

1 **The impact of temperature vertical variability on**
2 **trajectory modeling of stratospheric water vapour**

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11

12 **Abstract**

13 Lagrangian trajectories driven by reanalysis meteorological fields are frequently used to
14 study water vapour (H₂O) in the stratosphere, in which the tropical cold-point
15 temperatures regulate H₂O amount entering the stratosphere. Therefore, the accuracy of
16 temperatures in the tropical tropopause layer (TTL) is of great importance for
17 understanding stratospheric H₂O abundances. Currently, most reanalyses, such as the
18 NASA MERRA (Modern Era Retrospective-Analysis for Research and Applications),
19 only provide temperatures with ~1.2 km vertical resolution in the TTL, which has been
20 argued misses realistic variability in tropopause temperatures and therefore introduce
21 uncertainties in our understanding of stratospheric H₂O. In this paper, we quantify this
22 uncertainty by comparing the Lagrangian trajectory models using MERRA temperatures
23 on standard model levels (*traj.MER-T*), to those using temperatures with more vertical

24 variability at the tropopause. This includes GPS temperatures (*traj.GPS-T*) in finer
25 vertical resolution and adjusted MERRA temperatures with enhanced variability induced
26 by underrepresented waves (*traj.MER-Twave*). It turns out that enhanced vertical
27 variability in tropopause temperature more realistically simulates the dehydration of air
28 entering the stratosphere. But the effect on H₂O abundances is relatively minor:
29 comparing with *traj.MER-T*, *traj.GPS-T* tends to dry air by ~0.1 ppmv while *traj.MER-*
30 *Twave* tends to dry air by 0.2-0.3 ppmv. Despite these differences in absolute values of
31 predicted H₂O and vertical dehydration patterns, there is virtually no difference in the
32 interannual variability in different runs. Overall, we find that tropopause temperature with
33 finer vertical variability has limited impact on predicted stratospheric H₂O.

34

35 **1. Introduction**

36 Stratospheric water vapour (H₂O) and its feedback play an important role in regulating
37 the global radiation budget and the climate system (e.g., Holton et al., 1995; Randel et al.,
38 2006; Solomon et al., 2010; Dessler et al., 2013). It has been known since Brewer's
39 seminal work on stratospheric circulation that tropical tropopause temperature is the main
40 driver of stratospheric H₂O concentration (Brewer, 1949). As parcels approach and pass
41 through the cold-point tropopause – the altitude at which air temperature is coldest,
42 condensation occurs and ice falls out, thereby regulating the parcel's H₂O concentration
43 to local saturation level (e.g., Fueglistaler et al., 2009, and references therein). This is the
44 dehydration process. The role of tropopause temperature variation in tropical dehydration
45 is most apparent in the annual variation in tropical stratospheric H₂O, also known as the
46 “tape recorder” (Mote et al., 1996).

47

48 When air crosses the tropical tropopause layer (TTL), it experiences multiple
49 dehydrations due to encounter of lower temperatures, and the final stratospheric H₂O
50 mixing ratio is established after air passing through the coldest temperature along its path,
51 which sets the strong relation between cold-point tropopause and the entry level H₂O
52 (e.g., Holton and Gettelman, 2001; Randel et al., 2004, 2006).

53

54 The details of the transport and dehydration process can be understood by performing
55 Lagrangian trajectory simulations, which track the temperature history of a large number
56 of individual parcels. Unlike simulation of chemical tracers that depends strongly on the
57 transport imposed (Ploeger et al., 2011; Wang et al., 2014), the simulation of H₂O is
58 primarily constrained by tropopause temperatures. Dehydration thus primarily depends
59 on the air parcel temperature history, and stratospheric H₂O simulations ultimately
60 require accurate analyses of temperatures particularly in the tropopause (e.g., Mote et al.,
61 1996; Fueglistaler et al., 2005, 2009; Liu et al., 2010; Schoeberl and Dessler, 2011;
62 Schoeberl et al., 2012, 2013).

63

64 In this paper, we use a forward, domain-filling trajectory model to study the detailed
65 dehydration behavior of the humidity of air parcels entering the tropical lower
66 stratosphere. Previous analyses have demonstrated that this model can accurately
67 simulate many of the aspects of the observed stratospheric H₂O (Schoeberl and Dessler,
68 2011; Schoeberl et al., 2012, 2013). Despite the good agreements with observations, there
69 are clear areas of uncertainty, such as the accuracy of circulation fields (Schoeberl et al.,
70 2012), the details of the dehydration mechanisms (Schoeberl et al., 2014), the influences

71 from convection (Schoeberl et al., 2011, 2014), and the impacts of unresolved
72 temperature variability in the TTL, etc. In this paper, we investigate uncertainties
73 introduced by the last one – the effect of vertical variability of temperatures.

74

75 This is accomplished by comparing trajectory results from using NASA Modern Era
76 Retrospective-Analysis for Research and Applications (MERRA) (Rienecker et al., 2011)
77 temperatures at standard model levels, to using temperatures with finer vertical
78 variability, which include GPS temperatures and the MERRA temperatures adjusted to
79 account for resolved but underrepresented waves (Kim and Alexander, 2013). This will
80 help us to further understand the importance of tropopause temperature variability in
81 dehydrating air entering the stratosphere.

82

83 **2. Trajectory Model and Temperatures Used**

84 **2.1 Trajectory model**

85 The trajectory model used here follows the details described in Schoeberl and Dessler
86 (2011) with parcel positions integrated using the Bowman trajectory code (Bowman,
87 1993; Bowman et al., 2013). This model has been proven capable of simulating
88 stratosphere H₂O and its long-term variability (Schoeberl and Dessler, 2011; Schoeberl et
89 al., 2012, 2013; Dessler et al, 2014), modeling chemical tracers transport in the lower
90 stratosphere (Wang et al., 2014), and studying the stratosphere air age spectrum (Ray et
91 al., 2014). Because of the overly dispersive behavior of kinematic trajectories (e.g.,
92 Schoeberl et al., 2003; Liu et al., 2010; Ploeger et al., 2010; Schoeberl and Dessler,
93 2011), we perform diabatic trajectories using isentropic coordinates, in which the vertical

94 velocity is the potential temperature tendency converted from the diabatic heating rates
95 via the thermodynamic equation (e.g., Andrews et al., 1987). Here we used total heating
96 rates, which include heating due to long-wave and short-wave radiation, moist physics,
97 friction, etc.

98

99 The parcel initiation level is chosen to be the 370-K isentrope, which is above the level of
100 zero radiative heating (~355-365 K, Gettelman and Forster, 2002) and below the tropical
101 tropopause (~375–380 K) in the tropics. Every day, parcels are initialized on equal area
102 grids covering 40°N–40°S and advected forward in time by reanalysis winds. At the end
103 of each day, any parcels that have descended below the 345 K (~250 hPa or ~10 km)
104 level are removed since in most cases they have entered the troposphere. The upper
105 boundary is chosen to be 2200 K isentrope (~1 hPa or ~50 km) to cover the entire
106 stratosphere. Parcels are initialized and added to the ensemble consecutively on every day
107 and the combined set of parcels is then advected forward. This process is repeated over
108 the entire integration period so that after 2-3 years the stratospheric domain is filled up
109 with parcels – this is the concept of domain-filling, which guarantees a robust statistics.

110

111 H₂O is conserved along the trajectories, except when saturation occurs; in that case,
112 excess H₂O is instantaneously removed from the parcel to keep the relative humidity with
113 respect to ice from exceeding 100%. This is sometimes referred as “instant dehydration”
114 (e.g., Schoeberl et al., 2014), which ignores detailed microphysics but has shown to
115 simulate many features of H₂O in the lower stratosphere (e.g., Fueglistaler et al., 2005;
116 Jensen and Pfister, 2004; Gettelman et al., 2002). We chose the 100% saturation level

117 because 1) different saturation levels offset the simulated H₂O constant values but with
118 identical interannual variability; and 2) the focus of the paper is to investigate the
119 uncertainty introduced by using different temperatures, which would be the same as long
120 as we keep the same criteria for different runs.

121

122 In addition to H₂O, we also carry methane (CH₄) concentration for each parcel. We
123 initiate CH₄ values increased from 1.76 ppmv in 2006 to 1.83 ppmv in 2013. As
124 described in Schoeberl and Dessler (2011), we use photochemical loss rates supplied
125 from Goddard two-dimensional stratospheric chemistry model (Fleming et al., 2007) to
126 convert each methane molecule into two molecules of H₂O (Dessler et al., 1994). Noted
127 that our analysis focus on the tropical lower stratosphere, where methane oxidation has
128 little impacts on the total H₂O abundances (Fig. 6 in Schoeberl et al., 2012).

129

130 Along each trajectory, we locate the point when air experiences coldest temperature as
131 the final dehydration point (FDP), which determines the stratosphere entry level H₂O
132 mixing ratio (FDP-H₂O) for that trajectory. As will be shown below, the entry level H₂O
133 predicted by the trajectory model is affected by the vertical variability in temperature
134 field.

135

136 **2.2 Temperature datasets**

137 In this paper, we use MERRA (Rienecker et al., 2011) circulation to advect parcels. This
138 includes horizontal wind components and total diabatic heating rates. As shown in
139 Schoeberl et al. (2012, 2013), trajectory model driven by this reanalysis yields excellent

140 estimates of H₂O compared to observations by the Aura Microwave Limb Sounder
141 (MLS) (Read et al., 2007).

142

143 Driven by the same circulation, we use three different temperature datasets to quantify
144 the uncertainty induced by temperatures with different variability in the vertical: 1)
145 MERRA standard temperatures on model levels (MER-T), denoted as *traj.MER-T*; 2)
146 GPS radio occultation (RO) temperatures, denoted as *traj.GPS-T*; and 3) MERRA
147 temperatures enhanced by wave scheme to recover the variability not represented well in
148 current coarse model levels (Kim and Alexander, 2013), denoted as *traj.MER-Twave*.
149 Note that MERRA does not assimilate GPS observations, which makes the two
150 temperature datasets independent from each other. Trajectory runs with the three different
151 temperature datasets are summarized in Table 1.

152

153 **2.2.1 GPS temperature**

154 Owing to its high vertical resolution, GPS temperature profiles capture the cold-point
155 tropopause with high accuracy. In this paper we use GPS wet profile (wetPrf) retrieved at
156 100-m vertical resolution using a one-dimensional variational technique based on
157 ECMWF analysis. The wetPrf and GPS Atmospheric Profile (atmPrf, derived assuming
158 no water vapor in the air) temperatures are essentially the same in 200-10 hPa but below
159 200 hPa the errors in atmPrf could be as high as ~ 3 K due to neglect of water vapour
160 (Das and Pan, 2014). Despite being retrieved at 100-m resolution, the actual vertical
161 resolution ranges from 0.5 km in the lower troposphere to ~1 km in the middle
162 atmosphere (Kursinski et al., 1997).

163

164 The GPS radio occultation (RO) technique makes the data accuracy independent of
165 platforms. That makes the biases among different RO payloads could be as low as 0.2 K
166 in the tropopause and stratosphere (Ho et al., 2009). Therefore, to compensate the
167 relatively lower horizontal resolution (relative to that of reanalysis), we include GPS RO
168 from all platforms. This include the Constellation Observing System for Meteorology,
169 Ionosphere, and Climate (COSMIC) (Anthes et al., 2008), the CHALLENGING Minisatellite
170 Payload (CHAMP) satellite (Wickert et al., 2001), the Communications/Navigation
171 Outage Forecasting System (CNOFS), the Gravity Recovery And Climate Experiment
172 (GRACE) twin satellites (Beyerle et al., 2005), the Meteorological Operational Polar
173 Satellite–A (MetOp-A), the Satellite de Aplicaciones Cientifico-C (SACC) satellite (Hajj
174 et al., 2004), and the TerraSAR-X (TerraSAR-X). There are ~2000-3500 profiles per day,
175 mostly from COSMIC, with ~800-1100 profiles of these in the tropics.

176

177 Each day, GPS temperature profiles are binned to 200-m vertical resolution. Horizontally,
178 we grid data into 2.5x1.25 (longitude by latitude) grids with 2-D Gaussian function
179 weighting. This gridded dataset has been successfully used in diagnosing many detailed
180 features of tropopause inversion layer (Gettelman and Wang, 2015). We use over 7 years
181 of GPS data available from July 2006 to December 2013, and the trajectory run using it is
182 denoted as *traj.GPS-T*.

183

184 Fig. 1 shows a snapshot of the 100-hPa GPS raw (panel a) and gridded (panel b)
185 temperature on January 1st, 2010, compared with MERRA temperature (panel c). It

186 demonstrates that the gridded GPS temperature captures most of the variability, although
187 some detailed structure might be lost due to its relatively sparse sampling.

188

189 Fig. 2 shows the GPS and MERRA temperatures in the TTL (panel a) and their
190 differences (GPS–MERRA) (panel b, extended to 31 hPa) averaged over the deep tropics
191 (18°S – 18°N) during the GPS period. Here, we examine the values at the MERRA model
192 levels (larger dots) as well as MERRA in-between levels (smaller dots), where both GPS
193 and MERRA temperatures are linearly interpolated to the same pressure levels. It shows
194 that the GPS is at most ~ 0.4 K colder than MERRA around the cold-point tropopause
195 (~ 93 hPa on average, in-between MERRA coarse levels), where temperature is ~ 193 K.
196 This translates to at most a 0.4 ppmv wet bias in the entry level of stratospheric H_2O ,
197 assuming 100% saturation level. Note that the GPS temperatures at MERRA levels 100
198 and 85 hPa could be lower than that in MERRA if we average over 10°S – 10°N , but it
199 does not change the fact that MERRA is always warm biased around the cold-point
200 tropopause.

201

202 **2.2.2 MERRA temperature adjusted by waves**

203 Wave-induced disturbances on tropopause temperatures are underrepresented by current
204 reanalyses (Kim and Alexander, 2013). At the reanalysis model levels, temperature
205 variability at time scales shorter than ~ 10 days are weaker than radiosondes observations
206 (refer Fig. 1b-d in Kim and Alexander, 2013). Those underrepresented waves include a
207 part of the spectrum of Kelvin waves, mixed Rossby-gravity waves, and gravity waves.
208 Moreover, when used in trajectory simulations, conventional interpolation of model level

209 temperatures to in-between levels, either linear or higher order, degrades temperature
210 variability even at longer time scales (> 10 days). This is because observed temperature
211 profiles have strong curvatures in-between coarse model levels due to the existence of
212 fine vertical-scale waves.

213

214 To overcome these limitations, a scheme developed by Kim and Alexander, based on
215 amplitude-phase interpolation and wave amplification from radiosonde observations, has
216 been proven to be effective in recovering the underrepresented variability in reanalysis
217 temperatures (refer Kim and Alexander, 2013 for more details). Applying this scheme on
218 MERRA temperature records yields a new MERRA temperature dataset (MER-Twave)
219 that has more realistic temperature variability induced by waves (refer Fig. 3 in Kim and
220 Alexander, 2013). The trajectory simulation using this temperature dataset is denoted as
221 *traj.MER-Twave*.

222

223 Note that we only considered the vertical resolution issue, since it is by far a limiting
224 factor in representing waves in the TTL. A large portion of a TTL wave spectrum has
225 horizontal and temporal scales much larger and longer than reanalysis resolution,
226 therefore, temperature behaves almost linearly in-between model horizontal and temporal
227 resolution. However, temperature does not behave linearly in vertical space due to the
228 fact that a significant portion of TTL waves have vertical wavelengths shorter than ~ 4 km
229 (see Figure S4 in supporting information of Kim and Alexander, 2015), which could
230 make waves less represented by the ~ 1.2 km vertical resolution in reanalyses.

231

232 The wave scheme produces both positive and negative perturbations to the MERRA
233 temperature profiles, depending on the phase of waves. Overall, the change in
234 temperature induced by waves is less than 2 K (Fig. 3), although in rare cases it can reach
235 5-7 K. Importantly, however, about 80% of the changes in cold-point temperature are
236 negative, with the wave scheme lowering the average cold-point temperatures by ~0.35
237 K. It is this reduction in cold-point temperature that is responsible for the reduction in
238 H₂O entering the stratosphere.

239

240 Note that we included both GPS and MER-Twave datasets because they have their own
241 advantages and limitations. GPS provides sparse sampling in the tropics (only ~800-1100
242 profiles per day), indicating a smaller horizontal variability in GPS than likely exists, but
243 the mean temperatures are more accurate. In contrast, MER-Twave has better variability
244 but not accurate mean, since it is designed to have similar temperature variability to
245 radiosondes but with mean reserved to original MER-T. In summary, the mean
246 temperature is closer to reality in GPS than in MER-T and MER-Twave, but the
247 temperature variability is closer to reality in MER-Twave than in MER-T and GPS. In
248 addition, the MER-Twave is a general technique that could be applied to situations where
249 GPS temperatures are not available (e.g., reanalyses before 2006, climate models).

250

251 **2.2.3 Interpolation scheme**

252 In our studies, we use linear interpolation to estimate the temperature between the fixed
253 levels of temperature data sets. However, some previous analyses have used higher order
254 interpolations, such as cubic spline (e.g., Liu et al., 2010), to make assumptions about the

255 strong curvature of temperature profiles around the cold-point tropopause. In order to
256 determine which approach is superior, we sample GPS tropical temperature profiles at
257 MERRA vertical levels and then use the two interpolation schemes to reconstruct the full
258 GPS resolution. Then we compare the minimum saturation mixing ratio from the
259 recovered profiles to the minimum calculated from the full resolution GPS profiles.

260

261 Fig. 4a shows the probability distribution of the differences between the minimum
262 saturation mixing ratio in the full-resolution GPS profile and in the two interpolation
263 schemes. On average, the linear interpolation performs better (RMS difference is 0.18
264 and 0.25 ppmv for the linear and cubic spline, respectively). Fig. 4b shows the
265 corresponding probability distribution of the difference of the pressure of this minimum,
266 and the linear interpolation does better for this metric, too (RMS difference is 5.2 and 7.2
267 hPa for the linear and the cubic spline interpolation, respectively). We have also tested
268 higher order spline interpolations and find that none produce lower RMS errors than
269 linear interpolation. Overall, cubic spline interpolation tends to underestimate cold-point
270 temperature, making the implied H₂O too dry, as noted by Liu et al., (2010). Thus, in our
271 studies we adopted linear interpolation scheme for three different trajectory integrations.

272

273 **3. Trajectory Results**

274 **3.1 Dehydration patterns**

275 The gridded GPS temperatures are available since July 2006, so for fair comparison we
276 start all trajectory runs at that time and run them forward till the end of 2013. For each
277 model run, we calculate statistics of the final dehydration points (FDP) for all parcels
278 entering the stratosphere. We define “parcels entering the stratosphere” as parcels that

279 underwent final dehydration between 45°N–45°S (thus ignoring polar dehydration) and
280 that were already at altitudes higher (pressure lower) than 90 hPa for at least six months
281 since the last time they were dehydrated (FDP). This guarantees that parcels already
282 crossed the cold-point tropopause (~380 K or ~100-94 hPa) and has indeed experienced
283 the coldest temperature along its ascending paths. Averaging over 7 years minimizes the
284 effects of interannual variability.

285

286 Fig. 5a-c compares the FDP frequency (solid lines) and the FDP H₂O (dashed lines) in
287 different seasons among three runs. As mentioned, the FDP H₂O can be understood as the
288 stratosphere entry level of H₂O. In all cases, it is clear that dehydration occurs almost
289 exclusively between 60 and 110 hPa. The average FDP H₂O reaches a minimum at 85
290 hPa for all runs, meaning parcels dehydrated in its vicinity carry the smallest amount of
291 H₂O into the stratosphere. The relatively high FDP-H₂O above 80 hPa (just above the
292 entry level) comes from the parcels that avoided the tropical cold trap and experienced
293 final dehydration at higher, warmer levels of the stratosphere. Out of ~1.3 millions of
294 parcels in the stratosphere there are only ~0.3% bypassed the cold-point tropopause, and
295 these parcels have little impact on the stratosphere water vapour.

296

297 The FDP frequency, however, shows large differences among three runs. The run using
298 MERRA temperature (*traj.MER-T*) yields an annual bimodal FDP maxima distinctly at
299 98 and 84 hPa (Fig. 5a solid black lines), close to the MERRA model levels 100.5 and
300 85.4 hPa, respectively. The bimodal feature comes from averages between single,
301 prominent peaks during DJF (December-January-February, Fig. 5a, blue) and JJA (June-

302 July-August, Fig. 5a, red), when cold-point tropopause is close to a particular level (DJF
303 to 85 hPa and JJA to 100 hPa) in MERRA (Fig. 5d-e black bars), as well as averages
304 between bi-modal peaks during MAM (March-April-May, Fig. 5a, green) and SON
305 (September-October-November, Fig. 5a, yellow), when tropopause temperature in real
306 atmosphere fall in between the two MERRA levels (Fig. 5f red bars). The dehydration
307 profiles implied from using the other two datasets, however, experience smoothed
308 changes due to gradual variations of cold-point altitudes in each season (red and blue bars
309 in Fig. 5d-f). It is clear that more realistic dehydrations (Fig. 5b-c) occur with more
310 variability in tropopause temperature (Fig. 5d-f red and blue bars).

311

312 Note that at FDP, the coldest temperature encountered could be either at MERRA model
313 levels or in-between levels during that step of integration, depending on the trajectory
314 integration intervals. Suppose our trajectory integration time step is as small as seconds,
315 then at some time steps parcels would inevitably travel to each of the MERRA model
316 levels, and therefore the encountered coldest temperatures would always be at either of
317 the two levels in MERRA. In another word, the bimodal FDP distribution from MERRA
318 run (Fig. 5a) could be even more peaked when choosing smaller integration step. Two
319 reasons that we didn't choose such small time step: 1) the wind and temperature data are
320 only available 6-hourly or even daily (GPS) so much smaller time step introduces more
321 uncertainties with more interpolation; and 2) considering the balance between model
322 running speed and computational resources.

323

324 Fig. 6 depicts the vertical distributions of normalized FDP in time (panel a-c) and

325 longitude (panel d-f) sectors for the three different runs. We see that the MERRA coarse
326 model levels do not capture the variations of cold-point tropopause well during MAM
327 and SON, resulting in discontinuous transition of FDP from DJF to MAM, and from JJA
328 to SON (panel a). When using GPS temperatures (panel b) and MERRA temperatures
329 adjusted to recover wave-induced variability (panel c), the dehydration patterns show
330 continuous variations throughout the year. The bimodal feature is more emphasized in the
331 longitudinal-vertical view (panel d), where we can also see that throughout a year the
332 most frequent dehydrations occur over the western tropical pacific region.

333

334 **3.2 Water Vapour (H₂O)**

335 It is obvious that trajectory simulations using GPS temperatures (*traj.GPS-T*) and
336 MERRA temperatures adjusted by waves (*traj.MER-Twave*) tend to yield more
337 reasonable FDP patterns around the cold-point tropopause (Fig. 5 solid lines), although
338 the parcels dehydrated at particular altitudes have similar amounts of H₂O in all three
339 models (FDP H₂O, Fig. 5 dashed lines). A more interesting question is whether the
340 different dehydration occurrences affect the stratospheric H₂O predicted by the trajectory
341 model.

342

343 Fig. 7a shows the tropical (18°N–18°S) H₂O profile predicted from three trajectory runs
344 compared with MLS observations. The vertical bars in MLS indicate the MLS vertical
345 resolutions at each of the MLS retrieval pressure levels. Here, we see clearly that the H₂O
346 in stratosphere reflects the different cold-point temperatures in three datasets. The
347 differences induced more variability in temperatures are clearly shown in Fig. 7b, where
348 we see slightly drier air expected in GPS run since GPS temperatures are at most ~0.4 K

349 lower than that of MERRA around the tropopause (Fig. 2); whereas enhanced wave
350 perturbations produce air 0.2-0.3 ppmv drier, in agreement with previous calculations
351 (e.g., Jensen et al., 2004; Schoeberl et al., 2011).

352

353 Fig. 8c also shows that comparing to *traj.MER-T*, the dry biases from using GPS
354 temperatures are largest in MAM and SON (0.14-0.21 ppmv on average), when the real
355 cold-point tropopause cannot be resolved by the MERRA model levels. During DJF and
356 JJA, when the cold point is near one of the two MERRA standard levels, the differences
357 become smaller. Thus we conclude that using GPS temperatures decreases simulated
358 stratospheric H₂O by an average of ~0.1 ppmv, accounting for ~2.5% given typical
359 stratospheric H₂O abundances of ~4 ppmv.

360

361 It is important to point out that, despite these differences in the absolute value of H₂O,
362 there is virtually no difference in the anomalies (remainder from the average annual
363 cycle). In Fig. 8a we compare the time series of H₂O anomalies at 83 hPa from the three
364 different trajectory runs weighted by the MLS averaging kernels as well the MLS
365 observations. Note that the interannual variations of approximately ± 0.5 ppmv in H₂O are
366 in good agreement with the interannual changes of about ± 1 K in cold-point tropopause
367 temperatures (Fig. 8b) for all three different runs, further supporting that the stratospheric
368 entry level of H₂O and cold-point tropopause temperature are strongly coupled (e.g.,
369 Randel et al., 2004, 2006; Randel and Jensen, 2013). We also compared *traj.MER-T* and
370 *traj.MER-Twave* over longer period (1985-2013) and it shows almost no differences in
371 interannual variability, either. Clearly, for studying the interannual variability of H₂O,

372 MERRA temperatures in coarse vertical resolution are as good as temperatures in higher
373 vertical resolution.

374

375 **4. Summary**

376 The dehydration of air entering the stratosphere largely depends on the cold-point
377 temperature around the tropopause. This may not be represented accurately by reanalyses
378 due to the relatively coarse vertical resolution with less variability in cold-point
379 tropopause temperatures. To investigate the impacts of this, we compare trajectory results
380 from using standard MERRA model level temperatures to those using temperature
381 datasets in finer vertical resolution with enhanced variability. This includes GPS
382 temperatures and adjusted MERRA temperatures with enhanced vertical variability
383 induced by wave scheme developed by Kim and Alexander (2013).

384

385 Compared with the standard MERRA temperatures, we find that using higher resolution
386 GPS temperatures dries the H₂O prediction by ~0.1 ppmv, and using MERRA
387 temperatures adjusted by wave scheme dries the stratosphere by ~0.2-0.3 ppmv (Fig. 7a-
388 b). This is consistent with previous analyses (e.g., Jensen et al., 2004; Schoeberl et al.,
389 2011). Despite the small differences in H₂O abundances, the interannual variability
390 (residual from the mean annual cycle) exhibits virtually no differences, due to the strong
391 coupling between stratospheric H₂O and tropical cold-point temperatures (Fig. 8).
392 Therefore, in terms of studying the interannual changes of stratospheric H₂O, we argue
393 that reanalysis temperatures are more useful due to its long-term availability.

394

395 Looking at the locations of FDP, we find a bimodal distribution when using standard
396 MERRA temperatures on model levels (Figs. 5-6). This is caused by the fact that the
397 cold-point tropopause is constrained to be near the two MERRA model levels (100.5 and
398 85.4 hPa) that bracket the cold-point tropopause (Fig. 5d-f, black histograms). When
399 using the temperatures in higher vertical resolution with more variability, the resultant
400 FDP patterns appear to be more physically reasonable (Figs. 5-6),

401

402 In this paper we perform linear interpolations for all trajectory runs. Other analyses have
403 used cubic spline interpolation owing to the strong curvature of temperature profile
404 around the cold-point tropopause. We investigate the performances of both schemes using
405 GPS temperature profiles (Sect. 2.2.3) and find that while introducing new information
406 due to its assumption in the temperature profile around the tropopause, cubic spline
407 scheme tends to generate unrealistically low cold-point temperature due to cubic fitting.
408 Therefore, the results are not necessarily realistic and on the other hand linear
409 interpolation is overall more accurate (Fig. 4).

410

411 It is well known that TTL temperatures regulate stratospheric humidity. In this paper, we
412 have investigated one issue in our understanding of TTL temperatures — the effect of
413 finer vertical resolution that may have captured more variability in tropopause
414 temperatures — and find that it is comparatively minor. This provides some confidence
415 that the trajectory model driven by current modern reanalyses is capable of depicting the
416 stratospheric water vapour accurately.

417

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Table

Table 1. Different temperature datasets used in trajectory model.

Temperature Datasets	Availability	Horizontal Resolution (Longitude x Latitude)	Vertical Resolution In TTL	Trajectory Runs Denoted
MERRA	Daily*	2/3 x 1/2	~1.2 km	<i>traj.MER-T</i>
GPS (gridded)	Daily	2.5 x 1.25	0.2 km	<i>traj.GPS-T</i>
MERRA w/ waves	Daily*	2/3 x 1/2	0.2 km	<i>traj.MER-Twave</i>

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*These datasets are available 6-hourly. But for fair comparison with using GPS data, we used daily averages.

565 **Figures**
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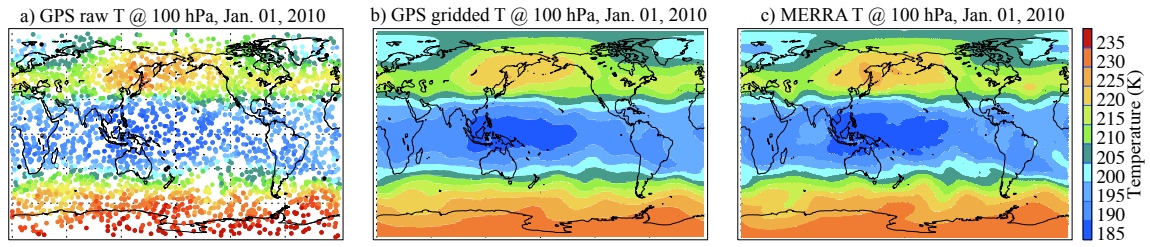


Fig. 1. (a) Comparison of temperatures from raw GPS (panel a), gridded GPS (panel b), and MERRA temperature (panel c) at 100 hPa on Jan. 1st, 2010.

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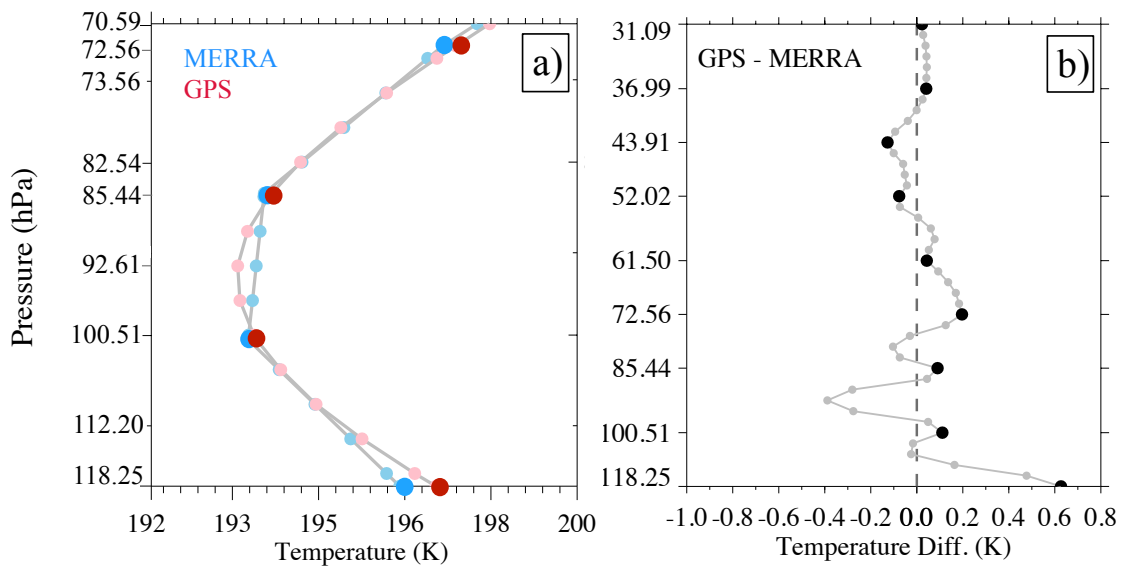


Fig. 2. (a) MERRA (blue) and GPS (red) mean temperature in TTL and (b) their differences (GPS – MERRA) extended to 31 hPa. All values are averaged over the deep tropics (18°S–18°N) in 2007-2013, with larger dots marking the MERRA model levels and small dots marking the MERRA in-between levels, where both GPS and MERRA temperatures are linearly interpolated to the same pressure levels.

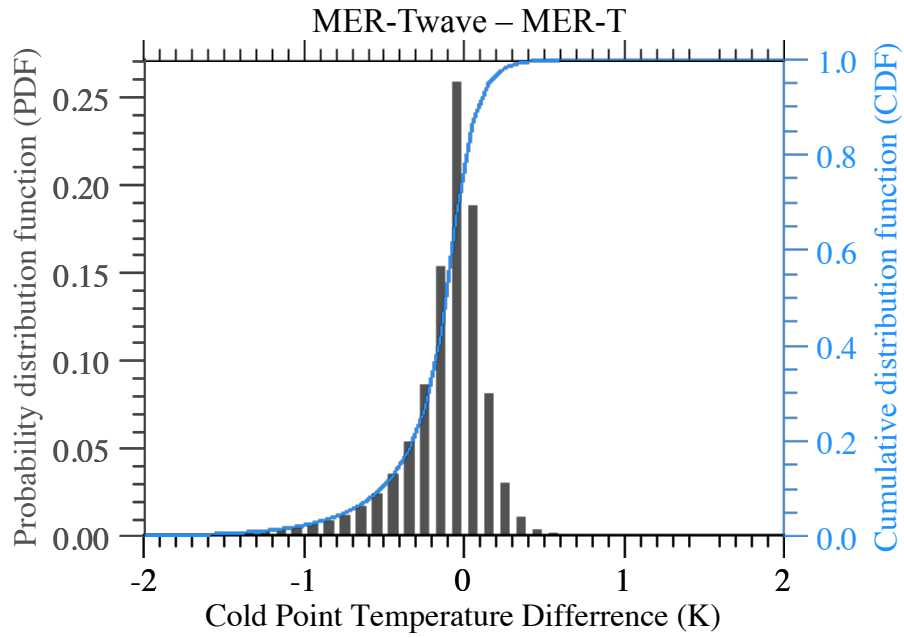


Fig. 3. Cold-Point temperature differences between MERRA adjusted by waves and MERRA (MER-Twave – MER-T) during 2007-2013. The PDF in black is plotted on left-y axis and CDF in blue on right-y axis.

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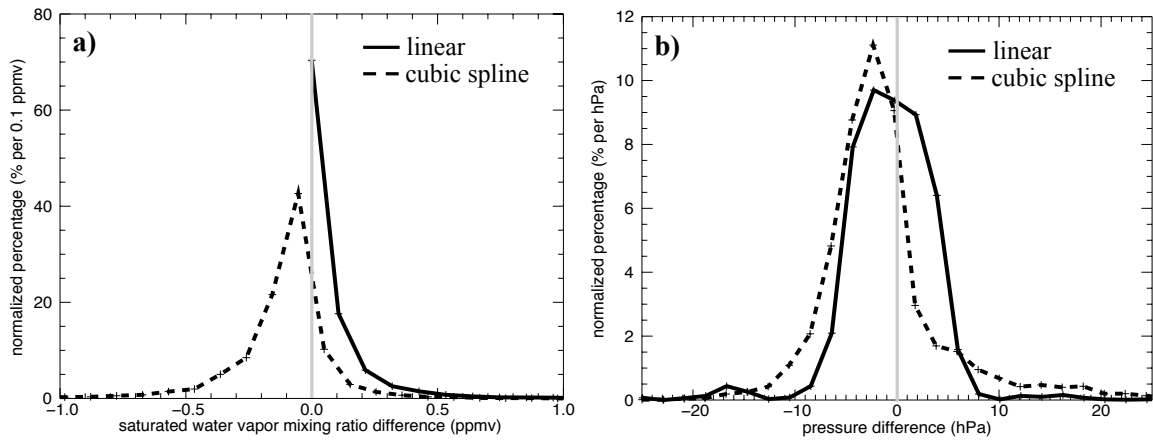


Fig. 4. PDFs of the differences between linear or cubic spline interpolations to the actual value from the GPS temperature profiles. (a) Minimum saturation mixing ratio of the profile (units are percent per 0.1 ppmv); (b) pressure of the saturation mixing ratio minimum (units are percent per hPa). The plus signs in each line mark the bin intervals.

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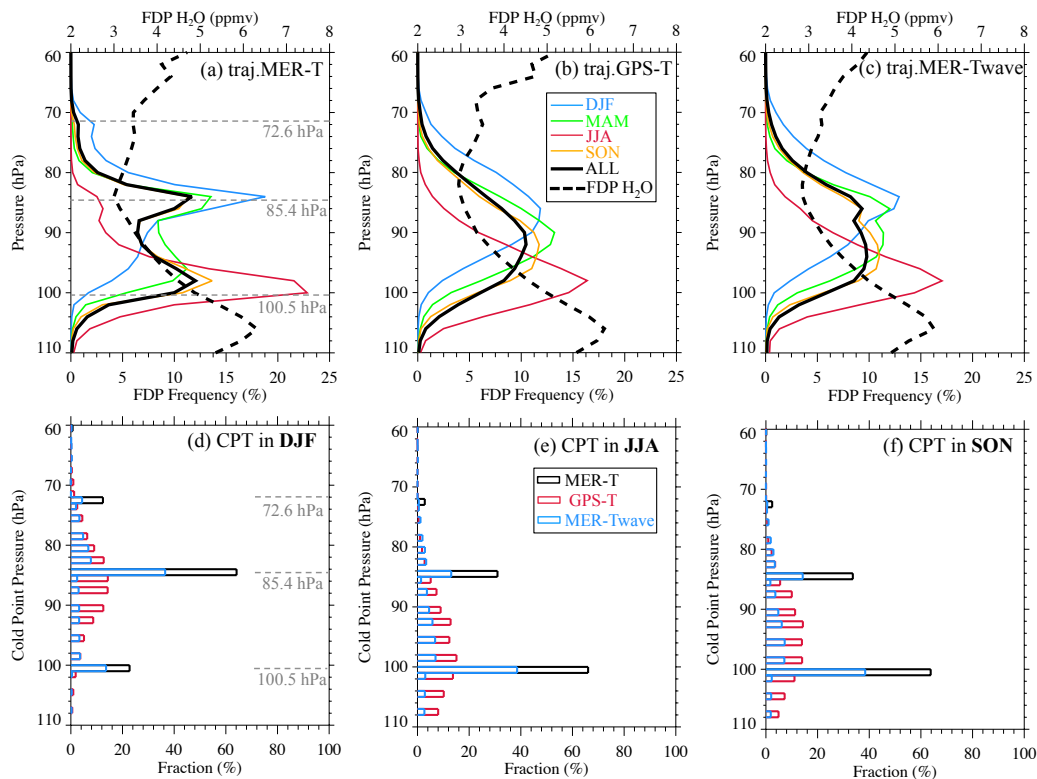


Fig. 5. Seasonal FDP vertical distributions (in %, solid lines, lower x axis) and FDP saturation mixing ratio (FDP-H₂O, i.e., the stratosphere entry level H₂O, ppmv, dashed lines, upper x axis) from trajectory simulations using (a) MERRA temperatures, (b) GPS temperatures, and MERRA temperatures adjusted by waves (c), compared to the cold point tropopause statistics during (d) DJF, (e) JJA, and (f) SON. The FDP frequency is normalized by total FDP events, so each solid curve adds up to 100%. The MERRA model levels at 100.5 and 85.4 hPa are marked panel a.

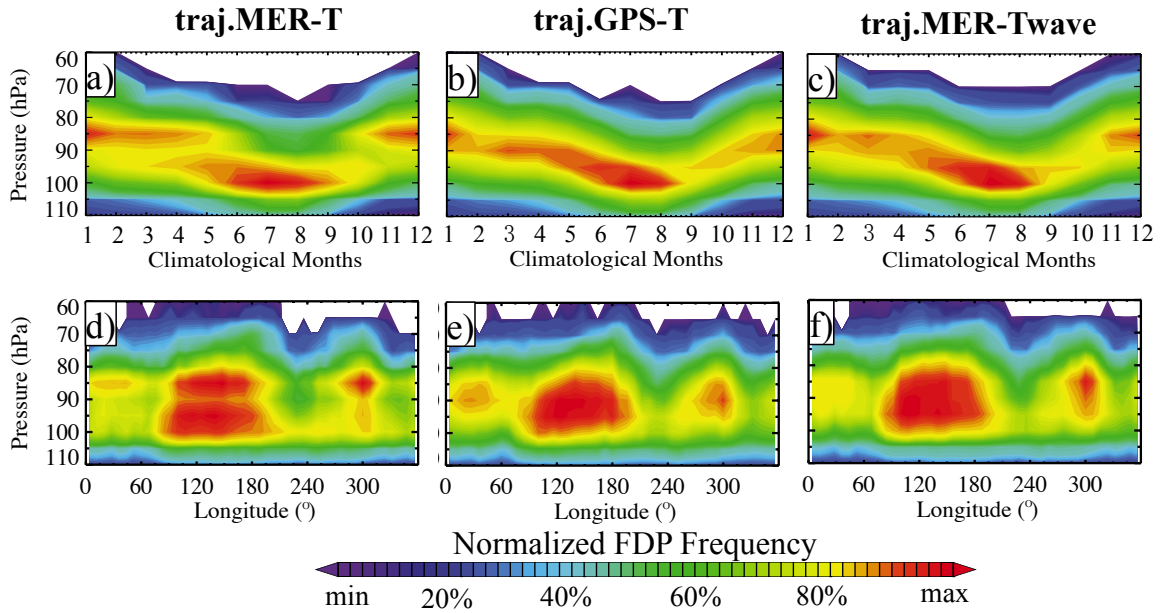


Fig. 6. Vertical distributions of normalized FDP events in time-evolutional (a-c) views among trajectory simulations by using a) MERRA temperature (*traj.MER-T*), b) GPS RO temperature (*traj.GPS-T*), and c) MERRA temperature adjusted by waves (*traj.MER-Twave*). The longitudinal variations of FDP are highlighted in panel d-f to emphasize the FDP discontinuity in *traj.MER-T*. All panels are plotted in their own range and color-coded at the same percentiles (i.e., 0, 20%, 40%, ..., 100%) to compare the patterns.

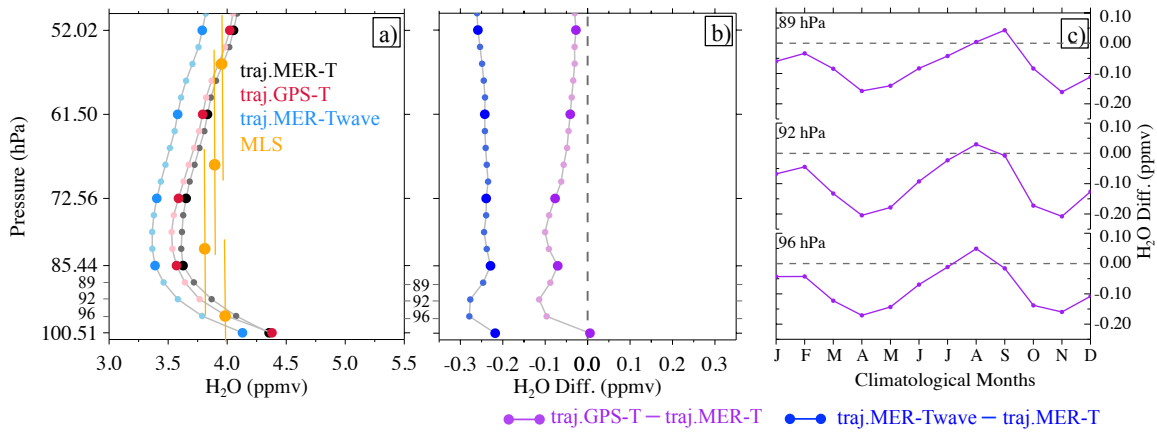


Fig. 7. (a) Trajectory predicted H₂O compared with MLS observations (the vertical bars in orange indicate the MLS vertical resolutions at each of the MLS retrieval pressure levels); (b) trajectory H₂O differences induced by waves (blue) and by using GPS temperatures (purple); (c) annual differences at 96, 92, and 89 hPa. All values are averaged over the deep tropics (18°S–18°N) in 2007-2013, with larger dots marking the MERRA model levels and small dots marking the MERRA in-between levels – those are the levels that the cold-point tropopause could have been found but not available in current MERRA vertical resolution.

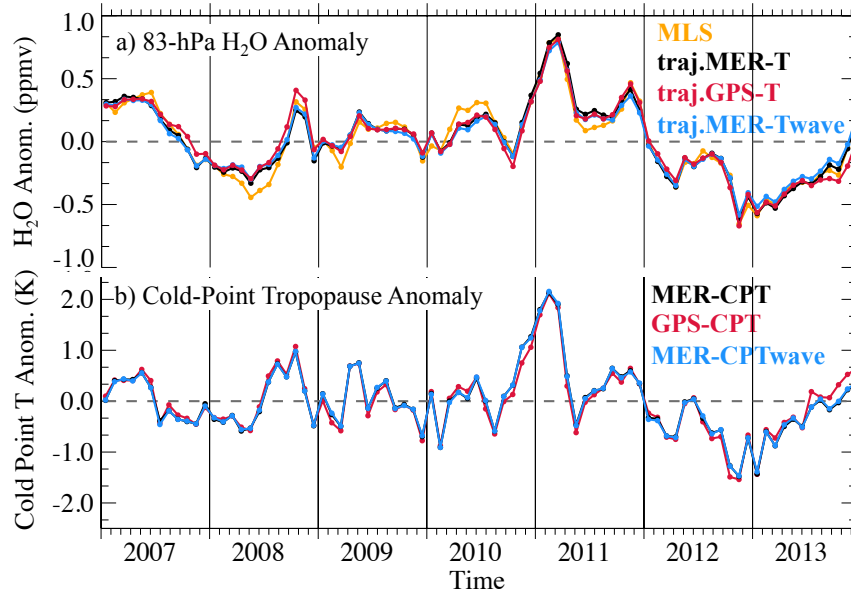


Fig. 8. (a) Trajectory simulated H₂O anomalies compared with the MLS observations; and (b) cold-point temperature anomalies from three temperature datasets. All time series are averaged over the deep tropics (18°N-18°S). All trajectory results in panel a are weighted by the MLS averaging kernels for fair comparison.