

*Anonymous Referee #1*

Thank you for taking the time to read this manuscript and offer your comments. We have addressed your concerns and improved the manuscript.

### *OVERVIEW*

*This paper presents results of a sensitivity study with the GEOS-5 atmospheric GCM. What might be thought of as a small detail in the turbulence scheme is changed: the turbulence length scale. Three alternative formulations for the length scale are tested, each are estimates of PBL depth that have been studied in other contexts; two are eddy diffusion coefficient based and one is based on the bulk Richardson number. The results are presented by exploring the impact on mean climate, with a particular emphasis on aerosol and trace gas distributions. Since the determination of this length scale plays directly into the local turbulent mixing, it has important consequences for the structure of the boundary layer and lower troposphere, including mixing between (near) surface quantities and the free troposphere. The large-scale circulation is ultimately affected by the choice, and the text shows differences in the low-level winds, temperature, specific humidity, and surface pressure to drive that point home. Because of the impact on mixing, the aerosol optical thickness is altered (though the pattern remains qualitatively similar for the three schemes). Changes in the dust distribution are emphasized, since the emission of dust is related to winds which are also changed by the model changes. The CO and CO<sub>2</sub> distributions are similarly explored. The main difference among the schemes seems to be between the K-based and Ri-based schemes, with the Ri-based one having a shallower nighttime boundary layer. This study follows a diagnostic study by some of the authors that was previously published in ACP, which is probably the reason this manuscript was submitted to ACP rather than a model-development journal or a journal with more focus on large-scale climate phenomena; this choice seems fine to me, especially given the emphasis on aerosol and trace gas distributions. The methodology and analysis is reasonable, and the results are interesting on their own as a study of the impact of the boundary layer on global climate. There are some weaknesses in the paper that could be addressed in a revised manuscript. Generally the paper comes off as a little too much "show-and-tell" and is a little light on providing an assessment of the processes that are leading to the differences among the schemes. One glaring omission is that there is no evidence for this diurnal effect of the Ri-based scheme except to reference the previous diagnostic study; much of the explanation for the results falls onto understanding the diurnal variation of the boundary layer and how it differs with different forms of the length scale, so I think there should be a section/subsection devoted to a more detailed discussion of it.*

Thank you for your comments. We have included a discussion and figure of the diurnal cycle of the PBL depth.

### *COMMENTS*

*1. Introduction - This introduction works fairly well, but I was struck by the strong emphasis on aerosol effects. Since the PBL depth (I'll call it  $h$ ) is being used to control the strength of turbulence, there are more fundamental processes tied up with the changes being made to the model such as cloud cover, transitions between convective regimes, moistening of the lower*

*troposphere, etc. Also dynamics like low-level jets will be impacted by nocturnal changes in h (potentially), as are things like the placement, strength, and geometry of convective zones. Some of these are dealt with later in the paper, but I was surprised that the role of the boundary layer in moderating the global circulation, energy and water cycles wasn't stated more strongly in the first few paragraphs.*

The importance of the PBL for global climate is now discussed in the introduction.

*2. pg 31631, line 6: "the cubed sphere dynamical core" - I think this is not quite the right way to say this. It is a finite volume dynamical core that happens to be using a cubed sphere grid.*

This has been reworded.

*3. Section 2.1: I found it interesting that changing this length scale is only altering the local part of the turbulent mixing (if I understand correctly), and changes in the state will impact the non-local turbulence indirectly only. It does bring up the question of what the relative roles of the local and nonlocal mixing are. This study shows the local component's influence (mostly). Since the nonlocal is especially relevant for thermals and cloud-top driven turbulence, should we infer this is why the biggest effects here are found in the stable boundary layer regimes? Any comments on this general topic would be of interest for readers interested in boundary layer parameterization.*

The change to the turbulent length scale does only alter the local turbulence scheme and this is now explicitly stated at the end of section 2.1. A clarification was added to the beginning of section 3 that the greater impact to the nocturnal PBL depth is a result of the methodological differences in estimating the PBL depth between the Kh and bulk Ri based methods.

The atmospheric turbulence under stable conditions is estimated using the Louis scheme only. Atmospheric turbulence under unstable conditions is estimated using the combination of the Lock and Louis schemes. This is discussed in section 2.1.

*4. Section 2.5: The validation data section is short, but it could be even shorter. There's not a lot of information there other than names and references.*

This section has been shortened.

*5. By the beginning of Section 3, I was surprised to see no reference to the Seibert et al. (DOI: 10.1016/S1352-2310(99)00349-0) study of method of determining mixing height.*

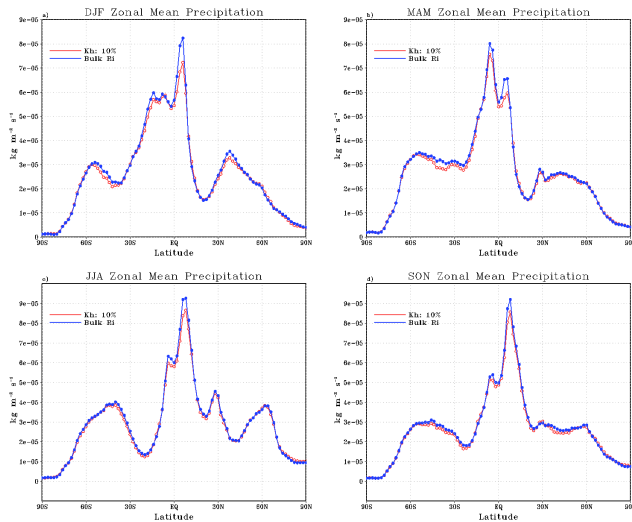
This reference is now included in the introduction.

*6. pg 31637, lines 2-4: The statement that a shallower PBL entrains cooler, moister air seems an overgeneralization. This is probably true regionally, but wouldn't other regions be different. For example, under subsidence in subtropical oceans, wouldn't a lower PBL top entrain warmer, drier air? Or maybe there's an interpretation difference, cooler and moister than what?*

We've removed the reference to moisture. Due to stability considerations, potential temperature increases with height so air entrained at a lower height will have a lower potential temperature than air entrained higher, for a given profile. This has now been clarified in the text.

7. pg 31639, around line 6, related to Figure 3: *The changes in the meridional circulation seem to indicate changes in the ITCZ, but it isn't quite clear whether the change is a shift in position or a change in strength. Is there an associated change in zonal mean precipitation that could help to clarify?*

We added a figure (below) showing the zonal mean precipitation. While there is no change in the location of the ITCZ, the magnitude is larger in the Bulk Ri experiment.



8. pg 31639, related to Figure 4: *Similar to the previous question, but here it seems the patterns might indicate that there are changes in the position/strength/variability of the midlatitude jets. The differences are mostly insignificant, but possibly because the runs are too short to have an adequate sample (though w/ 10 ensemble members, one might have hoped for decent signal to noise). Several follow up questions: - is the jet different? - are there differences in the baroclinic zones that manifest either as changes in the eddy transports or precipitation or anything? - do these differences become statistically significant if the runs are extended?*

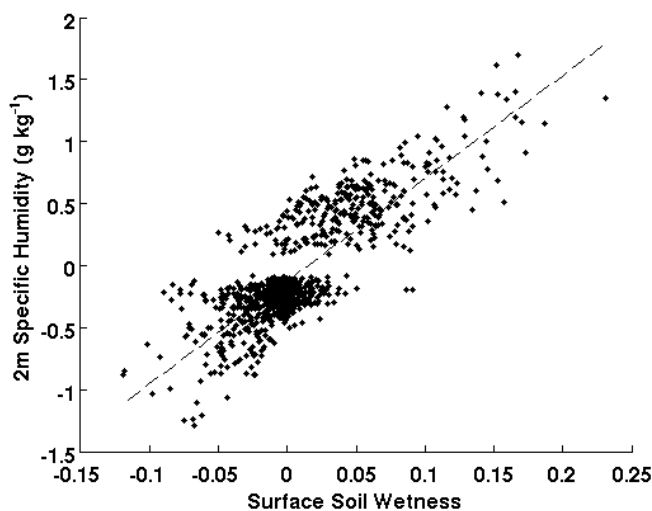
We have added a discussion of the changes in the midlatitude jets to the manuscript along with the discussion of the pressure differences. Specifically, the jet in the winter hemisphere is displaced southward. This effect is present throughout the vertical column in the southern hemisphere during JJA and above about 700 hPa in the northern hemisphere during DJF.

9. noted at page 31641, but true throughout: *Picking out small regions makes the text rather cluttered. Can generalizations be made using, say, scatter plots that show the change in 2m specific humidity versus the change in soil moisture? Similarly in other parts of the text, some relationships are noted, but it is very hard to tell if there is an underlying principle at work, or if the feature is coincidence or a combination of many processes (and not understood). In this sense, the maps are fine as a first look, but it would be more informative to see if there are*

*quantitative patterns within the map that are related to the physics of the model independent of the spatial distribution.*

Below is a scatter plot showing the relationship between differences (Bulk Ri experiment minus Kh: 10% experiment) in 2m specific humidity and differences in surface soil wetness for significant differences in 2m specific humidity over land. The dashed line is the linear regression best fit with a correlation coefficient of 0.8223 that is significant at the 99% level using the student's t distribution. It is shown in this plot that increasing soil wetness is associated with an increase in 2m specific humidity.

Much of the text has been reworded to eliminate references to specific regions to produce a greater emphasis on processes.



*10. I wasn't sure why at Figure 9 we go back to looking at all three schemes when the two k-based schemes were already shown to be similar to each other.*

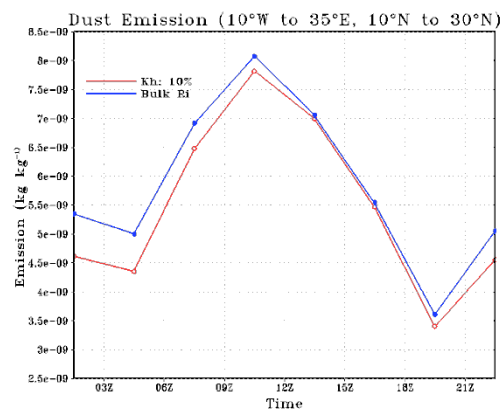
We have removed the Kh: 2 scheme from the figure and this discussion.

*11. pg 31642, related to the dust transport: It would be interesting to see how the dust gets out of the PBL in the different configurations. Presumably the differences in the low-level wind speed also have a diurnal component, so is there a chain of interactions as the wind and PBL depth change through the day, lifting the dust to different levels in the different configurations during the daytime before the PBL becomes stable at nighttime? What does the diurnal cycle of dust emission look like over the Sahara? What does the dust transport tendency look like through the diurnal cycle- especially, does the dust get transported downstream much more efficiently at nighttime when the free tropospheric wind is strong than during the daytime when the PBL turbulence is likely dominating the transport at lower levels? This also hits on the point made in the overview that the paper invokes the diurnal cycle a lot, but doesn't show any results to support that reasoning (although there's no reason to doubt that the reasoning is correct).*

One of the main mechanisms for transport of tracers from the boundary layer to the free troposphere is through venting due to the evening collapse of the boundary layer (Donnell et al., 2001). The stronger nocturnal collapse of the boundary layer in the Bulk Ri experiment therefore allows more dust to be transported to the free troposphere. Since tracers in the free troposphere generally have a longer lifetime, they are subject to greater long-range transport. This is now explained in the manuscript.

Donnell, E. A., Fish, D. J., Dicks, E. M., and Thorpe, A. J.: Mechanisms for pollutant transport between the boundary layer and the free troposphere, *J. Geophys. Res.*, 106(D8), 7847-7856, doi: 10.1029/2000JD900730, 2001.

Below is a plot showing the average diurnal cycle of dust emission over the Sahara during JJA. The peak emission occurs when the 10m wind speed peaks, during the early morning. This is also when the PBL is growing.



12. pg 31644, line 8-9: *Are the stability and PBL depth strongly correlated in these regions?*

We have removed the reference to the lower tropospheric stability in this section. Lower tropospheric stability is one of the control mechanisms of PBL depth (Medeiros et al., 2005) and so is correlated with PBL depth.

Medeiros, B., Hall, A., and Stevens, B.: What controls the mean depth of the PBL? *J. Climate*, 18, 3157-3172, doi: <http://dx.doi.org/10.1175/JCLI3417.1>, 2005.

13. *One could argue that the PBL depth definitions used here are just showing model sensitivity to any process that affects low-level mixing. Changing part of the Lock Scheme might similarly impact the climate, swapping the turbulence scheme(s) completely even while retaining the PBL depth calculation would change the climate, changing the shallow convection scheme would change the climate, or even changing the cloud physics would change the mixing by interacting with radiation and the turbulence. So is it fair in the end to say that the PBL is so important, or just that we must be cognizant of the interactions of the processes representing subgridscale mixing? This comment occurred to me as I read through the conclusions section, and it might be worth commenting on the interpretation of these results. Similarly, the mixing of the lower*

*troposphere has recently been noted as being very important for climate change (Sherwood et al. 10.1038/nature12829), which might be worth mentioning specifically.*

We've expanded the conclusions. The effect of changing the turbulent length scale represents the model sensitivity to processes affecting lower tropospheric mixing. However, the PBL depth is a unique indicator of lower tropospheric mixing because it can be compared to estimates produced from the international network of radiosondes and other instruments. It is specifically essential to accurately simulate the PBL depth in GEOS-5 due to the dependence of the vertical distribution of biomass burning emissions in the model.

#### *TECHNICAL COMMENTS*

*1. pg 31630, lines 17-19: The sentence starting with "Use of the PBL depth..." doesn't read very well, I think because the phrase "has been done" sounds too informal, and maybe somewhat vague.*

This has been reworded.

*2. pg 31639, line 27: increase should probably be changed to 'stronger'*

Done.

*3. pg 31645, line 29: "SD" wasn't defined, and it would just be easier to write standard deviation.*

It is written as standard deviation in our copy of the manuscript and was changed to SD by the copy editors.

*Anonymous Referee #2*

Thank you for reading this manuscript and offering your comments. They have been addressed in the responses below.

*This study shows the sensitivity of the algorithm to calculate the PBL depth in the climate-chemistry model GEOS-5. I found the article interesting, but as it is now written, it is submitted to the wrong journal. In my opinion, this article needs to be submitted to Geophysical Model Development or a similar journal. These journals aim at testing and developing parameterizations and their impact.*

We submitted to ACP because this work builds off our previous work examining the diagnostic evaluation of PBL depth in GEOS-5 already published in ACP (McGrath-Spangler and Molod, 2014) and because this manuscript is concerned with the transport and concentration of chemical constituents in the atmosphere.

McGrath-Spangler, E. L. and Molod, A.: Comparison of GEOS-5 AGCM planetary boundary layer depths computed with various definitions, *Atmos. Chem. Phys.*, 14, 6717-6727, doi:10.5194/acp-14-6717-2014, 2014.

*The article treats too many subjects and the reader is left with too many open questions. I would like to put three examples in which I think the authors should go deeper in their analysis in order to disentangle the impact of different planetary boundary depth calculations in their results. First, in section 3 there is a description on the differences of PBL depths due to the application of three different criteria method. Nothing is mentioned whether these differences lead to different surface fluxes and entrainment of warmer and drier air. At page 31636 it is mentioned that there are differences, but not quantitative explanation is given. A similar comments holds for the surface fluxes. In consequence, it is unclear the reasons of the different PBL calculations. Second, differences in the aerosol optical thickness (AOT) leads to a different vertical distribution of aerosol. Depending on the aerosol absorption and scattering characteristics, the vertical profiles of the thermodynamic variables can have relevant differences that can impact in the performance of the algorithm. In addition, it is also not discussed how the differences in AOT impact the surface forcing and therefore the estimation of parameter related to the turbulence parameterizations. Third, it is mentioned at the end of section 3 that the algorithm 3 leads to more marine low level clouds, that in turn modifies the surface and inversion conditions due to differences in radiation and turbulence conditions How do these interactions between physical parameterizations influence their findings?*

At the beginning of section 3, we've added a discussion of the diurnal cycle of PBL depth differences among the three methods and a figure showing the diurnal cycle averaged over northern Africa and tropical South America.

In section 3, we've added a discussion of the effect of the changes on sensible and latent heat fluxes, how they impact surface-atmosphere interactions, and the impact on boundary layer top entrainment.

The vertical redistribution of Saharan dust and its impact on temperature and radiation is discussed in Section 4. Specifically, the increase in atmospheric dust between 800 hPa and 500 hPa contributes to a warming due to an increase in shortwave radiation absorption. This shades the lower atmosphere and produces a cooling due to less absorption of shortwave radiation near the surface, creating an increase in lower tropospheric stability.

We've added a short discussion of the effect of increasing low-level clouds on longwave radiation and temperature and that these effects can modify the PBL to the end of Section 3.

*In my opinion, if the authors want to submit again the article to Atmospheric Chemistry and Physics they need to analyse in depth one of the subject in order to understand how the different algorithm definition not only impacts the turbulence parameterizations, but also the other key processes related to it*

Please see above for a more detailed description of the modifications we have made to the manuscript to address your concerns.



1 **Impact of Planetary Boundary Layer Turbulence on Model**  
2 **Climate and Tracer Transport**

3

4 **E. L. McGrath-Spangler<sup>1,2</sup>, A. Molod<sup>2,3</sup>, L. E. Ott<sup>2</sup>, and S. Pawson<sup>2</sup>**

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1 **Abstract**

2 Planetary boundary layer (PBL) processes are important for weather, climate, and tracer  
3 transport and concentration. One measure of the strength of these processes is the PBL depth.  
4 However, no single PBL depth definition exists and several studies have found that the estimated  
5 depth can vary substantially based on the definition used. In the Goddard Earth Observing  
6 System (GEOS-5) atmospheric general circulation model, the PBL depth is particularly  
7 important because it is used to calculate the turbulent length scale that is used in the estimation  
8 of turbulent mixing. This study analyzes the impact of using three different PBL depth  
9 definitions in this calculation. Two definitions are based on the scalar eddy diffusion coefficient  
10 and the third is based on the bulk Richardson number. Over land, the bulk Richardson number  
11 definition estimates shallower nocturnal PBLs than the other estimates while over water this  
12 definition generally produces deeper PBLs. The near surface wind velocity, temperature, and  
13 specific humidity responses to the change in turbulence are spatially and temporally  
14 heterogeneous, resulting in changes to tracer transport and concentrations. Near surface wind  
15 speed increases in the bulk Richardson number experiment cause Saharan dust increases on the  
16 order of  $1e-4 \text{ kg m}^{-2}$  downwind over the Atlantic Ocean. Carbon monoxide (CO) surface  
17 concentrations are modified over Africa during boreal summer, producing differences on the  
18 order of 20 ppb, due to the model's treatment of emissions from biomass burning. While  
19 differences in carbon dioxide (CO<sub>2</sub>) are small in the time mean, instantaneous differences are on  
20 the order of 10 ppm and these are especially prevalent at high latitude during boreal winter.  
21 Understanding the sensitivity of trace gas and aerosol concentration estimates to PBL depth is  
22 important for studies seeking to calculate surface fluxes based on near-surface concentrations  
23 and to studies projecting future concentrations.

# 1 1 Introduction

2 Aerosols exert control over the Earth's climate in several different ways. Directly, they affect the  
3 radiative budget through absorption and scattering of both shortwave and longwave radiation  
4 (Sokolik and Toon, 1996; Balkanski et al., 2007). Indirectly, they modify cloud reflectivity and  
5 lifetime through greater numbers of cloud condensation nuclei, smaller cloud droplets, and  
6 suppressed precipitation (Rosenfeld et al., 2001). Iron contained within aerosol dust enhances  
7 biological productivity when transported to the open ocean where it can change oceanic uptake  
8 of the greenhouse gas carbon dioxide (CO<sub>2</sub>) through changes to marine photosynthesis (Fung et  
9 al., 2000; Jickells et al., 2005; Mahowald et al., 2009). Ventilation of tracers, such as dust, out of  
10 the planetary boundary layer (PBL) for transport downwind is dependent upon PBL turbulent  
11 mixing (Sinclair et al., 2008).

12 CO<sub>2</sub> has been increasing at a rate of 1-2 ppm/year (Conway et al., 1994) over the last half  
13 century. However, neither the processes controlling nor the locations of the sources and sinks of  
14 this greenhouse gas are understood (Davis et al., 2003). CO<sub>2</sub> inversion studies, which seek to  
15 estimate the magnitude and location of CO<sub>2</sub> fluxes, are negatively impacted by planetary  
16 boundary layer (PBL) depth uncertainty (Gurney et al., 2002; Gerbig et al., 2003; Baker et al.,  
17 2006) and this introduces uncertainty into estimates of global climate change.

18 Trace gases emitted at the surface are diluted through turbulent mixing in the PBL, and low PBL  
19 depths limit vertical mixing and favor higher accumulation of local pollutants near the surface  
20 (Pérez et al., 2010; McGrath-Spangler and Denning, 2010; Parrish et al., 2011). Vertical mixing  
21 within the PBL therefore affects the magnitude and temporal variability of surface concentrations  
22 and vertical mixing near the PBL top affects horizontal advection and downstream  
23 concentrations. These factors make accurate simulations of PBL mixing and depth critical for  
24 chemistry-transport models (Lin and McElroy, 2010).

25 In addition to these effects on tracer transport, the PBL depth is important for global climate.  
26 Most solar radiation is absorbed at the surface and this energy is transmitted through the rest of  
27 the atmosphere through boundary layer processes (Stull, 1988), affecting the global energy cycle.  
28 Water vapor is transported from the surface through the boundary layer for lower tropospheric  
29 moistening and cloud formation (Stull, 1988). Drying of the PBL as the climate warms therefore  
30 has implications for the global hydrological cycle (Sherwood et al., 2014). Furthermore,

1 [dynamical processes are affected by the PBL depth such as the tendency of a deeper nocturnal](#)  
2 [boundary layer to result in a weaker low-level jet \(Holtslag et al., 2013\).](#)

3 Several studies have found that the estimated PBL depth varies with the definition used. [Seibert](#)  
4 [et al. \(2000\) described multiple PBL depth estimation methods using profiles from radiosondes,](#)  
5 [sodar, and wind profilers, among others. They found the results sensitive to the observing system](#)  
6 [and algorithm used.](#) Seidel et al. (2010) found that the PBL depth estimated using various  
7 definitions from a single atmospheric profile could differ by more than a kilometer and that the  
8 general differences among the definitions evaluated were on the order of hundreds of meters.  
9 Similarly, Vogelesang and Holtslag (1996) found that the formulation of the Richardson number,  
10 the inclusion of a surface friction velocity term, and the critical value of the Richardson number  
11 produced different estimates of PBL depth. Using the Goddard Earth Observing System (GEOS-  
12 5) model, McGrath-Spangler and Molod (2014) evaluated seven PBL depth definitions and  
13 found the largest variations in depth occur for the nocturnal boundary layer, and that the PBL  
14 depth estimated with Richardson number based methods are lower than PBL depths estimated  
15 using methods based on the eddy diffusion coefficient. They also found that Richardson number  
16 based methods produce a shallower midday PBL under warm, moist conditions, such as in the  
17 tropical rainforest.

18 The GEOS-5 AGCM (atmospheric general circulation model) uses the PBL depth to inform the  
19 calculation of the turbulent length scale at the next time step that then impacts the simulated  
20 turbulence and vertical mixing. [Several previous studies \(e.g. Troen and Mahrt, 1986, Ballard et](#)  
21 [al., 1991, Mahrt and Vickers, 2003\) have used the PBL depth in this calculation.](#) This study  
22 seeks to understand the effect of changing the PBL depth definition used within the GEOS-5  
23 AGCM to estimate the turbulent length scale and the impact on the emission, loss, and transport  
24 processes of atmospheric trace gases and aerosols. Section 2 describes the modeling system, PBL  
25 depth definitions, numerical experiments, and the validation datasets. Section 3 details the  
26 impacts of PBL depth definition on the simulated climate. The impact on tracer concentrations  
27 and transport are examined in more detail in section 4. The final section contains the  
28 conclusions.

## 29 **2 Experiment design**

### 30 **2.1 GEOS-5 model description**

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1 The GEOS-5 model is a comprehensive model used in different configurations for simulations of  
2 atmospheric dynamics and chemistry; atmospheric data assimilation operational analyses and  
3 reanalyses; and seasonal forecasting when coupled to an ocean model (Rienecker et al., 2008;  
4 Molod et al., 2012). The ~~finite volume dynamical core on a cubed sphere grid~~ is based on  
5 Putman and Lin (2007). Grid scale moist processes are described in Bacmeister et al. (2006) and  
6 Molod et al. (2012) and employ a modified version of relaxed Arakawa-Schubert convective  
7 parameterization (Moorthi and Suarez, 1992). The radiation schemes are described by Chou and  
8 Suarez (1999, shortwave) and Chou et al. (2001, longwave). The land surface model is the  
9 Catchment Land Surface Model (Koster et al., 2000), and the surface layer turbulence is from  
10 Helfand and Schubert (1995). Seventy-two vertical layers transition from terrain following near  
11 the surface to pure pressure levels above 180 hPa.

12 The GEOS-5 turbulence parameterization uses the non-local scheme of Lock et al. (2000) in  
13 conjunction with the Richardson number based scheme of Louis et al. (1982). The Lock scheme  
14 represents non-local mixing in unstable layers only and computes the characteristics of rising or  
15 descending parcels of air resulting from surface heating and cloud top cooling of boundary layer  
16 clouds. The GEOS-5 implementation includes moist heating in the calculation of buoyancy and a  
17 shear-dependent entrainment in the unstable surface parcel calculations. This scheme can treat  
18 both clear and cloudy layers and the turbulent eddy diffusion coefficients are computed using a  
19 prescribed vertical structure based on the height of the surface or radiative parcels.

20 The Louis scheme computes eddy diffusion coefficients using Richardson number based stability  
21 functions for both stable and unstable layers and is a first order, local scheme. This scheme  
22 requires the specification of a turbulent length scale, which is formulated using a Blackadar  
23 (1962) style interpolation between the height above the surface and a vertical scale based on the  
24 PBL height from the previous time step. Although many AGCMs specify the length scale a priori  
25 to a constant global value (e.g. Sandu et al., 2013), the GEOS-5 formulation estimates this scale  
26 using the PBL depth diagnosed from the atmospheric profile from the previous model time step,  
27 adding “memory” and a dependence on the atmospheric state to the turbulence parameterization.  
28 This study modifies the PBL depth definition used within the Louis scheme turbulent length  
29 scale calculation and examines the model response. Thus, only the local turbulent mixing scheme  
30 is altered though this change indirectly affects the general model climate.

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## 1 2.2 GEOS-5 Trace Gas and Aerosol Emissions

2 The GEOS-5 AGCM includes a prognostic aerosol module based on the Goddard Chemistry,  
3 Aerosol, Radiation, and Transport (GOCART; Chin et al., 2002, Colarco et al., 2014). In this  
4 configuration, GEOS-5 simulates emission, transport, and loss of dust, sea salt, black carbon,  
5 organic carbon, and aerosols. The aerosol species are independent of one another. Aerosol loss  
6 processes depend on meteorological conditions such as wind and precipitation and the vertical  
7 distribution. The model also estimates wet and dry deposition and gravitational settling.

8 Dust and sea salt emissions depend on GEOS-5 wind speeds near the surface and, as a result, are  
9 likely to be particularly sensitive to changes in the model's treatment of turbulent mixing. Dust  
10 emissions are based on those of Ginoux et al. (2001) as modified by Chin et al. (2003). The  
11 emissions depend on wind speed, particle size, and surface wetness and the location of dust  
12 emissions are topographic depression areas with bare soil surfaces (Chin et al., 2003). Dust  
13 optical properties are prescribed based on data from the Aerosol Robotic Network (AERONET)  
14 (Holben et al., 1998) across the visible spectrum merged with the OPAC (Optical Properties of  
15 Aerosols and Clouds) dataset in the longwave (Randles et al., 2013). Sea salt emissions are  
16 computed as a function of sea salt particle radius and frictional velocity based on Gong (2003).

17 GEOS-5 also simulates emission and transport of a number of trace gases including CO and CO<sub>2</sub>,  
18 which are evaluated in this study. Prescribed land and ocean CO<sub>2</sub> fluxes were computed as part  
19 of NASA's Carbon Monitoring System project and are described in detail in Ott et al. (2015).

20 Three hourly net ecosystem production (NEP) of CO<sub>2</sub> is computed by the Carnegie-Ames-  
21 Stanford Approach – Global Fire Emissions Database, version 3 (CASA-GFED3)  
22 biogeochemical model (Potter et al., 1993; Randerson et al., 1996). GFED3 biomass burning  
23 emissions are based on satellite estimates of area burned, fire activity, and plant productivity  
24 from the MODerate resolution Imaging Spectroradiometer (MODIS) (van der Werf et al., 2010).  
25 Ocean CO<sub>2</sub> fluxes are computed as a function of sea surface temperature, surface salinity, and  
26 partial pressure of CO<sub>2</sub> computed by the NASA Ocean Biogeochemical Model (Gregg, 2000;  
27 2002; Gregg et al., 2003; Gregg and Casey, 2007) and 10 m wind speed and atmospheric CO<sub>2</sub>  
28 from the GEOS-5 AGCM. Fossil fuel emissions are from the Carbon Dioxide Information  
29 Analysis Center (CDIAC) computed using the procedure described by Marland and Rotty (1984;  
30 Marland et al., 2008).

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1 Carbon monoxide (CO) emissions follow those of Duncan et al. (2007) and Duncan and Logan  
2 (2008). The Global Modeling Initiative (GMI) chemistry and transport model simulations were  
3 used to calculate methane and hydroxyl climatologies to estimate chemical production and loss  
4 in a computationally efficient manner. Biofuel emissions of CO are from Yevich and Logan  
5 (2003) and biomass burning estimates are from the daily Quick Fire Emission Database  
6 (Darmenov and da Silva, 2015).

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### 7 **2.3 PBL depth definitions**

8 McGrath-Spangler and Molod (2014) evaluated various PBL depth definitions diagnostically,  
9 but the analysis did not include any PBL depth feedback on the turbulent length scale and  
10 therefore on the simulated climate. The present analysis examines the impact of three of those  
11 PBL depth definitions (summarized in Table 1) on tracer transport through their use in  
12 calculating the turbulent length scale. In this way, the different PBL depths are able to affect the  
13 climate and tracer transport within the model.

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14 The first definition evaluated here is the method used to estimate the PBL depth in MERRA  
15 (Modern-Era Retrospective Analysis for Research and Applications) and MERRA2 (Method 1  
16 from McGrath-Spangler and Molod (2014)). This method evaluates the vertical profile of the  
17 eddy diffusion coefficient of heat ( $K_h$ ). The PBL height is estimated as the height of the model  
18 level below where  $K_h$  falls below a threshold value of  $2 \text{ m}^2\text{s}^{-1}$ .

19 The second method (Method 2 of McGrath-Spangler and Molod, 2014) is also based on the  
20 vertical profile of  $K_h$ , but uses a variable threshold equal to 10% of the column maximum  $K_h$  and  
21 linearly interpolates between model levels. The variable threshold was chosen because of its state  
22 dependence and therefore its spatiotemporal variability.

23 The final method evaluated here is Method 4 of McGrath-Spangler and Molod (2014) and  
24 depends on a bulk Richardson number as described by Seidel et al. (2012). This definition is  
25 suitable for both convective and stable boundary layers and was shown by McGrath-Spangler  
26 and Molod (2014) to produce a more realistic diurnal cycle of PBL depth over many land areas.

27 The bulk Richardson number ( $Ri_b$ ) is given by:

$$1 \quad Ri_b(z) = \frac{\left(\frac{g}{\theta_{vs}}\right)(\theta_{vz} - \theta_{vs})(z - z_s)}{u_z^2 + v_z^2}$$

2 where  $g$  is gravitational acceleration,  $\theta_v$  is the virtual potential temperature,  $u$  and  $v$  are the  
 3 horizontal wind components, and  $z$  is height above the ground. The subscript  $s$  denotes the  
 4 surface and the bulk Richardson number is evaluated between the surface and successively  
 5 higher levels. Surface winds are assumed negligible. The PBL top is found by linearly  
 6 interpolating between model levels using a critical value of 0.25.

## 7 2.4 Experimental Configuration

8 In order to isolate the climate response to PBL depth from internal model variability, model  
 9 ensembles are run with ten simulations for each of the three PBL depth definitions from January  
 10 2009 through February 2010. Ensemble means are used for the comparisons here. Each ensemble  
 11 is initialized using MERRA reanalysis data from a different day (between 15 November 2008  
 12 and 15 December 2008) although all simulations begin on 30 November 2008. The first month of  
 13 each simulation is disregarded as a spin up period and is not used in the analysis. The simulations  
 14 are on a cubed sphere grid with approximately 2 degree horizontal resolution. While SSTs and  
 15 emissions datasets from 2008 are used, all simulations are run in GEOS-5 ‘climate mode’ with  
 16 no constraint by meteorological reanalyses.

## 17 2.5 Validation Data

18 The ensemble means of the simulations using the different PBL height definitions are compared  
 19 here to various observational datasets in a climatological sense to provide validation of  
 20 meteorological and tracer fields. The MERRA reanalysis used a three-dimensional variational  
 21 data assimilation (3DVAR) analysis algorithm to incorporate observations from conventional  
 22 ~~and satellite-based data sources~~ (Rienecker et al., 2011).

23 Aerosol optical thickness (AOT) data are available from the Moderate-Resolution Imaging  
 24 Spectroradiometer (MODIS, Remer et al., 2005) and the Multiangle Imaging Spectroradiometer  
 25 (MISR, Kahn et al., 2010) instruments for comparison with the free-running model. ~~The~~  
 26 MERRA Aerosol (MERRAero, Kishcha et al., 2014) reanalysis uses MERRA estimated  
 27 meteorology, assimilates MODIS AOT data, and provides data on dust, sea salt, sulfates, and

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**Deleted:** platforms such as weather stations, balloons, aircraft, ships, and buoys as well as satellite radiances from multiple platforms (e.g. TRMM, AIRS, QuikScat, AMSU-A/B)

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**Deleted:** An incremental analysis update (IAU, Bloom et al., 1996) is implemented in MERRA to eliminate shocks to the model system caused by large, infrequent updates.

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**Deleted:** The MODIS instruments were launched aboard both the Terra and Aqua satellites while MISR was launched on the Terra satellite only.

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1 | black and organic carbon. MERRAero data provide global aerosol concentrations at 3 hourly  
2 | intervals.

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3 | The Measurement of Pollution in the Troposphere (MOPITT) instrument is a mission designed  
4 | to measure carbon monoxide (CO) from space in order to quantify tropospheric pollution. It uses  
5 | a nadir IR correlation radiometer with a field of view of 22 km x 22 km (Drummond and Mand,  
6 | 1996). This study uses the TIR/NIR version 5 data.

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7 | The Atmospheric CO<sub>2</sub> Observations from Space (ACOS version 3.4) project estimates column  
8 | CO<sub>2</sub> using observations from the Greenhouse Gases Observing Satellite (GOSAT). GOSAT's  
9 | onboard instrument, the Thermal And Near-infrared Sensor for carbon Observation Fourier  
10 | Transform Spectrometer (TANSO-FTS), measures spectra of reflected sunlight in order to make  
11 | this estimate (Yokota et al., 2004, Hamazaki et al., 2005). Details of the ACOS retrieval can be  
12 | found in Wunch et al. (2011), O'Dell et al. (2012), and Crisp et al. (2012).

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13 | The International Satellite Cloud Climatology Project (ISCCP) dataset contains a global  
14 | climatology of cloud properties derived from infrared and visible radiances (Rossow and  
15 | Schiffer, 1991, 1999) with the goal of improving the understanding of the effects of clouds on  
16 | climate, the radiation budget, and the global hydrological cycle.

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### 17 | 3 Impact on Model Climate

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18 | Observational and modeling studies have found that different PBL depth estimation methods can  
19 | produce depth estimates that vary by hundreds of meters, even when analyzing the same  
20 | atmospheric profile (e.g. Seidel et al., 2010; McGrath-Spangler and Molod, 2014). In this study,  
21 | the methods evaluated depend on different atmospheric variables with the Bulk Ri method  
22 | dependent on vertical profiles of temperature and wind speed and the two K<sub>h</sub> methods dependent  
23 | on vertical profiles of turbulent eddy diffusion coefficients. McGrath-Spangler and Molod (2014)  
24 | found that over land these differences result in lower nocturnal PBL depths estimated by the  
25 | Bulk Ri method due to persistent turbulence and elevated K<sub>h</sub> aloft throughout the diurnal cycle,  
26 | resulting in deeper PBL estimates using Methods 1 and 2. The methodological differences  
27 | resulted in differences in the climatological mean estimates. Thus, differences in definition alone  
28 | can result in a shallower nocturnal PBL estimated using the bulk Richardson number method.  
29 | This behavior can be expected in these experiments.

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1 Evidence of this can be found in Figure 1, which shows the June – August (JJA) time mean PBL  
2 depth diurnal cycle averaged over northern Africa and tropical South America. Over northern  
3 Africa, it can be seen that the PBL depth estimated by the two methods dependent on the  
4 turbulent eddy diffusion coefficient are similar with depths within a few hundred meters of each  
5 other throughout the diurnal cycle. The bulk Richardson number method, sensitive to  
6 temperature and wind profiles, estimates a similar daytime maximum depth, however, the  
7 estimated nocturnal depth is lower, by as much as 1 km or more. Consistent with McGrath-  
8 Spangler and Molod (2014), this indicates the presence of a stable layer below the height of  
9 turbulence decay. Over tropical South America, experiment 3 (Bulk Ri) estimates a similar depth  
10 to the other two methods though it is consistently a few hundred meters less, again consistent  
11 with the results of McGrath-Spangler and Molod (2014).

12 These differences in PBL depth have consequences for land-atmosphere interactions. Shallower  
13 PBLs result in a shallower turbulent layer so that surface fluxes are not mixed as high vertically  
14 and boundary layer top entrainment occurs at a lower height. Lower boundary layer top  
15 entrainment results in entrained air having a lower potential temperature than if the entrainment  
16 occurred higher, due to increasing potential temperature with height. Beljaars and Betts (1992)  
17 found in their study that the proper representation of entrainment is essential to correctly  
18 simulate near surface atmospheric conditions with too low PBL depth estimates resulting in a  
19 near surface atmosphere that is too cool and too moist. PBL depth differences thus change the  
20 atmospheric conditions to which the surface responds through differences in the temperature and  
21 humidity gradients between the atmosphere and the surface (McGrath-Spangler et al., 2009;  
22 McGrath-Spangler and Denning, 2010). Changes to the surface sensible and latent heat fluxes  
23 can result in modifications to the moisture and energy available at cloud layer and produce either  
24 increases or decreases in cloud amount. This, in turn, produces changes in cloud albedo and the  
25 vertical redistribution of short and longwave radiation.

26 Seasonal mean PBL depth (Figure 2) differences among the three ensemble means are generally  
27 similar to the differences described in McGrath-Spangler and Molod (2014). Over land, Method  
28 1 (Kh: 2) estimates the greatest PBL depths in both seasons while Method 3 (Bulk Ri) estimates  
29 lower depths due largely to a better representation of the shallow nighttime PBL. Over the  
30 Southern Ocean, the Bulk Ri method (Method 3) generally estimates the deepest PBLs,

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1 indicative of a shallow turbulence layer defined by  $K_h$  relative to the unstable layer as defined by  
2 the bulk Richardson number.

3 Over much of the Northern Hemisphere land, Method 1 ( $K_h$ : 2) estimates a greater PBL depth  
4 than Method 2 ( $K_h$ : 10%) during JJA. This implies that in these areas, 10% of the column  
5 maximum  $K_h$  is greater than the  $2 \text{ m}^2 \text{ s}^{-1}$  threshold used by Method 1 and there is relatively strong  
6 near surface turbulence. During DJF, Method 2 estimates deeper PBLs than does Method 1 over  
7 much of the winter hemisphere land, indicating weaker turbulence, consistent with greater  
8 atmospheric stability and suppressed turbulence over land during the colder months. This  
9 seasonal pattern is a result of the differences in solar insolation. Over water, in most areas,  
10 Method 1 produces deeper PBLs than does Method 2. However, since they both depend on the  
11 turbulent eddy diffusion coefficient, in general, Methods 1 and 2 are more similar to each other  
12 than they are to Method 3. Since differences between these methods are minimal, the remainder  
13 of this discussion concentrates on differences between Methods 2 and 3.

14 The turbulent eddy diffusion coefficient is dependent on PBL depth as changes in its estimation  
15 produce changes in  $K_h$ . Under unstable conditions, as the PBL depth increases, the Louis scheme  
16 length scale used in the calculation of the turbulent eddy diffusion coefficient for this scheme  
17 also increases. Since the total eddy diffusion coefficient is a combination of those computed by  
18 the Louis and Lock schemes, an increase in the PBL depth can lead to an increase in  $K_h$  and the  
19 turbulent mixing.

20 The largest  $K_h$  (Figure 3) differences in the lower troposphere occur over the Southern  
21 Hemisphere oceans and along the Atlantic and Pacific Oceans' wintertime storm tracks where  
22 Method 3 (Bulk Ri) estimates deeper PBLs. Midday PBL depths over land (Figure 1) estimated  
23 by the three methods are similar, producing small turbulent length scale differences and a small  
24 impact on turbulence. At night, PBL depth differences are much larger over land, producing  
25 correspondingly different turbulent length scales among the simulations. However, nighttime  
26 conditions are generally stable and the turbulent length scale is unused in the calculation of  
27 turbulence. Differences over land, therefore, primarily result from feedbacks between the large-  
28 scale meteorology and the turbulence. ▽

29 These changes in turbulence lead to changes in the simulated climate of the model. Figure 4  
30 shows the impact on the mean meridional circulation. Significant differences are present between

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1 the two simulations in the estimation of the Hadley cell during DJF and JJA. For experiment 3  
2 (Bulk Ri), the strength of the inner core of the Hadley cell is increased in the DJF and JJA  
3 seasons. A weakening of the northern edge of the Hadley circulation is present in DJF, indicating  
4 less subsidence around 30°N. During the transition seasons of March – May (MAM) and  
5 September – November (SON), the differences between the Bulk Ri and Kh: 10% experiments  
6 are smaller than during JJA and DJF and the area of significant differences is less. In all four  
7 seasons, the latitude of the maximum zonal mean precipitation is unchanged (Figure 5),  
8 indicating that there is not a shift in the position of the ITCZ, however, the magnitude of  
9 precipitation along the ITCZ is greater in experiment 3 (Bulk Ri). The increase in precipitation is  
10 consistent with the increase in latent heat flux (Figure 6), and therefore atmospheric water vapor,  
11 in experiment 3.

12 Figure 6 shows the effect of changing the PBL depth definition used to estimate the turbulent  
13 length scale on latent and sensible heat fluxes. There is a decrease in the Bowen ratio resulting  
14 from a decrease in the sensible heat and an increase in the latent heat fluxes over much of the  
15 tropical and subtropical oceans. The Bowen ratio shift is consistent with an increase in the  
16 surface-atmosphere humidity gradient and a decrease in the temperature gradient. This could  
17 result from boundary layer top entrainment of warmer and drier air. Due to a general decrease of  
18 specific humidity and an increase of potential temperature with height this is compatible with a  
19 deeper PBL in experiment 3.

20 Changes in the turbulence and mean circulation result in a redistribution of atmospheric mass  
21 that can be seen as changes in the surface pressure and the mid-latitude jets (Figure 7). Seasonal  
22 mean pressure changes mostly occur in regions over the Southern Ocean with a magnitude on the  
23 order of 1 to 2 hPa. The jets are displaced slightly southward throughout the vertical column in  
24 the southern hemisphere during JJA and above about 700 hPa in the northern hemisphere during  
25 DJF, consistent with the changes in surface pressure. These differences produce changes to the  
26 pressure gradient force and are associated with differences in the spatial patterns of the near  
27 surface wind.

28 Figure 8 compares the 10 meter wind speed estimated using the Bulk Ri method (Method 3) and  
29 the Kh: 10% method (Method 2) and the MERRA reanalysis estimate. Significant differences  
30 between the simulations occur over the Southern Ocean south of the African continent during

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1 JJA and southwest of South America in both seasons. During JJA, this increase in wind speed for  
2 experiment 3 is a result of a deeper low pressure over Antarctica from 60°W to the dateline and  
3 increased pressure over the southern Pacific and Indian Oceans from approximately 30°S to  
4 60°S. These changes lead to an improved estimate of the wind speed relative to MERRA. During  
5 DJF, the change in pressure gradient is reversed, leading to a decrease in the wind speed and  
6 degradation of the estimate relative to MERRA.

7 During JJA, experiment 3 (Bulk Ri) has increased easterly winds over the Atlantic Ocean  
8 relative to experiment 2 (Kh: 10%) associated with a stronger Atlantic subtropical high.  
9 Experiment 2's wind speed in this region is greater than in MERRA and the increase in  
10 experiment 3 exacerbates the disagreement. There is also an increase in wind speed over the  
11 Pacific Ocean associated with decreased pressure over the Asian continent in experiment 3,  
12 which is an improvement when compared to MERRA relative to experiment 2.

13 In addition to the winds, near surface temperature is sensitive to changes in the PBL depth  
14 estimate used to calculate the turbulent length scale. Figure 9 shows the 2 meter temperature  
15 differences between experiments 2 and 3 (Bulk Ri and Kh: 10%) relative to the temperature  
16 estimated by MERRA. Significant temperature differences are present over the tropical land  
17 areas of the Amazon, Congo, and the maritime continent with experiment 3 simulating cooler  
18 temperatures that are consistent with entrainment of lower potential temperature air during PBL  
19 depth growth. These are the regions associated with a lower midday PBL depth diagnosed by the  
20 bulk Richardson number method relative to the turbulent eddy diffusion coefficient methods in  
21 Figure 1b and in McGrath-Spangler and Molod (2014).

22 During DJF, the Bulk Ri experiment simulates cooler temperatures in the Pacific Northwest of  
23 the United States and at high northern latitudes. These changes are associated with less surface  
24 absorbed longwave radiation. Over most land areas, the free-running GEOS-5 AGCM  
25 overestimates the temperature relative to MERRA (Molod et al., 2012) so a temperature decrease  
26 is generally an improvement.

27 Changes in near surface specific humidity (Figure 10) also result from changes to the PBL depth  
28 estimate used in the turbulent length scale calculations; most regions experience lower humidity  
29 levels in experiment 3 (Bulk Ri). The Great Lakes region of the United States, during JJA,  
30 experiences a larger diurnal cycle of the PBL depth using Method 3 (Bulk Ri) than when using

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1 Method 2 (Kh: 10% experiment), due to lower nocturnal PBL depths combined with greater  
2 daytime depths. This is associated with warmer temperatures and lower humidity, consistent with  
3 entrainment of warmer and drier free tropospheric air into the boundary layer. These specific  
4 humidity differences are on the order of 1 g/kg or about 10% of the mean value and are more  
5 similar to MERRA.

6 Land areas with significantly higher estimated specific humidity in experiment 3 (Bulk Ri) are  
7 associated with decreased near surface temperatures. This suggests less incorporation of warm,  
8 dry free tropospheric air into the boundary layer. Significant differences in these regions are on  
9 the order of 10 - 20% of the mean total, Significant specific humidity differences are positively  
10 correlated with changes in soil moisture and a shift in the Bowen ratio, with more latent heat flux  
11 in the Bulk Ri experiment.

12 Generally, experiment 3 (Bulk Ri) predicts more marine low-level clouds than does experiment 2  
13 (Kh: 10%) (Figure 11). The overall increase in low-level clouds is associated with an increase in  
14 latent heat flux over the oceans due, in part, to the increase in the low level wind speeds. The  
15 increase is particularly evident south of 30°S, over the subtropical Atlantic, and off the west  
16 coast of North America during DJF and the west coast of South America in both seasons. In  
17 comparison to the ISCCP climatology, experiment 3 better predicts cloud cover over most of the  
18 area between 30°N and 30°S, but is worse in the extratropics.

19 An increase in low-level clouds produces increases in downward longwave radiation and higher  
20 PBL temperature, modifying the thermodynamic profile. This can lead to enhanced turbulence  
21 and mixing due to reduced stability and produce a feedback on boundary layer growth.

22 In summary, changes in PBL depth, specifically lower PBL depths over land due to lower  
23 nocturnal PBL depths and greater depths over oceans when using Method 3, lead to complex  
24 interactions between PBL processes. Differences in turbulent mixing result in differences in the  
25 mean circulation and this redistribution of mass leads to changes in temperature, specific  
26 humidity, and wind velocity and consequently to changes in cloud cover.

#### 27 **4 Impact on Tracer Transport**

28 Modifications to the model climate result in changes to trace gas and aerosol transport and  
29 concentrations. Some species are directly dependent on the model climate for their emissions and

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1 all tracers are subject to changes in turbulent mixing and horizontal advection. Atmospheric dust  
2 concentrations are particularly sensitive to PBL depth estimates because their emission is  
3 sensitive to wind speed, and the height to which they are mixed vertically in the atmosphere  
4 depends on the turbulence determined, in part, by the PBL depth. This is significant for  
5 deposition and settling of the dust particles. Another consequence for the chemical composition  
6 of the atmosphere is that in the GEOS-5 AGCM, the PBL depth determines the depth to which  
7 biomass burning emissions are homogeneously emitted, meaning that, over fires, shallower PBLs  
8 result in a higher near surface concentration of chemical species like carbon monoxide. This has  
9 implications for chemical processes dependent on the availability of these species.

10 Figure 12 shows aerosol optical thickness from the MODIS and MISR instruments compared to  
11 that simulated by the model using the two PBL depth definitions. Qualitatively, the results are  
12 similar among the model simulations and the observations. The highest AOT is present over the  
13 Saharan Desert and the dust outflow region over the Atlantic Ocean. Other maxima exist near  
14 biomass burning and industrial areas. The lowest simulated AOT occurs over the high latitudes,  
15 which the satellites do not observe. The model estimates a higher AOT than do the satellite  
16 observations, due partially to the inability of the satellites to observe all locations. Mean AOT  
17 values observed by MODIS/Terra, MODIS/Aqua, and MISR are 0.1277, 0.1339, and 0.1808  
18 respectively. MISR detects a higher AOT value because it is able to sense aerosols over  
19 reflective surfaces and therefore is able to observe over the Saharan Desert. The model  
20 simulations estimate AOT values of 0.1943 and 0.2153 for the Kh: 10% and Bulk Ri  
21 experiments respectively. Overall, the model is able to represent the observed AOT reasonably  
22 well.

23 Due to its dependence on surface winds, the emission of Saharan Desert dust (Figure 13) is  
24 increased in experiment 3 (Bulk Ri) during JJA, consistent with the increased wind speed in this  
25 experiment. One of the major mechanisms of transporting tracers from the boundary layer to the  
26 free troposphere is turbulent mixing associated with the boundary layer collapse during the  
27 evening transition (Donnell et al., 2001). Since experiment 3 (Bulk Ri) simulates a stronger  
28 evening collapse than the other experiments (Figure 1), it is expected that more dust is  
29 transported to the free troposphere in this experiment. Once lofted to the free troposphere, dust  
30 generally experiences a longer lifetime and a greater chance for long-range transport downwind  
31 from the source region.

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1 | [Figure 14](#) shows the global impact of these changes on the total column dust concentration.  
2 | Globally, percentage differences range from zero to 50%. The largest significant changes are  
3 | over the Saharan Desert and downwind over the Atlantic Ocean. Increased Saharan dust  
4 | emissions [and turbulent mixing to the free troposphere](#) produce an increase in atmospheric dust  
5 | that is then transported downwind, mostly between 800 hPa and 500 hPa ([Figure 15](#)), to the  
6 | Caribbean and North America, increasing column concentrations there. Although column  
7 | concentrations are increased in the western Atlantic, surface concentrations actually decrease in  
8 | experiment 3. Increased dust aloft increases the shortwave radiation temperature tendency due to  
9 | aerosols producing warmer temperatures there and shading the lower atmosphere (and  
10 | decreasing the shortwave radiation temperature tendency due to aerosols) thus producing cooling  
11 | near the surface (not shown). This creates an increase in lower tropospheric stability and acts to  
12 | reduce [the turbulent](#) mixing of dust downward. [Modifications to the thermodynamic profiles due](#)  
13 | [to the redistribution of dust thus contribute to the differences in turbulence.](#)  
14 | During DJF, the opposite impact is seen over the Saharan Desert. Surface winds decrease in  
15 | experiment 3 (Bulk Ri), leading to a decrease in desert dust emissions there ([Figure 13](#)). This, in  
16 | turn, leads to a decrease in the column dust concentrations over northwestern Africa and  
17 | downwind over the subtropical Atlantic Ocean. The impact does not extend as far downwind  
18 | during DJF as it does during JJA, due in part to the more southerly location of the easterly jet.  
19 | Over the Arabian Peninsula, experiment 3 (Bulk Ri) winds are greater than in experiment 2 (Kh:  
20 | 10%), leading to increased desert dust emissions and increased column concentrations that  
21 | extend to the northwest into central Asia.  
22 | In both seasons, the free-running model overestimates column dust concentrations over northern  
23 | Africa and downwind across the Atlantic compared to MERRAero. Therefore, the reduction in  
24 | column dust is an improvement over northwestern Africa in DJF and the increase during JJA is a  
25 | degradation. This is despite an indication from McGrath-Spangler and Molod (2014) that the  
26 | bulk Richardson number based definition better represents the nocturnal PBL depth over the  
27 | Sahara than the scalar diffusivity based ones.  
28 | The amount of sea salt aerosol in the atmospheric column ([Figure 16](#)) is generally greater in  
29 | experiment 3 (Bulk Ri) due to an overall increase in wind speed over the oceans used to estimate  
30 | sea salt emission into the atmosphere. Although experiment 3 is able to produce a similar pattern

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1 as MERRAero, the free-running model overestimates the sea salt concentration and experiment 2  
2 performs better.

3 In the GEOS-5 AGCM, biomass burning emissions are instantaneously mixed vertically  
4 throughout the PBL so surface concentrations of CO from fires are inversely related to the depth  
5 of the PBL. Surface CO patterns in the model and MOPITT observations are generally consistent  
6 (Figure 17). In general, biomass burning emissions over Africa are further north in DJF than in  
7 JJA, and the seasonality is properly captured in all model simulations. Surface CO  
8 concentrations over the industrial cities of China increase during DJF (MOPITT estimates about  
9 350 ppb) relative to JJA (MOPITT estimates about 250 ppb), associated with lower PBL depths  
10 during the winter.

11 During JJA, the largest CO concentration differences between experiments 2 and 3 (Kh: 10%,  
12 and Bulk Ri) are present over the biomass burning regions experiencing variations in PBL depth,  
13 (MOPITT estimates about 100 – 300 ppb), specifically over the African continent. Over South  
14 Africa, the PBL depth estimated by Method 3 is about 1 km lower than in the scalar diffusion  
15 coefficient method, concentrating CO near the surface. The decrease over Ethiopia and Sudan in  
16 experiment 3 is due to an increased daytime PBL depth diluting CO emissions and leading to  
17 lowered surface concentrations. These CO differences are an improvement relative to MOPITT  
18 observations.

19 During DJF, surface CO differences over Africa are much smaller, however, significant  
20 decreases in surface CO are present over eastern China and the Great Lakes region of the United  
21 States in experiment 3 associated with differences in PBL depth. These regions have bulk  
22 Richardson number estimated daytime PBL depths that are greater than those in the other  
23 experiment and the associated increase in vertical mixing leads to a decrease in surface CO  
24 concentrations. Differences in CO extend vertically through the atmosphere, leading to  
25 differences at 500 hPa of up to 18 ppb (not shown). These free-tropospheric differences affect  
26 the horizontal transport of CO over long distances.

27 Figure 18 shows the column CO<sub>2</sub> differences among experiments 2 and 3 (Kh:10% and Bulk Ri)  
28 and the ACOS retrieval from GOSAT. In general, the model overestimates column CO<sub>2</sub> over  
29 extratropical land compared to the observations. However, time mean differences between

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experiment 3) and over South Africa  
(increased in experiment 3)

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Indian subcontinent is not

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differences is reduced. H

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CO compares better to the MOPITT estimated  
concentrations.

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1 experiments 2 and 3 are only significant over small regions in the tropics where there are no  
2 ACOS retrievals. In most regions, the differences do not exceed the internal model variability.

3 Figure 19 shows the impact of PBL depth definition on the surface CO<sub>2</sub> concentration. These  
4 differences are due to changes in the dilution of surface fluxes. In JJA, there are large regions of  
5 CO<sub>2</sub> differences over the tropical oceans, where experiment 3 simulates CO<sub>2</sub> concentrations  
6 about 1 ppm lower than experiment 2. This occurs in regions with increased PBL depths diluting  
7 oceanic emissions of CO<sub>2</sub> thereby decreasing the surface concentration. Seasonal mean increase  
8 in CO<sub>2</sub> over central South America is associated with nocturnal PBLs in experiment 3 a  
9 kilometer lower than in experiment 2, concentrating nighttime CO<sub>2</sub> respiration emissions and  
10 increasing surface concentrations there.

11 The largest seasonal mean CO<sub>2</sub> differences occur during DJF. Over western North America,  
12 experiment 3 (Bulk Ri) estimates CO<sub>2</sub> concentrations about 3 ppm greater than in experiment 2  
13 (Kh: 10%). Experiment 3 estimates shallower PBLs throughout the diurnal cycle, producing a  
14 concentration of CO<sub>2</sub> emissions and higher concentrations,

15 Regions with persistent and significant surface CO<sub>2</sub> biases due to PBL depth changes are small.  
16 This is partly because synoptic variability can produce CO<sub>2</sub> variations on the order of 10-20 ppm  
17 that are averaged out in the time mean (Parazoo et al., 2008). Figure 20 shows an example of  
18 surface CO<sub>2</sub> differences between experiments 2 and 3 at specific times during JJA and DJF. On  
19 these smaller time scales, surface CO<sub>2</sub> differences are much larger than in the time mean, on the  
20 order of 10 ppm, and these differences are significant globally. This is especially true during DJF  
21 at mid and high northern latitudes where differences are often on the order of 15 ppm and are  
22 advected along with synoptic storms. Figure 20 also shows the standard deviation of surface CO<sub>2</sub>  
23 differences for July and January. The greatest variability is present during January over high  
24 latitude land with standard deviations exceeding 7 ppm over parts of Asia. Generally, variability  
25 is high over land in both seasons. This has implications for inversion studies that often assume  
26 perfect transport. Uncertainty in estimated CO<sub>2</sub> concentrations may be incorrectly attributed to  
27 surface fluxes rather than errors in assumed vertical transport.

## 28 5 Conclusions

29 Weather, climate, and tracer transport and concentrations are sensitive to PBL processes. One  
30 way to quantify these processes is with the depth of the PBL. However, multiple PBL depth

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1 definitions exist and these estimated depths can vary substantially, even if defined using the  
2 same atmospheric profile (Seidel et al., 2012, McGrath-Spangler and Molod, 2014). In the  
3 GEOS-5 AGCM, the PBL depth is used to calculate the turbulent length scale that is used to  
4 estimate the model turbulence at the next time step, making it important to properly estimate this  
5 depth and be cognizant of the process interactions affecting the simulated global weather and  
6 climate.

7 This study analyzed three PBL depth definitions. Two are based on the turbulent eddy diffusion  
8 coefficient and use threshold values of  $2 \text{ m}^2 \text{ s}^{-1}$  (Kh: 2, Method 1) and 10% of the column  
9 maximum (Kh: 10%, Method 2). The third method uses the bulk Richardson number definition  
10 (Bulk Ri, Method 3) described by Seidel et al. (2012). Ten ensemble members were run for each  
11 of these definitions and comparisons were made between the ensemble means. The Bulk Ri  
12 ensemble (experiment 3) generally estimated a lower PBL depth over land due to lower  
13 nocturnal PBL depths. This is consistent with the result of McGrath-Spangler and Molod (2014)  
14 who diagnosed several PBL depths from a single atmosphere using various definitions.

15 The different PBL depth definitions, when used to inform the turbulent length scale in the model,  
16 resulted in a large-scale climatic response. The response was characterized by a redistribution of  
17 atmospheric mass and subsequent changes in winds. During JJA in experiment 3, increased wind  
18 speed over the Saharan Desert resulted in increased dust emissions and column dust  
19 concentrations over the desert and downwind over the Atlantic Ocean. The near surface  
20 temperature and specific humidity were also modified in experiment 3 resulting in improvements  
21 in temperature over much of the land surface.

22 In addition to dust, other tracers were impacted by changes in the PBL depth definition. Dilution  
23 of CO from biomass burning emissions by the PBL depth results in variations in surface  
24 concentrations with greater depths producing lower values. In these conditions, experiment 3  
25 produced the best results when compared to MOPITT observations.

26 Differences between the simulations' CO<sub>2</sub> estimates were most significant near the surface and  
27 in instantaneous fields. Time mean differences are generally not significant and small (on the  
28 order of a few ppm), however, differences at shorter timescales are globally significant and large  
29 (on the order of 10 ppm), especially during DJF at high northern latitudes when synoptic systems  
30 are most prevalent.

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1 PBL depth differences between the model simulations occur due to methodological differences  
2 and inconsistencies between the depth of the turbulent layer as defined by the turbulent eddy  
3 diffusion coefficient and the unstable layer as defined by the bulk Richardson number. These  
4 differences have consequences for land-atmosphere interactions, radiation, and atmospheric  
5 chemistry because of impacts on the vertical extent of turbulent mixing. It is therefore important  
6 to carefully consider the impact on model climate and tracer concentrations when modifying the  
7 simulated PBL depth in GEOS-5. While the Bulk Ri experiment generally predicts a more  
8 reasonable diurnal cycle of PBL depth, other aspects of the simulation are not universally  
9 improved.

10 The importance of lower tropospheric mixing to estimates of and model sensitivity to global  
11 climate change has recently been evaluated (Sherwood et al., 2014). Changing the PBL depth  
12 definition used to calculate the turbulent length scale in GEOS-5 is one of many ways of  
13 affecting low-level mixing and the results presented here show the sensitivity of model  
14 processes. The PBL depth, however, is a unique indicator of the strength of vertical mixing in the  
15 lower troposphere and can be used to compare model simulations to observational estimates  
16 from the international network of radiosondes, wind profilers, lidars, etc. In addition to its impact  
17 on turbulent mixing and significance for global climate, the PBL depth is inherently significant  
18 to studies addressing aerosol and greenhouse gas transport and concentrations. Furthermore, in  
19 GEOS-5, the PBL depth is used to estimate the vertical distribution of biomass burning  
20 emissions and is therefore essential to the correct simulation of biomass burning concentrations  
21 and transport.

22 Only one year is simulated and the free-running AGCM does not simulate any specific weather  
23 event, limiting direct comparisons to observations. Future research should include long-term  
24 climatological simulations that estimate the impact of PBL depth on GEOS-5 model climate and  
25 further isolate the climatic response to PBL depth definition from internal model variability. The  
26 GEOS-5 AGCM is sensitive to the estimated PBL depth and the definition used can affect model  
27 climate and the estimated distribution of greenhouse gases and atmospheric aerosols relevant for  
28 climate and air quality research.

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1 Table 1. Summary of PBL depth Methods

2

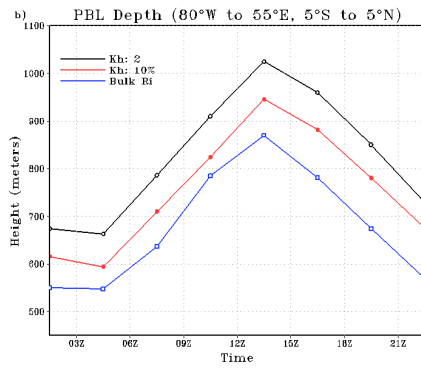
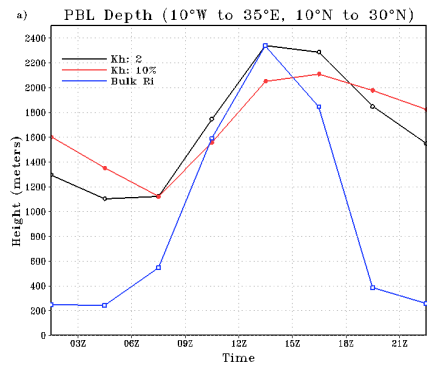
Method/Experiment	Abbreviation	Description
1	Kh: 2	Uses $K_h$ and a threshold of $2 \text{ m}^2 \text{ s}^{-1}$
2	Kh: 10%	Uses $K_h$ and a threshold equal to 10% of the column maximum
3	Bulk Ri	Uses the bulk Richardson number described by Seidel et al. (2012) and a critical value of 0.25

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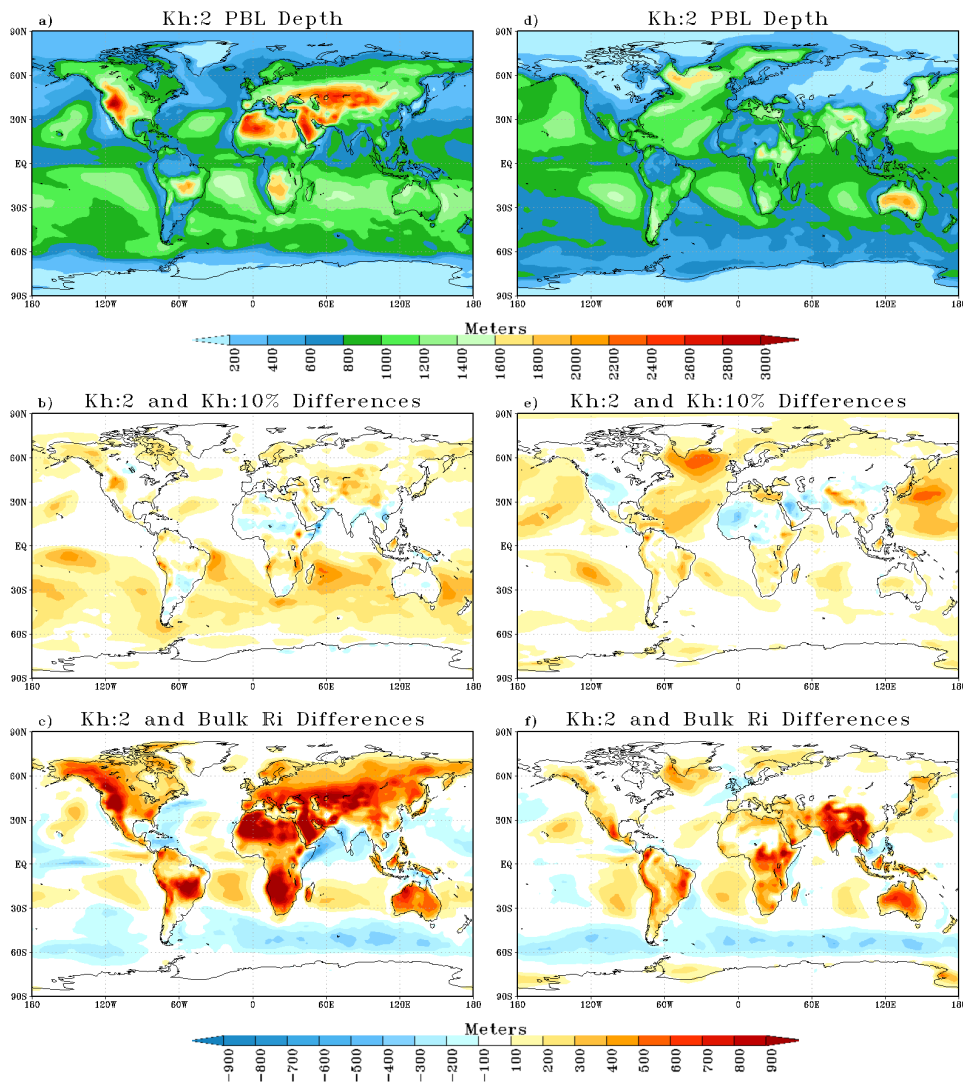




1  
2 Figure 1. Diurnal cycle of JJA mean PBL depth averaged over northern Africa from 10°W to  
3 35°E longitude and from 10°N to 30°N latitude a) and tropical South America from 80°W to  
4 55°E longitude and from 5°S to 5°N b).

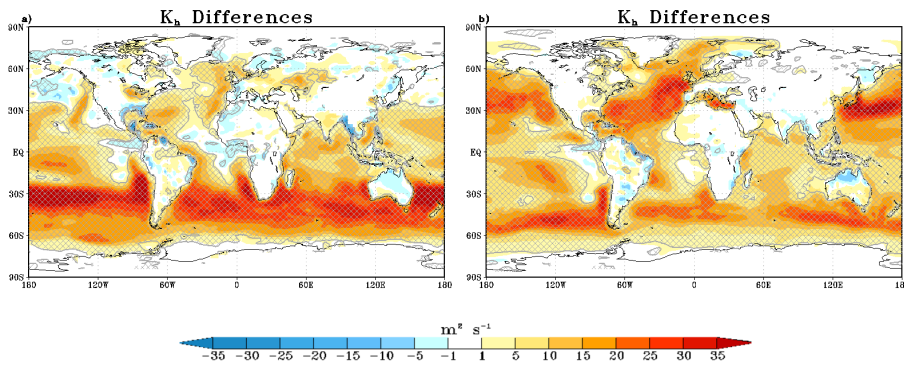
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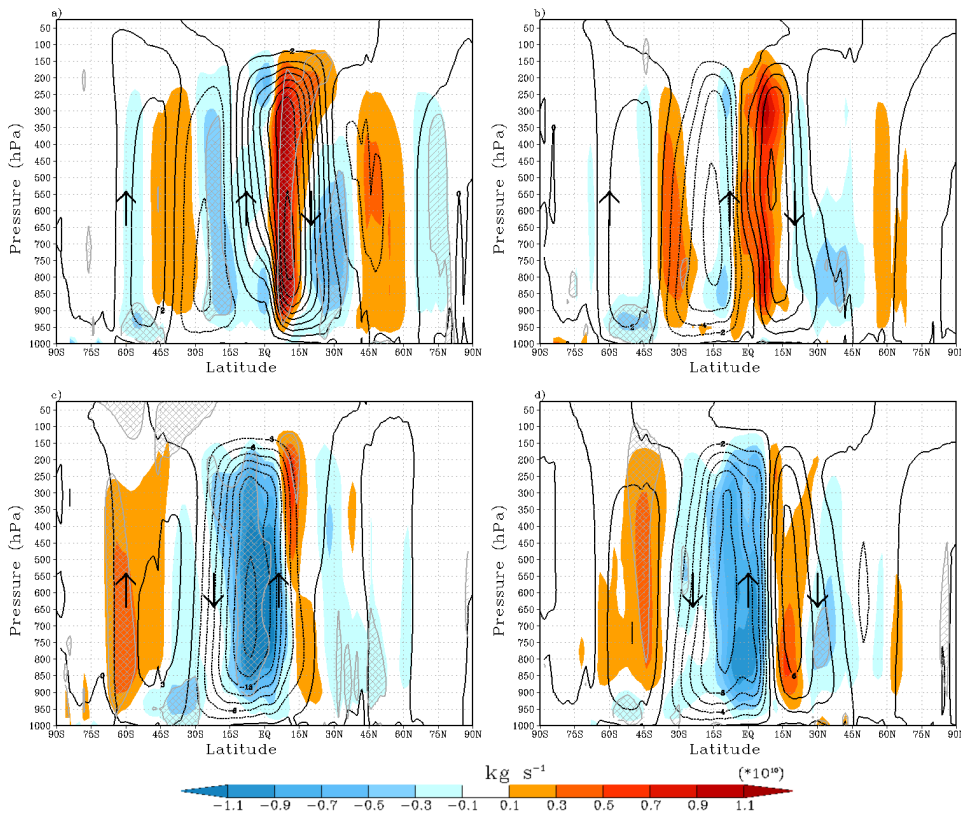
1  
 2 **Figure 2.** Seasonal mean PBL depth estimated by the Kh: 2 PBL depth estimation method for  
 3 JJA (a) and DJF (d), the differences between the Kh: 2 and Kh: 10% methods during JJA (b) and  
 4 DJF (e), and the differences between the Kh: 2 and Bulk Ri methods during JJA (c) and DJF (f).

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1  
 2 **Figure 3.** Seasonal mean turbulent eddy diffusion coefficient at 925 hPa differences (Method 3  
 3 minus Method 2) for JJA (a) and DJF (b). Hatch marks represent significance at the 90% level  
 4 using the student's t test. Crosshatch marks represent significance at the 95% level.

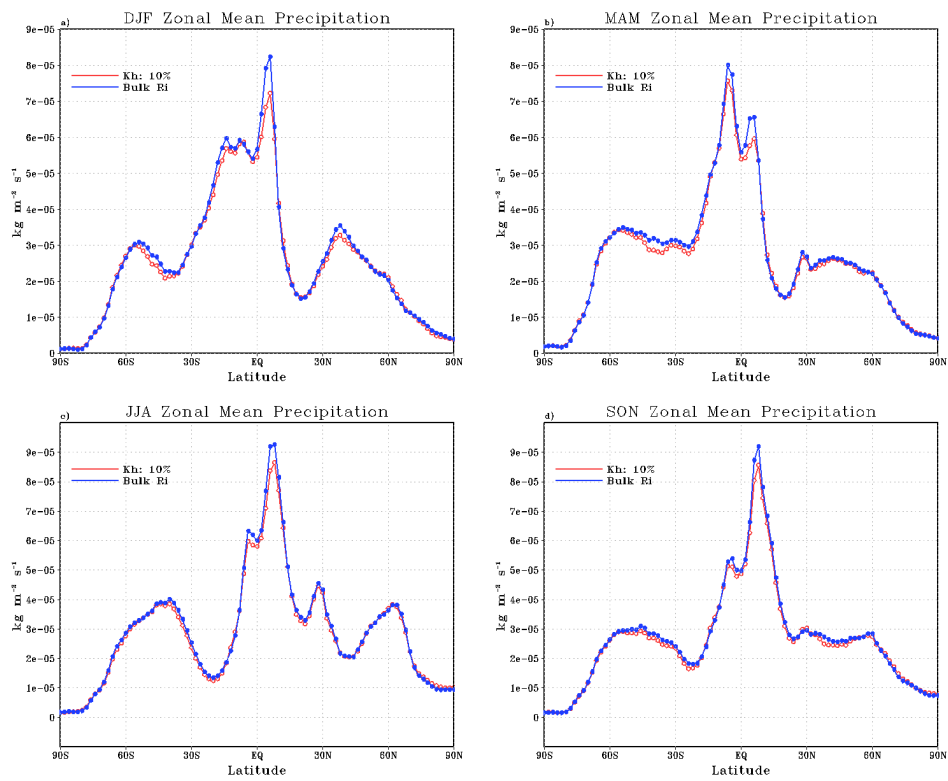
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1  
 2 **Figure 4.** Seasonal mean difference (Method 3 minus Method 2; shaded) and average (contours)  
 3 mean meridional circulation for a) DJF, b) MAM, c) JJA, and d) SON. Positive (negative)  
 4 values are represented by solid (dashed) lines. Arrows indicate the sense of the circulation. Hatch marks  
 5 represent significance at the 90% level using the student's t test. Crosshatch marks represent  
 6 significance at the 95% level.

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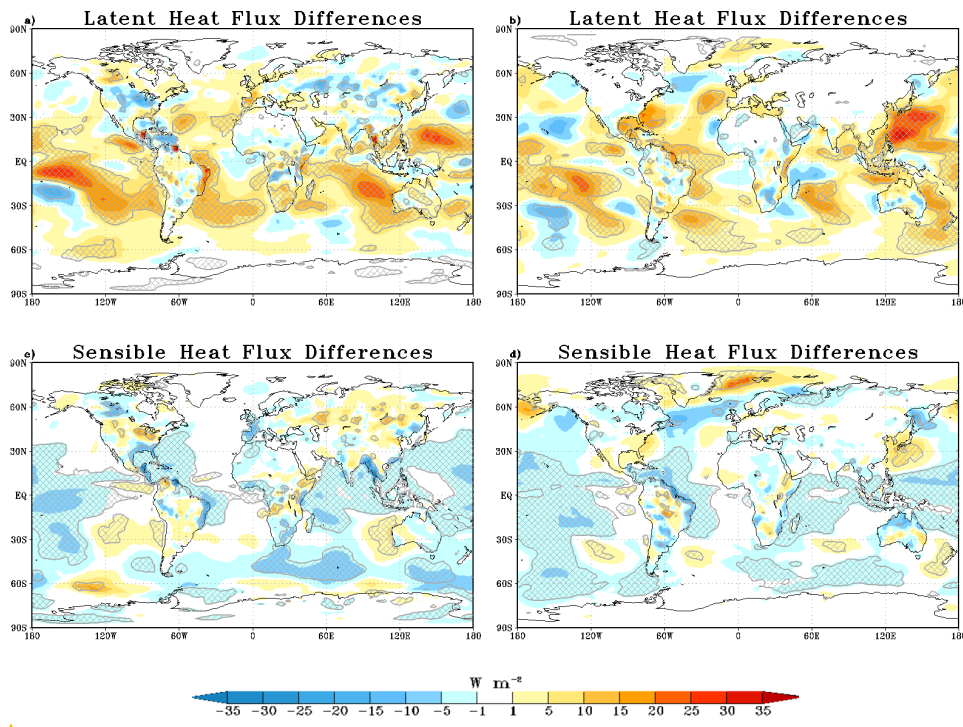


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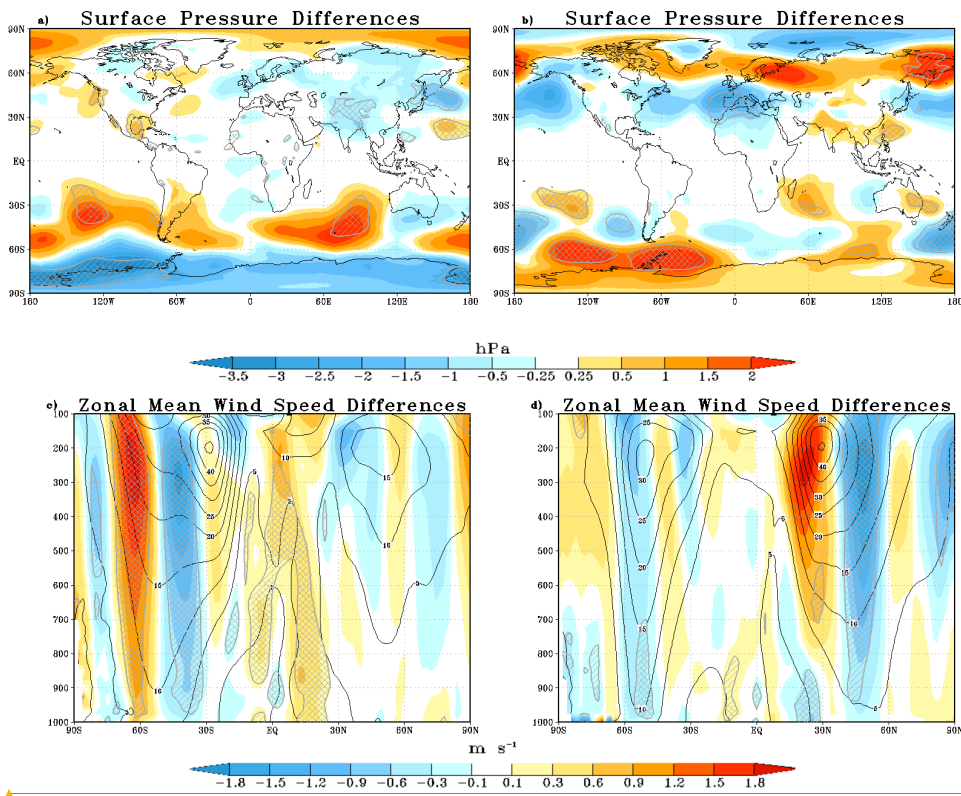
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Figure 5. Seasonal mean zonal precipitation for a) DJF, b) MAM, c) JJA, and d) SON.



1  
2 Figure 6. Seasonal mean latent heat flux differences (Method 3 minus Method 2) for JJA (a) and  
3 DJF (b) and seasonal mean sensible heat flux differences for JJA (c) and DJF (d). Hatch marks  
4 represent significance at the 90% level using the student's t test. Crosshatch marks represent  
5 significance at the 95% level.

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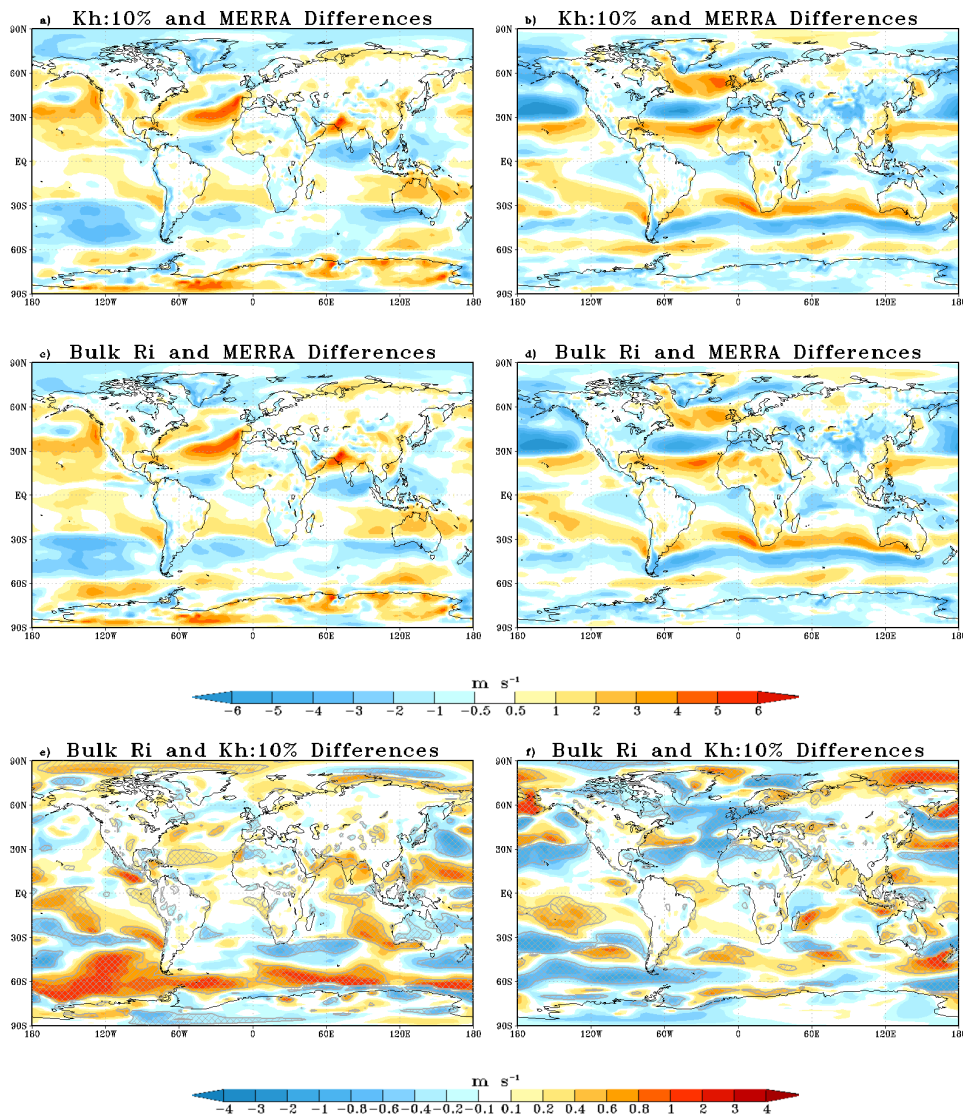


1  
 2 **Figure 7.** Seasonal mean surface pressure differences (Method 3 minus Method 2) for JJA (a)  
 3 and DJF (b) and zonal mean, seasonal mean wind speed differences (shaded) and Kh:10%  
 4 method wind speeds (contours) for JJA (c) and DJF (d). Hatch marks represent significance at  
 5 the 90% level using the student's t test. Crosshatch marks represent significance at the 95%  
 6 level.

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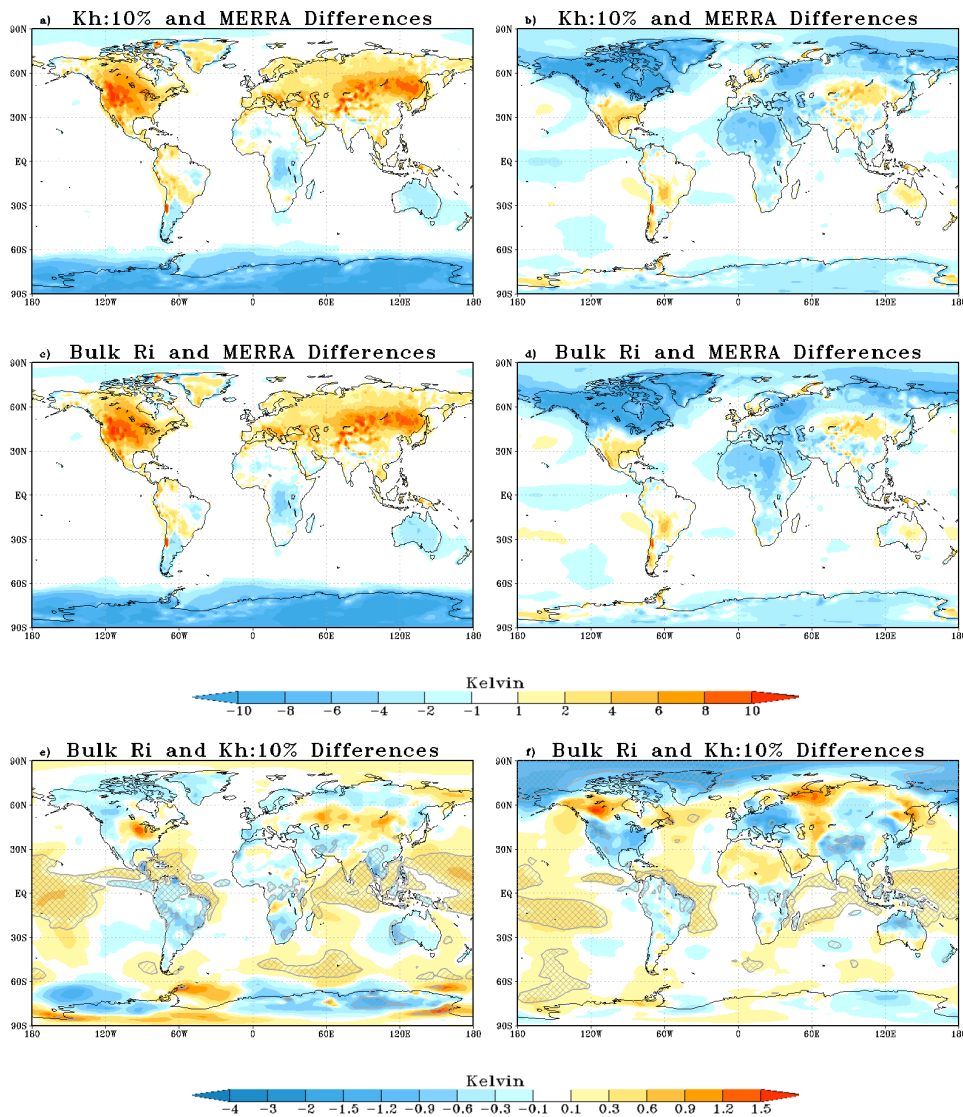
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1  
 2 **Figure 8.** Seasonal mean 10 meter wind speed differences. Method 2 minus MERRA for JJA (a)  
 3 and DJF (b), Method 3 minus MERRA for JJA (c) and DJF (d), and Method 3 minus Method 2  
 4 for JJA (e) and DJF (f). Hatch marks on the bottom plots represent significance at the 90% level  
 5 using the student's t test. Crosshatch marks represent significance at the 95% level.

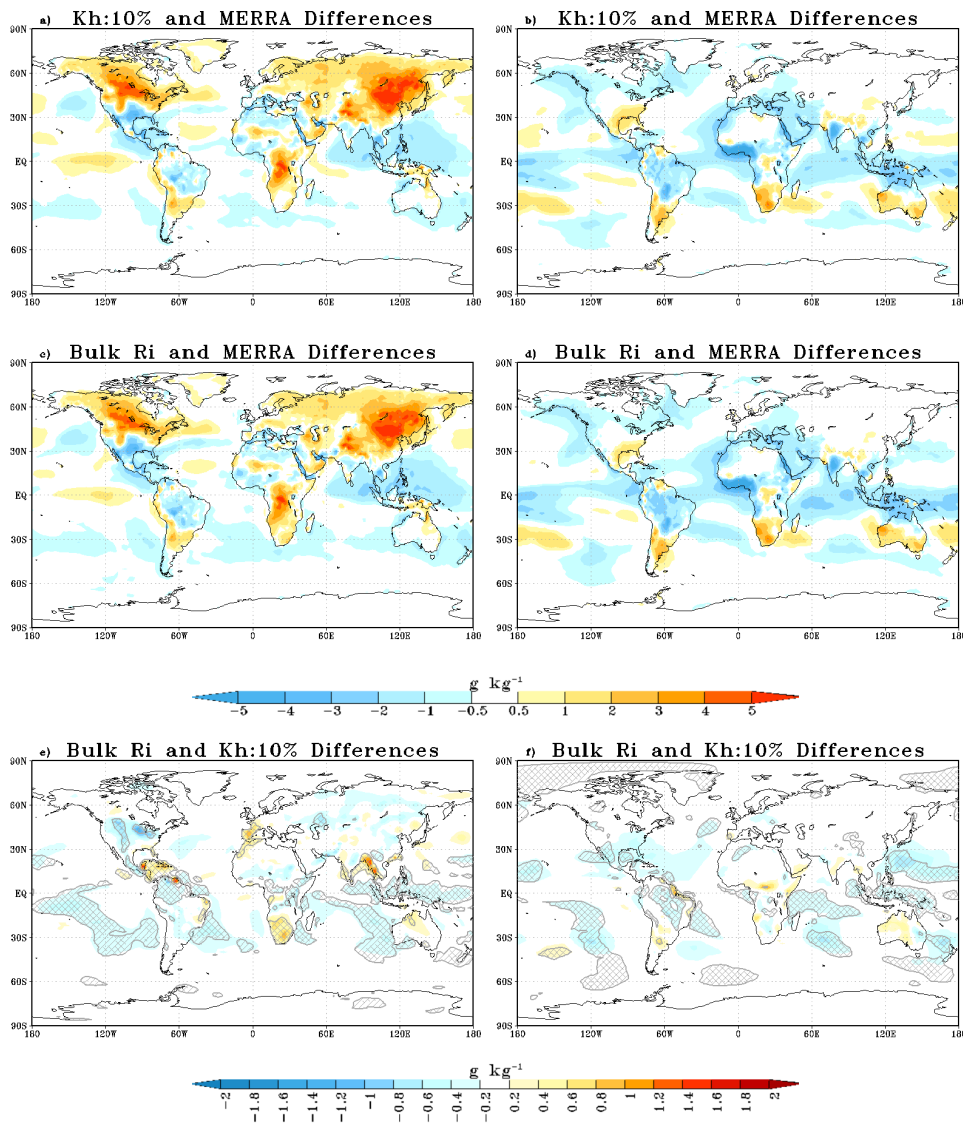
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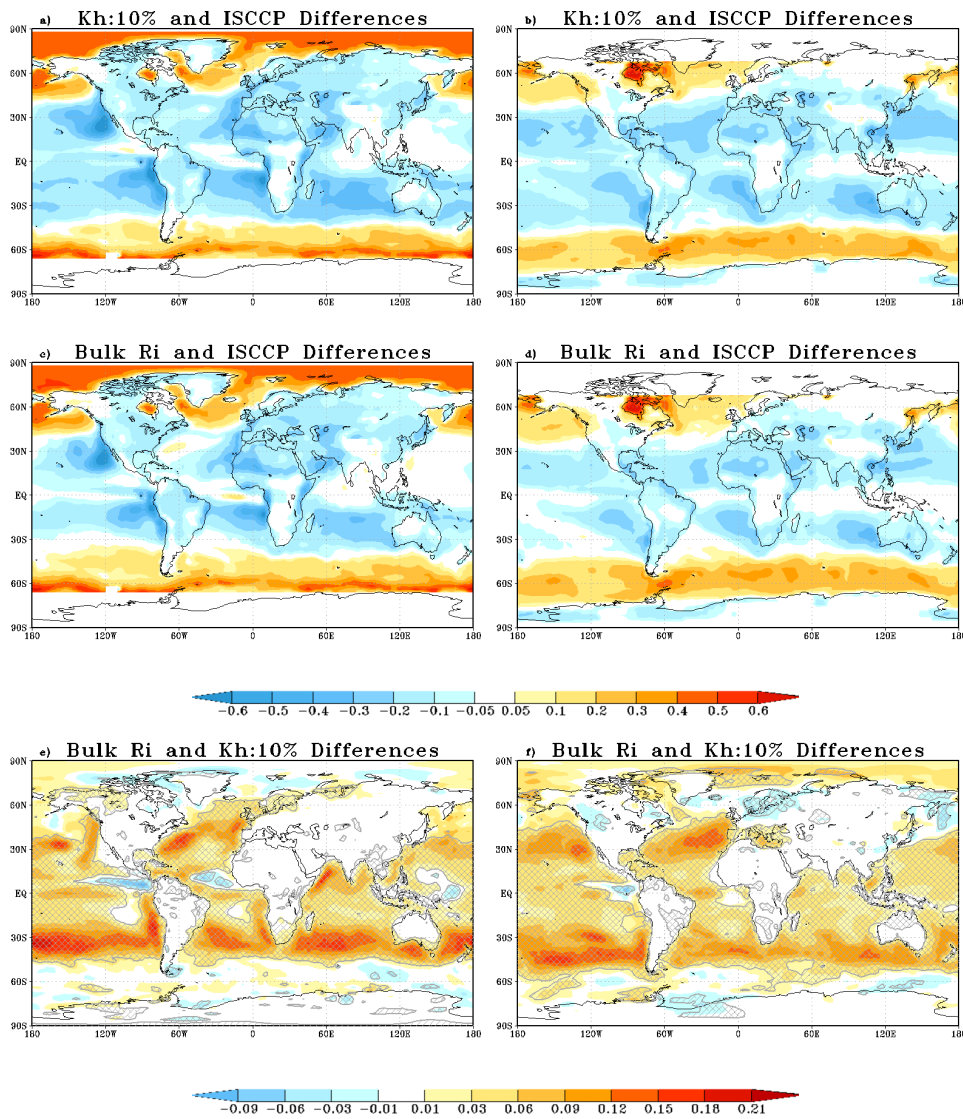
1  
 2 **Figure 9.** Seasonal mean 2 meter temperature differences. Method 2 minus MERRA for JJA (a)  
 3 and DJF (b), Method 3 minus MERRA for JJA (c) and DJF (d), and Method 3 minus Method 2  
 4 for JJA (e) and DJF (f). Hatch marks on the bottom plots represent significance at the 90% level  
 5 using the student's t test. Crosshatch marks represent significance at the 95% level.

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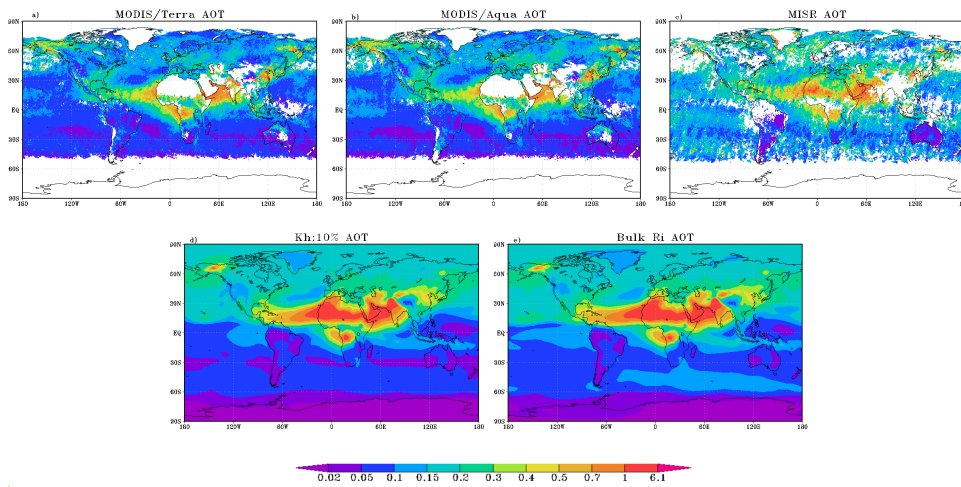
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 2 **Figure 10.** Seasonal mean 2 meter specific humidity differences. Method 2 minus MERRA for  
 3 JJA (a) and DJF (b), Method 3 minus MERRA for JJA (c) and DJF (d), and Method 3 minus  
 4 Method 2 for JJA (e) and DJF (f). Hatch marks on the bottom plots represent significance at the  
 5 90% level using the student's t test. Crosshatch marks represent significance at the 95% level.

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 2 **Figure 11.** Seasonal mean low level cloud fraction differences. Method 2 minus ISCCP for JJA  
 3 (a) and DJF (b), Method 3 minus ISCCP for JJA (c) and DJF (d), and Method 3 minus Method 2  
 4 for JJA (e) and DJF (f). Hatch marks on the bottom plots represent significance at the 90% level  
 5 using the student's t test. Crosshatch marks represent significance at the 95% level.

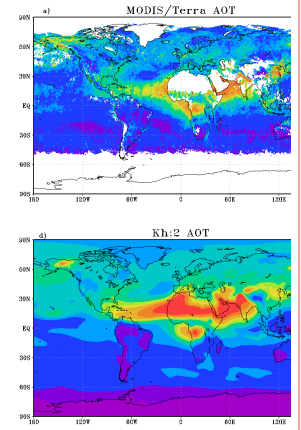
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 2 **Figure 12.** July 2009 monthly mean aerosol optical thickness observations from the MODIS  
 3 instruments on the Terra (global mean = 0.1277, standard deviation = 0.0645; a) and Aqua  
 4 (global mean = 0.1339, standard deviation = 0.0750; b) satellites and from the MISR (global  
 5 mean = 0.1808, standard deviation = 0.0617; c) instrument on Terra. Monthly average aerosol  
 6 optical thickness simulated by the GEOS-5 model using the turbulent eddy diffusion coefficient  
 7 method and a threshold of 10% of the column maximum (Method 2, global mean = 0.1943,  
 8 standard deviation = 0.0774; d) and the bulk Richardson number method (Method 3, global mean  
 9 = 0.2153, standard deviation = 0.0880; e).

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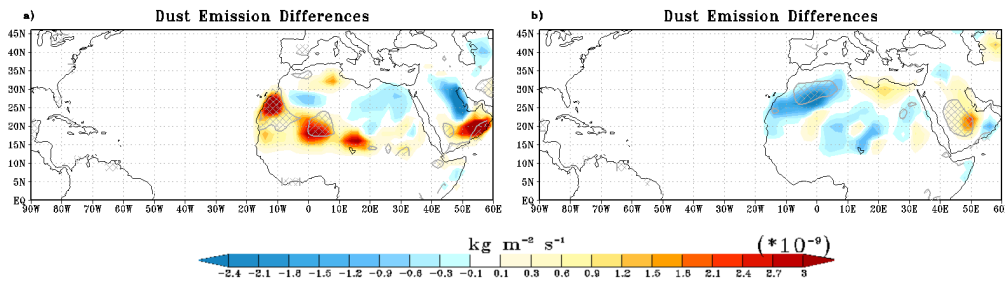
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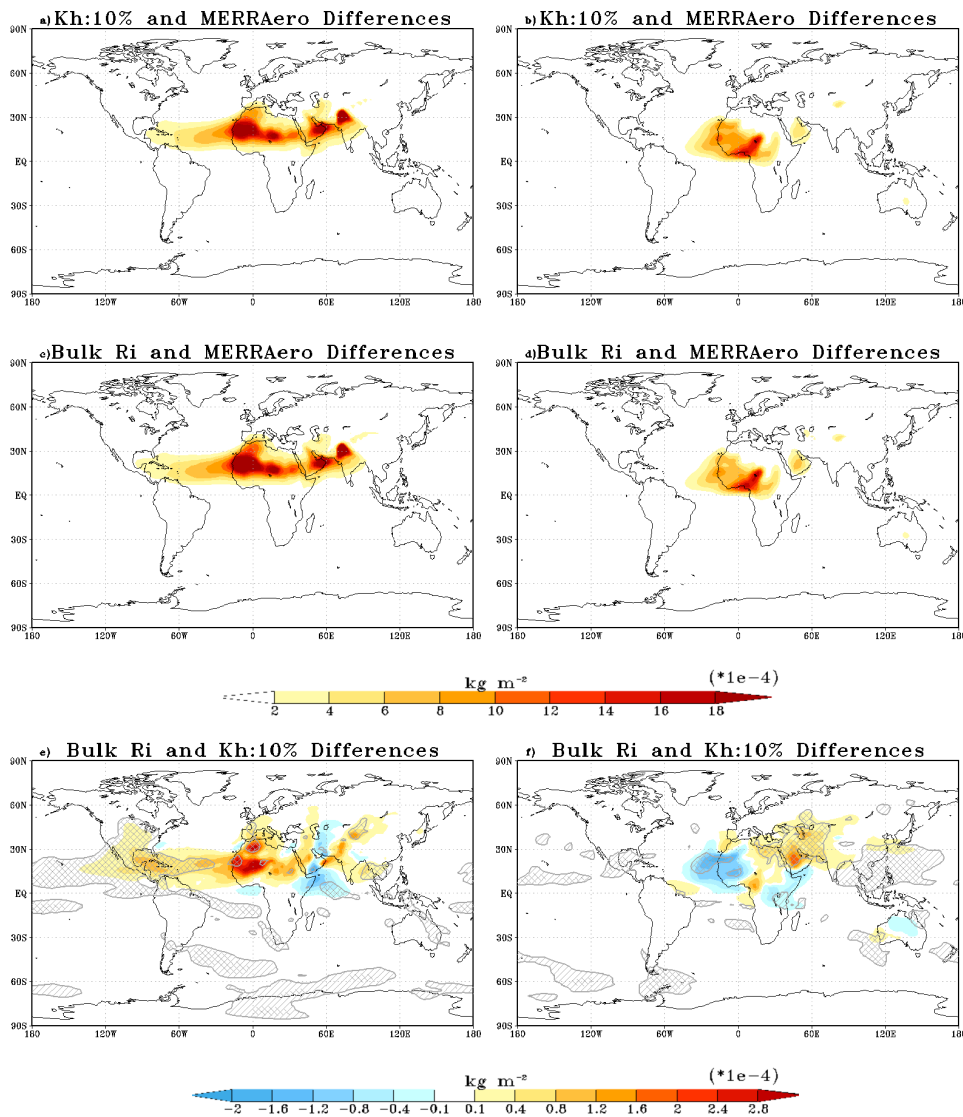
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2 **Figure 13.** Seasonal mean dust emission differences (Method 3 minus Method 2) for JJA (a) and  
 3 DJF (b). Average dust emission in the emitting region is about  $1\text{e-}8 \text{ kg m}^{-2} \text{ s}^{-1}$ . Hatch marks  
 4 represent significance at the 90% level using the student's t test. Crosshatch marks represent  
 5 significance at the 95% level.

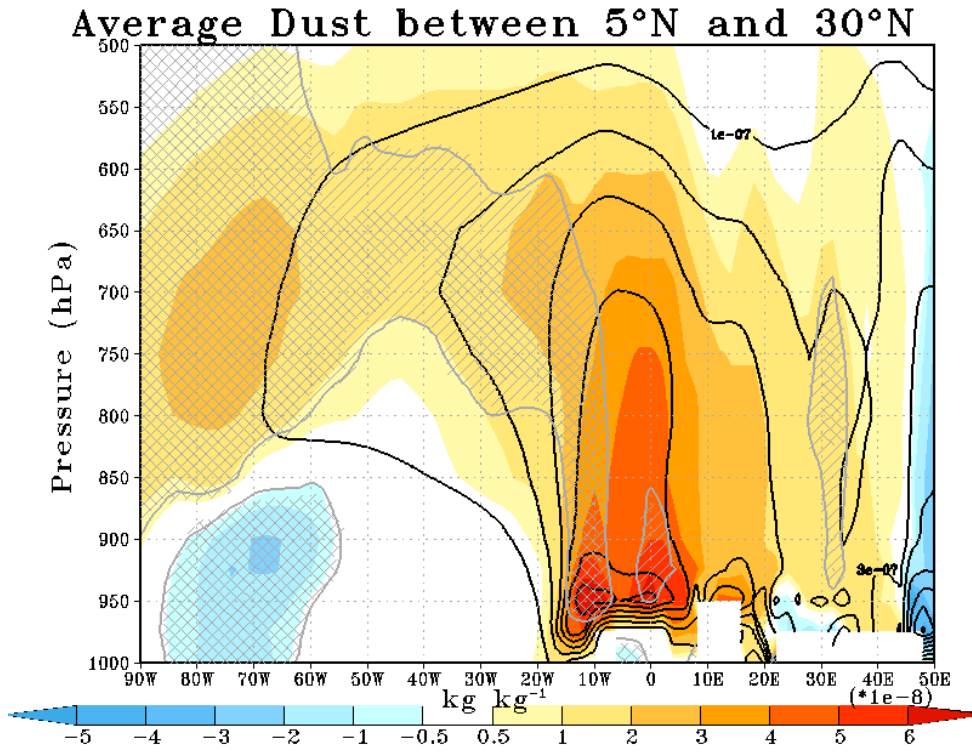
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 2 **Figure 14.** Seasonal mean column dust differences. Method 2 minus MERRAero for JJA (a) and  
 3 DJF (b), Method 3 minus MERRAero for JJA (c) and DJF (d), and Method 3 minus Method 2  
 4 for JJA (e) and DJF (f). Global mean column dust concentrations in the free-running models is  
 5 about  $1.2 \times 10^{-4} \text{ kg m}^{-2}$  during JJA and about  $5.7 \times 10^{-5} \text{ kg m}^{-2}$  during DJF. Hatch marks on the bottom

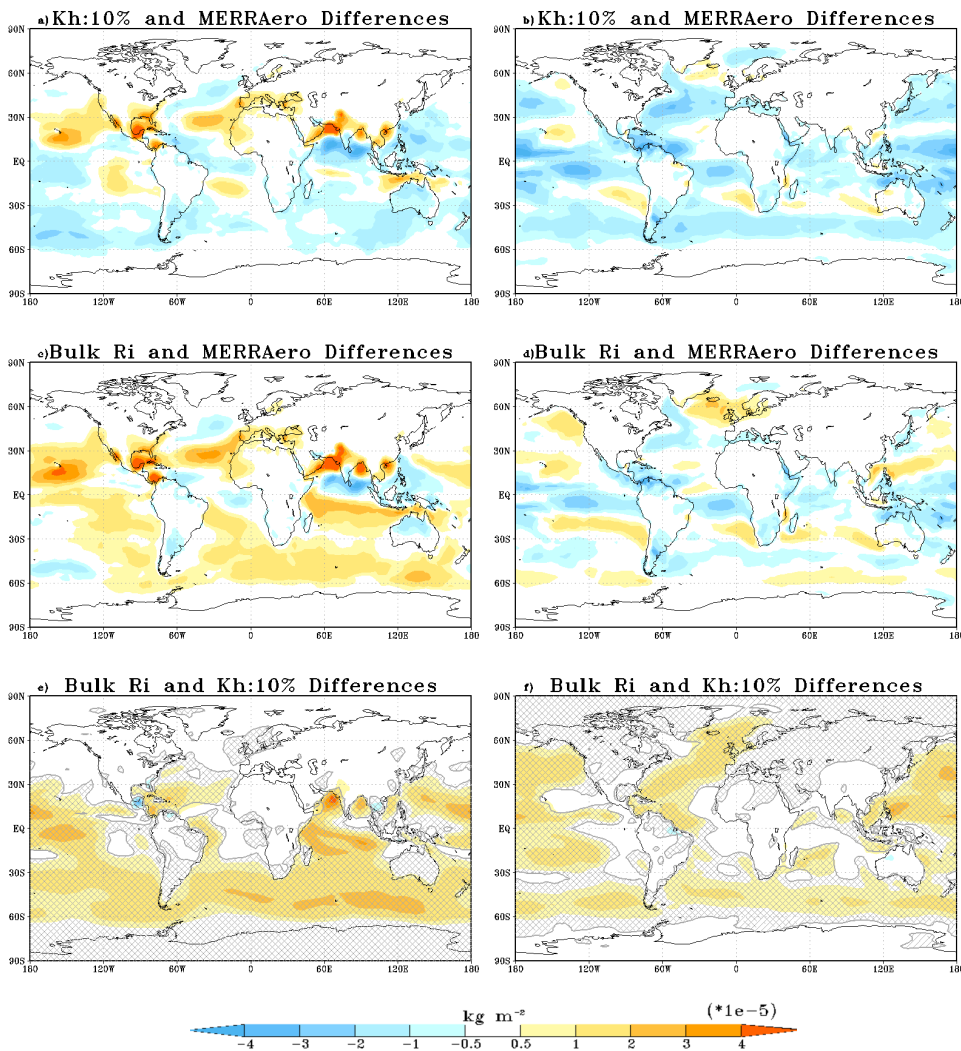
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- 1 plots represent significance at the 90% level using the student's t test. Crosshatch marks
- 2 represent significance at the 95% level.



- 3
- 4 **Figure 15.** Seasonal mean dust differences (shaded, Method 3 minus Method 2) and mean dust
- 5 concentration (black contours) for JJA averaged from 5°N to 30°N. Average concentration is
- 6 about  $1.6e-7 \text{ kg kg}^{-1}$ . Hatch marks represent significance at the 90% level using the student's t
- 7 test. Crosshatch marks represent significance at the 95% level.

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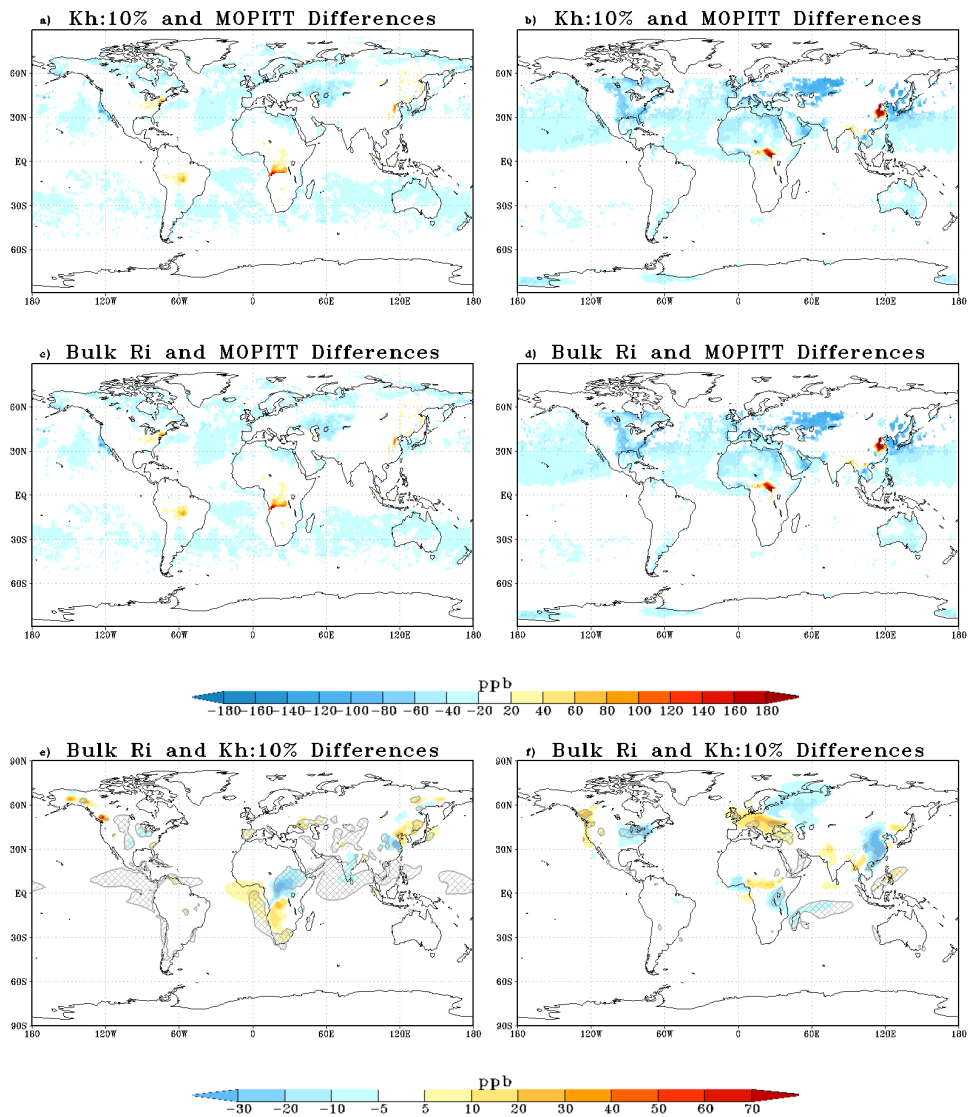


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 2 **Figure 16.** Seasonal mean column sea salt differences. Method 2 minus MERRAero for JJA (a)  
 3 and DJF (b), Method 3 minus MERRAero for JJA (c) and DJF (d), and Method 3 minus Method  
 4 2 for JJA (e) and DJF (f). Hatch marks on the bottom plots represent significance at the 90%  
 5 level using the student's t test. Crosshatch marks represent significance at the 95% level.

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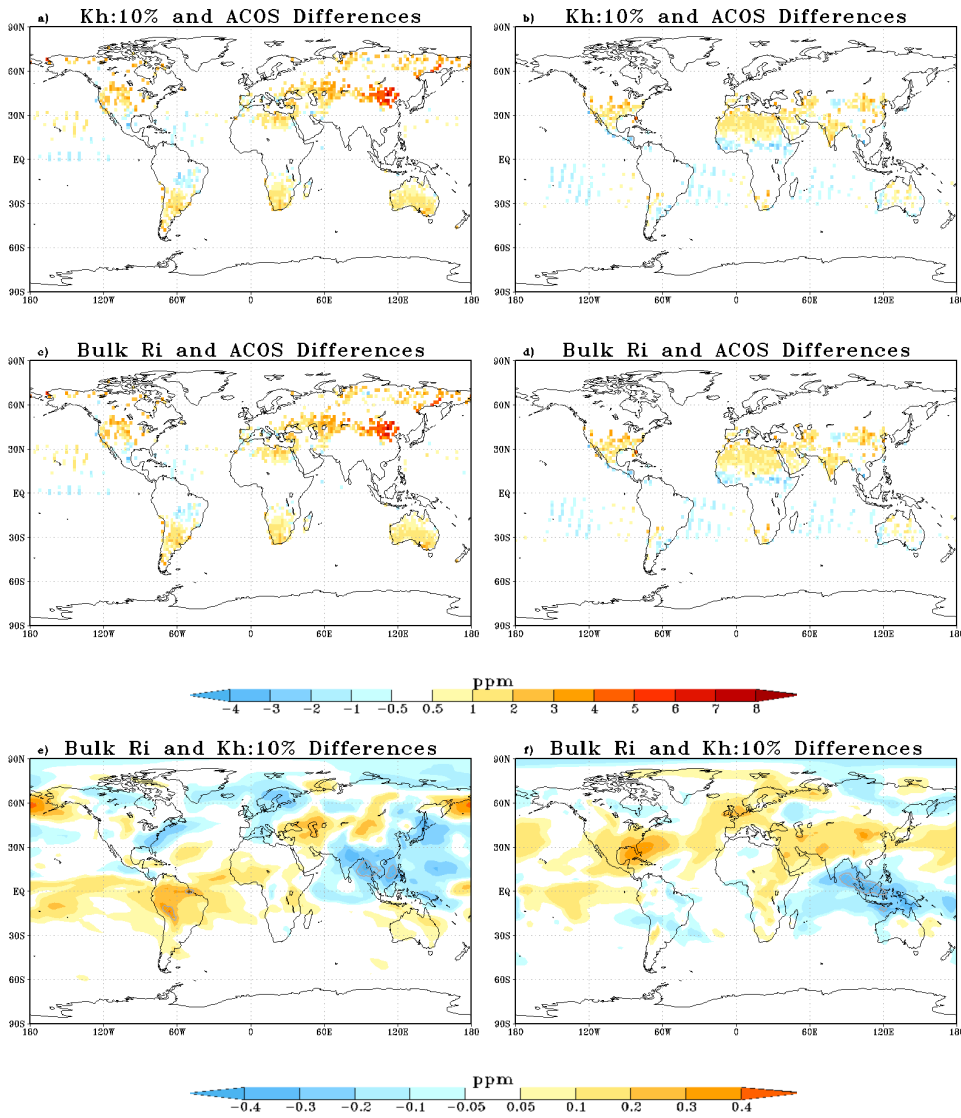




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 2 **Figure 17.** Seasonal mean surface CO differences. Method 2 minus MOPITT for JJA (a) and DJF  
 3 (b), Method 3 minus MOPITT for JJA (c) and DJF (d), and Method 3 minus Method 2 for JJA  
 4 (e) and DJF (f). Model comparisons to MOPITT have been sampled using the MOPITT

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- 1 averaging kernel. Hatch marks on the bottom plots represent significance at the 90% level using
- 2 the student's t test. Crosshatch marks represent significance at the 95% level.

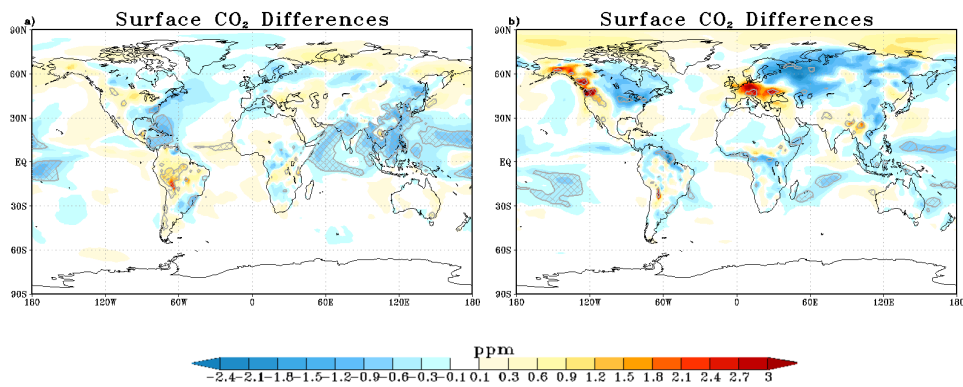


- 3
- 4 **Figure 18.** Seasonal mean column CO<sub>2</sub> differences. Method 2 minus ACOS for JJA (a) and DJF
- 5 (b), Method 3 minus ACOS for JJA (c) and DJF (d), and Method 3 minus Method 2 for JJA (e)

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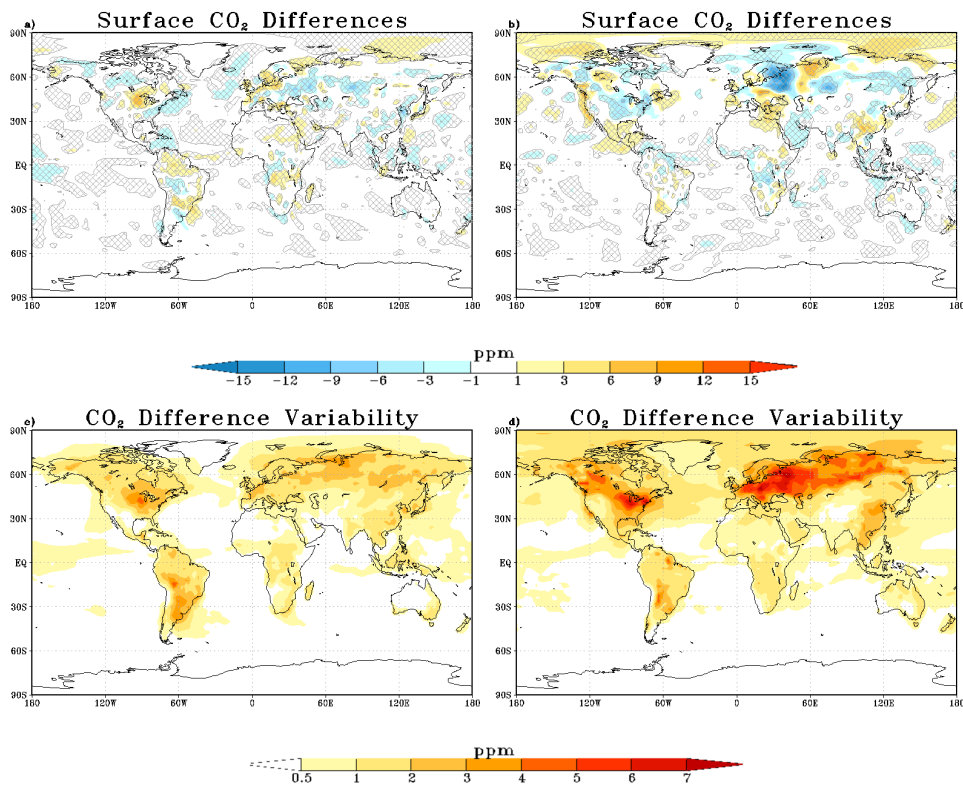
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- 1 and DJF (f). Model comparisons to ACOS have been sampled using the ACOS averaging kernel.
- 2 Hatch marks on the bottom plots represent significance at the 90% level using the student's t test.
- 3 Crosshatch marks represent significance at the 95% level.



- 4
- 5 **Figure 19.** Seasonal mean surface CO<sub>2</sub> differences (Method 3 minus Method 2) for JJA (a) and
- 6 DJF (b). Hatch marks represent significance at the 90% level using the student's t test.
- 7 Crosshatch marks represent significance at the 95% level.

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2 **Figure 20.** Surface CO<sub>2</sub> differences (Method 3 minus Method 2) for a) 1:30Z 1 July 2009 and b)  
 3 1:30Z 1 January 2010. Hatch marks represent significance at the 90% level using the student's t  
 4 test. Crosshatch marks represent significance at the 95% level. Standard deviation of surface CO<sub>2</sub>  
 5 differences (Method 3 minus Method 2) for c) July 2009 and d) January 2010.

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