

1 **Chemical and stable carbon isotopic composition of PM<sub>2.5</sub> from**  
2 **on-road vehicle emissions in the PRD region and implication for**  
3 **vehicle emission control policy**

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5 Shouhui Dai<sup>1,2</sup>, Xinhui Bi<sup>1\*</sup>, Lo Yin Chan<sup>1</sup>, Junjie He<sup>1,3</sup>, Boguang Wang<sup>3</sup>, Xinming  
6 Wang<sup>1</sup>, Guoying Sheng<sup>1</sup>, Jiamo Fu<sup>1</sup>

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8 <sup>1</sup> State Key Laboratory of Organic Geochemistry and Guangdong Key Laboratory of  
9 Environmental Resources Utilization and Protection, Guangzhou Institute of  
10 Geochemistry, Chinese Academy of Sciences, Guangzhou 510640, China

11 <sup>2</sup> University of Chinese Academy of Sciences, Beijing 100049, China

12 <sup>3</sup> Atmospheric Environment Institute of Safety and Pollution Control, Jinan  
13 University, Guangzhou 510632, China

14  
15 \*Corresponding author: Xinhui Bi

16 E-mail: bixh@gig.ac.cn

17 Tel: +86-20-85290195

18 Fax: +86-20-85290288

19 **Abstract**

20 Vehicle emission is a major source of urban air pollution. In recent decade, the  
21 Chinese government has introduced a range of policies to reduce the vehicle emission.  
22 In order to understand the chemical characteristics of PM<sub>2.5</sub> from on-road vehicle  
23 emission in the Pearl River Delta (PRD) region and to evaluate the effectiveness of  
24 control policies on vehicles emission, the emission factors of PM<sub>2.5</sub> mass, elemental  
25 carbon (EC), organic carbon (OC), water-soluble organic carbon (WSOC),  
26 water-soluble inorganic ions (WSII), metal elements, organic compounds and stable  
27 carbon isotopic composition were measured in the Zhujiang Tunnel of Guangzhou, in  
28 the PRD region of China in 2013. Emission factors of PM<sub>2.5</sub> mass, OC, EC, and  
29 WSOC were 92.4, 16.7, 16.4, and 1.31 mg vehicle<sup>-1</sup> km<sup>-1</sup> respectively. Emission  
30 factors of WSII were 0.016 (F<sup>-</sup>) ~ 4.17 (Cl<sup>-</sup>) mg vehicle<sup>-1</sup> km<sup>-1</sup>, totally contributing  
31 about 9.8% to the PM<sub>2.5</sub> emission. The sum of 27 measured metal elements accounted  
32 for 15.2% of the PM<sub>2.5</sub> emission. Fe was the most abundant metal element, with an  
33 emission factor of 3.91 mg vehicle<sup>-1</sup> km<sup>-1</sup>. Emission factors of organic compounds  
34 including n-alkanes, PAHs, hopanes, and steranes were 91.9, 5.02, 32.0 and 7.59 μg  
35 vehicle<sup>-1</sup> km<sup>-1</sup>, respectively. Stable carbon isotopic composition δ<sup>13</sup>C value was  
36 measured and it was -25.0‰ on average. An isotopic fractionation of 3.2‰ was found  
37 during fuel combustion. Compared with a previous study in Zhujiang Tunnel in year  
38 2004, emission factors of PM<sub>2.5</sub> mass, EC, OC, WSII except Cl<sup>-</sup>, and organic  
39 compounds decreased by 16.0-93.4%, which could be attributed to emission control

40 policy from 2004 to 2013. However, emission factors of most of the metal elements  
41 increased significantly, which could be partially attributed to the changes in motor oil  
42 additives and vehicle conditions. There are no mandatory national standards to limit  
43 metal content from vehicle emission, which should be a concern of the government. A  
44 snapshot of the 2013 characteristic emission of PM<sub>2.5</sub> and its constituents from  
45 on-road vehicular fleet in the PRD region retrieved from our study would be helpful  
46 for the assessment of past and future implementation of vehicle emission control  
47 policy.

48

49 **Keywords:** Tunnel; PM<sub>2.5</sub>; vehicle emission; emission factor; water-soluble organic  
50 carbon; stable carbon isotope; PRD

## 51 1. Introduction

52 Vehicle emission is a major source of urban air pollution and it accounts for  
53 approximately 14~50% of total fine particle mass in urban areas (Sheesley et al., 2007;  
54 Wang et al., 2008; Yu et al., 2013). The environmental and health effects of vehicle  
55 emission have been our concern during the last decades. Numerous studies have been  
56 conducted to characterize vehicular particulate matter (PM) emission in many  
57 countries, with respect to emission factors, chemical composition, and size  
58 distribution (Chiang and Huang, 2009; Laschober et al., 2004; Pio et al., 2013). The  
59 characteristics of vehicle emission in China were studied by tunnel experiments,  
60 dynamometer tests and/or road monitoring (He et al., 2008; Jin et al., 2014; Song et  
61 al., 2012). Because of the differences in fuel qualities, engine conditions, and  
62 operation practices, the PM emission from vehicles varied from region to region and  
63 time to time.

64 Pearl River Delta (PRD) region, located in the southern coast of China has  
65 experienced serious atmospheric pollution with its rapid urbanization and  
66 industrialization in the last few decades. Vehicle emission accounts for approximately  
67 25-30% of total fine PM in the PRD region  
68 ([http://epaper.southcn.com/nfdaily/html/2014-01/03/content\\_7261687.htm](http://epaper.southcn.com/nfdaily/html/2014-01/03/content_7261687.htm)). Peer  
69 reviewed papers had reported emission factors and chemical characteristic of PM<sub>2.5</sub>  
70 from vehicle emission in the PRD region, by means of tunnel studies in Zhujiang  
71 Tunnel (Guangzhou) and Wutong Tunnel (Shenzhen) (He et al., 2006; He et al., 2008;

72 Huang et al., 2006b). However, the sampling in these studies was conducted in 2004.  
73 During the past decade, the Environment Protect Agency of Guangdong Province  
74 revised the “Motor vehicle exhaust pollution prevention and control regulations of  
75 Guangdong Province” in 2008 and released the “PRD Regional Air Quality  
76 Management Plan” and “A Clean Air Plan” in 2010, to improve the relevant air  
77 quality through policies and measures. The emission standards for newly registered  
78 vehicles were tightened to China IV and the better quality of gasoline and diesel were  
79 supplied in 2013. Therefore, the characteristics of PM emission from vehicles in the  
80 PRD region might have changed throughout these years.

81 Tunnel experiments and chassis dynamometer tests were widely used to measure  
82 various pollutants emitted from vehicles (He et al., 2006; Heeb et al., 2003). However,  
83 dynamometer test has the defect that it cannot account for vehicle fleet composition  
84 and emissions characteristics related to break and tire wear and re-suspension of road  
85 dust (Thorpe and Harrison, 2008). Tunnel studies have been demonstrated to be a  
86 suitable setup to measure PM emissions from on-road mixed fleets (Chiang and  
87 Huang, 2009; Laschober et al., 2004; Pio et al., 2013).

88 This study was carried out in a roadway tunnel located in the PRD region. We  
89 report here the emission factors of PM<sub>2.5</sub> mass, organic carbon (OC), elemental carbon  
90 (EC), water-soluble inorganic ions (WSII), metal elements, water-soluble organic  
91 carbon (WSOC), organic compounds and stable carbon isotope. WSOC has the  
92 potential to modify the hygroscopicity of particles, PM size and cloud condensation

93 nuclei activities (Shulman et al., 1996), however, it is often ignored in previous  
94 studies owing to the hydrophobic nature of the organic aerosol from primary vehicle  
95 emission. Stable carbon isotope ( $\delta^{13}\text{C}$ ) is very useful for tracing sources  
96 (Lopez-Veneroni, 2009; Widory, 2006), and it was also less reported for vehicular  
97 exhaust emissions (Ancelet et al., 2011; Widory, 2006). The objectives of this study  
98 are: (1) to obtain comprehensive information on the chemical and stable carbon  
99 isotopic composition of  $\text{PM}_{2.5}$  emission from on-road vehicles in the PRD region; (2)  
100 to compare our results with the previous study conducted in the same tunnel in 2004;  
101 (3) to evaluate the effectiveness of the implementation of vehicle emission control  
102 policies from 2004 to 2013 in the PRD region. Although the fleet composition in this  
103 tunnel was probably different from the vehicle composition in the PRD region, it does  
104 not affect the conclusions in this paper.

105

## 106 **2. Experimental**

### 107 ***2.1. Tunnel sampling***

108  $\text{PM}_{2.5}$  samples were collected during August 10 to 14, 2013 from the roadway  
109 tunnel (Zhujiang Tunnel) located in Guangzhou City, China. It has two bores, each of  
110 which has three lanes with traffic in the same direction, as shown in Fig. 1. Two  
111 high-volume  $\text{PM}_{2.5}$  samplers (GUV-15HBL1, Thermo, USA) were placed at a  
112 distance of 75 m from the entrance and 75 m from the exit, respectively. The vehicle  
113 speed in the Zhujiang Tunnel was 18 to 45  $\text{km h}^{-1}$ , with an average vehicle speed of

114 33.4 km h<sup>-1</sup> during the sampling. The PM samples were collect at about 1.13 m<sup>3</sup> min<sup>-1</sup>  
115 through the quartz fiber filters (QFFs, 20.3 cm×25.4 cm, Whatman). Other devices  
116 such as diffusion denuders and foam plugs were not used due to the difficulties in  
117 applying these devices. Consequently, volatilization losses or adsorption artifacts may  
118 occur on the filter for semi-volatile organic compounds especially for the low  
119 molecular weight compounds due to their high volatility (Kavouras et al., 1999).  
120 However, the calculation of emission factors was based on the concentration  
121 differences between the exit and entrance of the tunnel, the potential losses or  
122 adsorption artifacts of semi-volatile organic compounds would be partly deducted.  
123 Field blank samples were also collected by loading filters into the samplers but  
124 without pulling air through. The ventilation system of the tunnel was turned off during  
125 the sampling period, thus the dispersion of air pollutants in the tunnel was mainly  
126 brought from the piston effect arising from the traffic flow. The sampled filters were  
127 wrapped with annealed aluminum foil and stored in a refrigerator at -40 °C till  
128 analysis. The meteorological parameters were synchronously recorded. A video  
129 camera was placed at the exit to record the passing vehicles during the sampling  
130 periods. The videotapes were then used to determine the vehicle counts and to classify  
131 the vehicles into three categories, namely, diesel vehicles (DV) (heavy-duty trucks,  
132 light-duty trucks and large passenger cars), gasoline vehicles (GV) (small cars and  
133 motorcycles), and liquefied petroleum gas vehicles (LPGV) (bus and taxies). The  
134 average traffic density during sampling was 1797 per hour with DV, GV and LPGV

135 proportion of  $13.7 \pm 2.7\%$ ,  $59.8 \pm 8.8\%$  and  $26.5 \pm 7.9\%$  respectively. More details of  
136 the vehicle counts and meteorological conditions are summarized in Table S1 of the  
137 Supplement.

138

### 139 **2.2 Chemical analysis**

140 The PM<sub>2.5</sub> mass concentrations were determined gravimetrically by weighing the  
141 quartz filters before and after sampling. The samples were conditioned in an  
142 electronic hygrothermostat for 24 h at 25 °C and 50% relative humidity (RH) before  
143 weighing. Then, samples were analyzed for OC/EC, WSOC, WSII, metal elements,  
144 organic compounds and stable carbon isotope. The experimental methods of the  
145 chemical analysis are available in the Supplement.

146

### 147 **2.3 Calculation of emission factor**

148 Average emission factor (*EF*) was calculated for each sampling period on the  
149 basis of the concentration difference between the exit and entrance of the tunnel by  
150 the following equation (Handler et al., 2008):

$$151 \quad EF = (C_{out} - C_{in})V/NL$$

152 where *EF* is the emission factor of a species in unit of mg vehicle<sup>-1</sup> km<sup>-1</sup>, *N* is the  
153 number of vehicles passing through the tunnel, *L* is the distance between inlet and  
154 outlet sampling locations, *C<sub>out</sub>* and *C<sub>in</sub>* are the measured species concentration at the  
155 tunnel outlet and inlet, respectively, and *V* is the corresponding air volume calculated



156 from the cross-sectional area of the tunnel, the average wind speed, and the sampling  
157 duration of each filter. The average concentrations of all measured species at the inlet  
158 and outlet sampling locations and the corresponding emission factors in this study are  
159 presented in Tables S2-4 of the Supplement.

160

### 161 **3. Results and discussion**

#### 162 ***3.1 Characteristics of PM<sub>2.5</sub> emissions from vehicles in the PRD region***

##### 163 *3.1.1 PM<sub>2.5</sub> mass, OC, EC, WSOC, WSII, metal elements*

164 The PM<sub>2.5</sub> mass emission factors ranged from 79.8 to 107 mg vehicle<sup>-1</sup> km<sup>-1</sup>,  
165 with an average of 92.4 ± 8.9 mg vehicle<sup>-1</sup> km<sup>-1</sup>. Average OC and EC emission factors  
166 were 16.7±1.9 and 16.4±2.1 mg vehicle<sup>-1</sup> km<sup>-1</sup>, respectively, and they accounted for  
167 19 ± 2% and 18 ± 2% of PM<sub>2.5</sub> mass emission. The ratio of OC to EC in the Zhujiang  
168 Tunnel ranged from 0.77 to 1.35, with an average of 1.03. Previous studies have  
169 shown that the OC/EC ratio is useful to separate gasoline engine emissions from  
170 diesel emissions. Higher values (> 2) are associated with GV and LPGV exhaust, and  
171 lower values (0.3 to ~0.9) associated with DV exhaust (Cadle et al., 1999; Cheng et  
172 al., 2010; Gillies and Gertler, 2000). Therefore, the low OC/EC ratios in this study,  
173 which are closer to that from DV exhaust, indicate that diesel vehicles played an  
174 important role in the PM<sub>2.5</sub> emission although the proportion of DV was only 13.7%  
175 during the sampling. Additionally, it should be noted that emissions of EC from heavy  
176 duty trucks are expected to be relatively low under the low speed operating conditions

177 in the tunnel (Kweon et al., 2002). Therefore, the ratio could be lower at the actual  
178 driving condition of vehicle fleet with a higher speed on the road. The concentration  
179 of WSOC at the inlet was  $6.21 \mu\text{g m}^{-3}$  (Table S2 of the Supplement) with a percentage  
180 of 31.1% of OC, which is close to that of ambient air (Ding et al., 2008; Ho et al.,  
181 2006). At the outlet of the tunnel, the concentration of WSOC was  $8.00 \mu\text{g m}^{-3}$ ,  
182 representing 17.9% of OC. The WSOC had been reported to contribute on average 20%  
183 to OC in the exit of Marseille roadway tunnel (El Haddad et al., 2009), in which  
184 background influence was included. The calculated emission factor of WSOC in this  
185 study ranged from  $0.5$  to  $2.8 \text{ mg vehicle}^{-1} \text{ km}^{-1}$ , with an average of  $1.31 \text{ mg vehicle}^{-1}$   
186  $\text{km}^{-1}$ , which consisted of 7.84% of OC. Such a WSOC fraction is considerably lower  
187 than that previously measured for biomass burning particles (71%) (Mayol-Bracero et  
188 al., 2002). However, it could influence the hygroscopicity of particles and the  
189 formation of secondary aerosols (Ho et al., 2006; Rogge et al., 1993b; Weber et al.,  
190 2007) and is worthy of more attention and in-depth research.

191 The sum of WSII comprised about 9.8% of the  $\text{PM}_{2.5}$  emission, with emission  
192 factors of 4.17, 0.104, 0.609, 2.88, 0.165, 0.177 and  $0.953 \text{ mg vehicle}^{-1} \text{ km}^{-1}$  for  $\text{Cl}^-$ ,  
193  $\text{NO}_3^-$ ,  $\text{SO}_4^{2-}$ ,  $\text{Na}^+$ ,  $\text{NH}_4^+$ ,  $\text{Mg}^{2+}$  and  $\text{Ca}^{2+}$ , respectively. The other WSII had a minor  
194 contribution ( $< 0.1 \text{ mg vehicle}^{-1} \text{ km}^{-1}$ ). Totally 27 measured metal elements  
195 contributed 15.2% to the  $\text{PM}_{2.5}$  emission. Fe was the most abundant element, with an  
196 emission factor of  $3.91 \text{ mg vehicle}^{-1} \text{ km}^{-1}$ , followed by Na  $3.53 \text{ mg vehicle}^{-1} \text{ km}^{-1}$ , Al  
197  $3.15 \text{ mg vehicle}^{-1} \text{ km}^{-1}$ , Ca  $1.93 \text{ mg vehicle}^{-1} \text{ km}^{-1}$ , Mg  $0.496 \text{ mg vehicle}^{-1} \text{ km}^{-1}$ , and K

198 0.338 mg vehicle<sup>-1</sup> km<sup>-1</sup>, which accounted for 4.2%, 3.8%, 3.4%, 2.1%, 0.5%, and 0.4%  
199 of PM<sub>2.5</sub> mass emission respectively. These six elements contributed 95.0% to the  
200 total metal emission. Emission factors of other metals ranged from 0.0001 (Ag) to  
201 0.25 (Ba) mg vehicle<sup>-1</sup> km<sup>-1</sup>, with a sum of 0.71 mg vehicle<sup>-1</sup> km<sup>-1</sup>. It is worth noting  
202 that emission factors of elements including Na, K, Mg and Ca were significantly  
203 higher than that of their corresponding water-soluble parts (Table S3 of the  
204 Supplement). The differences can be attributed to the water-insoluble matter with  
205 these metal elements, such as calcium and magnesium carbonates and Na-K-Mg  
206 bearing aluminosilicate species (Pio et al., 2013).

207 PM<sub>2.5</sub> mass was also obtained by summing OM, EC, geological component, sea  
208 salt, and major water soluble inorganic ions (NH<sub>4</sub><sup>+</sup>, SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>). OC was multiplied  
209 by 1.4 to estimate mass of OM (He et al., 2008). The geological component of 35 mg  
210 vehicle<sup>-1</sup> km<sup>-1</sup> was estimated based on the Al emission data as present in Table S3 of  
211 the Supplement. A typical road dust Al composition is 9% on average (Tiittanen et al.,  
212 1999). Sea salt of 9 mg vehicle<sup>-1</sup> km<sup>-1</sup> was estimated by Na assuming sea salt contains  
213 32% of Na. Thus, the average PM<sub>2.5</sub> reconstructed mass was 91.8% of the gravimetric  
214 value. This discrepancy can be attributed to the uncertainties in the weighing process,  
215 the estimation methods and uncalculated components.

216

### 217 3.1.2 Organic compounds

218 The average emission factors and abbreviated names of 67 individual organic

219 compounds identified in the Zhujiang Tunnel, including *n*-alkanes, polycyclic  
220 aromatic hydrocarbons (PAHs), hopanes, and steranes are listed in Table S4 of the  
221 Supplement. These organic compounds accounted for 0.59% of the OM and 0.11% of  
222 the PM<sub>2.5</sub> mass emissions, The distributions of organic molecular markers associated  
223 with PM<sub>2.5</sub> are known to be source indicative despite of their small mass fractions  
224 (Schauer et al., 1996; Simoneit, 1986). *n*-Alkanes are an important class of organic  
225 compounds in atmospheric aerosols, and their homologue distribution may indicate  
226 different pollution sources (Rogge et al., 1993a). In this study, the *n*-alkane traces  
227 were dominated by C11-C36 with no odd-even carbon number predominance and the  
228 maximum was at C24, consistent with the characteristics of vehicle emissions  
229 reported by Simoneit (Simoneit, 1984, 1985). The emission factors of of individual  
230 *n*-alkanes were in the range of 0.22 (C13)~ 13.3 (C24)  $\mu\text{g vehicle}^{-1} \text{ km}^{-1}$  (Table S4 of  
231 the Supplement).

232       There has been a worldwide concern to PAHs due to their known carcinogenic  
233 and mutagenic properties. PAHs are thought to be the result of incomplete combustion.  
234 Totally 15 priority PAHs (the results of naphthalene have not been discussed in this  
235 study due to its low recovery) were identified and quantified. The emission factor of  
236 total PAHs varied from 4.56 to 5.54  $\mu\text{g vehicle}^{-1} \text{ km}^{-1}$  in this study. The emission  
237 factor of benzo[a]pyrene (BaP), which is often used as an indicator of PAHs and  
238 regarded by World Health Organization as a good index for whole PAHs  
239 carcinogenicity, was in the range of 0.37 to 0.46  $\mu\text{g vehicle}^{-1} \text{ km}^{-1}$ . The emission

240 factors for other compounds ranged from 0.006 (acenaphthene) to 0.89 (pyrene)  $\mu\text{g}$   
241  $\text{vehicle}^{-1} \text{ km}^{-1}$  (Table S4 of the Supplement). Pyrene was the most abundant  
242 compound, followed by chrysene (CHR), benzo[ghi]perylene (BghiP) and  
243 benz[a]anthracene (BaA), which is different from biomass burning and coal  
244 combustion (Huang et al., 2014; Shen et al., 2012). PAHs diagnostic ratios have been  
245 used as a tool for identifying pollution emission sources including  $\text{ANT}/(\text{ANT}+\text{PHE})$ ,  
246  $\text{FLA}/(\text{FLA}+\text{PYR})$ ,  $\text{BaA}/(\text{BaA}+\text{CHR})$ ,  $\text{BbF}/(\text{BbF}+\text{BkF})$ ,  $\text{IcdP}/(\text{IcdP}+\text{BghiP})$  and  
247  $\text{BaP}/(\text{BaP}+\text{BghiP})$  (Tobiszewski and Namiesnik, 2012; Yunker et al., 2002; Zhang et  
248 al., 2005). We summarized PAHs ratios mentioned above in Fig. 2 for three  
249 combustion sources including vehicle emission, biomass burning and coal combustion.  
250 On the whole, the six ratios in this study are similar to the other tunnel experiments,  
251 though environmental conditions of tunnels are different to some extent. It is also  
252 suggested that the ratio of  $\text{FLA}/(\text{FLA}+\text{PYR})$  and  $\text{IcdP}/(\text{IcdP}+\text{BghiP})$  might be more  
253 suitable to distinguish vehicle emission from biomass burning and coal combustion.

254 Hopanes and steranes are known molecular markers of aerosol emissions from  
255 fossil fuel utilization (Simoneit, 1985). Rogge et al. (1993a) and Schauer et al. (1996)  
256 had shown that these petroleum biomarkers can be used to trace motor vehicle  
257 exhaust contributions to airborne PM in southern California atmosphere. Fourteen  
258 major hopanes homologues with emission factors ranging from 0.46 ~ 9.14  $\mu\text{g}$   
259  $\text{vehicle}^{-1} \text{ km}^{-1}$  and twelve steranes homologues ranging from 0.31 ~ 0.97  $\mu\text{g}$   $\text{vehicle}^{-1}$   
260  $\text{km}^{-1}$  were identified in this study.  $17\alpha(\text{H}),21\beta(\text{H})$ -hopane (HP30) was the most

261 abundant component with the emission factor of  $9.14 \mu\text{g vehicle}^{-1} \text{ km}^{-1}$ . The emission  
262 factor of total hopanes was  $32.0 \mu\text{g vehicle}^{-1} \text{ km}^{-1}$ . Emissions of the S hopanes for the  
263 extended  $17\alpha(\text{H}),21\beta(\text{H})$ -hopane homologues  $> \text{C}_{31}$  were always higher than those of  
264 the corresponding R pairs. All these characteristics of hopanes in the Zhujiang Tunnel  
265 are consistent with those in gasoline and diesel exhausts (Rogge et al., 1993a;  
266 Simoneit, 1985) and in other tunnel studies (see Fig. S1 of the Supplement). Emission  
267 factors of individual sterane ranged from  $0.31$  to  $0.97 \text{ ng vehicle}^{-1} \text{ km}^{-1}$ , and the sum  
268 of their emission factors was  $7.58 \mu\text{g vehicle}^{-1} \text{ km}^{-1}$ . The most abundant homologue  
269 was  $\text{C}_{29}\alpha\beta\beta$ -stigmastane (20R) ( $29\alpha\beta\beta\text{R}$ ), followed by  $29\alpha\alpha\alpha\text{S}$  and  $29\alpha\beta\beta\text{S}$ .

270

### 271 *3.1.3 Stable carbon isotope*

272 Stable carbon isotope analysis of vehicle emissions in Zhujiang Tunnel yielded  
273  $\delta^{13}\text{C}$  values ranging from  $-25.5$  to  $-24.7\text{‰}$  with an average value of  $-25.0 \pm 0.2\text{‰}$ , and  
274 is comparable to previously reported ranges of  $-29$  to  $-24.6\text{‰}$  (Table 1) for vehicular  
275 fuel emission. Generally, the variation in  $\delta^{13}\text{C}_{\text{Fuel}}$  could affect the  $\delta^{13}\text{C}$  of  
276 hydrocarbons (Keppler et al., 2004; Yamada et al., 2009). In the PRD region, the  $\delta^{13}\text{C}$   
277 value of gasoline was on average  $-28.6 \pm 0.6\text{‰}$ , and the  $\delta^{13}\text{C}$  value of diesel was  $-$   
278  $27.8 \pm 0.2\text{‰}$ , and small variation of fuel  $\delta^{13}\text{C}$  was observed (Hu et al., 2014). We  
279 calculated the isotopic differences between  $\delta^{13}\text{C}_{\text{PM}_{2.5}}$  and  $\delta^{13}\text{C}_{\text{Fuel}}$ , which represents  
280 the apparent isotopic fractionation occurring during fuel burning. It expressed as  
281  $\Delta^{13}\text{C}$  (‰), and is defined by the following equation (Yamada et al., 2009).

282 
$$\Delta^{13}C_{PM_{2.5}-Fuel} = \left( \frac{\delta^{13}C_{PM_{2.5}} + 1000}{\delta^{13}C_{Fuel} + 1000} - 1 \right) \times 1000$$

283 In this study, the value of  $\Delta^{13}C_{PM_{2.5}-Fuel}$  was from 2.7 to 3.5 ‰, with an average  
284 of 3.2 ‰, indicating an isotopic fractionation occurred during fuel combustion.  
285 Comparing the stable isotopic carbon value of vehicular fuel emission with other  
286 particulate emission sources (see Table 1), it is found that different emission sources  
287 showed different stable carbon isotopic composition. For total carbon in  $PM_{2.5}$  sample,  
288  $\delta^{13}C$  (‰) of coal and fuel oil combustion are -23.9‰ and -26.0‰ respectively, while  
289 that of vehicle emission is -25.9~-25.0‰. Obviously, the  $\delta^{13}C$  (‰) of vehicle  
290 emission is not significantly different from that of coal and fuel oil combustion.  
291 However, they are obviously different from other sources, like dust particle  
292 (-21~-18.4‰), C3 plant (-19.3~-13‰), and C4 plant (-34.7~-27‰). Therefore,  $\delta^{13}C$   
293 might be used to distinguish the fossil fuel combustion from the other sources.

294

### 295 ***3.2 Comparison with previous studies conducted in the same tunnel***

296 To investigate the variation of chemical emission characteristics from vehicles in  
297 the PRD region over the past decade, we compared the chemical emission  
298 characteristics of this study with that of previous study (He et al., 2008) for the same  
299 tunnel in 2004 (see Figs 3 and 4). Fig. 3 shows that  $PM_{2.5}$  mass, OC, EC decreased  
300 significantly from 2004 to 2013. The reason can be partly attributed to the  
301 implementation of pollution control measures for Chinese vehicle emission. During  
302 this 9-year period, vehicle emission standard have raised two levels (from China II in

303 2004 to China IV in 2013) (Table 2). Additionally, comparing the fleet composition  
304 of 2013 with 2004 in Zhujiang Tunnel, we found that the proportion of DV and GV  
305 decreased while that of LPGV increased. LPG is a type of clean energy, and LPGV is  
306 known to emit much less PM mass than GV and DV, while DV emits the most PM  
307 mass (Allen et al., 2001; Myung et al., 2014; Yang et al., 2007). LPG could be  
308 combusted more completely than gasoline and diesel. Changes mentioned above  
309 contributed greatly to the decrease of emission factors of OC and EC (31.3% and  
310 66.9%) and PM<sub>2.5</sub> mass (16.0%) from 2004 to 2013. However, the emissions of PM<sub>2.5</sub>  
311 mass, OC and EC are still quite higher than those measured in other countries (see  
312 Table S5 of the Supplement). The implication of these high emission levels is that  
313 both the fuel quality and engine technologies in the PRD region need to be further  
314 improved.

315 It is also found from Fig. 3 that emission factors of NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup> and NH<sub>4</sub><sup>+</sup>  
316 decreased from 2004 to 2013. Improvement of fuel quality resulted in decreasing of  
317 sulfate emission factor from 3.18 to 0.61 mg vehicle<sup>-1</sup> km<sup>-1</sup>, since the amount of sulfur  
318 in fuel is slashed by 81.5~ 95 % in China IV (2013) when compared that in China II  
319 (2004) (Table 3). The emission levels of nitrate and ammonium were about one-tenth  
320 of those observed in 2004, possibly because NO<sub>x</sub> emission standard is tightened from  
321 2004 to 2013 (Table 2) leading to the less production of ammonium nitrate. Emission  
322 factor of chloride is quite higher than that obtained from Zhujiang Tunnel in 2004 and  
323 other tunnels. Chloride was found up to 74 mg vehicle<sup>-1</sup> km<sup>-1</sup> in PM<sub>10</sub> in the Howell



324 Tunnel, due to the application of salt to melt ice on roadways in the winter (Lough et  
325 al., 2005). However, it is not applicable in Guangzhou City. The good correlation  
326 between  $\text{Cl}^-$  and  $\text{Na}^+$  ( $r^2=0.992$ ) indicates the re-suspension of sea salt particles  
327 combined with vehicle emission PM might be a major source (He et al., 2008).

328 Emission factors of most of the metal elements increased in Zhujiang Tunnel  
329 from 2004 to 2013 except Cd and Pb. Na emission increased  $3.18 \text{ mg vehicle}^{-1} \text{ km}^{-1}$  in  
330 2013 than in 2004. Na correlated weakly with  $\text{Cl}^-$  ( $r^2=0.374$ ) and  $\text{Na}^+$  ( $r^2=0.429$ ). This  
331 indicates that Na emission had other sources and it was not only from the  
332 re-suspension of sea salt particles. The other four most abundant elements including  
333 Fe, Ca, Mg, K increased by one to three times, probably because of resuspended road  
334 dust. However, the wind speed in 2013 was found to be higher but not significantly  
335 than that in 2004 ( $3.8 \text{ m s}^{-1}$  in 2013 versus  $3.0 \text{ m s}^{-1}$  in 2004). This minor difference in  
336 wind speed could not account for the large increase. Furthermore, examination of the  
337 number of vehicles per hour in 2013 and 2004 suggests that there were fewer vehicles  
338 per hour in 2013. Therefore, a more plausible explanation is that there was a lot more  
339 dust on the road in 2013. Other sources would cause the increased emissions of these  
340 elements, such as oil additive (Mg, Ca, Cu, Zn. (Cadle et al., 1997)) and the wear of  
341 engines (Fe) (Cadle et al., 1997; Garg et al., 2000), brakes and tires (Al, Fe,Cu, Mn,  
342 Cd, Ni, Pb and Zn. (Garg et al., 2000; Pio et al., 2013)). Additionally, emissions of Zn,  
343 Cu, Mn, Cr, Ni, V, As, Co, U, and Tl increased by 0.5 to 4.5 times. Although the sum  
344 of these elements did not exceed 0.5% of  $\text{PM}_{2.5}$  mass, they are important for health

345 effects. Lower emission factor of Pb ( $0.01 \pm 0.0007$  mg vehicle<sup>-1</sup> km<sup>-1</sup>) in 2013 than in  
346 2004, could be a result of the phase out of leaded gasoline across China in the late  
347 1990s.

348 Figure 4 shows comparison of organic compounds emission in Zhujiang Tunnel  
349 between 2004 and 2013. The *n*-alkane homologues exhibited a smooth hump-like  
350 distribution with the most abundance at C24, as shown in Fig. 4a. Such a distribution  
351 pattern was similar to patterns observed in Zhujiang Tunnel 2004. However, there are  
352 some differences. Firstly, the highest abundant *n*-alkane shifted from C23 in 2004 to  
353 C24 in 2013. This difference might be explained by the shift of gas-particle  
354 partitioning as alkanes of < C26 are semi-volatile. However, T-test showed that the  
355 temperatures were not significant different ( $p = 0.14$ ) between this study ( $33.0 \pm 2.3$  °C)  
356 and that in 2004 ( $31.8 \pm 1.0$  °C). Thus the differences due to different  $C_{\max}$  between  
357 this study and the study in 2004 cannot be regarded as a result of temperature  
358 differences. Furthermore,  $C_{\max}$  was found to be C24 in every test of this study,  
359 although the temperature ranged from 28.6 to 36.1 °C. It was reported that the  
360 *n*-alkane in the highest abundance was C20 for DV and C25 or C26 for GV in  
361 dynamometer tests (Rogge et al., 1993a; Schauer et al., 1999, 2002). As the emissions  
362 collected in tunnel studies present a composite result of emissions from a mixed  
363 vehicle fleet, the lower fraction of DV in 2013 was more likely the cause for the shift  
364 of the  $C_{\max}$ . Secondly, emission factors of C16-C26 in 2013 were quite lower than  
365 those in 2004, while this trend reversed gradually after C27. Emission factors of the

366 PAHs decreased by 67.6% ~ 93.4%. BaP equivalents (BaP<sub>eq</sub>) emission factors  
367 decreased by 88.1% from 2004 to 2013 (Table S6 of the Supplement). This could be  
368 attributed to the variation of fleet composition between 2004 and 2013. PAHs emitted  
369 from LPGV are about one-third of that from GV (Yang et al., 2007), while DV emit  
370 more PAHs than GV (Phuleria et al., 2006). Therefore, higher proportion of LPGV  
371 and lower proportion of DV resulted in the lower emission factor of PAHs in 2013  
372 than that in 2004. Emission factors of hopanes also decreased from 2004 to 2013, the  
373 percentage of decrease ranged from 56.2% to 68.7%. However, the distributions of  
374 hopane series derived from different tunnel studies were very similar (see Fig. S2 of  
375 the Supplement). This suggests that the hopane emission characteristics might be  
376 independent of the fleet composition. This is a reasonable result given that hopanes  
377 originate from the lubricating oil used in DV, GV and LPGV rather than from the fuel  
378 (He et al., 2008; Phuleria et al., 2006). Owing to more units in heavy-duty vehicle  
379 need lubrication, emission factors of hopanes attributable to heavy-duty vehicle were  
380 higher than that to light-duty vehicle (Phuleria et al., 2006). Reduction of the  
381 proportion of heavy-duty vehicle (bus, heavy-duty truck, large passenger cars)  
382 proportion in fleet composition in 2013 (11.3%) compared that in 2004 (20%) might  
383 be the reason that emission factors of hopanes decreased.

384

### 385 ***3.3 Implication for vehicle emission control policy***

386 Vehicle emission control strategies and policies adopted by Guangdong Province

387 can be classified as emission control on vehicles and fuel quality improvements or  
388 alternative fuel utilization. PM emission standards for newly registered vehicles were  
389 tightened from China II in 2004 to China IV in 2013 (Table 2). The reduction of on  
390 road high PM emitting vehicles, the phasing of lower PM emitting vehicles, and more  
391 environmental friendly vehicles on road with better advanced engines following the  
392 implementation of these emission standards were effective for decreasing PM  
393 emission. Emission factors of PM decreased by 16% from 2004 to 2013. Also for  
394 NO<sub>x</sub>, the emission limit was reduced to about half from 2004 to 2013. This change in  
395 emission standard which limit NO<sub>x</sub> emission was a major factor for emission factors  
396 of nitrate and ammonium decreased by about 90%. On the other hand, National  
397 Standard has been revised several times to improve fuel quality to adapt to stringent  
398 vehicle emission standards (Table 3). Taken sulfur content as an example, it has a  
399 sharp decrease by over 90% from 2004 to 2013, resulting in the decrease of emission  
400 factor of sulfate by 70%. Additionally, LPG and liquefied natural gas (LNG) are  
401 gradually taking the place of diesel and gasoline as the fuel of taxi and bus after 2004,  
402 and now seldom taxi and bus use diesel and gasoline as fuel  
403 (<http://www.southcn.com/news/gdnews/nanyuedadi/200707040173.htm>). The  
404 application of clean fuel led to nearly complete combustion, and resulted in much less  
405 emission from taxis and buses. In general, our results suggest that these strategies are  
406 effective to reduce emission factors of PM<sub>2.5</sub> mass, as well as OC, EC, WSII, and  
407 organic compounds in PM<sub>2.5</sub>. However, the total vehicle population increased year by

408 year. As shown in Fig. 5a, the total vehicle population increased by 49.1% from 2004  
409 to 2013. Total emission of vehicle exhaust of PM<sub>2.5</sub> mass (calculated as emission  
410 factors multiply by annual average driving distance per car and vehicle population  
411 (Wu et al., 2012)) increased by 25.2% from 2004 to 2013 (Fig. 5b). Consequently, it  
412 is demonstrated that more stringent emission standards and higher quality of fuel or  
413 more utilization of clean fuels will be necessary to offset the impacts on the growth in  
414 vehicle population and to improve air quality in the PRD region. Additionally, owing  
415 to no mandatory national standards to limit metal content from vehicle emission, the  
416 emission of majority of metals increased from 2004 to 2013 (Fig. 3 and 5b). In China,  
417 heavy metals, including As, Cr, Cu, Ni and Tl, had been listed as key substances that  
418 should be preferentially monitored in the atmospheric environment (SEPA, 2003) and  
419 thus the increases of metal elements should raise the awareness of the government due  
420 to their health concern.

421

#### 422 **4. Conclusions**

423 PM<sub>2.5</sub> samples were collected between August 10 and 14, 2013 in Guangzhou  
424 Zhujiang Tunnel to acquire a comprehensive snapshot of the chemical characteristics  
425 of vehicle emission. The average emission factors of PM<sub>2.5</sub> mass, EC, OC, WSOC,  
426 WSII, metal elements, organic compounds and stable carbon isotope were measured.  
427 Stable carbon isotope  $\delta^{13}\text{C}$  value indicates an isotopic fractionation of 3.2‰ occurred  
428 during fuel combustion. Compared with a previous study in Zhujiang Tunnel in year

429 2004, emission factors of PM<sub>2.5</sub> mass, EC, OC, and major WSII decreased due to  
430 control policy induced changes throughout the nine years from 2004 to 2013, that is,  
431 change of fleet composition, implementation of more stringent gasoline and diesel  
432 emission standards, improvement in fuel quality, and clean fuel used for taxi and  
433 buses. The shift in n-alkanes distribution and decreased PAHs emission were due to  
434 the lower proportion of DV in 2013 than in 2004, and the decrease in emission factor  
435 of hopanes was due to the reduction of proportion of heavy duty vehicles. Our study  
436 shows that control policies for vehicles emission by the government were effective to  
437 decrease the emission factors of PM<sub>2.5</sub>, EC, OC, and WSII from on-road vehicular  
438 fleets. However, the increase in emission of most metal elements should raise the  
439 awareness of the government, since metal elements, especially heavy metals could  
440 affect human health. Also, in order to offset the impacts in the growth of vehicle  
441 population and to improve air quality in the PRD region, more stringent emission and  
442 aggressive control policies are necessary.

443

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661

662 **Table 1.**  $\delta^{13}\text{C}$  values (‰) of PM from vehicle emission in this study and other emission sources

Emission sources and Sampling site	Particle types	$\delta^{13}\text{C}$ values	Sampling time	Reference
<b>Vehicular fuel emission</b>				
Vehicle emissions (Zhujiang Tunnel, China)	PM <sub>2.5</sub> /TC	-25.0±0.3	August 2013	This study
Vehicle emissions (Tunnel of Rio de Janeiro, Brazil)	PM/OC	-25.4	April 1985	(Tanner and Miguel, 1989)
Vehicle emissions (Tunnel of Rio de Janeiro, Brazil)	PM/EC	-24.8	April 1985	(Tanner and Miguel, 1989)
Complete combustion of diesel	PM/TC	-29	N/A	(Widory, 2006)
Complete combustion of gasoline	PM/TC	-27	N/A	(Widory, 2006)
Vehicle emissions (Cassier Tunnel, Canada)	PM <sub>2.5</sub> /OC	-27.1	N/A	(Huang et al., 2006a)
Vehicle emissions (Cassier Tunnel, Canada)	PM <sub>2.5</sub> /EC	-26.9	N/A	(Huang et al., 2006a)
Diesel vehicle emissions (Central Camionera del Norte, Mexico)	PM <sub>2.5</sub> /TC	-24.6±0.3	March 2002	(Lopez-Veneroni, 2009)
Gasoline vehicle emissions (Tunnel of Avenida Chapultepec, Mexico)	PM <sub>2.5</sub> /TC	-25.5±0.1	March 2002	(Lopez-Veneroni, 2009)
Vehicle emissions (Mount Victoria Tunnel, New Zealand)	PM <sub>2.5</sub> /TC	-25.9±0.8	December 2008 to March 2009	(Ancelet et al., 2011)
<b>Non-vehicular fuel sources</b>				
Coal combustion (Paris, Franch)	PM <sub>2.5</sub> /TC	-23.9±0.5	May to September 2002	(Widory et al., 2004)
Coal combustion (Yurihonjo City, Japan)	PM <sub>2.5</sub> /EC	-23.3	N/A	(Kawashima and Haneishi, 2012)
Charcoal combustion (Yurihonjo City, Japan)	PM <sub>2.5</sub> /EC	-27.4±1.7	N/A	(Kawashima and Haneishi, 2012)
Fireplace soot (Yurihonjo City, Japan)	PM/EC	-26.5±0.1	N/A	(Kawashima and Haneishi, 2012)
Fuel oil combustion (Paris, Franch)	PM <sub>2.5</sub> /TC	-26.0±0.5	May to September 2002	(Widory et al., 2004)
<b>Dust particles</b>				
Street dust (Mexico City, Mexico)	PM <sub>2.5</sub> /TC	-21±0.2	March 2002	(Lopez-Veneroni, 2009)
Street dust (Yurihonjo City, Japan)	PM <sub>2.5</sub> /EC	-18.4~ -16.4	November 2009	(Kawashima and Haneishi, 2012)
<b>Biomass burning</b>				
C4 Plant	PM/TC	-13±4	N/A	(Boutton, 1991)
C4 Plant (Yurihonjo City, Japan)	PM <sub>2.5</sub> /EC	-19.3~ -16.1	April to November 2009	(Kawashima and Haneishi, 2012)
C3 Plant	PM/TC	-27±6	N/A	(Boutton, 1991)
C3 Plant (Yurihonjo City, Japan)	PM <sub>2.5</sub> /EC	-34.7~ -28.0	April to November 2009	(Kawashima and Haneishi, 2012)

663 **Table 2.** Vehicle emission standard and limit for PM and NO<sub>x</sub> implemented in

664 Guangzhou after 2000

Emission standard	Year <sup>a</sup>	Limit for PM		Limit for NO <sub>x</sub>	
		g km <sup>-1</sup> <sup>b</sup>	g kWh <sup>-1</sup> <sup>c</sup>	g km <sup>-1</sup> <sup>b</sup>	g kWh <sup>-1</sup> <sup>c</sup>
China I	2001	0.14~0.40	0.40~ 0.68	-	8.0~ 9.0
China II	2004	0.08~0.20	0.15	-	7.0
China III	2007	0.05~ 0.10	0.10~ 0.21	0.15~ 0.78	5.0
China IV	2010	0.025~0.060	0.02~ 0.03	0.08~ 0.39	3.5

665 <sup>a</sup> Year of implementation; <sup>b</sup> for light duty vehicle; <sup>c</sup> for compression ignition and gas fuelled positive ignition

666 engines of vehicles.

667 **Table 3.** Vehicle fuel standard and limit for sulfur content (mg kg<sup>-1</sup>) implemented in  
 668 Guangzhou after 2000

Standard	China I		China II		China III		China IV	
	Limit	Year <sup>a</sup>	Limit	Year	Limit	Year	Limit	Year
Gasoline	1000	2001	500	2005	150	2006	50	2010
Diesel	2000	2002	500	2003	350	2010	50	2013
LPG	-	-	270 <sup>b</sup>	2003	-	-	50	2013

669 <sup>a</sup> Year of implementation; <sup>b</sup> unit: mg m<sup>-3</sup>

670 **Figure captions:**

671 **Fig. 1.** Sampling schematic diagram of the Zhujiang Tunnel.

672

673 **Fig. 2.** ANT/(ANT+PHE), FLA/(FLA+PYR), BaA/(BaA+CHR), BbF/(BbF+BkF),  
674 BaP/(BaP+BghiP) and IcdP/(IcdP+BghiP) ratios for three source emissions. The  
675 vehicle emission (VE) composition is from data collected in roadway tunnel((this  
676 study), (He et al., 2008), (Ancelet et al., 2011), (He et al., 2006), (Ho et al., 2009),  
677 (Oda et al., 2001). The biomass burning profiles are obtained from 9 straws (Shen et  
678 al., 2011), 26 firewood (Shen et al., 2012), 3 plant leaves and branches (Sheesley et al.,  
679 2003) and 2 biomass briquettes burning (Sheesley et al., 2003). The coal combustion  
680 profiles are selected from 5 coals imitate combustion (Shen et al., 2011)and main  
681 coal-mining regions in China (Zhang et al., 2008Zhang et al., 2008).

682

683 **Fig. 3.** Comparison of PM<sub>2.5</sub>, OC, EC, WSII and metal emissions in the Zhujiang  
684 Tunnel sampling in 2004 and 2013.

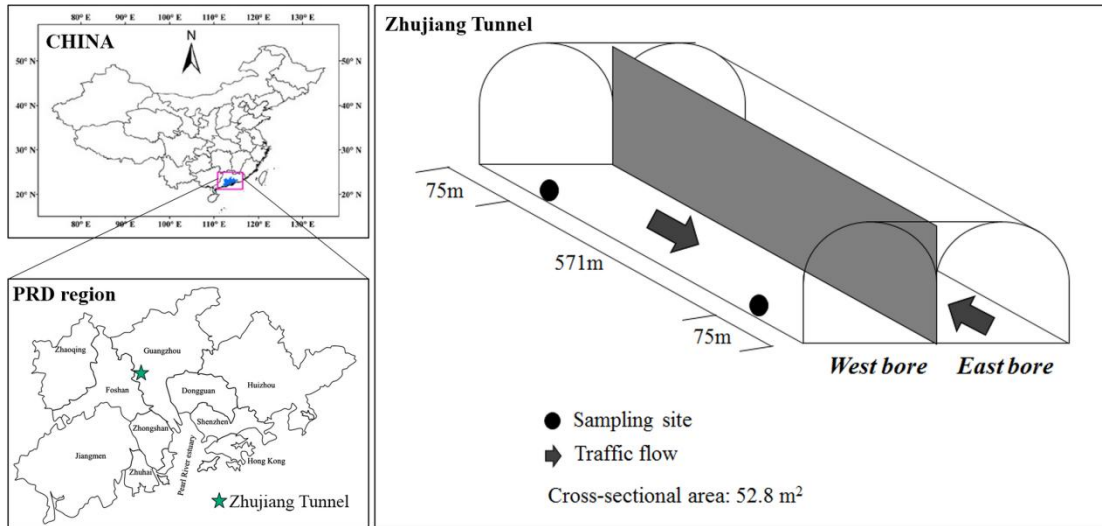
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686 **Fig. 4.** Comparison of organic compounds emissions in the Zhujiang Tunnel sampling  
687 in 2004 and 2013.

688

689 **Fig. 5.** (a) Growth in total vehicle population in Guangzhou during 2004-2013. (b)  
690 Total exhaust emission of PM<sub>2.5</sub> mass, OC, EC, WSII and metal in 2004 and 2013.

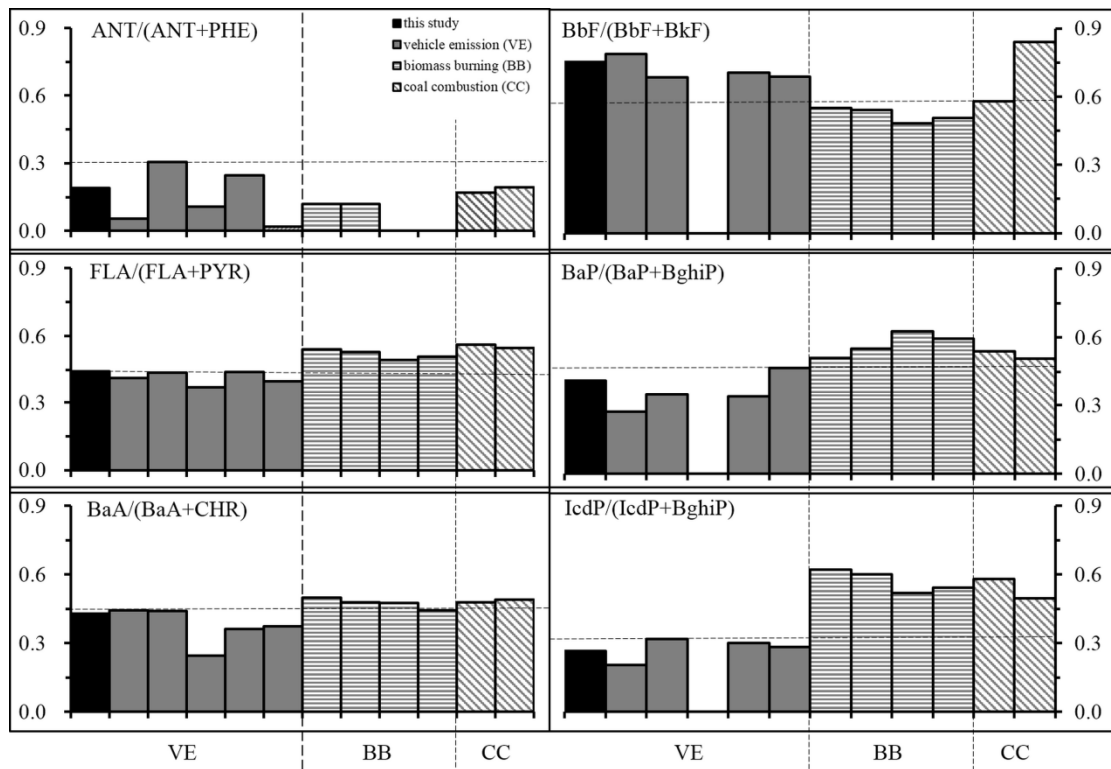




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Fig. 1

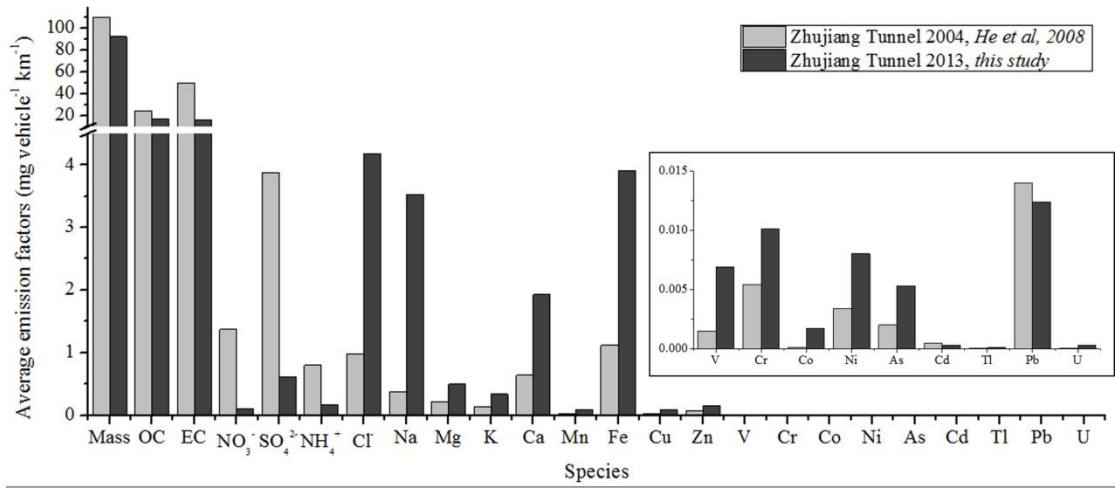
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Fig. 2

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Fig. 3

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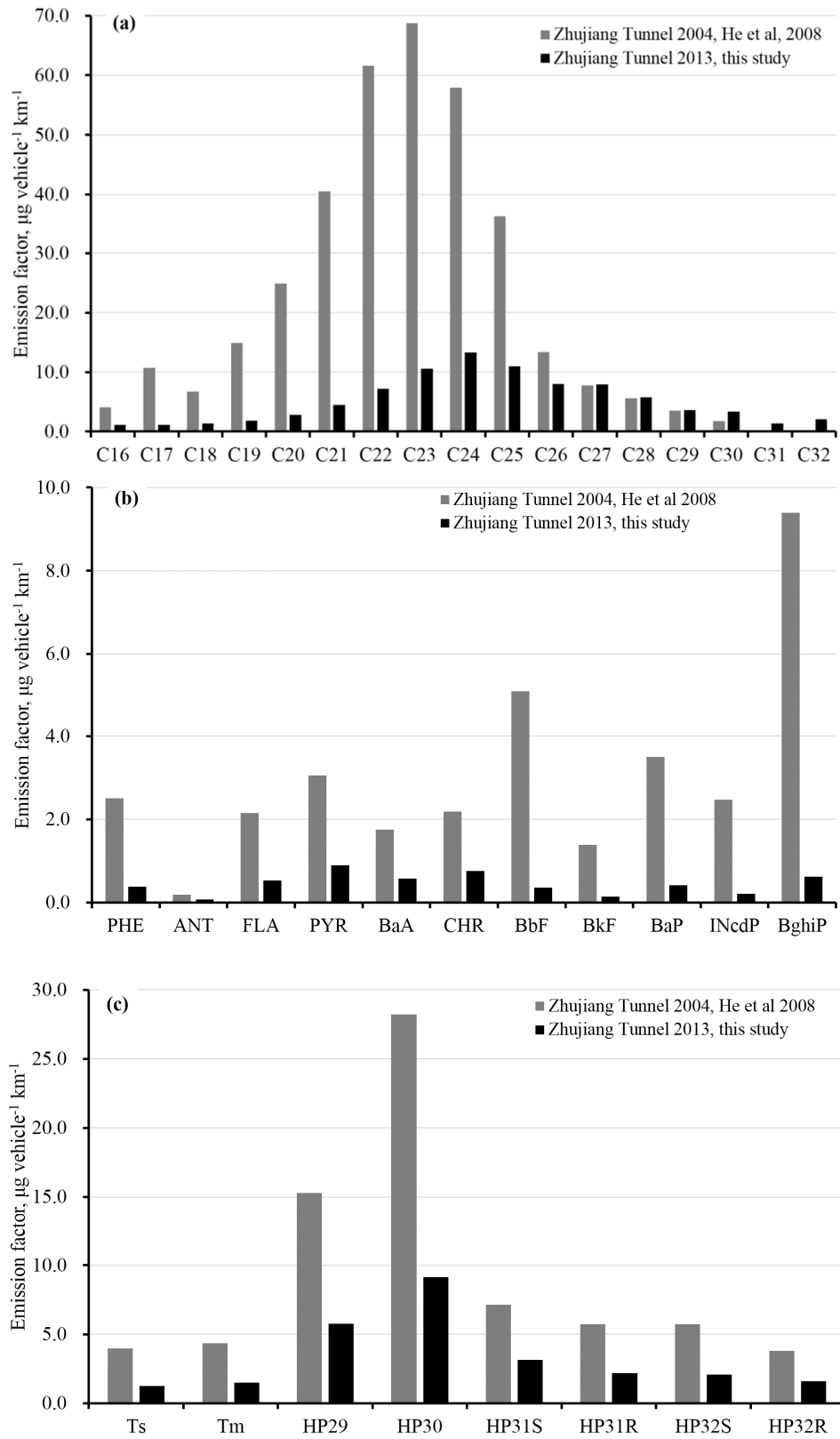
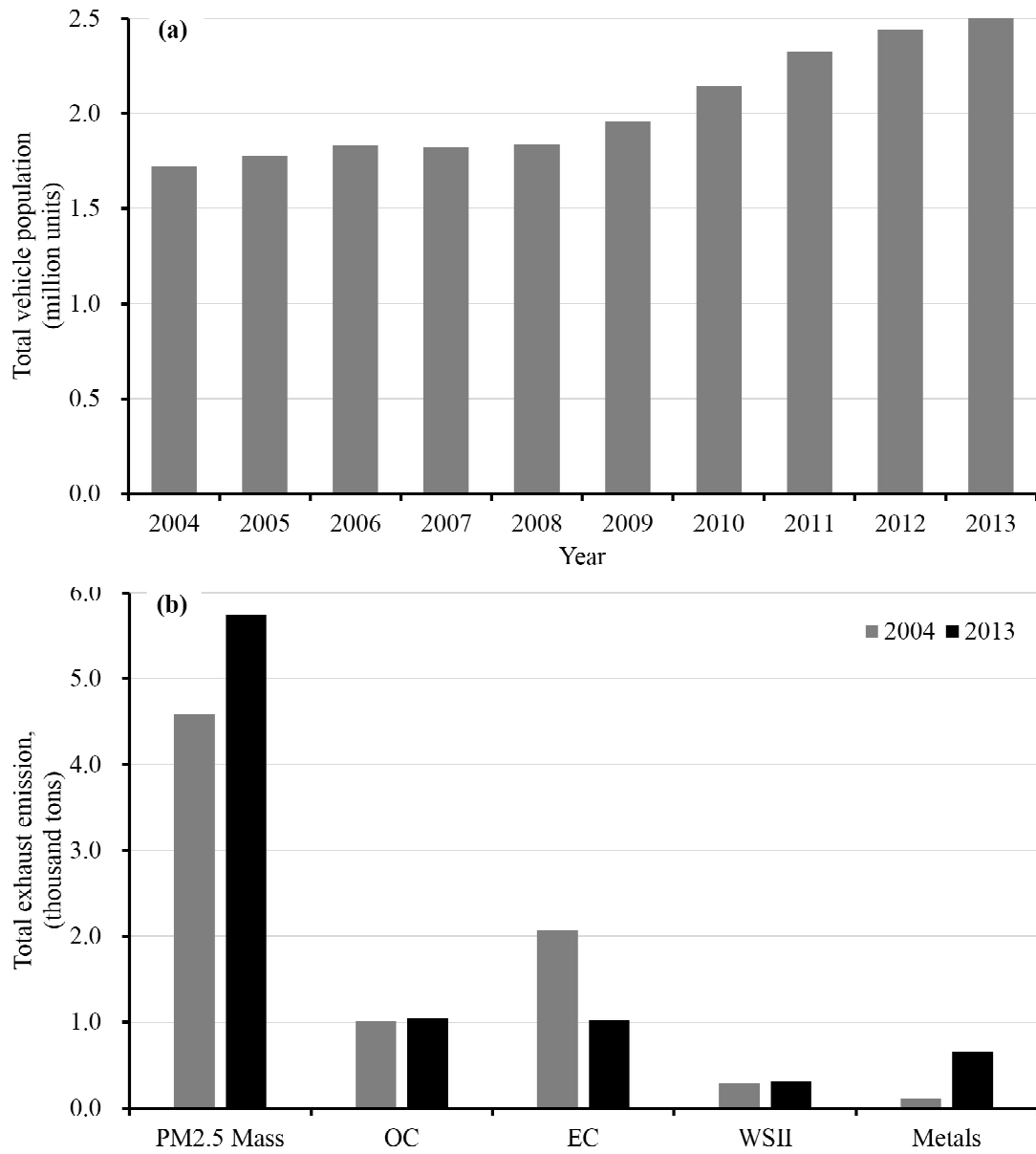


Fig. 4



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Fig. 5

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