Interactive comment on "A characterization of thermal structure and conditions for overshooting of tropical and extratropical cyclones with GPS radio occultation" by R. Biondi et al.

Anonymous Referee #2

Received and published: 24 December 2014

General comments:

This paper examines thermal structure of the tropical cyclones (TCs) on different ocean basin using GPS radio occultation data (RO) temperature measurement. Based on the thermal structure (cold anomaly in the lower stratosphere) the cloud top height is estimated, and their statistics are compared and documented over different ocean basins and latitudes. Analyzing TCs influence in the upper troposphere and lower stratosphere (UTLS) region is a meaningful topic, and use of GPS RO data is very suitable for the purpose. Also, considering limited observation in TCs, utilizing temperature anomaly as a signature of deep convection (and overshooting) seems a reasonable approach, and the regional difference of TCs' overshooting convection is analyzed with a reasonably long record. The paper is generally well written, and recommended for publication in ACP.

>>> We thank the reviewer for the thorough review of our paper and for the helpful additional comments. Please find below our point by point response (in italics).

I have several minor comments and possible suggestions for the authors:

Specific comments (minor):

1. The major assumption here is the minimum temperature anomaly in the lower stratosphere (LS) corresponds with convective cloud top. The cold anomaly in the LS may not solely due to direct effect overshooting convection. The cold anomaly could also be formed by a large-scale dynamical response to latent heating below (e.g., Randel et al. 2003; Holloway and Neelin 2007), in this case, the cold anomaly is not necessarily correspond to convective cloud top. Although a reasonable agreement between these two properties are shown in Biondi et al. (2013) using CALIOP measurement (with limited number of profiles), additional discussion or physical reasoning on "robustness of this assumption" will be helpful for the future use (may be in the first paragraph of section 4.1).

>>> Biondi et al., 2011, 2012 and 2013 have already demonstrated and described the minimum temperature anomaly corresponding to the cloud top. We agree that the cold anomaly may not always be due to convection and that some uncertainties could be introduced by other phenomena. As suggested by the reviewer we added the following sentence in the first paragraph of section 4.1: "However, we note possible uncertainties regarding the cooling signature which may also be due to the presence of large-scale dynamical response to latent heating below the cold anomaly (Randel et al., 2003; Holloway and Neelin, 2007) or gravity waves originated by the TC (Tsuda et al., 2000; Kiladis et al., 2001; Kim and Alexander, 2015)."

2. The warm anomaly over Hcoldest and the double tropopause-like temperature anomaly in Figure. 6 could be a gravity wave signature (Kelvin wave, inertio-gravity wave; Tsuda

et al 2000; Kiladis et al 2001) in the UTLS. Because gravity waves are well trapped in the deep tropics, the wave-like signal may larger in the tropical profiles compared to extra-tropical profiles.

>>> We agree with the reviewer, and this is one of the uncertainties that we must take into account in such kind of studies. In Fig.6 we show mean temperature anomaly profiles, results of the average of hundreds (or thousands) of single profiles showing a double tropopause feature. Some of them could be due to gravity waves, but due to the fact that we are analyzing GPS RO co-located with TC most of the anomalies must be generated by the TC cloud top or by the two effects reinforcing each other (Randel et al., 2003). The discrimination of double tropopauses due to gravity waves is a topic of future work with the aim to include it in the algorithm for detecting the TC cloud tops.

3. In section 4.2, second paragraph proposes a mechanism of double tropopause formation. It is difficult to follow authors' interpretation because no actual double tropopause analysis is found in the manuscript, and the mechanism is different from the subtropical double tropopause formation (Rossby wave breaking and near-horizontal mixing in the subtropics; e.g., Pan et al. 2009; Wang and Polvani 2011). Further explanation and difference from the previously known mechanism would be beneficial.

>>> As reported above, In Fig.6 we show the mean temperature anomaly profiles, results of the average of hundreds (or thousands) of single profiles. The single profiles show the double tropopause, but they are all at different altitudes and the average smooths this variation. However, we decided to report also the panel b) explicitly for showing that the double tropopause is evident when we have a small number of samples (i.e. 3 samples for TC category 5). The detection of double tropopauses originated by the TC is not the objective of this paper since already reported in Biondi et al., 2011. In this manuscript we just use it as a tool for distinguishing different ocean basins characteristics and for detecting possible overshootings.

The overshooting is present when the tropospheric air is transported by the convection into the stratosphere and it remains there due to the stratospheric stability. We do not want to exclude that the tropopause uplift can create an overshooting: with a few hundred cases compared with CALIOP backscatter in the past (Biondi et al., 2012 and Biondi et al., 2013) we have never seen a temperature inversion associated with cloud top altitude higher than local tropopause. Thus we think that one possible explanation could be that the strong convection locally moves the tropopause upward (Fig. 1 below), creating a relatively small bubble where the tropospheric air ascends to stratospheric altitudes (Fig. 2 below). Once the storm is gone, the previous conditions are re-established, the air is trapped at stratospheric levels (Fig. 3 below) and moves laterally. Of course this depends on the stability and time scales so the process can be either stable or not, but it is a necessary condition for having an overshooting.



Figure 1. The double tropopause during convection: the lowest temperature inversion corresponds to the cloud top and the highest temperature inversion corresponds to the tropopause.



Figure 2. Temperature profile and tropopause altitude when the convection reaches the climatological tropopause altitude.



Figure 3. Once the convection is gone, the previous tropopause conditions are re-established.

Technical comments:

P.29400 L11: monthly mean => monthly climatology? >>> Done

P.29401 L9: SD is used without definition >>> Done

P.20702 L3-4: Hcoldest_std, Hcoldest_std+1 => Hcoldest, or condition 1: Hcoldest > Hmm_trop + Hmstd_trop condition 2: Hcoldest > >>> Done

P.29403 L13: Since there is not any good => Since there is not enough >>> Done

P.29403 L19: below => above? >>> We confirm it is actually below, we refer to the inversion creating the coldest point

P.29403 L21: the minimum temperature => the minimum temperature (Hcoldest) ? >>> Done

P.29404 L5: about 3 K (to my eyes it is 2-2.5 K) >>> We have corrected the value to 2.5 K

P.29405 L17-19: Need to clarify >>> We have already replied to this in the specific comments. We added to this sentence the reference to Biondi et al., 2012 and Biondi et al., 2013. P.29407 L 4-11: Figure 11 only has description, but no discussion on it.

>>> Fig.11 is just an example showing how the algorithm works, the discussion of Tab.3 is also related to this Figure. We added the following short description:

"The distribution over the year shows that storms occur from April to December over the Western Pacific at 0°N to 20°N and mainly from July to October at 20°N to 40°N. Overshootings are found in each investigated latitude zone when storms occur. Hardly any overshootings are found from July to September in the Tropics (0° to 20°N)."

P.29407 L27: The sentence "A double tropopause characterizes a storm: : :" needs a proof (or supporting reference)

>>> we added the references to Biondi et al., 2011. As reported above, an example of the evidence is the temperature anomaly profile of TC category 5 in Fig.6

P.29407 L27: does "convection dynamics" means "gravity wave response?" >>> Convection dynamics in this case refers to the uplift of the tropopause in presence of strong convection. In some cases the dynamic could be due to the gravity waves as reported in the previous comments.

P.29408 L3: The sentence "overshooting will overpass the climatological tropopause more deeply at extra-tropical latitude" doesn't supported by analysis. >>> We referred the sentence to Tab.3 which clearly shows it corresponds to our results.

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Interactive comment on "A characterization of thermal structure and conditions for overshooting of tropical and extratropical cyclones with GPS radio occultation" by R. Biondi et al.

Anonymous Referee #3

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>>> We thank the reviewer for the thorough review of our paper and for the helpful additional comments. Please find below our point by point response (in italics).

There are 5 issues that I have flagged as requiring major revisions. I do not think any of these issues will be particularly difficult to address, but they should be resolved before this paper is published. The 5 issues are as follows:

 overshooting is defined by equations 2 and 3, but the variables on the left-hand sides of those equations are not defined. (What is Hcoldest_std? What is Hcoldest_std+1?). After the equation, it says that these variables are "considered to be" some things, but those are not definitions. The best I can do is to interpret this as sloppiness and assume that both should be Hcoldest. Then, when Hcoldest satisfies equation 2, I can "consider it to be indicative of" one thing, and when Hcoldest satisfies equation 3, I can "consider it to be indicative of" another thing.

>>> We corrected this and replaced $H_{coldest_{std}}$ and $H_{coldest_{std+1}}$ with $H_{coldest}$ which is now defined as "the height of the lowest anomaly minimum ($H_{coldest}$) between 10 and 22 km". Equations (2) and (3) and the explaining text now read as follows:

| $H_{coldest} > H_{mm_{trop}} + H_{mstd_{trop}}$ | (2) |
|--|-----|
| $H_{coldest} > H_{mm_trop} + H_{mstd_trop} + 1.0 \ km$ | (3) |

"where 1.0 km is the uncertainty for TC cloud top altitude detection using GPS RO as estimated by Biondi et al. (2013) from analysis with co-located lidar data. If $H_{coldest}$ satisfies Eq. (2) it is considered to be indicative of possible overshooting when the lowest anomaly minimum (the cloud top) overpasses the tropopause monthly mean altitude plus its standard deviation. Eq. (3) defines an even more robust condition, where $H_{coldest}$ is considered to be indicative of possible overshooting when the lowest anomaly minimum (the cloud top) overpasses the tropopause monthly mean altitude plus its standard deviation plus the 1 km uncertainty margin."

2. Assuming my interpretation is correct, equation 2 defines an overshooting event as the height of the minimum temperature anomaly being higher than the mean tropopause height plus one s.d. of the tropopause height. (This s.d. is ambiguous in the text, but I assume this is the s.d. of the year-to-year monthly means at that location. This should be clarified.) Defined in this way, we might expect "overshooting" to be detected in 16% of all cases, even without tropical cyclones (if the tropopause heights are normally distributed, then the tail outside 1 s.d. contains 16% of the probability). Equation 3 is perhaps better, but it is difficult for me to quantify the affect of the RO measurement error. (As a side note, the uncertainty of "0.996 km" is silly. Is the uncertainty really

known to 3 significant digits? Even if yes, is that 4 meters so important that we could not call this 1 km?) In the end, though, the precise definition of overshooting might not matter a great deal if the goal is to compare relative overshooting frequencies between basins and different categories of TCs.

>>> We clarified the standard deviation of the monthly mean tropopause altitude, the relevant paragraph in section 3.2 now reads:

"For monitoring possible overshooting conditions during a storm we computed the height of the lowest anomaly minimum ($H_{coldest}$) between 10 and 22 km of altitude for each $T_{anomaly}$ profile (Biondi et al. 2013), the monthly mean tropopause altitude (H_{mm_trop}) of the respective month and area (section 3.1), and the corresponding standard deviation of the monthly mean tropopause altitude (H_{mstd_trop}). We used the multi-annual standard deviation estimate for each month of the year here (e.g., October 2001 to 2012 data for October; sensitivity testing showed that using standard deviation estimates for individual months leads to essentially the same results)."

We agree with the reviewer that the 3 digits are not significant; we reported the value of 0.996 just for being consistent with the cited paper. We have replaced 0.996km with 1.0 km now. We also included an explanation in the text after Eq. (3):

"...where 1 km is the uncertainty for TC cloud top altitude detection using GPS RO as estimated by Biondi et al. (2013) from analysis with co-located lidar data. The uncertainty occurs mainly due to the finite resolution of RO data (see section 2.2), and also due to co-location uncertainty, whereas the RO geopotential height and hence altitude allocation error is only about 10 m in the troposphere and around the tropopause within the 50°S and 50°N latitude band of interest here (Scherllin-Pirscher et al., 2011)."

3. I am encouraged to see events referred to as "possible overshooting", which emphasizes the fact that these may not be true overshooting events. But, I am still left with some unease over the uncertainty as to what these events are. It was Romps and Kuang who noted the possibility that large-scale lifting of the tropopause by TCs – as opposed to convective overshoots – might be responsible for the anomalously cold temperatures. Can RO be used to distinguish between these two possibilities, perhaps when used in concert with some other instrument?

>>> This study is a statistical analysis for understanding the capabilities of GPS RO for the detection of overshooting. Since the reasons of double tropopause can be various (e.g. gravity waves, large scale lifting,...) creating some unknown uncertainties, we plan to deepen the analysis increasing the number of co-location with lidars (satellite and ground based) in the near future. The lidars will be used just for detecting the cloud top altitude and the GPS RO just for detecting the tropopause altitude. The idea is to distinguish (i) cases with one single tropopause and the cloud top is higher than the tropopause level (overshooting), (ii) cases with the cloud top corresponding with the secondary tropopause (overshooting) and (iii) cases with cloud top altitude corresponding to the primary tropopause (tropopause uplift and possible overshooting). The overshooting is present when the tropospheric air is transported by the convection into stratosphere and it remains there due to the stratospheric stability. We do not want to exclude that the tropopause uplift can create an overshooting: with a few hundred cases compared with CALIOP backscatter in the past (Biondi et al., 2012 and Biondi et al., 2013) we have never seen a temperature inversion associated with cloud top altitude higher than local tropopause. Thus we think that one possible explanation could be that the strong convection locally moves the tropopause upward (Fig. 1 below) creating a relatively small bubble where the tropospheric air ascends to stratospheric altitudes (Fig. 2 below). Once the storm is gone, the previous conditions are re-established, the air is trapped at stratospheric levels (Fig. 3 below) and moves

laterally. Of course this depends on the stability and time scales so the process can be either stable or not, but it is a necessary condition for having an overshooting.



Figure 1. The double tropopause during convection: the lowest temperature inversion corresponds to the cloud top and the highest temperature inversion corresponds to the tropopause.



Figure 2. Temperature profile and tropopause altitude when the convection reaches the climatological tropopause altitude.



Figure 3. Once the convection is gone, the previous tropopause conditions are re-established.

4. Part of the methodology was unclear to me. RO measurements are associated with a TC if they occur "in a time window of 6 hours and a space window of 600 km" with respect to the TC center. Why is such a strange criterion used? At the time of the RO measurement, the TC center is physically located somewhere. Why not associate an RO measurement with a TC if it is within a certain distance of the TC center at the time of the RO measurement? It seems it would be simple to linearly interpolate the TC positions to the time of the RO measurement, thereby requiring only a distance threshold. I do not think this will have much impact on the results – in 6 hours with a 5-m/s translation speed, the TC would move 100 km, which is small compared to 600 km – but the criterion is strange enough that I got hung up on it as I was reading.

>>> We have used this methodology because most of the TC monitoring centers provide the best track information every 6 hours and the diameter of a TC is usually of the order of at least 600 km. This is the same conditions that we have used in previous analysis (i.e. Biondi et al., 2013) and it is the same methodology used in similar papers such as Vergados et al.(2014). We agree that the interpolation could avoid using the temporal window, but it could introduce similar uncertainties.

5. Finally, what is the horizontal footprint of the RO measurements? This information is necessary for me to understand whether these measurements could be sampling an individual cloud updraft, a collection of updrafts, or some average on the scale of the entire TC. Also, it would be helpful to give the vertical resolution.

>>> Horizontal and vertical resolution of GPS RO is not fixed. The resolution somewhat depends on the geometry of the GPS and the LEO satellites and on the processing technique, i.e., geometric optics (GO) retrieval or wave optics (WO) retrieval. The vertical resolution is about 0.5 km in the troposphere to about 1 km in the lower stratosphere for GO processed data (e.g., Kursinski et al., 1997). A higher vertical resolution is achieved for WO processed data of down to about 100 m in the lower troposphere (Gorbunov et al., 2004). The horizontal resolution

is about 1.5 km across-ray and ranges along-ray from about 60 km (WO) to 300 km (GO (Melbourne et al., 1994; Kursinski et al., 1997). In this work we use WO processed RO data in the troposphere and thus have a comparatively high resolution in the troposphere.

So, in summary, the horizontal resolution ranges from about 60 km to 300 km and the vertical resolution ranges from about 100 m in the lower troposphere to about 1 km in the stratosphere. However limiting the study within a certain range of altitudes and using the coordinates of tangent points close to the cloud top feature, the uncertainties can be reduced (Vergados et al., 2014) and it is possible to analyze small structures such as TC eyewall.

We added the following text in section 2.2:

"The vertical resolution ranges from about 100 m in the lower troposphere to about 1 km in the stratosphere (Gorbunov et al., 2004; Kursinski et al., 1997). The horizontal resolution is about 1.5 km across-ray and ranges from about 60 km to 300 km along-ray (Melbourne et al., 1994; Kursinski et al., 1997)."

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A characterization of thermal structure and conditions for overshooting of tropical and extratropical cyclones with GPS radio occultation

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11 Abstract12

13 The thermal structure of Tropical Cyclones (TCs) in different ocean basins is studied 14 using Global Positioning System (GPS) Radio Occultation (RO) measurements co-located with TCs' best tracks. The objective of this work is to understand the mutual influence of TCs 15 and atmospheric parameters in different regions. We selected more than 20000 GPS RO 16 17 profiles co-located with TCs in a time window of 6 hours and space window of 600 km from 18 the TC center in the period 2001–2012 and classified them by intensity of the cyclone and by 19 ocean basin. The results show that tropical cyclones have different characteristics depending on the basin, which affects the cloud top altitude and the TC thermal structure which usually 20 21 shows a negative temperature anomaly near the cloud top altitude. In the northern hemisphere 22 ocean basins, the temperature anomaly becomes positive above the cloud top while in the 23 southern hemisphere ocean basins it stays negative up to about 25 km of altitude.

24 Furthermore, in the southern hemisphere the storms reach higher cloud top altitudes 25 than in the northern hemisphere ocean basins, indicating that possible overshootings overpass 26 the climatological tropopause more deeply at extratropical latitudes. The comparison of the 27 TC thermal structure with the respective monthly mean tropopause altitude allows a detailed 28 analysis of the probability for possible overshooting. While the co-locations between GPS 29 ROs and TC tracks are well distributed in all the ocean basins, conditions for possible 30 overshootings are found to be more frequent in the southern hemisphere basins and in the 31 North Indian ocean basin. However, the number of possible overshootings for high intensity 32 storms (i.e. TC categories 1-5) is highest in the West Pacific ocean basin.

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1 Introduction

Tropical cyclones are destructive events causing every year many deaths, injuries and damage to human property and landscape. They are the natural catastrophes accounting for the major economic losses in several countries including the US (Pielke et al., 2003; Emanuel, 39 2005). So far studies on tropical cyclones are not able to detect clearly trends in the frequency
40 and intensity of these phenomena nor to understand what impact climate change could have
41 on them (Landsea et al., 2006; Emanuel et al., 2008; Emanuel, 2013; Kunkel et al., 2013).
42 However it is predicted that major economic losses due to tropical cyclones may be doubled
43 in the future (Mendelsohn et al., 2013).

44 TCs hit whatever they find on their way without distinction of poor or rich countries.
45 Recently the landfall of hurricane Sandy was considered one of the most destructive events in
46 US east coast history (Halverson et al., 2013) while typhoon Haiyan created a devastating
47 tragedy in the Philippines (Chiu, 2013).

We are nowadays able to predict the track of TCs (100–200 km error) with good accuracy within 12 to 24 hours (Goerss, 2000; Chandan et al., 2012), but we are still far from forecasting the intensity of the storm (Emanuel, 1999; De Maria et al., 2005; Lin et al., 2013) and understanding its development (Montgomery et al., 2012).

Satellite measurements have drastically improved the TC forecast (e.g., Dvorak, 1975)
and monitoring accuracy (Brueske and Velden, 2003; Demuth et al., 2004; Velden et al.,
2006) by using different remote sensing instruments on meteorological and research satellites.
Further progress was made in the last decade by the Global Positioning Systems (GPS) Radio
Occultation (RO) technique (e.g., Huang et al., 2005).

Wong and Emanuel (2007), Luo et al. (2008) and Vergados et al. (2013) demonstrated that there is a connection between the cloud top height and cloud top temperature with the intensity of the storm. Biondi et al. (2012; 2013) showed a correlation between the cloud top altitude and the storm's thermal structure. The knowledge of the thermal structure gives important information on the cloud top height and this entails a better understanding of atmospheric circulation and troposphere-stratosphere transport, which are still poorly understood (Danielsen, 1993; Folkins and Martin, 2005).

64 The measurement of atmospheric parameters (such as temperature) with high vertical resolution and accuracy at the tropopause level is difficult especially during severe weather 65 66 events (e.g TCs). Polar-orbiting satellites in low-Earth orbit do not provide suitable temporal and spatial (vertical and horizontal) resolution to study mesoscale weather phenomena. 67 68 Geostationary satellites have excellent horizontal and temporal resolution for this purpose, but lack precise vertical discrimination, and offer little information about the tropical or 69 70 subtropical tropopause. Ground-based measurements are too sparse and often not reliable in the Upper Troposphere and Lower Stratosphere (UTLS). 71

72 Many studies have been conducted to determine the altitude of the storm cloud top 73 height using satellite instruments and different techniques (Knibbe et al., 2000; Koelemeijer et 74 al, 2002; Poole et al., 2002; Platnik et al., 2003; Minnis et al., 2008; Chang et al., 2010; 75 Biondi et al., 2013), but the results depend strongly on the physical retrieval method and on 76 the satellite data used (Sherwood et al., 2004), with errors ranging from about 400 m (Biondi 77 et al., 2013) for a selected number of cases to 3 km (Chang et al., 2010). Some other studies 78 have analyzed the UTLS during TCs using limb sounding measurements such as AIRS and 79 MLS with a vertical resolution of 2 km to 3 km (Ray and Rosenlof, 2007).

The GPS RO technique (Kursinski et al., 1997; Anthes, 2011; Steiner et al., 2011) allows for the estimation of atmospheric temperature in remote areas and during extreme weather events with global coverage and high vertical resolution and accuracy (Steiner et al., 2013), avoiding temperature smoothing issues in the UTLS (given by microwave and infrared radiometers) and improving the poor temporal and spatial coverage given by satellite lidars, radars, and balloon soundings.

The objective of this study is to analyze the thermal structure of TCs by using RO measurements for different storm intensities and different ocean basins where TCs develops. We aim to show that the RO measurements are well suited for studying severe storms and for evaluating the storms' contribution to the atmospheric circulation (Pommereau and Held, 2007; Corti et al., 2008; Romps and Kuang, 2009).

In section 2 we describe the datasets used, in section 3 we give a description of the methodology, and in section 4 we describe the results obtained analyzing all the RO profiles co-located with TCs. In the final section, we report the conclusions highlighting the possible future developments and applications.

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2 Data description

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2.1 Tropical cyclones best tracks

We have downloaded the TCs best tracks from the International Best Track Archive for Climate Stewardship (IBTrACS, http://www.ncdc.noaa.gov/ibtracs/) (Knapp et al., 2010) in netCDF format. IBTrACS is a complete archive containing information about TCs all around the world combining the data acquired by several agencies responsible for different ocean basins. For all the TCs the most important characteristics are reported, including: TC name, date and time of acquisition (every 3 or 6 hours depending on the agency), latitude and longitude of the TC center, source (agency data provider), wind speed (averaged over 1 minor 10 min depending on the agency) and pressure.

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2.2 GPS radio occultation temperature

111 We have used the GPS RO products level 2 (L2) (including refractivity, temperature and pressure) processed by the Wegener Center for Climate and Global Change (WEGC) 112 113 through the new Occultation Processing System (OPS) version 5.6 based on University Corporation for Atmospheric Research (UCAR) version 2010.2640 orbit and excesss phase 114 115 data (Schwaerz et al., 2013). The WEGC OPSv5.6 is based on a geometrics optics retrieval 116 combined with a wave optics retrieval in the lower and middle troposphere. A bending angle 117 optimization is performed at high altitudes with co-located short-range forecast profiles of the 118 European Centre for Medium-Range Weather Forecasts (ECMWF).

119The vertical resolution ranges from about 100 m in the lower troposphere to about 1120km in the stratosphere (Gorbunov et al., 2004; Kursinski et al., 1997). The horizontal121resolution is about 1.5 km across-ray and ranges from about 60 km to about 300 km along-ray122(Melbourne et al., 1994; Kursinski et al., 1997).

Physical temperature is retrieved based on an optimal estimation retrieval with colocated ECMWF short-term forecast profiles as background data (the latter contribute relevant information in the middle and lower troposphere). For the present study of TCs we use the OPSv5.6 physical temperature profiles.

127 From this OPSv5.6 archive we use data from the following missions: the Satélite de Aplicaciones Scientíficas C (SAC-C) from 2001 to 2011 (Hajj et al., 2004), the CHAllenging 128 129 Minisatellite Payload (CHAMP) from 2001 to 2008 (Wickert et al., 2001), the Gravity 130 Recovery And Climate Experiment A (GRACE-A) from 2007 to 2012 (Beyerle et al., 2005), 131 the Constellation Observing System for Meteorology, Ionosphere and Climate (COSMIC) 132 from 2006 to 2012 (Anthes et al., 2008). In order to have available a suitable mean reference 133 field against which anomalies can be defined, we have created a GPS RO temperature reference climatology averaging all the GPS RO profiles collected in the period 2001 to 2012 134 135 from the different missions to monthly means for a $5^{\circ}x5^{\circ}$ horizontal resolution. The climatology is finally provided at a vertical sampling grid of 100 m and at a horizontal grid 136 sampled at 1°x1° in longitude and latitude and it will be denoted in the following sections as 137 138 T_{clim}.

- 140 **3** Methods
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142 **3.1 Tropopause altitudes**

143 Tropopause altitudes were computed from individual RO temperature profiles 144 (Rieckh et al., 2014), using the lapse rate definition of the World Meteorological 145 Organization (WMO, 1957). This definition allows for finding multiple tropopauses, which 146 was a requirement for this study. The WMO states that:

- 147 1. "The first tropopause is defined as the lowest level at which the lapse rate decreases
 148 to 2° C km⁻¹ or less, provided also the average lapse rate between this level and all
 149 higher levels within 2 km does not exceed 2° C km⁻¹."
- 150 2. "If above the first tropopause the average lapse rate between any level and all higher
 151 levels within 1 km exceeds 3° C km⁻¹, then a second tropopause is defined by the
 152 same criterion as under (1). This tropopause may be within or above the 1 km
 153 layer."
- An example of tropopause altitudes as a function of latitude is shown in Fig. 1 with about 60000 cases in January 2007 (a) and in July 2007 (b): the tropopause has a seasonal variability with higher altitudes during the northern hemisphere winter (Rieckh et al., 2014).
- We finally computed <u>monthly</u> mean tropopause altitudes based on the individual tropopause altitudes for <u>monthly meanseach month</u> and <u>for</u> zonal means of 10 degree width in latitude. Cloud top altitudes were then compared to mean tropopause altitudes (plus/minus standard deviation) for the detection of possible overshootings into the stratosphere.
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2 **3.2** Tropical cyclone cloud top height

The mean GPS RO latitude and longitude tangent points were co-located with the TCs center coordinates in a time window of 6 hours and a space window of 600 km (Tab. 1), leading to more than 20000 collocation cases. The RO profiles were also sub-selected, for checking the sensitivity to selection criteria, in a shorter time window (of 3 hrs and 1 hr) and in a smaller space window of 300 km. The results were found consistent with the larger dataset (6 hours and 600 km), which we finally used in this study, allowing for a larger number of samples for more robust statistics.

We investigated different ocean basins as shown in Fig. 2: North Atlantic (NA), East
Pacific (EP), West Pacific (WP), South Pacific (SP), North Indian ocean (NI) and South

Indian ocean (SI). For any ocean basin the profiles were classified (Tab. 2) using a common
storm intensity scale (Tropical Depression, Tropical Storm, TC categories 1-5) given by the
Saffir-Simpson Hurricane wind scale.

Due to the large dimensions of a TC and its relatively slow horizontal movement, it is possible that the same RO profile is selected more than once with different temporal and spatial distances from the TC center. In these cases we have included only the co-located RO profile with the shortest delay.

For any ocean basin and for each storm category we have sampled the RO profiles around the storm center as shown in Fig. 3, where we show the distribution of GPS RO profiles within 6 h and 3 h around the center of tropical storms in the North Atlantic ocean basin. In Fig. 4 we show the distribution of the same profiles along the real tracks in latitude and longitude.

For each ocean basin and each storm category we computed the temperature anomaly ($T_{anomaly}$) of any single RO profile comparing the temperature during the storm (T_{storm}) with the local monthly mean climatology (T_{clim}) as defined in section 2.2 (i.e. in the respective 1° x 1° bin):

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 $T_{\text{anomaly}} = T_{\text{storm}} - T_{\text{clim}}$ (1)

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We finally averaged all the profiles in the same ocean basin for each storm category tobe able to compare the thermal structure characterizing the basin itself.

In all the ocean basins the TCs often move from the tropics to extra-tropical areas (especially in the North Atlantic and the West Pacific). We categorized the profiles as "tropical" between 20°S and 20°N and as "extra-tropical" beyond 20° latitude as shown in Tab. 2, for highlighting the different thermal structures with the variation of latitude.

197 For monitoring possible overshooting conditions during a storm we computed the 198 height of the lowest anomaly minimum (H_{coldest}) between 10 and 22 km of altitude for each 199 T_{anomaly} profile (Biondi et al. 2013), the tropopause monthly mean tropopause altitude 200 (H_{mm trop}) of the respective month and area (section 3.1), and the corresponding standard 201 deviation of the monthly mean tropopause monthly altitude (H_{mstd trop}) in). We used the respective area. multi-annual standard deviation estimate for each month of the year here 202 203 (e.g., October 2001 to 2012 data for October; sensitivity testing showed that using standard 204 deviation estimates for individual months leads to essentially the same results).

For robustness, we used two different references for detecting the possible overshooting conditions:

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$$H_{\text{coldest}\underline{\text{-std}}} > H_{\text{mm}_\text{trop}} + H_{\text{mstd}_\text{trop}} -$$
(2)

$$H_{\text{coldest}\underline{\text{std+1}}} > H_{\text{mm}_\text{trop}} + H_{\text{mstd}_\text{trop}} + \underline{1.0.996} \text{ km}$$
(3)

211 where 1.0.996 km is the uncertainty for TC cloud top altitude detection using GPS RO as 212 estimated by Biondi et al. (2013),(2013) from analysis with co-located lidar data. The 213 uncertainty occurs mainly due to the finite resolution of RO data (see section 2.2), and also 214 due to co-location uncertainty, whereas the RO geopotential height and hence altitude 215 allocation error is only about 10 m in the troposphere and around the tropopause within the 50°S and 50°N latitude band of interest here (Scherllin-Pirscher et al., 2011). If H_{coldest-std} 216 217 satisfies Eq. (2) it is considered to be the indicative of possible overshooting height when the 218 lowest anomaly minimum (the cloud top) overpasses the tropopause monthly mean altitude 219 plus its standard deviation. Eq. - and(3) defines an even more robust condition where 220 H_{coldest-std+1} is considered to be theindicative of possible overshooting height when the lowest 221 anomaly minimum (the cloud top) overpasses the tropopause monthly mean altitude plus its 222 standard deviation plus 0.996-the 1 km. In case of Eq. (2) the storm is supposed to likely 223 overshoot into the stratosphere when the cloud top is higher than the tropopause monthly 224 mean plus the standard deviation. In case of uncertainty margin. Eq. (3) the storm is 225 supposed to likely overshoot into the stratosphere when the cloud top is higher than the 226 tropopause monthly mean plus the standard deviation plus the uncertaintiy of 0.996 km.

227 -We have used these two different thresholds, one less and one more conservative, for 228 detecting the possible overshooting because there is still a large uncertainty in the 229 atmospheric physics community in the overshooting detection. Equation (2) should be already 230 accurate enough due to the temperature accuracy of GPS RO, but with Eq. (3) we want to take 231 into account also the uncertainty of the technique used for detecting the TC cloud top altitude 232 (Biondi et al., 2013). Since there is not any goodenough independent reference data available 233 for validating the results at this point, we report both results and do not advocate a more exact 234 definition based on our current knowledge.

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4 Results

4.1 Thermal structure

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240 The temperature anomaly during tropical cyclones usually shows a tropospheric 241 warming and a sharp inversion just below the cloud top with a cooling corresponding to the 242 cloud top altitude (Biondi et al., 2012; Biondi et al., 2013). With reference to these results, we 243 assume that the storm cloud top altitude corresponds to the minimum temperature anomaly 244 altitude in the UTLS.<u>H_{coldest}. However, we note possible uncertainties regarding the cooling</u> signature which may also be due to the presence of large-scale dynamical response to latent 245 heating below the cold anomaly (Randel et al., 2003; Holloway and Neelin, 2007) or to 246 gravity waves originated by the TC (Tsuda et al., 2000; Kiladis et al., 2001; Kim and 247 248 Alexander, 2015).

249 As shown in Fig. 5, this behaviour is in general similar for TCs in the tropical and 250 extra-tropical areas, but in the extra-tropical area the amplitudes of tropospheric warming and 251 cloud top cooling are amplified. In Fig. 5 we show as example the 84 RO profiles (69 extra-252 tropical and 15 tropical) of a TC category 2 in the North Atlantic basin. The temperature 253 anomaly profiles at the storm's location are computed relative to the monthly mean 254 temperature climatology (2001 to 2012) for the respective location (1° x 1° bin). The same 255 feature is evident in all the other ocean basins for all the categories (not shown). The mean 256 temperature anomaly for tropical profiles (green linesyellow line) reaches a maximum of 257 about 32.5 K at about 10 km of altitude and a minimum of about -2.5 K near 16 km of 258 altitude. The mean temperature anomaly for extra-tropical profiles (light blue line) shows the 259 same features but more pronounced with a maximum of about 6 K and a minimum of about -260 4 K.

Figure 6 shows mean temperature anomaly profiles for the West Pacific Ocean basin and the South Pacific Ocean basin, respectively, for all storm categories as representative of the two hemispheres. Overall during a TC, the troposphere is warmer than the climatological mean and the cloud top is colder. In the northern hemisphere above the altitude $H_{coldest}$ there is a warming in the stratosphere, which is not well present in the southern hemisphere.

266 Figure 7 gives an overview of the minimum temperature anomaly versus altitude of 267 the coldest point for all ocean basins and storm categories. Tropical Depressions (TDs) and 268 Tropical Storms (TSs) usually reach the coldest point at lower altitudes (4 basins out of 6) and 269 the TCs in categories 4 and 5 reach the coldest point at higher altitudes. No relevant 270 differences can be highlighted for the storm categories 1, 2 and 3. The coldest anomalies are 271 found in the South Pacific for all storm categories: between -8 K and -6 K for TCs, between 272 -6 K and -5 K for TSs and about -4 K for TDs. For this area, the H_{coldest} also is at higher 273 altitudes (between 17.4 km and 17.9 km) than in any other basin (Tab. 2). Temperature

anomalies over the South Indian ocean are also usually colder than in the other ocean basins (except South Pacific), with higher $H_{coldest}$. In the southern hemisphere the storms reach higher altitudes than in the northern (Tab. 2) and they also have colder cloud tops.

Another feature characteristic of storms is the double tropopause (Danielsen, 1993; Corti et al., 2008; Biondi et al., 2012; Biondi et al. 2013; Davis et al., 2014), which is visible in Fig. 6b for TC category 5 (dotted lines). This is also apparent for all the TC categories in the Northern Indian ocean basin (not shown), since the small number of cases does not smooth the double variation such as it happens for the other ocean basins and categories.

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4.2 Tropopause uplift and possible overshooting

285 The overshooting due to convective systems and TCs is an important topic for 286 understanding the atmospheric circulation and the climate changes (Pommereau and Held, 287 2007; Romps and Kuang, 2009), but it is still debated due to the difficulties of measuring 288 atmospheric parameters during severe events. Using the definition of possible overshooting 289 conditions given by Eq. (2) and Eq. (3) we compared any single anomaly temperature profile 290 with the corresponding zonal monthly mean tropopause altitude, computed for latitude bands 291 with 10 degree width, obtaining the results reported in Tab. 3, and 4. Tab. 3 reports the details 292 for each ocean basin and each storm category distinguishing between events in the tropical or 293 extra-tropical area. Tab. 4 gives a summary.

As already described in section 3.2 and following the findings of Biondi et al., 2012 and 2013), we assume that the lowest temperature anomaly minimum corresponds to the TC cloud top altitude and the cyclone's strong convection causes the local tropopause uplift. According to this theory the TC creates a double tropopause where the primary tropopause is due to the presence of the TC's cloud top and the secondary tropopause is the former tropopause which is pushed up by the convection (Biondi et al., 2012; Biondi et al., 2013).

In Tab. 3, it is evident that the number of possible overshootings obtained by using Eq. (3) is much lower (about one third) than the number obtained by using Eq. (2), as should be expected from the former threshold criterion being more conservative. However, the distribution of the possible overshootings over the ocean basins is the same (not shown), but with a reduced number of cases from Eq. (3), so the same considerations done hereafter for Tab. 3 and Fig. 8 are also valid for possible overshootings computed with Eq. (3).

Figure 8 shows the distribution map of co-locations between GPS RO and TC tracks for different intensities. Figure 9 shows the distribution map of possible overshootings 308 detected using Eq. (2). The area with the highest overshooting probability from strong 309 cyclones is found to be the Western Pacific ocean. Our results are consistent with the 310 overshooting patterns reported by Romps and Kuang (2009) with only a small difference in 311 the East Pacific ocean basin where we do not see too many overshooting conditions. The 312 comparison between Fig. 8 and Fig. 9 highlights the presence of strong cyclones in all ocean 313 basins including the North Atlantic and East Pacific ocean basins, but the occurrence of 314 possible overshootings is much lower in these basins than in the West Pacific and South 315 Indian ocean basins.

316 The results show that in general conditions for possible overshootings into the 317 stratosphere are found more often in the tropics (26.8%) than in the extra-tropics (13.5%). In 318 the southern hemisphere, possible overshootings are more frequent (38.9% of tropical cases 319 and 25% of extra-tropical cases) than in the northern hemisphere (20.2% of tropical cases and 320 9.9% of extra-tropical cases). The possible overshootings mostly come from tropical cases 321 with high intensity storms. The lowest percentage of possible overshooting conditions is 322 detected in the Eastern Pacific ocean area (6.3% for tropical cases and just 6.6% of extra-323 tropical cases). The highest percentage is detected in the Southern Pacific area with 40.9% of 324 tropical cases and 48.4% of extra-tropical cases. It is also high in the Indian ocean with 34.5% 325 and 38.3% in the northern and southern tropics, respectively, and 46.6% and 40.1% in the 326 northern and southern extratropics, respectively (in this case the number of co-locations is 327 very small). We do not give any detail on the statistics by intensity, since the number of cases 328 for higher intensities (i.e. catagories 3 to 5) is too small.

The monthly mean tropopause altitudes in the tropics between 20° S and 20° N ranges between 16 km and 17.5 km altitude depending on the season. In the extra-tropics between 20° and 30° latitude it is about 1 km lower, and exhibits higher variability. Between 30° and 40° latitude, the tropopause altitude ranges from 11 km to 15 km (Fig. 1).

Figure 10 shows the difference between the cloud top altitude and the corresponding monthly mean tropopause (also reported in Tab. 3). The highest percentage of cases with differences larger than 3 km is detected for extra-tropical cases in the South Indian ocean basin. In general, in the North Atlantic and East Pacific ocean basins the cloud top altitudes do not overpass the tropopause by more than a few hundred meters (green dots in Fig. 10).

Figure 11 shows, in a statistical summary view, an example of possible overshooting detection results in the Western Pacific ocean basin for TSs at different latitudes (0°-20°; 20°- 30° ; 30° - 40°). The blue line is the monthly mean tropopause altitude from GPS RO, the shaded cyan area is the tropopause altitude plus the standard deviation.^o), as reported in Tab. 342 3. The magenta stars into the shaded area, according to the Eq. (2) and Eq. (3), denote the storm cloud top altitudes not overshooting into the stratosphere, the magenta stars into the 343 344 white area are accounted as possible overshooting according to Eq. (2), and the green stars are 345 accounted as possible overshooting according to the Eq. (3), reported in Tab. 3.Eq. (3). The 346 distribution over the year shows that storms occur from April to December over the Western 347 Pacific at 0°N to 20°N and mainly from July to October at 20°N to 40°N. Overshootings are 348 found in each investigated latitude zone when storms occur. Hardly any overshootings are 349 found from July to September in the Tropics (0° to 20° N).

5 Conclusions

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The thermal structure of TCs in different ocean basins, and the conditions for possible overshooting of cloud tops into the stratosphere, were investigated based on GPS RO measurements. The results indicate that the effects of TCs on the UTLS should be studied in connection to the ocean basin where they develop, since their thermal structure is clearly connected to the basin. In particular, basins in the northern and southern hemisphere show a different thermal structure:

In the southern hemisphere, storms reach higher altitudes and the cloud top is colder than in the northern hemisphere. The temperature anomaly above the cloud top becomes positive in northern hemisphere ocean basins while it stays negative up to about 25 km of altitude in the southern hemisphere ocean basins. The reason of this warming above the storm cloud top in the northern hemisphere is not clear yet and is a topic of further investigations.

A double tropopause characterizes a storm, (Biondi et al., 2012; Biondi et al., 2013), which is evident in all the ocean basins for all storm intensities (not shown) and can be definitely defined as a feature reflecting the high-altitude convection dynamics. Comparisons between the monthly mean tropopause altitude and the storm cloud top altitude indicate a significant fraction of possible overshootings. Results show that the possible overshootings will overpass the climatological tropopause more deeply at extra-tropical latitudes; (Tab. 3), where the tropopause is lower, but there is no clear tendency connected to specific basins.

While the co-locations between GPS ROs and TC tracks for all the intensities are well distributed in all the ocean basins, conditions for overshooting occur more frequently in the southern hemisphere and in the North Indian ocean basin. However, the number of possible overshootings for high intensities (i.e., TC categories <u>lto1 to</u> 5) is higher in the West Pacific ocean basin. In this area, conditions for overshooting are found for a percentage of 30% to 50% of the cyclones, especially within tropical latitudes. 377 We have demonstrated that the GPS RO technique is very well suited for monitoring 378 and understanding the TCs thermal structure and its contribution to the atmospheric 379 circulation through possible overshootings into the stratosphere. With the actual RO missions 380 we are not able to fully monitor all TCs with high temporal resolution. Currently the number 381 of RO profiles is decreasing due to the degradation of Formosat-3/COSMIC. In the near future several new missions are planned (e.g., COSMIC-2, MetOp-C, PAZ and GEROS), and 382 383 with the support of new Global Navigation Satellite System (GNSS) constellations (e.g., the 384 European Galileo) and the availability of the Russian Global'naya Navigatsionnaya 385 Sputnikovaya Sistema (GLONASS) we maybe may be able to adequately monitor all TCs.

To date the number of GPS ROs is about 2500 per day, but with the new mission COSMIC-2, for example, the coverage will increase to more than 10000 per day and the density of profiles in the tropics will be higher due to a lower -inclination of six of the twelve planned COSMIC-2 satellites. This will definitely be an advantage for the study of TCs.

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- 572

| Distance from the TC center [km] | Number of RO |
|----------------------------------|--------------|
| 0-30 | 47 |
| 30-100 | 503 |
| 100-200 | 1579 |
| 200-300 | 2603 |
| 300-400 | 3674 |
| 400-500 | 4949 |
| 500-600 | 7223 |

- 575 Table 1. Number of RO profiles co-located with TCs within increasing distance from the
- 576 center of the TC.

577 578

| | TD | TS | Cat1 | Cat2 | Cat3 | Cat4 | Cat5 |
|----|------|------|------|------|------|------|------|
| NA | 15.4 | 15.5 | 15.9 | 16 | 16 | 16.2 | 14.4 |
| WP | 15.6 | 16.3 | 16.3 | 16.4 | 16.4 | 16.5 | 17.2 |
| EP | 15.6 | 15.7 | 15.7 | 15.8 | 16 | 15.9 | 15.1 |
| SP | 17.8 | 17.7 | 17.8 | 17.4 | 17.6 | 17.4 | 17.9 |
| NI | 16.6 | 16.6 | 17.7 | 16.3 | 17.5 | 17.4 | 17 |
| SI | 17.5 | 17.9 | 17.4 | 17.7 | 17.3 | 16.7 | 17.8 |

- 579 Table 2. Mean altitude (in km) of the lowest coldest point of temperature anomaly profiles for
- 580 different ocean basins and different storm intensities. The southern hemisphere ocean basins 581 are marked in *italic*.

582 NA=North Atlantic; WP=West Pacific; EP=East Pacific; SP=South Pacific; NI=North Indian;

583 SI=South Indian.

584 TD=Tropical Depression; TS=Tropical Storm; Cat1=Tropical Cyclone Category-1;

- 585 Cat2=Tropical Cyclone Category-2; Cat3=Tropical Cyclone Category-3; Cat4=Tropical
- 586 Cyclone Category-4; Cat5=Tropical Cyclone Category-5.

| TD | | TS | | | Cat1 | | Cat2 | | Cat3 | | | Cat4 | | | Cat5 | | | | | | | |
|----|------------------------|-----|-------------|---------|------|-------|-----------|-----|-----------|---------|-----|--------------|---------|-----|-----------|---------|-----|-----------|---------|-----|--------|---------|
| | | | o overshoot | | No | overs | rshoot No | | overshoot | | No | No overshoot | | No | overshoot | | No | overshoot | | No | overs | noot |
| | | 000 | [%] | [km] | 000 | [%] | [km] | 000 | [%] | [km] | 000 | [%] | [km] | 000 | [%] | [km] | 000 | [%] | [km] | 000 | [%] | [km] |
| | tropical | 267 | 8/4 | 2.1/3.1 | 285 | 7/2 | 1.8/3.6 | 57 | 2/0 | 1.3/0.0 | 15 | 7/0 | 0.8/0.0 | 8 | 0/0 | 0.0/0.0 | 27 | 15/4 | 2.2/6.0 | 3 | 0/0 | 0.0/0.0 |
| NA | extra ₂₀₋₃₀ | 255 | 12/5 | 2.5/3.6 | 395 | 7/3 | 2.4/3.6 | 121 | 4/0 | 1.3/0.0 | 53 | 9/0 | 1.4/0.0 | 37 | 5/3 | 2.8/4.7 | 26 | 12/0 | 1.1/0.0 | 2 | 0/0 | 0.0/0.0 |
| | extra ₃₀₋₄₀ | 194 | 9/4 | 3.3/4.8 | 537 | 13/6 | 3.4/4.8 | 163 | 16/7 | 3.7/5.2 | 16 | 10/0 | 1.9/0.0 | 4 | 0/0 | 0.0/0.0 | 3 | 0/0 | 0.0/0.0 | 0 | 0/0 | 0.0/0.0 |
| | tropical | 625 | 22/9 | 1.9/3.0 | 411 | 32/9 | 1.6/2.9 | 140 | 36/9 | 1.3/2.5 | 66 | 50/14 | 1.7/3.0 | 46 | 35/6 | 1.4/2.0 | 70 | 30/6 | 1.3/2.9 | 28 | 50/4 | 1.1/3.1 |
| WP | extra ₂₀₋₃₀ | 350 | 22/8 | 2.2/3.4 | 459 | 31/10 | 2.0/3.2 | 174 | 32/10 | 1.9/2.9 | 90 | 22/6 | 2.0/2.7 | 64 | 17/5 | 1.8/2.8 | 56 | 21/2 | 1.3/2.0 | 13 | 69/23 | 1.7/2.8 |
| | extra ₃₀₋₄₀ | 102 | 34/16 | 3.1/4.2 | 261 | 33/11 | 2.7/3.9 | 48 | 37/10 | 2.5/3.6 | 19 | 37/21 | 2.7/3.3 | 5 | 20/0 | 1.8/0 | 2 | 50/0 | 1.6/0.0 | 0 | 0/0 | 0.0/0.0 |
| | tropical | 668 | 6/1 | 1.3/3.3 | 415 | 6/1 | 1.6/3.8 | 100 | 5/2 | 1.6/2.7 | 40 | 3/0 | 1.5/0.0 | 38 | 13/5 | 1.8/2.6 | 35 | 14/9 | 1.8/2.2 | 4 | 0/0 | 0.0/0.0 |
| EP | extra ₂₀₋₃₀ | 278 | 4/1 | 2.0/3.8 | 205 | 8/1 | 1.5/2.8 | 54 | 7/0 | 1.2/0.0 | 28 | 14/4 | 1.8/3.5 | 11 | 0/0 | 0.0/0.0 | 6 | 0/0 | 0.0/0.0 | 0 | 0/0 | 0.0/0.0 |
| | extra ₃₀₋₄₀ | 10 | 30/10 | 4.5/8.4 | 2 | 50/50 | 3.5/3.5 | 0 | 0/0 | 0.0/0.0 | 0 | 0/0 | 0.0/0.0 | 0 | 0/0 | 0.0/0.0 | 0 | 0/0 | 0.0/0.0 | 0 | 0/0 | 0.0/0.0 |
| | tropical | 214 | 38/15 | 1.7/2.6 | 156 | 41/8 | 1.4/2.6 | 49 | 55/18 | 1.4/2.2 | 14 | 43/7 | 1.2/1.7 | 11 | 27/0 | 1.2/0.0 | 13 | 31/8 | 1.2/2.0 | 3 | 100/33 | 1.7/3.4 |
| SP | extra ₂₀₋₃₀ | 78 | 37/13 | 1.9/2.7 | 153 | 48/14 | 1.8/2.6 | 30 | 73/23 | 1.8/2.6 | 21 | 62/5 | 1.7/3.3 | 9 | 56/11 | 1.5/2.1 | 6 | 50/0 | 1.5/0.0 | 0 | 0/0 | 0.0/0.0 |
| | extra ₃₀₋₄₀ | 11 | 64/9 | 3.1/3.7 | 77 | 55/25 | 3.0/3.6 | 1 | 0/0 | 0.0/0.0 | 0 | 0/0 | 0.0/0.0 | 0 | 0/0 | 0.0/0.0 | 0 | 0/0 | 0.0/0.0 | 0 | 0/0 | 0.0/0.0 |
| | tropical | 176 | 34/11 | 1.5/2.3 | 67 | 34/12 | 1.6/2.5 | 7 | 57/0 | 1.1/0.0 | 1 | 0/0 | 0.0/0.0 | 2 | 50/0 | 1.0/0.0 | 5 | 40/0 | 1.2/0.0 | 3 | 0/0 | 0.0/0.0 |
| NI | extra ₂₀₋₃₀ | 97 | 46/20 | 2.4/3.4 | 29 | 48/24 | 2.4/3.4 | 3 | 67/0 | 2.2/0.0 | 0 | 0/0 | 0.0/0.0 | 1 | 0/0 | 0.0/0.0 | 1 | 0/0 | 0.0/0.0 | 1 | 0/0 | 0.0/0.0 |
| e | extra ₃₀₋₄₀ | 1 | 100/100 | 4.0/4.0 | 0 | 0/0 | 0.0/0.0 | 0 | 0/0 | 0.0/0.0 | 0 | 0/0 | 0.0/0.0 | 0 | 0/0 | 0.0/0.0 | 0 | 0/0 | 0.0/0.0 | 0 | 0/0 | 0.0/0.0 |
| | tropical | 811 | 37/14 | 1.7/2.6 | 496 | 40/11 | 1.6/2.5 | 101 | 39/15 | 1.8/2.6 | 55 | 44/20 | 1.6/2.1 | 52 | 42/12 | 1.7/3.3 | 18 | 39/0 | 1.2/0.0 | 1 | 100/0 | 0.9/0.0 |
| SI | extra ₂₀₋₃₀ | 352 | 35/17 | 2.3/3.1 | 335 | 41/18 | 2.3/3.1 | 52 | 42/21 | 2.1/2.6 | 31 | 48/10 | 1.7/2.2 | 33 | 36/18 | 2.0/2.5 | 2 | 50/0 | 2.2/0.0 | 0 | 0/0 | 0.0/0.0 |
| | extra ₃₀₋₄₀ | 37 | 57/35 | 3.9/4.7 | 139 | 60/37 | 3.5/4.1 | 2 | 50/0 | 3.0/0.0 | 0 | 0/0 | 0.0/0.0 | 1 | 0/0 | 0.0/0.0 | 0 | 0/0 | 0.0/0.0 | 0 | 0/0 | 0.0/0.0 |

587 Table 3. Total number of RO profiles (No occ) co-located with storms of different intensities, selected by ocean basin. Columns denoted

⁵⁸⁸ "overshoot" give the number of possible overshootings in percent and the mean altitude difference between the storm cloud top and the

589 corresponding monthly mean tropopause computed with Eq. (2) / Eq.(3). Acronyms are the same as in Table 2; see that caption for explanation.

| | | Total | Percentage | | | |
|----------------|----------|-------|-------------|--|--|--|
| North Atlantic | tropical | 662 | 7.2 (2.7) | | | |
| | extra | 1806 | 10.7 (4.4) | | | |
| West Pacific | tropical | 1386 | 29.2 (8.9) | | | |
| | extra | 1643 | 28.8 (9.7) | | | |
| East Pacific | tropical | 1300 | 6.3 (1.3) | | | |
| | extra | 594 | 6.6 (1.5) | | | |
| South Pacific | tropical | 460 | 40.9 (12.4) | | | |
| | extra | 399 | 48.4 (15.8) | | | |
| North Indian | tropical | 261 | 34.5 (10.7) | | | |
| | extra | 133 | 46.6 (20.3) | | | |
| South Indian | tropical | 1534 | 38.3 (12.8) | | | |
| | extra | 1039 | 40.1 (19.6) | | | |
| Northern | tropical | 3609 | 20.2 (6.2) | | | |
| Hemisphere | extra | 7785 | 9.9 (3.5) | | | |
| Southern | tropical | 1994 | 38.9 (12.6) | | | |
| Hemisphere | extra | 2438 | 25.0 (10.9) | | | |
| Tropical | | 5603 | 26.8 (8.5) | | | |
| Extra-tropical | | 10223 | 13.5 (5.3) | | | |

599 Table 4. Summary of Tab. 3, reporting the percentage of tropical and extra-tropical cases

600 binned into ocean basins, hemispheres, and tropics/extratropics. The column "Percentage"

for reports the percentage of possible overshootings computed with Eq. (2) and within brackets

602 the percentage of possible overshootings computed with Eq. (3).

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608 609 Figure 1. Exemplary tropopause altitude distribution vs latitude during the northern

610 hemisphere winter (a) and summer (b).



- 612 613
- Figure 2. Illustration of TC tracks (background from Wikipedia) for ocean basins: North
- 614 Atlantic (red), East Pacific (magenta), West Pacific (green), South Pacific (cyan), North
- 615 Indian ocean basin (blue), and South Indian ocean basin (white).





616 617 Figure 3. Exemplary distribution of GPS RO profiles within 6 h (red circles) and 3 h (blue

dots) around the center of a tropical storm in the North Atlantic ocean, within a spatial 618 619 window of 600 km from the center.





620 621 Figure 4. Exemplary distribution of GPS RO profiles in a time window of 6 h and spatial 622 window of 600 km along 135 tropical storm tracks in the North Atlantic ocean basin.



Figure 5. RO temperature anomaly profiles during TC category 2 in the North Atlantic basin. 625 In red the tropical profiles, in blue the extra-tropical profiles, in greenvellow the mean 626 anomaly of tropical profiles, in light blue the mean anomaly of extra-tropical profiles, in black 627 the mean of all the profiles, and dashed black the mean plus/minus the standard deviation. 628





- 631 Pacific Ocean and (b) South Pacific Ocean. Numbers in brackets denote the numbers of observations.
- 632
- 633





634 635 Figure 7. Temperature anomaly versus altitude of coldest point for different ocean basins and 636 different storm intensities. The colors denote different basins. The circle size denotes different 637 intensities and increases with intensity, from the smallest to the biggest, in the following 638 order: TD - TS - Cat1 - Cat2 - Cat3 - Cat4 - Cat5. The numbers represent the case number 639 used for the analyses. 640



642 Figure 8. Distribution map of GPS RO co-located with storms of different categories: tropical

643 depression (yellow), tropical storm (green), tropical cyclone categories 1 and 2 (red) and tropical cyclone categories 3 to 5 (magenta). 644

- 645
- 646





Figure 9. Distribution of possible overshootings for different storm categories: tropical

depression (yellow), tropical storm (green), tropical cyclone categories 1 and 2 (red) and tropical cyclone categories 3 to 5 (magenta).



654 Figure 10. Distribution of the difference between the cloud top altitude and the tropopause altitude for all the GPS RO profiles co-located with TC best tracks.



- 663 Figure 11. Monthly mean tropopause altitude (solid line) and standard deviation (light-blue
- shaded area) for different latitude zones (a) $0^{\circ}-20^{\circ}$, (b) $20^{\circ}-30^{\circ}$, and (c) $30^{\circ}-40^{\circ}$ in the
- 665 Western Pacific basin-only. The stars denote the storm cloud top altitudes below the mean
- tropopause (blue), above the tropopause (magenta), and for overshooting according to Eq. (2)
- 667 (magenta with white background) and oveshooting according to Eq. (3) (green), respectively.

669