

A novel methodology for large scale, daily assessment of the direct radiative forcing of smoke aerosols

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Abstract

A new methodology was developed for obtaining daily retrievals of the direct radiative forcing of aerosols (24h-DARF) at the top of the atmosphere (TOA) using satellite remote sensing. Simultaneous CERES (Clouds and Earth's Radiant Energy System) shortwave flux at the top of the atmosphere (TOA) and MODIS (Moderate Resolution Spectroradiometer) aerosol optical depth (AOD) retrievals were used. To analyse the impact of forest smoke on the radiation balance, this methodology was applied over the Amazonia during the peak of the biomass burning season from 2000 to 2009.

To assess the spatial distribution of the DARF, background smoke-free scenes were selected. The fluxes at the TOA under clean conditions (F_{cl}) were estimated as a function of the illumination geometry (θ_0) for each $0.5^\circ \times 0.5^\circ$ grid cell. The instantaneous DARF was obtained as the difference between the clean ($F_{cl}(\theta_0)$) and the polluted flux at the TOA measured by CERES in each cell ($F_{pol}(\theta_0)$). The radiative transfer code SBDART (Santa Barbara DISORT Radiative Transfer model) was used to expand instantaneous DARFs to 24h averages.

This new methodology was applied to assess the DARF both at high temporal resolution and over a large area in Amazonia. The spatial distribution shows that the mean 24h-DARF can be as high as -30 W/m^2 over some regions. The temporal variability of the 24h-DARF along the biomass burning season was also studied and showed large intraseasonal and interannual variability. We showed that our methodology considerably reduces statistical sources of uncertainties in the estimate of the DARF, when compared to previous approaches. DARF

1 assessments using the new methodology agree well with ground-based measurements and
2 radiative transfer models. This demonstrates the robustness of the new proposed methodology
3 for assessing the radiative forcing for biomass burning aerosols. To our knowledge, this was
4 the first time satellite remote sensing assessments of the DARF were compared with ground
5 based DARF estimates.

6

7 **1 Introduction**

8 The Amazonia is the largest tropical rainforest of the world, occupying an area of more than
9 6.6 million km² in South America. This large ecosystem plays a crucial role in regulating
10 global and regional climate and the hydrological cycle, powering global atmospheric
11 circulation, transporting heat and moisture to continental areas (Davidson and Artaxo, 2004,
12 Artaxo et al., 2013). In the last decades, anthropogenic activities, such as deforestation for
13 agricultural and urban expansion have highly disturbed this environment (Betts et al., 2008,
14 Bowman et al., 2009, Davidson et al., 2012). During the wet season, the Amazon Basin is one
15 of the few continental places of the world where we can observe pristine conditions (Andreae
16 et al., 2007). The population of aerosols during the wet season is dominated by primary
17 biogenic coarse mode particles (Martin et al., 2010), and presents typical concentration of
18 about 300 particles per cm³ (Artaxo et al., 2002). This scenario changes dramatically during
19 the dry season, with particle concentration reaching around 20,000 particles per cm³ due to
20 biomass burning emissions (Holben et al., 1996, Echalar et al., 1998, Andreae et al., 2002,
21 Artaxo et al., 2009). This strong increase in aerosol concentration is accompanied by a
22 significant modification in particle size distribution, since most of the particles emitted during
23 burning events belong to the fine mode (Dubovik et al., 2002, Eck et al., 2003, Schafer et al.,
24 2008).

25 Aerosol particles can modify the Earth's radiative balance in two ways: i) directly, by
26 interacting with solar radiation, through scattering and absorption processes (eg., Charlson et
27 al., 1992, Chylek and Wong, 1995), and ii) indirectly, by modifying the microphysical
28 structure of clouds, such as droplet size distribution and cloud albedo (eg., Twomey et al.,
29 1977, Coakley et al., 1987, Albrecht et al., 1989, Andreae et al., 2004, Koren et al., 2008).
30 These effects depend on the concentration and on the horizontal and vertical distributions of
31 particles in the atmosphere, on their optical properties, such as single scattering albedo, size
32 distribution, phase function, hygroscopicity, and on the surface reflectance properties of the

1 underlying region (eg., Haywood and Boucher, 2000; Yu et al., 2006). In particular, biomass
2 burning aerosols play an important role in modifying the radiative energy balance of the
3 affected region because fine mode particles interact efficiently with solar radiation (Liou,
4 2002).

5 The direct aerosol radiative forcing (DARF) in Amazonia was previously assessed using
6 radiative transfer models coupled with ground-based remote sensing measurements (Procopio
7 et al., 2004) or in-site field-campaigns (Ross et al., 1998). Although these approaches may
8 provide detailed insight about a specific burning event, they are limited in space (in the case
9 of ground-based studies) or in time (in the case of intensive field campaigns). As satellite
10 remote sensing provides high spatial coverage it has been used to assess the large scale
11 DARF. An interesting technique used CERES (Clouds and Earth's Radiant Energy System)
12 flux at the top of the atmosphere (TOA) combined with MODIS (Moderate Resolution
13 Spectroradiometer) or MISR (Multi-angle Imaging Spectroradiometer) aerosol optical depth
14 (AOD) to assess the mean DARF over Amazonia during the biomass burning season and
15 analyse its spatial variability (Patadia et al., 2008, Sena et al., 2013). This technique
16 (CERES+MODIS) has also been widely applied to evaluate the mean DARF over a time
17 period (usually 2-3 months) in several other regions (eg., Zhang et al., 2005, Christopher,
18 2011, Feng and Christopher, 2014, Sundström et al., 2014). Although these studies focused on
19 averages are useful, they lack the high temporal resolution needed to observe important
20 details on the changes of the radiative balance due to the short residence time of aerosols in
21 the atmosphere. During the dry season, aerosol residence time within the boundary layer is
22 estimated to be about 4 to 6 days (Freitas et al., 2005, Edwards et al., 2006). Also, biomass
23 burning aerosols can be transported over great distances away from their sources (Andreae et
24 al., 2001, Longo et al., 2009), depending on the prevalent dynamics in the studied area. Due
25 to their short lifetime and to the dynamics of transport of these particles, aerosols present
26 highly inhomogeneous spatial and temporal distributions. With that in mind, we developed a
27 methodology for calculating the smoke DARF in Amazonia with higher spatial and temporal
28 resolution than previous assessments ($0.5^{\circ} \times 0.5^{\circ}$ degrees and 1 day, respectively) using
29 satellite remote sensing. As opposed to previous studies, that consider the total effect of
30 aerosols (both from background and polluted conditions) on the radiative budget, this study
31 focused on assessing the anthropogenic DARF only. This can also be regarded as an
32 improvement over previous methodologies, since aerosol-free conditions cannot be observed
33 in the atmosphere.

1 The main goals of this work were:

2 i) to introduce a new methodology to assess the daily direct radiative forcing of biomass
3 burning aerosols over a large scale of Amazonia using satellite remote sensing
4 (Section 2);

5 ii) to analyse the intraseasonal and interannual variability of the daily average DARF as
6 well as its mean daily spatial distribution pattern over Amazonia (Sections 3.1 and
7 3.2);

8 iii) to validate the calculated DARF obtained by applying this new methodology with
9 ground-based sensors, as well as radiative transfer DARF calculations (Section 4).

10 We also believe that this methodology could be easily applied to study the DARF_{24h} in other
11 regions of the world, impacted by biomass burning or even urban pollution.

12

13 **2 Data and methods**

14 In this work, combined CERES shortwave TOA flux and MODIS aerosol optical depth
15 (AOD) at 550 nm were used to assess the direct radiative forcing of biomass burning aerosols
16 over the Amazon Basin for cloud-free conditions. These both instruments are aboard NASA's
17 Terra and Aqua satellites.

18 CERES sensors are passive scanning radiometers that measure the upward radiance in three
19 broadband channels: i) between 0.3 to 5.0 μm , to measure the shortwave radiation reflected in
20 the solar spectrum; ii) between 8 and 12 μm , to measure the thermal radiation emitted by the
21 Earth in the atmospheric window spectral region, and iii) between 0.3 and 200 μm to measure
22 the total radiation spectrum emerging at the TOA (Wielicki et al., 1996). Radiance
23 measurements are converted into broadband radiative fluxes through the use of angular
24 distribution models (ADMs) (Loeb et al., 2005).

25 MODIS measures the radiance at the TOA in 36 narrow spectral bands between 0.4 and 14.4
26 μm (Salomonson et al., 1989). Among its various applications, MODIS observations have
27 been widely used to monitor land surface, oceans and atmosphere properties and to provide
28 information about cloud and aerosol optical properties, their spatial and temporal variations,
29 and the interaction between aerosols and clouds (King et al., 1992).

1 CERES Single Scanner Footprint (CERES-SSF) product provides simultaneous retrievals of
2 the upward flux at the TOA derived by CERES on three broadband channels, and properties
3 of aerosols and clouds reported by MODIS. In this product, MOD04 aerosol and cloud
4 properties, that are originally reported with a 10 km spatial resolution, are reprojected to
5 CERES 20 km resolution (Smith, 1994). Over land, MODIS's AOD uncertainty is estimated
6 as: $\sigma_{land} = \pm 0.05 \pm 0.15 AOD_{550nm}$ (Remer et al., 2005).

7 For the development of the new methodology presented here, we used CERES-SSF Edition
8 3A shortwave flux retrievals at the TOA from Terra satellite over the Amazon Basin from
9 July 1 to October 31 from 2000 to 2009. The studied area was limited between the
10 coordinates 3°N–20°S, 45°W–65°W and 3°N–11°S, 65°W– 74°W. Pixels with 1-km
11 resolution MODIS cloud fraction above 0.5% and with a clear area in the MODIS 250 m
12 resolution lower than 99.9% were removed. To limit distortions we removed from our
13 analysis pixels that presented view and solar zenith angles greater than 60°. The DARF was
14 calculated with a 0.5° x 0.5° latitude/longitude spatial resolution, according to the
15 methodology described in the next section.

16 **2.1 Evaluation of the daily direct RF of biomass burning aerosols**

17 The direct radiative forcing of aerosols (DARF) can be defined as the difference between the
18 upward radiation flux at the TOA measured in background (F_{cl}) and polluted (F_{pol}) conditions.

$$19 \quad DARF = F_{cl} - F_{pol} . \quad (1)$$

20 For each scene observed by CERES, F_{pol} can be directly obtained from the mean flux at the
21 TOA for each 0.5° x 0.5° grid cell. To calculate the instantaneous DARF, we need to estimate
22 what would be the flux at the TOA for background conditions (F_{cl}) for the same illumination
23 geometry of the polluted scene. To perform this estimate, scenes that presented aerosol optical
24 depth (AOD) smaller than 0.1 were selected, and considered as background scenes. This
25 threshold was selected by analysing AERONET's AOD during the wet season. For each cell,
26 the flux at the TOA observed for background scenes (F_{cl}) during the 40-months studied period
27 was plotted against the cosine of the solar zenith angle ($\cos(\theta_0)$). An example of this plot, for
28 the grid cell centred at latitude 8.75°S and longitude 53.75°W, is shown in Figure 1. A
29 correlation coefficient of 0.94 between F_{cl} and $\cos(\theta_0)$ was observed for the data points
30 within this cell indicating the adequacy of the linear approximation. It is worth emphasizing

1 that this example is not a best case scenario. In fact, more than 80% of the cases analysed
2 showed a correlation larger than 0.90 between F_{cl} and $\cos(\theta_0)$.

3 The solar zenith angle varied from about 10° to 52° at Terra satellite passage time over the
4 Amazonia during the study period. For this solar zenith angle range, F_{cl} varies linearly with
5 $\cos(\theta_0)$. By adjusting a linear fit to the data points within each cell we can calculate
6 $F_{cl}(\theta_0)$ for any illumination geometry, according to equation 2,

$$7 \quad F_{cl}(\theta_0) = A \cos(\theta_0) + B, \quad (2)$$

8 where A and B correspond to the slope and the intercept of the linear fit, respectively.

9 To assess the instantaneous DARF, the mean solar zenith angle within each cell during the
10 satellite passage time was identified for every polluted scene. For each cell, the instantaneous
11 DARF was evaluated as the difference between $F_{cl}(\theta_0)$ and the mean flux at the TOA
12 retrieved by CERES in polluted conditions ($F_{pol}(\theta_0)$), as previously stated in equation (1).
13 The uncertainty of the DARF in each cell (σ_{DARF}), was computed using error propagation,
14 according to the following equation:

$$15 \quad \sigma_{DARF}^2 = \sigma_A^2 \cos^2(\theta_0) + \sigma_B^2 + 2 \text{cov}(A, B) \cos(\theta_0) + \sigma_{Fpol}^2, \quad (3)$$

16 where σ_A , σ_B and $\text{cov}(A, B)$ are the uncertainty of the slope, intercept and the covariance
17 between the slope and the intercept, respectively; σ_{Fpol} is the uncertainty of the flux in each
18 cell for the polluted condition.

19 **2.2 Correction of the DARF according to empirical ADMs**

20 As already discussed, to convert CERES radiance measurements to radiative flux at the TOA
21 it is necessary to define the angular distribution models (ADMs) for different scenes (Loeb et
22 al., 2005). In a recent work, Patadia et al. (2011) pointed out that the angular distribution
23 models currently used by CERES team to derive shortwave fluxes at the TOA over land in
24 cloud-free conditions do not take into account aerosol properties in the observed scene. This
25 can result in large errors in the shortwave fluxes derived by this sensor for areas with high
26 concentrations of aerosols, such as the Amazonia during the biomass burning season. To
27 estimate the impact of the anisotropy caused by high aerosol loading on the flux at the TOA,
28 Patadia et al. (2011) developed a methodology to obtain new empirical angular distribution

1 models for the Amazon Basin region during the dry season. The authors used radiance
2 measurements obtained by CERES shortwave channel over the Amazonia for different view
3 and solar illumination geometries between 2000 and 2008. In a later work they have assessed
4 the difference between the DARF evaluated using both, CERES ADMs and their new
5 empirical ADMs (Patadia and Christopher, 2014). They have found that, on average, CERES
6 DARF relates to the corrected DARF calculated with their empirical ADMs, according to the
7 following equation:

$$8 \quad \text{DARF}_{corrected} = \text{DARF} - 52.27AOD - 2.71 + 35.15AOD + 1.78. \quad (4)$$

9 The correction proposed by Patadia and Christopher (2014) was applied to the CERES-
10 MODIS DARF estimates introduced in the previous section.

11 A discrete-ordinate radiative transfer (DISORT) code (Stamnes et al., 1988) was used to
12 expand the instantaneous radiative forcing, calculated for the satellite passage time, to 24
13 hours averages. MODIS BRDF/Albedo Model (MCD43B1) retrievals (Schaaf et al., 2002)
14 over the studied area were used to develop the surface albedo models used in the radiative
15 transfer calculations. Aerosol optical properties retrieved by the AERONET (Aerosol Robotic
16 Network) ground-based sun-photometers (Dubovik and King, 2000) located in the Amazonia
17 during the dry season were also used in this computation. For a detailed description of the
18 methodology used to perform this expansion please refer to Sena et al. (2013).

19 **3 Results and discussions**

20 In this section we will present and explore the main results obtained by applying the
21 methodology introduced in section 2.1 to assess the DARF. Some examples of the spatial
22 distribution and the temporal variability of the 24h-DARF along the biomass burning season
23 are shown and discussed in the next subsections. In section 3.3, the average of the DARF
24 during the biomass burning season of each year is computed and compared with previous
25 DARF results.

26 **3.1 Examples of the spatial distribution of the 24-h DARF**

27 In Brazil, most fires occur on the Southern and Eastern borders of the Amazon Basin, in a
28 region known as the “arc of deforestation” (Malhi et al., 2008, Morton et al., 2008). During
29 the dry season low level Easterly winds are dominates the atmospheric circulation over
30 central South America (Nobre et al., 1998) . Due to this dynamical feature, smoke particles

1 are transported towards the forest and the Andes mountain range, where eventually wind
2 direction changes (Freitas et al., 2009). Biomass burning aerosols can be transported over
3 long distances away from their sources (Andreae et al., 2001, Freitas et al., 2005, Longo et al.,
4 2009, Mishra et al., 2015) and cover large areas of up to millions of km² (Prins et al., 1998).
5 Aerosol transport during the biomass burning season can significantly modify the spatial
6 distribution of the DARF from one day to another. Two examples of the spatial distribution of
7 the 24-h DARF, for 08/13/2005 and 08/15/2005, are shown in Figure 2, with their respective
8 uncertainties. Composite images from MODIS's red, blue and green spectral channels, are
9 also shown in this figure.

10 Figure 2 shows that, on August 13th, 2005, the smoke plume covers a large area of the
11 Brazilian Amazonia, between 4°S and 12°S and 55° and 70°W. The 24-h DARF over the area
12 was particularly high for that day, varying from about -30 to -15 W/m². On August 15th, 2005,
13 we note that the smoke plume has moved Southeast, following the Andes mountain range
14 line, strongly impacting the Southern Amazonia, Western Bolivia and Northern Paraguay.
15 The area located between 8°S and 20°S and 57°W and 65°W showed the highest 24-h DARF
16 values for that day, also ranging from -30 to -15 W/m². The 24h-DARF showed in Figure 2b
17 was, on average, -14.3 ± 0.3 W/m² on August 13th and -15.6 ± 0.3 W/m² on August 15th.
18 These results clearly show the importance of wind circulation in the transport of aerosol
19 plumes and how atmospheric dynamics may influence the shortwave radiative balance of the
20 region.

21 **3.2 Temporal variability of the DARF along the biomass burning season**

22 Due to the short lifetime of aerosols in the atmosphere, the DARF may vary largely along the
23 2-months of the biomass burning season. To analyse this temporal variability, the average of
24 the 24-h DARF over the study area was calculated for each day of the year. Time series of the
25 mean daily DARF during the biomass burning season from 2000 to 2009 are illustrated in
26 Figure 3. Due to a problem in CERES-SSF data processing, the year 2004 presents a high
27 amount of missing values for aerosol and cloud properties in its database. Therefore this year
28 was not included in Figure 3, nor in the forthcoming analysis.

29 Figure 3 shows that, besides its large interannual variability, the DARF also varies widely
30 along the biomass burning season. Different temporal patterns along the biomass burning
31 season are observed depending on the year. For example, for most of 2005's dry season, the

1 DARF showed little variation, averaging around -9 ± 2 W/m². On the other hand, in 2007, the
2 DARF became gradually more negative, starting around 0 W/m² in the beginning of August
3 and reaching values of the order of -25 W/m² at the end of September. However, 2005 and
4 2007, both, present similar mean 24-h DARF during the burning season, as will be shown in
5 the next section (Figure 4). The DARF was also near constant during the dry season of 2009.
6 For most of the years, however, the temporal variation pattern during the biomass burning
7 season was neither constant nor linear. In 2002 the DARF decreases in the beginning of the
8 dry season and then saturates at about -5 W/m². In 2006 the DARF follows a similar pattern to
9 that of 2002, saturating at about -10 W/m² and increasing once again in the end of September.
10 For the remaining years (2000, 2001, 2003 and 2008) the seasonal variability of the DARF
11 was more complex, with the DARF increasing / decreasing more than once during this two-
12 month period.

13 The interannual variability of the DARF can also be observed. The impact of smoke aerosols
14 in the radiative balance of 2005 and 2007 was very pronounced, while the DARF was very
15 close to zero during the whole biomass burning season of 2009. The high DARFs in 2005 and
16 2007 are associated with severe droughts that contributed to forest and savanna fires and high
17 aerosol loadings in these years (Marengo et al., 2008, Ten Hoen et al., 2012). On the other
18 hand, the rainfall over the Amazonia in 2009 was extremely high (Satyamurty et al., 2013),
19 which contributed to the decrease in the number of fire sources and the efficient removal of
20 smoke aerosols from the atmosphere. These results indicate that rainfall patterns and the
21 interannual variability of the DARF are likely related. Socio-economical changes, related to
22 land-use and deforestation, can also affect aerosol loading (Davidson et al., 2012), and
23 therefore contribute to the DARF variability.

24 The daily DARF variations from one day to another, shown in this figure, are mainly due to
25 changes at the MODIS imaged area, that varies according to the satellite track. Due to its
26 polar orbiting track, every day the scanned area slightly changes, finally repeating itself after
27 about 16 days. Depending on Terra track, for some cases MODIS does not cover areas
28 heavily impacted by smoke aerosols, and the mean 24h-DARF could be underestimated.
29 Cloud cover can also change significantly in short periods of time (2-3 days), and this can
30 strongly impact daily DARF retrievals. Furthermore, the daily DARF variation is also
31 influenced by changes in fire source location and transport along the biomass burning season.

1 **3.3 Average of the DARF during the biomass burning season**

2 In previous studies (Patadia et al., 2008, Sena et al., 2013), the average of the direct radiative
3 forcing of aerosols during the biomass burning season over the Amazonia was also calculated
4 by using CERES and MODIS sensors. In those approaches, the average flux for clean
5 conditions during the biomass burning season ($BBSF_{cl}$) for each cell grid was estimated from
6 the intercept of the regression between TOA fluxes and AOD retrievals from August to
7 September. The mean DARF during the biomass burning season (BBSDARF) was then
8 calculated by subtracting the mean flux at the TOA ($BBSF_{pol}$) from the mean flux for clean
9 conditions ($BBSF_{cl}$) observed as averages during this two-month study period. The DARF
10 calculated using this methodology considers the total effect of aerosols. Since the flux for
11 clean conditions (F_{cl}) is defined for $AOD=0$, the effect of smoke aerosols cannot be isolated
12 from the effect of background aerosols. Thus the total effect of aerosols from both
13 background and polluted conditions are included in the BBSDARF.

14 The new methodology introduced here (Section 2.1), provides the 24h-DARF for each
15 individual day, with a much higher temporal resolution than the one used in previous studies.
16 Furthermore this new methodology considers a more realistic clean condition, by defining F_{cl}
17 in the presence of background aerosols. Since background aerosols are always present in the
18 atmosphere, the contribution of background aerosols to the radiative balance should not be
19 considered as forcing in the strict sense. In fact, some authors define the contribution of
20 background + polluted aerosols as the direct radiative effect instead of direct radiative forcing
21 (eg., Yu et al., 2006).

22 In this section we compared the DARF obtained using the new methodology introduced in
23 section 2.2 with the seasonal DARF values calculated previously by Sena et al., 2013. For this
24 comparison, the daily DARF, obtained in this work, was averaged between the months of
25 August and September of each year ($\langle 24hDARF \rangle_{BBS}$). To ensure that we make a fair
26 comparison, the corrections proposed by Patadia and Christopher (2014), and used for the
27 evaluation of the 24h-DARF in this paper (Section 2.2), were also applied a posteriori to the
28 Sena et al., 2013 seasonal forcing (BBSDARF). Figure 4 shows the mean AOD at 550 nm
29 during the biomass burning, and the comparison between $\langle 24hDARF \rangle_{BBS}$ and BBSDARF,
30 calculated over the studied area, from 2000 to 2009. Once again, 2004 was excluded from the
31 analysis, due to CERES-SSF database problems discussed in the previous section.

1 Figure 4 shows that the $\langle 24hDARF \rangle_{BBS}$ is always lower than the BBSDARF. The average of
2 the BBSDARF for this 10-year period (2000 to 2009) is -8.2 ± 2.1 W/m², while the 10-year
3 average of the $\langle 24hDARF \rangle_{BBS}$ is -5.2 ± 2.6 W/m². Two factors contribute to this difference: i)
4 different references were used at the assessment of the clean flux, F_{cl} , in each methodology
5 (AOD=0 vs. background conditions), and ii) CERES-SSF product provides an older MOD04
6 collection before 2005, and this strongly affects BBSDARF retrievals. In the following
7 paragraphs, these DARF differences and their sources will be further explored.

8 SBDART (Santa Barbara DISORT Radiative Transfer model) (Richiazzi et al., 1998)
9 calculations suggest that the contribution of background aerosols at AOD=0.1 to the 24h-
10 DARF over the Amazonia is about -2 W/m². Hence, the contribution of background aerosols
11 may explain the magnitude of the differences in the radiative forcings obtained from 2005 on,
12 but not before that year. Part of the DARF differences observed from 2000 to 2003, are very
13 likely associated with the aerosol optical properties contained in CERES-SSF product, Edition
14 3A, used both in this work and by Sena et al. (2013). This product provides aerosol optical
15 properties calculated using MODIS aerosol algorithm MOD04 - collection 4 until mid-2005,
16 and MOD04 - collection 5 after that date. A major difference between aerosol optical depths
17 obtained by these two collections is due to the fact that collection 4 does not allow negative
18 values of AOD, while for collection 5, the lowest limit for the AOD is -0.05 , to account for
19 the uncertainty of the retrieved AOD. Therefore, for low aerosol loading, when AOD from
20 MOD04 - collection 4 is projected to CERES lower resolution, it may be overestimated, since
21 negative AOD values were removed from the average. Thus, when applying the methodology
22 used by Patadia et al. (2008) and Sena et al. (2013), to CERES-SSF data that contained
23 MOD04 - collection 4 AOD, the $BBSF_{cl}$ is underestimated and, therefore, the BBSDARF is
24 overestimated (Figure 5). This explains the differences between both DARF evaluations
25 observed in Figure 4.

26 The solar zenith angle strongly influences the upward flux at the TOA (F_{TOA}). CERES fluxes
27 retrievals obtained over the same surface, for the same aerosol loading and same atmospheric
28 conditions, and at different illumination geometry will present different F_{TOA} . In the previous
29 methodology used in Sena et al., 2013, two months of data were used to estimate the
30 BBSDARF through the linear fit of F_{TOA} by AOD. Thus, flux measurements performed on
31 different days and at different times (and therefore different solar zenith angles) contributed to
32 increase the dispersion of the points on the y axis, increasing the uncertainty of BBSDARF. In

1 the new methodology, the DARF is obtained as a function of the solar zenith angle, which
2 eliminates the noise caused by solar zenith angle variations, observed in previous studies.
3 This was another important improvement of the methodology proposed in this work over the
4 previously used methodology.

5 It is also important to emphasize that both methodologies are applied only in cloud-free
6 conditions. MODIS Level 3 cloud fraction retrievals indicate that during the study period
7 (August to September) the cloud fraction over Amazonia is on average about 47%, during
8 Terra morning passage (about 10:30 AM LT), increasing to about 56%, during the afternoon
9 (Aqua passage time is about 1:30 PM LT). Therefore, the mean $\langle 24hDARF \rangle_{BBS}$ over the
10 whole study area weighted by cloud cover is about -2.6 W/m^2 .

11 The mean correlation between the AOD 550 nm and the $\langle 24hDARF \rangle_{BBS}$ from 2000 to 2009 is
12 -0.86 ± 0.03 , which is better than the mean correlation between the AOD and BBSDARF
13 previously obtained, of -0.75 ± 0.05 . This is another indication that the new daily
14 methodology proposed here is more robust to evaluate the DARF than the seasonal averaged
15 methodology used in previous studies.

16 **4 Comparison between satellite and ground-based direct radiative forcing**

17 The methodology proposed in this work uses upward TOA flux estimates from CERES-
18 MODIS sensors aboard Terra for evaluating the DARF over the Amazonia and cerrado
19 regions. As CERES relies on angular distribution models (ADM) for estimating the upward
20 flux at the TOA, it is very hard to validate those flux retrievals. Up to date, the validation of
21 these TOA fluxes has only been made indirectly, by comparing TOA fluxes retrieved by
22 broadband radiometers aboard different satellites (Loeb et al., 2007). As previously discussed,
23 the use of different ADMs to convert broadband radiance measurements into flux may
24 introduce large differences in the calculated DARF using satellite remote sensors (Patadia and
25 Christopher, 2014). We have applied a correction to the DARF based on Patadia et al. (2011)
26 empirical ADMs that accounts for the influence of aerosols in the anisotropy of scattered
27 radiation. Nevertheless, those new angular distribution functions are also not validated and,
28 since there are no instruments that directly measure the upward flux at the TOA, it is not
29 possible to truly validate neither CERES ADMs nor Patadia's empirical ADMs.

30 As an attempt to indirectly validate the DARF results obtained here, we compared the DARF,
31 calculated in this work, with both ground-based measurements and radiative transfer forcing

1 estimates. In section 4.1 we analysed the intercomparison between CERES-MODIS forcings,
2 with those reported by AERONET's (AErosol RObotic NETwork) radiative forcing product.
3 In section 4.2, CERES-MODIS forcings were compared with radiative forcing evaluations
4 computed using SBDART (Santa Barbara DISORT Atmospheric Radiative Transfer model)
5 radiative transfer code (Richiazzi et al., 1998).

6 **4.1 Intercomparison between CERES-MODIS and AERONET 24-h DARF**

7 AERONET is one of the most successful ground-based global networks of sun/sky
8 radiometers for studying and monitoring aerosol physical properties around the world
9 (Holben et al., 1998). Direct and almucantar measurements from AERONET radiometers are
10 used to retrieve AOD and several column averaged aerosol optical and physical properties in
11 different spectral bands. Extinction measurements on the spectral channel centered at 940 nm
12 are used to assess column water vapour (Halthore et al., 1997). In its inversion product
13 version 2.0, AERONET provides cloud-free sky DARF estimates evaluated using the
14 radiative transfer code GAME (Global Atmospheric Model) (Dubuisson et al., 1996). The
15 aerosol and surface models used in GAME are based on mean column averaged aerosol
16 optical properties retrieved by AERONET's inversion algorithm (Dubovik and King, 2000)
17 and surface properties retrieved by MODIS bidirectional reflectance product (Lucht et al.,
18 2000, Schaaf et al., 2002), respectively.

19 The CERES-MODIS DARF, calculated according to the methodology described in section
20 2.1, was compared with the DARF reported by AERONET's inversion product. For this, we
21 selected forcing results, located within ± 25 km of the AERONET sites that operated in the
22 Amazonia during the study period (Abracos Hill, Alta Floresta, Balbina, Belterra, Cuiabá, Ji-
23 Paraná and Rio Branco). AERONET's almucantar measurements, needed to calculate the
24 radiative forcing, are made only when the solar zenith angle is larger than 50° . However,
25 during the dry season, at the time Terra overpasses the study region (around 10:30 local time),
26 the solar zenith angle is on average around 33° . For this reason, there were no coincident
27 instantaneous DARF retrievals from CERES-MODIS radiometers and AERONET
28 sunphotometers. To compare the results, the instantaneous DARF, obtained by both CERES-
29 MODIS and AERONET, were expanded to 24-h average DARF using the methodology
30 described in Sena et al., 2013. A comparison between the 24h-DARF at the TOA obtained
31 using AERONET and CERES-MODIS is shown in Figure 6.

1 By applying a linear fit to the data points of Figure 6, we see that the 24h-DARF derived from
2 CERES-MODIS relates with the 24h-DARF reported by AERONET through the following
3 equation:

$$4 \quad DARF_{CERES-MODIS}^{24h} = (1.07 \pm 0.04)DARF_{AERONET}^{24h} - (0.0 \pm 0.6). \quad (5)$$

5 According to this equation, the agreement between CERES-MODIS and AERONET 24h-
6 DARF is acceptable within the standard deviations of the fitted parameters. This is a
7 remarkable result, since the 24h-DARF retrievals, showed in Figure 6, were obtained by
8 applying completely different methodologies, and using different instruments. AERONET
9 sunphotometers are at the surface and CERES-MODIS instruments are at 705 km aboard
10 Terra satellite both looking at the atmospheric column. Besides that, as explained above, the
11 instantaneous observations that were used to calculate the 24h-DARF, compared in our
12 analysis, were performed at different hours of the day. All those differences contribute to the
13 dispersion of about 5 W/m² around the adjusted line. The uncertainties involved in the surface
14 and aerosol optical models used in GAME's radiative transfer code to calculate AERONET's
15 DARF can also contribute to this dispersion. These results indicate a high agreement between
16 the 24h-DARF obtained by these two independent procedures.

17 **4.2 Intercomparison between CERES-MODIS and SBDART Instantaneous** 18 **DARF**

19 It is also important to intercompare satellite remote sensing retrievals with ground based
20 measurements. In order to properly do that, we compare CERES-MODIS data at the TOA
21 with SolRad-NET (Solar Radiation Network) pyranometers at the bottom of the atmosphere
22 (BOA), using SBDART calculations to link BOA to TOA. To formulate the surface models
23 used in SBDART, we selected 50 km x 50 km areas centred at the AERONET stations listed
24 in Section 4.1. For each selected area, the spectral surface albedo was obtained from the linear
25 interpolation of MODIS MCD43B1 surface albedo retrievals in 7 wavelengths (Lucht et al.,
26 2000, Schaaf et al., 2002). The aerosol models used in these simulations were built using
27 daily averages of intrinsic aerosol optical properties retrieved by AERONET. The aerosol
28 optical depth and column water vapour measured by AERONET sunphotometers within $\pm 1/2$
29 hour of Terra's timepass over each site were also used as inputs in the radiative transfer code.
30 The shortwave downward flux at the surface and the DARF at the TOA were computed by

1 SBDART and compared with ground-based sensors solar flux measurements and with
2 CERES-MODIS DARF, respectively.

3 Figure 7 shows the comparison between the downward flux at the surface ($F_{\downarrow BOA}$) calculated
4 by SBDART between 0.3 and 2.8 μm and coincident solar flux measurements at the surface
5 in the same spectral range from SolRad-NET pyranometers, that are collocated with
6 AERONET sunphotometers. A linear fit of the downward flux measured by the pyranometer
7 at the surface ($F_{BOA}^{PYRANOMETER}$) and calculated by SBDART (F_{BOA}^{SBDART}) indicate that these
8 variables are related through the following equation:

$$9 \quad F_{BOA}^{PYRANOMETER} = (1.00 \pm 0.04)F_{BOA}^{SBDART} - (20 \pm 27). \quad (6)$$

10 Equation 6 shows that the agreement between calculated and measured BOA fluxes is
11 acceptable within the standard deviations. The apparent mismatch of about 20 W/m^2 between
12 the calculated and measured values represents approximately 2.2% of the downward flux at
13 the surface, and this is close to the instrumental uncertainty of the pyranometer, reported as
14 2%. These results show a good agreement between the downward irradiance at the surface,
15 calculated using SBDART and SolRad-NET pyranometer measurements.

16 The intercomparison between the instantaneous TOA DARF obtained using CERES-MODIS
17 and calculated using SBDART is shown in Figure 8. The data points in this graph have a
18 dispersion of about 10 W/m^2 around the 1:1 line. A linear fit of the data plotted in Figure 8
19 shows that the instantaneous TOA DARF obtained from CERES-MODIS and from SBDART
20 relate through the following equation:

$$21 \quad DARF_{CERES-MODIS} = (0.86 \pm 0.06)DARF_{SBDART} - (6 \pm 2). \quad (7)$$

22 Several issues in this comparison must be taken into account. First the upward flux is strongly
23 influenced by the surface reflection. MODIS sensor presents low spectral resolution in the
24 shortwave spectrum and this limits the surface albedo model used as input in SBDART.
25 Secondly, the atmosphere has to be taken into account twice: on the downward and upward
26 path. This amplifies any inaccuracy in the optical properties assumed in the SBDART
27 calculations.

28 Small deviations in the estimates of aerosol single scattering albedo can generate large
29 differences in the forcing calculated by radiative transfer codes (Loeb and Su, 2010, Boucher
30 et al., 2013). To assess the impact of the uncertainties associated with different single

1 scattering albedo values, the 24h-DARF was computed in SBDART as a function of AOD at
2 550 nm for different values of single scattering albedo at 440 nm ($\omega_0=0.89, 0.92$ and 0.95)
3 (Figure 9). The differences of ± 0.03 in ω_0 , used in these simulations, correspond to the
4 uncertainty of the single scattering albedo inverted by AERONET.

5 According to Figure 9, a variability of 0.03 in the estimate of the single scattering albedo for
6 the mean AOD observed over the Amazonia (0.2 to 0.4) would affect the 24h-DARF in about
7 1 to 2 W/m^2 . To evaluate if these values are consistent with the 24h-DARF variation observed
8 by AERONET, the database was divided in AOD bins of 0.05 and the standard deviation of
9 AERONET's 24h-DARF on each bin was analysed. This analysis showed that for AOD
10 varying from 0.2 to 0.4, the standard deviation of AERONET's 24h-DARF on each bin varied
11 between 1.5 and 2.7 W/m^2 . This variation is higher than the one obtained using SBDART,
12 because in those simulations, only single scattering albedo was changed and other aerosol and
13 atmospheric properties were fixed. However, there are other variables that influence the 24h-
14 DARF observed by AERONET besides single scattering albedo, such as scattering phase
15 function, size distribution and atmospheric water vapor content. These values are very
16 significant and they show that aerosol single scattering albedo is a critical parameter to
17 accurately assess DARF.

18 Considering all potential sources of uncertainties on aerosol and surface albedo models used
19 in SBDART to compute the DARF, it is possible to consider the comparison showed on
20 Figure 8 as satisfactory. It is important to note that this validation consists of an indirect
21 comparison, since, as previously discussed, it is not possible to obtain the flux at the TOA by
22 direct methods.

23

24 **5 Summary and conclusions**

25 This work proposed a new methodology for assessing the direct radiative forcing of biomass
26 burning aerosols over a large area of Amazonia using satellite remote sensing. Ten years of
27 simultaneous CERES and MODIS retrievals, from 2000 to 2009, were used in this evaluation.
28 An important correction (Patadia and Christopher, 2014) was applied to the DARF, to account
29 for the anisotropic scattering of smoke aerosols.

30 The spatial and temporal distributions of the mean daily DARF were analysed. Those analysis
31 showed that due to the wind dynamics and fast transport of particles along the Amazon Basin,

1 the spatial distribution of the DARF may considerably change even during short periods of
2 time. The DARF varies strongly along the biomass burning season, showing up to 20 W/m²
3 daily variation. The intraseasonal behaviour of the DARF also varied significantly from year
4 to year due to different burning intensity associated with different climatic conditions and
5 other socio-economical changes (Davidson et al., 2012). We also observed that changes in
6 cloud cover and satellite orbit track from one day to another can strongly influence daily
7 DARF retrievals.

8 The average of DARF during the biomass burning season were computed and compared with
9 DARF results obtained in a previous study (Sena et al., 2013). This comparison showed a
10 mean difference of about 3 W/m² on the DARF, depending on the methodology applied. This
11 difference was mainly caused by two factors: i) the difference in the reference used to
12 represent the clean scene in these two methodologies, and ii) the fact that, before 2005,
13 CERES-SSF product contains properties of aerosols from an older MODIS collection
14 (collection 4), which overestimates the forcing computed for those years when the previous
15 methodology is applied.

16 An important part of our efforts focused on linking satellite remote sensing with ground based
17 aerosol and radiation flux measurements. The DARF evaluated using the new methodology
18 proposed in this work was compared with AERONET and SBDART DARF assessments. The
19 results obtained from those intercomparisons were very satisfactory. This comparison also
20 indicates the importance of taking into account the angular distribution model corrections
21 proposed by Patadia and Christopher, 2014, and used in the present study. To our knowledge,
22 this was the first time satellite remote sensing assessments of the DARF were compared with
23 ground based DARF estimates.

24 The new methodology introduced in this work provided a large scale assessment of the direct
25 radiative forcing of biomass burning aerosols over the Amazonia at higher temporal
26 resolution than previous studies. It also showed an advantage over previous approaches for
27 evaluating the DARF using satellite remote sensing, because it considerably reduces the
28 statistical noise in the estimates of the DARF, resulting in a better correlation between DARF
29 and AOD, compared to previous assessments. This new methodology could also be applied to
30 assess the DARF in other places of the world under urban or biomass burning aerosol
31 influences, if suitable and robust aerosol optical parameters are available.

32

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10

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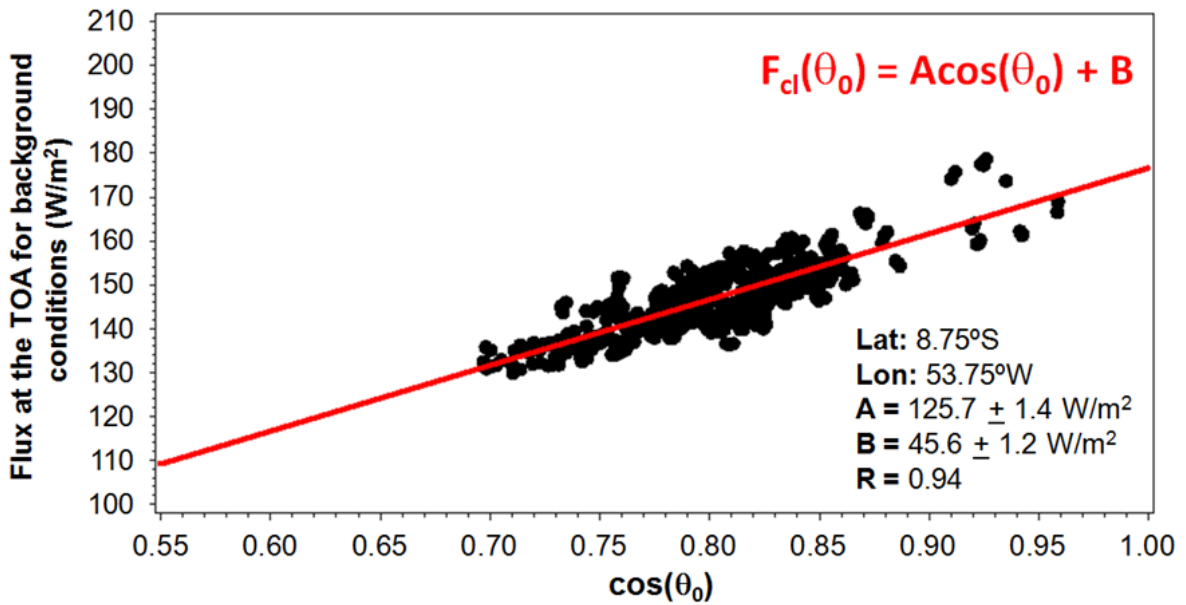
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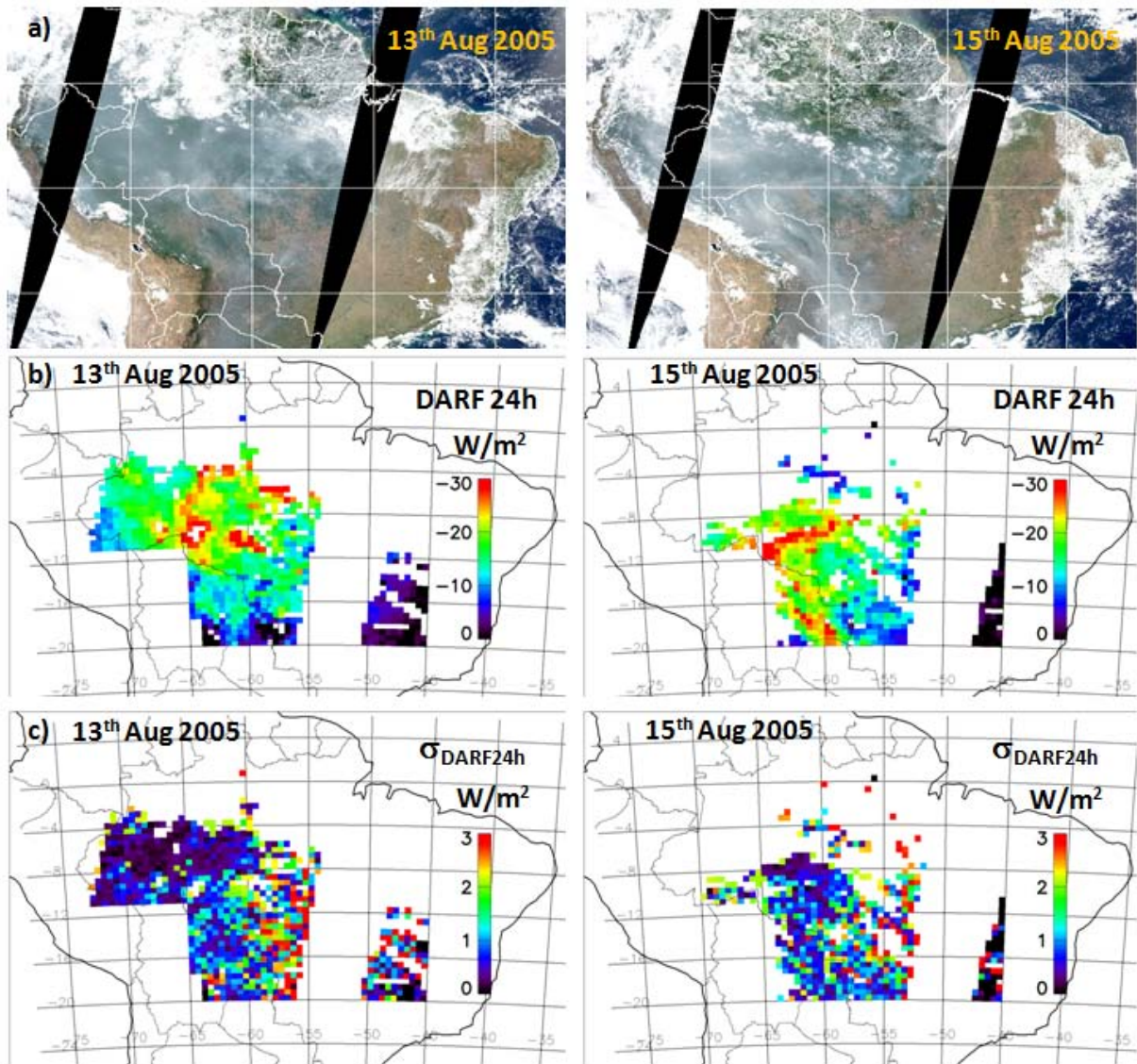
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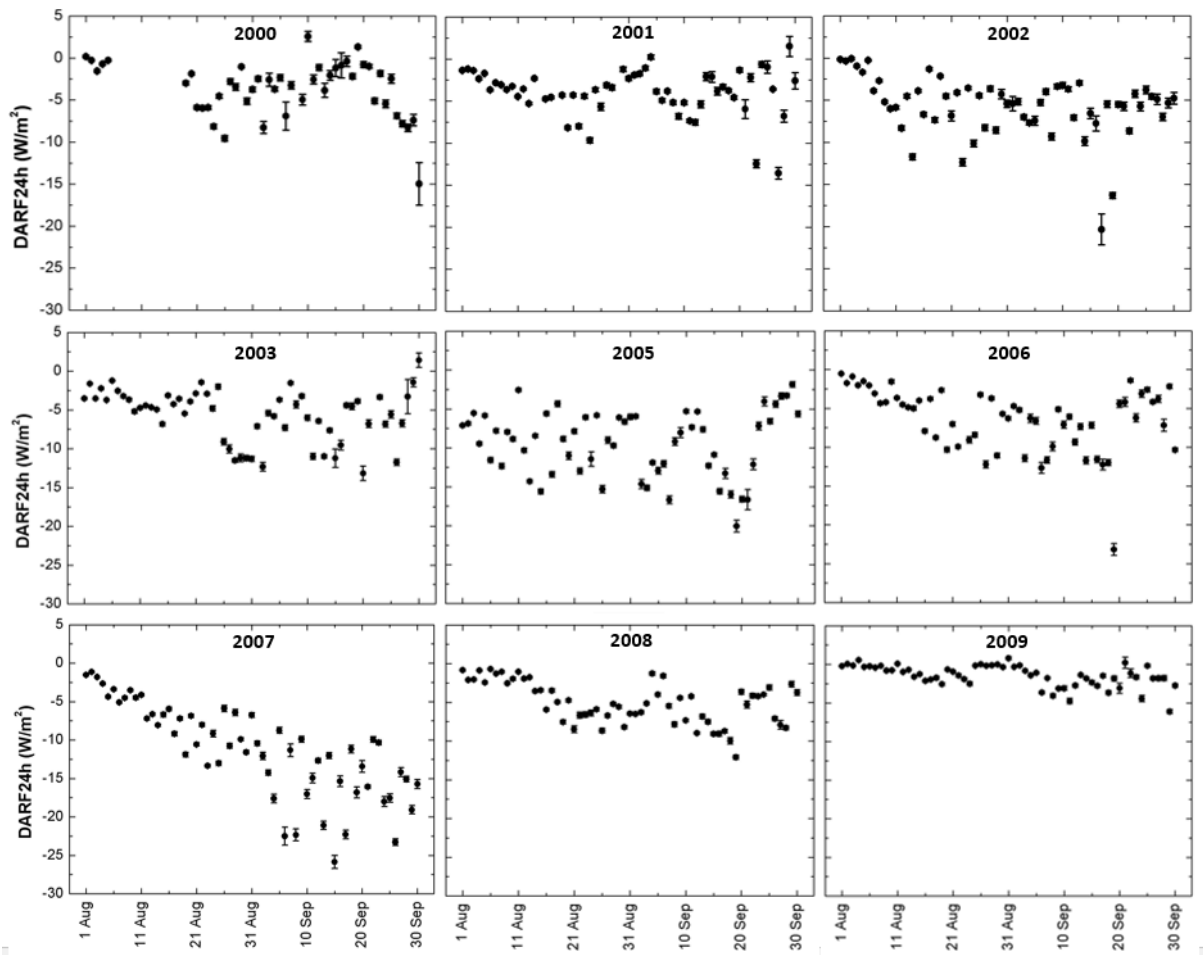


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2 Figure 1: Example of the procedure used to obtain the flux at the top of the atmosphere
 3 (TOA) for background conditions (F_{cl}) as a function of the solar zenith angle (θ_0) for a $0.5^\circ \times$
 4 0.5° cell located in the Amazon Basin. In this example, four months worth of data over the
 5 grid cell were used, from July to October, 2005.

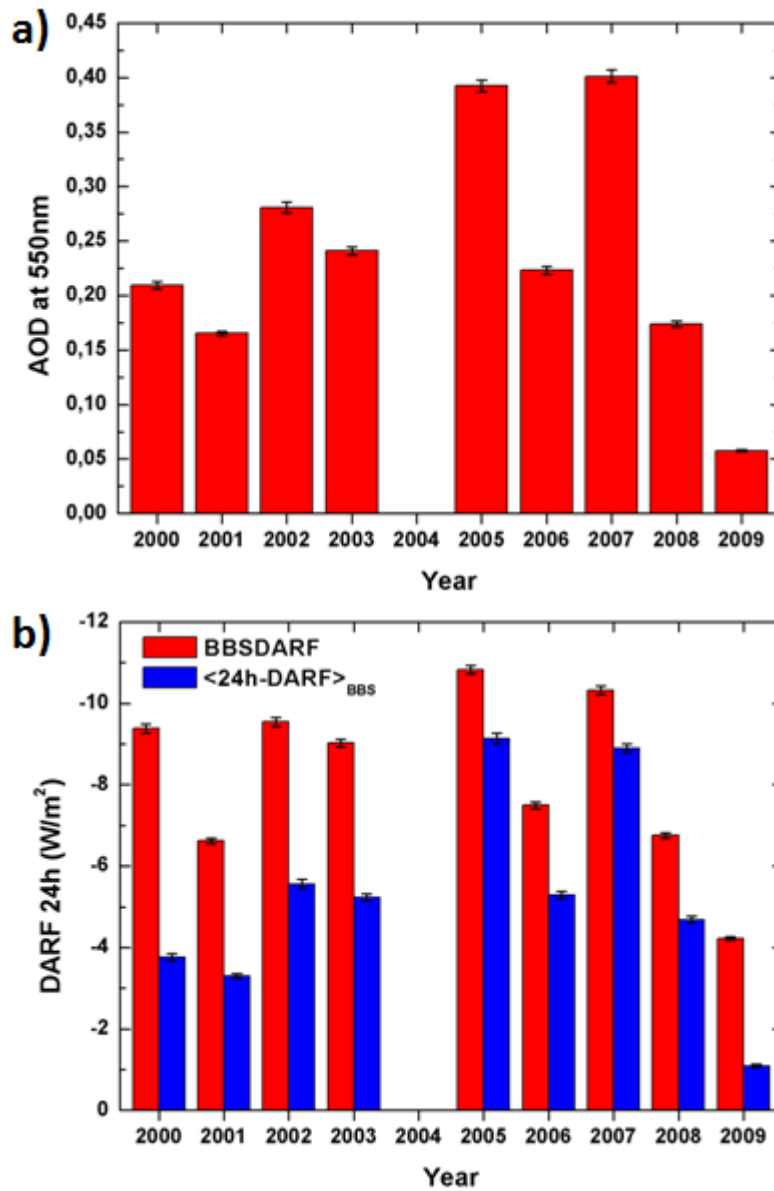


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 2 Figure 2: (a) Examples of composite MODIS RGB (red, green, blue) images over the
 3 Amazonia, (b) mean daily spatial distributions of the direct aerosol radiative forcing of
 4 aerosols (DARF24h), (c) and their uncertainties for 13th August 2005 (left) and 15th August
 5 2005 (right).



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 2 Figure 3: Temporal variability of the direct radiative forcing of aerosols (DARF24h) along the
 3 biomass burning seasons of 2000 to 2009.

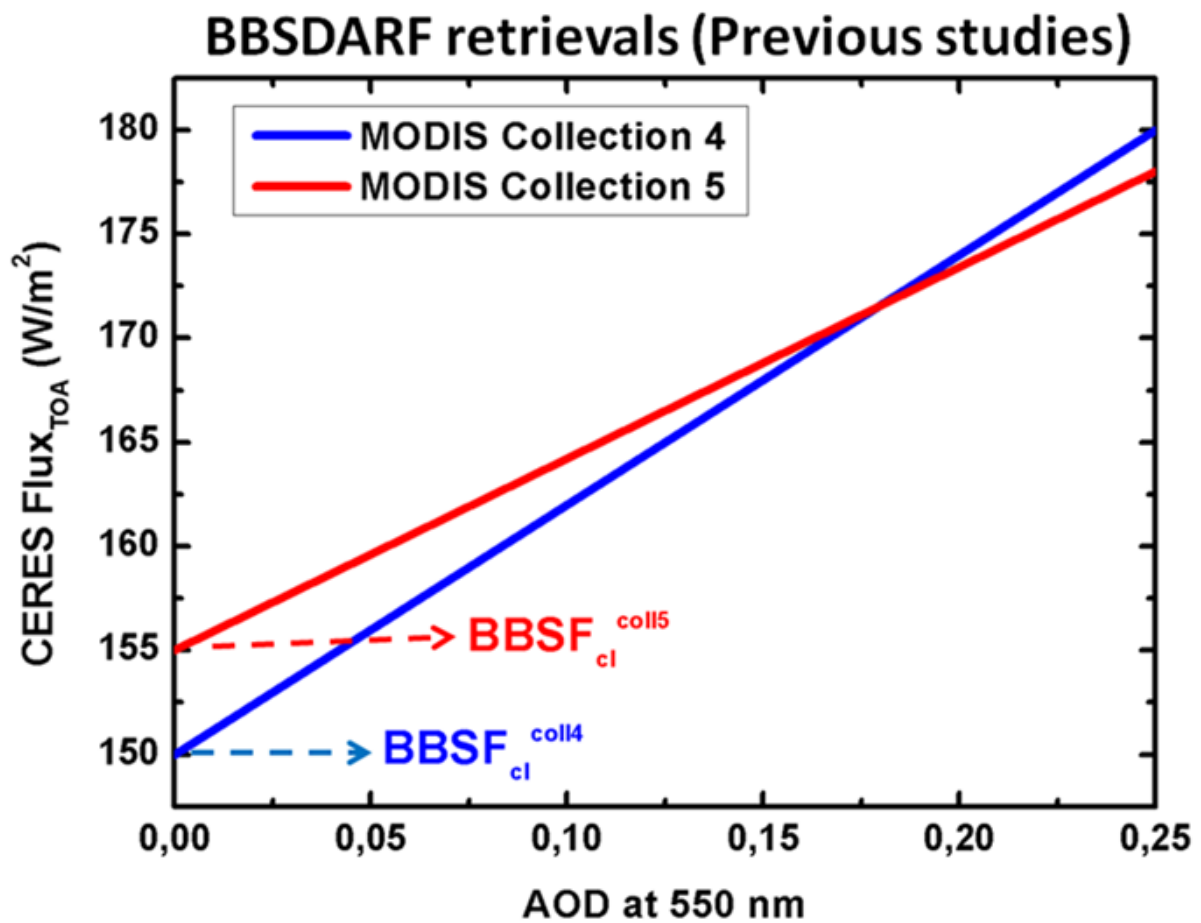
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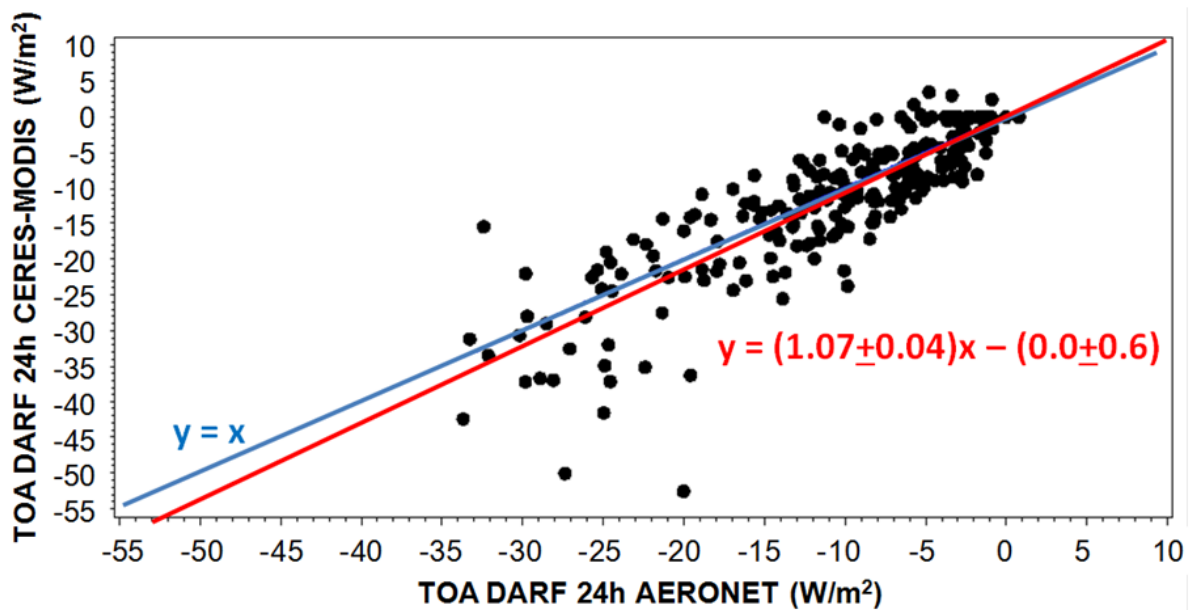
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2 Figure 4: (a) MODIS mean aerosol optical depth at 550 nm over Amazonia during the dry
 3 season (b) and mean direct aerosol radiative forcing of aerosols (DARF24h) during the peak
 4 of the biomass burning season (August to September) from 2000 to 2009 obtained by the
 5 methodology applied by Sena et al., 2013 (BBSDARF) and by the methodology proposed in
 6 this work ($\langle 24hDARF \rangle_{BBS}$).

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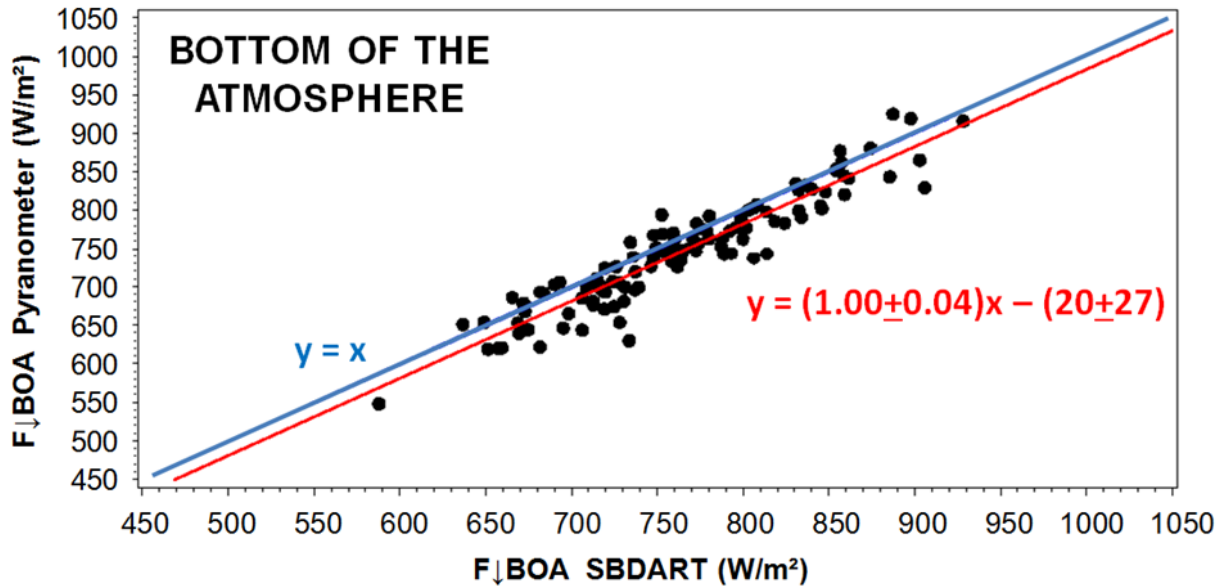
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 2 Figure 5: Schematic illustration of the differences in the linear fits of CERES flux at the top
 3 of the atmosphere (TOA) and MODIS collection 4 and collection 5 aerosol optical depth
 4 (AOD) at 550 nm. No real data was used in this figure.



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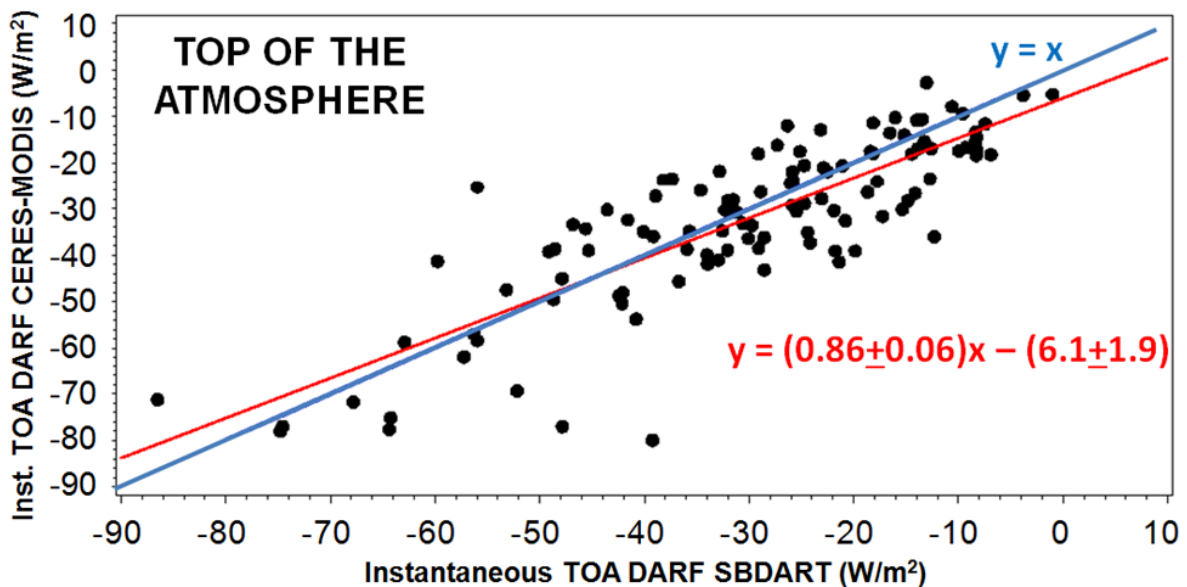
1 Figure 6: Intercomparison between the mean daily direct radiative forcing (DARF24h) at the
2 top of the atmosphere (TOA) evaluated using CERES-MODIS and by AERONET inversion
3 product.

4



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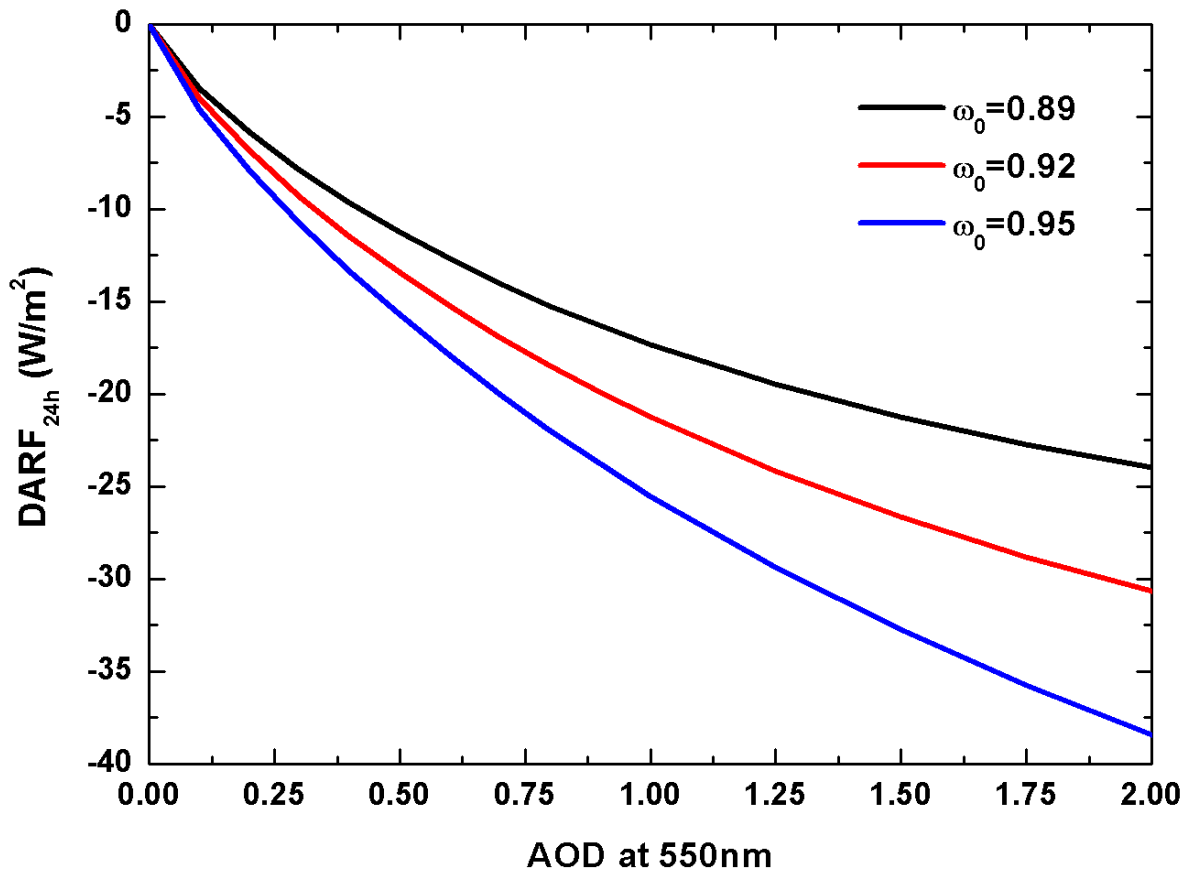
6 Figure 7: Intercomparison between the incoming flux in W/m² at the bottom of the
7 atmosphere (BOA) measured by SolRad-NET pyranometers and modelled using AERONET
8 and MODIS BRDF retrieved optical properties as inputs in SBDART.



9

1 Figure 8: Intercomparison between the instantaneous direct aerosol radiative forcing (DARF)
2 at the top of the atmosphere (TOA) evaluated using CERES-MODIS and modelled using
3 AERONET and MODIS BRDF retrieved optical properties as inputs in SBDART.

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6 Figure 9: Direct radiative forcing of biomass burning aerosols (DARF24h) over the forest as a
7 function of aerosol optical depth (AOD) at 550 nm and single scattering albedo (ω_0) at 440
8 nm according to radiative transfer calculations.