northern Hemisphere.

23 According to the previous studies, deep convective activities are also considered
24 to play an important role for transporting aqueous solution droplets of troposphere
25 into stratosphere. It has been verified that deep convection over the Tibetan Plateau is
26 likely to be a primary pathway for water vapor from the maritime boundary layer (e.g.,
27 Indian Ocean, South China Sea). Dessler and Sherwood (2004) have also suggested
28 that convective transport plays a key role for the accumulation of water vapor near the
29 tropopause, resulting in an increase of H₂O mixing ratio by more than 5 ppmv near
30 the tropopause (Gettelman et al., 2002; Park et al., 2004; Fu et al., 2006). But Tobo et

1 al. (2007) used a growth model to calculate the possible growth under given
2 atmospheric conditions assuming the existence of liquid solutions at equilibrium with
3 respect to H₂O, H₂SO₄ and HNO₃, and found that aerosol growth is sensitive to H₂O
4 mixing ratios. According to the calculated growth curves of liquid solutions as a
5 function of temperature and water vapor, the high H₂O mixing ratios (more than 5
6 ppmv) are indispensable condition for producing high concentrations of fine particles
7 near the tropopause. In fact, the H₂O mixing ratios near the tropopause from Vaisala
8 RS92 radiosondes released in 6, 8 11 and 23 August 2011 are not more than 2 ppmv,
9 obviously less than the previous observations, as shown in Fig.6. In consequence, the
10 effects of gas-to-particle conversion from liquid solutions would likely be secondary
11 to the enhancement of high tropopause aerosol extinction in these cases.

12 The continuous variation of water vapor distribution observed by satellite, despite
13 lower vertical resolution, can also be used to investigate the contribution of liquid
14 solutions conversion to the enhancement of high tropopause aerosol extinction. Fig.7
15 shows the time series of water vapor profile derived from MLS, tropopause level from
16 sounder temperature profiles, and the altitude of daily mean maximum aerosol
17 extinction coefficients in this region. It can be clearly seen that almost all the
18 abundant water vapor transported by deep convective systems are concentrated below
19 120 hPa altitude (about 15 km). Meanwhile, the temporal correlation of extinction
20 coefficients in aerosol layer with water vapor from day to day is weak with correlation
21 coefficient of 0.36, suggesting that it is impossible that the enhanced tropopause
22 aerosol is due to the condensation of water vapor.

23 In order to verify further that the enhanced tropopause aerosol is dominantly
24 induced by the eruption of Nabro volcano, the aerosol loadings in UTLS over the
25 Tibetan Plateau are compared with those over East China, where the influence of the
26 Asian monsoon anticyclonic circulation and deep convection transportation were
27 weak significantly. Table 1 listed some statistical parameters of aerosol layer over
28 Tibet and Shanghai (31.23 °N, 121.53 °E) for the same period. The aerosol parameters
29 over Shanghai were also derived from an MPL with the same mode used in the
30 Tibetan Plateau. The larger averaged extinction coefficient and higher AOD of the

1 aerosol layer in UTLS over Shanghai demonstrate that the enhanced tropopause
2 aerosol was dominated by the Nabro volcanic emissions with quickly circulation
3 throughout the Northern Hemisphere at the end of August when the Asian monsoon
4 anticyclone began to decay.

5

6 **4 Conclusion**

7 In this study, we observed significantly increased aerosol extinction coefficients
8 in UTLS over the Tibetan Plateau by continuous measurements with MPL during
9 summer 2011. The retrieval of MPL showed a good agreement with CALIOP. The
10 maximum SR of aerosol layers, up to 4-9, in the UTLS generally located in 18–19 km
11 m.s.l., 1–2 km higher than the tropopause, with broad layer depth ranging
12 approximately 3–4 km. Daily averaged AODs of the enhanced aerosol layers in UTLS
13 over the Tibetan Plateau varied from 0.007 to 0.030, which are at the same levels as
14 the previous observations. The percentages of AOD for the enhanced aerosol layers in
15 the total AOD are slightly higher than those observations by Sawamura et al. (2013).

16 The eruption of Nabro volcano is considered as an important factor to explain the
17 enhancement of tropopause aerosols observed in summer over the Tibetan Plateau.
18 The aerosol layers wore off gradually with the reducing intensity of the Asian
19 monsoon over the Tibetan Plateau at the end of August and confinement of the air in
20 the lower stratosphere induced by Asian monsoon anticyclone were destroyed to
21 benefit the enhanced aerosol dispersing to the whole Northern Hemisphere.
22 Deficiency in water vapor in UTLS indicates that the effects of gas-to-particle
23 conversion from liquid solutions induced by deep convective activities would likely
24 be secondary to the enhancement of high tropopause aerosol extinction in these cases.

25 It is must be noted that our interpretations are based on a short time observation.
26 It is difficult to conclude that either one of the two processes is dominant due to lack
27 of observations for trace gases. If further observations with more frequent soundings
28 of water vapor and trace gases can be performed to investigate a correlation of high
29 aerosol extinction with ambient temperatures, water vapor, trace gases, liquid
30 solutions and transport processes, the result will be helpful in validating origination

1 and mechanism of the enhanced aerosol extinction in UTLS.

2
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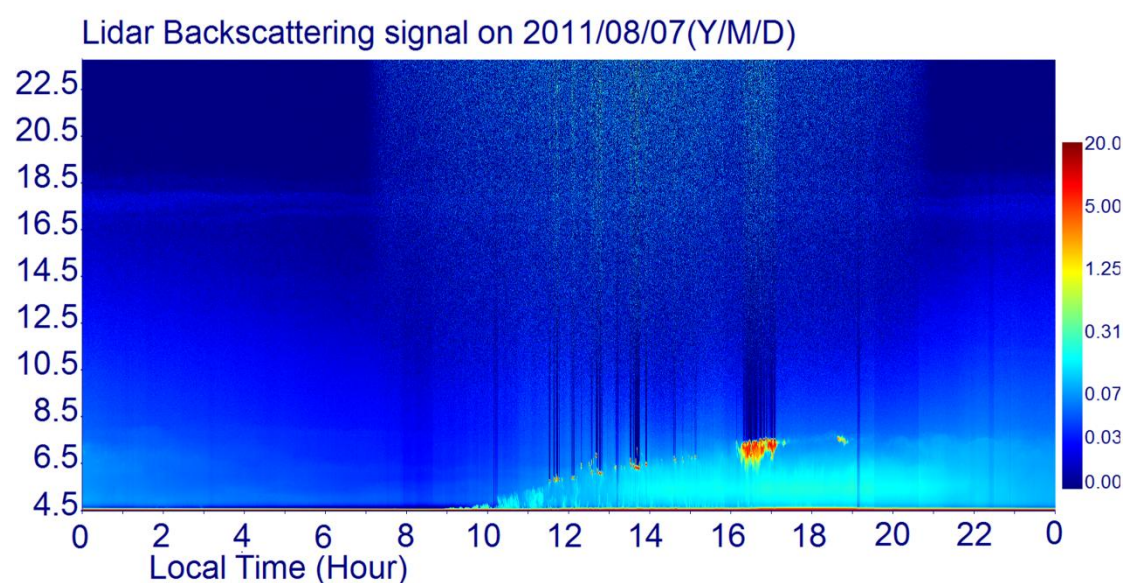
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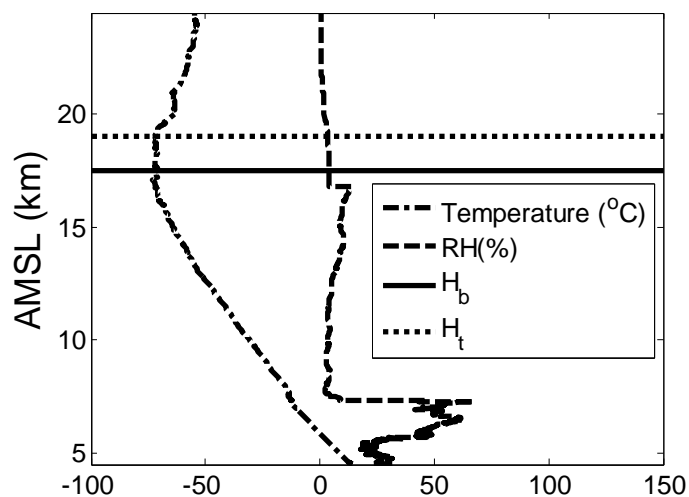
1 **Table 1** Statistical parameters of aerosol layer over Tibet and Shanghai. Maximum extinction
 2 coefficient (EC_{max}), Averaged extinction coefficient (EC_{ave}), aerosol layer depth(ALD), aerosol layer
 3 height over sea level and aerosol optical depth (AOD) of the aerosol layer from 20:00 to 06:00 local
 4 standard time (LST). The numbers in parenthesis correspond to the standard deviations.

	$EC_{max}(km^{-1})$	$EC_{ave}(km^{-1})$	ALD(km)	ALH(km)	AOD
Tibet	0.007	0.002(0.002)	3.604(1.626)	18.492(0.248)	0.016(0.002)
Shanghai	0.010	0.006(0.003)	4.380(0.764)	16.860(0.839)	0.027(0.006)

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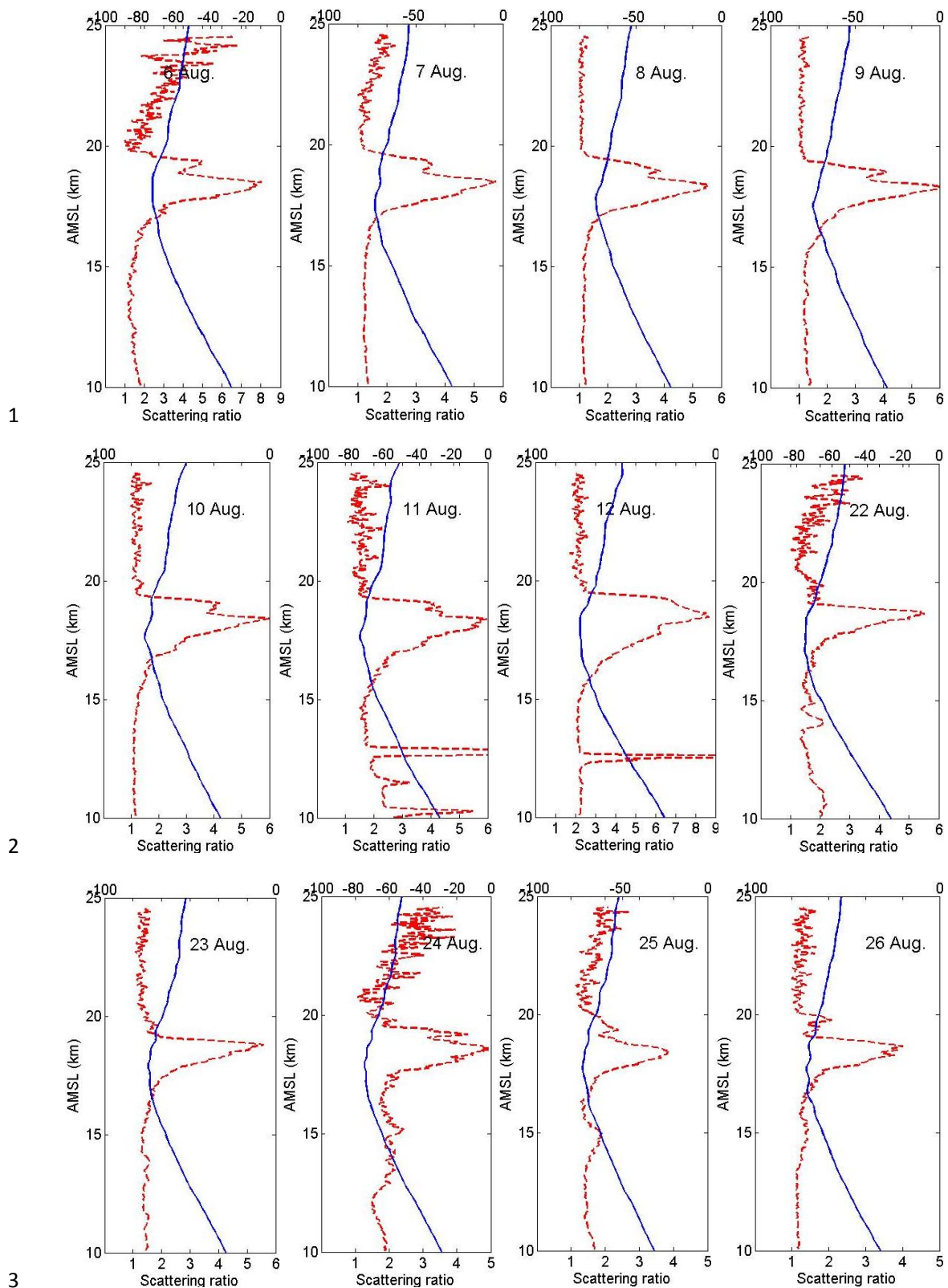
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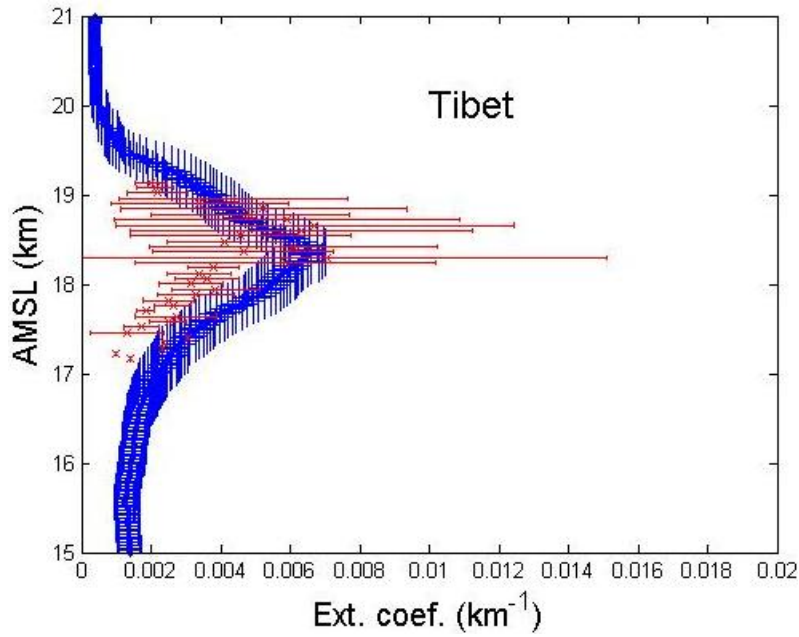
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10 **Fig.1.** (a) One case of aerosol enhancement observations during the TOAR campaign. Range-corrected
 11 532 nm signals are shown with 30 s and 30 m resolution. (b) Temperature and RH profiles measured by
 12 the RS92 radiosonde. H_t and H_b denote the mean top and base heights of aerosol layer, respectively.

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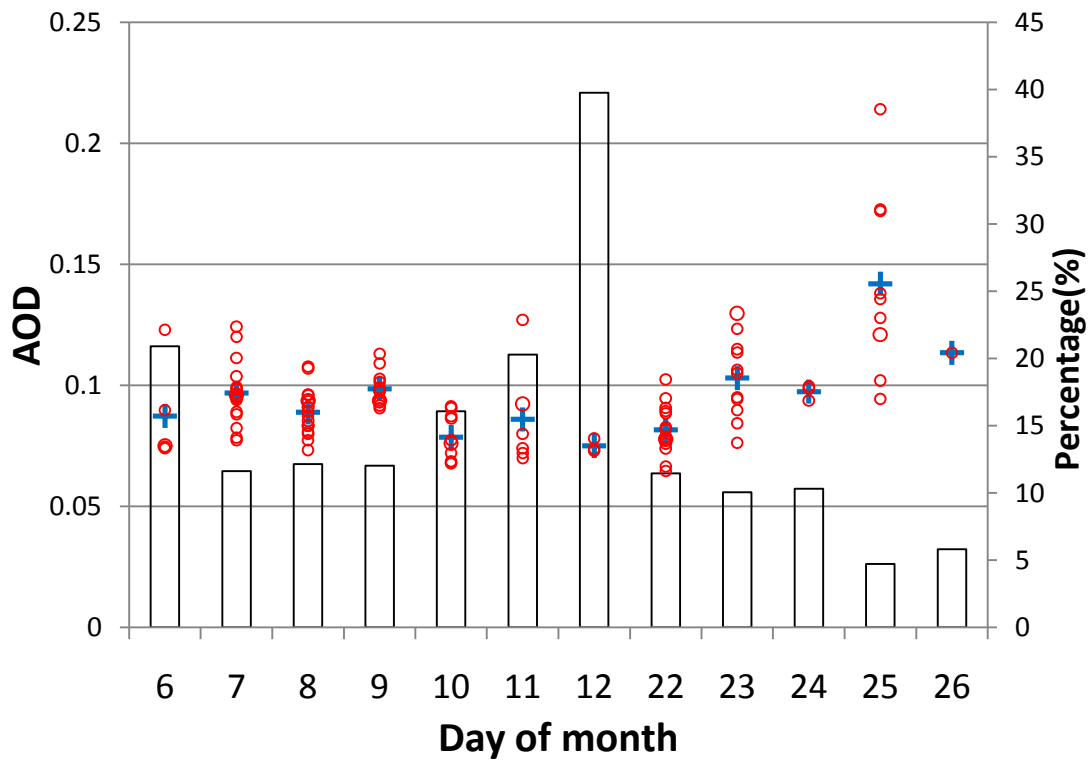


4 **Fig. 2.** The nighttime mean aerosol scattering ratio profiles (dashed line) from MPL. The daily
 5 mean profiles of temperature (solid line $^{\circ}\text{C}$) from the two radiosondes each day are overlaid to
 6 indicate the altitude of the tropopause (~ 18 km a.m.s.l.).



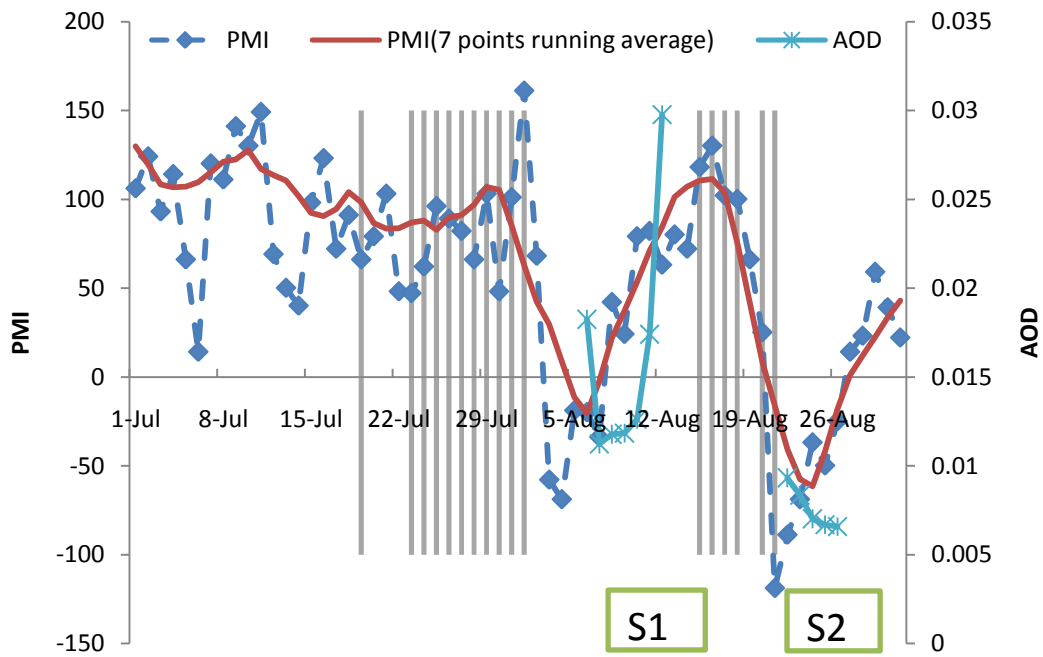
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Fig.3. The average extinction coefficient profile of MPL (blue solid line) and the average extinction coefficient at each layer from CALIOP (red stars) during the whole observation period. The standard errors are marked as the error bar.

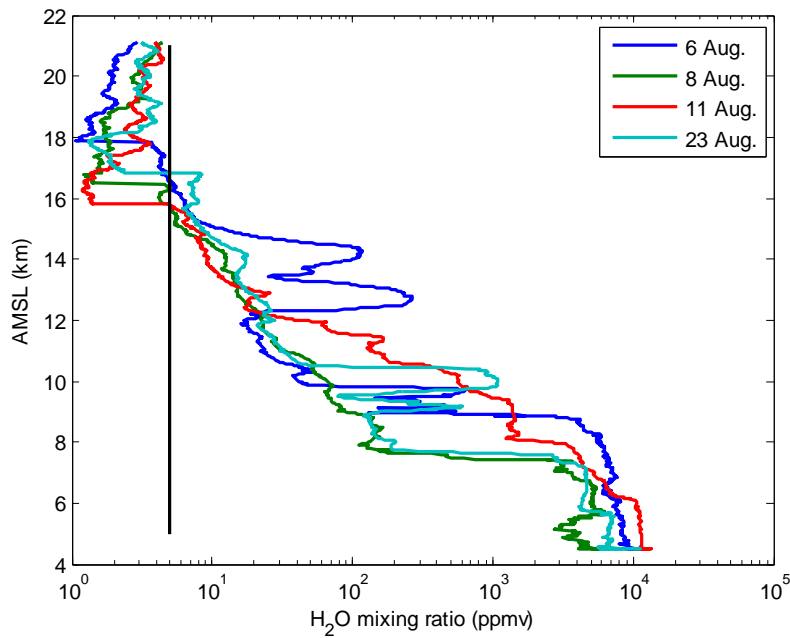


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Fig.4. Time series of total AOD (o) and its daily averaged results (+) derived from Microtops II Sunphotometer. The percentage of daily averaged AOD of the enhanced aerosol layers in the total AOD is defined as the bar.

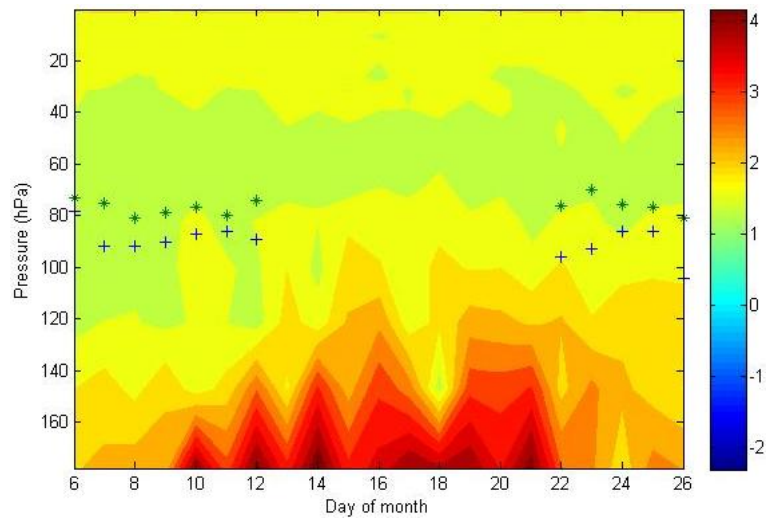


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 2 **Fig.5.** Daily variation of PMI and the 7-day-averaged PMI time series from 1 Jul to 31 Aug
 3 2011 and AOD in UTLS retrieved from MPL over the Tibetan Plateau. The days with cirrus
 4 occurrence are shaded (He et al. 2013). S1 and S2 represent two continuous maintenance stages of
 5 aerosol layer by lidar observation from 6 to 12 and from 22 to 26 August 2011, respectively.
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7
 8 **Fig. 6.** Vertical profiles of water vapor from Vaisala RS92 radiosondes released in 6, 8, 11 and 23
 9 August 2011, respectively. The black line along y axis represents the 5 ppmv of water vapor
 10 mixing ratio.
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1



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3 **Fig. 7.** Altitude-time distributions of MLS water vapor (ppmv, color bar in natural logarithm)
4 from 6 to 26 August 2011. Stars indicate the layer with nighttime mean maximum extinction
5 coefficient and pluses stand for the tropopause level of each day, respectively.