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### Dimensions and aspect ratios of natural ice crystals

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**Discussion** Paper

### Abstract

During the 2006 Tropical Warm Pool International Cloud Experiment (TWP-ICE) in the Tropics, the 2008 Indirect and Semi-Direct Aerosol Campaign (ISDAC) in the Arctic, and the 2010 Small PARTicles In CirrUS (SPARTICUS) campaign in mid-latitudes, high-resolution

- <sup>5</sup> images of ice crystals were recorded by a Cloud Particle Imager at temperatures (*T*) between -87 and 0 °C. The projected maximum dimension (*D'*), length (*L'*), and width (*W'*) of pristine columns, plates, and component bullets of bullet rosettes were measured using newly developed software, the Ice Crystal Ruler. The number of bullets in each bullet rosette was also measured. Column crystals were further distinguished as either horizontally ori-
- ented columns or columns with other orientations to eliminate any orientation effect on the measured dimensions. Dimensions and aspect ratios (AR, dimension of major axis divided by dimension of minor axis) of crystals were determined as functions of temperature, geophysical location, and type of cirrus.

Dimensions of crystals generally increased with temperature. Columns and bullets had <sup>15</sup> larger dimensions (i.e., W') of the minor axis (i.e., a axis) for a given dimension (i.e., D'or L') of the major axis (i.e., c axis), and thus smaller AR, as T increased, whereas this trend did not occur for plate crystals. The average number of branches in bullet rosettes was  $5.50 \pm 1.35$  during three campaigns and  $6.32 \pm 1.34$  ( $5.46 \pm 1.34$ ;  $4.95 \pm 1.01$ ) during TWP-ICE (SPARTICUS; ISDAC). The AR of bullets increased with the number of branches in bullet rosettes. Most dimensions of crystals and ARs of columnar crystals measured

- during SPARTICUS were larger than those measured during TWP-ICE and ISDAC at -67 < T < -35 °C and at -40 < T < -15 °C, respectively. The relative occurrence of varying pristine habits depended strongly on cirrus type (i.e., anvil or non-anvil clouds), with plates especially occurring more frequently in anvils. The *L*–*W* relationships of columns
- <sup>25</sup> derived using current data exhibited a strong dependence on temperature; similar relationships determined in previous studies were within the range of the current data.

### 1 Introduction

The impacts of ice clouds on the energy budget of the Earth and their representation in climate models have been identified as important and unsolved problems (IPCC 2013).
Ice clouds consist almost exclusively of non-spherical ice crystals with various shapes (i.e., habits) and sizes (e.g., Liou 1986). To determine the influences of ice clouds on solar and infrared radiation as required for numerical models and remote sensing retrievals, knowledge of single-scattering properties of ice crystals is required (e.g., Fu, 1996, 2007; McFarquhar et al., 2002; Baum et al., 2011; Baran, 2012; DeVore et al., 2012; Yang et al., 2013; Yi et al., 2013; Um and McFarquhar, 2013; Cole et al., 2014; van Diedenhoven et al., 2014a).

The fundamental building blocks of the most common ice crystal habits are hexagonal prisms (i.e., hexagonal columns and plates) because of the hexagonal lattice structure of water molecules in an ice crystal. The other common habit frequently found in cirrus is a bullet rosette and its basic component (i.e., branch or arm) is also a hexagonal prism. Since initial work that identified crystal shapes using in-situ observations of ice clouds (e.g., 40 Weickmann, 1948, and studies summarized by Dowling and Radke, 1990, and by Heymsfield and McFarguhar, 2002) much effort has been devoted to guantify the microphysical and scattering properties of ice crystals. One important finding is that ambient atmospheric conditions (e.g., temperature and humidity) govern the growth and morphological properties of ice crystals (e.g., aufm Kampe et al., 1951; Lamb and Scott, 1974; Gonda, 1980; Fukuta 45 and Takahashi, 1999; Bacon et al., 2003; Bailey and Hallett, 2002, 2004, 2009, 2012; Korolev et al., 2004) upon which the corresponding scattering properties depend (e.g., Takano and Liou, 1995; Macke et al., 1996; Yang and Liou, 1998; Baran et al., 2001, 2005; Yang et al., 2005, 2013; Um and McFarguhar, 2007, 2009, 2011).

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To define ice crystal shapes and to calculate the corresponding single-scattering properties, dimensional information representing the morphology of ice crystals is required. Figure 1 shows the definitions of dimensions used to describe idealized models of ice crystals. A column (Fig. 1a) has a longer dimension called the length (L) along its c axis and a shorter perpendicular width (W) along its a axis, whereas W is longer than L for a plate (Fig. 1b). <sup>55</sup> To define the structure of a bullet (Fig. 1c), which is the basic component of a bullet rosette, a tip height (*H*) for the pyramid part of a bullet is required along with *L* and *W*. For each ice crystal in Fig. 1, a maximum dimension (*D*), the longest dimension within the ice crystal, is indicated by a long dashed line. In general, the aspect ratio (AR) of each ice crystal is defined as W/L or L/W for columns and plates, whereas it is (H+L)/W or W/(H+L)for bullets. Um and McFarquhar (2009) showed several definitions of AR have been used in theoretical studies and in situ data analysis, which might impact derived scattering properties of ice crystals (Korolev and Isaac, 2003). In order to characterize aspect ratio in a form that is appropriate for numerical models, a power law relationship of the form,  $\alpha L^{\beta}$ , where  $\alpha$ and  $\beta$  are constants determined empirically from observations, is typically used (e.g., Auer and Veal, 1970; Heymsfield, 1972; Hobbs et al., 1974; Davis, 1974; Mitchell and Arnott, 1994).

Accurate knowledge of dimensions and of L-W relationships of ice crystals is important because it is used to construct shape models for calculating the single-scattering properties of ice crystals and to determine the microphysical properties of ice crystals, such as the cross-sectional area and fall velocity. Prior studies have shown that the assumed L-W70 relationship impacts the single-scattering properties of ice crystals (Macke et al., 1996, Um and McFarguhar, 2007, 2009; Yang and Fu, 2009; van Diedenhoven et al., 2014a), satellite retrievals (Han et al., 1999), and numerical simulations (Fu, 2007; Sheridan et al., 2009; Sulia and Harrington, 2011; Dearden et al., 2012). In addition, new modeling approaches (e.g., Sulia and Harrington, 2011) that explicitly predict particle properties rather than us-75 ing pre-defined ice categories as in traditional schemes require statistical databases of L and W. Moreover, van Diedenhoven et al. (2012; 2014b) developed an approach to remotely sense aspect ratios of ice crystals components, in addition to crystal roughness and asymmetry parameter, from aircraft- or satellite-based multi-directional polarization measurements. Therefore, not only are relationships between L, W, and AR needed, but also 80 a database of measurements of L, W, and AR of individual crystals for comparison against

a database of measurements of L, W, and AR of individual crystal model simulations and remote sensing retrievals.

Past studies have quantified the L-W relationship or AR of natural ice crystals based on laboratory measurements and in-situ data (e.g., Schaefer, 1947; Weickmann, 1948; Reynolds, 1952; Mason, 1953; Magono, 1954; Nakaya, 1954; Isono, 1959; Ono, 1969, 85 1970; Auer and Veal, 1970; Heymsfield, 1972; Hobbs et al., 1974; Davis, 1974; Goodman et al., 1989; Mitchell and Arnott, 1994; Walden et al., 2003; Baker and Lawson, 2006; Um and McFarguhar, 2007). However, most such work was based on a very limited number of measured crystals observed within a limited temperature range in a single geographic regime except the study of Baker and Lawson (2006). This paper considerably extends 90 these past efforts by using a much larger number of ice crystals imaged in a variety of conditions and locations to determine what controls L-W relationships and ARs of individual crystals. In particular, the dimensions of hexagonal prisms (i.e., columns and plates) and individual branches (i.e., bullets) of bullet rosettes were obtained from high-resolution ice crystal images recorded by a Cloud Particle Imager (CPI) during the 2006 Tropical Warm 95 Pool International Cloud Experiment (TWP-ICE, May et al., 2008) in the Tropics, the 2008 Indirect and Semi-Direct Aerosol Campaign (ISDAC, McFarguhar et al., 2011) in the Arctic, and the 2010 Small PARTicles In CirrUS (SPARTICUS, Zhang et al., 2013) campaign in mid-latitudes.

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The paper is organized as follows. The L-W relationships or ARs of ice crystals determined in previous studies are summarized in Sect. 2. In Sect. 3, the newly collected data are described and the methodology used to determine the dimensions of the imaged ice crystals are explained. In Sect. 4, the measured dimensions of the ice crystals and derived *L*–*W* relationships are shown as functions of temperature, habit, and geophysical location. A comparison between the derived L-W relationships and those from previous studies is 105 also shown in Sect. 4. The importance and new findings of this study are summarized in Sect. 5.

Discussion Paper

### Prior *L*–*W* relationships 2

Different methods have been used to measure the dimensions upon which the L-W relationships in Tables 1-3 were based. Replicas of ice crystals have been collected at high 110 altitude observatories (e.g., Auer and Veal, 1970), using aircraft (e.g., Heymsfield, 1972; Hobbs et al., 1974), and at lower altitude ground observatories (Hobbs et al., 1974), with dimensions subsequently measured by microscopes with up to 100 times magnification or through projection of magnified images of the replica on a screen. Alternatively, Kajikawa (1972) measured the dimensions of ice crystals using photographs of ice crystals taken 115 at various orientations, whereas Mitchell and Arnott (1994) derived L-W relations of bullets by matching the size and projected area relations based on the in-situ observations of Arnott et al. (1994). Other studies, like that of Davis (1974), combined data from previous studies (Auer and Veal, 1970; Ono, 1970; Kajikawa, 1972; D'Errico, 1973) to guantify L-W relations for several crystal habits, whereas Mitchell and Arnott (1994) reanalyzed 120 the dimensions of solid and hollow columns obtained in a warm  $(-10 < T < -8 \circ C)$  growth environment (Auer and Veal, 1970) to determine a *L*–*W* relationship.

Baker and Lawson (2006) took advantage of high-resolution ice crystal images obtained by a CPI to measure crystal dimensions and derive L-W relations of pristine columns in wave clouds. Um and McFarguhar (2007) also used crystal images obtained by a CPI to 125 determine dimensions of bullets that were components of aggregates of bullet rosettes obtained in mid-latitude cirrus. Since the crystal images recorded by the CPI are silhouettes, projections of the randomly oriented three-dimensional crystal shapes, they further applied an iterative approach to simulate random orientations of the crystals, from which they derived a L-W relationship for bullets.

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As shown in Tables 1–3, most of the L-W relationships derived in previous studies were determined over a limited temperature range and using a relatively small number of samples. The numbers of samples shown in Tables 1–3 were estimated from the original figures in each study. In this study, previous L-W relationships of ice crystals are compared against those newly derived based on the large data set analyzed here as functions of temperature, habit, and geophysical location.

### 3 Data and methodology

### 3.1 Data set

Ice crystals imaged by a CPI collected in three diverse geographic regimes (Tropics, mid latitudes, and Arctic) were used in this study to determine the dimensions and relation between *L* and *W* of columns, plates, and bullets. The CPI records images of cloud particles with high resolution (2.3 μm) on a 1 million pixel charge coupled device. A 25 ns pulsed high-power laser is triggered when a cloud particle is detected in the sample volume by two lower powered particle detection lasers shining on photodiode detectors (Lawson et al., 2001).Due to the CPI's resolution, only particles larger than about 10 μm are counted. In this study, dimensions of particles larger than 20 μm were determined.

The tropical data were collected during the 2006 TWP-ICE. It was conducted between 12 January and 14 February 2006 in the region near Darwin (12°27′ S, 130°50′ E), Northern Territory, Australia. TWP-ICE focused on the evolution of cloud systems, their environmental influences, and their growth from the initial convective cells through to decaying and selfmaintaining cirrus. During TWP-ICE the Scaled Composites Proteus aircraft made intensive airborne measurements in aged cirrus, fresh anvils, and cirrus of unknown origin. Table 4 summarizes the type of the sampled cirrus, the environmental conditions at which the ice crystals were measured, and the numbers of analyzed crystals for each TWP-ICE flight for which CPI images were analyzed.

Between January and June 2010 the SPARTICUS campaign was conducted over the Department of Energy (DOE) Atmospheric Radiation Measurement (ARM) program's Southern Great Plains site in Oklahoma (36°36′ N, 97°29′ W). The overarching goal of SPARTI-CUS was to determine the impact of small ice crystals on the microphysical and radiative properties of mid-latitude cirrus, to quantify the dependence of the evolution of cirrus prop-

erties on cloud-scale dynamical processes, and to test the level of complexity required in cloud property retrieval algorithms. During SPARTICUS, a CPI on the Stratton Park Engineering Company (SPEC) Inc. Learjet 25 aircraft recorded images of ice crystals; the images analyzed for this study are summarized in Table 5. Crystals imaged in ice clouds with synoptic, orographic origin, and anvil cirrus were analyzed.

During ISDAC in April 2008, a CPI on the National Research Council (NRC) of Canada Convair 580 aircraft recorded images of cloud particles. Although the main objectives of IS-DAC centered on understanding the interactions of clouds and aerosols in low-level mixed-phase clouds, transits of the NRC Convair 580 between Fairbanks (64°50′ N, 147°43′ W) and Barrow (71°17′ N, 156°45′ W) at cirrus levels allowed measurements in high-level ice clouds on 6 different days. Table 6 lists the numbers of ice crystals analyzed for this study, and the conditions in which the images were obtained.

During all three campaigns, the DOE CPI version 2.0 was used to record images of ice crystals. Thus, there is no effect of varying image quality as a function of the version of the CPI used (McFarquhar et al., 2013). In this study, the dimensions of 11 383 (1977; 6728; 2678) columns and of 3936 (2088; 1500; 348) plates imaged in-situ by the CPI were determined; the numbers in parenthesis indicate the number of each habit analyzed for each field project (TWP-ICE; SPARTICUS; ISDAC). In addition, a total of 7189 (751; 5677; 761) bullet rosettes were analyzed, with the dimensions of 39 527 (4750; 31 007; 3770) component bullets obtained. The mean and standard deviation of the number of bullets in bullet rosettes were  $6.3\pm1.3$ ,  $5.5\pm1.3$ , and  $5.0\pm1.0$  for TWP-ICE, SPARTICUS, and ISDAC, respectively.

### 3.2 Methodology

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The ice crystals imaged by the CPI were classified using the habit classification scheme described by Um and McFarquhar (2009). Ice crystals classified as columns, plates, and bullet rosettes were then visually inspected to restrict subsequent analysis to only well-defined or pristine shapes without riming from which dimensions could be unambiguously measured. The numbers of crystals whose dimensions were able to be measured are shown in

whose dimensions could be measured for TWP-ICE, whereas they were 0.79% (0.18; 190 0.67%) and 2.18% (0.28; 0.62%) for SPARTICUS and ISDAC, respectively. In addition to crystals with irregular shapes, there were additional columns, plates, and bullet rosettes that are not included in study because the dimensions could not be unambiguously determined. The dominance of irregular particles was previously seen by Korolev et al. (1999) who found that over 90% of the total number of ice particles between 0 and -45 °C were 195 not pristine in Arctic clouds. Nevertheless, the analysis of pristine ice crystals presented here is still important because pristine single crystals are fundamental building blocks of other habits and show base nucleated shapes before other growth, sublimation, aggregation, and riming processes occur, and hence offers information about nucleation and growth processes occurring in ice clouds. 200

Tables 4–6. Only 0.58% (0.61; 0.22%) of crystals were columns (plates; bullet rosettes)

In this study, the imaged columns included solid columns (C1e) and hollow columns (C1f), whereas the imaged plates included solid thick plates (C1g), thick plates of skeleton form (C1h), and hexagonal plates (P1a) based on the identification codes of Magono and Lee (1966). Other types of plates, such as crystals with sectorlike branches (P1b), crystals with broad branches (P1c), and stellar crystals (P1d), were not included due to large errors in measuring their dimensions. For bullets, both solid (C1c) and hollow bullets (C1d) as components of bullet rosettes were analyzed.

New software, henceforth called the "Ice Crystal Ruler (IC-Ruler)", which measures the dimensions of ice crystal images, was developed at the University of Illinois. Using this software, the maximum dimension, length, and width of the ice crystal images were deter-210 mined. However, the images recorded by the CPI are neither three-dimensional shapes nor intersections of these shapes in a plane, but rather are silhouettes, projections of the randomly oriented three-dimensional crystal shapes on a plane. Thus, the measured maximum dimension, length, and width of ice crystals do not represent the actual morphological characteristics of an ice crystal, but rather are the projected maximum dimension (D'), projected 215 length (L'), and projected width (W'), respectively. In general, the projected dimensions are

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Figure 2 shows example CPI images of a bullet rosette, columns, and plates, together with an illustration of how their dimensions were measured using the IC-Ruler. The D' and W' of each individual bullet of a bullet rosette (Fig. 2a) and of columns (Fig. 2b) were measured. When a column is oriented horizontally with respect to the imaging plane (lower three images in Fig. 2b) the crystal silhouette becomes rectangular. In these cases, the measured L' is the actual length of the crystal L, whereas the W' can have up to 15.5% difference compared with the actual W assuming a hexagonal geometry due to orientations 225 along c axis. Of the columns measured during TWP-ICE, SPARTICUS, and ISDAC, 21.8, 25.6, and 30.9% were horizontally oriented (hereafter HCOL) as shown in Tables 4-6, respectively. For plates, W' was hard to measure due to orientations and only D' and L' were determined (Fig. 2c).

- The boundaries of the ice crystals were identified and the particle dimensions were then 230 determined by applying a scaling factor using the IC-Ruler. The CPIView software supplied by SPEC Inc. automatically determines dimensions of particles based on the gradient of pixel intensity. However, most particle dimensions analyzed in this study could not be determined from CPIView and, thus, the manually determined IC-Ruler dimensions are used. The only dimension that can be determined by both manual measurement using the IC-235
- Ruler and the automatic CPIView software is D' of columns and plates. To assess uncertainty, the manually measured D' of the ice crystals was compared against the automatic measurement from CPIView. Figure 3 compares these measures of D' for 11 383 columns and 3936 plates using data from all three campaigns. The D' measured by IC-Ruler and
- CPIView have a correlation coefficient of r = 0.997, and the ratio between D' measured by 240 IC-Ruler to that by CPIView averages  $1.0041 \pm 0.072$ . Few large differences are shown in Fig. 3, and when such differences occur, they were due to erroneous edge detection with CPIView, which can happen when there are bright parts within an ice crystal, such as that caused by the hollows of an ice crystal. Therefore, any impact of errors due to the manual nature of the analysis is negligible. 245

### 4 Results

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### 4.1 Ice crystal habit and temperature

Figure 4 shows the numbers and relative fractions of the measured pristine crystals as a function of temperature. The top row shows the number of crystals and the fractions shown in the bottom row are normalized by total number of all three habits within each temperature bin in which more than 14 data points are available. It should be noted that the distribution of samples as a function of temperature is partly a function of the altitude where clouds were located and partly a function of the altitudes chosen for sampling during the campaign. Thus, only the relative fraction of different habits within a given temperature range was examined.

Ice crystals measured during TWP-ICE in the Tropics, SPARTICUS in mid-latitudes, and ISDAC in the Arctic fall in the approximate temperature ranges of -80 < T < -35 °C, -65 < T < -15 °C, and -40 < T < -15 °C, respectively. Thus, ice crystals were sampled at lower temperatures during TWP-ICE compared with SPARTICUS and ISDAC sampling at progressively higher *T*. Larger numbers of ice crystals were analyzed during SPARTICUS (i.e., 13 919 crystals) compared to TWP-ICE (4799 crystals) and ISDAC (3787 crystals) because a greater number of relevant flight hours were available from that campaign.

The relative fraction of each pristine crystal habit varies for the different campaigns. For example, 40.9% (43.5; 15.6%) of analyzed pristine crystals were columns (plates; bullet rosettes) during TWP-ICE, whereas it was 48.3% (10.8; 40.9%) and 70.7% (9.2; 20.1%) for SPARTICUS and ISDAC, respectively. During TWP-ICE, the maximum fraction of plates was found at  $T \sim -65$  °C, whereas that of columns and bullet rosettes was at  $T \sim -50$  °C. During SPARTICUS, columns and bullet rosettes were the dominant habits of pristine crystals and the fraction of bullet rosettes (40.9%) was much higher than during the other campaigns (i.e., 15.6 and 20.1% for TWP-ICE and ISDAC, respectively). The relatively higher percentage of bullet rosettes agrees with results from Lawson et al. (2006), who classified CPI images in mid-latitude cirrus clouds. They classified CPI images collected from 22 missions over four years and found that the mass concentration of rosette shapes constituted approximately 40% at -50 °C, 55% at -40 °C, and 80% at -30 °C. The maximum fraction of both columns and bullet rosettes was at  $T \sim -55$  °C, while that of plates was at  $T \sim -40$  °C as shown in Fig. 4. It is an interesting feature that most of analyzed crystals at  $T \sim -5$  °C were columns during SPARTICUS and ISDAC as explained later. Compared with the other two campaigns, ice crystals sampled during ISDAC occurred in a narrower temperature range (-40 < T < -20 °C). The numbers of columns were much higher than that of plates and bullet rosettes during ISDAC.

For a given temperature range (bottom row of Fig. 4), the fractional contributions of bul-

let rosettes have a maximum near  $T \sim -45\,^{\circ}$ C for all three geographic regimes. This is in

contrast with the results from Lawson et al. (2006), who found that the mass percentage of "rosette shapes" increased between -50 and -30 °C. This may be due to their inclusion of rosettes with riming and sideplanes, whereas the current study considered only pristine bullet rosettes. Plate crystals show exactly the opposite pattern with a minimum fraction at  $T \sim -45$  °C, whereas columns were ubiquitous for all T. These fractional distributions in Fig. 4 are somewhat different from the habit diagrams presented by Bailey and Hallett (2004; 2009). For example, they showed that plate-like crystals exist at -40 < T < -20 °C (plate-like regime), whereas columnar crystals are dominant at -70 < T < -40 °C (columnar regime). These two distinct regimes are not clear in Fig. 4, with plates having a higher fraction than columnar crystals at  $T < \sim -55$  °C during TWP-ICE. Of course, the analysis is complicated by the fact that crystals are observed at locations where they did not necessarily form, and have grown or sublimated on paths taking them through different regimes.

<sup>295</sup> During TWP-ICE, Um and McFarquhar (2009) showed much higher occurrence of plates and their aggregates on 2 February compared with other 2 days, 27 and 29 January, when aged cirrus were sampled. The analyzed plates at  $T < \sim -55$  °C were sampled within convective anvils on 2, 6, 10, and 12 February during TWP-ICE (see Table 4). They were most likely generated at higher temperatures in different environmental conditions and subsequently raised to lower temperatures by convection. Thus, transport (e.g., convection, advection, and sedimentation) as well as environmental conditions govern the relation between temperature and crystals habit. Because of this, Bailey and Hallett (2004) indicated

that in-situ aircraft observations of ice crystals could lead to a skewed view of correlation between temperature and habit. However, knowledge of correlations between microphysical properties (e.g., habit and size) of ice crystals and environmental conditions at sample location is just as important as with properties at the place of crystal origin for verification of numerical models and retrieval algorithms.

### 4.2 Dependence of dimensions of crystals on temperature

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Temperature is a critical factor governing the microphysical properties (e.g., size, aspect ratio, and habit) of ice crystals. Figure 5 shows the measured dimensions of columns using the IC-Ruler as a function of *T* for each field campaign separately and for all thee campaigns combined. To quantify the dependence of crystal dimensions on *T*, the mean and standard deviation of crystal dimensions were calculated for  $10^{\circ}$ C temperature intervals from  $0^{\circ}$ C with the last interval representing the range -85 to  $-70^{\circ}$ C, and are shown with black circles and lines in each panel. For statistical significance, the mean and standard deviation are only shown for temperature ranges for which more than 14 crystals were analyzed.

The top two rows show the projected maximum dimension D' and width W' of all columns. The columns are divided into two categories: oriented columns (OCOL) and horizontal columns (HCOL), whose dimensions are shown in the lower panels. The HCOLs are horizontally oriented columns as shown in Fig. 2b (bottom three columns), whereas other columns are classified as OCOL as shown in Fig. 2b (top three columns). For HCOLs, an additional dimension, the projected length L', was also measured and is shown in Fig. 5 (bottom row), whereas L' cannot be unambiguously determined for the OCOLs.

All measured dimensions of columns show a strong dependence on temperature as shown by the correlation coefficients r listed in Fig. 5. Previous studies showed that the rate of propagation of steps at the surface of ice crystals (i.e., Hallett, 1961) and the linear growth rate of the basal and prism face (i.e., Lamb and Hobbs, 1971), in general, increased with T, and, thus, an increase in crystal dimensions as T increases is natural. Further, there may be more time for growth if the crystals are falling from aloft. The mean dimensions all increase with temperature except for the W' measuring during SPAR-

TICUS and D' and W' for ISDAC measured at -10 < T < 0 °C. This corresponds to 167 and 22 columns at  $-10 < T < 0^{\circ}$ C for SPARTICUS and ISDAC, respectively. The measured columns in this temperature range have large D' but small W' during SPARTICUS, whereas they have both small D' and W' during ISDAC. They were measured on 16 April 2010 during SPARTICUS (flights 0416A and 0416B) and 4 April 2008 during ISDAC (see 335 Tables 5 and 6). Figure 6 shows examples of ice crystals imaged in this T range during SPARTICUS and ISDAC, including both the columns and other non-pristine crystals measured at similar times. Both cases were mixed-phase clouds with many small spherical water droplets are evident in Fig. 6. Large crystals observed were columns, sheaths, needles, and their aggregates. There are two critical points between -10 and 0°C at which 340 major habit changes occur: from plate-like to needle and long column at  $T \sim -3.0$  °C and to plate-like at  $T \sim -8.0$  °C (e.g., aufm Kampe et al., 1951; Hallett and Mason, 1958; Hallet, 1965: Ono, 1969, 1970). The columns shown in Fig. 6 during SPARTICUS were sampled at lower temperature ( $-4.8\pm1.3$ ) than those during ISDAC ( $-2.5\pm0.1$ ). Thus, the long columns measured at -8.0 < T < -2.4 °C during SPARTICUS were in the growth regime 345 of needles and long columns, whereas those imaged during ISDAC were at transition temperatures from plates to columns and, as a result, have larger AR. In fact, even a plate is shown in Fig. 6b.

Figure 7 shows the dependence of the projected maximum dimension D' and length L'of plates on T. In this study, plates were divided into two categories: thick and thin plates using a threshold L'/D' of 0.41. Although this selected threshold is purely empirical based only on the data used in this study and there might be influences of particle orientation, it successfully separates thick and thin plates with better correlation coefficients. Thick plates include solid thick plates (C1g) and thick plates of skeleton form (C1h), whereas thin plates are hexagonal plates (P1a) according to the identification codes of Magono and Lee (1966). Plates show an increase in dimension as T increases for all campaigns. The variations in L' are much smaller compared to those in D', and less correlation between T and L' is shown compared to that between T and D'.

The dimensions (i.e., D' and W') of bullets also increase with T as shown in Fig. 8. The third and fourth rows of Fig. 8 show the relationship between temperature and the dimensions of the bullet rosettes, where the dimension of a bullet rosette is the average of the dimensions of the branches. The dimensions of bullet rosettes also show a dependence on T. The bottom row of Fig. 8 shows that there is no distinct dependence on the number of branches of the bullet rosette with T. The mean number of branches during the three campaigns was 5.5, with more branches measured during TWP-ICE (6.3) compared to SPARTICUS (5.5) and ISDAC (5.0).

### 4.3 *L*–*W* relationships and aspect ratios of ice crystals

There have been few studies that investigated L-W relationships or ARs of ice crystals over the wide range of temperatures. Therefore, the large data set created here is used to stratify L-W relationships according to T and geophysical location. There have been several definitions used to define an aspect ratio (AR) of ice crystals (e.g., Korolev and Isaac, 2003). In this study, the AR of an ice crystal is defined as the ratio between the dimension along the c axis and the dimension along the a axis of the crystal. The AR of a column is thus defined as D'/W' or L'/W' for HCOLs only, as L'/D' for plates, and as D'/W' for individual bullets. Thus, columnar crystals (i.e., columns and bullets) have AR > 1.0, whereas plates have AR < 1.0.

Figures 9–11 show *L*–*W* relationships and ARs of columns, plates, and bullet rosettes, and corresponding best fits to the plotted variables when more than 39 samples were available. To determine the influence of *T*, measurements were sorted according to five ranges: -10 < T < 0 °C, -30 < T < -10 °C, -40 < T < -30 °C, -52 < T < -40 °C, and -85 < T < -52 °C. These ranges were selected to maintain similar numbers of crystals in each sample. Sensitivity studies showed derived relationships were not sensitive to the exact selection of temperature ranges.

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cept for -10 < T < 0 °C where narrower columns and needles were frequent as shown in 15

The top row in Fig. 9 shows the D'-W' relationship for all columns. The solid colored best fit lines show larger W' as T increases for a given D' regardless of campaign, ex-

Figs. 5 and 6. This trend is also shown in D'-W' relationships of OCOLs (second row) and HCOLs (third row). The L'-W' relationship of HCOLs (bottom row) also shows the same trend. Lamb and Hobbs (1971) showed that the linear growth rate of the basal and prism faces of hexagonal crystals decreased as T decreased below  $T \sim -13$  °C. Thus, the larger dimensions (i.e., W') of the minor axis (i.e., a axis) for a given dimension (i.e., D' or L') of the major axis (i.e., c axis) as T increased is consistent with the previous laboratory measurements. However, Lamb and Hobbs (1971) measured the linear growth rates only for T > -18 °C, which was controlled by molecular events on the surfaces of the crystal. Thus, further experiments measuring crystal growth by the rate of supply of water molecules from the vapor phase and by the rate at which latent heat of deposition removed are required at colder temperatures.

This same dependence of the D'-L' relationship on T is not seen for plates in Fig. 10. Both thick and thin plates do not show any systematic dependence on how L' varies with T for a given value of D'. This might occur due to the relatively small number of samples compared with columns and bullets, or due to the smaller variations in L' of plates.

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Figure 11 shows the D'-W' relationship of bullets and bullet rosettes. As for columns, there are larger W' for higher temperatures for a given D' regardless of campaign. Further, ARs of columns and bullets increase with the minor (i.e., W') and major (i.e., L' or D') axis, albeit at a reduced rate for larger W', L', or D'. The dependence of the D'-W' relationship for bullets on the number of branches is also shown in Fig. 11, with the W' of bullets decreasing with the number of branches for a given D'.

Figure 12 shows that the mean AR of columns for a given T has a weak dependence on T, but that there can be a wide spread of ARs for given T. Overall, the ARs of columns show a broad maxima at -55 < T < -45 °C and tend to decrease slowly with both an increase and decrease in temperature. The largest AR of a column was 15.77 sampled at T = -3.7 °C during SPARTICUS. Columns at -10 < T < 0 °C during SPARTICUS and ISDAC show sharp peaks due to the long nature of the columns found in the needle growth regime previously discussed. Hallett (1965) showed two maxima in the growth rate of ice 415 crystals at T = -15 and -5 °C based on diffusion cloud chamber measurements. Ono (1970) showed that the ratio of the dimension of c axis to that of a axis had a maximum at  $T \sim -5 \,^{\circ}\text{C}$  for columnar crystals, whereas the ratio of the dimension of a axis to that of c axis (i.e., 1/AR of plate in this study) had a maximum at  $T \sim -15$  °C for plane crystals (e.g., plates). These peaks associated with the maxima growth rate of ice crystals at  $T \sim -15$  and  $-5^{\circ}$ C are also observed in this study. The maximum AR of columns at  $T \sim -5^{\circ}$ C is clearly shown in Fig. 12, whereas the minimum AR of plates at  $T \sim -15$  °C is shown in Fig. 13. The ARs of thick and thin plates also show a weak dependence on T with a slight decrease at T > -20 °C.

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Figure 14 shows that the ARs of bullets and bullet rosettes tend to decrease with T. However, the r were extremely small suggesting the dependence of AR on T was very weak. The largest AR of a bullet was 11.85, sampled at  $T = -53.2 \,^{\circ}$ C during SPARTICUS. The bottom row of Fig. 14 shows ARs of bullets as a function of temperature and the number of branches. Bullets in a bullet rosette with larger number of branches have larger AR and it decreases as the number of branches decreases regardless of the temperature or campaigns. 430

In this study, several L-W relationships and hence different ARs were derived depending on the dimensions used to define the ARs and the methodology used to measure the dimensions. The L'-W' relationship for HCOLs is the closest to that of actual columns and, thus, is used as a reference value. Figure 15 illustrates the differences between W' of HCOLs derived from L'-W' relationship for HCOLs and four different W's derived from D'-W' re-435 lationships (i.e., all columns, CPIView, OCOLs, and HCOLs, which denoted as y in Fig. 15) for the same D' corresponding to the L' of HCOLs. Here, CPIView represents the direct measurement of D' and W' from in-situ data and it causes up to a 21 % difference in W' for a given D' (or L') and hence the same difference in AR. Other relationships can cause up to a 14% difference in W' and hence AR, which depends on D' or L'. These differences 440 are caused by the different definitions of dimensions and ARs, and due to orientations of ice crystals. Thus, caution should be taken when comparing crystal dimensions and L-Wrelationships derived from different in-situ data sets, which frequently use different variables to describe the relationships.

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### 445 **4.4 Dependence on geophysical locations and type of cirrus**

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Two temperature ranges, -67 < T < -35 °C and -40 < T < -15 °C, were selected in order to compare how the microphysical properties of the crystals varied with geophysical location (i.e., Tropics, mid-latitudes, and Arctic). Table 7 lists how the microphysical properties vary with geophysical regime for these two *T* ranges. At -67 < T < -35 °C, the properties of the TWP-ICE and SPARTICUS ice crystals are compared, whereas the SPARTICUS and ISDAC properties are compared at -40 < T < -15 °C. Additionally, all measured dimensions and aspect ratios of ice crystals and the number of branches in bullet rosettes at -67 < T < -15 °C are summarized in Fig. 16 at 5 °C intervals. Although the temperature range of -40 < T < -35 °C overlaps all three campaigns, the number of samples obtained during TWP-ICE is not sufficient for statistical analysis and comparison with other campaigns. Only 52, 20, and 31 columns, plates, and bullet rosettes were measured at this temperature range during TWP-ICE.

For -67 < T < -35 °C, most crystal dimensions measured during SPARTICUS were larger than those obtained during TWP-ICE except for W' (see Table 7), and the differences between projects increase with temperature (see Fig. 16). The ARs of columns and bullet rosettes derived from SPARTICUS are also larger than those from TWP-ICE, whereas ARs of plates are similar for TWP-ICE and SPARTICUS.

For -40 < T < -15 °C, most crystal dimensions and calculated ARs are larger for SPAR-TICUS than for ISDAC. The average number of branches in bullet rosettes from TWP-ICE ( $6.32 \pm 1.34$ ) is larger than SPARTICUS ( $5.51 \pm 1.34$ ) at -67 < T < -35 °C, whereas the number for SPARTIUCS ( $5.06 \pm 1.23$ ) is slightly larger than for ISDAC ( $4.95 \pm 1.02$ ) at -40 < T < -15 °C. The ARs of bullets increase with the number of branches in bullet rosettes as shown in Figs. 11 and 14, whereas the ARs (i.e., D'/W') of bullets from SPAR-TICUS are larger than those from TWP-ICE even though the mean number of branches in bullet rosettes of TWP-ICE is larger than that of SPARTICUS at -67 < T < -35 °C (bottom row of Fig. 16). This is caused by the more frequent occurrence of bullet rosettes at colder temperatures within -67 < T < -35 °C during SPARTICUS, whereas bullet rosettes occurred at warmer temperatures during TWP-ICE (Fig. 4). The ARs of columnar crystals increase with the dimension of the major axis, whereas dimensions of crystals increase with T. Thus, the influence of T on the dimensions and ARs of bullets might be larger than that

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of the number of branches. At -40 < T < -15 °C, the mean number of branches and AR of bullet rosettes from SPARTICUS are both larger than those from ISDAC.

To test whether the differences in microphysical properties of ice crystals between campaigns shown in Fig. 16 and Table 7 are statistically significant, the Mann-Whitney U test (Wilcoxon, 1945; Mann and Whitney, 1947) was applied at the 0.05 significance level. 480 The Mann-Whitney U test was applied only to the dimensions and ARs of HCOLs and to the number of branches in bullet rosettes. At -67 < T < -35 °C, all dimensions and ARs (D'/W') and L'/W') of HCOLs and the number of branches in bullet rosettes from TWP-ICE and SPARTICUS are statistically distinct for the entire data set and for each 5°C interval (Fig. 16) except for the dimensions of HCOLs. The dimensions along the c axis (i.e., D' and 485 L') of HCOLs from TWP-ICE and SPARTICUS are statistically distinct only for T > -50 °C. whereas W' is distinct at colder temperatures ( $T < -45 \,^{\circ}$ C). At  $-40 < T < -15 \,^{\circ}$ C, all dimensions (D', L', and W') of HCOLs from SPARTICUS and ISDAC are statistically distinct for the whole data set and for each 5°C interval. But, the ARs of HCOLs and number of branches in bullet rosettes are not statistically distinct sampled over all temperatures and 490 for each 5 °C interval except for L'/W' at -35 < T < -30 °C and the number of branches in bullet rosettes at -30 < T < -25 °C.

The most prominent difference between different geophysical locations is in the relative habit fractions of pristine crystals. The relative fraction of occurrence for plates is much higher for TWP-ICE (i.e., 42.4 %) than for SPARTICUS (i.e., 7.9 %) at -67 < T < -35 °C, whereas the relative fraction of occurrence for bullet rosettes is higher for SPARTICUS (i.e., 44.2 %) than for TWP-ICE (i.e., 17.1 %) as shown in Table 7. At -40 < T < -15 °C, the relative fraction of columns from ISDAC (i.e., 70.7 %) is higher than that from SPARTICUS (i.e., 45.5 %), with the later fraction comparable to that (i.e., 47.9 %) between -67 < T < -35 °C for SPARTICUS. The relative fraction of plates (i.e., 9.0 %) is low for ISDAC and it might be due to the selection of pristine crystals only. There is no doubt that the systems sampled had

a large influence on these habit fractions. Sampling of more clouds at a variety of locations associated with different meteorological forcings would give more information on controls of these habit mixtures that need to be known for use in satellite retrieval schemes (e.g., Baum et al., 2011) and parameterizations of simple scattering properties (e.g., McFarquhar et al., 2002).

Table 7 also separates the relative fraction of occurrence (numbers in parenthesis) of

- each habit for anvil and non-anvil clouds, respectively. At -67 < T < -35 °C, the difference in plate fraction between TWP-ICE and SPARTICUS becomes smaller for anvil and nonanvil clouds compared to the difference averaged over all clouds. The dependence of plate 510 fraction on the type of cirrus (anvil vs. non-anvil) is also shown in the comparison between SPARTICUS and ISDAC fractions at -40 < T < -15 °C. In non-anvil clouds, the plate fraction from SPARTICUS of 10.6% is comparable to that from ISDAC (i.e., 9.0%) for non-anvil clouds. Higher fraction of occurrence of plates in anvil clouds are also shown in all campaign data (-85 < T < 0 °C). The relative fraction of occurrence of columns (plates: bullet 515 rosettes) was 40.92 (43.49; 15.59) %, 48.37 (10.78; 40.85) %, and 70.72 (9.19; 20.09) % in all clouds for TWP-ICE, SPARTICUS, and ISDAC, respectively. These numbers were 33.12 (60.19; 6.69)%, 39.33 (38.60; 22.07)%, and 0 (0; 0)% for anvil clouds, whereas 58.20 (6.50; 35.30) %, 50.20 (5.17; 44.63) %, and 70.72 (9.19; 20.09) % for non-anvil clouds.
- However, the influence of cirrus type on the dimensions of pristine ice crystals is not 520 straightforward. The average D' (µm) of crystals (columns; plates; bullets) in non-anvil clouds (154.0; 122.3; 132.6) are larger than those in anvil clouds (109.8; 104.0; 99.9) during TWP-ICE, whereas those in anvil clouds (187.2; 117.5; 158.5) are larger than those in non-anvil clouds (158.1; 116.3; 132.8) during SPARTICUS at -67 < T < -35 °C.
- In summary, most dimensions and ARs of ice crystals obtained during SPARTICUS 525 are larger than those measured during TWP-ICE and ISDAC at -67 < T < -35 °C and -40 < T < -15 °C, respectively, except the ARs of plates. The number of branches in bullet rosettes is largest for TWP-ICE and smallest for ISDAC. The fraction of plates shows a strong dependence on the type of cirrus, and is much higher in anvil clouds compared to non-anvil clouds for TWP-ICE and SPARTICUS, which agrees with previous studies (e.g., 530

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Connolly et al., 2005; Um and McFarquhar, 2009; Lawson et al., 2010). In general, crystal dimensions are found to increase with temperature (e.g., Fig. 16). This is consistent with bulk ice sizes increasing with temperature as observed in situ (e.g., Lawson et al., 2010) and from satellite measurements (e.g., Yuan and Li, 2010; van Diedenhoven et al., 2014b). Furthermore, the general weak dependence of crystal aspect ratio on temperature shown in Fig. 16 is consistent with the remote sensing results of van Diedenhoven et al. (2014b).

### 4.5 Comparison with previous studies

Ice crystals are measured for their orientations with respect to the imaging plane of the CPI, with the orientations thus depending on the flow of air inside the sample tube. Because the CPI images are silhouettes, the measured dimensions and determined ARs of ice crystals 540 are also those of silhouettes. This is true for all ice crystal images obtained by imaging probes (e.g., CPI and optical array probes). Although differences in the measured particle size from the actual maximum dimension of a spherical particle would be minimal, the differences for prolate and oblate particles, such as columns and plates, can be larger. In this study, horizontally oriented columns (HCOL) and oriented columns (OCOL) were 545 distinguished as shown in Fig. 2b. When a column is oriented horizontally with respect to the imaging plane (lower three images in Fig. 2b), differences from the actual maximum dimensions are much smaller than those for columns with other orientations (upper three images in Fig. 2b). Thus, the measured dimensions and ARs of HCOLs from the current data sets were used for comparisons against those from previous studies. However, the 550 effect of orientations on the dimensions and ARs of crystals in previous studies based mostly on replicas of ice crystals, and the corresponding uncertainties on replica data, were not well quantified.

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Figure 17a shows the *L* and *W* of HCOLs (gray squares) and *L*–*W* relationships of columns determined in previous studies as summarized in Table 1. The ice crystals in previous studies were sampled over many different temperature ranges. For example, Auer and Veal (1970, AV70) determined the *L*–*W* relationship (solid purple) of columns sampled in cold (T < -20 °C) and warm (-10 < T < -8 °C) regimes separately. Mitchell and

Arnott (1994, MA94) reanalyzed columns in the warm region sampled by AV70 and found a slightly different L-W relationship of columns with  $L > 200 \,\mu\text{m}$ . Heymsfield (1972, HF72) 560 derived a L-W relationship of columns based on airborne measurements obtained at -20 < T < -18 °C. Interestingly, the *L*–*W* relationship of columns with  $L > 200 \,\mu\text{m}$  by H72 is the same as that of AV70. Hobbs et al. (1974, H74) determined L-W relationships of sheaths and long solid columns (red solid line) and of solid and hollow columns (red dash line). Davis (1974, D74) combined several studies to determine L-W relationships of solid 565 columns (yellow lines) and hollow columns (sky blue lines). They were further categorized by the selection of AR of 2.0. For given L, W of solid columns (dash yellow) is larger than that of hollow columns (solid blue) with AR < 2.0, whereas they are almost identical for AR > 2.0. Baker and Lawson (2006) determined the L-W relationships of pristine columns observed in wave clouds (-60 < T < -17 °C). They showed that most ARs (L/W) 570 of columns were between 1.0 and 4.0 with a mean of  $\sim$  2.0. All L–W relationships from previous studies fall within the range derived from the measurements of crystals in the current study and, in part, they could be reproduced using the current HCOL data when restricting to the appropriate temperature and aspect ratio ranges of the relevant previous studies (figure not shown). This study represents the first time such relationships were confirmed 575 using data obtained from the same probe and analyzed in a self-consistent manner. In Fig. 17b, L–W relationships of columns are quantified in 4 different temperature ranges

using HCOL data. The *L*–*W* relationship was not derived for -20 < T < 0 °C because only 42 HCOLs were sampled over these *T*. As previously shown, there is strong dependence of the *L*–*W* relationships on *T*. For a given *L*, *W* (AR) increases (decreases) with temperature. The *L*–*W* relationship at -85 < T < 0 °C is closer to that at -40 < T < -20 °C compared with those at colder temperatures (-85 < T < -40 °C) although the number of sampled HCOLs at -85 < T < -40 °C (1721) is larger than that at -40 < T < -20 °C (1163). This is because the variation of *W* for a given *L* due to *T* change is much larger at warmer temperatures. For example, for  $300 < L < 350 \,\mu$ m, the mean *W* is  $80.6 \pm 17.0$  and  $119.7 \pm 29.6$  for -85 < T < -52 and -36 < T < -20 °C, respectively.

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High-resolution images of ice crystals were recorded by a Cloud Particle Imager (CPI) during the 2006 Tropical Warm Pool International Cloud Experiment (TWP-ICE) in the Tropics, the 2008 Indirect and Semi-Direct Aerosol Campaign (ISDAC) in the Arctic, and the 2010 590 Small PARTicles In CirrUS (SPARTICUS) campaign in mid-latitudes. The ice crystals were acquired at -81.5 < T < -18.3, -66.9 < T < 0.0, and -39.4 < T < -1.0 °C during TWP-ICE, SPARTICUS, and ISDAC, respectively. New software, the Ice Crystal Ruler (IC-Ruler), was developed to measure the projected dimensions of pristine single ice crystals from CPI images. The projected maximum dimension (D'), width (W'), and length (L') of columns, 595 plates, and bullets, and the number of branches of each bullet rosette were measured. The dimensions of 11 383 (1977; 6728; 2678) columns and 3936 (2088; 1500; 348) plates imaged by the CPI version 2.0 during three field campaigns (TWP-ICE; SPARTICUS; ISDAC) were measured using the IC-Ruler. In addition, 7189 (751; 5677; 761) bullet rosettes and 39527 (4750; 31007; 3770) component bullets were analyzed to determine their dimen-600 sions. The L-W relationship or aspect ratio (AR) of each crystal habit was quantified as a function of temperature, geophysical location (Tropics, mid-latitude, and Arctic), and type of cirrus (e.g., anvil and non-anvil). The principal findings of this study are summarized as follows:

- 1. The maximum occurrence frequency of bullet rosettes occurred at  $T \sim -45$  °C. Plates showed exactly the opposite pattern with a minimum occurrence frequency at  $T \sim -45$  °C. Column crystals were ubiquitous for all temperature ranges even in the plate formation regime of -40 < T < -20 °C.
  - 2. The dimensions of ice crystals showed a strong dependence on temperature. All measured dimensions of columns, plates, and bullets increased with temperature except for the W' of columns which decreased between -10 and 0 °C during SPARTICUS and ISDAC. The columns measured at such temperatures were grown in mixed-phase clouds where needles, sheaths, and long columns can grow.

- 3. Columnar crystals (i.e., columns and bullets) have larger dimensions (i.e., W') of the minor axis (i.e., *a* axis) for a given dimension (i.e., D' or L') of the major axis (i.e., *c* axis), and thus smaller AR, as temperature increases. This trend was not noted for plate crystals.
- 4. The AR of columnar crystals increased with the dimension of the major and minor axis, albeit at a reduced rate for larger crystal dimension. The AR of columns showed a weak temperature dependence with broad maxima at -55 < T < -45 °C. The AR of columns showed a sharp peak at  $T \sim -5$  °C where long columns were sampled during SPARTICUS and ISDAC, whereas the minimum AR of plates was found at  $T \sim -15$  °C. The ARs of bullets and bullet rosettes slightly decreased with temperature.
- 5. Different definitions of dimensions of ice crystals invoked different L-W relationships of ice crystals, which caused up to 14% difference in W and hence AR of columns for given L. In particular, directly derived AR of columns from in-situ data caused up to 21% difference compared with the manually determined AR.
- 6. The AR of bullets increased with the number of branches in the bullet rosettes. The mean and standard deviation of the numbers of bullets (i.e., branches) were  $5.50\pm1.35$  for all three campaigns and  $6.32\pm1.34$ ,  $5.46\pm1.34$ , and  $4.95\pm1.01$  for TWP-ICE, SPARTICUS, and ISDAC, respectively.
- 7. At -67 < T < -35 °C, most dimensions of ice crystals measured during SPARTICUS were larger than those of TWP-ICE and the differences in dimensions increased with temperature. The ARs of columnar crystals during SPARTICUS were larger than those from TWP-ICE, whereas ARs ( $\sim 0.25$ ) of plates were comparable. At -40 < T < -15 °C, larger dimensions and ARs of columnar crystals and smaller ARs of plates were seen for SPARTICUS compared to ISDAC.
- 8. The relative habit fractions of pristine crystals (i.e., columns, plates, and bullet rosettes) depend strongly on whether the clouds were anvils or not, with fractions

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of plates larger in anvils. For example, the relative fractions of columns (plates; bullet rosettes) were 33.1 % (60.2; 6.7 %) and 39.3 % (38.6; 22.1 %) during TWP-ICE and SPARTICUS, respectively, in anvils, whereas they were 58.2 % (6.5; 35.3 %) and 50.2 % (5.2; 44.6 %) in non-anvil clouds.

- 9. The *L*–*W* relationships of columns determined in previous studies were within the range of current data and, in part, could be reproduced using the current data with varying aspect ratio and temperature ranges. The *L*–*W* relationships of columns were determined using horizontally oriented columns at four different temperature ranges (i.e., -85 < T < -0, -40 < T < -20, -60 < T < -40, and -85 < T < -60 °C) and exhibited strong temperature dependence.
- In summary, the dimensions of ice crystals increased with temperature , and the L-Wrelationships of crystals with a given L depended heavily on temperature. For a given T, there was wide variability in the aspect ratios of crystals. The relative frequency of occurrence of plates was much larger in anvil clouds compared to that of columnar crystals (i.e., columns and bullet rosettes), whereas the relative occurrence frequency of columnar crystals was much larger in non-anvil clouds. The L-W relationships of columns determined in previous studies were within rages of current data and, in part, could be reproduced using current data that were obtained by the same probe and analyzed in a consistent manner. Thus, the correct use of L-W relationships of crystals, at least, for a desired temperature range is highly required.
- In this study, a single particle database has been established and will be enlarged using in-situ observations acquired under other meteorological conditions. Further, the influence of particle orientations on the actual particle properties (e.g., dimensions and ARs) that is unavoidable in airborne optical array and imaging probes will be quantified in a subsequent study. Although the influence of humidity on the growth of ice crystals was not included in this study because of the unavailability of good quality humidity measurements, future studies should examine such effects with other data sets. These large databases are essential

and important to represent the wide spread of microphysical and radiative properties of ice crystals for retrieval algorithms and numerical modeling studies.

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**Table 1.** Summary of L-W relationships of column crystals from previous studies: AV70 (Auer and Veal, 1970), HF72 (Heymsfield, 1972), H74 (Hobbs et al., 1974), D74 (Davis, 1974), MA94 (Mitchell and Arnott, 1994), and BL06 (Baker and Lawson, 2006). Ice crystal habit classification (Magono and Lee, 1966) is also shown when the original work indicated habit. NA indicates that corresponding information is not available.

| Source         | Habit                               | L-W relationship (µm)  | <i>T</i> (°C)            | Number of samples |
|----------------|-------------------------------------|--|--------------------------|-------------------|
| AV70           | Solid (C1e) and hollow column (C1f) | $\begin{split} W &= -8.479 + 1.002 L - 0.00234 L^2 \ (L \leq 200), \\ W &= 11.3 L^{0.414} \ (L > 200) \end{split}$                 | -10 < T < -8,<br>T < -20 | < 220             |
| HF72           | Column                              | $\begin{split} W &= 0.5L \; (L \leq 200), \\ W &= 11.3L^{0.414} \; (L > 200) \end{split}$  | -20 < T < -18            | < 240             |
| H74_1          | Sheaths and<br>long solid column    | $\ln W = -0.6524 + 1.32 \ln L - 0.0846 (\ln L)^2$ (100 < L < 3200)   | NA                       | < 130             |
| H74_2          | Solid and hollow column             | $\ln W = 1.384 + 0.2396 \ln L + 0.0507 (\ln L)^2 \label{eq:masses}$ (30 $< L <$ 1200)  | NA                       | < 330             |
| D74_1<br>D74_2 | Solid column (C1e)                  | $\begin{split} W &= 0.85 L^{0.958} \; (L/W \leq 2\&10 < L < 1000), \\ W &= 0.51 L^{0.927} \; (L/W > 2\&10 < L < 1000) \end{split}$ | NA                       | < 220             |
| D74_3<br>D74_4 | Hollow column (C1f)                 | $\begin{split} W &= 1.14 L^{0.892} \; (L/W \leq 2\&10 < L < 1000), \\ W &= 0.50 L^{0.930} \; (L/W > 2\&10 < L < 1000) \end{split}$ | NA                       | < 270             |
| MA94           | Solid (C1e) and hollow column (C1f) | $W = 0.7L^{1.0} \ (L < 100),$<br>$W = 6.96L^{0.5} \ (100 \le L < 1000)$  | -10 < T < -8             | < 175             |
| BL06           | Solid (C1e) and hollow column (C1f) | $W = 0.3535 L^{1.0357}$ (20 < $L$ < 700)   | -60 < T < -17            | 456               |

**Table 2.** Summary of L-W relationships of plate crystals from previous studies: AV70 (Auer and Veal, 1970), H74 (Hobbs et al., 1974), and D74 (Davis, 1974). Ice crystal habit classification (Magono and Lee, 1966) is also shown when the original work indicated habit. NA indicates that corresponding information is not available.

| Source | Habit   | L–W relationship (µm)   | T (°C)                             | Number of samples |
|--------|---|---|------------------------------------|-------------------|
| AV70   | Hexagonal plate (P1a)   | $L = 2.020 W^{0.449} \ (W > 20)$  | -13 < T < -10,<br>-20 < T < -17    | < 140             |
| AV70   | Solid thick plate (C1g)<br>Thick plate of skeleton form (C1h) | $L = 0.402 W^{1.018}$ (20 $< W <$ 500)  | -11 < T < -9.5,<br>-20 < T < -18.5 | < 120             |
| H74    | Hexagonal plate   | $\begin{split} L &= 99.17 - 37.49 \ln W + 3.844 (\ln W)^2 \\ (80 < W < 2000) \end{split}$ | -17 < T < -12                      | < 90              |
| D74    | Hexagonal plate (P1a)   | $L = 1.78 W^{0.475}$ (10 $< W <$ 3000)  | NA                                 | < 180             |
| D74    | P1b   | $L = 2.14 W^{0.423}$ (10 < $W$ < 2000)  | NA                                 | < 80              |
| D74    | P1c   | $L = 2.18 W^{0.415}$ (10 $< W < 1500$ )   | NA                                 | < 200             |
| D74    | Solid thick plate (C1g)                                       | $L = 1.07 W^{0.778}$ (10 $< W <$ 1000)  | NA                                 | < 290             |

**Table 3.** Summary of L-W relationships of bullet crystals from previous studies: HF72 (Heymsfield, 1972), MA94 (Mitchell and Arnott, 1994), and UM07 (Um and McFarquhar, 2007). NA indicates that corresponding information is not available.

| Source | Habit  | L–W relationship (µm)   | <i>T</i> (°C) | Number of samples |
|--------|--------|---|---------------|-------------------|
| HF72   | Bullet | $W=1.0993L^{0.7856}~(L\leq 300), \ W=4.6900L^{0.532}~(L\geq 300)$ | -20 < T < -18 | < 410<br>NA       |
| MA94   | Bullet | $W = 2.3104 L^{0.63}$ (100 $\leq L \leq$ 500)                     | NA            | NA                |
| UM07   | Bullet | $W=$ 7.14 $L^{0.455}$ (100 $\leq L \leq$ 600)                     | -50 < T < -20 | 403               |

**Table 4.** Type of cirrus, minimum and maximum temperature for which crystals analyzed, and numbers of all crystals, all columns, horizontally oriented columns (HCOL), plates, and bullet rosettes (total number of branches, mean and standard deviation of number of component branches per bullet rosette) indicated for each flight during TWP-ICE.

| Flight date | Type of cirrus | <i>T</i> (°C)             | Number of samples |            |       |                            |
|-------------|----------------|---------------------------|-------------------|------------|-------|----------------------------|
|             |                |                           | Total             | Column     | Plate | Bullet rosette             |
|             |                |                           | samples           | (HCOL)     |       | (# of branch)              |
| 0125        | aged cirrus    | $-70.2 \le T \le -51.4$   | 20356             | 294 (80)   | 20    | 74 (432, 5.8 $\pm$ 1.1)    |
| 0127        | aged cirrus    | $-81.5 \leq T \leq -45.5$ | 60683             | 289 (64)   | 63    | 84 (551, $6.6 \pm 1.3$ )   |
| 0129        | aged cirrus    | $-74.5 \le T \le -37.7$   | 77389             | 299 (54)   | 15    | 372 (2488, $6.7 \pm 1.3$ ) |
| 0202        | anvil          | $-67.8 \le T \le -18.3$   | 26684             | 282 (75)   | 143   | 90 (559, $6.2 \pm 1.3$ )   |
| 0206        | anvil          | $-73.1 \le T \le -40.2$   | 12798             | 271 (78)   | 439   | 0                          |
| 0210        | anvil          | $-78.7 \le T \le -40.5$   | 82494             | 394 (46)   | 1049  | 0                          |
| 0212        | anvil          | $-72.7 \leq T \leq -34.1$ | 60689             | 148 (33)   | 359   | 131 (720, 5.5 $\pm$ 1.2)   |
| Total       |                |                           | 341093            | 1977 (430) | 2088  | 751 (4750, $6.3 \pm 1.3$ ) |

| Flight date | Type of cirrus | <i>T</i> (°C)             | Number of samples |             |       |                              |
|-------------|----------------|---------------------------|-------------------|-------------|-------|------------------------------|
|             |                |                           | Total             | Column      | Plate | Bullet rosette               |
|             |                |                           | samples           | (HCOL)      |       | (# of branch)                |
| 0119A       | Synoptic       | $-56.0 \le T \le -18.8$   | 62501             | 619 (168)   | 38    | 199 (1071, 5.4 $\pm$ 1.1)    |
| 0120A       | Synoptic       | $-59.3 \le T \le -43.3$   | 26865             | 648 (166)   | 48    | 592 (3489, $5.9 \pm 1.2$ )   |
| 0120B       | Synoptic       | $-58.1 \leq T \leq -11.6$ | 38714             | 436 (96)    | 30    | 318 (1817, 5.7 $\pm$ 1.3)    |
| 0211B       | Synoptic       | $-47.8 \le T \le -31.5$   | 16873             | 214 (45)    | 14    | 576 (3495, $6.1 \pm 1.3$ )   |
| 0322A       | Orographic     | $-40.1 \le T \le -20.6$   | 13795             | 126 (34)    | 11    | 234 (1147, 4.9 $\pm$ 1.0)    |
| 0323A       | Synoptic       | $-60.4 \le T \le -12.4$   | 7199              | 133 (39)    | 10    | 51 (314, $6.2 \pm 1.1$ )     |
| 0326A       | Synoptic       | $-54.2 \leq T \leq -5.9$  | 63913             | 609 (200)   | 91    | 604 (2986, 4.9 $\pm$ 1.0)    |
| 0330A       | Synoptic       | $-60.2 \le T \le -26.8$   | 11178             | 120 (38)    | 14    | 105 (696, $6.6 \pm 1.1$ )    |
| 0330B       | Synoptic       | $-58.5 \leq T \leq -29.9$ | 18225             | 235 (56)    | 25    | 236 (1206, $5.1 \pm 1.1$ )   |
| 0401A       | Synoptic       | $-54.2 \le T \le -38.0$   | 28398             | 374 (92)    | 6     | 482 (2825, $5.9 \pm 1.3$ )   |
| 0401B       | Synoptic       | $-51.6 \leq T \leq -21.7$ | 27984             | 164 (31)    | 31    | 216 (1387, 6.4 $\pm$ 1.4)    |
| 0402A       | Synoptic       | $-59.3 \leq T \leq -18.6$ | 26873             | 209 (60)    | 11    | 41 (271, $6.6 \pm 1.0$ )     |
| 0411A       | Synoptic       | $-61.7 \leq T \leq -28.0$ | 31040             | 247 (72)    | 21    | 388 (1931, $6.0 \pm 1.2$ )   |
| 0411B       | Orographic     | $-33.7 \le T \le -25.3$   | 14244             | 86 (27)     | 18    | 111 (494, $4.5\pm0.8$ )      |
| 0414B       | Anvil          | $-52.5 \le T \le -30.6$   | 15309             | 193 (58)    | 76    | 109 (566, $5.2 \pm 1.3$ )    |
| 0416A       | Synoptic       | $-57.1 \leq T \leq -4.1$  | 35244             | 507 (114)   | 58    | 259 (1184, 4.6 $\pm$ 1.2)    |
| 0416B       | Synoptic       | $-61.5 \leq T \leq 0.0$   | 52296             | 102 (18)    | 52    | 43 (206, $4.8 \pm 1.4$ )     |
| 0417A       | Synoptic       | $-66.1 \leq T \leq -4.6$  | 37758             | 229 (31)    | 58    | 204 (1171, $4.9 \pm 1.2$ )   |
| 0417B       | Synoptic       | $-60.7 \leq T \leq -5.0$  | 19011             | 138 (13)    | 5     | 235 (1082, 4.6 $\pm$ 1.2)    |
| 0417C       | Synoptic       | $-37.9 \le T \le -8.8$    | 12491             | 51 (14)     | 38    | 18 (87, 4.8 $\pm$ 1.2)       |
| 0422B       | Anvil          | $-62.7 \le T \le -10.2$   | 39461             | 110 (29)    | 131   | 7 (38, 5.4 $\pm$ 1.1)        |
| 0424A       | Anvil          | $-48.3 \le T \le -14.3$   | 37176             | 190 (36)    | 210   | 136 (708, 5.2 $\pm$ 1.2)     |
| 0428A       | Synoptic       | $-66.9 \leq T \leq -50.7$ | 24892             | 180 (65)    | 3     | 4 (22, $5.5 \pm 1.0$ )       |
| 0428B       | Synoptic       | $-65.8 \le T \le -31.3$   | 24414             | 295 (86)    | 12    | 104 (595, 5.7 $\pm$ 1.2)     |
| 0429        | Synoptic       | $-64.5 \leq T \leq -9.6$  | 9686              | 88 (21)     | 5     | 106 (719, $6.8 \pm 1.1$ )    |
| 0611A       | Anvil          | $-39.4 \leq T \leq -16.5$ | 11113             | 28 (4)      | 29    | 31 (141, $4.5 \pm 0.9$ )     |
| 0612A       | Anvil          | $-49.5 \le T \le -15.7$   | 37986             | 67 (19)     | 40    | 137 (771, 5.6 $\pm$ 1.3)     |
| 0614        | Anvil          | $-52.3 \leq T \leq -20.0$ | 35324             | 138 (57)    | 185   | 12 (64, $5.3 \pm 1.1$ )      |
| 0615A       | Anvil          | $-51.1 \leq T \leq -19.7$ | 47197             | 54 (8)      | 215   | 5 (21, $4.2\pm0.8$ )         |
| 0624A       | Anvil          | $-50.6 \le T \le -28.9$   | 19374             | 138 (24)    | 15    | 78 (503, 6.4 $\pm$ 1.2)      |
| Total       |                |                           | 846534            | 6728 (1721) | 1500  | 5677 (31 007, 5.5 $\pm$ 1.3) |
|             |                |                           |                   |             |       |                              |

### Table 5. Same as Table 4, but for SPARTICUS.

| Flight date | Type of cirrus | <i>T</i> (°C)             | Number of samples |            |       |                            |
|-------------|----------------|---------------------------|-------------------|------------|-------|----------------------------|
|             |                |                           | Total             | Column     | Plate | Bullet rosette             |
|             |                |                           | samples           | (HOOL)     |       | (# 01 branch)              |
| 0404        | synoptic       | $-39.4 \le T \le -1.0$    | 31601             | 745 (272)  | 84    | 45 (260, 5.8 $\pm$ 1.0)    |
| 0405        | synoptic       | $-38.3 \le T \le -14.0$   | 25112             | 325 (91)   | 50    | 21 (116, $5.5 \pm 0.8$ )   |
| 0413        | synoptic       | $-33.7 \leq T \leq -16.4$ | 3864              | 72 (13)    | 9     | 37 (227, $6.1 \pm 1.5$ )   |
| 0419        | synoptic       | $-33.5 \leq T \leq -8.5$  | 1019              | 409 (121)  | 19    | $304~(1455,4.8\pm1.0)$     |
| 0425        | synoptic       | $-36.0 \le T \le -4.0$    | 43811             | 634 (175)  | 126   | 279 (1361, $4.9 \pm 0.8$ ) |
| 0427        | synoptic       | $-36.3 \leq T \leq -16.5$ | 17464             | 493 (155)  | 60    | 75 (351, 4.7 $\pm$ 0.9)    |
| Total       |                |                           | 122871            | 2678 (827) | 348   | 761 (3770, $5.0 \pm 1.0$ ) |

Table 6. Same as Table 4, but for ISDAC.

**Table 7.** A comparison between dimensions ( $\mu$ m), aspect ratios, and occurrence frequency (%) of columns, plates, bullets, and bullet rosettes (BR) at -67 < T < -35 °C (TWP-ICE vs. SPARTICUS) and at -40 < T < -15 °C (SPARTICUS vs. ISDAC). Mean and standard deviation of dimensions and aspect ratios are shown. The numbers in parentheses indicate the number of samples for each category. The occurrence percentage is normalized by the total number of columns, plates, and bullet rosettes, and the fraction in all clouds (fraction in anvil clouds, fraction in non-anvil clouds) is shown.

|   | -67 < T < -35 °C                           |  | $-40 < T < -15 \circ C$                    |  |  |
|---|--|--|--|--|--|
|   | TWP-ICE SPARTICUS                          |  | SPARTICUS                                  | ISDAC                                      |  |
| Column D'                               | 129.6±68.8 (1737)                          | 162.1 ± 101.4 (5763)                       | 263.8±133.8 (1415)                         | 237.2±135.2 (2652)                         |  |
| Column W'                               | $63.7 \pm 27.8$                            | $62.7 \pm 27.9$                            | $108.2 \pm 41.5$                           | $101.8 \pm 44.1$                           |  |
| Column $D'/W'$                          | $2.12\pm0.87$                              | $2.59\pm0.97$                              | $2.45\pm0.80$                              | $2.30\pm0.72$                              |  |
|   |  |  |  |  |  |
| OCOL D'                                 | $127.2 \pm 67.7$ (1354)                    | $159.7 \pm 99.0 \; (4289)$                 | $257.7 \pm 129.3 \ (1041)$                 | $239.4 \pm 132.7$ (1835)                   |  |
| OCOL W'                                 | $63.1\pm28.0$                              | $62.9\pm27.8$                              | $107.6\pm41.5$                             | $107.0\pm44.1$                             |  |
| OCOL $D'/W'$                            | $2.10\pm0.86$                              | $2.54\pm0.92$                              | $2.41\pm0.77$                              | $2.19\pm0.63$                              |  |
| HCOL D'                                 | 138.0 ± 71.9 (383)                         | 169.0 ± 107.9 (1474)                       | 281.0 ± 144.3 (374)                        | 232.2 ± 140.6 (817)                        |  |
| HCOL L'                                 | $124.3 \pm 71.2$                           | $156.5 \pm 106.3$                          | $257.4 \pm 142.7$                          | $212.4 \pm 138.0$                          |  |
| HCOL W'                                 | $65.8\pm26.9$                              | $62.0 \pm 28.5$                            | $109.8 \pm 41.6$                           | $90.1 \pm 41.7$                            |  |
| HCOL $D'/W'$                            | $2.19\pm0.91$                              | $2.74 \pm 1.11$                            | $2.57\pm0.87$                              | $2.54\pm0.84$                              |  |
| HCOL $L'/W'$                            | $1.98\pm0.94$                              | $2.54 \pm 1.15$                            | $2.36\pm0.92$                              | $2.32\pm0.91$                              |  |
|   |  |  |  |  |  |
| Plate $D'$                              | $104.9 \pm 39.5$ (1820)                    | $117.0 \pm 46.6$ (954)                     | $175.1 \pm 78.0$ (757)                     | $147.6 \pm 65.8$ (339)                     |  |
| Plate $L'$                              | $25.2 \pm 15.6$                            | $28.3\pm21.9$                              | $35.9\pm29.9$                              | $42.6 \pm 29.5$                            |  |
| Plate $L'/D'$                           | $0.25\pm0.14$                              | $0.25\pm0.17$                              | $0.22\pm0.16$                              | $0.30\pm0.16$                              |  |
| Thick plate $D'$                        | 94 2 + 30 2 (286)                          | 109 8 + 38 3 (170)                         | $1524 \pm 612(107)$                        | 132 1 + 58 0 (78)                          |  |
| Thick plate $L'$                        | $50.2 \pm 30.2 (200)$                      | $625 \pm 26.0(110)$                        | 87 5 + 42 5                                | 754 + 385                                  |  |
| Thick plate $L'/D'$                     | $0.53 \pm 0.07$                            | $0.56 \pm 0.08$                            | $0.56 \pm 0.08$                            | $0.56 \pm 0.07$                            |  |
| · • • · · · · · · · · · · · · · · · · · |  |  |  |  |  |
| Thin plate $D'$                         | $106.8 \pm 40.7 \; (1534)$                 | $118.5 \pm 48.1 \ \text{(784)}$            | $178.8 \pm 79.8$ (650)                     | $152.3 \pm 67.4 \ \text{(261)}$            |  |
| Thin plate $L'$                         | $20.6\pm9.5$                               | $20.9\pm11.2$                              | $27.4 \pm 15.3$                            | $32.7\pm16.5$                              |  |
| Thin plate $L'/D'$                      | $\textbf{0.20}\pm\textbf{0.08}$            | $0.18\pm0.08$                              | $0.17\pm0.08$                              | $0.22\pm0.08$                              |  |
| Bullot D'                               | 122 7 ± 52 6 (4652)                        | 125 1 ± 71 6 (20 260)                      | $155.0 \pm 92.1$ (4799)                    | 144 9 ± 92 1 (2765)                        |  |
| Bullet W                                | $123.7 \pm 53.0 (4053)$<br>$13.0 \pm 15.0$ | $155.1 \pm 71.0 (29209)$<br>$150 \pm 18.8$ | $155.9 \pm 02.1 (4700)$<br>60.1 $\pm$ 26.4 | $144.0 \pm 03.1 (3703)$<br>50 0 $\pm$ 31 8 |  |
| Bullet $D'/W'$                          | $43.9 \pm 13.0$<br>2 90 + 1 02             | $43.0 \pm 10.0$<br>$3.07 \pm 1.11$         | $266 \pm 0.91$                             | $39.9 \pm 31.0$<br>2 47 + 0 81             |  |
| Dullet D / W                            | 2.90 ± 1.02                                | $5.07 \pm 1.11$                            | $2.00 \pm 0.91$                            | 2.47 ± 0.01                                |  |
| BR $D'$                                 | 121.6 ± 45.7 (736)                         | 131.7 ± 64.2 (5309)                        | 152.0 ± 72.9 (947)                         | 142.1 ± 77.2 (760)                         |  |
| BR $W'$                                 | $44.4 \pm 13.4$                            | $45.1 \pm 17.3$                            | $60.6 \pm 24.7$                            | $60.3 \pm 30.7$                            |  |
| BR $D'/W'$                              | $2.74\pm0.62$                              | $2.89\pm0.69$                              | $2.51\pm0.61$                              | $2.35\pm0.53$                              |  |
| BR branch                               | $6.32\pm1.34$                              | $5.51\pm1.34$                              | $5.06 \pm 1.23$                            | $4.95 \pm 1.02$                            |  |
|   |  |  |  |  |  |
| Column fraction                         | 40.5 (31.0, 56.5)                          | 47.9 (43.7, 48.7)                          | 45.4 (27.66, 52.6)                         | 70.7 (0.0, 70.7)                           |  |
| Plate traction                          | 42.4 (56.1, 6.0)                           | 7.9 (30.6, 3.9)                            | 24.3 (57.85, 10.6)                         | 9.0 (0.0, 9.0)                             |  |
| BH fraction                             | 17.1 (12.9, 37.5)                          | 44.2 (25.7, 47.4)                          | 30.4 (14.49, 36.8)                         | 20.3 (0.0, 20.3)                           |  |



**Figure 1.** Idealized models of (a) column, (b) plate, and (c) bullet. The length (L), width (W), bullet tip height (H), and c axis are shown. A maximum dimension (D) is indicated with long-dash line.



**Figure 2.** Example CPI images of ice crystals: (a) bullet rosette, (b) columns, and (c) plates taken during SPARTICUS. The projected maximum dimension (D', red), projected width (W', yellow), and projected length (L', green) are indicated in the first crystal in each panel. For each crystal, temperature (T, °C) and projected maximum dimension  $(D', \mu m)$ , are listed. For columns, upper three images are columns with orientations, whereas lower three are horizontally oriented respect to imaging plane.



**Figure 3.** A comparison between the projected maximum dimension (D') of columns (black) and plates (red) measured using the Ice Crystal Ruler (IC-Ruler) and those obtained from CPIView. Correlation coefficient (r) and slope of best fit line of CPIView D' against D' from IC-Ruler embedded in panel. The black dashed line is a 1:1 line.



**Figure 4.** Numbers of particles measured during TWP-ICE, SPARTICUS, ISDAC, and all three campaigns (ALL) as a function of temperature in top row. The corresponding fraction (%) normalized by total numbers of all three habits within temperature bin in which more than 14 samples are available shown in bottom row. Different colors indicate different crystal habits and total numbers of each habit and of all three habits are embedded.



**Figure 5.** Measured D', W', and L' of columns as a function of temperature. From left, data from TWP-ICE, SPARTICUS, ISDAC, and all three field campaigns combined (ALL) are shown in each column. HCOL and OCOL indicate columns with horizontal orientation and columns with other orientations, respectively. The number of pristine crystals analyzed is embedded in each panel. The mean and standard deviation are indicated with black circles and vertical bars in each panel calculated over each 10°C temperature interval from 0°C, except for -85 < T < -70°C. Correlation coefficients (r) for -85 < T < 0°C (black) and for -85 < T < -10°C (gray) are embedded in each panel.



**Figure 6.** Examples of cloud particles imaged by CPI in mixed-phase clouds (a) between 17:39:45–17:50:43 and 21:09:39–21:11:25 UTC 16 April 2010 (SPARTICUS) at -8.0 < T < -2.4 °C and (b) between 19:59:30–20:00:16 UTC 4 April 2008 (ISDAC) at -2.6 < T < -1.9 °C. In each panel, measured columns (left) and other particles (right) are divided with a red line. 200 µm scale bar is embedded in each panel.



**Figure 7.** Measured D' and L' of all plates (top two rows), thick plates (middle two rows), and thin plates (bottom two rows) as a function of temperature. Correlation coefficient (r) for -85 < T < 0 °C is embedded in each panel. Colors and temperature ranges used are the same as in Fig. 5.



**Figure 8.** As in Fig. 7, except for bullets (top two rows) and bullet rosettes (BR, third and fourth rows from top). Here, each dimension for a BR is the average of its component bullets. Mean and standard deviation of the number of bullet rosettes are shown in bottom row.



**Figure 9.** The D'-W' relationship of all columns (top row), oriented columns (second row, OCOL), and horizontally oriented columns (third row, HCOL) as function of temperature. Different colors indicate different temperature ranges. Bottom row shows L'-W' relationships for HCOLs. The number of crystals (N) in each temperature range embedded within each panel. The best fit,  $y = ax^b$ , is shown with solid line and correlation coefficient r indicated in legend for each T range. The x/y = 1.0, 1.5, 2.0, 4.0, and 10.0 lines are indicated with dotted lines.



**Figure 10.** The D'-L' relationship of all plates (top row), thick plates (second row), and thin plates (third row) as function of T. A black long-dashed line (L'/D' = 0.41) by which thick and thin plates are categorized is shown in each panel.



**Figure 11.** The D'-W' relationship of bullets (top row) and bullet rosettes (middle row) as a function of temperature. The bottom row shows D'-W' relationship of bullets as a function of the number of branches in each bullet rosette.



**Figure 12.** The projected aspect ratio (D'/W') of all columns (top row), oriented columns (second row, OCOL), and horizontal columns (third row, HCOL) as a function of temperature. The bottom row shows L'/W' of horizontal columns. The mean and standard deviation for each temperature range are shown when more than 14 data points are available in the given temperature bin. Correlation coefficients (r) for -85 < T < 0 °C (black) and for -85 < T < -10 °C (gray) are embedded in each panel.

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**Figure 13.** The projected aspect ratio (L'/D') of all plates (top row), thick plates (middle row), and thin plates (bottom row) as a function of temperature. Correlation coefficient (*r*) for -85 < T < 0 °C is embedded in each panel.



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**Figure 14.** The projected aspect ratio (D'/W') of bullets (top row) and bullet rosettes (middle row) as a function of temperature, whereas the bottom row shows the dependence of D'/W' of individ-

ual bullets on the number of branches in a bullet rosette. The data in bottom row are gridded by

temperature and offset for clarity.





**Figure 15.** Calculated differences between W' of HCOLs derived from L'-W' relationship for HCOLs and four different W's derived from D'-W' relationships (i.e., CPIView, all columns, OCOLs, and HCOLs) for the same D' corresponding to the L' of HCOLs. Differences are calculated as  $100 \times (W' \text{ of } y - W' \text{ of HCOL} (L'-W' \text{ relationship}))/(W' \text{ of HCOL} (L'-W' \text{ relationship})), where four different <math>y$  relationships are indicated in the figure legend.



**Figure 16.** Mean and standard deviation of D' (top row), L' (second row), W' (third row), and aspect ratio (AR) of ice crystals for -67 < T < -15 °C when more than 14 crystals are available within each 5 °C interval (7 °C for -67 to -60 °C). Plot on right of bottom row shows mean and standard deviation of number of bullets in bullet rosette.



**Figure 17.** Comparisons between derived L-W relationships of horizontal orientation columns (HCOL) and those of previous studies: AV70 (Auer and Veal, 1970), HF72 (Heymsfield, 1972), H74 (Hobbs et al., 1974), D74 (Davis, 1974), MA94 (Mitchell and Arnott, 1994), BL06 (Baker and Lawson, 2006). Information of L-W relationships from previous studies are summarized in Table 1. L and W of horizontally oriented columns (HCOL) from this study are shown with gray squares in each panel. (a) L-W relationships from previous studies and HCOL data. (b) Derived L-W relationships of columns using HCOL data as function of temperature. Dotted lines representing L/W = 1, 2, 4, 7, and 12 are shown in (b).