



The effects of China's
pollution control on
atmospheric Hg
emissions

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Evaluating the effects of China's pollution control on inter-annual trends and uncertainties of atmospheric mercury emissions

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pected to reach 70 % in 2015 from 10 % in 2010 (Wang, 2013). If such swift changes of emission control are not well evaluated, possible overestimate in recent and future emissions for the country would be made, and misleading conclusions (e.g., elevated contribution of China's emissions to the Hg pollution) would be drawn once those estimates are further used for model simulation. Besides the recent and future trends, the uncertainty of Hg emissions is not thoroughly analyzed, which is another big concern in the community (Pacyna et al., 2010; Ci et al., 2012). Till now only the uncertainty of emissions from power sector are systematically quantified for the country (Wu et al., 2010), attributed mainly to the limited information of other emission sources. The lack of analysis on emission control effectiveness and uncertainty in emissions might lead to inconsistency between the estimated increased emissions (driven by Asia) and decreased worldwide trends in background atmospheric Hg concentrations (Slemr et al., 2011; Ci et al., 2012).

This study, therefore, evaluates the effects of recently implemented and ongoing control measures on the past and future inter-annual trends and sector distributions of China's anthropogenic Hg emissions. The uncertainty of emissions is quantified with most sensitive parameters identified for further improvement of emission estimate. Section 2 briefly describes the methodology of emission inventory development with improved data and methods for certain emission sources specifically stressed, the basic assumptions in scenarios for future emission prediction, and the Monte-Carlo framework of uncertainty analysis. Section 3 is a thorough analysis of Hg emission factors by species, sector and year, incorporating the latest information of emission control strategies and the data of domestic field measurements and investigations. Section 4 presents China's recent trends of anthropogenic Hg emissions (2005–2012) and future trajectories by scenario (till 2030), the effects of pollution control measures, evaluation of emissions against other studies, and the uncertainties of emissions with main sources. Section 5 summarizes the present study.

2 Methodology and data sources

2.1 Brief summary of Hg emission estimate

The research domain covers the 31 provinces of mainland China, and annual Hg emissions with speciation (Hg^0 , Hg^{2+} , and Hg^{P}) at provincial level are estimated from 2005 to 2012 to evaluate the effectiveness of China's air pollution control measures. Main anthropogenic activities fall into three main sector categories: coal-fired power plants (CPP), all other industry (IND), and the residential and commercial sector (RES). IND is further divided into cement production (CEM), iron and steel plants (ISP), heating boilers (HB), other industrial boilers (OIB), nonferrous metal smelting (NMS), gold metallurgy (GM), and other miscellaneous processes (OMP). RES mainly includes the coal combustion (RC), oil and gas combustion (ROG), biofuel use/biomass open burning (BIO), and solid waste incineration (SWI) subcategories. As the dominating primary energy resource, coal plays important roles in China's anthropogenic pollutant emissions (Zhao et al., 2013). In this work, therefore, the Hg emissions from coal use are estimated based on the above-mentioned source categories, e.g., power plants, industrial boilers, residential coal stoves, and iron and steel production (most emissions of which come from coal use). For cement production, Hg emissions come both from coal combustion and non-combustion process, and a new method is developed in this work to differentiate the two parts, as described in Sect. 2.2.

In general, annual emissions of total and certain speciation Hg for a given province i and a given year t are calculated using Eqs. (1) and (2), respectively:

$$E_{i,t} = \sum_m \sum_n \text{AL}_{m,n,i,t} \times \text{EF}_{m,n,i,t} \quad (1)$$

$$E_{i,t,s} = \sum_m \sum_n \text{AL}_{m,n,i,t} \times \text{EF}_{m,n,i,t} \times f_{m,n} \quad (2)$$

where E is the Hg emission; AL is the activity levels (fuel consumption or industrial production), EF is the combined emission factor (emissions per unit of activity level);

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f is the mass fraction of given Hg speciation; i , t , m , n and s represent province, year, emission source type, technology of manufacturing and emission control, and Hg speciation (Hg^0 , Hg^{2+} or Hg^p).

For coal combustion, Eq. (1) can be further revised to Eq. (3) with detailed combustor and fuel information:

$$E_{i,t} = \sum_m \sum_n AL_{m,n,i,t} \times \text{HgC}_{i,t} \times R_{m,n} \times (1 - \eta_{m,n,t}) \quad (3)$$

where HgC is the Hg content of coal by province; R is the mass fraction of Hg released from fuels; η is Hg removal efficiency of air pollution control devices.

There are some sources of which the emissions cannot be directly calculated based on emission factor and activity level, attributed mainly to lack of information. Artisanal and small-scale gold metallurgy (ASGM), for example, was officially prohibited in 1990s, but it may still exist illegally in some areas driven by huge economic profit. Difficult to estimate the penetration of those technologies, the results of expert judgment have to be applied in this work (AMAP and UNEP, 2013).

Activity levels for 2005–2012 are compiled annually by sector from various data sources. Multiple-year fossil fuel consumption and industrial production at provincial level are obtained from Chinese official energy (NBS, 2013a) and industrial economy statistics (NBS, 2013b), respectively. The coal consumptions from CEM and ISP are calculated following the methods by Zhao et al. (2011, 2012), and the coal consumption by OIB is estimated by subtracting the fuel consumed by CEM, ISP and HB from that by IND (Zhao et al., 2012). The annual biofuel use before 2008 are taken from official statistics (NBS, 2013a), and those for the following years are from unpublished data by Ministry of Agriculture, since official statistics stopped reporting the relevant data from 2008 (C. Chen et al., 2013). The biomass combusted in open fields are calculated as a product of grain production, waste-to-grain ratio, and the percentage of residual material burned in the field, as described in Zhao et al. (2011, 2012). The urban municipal waste burned are taken from statistics (NBS, 2013c), and rural ones are calculated as

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application and data availability. In the first case, for sources whose emission factors are calculated with the removal efficiencies of different APCD considered (e.g., CPP, CEM, ISP, OIB and RC), the mass fractions are estimated based on the results from field measurements by APCD type. The second, for sources lack of sufficient measurement sample to determine emission factors by APCD type, the average values of available field test results are calculated and applied as mass fraction of speciation for the sector (e.g., NMS, BIO and SWI). The third, for sources that very little domestic information can be found in speciation split, results from global studies (Pacyna and Pacyna, 2002) have to be used and little update is made compared to previous inventory work (Streets et al., 2005). Those sources mainly include industrial processes like artisanal gold production, Hg mining, battery and fluorescent lamp production, etc.

As shown in Table S4, the speciation split of total Hg varies largely among different types of APCD combination. For example, the average of Hg^0 mass fraction reaches 83 % for FGD+ESP, attributed to the relatively strong removal effects of the both APCDs on Hg^{2+} and Hg^p . Once SCR applied, significant increase of Hg^{2+} fraction is observed, resulting mainly from the conversion of Hg^0 to Hg^{2+} by SCR (Wang et al., 2010b). In general, oxidation of Hg^0 leads to higher removal of Hg since Hg^{2+} is more liable to be adsorbed, and use of advanced dust collectors leads to lower Hg^p as most of them can be captured with particles.

For mass fraction data that pass the Kolmogorov–Smirnov test, bootstrap simulation is applied to determine their PDF, e.g., the mass fractions of Hg^{2+} for ESP, ESP+FGD and SCR+ESP+FGD (Fig. 3d–f). Otherwise, triangular or uniform distributions are applied. The PDFs for Hg speciation are summarized in Table 2.

and nonferrous metal smelting (NMS). Although the activity levels (i.e., coal consumption and industrial production) increased for those sources similar as category-1 and -2 sectors, Hg emission trends of category-3 sectors are dominated by the co-effects of emission control on criteria air pollutants through increased use of APCD and improved manufacturing technologies. The emissions of the sectors are estimated to reach peak at 362 t in 2007 and then to be reduced to 313 t in 2012, largely offsetting the increase in emissions from the above two categories and playing a crucial role in constraining the national total emissions.

Figure 6b compares the trends of activity levels and Hg emissions for category-3 sectors from 2005 to 2012. During the period, coal consumption of coal-fired power plants, cement production, steel production and nonferrous metal production increased by 70, 107, 158 and 104 % respectively. A leveling off in 2008 was found for industrial production, attributed mainly to the production limitation imposed for the Beijing Olympics and to the economic recession at the end of 2008. However, the economy activities increased sharply again under a major economic stimulus policy to respond to the recession, and the energy and industrial production keep fast growing in the following years. In contrast to the large growth of activity levels, the Hg emissions from the four sectors are clearly constrained to varying degrees: those from CEM decreased by 38 %, those from CPP and NMS in 2012 dropped to the levels in 2005, and those from ISP increased by 44 %, far less than growth of steel production. As described in Sect. 3, the reduced emission factors through the period are the main reasons for the emission abatement, attributed to the replacement of old and small plants or kilns with those with advanced control technologies and high combustion efficiencies. The increased penetrations of FGD and SCR in CPP, precalciner kilns with FF in CEM, machinery coking with ESP in coking, and improved manufacturing technologies in NMS have lead to great ancillary benefits of atmospheric Hg emission abatement. As indicated in Fig. 7, the implemented emission controls are estimated to cut 100, 93, 30 and 76 t of Hg emissions in 2012 for CPP, CEM, ISP and NMS, respectively, compared to a hypothetical case in which no progress of emission control is assumed for the four sec-

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of burned coal and biomass are found important specifically for emissions of residential sector. For Hg speciation, the mass fractions of different species for various APCDs and gold metallurgy are identified as key parameters to the bigger uncertainties than total Hg, implying the necessity of further domestic studies.

Attributed to inclusion of more results of recent measurements on emission factors, uncertainty of CPP for 2005 quantified in this work was lower than that for 2003 by Wu et al. (2003), expressed as 80 % CI. It can be seen from Table 4, however, that the uncertainties of emissions from CPP increased from 2005 to 2012. This results mainly from the fast increased penetration of FGD systems after 2005 and that of SCR after 2010, of which the co-effects of Hg control varied significantly among measured plants. In past years, the installed FGD systems are not believed to be fully operated for running cost saving, and big discrepancies in SO₂ removal efficiencies exist across the country (Xu, 2011; Zhao et al., 2013, 2014). The unclear operation of FGD leads to big ranges of Hg removal efficiencies of the systems and thus enhances the uncertainties of emission estimate, as FGD gradually dominates the sector. Besides, the Hg removal effects of SCR are still poorly quantified, and the uncertainties of Hg emissions are further elevated for most recent years since China is currently undergoing the NO_x control through broad use of SCR (Zhao et al., 2014). As shown in Fig. 10, the contribution of Hg removal efficiency of FGD to variance of CPP emissions increased from 0 % in 2005 to 26 % in 2010, and it has been the most important parameter contributing to the uncertainty of CPP emissions since 2009. In 2012, Hg removal efficiencies of FGD and SCR are estimated to contribute together 37 % of the uncertainty of Hg emissions.

The emission uncertainties of given industrial sources increased recently for similar reasons. The uncertainty of Hg emissions from NMS, for example, increased from -46 ~ +116 % in 2005 to -45 ~ +169 % in 2012. It is attributed mainly to the increase use of electrolytic process for Zinc smelting, for which the domestic measurements are rare and the emission factor bears large uncertainty. Moreover, the theoretically reduced but actually unconfirmed ratios of gold extraction by amalgamation enhance the Hg emission uncertainty of gold metallurgy. In general, therefore, the uncertainty of

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the national Hg emission trajectory in the future. It thus indicates possible overestimate of China's Hg emissions if ongoing measures are not fully followed in the analysis.

It should be noted, however, that the uncertainties of China's Hg emission estimate are enhanced, although the slower growth of emissions seems plausible due to implementation of air pollution controls. This is mainly because of the increased use of advanced APCDs or improved manufacturing technologies for certain sectors, which are estimated to yield lower emission levels with broader uncertain ranges. Unclear operation status of APCDs and limited measurements on new technologies are the important source of uncertainty for current estimate. In addition, the unknown levels and locations of illegal ASGM contribute significantly to the uncertainty of China's Hg emissions as well. Besides the total amount, the relatively poor understanding of mass fraction of Hg speciation by sector elevates the uncertainties of emissions for different species, which are more important to atmospheric chemistry community. Given the ongoing dramatic changes of emission sources under current policies within the country, therefore, systematic investigations by sector are suggested specifically for Hg pollution, for better tracking the possible variability of emission levels, and efficiently reducing the uncertainty of emissions for all the Hg species. Middle-to-long term observations of atmospheric Hg, both in polluted urban and regional background areas, are also in great need to justify the emission analysis of China's anthropogenic Hg and to confirm the effects of implementation in pollution control in the country.

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Table 2. Uncertainties of mass fractions of Hg speciation for main sources.

Parameters	Samples	Distribution	Key characteristics for distribution functions/%			
			P10/Min	P90/Max	Mean/Most likely	
FF	Hg ⁰	4	Triangular	4.8	30.6	15.8
	Hg ^p	4	Triangular	0.0	34.8	10.8
ESP	Hg ²⁺	20	Normal	27.9	42.4	35.2
	Hg ^p	20	Triangular	0.0	3.6	0.22
FGD+ESP	Hg ²⁺	11	Normal	9.8	22.2	16.0
	Hg ^p	11	Triangular	0.0	3.7	0.3
WET	Hg ⁰	2	Uniform ^b	0.0	60.0	–
	Hg ^p	2	Uniform ^b	0.0	28.0	–
NOC ^a	Hg ⁰	–	Uniform ^b	0.0	48.0	–
	Hg ²⁺	–	Uniform ^b	0.0	40.0	–
SCR	Hg ²⁺	6	Triangular	15.7	40.6	27.6
NMS_Zn	Hg ⁰	3	Triangular	0.0	55.0	29.0
	Hg ^p	3	Uniform	0.0	5.0	–
NMS_Pb	Hg ²⁺	2	Triangular	15.0	65.0	40.0
NMS_Cu	Hg ²⁺	2	Uniform	28.0	72.0	–
BIO	Hg ⁰	25	Weibull	57.3	94.2	76.9
	Hg ²⁺	25	Triangular	0.0	21.7	5.0
SWI	Hg ⁰	10	Gamma	1.1	33.8	6.2
	Hg ^p	10	Gamma	0.1	2.6	0.5

^a No control device for coal combustion.

^b Tentatively assumed.

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Table 4. Uncertainties of Hg emissions by sector for 2005, 2008, 2010 and 2012, expressed as the 95 % confidence intervals of central estimates. The unit for emissions is metric tons (t).

	2005	2008	2010	2012
CPP	145 (−48 %, +73 %)	144 (−50 %, +70 %)	140 (−51 %, +77 %)	144 (−50 %, +89 %)
IND	473 (−30 %, +43 %)	534 (−27 %, +46 %)	543 (−26 %, +51 %)	527 (−27 %, +54 %)
RES	61 (−36 %, +144 %)	64 (−35 %, +127 %)	71 (−34 %, +123 %)	79 (−35 %, +115 %)
Total	679 (−26 %, +46 %)	742 (−24 %, +46 %)	753 (−23 %, +51 %)	750 (−23 %, +53 %)
Total ^a	512 (−25 %, +55 %)	575 (−24 %, +56 %)	586 (−23 %, +61 %)	583 (−24 %, +65 %)
Coal	296 (−48 %, +70 %)	330 (−49 %, +66 %)	341 (−49 %, +69 %)	364 (−48 %, +76 %)

^a Emissions from ASGM excluded.

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Table 5. The parameters contributing most to emission uncertainties by sector for 2010. The percentages in the parentheses indicate the contributions of the parameters to the variance of corresponding emission estimates.

	CPP	IND	RES
Hg	$\eta_{\text{ESP+FGD}}$ (26 %) $\text{HgC}_{\text{Shandong}}$ (21 %)	E_{ASGM} (41 %) $\text{EF}_{\text{NMS_Zn, EP}}$ (17 %)	EF_{straw} (26 %) AL_{coal} (14 %)
Hg ⁰	$\text{HgC}_{\text{Shandong}}$ (18 %) $\text{HgC}_{\text{Henan}}$ (8 %)	E_{ASGM} (39 %) $f_{\text{GM, Hg2+}}$ (19 %)	EF_{straw} (41 %) AL_{straw} (12 %)
Hg ²⁺	$\text{HgC}_{\text{Shandong}}$ (20 %) $f_{\text{ESP+FGD, Hg2+}}$ (13 %)	$f_{\text{GM, Hg2+}}$ (28 %) $\text{EF}_{\text{NMS_Zn, EP}}$ (22 %)	$\text{HgC}_{\text{waste}}$ (28 %) $f_{\text{NOC, Hg2+}}$ (8 %)
Hg ^p	$f_{\text{ESP+FGD, Hgp}}$ (30 %) $f_{\text{FF, Hgp}}$ (14 %)	$f_{\text{WET, Hgp}}$ (74 %) $\text{HgC}_{\text{Shandong}}$ (4 %)	AL_{coal} (22 %) $f_{\text{NOC, Hg0}}$ (15 %)

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Table 6. Projection of national Hg missions by source category for different scenarios through 2030.

Source category	2015			2020			2030		
	S0	S1	S2	S0	S1	S2	S0	S1	S2
Coal-fired power plants	150.0	149.6	130.8	164.2	153.1	130.9	181.8	155.0	126.8
Industry	578.1	571.1	405.4	588.0	580.9	401.4	570.9	547.6	342.5
Cement production	36.8	36.6	25.4	37.5	37.3	17.2	25.0	24.3	8.9
Coal use	21.1	21.0	13.2	21.7	21.6	11.3	17.6	17.3	8.7
Iron and steel plants	40.0	39.9	39.3	41.7	41.4	39.0	39.8	39.1	34.8
Heating boilers	39.2	37.8	34.2	38.8	37.4	33.8	40.8	36.1	32.3
Other industrial boilers	105.2	101.3	86.4	104.1	100.4	85.4	109.4	96.8	81.7
Nonferrous metal smelting	110.5	109.6	102.4	116.0	115.0	105.5	109.6	106.8	68.2
Zinc	84.5	83.8	78.9	88.7	88.0	81.8	83.8	81.7	56.0
Lead	24.7	24.5	22.3	25.9	25.7	22.4	24.5	23.9	11.0
Copper	1.3	1.3	1.2	1.4	1.3	1.3	1.3	1.3	1.2
Gold metallurgy	182.5	182.4	63.3	183.3	183.1	63.7	182.4	182.0	63.2
Large scale	15.5	15.4	7.7	16.3	16.1	8.1	15.4	15.0	7.5
Artisanal and small scale	167.0	167.0	55.7	167.0	167.0	55.7	167.0	167.0	55.7
Other miscellaneous processes	64.0	63.5	54.3	66.6	66.1	56.7	63.9	62.6	53.4
Mercury mining	34.1	33.8	33.8	35.8	35.5	35.5	33.8	33.0	33.0
Battery/fluorescent lamp production	10.0	10.0	3.3	10.0	10.0	3.3	10.0	10.0	3.3
PVC production	16.8	16.7	14.1	17.7	17.5	14.8	16.7	16.3	13.7
Oil and gas combustion	3.0	3.0	3.0	3.2	3.1	3.1	3.3	3.3	3.3
Residential and commercial sector	86.0	84.2	84.2	92.0	88.4	88.4	116.7	109.9	109.9
Coal burning	37.9	37.0	37.0	37.3	36.4	36.4	34.6	31.6	31.5
Biofuel use/biomass open burning	8.7	8.7	8.7	8.1	8.1	8.1	6.6	6.6	6.6
Solid waste incineration	20.7	20.7	20.7	24.6	24.6	24.6	49.7	49.7	49.7
Municipal	13.9	13.9	13.9	18.5	18.5	18.5	45.1	45.1	45.1
Rural	6.8	6.8	6.8	6.1	6.1	6.1	4.6	4.6	4.6
Oil and gas combustion	18.7	17.8	17.8	22.0	19.3	19.3	25.8	22.0	22.0
Total	814.1	805.0	620.4	844.3	822.5	620.7	869.3	812.5	579.1
Total coal combustion	393.3	386.7	340.9	407.9	390.4	336.9	423.9	375.9	315.8

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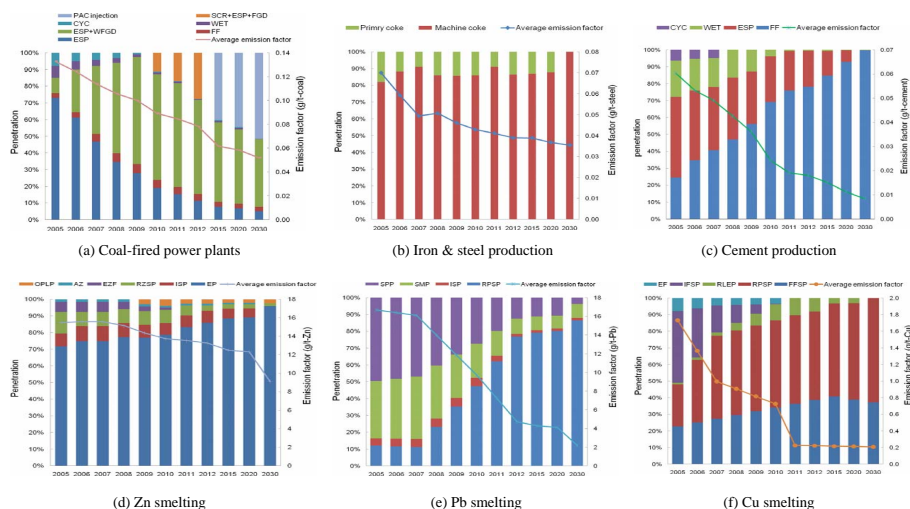


Figure 1. The penetrations of technologies and inter-annual trends of Hg emission factors for typical sources in China for 2005–2012 and S2 till 2030. In each panel, left-hand vertical axis indicates the percentages of various technologies and right-hand vertical axis indicates the emission factors.

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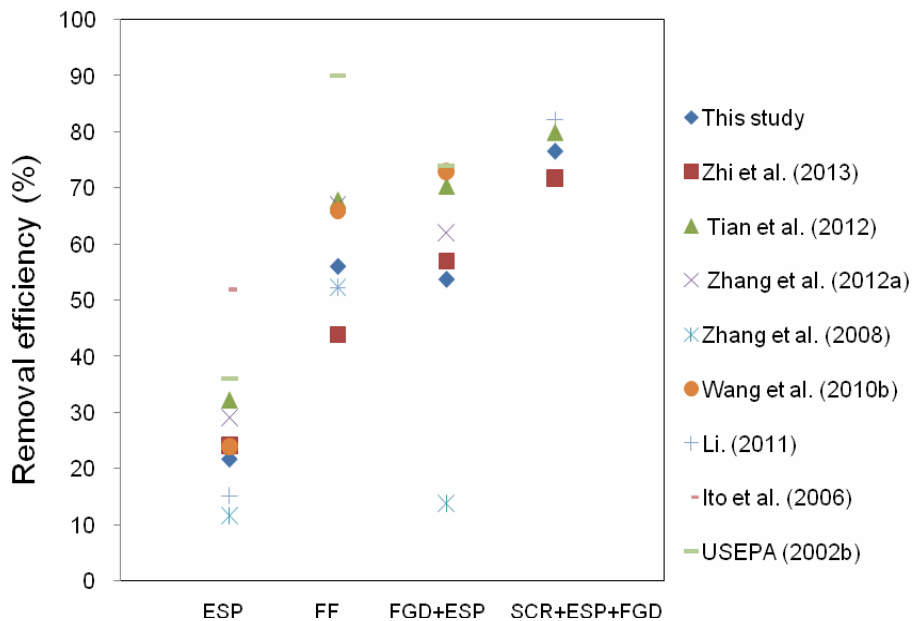


Figure 2. Mercury removal efficiencies of different APCD combinations estimated in this work, compared with other inventory studies.

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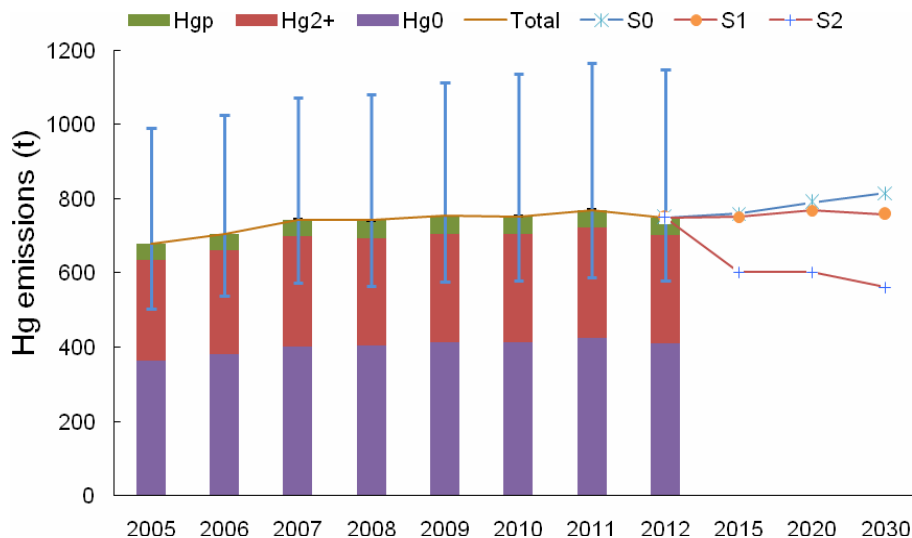


Figure 4. National total Hg emissions with speciation from 2005 to 2012 and future trends under three scenarios through 2030. The error bars for 2005–2012 indicate the 95 % confidence intervals of the annual total emission estimates.

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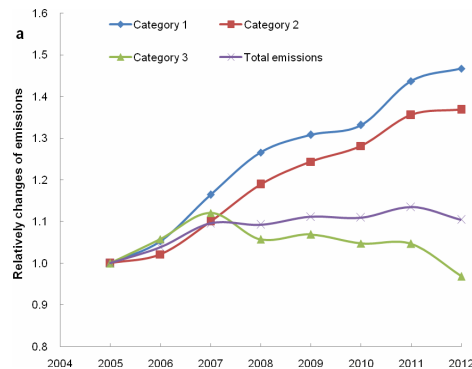
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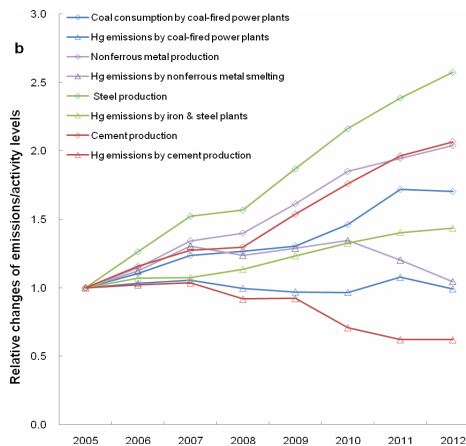


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(a)



(b)

Figure 6. (a) Relatively changes in Hg emissions of national total and different source categories, and (b) relatively changes of Hg emissions and activity levels for given sectors (all the values are normalized to the levels in 2005).

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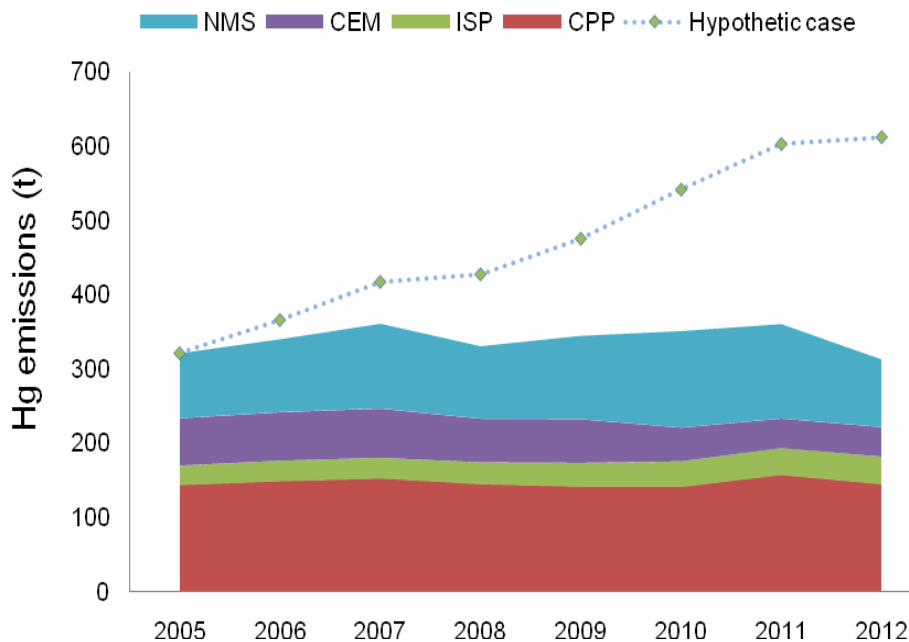


Figure 7. Benefits of pollution control on Hg emission abatement for given sectors from 2005 to 2012.

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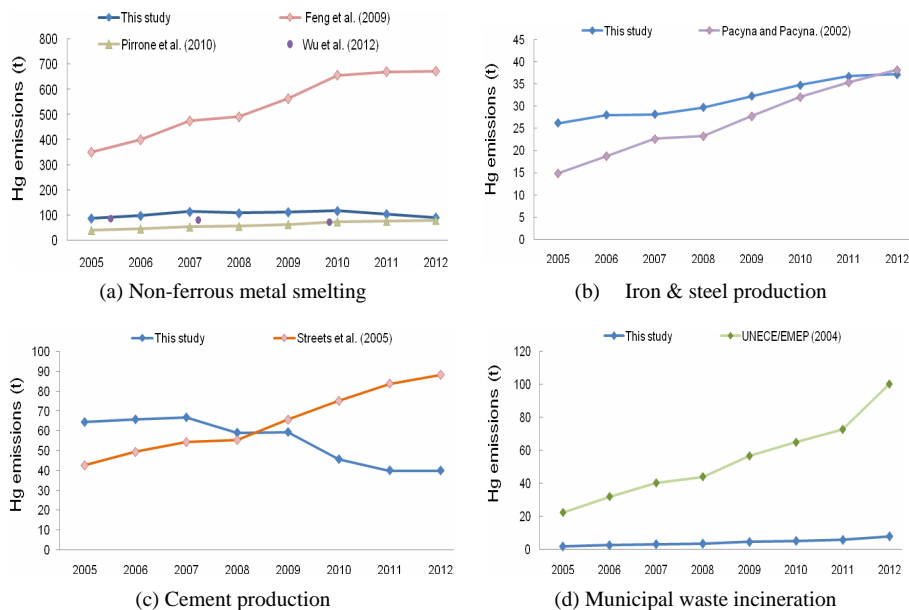


Figure 8. Comparison of Hg emission estimates w/o updated dynamic emission factors for **(a)** nonferrous metal smelting, **(b)** iron and steel production, **(c)** cement production, and **(d)** solid waste incineration. Note all the estimates of cited studies except for Wu et al. (2012) are not directly obtained from the literatures, but are recalculated based on the same emission factors suggested by those studies.

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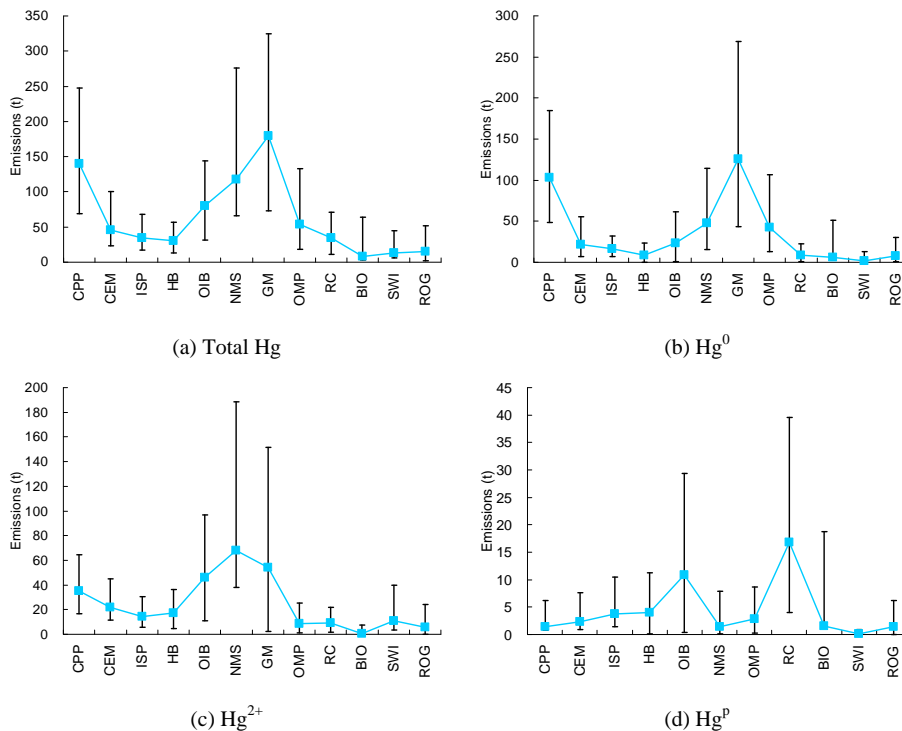


Figure 9. Uncertainties of China's Hg emission estimate by source for 2010: **(a)** total Hg; **(b)** Hg⁰; **(c)** Hg²⁺; and **(d)** Hg^P.

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