

## Impacts of 20th century aerosol emissions on the South Asian monsoon in the CMIP5 models

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### Abstract.

Comparison of single-forcing varieties of 20th century historical experiments in a subset of models from the Fifth Coupled Model Intercomparison Project (CMIP5) reveals that South Asian summer monsoon rainfall increases towards the present day in Greenhouse Gas (GHG)-only experiments with respect to pre-industrial levels, while it decreases in anthropogenic aerosol-only experiments. Comparison of these single-forcing experiments with the all-forcings historical experiment suggests aerosol emissions have dominated South Asian monsoon rainfall trends in recent decades, especially during the 1950s to 1970s. The variations in South Asian monsoon rainfall in these experiments follows approximately the time-evolution of inter-hemispheric temperature gradient over the same period, suggesting a contribution from the large-scale background state relating to the asymmetric distribution of aerosol emissions about the equator.

By examining the twenty-four available all-forcings historical experiments, we show that models including aerosol indirect effects dominate the negative rainfall trend. Indeed, models including only the direct radiative effect of aerosol show an increase in monsoon rainfall, consistent with the dominance of increasing greenhouse gas emissions and planetary warming on monsoon rainfall in those models. For South Asia, reduced rainfall in the models with indirect effects is related to decreased evaporation at the land surface rather than from anomalies in horizontal moisture flux, suggesting the impact of indirect effects on local aerosol emissions. This is confirmed by examination of aerosol loading and cloud droplet number trends over the South Asia region. Thus while remote aerosols and their asymmetric distribution about the equator play a role in setting the inter-hemispheric temperature distribution on which the South Asian monsoon, as one of the global monsoons, operates, the addition of indirect aerosol effects acting on very local aerosol emissions also plays a role in

declining monsoon rainfall. The disparity between the response of monsoon rainfall to increasing aerosol emissions in models containing direct aerosol effects only and those also containing indirect effects needs to be urgently investigated since the suggested future decline in Asian anthropogenic aerosol emissions inherent to the representative concentration pathways (RCPs) used for future climate projection may turn out to be optimistic.

In addition, both groups of models show declining rainfall over China, also relating to local aerosol mechanisms. We hypothesize that aerosol emissions over China are large enough, in the CMIP5 models, to cause declining monsoon rainfall even in the absence of indirect aerosol effects. The same is not true for India.

## 1 Introduction

The monsoon is vital to society in South Asia since more than a billion people there rely on it to supply over 80% of annual rainfall between the months of June and September. The increasing population, with its need for rainfed or irrigated agriculture and rapidly developing industry, need accurate information on how the monsoon varies on long and short time scales and reliable future projections. However, even the current generation of state-of-the-art coupled ocean-atmosphere models participating in the Fifth Coupled Model Intercomparison project (CMIP5) suffers large biases in the region (Sperber et al., 2013) likely due to poor parametrizations and entirely missing processes, in addition to poorly representing observed trends in monsoon rainfall when taken as a whole (Saha et al., 2014; Sabeerali et al., 2014; Salzmänn et al., 2014). Future projections made under increasing anthropogenic emissions of greenhouse gases (GHG) such as carbon dioxide consistently show monsoon rainfall to increase for South Asia (see review in Turner and Annamalai, 2012), relating to an increased moisture source from the warmer Indian Ocean (e.g. Douville et al., 2000).

Analysis of India's relatively long gauge-based observational record, dating back to at least the 1870s, reveals considerable decadal and longer variations (Turner and Annamalai, 2012; Krishnamurthy and Goswami, 2000), however given increases in GHG emissions over recent decades, the lack of an upward trend is puzzling (Goswami et al., 2006). The analysis of Goswami et al. (2006) focused on the central India portion of 1°-gridded data (Rajeevan et al., 2006) and found no overall trend in monsoon rainfall since the 1950s, itself a competition between decreasing frequency of light-to-moderate rainfall and increasing frequency of extreme heavy rainfall. Dash et al. (2009) noted the same decrease in light-to-moderate events when looking at the whole of India in the same dataset. However other authors have noted *overall* negative trends. Ramanathan et al. (2005) found negative trends particularly in July up to 2000, while with data up to 2004 Gautam et al. (2009) found significant declining trends from July to September over India. Meanwhile, a recent comparison of trends in four different gridded rainfall datasets for India noted area-averaged rainfall decreases since the 1950s (Bollasina et al.,

2011), although with considerable spatial differences (especially in north-eastern peninsular India) and relatively few gridpoints yielding statistically significant trends. Negative trends in the orographic rainfall near the Western Ghats on the west coast of India in APHRODITE gridded gauge data have been attributed to weakening of the monsoon circulation (Krishnan et al., 2013).

Anthropogenic aerosol emissions have the potential to limit monsoon rainfall. Aerosol emissions have been rising for India since the 1950s due to expansion of industry and the rapidly increasing population, which uses cooking fires. Remote sensing and ground-based observations applied to aerosol measurements from the mid-1980s have shown an increasing trend in aerosol loading and aerosol optical depth (AOD) (Moorthy et al., 2013; Acharya and Sreekesh, 2013). Maximum concentrations are found pushed up against the foothills of the Himalayas in the northern plains of India during pre-monsoon season (Lau et al., 2006), and remain strong during June and July (Lau et al., 2008).

Aerosol has the potential to offset the impact of GHG over South Asia. By scattering and absorbing solar radiation, aerosol reduces incoming solar radiation at the surface and weakens the meridional thermal contrast (in part consisting of a land-sea temperature contrast at the surface) via the aerosol direct effect (Charlson et al., 1992). By acting as cloud condensation nuclei (CCN), increasing aerosol concentrations can also reduce cloud droplet size, increase cloud albedo (Twomey, 1977) and reduce drizzle (Albrecht, 1989) via aerosol indirect effects. Ramanathan et al. (2005) suggested that aerosols may have already masked up to 50% of the potential GHG-related surface warming by cooling the northern Indian Ocean, which may reduce monsoon rainfall. In future climate projections, comparison of experiments including and excluding sulphate aerosols has shown that when included, more restrained increases in monsoon rainfall occur (Meehl et al., 2007). Ramanathan et al. (2005) suggest that both direct and indirect effects of aerosol can act to spin down summer monsoon circulation, reducing low-level moisture and rainfall.

Recently, Bollasina et al. (2011) used the GFDL-CM3 coupled model to suggest that decreasing monsoon rainfall over a small region of northern India since the 1950s could be attributed to increasing global emissions of anthropogenic aerosol, particularly implicating the indirect effect. Other studies using GCMs found consistent South Asian monsoon rainfall decreases since the 1950s (Cherian et al., 2013; Devara and Manoj, 2013; Sajani et al., 2012). However one must question the cause of rising trends in monsoon rainfall over the first half of the 20th century in the absence of large anthropogenic GHG or aerosol forcing (see e.g. Fig. 2 in Turner and Annamalai, 2012).

At the hemispheric scale, Kitoh et al. (2013) have demonstrated multi-model ensemble mean decreases in northern-hemisphere (NH hereafter) monsoon rainfall over the 20th century in historical integrations, consistent with observed measures and arguments pertaining to aerosol. This was explained in more detail by Polson et al. (2014) who attributed the reduced NH monsoon precipitation to increasing aerosol emissions in a subset of the CMIP5 experiments, relating to a temperature contrast between the NH and southern hemisphere (SH). Such changes in interhemispheric temperature

95 gradient are also known to affect the NH monsoons on decadal time scales (Wang et al., 2013). In the future, RCP4.5 and RCP8.5 scenarios both show increases in NH monsoon rainfall, consistent with the dominance of GHG forcing on the monsoon in those emissions scenarios (Kitoh et al., 2013).

The CMIP3 coupled GCMs used in the IPCC AR4 rarely incorporated the indirect effects of aerosol. But increasing complexity of aerosol parametrization and emissions-based inclusion of aerosol loading in the CMIP5 models yields new opportunities. Ekman (2014) has shown that with increasingly sophisticated parametrization of aerosol-cloud interactions, the bias of the modelled global mean and zonal mean surface temperature trends between 1965-2004 is reduced compared with observations. However there is still considerable model diversity as to which indirect effects are included and how they are parametrized (see e.g. Wilcox et al., 2013; Ekman, 2014). Furthermore, rather than by prescribing AOD, aerosols are now generally included via emissions at source, which leads to considerable diversity in aerosol burden. The societal impact of any change in South Asian monsoon rainfall requires us to understand the role of aerosol in producing uncertainty in recent historical model simulations of the monsoon and their future projections. Given the large emissions from sources local to South Asia as well as the known impacts of aerosol at the hemispheric scale, there is a need also to understand the relative impact of local and remote drivers of the monsoon from aerosol forcing.

This paper will compare the impact of GHG forcing with that of anthropogenic aerosol on the South Asian monsoon in historical experiments in CMIP5 and the role of direct and indirect aerosol effects. We will also discuss the implications of this for future projections of monsoon rainfall.

115 Section 2 introduces the CMIP5 datasets and statistical methods to be used in this study. Section 3 compares the impacts of aerosol versus those of GHG on the monsoon and then compares the impact of the direct effect with indirect effect in Section 4. Implications of our results on future projections of the monsoon and more general conclusions are given in Section 5.

## 2 Data and Methods

120 The long-term historical integrations from the Fifth Coupled Model Intercomparison Project (CMIP5 Taylor et al., 2012) are used in this study. The historical run is forced by observed atmospheric composition changes (including GHG, natural and anthropogenic aerosols and volcanic forcing), solar variations and time-evolving land cover in a bid to simulate the observed climate of the recent historical period. To calculate the multi-model ensemble mean (MME-mean hereafter) and to perform model comparisons we use the common period of 1861–2005.

125 Table 1 lists information of twenty-four participating models. In addition to the aforementioned historical experiments, eight models highlighted in Table 1 also have available single-forcing scenarios of the historical period, that is, instead of being forced by all atmospheric composition changes and land cover changes, only one change or a subset of changes is applied to drive the model. These

**Table 1.** CMIP5 models used in this study. Models shown in bold-italic are also available for single forcing runs. Ticks in the table indicate data availability under those headings.

Model	Indirect effects parametrized?	Diagnostic availability		
		aerosol loading	low cloud cover	cloud droplet number
<b><i>CanESM2</i></b>	Y	✓	✓	
CESM1-CAM5	Y		✓	
CNRM-CM5	Y		✓	
<b><i>CSIRO-Mk3.6.0</i></b>	Y	✓	✓	✓
<b><i>GFDL-CM3</i></b>	Y	✓	✓	
HadGEM2-CC	Y	✓	✓	✓
<b><i>HadGEM2-ES</i></b>	Y	✓	✓	✓
INMCM4	Y		✓	
<b><i>IPSL-CM5A-LR</i></b>	Y	✓	✓	
IPSL-CM5A-MR	Y	✓	✓	
MIROC5	Y	✓	✓	✓
MIROC-ESM	Y	✓	✓	
MIROC-ESM-CHEM	Y	✓	✓	
MRI-CGCM3	Y	✓	✓	✓
<b><i>NorESM1-M</i></b>	Y	✓	✓	✓
BCC-CSM1.1	N			
BNU-ESM	N			
<b><i>CCSM4</i></b>	N			
CESM1-BGC	N			
EC-EARTH	N			
FIO-ESM	N			
GFDL-ESM2G	N			
<b><i>GFDL-ESM2M</i></b>	N			
MPI-ESM-LR	N			

130 single forcing experiments are useful to detect changes in climate and attribute them to changes  
in forcing agent (e.g. Wilcox et al., 2013; Jones et al., 2011), and include greenhouse gases-only  
(GHG-only) and anthropogenic aerosol-only experiments. Since CMIP5 model experiments do not  
provide a uniform set of diagnostic output variables, Table 1 also lists the availability of diagnos-  
tics for aerosol loading (column-integrated mass of aerosol), fractional low-cloud cover and cloud  
135 droplet number. To calculate deviations from the long-term mean, we use the pre-industrial control  
integrations, in which forcing terms are fixed and from which the historical integrations are initial-  
ized. Thus we present Figs. 1 and 2 as deviations from the pre-industrial long-term mean.

Where appropriate for spatial analysis, model data have been bilinearly interpolated to a common horizontal output grid of  $2.8^\circ \times 2.8^\circ$ . We produce time series for South Asia by area-averaging over  $10\text{--}35^\circ\text{N}$ ,  $70\text{--}90^\circ\text{E}$ . Results shown in this study are calculated from the MME-mean. As the modelling groups submitted a different number of samples for each model integration, to make sure each model is weighted evenly in the MME-mean, a mean is first applied to models having more than one sample. To demonstrate that the signal from the MME-mean is robust, we apply permutation and binomial significance tests. The permutation test is applied when the sample size is small, while the binomial test is used to indicate where a statistically significant number of models agree on the sign of change.

## 2.1 Permutation test

The permutation test is a non-parametric test and by definition does not rely on assumptions of parametric distributions. The test is performed by constructing an artificial dataset from the original data by resampling numerous times. Each artificial dataset can then be compared to the original data using the desired test statistic, and the test statistic is itself then compared to the test statistics generated from comparisons in the original data (Wilks, 1995).

The change of rainfall is defined as  $\Delta R = R(t) - R_{\text{PI}}$ , where PI is the pre-industrial period defined as 1861–1880 and  $R(t)$  is rainfall at time  $t$ . We use the permutation test to examine the difference between rainfall changes in models including indirect effects and in those including direct effects only, computing a difference in test statistic:  $m = |\Delta R_{\text{indirect}} - \Delta R_{\text{direct}}|$ .

Under the null hypothesis that the two groups have the same change,  $m$  should be zero. If models including indirect effects do affect rainfall over South Asia in a different manner to those including only the direct effect,  $m$  should be larger than zero. The artificial dataset, known as the null distribution of the test statistic, is constructed by sampling 10,000 permutations of  $n = 24$  data points (CMIP5 models) into two batches of  $n_1 = 15$  and  $n_2 = 9$  as in Table 1. For each permutation,  $m$  is calculated and denoted as  $m_{\text{calc}}$ . At the 90% significance level, if the real value  $m_{\text{real}}$  is larger than 9,000 of these 10,000  $m_{\text{calc}}$ , then the null hypothesis is rejected.

## 2.2 Binomial test

We use the binomial test to examine the significance of the sign of change in rainfall maps between the present and pre-industrial periods. The binomial test is a parametric test relying on the binomial distribution, appropriate for outcomes where only one or the other of two mutually exclusive and collectively exhaustive events will occur. The relevant probability distribution function is:

$$\Pr\{X = x\} = \binom{N}{x} p^x (1-p)^{N-x}, \quad x = 0, 1, \dots, N, \quad (1)$$

where  $N$  is the total number of events.  $X$  is the expected number of event occurrences from 0 to  $N$  and  $p$  is the probability of occurrence. In this study,  $N$  is the total number of models used. Under

the null hypothesis each sign of rainfall change occurs with equal likelihood, i.e.,  $p = 0.5$ . For grid points where the MME-mean rainfall change is positive, the number of models from which the rainfall change is positive is counted, and denoted as  $n$ . The probability that  $n$  or more models show positive rainfall change is computed as:

$$p = \sum_{x=n}^N \Pr\{X = x\} = \sum_{x=n}^N \binom{N}{x} p^x (1-p)^{N-x}, \quad x = n, \dots, N. \quad (2)$$

If  $p \leq 0.1$ , then the null hypothesis is rejected at the 90% level. An analogous process is applied for negative changes.

### 3 Aerosol impacts on rainfall changes over South Asia

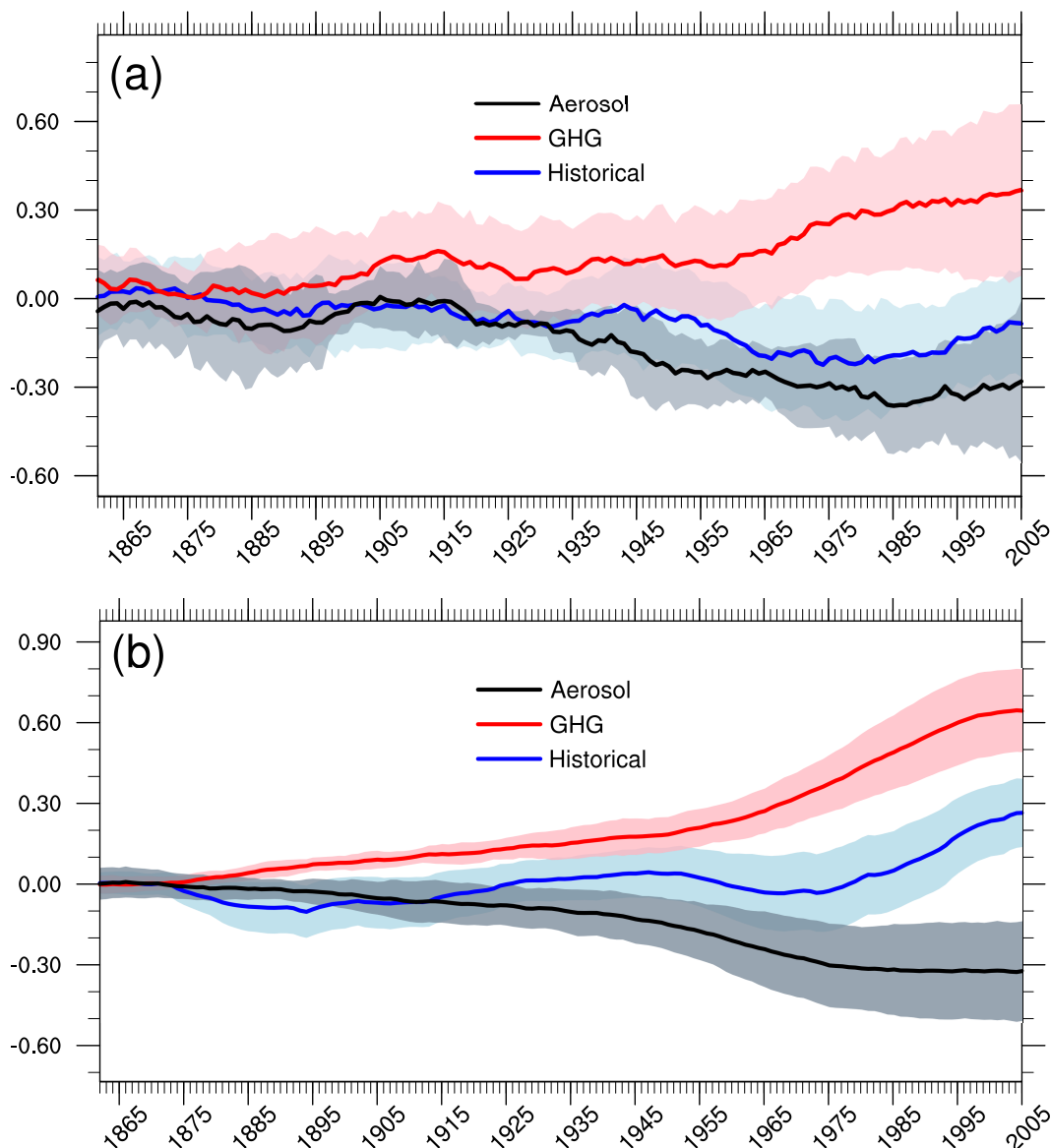
In this section we describe the relative effects of aerosol versus GHG emissions during the 20th century on South Asian monsoon rainfall, followed by comparing models including indirect aerosol effects and those including direct effects only.

#### 3.1 Relative impacts of GHG v aerosol emissions over South Asia for the historical period

To compare the relative impacts of GHG and aerosol emissions on the South Asian monsoon from the pre-industrial period to the present, we show the time series of area-mean South Asia rainfall during June-September (JJAS) in the historical all-forcings, aerosol-only and GHG-only experiments in Fig. 1a.

Despite much inter-model variation (shadings), the MME-means (thick lines) for each experiment in Fig. 1a show similar long-term evolution of monsoon rainfall in all three experiments during the late 19th century, with no obvious large trends over South Asia. The broad similarity of the evolving MME-mean monsoon rainfall across the three experiments in the first half of the time series is consistent with weak variations in anthropogenic emissions of aerosol and GHGs. However, from the early 20th century, summer monsoon rainfall in the GHG-only experiment becomes greater than in the aerosol-only or all-forcings experiments, consistent with increasing GHG emissions since the pre-industrial and results of previous studies showing the impact of increased  $\text{CO}_2$  on the monsoon (as reviewed in Turner and Annamalai, 2012). Clear differences emerge from the 1930s onwards: tending in opposite directions between GHG-only and aerosol-only experiments. With higher GHG concentrations in the atmosphere, mean rainfall in the GHG-only experiment increases as much as  $0.3 \text{ mm day}^{-1}$  (36 mm over the season) by 2005, while with increasing global aerosol emissions in the aerosol-only experiment, mean monsoon rainfall decreases by around  $0.3 \text{ mm day}^{-1}$ .

The most notable feature of the late-20th century in Fig. 1a is the close resemblance between the evolution in the all-forcings experiment and that in the aerosol-only experiment. This similarity indicates that aerosol forcing is playing a greater role than GHG forcing over South Asia during the summer monsoon season in the late-20th century. This result from the MME-means is consistent



**Figure 1.** (a) JJAS rainfall from 1861–2005 averaged over South Asia (10–35°N, 70–90°E) in the CMIP5 all-forcings historical experiment (blue), GHG-only historical experiment (red) and the aerosol-only historical experiment (black). The thick lines show MME-means with a 21-year running mean applied, while the pale envelope indicates the  $\pm 1\sigma$  range from the mean. Only eight models are used in constructing this figure (see Section 2): CanESM2, CCSM4, CSIRO-Mk3.6.0, GFDL-CM3, GFDL-ESM2M, HadGEM2-ES, IPSL-CM5A-LR & NorESM1-M. The curves are centred around zero by removing the mean rainfall from pre-industrial control runs (*piControl*) of the same models. Units are  $\text{mm day}^{-1}$ . (b) Same as (a) but for the global mean and annual mean land-sea surface temperature contrast from 1861–2005. Units are K.



205 with the single-model study of Bollasina et al. (2011) using GFDL-CM3, in which observed declines  
in South Asian monsoon rainfall since the 1950s were attributed to increasing concentrations of  
aerosol. We note that recent studies, e.g., Salzman et al. (2014) have argued that the model internal  
variability can play as important a role as aerosol forcing over central-northern India. The South  
Asian monsoon does undergo variations on decadal time scales, for example related to the Pacific  
210 Decadal Oscillation (PDO). However, the design of the CMIP5 20th century historical experiments  
is such that they are not initialised to ocean conditions. Therefore any variations in the PDO in the  
CMIP5 models will not be in phase either to observations, or each other. The multi-model ensemble  
mean approach that we have used means that we will emphasise the variations in the monsoon  
common to all models and other variability will be smoothed out. The declining trend in the CMIP5  
215 MME-mean is not found in CMIP3 (Fan et al., 2010).

Given the known role of hemispheric-wide forcings on the NH monsoons, either internally gen-  
erated (Wang et al., 2013) or relating to aerosols (Polson et al., 2014), we also look at a measure of  
large-scale thermal contrast here. We choose a measure of land-sea thermal contrast, which is known  
to increase in the future yet uncorrelated with global mean temperature change in climate models  
220 (Joshi et al., 2013). Given the asymmetric distribution of land on Earth's surface, land-sea contrast  
will also project onto the inter-hemispheric temperature gradient. Figure 1b shows the global, annual-  
mean land minus sea temperature contrast for the eight CMIP5 models available for the all-forcings  
historical experiment as well as the single-forcing experiments (see Table 1). Due to the differential  
warming between land and sea in response to greenhouse warming, there is an increasing land-sea  
225 contrast in the GHG-only experiment. In the aerosol-only experiment, however, it decreases, reflect-  
ing the dominance of aerosol emissions in the NH during the late-20th century. Both the effects of  
aerosol and GHG are combined in the all-forcings experiment, showing the dominance of different  
effects at different times. Before the 1950s, the land-sea contrast is dominated by the GHG forcing,  
an expected result of greenhouse warming. By the 1950s, aerosol forcing dominates (relative cool-  
230 ing of the NH), reflecting industrialisation and strong, particularly sulphate, emissions from North  
America, Europe and South and East Asia. More restrictive legislation in Europe and North America  
led to declining emissions sources from the 1970s, leading to GHG regaining their role as the dom-  
inant forcing of land-sea contrast from the 1970s onwards. Since land-sea contrast is a fundamental  
mechanism driving the monsoon circulations, these variations are partly reflected in the all-forcings  
235 South Asian monsoon precipitation curve of Fig. 1a.

Therefore increasing aerosol emissions could act remotely, setting the large-scale background in  
which the South Asian monsoon operates. Since the provision of single forcing runs is limited, we  
next examine all available all-forcings historical runs listed in Table 1 according to the type of aerosol  
effects included.

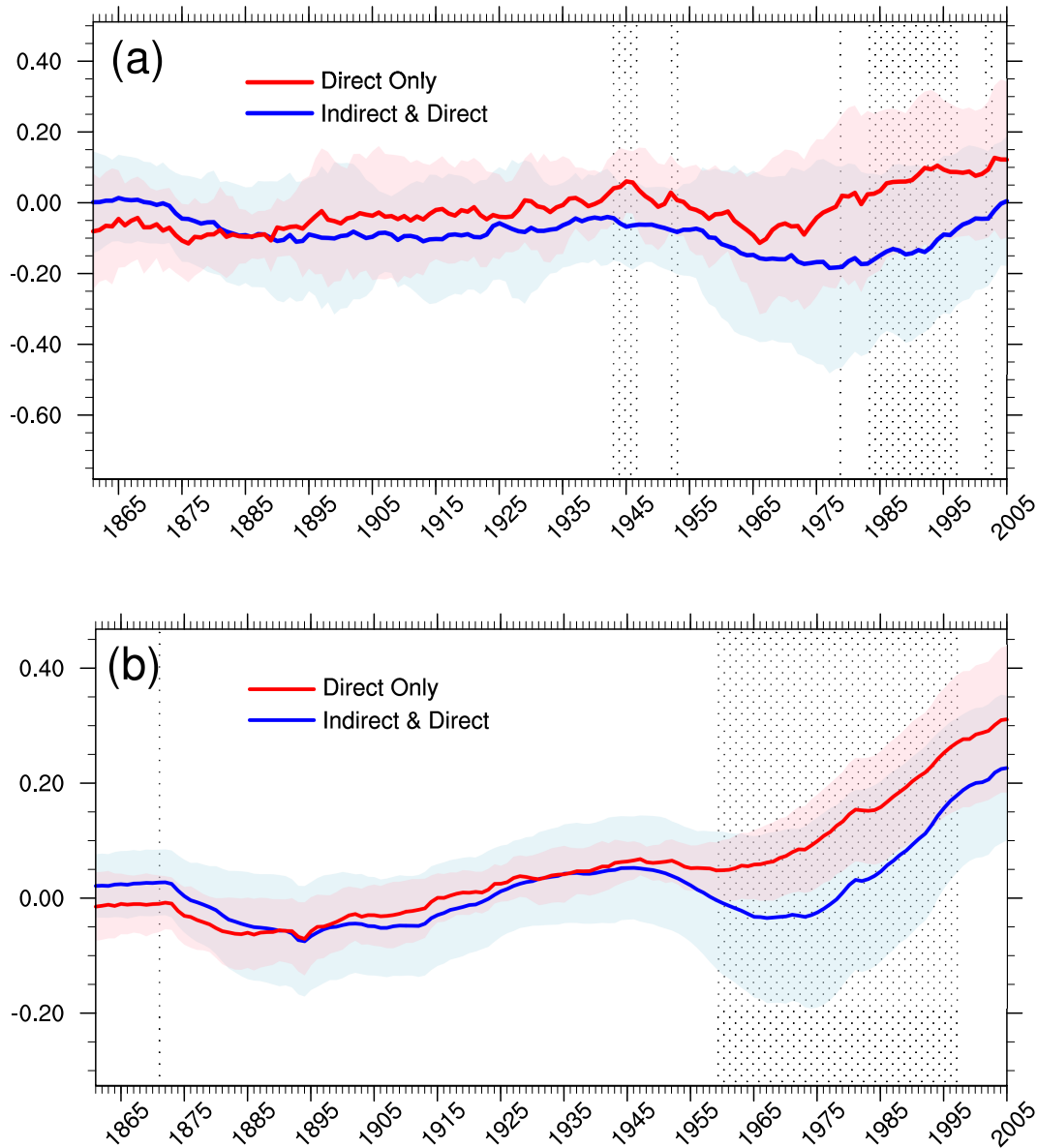
### 240 3.2 Comparison of aerosol direct and indirect effects over South Asia in the historical period

To determine if the type of aerosol effects that are included in models has any impact on the aerosol-related downward trend in monsoon rainfall in the late-20th century, and to improve the sample size used for analysis, the twenty-five CMIP5 all-forcings historical experiment models listed in Table 1 are divided into two groups: those models parametrizing the aerosol direct effect only (no indirect effects) and models parametrizing both direct and indirect effect (indirect effects are included). With 245 the greater number of model samples, the robustness of the findings can be tested more easily using statistical analysis.

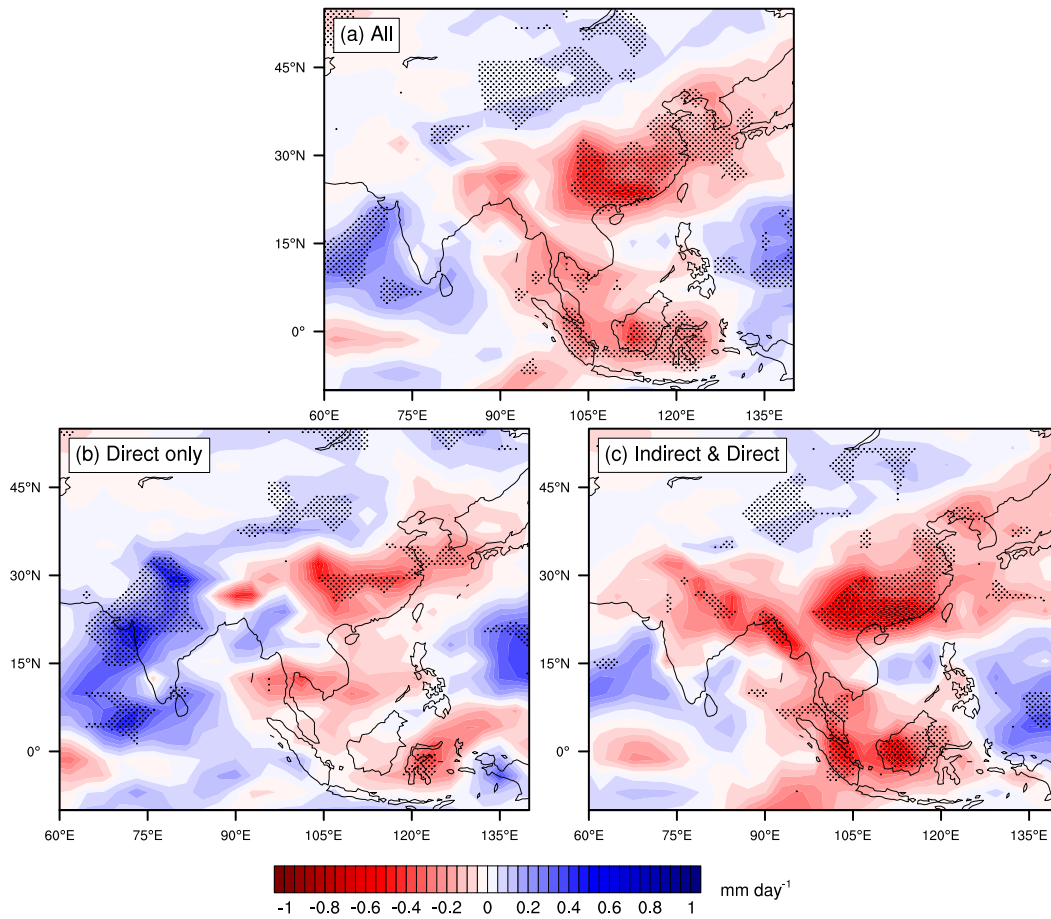
Figure 2a shows the evolution of South Asian monsoon rainfall over the same period as Fig. 1a. Both the direct-only models and those also including indirect effects show a decrease in monsoon 250 rainfall since 1950, in response to increases in anthropogenic aerosol emissions. However, when aerosol indirect effects are also included, the rainfall decrease over the period is larger and more persistent than in models where only the direct effect of aerosols is included. The permutation test has been applied as explained in Section 2, indicating differences are significant particularly in the late 20th century.

255 There is no so significant difference in the pre-industrial period during which anthropogenic greenhouse gases and aerosol emissions were small. Without strong external forcing, therefore, the difference in rainfall is dominated by internal variability. However, a persistent and significant difference between the two model groups emerges from the late-1970s onwards, which indicates that the inclusion of aerosol indirect effects in models may play an important role in reducing monsoon rainfall. 260 This finding warrants urgent further study to examine the nature of the included aerosol indirect effects and their representation in models. As earlier, we measure the change in global-scale land-sea temperature contrast in the two groups of models, as shown in Fig. 2b. The land-sea contrast has a larger decrease from the 1950s to the mid-1970s in the models including aerosol indirect effects. This indicates that, in response to the increase of NH aerosols, the enhancement of aerosol effects 265 leads to greater relative cooling of the NH, consistent with the downward trend in monsoon rainfall seen in Fig. 2a.

We now examine the spatial pattern of rainfall change between the pre-industrial period and present-day in the MME-mean for the all-forcings historical experiment and for the direct and indirect groups of models in Fig. 3. We start with the similarities between the different groups. Over 270 Southeast and East Asia, the rainfall signals are generally consistent between the models including indirect effects and those including direct effects only. Southeast Asia and the Maritime Continent feature declining rainfall in the CMIP5 models over the historical period, of up to  $0.5\text{mm day}^{-1}$ . The decrease is stronger in the models including indirect effects, suggesting that inclusion of these effects (for example interaction of aerosol and low-cloud leading to an increased number of cloud droplet 275 and reduced radiative forcing at the surface) acts to enhance the decline in rainfall. However the suggestion is that aerosol direct effects in combination with prescribed local emissions are enough in



**Figure 2.** (a) JJAS rainfall from 1861–2005 averaged over South Asia ( $10\text{--}35^\circ\text{N}$ ,  $70\text{--}90^\circ\text{E}$ ) in twenty-four CMIP5 models which have all-forcing historical experiments. The models are divided into two groups, the direct effect only models (red) and the indirect effect included models (blue), as shown in Table 1. The thick lines show MME-means with a 21-year running mean applied, while the pale envelope indicates the  $\pm 1\sigma$  range from the mean. Differences exceeding the 90% significant level using a permutation test are stippled. The curves are centred around zero by removing the mean rainfall from pre-industrial control runs (*piControl*) of the same models. Units are  $\text{mm day}^{-1}$ . (b) Same as (a) but for the global mean and annual mean land-sea surface temperature contrast from 1861–2005. Units are K.



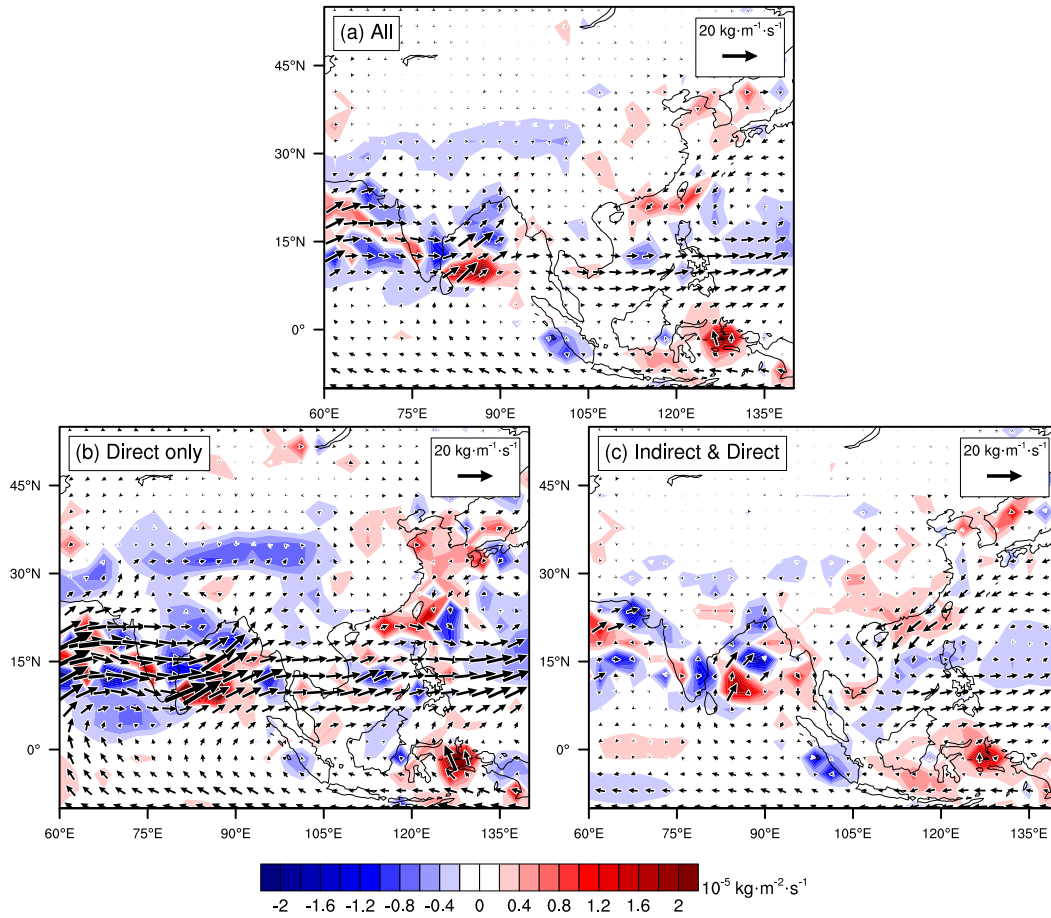
**Figure 3.** Changes of JJAS rainfall between present day and pre-industrial periods (1986–2005 minus 1861–1880) in MME-means of the CMIP5 all-forcings historical experiment: (a) all twenty-four CMIP5 models used in this study; (b) nine models parametrizing only the aerosol direct effect; (c) fifteen models including both aerosol direct and indirect effects. Changes exceeding the 90% significance level using the binomial test are stippled. Units are  $\text{mm day}^{-1}$ .

these regions to reduce monsoon rainfall. In East Asia the situation is the same, particularly in China south of the Yangtze river, with significant decreases in rainfall in the present day. Again the signal is stronger and more widespread when indirect aerosol effects are included. These results for China are consistent with our findings in Guo et al. (2013), which, although only for late summer, showed in the HiGAM AGCM that increasing anthropogenic concentrations of anthropogenic aerosol reduced the strength of East Asian monsoon rainfall. Guo et al. (2013) also noted that inclusion of aerosol indirect effects enhanced this reduction. Note that this rainfall change over much of East Asia is inconsistent with the recent observational trend (Zhai et al., 2005), which shows a dipole pattern of decreases in the north and increases in the south. While the multi-model trend discussed in this paper is over the centennial scale from the pre-industrial to present-day periods and is dominated by external anthropogenic forcing, the short length of reliable precipitation measurements for China (from 1950 onwards) means that any such observational trend may be subject to unforced decadal signals. A further interpretation is that the observed dipole trend, with rainfall increases in the south at the expense of decreases further north, represents monsoon weakening, since the circulation does not penetrate so far north (Ding et al., 2008). Further evidence from horizontal (see later in Fig. 4) and vertical (not shown) moisture fluxes show that the contribution of aerosol forcing to the modelled weakening trend over China comes in the form of local evaporation decreases, causing aerosol-induced reductions in surface solar radiation.

We now focus on the large differences in response over South Asia. Aside from the drying over Bangladesh at the head of the Bay of Bengal, the MME-mean of all models (Fig. 3a) shows only small, insignificant changes in rainfall. In models containing only aerosol direct effects (Fig. 3b), rainfall changes over India are strongly positive by up to  $0.5\text{mm day}^{-1}$ . Such increases in rainfall are not physically consistent with the direct effects of aerosol in response to increasing emissions, but they are consistent with the increase in GHG emissions from the pre-industrial to present-day period. The changes are concentrated in branches particularly over northern India and over the southern tip, consistent with individual model studies for increasing GHG forcing (e.g. Turner et al., 2007, in HadCM3) or in multi-model means (Meehl et al., 2007).

However in the MME-mean difference in models including aerosol indirect effects, (Fig. 3c), the sign of rainfall change is negative, most strongly in the northern plains of India south of the Himalayan foothills. This change is also significant at the 90% level using a binomial test. Previous studies have also found a strong rainfall change signal over this region in response to aerosol forcing, notably Lau et al. (2006) pointing out that aerosols accumulate against the southern slopes of the Himalayas, and Bollasina et al. (2011) who used a single-model to attribute decreases in northern India precipitation in recent observations to increasing concentrations of aerosol. In particular Bollasina et al. (2011) blamed the indirect effects of aerosol.

To investigate the mechanisms involved, the corresponding change of moisture transport is shown in Fig. 4. Over the Maritime Continent, moisture is usually transported from the West Pacific Warm



**Figure 4.** Same as Fig. 3 but showing moisture flux vertically integrated from 1000–700hPa (vectors) and its divergence (shading). The unit vector is  $20 \text{ kg m}^{-1} \text{ s}^{-1}$ .

Pool on prevailing easterlies. However, for both direct-only and indirect groups over this region,  
315 a westerly-to-southwesterly transport anomaly indicates moisture transport out of this region, and  
contributing to the reduced rainfall. The similarity in moisture transport between the two groups  
of models is also shown over East Asia, with a cyclonic moisture transport anomaly bringing dry  
mid-latitude air and indicating the weakening of monsoon circulation. A moisture flux divergence  
anomaly over the east coast of China and Korean Peninsula corresponds to rainfall decline over this  
320 region. The lack of divergent signals over China (as we would expect given the rainfall decrease  
in Fig. 3) suggests rainfall changes are locally driven rather than via changes in circulation. This is  
supported by reduced latent heat flux at the surface (not shown).

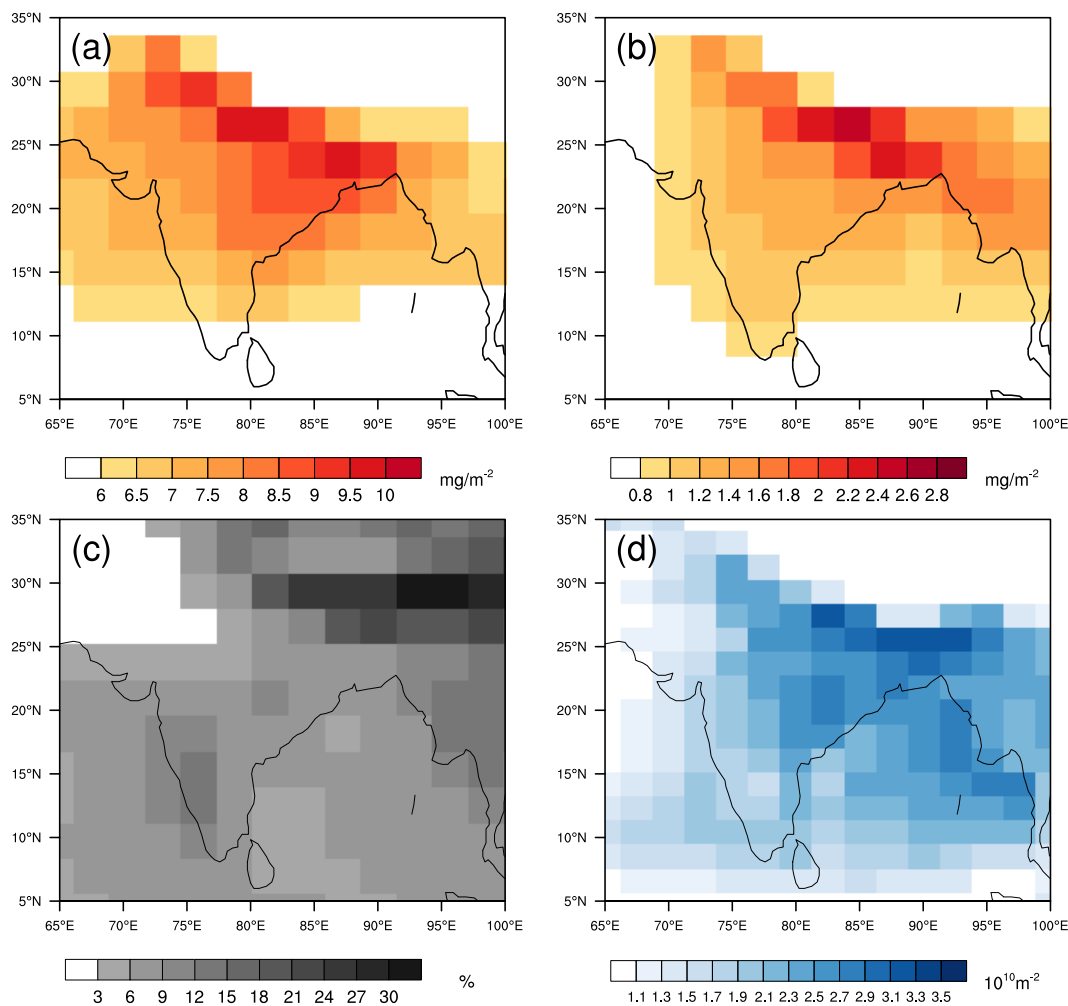
The largest discrepancy between two groups is over South Asia, the stronger moisture flux from  
the Arabian Sea indicates a stronger monsoon in the direct-only group of models, corresponding  
325 to a rainfall increase along the west coasts of India and Burma in Fig. 3b. In the models including  
indirect effects, however, there is not an obvious change in moisture transport. A small moisture flux  
convergence at the head of the Bay of Bengal is inconsistent with the rainfall reduction shown in  
Fig. 3c. This indicates that, like China, local factors play a more important role than remote effects  
acting on the circulation to reduce rainfall in the indirect models. Indeed, examination of latent heat  
330 flux at the surface indicates strong decreases for the indirect models over South Asia. Local aerosol  
indirect effects are a key potential factor.

Next we consider the necessary mechanisms for local indirect effects to be driving monsoon rain-  
fall changes over South Asia.

#### **4 Local aerosol indirect effects in CMIP5 models over South Asia**

335 As shown in the previous section, aerosol indirect effects play a dominant role in reducing rainfall  
over South Asia under rising emissions of anthropogenic aerosol. Since aerosol indirect effects are  
the result of aerosol-cloud interactions, the collocation of aerosol and cloud (particularly low cloud)  
is crucial for their operation.

We focus on local aerosol indirect effects over South Asia. Local aerosol loading change is not  
340 necessary attributed to co-located changes in aerosol emissions. However, over South Asia, studies  
show that local aerosol emissions are dominant (Misra et al., 2014). Figure 5a-c show the spatial pat-  
terns of aerosols (sulphate and black carbon) loading and low cloud fraction, where those diagnostics  
are available in the indirect models as indicated in Table 1. In models including aerosol indirect ef-  
fects, the heaviest aerosol loadings are found over the northern plains of India and the Himalayan  
345 foothills. As mentioned above, collocation with low cloud is vital for the aerosol indirect effect to  
interact with the high aerosol loadings. Figs. 5c shows roughly comparable low-cloud distributions  
in the models including aerosol indirect effects. While the maximum in low cloud concentration is



**Figure 5.** (a, b) JJAS averages over 1986–2005 of sulphate and black carbon aerosol loadings in aerosol indirect effect included models (units are  $\text{mg m}^{-2}$ ); (c) JJAS averages over 1986–2005 of cloud fraction from 500hPa to the surface of the aerosol indirect effect included models (units are %); and (d) JJAS averages column integral cloud droplet number change from the pre-industrial to present day (1986–2005 minus 1861–1880) in aerosol indirect effect included models (units are  $10^{10} \text{m}^{-2}$ ).

not situated over the strongest aerosol loadings in Fig. 5a, there is still a coverage of around 10% over northern India, reaching over 20% in the east.

350 Since sulphate aerosols act as cloud condensation nuclei, aerosol indirect effects are generated because cloud droplet number increases and clouds become more reflective to solar radiation. Figure 5d shows a strong increase of cloud droplet number between the pre-industrial and present day from those models in the indirect group in which this diagnostic is available (see Table 1). The cloud droplet number has a larger increase over the northern plains of India, Himalayan foothills  
 355 and the head of the Bay of Bengal, where aerosols and low cloud are collocated. We note that the



spatial changes of cloud droplet number match the pattern of rainfall change in the indirect models (Fig. 3c), giving us confidence that decreasing rainfall over South Asia is related to local aerosol indirect effects.

## 5 Conclusions and Discussions

360 In this study we have examined the relative impact of anthropogenic aerosol versus greenhouse gas emissions over the historical period on the South Asian monsoon in the CMIP5 integrations, and the difference in response between models parametrizing direct radiative effects of aerosol only and those also including indirect effects. This is motivated by the apparent decline in South Asian monsoon rainfall since the 1950s at the same time as rising GHG emissions, which are expected to  
365 lead to increases in monsoon rainfall in future projections.

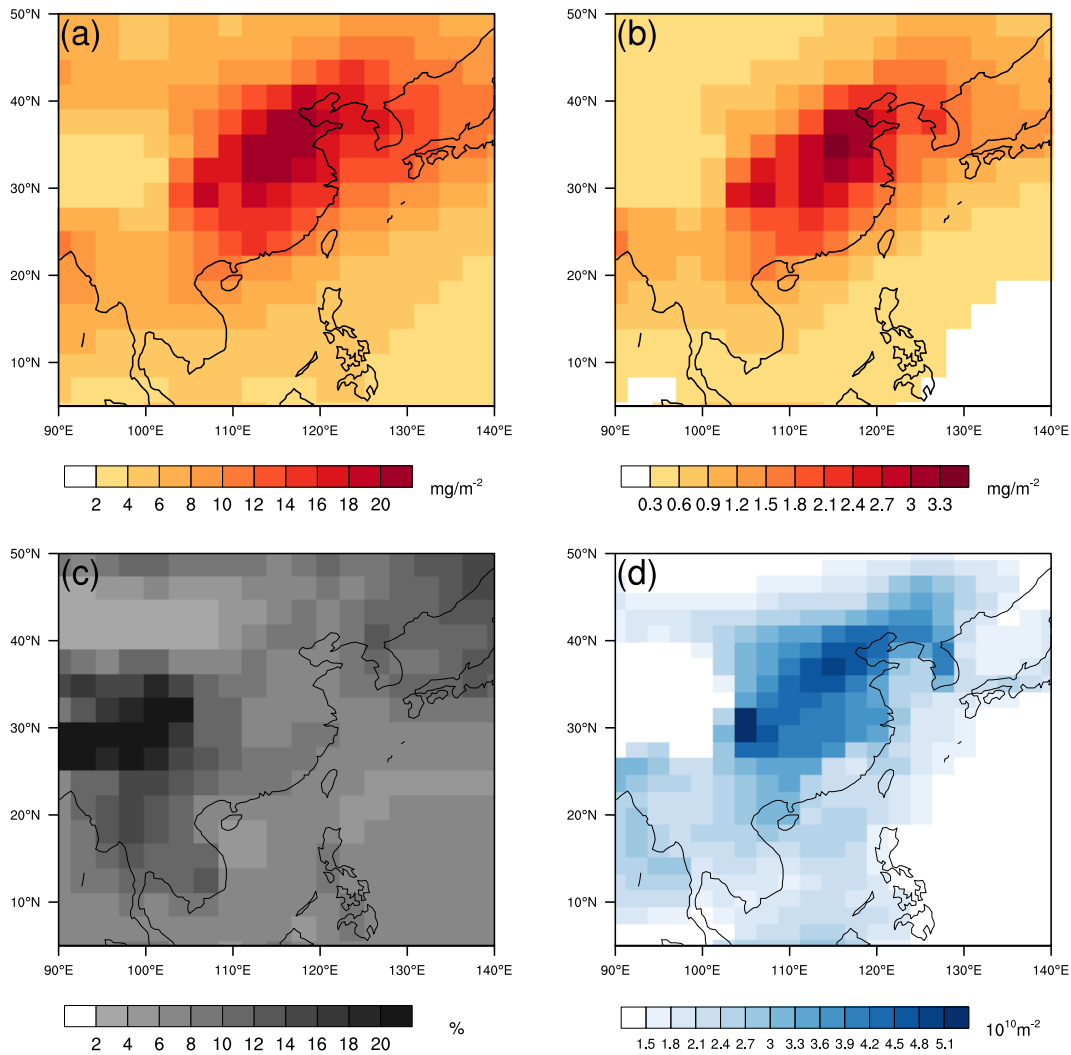
Comparing a sub-sample of CMIP5 all-forcings historical experiments with available single forcing experiments (GHG-only and aerosols-only) reveals that during the second half of the 20th century, declining rainfall in the all-forcings historical run most closely matches that in the aerosol-only run, while monsoon precipitation in the GHG-only run increases in line with theory relating to warm-  
370 ing and model experiments of future projections. This indicates that aerosol forcing has been playing a dominant role during this historical period over South Asia, in line with the single model findings of Bollasina et al. (2011), and more general results for the NH monsoons relating to the large-scale (Polson et al., 2014).

By dividing the twenty-four CMIP5 all-forcings historical experiments into models including only  
375 aerosol direct effects and a group of models also including indirect effects, the multi-model ensemble means show that rainfall decreases for South Asia occur in the indirect group of models, while it increases in the direct-only models. This underlines the potential for aerosol effects to have a detrimental impact on the monsoon under increasing aerosol emissions, especially when aerosol-cloud interaction processes are taken into account.

380 At the large-scale of the NH, increasing aerosol concentrations cause a reduction in northern hemisphere temperature relative to the south, known to cause reductions in NH monsoons. This effect is strengthened in the indirect group of models. Thus aerosol emissions over the 20th century provide a large-scale background on which the various regional monsoons may be modified. Looking in more detail at the local effects reveals changes in large-scale horizontal moisture convergence only for  
385 direct models relating to warming from GHG. The indirect models however show minimal changes in lower-tropospheric moisture fluxes despite strong rainfall declines. This suggests involvement of local processes. Indeed examination of the collocation of sulphate aerosol loading, low-cloud cover and cloud droplet number (essential for the indirect effect to operate) indicates the operation of indirect aerosol effects over India, leading to reductions in monsoon rainfall in the present-day.

390 These findings highlight the urgent need to understand the differences in modelled aerosol for-  
mulations present in the direct-only group of models and those incorporating indirect effects, given  
the large difference in response of the monsoon. The suggestion is that inclusion of complex aerosol  
effects more faithful to the real atmospheric system yields a more powerful negative impact on the  
monsoon, and a different response of monsoon rainfall over the historical period to that in CMIP3  
395 (Fan et al., 2010). Therefore, we can have more confidence in the future projections experiments ob-  
tained from model experiments if the present-day simulations perform well at simulating the mean  
monsoon, its seasonal cycle, and variability (Turner and Annamalai, 2012; Ramesh and Goswami,  
2014; Sabeerali et al., 2014). These findings also lead to a need to understand the proportional con-  
tribution to South Asia rainfall from local aerosol against remote aerosol, given the combination of  
400 change in the large-scale background and indirect responses to the local concentration of aerosol  
emissions. Additionally, a further implication of this study is that while the large late-20th century  
emissions of aerosol in China are enough to reduce rainfall when only direct effects of aerosol are  
considered, over South Asia the sulphate emissions are not large-enough to reduce monsoon rainfall  
without indirect effects. Figure 6a and b show that while black carbon loading over China has a sim-  
405 ilar magnitude as over India (comparing Fig. 6b and Fig. 5b), the sulphate loading over China is as  
twice large as that over India (comparing Fig. 6a and Fig. 5a). This supports the hypothesis we make  
here that the aerosol burden over China may be large enough that even the direct radiative effects  
of aerosol is enough to weaken rainfall over China during summer. Figure 6 reveals further interest-  
ing features. The maximum low-cloud cover during summer is situated over southwest China at the  
410 lee-side of the Tibetan Plateau (the Sichuan basin), consistent with observations. This is the region  
in which we note the strongest increase in cloud droplet number concentration, due to the overlap of  
high sulphate aerosol loads and low-cloud cover (Fig. 6d). Over much of central and southeast China  
(where rainfall declines the most as in Fig. 3), there is weak low-cloud cover and thus the indirect  
effect is likely to make little difference (e.g. compare Fig. 3b and c). This configuration of low-cloud  
415 and aerosol loading over China is different from that over India.

The new experimental design in CMIP5 offers us a chance to investigate the key factors that con-  
tribute to climate change over South Asia, given the various evolving anthropogenic drivers over the  
historical period such as GHGs, aerosols and land-use change. However, to understand the mech-  
anisms of how direct and indirect effects impact the South Asian monsoon, more experiments are  
420 needed to understand these effects at the process level. We also need to consider how to separate  
the effect of regional aerosol emissions from large-scale impacts, and the need to take into account  
the interaction between anthropogenic aerosols and natural aerosols such as dust in their interaction  
with monsoon rainfall and circulation. We will report on such experiments in a further series of  
studies. We also recommend that modelling groups contributing to future coordinated multi-model  
425 experiments such as CMIP6 aim to include, as standard, a more thorough coverage of single-forcing  
experiments.



**Figure 6.** Same as Fig. 5, but for a domain over East Asia.

Finally, this study has implications for future projections of monsoon rainfall. While the effects of increased carbon dioxide on the mean monsoon alone are quite clear (Turner and Annamalai, 2012), such studies are idealised. Projected emissions scenarios for the latest IPCC assessment use representative concentration pathways (RCPs), which feature declining emissions of black carbon and sulphate, including over India and China after around 2020–2040 depending upon the scenario. However there is substantial uncertainty in future aerosol emissions. Given the role of aerosols in monsoon rainfall trends suggested here, it is possible that the future evolution of monsoon rainfall as projected by the CMIP5 models may depart significantly from current projections if the aerosol emissions do not decline as assumed in the RCPs.

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