

1 **Lidar-observed enhancement of aerosols in UTLS over**  
2 **the Tibetan Plateau induced by the Nabro volcano**  
3 **eruption**

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5 **Q. S. He<sup>1</sup>,C. C. Li<sup>2</sup>, J. Z. Ma<sup>3</sup>,H. Q. Wang<sup>4</sup>, X. L.Yan<sup>3</sup>, J. Lu<sup>5</sup>, Z. R. Liang<sup>1</sup>,**  
6 **and G. M. Qi<sup>6</sup>**

7 <sup>1</sup>Shanghai Meteorological Service, Shanghai, China

8 <sup>2</sup>Department of Atmospheric and Oceanic Sciences, School of Physics, Peking  
9 University, Beijing, China

10 <sup>3</sup>Chinese Academy of Meteorological Sciences, Beijing, China

11 <sup>4</sup>College of Environmental Science and Engineering, Donghua University, Shanghai,  
12 China

13 <sup>5</sup>School of Computer and Software, Nanjing University of Information Science and  
14 Technology, Nanjing, China

15 <sup>6</sup>Germu Meteorological Bureau, Qinghai, China

16 Correspondence to: C. C. Li (ccli@pku.edu.cn)

17

18 **Abstract.**

19 Vertical profiles of aerosol extinction coefficients were measured by an Micro Pulse  
20 Lidar at Naqu (31.5 °N, 92.1 °E, 4508m a.m.s.l.), a meteorological station located on  
21 the central part of the Tibetan Plateau during summer 2011. Observations show a  
22 persistent maximum in aerosol extinction coefficients in the upper troposphere–lower  
23 stratosphere (UTLS). These aerosol layers were generally located at an altitude of  
24 18–19 km a.m.s.l., 1–2 km higher than the tropopause, with broad layer depth ranging  
25 approximately 3–4 km and scattering ratio of 4–9. Daily averaged aerosol optical  
26 depths (AODs) of the enhanced aerosol layers in UTLS over the Tibetan Plateau  
27 varied from 0.007 to 0.030, in agreement with globally averaged levels of  $0.018 \pm$   
28 0.009 at 532 nm from previous observations, but the percentage contributions of the  
29 enhanced aerosol layers to the total AOD over the Tibetan Plateau are higher than  
30 those observed elsewhere. The aerosol layers in UTLS wore off gradually with the  
31 reducing intensity of the Asian monsoon over the Tibetan Plateau at the end of August.  
32 The eruption of Nabro volcano on 13 June 2011 is considered as an important factor  
33 to explain the enhancement of tropopause aerosols observed this summer over the  
34 Tibetan Plateau.

1

## 2 1 Introduction

3       Aerosols in the upper troposphere–lower stratosphere (UTLS) play an important  
4       role in the global/regional climate system and the geochemical cycle (Hanson et al.,  
5       1994; Borrmann et al., 1997; Solomon et al., 1997). They also influence atmospheric  
6       ozone budgets through providing surface areas for efficient heterogeneous reactions  
7       (Keim et al., 1996; Solomon, 1999).

8       Volcanic eruption, though as occasional event, can inject amounts of ash and  
9       sulfur dioxide ( $\text{SO}_2$ ) into the stratosphere, and the injected  $\text{SO}_2$  are oxidized to sulfuric  
10      acid particles through homogeneous nucleation (Wu et al., 1994). The Nabro  
11      stratovolcano in Eritrea, northeastern Africa, erupted on 13 June 2011, injecting  
12      approximately 1.3 terograms of  $\text{SO}_2$  to altitudes of 9 to 14 kilometers in the upper  
13      troposphere, which resulted in a large aerosol enhancement in the stratosphere  
14      (Bourassa et al., 2012). This event has been observed by lidar networks such as  
15      EARLINET, MPLNET and the Network for the Detection of Atmospheric  
16      Composition Change (NDACC) with independent lidar groups and satellite  
17      Cloud–Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) to  
18      track the evolution of the stratospheric aerosol layer in various parts of the globe  
19      (Uchino et al., 2012; Sawamura et al., 2013), and other instruments, such as the  
20      Infrared Atmospheric Sounding Interferometer (Clarissee et al., 2013) and the  
21      ground-based spectrometry of twilight sky brightness (Tukiainen et al., 2013).  
22      Bourassa et al. (2012) found that the aerosol enhancement built while remaining  
23      confined for several weeks to the region between central Asia and the Middle East  
24      after eruption of Nabro volcano using the limb scanning Optical Spectrograph and  
25      Infra-Red Imaging System (OSIRIS) satellite instrument.

26      It is also should be noted that aerosol enhancements in UTLS over the Tibetan  
27      Plateau have already been observed by many researchers before eruption of Nabro  
28      volcano. Using the Stratospheric Aerosol and Gas Experiment II (SAGE II) data, Li et  
29      al. (2001) found that aerosol concentrations near 100 hPa are higher over the Tibetan  
30      Plateau than over China's central and northern regions in summer. Recent

1 observations by balloon-borne optical particle counter (Tobo et al., 2007) and  
2 aircraft-borne measurements (Keim et al., 1996; Solomon, 1997) showed that  
3 soot-containing liquid aerosols with the major components of fine particles may also  
4 affect the aerosol layer near the tropopause. Appearance of cold tropopause in the  
5 upper troposphere (possibly in the lower stratosphere also) has been considered as an  
6 important factor to explain the enhancement of tropopause aerosols observed in  
7 summer over the Plateau (Kim et al., 2003). This observational fact is important from  
8 the point view of heterogeneous reactions on aerosol surfaces since gas-to-particle  
9 conversion processes are generally more active in low temperature. During summer,  
10 the elevated surface heating and rising air associated with persistent deep convection  
11 over the Tibetan Plateau leads to anticyclonic circulation and divergence in the UTLS  
12 (Yanai et al., 1992; Hoskins and Rodwell, 1995; Highwood and Hoskins, 1998),  
13 where persistently enhanced pollutants such as aerosols, CO, methane and nitrogen  
14 oxides, as well as water vapor, can be linked to the rapid vertical transport of surface  
15 air from Asia, India and Indonesia in deep convection and confinement by strong  
16 anticyclonic circulation (Rosenlof et al., 1997; Jackson et al., 1998; Dethof et al.,  
17 1999; Park et al., 2004; Filipiak et al., 2005; Li et al., 2005a; Fu et al., 2006).

18 The aerosols from Nabro eruption might overlap with the background tropopause  
19 aerosols by deep convection in summer as mentioned previous studies, changing their  
20 properties and evolvement in UTLS over the Plateau. A clarification of the states that  
21 aerosols transport into and disperse out of the UTLS over the Plateau is an important  
22 step toward understanding volcanic emission influences on hydration and chemical  
23 composition in the global stratosphere. Knowing the height dependence of the aerosol  
24 changes is important for understanding the volcano responsible for the transport of  
25 aerosols from the troposphere to the stratosphere over the Tibetan Plateau; however, a  
26 variety of aerosol vertical distributions and optical properties over the Tibetan Plateau  
27 has not been assessed in a satisfactory manner due to lack of continuous direct  
28 observations.

29 The vertical distributions of aerosol extinction coefficients were measured over  
30 the Tibetan Plateau in the summer of 2011, as part of the project “Tibetan Ozone,

1 Aerosol and Radiation" (TOAR). In this study, the lidar and radiosonde measurement  
2 results are presented and compared with satellite data. We find a persistent maximum  
3 in aerosol extinction coefficients in the UTLS within the anticyclone, and show that  
4 such aerosol accumulation can be linked to the eruption of Nabro volcano. These  
5 results indicate that volcanic aerosol dispersed with the weakening of Tibetan  
6 anticyclonic circulation could primarily affect aerosol and hence radiation properties  
7 near the tropopause over the Tibetan Plateau.

8

## 9 **2 Measurements and Data**

### 10 **2.1 Micro Pulse Lidar**

11 An eye safe, compact, solid-state Micro Pulse Lidar (MPL-4B, Sigma Space  
12 Corp., USA) was operated at the Naqu Meteorological Bureau (31.5 °N, 92.1 °E,  
13 4508m a.m.s.l.) on the central part of the Tibetan Plateau. The MPL is a backscatter  
14 lidar which uses an Nd:YLF laser with an output power of 12 μJ at 532nm and 2500  
15 Hz repetition rate. The diameter of the receiving telescope is 20 cm, and the field of  
16 view is 0.1 mrad. The vertical resolution of the lidar observation is 30m, and the  
17 integration time is 30 s. Data obtained on the cloud-free days during nighttime were  
18 selected in order to avoid the disturbance of cloud and/or rain to column-averaged  
19 lidar ratio and solar noise.

20 In general, the inversion of the LIDAR profile is based on the solution of the  
21 single scattering LIDAR equation:

$$22 P(r) = O_c(r)CE \frac{\beta(r)}{r^2} \exp[-2 \int_0^r \sigma(z)dz] \quad (1)$$

23 where  $r$  is the range,  $C$  is the LIDAR constant, which incorporates the transmission  
24 and the detection efficiency, and  $E$  is the laser pulse energy.  $\beta(r)$  represents the total  
25 backscattering coefficient  $\beta(r) = \beta_m(r) + \beta_a(r)$ ,  $\sigma(r)$  is the total extinction coefficient  
26  $\sigma(r) = \sigma_m(r) + \sigma_a(r)$ ,  $\beta_a(r)$  and  $\sigma_a(r)$  are aerosol backscattering and extinction  
27 coefficients, respectively.  $\beta_m(r)$  and  $\sigma_m(r)$  are molecular contributions to the  
28 backscattering and the extinction coefficients, respectively. They can be evaluated by  
29 the Rayleigh-scattering theory from the Standard Atmosphere 1976 (NASA, 1976).

1 But here the molecular extinction coefficients are evaluated using temperature and  
2 pressure from the radiosondes released at the lidar field site twice a day.  $O_c(r)$  is the  
3 overlap correction as a function of the range caused by field-of-view conflicts in the  
4 transceiver system. Systematic errors of  $P(r)$  were mainly observed in the lowest  
5 altitudes where an incomplete overlap between the emitted laser beam and the  
6 telescope field-of-view can lead to an underestimation of aerosol backscatter and  
7 extinction coefficients. Since the majority of aerosols are contained in the first several  
8 kilometers of the atmosphere, the overlap problem must be solved. Overlap is  
9 typically solved experimentally, using techniques outlined by Campbell et al. (2002).  
10 The starting point is an averaged data sample where the system is pointed horizontally  
11 with no obscuration. By choosing a time when the atmosphere is well mixed, such as  
12 late afternoon, when the aerosol loading is low, backscattering through the layer is  
13 roughly assumed to be constant with range (i.e., the target layer is assumed to be  
14 homogeneous). The similar overlap calibration was carried out at the beginning of this  
15 field experiment.

16 The vertical profile of aerosol extinction coefficient  $\sigma_a$  is determined by a near  
17 end approach in solving the lidar equation as proposed by Fernald(1984). Considered  
18 the period of TOAR campaign was only two months after eruption of Nabro volcano,  
19 volcanic aerosols were still freshly nucleated particles with small size. The lidar ratios  
20 should therefore feature rather high (Müller et al., 2007). Sawamura et al. (2013)  
21 employed the mean lidar ratio value of 50 sr at 532 nm for most groups of global lidar  
22 networks to trace the evolution of the stratospheric aerosol layer from Nabro volcano  
23 eruption. Therefore, a column averaged lidar ratio of 50 sr is assumed for all  
24 measurements in this study.

25 We identify the boundaries of aerosol layer in the UTLS from the lidar extinction  
26 coefficient profiles. The lowest bin with  $\sigma_a=0.002 \text{ km}^{-1}$  above 18 km is identified as  
27 the top of aerosol layer  $H_t$  and the bin with minimum value of  $\sigma_a$  between 10 km and  
28 16 km as the layer base  $H_b$ . The visible optical depth of the aerosol layer is derived by  
29 integrating the values of  $\sigma_a$  between  $H_b$  and  $H_t$ .

30 **2.2 Radiosonde Observations**

1 During the field campaigns, 76 L-band (GTS1) electronic radiosondes (Nanjing  
2 Bridge Machinery Co., Ltd., China) were launched to provide vertical profiles of  
3 pressure, temperature, and humidity up to 25 km to 30 km high. The radiosondes were  
4 released at the lidar field site in Naqu twice a day at 0000 and 1200 UTC.

5 Eleven weather balloons with Vaisala RS92 radiosondes (Vömel et al., 2007)  
6 have been launched to provide profiles of air temperature, relative humidity (RH),  
7 wind speed and wind direction usually up to the mid stratosphere. The RH can be  
8 measured between 0 and 100% with a resolution of 1% and an accuracy of 5% at  
9 -50 °C (Miloshevich et al., 2006; Währn et al., 2004). While Miloshevich et al. (2009)  
10 found that the RH measured by RS92 has a moist bias in the lower stratosphere (LS)  
11 and a dry bias in the upper troposphere (UT). To quantify the accuracy of RH  
12 measurement by RS92 over the Tibetan Plateau, we compared RS92 RH  
13 measurements with simultaneous water vapor measurements from a Cryogenic  
14 Frostpoint Hygrometer (CFH) on 13 August 2011. The CFH is a lightweight (400 g)  
15 microprocessor-controlled instrument and operates on the chilled-mirror principle  
16 using a cryogenic liquid as cooling agent. It includes several improvements over the  
17 similar NOAA/CMD instrument. It is currently designed to be combined with ozone  
18 sondes to provide simultaneous profiles of water vapor and ozone (Vömel et al.,  
19 2007). CFH has been taken in many inter comparison experiment as an absolute  
20 reference for water vapor measurements, including the validation of Aura MLS water  
21 vapor products. After applying the time-lag and solar radiation bias corrections,  
22 corrected RS92 RH measurements show agreement with CFH in the troposphere. The  
23 mean difference between corrected RS92 RH measurements and CFH is a dry bias of  
24 2.9% in the ground layer, while the mean differences in 5-10 km, 10-15 km and  
25 tropopause transition layer region are 1%, 0.6% and 1.4% moist bias, respectively.  
26 Therefore, the accuracy of corrected RS92 RH measurements is comparable to the  
27 accuracy of CFH in the UTLS (Yan, 2012).

28 **2.3 Satellite Observations**

29 The Cloud–Aerosol Lidar with Orthogonal Polarization (CALIOP) onboard  
30 CALIPSO (Winker et al., 2003), is used to characterize aerosol extinction profiles in

1 the UTLS, which is a three-channel (532 nm parallel, 532 nm perpendicular, 1064 nm)  
2 elastic lidar receiving light at the same wavelength as the emitted laser frequency.  
3 CALIOP sends short and intense pulses (1064 and 532 nm) of linearly polarized laser  
4 light downward towards the Earth. The atmospheric backscatter profile is retrieved at  
5 60 m vertical resolution from 8–20 km with a horizontal resolution of 1 km. The  
6 Level 2 aerosol extinctions at 532nm of CALIOP (version 3.0) (available at  
7 [http://www-calipso.larc.nasa.gov/tools/data\\_avail/](http://www-calipso.larc.nasa.gov/tools/data_avail/)) were used to compare with the  
8 ground based MPL on the Plateau. CALIOP data are selected over a 300 km×300 km  
9 square with a MPL location in its center.

10 We used the water vapor profiles observations (version 3.3) from the Microwave  
11 Limb Sounder (MLS) on the NASA Aura satellite (Waters et al., 2006). Aura MLS  
12 measurements include water vapor, ozone and carbon monoxide that are useful tracers  
13 of tropospheric and stratospheric air; these data have been used to document enhanced  
14 levels of carbon monoxide in the upper troposphere over the Asian monsoon (Li et al.,  
15 2005a; Filipiak et al., 2005) and also over the North American summer monsoon (Li  
16 et al., 2005b).

17

### 18 **3 Results**

19 Fig.1a shows one case of aerosol enhancement observations in UTLS in the  
20 whole day of 7 Aug 2011. The case is the typical situation observed frequently over  
21 the Tibetan Plateau during the TOAR campaign. At nighttime (2100-0700 LST),  
22 aerosol enhancements were detected at relative constant altitudes from 17.0 km to  
23 18.5 km (a.m.s.l.) due to the higher signal to noise ratios (SNR) of lidar compared  
24 with the daytime. A Vaisala RS92 radiosonde was launched at 0656 UTC on 8 August  
25 and reached the tropopause at 17 km about 1 hour later. Fig.1b presents the  
26 temperature and RH measured by this sounding along with lidar. These rather thick  
27 aerosol layers had optical depths around 0.01 and occurred in the temperature range  
28 between -70 and -80 °C. The radiosonde data indicate that the air in the aerosol layers  
29 was relatively dry with RH of about 5% above an abrupt decrease of RH around the  
30 tropopause, which is very similar to the other cases measured this month with

1 maximum RH of 10%.

2 Fig.2 shows the vertical profiles of aerosol Scattering Ratios (SR) measured at  
3 Naqu during 6–26 August 2011, along with the daily mean profiles of temperature.  
4 The measurements display relatively high aerosol extinction coefficients in the UTLS,  
5 which are 4-9 factors higher than those at altitudes below and close to (even higher  
6 than, such as on 6 and 12 August) molecular scattering coefficients at the same  
7 altitude. Compared with SR profiles of Nabro volcanic aerosols from MPLNET,  
8 EARLINET, NDACC and Hefei stations during June and July (Sawamura et al.,  
9 2013), the maximum SR of aerosol layers in UTLS over the Tibetan Plateau are  
10 similar to that over Universitat Politècnica de Catalunya, Barcelona, Spain (41.39 N,  
11 2.11 E) as one of EARLINET stations but larger than the other observations. The  
12 highest aerosol extinction coefficients in the UTLS over the Tibetan Plateau generally  
13 located at 18-19 km altitudes, which are 1-2 km higher than the tropopause.  
14 Tropopause temperatures ranged from -70 °C to -80 °C, and the height of the  
15 tropopause varied from 80 hPa to 100 hPa (from 17 km to 18 km), during the  
16 observational period. Moreover, such relatively high aerosol extinction coefficients  
17 could extend over broad layers, ranging approximately 3-4 km.

18 The CALIOP aerosol extinction coefficients over UTLS are available for 12, 13,  
19 18 and 20 August. Fig.3 compares the average extinction coefficient profile of MPL  
20 with that of CALIOP and shows a good agreement between the two instruments in  
21 both aerosol layers altitude and the value of extinction coefficient. In particularly, the  
22 MPL profiles show less standard errors at each vertical resolution altitude possibly  
23 due to the good SNR of MPL observed at an high altitude of the lidar station and clear  
24 atmospheric environment over the Tibetan Plateau.

25 Fig.4 shows time series of total AOD and its daily averaged results, which varied  
26 from 0.075 to 0.142 with maximum of 0.214 at 11:27 LST on 25 Aug. The percentage  
27 of AOD of the enhanced aerosol layers in total AOD each day is also overlapped in  
28 this figure as the bar. The total AODs were derived from a Microtops II Sun  
29 photometer collocated by lidar. The percentage of AOD varied between 5% (25 Aug)  
30 and 40% (12 Aug) with about 15% for most of days, and it would possibly be even

1 greater due to the available Sun photometer measurements were available only in  
2 daytime, when more aerosols entry the atmosphere from the ground induced by  
3 stronger emissions from human activities. While the daily averaged AODs of the  
4 enhanced aerosol layers in UTLS over the Tibetan Plateau varied from 0.007 to 0.030  
5 with all samples averaged value of 0.016. Compared to the observations from lidar  
6 networks such as EARLINET, MPLNET and NDACC in June 2011, soon after  
7 eruption of Nabro volcano, such AOD levels of UTLS over the Tibetan Plateau are  
8 the same as the globally averaged ones with an order of  $0.018 \pm 0.009$  and a range of  
9 0.003 to 0.04 at 532 nm (Sawamura et al., 2013). However, the percentages of the  
10 UTLS AOD in the total AOD over the Tibetan Plateau are slightly higher than those  
11 observations, with the latter varying from 2% to 23% at 532 nm. This might be on  
12 account of more clean atmospheric environment over the Tibetan Plateau.

13 According to the period of occurrence of aerosol layers in UTLS, the continuous  
14 lidar observation can be split into two stages: 6 to 12 (S1) and 22 to 26 (S2) August  
15 2011 for the continuous maintenance stages of aerosol layer. Between the two stages,  
16 the existence of low clouds decayed the lidar signal to the extent that available aerosol  
17 layer could not be observed in UTLS. Additionally, cirrus in the upper troposphere  
18 would increase the retrieval error of extinction coefficient of above aerosol layer, and  
19 these cases were also removed from the dataset. Fig.5. shows the daily variation in  
20 plateau monsoon index (PMI) and the seven-day averaged PMI time series from 1  
21 July to 31 August 2011, with an overlap of cirrus occurrence (He et al. 2013) and  
22 AOD in UTLS. PMI is an indicator of the daily mean intensity of the Tibetan Plateau  
23 monsoon. A larger PMI value indicates stronger monsoon in summer, which can be  
24 determined as follows (Tang et al. 1984):

$$25 \quad PMI = H_1 + H_2 + H_3 + H_4 - 4H_0 \quad (2)$$

26 where  $H$  is the daily deviation from the monthly mean geo-potential height at 600 hPa.  
27 The subscript numbers 0 to 4 indicate the location of the Center (90 °E, 32.5 °N), West  
28 (80 °E, 32.5 °N), South (90 °E, 25 °N), East (100 °E, 32.5 °N) and North (90 °E, 40 °N) of  
29 the Plateau, respectively.

30 Many researchers have adopted the PMI to analyze the Tibetan Plateau monsoon

1 variation. It is concluded that the index can reasonably describe the main  
2 characteristics of the Tibetan Plateau monsoon (e.g., Bai et al. 2001; Bai et al. 2005;  
3 Xun et al. 2011). These two stages might be caused by the different circulation  
4 systems due to an apparent time interval of about 10 days with PMI undergoing a  
5 substantial oscillation. During the first stage (from 6 to 12 August 2011), when the  
6 AOD decreased from 6 to 7 August and increased from 8 to 12 August, the values of  
7 PMI experienced an increasing trend from -20 on 6 August to 63 on 12 August. The  
8 values sharply decreased to below -40 in the second stage with the low and  
9 continuous decreasing AOD over UTLS from 22 to 26 August 2011. Two obvious  
10 features can be found in the temporal variation of AOD: (i) AOD showed a decreasing  
11 trend accompanied by decreasing PMI during the campaign period, indicating that the  
12 aerosol layers wore off gradually with the reducing intensity of the Asian monsoon  
13 over the Tibetan Plateau at the end of August. Bourassa et al. (2012) found that the  
14 strong Asian monsoon anticyclone, which existed from June through September over  
15 Asia and the Middle East, where the Nabro volcanic aerosol was observed with  
16 OSIRIS, and the enhanced aerosol dispersed and quickly circulated throughout the  
17 Northern Hemisphere at the end of August, when the Asian monsoon anticyclone  
18 began to decay. And (ii) when the intensity of the Tibetan Plateau monsoon  
19 circulation subsided to PMI less than 0, the AOD in UTLS kept persistent decline  
20 regardless of the variation trend of PMI, indicating that confinement of the air in the  
21 lower stratosphere induced by Asian monsoon anticyclone were destroyed to benefit  
22 the enhanced aerosol dispersing to the whole Northern Hemisphere.

23 According to the previous studies, deep convective activities are also considered  
24 to play an important role for transporting aqueous solution droplets of troposphere  
25 into stratosphere. It has been verified that deep convection over the Tibetan Plateau is  
26 likely to be a primary pathway for water vapor from the maritime boundary layer (e.g.,  
27 Indian Ocean, South China Sea). Dessler and Sherwood (2004) have also suggested  
28 that convective transport plays a key role for the accumulation of water vapor near the  
29 tropopause, resulting in an increase of  $H_2O$  mixing ratio by more than 5 ppmv near  
30 the tropopause (Gettelman et al., 2002; Park et al., 2004; Fu et al., 2006). But Tobo et

1 al. (2007) used a growth model to calculate the possible growth under given  
2 atmospheric conditions assuming the existence of liquid solutions at equilibrium with  
3 respect to  $\text{H}_2\text{O}$ ,  $\text{H}_2\text{SO}_4$  and  $\text{HNO}_3$ , and found that aerosol growth is sensitive to  $\text{H}_2\text{O}$   
4 mixing ratios. According to the calculated growth curves of liquid solutions as a  
5 function of temperature and water vapor, the high  $\text{H}_2\text{O}$  mixing ratios (more than 5  
6 ppmv) are indispensable condition for producing high concentrations of fine particles  
7 near the tropopause. In fact, the  $\text{H}_2\text{O}$  mixing ratios near the tropopause from Vaisala  
8 RS92 radiosondes released in 6, 8 11 and 23 August 2011 are not more than 2 ppmv,  
9 obviously less than the previous observations, as shown in Fig.6. In consequence, the  
10 effects of gas-to-particle conversion from liquid solutions would likely be secondary  
11 to the enhancement of high tropopause aerosol extinction in these cases.

12 The continuous variation of water vapor distribution observed by satellite, despite  
13 lower vertical resolution, can also be used to investigate the contribution of liquid  
14 solutions conversion to the enhancement of high tropopause aerosol extinction. Fig.7  
15 shows the time series of water vapor profile derived from MLS, tropopause level from  
16 sounder temperature profiles, and the altitude of daily mean maximum aerosol  
17 extinction coefficients in this region. It can be clearly seen that almost all the  
18 abundant water vapor transported by deep convective systems are concentrated below  
19 120 hPa altitude (about 15 km). Meanwhile, the temporal correlation of extinction  
20 coefficients in aerosol layer with water vapor from day to day is weak with correlation  
21 coefficient of 0.36, suggesting that it is impossible that the enhanced tropopause  
22 aerosol is due to the condensation of water vapor.

23 In order to verify further that the enhanced tropopause aerosol is dominantly  
24 induced by the eruption of Nabro volcano, the aerosol loadings in UTLS over the  
25 Tibetan Plateau are compared with those over East China, where the influence of the  
26 Asian monsoon anticyclonic circulation and deep convection transportation were  
27 weak significantly. Table 1 listed some statistical parameters of aerosol layer over  
28 Tibet and Shanghai (31.23 °N, 121.53 °E) for the same period. The aerosol parameters  
29 over Shanghai were also derived from an MPL with the same mode used in the  
30 Tibetan Plateau. The larger averaged extinction coefficient and higher AOD of the

1 aerosol layer in UTLS over Shanghai demonstrate that the enhanced tropopause  
2 aerosol was dominated by the Nabro volcanic emissions with quickly circulation  
3 throughout the Northern Hemisphere at the end of August when the Asian monsoon  
4 anticyclone began to decay.

5

## 6 **4 Conclusion**

7 In this study, we observed significantly increased aerosol extinction coefficients  
8 in UTLS over the Tibetan Plateau by continuous measurements with MPL during  
9 summer 2011. The retrieval of MPL showed a good agreement with CALIOP. The  
10 maximum SR of aerosol layers, up to 4-9, in the UTLS generally located in 18–19 km  
11 m.s.l., 1–2 km higher than the tropopause, with broad layer depth ranging  
12 approximately 3–4 km. Daily averaged AODs of the enhanced aerosol layers in UTLS  
13 over the Tibetan Plateau varied from 0.007 to 0.030, which are at the same levels as  
14 the previous observations. The percentages of AOD for the enhanced aerosol layers in  
15 the total AOD are slightly higher than those observations by Sawamura et al. (2013).

16 The eruption of Nabro volcano is considered as an important factor to explain the  
17 enhancement of tropopause aerosols observed in summer over the Tibetan Plateau.  
18 The aerosol layers wore off gradually with the reducing intensity of the Asian  
19 monsoon over the Tibetan Plateau at the end of August and confinement of the air in  
20 the lower stratosphere induced by Asian monsoon anticyclone were destroyed to  
21 benefit the enhanced aerosol dispersing to the whole Northern Hemisphere.  
22 Deficiency in water vapor in UTLS indicates that the effects of gas-to-particle  
23 conversion from liquid solutions induced by deep convective activities would likely  
24 be secondary to the enhancement of high tropopause aerosol extinction in these cases.

25 It is must be noted that our interpretations are based on a short time observation.  
26 It is difficult to conclude that either one of the two processes is dominant due to lack  
27 of observations for trace gases. If further observations with more frequent soundings  
28 of water vapor and trace gases can be performed to investigate a correlation of high  
29 aerosol extinction with ambient temperatures, water vapor, trace gases, liquid  
30 solutions and transport processes, the result will be helpful in validating origination

1 and mechanism of the enhanced aerosol extinction in UTLS.

2  
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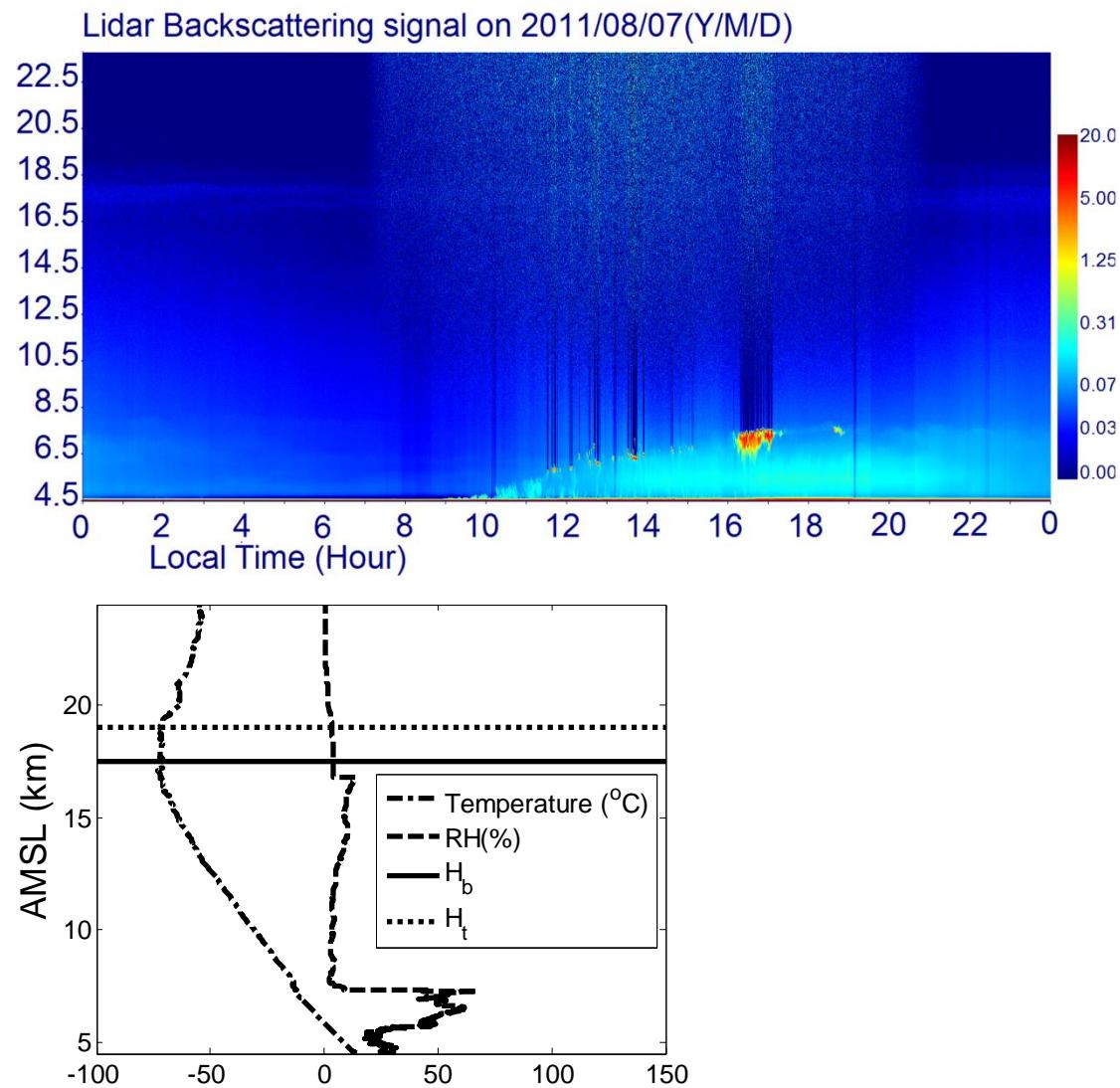
1 **Table 1** Statistical parameters of aerosol layer over Tibet and Shanghai. Maximum extinction  
 2 coefficient (ECmax), Averaged extinction coefficient (ECave), aerosol layer depth(ALD), aerosol layer  
 3 height over sea level and aerosol optical depth (AOD) of the aerosol layer from 20:00 to 06:00 local  
 4 standard time (LST). The numbers in parenthesis correspond to the standard deviations.

	EC <sub>max</sub> (km <sup>-1</sup> )	EC <sub>ave</sub> (km <sup>-1</sup> )	ALD(km)	ALH(km)	AOD
Tibet	0.007	0.002(0.002)	3.604(1.626)	18.492(0.248)	0.016(0.002)
Shanghai	0.010	0.006(0.003)	4.380(0.764)	16.860(0.839)	0.027(0.006)

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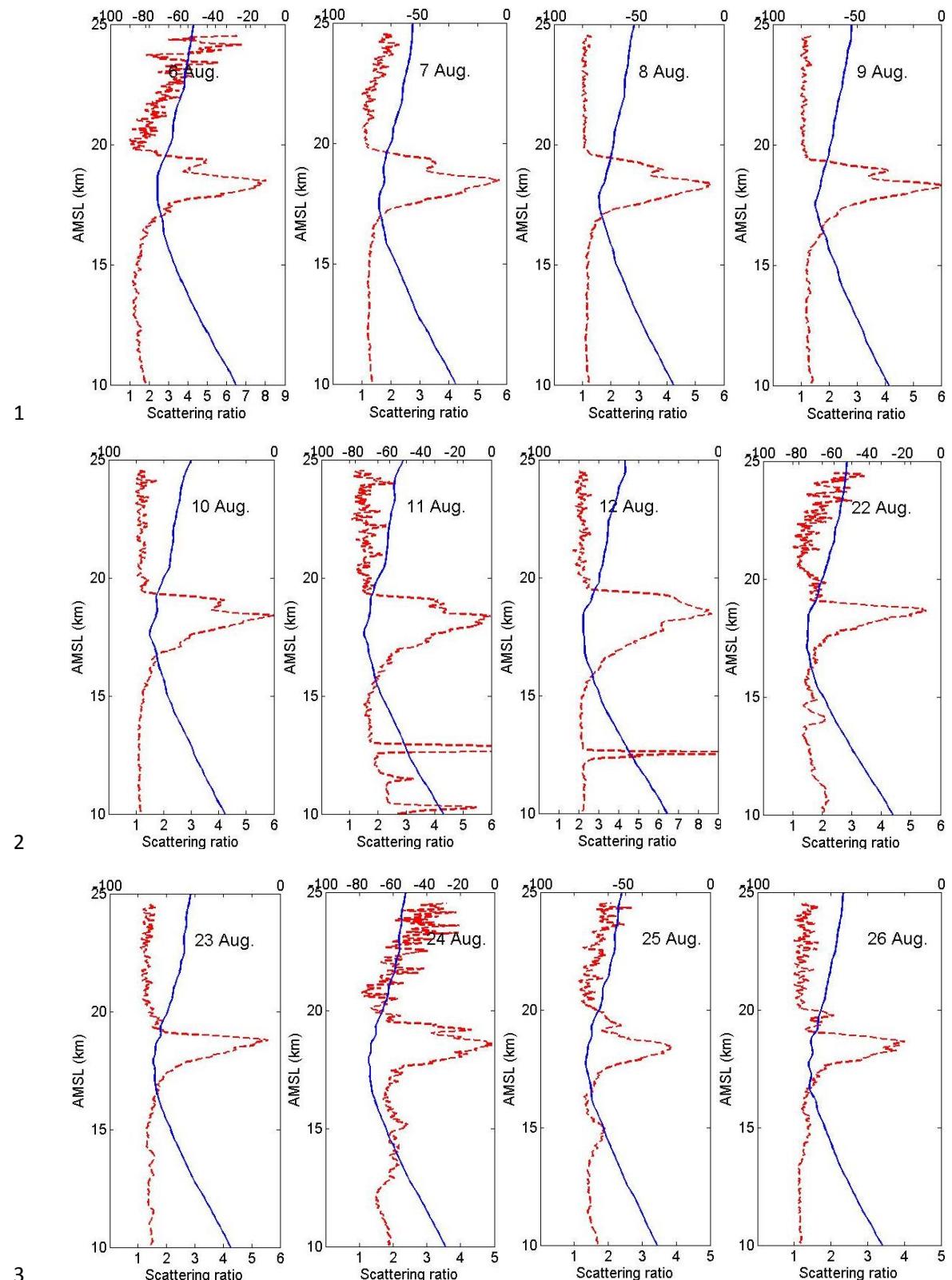
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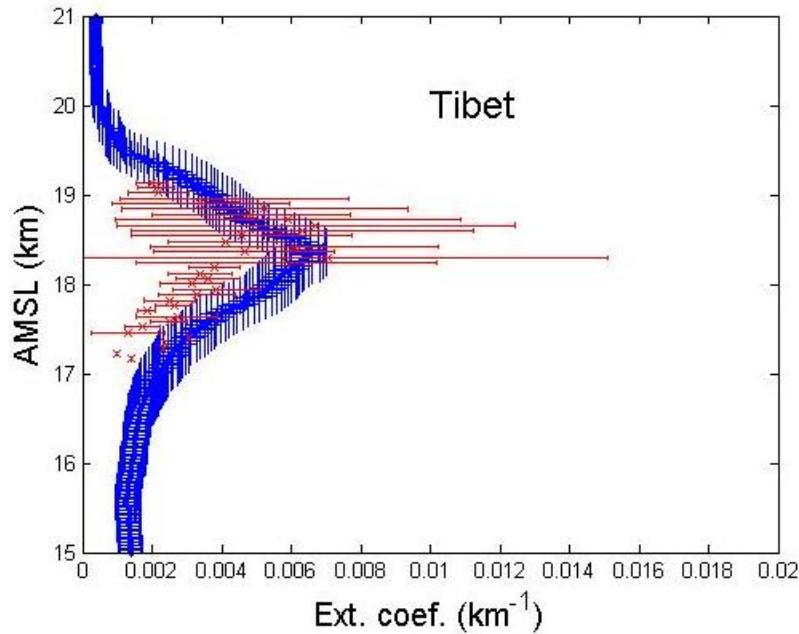


10 **Fig.1.** (a) One case of aerosol enhancement observations during the TOAR campaign. Range-corrected  
 11 532 nm signals are shown with 30 s and 30 m resolution. (b) Temperature and RH profiles measured by  
 12 the RS92 radiosonde.  $H_t$  and  $H_b$  denote the mean top and base heights of aerosol layer, respectively.

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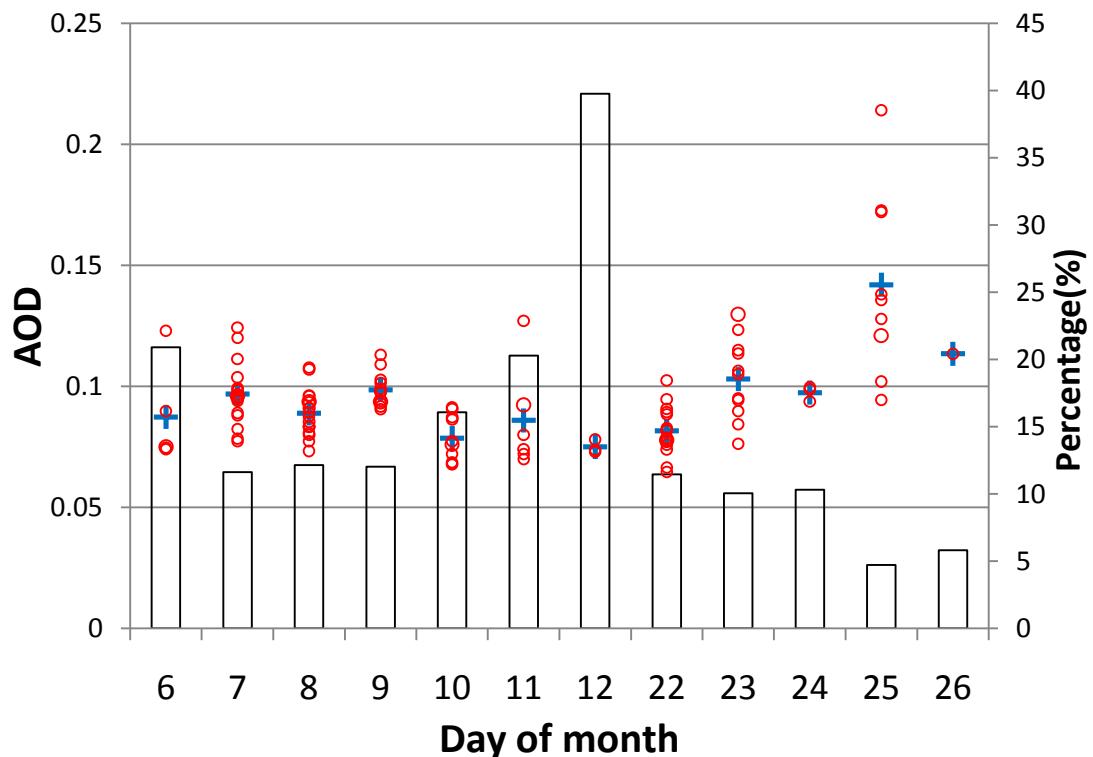


4 **Fig. 2.** The nighttime mean aerosol scattering ratio profiles (dashed line) from MPL. The daily  
 5 mean profiles of temperature (solid line °C) from the two radiosondes each day are overlaid to  
 6 indicate the altitude of the tropopause (~18 km a.m.s.l.).

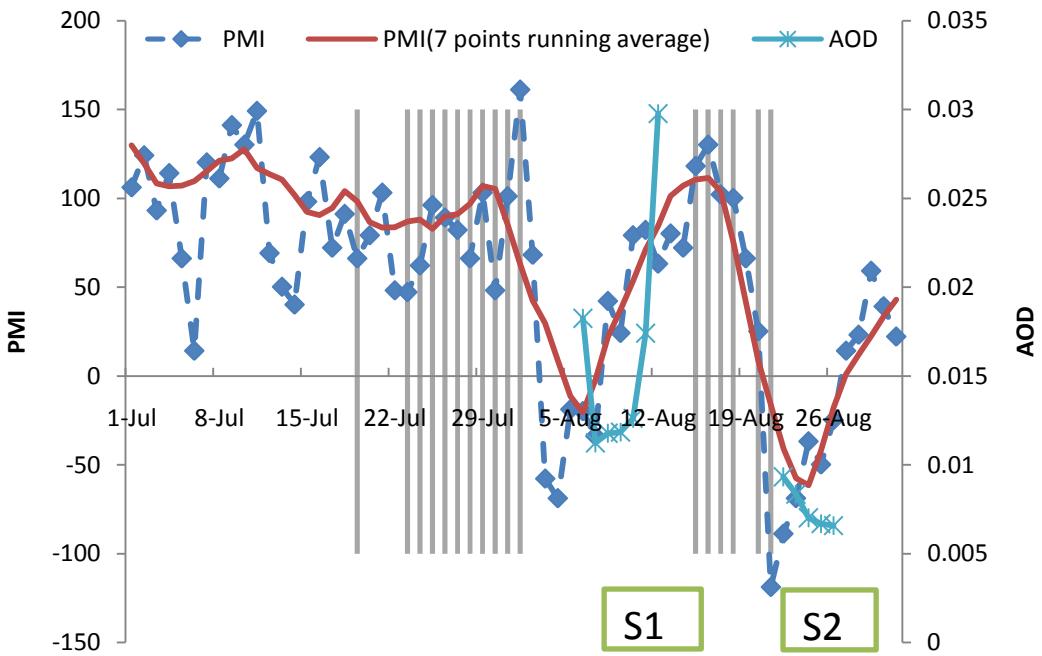


1      **Fig.3.** The average extinction coefficient profile of MPL (blue solid line) and the average  
2      extinction coefficient at each layer from CALIOP (red stars) during the whole observation period.  
3      The standard errors are marked as the error bar.  
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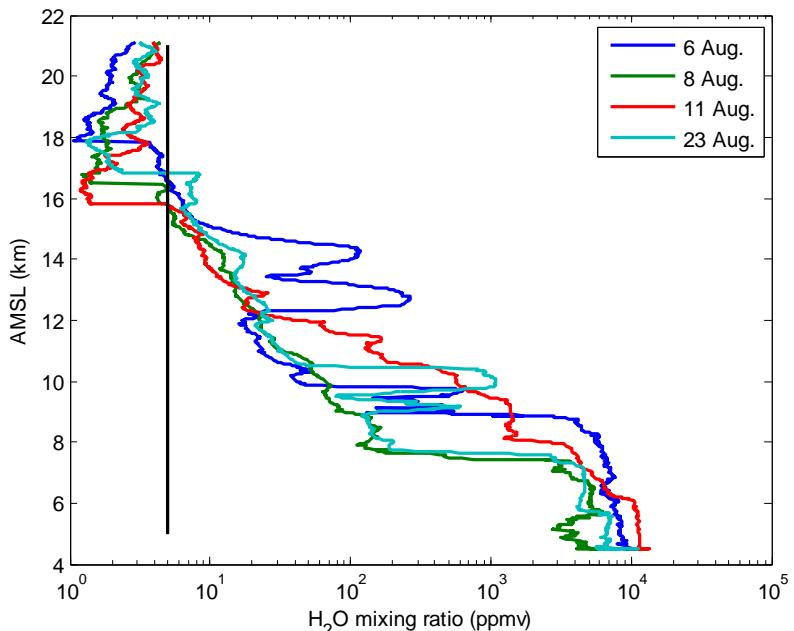


6      **Fig.4.** Time series of total AOD (o) and its daily averaged results (+) derived from Microtops II  
7      Sunphotometer. The percentage of daily averaged AOD of the enhanced aerosol layers in the total  
8      AOD is defined as the bar.  
9



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2 **Fig.5.** Daily variation of PMI and the 7-day-averaged PMI time series from 1 Jul to 31 Aug  
3 2011 and AOD in UTLS retrieved from MPL over the Tibetan Plateau. The days with cirrus  
4 occurrence are shaded (He et al. 2013). S1 and S2 represent two continuous maintenance stages of  
5 aerosol layer by lidar observation from 6 to 12 and from 22 to 26 August 2011, respectively.

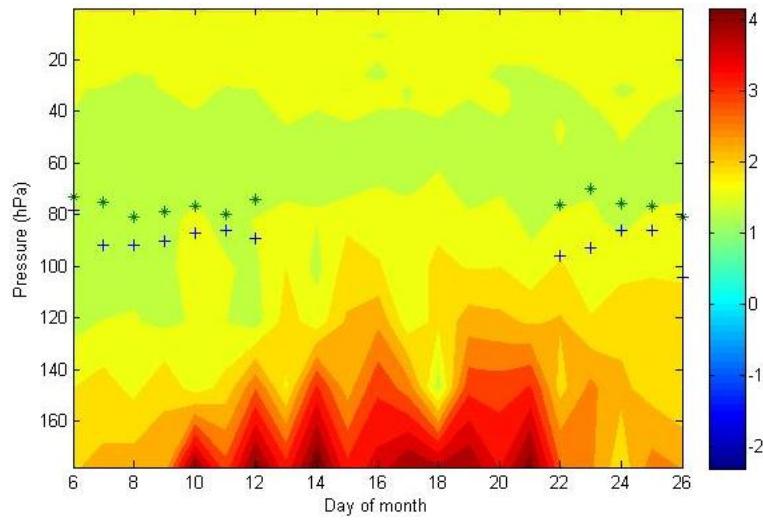
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8 **Fig. 6.** Vertical profiles of water vapor from Vaisala RS92 radiosondes released in 6, 8, 11 and 23  
9 August 2011, respectively. The black line along y axis represents the 5 ppmv of water vapor mixing  
10 ratio.

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2

3 **Fig. 7.** Altitude-time distributions of MLS water vapor (ppmv, color bar in natural logarithm)  
4 from 6 to 26 August 2011. Stars indicate the layer with nighttime mean maximum extinction  
5 coefficient and pluses stand for the tropopause level of each day, respectively.