

1 **How much is particulate matter near the ground influenced**
2 **by upper level processes within and above the PBL? A**
3 **summertime case study in Milan (Italy)**

Formattato: Italiano (Italia)

4
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19

1 **Response to Anonymous Referee #1**

2 Review of “How much is particulate matter near the ground influenced by upper level
3 processes within and above the PBL? A summertime case study in Milan (Italy)” by Curci et
4 al.

5 This study uses field data in Milan, Italy in 2007 along with WRF/CHEM modeling to
6 investigate what the composition is of aerosol layers formed at the top of the boundary layer
7 during the day, how much of the surface aerosol layer is from entrainment of aerosols aloft,
8 and how much these layers aloft contribute to surface concentrations on the following day.
9 The topic is of interest to this journal. This topic has not received that much attention and this
10 paper adds to the growing body of knowledge about how aerosol layers above the PBL can
11 influence the surface layer. The authors focus on one case event and treat it in depth and reach
12 interesting conclusions that are worthy of publication. The paper requires minor English
13 editing. Prior to publication, the authors should address the issues below.

14 *The authors thank the reviewer for careful reading and valuable comments which*
15 *improved the clarity of the manuscript. The paper was revised following reviewer’s*
16 *suggestions as detailed below. Moreover, English was edited in the revised version of the*
17 *manuscript.*

18

19 Specific Comments:

20 Page 26405, Line 2: I suggest changing “yield” to “lead”

21 ***Done.***

22

23 Page 26405, Line 11: change “evidences” to “evidence”

24 ***Done.***

25

26 Pg 26406, Line 2: remove “the” before “atmospheric”

27 ***Done.***

28

1 Page 26407, Line 26: Could another reason for why winter PM is high is low-level residential
2 combustion for heating purposes?

3 *There are certainly more emissions for heating in winter. Actually, biomass burning was*
4 *reported as a dominant winter PM source, at least for the organic fraction (Gelencser et al.,*
5 *2007; Gilardoni et al., 2011). This source includes wood burning for domestic heating.*
6 *However, in summer there are likely enhanced biogenic and soil erosion sources, with*
7 *respect to winter. Thus changed primary emissions were not listed among the driving*
8 *factors of winter/summer PM differences in the Po Valley in the studies we refer to, in that*
9 *part of the manuscript (Barnaba et al.). We thus preferred to leave the sentence unchanged*
10 *in the revised manuscript.*

11 *Gelencser, A., B. May, D. Simpson, A. Sanchez-Ochoa, A. Kasper-Giebl, H. Puxbaum, A.*
12 *Caseiro, C. Pio, and M. Legrand (2007), Source apportionment of PM_{2.5} organic aerosol*
13 *over Europe: Primary/secondary, natural/anthropogenic, and fossil/biogenic origin, J.*
14 *Geophys. Res., 112, D23S04, doi:10.1029/2006JD008094.*

15 *Gilardoni, S., Vignati, E., Cavalli, F., Putaud, J. P., Larsen, B. R., Karl, M., Stenström, K.,*
16 *Genberg, J., Henne, S., and Dentener, F.: Better constraints on sources of carbonaceous*
17 *aerosols using a combined 14C – macro tracer analysis in a European rural background*
18 *site, Atmos. Chem. Phys., 11, 5685-5700, doi:10.5194/acp-11-5685-2011, 2011.*

19

20 Page 26408, Line 1-6: The authors should improve the balance of this paragraph's discussion
21 to also mention other airborne data that have shown that secondarily produced species can be
22 higher aloft than at the surface. Such studies shown below have pointed to the importance of
23 clouds and relative humidity in leading to higher mass concentrations of aerosol species aloft.
24 Duong et al. (2011). Water-soluble organic aerosol in the Los Angeles Basin and outflow
25 regions: Airborne and ground measurements during the 2010 CalNex field campaign, J.
26 Geophys. Res., 116, D00V04, doi:10.1029/2011JD016674.

27 Wonaschuetz et al. (2012). Aerosol and gas re-distribution by shallow cumulus clouds: an
28 investigation using airborne measurements, J. Geophys. Res., 117, D17202,
29 doi:10.1029/2012JD018089.

1 Heald et al. (2006), Concentrations and sources of organic carbon aerosols in the free
2 troposphere over North America, J. Geophys. Res., 111, D23S47,
3 doi:10.1029/2006JD007705.

4 Novakov et al. (1997), Airborne measurements of carbonaceous aerosols on the East Coast of
5 the United States, J. Geophys. Res., 102(D25), 30,023–30,030, doi:10.1029/97JD02793.

6 *We thank the reviewer for bringing to our attention those interesting studies, which add
7 further observational evidence of the presence of enhanced aerosol layers above the
8 surface. We added a related sentence at the end of the paragraph: “Moreover, the presence
9 of aerosol layers enriched with sulfate and water-soluble carbonaceous matter was
10 observed above the boundary layer or in convective clouds during several aircraft
11 campaigns over North America (Novakov et al., 1997; Heald et al., 2006; Duong et al.,
12 2011; Wonaschuetz et al., 2012), and attributed to biomass burning plumes or aqueous-
13 chemistry processes.”*

14

15 Page 26410, last line and into next page: this sentence confuses me about why nitrate is in the
16 coarse fraction due to ammonium being neutralized by sulfate. If sulfate is fully neutralized
17 by ammonium it would seem that if there is any more ammonia present that it can then
18 interact with nitric acid to produce ammonium nitrate, which typically is in the fine mode.
19 Based on literature (see for instance: Lee et al. (2008), Observations of fine and coarse
20 particle nitrate at several rural locations in the United States, Atmos. Environ., 42, 2720–
21 2732, doi:10.1016/j.atmosenv.2007.05.016.), coarse mode nitrate originate from interactions
22 of nitric acid with coarse particle types such as mineral dust and sea salt. This sentence should
23 be revised as it currently confuses this reviewer.

24 *We agree with the reviewer that the original sentence may be confusing, and acknowledge
25 that the reviewer correctly guessed the real intended meaning. We reformulated the
26 sentence as follows: “In summer, nitrate can exhibit a broader size distribution as a larger
27 fraction may also form in the coarse mode. Higher temperatures, lower humidity, higher
28 load of sulphate competing for the uptake of ammonia, are less favourable to ammonium
29 nitrate accumulation in the fine mode. As a consequence, more nitric acid is available to
30 react with soil dust or sea salt leading to the formation of mineral nitrate on coarse
31 particles.”. We also added the suggested reference Lee et al., 2008.*

1

2 Page 26414, Line 9: A period is missing after “measurements”

3 *Done.*

4

5 Page 26424, Line 1: Can the authors be more clear when they say it is “visible e.g. on 16-17
6 July in both observations and models”. Are readers supposed to know this clearly from
7 looking at specific figures? If so, what figures and be specific as to how readers will know
8 that this is visible.

9 *First of all we corrected a wrong reference to Hodzic et al. (2006) to Hodzic et al. (2004) at*
10 *the beginning of the same paragraph. We agree with the reviewer that the sentence is*
11 *obscure and ambiguous. We now revised the last part of the paragraph for a more clear*
12 *and sharp guidance to the features we would like to bring to reader’s eyes. We now focus*
13 *on days 14-16 July, in place of 16-17 July, because they better illustrate those features.*
14 *Here is the revised part of the paragraph: “Then, in the afternoon, the mountain-valley*
15 *breeze cleans the lower PBL (note the abrupt abatement of both the Lidar and the model*
16 *aerosol signals in the second part of the day), often leaving an upper air aerosol residual*
17 *layer above. Model simulations also reproduce such residual layers (note the afternoon*
18 *increase of PM2.5 values in the upper levels, particularly visible on July 15-16). When such*
19 *residual layers persists overnight, the Lidar shows these to entrain into the developing PBL*
20 *the day after (note the merging of the upper level aerosol layers with the growing, aerosol-*
21 *traced PBL in Figure 6a, particularly evident in the morning of July 14 and 15). There are*
22 *hints of the same features also in model simulations.”*

23

24 Page 26427, Lines 4-8 and subsequent text: It is argued that nitrate is produce at higher
25 altitudes due to more favorable RH values that allow for aqueous conversion of nitric acid to
26 nitrate. But one major aspect of this argument that requires discussion is that if such aerosols
27 aloft are then brought down to the surface, wouldn’t the aerosols get dried at lower RHs and
28 then the nitrate evaporates back out? For the case of cloud droplets for instance, it has been
29 shown in past studies that evaporation of drops leads to losses of nitrate back to the gas phase.
30 Also, in the discussion in this same section, I was hoping the authors could discuss whether
31 the ambient multicomponent particles would be expected to deliquesce at the DRH point of

1 ammonium nitrate or at a different RH. Past work has shown that the DRH varies from the
2 pure salt form when there are other components included, and it can be argued that it is more
3 practical to assume that the aerosols in the region will deliquesce at a point other than the
4 DRH of ammonium nitrate.

5 *The nitrate layer formed in the upper PBL during the day indeed forms because nitrate re-*
6 *evaporates back to the gas phase when brought back near the ground by vertical turbulent*
7 *motions. It's exactly the reason why the nitrate profile is not homogeneous, as it is the case*
8 *for sulfate. Thus the contribution to surface nitrate from this upper layer is expected to be*
9 *very small, if not negative, during daytime. This point is indeed highlighted for days 13 and*
10 *16 July in the subsequent paragraph of the manuscript, regarding the budget analysis of*
11 *ground PM as contributed from different layers of the lower atmosphere (lower PBL, upper*
12 *PBL, and above PBL). As also mentioned in that paragraph, if enough aerosol (including*
13 *nitrate) survives the night in the layer above the PBL, it may entrain into the PBL the*
14 *following morning and then effectively contribute to surface PM levels. However, we*
15 *haven't pushed the latter budget to the detail of single species, since we feel that more*
16 *observational constraints on the aerosol vertical profile should be available (which is not*
17 *the case here) to support the modelling results.*

18 *Regarding the multicomponent DRH point we are aware that it is different from that of*
19 *pure nitrate. However, it is known that multicomponent DRH point is always lower than*
20 *that of single components (e.g. Seinfeld and Pandis, 2006), thus a more accurate*
21 *calculation of the DRH would just make a broader layer for the potential aqueous*
22 *formation of nitrate, but it will not change the substance of the discussion, i.e. that nitrate*
23 *preferentially forms in the upper PBL and in aqueous form. The thickness of the layer*
24 *favourable to nitrate formation deducible from Figure 12 may thus be regarded as a*
25 *conservative lower estimate.*

26 *In order to improve clarity on these points, we added the following sentences at the end of*
27 *the paragraph: "Although the real multicomponent DRH point will differ from that of pure*
28 *nitrate, it is known that the DRH of mixtures is always lower than that of pure salts*
29 *(Seinfeld and Pandis, 2006). The thickness of the layer favourable to aqueous nitrate*
30 *formation deducible from Figure 12 may thus be regarded as a conservative lower estimate.*
31 *During daytime, the nitrate formed in the upper boundary layer re-evaporates back to the*
32 *gas phase when brought to the ground by vertical motions, and that's the origin of the*

1 *inhomogeneous vertical profile of nitrate. For further discussion on how much the upper*
2 *aerosol layer contributes to ground PM we point the reader to the next paragraph.”*

3

4

5 Figure 2 caption: should say “. . .shown in inset are. . .”

6 Figure 2 caption: should say “. . .shown in inset to. . .”

7 *We believe the reviewer here refers to Figures 3 and 4. We added the sentence “Shown*
8 *inset are statistical indices defined in Appendix A.” in the caption of both figures.*

9

10 Figure S5 caption: “Rolf” is mis-spelled and should be “Rolph”

11 *Done.*

12 Figure 12: I recommend moving the text box off of the data curves as it is distracting.

13 *Done.*

14

1 **Response to Anonymous Referee #2**

2 This manuscript combines observations and modeling to interpret the vertical profile and
3 budget of aerosol over Milan. The study is clear and thorough and provides some interesting
4 insights about the vertical profile of nitrate. I have only minor comments below. However the
5 article does need to be edited for language prior to publication (numerous grammatical and
6 phrasing errors).

7 *The authors thank the reviewer for careful reading and valuable comments which*
8 *improved the clarity of the manuscript. The paper was revised following reviewer's*
9 *suggestions as detailed below. Moreover, English was edited in the revised version of the*
10 *manuscript.*

11

12 1. Abstract, lines 19-23: These results are somewhat overstated given that they are based on
13 one event, and not necessarily generalizable. I suggest clarifying that numbers in particularly
14 are based on the one case study examined here.

15 *All the analysis presented in the manuscript is limited to one short case study in one*
16 *location is clear from the title. However, we added this statement in front of the bottom line*
17 *of the abstract: "Although the results presented here are relative to one relatively short*
18 *period at one location, ..."*

19

20 2. Page 26411, lines 9-11: what size ranges do the 2 submicron modes cover?

21 *We overlooked this information. The dry diameter of the two modes is in the range 50-200*
22 *nm, but they have different hygroscopic properties, one hydrophobic and the other*
23 *hydrophilic, as already mentioned in the manuscript.*

24

25 3. Page 26413: lines 5 and 17: please comment on why differing OM:OC ratios (1.6 and 1.8)
26 are applied.

27 *1.6 and 1.8 are applied as factors to convert OC to OM and WSOC to WSOM, respectively.*
28 *These conversion factors are reported in the cited literature as reasonable values for urban*
29 *environment.*

1 4. Page 26413, lines 7-9: how far away are the weather and monitoring stations from the
2 aerosol measurement site?

3 *The gas and weather station run by the local environmental agency is in the same*
4 *suburban area (Via Juvara) of the Torre Sarca aerosol site. The distance of the two sites is*
5 *about 5 km, thus well within the 10 km of the model horizontal resolution.*

6

7 5. Page 26415, lines 20-21: what types of SOA are included in the simulation?

8 *SOA in the VBS mechanism implemented in WRF/Chem originates from the oxidation of*
9 *anthropogenic and biogenic VOC currently believed relevant for SOA production. These*
10 *include alkanes, alkenes, xylenes, aromatics, isoprene, mono- and sesqui-terpenes. Full*
11 *details are provided in the cited reference Ahmadov et al. (2012). We added a sentence in*
12 *the text “ ..., which include the oxidation of anthropogenic and biogenic VOC currently*
13 *believed to be important for SOA production (alkanes, alkenes, xylenes, aromatics,*
14 *isoprene, monoterpenes, and sesquiterpenes).”*

15

16 6. Page 26415, line 19: the name of the thermodynamic model is MAR-A not RPMARES

17 *We thank the reviewer for the clarification, the actual name was not clear from the*
18 *references and from comments embedded into the code.*

19

20 7. Page 26417, lines 3-5: Has this model been validated using TNO emissions? If so please
21 include references.

22 *The model was validated with TNO emissions in the frame of AQMEII intercomparison.*
23 *We added the two relevant references (Im et al., 2014a,b).*

24

25 8. Page 26420, lines 25-29 & page 26422, lines 17-22: Please comment on how the lack of
26 dust in the model may impact the comparison to observations.

27 *Regarding the Saharan dust event after 17 July, we excluded the days from the analysis*
28 *because of the lack of representation of that contribution. We added this sentence at the*
29 *end of paragraph on page 26420: “Since Saharan dust intrusions are not modelled here,*

1 *these days are excluded from the analysis.”. Regarding the bias on PM10 commented on*
2 *page 26422, we added the following sentence: “The negative bias of PM10 could be partly*
3 *explained by the missing source from soil dust erosion and resuspension in the model.”*

4

5 9. Page 26423, lines 8-9: Please comment/explain the poor model performance for nitrate
6 shown in Figure 5.

7 *We added the following comment: “Recently reported hourly measurements of PM*
8 *composition in the Po Valley indeed confirm the same “pulsed” behaviour of nitrate near*
9 *the ground, with values near zero during daytime, and irregular peaks nighttime (Decesari*
10 *et al., 2014). This highlights the inherent difficulties in simulating the nitrate*
11 *concentrations at sub-daily frequency.”*

12 *Decesari, S., Allan, J., Plass-Duelmer, C., Williams, B. J., Paglione, M., Facchini, M. C.,*
13 *O'Dowd, C., Harrison, R. M., Gietl, J. K., Coe, H., Giulianelli, L., Gobbi, G. P.,*
14 *Lanconelli, C., Carbone, C., Worsnop, D., Lambe, A. T., Ahern, A. T., Moretti, F.,*
15 *Tagliavini, E., Elste, T., Gilge, S., Zhang, Y., and Dall'Osto, M.: Measurements of the*
16 *aerosol chemical composition and mixing state in the Po Valley using multiple*
17 *spectroscopic techniques, Atmos. Chem. Phys., 14, 12109-12132, doi:10.5194/acp-14-*
18 *12109-2014, 2014.*

19

20 10. Page 26423, line16: what kind of SOA? Biogenic? Anthropogenic? From where?

21 *Both biogenic and anthropogenic with about the same share, from the larger region around*
22 *Milan. We haven't added more comments in the manuscript, since this is distracting from*
23 *the main discussion.*

24

25 11. Page 26423, lines 20-29: comment on how the model compares to the lidar profiles

26 *The paragraph was revised as follows. First of all we corrected a wrong reference to Hodzic*
27 *et al. (2006) to Hodzic et al. (2004) at the beginning of the same paragraph. We now revised*
28 *the last part of the paragraph for a more clear and sharp guidance to the features we would*
29 *like to bring to reader's eyes. We now focus on days 14-16 July, in place of 16-17 July,*
30 *because they better illustrate those features. Here is the revised part of the paragraph:*

1 *“Then, in the afternoon, the mountain-valley breeze cleans the lower PBL (note the abrupt*
2 *abatement of both the Lidar and the model aerosol signals in the second part of the day),*
3 *often leaving an upper air aerosol residual layer above. Model simulations also reproduce*
4 *such residual layers (note the afternoon increase of PM_{2.5} values in the upper levels,*
5 *particularly visible on July 15-16). When such residual layers persists overnight, the Lidar*
6 *shows these to entrain into the developing PBL the day after (note the merging of the upper*
7 *level aerosol layers with the growing, aerosol-traced PBL in Figure 6a, particularly evident*
8 *in the morning of July 14 and 15). There are hints of the same features also in model*
9 *simulations.”*

10

11 12. Figure 7: color scale makes it difficult to see features. I suggest different color bars be
12 used for different panels, as appropriate

13 *We agree that the scales somewhat hide the simulated features, however the same color*
14 *scale makes the intercomparison of PM species contribution very direct. Moreover, the*
15 *relevant features discussed in the text still emerge (e.g. homogeneity of sulfate and SOA*
16 *profile in the PBL, correlation of ammonium with nitrate). We prefer to leave the Figure 7*
17 *as is.*

18

19 13. Page 26424, line 11: what do you mean by primary? Only those emitted particles? Is this
20 BC and POA in your simulation? Please clarify.

21 *This is also primary inorganic and unspciated anthropogenic fraction. We changed the*
22 *(inorganic and organic) in parentheses to (unspciated anthropogenic, black carbon, and*
23 *primary organic carbon).*

24

25 14. Figures 9, 10, 11: orange and pink are difficult to distinguish. I suggest changing one of
26 these colors.

27 *Done. Pink changed to black.*

28

29 15. Page 26425, line14: why was this time chosen? Is it representative of the entire period?
30 Could the authors instead show an average over a longer period of time?

1 *The time chosen is representative of the typical budget during the central part of the day,*
2 *and highlights the more interesting features emerging in the vertical profile budget*
3 *discussed in the rest of the manuscript. We believe that averaging on several hours will not*
4 *change the picture emerging from this snapshot view.*

5

6 16. Page 26426, line 23: please add temperature profile to Figure 12

7 *Done.*

8

9

1 **Abstract**

2 | Chemical and dynamical processes ~~yield-lead~~ to the formation of aerosol layers in the upper
3 | planetary boundary layer (PBL) and above it. Through vertical mixing and entrainment into
4 | the PBL these layers may contribute to the ground-level particulate matter (PM), ~~but-however~~
5 | ~~to date~~ a quantitative assessment of such ~~a contribution~~ ~~-has not been carried out~~ ~~is still~~
6 | ~~missing~~. This study investigates this aspect ~~by~~ combining chemical and physical aerosol
7 | measurements with WRF/Chem model simulations. The observations were collected in the
8 | Milan urban area (Northern Italy) during summer of 2007. The period coincided with the
9 | passage of a meteorological perturbation that cleansed the lower atmosphere, followed by a
10 | high pressure period ~~that-favouring~~ pollutant accumulation. Lidar observations revealed ~~ed~~ the
11 | formation of elevated aerosol layers and ~~show-evidences~~ of their entrainment into the PBL.
12 | We analyzed ~~d~~ the budget of ground-level PM_{2.5} (particulate matter with ~~an~~ aerodynamic
13 | diameter less than 2.5 μm) with the help of the online meteorology-chemistry WRF/Chem
14 | model, ~~with-focusing in~~ particular ~~focus~~ on the contribution of upper level processes. ~~We-Our~~
15 | ~~findings show~~ that an important player in determining the upper PBL aerosol layer is
16 | particulate nitrate, which may reach higher values in the upper PBL (up to 30% of the aerosol
17 | mass) than ~~in~~ the lower ~~PBL~~. The nitrate formation process is predicted to be largely driven
18 | by the relative humidity vertical profile, ~~that-which~~ may trigger efficient aqueous nitrate
19 | formation when exceeding the ammonium nitrate deliquescence point. Secondary PM_{2.5}
20 | produced in the upper half of the PBL may contribute up to 7-8 μg/m³ (or 25%) to ground
21 | level concentrations on ~~an~~ hourly basis. A large potential role is also found to be played by
22 | the residual aerosol layer above the PBL, which may occasionally contribute up to 10-12
23 | μg/m³ (or 40%) to hourly ground level PM_{2.5} concentrations during the morning ~~hours~~.
24 | ~~Although the results presented here refer to one relatively short period in one location,~~ ~~t~~
25 | study highlights the importance of considering the interplay between chemical and dynamical
26 | processes occurring within and above the PBL when interpreting ground level aerosol
27 | observations.

28

1 1 Introduction

2 The understanding of processes governing ~~the~~ atmospheric aerosols is primarily motivated by
3 their adverse effects on health and their contribution to the radiative budget of the
4 atmosphere. Diseases ~~to-affecting~~ the respiratory system have been linked to inhalation of
5 aerosols, especially their finer and more numerous fraction (Beelen et al., 2014; Oberdorster,
6 2001), although the mechanisms underlying the health effect associated to size, number and
7 composition of particulate matter have ~~just-started~~ only recently begun to be disclosed
8 (Harrison and Yin, 2000; Daher et al., 2012; Perrone et al., 2013). Aerosols affect the
9 atmospheric energy balance directly, by scattering and absorbing radiation (Yu et al., 2006),
10 indirectly, by serving as cloud condensation nuclei (Lohmann and Feichter, 2005), and semi-
11 directly, by heating the air through absorption of radiation and reducing low cloud cover
12 (Johnson et al., 2004). The assessment of these effects ~~caused by~~ aerosols is still
13 characterized by large uncertainties, since ~~it is still uncertain~~ our knowledge of the processes
14 determining their abundance, size distribution, and chemical composition, which strongly
15 vary in space and time, is still limited (Raes et al., 2000; Poschl, 2005). Here we focus on the
16 interplay between dynamical and chemical processes in the vertical direction, in order to
17 better understand the budget terms making up the ground level particulate matter, a common
18 measure to evaluate ~~the~~ air quality. The study ~~is-focuses~~ on the urban environment of Milan,
19 ~~placed-situated~~ in the middle-center of ~~the-Italy's~~ Po Valley (~~Italy~~), ~~an~~ European hot-spot for
20 atmospheric pollution.

21 The correlation between pollutants at the surface and meteorological variables is well
22 established and the fundamental role played by the variables associated to the vertical mixing
23 in the planetary boundary layer (PBL) has been highlighted ~~for-both~~ for ozone (Di Carlo et al.,
24 2007, and references therein) and particulate matter (Tai et al., 2010, and references therein).
25 Moreover, Zhang and Rao (1999) analyzed aircraft and tower measurements over the Eastern
26 United States and showed that elevated nocturnal layers rich ~~of-in~~ ozone and its precursors
27 aloft, remnant of the previous day's mixed layer, may strongly affect ground-level ozone
28 levels on the following morning as ~~the~~ vertical motions mix upper and surface air. The same
29 authors suggested that a reduction of ozone and precursors aloft may be more effective in
30 reducing pollution than local emission cuts ~~in-reducing pollution~~, thus calling for a region-
31 wide strategy for emissions control. Aerosols are also known to form layers above or near the
32 top of the mixing layer, especially when stability and presence of clouds increase (e.g.

1 O'Dowd and Smith, 1996). Similarly to ozone, an aerosol residual layer aloft is often
2 observed (e.g. Di Giuseppe et al., 2012), which may influence the aerosol at the surface, as
3 witnessed by similar size-distributions (Maletto et al., 2003). A significant contribution to
4 surface aerosol from entrainment and vertical dilution and chemical net production in the
5 boundary layer ~~was-has~~ also been pointed out in recent studies using single-column models
6 (van Stratum et al., 2012; Ouwersloot et al., 2012).

7 The nontrivial relationship between ground- and upper-level aerosols burden is illustrated by
8 ~~the-comparison~~ of surface particulate matter (PM) mass concentrations ~~with-to~~
9 optical depth (AOD), which is proportional to the aerosol column load (typically measured by
10 ground-based sun-photometers or retrieved from satellites). In a well mixed PBL, the AOD
11 may exhibit a high correlation with surface PM, especially with its fine fraction, and indeed
12 this assumption is often exploited to infer surface PM_{2.5} (PM with diameter < 2.5 μm) from
13 satellite AOD observations (e.g. van Donkelaar et al., 2010). However, that assumption does
14 not always hold true, because-of due to the presence of significant aerosol stratification aloft,
15 and noticeable differences which may occur between AOD and surface PM behaviour may
16 occur, such as in the timing of daily peak values or in multi-day trends (Barnaba et al., 2007,
17 2010; Boselli et al., 2009; Estelles et al., 2012; He et al., 2012). Analyzing two-year
18 measurements in the Po Valley (Italy), Barnaba et al. (2010) indeed pointed out that annual
19 cycles of AOD and surface PM₁₀ (PM with diameter < 10 μm) display a remarkable opposite
20 phase. While PM₁₀ peaks in winter, ~~because-of~~ due to the reduced dilution by a shallower
21 PBL and ~~of-to~~ the condensation of semi-volatile species favoured by the lower temperatures,
22 AOD peaks in summer, because of a more persistent presence of an aerosol residual layer
23 aloft, which contributes up to 30% of the total AOD.

24 Aircraft measurements also showed intriguing features of aerosol vertical gradients in the
25 lower troposphere, in particular when looking at different chemical components. Several
26 studies reported a generally constant or slightly decreasing profile in the convective boundary
27 layer of sulfate and organic matter as opposed to an increasing profile of nitrate (Neuman et
28 al., 2003; Cook et al., 2007; Crosier et al., 2007; Morgan et al., 2009; Ferrero et al., 2012).
29 Neuman et al. (2003) attributed the enhanced nitrate layer near the top of the PBL to the lower
30 temperatures that favour gas-phase nitric acid (HNO₃) and ammonia (NH₃) conversion to
31 particulate ammonium nitrate. The same authors also pointed out that nitrate and HNO₃
32 display sharp vertical gradients in the PBL, as opposed to other directly emitted (carbon

1 monoxide) or secondary (ozone) species that are relatively uniform, and ~~this interpreted the~~
2 observation was interpreted as an indication that thermodynamic equilibrium between gas and
3 particle phases occurs faster than vertical mixing. However, the issue is still under debated as
4 subsequent model studies found that an instantaneous thermodynamic equilibrium between
5 HNO₃ and nitrate yields ~~too~~ excessively steep and unrealistic vertical gradients (Morino et al.,
6 2006; de Brugh et al., 2012). Moreover, the presence of aerosol layers enriched with sulfate
7 and water-soluble carbonaceous matter was observed above the boundary layer or in
8 convective clouds during several aircraft campaigns over North America (Novakov et al.,
9 1997; Heald et al., 2006; Duong et al., 2011; Wonaschuetz et al., 2012), and attributed to
10 biomass burning plumes or aqueous-chemistry processes.

11 A quantitative assessment of the contribution of elevated aerosol layers and related dynamical
12 and chemical processes to ground-level particulate matter level is still lacking. Recent
13 modelling studies that reported budget (or process) analyses of the simulated aerosol mainly
14 focused on terms of the continuity equation at the surface or on integrated values over the
15 whole boundary layer. Surface and PBL total PM_{2.5} mass is calculated to be mainly produced
16 by direct emissions and secondary formation by aerosol processes (e.g. condensation and
17 absorption) and removed by horizontal and vertical transport and wet deposition (Zhang et al.,
18 2009; Liu et al., 2011). The controlling processes are different for surface PM number, which
19 is accumulated mainly by homogeneous nucleation and vertical transport and it is lost mainly
20 by dry deposition and coagulation (Zhang et al., 2010).

21 For primary components such as black carbon (BC) the fate is similar to that of total PM_{2.5},
22 while for secondary species it is more intricate. Sulfate is generally produced in the PBL by
23 aerosol and clouds processes (the latter being very important) and exported out of the PBL
24 throughout the year (de Meij et al., 2007; Zhang et al., 2009; de Brugh et al., 2011; Liu et al.,
25 2011). Averaged over the year, the nitrate budget is similar to that of sulfate, with the
26 difference that cloud processes (wet deposition) are a sink (de Brugh et al., 2011; Liu et al.,
27 2011). However, during the summer there might be ~~a~~ competition between PM production
28 (e.g. condensation and absorption) and destruction (e.g. evaporation and desorption)
29 processes, and PBL may become a sink and not a source for nitrate (Zhang et al., 2009). The
30 same competition between PM production and destruction processes affect the secondary
31 organic aerosols (SOA) throughout the year (Zhang et al., 2009). Moreover, SOA are strongly
32 influenced by biogenic volatile organic compounds (BVOC) emissions, through semi-volatile

1 | products of the ~~oxidation of~~ isoprene and terpenes oxidation, which also have a marked
2 | seasonal cycle (Zhang et al., 2007; Hodzic et al., 2009).

3 | In the present study, we examined d the formation of aerosol near the surface in the particular
4 | perspective of the boundary layer vertical processes outlined above. We analyzed d
5 | ~~observations of~~ aerosol mass observations, composition, number and optical properties in the
6 | month of July 2007 in Milan (45°N, 9°E, Northern Italy) during the intensive campaigns
7 | carried ~~out in the~~ within the framework of the ~~Italian projects~~-QUITSAT (“Air Quality by the
8 | Integration of Ground- and Satellite-based Observations and Multiphase Chemistry-Transport
9 | Modelling”, funded by the Italian Space Agency, ASI) and AeroClouds (“Study of Direct and
10 | Indirect Effect of Aerosols and Clouds on Climate”, funded by the Italian Ministry for Higher
11 | Education) projects. The experimental results ~~are were~~ then complemented/interpreted by
12 | through WRF/Chem model simulations.

13 | ~~We firstly, briefly review~~ what is known about the aerosol phenomenology in the investigated
14 | domain is briefly reviewed in section 2. ~~Then w~~ We describe the experimental setup in section
15 | 3 and the model setup in section 4. In section 5, ~~we conduct~~ a preliminary analysis of the
16 | observations is carried out, in order to characterize the relevant features of the case study and
17 | pose questions arising from the picture given by the measurements. ~~We t~~ Then, this questions
18 | are addressed ~~these questions~~ using WRF/Chem model simulations. After a model validation
19 | against available observations, we analyzed d the budget of aerosol species as calculated by the
20 | model, focusing in particular on the vertical dimension. ~~We summarize~~ The main results are
21 | summarixed in conclusive section 6.

23 | **2 The investigated domain**

24 | Milan is the largest urban area in Italy (c.a. 5 million people) and lies in one of the most
25 | polluted ~~places~~ areas of Europe, the Po Valley (Putaud et al., 2010). The topography of the
26 | valley (closed by the Alps to the North and West, and by the Apennine to the South), under
27 | high-pressure systems, favour stagnant atmospheric conditions and recirculation of air
28 | through the typical mountain-valley breeze (Dosio et al., 2002). The local circulation in
29 | combination with elevated anthropogenic emissions especially from traffic, residential
30 | combustion, and agriculture (Lonati et al., 2005; Carnevale et al., 2008; Perrone et al., 2012;
31 | Saarikoski et al., 2012) makes it a nitrogen dioxide and aerosol hot-spot well-clearly visible

1 from space (e. g. Chu et al., 2003; Barnaba and Gobbi, 2004; Ordonez et al., 2006; van
2 Donkelaar et al., 2010).

3 At the surface, PM10 annual mean in Milan has ~~stabilized-been stable~~ between 50 and 60
4 $\mu\text{g}/\text{m}^3$ in the last decade (Carnevale et al., 2008; Silibello et al., 2008), thus systematically
5 above the European limit of $40 \mu\text{g}/\text{m}^3$ for human protection (EC, 2008). The winter average
6 values are roughly double than those in the summer, and peak values are up to $200 \mu\text{g}/\text{m}^3$
7 (Marcazzan et al., 2001). The main aerosol components are sulfate, nitrate, and organic matter
8 (OM), which account for roughly 20%, 15%, 40%, respectively, of PM10 mass in summer,
9 and 10%, 30%, 50%, respectively, in winter (Marcazzan et al., 2001; Putaud et al., 2002;
10 Lonati et al., 2005; Carbone et al., 2010; Perrone et al., 2010; Daher et al., 2012). These
11 values are similar to those in other urban areas in the Po Valley (Matta et al., 2003; Carbone
12 et al., 2010; Squizzato et al., 2013). Most of the mass of those species is distributed in the
13 accumulation mode (particle diameter in the range $0.14\text{-}1.2 \mu\text{m}$), while the coarse mode (1.2-
14 $10 \mu\text{m}$ diameter) has a larger fraction of crustal material and sea salts (Matta et al., 2003;
15 Carbone et al., 2010). In summer, nitrate can exhibit a broader size distribution as a larger
16 fraction may also form in the coarse mode. Higher temperatures, lower humidity, higher load
17 of sulphate competing for the uptake of ammonia, are less favourable to ammonium nitrate
18 accumulation in the fine mode. As a consequence, more nitric acid is available to react with
19 soil dust or sea salt leading to the formation of mineral nitrate on coarse particles. In summer a
20 significant fraction of nitrate may also form in the coarse mode, because most of the
21 ammonium is neutralized by sulfate (Matta et al., 2003; Hodzic et al., 2006; Lee et al., 2008;
22 Carbone et al., 2010). The total number concentration of aerosol is of the order of 10^4 cm^{-3} ,
23 with the ultrafine (diameter $d < 100 \text{ nm}$) and submicron ($100 < d < 1000 \text{ nm}$) particles making
24 constituting up to 80% and 20% of the total, respectively (Lonati et al., 2011). The aerosol
25 number concentration is usually distributed in three modes (Balternsperger et al., 2002; Lonati
26 et al., 2011). One mode with diameters in the range of 20-30 nm, consisting of hydrophobic
27 and highly volatile organic material originating from combustion (Baltensperger et al., 2002),
28 plus new particles from nucleation events that occur on about 35% of the days in Po Valley
29 (Hamed et al., 2007). The other two modes are in the submicron range (dry diameters 50-200
30 nm), one almost hydrophobic, related to primary emissions (e.g. soot), and the other
31 hydrophilic, related to secondary aerosols (Balternsperger et al., 2002).

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1 The aerosol vertical profile in Milan and in the wider Po Valley region was characterized by
2 means of aircraft, Lidar, and tethered balloon measurements (Highwood et al. 2007; Barnaba
3 et al., 2007; Barnaba et al., 2010; Crosier et al., 2007; Angelini et al., 2009; Ferrero et al.,
4 2010; Ferrero et al., 2011). Similarly to other polluted ~~places located in a~~ valley areas, two
5 layers with distinct characteristics are often found. One in the PBL ~~which is~~ humid, rich ~~in~~
6 fresh emissions, ~~and~~ with ~~a~~ the nitrate profile increasing with height, and another layer, above
7 the PBL, with more aged aerosols enriched in the sulfate and organic matter fraction
8 (Highwood et al., 2007; Crosier et al., 2007; Ferrero et al., 2010). ~~This~~ decoupling into two
9 layers is attributed to the mountain-valley breeze dynamics (Angelini et al., 2009) and to the
10 sporadic arrival of long-range transported Saharan dust (Barnaba et al., 2007) or biomass
11 burning plumes (Barnaba et al., 2011). The number concentration of fine mode ($d < 1.6 \mu\text{m}$)
12 particles are found to be relatively constant with height in the PBL, and it decreases by a
13 factor of 2-3 above the PBL. In contrast, ~~C~~ coarse particle ($d > 1.6 \mu\text{m}$) number
14 concentrations, ~~in contrast~~, display a decrease with height also in the PBL, due to
15 sedimentation processes (Ferrero et al., 2010).

16

17 **3 Experimental setup**

18 Ground-based and vertical profiles measurements used in this study were conducted at Torre
19 Sarca site which is located on the northern side of Milan (45°31'19"N, 9°12'46"E; within the
20 Milano-Bicocca University campus), in the midst of an extensive conurbation that is the most
21 industrialized and heavily-populated area in the Po Valley. We report here a brief description
22 of the experimental setup and provide relevant references for further details.

23

24 **3.1 Particulate matter bulk composition and number size distribution, and** 25 **gas-phase composition**

26 At ground level, $\text{PM}_{2.5}$ and PM_1 (EN-14907) samples were gravimetrically collected using the
27 FAI-Hydra dual channel Low-Volume-Sampler (LVS; $2.3 \text{ m}^3 \text{ h}^{-1}$, 24 hours of sampling time,
28 PTFE filters for PM_1 , ore-fired Quartz fibre filters for $\text{PM}_{2.5}$, $\varnothing=47 \text{ mm}$), while the aerosol
29 number-size distribution was constantly monitored using an Optical Particle Counter (OPC;
30 Grimm 1.107 "Environcheck", 31 class-sizes ranging from $0.25 \mu\text{m}$ to $32 \mu\text{m}$). Further details
31 are given in Ferrero et al. (2014).

1 The aerosol chemistry was assessed on PM_{2.5} samples for the ionic fraction, EC and OC,
2 respectively. For the purpose of ions' analysis, PM_{2.5} samples were extracted in 3 mL of
3 ultrapure water (Milli-Q[®]; 18.2 MΩ·cm) for 20 minutes using an ultrasonic bath (SONICA,
4 Soltec, Italy). The obtained solutions were then analysed using a coupled ion chromatography
5 system consisting of: 1) a Dionex ICS-90 (CS12A-5 Analytical column) with an isocratic
6 elution of methanesulfonic acid (20 mM; 0.5 mL/min) whose signal was suppressed by means
7 of tetrabutylammonium hydroxide (0.1 M; CMMS III 4 mm MicroMembrane Suppressor) for
8 cations (Na⁺, K⁺, Ca⁺⁺, Mg⁺⁺, NH₄⁺) and, 2) a Dionex ICS-2000 (AS14A-5 analytical
9 columns) with an isocratic solution of Na₂CO₃/NaHCO₃ (8.0 mM/1.0 mM; 1 mL/min) whose
10 signal was suppressed by means of sulphuric acid (0.05 M; AMMS III 2 mm MicroMembrane
11 Suppressor) for anions (F⁻, Cl⁻, NO₃⁻, SO₄²⁻).
12 EC and OC were determined in PM_{2.5} using the Thermal Optical Transmission method (TOT,
13 Sunset Laboratory inc.; NIOSH 5040 procedure,
14 <http://www.cdc.gov/niosh/nmam/pdfs/5040f3.pdf>). The organic matter (OM) fraction was
15 then estimated from OC using a coefficient to account for the presence of hetero-atoms (H, O,
16 N, etc.). Following the work of Turpin and Lim (2001), the chosen factor was 1.6 for the
17 urban Torre Sarca site.
18 Finally, meteorological and gas-phase (NO_x, O₃) observations at ground-level were taken
19 from the weather and monitoring stations operated in Milan by the local regional
20 environmental protection agency (ARPA Lombardia).

21

22 3.2 Size-segregated aerosol composition

23 From July 14 (8:00 local time LT) to 18 (8:00 LT), July 2007, size segregated daytime (8:00
24 to 21:00 LT) and night-time (21:00 to 8:00 LT) aerosol samples were collected by means of a
25 five-stage Berner impactor (LPI 80/0.05) with 50% size cut at 0.05, 0.14, 0.42, 1.2, 3.5 and 10
26 μm aerodynamic diameter. Substrates were off-line analyzed for the determination of the
27 carbonaceous - water soluble organic (WSOC) and water insoluble (WINC) carbon - and
28 soluble inorganic components (NH₄⁺, Na⁺, K⁺, Ca²⁺, Mg²⁺, Cl⁻, NO₃⁻, SO₄²⁻). Mass-to-carbon
29 ratios of 1.8 and 1.2 were used to convert WSOC to the corresponding mass, WSOM (water-
30 soluble organic matter) and WINC to WINCM (water-insoluble carbonaceous matter),
31 respectively. A complete description of the sampling and analytical methods adopted is

1 | reported in Carbone et al. (2010) and references therein. In the analysis presented here, we ~~use~~
2 | only used the total mass of aerosol components (sum over size bins).

3

4 **3.3 Lidar-ceilometer profiles**

5 Lidar-ceilometers (called Lidar for brevity in the manuscript) operate on the same physical
6 basis of more complex research-type lidars, but are compact systems, generally with a lower
7 laser energy power, capable of operating 24 hours per day, unattended and in all weather
8 conditions. Initially developed for cloud-base determination, the technology of these system is
9 now mature enough to represent a very convenient and widely used tool for the operational
10 monitoring of the atmospheric aerosol and of relevant meteorological parameters (e.g.
11 Haeffelin et al., 2012).

12 A lidar-ceilometer (Vaisala LD-40) operating at 855 nm collected aerosol profiles at the
13 | Milan Torre Sarca site in the ~~period~~-January 2007-February 2008 period. The system was
14 switched on during selected dates (and mostly when meteorological conditions allowed the
15 contemporary launch of balloon-borne aerosol instruments, Ferrero et al. 2010), collecting a
16 | database of more than 200 days of measurements. During the selected dates, the Lidar-
17 ceilometer operated 24 hours per day, collecting aerosol profiles every 15 seconds that were
18 afterwards averaged over 15 minutes to achieve a better signal-to-noise ratio. Due to the
19 instrumental limitations, the lowest altitude the system can observe is about 60 m. After the
20 background noise is subtracted from the collected backscattered signal, the range-corrected
21 signal (RCS, i.e., the signal S times the square of the system-to-target distance R) is derived to
22 extract information on the aerosol vertical distribution. More details on the system and
23 measurements capabilities can be found in Angelini et al. (2009) and Di Giuseppe et al.
24 (2012).

25

26

1 4 WRF/Chem model

2 4.1 Description and Setup

3 The ~~version~~ 3.4.1 version of the Weather Research and Forecasting model with Chemistry
4 (WRF/Chem), with some updates, is used in order to interpret the observed concentrations of
5 aerosol and its composition at surface and along vertical profile of PBL. WRF/Chem is a
6 coupled on-line model where meteorological and chemical processes are fully consistent
7 (Grell et al., 2005).

8 The model is configured with two 1-way nested domains centred on Northern Italy (Po
9 Valley). The mother domain covers Western Europe with 131×95 cells at a horizontal
10 resolution of 30 km, the nested domain covers Northern Italy with 109×91 cells at a
11 resolution of 10 km. The vertical grid is made of 33 eta levels up to 50 hPa, with first five
12 levels centred approximately at 12, 36, 64, 100 and 140 m above the ground and 12 levels
13 below 1 km.

14 The physical and chemical parameterizations used are the same for the two domains, and are
15 listed in Table 1~~Table 1~~. These include the Rapid Radiative Transfer Model for short and long
16 wave radiation (Iacono et al., 2008), the Mellor-Yamada Nakanishi-Niino boundary layer
17 parameterization (Nakanishi and Niino, 2006), the Noah Land Surface Model (Chen and
18 Dudhia, 2001), the Morrison cloud microphysics scheme (Morrison et al., 2009), and the
19 Grell 3D ensemble cumulus scheme, which is an update version of the Grell-Devenyi scheme
20 (Grell and Devenyi, 2002). Cumulus clouds feedback with radiation is activated.

21 The gas-phase chemistry is simulated with an updated version of the Regional Atmospheric
22 Chemistry Mechanism (RACM) that includes a wide range of chemical and photolytic
23 reactions for organic and inorganic species (Stockwell et al., 1997). Aerosol parameterization
24 adopted is the Modal Aerosol Dynamic for Europe (Ackermann et al., 1998) that uses three
25 overlapping lognormal modes for Aitken, accumulation and coarse particles. Thermodynamic
26 equilibrium for inorganic species is calculated ~~with-using~~ the RPMARES-MAR-A module
27 (Saxena et al., 1986; Binkowski and Roselle, 2003). The Secondary Organic Aerosol (SOA)
28 production is calculated using the Volatility Basis Set (VBS) scheme implemented in
29 WRF/Chem by Ahmadov et al. (2012), which include the oxidation of anthropogenic and
30 biogenic VOC currently believed to be important for SOA production (alkanes, alkenes,
31 xylenes, aromatics, isoprene, monoterpenes, and sesquiterpenes). To our knowledge, this

1 study is the first application over Europe of this new parameterization for SOA yield ~~with-by~~
2 means of WRF/Chem. Photolysis rates are estimated with the Fast-J scheme (Wild et al.,
3 2000). The dry deposition flux is simulated with the scheme ~~of-by~~ Wesely et al. (1989), and
4 the dry deposition velocity of organic vapours is assumed to be the 25% that of nitric acid
5 (HNO₃). Cloud chemistry in convective updraft is parameterized following Walcek and
6 Taylor (1986). Wet deposition ~~by-due to~~ convective and large scale precipitation is also
7 included in our simulations. The aerosol optical properties are calculated online with the
8 package ~~of-by~~ Barnard et al. (2010), using the volume average internal mixing assumption.
9 We included the direct effect of aerosol on radiation, but ~~we-switch-off~~ the indirect aerosol
10 effects on clouds ~~were switched of since, a this~~ function ~~that~~ is still under testing with the
11 SOA VBS scheme (Tuccella et al., manuscript ~~in-preparation~~ submitted to Geoscientific
12 Model Development Discussion).

13 In order to ~~help-enhance~~ the understanding of the influence of the upper-levels processes on
14 the pollutant budget at surface, we use the diagnostic of the tendency terms in the continuity
15 equation for chemical species following Wong et al. (2009). We extended the original
16 module, which included only some gas-phase compounds, ~~also-to~~ include aerosol species and
17 processes as well. Diagnosed terms are: emission, horizontal and vertical advection,
18 photochemistry (gases and aerosols), vertical mixing plus dry deposition (these cannot be
19 separated in the WRF/Chem implementation), convective transport, aqueous chemistry, and
20 wet deposition.

21 We have simulated the period from the ~~25~~-June 25 to the ~~18~~-July 18, 2007, ~~and-discarding~~
22 the first 10 days as spin up. Simulation on the mother domain uses initial and boundary
23 meteorological conditions provided by the National Center for Environmental Prediction
24 (NCEP) 6-hourly analyses, having ~~a~~ horizontal resolution of 1° × 1°. For the mother domain,
25 chemical boundary conditions are provided with WRF/Chem default idealized vertical
26 profiles, representative of Northern hemispheric, mid-latitude and clean environmental
27 conditions (McKeen et al., 2002; Grell et al., 2005; Tuccella et al., 2012), while boundary
28 conditions to the nested domain are provided by the mother domain. The simulations are
29 carried out at 24 hours time-slots, starting at 12:00 UTC of each day and then run for 30
30 hours, with the first 6 hours considered as model spin-up. Chemical fields are restarted from
31 previous runs.

1 4.2 Emissions

2 Total annual 2007 anthropogenic emissions of nitrogen oxides (NO_x), carbon monoxide (CO),
3 sulphur oxides (SO_x), ammonia (NH₃), Non-Methane Volatile Organic Compounds
4 (NMVOC), unspesiated particulate matter (PM_{2.5} and coarse PM), primary organic carbon
5 (OC), and elemental carbon (EC) are taken from the Netherlands Organization for Applied
6 Scientific Research (TNO) database (Kuenen et al., 2014). Annual TNO anthropogenic
7 emissions consist of gridded data from ten source types (SNAP sectors) with horizontal
8 resolution of 1/16° latitude by 1/8° longitude (about 7 × 7 km²).

9 TNO emissions are adapted to WRF/Chem following the methodology used by Tuccella et al.
10 (2012), with minor changes derived from the second phase of the Air Quality Modelling
11 Evaluation International Initiative (AQMEII) (Alapaty et al., 2012, [Im et al., 2014a,b](#)).

12 Biogenic emissions are calculated online using the Model of Emissions of Gases and Aerosols
13 from Nature (MEGAN) (Guenther et al., 2006). Sea salt flux is calculated online, while dust
14 source is not included.

15

16

17 5 Results

18 5.1 Preliminary analysis of the observations

19 In Figure 1 ~~we show~~ time-series of ground-based meteorological and physical-chemical
20 observations performed in Milan in ~~the period 5-20 July~~ 5-20, 2007 period are shown. The
21 large scale circulation is illustrated in Figure S1, while the evolution of cloud cover over
22 Northern Italy is illustrated by MODIS-Aqua true colour images in Figure S2. The period
23 starts with a low-pressure system over Germany, rapidly moving Eastward, and allowing a
24 pressure increase over Northern Italy from ~~5 to 8 of July~~ 5 to 8, associated with fair weather
25 and sparse clouds. From ~~9 to 11 July~~ 9 to 11, a North Atlantic low-pressure system induces a
26 significant increase of cloud cover over Milan with light rain on July 10. From July 12, a wide
27 anticyclonic system forms over the Western Mediterranean, warranting clear sky and stable
28 conditions until July 20 and ~~beyond later~~. Maximum daily temperature is around 30°C before
29 the Atlantic perturbation, then it increases steadily (from 25° to 35° C) at a rate of ~ 2 °/day
30 from 11 to 15 as the high-pressure system settles. Humidity is high at night (above 70%) on

1 the days following the low-pressure passage, then the atmosphere gradually dries out under
2 the anticyclone.

3 | During the period preceding the Atlantic perturbation (~~5-8~~ July 5-8, 2007), wind is
4 | prevalently westerly daytime, forced by the large scale circulation, with wind speed around
5 | 2.5-3 m/s. Wind is slowed down to less than 1 m/s at night, because the downward transport
6 | of momentum toward the surface is inhibited by the nighttime vertical stratification (Stull,
7 | 1988; Whiteman, 1990). Wind speed increases up to 5 m/s at the passage of the low-pressure
8 | system (~~9-11~~ July 9-11, 2007), and stays above 2 m/s also nighttime. From July 11, when the
9 | high-pressure over the Mediterranean begins to settle, the wind field adjusts to a typical
10 | mountain-valley breeze regime (Whiteman, 1990). Starting from midnight, the slow (~ 1 m/s)
11 | northerly flow gradually accelerates and rotates clockwise, reaching peak speeds of ~ 3 m/s in
12 | the afternoon at south-westerly direction, then gradually slows down and return northerly.
13 | This wind pattern favours conditions of stagnation and recirculation of air within the valley,
14 | allowing the build-up of pollutants from a day to the next. Figure S3 shows the simple
15 | stagnation and recirculation indices proposed by Allwine and Whiteman (1994) and confirms
16 | that the only ventilated period is that of the Atlantic perturbation.

17 | The passage of the Atlantic low-pressure system on ~~9-10~~ July 9-10 marks a sort of “restart”
18 | for the atmospheric composition at ground level. Indeed, relatively longer lived (few days)
19 | chemical species, such as ozone and PM, first accumulate during the days preceding the
20 | perturbation, then are suppressed in perturbed weather, and finally re-accumulate afterwards
21 | (~~Figure 1~~ Figure 4 c,d). Outside the perturbed period, ozone and nitrogen oxides (NO_x) follow
22 | a daily cycle typical of that observed in many urban areas (Mavroidis and Iliá, 2012, and
23 | references therein). The primary pollutant nitric oxide (NO) displays a sharp peak during
24 | morning rush hours (between 6 and 9 Local Solar Time), then gradually decreases during the
25 | day. It displays a secondary small peak during evening rush hours (20-22 LST), then ~~stays~~
26 | remains at low values until the following morning. Nitrogen dioxide (NO₂) is mainly
27 | originated from the oxidation of NO by ozone and peroxy radicals (Jenkin and Clemitshaw,
28 | 2000), and displays peaks delayed by ~ 1 hour with respect to those of NO. It shows a plateau
29 | between the morning and the evening peak, because concentrations are sustained daytime by
30 | photochemistry. The photolysis of NO₂ is the main tropospheric source of atomic oxygen (O)
31 | that readily reacts with molecular oxygen (O₂) to produce ozone. Indeed, during daylight

1 hours, NO, NO₂ and O₃ equilibrate on the so called “photostationary equilibrium” on time
2 scales of minutes (Clapp and Jenkin, 2001).

3 Ozone is depleted during the morning rush hours by reaction with NO, then it is
4 photochemically formed during the day and peaks during late afternoon (14-16 LST), and
5 thereafter gradually decreases to lower nighttime levels. In fair weather, the daily cycle of
6 ozone and NO_x is regulated by the solar radiation, the dilution of fresh emissions from the
7 surface in the growing daytime PBL, the vertical mixing with air entrained from the residual
8 layer and the free troposphere above the PBL, and the dry deposition at the surface. Past
9 studies pointed out that the entrainment from ozone-rich residual layer may be as important as
10 the photochemical production in the PBL during pollution events even in urban atmospheres
11 (e.g. Zhang and Rao, 1999). In the present case, the build-up of ozone in the days following
12 the perturbation is evident, but it is difficult to discern the relative role played by the local
13 photochemical production and by the vertical mixing on the ozone trend observed at the
14 surface.

15 Accumulation and cleansing of the atmosphere near the surface is even more evident from
16 aerosol time-series (Figure 1 d-g). PM_{2.5} and PM₁ follow a similar trend, while
17 PM₁₀ often show a different behaviour, pointing out the presence of additional sources to the
18 coarse fraction, most probably the erosion and resuspension of soil material by vehicles and
19 wind. The aerosol mass is shown to build up before the Atlantic perturbation (PM₁₀ around
20 20-30 µg/m³) and to abruptly decrease (PM₁₀ below 10 µg/m³) during the low-pressure
21 system passage (probably because of a combination of enhanced ventilation, wet deposition
22 processes, and soil erosion inhibited by increased soil moisture). Afterwards, PM
23 concentration keep increasing after the low-pressure passage (maximum PM₁₀ values of
24 more than 60 µg/m³ reached on July 18-19). Daily cycle of the fine aerosol mass (PM_{2.5} and
25 PM₁) displays similarities with that of NO, in particular a similar morning peak, indicating
26 the important role played by primary emissions. This is confirmed by the analysis of aerosol
27 speciation (Figure 1 e), which shows high values of elemental carbon (EC, 2-4
28 µg/m³) and insoluble carbonaceous matter (WINCM, 2-10 µg/m³). The latter makes, on
29 average, 40-50% of the PM₁ mass (Carbone et al., 2010). Major secondary species are
30 inorganic ions (sulfate, nitrate, and ammonium) and part of the organic matter, which may be
31 associate with its water soluble fraction (WSOM, Carbone et al., 2010). Similarly to ozone,
32 secondary aerosol accumulates during the days preceding and following the perturbation.

1 Cleansing of the atmosphere after the perturbation and subsequent recover of the aerosol load
2 is also clearly visible in the number concentration timeseries. At the passage of the
3 perturbation, aerosol number rapidly decreases by more than an order of magnitude at all
4 observed size ranges, then returns to the pre-perturbation levels on a time scale of about two
5 days. We note, however, differences in the aerosol regime before and after the perturbation.
6 Before the cleansing, the aerosol size distribution is locked to a fixed shape, with no or little
7 daily variability. Conversely, in the stable conditions of July 12-19, it displays a clear daily
8 cycle with a growth towards larger sizes in daytime, and a return to narrower distributions
9 nighttime.

10 As mentioned in sec. 3.3, Lidar observations are only available in the days following the
11 perturbation and give useful indications on the aerosol vertically-resolved infra- and inter-
12 diurnal variability (e.g. Angelini et al., 2009). During the morning hours, a layer of aerosol is
13 formed under the growing boundary layer. There, fresh emissions from the surface are diluted
14 and mixed vertically in the PBL. Throughout the period, but especially on some days such as
15 in the mornings of ~~13 and 15~~ July 13 and 15, an enhanced layer of aerosol is visible in the
16 upper levels near the top of the PBL. Aerosol is subsequently partly removed in the second
17 half of the day by the mountain breeze, while a residual layer with relatively high aerosol
18 content may survive above the nocturnal PBL (e.g. on July 13, 15, and 16~~July~~). This layer
19 may potentially be entrained the following morning into the PBL and contribute to the surface
20 aerosol budget. On the last days displayed in Figure 1, a further aerosol layer between 2 and 3
21 km appears in the Lidar signal. As indicated by increased coarse fraction AOD at Modena
22 AERONET station (Figure S4) and model backtrajectories (Figure S5), it is a Saharan dust
23 incursion which is probably entrained at ground level, as indicated by the enhancement of
24 PM10 levels on days ~~of July 18-19~~ of July 18-19. Since Saharan dust intrusions are not modelled
25 here, these days are excluded from the analysis.

26 From the measurements ~~we reported here,~~ at least a few some questions, ~~emerged~~ which we
27 address in what follows, emerge:

- 28 1. What is the composition of the aerosol layer formed during the day in the upper PBL?
- 29 2. How much of the aerosol burden measured at the ground is due to localized processes and
30 how much is conversely due to processes occurring in the upper PBL and to the
31 subsequent mixing in the lowermost levels? In other words, how important is the interplay

1 between surface and upper layers in shaping the aerosol mass we measure near the
2 ground?

3 3. How much may the residual layer above the PBL ~~may~~ contribute to the aerosol budget at
4 ground level the next day?

5 We attempted to provide answers to these questions using simulations with the WRF/Chem
6 model and relevant comparison with the observational dataset.

7 **5.2 Model verification against available observations**

8 Before drawing conclusions on the scientific questions outlined at the end of the previous
9 section, we verified our model simulations against the dataset of observations depicted in
10 ~~Figure 1~~ Figure 4 and ~~We onnly~~ displayed results only for the nested domain over Northern
11 Italy, ~~and using~~ statistical indices defined in Appendix ~~AB~~ as a guidance to quantify model
12 biases.

13 In ~~Figure 2~~ Figure 2 we compared d observed and simulated meteorological variables at ground
14 level in Milan for the period July 5-17, July 2007. The temperature is underestimated by
15 about 2.5°C, which is probably due to not well resolved dynamics and heat fluxes in the urban
16 boundary layer. The overestimation of relative humidity of about 10% is mostly attributable
17 to the underestimation of temperature. Wind speed is overestimated by 0.8 m/s and has a
18 relatively low correlation of 0.29 with observations, thus fitting to typical characteristics of
19 current mesoscale models (e.g Misenis and Zhang, 2010). The simulated wind speed is also
20 more variable than that observed as denoted by the RMSE of 1.7 m/s. The wind direction is
21 generally captured well, in particular the mountain-valley cycles after the passage of the
22 perturbation of July 9.

23 In ~~Figure 3~~ Figure 3 we show comparison of gas-phase observations and simulation near the
24 ground. The daily cycle of NO is reproduced quite well ($r = 0.52$), the timings of the morning
25 peak and the subsequent decrease are captured by the model. The magnitude of the morning
26 peak does not show a tendency neither to underestimation nor to overestimation, while NO
27 values for the rest of the day are underestimated, resulting in a bias of -4.1 ppb (-60%). The
28 model is also able to capture the basic features of the NO₂ daily cycle, i.e. the morning and
29 evening peaks and the minimum at night. However, values are generally underestimated (bias
30 of -8.3 ppb or -34%) and the trend on weekly time scale display much less variability than
31 that observed. Ozone display a very low systematic bias (-2.3 ppb), but less variability than

1 observations (RMSE of 11.3 ppb), and a correlation of 0.65. The timing of the daily cycle is
2 captured well, with a maximum in the afternoon, a secondary peak around midnight, and a
3 minimum during the morning rush hour.

4 In ~~Figure 4~~ ~~Figure 4~~ we compare PM10 and PM2.5 simulated mass to hourly observations at
5 ground. The PM10 trend is qualitatively captured by the model, displaying the sharp decrease
6 at the passage of the perturbation on July 10 and the subsequent gradual accumulation in the
7 following days. This leans confidence in the simulated removal and production terms, and the
8 resulting negative bias is ~~small-low~~ (-4 $\mu\text{g}/\text{m}^3$ or -10%). The model also captures some of the
9 characteristics of the daily cycle ($r = 0.57$), however the observed signal is quite irregular, and
10 the model does not reproduce all the variability. The negative bias of PM10 could be partly
11 explained by the missing source from soil dust erosion and resuspension in the model. For
12 PM2.5 the general features of the comparison are similar to PM10, but the model has a
13 positive bias (+4 $\mu\text{g}/\text{m}^3$ or +70%), mostly attributable to few spurious peaks in the simulation.
14 The overestimation of PM2.5 partly compensates and masks the underestimation of coarse
15 particles (PM2.5-10). The comparison of the simulated number size distribution against that
16 observed with the OPC (not shown) suggests that the high bias of PM2.5 is attributable to
17 aerosol in the size range 0.5-1 μm .

18 In ~~Figure 5~~ ~~Figure 5~~ we show the comparison of simulated PM2.5 composition with daily and
19 bi-daily samplings near the ground. In the period precedent to the perturbation (July 5-9), the
20 model underestimates the magnitude of the observed peak of sulfate and ammonium, but it
21 reproduces ~~well the~~ subsequent “restart” and recovery well. Observed nitrate displays a
22 relatively smooth trend, with a slight decrease at the passage of the perturbation and almost
23 constant levels during the rest of the period. Modelled nitrate has a much more variable
24 behaviour, which ~~looks like~~ seems to be characterized by sudden and irregular pulses. The bi-
25 daily observations indeed suggest that the daily average observation masks much of the
26 underlying variability associated to nitrate. Recently reported hourly measurements of PM
27 composition in the Po Valley indeed confirm the same “pulsed” behaviour of nitrate near the
28 ground, with values near zero during daytime, and irregular peaks at nighttime (Decesari et
29 al., 2014). This highlights the inherent difficulties in simulating the nitrate concentrations at
30 sub-daily frequency. Elemental carbon, being primary and almost hydrophobic, is largely
31 unaffected by the perturbation. This feature is captured by the model, but EC values are
32 underestimated by a factor of two, probably due to underestimated emissions. Interestingly,

1 the bi-daily observations of WINCM (EC plus primary insoluble organic material) display a
2 large diurnal cycle (maximum at night and minimum during the day) which is not captured by
3 the model. Organic carbon trend and magnitude is reproduced quite well, with the exception
4 of a large spurious peak on July 8-9 not seen in the observations. The peak is associated with
5 secondary organic aerosol (not shown). The bi-daily observations of soluble organic material
6 (WSOM) do not show the strong daily cycle of primary carbonaceous matter, and confirm a
7 tendency of the model at overestimating the SOA fraction.

8 In ~~Figure 6~~ Figure 6 we qualitatively compare the Lidar profiles with the simulated PM2.5
9 profiles. A quantitative comparison would require the calculation of optical properties of
10 simulated PM2.5 and subsequent solution of the Lidar equation (Hodzic et al., 2004).
11 However, in first approximation Lidar signal may be associated to PM2.5 mass. The model
12 captures some of the basic features of the previously described aerosol profile cycle observed
13 in this period (sec. 5.1). Every morning a plume of fresh aerosol detaches from the ground
14 and follows/traces the growing boundary layer until its maximum extension in the central part
15 of the day. ~~Then, in the evening, the mountain-valley breeze cleans the lower PBL, often~~
16 ~~leaving an upper air aerosol residual layer above the PBL. When the residual layer survives~~
17 ~~the night, it might be entrained down to the ground on the subsequent morning. This is visible~~
18 ~~e.g. on July 16-17, in both observations and simulations. Then, in the afternoon, the~~
19 ~~mountain-valley breeze cleans the lower PBL (note the abrupt abatement of both the Lidar~~
20 ~~and the model aerosol signals in the second part of the day), often leaving an upper air aerosol~~
21 ~~residual layer above. Model simulations also reproduce such residual layers (note the~~
22 ~~afternoon increase of PM2.5 values in the upper levels, particularly visible on July 15-16).~~
23 ~~When such residual layers persist overnight, the Lidar shows these to entrain into the~~
24 ~~developing PBL the day after (note the merging of the upper level aerosol layers with the~~
25 ~~growing, aerosol-traced PBL in Figure 6a, particularly evident in the morning of July 14 and~~
26 ~~15). There are also hints of the same features in model simulations.~~

27

28 **5.3 Insights into the budget of aerosol vertical profile over Milan**

29 The “chemical restart” caused by the passage of the perturbation on July 9-10, and the
30 following settling of an almost periodic circulation pattern, naturally creates favourable
31 conditions for a study of the processes yielding aerosol production and accumulation in the

1 | area of Milan. ~~We thus~~Our analysis shall now focus ~~our analysis~~ on the days ~~that following~~
2 | the perturbation (July 12-17).

3 | Using model output, we firstly examined the composition of the aerosol layers noted in the
4 | Lidar profiles of ~~Figure 6~~Figure 6. In ~~Figure 7~~Figure 7, we show the composition of PM_{2.5}
5 | simulated over Milan. The model predicts a major role played by the primary fraction
6 | (~~unspeciated anthropogenic, black carbon, and primary organic carbon~~inorganic and organic),
7 | which is largely responsible for the two rush hours peaks (morning and evening) and the bulk
8 | of aerosol mass in the PBL. Fresh emissions are mostly concentrated near the ground and
9 | turbulent transport dilutes them in the PBL during the day. A relatively small fraction (~ 30%)
10 | of primary aerosol remains above the PBL overnight and contributes to the upper aerosol
11 | layers seen by the Lidar.

12 | The sum of secondary species contributes 40-60% of the aerosol mass in the PBL, but with
13 | remarkable differences in the vertical distribution of single components. Sulfate and
14 | Secondary Organic Aerosol (SOA) start to form and dilute under the PBL a few hours after
15 | ~~the~~ sunrise, contributing in a relatively homogeneous way to the aerosol column in the PBL.
16 | Anthropogenic SOA (ASOA) contributes more than biogenic SOA (BSOA) to the SOA
17 | budget. The concentration of those secondary species are similar also above the PBL, thus
18 | significantly contributing to the upper aerosol layers. ASOA are slightly more persistent than
19 | BSOA and sulfate in the free troposphere.

20 | Nitrate displays a peculiar profile, with enhanced concentrations in the upper part of the PBL
21 | formed during the central part of the day. These concentrations may largely exceed those
22 | found near the ground (i.e. on July 13, 16, 17). Moreover, nitrate is predicted to be the major
23 | secondary species contributing to the formation of the residual aerosol layers above the PBL.
24 | Enhanced upper level concentrations of nitrate into PM₁ were also reported at Monte Cimone
25 | (a mountain peak of 2160 m at the southern border of the Po Valley) by Carbone et al. (2010,
26 | 2014).

27 | In ~~Figure 8~~Figure 8 we show the maps of simulated sulfate and nitrate over the Po Valley on
28 | ~~13~~July 13, 2007 at 16 LST at the surface and at 750 m height. It can be seen that the main
29 | features of the composition of the aerosol profile outlined above are not peculiar of the Milan
30 | area, but are suggested to be representative of the larger area of the Po Valley.

31 | In order to better understand the processes underlying the predicted characteristics of the
32 | aerosol over Milan, we ~~look at~~analysed the terms of the continuity equation for chemical

1 species. Budget terms considered are horizontal and vertical advection, chemistry, turbulent
2 mixing and dry deposition, emission. Terms related to cloud processes (convection, aqueous
3 chemistry, wet deposition) make a very small contribution in the dry period under
4 investigation and will not be shown to improve ~~the~~ figure's clarity. In ~~Figure 9~~Figure 9 we
5 show the vertical profile of the budget terms for sulfate and nitrate at 16 local time of July 13
6 over Milan. For sulfate, the dominant terms are those related to advection, indicating the
7 presence of spatially distributed sources and a relatively long lifetime, making it a regional
8 scale pollutant. Locally, sulfate is both directly emitted and produced by secondary pathways
9 throughout the PBL. Turbulent mixing distributes it vertically in the PBL and dry deposition
10 removes it from the atmosphere near the ground, determining an almost homogeneous sulfate
11 profile in the PBL. Conversely, nitrate has relatively low contribution from advection, while
12 the largest terms are chemistry and vertical mixing. In the simulation, nitrate is produced only
13 in the upper half of the PBL and destroyed in the lower half. The vertical transition between
14 the nitrate destruction and production zone is quite sharp. Turbulent mixing is nearly in
15 equilibrium with chemical production, indicating that model simulates a very rapid
16 adjustment to the thermodynamic equilibrium for the sulfate-nitrate-ammonium system. This
17 results in nitrate concentrations higher in the upper part of the PBL ~~with respect~~compared to
18 the lower part.

19 Similarly to nitrate, ~~also~~-SOA also displays an enhanced net chemical production in the upper
20 part of the PBL and destruction in the lower part (~~Figure 10~~Figure 10), but since the chemical
21 and vertical mixing terms are of the same order of the advection terms the resulting vertical
22 profile is almost constant with height, similar to that of sulfate.

23 Further insights into the simulated sharp transition to an environment favourable to nitrate
24 formation in the upper part of the PBL, is investigated by means of several model sensitivity
25 tests as outlined in ~~Table 2~~Table 2. In ~~Figure 10~~Figure 10 we first look at the gas phase
26 precursor of nitrate, nitric acid (HNO_3). The left panel shows the vertical profile of the budget
27 terms for HNO_3 at the same instant of ~~Figure 9~~Figure 9. The chemical and vertical mixing
28 terms mirror those of particulate nitrate, resulting in a decreasing concentration profile with
29 height. The right panel of Figure 9 shows the budget profile from a sensitivity simulation
30 where aerosol chemistry is switched off (AERO, see ~~Table 2~~Table 2). The chemistry and
31 vertical mixing terms are greatly reduced and are the same order of magnitude of advective

1 terms, indicating that the sharp gradients in net chemical production of HNO_3 (and nitrate) are
2 dominated by aerosol processes, and not by gas-phase processes.

3 In ~~Figure 12~~~~Figure 12~~ we provide further elements to evaluate the simulated particulate
4 nitrate thermodynamics. Ambient relative humidity increases with height in the PBL, from a
5 minimum of ~50% near the ground to a maximum of ~80% at an altitude of 1000 m (~400 m
6 below the PBL top). The nitrate chemical production term shown in ~~Figure 9~~~~Figure 9~~ is
7 reported for ease of comparison, and displays the already noted peak between 500 and 1000
8 m. The sulfate ratio (ratio of total ammonia and sulfate) is well above the threshold of 2 along
9 the profiles (not shown), thus suitable for particulate nitrate formation (Seinfeld and Pandis,
10 2006). The profile of equilibrium constants for both the aqueous and solid nitrate increase
11 with height, in response to a decreasing temperature profile (not shown), indicating that
12 conversion of nitric acid to particulate is favoured with increasing height. However, no sharp
13 transitions, correlated to the nitrate net chemical term, can be noticed in the profiles of those
14 equilibrium constants.

15 The profile of ammonium nitrate's deliquescence relative humidity (DRH) helps disclosing
16 the possible reason for such a transition. At ground level, ambient RH is well below the
17 ammonium nitrate DRH, indicating an environment thermodynamically favourable only to
18 the solid form of nitrate. However, since the RH gradient with height is steeper than that of
19 DRH, the two curves intersect at an altitude of ~500 m, and then again at ~1300 m, because of
20 the RH decrease near the PBL top. Ambient RH is thus higher than ammonium nitrate DRH
21 in the same altitude range (~500-1000 m) where the nitrate net chemical production peaks.
22 This indicates that, over Milan and in the period under consideration, the nitrate chemical
23 production is dominated by aqueous conversion of nitric acid to nitrate ion, condition that is
24 reached only in the upper part of the PBL, where RH levels are high enough to sustain the
25 formation of an aqueous solution containing nitrate. Although the real multicomponent DRH
26 point will differ from that of pure nitrate, it is known that the DRH of mixtures is always
27 lower than that of pure salts (Seinfeld and Pandis, 2006). The thickness of the layer
28 favourable to aqueous nitrate formation deducible from Figure 12 may thus be regarded as a
29 conservative lower estimate. During daytime, the nitrate formed in the upper boundary layer
30 re-evaporates back to the gas phase when brought to the ground by vertical motions, and
31 that's the origin of the inhomogeneous vertical profile of nitrate. For further discussion on

1 [how much the upper aerosol layer contributes to ground PM we point the reader to the next](#)
2 [paragraph.](#)

3 The budget analysis we [have](#) presented so far reveals of a complex interplay between
4 chemical processes and vertical mixing taking place at different altitude ranges. In order to
5 better quantify the impact of chemical production at upper layers on particulate matter at
6 ground level, we perform three tests alternatively switching on/off the chemical process at
7 selected altitude ranges (namely within the lower half of the PBL, the upper half of the PBL
8 and above the PBL, see [Table 2](#)~~Table-2~~). Results are shown in [Figure 13](#)~~Figure-13~~ for PM2.5,
9 and its components sulfate, nitrate and SOA. In the figure, the contribution to the ground
10 PM2.5 of the chemical processes in the different altitude ranges is positive/negative when the
11 associated sensitivity line is below/above the CTRL. For PM2.5, we ~~find~~[have found](#) that
12 chemical process in all regions positively contribute to the ground level concentration. During
13 the first days after the passage of the perturbation, the shutdown of secondary chemical
14 formation makes very little difference, indicating a dominance of primary emissions. As ~~the~~
15 time goes by, secondary processes gain importance, but primary fraction remains the main
16 driver of PM2.5 concentration even after a week. Interestingly, the magnitude of the relative
17 contribution of the different layers (lower PBL, upper PBL, above PBL) to ground level
18 PM2.5 is comparable, and of the order of up to 7-8 $\mu\text{g}/\text{m}^3$ each, on hourly basis. Exceptions
19 are noted on afternoons of July 13 and 16, when a negative contribution from secondary
20 processes in the lower PBL is simulated (note the blue dashed line above the red line). These
21 peaks are associated with the nitrate sink in the lower PBL (see panel c). Sulfate has an
22 identical contribution from lower and upper PBL chemical production, and may also have a
23 very important contribution from the region above the PBL, even higher than processes in the
24 PBL (e.g. on July 17). SOA budget is similar to that of sulfate, but with an enhanced
25 contribution from PBL processes versus those above it. As expected, nitrate displays
26 distinctive features. Chemical production in the lower PBL positively contributes to ground
27 level concentration in the first part of the day, then in the afternoon results in a net
28 destruction. On the other hand, processes in the upper PBL and above PBL always positively
29 contribute to the ground level nitrate concentrations.

30 A further quantitative assessment of the impact of upper aerosol layers on ground
31 concentrations can be estimated combining information in [Figure 14](#)~~Figure-14~~ and [Figure](#)
32 [6](#)~~Figure-6~~. In [Figure 14](#)~~Figure-14~~ we show the time-series of the difference in the simulated

1 PM_{2.5} profile between APBL and CTRL runs. When a residual layer is visible, we may
2 roughly estimate from the figure the related change near the surface on the subsequent
3 morning. We focus ~~the~~our attention on July 17, when the presence of a residual layer is
4 clearly visible. The concentration change (APBL – CTRL) in the residual layer is about 8-10
5 $\mu\text{g}/\text{m}^3$. The following morning the concentration change near the surface is 4-5 $\mu\text{g}/\text{m}^3$, thus
6 we may estimate a 50% sensitivity of ground PM_{2.5} to a change in the residual layer. In Figure
7 6b, we see that on July 17 the PM_{2.5} concentration in residual layer is 20-24 $\mu\text{g}/\text{m}^3$, thus the
8 expected impact on hourly concentrations near the ground is of the order of 10-12 $\mu\text{g}/\text{m}^3$, or
9 about 40% of the PM_{2.5} concentration near the ground. This is the extreme case in the short
10 period analyzed here, but gives a feeling of the potential importance that entrainment of
11 aerosol layers aloft may occasionally have on PM_{2.5} observed near the surface.

12

13 **6 Conclusions**

14 The object of this study is the analysis of the role played by the combination of chemical and
15 dynamical processes occurring throughout and above the PBL in determining the aerosol
16 concentration and composition we observe near the ground. We analyzed the observations of
17 the atmospheric composition during a period of two weeks carried out in Milan (Northern
18 Italy) ~~during~~in July 2007. The period was characterized by the passage of a perturbation that
19 favoured cleansing of the Po Valley, providing a natural “chemical restart”. After the
20 perturbation, stable high-pressure conditions determined the establishment of a nearly
21 repetitive meteorological pattern, driven by a mountain-valley breeze system, that allowed for
22 a gradual re-accumulation of pollutants.

23 Lidar observations after the “chemical restart” revealed intriguing features of the aerosol
24 vertical profile over Milan. Every morning, a plume of fresh emissions from the ground is
25 dispersed in the growing convective boundary layer. In the afternoon, an enhanced aerosol
26 layer appears in the upper part of the PBL, while in the evening the bottom part of the PBL is
27 cleansed by the mountain breeze. A residual aerosol layer may form and survive the night
28 above the PBL, and may be entrained again down to the ground the day after. We investigated
29 how this “vertical” sequence of processes affect the aerosol concentrations observed at ground
30 level.

1 With the help of simulations from the state-of-art online meteorology-chemistry model
2 WRF/Chem we attempted to answer ~~to~~ three main questions suggested by the observations.
3 The questions and the relatived answers are summarized here below:

- 4 • What is the composition of the aerosol layer formed during the day in the upper PBL?

5 Model simulations suggest that 40-60% of the fine aerosol in ~~the~~ Milan's summer
6 PBL is of primary origin, consistently with previous studies (e.g. Carbone et al.,
7 2010). This primary fraction displays a decreasing concentration profile with height in
8 the PBL, since the sources are concentrated near the ground and species are vertically
9 mixed by turbulence. Sulfate and secondary organic aerosol are produced throughout
10 the PBL and have a nearly homogeneous profile there. Nitrate and ammonium have a
11 distinct profile, with enhanced values in the upper PBL, where concentrations may be
12 much higher than those near the ground. The low temperature and the relative
13 humidity above the ammonium nitrate deliquescence point in the upper PBL is
14 ~~predicted-thought~~ to determine this peculiar profile. Nitrate is the major component of
15 the upper PBL aerosol layer, contributing up to 30% of the aerosol mass.

- 16 • How much of the aerosol burden measured at the ground is due to localized processes
17 and how much is conversely due to processes occurring in the upper PBL and to the
18 subsequent mixing in the lowermost levels? In other words, how important is the
19 interplay between surface and upper layers in shaping the aerosol mass we measure
20 near the ground?

21 For PM_{2.5} mass, our calculations indicate that in the upper PBL secondary aerosol are
22 formed and then mixed in the PBL by turbulence. The importance of the secondary
23 fraction increases with the aging of air masses, as shown by the progression of days
24 from the “chemical restart”. A week after the perturbation, secondary PM_{2.5} produced
25 in the upper PBL may contribute up to 7-8 $\mu\text{g}/\text{m}^3$ (or 25%) to ground level hourly
26 concentrations. Sulfate and SOA production is equally shared by bottom and upper
27 PBL, while nitrate is mostly produced in the upper PBL, the bottom PBL acting as a
28 sink during the afternoon.

- 29 • How much may the residual layer above the PBL ~~may~~ contribute to the aerosol budget
30 at ground level the next day?

1 | ~~We-It is~~ calculated that the chemical production above the PBL significantly impacts
2 | aerosol levels near the ground, sometimes overtaking the contribution from the
3 | production term in the PBL (especially for sulfate and SOA). We estimate that the
4 | residual layer above the PBL, which is formed by both primary and secondary species,
5 | may occasionally contribute up to 10-12 $\mu\text{g}/\text{m}^3$ (or 40%) to ground level PM_{2.5} hourly
6 | concentrations during the following morning.

7 | The peculiar features of the vertical profile of aerosol nitrate have already emerged in past
8 | studies. Neuman et al. (2003) reported aircraft observations of increasing nitrate profiles with
9 | height, ~~and attributing these m~~ to the favourable lower temperature in the upper layers, ~~with~~
10 | ~~respect compared~~ to bottom PBL, ~~for due to~~ the conversion of nitric acid to aerosol nitrate. We
11 | confirm their conclusion, and add that a key role in shaping the aerosol nitrate production
12 | profile is played by the relative humidity. In particular, nitrate production may be enhanced
13 | when RH is above the ammonium nitrate deliquescence point.

14 | This study has put ~~the~~ emphasis on some less obvious and recognized aspects of the aerosol
15 | vertical profile budget. Since H it is based on the analysis of a short period of high pressure
16 | conditions in summer over the area of Milan, ~~thus~~ further analyses are recommended for
17 | winter periods and different meteorological and geographical conditions. Moreover, it clearly
18 | ~~reminds-underlines the fact~~ that the interplay between chemical and dynamical processes must
19 | be considered when interpreting atmospheric chemistry observations near the ground, and that
20 | more observational constraints (e.g. profiles of the aerosol composition in and above the PBL)
21 | would certainly be helpful ~~for to achieve~~ a better simulation of those processes.

22
23

1 **Appendix A: definition of statistical indices used in model to observations**
2 **comparison**

3 Let Obs_i and Mod_i be the observed and modeled values at time i , and N the number of
4 observations.

- 5 • The Pearson's Correlation (r):

6
$$r = \frac{1}{N} \sum_{i=1}^N Z_i (Mod) \cdot Z_i (Obs)$$
$$Z(X) = \frac{X - \langle X \rangle}{\sigma_X}$$

7 where X is a generic vector, $Z(X)$ is its standard score, and σ_X is the standard
8 deviation.

- 9 • Bias:

10
$$Bias = \frac{1}{N} \sum_{i=1}^N Mod_i - Obs_i$$

- 11 • Normalized Mean Bias (NMB):

12
$$NMB = \frac{1}{N} \sum_{i=1}^N \frac{Mod_i - Obs_i}{Obs_i} \times 100$$

- 13 • Root Mean Square Error (RMSE):

14
$$RMSE = \sqrt{\frac{1}{N} \sum_{i=1}^N (Mod_i - Obs_i)^2}$$

15

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6

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1 Table 1. Main physical and chemical parameterizations used in WRF/Chem simulations.

7	Process	8	Scheme
9	Short-wave radiation	10	RRTM
11	Long-wave radiation	12	RRTM
13	Surface Layer	14	Monin-Obukov
15	Boundary Layer	16	MYNN
17	Land surface model	18	Noah LSM
19	Cumulus convection	20	Grell scheme G3
21	Cloud microphysics	22	Morrison
23	Gas-phase mechanism	24	RACM-ESRL
25	Aerosol mechanism	26	MADE/SOA-VBS
27	Photolysis	28	Fast-J
29	Cloud chemistry and wet deposition	30	On
31	Biogenic emissions	32	MEGAN
33	Direct aerosol effect	34	On
35	Indirect aerosol effects	36	Off

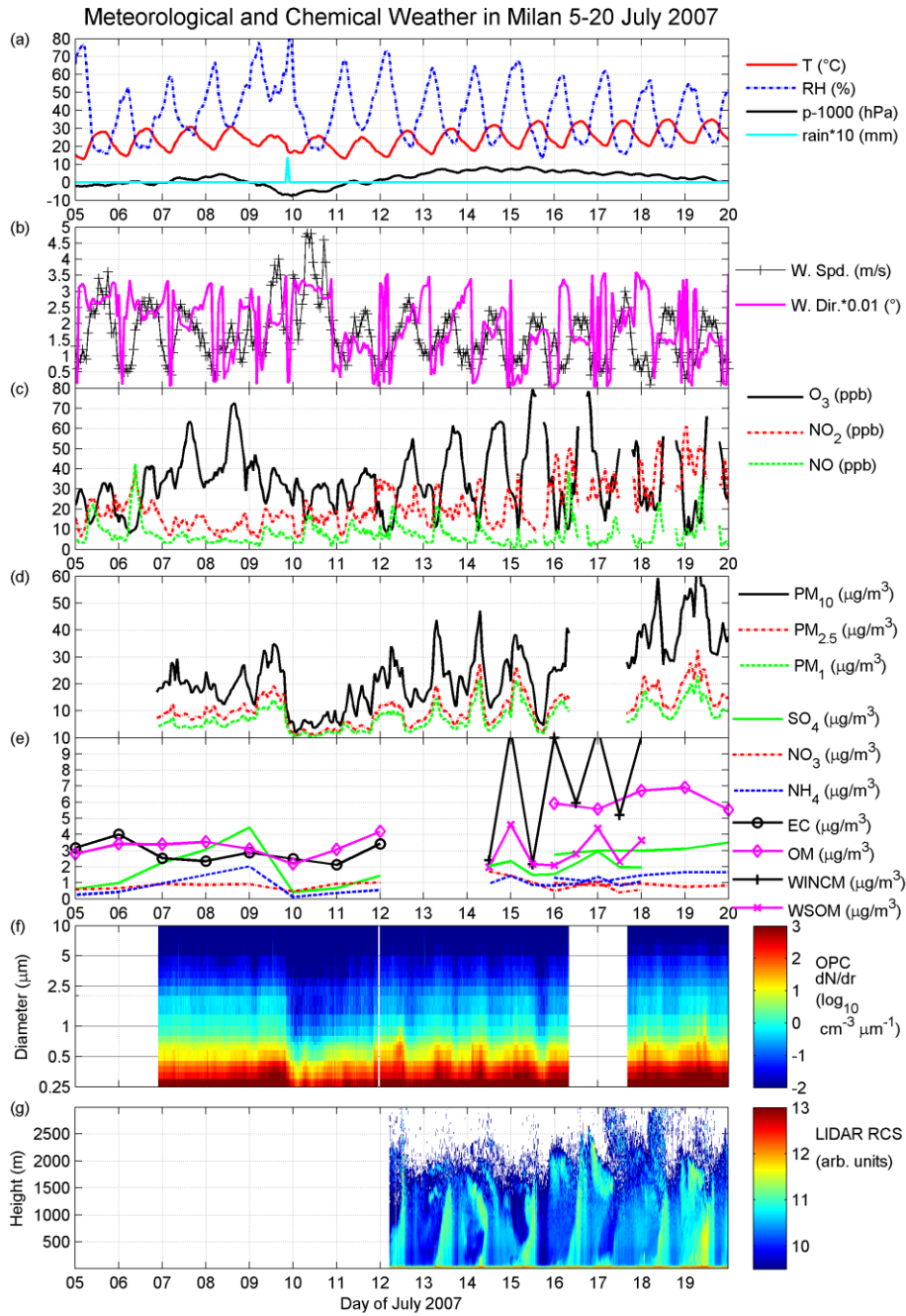
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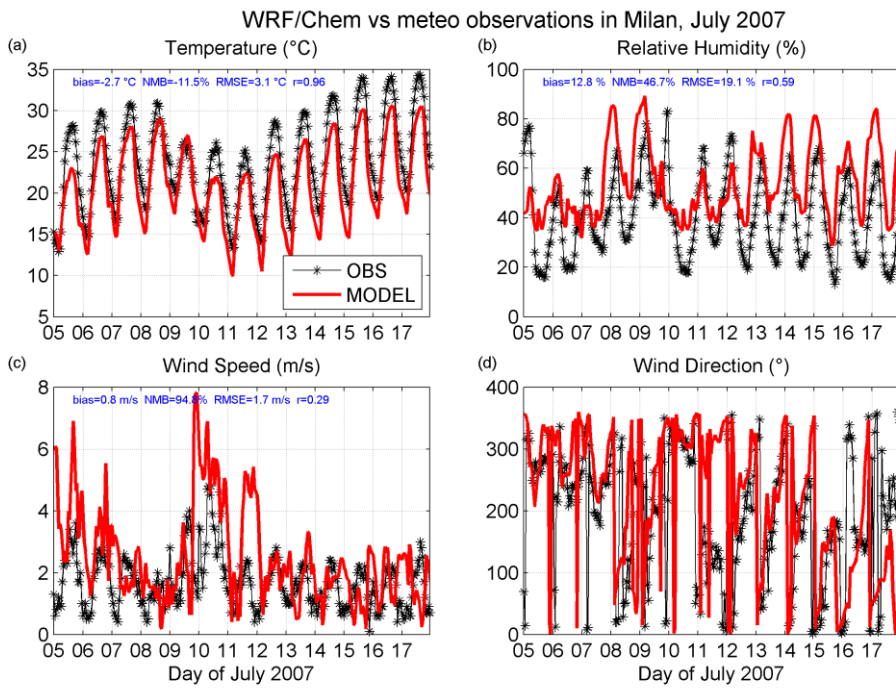
1 Table 2. Description of sensitivity tests with WRF/Chem model.

37	Label	38	Description
39	CTRL	40	Reference run, see Table 1 Table 1 .
	AERO		Aerosol chemical processes switched off
	LPBL		Gas and aerosol chemical processes switched off in the Lower half of the PBL
	UPBL		Gas and aerosol chemical processes switched off in the Upper half of the PBL
	APBL		Gas and aerosol chemical processes switched off Above the PBL

2



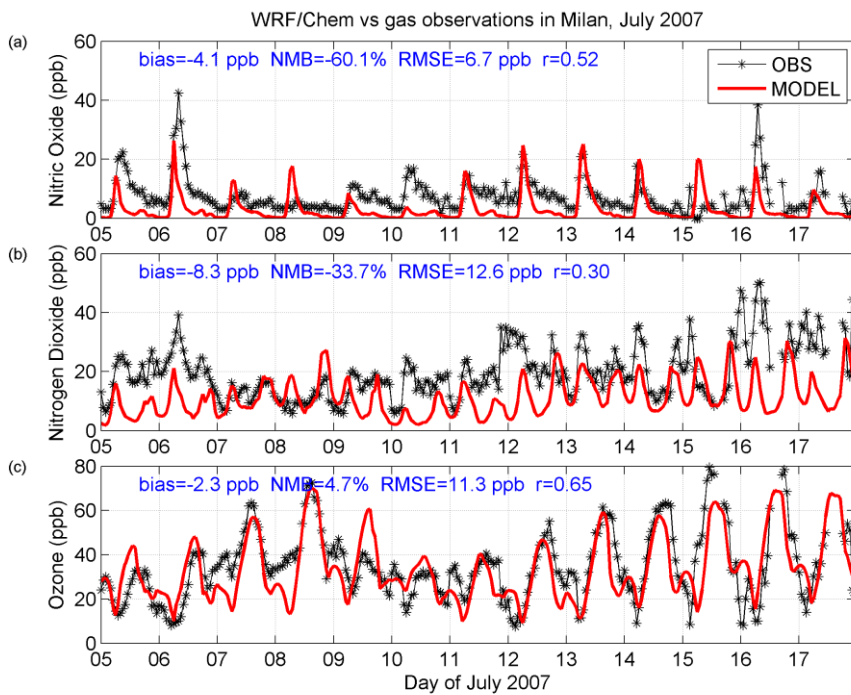
1 | Figure 1. Ground-based observations in Milan during [July 5-20, July-2007](#). Panel (a) shows
2 | hourly measurements of temperature, relative humidity, pressure and precipitation. Pressure is
3 | subtracted by 1000 and precipitation is multiplied by 10 in order to fit the same y-axis. (b)
4 | Hourly wind speed and wind direction (0° from the North, 90° from the East), the latter
5 | divided by 100 to fit the same y-axis. (c) Hourly ozone, nitrogen dioxide and nitrogen oxide.
6 | (d) Particulate matter mass. Hourly observations of PM₁₀, PM_{2.5}, and PM₁. (d) Particulate
7 | matter composition. Daily data of sulfate, nitrate, ammonium, elemental carbon and organic
8 | matter collected during QUITSAT campaign. Night-time (21 to 08 local solar time) and day-
9 | time (08 to 21 LST) samples of sulfate, nitrate, ammonium, water-insoluble carbonaceous
10 | matter (WINCM) and water-soluble organic matter (WSOM) collected during AeroClouds
11 | campaign ([July 14-17-July](#)). (e) Particulate matter number size distribution. Optical particle
12 | counter (OPC) hourly average measurements, y-axis denotes the size bin. (f) Particulate
13 | matter vertical profile. LIDAR Range Corrected Signal, y-axis denotes the height above
14 | ground level.
15



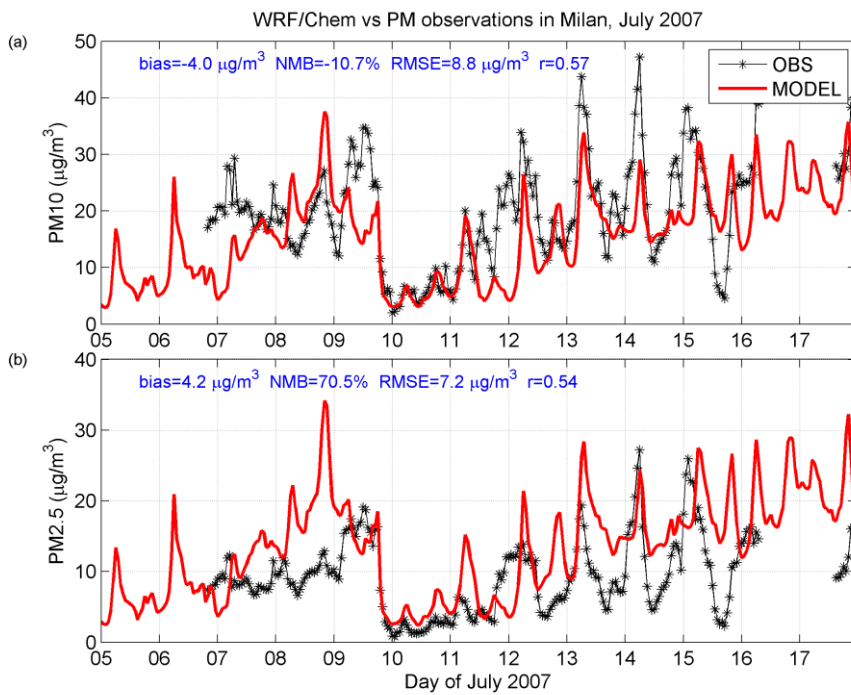
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2 Figure 2. Comparison of observed and simulated hourly meteorological variables at ground
 3 level in Milan on July 5-17, July 2007. Simulations are carried out with WRF/Chem model
 4 and results are shown for the nested domain over Northern Italy at 10 km horizontal
 5 resolution. Statistical indices shown inset are defined in Appendix [A.B](#).

6

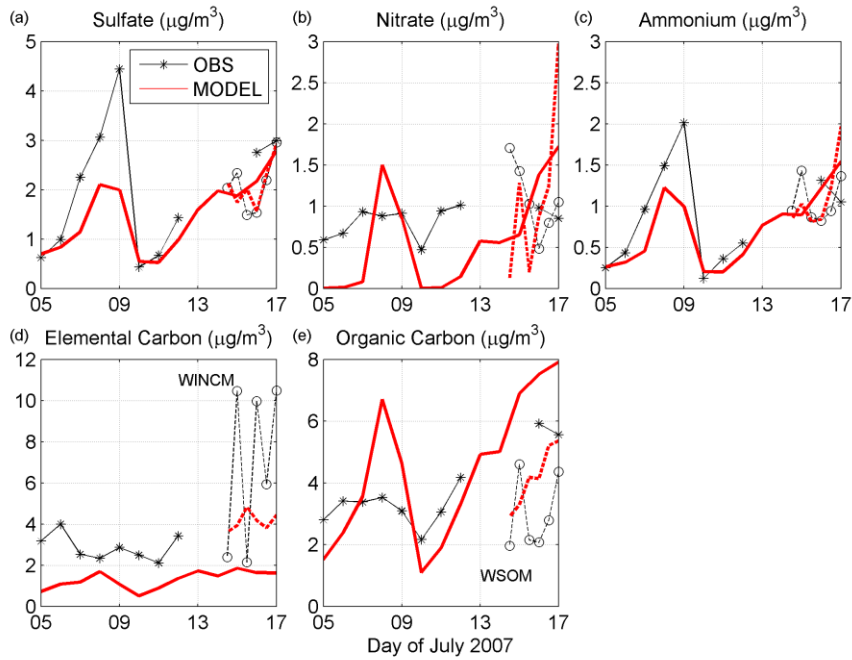


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 2 | Figure 3. Same as [Figure 2](#), but for hourly gas-phase variables at ground level in
 3 | Milan [on July 5-17, July 2007](#). [Shown inset are statistical indices defined in Appendix A.](#)



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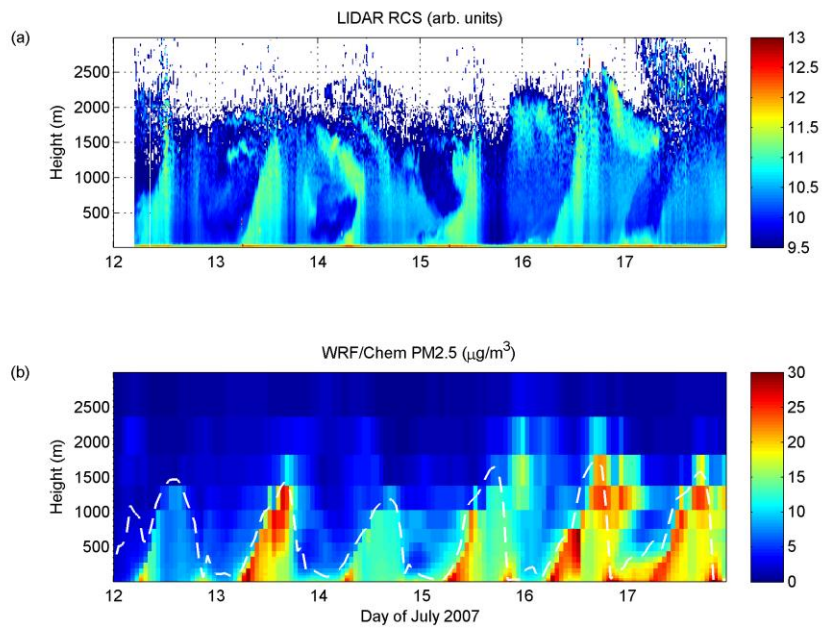
2 | Figure 4. Same as [Figure 2](#), but for hourly particulate matter at ground level in Milan
 3 | [on July 5-17, July 2007](#). [Shown inset are statistical indices defined in Appendix A.](#)



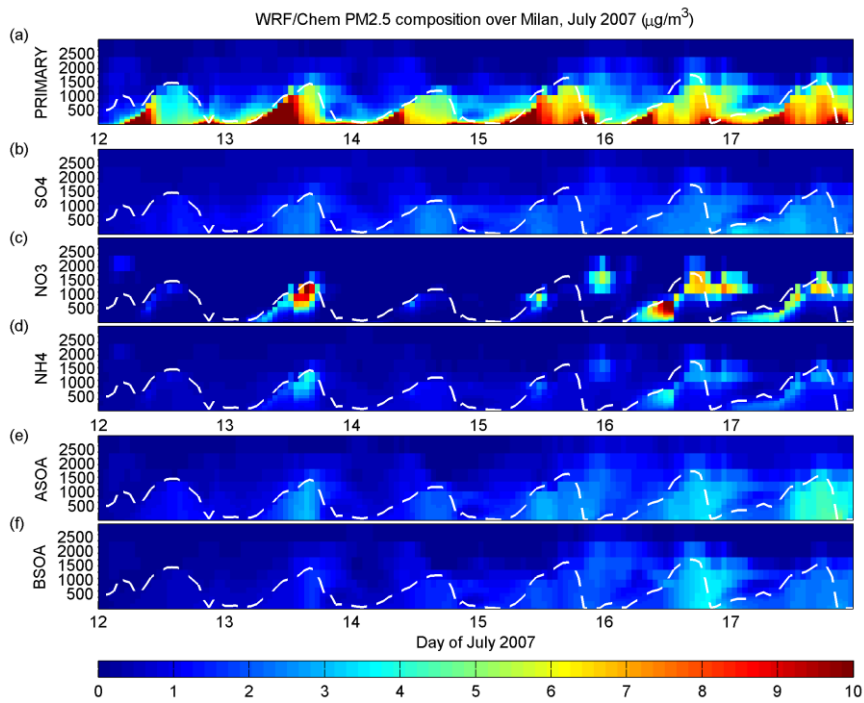
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2 Figure 5. Same as [Figure 2](#), for daily and bi-daily particulate matter composition at
 3 ground level in Milan on July 5-17, July 2007. Bi-daily observations (dashed lines) are
 4 available only from July 14 to 17 July. In panel (d), WINCM is the Water Insoluble Carbon
 5 Mass (EC + mostly primary OC), in panel (e) WSOM is Water Soluble Organic Mass (mostly
 6 secondary organic aerosol, Carbone et al., 2010).

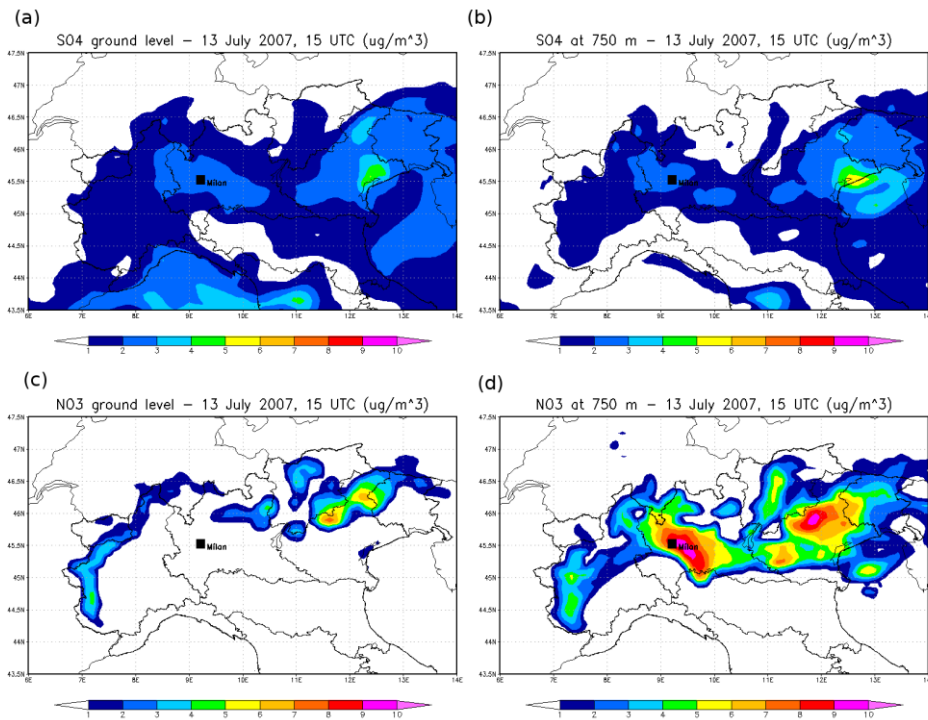
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 2 Figure 6. Qualitative comparison of (a) LIDAR Range Corrected Signal and (b) simulated
 3 PM2.5 vertical profile over Milan on July 12-17, July-2007.
 4



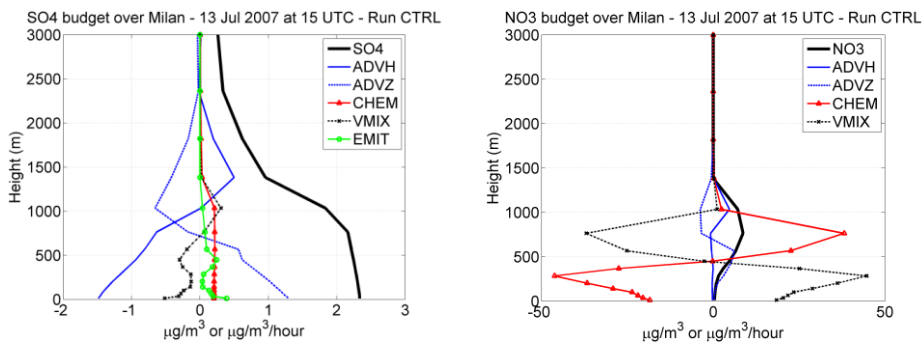
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 2 | Figure 7. Simulated composition of PM2.5 profile shown in [Figure 6](#). ASOA and
 3 | BSOA in panels (e) and (f) are anthropogenic and biogenic secondary organic aerosol,
 4 | respectively.
 5



1

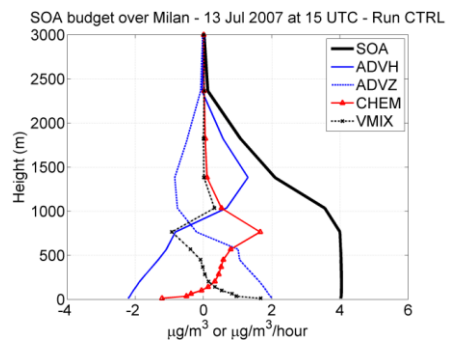
2 Figure 8. Maps of the concentration of PM_{2.5} sulfate (a-b) and nitrate (c-d) components
 3 | simulated at 16 LST of July 13, July 2007 over Po Valley. Panels (a-c) are at ground level,
 4 | panels (b-d) at 750 m height.

5



1 Figure 9. Simulated vertical profile of concentration ($\mu\text{g}/\text{m}^3$) and continuity equation terms
 2 ($\mu\text{g}/\text{m}^3/\text{h}$) for particulate sulfate (left) and nitrate (right) at 16 LST of July 13, July 2007 over
 3 Milan. Budget terms are: horizontal advection (ADVH), vertical advection (ADVZ),
 4 chemistry (CHEM), turbulent mixing and dry deposition (VMIX), emission (EMIT).

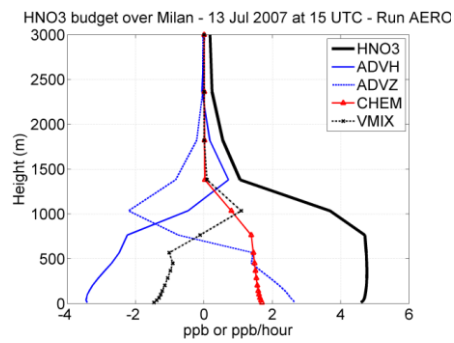
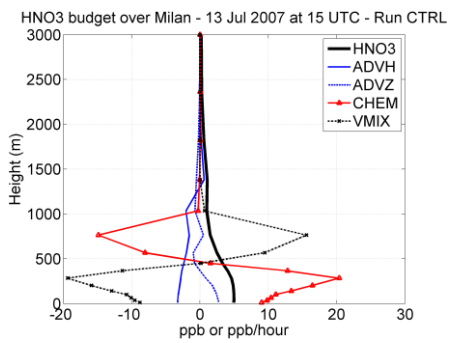
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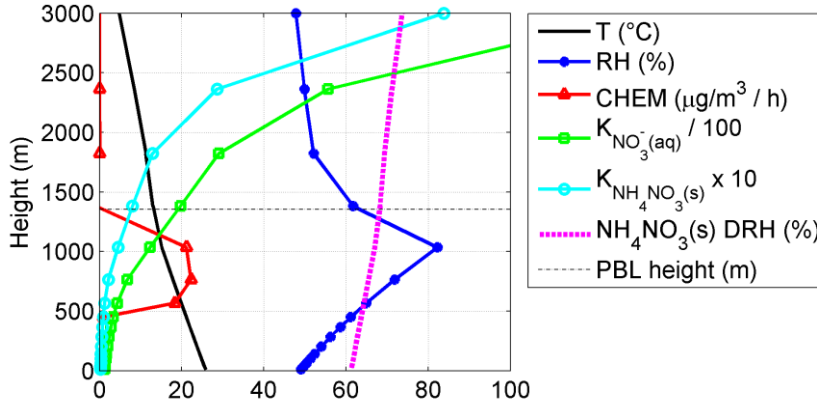
2 | Figure 10. Same as [Figure 9](#), but for Secondary Organic Aerosol (SOA).

3



1 | Figure 11. Same as [Figure 9](#), but for nitric acid (HNO₃) and units in ppb. On the left
 2 | the reference simulation (CTRL), on the right a sensitivity simulation with aerosol chemistry
 3 | switched off (AERO). Please notice the different abscissa range.
 4 |

Nitrate thermodynamics over Milan - 13 Jul 2007 at 15 UTC

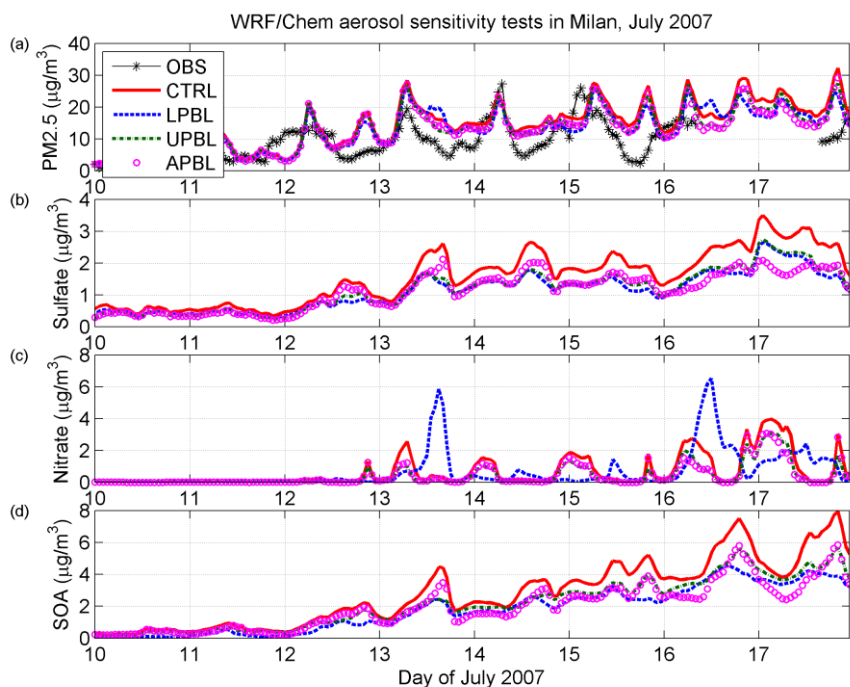


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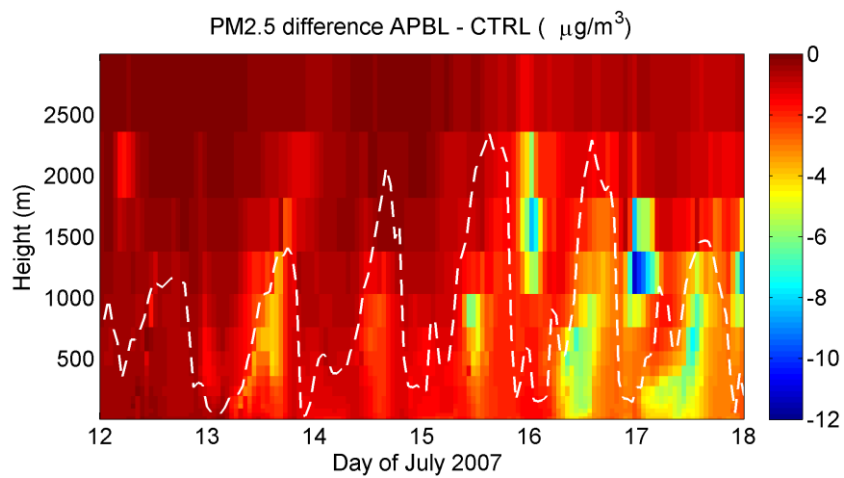
2 Figure 12. Simulated vertical profile of relative humidity (blue) and particulate nitrate net
 3 chemical production term (red, triangles) at 16 LST of July 13, July-2007 over Milan. Also
 4 shown, vertical profiles of equilibrium constants of aqueous phase nitrate (green, squares) and
 5 solid ammonium nitrate (cyan, circles), and ammonium nitrate deliquescence relative
 6 humidity (magenta, dashed). The height of PBL is denoted by the horizontal black dashed
 7 line. Please note that equilibrium constants are scaled by the constant factors shown inset to
 8 fit on the same abscissa range.

9

10



1
 2 Figure 13. Sensitivity tests on chemical production in different vertical layers (see [Table](#)
 3 [2Table-2](#) for explanation of labels), at ground level over Milan [on July 10-17, July-2007](#).
 4 Hourly observations (black line-star) are only available for PM2.5 (top panel).
 5



1

2 Figure 14. Difference of the simulated $\text{PM}_{2.5}$ profile over Milan between APBL and CTRL
 3 runs (see [Table 2](#)). Useful to estimate the impact of aerosol residual layer on ground
 4 concentrations, in combination with [Figure 6](#).

5

6