

1 We would like to thank the reviewers for their thorough and helpful review of our manuscript.  
2 It has certainly helped us to improve the manuscript.

3  
4 We would like to start by mentioning that all the  $R^2$  values given in the manuscript (e.g. in  
5 Table 3) are wrong. I (Dr. Roldin) calculated them just before I submitted the revised  
6 manuscript and a few days later I realized that it was correlation coefficient (R) and not  $R^2$ .  
7 Thus, all reported  $R^2$  should be lower and the difference between the different nucleation  
8 mechanisms becomes somewhat more apparent from the  $R^2$ -values. We apologize for this.  
9 The new Table 3 with the correct  $R^2$  values is given below. We have changed all the  $R^2$ -  
10 values in the text too.

11  
12 Below you can find our answers to each review comment. The review comments are marked  
13 with yellow.

14  
15 **Answers to reviewer 1:**

16  
17 “In Section 2 the description of the light source is still not adequate. Figure S1 shows the  
18 spectrum of the "discharge lamps used for illumination and to simulate the solar light  
19 spectrum", but not the UV lights that actually drive the photolysis reactions during the  
20 irradiation period. The spectrum on S1 has no intensity in the UV region that causes the  
21 photolysis of O<sub>3</sub> to OH that forms the OH radicals. To only show this spectrum implies it is  
22 the main light source, which isn't the case.”

23 Yes, we agree. In the previous version of the manuscript the information about the UV-light  
24 spectrum used in the model was given in Sect. 3.7 on page 17, L20-30 but the description of  
25 the light sources should be clearly described already in Sect. 2. The measured light spectrum  
26 was only available down to 280 nm in wavelength and this is what we showed in the  
27 supplementary material. We will also add the 254 nm wavelength peak that we used to  
28 represent the UV-light spectrum from the Philips, TUV 40W lamp in the model. The new Fig.  
29 S1 is given below. We have moved the description of the UV-light source from Sect. 3.7 to  
30 Sect. 2.

To Sect. 2 we have now added:

“For the model simulations we used the measured spectrum for the discharge lamps in the wavelength range of 280-650 nm and a single UV-light peak at a wavelength of 254 nm which represents the UV-spectrum from UV-light source, a Philips, TUV 40W lamp (Fig. S1). The UV-light source intensity corresponds to a  $\text{O}_3$  to  $\text{O}(^1\text{D})$  photolysis rate of  $2.9 \times 10^{-3} \text{ s}^{-1}$  (Mentel et al., 2009).”

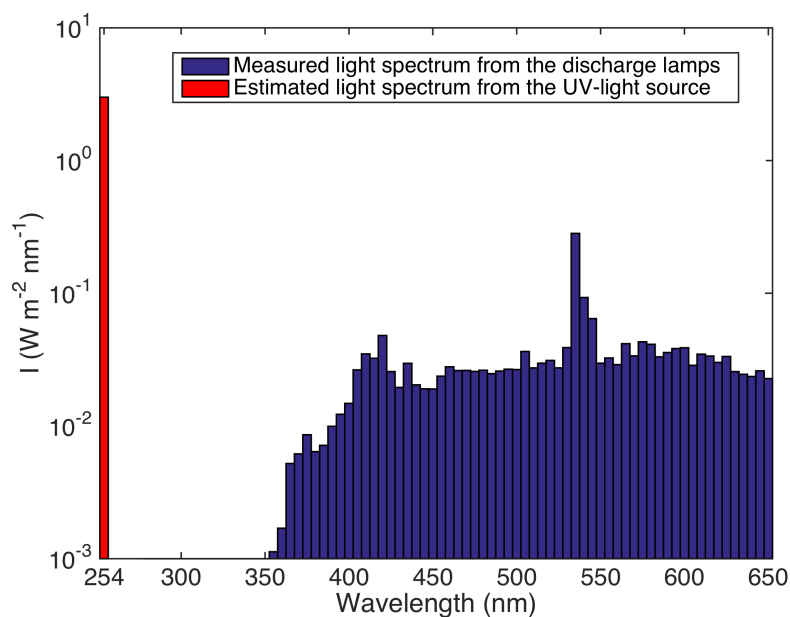


Figure S1. Light spectrum intensity (I) in the reaction chamber. The light spectrum was used to calculate the photolysis rate coefficients used in the MCMv3.2 gas-phase chemistry. The light spectrum has been discretized into 5 nm wavelength intervals. The red bar corresponds to the estimated single 254 nm light spectrum peak from the UV-light source (Philips, TUV 40W lamp).

1 “I found the discussion of the mechanisms in Section 3.1 and of the tests carried out with  
2 different assumptions about the mechanisms in Section 4.2.2 somewhat unclear. They need to  
3 clarify what they mean by "included the first order reactions of OH, O<sub>3</sub>, and NO<sub>3</sub>" with the  
4 compounds that are not in MCM. These are not actually first order reactions. Do they mean  
5 the INITIAL reactions of the compounds with OH, O<sub>3</sub>, etc?

6 How were these represented? They mentioned using MCM pathways developed for other  
7 compounds in some cases, but not for all. It looks like they used different mechanisms and  
8 assumptions in their calculations in order to look at the effects of the differences, but the  
9 discussion doesn't clearly indicate the specific mechanisms used.”

11 Yes, you are correct. It should be: "included the first generation oxidation reactions of OH,  
12 O<sub>3</sub>, and NO<sub>3</sub>" or as you mention, the initial reactions of the compounds with OH, O<sub>3</sub> and NO<sub>3</sub>.  
13 We have replaced the term “first-order” with “initial” in this section.

15 In all the simulations, we have used the identical gas-phase chemistry code except that we  
16 turned on or off the ELVOC formation (R1-R4). However, as is described in Sect. 3.6 for the  
17 simulations where the MCM gas-phase chemistry compounds also were used to simulate the  
18 SOA formation (particle growth) (not the 2D-VBS simulations), we assumed that all  
19 sesquiterpenes behave as (were emitted as)  $\beta$ -caryophyllene. The motivation (which is given  
20 in Sect. 3.6) to this is that otherwise we would substantially underestimate the SOA formation  
21 from sesquiterpenes.

23 For the VOCs not included in the Master Chemical Mechanism, the initial reactions with OH,  
24 O<sub>3</sub>, and NO<sub>3</sub> was only used to take into account the loss of the reacting OH, O<sub>3</sub> and NO<sub>3</sub>.  
25 Exceptions for this were the most abundant sesquiterpenes (farnesene,  $\Delta$ -cardinene and “other  
26 SQTs”), for which, we also assumed that on average  $\frac{1}{2}$  OH molecule was formed for each  
27 sesquiterpene molecule that reacted with O<sub>3</sub>, as well as the monoterpenes ocimene,  $\Delta^3$ -carene  
28 and “other MTs”, for which the chemistry beyond the first oxidation step was approximated  
29 with the MCM chemistry path of limonene,  $\alpha$ -pinene, and a mixture of 50 %  $\alpha$ -pinene and 50  
30 %  $\beta$ -pinene, respectively. We have extended the description of the gas-phase chemistry  
31 scheme with this information (see below). Now all assumptions of the different reaction

1 pathways of all 28 VOCs are described in Sect. 3.1. We have also included a Table S1 in the  
2 supplementary material that gives all initial reaction rates of the 28 VOCs and a reference for  
3 each reaction rate.

4  
5 Below you can find the modified and extended gas-phase chemistry scheme description that  
6 we have added to Sect. 3.1 and Table S1:

7  
8 “In total, the gas-phase chemistry mechanism has 2294 species and 6487 chemical and  
9 photochemical reactions for the chemical calculations. These include relevant inorganic  
10 reactions and the full MCM chemistry path for isoprene,  $\alpha$ -pinene,  $\beta$ -pinene, limonene,  $\beta$ -  
11 caryophyllene, toluene, 2-butanol and hexanal. We also included the initial reactions of OH,  
12 O<sub>3</sub>, and NO<sub>3</sub> with the following organic compounds: myrcene, sabinene, camphene, ocimene,  
13  $\Delta^3$ -carene, “other MTs” (which we assumed to have the same rate coefficients as  $\alpha$ -pinene),  
14 cineole (also known as eucalyptol), farnesene, “other SQTs” (which assumed the same rate  
15 coefficients as  $\beta$ -caryophyllene),  $\alpha$ -terpinene,  $\Delta$ -terpinene,  $\alpha$ -phellandrene,  $\beta$ -phellandrene,  
16 and terpinolene. Furthermore, we included the initial reactions between OH and the following  
17 organic compounds: tricyclene, nonanal, bornyl acetate and methyl salicylate. Finally, the  
18 initial reactions between O<sub>3</sub> and the following organic compounds were included:  $\alpha$ -  
19 humulene,  $\alpha$ -longipinene, and  $\Delta$ -cardinene. Table S1 lists the reaction rate coefficients that  
20 were used for all 28 VOCs, together with references to the publications where the rate  
21 coefficients can be found. For the BVOCs with very small contribution to the total BVOC  
22 concentration in the chamber (myrcene, sabinene, camphene, cineole,  $\alpha$ -terpinene,  $\Delta$ -  
23 terpinene,  $\alpha$ -phellandrene,  $\beta$ -phellandrene, terpinolene, tricyclene, nonanal, bornyl acetate,  
24 methyl salicylate,  $\alpha$ -longipinene and  $\alpha$ -humulene), the initial reaction was only considered as  
25 a sink of OH, O<sub>3</sub> and NO<sub>3</sub>, without any other influence on the MCM gas-phase chemistry  
26 scheme. The oxidation of the sesquiterpenes farnesene,  $\Delta$ -cardinene and “other SQTs” were  
27 treated in a similar manner, except that we assumed that on average  $\frac{1}{2}$  OH molecule was  
28 formed for each sesquiterpene molecule that reacted with O<sub>3</sub>.

29  
30 Ocimene constituted a major fraction of MT on the first experiment day. The chemistry path  
31 for ocimene is not available in the MCM. However, we included the ocimene chemistry by



approximating its chemistry beyond the first oxidation step with that of limonene, for which the full MCM chemistry path is available. We also approximated the chemical path for  $\Delta^3$ -carene after the first oxidation step with that of  $\alpha$ -pinene, since both are bicyclic monoterpenes with an endocyclic double bond, as was done by Boy et al. (2013). For the “other MTs”, we approximated the chemistry beyond the first oxidation step assuming that 50 % of the oxidation products end up as the corresponding MCM  $\alpha$ -pinene first generation oxidation products and 50 % end up as the corresponding MCM  $\beta$ -pinene first generation oxidation products.”

Table S1. The initial VOC reaction rates with OH, O<sub>3</sub> and NO<sub>3</sub> used in the gas-phase chemistry code.

Name	$k_{\text{OH}} (\text{cm}^3 \text{ s}^{-1})$	$k_{\text{O}_3} (\text{cm}^3 \text{ s}^{-1})$	$k_{\text{NO}_3} (\text{cm}^3 \text{ s}^{-1})$
Isoprene	$2.7 \times 10^{-11} e^{(390/T) a}$	$1.03 \times 10^{-14} e^{(-1995/T) a}$	$3.15 \times 10^{-12} e^{(-450/T) a}$
$\alpha$ -Pinene	$1.2 \times 10^{-11} e^{(440/T) a}$	$6.3 \times 10^{-16} e^{(-580/T) a}$	$1.2 \times 10^{-12} e^{(490/T) a}$
$\beta$ -Pinene	$2.38 \times 10^{-11} e^{(357/T) a}$	$1.5 \times 10^{-17} a$	$2.51 \times 10^{-12} a$
Myrcene	$2.15 \times 10^{-10} b$	$4.7 \times 10^{-16} b$	$1.1 \times 10^{-11} b$
Sabinene	$1.17 \times 10^{-10} b$	$8.6 \times 10^{-17} b$	$1.0 \times 10^{-11} b$
Camphene	$5.3 \times 10^{-11} b$	$9.0 \times 10^{-19} b$	$6.6 \times 10^{-13} b$
Ocimene	$2.52 \times 10^{-10} b$	$5.40 \times 10^{-16} b$	$2.2 \times 10^{-11} b$
$\Delta^3$ -Carene	$8.8 \times 10^{-11} b$	$3.7 \times 10^{-17} b$	$9.1 \times 10^{-12} b$
$\alpha$ -Terpinene	$3.63 \times 10^{-10} b$	$2.11 \times 10^{-14} b$	$1.4 \times 10^{-10} b$
$\gamma$ -Terpinene	$1.77 \times 10^{-10} b$	$1.4 \times 10^{-16} b$	$2.9 \times 10^{-11} b$
$\alpha$ -Phellandrene	$3.13 \times 10^{-10} b$	$2.98 \times 10^{-15} b$	$8.5 \times 10^{-11} b$
$\beta$ -Phellandrene	$1.68 \times 10^{-10} b$	$4.7 \times 10^{-17} b$	$8.0 \times 10^{-12} b$
Terpinolene	$2.25 \times 10^{-11} b$	$1.88 \times 10^{-15} b$	$9.7 \times 10^{-11} b$
Tricyclene	$2.86 \times 10^{-12} c$		
Other MTs	Same as $\alpha$ -Pinene	Same as $\alpha$ -Pinene	Same as $\alpha$ -Pinene
$\beta$ -Caryophyllene	$1.97 \times 10^{-10} a$	$1.16 \times 10^{-14} a$	$1.9 \times 10^{-11} a$
Farnesene	Same as $\beta$ -Caryop.	Same as $\beta$ -Caryop.	Same as $\beta$ -Caryop.
$\alpha$ -Longipinene		$2.9 \times 10^{-16} d$	

Δ-Cardinene		$3.2 \times 10^{-15}{}^d$	
Other SQTs	Same as β-Caryop.	Same as β-Caryop.	Same as β-Caryop.
2-Butanol	$8.7 \times 10^{-10}{}^a$		
Hexanal	$2.88 \times 10^{-11}{}^a$		$1.4 \times 10^{-12} e^{(-1860/T)}{}^a$
Benzene	$2.3 \times 10^{-12} e^{(-190/T)}{}^a$		
Toluene	$1.8 \times 10^{-12} e^{(340/T)}{}^a$		
Eucalyptol	$1.1 \times 10^{-11}{}^e$	$1.5 \times 10^{-19}{}^f$	$1.7 \times 10^{-16}{}^e$
Nonanal	$3.6 \times 10^{-11}{}^g$		
Bornyl acetate	$1.39 \times 10^{-11}{}^h$		
Methyl salicylate	$4.0 \times 10^{-21}{}^i$		

<sup>a</sup> Master Chemical Mechanism v3.2(Jenkin et al., 1997, 2012; Saunders et al., 2003), <sup>b</sup> Atkinson (1997), <sup>c</sup> Atkinson and Aschmann (1992), <sup>d</sup> Pollmann *et al.* (2005), <sup>e</sup> Corchnoy and Atkinson (1990), <sup>f</sup> Atkinson *et al.* (1990), <sup>g</sup> Bowman *et al.* (2003), <sup>h</sup> Coeur *et al.* (1998), <sup>i</sup> Canosa-Mas *et al.* (2002)

“Were the parameterized representations shown in Equations R2-R4 used in all model calculations or only some?”

R3-R4 was only used for those simulations where the nano-CN formation was represented by Eq. 3-6. Below the line where R4 is given, we have now added the following sentence:

“The reactions R3 and R4 were only used in those simulations where ELVOC<sub>nuc1</sub> were involved in the nano-CN formation (Eq. 3-6).”

”

R1-R2 was not used in all simulations. When we described the model results in Sect. 4.2.2 we tried to clearly write out whether we included ELVOC formation in the SOA formation or not. But we realize that in Sect. 4.2.1 we did not mention this explicitly. We have now added the information if and how we included ELVOC formation for the SOA formation (R1-R2) to the figure captions of Figs. 6-11.

1 In Sect. 4.2.2 where we described Fig. 8 we wrote previously:

2  
3 “In Fig. 8 we compare the modelled (a) total particle number concentration and (b) total  
4 particle volume concentration with the observations from the PSM-CPC and the SMPS. The  
5 model results are from simulations with the 2D-VBS with or without ELVOC formation from  
6 ozonolysis and OH-oxidation of all monoterpenes and sesquiterpenes (molar yield of 7 % and  
7 1 %, respectively), as well as from a simulation where the condensable organic compounds  
8 were represented by the MCMv3.2 compounds (in total 488 compounds with  $p_0 < 10^{-2}$  Pa,  
9 including ELVOCs from ozonolysis of  $\alpha$ -pinene and  $\Delta^3$ -carene).”

10  
11 We have clarified the text and also refer to R1 and R2 in it:

12  
13 “In Fig. 8 we compare the modelled (a) total particle number concentration and (b) total  
14 particle volume concentration with the observations from the PSM-CPC and the SMPS. The  
15 model results are from simulations with the 2D-VBS with or without ELVOC formation from  
16 the ozonolysis and OH-oxidation of all monoterpenes and sesquiterpenes (R1-R2) (molar  
17 yield of 7 % and 1 %, respectively), as well as from a simulation where the condensable  
18 organic compounds were represented by the MCMv3.2 compounds (in total 488 compounds  
19 with  $p_0 < 10^{-2}$  Pa, including ELVOCs from the ozonolysis of  $\alpha$ -pinene and  $\Delta^3$ -carene (R1)).”

20  
21 When we described Fig. 9 we previously wrote: “Figure 9 shows the modelled SOA volatility  
22 distribution as a function of particle size. The results are from a simulation with the 2D-VBS,  
23 including ELVOC formation from monoterpenes and sesquiterpens oxidized by  $O_3$  and OH.”

24  
25 We have now removed Fig. 9 so this text will also disappear.

26  
27 When we described Fig. 10 (now Fig. 9) we previously wrote: “Figure 10 shows the modelled  
28 SOA volatility distribution as a function of particle size. The results are from a simulation  
29 with the 2D-VBS, including ELVOC formation from monoterpenes and sesquiterpens

1 oxidized by O<sub>3</sub> and OH.”

2  
3 We have clarified this and write now:

4  
5 “Figure 9 shows the modelled SOA volatility distribution as a function of particle size. The  
6 results are from a simulation with the 2D-VBS, including ELVOC formation from all  
7 monoterpenes and sesquiterpenes oxidized by O<sub>3</sub> and OH (R1-R2).”

8  
9 When we described Fig. 11 (now Fig. 10) we previously wrote:

10  
11 “The model results are from a simulation with the 2D-VBS including ELVOC formation from  
12 monoterpenes and sesquiterpenes oxidized by O<sub>3</sub> and OH.”

13  
14 We have clarified this and write now:

15 “The model results are from a simulation with the 2D-VBS, including ELVOC formation  
16 from all the monoterpenes and sesquiterpenes oxidized by O<sub>3</sub> and OH (R1-R2).”

17  
18 In section 4.2.3 we did not explicitly write out that we included ELVOC formation from R1-  
19 R2. As a third sentence of this section, we have now added:

20  
21 “For all simulations in this section, ELVOCs were formed from all the monoterpenes and  
22 sesquiterpenes oxidized by O<sub>3</sub> and OH (R1-R2)”

23  
24 “What is meant in Section 4.2.2 by "condensable organic compounds represented by MCM  
25 compounds" and "simulations with only one non-volatile condensable organic compound"?  
26 Perhaps they should give examples of mechanisms for the different types of VOCs that were  
27 used in the calculations.”

1 By "condensable organic compounds represented by MCM compounds" we mean the first  
2 SOA formation mechanism described in Sect. 3.6:

3  
4 "In the first approach, the SOA formation was modelled by considering the gas-particle  
5 partitioning of all non-radical organic compounds from the MCMv3.2 gas-phase chemistry  
6 code with estimated pure-liquid saturation vapour pressure lower than  $10^{-2}$  Pa at  $T=289$  K (in  
7 total 488 compounds). The pure-liquid saturation vapour pressures of these compounds were  
8 estimated using the boiling point and vapour pressure extrapolation method from Nannoolal et  
9 al. (2004; 2008), hereafter referred to as the Nannoolal method. MCMv3.2 only includes one  
10 sesquiterpene:  $\beta$ -caryophyllene. As an attempt to take into account the SOA formation from  
11 the other sesquiterpens, exclusively in these simulations all the sesquiterpenes were treated as  
12  $\beta$ -caryophyllene."

13  
14 In Sect. 3.6 we have named this SOA formation mechanism as "the MCM compound SOA  
15 formation mechanism" in order to distinguish it from the 2D-VBS approach.

16  
17 We have changed the last sentence and added one more sentence:

18  
19 "As an attempt to take into account the SOA formation from the other sesquiterpens,  
20 **exclusively** in these simulations all sesquiterpene **were assumed to be emitted as  $\beta$ -**  
21 **caryophyllene. In this work we will refer to this SOA formation representation as the MCM**  
22 **compound SOA formation mechanism.**"

23  
24 In Sect. 4 we have then write out if we use the MCM compound SOA formation mechanism,  
25 the 2D-VBS or the one-product model representation and refer back to Sect. 3.6.

26  
27 In the first sentence of Sect. 3.6 we wrote:

28 "In this work, we used two different approaches to simulate the SOA formation."  
29

1 We have now changed this to:

2 “In this work, we used three different approaches to simulate the SOA formation.”

3  
4 Below Eq. 15, we have then added a description of the method were we only used one non-  
5 volatile condensable organic compound to represent the SOA formation.

6  
7 “As the third approach we also tested to represent the SOA formation with a one-product  
8 model. With this method, only a single non-volatile condensable organic compound was used  
9 to represent the SOA formation. This compound was formed as a first generation oxidation  
10 product when any of the monoterpenes or sesquiterpenes reacted with O<sub>3</sub> or OH, assuming a  
11 molar yield of 25 %. The non-volatile condensable organic compound was assumed to have a  
12 molar mass of 325 g/mol. This simulation represents the limiting condition where the SOA  
13 formation is purely kinetically limited and not absorption partition limited, and it was also  
14 used for estimating how large the ELVOC yield would need to be if the particles were only  
15 growing by condensation of ELVOCs.”

16  
17 “Figure S4 shows that treating SOA particles like a solid causes the model to predict a slower  
18 decay in particle volume when the lights are turned off. If the difference shown in the figure is  
19 significant, maybe it should be given more attention in the discussion. Or could uncertainties  
20 in how well mixing is represented in the reactor affect decay rates more than the differences  
21 between the two models shown in this figure? If this were the case, perhaps this shouldn't be  
22 mentioned at all (except to state that the data can't distinguish between these assumptions  
23 unambiguously) because the two models shown in Figure S4 may be giving the same results  
24 to within the mixing characterization uncertainty.”

25  
26 We think that the difference between the model results and the observations in Fig S4 is large  
27 enough to be distinguished from uncertainties in chamber mixing characteristics. If the  
28 chamber mixing were a substantial issue to consider, we would expect to see more noise in  
29 the observed particle volume and number concentration loss rates after the UV-light is turned  
30 off. But, we are aware of that a slower decay in the particle volume loss can also be achieved

1 when the SOA is less volatile than simulated with the 2D-VBS, and with the available dataset  
2 we cannot unambiguously distinguish this from effects of the phase state. Thus, at the end of  
3 Sect 3.4 we have added the sentence:

4  
5 “However, it is important to mention that the effect of the SOA phase-state cannot be  
6 unambiguously distinguished from the effect of the SOA volatility when only looking at total  
7 particle volume loss rates.”

8  
9 We still want to keep Fig S4 as a motivation to why we decided to model the SOA particles as  
10 solid-like and not as the more common liquid SOA treatment.

11  
12 “In Section 3.7, page 17, lines 6-11 they state that their “first attempt” to model the  
13 experiments by using the gas-phase mechanism to simulate O<sub>3</sub> and OH it “couldn’t capture”  
14 the decrease in O<sub>3</sub> and the increase in OH during the lights-on period, so they used a model  
15 where O<sub>3</sub> and OH were used as input data instead. Does this mean the model predicted no OH  
16 increase or O<sub>3</sub> decrease at all or just too little, and how much too little? Or is the model  
17 predicting way too much of these, or that they are happening much faster or slower? This  
18 affects the credibility of their model and how well they are capturing the conditions of the  
19 experiments. At a minimum they should show the results of their “first attempt” on Figure 4  
20 or something like it (not in the Supplementary materials). The difference between the model  
21 and the data would indicate how well they understand and have characterized the system. The  
22 reader needs to see this.”

23  
24 We have now added the results from a simulation where we modeled the O<sub>3</sub> and OH  
25 concentration using an OH sink of 4 s<sup>-1</sup> to Fig. 4 (see new figure and figure text below). We  
26 have also added the results from a simulation where the 254 nm wavelength UV-light was only  
27 allowed to photolyse O<sub>3</sub> but not influencing any other chemistry. The model results from this  
28 simulation are very similar to the results from a simulation where the 254 nm wavelength  
29 UV-light were allowed to photolyse VOCs and inorganic compounds like H<sub>2</sub>O<sub>2</sub> and HNO<sub>3</sub>.

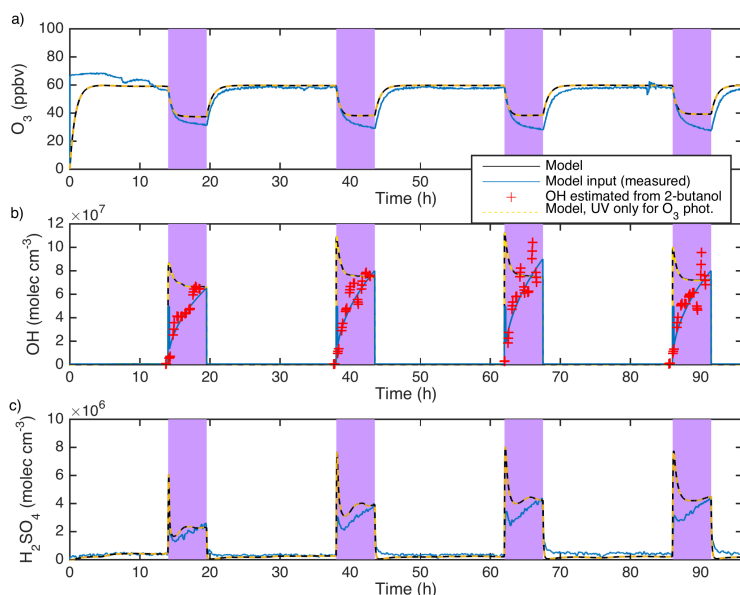


Figure 4. Measured and modelled (a)  $\text{O}_3$  concentrations, (b)  $\text{OH}$  concentration, and (c)  $\text{H}_2\text{SO}_4$  concentration. The  $\text{OH}$  concentration (red +) was not measured directly but was derived from the observed 2-butanol loss rate. The model results are from the simulations where the  $\text{O}_3$ ,  $\text{OH}$  and  $\text{H}_2\text{SO}_4$  concentrations were simulated by the model instead of given as the input concentration to the model. The results given by the yellow dashed line corresponds to a simulation where the 254 nm UV-light was only used to calculate the photolysis rates of  $\text{O}_3$  but not influencing photolysis rates of the other compounds. In the model simulations, we used the empirically determined JPAC reaction chamber-specific  $\text{OH}$  sink of  $4 \text{ s}^{-1}$  and an  $\text{O}_3$  concentration of 170 ppbv in the inflow to the chamber. The blue lines give the model input concentrations that were used for the simulations presented in Sect. 4. For  $\text{O}_3$  and  $\text{H}_2\text{SO}_4$ , the model input concentration was taken directly from the measurements.

“Poor model performance predicting  $\text{O}_3$  and  $\text{OH}$  could be explained by poor representation of the photolysis rates in the model. They said the photolysis rates were calculated from the spectrum of the light source, but the spectrum of the discharge lamps shown in Figure S1 isn't relevant to the photolysis using the UV lamps and the UV lamp spectrum is not shown. They state the the UV lamp intensity corresponded to an  $\text{O}(1\text{D})$  photolysis rate of  $2.9\text{e-}3 \text{ sec-}$



1 I". Do they mean O<sub>3</sub> photolysis to form O(<sup>1</sup>D)? This is about 15-20 times faster than this  
2 photolysis in the lower atmosphere."

3  
4 Now we have included the approximated UV-light spectrum to Fig. S1 that gives a O<sub>3</sub> to  
5 O(<sup>1</sup>D) photolysis rate of  $2.9 \times 10^{-3} \text{ s}^{-1}$ . Yes, we mean O<sub>3</sub> photolysis to form O(<sup>1</sup>D). Yes, we are  
6 aware of that the O<sub>3</sub> photolysis rate was substantially larger than in the atmosphere and  
7 according to our model simulations this mainly affected the OH concentration that became at  
8 least 10 times higher than in the atmosphere. The higher OH concentration together with the  
9 chamber wall losses are probably the most important differences between the chamber  
10 conditions and the atmosphere. We mention this in the conclusions and have now replaced the  
11 original paragraph:

12  
13 "Chamber wall losses can have a profound influence on the SOA formation. In this work we  
14 showed that the contribution of ELVOCs to the nano-CN formation and growth was  
15 effectively suppressed due to their rapid and irreversible wall losses. Thus, it is questionable  
16 whether this type of smog chamber experiments is ideal for the evaluation of possible  
17 mechanisms responsible for the observed new particle formation in the atmosphere."

18  
19 With the following paragraph:

20  
21 "Our analysis on the JPAC experiments illustrates how complex it is to design smog chamber  
22 experiments that mimic the conditions during new particle formation events in the  
23 atmosphere, in addition to which it provides useful information about what need to be  
24 considered when designing such experiments. In this work we showed that the relative  
25 contribution of ELVOCs to the nano-CN formation and growth was effectively suppressed  
26 due to their rapid and irreversible wall losses and the relatively high OH concentrations  
27 during the UV-light on periods. With these respects, the conditions during the JPAC  
28 experiments were not directly comparable with typical conditions during new particle  
29 formation events in the atmosphere. In addition, the experimental conditions showed too  
30 small variability to fully constrain the dominating nano-CN formation and growth  
31 mechanisms. Despite these limitations, the JPAC experiments serve as a valuable dataset to

1 narrow down the list of potentially important nano-CN formation and growth mechanism over  
2 the boreal forest region. “

3  
4 “The test they did to show that the model results are not sensitive to how UV photolysis is  
5 treated in the model is not convincing. If I an understanding this discussion, their model uses  
6 the measured O<sub>3</sub> and OH as model inputs, thus forcing the model to agree with the measured  
7 radical and oxidant levels regardless of how photolysis is treated. A better test would be to see  
8 the effect on the results of the simulations where O<sub>3</sub> and OH were produced by gas-phase  
9 chemistry. This test would almost certainly show a large effect because it is the UV photolysis  
10 that is forming the radicals.”

11  
12 It is correct that we used the measured O<sub>3</sub> and OH as input to the model for this test. We have  
13 extend this analysis with a simulation where we modeled the OH and O<sub>3</sub> concentration and  
14 only used the 254 nm wavelength UV-light for the photolysis of O<sub>3</sub>. We are aware of that the  
15 UV-light is influencing the VOC chemistry indirectly because the photolysis of O<sub>3</sub> is by far  
16 the largest source of OH during the UV-light on periods. We agree that the sentence “This test  
17 showed that the modelled condensable organic composition and the SOA formation were not  
18 noticeable influenced by the 254 nm UV-light source.” is misleading. We have changed this  
19 sentence and added the modified and extended text below to Sect. 3.7:

20  
21 “The photolysis rates were simulated by using the quantum yields and absorption cross  
22 sections reported at the MCMv3.2 web site. In order to evaluate the **direct** influence of the  
23 254 nm wavelength UV-light on the VOC composition and SOA formation, we performed  
24 model simulations where the 254 nm UV-light was not considered when calculating the  
25 photolysis rates ( $J_x$ ) for all compounds except O<sub>3</sub>. **These test showed that the modelled**  
26 **condensable organic compound composition, O<sub>3</sub> concentration (Fig. 4a), OH concentration**  
27 **(Fig. 4b) and the SOA formation were not significantly influenced directly by the 254 nm**  
28 **UV-light source, but strongly by the OH generated from the photolysis of O<sub>3</sub>.** Apart from O<sub>3</sub>,  
29 the compound that was affected most by the 254 nm wavelength UV-light was H<sub>2</sub>O<sub>2</sub>  
30 ( $J_{\text{H}_2\text{O}_2}=1.26\times10^{-4} \text{ s}^{-1}$  with the UV-light source and  $J_{\text{H}_2\text{O}_2}=3.72\times10^{-8} \text{ s}^{-1}$  without the UV-light  
31 source). Some of the VOCs containing carbonyl groups and nitrate groups were also

influenced by the UV-light source. The most prominent change in the modelled photolysis rate in these two groups of VOCs occurred for glyoxal (a dialdehyde) ( $J_{glyoxal}=2.93\times10^{-5}\text{ s}^{-1}$  with the UV-light source and  $J_{glyoxal}=5.04\times10^{-7}\text{ s}^{-1}$  without the UV-light source) and methyl nitrate ( $\text{CH}_3\text{NO}_3$ ) ( $J_{\text{CH}_3\text{NO}_3}=5.89\times10^{-5}\text{ s}^{-1}$  with the UV-light source and  $J_{\text{CH}_3\text{NO}_3}=2.51\times10^{-8}\text{ s}^{-1}$  without the UV-light source). These values can be compared with the rates at which glyoxal and  $\text{CH}_3\text{NO}_3$  were oxidized by OH. At the OH concentration of  $5\times10^7\text{ molecules cm}^{-3}$  (typical for the UV-light on periods) this rate was  $5.00\times10^{-4}\text{ s}^{-1}$  for glyoxal and  $1.08\times10^{-6}\text{ s}^{-1}$  for  $\text{CH}_3\text{NO}_3$ . Thus, for glyoxal the reaction with OH was still  $\sim 10$  times faster than the photolysis reaction rate, while for  $\text{CH}_3\text{NO}_3$  the photolysis reaction rate was  $\sim 2$  times larger than the rate at which  $\text{CH}_3\text{NO}_3$  was oxidized by OH. This indicates that short wavelength UV-light sources (as the one used in JPAC) may influence the VOC composition, especially when a large fraction of the VOCs contain nitrate functional groups (i.e. at high  $\text{NO}_x$  concentrations).

“The differences between the model and experimental results on Figure 6a and S9 are interesting because they show the importance of properly representing how the gas-phase compounds behave on the chamber walls. This is one clear result of this work. I suggest showing the data on S9 along with Figure 6a. If space is a concern then Figures 6b or 6c could be removed, because (to me) they aren't as interesting as what is shown on S9. However, the curve from S9 could be added to 6a without impacting readability if formatted properly. Alternatively, it could be added to something like Figure 8.”

Yes, we agree. We have add the model results from Fig. S9 to Fig 6a and removed Fig S9 from the supplementary material. The text describing the results in Fig. S9 (now in Fig. 6a) has now been changed from:

“Fig. S9 compares the measured total particle volume concentration with the modelled total particle volume concentration from a simulation with this wall loss parameterization. With the lower VOC wall losses, the model overestimated the SOA formation by a factor of 2-3 and there was no gradual increase in the SOA formation due to re-evaporation of SVOCs from the walls during the days before the intensive measurement campaign started.”

1 to:

2  
3 “Figure 6a also shows the modelled particle volume concentration when assuming that the  
4 JPAC reaction chamber walls behave similarly to FEP Teflon walls (Eq. 8-9), in which case  
5  $k_e$  was  $4.2 \text{ s}^{-1}$  and the  $a_w$  parameterization was taken from Zhang et al. (2015). With this  
6 parameterization,  $k_{w,i}$  varies from  $2 \times 10^{-5}$  to  $7 \times 10^{-4} \text{ s}^{-1}$  for compounds with a molar mass of  
7  $300 \text{ g mol}^{-1}$  and vapour pressures in the range  $10^{-2}$  to  $10^{-10} \text{ Pa}$ . Thus, in these model  
8 simulations the ELVOC wall losses were about 15 times lower than what was observed by  
9 Ehn et al. (2014). Because of the lower VOC wall losses, the model overestimated the SOA  
10 formation by a factor of 2-3, there was no gradual increase in the SOA formation due to re-  
11 evaporation of SVOCs from the walls and the correlation between the model and measured  
12 PV is substantially worse. This illustrates that the wall losses in the JPAC chamber cannot be  
13 treated in the same way as in FEP smog chambers.”

14  
15 “I am not sure that Figure 9 is necessary since it seems to have basically the same information  
16 about model performance as Figure 8. The only difference is that Figure 8 shows absolute PM  
17 formation while Figure 9 shows yields relative to how much the VOCs react. But the model  
18 simulations use OH levels derived from amounts of VOC reacting, so the model is forced to  
19 predict the correct amounts of VOC reacting in any case. Therefore, predictions in this regard  
20 are not a test of model performance.”

21  
22 We have removed Figure 9.

23  
24 “I don't think the correlations between measured and modeled particle number concentrations  
25 shown on Table 3 give a good indication of which model is best, especially since they do not  
26 vary that much (from 0.887 to 0.977).”

27  
28 Part of the reason is because I (Dr. Roldin) did a stupid mistake and reported R instead of  $R^2$   
29 (see statement at the top). We now give the correct  $R^2$ -values.

Much better indications of model performance are shown in the plots shown on Figure 12. However, Table 3 is a good summary of the test calculations so is useful for that reason. Unfortunately, the correspondence between the labels on Figure 12 and the entries on Table 3 are not clear in some cases.

The mechanism description in Table 3 is now compatible with the labels in Fig. 12 (now Fig. 11).

The discussion should focus more on Table 3 and what it shows about the model. For example, no mention is made about the poor performance of the models labeled as "J=A[H<sub>2</sub>SO<sub>4</sub>]" and "J[H<sub>2</sub>SO<sub>4</sub>][ELVOC<sub>nuc1</sub>]" in predicting PM before the lights are turned on, contrary to observations. Note that the model labeled "J[H<sub>2</sub>SO<sub>4</sub>][ELVOC<sub>nuc1</sub>]" gives among the best correlations but I would reject it on the basis of significant overprediction of PN before the lights are turned on on the first day. Nevertheless, the last sentence of the conclusions section states that this model gives the best agreement."

We have now extended the discussion about the results in Fig. 12 and Table 3 in the end of Sect 4. We do not focus only on the  $R^2$ -values but more on the results in Fig. 12. We mention the relatively large discrepancy between the model and measurements during the UV-light off periods when we use J=A[H<sub>2</sub>SO<sub>4</sub>]" and "J[H<sub>2</sub>SO<sub>4</sub>][ELVOC<sub>nuc1</sub>]:

"Table 3 gives the coefficient of determination ( $R^2$ ) between the modelled total particle number concentration and measured total particle number concentration ( $D_p > 1.6$  nm) from the PSM-CPC setup. The  $R^2$  values are only given for those nano-CN mechanisms (parameterizations) that gave a  $R^2 > 0.75$ . The  $R^2$  values were calculated with the data from hour 11 to hour 96, for which the measured total particle number concentration from the PSM-CPC setup was complete. The largest  $R^2$  values ( $> 0.95$ ) between the modelled and measured total particle number concentration were achieved with nano-CN formation mechanisms that involves both H<sub>2</sub>SO<sub>4</sub> and ELVOCs. With Eq. 3, this were the case both if the ELVOC<sub>nuc1</sub> molecules were formed from OH-oxidation or OH and O<sub>3</sub> oxidation of monoterpenes and sesquiterpenes. With Eq. 4, this was only the case if the ELVOC<sub>nuc1</sub>

1 molecules exclusively were formed from ozonolysis of sesquiterpenes. These are the only  
2 mechanisms for which the values of  $R^2$  were higher than in simulations with a fixed nano-CN  
3 formation rate during the UV-light on periods. The relatively high  $R^2$  value for the simulation  
4 with a fixed nano-CN formation rate during the UV-light on period is an indication that the  
5 variability in the dataset with respect to the compound(s) responsible for the nano-CN  
6 formation was too small to fully constrain the dominating nano-CN formation mechanism  
7 during the experiments. However, the dataset is still useful to reject the less likely nano-CN  
8 mechanisms and to narrow down the list of possible mechanisms. For this, the  $R^2$  value from  
9 the simulation with the fixed nano-CN formation rate was used as a benchmark.”

10  
11 In Fig. 11 we compare the modelled total particle number concentration when calculating  $J$   
12 using Eq. 1, 2, 3 and 4. With Eq. 3, the  $\text{ELVOC}_{\text{nuc1}}$  were either assumed to be formed from  
13 ozonolysis and OH-oxidation of monoterpenes and sesquiterpenes, or only from OH-oxidation.  
14 With Eq. 4, the  $\text{ELVOC}_{\text{nuc1}}$  were formed from sesquiterpenes oxidized by OH. Displayed is  
15 also the result from the simulation with constant  $J = 20 \text{ cm}^{-3} \text{ s}^{-1}$  during the UV-light on  
16 periods and  $J = 0 \text{ cm}^{-3} \text{ s}^{-1}$  during the UV-light off periods. Based on Fig. 11 and the  $R^2$  values,  
17 it is evident that the new particle formation could not be captured very well with  $\text{H}_2\text{SO}_4$   
18 activation (R1) or  $\text{H}_2\text{SO}_4$  kinetic (R2) type of nano-CN formation. The simulation with R1  
19 had a relatively high  $R^2$  value but substantially overestimated the total particle number  
20 concentration during the UV-light off periods. The same is true for the kinetic type of nano-  
21 CN formation involving  $\text{H}_2\text{SO}_4$  and  $\text{ELVOC}_{\text{nuc1}}$  formed from oxidation of the BVOCs with  $\text{O}_3$   
22 and OH. In this case, the model especially overestimated the total particle number  
23 concentration during the first day of the UV-light off period when the BVOC emissions were  
24 the highest. The best agreement between the modelled and measured total particle number  
25 concentration, both based on the  $R^2$  value and Fig. 11, was achieved with the kinetic type of  
26 nucleation involving  $\text{H}_2\text{SO}_4$  and  $\text{ELVOC}_{\text{nuc1}}$  (Eq. 3) formed from oxidation of monoterpenes  
27 and sesquiterpenes with OH exclusively. “

28  
29 In the conclusions we have modified the last sentence from:  
30

1 “During the simulated experiments, the best agreement between the modelled and measured  
2 total particle number concentration was achieved when using a nano-CN formation rate of the  
3 form  $J = K[\text{H}_2\text{SO}_4][\text{ELVOC}_{\text{nucl}}]$ .”

5 To the following form:

7 “During the simulated experiments, the best agreement between the modelled and measured  
8 total particle number concentration was achieved when using a nano-CN formation rate of the  
9 form  $J = K[\text{H}_2\text{SO}_4][\text{ELVOC}_{\text{nucl}}]$ , where  $\text{ELVOC}_{\text{nucl}}$  was formed as an BVOC OH oxidation  
10 product.”

12 and in the abstract we have changed the last sentence from:

14 “The best correlation between the modelled and measured total particle number concentration  
15 ( $R^2 > 0.97$ ) was achieved if the nano-CN was formed by kinetic nucleation involving both  
16 sulphuric acid and BVOC oxidation products.”

18 to:

20 The best agreement between the modelled and measured total particle number concentration  
21 ( $R^2 > 0.95$ ) was achieved if the nano-CN was formed by kinetic nucleation involving both  
22 sulphuric acid and organic compounds formed from OH oxidation of BVOCs.

## 24 **Answers to reviewer 2:**

26 “This manuscript is the revised submission of Liao et al. manuscript presenting results from  
27 real plant BVOC emission oxidation, leading to SOA formation. The scientific quality of  
28 manuscript has improved since the initial submission. However, the increase in the modelling

1 details has made the manuscript difficult to follow. It is clearly missing the main message. At  
2 the end of introduction reader gets an impression that the study is to evaluate model  
3 performance, but based on the abstract it is to understand what processes are controlling SOA  
4 formation and nucleation of new particles. In the conclusion reader can find maybe the most  
5 important statement that chamber measurements are not ideal for studying aerosol nucleation.  
6 Authors should do a better job explaining what are the new findings in this study and how  
7 does those support or contradict previous findings.”

8  
9 The main objective is not to evaluate model performance but to better understand the  
10 processes that control the formation and growth of nano-CN over the boreal forest region. We  
11 also aim to enlighten the reader about that nano-CN formation and growth experiments in  
12 smog chambers should be interpreted with caution because of chamber wall losses and  
13 concentrations of nano-CN precursors and VOC oxidation agents may be substantially  
14 different compared to the atmosphere. Since the model is used as the main tool to examine the  
15 processes that controlled the formation and growth of nano-CN in the smog chamber the  
16 model and its results need to be evaluated against the measurement data in order to answer the  
17 main objectives.

18  
19 We have made the main objectives more clear in the abstract and at the end of the  
20 introduction. We have extended the first sentence in the abstract (red color below):

21  
22 “We used the Aerosol Dynamics gas- and particle-phase chemistry model for laboratory  
23 CHAMber studies (ADCHAM) to simulate the contribution of BVOC plant emissions to the  
24 observed new particle formation during photooxidation experiments performed in the Jülich  
25 Plant-Atmosphere Chamber and to evaluate how well smog chamber experiments can mimic  
26 the atmospheric conditions during new particle formation events.”

27  
28 We have also extended the second last sentence in the abstract:

29  
30 “The contribution of extremely low-volatility organic gas-phase compounds to the particle



1 formation and growth was suppressed because of their rapid and irreversible wall losses  
2 which decreased their contribution to the nano-CN formation and growth compared to the  
3 atmospheric situation.”

4  
5 In order for the reader to not get the impression that the main focus was to evaluate the model  
6 performance we have changed the last sentence in the introduction from its original form:

7  
8 “Here, we use the full chamber dataset including gas and particle phase measurements, either  
9 as model input or to evaluate the modelled performance concerning the nano-CN formation  
10 and growth.”

11  
12 Into the following form:

13  
14 “Here, we use the full chamber dataset including gas and particle phase measurements, either  
15 as model input or for evaluation of the model results.”

16  
17 After this sentence at the end of the introduction we now also explicitly write out the main  
18 objectives:

19  
20 “The main objectives of this work were to evaluate how well the JPAC experiments could  
21 mimic the real atmospheric conditions during new particle formation events over the boreal  
22 forest and to constrain the dominating mechanisms responsible for the nano-CN formation  
23 and growth.”

24  
25 We understand that the statement that the smog chamber experiments was not ideal for the  
26 evaluation of possible mechanism responsible for the observed new particle formation in the  
27 atmosphere is to vague and can be interpreted in many ways. Thus we have now changed the  
28 paragraph in the conclusions from:

1 “Chamber wall losses can have a profound influence on the SOA formation. In this work we  
2 showed that the contribution of ELVOCs to the nano-CN formation and growth was  
3 effectively suppressed due to their rapid and irreversible wall losses. Thus, it is questionable  
4 whether this type of smog chamber experiments is ideal for the evaluation of possible  
5 mechanisms responsible for the observed new particle formation in the atmosphere.”

6  
7 Into the following form:

8  
9 “Our analysis on the JPAC experiments illustrates how complex it is to design smog chamber  
10 experiments that mimic the conditions during new particle formation events in the  
11 atmosphere, in addition to which it provides useful information about what need to be  
12 considered when designing such experiments. In this work we showed that the relative  
13 contribution of ELVOCs to the nano-CN formation and growth was effectively suppressed  
14 due to their rapid and irreversible wall losses and the relatively high OH concentrations  
15 during the UV-light on periods. With these respects, the conditions during the JPAC  
16 experiments were not directly comparable with typical conditions during new particle  
17 formation events in the atmosphere. In addition, the experimental conditions showed too  
18 small variability to fully constrain the dominating nano-CN formation and growth  
19 mechanisms. Despite these limitations, the JPAC experiments serve as a valuable dataset to  
20 narrow down the list of potentially important nano-CN formation and growth mechanism over  
21 the boreal forest region.”

22  
23 “Wall losses: It is stated that wall losses of compounds with low vapor pressure are their main  
24 sink. Thus it is questionable whether these compounds can be studied in smog chambers. This  
25 could be stated even more clearly than now.”

26  
27 If the wall losses are experimentally well constrained and one knows that they are the main  
28 loss mechanism, smog chamber experiments can be used successfully to derive formation  
29 rates of compounds having a low vapour pressure (see e.g. Ehn et al., Nature 2014). But, as  
30 we conclude based on our model simulations, because of the large wall losses of ELVOCs the  
31 smog chamber experiments performed in the JPAC chamber probably do not mimic the

1 atmosphere with respect to the ELVOC concentrations in the gas-phase and this has  
2 consequences for the growth and potentially for the formation of nano-CN.

3  
4 “SOA formation: There is some tuning of parameters with 2D-VBS setup and heterogeneous  
5 chemistry needed with MCM needed to explain observations. After this it is not a surprise that  
6 in the case of only few measurement days a good agreement can be achieved. Why is the  
7 change in the composition between day 1 and rest of the days causing such a big difference?  
8 Now it is just mentioned without too much analysis. Something wrong in the modelled  
9 chemistry? At some points it is quite difficult to follow which model is used and especially  
10 why. So again here the main findings could be emphasized more.”

11  
12 Reviewer 1 also pointed out that it sometimes is hard to follow which SOA formation model  
13 that is used. For that reason we rewrote parts of Sect. 3.6 where we describe the different  
14 SOA formation mechanism used and state that the mechanism where we use the MCM  
15 compounds when modeling the SOA formation is referred to as the MCM compound SOA  
16 formation mechanism.

17  
18 We have also added the following text:

19  
20 “As a third approach we also tested to represent the SOA formation with a one-product  
21 model. With this method only one non-volatile condensable organic compound was used to  
22 represent the SOA formation. This compound was formed as a first generation oxidation  
23 product when any monoterpenes or sesquiterpenes react with O<sub>3</sub> or OH, assuming a molar  
24 yield of 25 %. The non-volatile condensable organic compound was assumed to have a molar  
25 mass of 325 g/mol. This simulation represents the limiting condition where the SOA  
26 formation is purely kinetically limited and not absorption partition limited and was also used  
27 as an estimate of how high the ELVOC yield would need to be if the particles were only  
28 growing by condensation of ELVOCs.”

29  
30 In the result and discussion section, we now explicitly tell whether we have use the 2D-VBS,

the MCM compound SOA formation mechanism or the one-product model (in describing and discussing the model results).

The main reason why we used different mechanism to represent the SOA formation is that currently the knowledge about how SOA is formed is very limited, and we wanted to evaluate different possibilities based on the current state of the art methods used within the SOA modeling community in order to constrain the mechanism responsible for the growth of the nano-CN (one of the main objectives). The different SOA formation mechanisms were also chosen in order to support the statement that most likely explanation to the discrepancy between the modelled and measured trend in the SOA volume concentration was that BVOC composition was substantially different during Day-1 compared to the other days.

Although, we tested several different methods to represent the SOA formation and also did a rigorous analysis of the effect of chamber wall losses, the model always tended to give too much SOA for Day-1 relatively to Day-2 – Day-4. Based on the observations (Fig. 9), we could not find any clear trend in the observed SOA yield between Day-1 and Day-2 – Day-4, which one would expect when considering that the condensation sink was substantially higher during Day-1. Thus, based on these results, we could not come up with any other likely explanation than that the BVOC composition was substantially different during Day-1 compared to Day-2 – Day-4. We have changed and extended the discussion about Fig. 8, so that we better motivate why we think it is the BVOC composition change that was responsible for this effect:

Old text:

“For all model simulations, the model overestimated the SOA particle volume formation and SOA mass yield during Day-1 but tended to underestimate or give similar values as the measurements for Day 2-4. The most likely explanation to this is that the BVOC composition was substantially different during Day-1 (ocimene which reacts rapidly with  $O_3$  may not form SOA in the same extent as e.g.  $\alpha$ -pinene). The best agreement between the model and measured particle volume concentration was found with the 2D-VBS method ( $R^2 = 0.836$  with ELVOCs formation and  $R^2 = 0.835$  without ELVOC formation). For the simulation with

the non-volatile one product model,  $R^2 = 0.796$ , and for the simulation with MCMv3.2 and acid catalysed PHA dimer formation,  $R^2 = 0.820$ .”

New text:

“In all the model simulations, the model overestimated the SOA particle volume formation during Day-1 but tended to underestimate, or to give values similar to the measurements, during the period Day-2 - Day-4. The best agreement between the model and measured particle volume concentration was found with the 2D-VBS method ( $R^2 = 0.699$  with ELVOCs formation and  $R^2 = 0.697$  without ELVOC formation), even though the particle volume concentration could nearly equally well be represented with the MCM compound SOA formation mechanism and acid catalysed PHA dimer formation ( $R^2 = 0.672$ ). In the simulation with the non-volatile one product model, the agreement between the modelled and measured particle volume concentration was worse ( $R^2 = 0.634$ ), which indicates that the particle growth cannot be purely explained by condensation of ELVOCs. This model simulation also illustrates that even if the particle growth was only be kinetically limited (not absorption partitioning limited) and if the yield of the formation of condensable organic compounds was be the same in all the days, the decrease in SOA volume concentration from Day-1 to Day-2 should have been more pronounced because of the substantially smaller condensation sink during Day-2 - Day-4 compared to Day-1. In the other model simulations, this effect was partly but not fully compensated by the re-condensation of SVOCs from the walls, which contributed relatively more to the SOA formation during Day-2 - Day-4 compared to Day-1. The only remaining explanation we can find to why the model gave too much SOA particle volume during Day-1 compared to Day-2 - Day-4 is that the BVOC composition was substantially different during Day-1. It may be that ocimene which reacts rapidly with  $O_3$  may not form SOA to the same extent as e.g.  $\alpha$ -pinene.”

So, as we conclude from these model tests, we think there is something wrong with the model chemistry concerning the SOA formation. Or in other words, we think that the BVOC SOA yield was lower during Day-1 compared to Day-2 – Day-4 but this could not be captured by any of the SOA formation mechanisms.

1 “Nucleation parameterization: Different parameterizations were used to describe new particle  
2 formation from precursor gases. However, based on results, it seems like as good results are  
3 achieved assuming constant formation rate than with parameterizations. There are big  
4 uncertainties in the wall losses of compounds participating on new particle formation, so can  
5 this kind of chamber be used at all to study natural particle formation? How uncertain are  
6 ELVOC concentrations?”

7  
8 We included the results from the simulations with a constant nano-CN formation rate as a  
9 benchmark to compare the model results with different nano-CN parameterizations. In Sect.  
10 4.3.2, we have now added:

11  
12 “The relatively high  $R^2$  value for the simulation with a fixed nano-CN formation rate during  
13 the UV-light on period is an indication that the variability in the dataset with respect to the  
14 compound(s) responsible for the nano-CN formation was too small to fully constrain the  
15 dominating nano-CN formation mechanism during the experiments. However, the dataset is  
16 still useful to reject the less likely nano-CN mechanisms and to narrow down the list of  
17 possible mechanisms. For this, the  $R^2$  value from the simulation with the fixed nano-CN  
18 formation rate was used as a benchmark. “

19  
20 One needs to be aware of the limitations with these experiments but they still provide useful  
21 information if interpreted correctly and with caution. This is one of the objectives and most  
22 important statements (conclusions) we want to make with this paper (see answer to the first  
23 comment).

24  
25 The ELVOC concentrations are still very uncertain, both because their wall loss rates are  
26 uncertain and because their formation rates (yields) are uncertain. But ELVOC concentrations  
27 in the atmosphere are probably even more uncertain. Within the next few years when the  
28 measurement methods and theoretical knowledge about ELVOC formation will improve, we  
29 think that the uncertainties in ELVOC concentrations will decrease as well.

1 Minor comments:

2  
3 “Page 8, equations 1-6: Constants should be numbered to highlight those are always different  
4 with different parameterizations.”

5 We have now numbered the constants.

6  
7 “Page 8, line 26: Is the molar mass 500 g/mol or 325g/mol given on page 6?”

8  
9 The molar mass for the ELVOCs that we used to simulate the particle growth was 325 g/mol,  
10 as mentioned on page 6, whereas the ELVOC<sub>nucl</sub> that were used for the nano-CN formation  
11 parameterizations were assumed to have a molar mass of 500 g/mol as written on page 8.

12  
13 “Page 9, line 17: There is always some numerical diffusion when single particles are not  
14 followed. With fully-moving approach it is happening during new particle formation.”

15 There is some numerical diffusion when particles coagulate because then the particle volume  
16 and number need to be split between existing particle bins if not following single particles.  
17 But we don't understand why the new particle formation would cause numerical diffusion  
18 when we all the time add new size bins for the newly formed nano-CN (no mixing with the  
19 aged particles)? Could the reviewer expand on why there should be numerical diffusion  
20 because of the new particle formation?

21  
22 We have changed the sentence on Page 9, line 17 from

23 “The largest advantage of the full-moving approach compared to the fixed-sections approach  
24 is that it does not introduce any numerical diffusion problems and allows the particles to  
25 growth into their exact size during condensation.”

26  
27 Into the form:

1 “The largest advantage of the full-moving approach compared to the fixed-sections approach  
2 is that it does not introduce any numerical diffusion problems during  
3 condensation/evaporation because it allows the particles to growth/shrink to their exact size”

4  
5 “Page 9, line 30: Supplement does not show that at least 400 bins are required for modelling,  
6 but instead that 40 is not enough.”

7  
8 “We have now added results from simulations with 100 and 200 size bins as well.”

9  
10 “Page 11, lines 8-11: When particles are considered as solid, is the decrease in volume caused  
11 solely by dilution? What is the role of evaporation?”

12  
13 Material can still evaporate from the solid particles, but when the particles are solid the  
14 composition in the particle surface layer determines the evaporation rate. When the particles  
15 start to evaporate, the least volatile VOCs accumulate at the particle surface and limit the  
16 evaporation of the more volatile VOCs in the particle bulk (interior). Thus, depending on the  
17 VOC composition, the evaporation may become much slower than if the particles were liquid  
18 like. However, if the particles were composed of only a single VOC compound, the  
19 evaporation rate would not depend on the SOA phase-state. We have added a sentence to the  
20 end of Sect. 3.4 where we mention that the effect of SOA phase-state cannot be  
21 unambiguously distinguished from the SOA volatility:

22  
23 “... treating the SOA as solid-like improved the agreement between the modelled and  
24 measured SOA particle volume decay when the UV-light was turned off (Fig. S4). Thus, in  
25 the simulations used to produce the results presented in Sect 4, we treated the SOA particles  
26 as solid-like with the assumption that the molecule transport between the particle bulk and the  
27 particle surface-layer is relatively slow compared to the time scale it takes for the  
28 condensation to form a new monolayer thick surface layer. However, it is important to  
29 mention that the effect of the SOA phase-state cannot be unambiguously distinguished from  
30 the effect of the SOA volatility when only looking at the total particle volume loss rates.”



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“Page 15: line 22: Remove “saturation”. It is confusing to discuss about saturation vapour pressure with mixtures as saturation usually refers to pure compounds.”

But without the word “saturation” it is impossible to tell if one talks about the vapour pressure at which the particle is in equilibrium with the gas-phase or not. But we can and have replaced the term “saturation” with equilibrium.

“page 22, lines 18-19: Is it only Kelvin effect that affects mole fractions?”

No, it is also because the uptake of semi-volatile VOCs are not kinetically limited but limited by absorption (dissolution) into the particle surface layer which is larger for the larger particles.

We have extended this sentence to the following form:

“As expected, the smallest particles contained the largest mole fraction of ELVOCs because of the Kelvin effect and because the uptake of the SVOCs is not kinetically limited but limited by absorption into the particle surface layer.”

“Table 3: Over what time are coefficients of determination calculated. The values seem quite high and differences between best parameterizations negligible. Activation type H<sub>2</sub>SO<sub>4</sub> parameterization shows higher R<sup>2</sup> than kinetic even it gives particles also when lights are off, which seems strange. Maybe using R<sup>2</sup> is not a good metric here to evaluate which parameterization is the best. At least based on Figure 12 the differences should be bigger than presented in Table 3.”

1 They were calculated from hour 11 when the measured total particle number concentration  
2 from the PSM-CPC setup was available to hour 96. We have added a sentence explaining this.  
3 But, as we wrote in the statement at the top, by mistake we gave the correlation coefficients  
4 ( $R$ ) and not the coefficient of determination ( $R^2$ ). This is why the values were too high. We  
5 apologize for this mistake. The  $R^2$  values should be interpreted with caution and we will also  
6 take into account the results in Fig. 12 when judging which nano-CN mechanism that is best.

7  
8 The discussion of the results in Table 3 and Fig. 12 (now Fig. 11) has been modified and  
9 extended into the following form:

10  
11 “Table 3 gives the coefficient of determination ( $R^2$ ) between the modelled total particle  
12 number concentration and measured total particle number concentration ( $D_p > 1.6$  nm) from  
13 the PSM-CPC setup. The  $R^2$  values are only given for those nano-CN mechanisms  
14 (parameterizations) that gave a  $R^2 > 0.75$ . The  $R^2$  values were calculated with the data from  
15 hour 11 to hour 96, for which the measured total particle number concentration from the  
16 PSM-CPC setup was complete. The largest  $R^2$  values ( $>0.95$ ) between the modelled and  
17 measured total particle number concentration were achieved with nano-CN formation  
18 mechanisms that involves both  $H_2SO_4$  and ELVOCs. With Eq. 3, this were the case both if the  
19  $ELVOC_{nuc1}$  molecules were formed from OH-oxidation or OH and  $O_3$  oxidation of  
20 monoterpenes and sesquiterpenes. With Eq. 4, this was only the case if the  $ELVOC_{nuc1}$   
21 molecules exclusively were formed from ozonolysis of sesquiterpenes. These are the only  
22 mechanisms for which the values of  $R^2$  were higher than in simulations with a fixed nano-CN  
23 formation rate during the UV-light on periods. The relatively high  $R^2$  value for the simulation  
24 with a fixed nano-CN formation rate during the UV-light on period is an indication that the  
25 variability in the dataset with respect to the compound(s) responsible for the nano-CN  
26 formation was too small to fully constrain the dominating nano-CN formation mechanism  
27 during the experiments. However, the dataset is still useful to reject the less likely nano-CN  
28 mechanisms and to narrow down the list of possible mechanisms. For this, the  $R^2$  value from  
29 the simulation with the fixed nano-CN formation rate was used as a benchmark.

1 In Fig. 11 we compare the modelled total particle number concentration when calculating  $J$   
2 using Eq. 1, 2, 3 and 4. With Eq. 3, the  $\text{ELVOC}_{\text{nuc1}}$  were either assumed to be formed from  
3 ozonolysis and OH-oxidation of monoterpenes and sesquiterpenes, or only from OH-oxidation.  
4 With Eq. 4, the  $\text{ELVOC}_{\text{nuc1}}$  were formed from sesquiterpenes oxidized by OH. Displayed is  
5 also the result from the simulation with constant  $J = 20 \text{ cm}^{-3} \text{ s}^{-1}$  during the UV-light on  
6 periods and  $J = 0 \text{ cm}^{-3} \text{ s}^{-1}$  during the UV-light off periods. Based on Fig. 11 and the  $R^2$  values,  
7 it is evident that the new particle formation could not be captured very well with  $\text{H}_2\text{SO}_4$   
8 activation (R1) or  $\text{H}_2\text{SO}_4$  kinetic (R2) type of nano-CN formation. The simulation with R1  
9 had a relatively high  $R^2$  value but substantially overestimated the total particle number  
10 concentration during the UV-light off periods. The same is true for the kinetic type of nano-  
11 CN formation involving  $\text{H}_2\text{SO}_4$  and  $\text{ELVOC}_{\text{nuc1}}$  formed from oxidation of the BVOCs with  $\text{O}_3$   
12 and OH. In this case, the model especially overestimated the total particle number  
13 concentration during the first day of the UV-light off period when the BVOC emissions were  
14 the highest. The best agreement between the modelled and measured total particle number  
15 concentration, both based on the  $R^2$  value and Fig. 11, was achieved with the kinetic type of  
16 nucleation involving  $\text{H}_2\text{SO}_4$  and  $\text{ELVOC}_{\text{nuc1}}$  (Eq. 3) formed from oxidation of monoterpenes  
17 and sesquiterpenes with OH exclusively.”

18  
19  
20 “Figure 6: In panel c, there is only one tick on vertical axis, so impossible to see how big  
21 differences are.”

22  
23 Thank you, we have changed this.

24  
25 “Figures 8 and 9: different blue colors are too similar.”

26  
27 Thank you we have changed this. Figure 9 will be removed as suggested by reviewer 1.

# Modelling the contribution of biogenic volatile organic compounds to new particle formation in the Jülich plant atmosphere chamber

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## Abstract

We used the Aerosol Dynamics gas- and particle-phase chemistry model for laboratory CHAMber studies (ADCHAM) to simulate the contribution of BVOC plant emissions to the observed new particle formation during photooxidation experiments performed in the Jülich Plant-Atmosphere Chamber and to evaluate how well smog chamber experiments can mimic the atmospheric conditions during new particle formation events. ADCHAM couples the detailed gas-phase chemistry from Master Chemical Mechanism with a novel aerosol dynamics and particle phase chemistry module. Our model simulations reveal that the observed particle growth either may have been controlled by the formation rate of semi- and

low-volatility organic compounds in the gas-phase or by acid catalyzed heterogeneous reactions between semi-volatility organic compounds in the particle surface layer (e.g. peroxyhemiacetal dimer formation). The contribution of extremely low-volatility organic gas-phase compounds to the particle formation and growth was suppressed because of their rapid and irreversible wall losses, which decreased their contribution to the nano-CN formation and growth compared to the atmospheric situation. The best agreement between the modelled and measured total particle number concentration ( $R^2 > 0.95$ ) was achieved if the nano-CN was formed by kinetic nucleation involving both sulphuric acid and organic compounds formed from OH oxidation of BVOCs.

## 1 Introduction

New particle formation, including formation of nano condensation nuclei (nano-CN) (McMurry et al., 2011) and their growth to larger sizes, has been observed world-widely in continental boundary layers and free troposphere (Kulmala et al., 2004; Mirme et al., 2010). Field observations, laboratory experiments and model simulations indicate that gaseous sulphuric acid ( $H_2SO_4$ ) plays an important role in atmospheric nano-CN formation, yet  $H_2SO_4$  alone appears not to be able to explain all the steps of this process (Kulmala et al., 2000; Boy et al., 2003; Sipilä et al., 2010; Riipinen et al., 2007; Sihto et al., 2006; Kerminen et al., 2010; Kulmala et al., 2013, 2014). Basic compounds like ammonia and certain amines have been proposed to act as stabilizing compounds in nano-CN clusters (Berndt et al., 2010; Almeida et al., 2013; Kurtén et al., 2008), while subsequent steps of atmospheric new particle formation seem to rely on the presence of low-volatility organic compounds (LVOCs) (e.g., Metzger et al., 2010; Paasonen et al., 2010; Riipinen et al., 2012; Ehn et al., 2014; Schobesberger et al., 2013).

Oxidation products of biogenic volatile organic compounds (BVOCs) constitute the largest source of secondary organic aerosol (SOA) in the global atmosphere (Tsigaridis and Kanakidou, 2003; Hallquist et al., 2009; Spracklen et al., 2011), accounting for the main composition of SOA condensational growth (VanReken et al., 2006; Hao et al., 2009; Riipinen et al., 2012). BVOC oxidation also produces extremely low-volatility organic compounds (ELVOCs) essential to the new particle formation process in the atmosphere (Ehn et al., 2014). The most abundant group of BVOCs, accounting for more than half of their

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**Deleted:** The best correlation between the modelled and measured total particle number concentration ( $R^2 > 0.97$ ) was achieved if the nano-CN was formed by kinetic nucleation involving both sulphuric acid and BVOC oxidation products.

1 global emissions, are terpenoids (Guenther et al., 1995). Terpenoids include compounds  
2 consisting of one to several isoprene units, e.g. isoprene (C<sub>5</sub>H<sub>8</sub>), monoterpenes (C<sub>10</sub>H<sub>16</sub>), and  
3 sesquiterpenes (C<sub>15</sub>H<sub>24</sub>). Oxidation products of monoterpenes have substantial contribution to  
4 SOA formation (Hoffmann et al., 1997, 1998; Laaksonen et al., 2008), and low-volatility  
5 substances produced by sesquiterpene-ozone reactions may also initiate SOA formation  
6 (Bonn and Moortgat, 2003). Oxidation of isoprene leads to the formation of SOA (Surratt et  
7 al., 2006; Claeys et al., 2004), yet isoprene may also suppress the new particle formation  
8 process due to its high reactivity with OH (Kiendler-Scharr et al., 2009). Overall, the exact  
9 contribution of BVOCs to SOA still remains uncertain, especially with respect to the initial  
10 steps of atmospheric new particle formation.

11 In this study, we used the Aerosol Dynamics, gas- and particle-phase chemistry model for  
12 laboratory CHAMber studies (ADCHAM) (Roldin et al., 2014), to investigate the nano-CN  
13 formation and growth during a measurement campaign conducted in the Jülich Plant  
14 Atmosphere Chamber (JPAC). The in-depth analysis of the chamber measurements is  
15 discussed in another paper (Dal Maso et al., 2014). Here, we use the full chamber dataset  
16 including gas and particle phase measurements, either as model input or for evaluation of the  
17 model results.

18 The main objectives of this work were to evaluate how well the JPAC experiments could  
19 mimic the real atmospheric conditions during new particle formation events over the boreal  
20 forest and to constrain the dominating mechanisms responsible for the nano-CN formation  
21 and growth.

22

## 23 **2 Measurement set up**

24

25 The experiments were conducted in the JPAC located at Forschungszentrum Jülich, Germany.  
26 Detailed description regarding the chamber facility was given in previous articles (e.g.,  
27 Mentel et al., 2009; Schimang et al., 2006). In addition, more details about this measurement  
28 campaign can be found from Dal Maso et al. (2014). In brief, the system consisted of two  
29 borosilicate glass chambers with PTFE Teflon floors. The chambers were operated as  
30 continuously stirred tank reactors (CSTR) with Teflon fans ensuring homogeneous air mixing.  
31 Each chamber housed adjustable temperature between 10 and 50 °C with a stability of ±0.5

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concerning the nano-CN formation and growth

1 °C. The small chamber (1150 L) served as plant chamber and was connected to the larger  
2 chamber that worked as reaction chamber (1450 L, surface-area-to-volume ratio 4.87 m<sup>-1</sup>).

3 Three small trees aging from three to four years were brought from Hyytiälä, Finland, and  
4 included Scots pine (*Pinus sylvestris*), Norway spruce (*Picea abies*), and Silver birch (*Betula*  
5 *pendula*). These trees, representing the main boreal forest species in Finland, were placed in  
6 the JPAC plant chamber for almost two weeks before the intensive experiment campaign  
7 started. This allowed them to adjust to the chamber environment. Starting from the day when  
8 the trees were installed in the plant chamber, tree emissions were transferred into the reaction  
9 chamber, where O<sub>3</sub> was added together with water vapour directly and OH was generated  
10 periodically by turning on and off the UV light, in the same fashion as applied in the intensive  
11 phase. New particles were formed every day during the UV-light on periods and gases and  
12 particles deposited on the chamber walls. Discharge lamps (Osram HQI 400 W/D) were used  
13 for illumination to simulate the solar light spectrum in both chambers. Filters (OptoChem,  
14 type IR3) that reflect wavelengths between 750 and 1050 nm were used as heat shields to  
15 avoid infrared radiation inflicted plant overheating. For the model simulations we used the  
16 measured spectrum for the discharge lamps in the wavelength range of 280-650 nm and a  
17 single UV-light peak at a wavelength of 254 nm which represents the UV-spectrum from UV-  
18 light source, a Philips, TUV 40W lamp (Fig. S1). The UV-light source intensity corresponds  
19 to a O<sub>3</sub> to O(<sup>1</sup>D) photolysis rate of 2.9×10<sup>-3</sup> s<sup>-1</sup> (Mentel et al., 2009).

20 The ambient air was purified by an adsorption dryer (Zander, KEA 70) and a palladium  
21 catalyst (450 °C). O<sub>3</sub>, NO, NO<sub>2</sub> and VOC levels decreased significantly after passing the  
22 purification system. The flow through the plant chamber was 115 L min<sup>-1</sup> from which a  
23 fraction of 20 L min<sup>-1</sup> was transferred to the reaction chamber. This flow was kept nearly  
24 constant by keeping the pressure drop constant. In addition, the 10 L min<sup>-1</sup> flow containing  
25 ozone was added, controlled by a second flow controller.

26 The concentrations of O<sub>3</sub>, CO<sub>2</sub> and H<sub>2</sub>O were measured by commercial analytical instruments.  
27 Two Gas Chromatography Mass Spectrometer (GC-MS) systems were used, one to measure  
28 the VOC concentrations from C<sub>5</sub> to C<sub>20</sub> in the outflow air from the plant chamber (Heiden et  
29 al., 2003), and another to identify the OH concentration by determining the decrease in the  
30 concentration of 2-butanol in the reaction chamber (Kiendler-Scharr et al., 2009). Meanwhile,  
31 the VOC concentration was continuously measured by an on-line Proton Transfer Reaction  
32 Mass Spectrometer (PTR-MS) in the plant and reaction chamber. The gas phase H<sub>2</sub>SO<sub>4</sub>

concentration in the reaction chamber was measured by a Chemical Ionization Mass Spectrometer (CIMS) (Petäjä et al., 2009; Mauldin et al., 1998). A prototype Airmodus Particle Size Magnifier (PSM) coupled with a TSI condensation particle counter (CPC) was used to count the total number concentration of particles larger than ca. 1.6 nm in diameter (Vanhanen et al., 2011) and a TSI CPC (TSI3022A) was used to measure the total concentration of particles larger than ca. 7 nm in diameter. A Scanning Mobility Particle Sizer (SMPS TSI3071 + TSI3025A) was used to measure the particle size distribution in the size range of 14 – 600 nm.

The real plant emissions and the simulated day and night conditions make these experiments suitable for evaluation of methods used to describe the atmospheric transformation (ageing) of BVOCs and SOA beyond the first gas-phase VOC oxidation stage (e.g. the 2-Dimensional Volatility Basis Set (2D-VBS) Donahue et al., 2011).

### **3 Description of the ADCHAM model and its application**

ADCHAM is a model primarily developed for simulations of laboratory chamber experiments on SOA formation and ageing. The model includes modules for reversible partitioning of organic compounds to and from the chamber walls, all fundamental aerosol dynamics processes, detailed gas- and particle-phase chemistry and a kinetic multilayer model which can be used to simulate mass transfer limited mixing of compounds in the particle phase (Fig. 1). Below we describe how ADCHAM was set up in this work. For a more detailed description of ADCHAM we refer to Roldin et al. (2014).

#### **3.1 Gas-phase chemistry**

The gas-phase chemistry reactions were selected from the Master Chemical Mechanism (MCM) v3.2 (Jenkin et al., 1997, 2012; Saunders et al., 2003) via website: <http://mcm.leeds.ac.uk/MCM/>. The MCM is a near-explicit chemical degradation mechanism that simplifies the chemical path of compounds by lumping products beyond the second oxidation step. We used the Kinetic Pre-Processor (KPP) version 2.1 (Damian et al., 2002) to simulate the gas-phase chemistry.

Among all the compounds measured by GC-MS in the JPAC plant chamber, 28 organic compounds were included in the gas-phase chemistry mechanism (see Table 1). In the table,



the “other MTs” equals to the concentration difference between the summation of the concentrations of the 13 selected monoterpenes by GC-MS and the total monoterpene concentration measured by PTR-MS, while the “other SQTs” equals to the summation of other sesquiterpene isomers besides the listed four sesquiterpenes measured by GC-MS.

In total, the gas-phase chemistry mechanism has 2294 species and 6487 chemical and photochemical reactions for the chemical calculations. These include relevant inorganic reactions and the full MCM chemistry path for isoprene,  $\alpha$ -pinene,  $\beta$ -pinene, limonene,  $\beta$ -caryophyllene, toluene, 2-butanol and hexanal. We also included the initial reactions of OH,  $O_3$ , and  $NO_3$  with the following organic compounds: myrcene, sabinene, camphene, ocimene,  $\Delta^3$ -carene, “other MTs” (which we assumed to have the same rate coefficients as  $\alpha$ -pinene), cineole (also known as eucalyptol), farnesene, “other SQTs” (which assumed the same rate coefficients as  $\beta$ -caryophyllene),  $\alpha$ -terpinene,  $\Delta$ -terpinene,  $\alpha$ -phellandrene,  $\beta$ -phellandrene, and terpinolene. Furthermore, we included the initial reactions between OH and the following organic compounds: tricyclene, nonanal, bornyl acetate and methyl salicylate. Finally, the initial reactions between  $O_3$  and the following organic compounds were included:  $\alpha$ -humulene,  $\alpha$ -longipinene, and  $\Delta$ -cardinene. Table S1 lists the reaction rate coefficients that were used for all 28 VOCs, together with references to the publications where the rate coefficients can be found. For the BVOCs with very small contribution to the total BVOC concentration in the chamber (myrcene, sabinene, camphene, cineole,  $\alpha$ -terpinene,  $\Delta$ -terpinene,  $\alpha$ -phellandrene,  $\beta$ -phellandrene, terpinolene, tricyclene, nonanal, bornyl acetate, methyl salicylate,  $\alpha$ -longipinene and  $\alpha$ -humulene), the initial reaction was only considered as a sink of OH,  $O_3$  and  $NO_3$ , without any other influence on the MCM gas-phase chemistry scheme. The oxidation of the sesquiterpenes farnesene,  $\Delta$ -cardinene and “other SQTs” were treated in a similar manner, except that we assumed that on average  $\frac{1}{2}$  OH molecule was formed for each sesquiterpene molecule that reacted with  $O_3$ .

Ocimene constituted a major fraction of MT on the first experiment day. The chemistry path for ocimene is not available in the MCM. However, we included the ocimene chemistry by approximating its chemistry beyond the first oxidation step with that of limonene, for which the full MCM chemistry path is available. We also approximated the chemical path for  $\Delta^3$ -carene after the first oxidation step with that of  $\alpha$ -pinene, since both are bicyclic monoterpenes with an endocyclic double bond, as was done by Boy et al. (2013). For the “other MTs”, we approximated the chemistry beyond the first oxidation step assuming that 50

% of the oxidation products end up as the corresponding MCM  $\alpha$ -pinene first generation oxidation products and 50 % end up as the corresponding MCM  $\beta$ -pinene first generation oxidation products.”

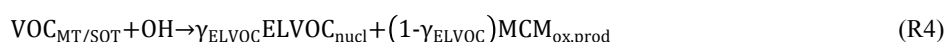
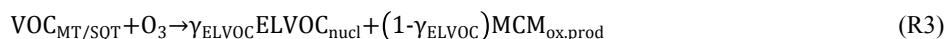
Based on the recent finding of rapid formation of extremely low-volatility organic compounds (ELVOCs) from ozonolysis of monoterpenes containing endocyclic double bonds (Ehn et al., 2014) we also included a simplified ELVOC formation mechanism in the MCM gas-phase chemistry code (R1), assuming that 7 mole % of the  $\alpha$ -pinene + O<sub>3</sub> and  $\Delta^3$ -carene + O<sub>3</sub> oxidation products were ELVOCs, with a molar mass of 325 g/mol and a vapour pressure of 10<sup>-10</sup> Pa, which approximately corresponds to the VBS bin of log<sub>10</sub>(C\*/ $\mu$ g m<sup>-3</sup>) = -5. We also performed simulations where we considered that ELVOCs were formed from ozonolysis of any monoterpene or sesquiterpene, with the same ELVOC molar yield as for  $\alpha$ -pinene. MCM<sub>ox.prod</sub> represents the MCMv3.2 oxidation products that were formed from the same reactions as the ELVOCs but via a different reaction pathway.



Ehn et al. (2014) also observed ELVOC formed from OH-oxidation of  $\alpha$ -pinene with an estimated maximum molar yield of 1 %. In this work we evaluated the potential contribution of ELVOCs formed from OH-oxidation by using an ELVOC molar yield of 1 % for any monoterpene and sesquiterpene that reacts with OH (R2).



In order to evaluate the potential influence of specific ELVOCs (e.g. dimers) which may be involved in the nano-CN formation (here denoted ELVOC<sub>nucl</sub>) we also included the possibility to add separate reactions where the monoterpene and sesquiterpene (VOC<sub>MT/SQT</sub>) that react with O<sub>3</sub> or OH form trace amounts of ELVOC<sub>nucl</sub> (R3-R4). In order to have little influence on the gas-phase chemistry or SOA formation, we used a very low ELVOC<sub>nucl</sub> yield ( $\gamma_{\text{ELVOC}}$ ) of 0.001 mole % and scaled the nucleation rate coefficient accordingly.



The reactions R3 and R4 were only used in those simulations where ELVOC<sub>nucl</sub> were involved in the nano-CN formation (Eq. 3-6).

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### 3.2 Aerosol dynamics

The aerosol dynamics module in ADCHAM is based on the aerosol dynamics code from the 2-D Lagrangian model for Aerosol Dynamics, gas-phase CHEMistry and radiative transfer (ADCHEM) (Roldin et al., 2011). It includes subroutines for nano-CN formation, condensation/evaporation, Brownian coagulation and particle deposition onto the chamber walls. ADCHAM simulates the condensation, dissolution and evaporation of sulphuric acid, ammonia, nitric acid, hydrochloric acid and an unlimited number of organic compounds using the analytic prediction of condensation scheme (Jacobson, 1997) and prediction of non-equilibrium growth scheme (Jacobson, 2005). The aerosol particle water content is calculated with a thermodynamics model (Sect. 3.4).

ADCHAM considers the deposition of particles onto the chamber walls and keep track of the amount of deposited material on the walls. In Roldin et al. (2014) we kept track of each compound in each particle size bin that deposited on the chamber walls, and explicitly simulated the mass-transfer-limited gas-particle partitioning between the gas-phase and the wall deposited particles, assuming that the particles deposited on the walls remain as spherical particles on the walls. In this work, we instead assumed that the deposited SOA particles lose their individual particle identity and merge into the VOC wall matrix together with the gas-phase VOCs that deposit directly to the chamber walls (Sect. 3.5).

During the JPAC experiments the particles were formed by nucleation and, as a result of coagulation, wall losses and dilution, their average lifetime in the chamber was relatively short (less than 45 minutes, wherein dilution generally is the dominant loss process). Therefore, we expect that most of the formed particles were under charged with respect to the Boltzmann charge equilibrium distribution so that we do not have to consider the enhanced deposition rates induced by the particle charge (McMurry and Rader, 1985, Pierce et al., 2008 and Roldin et al., 2014). Thus, we calculated the first order wall deposition loss rate assuming that all particles were non-charged using the indoor deposition loss rate model from Lai and Nazaroff (2000), which accounts for different deposition loss rates on upward-, downward- and vertically facing surfaces. In the model by Lai and Nazaroff (2000) the fundamental (but unknown) parameter for the particle loss rate is the friction velocity,  $u^*$ . In this work we found that with a relatively small value of  $u^*$  ( $0.02 \text{ m s}^{-1}$ ), the model best captures the observed particle number and particle volume concentration loss rates after the UV-light is turned off. With this value of  $u^*$  the deposition loss rate is equal to the observed

1 ELVOC molecule loss rate measured by Ehn et al. (2014) when the particle (molecule)  
2 diameter is equal to 0.8 nm. We therefore used the value of  $u^* = 0.02 \text{ m s}^{-1}$  for all the model  
3 results presented in this work.

4 We evaluated six nano-CN formation parameterizations (Eq. 1-6) and compared them against  
5 base-case simulations with a fixed nano-CN formation rate ( $J$ ). The first mechanism (Eq. 1) is  
6 sulphuric acid activation nucleation (Kulmala et al., 2006), Eq. 2 is used for kinetic nucleation  
7 of two  $\text{H}_2\text{SO}_4$  molecules (McMurry and Friedlander, 1979), Eq. 3 is used for kinetic  
8 nucleation of one  $\text{H}_2\text{SO}_4$  molecule and one  $\text{ELVOC}_{\text{nucl}}$ , Eq. 4 is the nano-CN parameterization  
9 proposed by Riccobono et al., (2014) based on experiments in the CLOUD chamber, Eq. 5  
10 represents a mechanism where single ELVOCs serve as nano-CN (Ehn et al., 2014), and Eq. 6  
11 represent a kinetic type of nucleation mechanism with  $\text{ELVOC}_{\text{nucl}}$ .

$$12 \quad J = A_{1\text{u}}[\text{H}_2\text{SO}_4] \quad (1)$$

$$13 \quad J = K_{2\text{u}}[\text{H}_2\text{SO}_4]^2 \quad (2)$$

$$14 \quad J = K_{3\text{u}}[\text{H}_2\text{SO}_4][\text{ELVOC}_{\text{nucl}}] \quad (3)$$

$$15 \quad J = K_{4\text{u}}[\text{H}_2\text{SO}_4]^2[\text{ELVOC}_{\text{nucl}}] \quad (4)$$

$$16 \quad J = A_{5\text{u}}[\text{ELVOC}_{\text{nucl}}] \quad (5)$$

$$17 \quad J = K_{6\text{u}}[\text{ELVOC}_{\text{nucl}}]^2 \quad (6)$$

18  $A \text{ (s}^{-1}\text{)}$  and  $K \text{ (cm}^3 \text{ s}^{-1}\text{)}$  in Eq. 1-3 and Eq. 5-6 are formation rate coefficients for activation type  
19 and kinetic type of nucleation, respectively. For Eq. 4 the formation rate coefficient has the  
20 unit  $\text{cm}^6 \text{ s}^{-1}$ .

21 The composition of the nucleation clusters was chosen in order to match the respective new  
22 particle formation mechanism. The dry nano-CN volume was composed of equal mole  
23 fractions of  $\text{H}_2\text{SO}_4$  and  $\text{ELVOC}_{\text{nucl}}$  when we used Eq. 1, 3 or 5, equal mole fractions of  $\text{H}_2\text{SO}_4$   
24 and  $\text{NH}_3$  when we used Eq. 2, and pure  $\text{ELVOC}_{\text{nucl}}$  when we used Eq. 6. The molar mass of  
25  $\text{ELVOC}_{\text{nucl}}$  was assumed to be 500 g/mol. The equilibrium water content of the nano-CN  
26 clusters was calculated with the thermodynamics model. The dry particle size of the nano-CN  
27 was assumed to be 1.5 nm.

28 Both  $\text{ELVOC}_{\text{nucl}}$  and  $\text{H}_2\text{SO}_4$  were assumed to be non-volatile. The  $\text{ELVOC}_{\text{nucl}}$  first order wall  
29 loss rate was  $0.011 \text{ s}^{-1}$  according to Ehn et al. (2014). The  $\text{ELVOC}_{\text{nucl}}$  condensation sink was  
30 modelled explicitly with the condensation algorithm in ADCHAM. Chemical degradation of

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1 ELVOC<sub>nuc1</sub> was not considered.  
2 The ELVOC<sub>nuc1</sub> involved in the nano-CN formation were assumed to be formed  
3 instantaneously after the first oxidation stage of monoterpenes and sesquiterpenes (R3-R4).  
4 With Eq. 3 and 4 we investigated six different sources of ELVOC<sub>nuc1</sub>; (i) as a product formed  
5 exclusively from the ozonolysis of endocyclic monoterpenes ( $\alpha$ -pinene and  $\Delta^3$ -carene), (ii)  
6 from the ozonolysis of all monoterpene and sesquiterpene, (iii) from the ozonolysis of  
7 sesquiterpenes, (iv) from the OH and O<sub>3</sub> oxidation of monoterpenes and sesquiterpenes, (v)  
8 when monoterpenes and sesquiterpenes react with OH, or (vi) when sesquiterpenes react with  
9 O<sub>3</sub> or OH. When using Eq. 5 and 6 we only considered the ELVOC<sub>nuc1</sub> that was formed from  
10 monoterpenes and sesquiterpenes reacting with OH.

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### 11 3.3 Size distribution structures

12 ADCHAM can be operated with the full-moving, fixed-sections or moving-centre particle  
13 size distribution approach (Roldin et al., 2011). In this work, we have tested both the fixed-  
14 sections approach and full-moving method using different numbers of size bins. The largest  
15 advantage of the full-moving approach compared to the fixed-sections approach is that it does  
16 not introduce any numerical diffusion problems during condensation/evaporation because it  
17 allows the particles to growth/shrink to their exact size. The main disadvantage is that new  
18 particle size bins need to be introduced when new particles are formed. In this work, we  
19 handled this by only introducing new particles formed by nucleation once every minute and at  
20 the same time as we added a new size bin for the freshly nucleated particles, we also removed  
21 the size bin containing the largest (oldest) particles. In order to not remove any particles  
22 within a time frame substantially longer than their average residence time in the reaction  
23 chamber, which was about 45 minutes, we used 400 size bins for the full-moving approach.  
24 Thus, with this method we kept track of the nucleated particles for 400 minutes (almost 9  
25 times the average residence time in the chamber), before they were removed from the  
26 modelled size distribution. This method was compared with the fixed-section approach using  
27 a different number of size bins in the diameter ( $D_p$ ) range 1.5 to 800 nm. Based on these tests  
28 we could conclude that the fixed-section approach was not suitable due to numerical diffusion  
29 if the number of size bins were less than 400 (Fig. S2 in the supplementary material). With  
30 the full-moving approach the particle volume (PV) and particle number concentrations (PN)  
31 were well conserved although the last size bin was removed once every minute (Fig. S3).  
32 Therefore, we decided to use the full-moving method to represent the particle number size

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distribution in this work.

One difficulty with the full-moving method is that the particle number size distribution needs to be mapped back onto a fixed diameter grid in order to illustrate it as a  $dPN/d\log D_p$  distribution. This grid needs to be relatively coarse in order to not get a “jumpy” graphical representation of the particle number size distribution. For this we used 50 size bins between 1.5 and 360 nm in diameter.

### 3.4 Particle phase chemistry and phase-state

ADCHAM includes a detailed particle-phase chemistry module, which is used to calculate the particle equilibrium water content, the particle acidity, nitric acid and hydrochloric acid equilibrium vapour pressures for each particle size bin, and the non-ideal interactions between organic compounds, water and inorganic ions using the activity coefficient model AIOMFAC (Zuend et al., 2008, 2011). In this work, we did not model the specific interactions between the organic and inorganic compounds but assumed a complete phase-separation of the inorganic- and organic particle phase. We used AIOMFAC to calculate the equilibrium water content in both the inorganic and organic particle phase and the individual compound activity coefficients. The organic compound activity coefficients were used when deriving the organic compounds equilibrium vapour pressures above each particle size (Sect. 3.6).

The particle phase chemistry module also contains subroutines that can be used to calculate organic salt formation, oligomerization and heterogeneous oxidation (Roldin et al., 2014). Recently, Shiraiwa et al. (2013) illustrated what peroxyhemiacetal (PHA) formation between organic compounds containing aldehydes and hydroperoxide functional groups may proceed fast and contribute to a large and rapid increase of the formed SOA mass during photooxidation experiments. In this work we evaluate if this type of heterogeneous dimer formation mechanism may explain the observed nano-particle growth during the JPAC experiment. For this we tested to use a constant value of the PHA formation rate ( $k_{PHA}$ ) of  $12 \text{ M}^{-1} \text{ s}^{-1}$  adopted from Shiraiwa et al. (2013). We also tested to model  $k_{PHA}$  as a parameterization of the sulphate particle mole concentration ( $x_{S(VI)}$ ), assuming that the PHA formation is acid catalysed by the co-condensing  $\text{H}_2\text{SO}_4$  (Eq. 7).

$$k_{PHA} = B \cdot x_{S(VI)} \text{ (M}^{-1}\text{s}^{-1}\text{)} \quad (7)$$

Here  $B$  is a constant, the value of which we varied in the range  $1\text{-}500 \text{ M}^{-1} \text{ s}^{-1}$ .

In this work we used the kinetic-multi-layer module in ADCHAM for investigating weather

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the phase-state of the SOA particles might have influenced the evolution of the particle number size distribution. In order to do this, we divided each particle into three layers (an approximately monolayer thick surface layer of 0.7 nm, and two bulk-layers). We considered the two extreme conditions where the SOA particles either were considered to be completely liquid-like (no concentration difference between the surface and bulk layers) or solid-like (no molecule transport between the surface and the particle bulk layers). Still, this had only a minor effect on the modelled particle growth (SOA formation), but by treating the SOA as solid-like improved the agreement between the modelled and measured SOA particle volume decay when the UV-light was turned off (Fig. S4). Thus, in the simulations used to produce the results presented in Sect. 4, we treated the SOA particles as solid-like with the assumption that the molecule transport between the particle bulk and the particle surface-layer is relatively slow compared to the time scale it takes for the condensation to form a new monolayer thick surface layer. However, it is important to mention that the effect of the SOA phase-state cannot be unambiguously distinguished from the effect of the SOA volatility when only looking at total particle volume loss rates.

### 3.5 Reversible VOC wall loss

The JPAC reaction chamber was mixed with a Teflon fan with mixing times <2 min. The first order VOC wall loss rate to the chamber walls ( $k_w$ ) was therefore governed by the molecular diffusion across the boundary layer near the chamber walls and by the uptake rate at the wall surface. According to McMurry and Grosjean (1985), the first order VOC wall loss rate for FEP Teflon films ( $k_{w,\text{FEP}}$ ) can be modelled with Eq. 8, which has two key parameters; the VOC wall mass accommodation coefficient ( $\alpha_w$ ) and the coefficient of eddy diffusion ( $k_e$ ). Unfortunately neither  $\alpha_w$  or  $k_e$  can be derived easily. Based on the observed wall losses of particles, McMurry and Radar (1985) estimated  $k_e$  to be  $0.12 \text{ s}^{-1}$  in a  $60 \text{ m}^3$  FEP Teflon film chamber. Zhang et al. (2015) estimated  $k_e$  to be  $0.075 \text{ s}^{-1}$  and  $0.015 \text{ s}^{-1}$  in two not actively mixed FEP Teflon film chambers with volumes of 24 and  $28 \text{ m}^3$ .

$$k_{w,\text{FEP}} = \frac{A_w}{V_{\text{chamber}}} \left( \frac{\alpha_w \bar{v}/4}{1 + (\frac{\pi}{2}) \alpha_w \bar{v} / (4\sqrt{(k_e D)})} \right) \quad (8)$$

Here  $A_w$  is the chamber wall surface area,  $V_{\text{chamber}}$  is the chamber volume,  $\bar{v}$  is the mean thermal speed of the gas molecules and  $D$  is the molecular diffusion coefficient.

In the JPAC reaction chamber Ehn et al. (2014) observed ELVOC first order wall loss rates in the range  $0.013\text{--}0.011 \text{ s}^{-1}$ . By inserting a value of  $0.011 \text{ s}^{-1}$  for  $k_{w,\text{FEP}}$  in Eq. 8 and assuming

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that the surface wall uptake rate is not limiting the ELVOC wall loss rate ( $\alpha_w > 10^{-3}$ ) we get a  $k_e$  of  $4.2 \text{ s}^{-1}$  for the JPAC reaction chamber. This value is substantially larger than what was estimated by Zhang et al. (2014) and McMurry and Radar (1985) and is probably because the JPAC reaction chamber was actively mixed and has a smaller volume.

From measurements in a  $4 \text{ m}^3$  FEP Teflon chamber Kokkola et al. (2014) observed that for nopinone which has a pure-liquid [equilibrium](#) saturation vapour pressure ( $p_0$ ) of 53 Pa, the gas-wall equilibrium was reached within a few minutes and  $k_{w,\text{FEP}}$  was  $\geq 0.03 \text{ s}^{-1}$ . The observations by Ehn et al. (2014) and Kokkola et al. (2014) indicate that gas-wall equilibration can be reached rapidly for both volatile and low volatile VOCs and that their uptake on the chamber walls is primarily limited by the transport to the chamber walls and not by surface uptake ( $\alpha_w$ ). In contrast, Zhang et al. (2015) observed that the gas-phase concentration of 25 different oxidized VOCs ( $p_0 = 6 \times 10^{-6} - 20 \text{ Pa}$ ) slowly decreased over more than 18 hours without reaching gas-wall equilibrium. These experiments were performed in a  $24 \text{ m}^3$  FEP Teflon film chamber that was not actively mixed. Based on Eq. 8, Zhang et al. (2015) concluded that the VOC wall loss rate onto the FEB Teflon film walls was primarily limited by the surface uptake ( $\alpha_w$ ) and not by the molecule diffusion to the chamber walls. Zhang et al., (2015) also derived a parameterization of  $\alpha_w$  as a function of the compounds pure liquid [equilibrium](#) saturation vapour pressure.

Based on the rapid ELVOC wall losses observed by Ehn et al. (2014), we assumed that the VOC losses to the JPAC reaction chamber walls was primarily governed by the molecule diffusion to the chamber walls and used a constant  $k_w$  equal to  $0.011 \text{ s}^{-1}$  for all condensable organic compounds. However, we also performed test simulations using Eq. 8 and the  $\alpha_w$  parameterization from Zhang et al. (2015).

According to Matsunaga and Ziemann (2010) the loss rate of VOCs from FEP Teflon chamber walls back to the gas-phase ( $k_g \text{ (s}^{-1}\text{)}$ ) can be represented by Eq. 9,

$$k_{g,i} = \frac{k_w}{(RT/p_{0,i}C_w/\gamma_{w,i})} \quad (9)$$

where  $C_w$  in Eq. 9 is an effective mole concentration of organic compounds on the chamber walls ( $\text{mol m}^{-3}$ ) and  $\gamma_{w,i}$  is the activity coefficient of compounds  $i$  in the organic film on the chamber walls,  $T$  is the temperature in Kelvin and  $R$  is the universal gas constant ( $\text{J mol}^{-1} \text{ K}^{-1}$ ). According to Matsunaga and Ziemann (2010), the FEP Teflon film walls serve as a large

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1 organic pool where VOCs can absorb ( $C_w/\gamma_{w,i}$  on the order of  $100 \mu\text{mol m}^{-3}$ ). Thus, the actual  
 2 VOCs deposited on the chamber walls is considered to have a negligible contribution to  $C_w$ .  
 3 We assumed that there was practically no  $C_w$  on the glass for the VOCs to dissolve into. We  
 4 also estimated that even if the deposited VOCs and SOA were distributed as a molecule  
 5 monolayer on the walls, the VOCs formed during the simulated experiment could only cover  
 6 maximum 2 % of the total glass wall surface area in the end of the measurement campaign  
 7 (see supplementary material). Thus, we assumed that the VOC losses onto the glass wall  
 8 surfaces could be treated as a condensation process but without dissolution (absorption) into  
 9 an organic matrix on the walls. With this approach the uptake of compound  $i$  is governed by  
 10 the difference between the concentration in the gas-phase ( $c_{g,i}$ ) and the pure liquid equilibrium  
 11 saturation concentration ( $c_{0,i}$ ) (Eq. 10-11). Thus, as long as  $c_{g,i} < c_{0,i}$  the VOC will not  
 12 condense on the glass walls. For many of the semi-volatility organic compounds (SVOCs),  
 13 the limit at which  $c_{g,i}$  become larger than  $c_{0,i}$  was never reached during the experiments.

$$14 \quad \frac{dc_{g,i}}{dt} = -k_w(c_{g,i} - c_{0,i}) \quad (\text{if } c_{g,i} > c_{0,i} \text{ or if } c_{w,i} > 0) \quad (10)$$

$$15 \quad \frac{dc_{w,i}}{dt} = k_w(c_{g,i} - c_{0,i}) \quad (11)$$

16 For the PTFE Teflon floor, we used the theory developed for FEP Teflon films (Eq. 9, 12 and  
 17 13), assuming that the PTFE Teflon surface (in total 15 % of the total chamber surface area)  
 18 has a  $C_w/\gamma_{w,i} = 100 \mu\text{mol m}^{-3}$  and  $k_{w,i} = 1.7 \times 10^{-3} \text{ s}^{-1}$  ( $0.15 \times 0.011 \text{ s}^{-1}$ ).

$$19 \quad \frac{dc_{g,i}}{dt} = -k_{w,i}c_{g,i} + k_{g,i}c_{w,i} \quad (12)$$

$$20 \quad \frac{dc_{w,i}}{dt} = k_{w,i}c_{g,i} - k_{g,i}c_{w,i} \quad (13)$$

21 In order to mimic the actual experiment procedure (Sect. 2), we started the model simulations  
 22 by running ADCHAM 14 days prior to the actual experiments started, with conditions similar  
 23 to Day-1 of the experiment campaign (see Sect. 3.7). This allowed the VOC concentration to  
 24 build up on the chamber walls.

### 25 3.6 Condensable organic compound properties

26 In this work, we used three different approaches to simulate the SOA formation. In the first  
 27 approach, the SOA formation was modelled by considering the gas-particle partitioning of all  
 28 non-radical organic compounds from the MCMv3.2 gas-phase chemistry code with estimated  
 29 pure-liquid equilibrium saturation vapour pressure lower than  $10^{-2} \text{ Pa}$  at  $T=289 \text{ K}$  (in total 488

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1 compounds). The pure-liquid equilibrium saturation vapour pressures of these compounds  
2 were estimated using the boiling point and vapour pressure extrapolation method from  
3 Nannoolal et al. (2004; 2008), hereafter referred to as the Nannoolal method. MCMv3.2 only  
4 includes one sesquiterpene:  $\beta$ -caryophyllene. As an attempt to take into account the SOA  
5 formation from the other sesquiterpenes, exclusively in these simulations all the sesquiterpenes  
6 were assumed to be emitted as  $\beta$ -caryophyllene. In this work we will refer to this SOA  
7 formation representation as the MCM compound SOA formation mechanism.

8 The second method is the 2D-VBS approach (Jimenez et al., 2009; Donahue et al., 2011),  
9 which is a simplified approach to describe how the volatility distribution of the VOCs (as a  
10 function of their Oxygen to Carbon ratio (O:C)) evolves beyond the first oxidation step. A  
11 detailed description of the structure and general assumptions of the 2D-VBS method used in  
12 ADCHAM is given by Hermansson et al. (2014). Here we primarily describe how the 2D-  
13 VBS was modified in order to better capture the SOA formation observed during the JPAC  
14 experiments.

15 The 2D-VBS compounds were distributed across 11 volatility classes separated by powers of  
16 10 in equilibrium saturation concentration (at the standard temperature 298 K ( $C_{298}^*$ )), ranging  
17 from  $10^{-7}$  to  $10^3 \mu\text{g m}^{-3}$ , and 12 discrete O:C from 0.1 to 1.2, in steps of 0.1. VOCs were  
18 introduced into the 2D-VBS by scaling the amount of reacted monoterpenes and  
19 sesquiterpenes with stoichiometric VBS yield parameterizations that represent the volatility  
20 distribution of the first generation oxidation products. We used the parameterizations from  
21 Table 3 in Henry et al. (2012). These parameterizations represent the first generation product  
22 volatility distributions formed during experiments where  $\alpha$ -pinene was primarily oxidized by  
23 ozone (no OH-scavenger) or where  $\alpha$ -pinene was oxidized by OH. We used the former  
24 parameterization to represent the first generation terpene (monoterpenes and sesquiterpenes)  
25 oxidation products during the UV-off periods and the later for the UV-light on periods.  
26 ELVOCs formed as first generation oxidation products from R1 were introduced into the 2D-  
27 VBS assuming that they had a O:C of 1 and  $C_{298}^* = 10^{-7} \mu\text{g m}^{-3}$ .

28 Hermansson et al. (2014) illustrated that the modelled SOA formation is sensitive to the  
29 volatility distribution and the assumed O:C of the first generation oxidation products.  
30 Moreover it depends on how the functionalization and fragmentation pattern is represented in  
31 the VBS and the reaction rate constant between the VOCs and OH. Equation 14 from Jimenez  
32 et al. (2009) was used to represent how the fraction of VOCs which fragmentize upon

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oxidation varies as a function of their O:C.

$$f_{\text{frag}} = (\text{O:C})^y \quad (14)$$

Jimenez et al. (2009) assumed that  $y$  was equal to  $1/6$ , that the 2D-VBS compounds were oxidized by OH with a reaction rate coefficient ( $k_{\text{OH}}$ ) of  $3 \times 10^{-11} \text{ cm}^3 \text{ s}^{-1}$ , and that the compounds that fragmentize had equal probabilities to split at any of the carbon bonds. However, with this 2D-VBS setup, ADCHAM substantially overestimated the SOA formation (Fig. S5). This was mainly because a substantial fraction of the 2D-VBS fragmentation products were still less volatile than the reacting VOCs. If we instead assumed that all fragmenting compounds (on a mole fraction basis with equal proportions) end up into the VBS bins where  $C_{298}^*$  is at least 3 order of magnitude larger than the corresponding functionalization products, and decreased the probability of fragmentation somewhat ( $y=1/3$ ), the modelled particle volume concentration agreed better with the measurements (Fig. S6). Thus, in the proceeding sections we used this fragmentation parameterization. We also performed sensitivity tests where we varied the value of  $k_{\text{OH}}$  in the range of  $3 \times 10^{-11}$  to  $5 \times 10^{-11} \text{ cm}^3 \text{ s}^{-1}$  and the O:C of the first generation oxidation products in the range of 0.3 to 0.5 (Fig. S6). Based on these tests, we decided to use the values of  $k_{\text{OH}} = 5 \times 10^{-11} \text{ cm}^3 \text{ s}^{-1}$  and O:C = 0.4 for the first generation oxidation products in the simulations used to produce the results in Sect. 4.

The temperature dependence of the 2D-VBS equilibrium saturation concentrations were derived using the Clausius Clapeyron equation and assuming the following form for the heat of vaporization ( $\Delta H$ ; Epstein et al., 2010):

$$\Delta H = -11 \times \log_{10} C_{300}^* + 129 \text{ kJ mol}^{-1} \quad (15)$$

As the third approach we also tested to represent the SOA formation with a one-product model. With this method, only a single non-volatile condensable organic compound was used to represent the SOA formation. This compound was formed as a first generation oxidation product when any of the monoterpenes or sesquiterpenes reacted with  $\text{O}_3$  or OH, assuming a molar yield of 25 %. The non-volatile condensable organic compound was assumed to have a molar mass of 325 g/mol. This simulation represents the limiting condition where the SOA formation is purely kinetically limited and not absorption partition limited, and it was also used for estimating how large the ELVOC yield would need to be if the particles were only growing by condensation of ELVOCs.

Both with the 2D-VBS and when the MCM compounds were used to represent the condensable organic compounds, the Raoult's law and the Kelvin equation were used to calculate the **equilibrium** vapour pressure ( $p_{s,i,j}$ ) for each compound ( $i$ ) above each particle size ( $j$ ) (Eq. 16). With the 2D-VBS we assumed that the organic compounds were mixed ideally (unity activity coefficients ( $\gamma_i$ )). However, for the MCM compounds the molecule structure is known, so we calculated the organic compound activity coefficients in the SOA particle mixtures using AIOMFAC.

$$p_{s,i,j} = p_{0,i} x_{i,j} \gamma_{i,j} e^{\left( \frac{4M_i \sigma_i}{RT \rho_j D_{p,j}} \right)} \quad (16)$$

where  $x_{i,j}$  is the mole fraction of compound  $i$  in the particle surface layer of particles in size bin  $j$ ,  $M_i$  is the molar mass of compound  $i$  and  $\rho$  is the density of the absorbing phase. In this work the surface tension of the organic compounds ( $\sigma_i$ ) was estimated to be equal to 0.05 N m<sup>-1</sup> following Riipinen et al. (2010), even though we also tested the values of  $\sigma_i$  in the range 0.02 to 0.07 N m<sup>-1</sup>. These sensitivity tests revealed that the modelled total particle number concentration decreased by approximately 10 % and the total particle volume concentration by approximately 30 % when the value of  $\sigma_i$  was increased from 0.02 to 0.07 N m<sup>-1</sup> (Fig. S7).

### 3.7 Optimizing model input parameters

We used the ADCHAM model to simulate the **nano-CN** formation and growth during one measurement campaign conducted in the JPAC chamber. We chose to study the first four continuous days from the measurement campaign because these days had continuous measurements, coverage of the dataset was complete and UV light-on period was the same.

The measured temperature and relative humidity (RH) in the JPAC reaction chamber were used directly in the model as input. The values from the first four days are illustrated in Fig. 2. The purple bars in the figures illustrate the UV-on periods. The temperature stabilized around noon on Day-1, after that the temperature was ca. 16 °C for all days. The RH had minor fluctuations during the experiment. RH was kept to ca. 60% during UV-on periods for the first three days. On Day-4, the RH was around 55 %. In addition, 8 discharge lamps were used to simulate solar illumination on Day-1 in the plant chamber, but only 4 lamps were used on the remaining days to generate lower levels of VOC emissions from the trees.

Because the inflow of ambient air into the JPAC chamber was purified by an adsorption dryer, by default, the concentrations of NO, NO<sub>2</sub>, SO<sub>2</sub>, and CO in the inflow to the reaction

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1 chamber were assumed to be 0.03, 0.2, 0.015 and 15 ppbv, respectively. However, in order to  
2 evaluate how sensitive the model results was, in particular the modelled VOC composition  
3 and SOA formation, we also performed sensitivity tests where we varied the inflow  
4 concentrations of NO<sub>x</sub> (NO+NO<sub>2</sub>) within the range of 0.05-1 ppbv. Concentrations of VOCs  
5 in the inflow to the reaction chamber were based on the GC-MS and PTR-MS data. The initial  
6 concentrations of all VOCs were set to zero. The measured concentrations of isoprene,  
7 monoterpenes and sesquiterpenes (in the plant chamber) are illustrated in Fig. 3. The  
8 monoterpene and sesquiterpene concentrations displayed a certain diurnal distribution pattern,  
9 because the discharge lamps mimicked sunlight in the plant chamber. The total monoterpene  
10 concentration exceeded 4 ppbv on Day-1, and then decreased to a value below 2 ppbv on the  
11 following three days. In particular, the ocimene concentration was the highest on Day-1,  
12 which can be explained by the fact that ocimene emission is light dependent (Owen et al.,  
13 2002), and the simulated solar light intensity in the plant chamber from the discharge lamps  
14 was two times higher on Day-1 than during Day-2 – Day-4.

15 The isoprene concentration and the total sesquiterpene concentration were about an order of  
16 magnitude lower than the monoterpene concentration. Overall, the measured concentrations  
17 of terpenes were at similar levels as ambient air concentrations in boreal forest environments  
18 (Ruuskanen et al., 2009).

19 At the first attempt, we tried to simulate the O<sub>3</sub> and OH concentrations with the gas-phase  
20 chemistry mechanism. An OH sink has been determined in the empty chamber by direct OH-  
21 LIF measurements (Diss. Sebastian Broch) and has been always found to be within 2 - 4 s<sup>-1</sup>.  
22 However, even with a OH sink of 4 s<sup>-1</sup>, the model could not capture the observed gradual  
23 decrease in the O<sub>3</sub> concentration and increase in OH concentration during the UV-light on  
24 periods without either varying the UV-light intensity or the OH sink (Fig. 4).

25 Therefore, we decided to use the measured O<sub>3</sub> and H<sub>2</sub>SO<sub>4</sub> concentrations, and estimated OH  
26 concentration, as input to the model for the simulations presented in Sect. 4. Figure 4a shows  
27 the measured O<sub>3</sub> concentration during the experiment, Fig. 4b shows the estimated OH  
28 concentration based on the observed loss rate of 2-butanol and Fig. 4c shows the measured  
29 H<sub>2</sub>SO<sub>4</sub> concentration. When the UV-light was turned on the H<sub>2</sub>SO<sub>4</sub> concentration increased  
30 very rapidly and had a distinct peak. This is most likely due to an initial peak in the OH  
31 concentration at the moment when the UV-lamps was turned on (indicated by the modelled  
32 OH concentration). In the model we represented this by setting the OH concentration to 5×10<sup>7</sup>

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molecules  $\text{cm}^{-3}$  for the 12 first minutes after the UV-light were turned on.

The photolysis rates were simulated by using the quantum yields and absorption cross sections reported at the MCMv3.2 web site. In order to evaluate the direct influence of the 254 nm wavelength UV-light on the VOC composition and SOA formation, we performed model simulations where the 254 nm UV-light was not considered when calculating the photolysis rates ( $J_x$ ) for all compounds except  $\text{O}_3$ . These test showed that the modelled condensable organic compound composition,  $\text{O}_3$  concentration (Fig. 4a), OH concentration (Fig. 4b) and the SOA formation were not significantly influenced directly by the 254 nm UV-light source, but strongly by the OH generated from the photolysis of  $\text{O}_3$ . Apart from  $\text{O}_3$ , the compound that was affected most by the 254 nm wavelength UV-light was  $\text{H}_2\text{O}_2$  ( $J_{\text{H}_2\text{O}_2}=1.26\times10^{-4} \text{ s}^{-1}$  with the UV-light source and  $J_{\text{H}_2\text{O}_2}=3.72\times10^{-8} \text{ s}^{-1}$  without the UV-light source). Some of the VOCs containing carbonyl groups and nitrate groups were also influenced by the UV-light source. The most prominent change in the modelled photolysis rate in these two groups of VOCs occurred for glyoxal (a dialdehyde) ( $J_{\text{glyoxal}}=2.93\times10^{-5} \text{ s}^{-1}$  with the UV-light source and  $J_{\text{glyoxal}}=5.04\times10^{-7} \text{ s}^{-1}$  without the UV-light source) and methyl nitrate ( $\text{CH}_3\text{NO}_3$ ) ( $J_{\text{CH}_3\text{NO}_3}=5.89\times10^{-5} \text{ s}^{-1}$  with the UV-light source and  $J_{\text{CH}_3\text{NO}_3}=2.51\times10^{-8} \text{ s}^{-1}$  without the UV-light source). These values can be compared with the rates at which glyoxal and  $\text{CH}_3\text{NO}_3$  were oxidized by OH. At the OH concentration of  $5\times10^7 \text{ molecules cm}^{-3}$  (typical for the UV-light on periods) this rate was  $5.00 \times 10^{-4} \text{ s}^{-1}$  for glyoxal and  $1.08 \times 10^{-6} \text{ s}^{-1}$  for  $\text{CH}_3\text{NO}_3$ . Thus, for glyoxal the reaction with OH was still  $\sim 10$  times faster than the photolysis reaction rate, while for  $\text{CH}_3\text{NO}_3$  the photolysis reaction rate was  $\sim 2$  times larger than the rate at which  $\text{CH}_3\text{NO}_3$  was oxidized by OH. This indicates that short wavelength UV-light sources (as the one used in JPAC) may influence the VOC composition, especially if a large fraction of the VOCs contain nitrate functional groups (i.e. at high  $\text{NO}_x$  concentrations).

## 4 Results and discussion

Table 2 summarizes the different model tests that we performed in this work in order to constrain the VOC wall losses, the aerosol dynamics-, gas- and particle-phase chemistry mechanisms that can explain the nano-CN formation and growth observed during the JPAC experiments presented in Sect. 2.

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#### 4.1 Time series of BVOC concentrations

To investigate the potential contribution of BVOCs to the nano-CN formation and growth, it is essential to properly predict the time series of the BVOC concentrations. The modelled isoprene, monoterpene, and sesquiterpene concentrations in the JPAC reaction chamber are plotted in Fig. 5, together with isoprene and total monoterpene concentrations measured by the PTR-MS. The modelled isoprene concentrations were in a good agreement with the measurements during the UV-off period on Day-1. During the rest of the simulated four days period, the simulated isoprene concentration was generally lower than the observations. However, considering the low isoprene concentrations and the uncertainties in the PTR-MS measurements, we cannot draw any conclusions on whether the model actually underestimates the isoprene concentration or not.

The summation of the modelled monoterpene isomer concentrations reached the same level as the measurements. During the dark periods, the monoterpene concentrations in the reaction chamber decreased to about one third of their concentrations measured in the plant chamber. This was because of the in-flow dilution and chemical reactions with  $O_3$ . When the UV light was switched on, the monoterpene concentration decreased sharply due to OH oxidation, as seen both from the measurements and simulation results in Fig. 5. Before the UV-lights were turned on ~80 % of the reacting monoterpenes were oxidized by  $O_3$ . At the UV onset this number dropped to ~10 % and in the end of the UV-on periods only ~2 % of the oxidized monoterpenes were oxidized by  $O_3$ . The modelled monoterpene concentration shows a somewhat more pronounced decrease during the UV-on periods than measurement. However, as with the isoprene concentration, the relative uncertainties in the PTR-MS measurements increases at lower concentrations and part of the PTR-MS unity resolution mass peak that was interpreted as monoterpenes may also have had small contributions from other VOCs.

The sesquiterpene concentrations were below the detection limit of the PTR-MS. Our modelled results show that the sesquiterpenes were strongly oxidized by  $O_3$  once the tree emission from the plant chamber entered the reaction chamber (Fig. 3). Even when the UV-lights were turned on, 40 to 60 % of the sesquiterpenes were oxidized by  $O_3$  and the rest by OH. The sesquiterpene concentrations decreased rapidly to below 0.01 ppbv. In addition, the sesquiterpene concentrations showed a minor decrease during UV-on periods because of the oxidation by OH.

Although a large fraction of the monoterpenes and sesquiterpenes were oxidized in the JPAC

reaction chamber, nano-CN formation was only observed during the UV-on periods. This indicates that the terpene oxidation products formed during the dark periods (primarily by ozonolysis) either did not have the right properties or were not abundant enough to form, activate and/or grow nano-CN to sizes above the detection limit of the PSM-CPC setup.

## 4.2 Simulations of the observed new particle formation events

The first simulations were designed in order to constrain the VOC wall losses and the mechanisms responsible for the observed particle growth (Sect. 4.2.1 and Sect. 4.2.2). For these simulations, we used a fixed nano-CN formation ratio of  $20 \text{ cm}^{-3} \text{ s}^{-1}$  during the UV-light on periods, except for the first 12 minutes with UV-light on for which we used  $J = 80 \text{ cm}^{-3} \text{ s}^{-1}$ , for Day-1 and Day-2 and  $60 \text{ cm}^{-3} \text{ s}^{-1}$  for Day-3. During the UV-light off periods the nano-CN formation rate was zero. The nano-CN had a dry diameter of 1.5 nm and was assumed to be composed of equal number of sulphuric acid and ELVOC<sub>nuc1</sub> molecules. After, constraining the VOC wall losses and the potential particle growth mechanisms, we investigated several nano-CN formation mechanisms (Eq. 1-6) and compared the results against the results from the simulations with a fixed nano-CN formation rate (Sect. 4.2.3).

### 4.2.1 Modelling the reversible VOC wall deposition

Figure 6a shows the modelled total particle volume concentration (PV) starting 14 days prior to the start of the intensive experimental campaign. The measured PV during the campaign is also displayed. The model results are from simulations with the 2D-VBS. As was described in Sect. 3.5, for the base case model simulation we used a constant species independent  $k_w$  equal to  $0.011 \text{ s}^{-1}$  and Eq. 9-13 to differentiate between the reversible VOC wall losses to the glass and PTFE Teflon surfaces. The results in Fig. 6b and c which are from the base case simulation illustrate how the 2D-VBS compounds with different  $C^*$  were distributed onto the glass walls and the PTFE Teflon floor, respectively. The LVOCs ( $C_{298}^* < 10^{-1} \mu\text{g m}^{-3}$ ) deposited both onto the glass and Teflon surfaces, while the SVOCs ( $C_{298}^* > 10^{-1} \mu\text{g m}^{-3}$ ) were exclusively found at the Teflon floor where they could absorb into  $C_w$ . Some of the compounds with  $C_{298}^* = 10^{-1} \mu\text{g m}^{-3}$  deposited onto the glass walls shortly after the UV-light was turned on, but when the condensation sink was increased and when the terpene inflow concentration into the reaction chamber was lowered (Day-2 – Day-4), the gas-phase concentrations of these compounds never reached above their pure liquid equilibrium saturation concentrations, so they evaporated from the glass walls. Figure S8 in the supplementary material shows similar results but for a simulation with the MCM compound

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SOA formation mechanism and additional ELVOCs (R1), contribution to the particle growth. Figure 6a also shows the modelled particle volume concentration when assuming that the JPAC reaction chamber walls behave similarly to FEP Teflon walls (Eq. 8-9), in which case  $k_e$  was  $4.2 \text{ s}^{-1}$  and the  $a_w$  parameterization was taken from Zhang et al. (2015). With this parameterization,  $k_{w,i}$  varies from  $2 \times 10^{-5}$  to  $7 \times 10^{-4} \text{ s}^{-1}$  for compounds with a molar mass of  $300 \text{ g mol}^{-1}$  and vapour pressures in the range  $10^{-2}$  to  $10^{-10} \text{ Pa}$ . Thus, in these model simulations the ELVOC wall losses were about 15 times lower than what was observed by Ehn et al. (2014). Because of the lower VOC wall losses, the model overestimated the SOA formation by a factor of 2-3, there was no gradual increase in the SOA formation due to re-evaporation of SVOCs from the walls and the correlation between the model and measured PV is substantially worse. This illustrates that the wall losses in the JPAC chamber cannot be treated in the same way as in FEP smog chambers.

Figure 7 shows the 2D-VBS VOC composition for: (a) the SOA particles, (b) the gas-phase, (c) the VOCs on the glass walls and (d) the VOCs on the PTEF Teflon floor, at Day-3 and after 5 hours with UV-lights on. The bar at  $\text{O:C} = 1$ ,  $\log_{10} C_{298}^* = -7$  corresponds to the ELVOCs which were assumed to be formed from ozonolysis and OH-oxidation of monoterpenes and sesquiterpenes (molar yield of 7 % and 1 %, respectively). After 16.5 days of continuous experiments,  $1.4 \text{ mg m}^{-3}$  of SVOCs had deposited onto the PTEF Teflon floor according to the model simulations. The largest fraction of the wall deposited VOCs were first generation terpene oxidation products ( $\text{O:C}=0.4$ ). A fraction of these SVOCs can re-evaporated and reacted with OH in the gas-phase. This explains why the modelled SOA formation was gradually increasing during the first 15 days (Fig. 6a), although all the other model conditions were identical. A similar pattern in the modelled SOA particle volume was also observed when the SOA formation was simulated with the MCM compound SOA formation mechanism (Sect. 3.6) (Fig. S8a). The ELVOCs (that are formed as first generation oxidation products (R1)) and the LVOCs (formed from OH-oxidation of the first generation oxidation products), primarily deposited onto the glass walls. However, in total the VOCs deposited onto the glass walls only made up 5 % of the total amount of VOCs on the chamber walls.

#### 4.2.2 Evaluation of potential particle growth mechanisms

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Moved up [1]: We also performed simulations assuming that the JPAC reaction chamber walls behave as FEP Teflon walls (Eq. 8), in which case  $k_e = 4.2 \text{ s}^{-1}$  and the  $a_w$  parameterization was taken from Zhang et al. (2014). For compounds with a molar mass of  $300 \text{ g mol}^{-1}$  and vapour pressures in the range  $10^{-2}$  to  $10^{-10} \text{ Pa}$  the  $k_{w,i}$  varies from  $2 \times 10^{-5}$  to  $7 \times 10^{-4} \text{ s}^{-1}$ . Thus, for these model simulations the ELVOC wall losses were about 15 times lower than what was observed by Ehn et al. (2014). Fig. S9 compares the measured total particle volume concentration with the modelled total particle volume concentration from a simulation with this wall loss parameterization. With the lower VOC wall losses, the model overestimated the SOA formation by a factor of 2-3 and there was no gradual increase in the SOA formation due to re-evaporation of SVOCs from the walls during the days before the intensive measurement campaign started.

When using the 2D-VBS, the modelled SOA composition was dominated by LVOCs and SVOCs formed from second- and multi-generation OH-oxidation products. This was the case even if we considered that ELVOCs were formed as first generation products after the O<sub>3</sub>- and OH-oxidation of all monoterpenes and sesquiterpenes (Fig. 7a). The reason for this is the large ELVOC wall losses in the JPAC chamber and the small condensation sink during the new-particle formation events. During the UV-light on periods, the gas-phase 2D-VBS VOC composition was dominated by oxidized SVOCs formed by fragmentation of the first generation oxidation products (Fig. 7b).

In Fig. 8 we compare the modelled (a) total particle number concentration and (b) total particle volume concentration with the observations from the PSM-CPC and the SMPS. The model results are from simulations with the 2D-VBS with or without ELVOC formation from the ozonolysis and OH-oxidation of all monoterpenes and sesquiterpenes (R1-R2) (molar yield of 7 % and 1 %, respectively), as well as from a simulation with the MCM compound SOA formation mechanism (Sect. 3.6) (including ELVOCs from the ozonolysis of  $\alpha$ -pinene and  $\Delta^3$ -carene (R1)). For this simulation we also considered rapid peroxyhemiacetal dimer formation in the particle phase using Eq. 7 and  $B = 200 \text{ M}^{-1} \text{ s}^{-1}$ . Without consideration of this type of a rapid acid catalysed dimer formation process, the particle growth was substantially underestimated and almost no SOA was formed when we simulated the SOA formation with the MCM compound SOA formation mechanism (Fig. S8a). Shown in Fig. 8 are also the results from a simulation with a one-product model approach (Sect. 3.6). In the model, this non-volatile compound was formed as a first generation oxidation product from O<sub>3</sub> and OH oxidation of all monoterpenes and sesquiterpenes with a molar yield of 25 % (mass yield of almost 60 %).

In all the model simulations, the model overestimated the SOA particle volume formation during Day-1 but tended to underestimate, or to give values similar to the measurements, during the period Day-2 - Day-4. The best agreement between the model and measured particle volume concentration was found with the 2D-VBS method ( $R^2 = 0.699$  with ELVOCs formation and  $R^2 = 0.697$  without ELVOC formation), even though the particle volume concentration could nearly equally well be represented with the MCM compound SOA formation mechanism and acid catalysed PHA dimer formation ( $R^2 = 0.672$ ). In the simulation with the non-volatile one product model, the agreement between the modelled and measured particle volume concentration was worse ( $R^2 = 0.634$ ), which indicates that the

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**Deleted:** Figure 9 shows results from the same simulations as in Fig 8, but here we instead compare the estimated SOA particle mass yields from the model with those derived from the measurements. Both in the model and for the measurements the SOA particle density was assumed to be  $1400 \text{ kg m}^{-3}$ . The SOA mass yields were estimated by dividing the total SOA particle mass with the amount of reacted terpenes (not including isoprene). The amount of reacted terpenes was estimated as the difference between the in and outflow terpene concentrations.

particle growth cannot be purely explained by condensation of ELVOCs. This model simulation also illustrates that even if the particle growth was only be kinetically limited (not absorption partitioning limited) and if the yield of the formation of condensable organic compounds was be the same in all the days, the decrease in SOA volume concentration from Day-1 to Day-2 should have been more pronounced because of the substantially smaller condensation sink during Day-2 - Day-4 compared to Day-1. In the other model simulations, this effect was partly but not fully compensated by the re-condensation of SVOCs from the walls, which contributed relatively more to the SOA formation during Day-2 - Day-4 compared to Day-1. The only remaining explanation we can find to why the model gave too much SOA particle volume during Day-1 compared to Day-2 - Day-4 is that the BVOC composition was substantially different during Day-1. It may be that ocimene which reacts rapidly with  $O_3$  may not form SOA to the same extent as e.g.  $\alpha$ -pinene.

Figure S9 in the supplementary material compares the modelled total particle volume concentrations from simulations with  $B = 10$  or 200, or using a constant PHA dimer formation rate of  $12 \text{ M}^{-1} \text{ s}^{-1}$  based on the work by Shiraiwa et al. (2013). With  $B = 10$  the modelled PV are in very good agreement with the measured PV for Day-1 but for the following days the model substantially underestimated the PV. With a constant PHA dimer formation rate of  $12 \text{ M}^{-1} \text{ s}^{-1}$  the model gives 3 times higher PV than the measurements for Day-1 but gives reasonable PV formation for Day-2 – Day-4.

Figure 9 shows the modelled SOA volatility distribution as a function of particle size. The results are from a simulation with the 2D-VBS, including ELVOC formation from all monoterpenes and sesquiterpenes oxidized by  $O_3$  and OH (R1-R2). As expected, the smallest particles contained the largest mole fraction of ELVOCs because of the Kelvin effect and because the uptake of the SVOCs is not kinetically limited but limited by absorption into the particle surface layer.

Figure 10 shows the modelled and measured particle number size distributions at ½, 1, 2 and 5 hours of UV-lights on, for each day of the experimental campaign. The model results are from a simulation with the 2D-VBS including ELVOC formation from all the monoterpenes and sesquiterpenes oxidized by  $O_3$  and OH (R1-R2). For Day-1, the model overestimated the particle growth rate, which can also be seen from the overestimated SOA formation (Fig. 8b). For the reminder of the experimental campaign, the modelled particle number size distributions were in good agreement with the SMPS measurements, except for the particles

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1 having a diameter <30 nm, for which the model gave substantially higher concentrations. A  
2 contributing explanation for this feature can be non-accounted diffusion losses of particles in  
3 the SMPS inlet.

4 In the supplementary we show how the volatility distribution of the MCM compounds and the  
5 SOA formation changed when the NO<sub>x</sub> concentration in the inflow to the chamber was varied  
6 in the range 0.05 to 1 ppbv (Fig. S10). When the NO<sub>x</sub> concentration was increased from 0.05  
7 to 1 ppbv the particle SOA volume concentration was increased slightly (~10 %).

### 8 4.2.3 Evaluation of potential nano-CN formation mechanisms

9 In this section we evaluate the different nano-CN formation mechanisms described in Sect.  
10 3.2. For these simulations we used the 2D-VBS to simulate the evolution of the condensable  
11 organic compounds. For all simulations in this section, ELVOCs were formed from all the  
12 monoterpenes and sesquiterpenes oxidized by O<sub>3</sub> and OH (R1-R2). Because the exact vapour  
13 pressures, formation mechanisms and concentrations of ELVOCs still are very uncertain, we  
14 cannot dismiss the possibility that the new particle formation (formation of particles with  
15  $D_p > 1.6$  nm) was limited by the activation of nano-CN and not by the nano-CN formation rate  
16 itself. In this section we evaluate possible nano-CN formation mechanism with the  
17 assumption that it was the nano-CN formation that primarily limited the observed new  
18 particle formation during the experiments.

19 Table 3 gives the coefficient of determination ( $R^2$ ) between the modelled total particle  
20 number concentration and measured total particle number concentration ( $D_p > 1.6$  nm) from  
21 the PSM-CPC setup. The  $R^2$  values are only given for those nano-CN mechanisms  
22 (parameterizations) that gave a  $R^2 > 0.75$ . The  $R^2$  values were calculated with the data from  
23 hour 11 to hour 96, for which the measured total particle number concentration from the  
24 PSM-CPC setup was complete. The largest  $R^2$  values (>0.95) between the modelled and  
25 measured total particle number concentration were achieved with nano-CN formation  
26 mechanisms that involves both H<sub>2</sub>SO<sub>4</sub> and ELVOCs. With Eq. 3, this were the case both if the  
27 ELVOC<sub>nuc1</sub> molecules were formed from OH-oxidation or OH and O<sub>3</sub> oxidation of  
28 monoterpenes and sesquiterpenes. With Eq. 4, this was only the case if the ELVOC<sub>nuc1</sub>  
29 molecules exclusively were formed from ozonolysis of sesquiterpenes. These are the only  
30 mechanisms for which the values of  $R^2$  were higher than in simulations with a fixed nano-CN  
31 formation rate during the UV-light on periods. The relatively high  $R^2$  value for the simulation  
32 with a fixed nano-CN formation rate during the UV-light on period is an indication that the

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Deleted: Table 3 gives the coefficient of determination ( $R^2$ ) between the modelled total particle number concentration and the measured total particle number concentration ( $D_p > 1.6$  nm) from the PSM-CPC setup, and for the nano-CN mechanisms (parameterizations) that gave a  $R^2 > 0.85$ . The best agreement ( $R^2 > 0.97$ ) between the modelled and measured total particle number concentration was achieved with a nano-CN formation mechanism that involves both H<sub>2</sub>SO<sub>4</sub> and ELVOCs formed from OH-oxidation or OH and O<sub>3</sub> oxidation of monoterpenes and sesquiterpenes (Eq. 3) or from Eq. 4 if the ELVOC<sub>nuc1</sub> molecules exclusively were formed from ozonolysis of sesquiterpenes. These are the only mechanisms for which  $R^2$  were higher than with a fixed nano-CN formation rate during the UV-light on periods.

variability in the dataset with respect to the compound(s) responsible for the nano-CN formation was too small to fully constrain the dominating nano-CN formation mechanism during the experiments. However, the dataset is still useful to reject the less likely nano-CN mechanisms and to narrow down the list of possible mechanisms. For this, the  $R^2$  value from the simulation with the fixed nano-CN formation rate was used as a benchmark.

In Fig. 11, we compare the modelled total particle number concentration when calculating  $J$  using Eq. 1, 2, 3 and 4. With Eq. 3, the  $\text{ELVOC}_{\text{nucl}}$  were either assumed to be formed from ozonolysis and OH-oxidation of monoterpenes and sesquiterpenes, or only from OH-oxidation. With Eq. 4, the  $\text{ELVOC}_{\text{nucl}}$  were formed from sesquiterpenes oxidized by OH. Displayed is also the result from the simulation with constant  $J = 20 \text{ cm}^{-3} \text{ s}^{-1}$  during the UV-light on periods and  $J = 0 \text{ cm}^{-3} \text{ s}^{-1}$  during the UV-light off periods. Based on Fig. 11 and the  $R^2$  values, it is evident that the new particle formation could not be captured very well with  $\text{H}_2\text{SO}_4$  activation (R1) or  $\text{H}_2\text{SO}_4$  kinetic (R2) type of nano-CN formation. The simulation with R1 had a relatively high  $R^2$  value but substantially overestimated the total particle number concentration during the UV-light off periods. The same is true for the kinetic type of nano-CN formation involving  $\text{H}_2\text{SO}_4$  and  $\text{ELVOC}_{\text{nucl}}$  formed from oxidation of the BVOCs with  $\text{O}_3$  and OH. In this case, the model especially overestimated the total particle number concentration during the first day of the UV-light off period when the BVOC emissions were the highest. The best agreement between the modelled and measured total particle number concentration, both based on the  $R^2$  value and Fig. 11, was achieved with the kinetic type of nucleation involving  $\text{H}_2\text{SO}_4$  and  $\text{ELVOC}_{\text{nucl}}$  (Eq. 3) formed from oxidation of monoterpenes and sesquiterpenes with OH exclusively.

## 5 Summary and conclusion

In this study, we used the ADCHAM model to simulate the nano-CN formation and growth during an experimental campaign in the Jülich Plant Atmosphere Chamber, which focused on new particle formation induced by photochemical reactions of VOCs emitted from real plants (Dal Maso et al., 2014). With the model we evaluated potential nano-CN formation and growth mechanisms and how the VOC chamber wall losses influenced the SOA formation and composition.

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1 Our analysis on the JPAC experiments illustrates how complex it is to design smog chamber  
2 experiments that mimic the conditions during new particle formation events in the  
3 atmosphere, in addition to which it provides useful information about what need to be  
4 considered when designing such experiments. ~~In this work we showed that the relative~~  
5 ~~contribution of ELVOCs to the nano-CN formation and growth was effectively suppressed~~  
6 ~~due to their rapid and irreversible wall losses and the relatively high OH concentrations~~  
7 ~~during the UV-light on periods.~~ ~~With these respects, the conditions during the JPAC~~  
8 ~~experiments were not directly comparable with typical conditions during new particle~~  
9 ~~formation events in the atmosphere.~~ ~~In addition, the experimental conditions showed too~~  
10 ~~small variability to fully constrain the dominating nano-CN formation and growth~~  
11 ~~mechanisms. Despite these limitations, the JPAC experiments serve as a valuable dataset to~~  
12 ~~narrow down the list of potentially important nano-CN formation and growth mechanism over~~  
13 ~~the boreal forest region.~~

14 In the lack of ELVOCs in the gas-phase, one possible mechanism that can explain the initial  
15 particle growth is rapid heterogeneous dimer formation of SVOCs. In this work, we found out  
16 that acid catalysed peroxyhemiacetal formation between aldehydes and hydroperoxides may  
17 explain the observed particle growth. However, the particle growth could be modelled equally  
18 well if the SOA was formed by condensation of low-volatility second- and multi-generation  
19 OH gas-phase oxidation products simulated with a 2D-VBS approach. Thus, based on our  
20 model simulations, we cannot conclude whether the observed particle growth primarily was  
21 driven by low-volatility organic compounds formed in the gas-phase or by rapid dimer  
22 formation in the particle phase. However, without the later mechanism the model fails to  
23 reproduce the observed SOA formation when using the MCMv3.2 oxidation products as  
24 condensable organic compounds.

25 Our results suggest that  $\text{H}_2\text{SO}_4$  is one of the key compounds involved in the new particle  
26 formation, but cannot solely explain the new particle formation process. During the simulated  
27 experiments, the best agreement between the modelled and measured total particle number  
28 concentration was achieved when using a nano-CN formation rate of the form  $J =$   
29  $K[\text{H}_2\text{SO}_4][\text{ELVOC}_{\text{nuc1}}]$ , where ELVOC<sub>nuc1</sub> was formed as an BVOC OH oxidation product.

30

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**Moved down [2]:** Chamber wall losses can have a profound influence on the SOA formation.

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**Deleted:** Chamber wall losses can have a profound influence on the SOA formation.

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14 <http://ratty.cas.manchester.ac.uk/informatics/>) to calculate Nannoolal-based sub-cooled liquid  
15 [equilibrium](#) saturation vapour pressures for all organic compounds included in this paper.

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10 |  
11 Table 1. The VOCs measured with GC-MS in the JPAC plant chamber. The measured  
12 concentrations were used as input for the ADCHAM model. The “other MTs” and “other  
13 SQTs” refer to other monoterpenes and sesquiterpenes than those specified in the table,  
14 respectively.

Isoprene				
$\alpha$ -pinene	$\beta$ -pinene	myrcene	sabinene	camphene
ocimene	$\Delta^3$ -carene	$\alpha$ -terpinene	$\Delta$ -terpinene	$\alpha$ -phellandrene
$\beta$ -phellandrene	terpinolene	tricyclene	other MTs	
farnesene	$\beta$ -caryophyllene	$\alpha$ -longipinene	$\Delta$ -cardinene	other SQTs
2-butanol	hexanal	benzene	toluene	eucalyptol
nonanal	bornyl acetate	methyl salicylate		

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Table 2. Summary of the model sensitivity tests that we performed in order to evaluate and constrain possible mechanisms for the formation and growth of nano-CN during the JPAC experiments.

<b>Condensable VOC properties method</b>	MCMv3.2 + the Nannoolal vapour pressure method	2D-VBS
<b>2D-VBS assumptions</b>	Functionalization, fragmentation and OH reaction rates	O:C of the first generation products
<b>Particle dry deposition loss rates</b>	Varying $u^*$ in the range 0.1-0.01 m s <sup>-1</sup>	
<b>Gas-phase chemistry</b>	Uncertainties related to the assumed NO <sub>x</sub> inflow concentration	Influence of the UV-light on the VOC composition
<b>Influence of ELVOCs on the particle growth</b>	ELVOC formation from ozonolysis and OH-oxidation of terpenes	
<b>Nano-CN formation and initial growth</b>	Mechanisms (Eq. 1-6)	Surface tension 0.02-0.07 N m <sup>-1</sup>
<b>Oligomerization in the particle phase</b>	Peroxyhemiacetal formation, possibly acid catalysed by co-condensing H <sub>2</sub> SO <sub>4</sub> (Eq. 7)	
<b>Non-ideal mixing in the particle phase</b>	Activity coefficients from AIOMFAC	
<b>Reversible VOC wall losses</b>	With or without absorptive uptake on the glass walls.	
<b>SOA phase-state</b>	Liquid-like SOA	Solid-like SOA

1 Table 3. Coefficient of determination ( $R^2$ ) between the modelled and measured (PSM-CPC)  
 2 total particle number concentration during the experimental campaign.

Nano-CN formation mechanism	ELVOC <sub>nuc1</sub> source	$R^2$
Constant $J = 20 \text{ cm}^{-3} \text{ s}^{-1}$ when UV is on		0.937
$J = K_2[H_2SO_4]^2$		0.787
$J = A_1[H_2SO_4]$		0.887
$J = K_3[H_2SO_4][ELVOC_{nuc1}]$	MT and SQT ox. by $O_3$ and OH	0.951
$J = K_3[H_2SO_4][ELVOC_{nuc1}]$	MT and SQT ox. OH	0.955
$J = K_3[H_2SO_4][ELVOC_{nuc1}]$	SQT ox. by $O_3$ and OH	0.891
$J = K_4[H_2SO_4]^2[ELVOC_{nuc1}]$	SQT ox. by $O_3$ and OH	0.92
$J = K_4[H_2SO_4]^2[ELVOC_{nuc1}]$	SQT ox. by $O_3$	0.951
$J = A_5[ELVOC_{nuc1}]$	MT and SQT ox. OH	0.815

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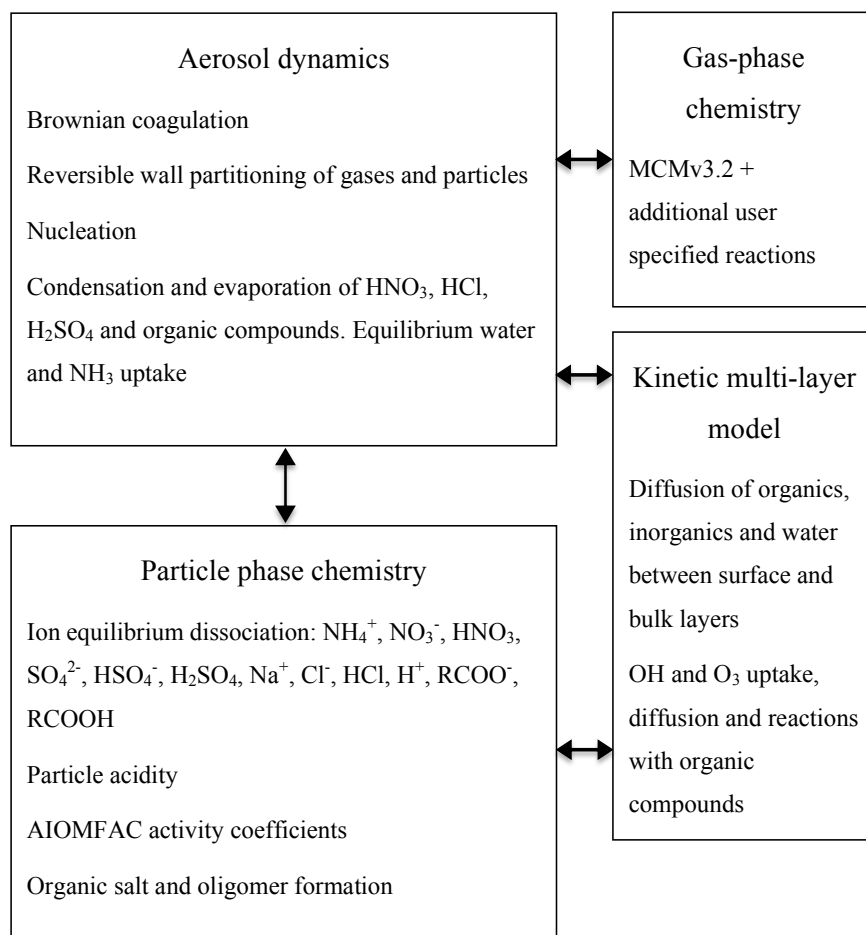
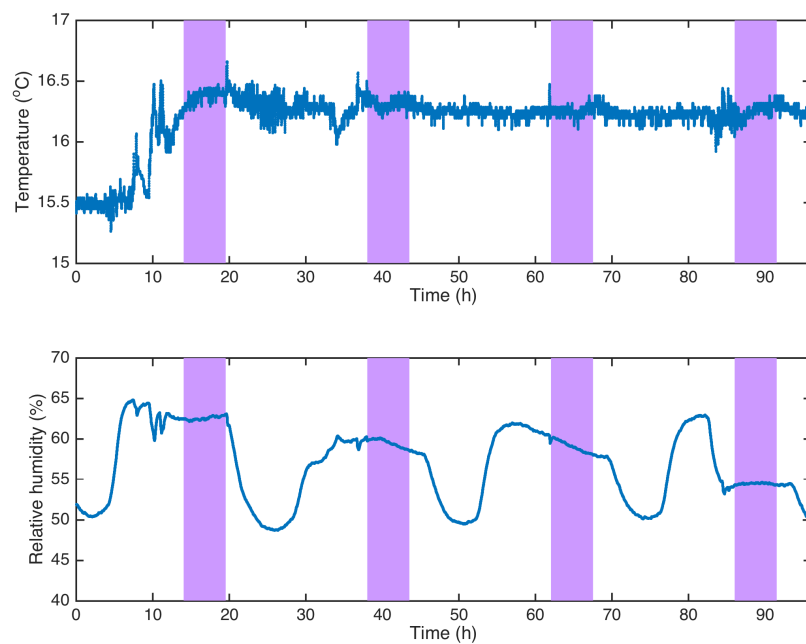


Figure 1. Schematic picture of the ADCHAM model structure.



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2 Figure 2. Measured temperature (a) and relative humidity (b) in the JPAC reaction chamber  
3 during the first four days of the measurement campaign. The purple bars indicate UV-on  
4 periods.

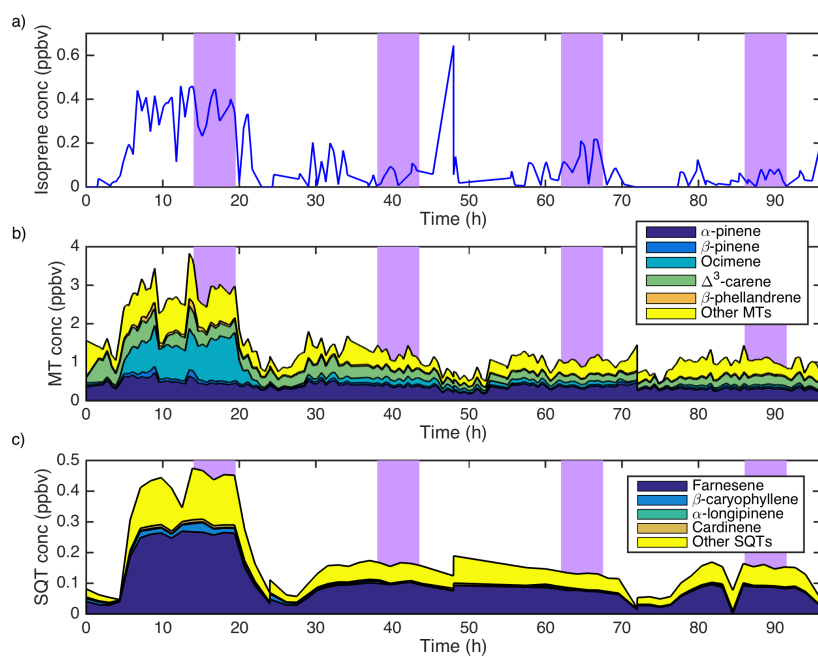


Figure 3. Isoprene (a), monoterpene (b) , and sesquiterpene (c) concentrations measured from the outlet air of the JPAC plant chamber. In Fig. b and c we left out those terpenes that had a negligible contribution to the total terpene concentration. Purple bars indicate UV-on periods during the measurements.

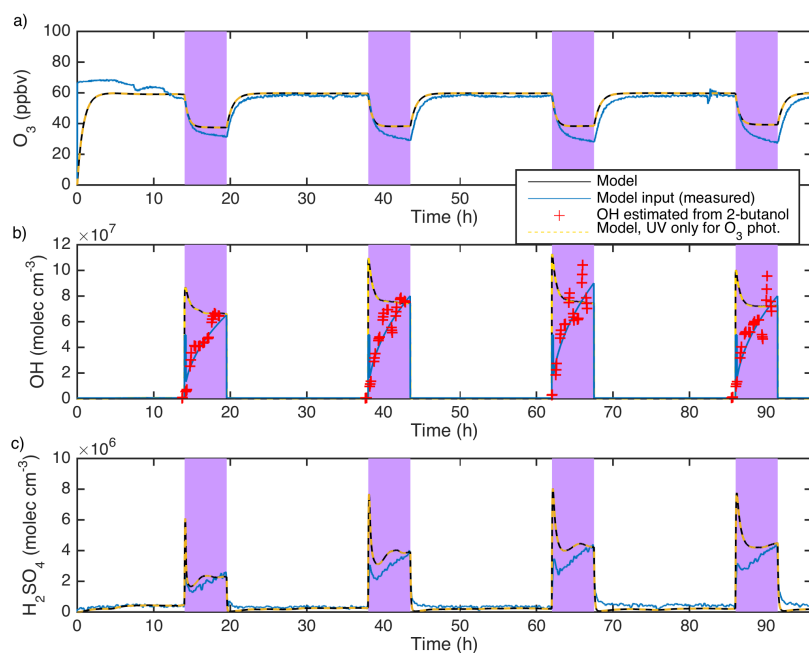
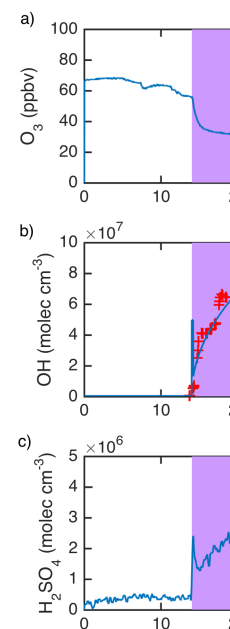


Figure 4. Measured and modelled (a)  $\text{O}_3$  concentrations, (b) OH concentration, and (c)  $\text{H}_2\text{SO}_4$  concentration. The OH concentration (red +) was not measured directly but was derived from the observed 2-butanol loss rate. The model results are from the simulations where the  $\text{O}_3$ , OH and  $\text{H}_2\text{SO}_4$  concentrations were simulated by the model instead of given as the input concentration to the model. The results given by the yellow dashed line corresponds to a simulation where the 254 nm UV-light was only used to calculate the photolysis rates of  $\text{O}_3$  but not influencing photolysis rates of the other compounds. In the model simulations, we used the empirically determined JPAC reaction chamber-specific OH sink of  $4 \text{ s}^{-1}$  and an  $\text{O}_3$  concentration of 170 ppbv in the inflow to the chamber. The blue lines give the model input concentrations that were used for the simulations presented in Sect. 4. For  $\text{O}_3$  and  $\text{H}_2\text{SO}_4$ , the model input concentration was taken directly from the measurements.

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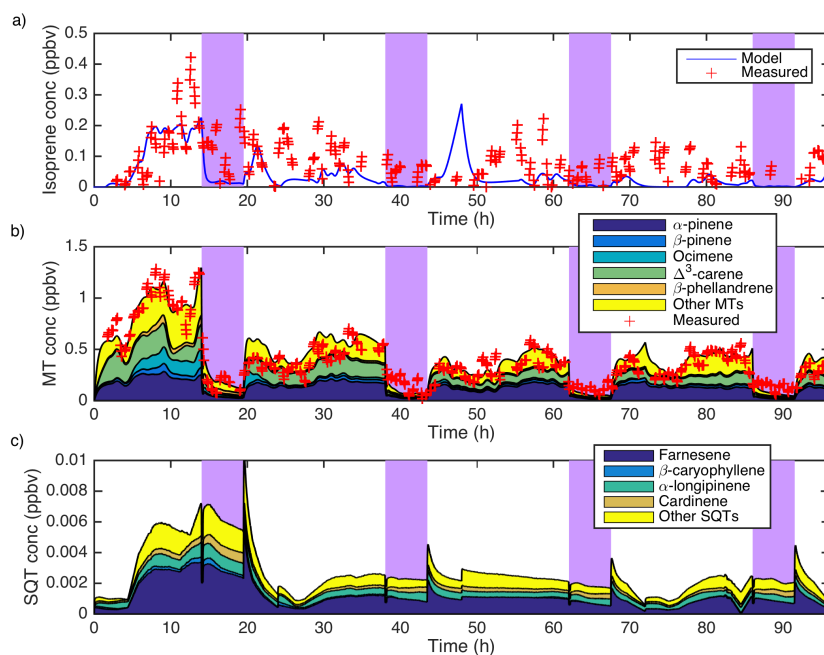


Figure 5. Modelled isoprene (a), monoterpene (b), and sesquiterpene (c) concentrations together with the measured isoprene and monoterpene concentrations in the JPAC reaction chamber. In Fig. b we left out those monoterpenes that had a negligible contribution to the total monoterpene concentration. The purple bars indicate UV-on periods during the measurements.

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**Deleted:** Figure 4. (a) Measured  $O_3$  concentrations in the JPAC reaction chamber, (b) estimated OH concentration based on the observed 2-butanol loss rate and the OH concentration used as model input, and (c) measured  $H_2SO_4$  concentration.

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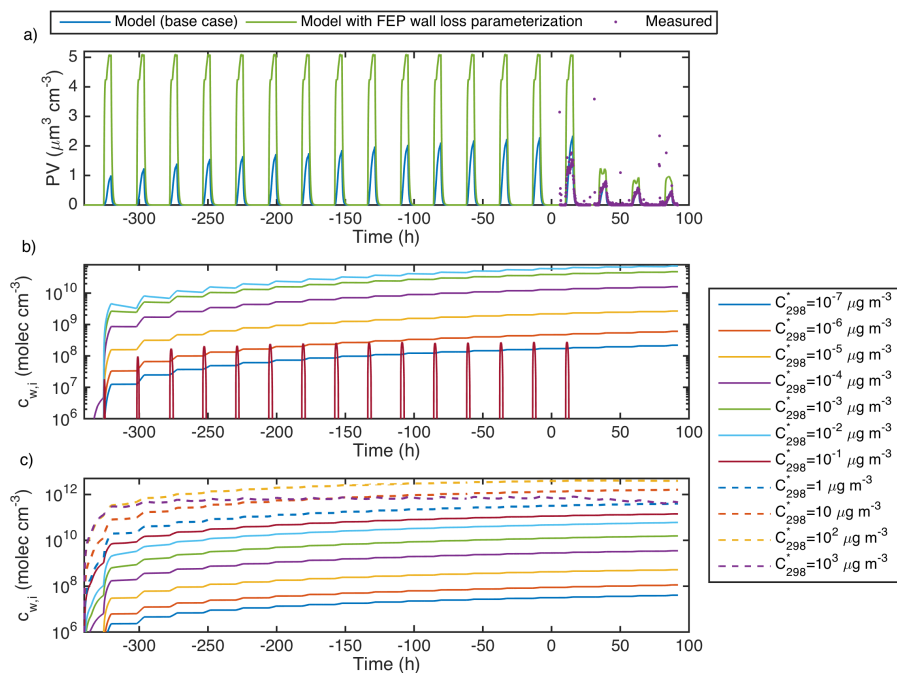


Figure 6. (a) Modelled and measured SOA volume concentration and 2D-VBS VOC wall uptake onto (b) the glass walls (Eq. 10-11) and (c) the PTFE Teflon walls when considering that the PTFE Teflon walls behave as FEB Teflon walls (Eq. 9, 12, and 13). The VOCs are summed over the all O:C but divided into the different  $C_{298}^*$  bins in the 2D-VBS. At time 0 h the intensive measurement campaign started. Fig 6a also shows the modelled SOA volume concentration when assuming that all chamber walls behave as FEP Teflon walls using Eq. 8-9,  $k_e = 4.2 \text{ s}^{-1}$  and the VOC FEP Teflon wall mass accommodation coefficient parameterization from Zhang *et al.* (2015). ELVOC formation from R1-R2 was not considered for the simulations.

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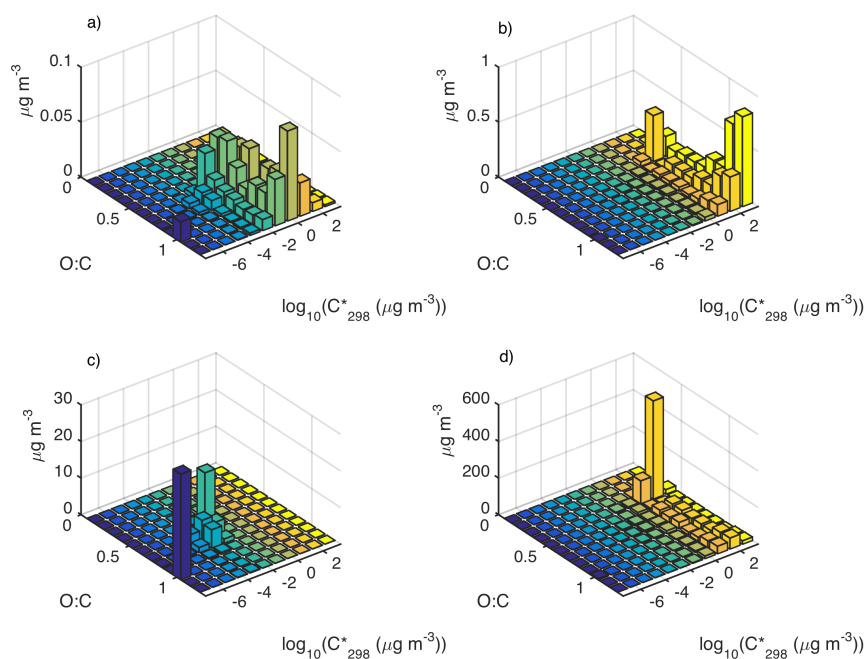
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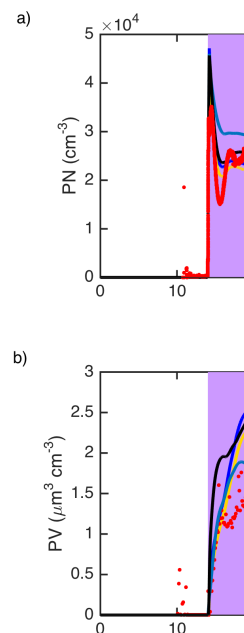
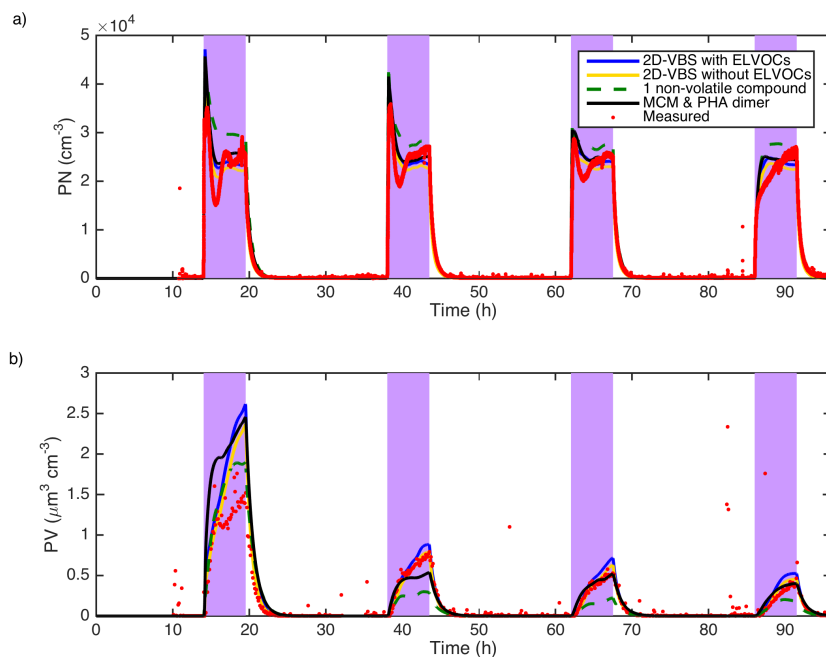
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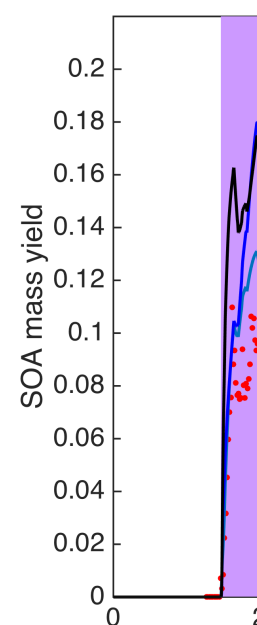


1  
2 Figure 7. Modelled 2D-VBS distribution for (a) the SOA particles, (b) the gas-phase, (c) the  
3 VOCs on the glass-walls and (d) the VOCs on the Teflon floor. The model results are from  
4 Day-3 after 5 hours with UV-lights on. For this simulation R1 and R2 were used to simulate  
5 the ELVOC formation.



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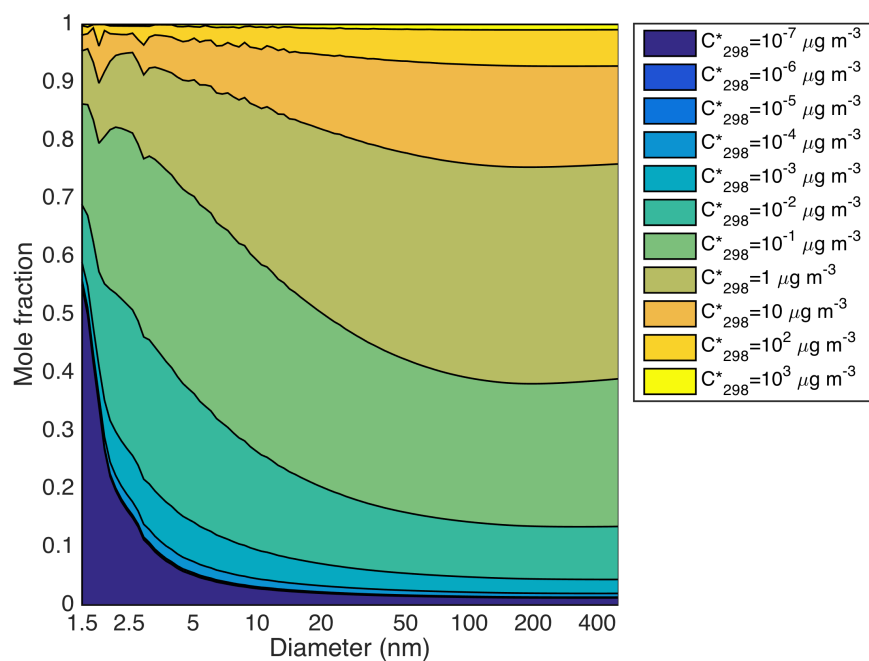


Figure 9. Modelled SOA volatility distribution as a function of the particle size from a simulation with the 2D-VBS and ELVOC formation from ozonolysis and OH-oxidation of monoterpenes and sesquiterpenes (R1-R2). The results are from Day-3 after 5 hours into the UV-light period.

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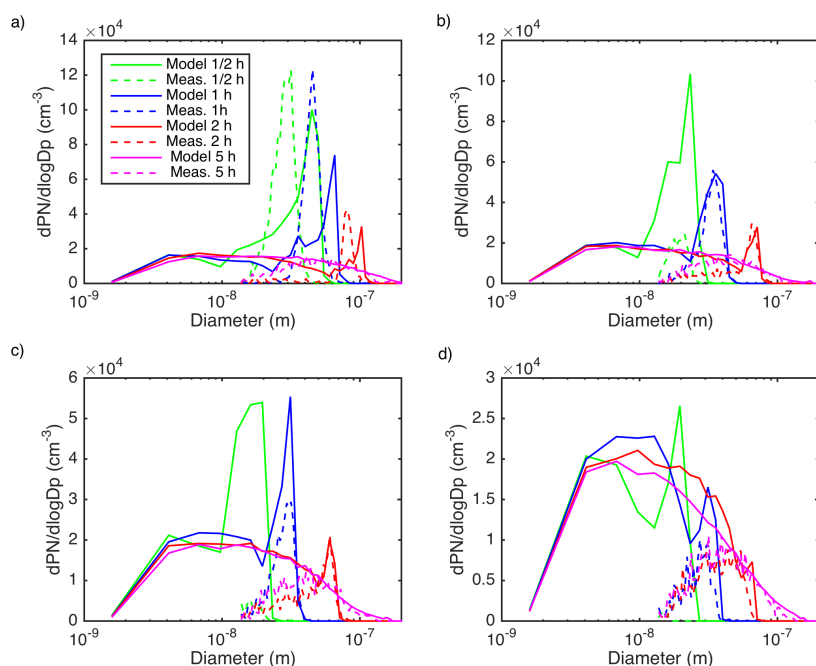
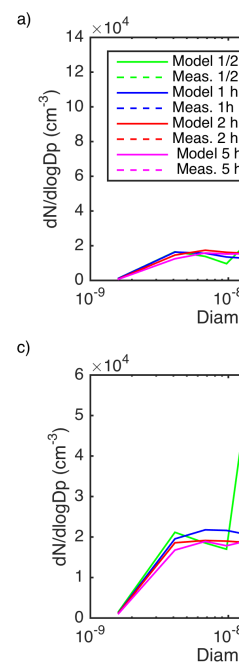


Figure 10. Modelled and measured particle number size distribution from (a) Day-1, (b) Day-2, (c) Day-3 and (d) Day-4 of the experimental campaign. The particle number size distributions are from 1/2, 1, 2 and 5 hours after the UV-light was turned on. The model results are from a simulation with the 2D-VBS (including ELVOC formation (R1-R2)) and the full-moving size distribution method.

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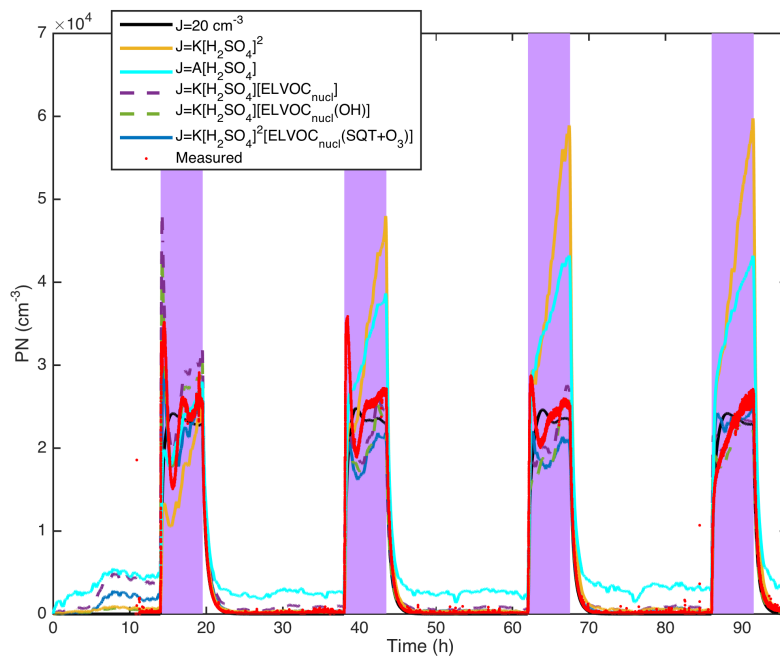
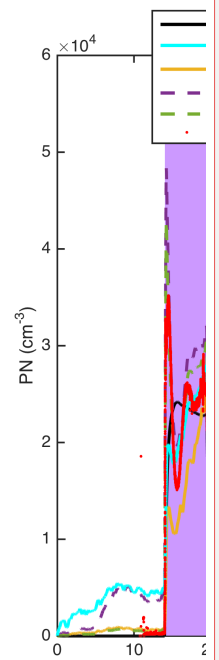


Figure 11. Measured (PSM-CPC) and modelled total particle number concentration with different nano-CN formation mechanism. The SOA formation was modelled with the 2D-VBS approach including ELVOC formation (R1-R4).



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