

Emission trends and mitigation options for air pollutants in East Asia

S. X. Wang et al.

This discussion paper is/has been under review for the journal Atmospheric Chemistry and Physics (ACP). Please refer to the corresponding final paper in ACP if available.

# Emission trends and mitigation options for air pollutants in East Asia

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Received: 18 December 2013 – Accepted: 13 January 2014 – Published: 27 January 2014

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Published by Copernicus Publications on behalf of the European Geosciences Union.

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## Abstract

Emissions of air pollutants in East Asia play an important role in the regional and global atmospheric environment. In this study we evaluated the recent emission trends of sulfur dioxide (SO<sub>2</sub>), nitrogen oxides (NO<sub>x</sub>), particulate matters (PM), and non-methane volatile organic compounds (NMVOC) in East Asia, and projected their future emissions up to 2030 with six emission scenarios. The results will provide future emission projections for the modeling community of the model inter-comparison program for Asia (MICS-Asia). During 2005–2010, the emissions of SO<sub>2</sub> and PM<sub>2.5</sub> in East Asia decreased by 15% and 11%, respectively, mainly attributable to the large scale deployment of FGD for China's power plants, and the promotion of high-efficient PM removal technologies in China's power plants and cement industry. During this period, the emissions of NO<sub>x</sub> and NMVOC increased by 25% and 15%, driven by the rapid increase in the emissions from China owing to inadequate control strategies. In contrast, the NO<sub>x</sub> and NMVOC emissions in East Asia except China decreased by 13–17% mainly due to the implementation of tight vehicle emission standards in Japan and South Korea. Under current legislation and current implementation status, NO<sub>x</sub>, SO<sub>2</sub>, and NMVOC emissions in East Asia are estimated to increase by about one quarter by 2030 from the 2010 levels, while PM<sub>2.5</sub> emissions are expected to decrease by 7%. Assuming enforcement of new energy-saving policies, emissions of NO<sub>x</sub>, SO<sub>2</sub>, PM<sub>2.5</sub> and NMVOC in East Asia are expected to decrease by 28%, 36%, 28%, and 15% respectively compared with the baseline case. The implementation of the “progressive” end-of-pipe control measures is expected to lead to another one third reduction of the baseline emissions of NO<sub>x</sub>, and about one quarter reduction for SO<sub>2</sub>, PM<sub>2.5</sub>, and NMVOC. With the full implementation of maximum feasible reduction measures, the emissions of NO<sub>x</sub>, SO<sub>2</sub>, and PM<sub>2.5</sub> in East Asia are expected to account for only about one quarter and NMVOC for one third of the levels of the baseline projection. Compared with previous projections, this study projects larger reduction in NO<sub>x</sub> and SO<sub>2</sub> emissions by considering aggressive governmental plans and standards scheduled to

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be implemented in the next decade, and quantifies the significant effects of detailed progressive control measures on NMVOC emissions up to 2030.

## 1 Introduction

Air pollutant emissions in East Asia contribute a large share of the global emissions. Cofala et al. (2012) reports that East Asia contribute about 36 %, 29 %, and 36 % to global emissions of sulfur dioxide (SO<sub>2</sub>), nitrogen oxides (NO<sub>x</sub>), and particulate matter less than or equal to 2.5 μm (PM<sub>2.5</sub>), respectively, much more than those of the United States and Europe. Moreover, both emission calculation and satellite observations indicate that NO<sub>x</sub> emissions in China have experienced rapid increase during 1995–2010, with annual average growth rate ranging between 5.5–7 % (Zhao et al., 2013c; Zhang et al., 2007, 2012a). As a result, emissions in East Asia have greatly degraded regional air quality and visibility (Wang and Hao, 2012; Zhang et al., 2012c) and damaged human health (WB and SEPA, 2007). They also affect global air quality and climate through the outflow that travels across Pacific (Liu et al., 2003). In light of this situation, the control of emissions in East Asia is very important for the improvement of regional and global atmospheric environment.

With the objectives of air quality improvement and global warming mitigation, the countries of East Asia, e.g., China, Japan, and South Korea, have taken substantial measures to improve energy efficiency and reduce emissions of air pollutants. These measures are stringent and have been rapidly upgraded. During 2006–2010, China set a target to reduce the energy use per unit Gross Domestic Production (GDP) and national SO<sub>2</sub> emissions by 20 % and 10 %, respectively (The State Council of the People's Republic of China, 2006). During 2011–2015, China aims at further 16 %, 10 % and 8 % reductions for energy use per unit GDP, NO<sub>x</sub> emissions and SO<sub>2</sub> emissions, respectively (The State Council of the People's Republic of China, 2011). Japan has taken measures toward the committed targets in Kyoto Protocol, which requires that annual CO<sub>2</sub> emissions during 2008–2012 should be 6 % lower than those of 1990 (IEA, 2008).

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published in 2011 (for the period of 2011–2015; The State Council of the People's Republic of China, 2011) and the rapidly emerging emission standards released after 2010, which may fundamentally change the future emission pathway. The most recent projections based on up-to-date emissions (Cofala et al., 2012; Zhao et al., 2013c) incorporate only a specific pollutant or a specific set of control measures, which could not provide full insight into the future trends of major air pollutants. Secondly, the attainment of stringent ambient air quality standard (e.g. China's standard of  $35 \mu\text{g m}^{-3}$  for annual average  $\text{PM}_{2.5}$  concentration, released in 2012) requires simultaneous reduction of multiple pollutants including  $\text{SO}_2$ ,  $\text{NO}_x$ ,  $\text{PM}_{2.5}$ , and non-methane volatile organic compounds (NMVOC) to a large extent (Wang and Hao, 2012). Therefore, it is essential that a full range of relevant pollutants is considered, and scenarios at different stringency levels from the business-as-usual case to the maximum feasible reduction case are developed, so that cost-effective emission controls can balance measures over all pollutants and over a wide range of stringency levels. Thirdly, most studies focused on either end-of-pipe control measures, or energy saving measures; their roles in integrated control policies tackling multiple pollutants and global warming simultaneously have been insufficiently studied. Considering the above, a comprehensive projection of multiple pollutants' emissions incorporating up-to-date base-year data, control measures scheduled to be implemented, and other potential energy saving and end-of-pipe measures at different stringency levels, will contribute to both air pollution research and future decision making, but has not been presented in the previous studies.

This study aims to evaluate the emission trends and mitigation options for multiple air pollutants in East Asia. The results will provide future emission projections for the modeling community of the model inter-comparison program for Asia (MICS-Asia), which aims to have a common understanding of model performance and uncertainties in Asia.

In Sect. 2, we reviewed major control policies in East Asia in the last decade, and evaluated the impact of control measures on air pollutant emissions during 2005–2010. Compared with previous studies on emission trends, we are particularly devoted to pre-

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5 sending a comprehensive review of the recent mitigation measures in this region, and illuminate the driving forces underlying the emission trends. In Sect. 3, we projected future emissions of SO<sub>2</sub>, NO<sub>x</sub>, NMVOC, and PM up to 2030 for six emission scenarios, considering both energy-saving and end-of-pipe measures. Eventually, in Sect. 4,  
10 we compared our results with the previously developed projections. In this study, the domain of East Asia consists of seven countries/regions, i.e. mainland China (People's Republic of China except Hong Kong, Macao, and Taiwan), Japan, South Korea, North Korea, Mongolia, Hong Kong & Macao, and Taiwan. In the following text, China is short for mainland China. We focus on China, Japan, and South Korea which are key energy  
15 consumers in the region and dominate the emissions of air pollutants. With respect to air pollution policy, Japan and Korea have the longest tradition while China's emission regulation has been emerging in the last decade at an impressive rate and has very ambitious future goals. Therefore, special attention is given to the developments in China.

## 15 2 Recent control measures and emission trends

Recent control measures not only serve as the major driving forces of recent emission trends, but also lay the foundation of the development of future control policies. Control measures contributing to reductions of air pollutant emissions include energy saving measures, e.g., energy efficiency improvements, co-generation of heat and power, fuel  
20 substitution, and end-of-pipe control measures e.g., dust collector and flue gas desulfurization installations. A smart mix of measures to simultaneously address energy conservation, air pollution and climate change mitigation is considerably cheaper than tackling each issue separately (Wang and Hao, 2012). In this section we review both recent energy saving and end-of-pipe measures in East Asia, and then quantify their  
25 effects on recent emission changes.

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## 2.1 Energy saving measures

Japan, South Korea, and China have released a number of policies addressing energy conservation and climate change mitigation. Under the Kyoto Protocol, Japan needs to reduce its greenhouse gas (GHG) emissions by 6% during 2008–2012 from the base year of 1990. In the “New National Energy Strategy”, formulated in May 2006, Japanese government set a long-term target to improve energy intensity of GDP by an additional 30% by 2030 (IEA, 2008). The government of South Korea has made a commitment to reduce its GHG emissions by 30% compared to its business as usual projection by 2020 (IEA, 2012b). Chinese government has set a target to reduce CO<sub>2</sub> emissions per unit GDP by 40–45% in 2020 compared with the 2005 levels (Wang and Hao, 2012). Total energy consumption in East Asia increased by 31% during 2005–2010. China experienced the fastest increase of 43% driven by rapid GDP growth rate, while Japan’s energy consumption decreased during the five years because of lower GDP growth rate and stringent energy saving policies. The growth rate of South Korea is medium (19%).

### 2.1.1 Power plants

The energy consumption in China’s power sector increased remarkably by 35% during 2005–2010 due to rapid increase in the demand of electricity (NBS, 2007, 2011a), while those of Japan and South Korea remained relatively stable (<http://www.iea.org/statistics/>).

Up to 75% of China’s power generation comes from coal (Zhao et al., 2013c). In contrast, installed power generation capacity in Japan was well diversified, with coal, oil, natural gas, nuclear and hydro contributing about 27%, 8%, 27%, 26%, and 8% of the total electricity generation in 2010, respectively (<http://www.iea.org/statistics/>). In South Korea, fossil fuels accounted for 65.7% of the total electricity generated, followed by nuclear at 32.5% (IEA, 2012c). Nuclear power plants have been playing a crucial role in Japan and South Korea’s low-carbon policies. However, in 2011,

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the share of nuclear power generation in Japan dropped dramatically to less than 10%, owing to the Fukushima nuclear power plant accident on 11 March 2011 (<http://www.iea.org/statistics/>). This made the future of nuclear power in Japan quite uncertain. In South Korea, nuclear power generation is expected to keep increasing in the next decade, as five reactors are under construction and six more have been announced (IEA, 2012b). Considering the coal-intensive power generation mix, Chinese government has also been promoting the development of clean energy power through subsidy policies. By 2010, the capacities of hydro power, natural gas power, wind power, and solar power have increased dramatically to 1.82, 2.25, 23.8, and 3.43 times those of 2005, respectively (China Electric Power Yearbook Committee, 2006, 2011).

China has also made efforts to improve the efficient coal-fired power generation units. Chinese government has shut down 77 GW of small coal-fired units during 2006–2010 (NDRC, 2011), and this program will be extended to phase out an additional 20 GW of small units during 2011–2015 (The State Council of the People's Republic of China, 2012). At the same time, most new units built after 2005 were  $\geq 300$  MW. As a result, the capacity share of units  $\geq 300$  MW rose from 50% in 2005 to 73% in 2010 (The State Council of the People's Republic of China, 2012), and share of advanced supercritical and ultra-supercritical units rose to over 13% (Li et al., 2012). In effect, the coal consumption per unit electricity supplied decreased from  $370 \text{ gce kWh}^{-1}$  to  $333 \text{ gce kWh}^{-1}$  during the same period (The State Council of the People's Republic of China, 2012).

### 2.1.2 Industrial sector

During 2005–2010, China's energy consumption of industrial sector increased dramatically at an annual average rate of 9.0% (cf. 7.4% for total energy consumption) due largely to the rapid increase of energy-intensive products, e.g. cement and steel (NBS, 2007, 2011a). However, with the target to reduce energy intensity per GDP by 20% during 2005–2010, China put much effort to replace out-of-date production technologies



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with more energy-efficient ones. For example, the penetration of precalcined cement kilns increased from 45 % in 2005 to about 80 % in 2010. During the same period, the proportion of large units ( $\geq 4000 \text{ td}^{-1}$ ) in all precalcined kilns increased from 33 % to 60 % (Zhao et al., 2013c; Zhao et al., 2013d). The share of coke produced in machinery coking ovens (vs. indigenous ovens) increased from 82 % in 2005 to 87 % in 2010 (NBS, 2007, 2011; Huo et al., 2012); the share of blast furnace larger than  $1000 \text{ m}^3$  increased from 48 % to 61 % during 2005–2010 (The State Council of the People's Republic of China, 2012). In effect, the average energy intensity of cement and crude steel production decreased by 29 % and 12 % respectively during the five years (The State Council of the People's Republic of China, 2012).

Contrasted by the swift increase of China, Japan's industrial sector has played a central role in energy conservation in the past decades (IEA, 2008). Major policies include compulsory submission of energy saving plans for large energy consumers, frequent on-site inspection, and subsidies to assist small companies to introduce energy-efficient equipment (IEA, 2008; Energy Conservation Center of Japan, 2011). These measures decreased the average energy consumption per ton production of cement and crude steel by 6.3 % and 5.6 % respectively (Wang, 2010). Japan's industrial energy use as a proportion of the total has declined from 26 % in 2000 to 18 % in 2010 (IEA, 2002, 2012c), and the share of coal and petroleum products has decreased from 64 % to 56 % during 2000–2010 (IEA, 2002, 2012c).

The industrial energy consumption in South Korea has been increasing steadily in the last decade since its energy intensity of GDP was not notably improved from the 1990s to 2006 (IEA, 2006). In 2008, South Korea set a new target for energy intensity in the Strategy for Green Growth (from  $0.328 \text{ toe}/1000 \text{ USD}$  in 2007 to  $0.290 \text{ toe}/1000 \text{ USD}$  in 2013, and  $0.233 \text{ toe}/1000 \text{ USD}$  in 2020). These policies are expected to be enforced mainly through “voluntary agreement” between the government and large companies (IEA, 2006, 2012b; UNEP, 2010).

### 2.1.3 Residential sector

The residential energy consumption in China and South Korea has been increasing steadily during 2005–2010, driven by the increase in building area (NBS, 2007, 2008a, b, 2009, 2011a, b; <http://www.iea.org/statistics/>). During the same period, Japan's residential energy consumption decreased slightly, attributed to stable demand for buildings and aggressive energy saving policies (IEA, 2008; <http://www.iea.org/statistics/>).

By the end of 2006, 96 % of China's newly-built buildings have complied with the energy saving standard for the design of buildings released in 1996 (THUBERC, 2009). A more stringent standard was released in 2010 (The State Council of the People's Republic of China, 2012). Japan's energy efficiency standards in the building codes, first released in 1980 and strengthened in 1992 and 1999, were all voluntary. As of 2005, 30 % of newly-built houses and 85 % of buildings larger than 2 000 m<sup>2</sup> complied with the voluntary standards (IEA, 2008). In Korea, the building energy codes have been at a relatively low level for a long time, until a performance based strong building design code applied to large commercial buildings in 2011 (IEA, 2006, 2012b).

Japan is a world leader for the energy efficiencies of appliances in residential and commercial buildings. "Top Runner program", which set energy efficiency targets for appliances based on the most energy-efficient products on the market, has been successfully enforced. For example, the efficiency of air conditioners and refrigerators increased by 68 % (1997–2004) and 55 % (1998–2004), both exceeding the targets of 66 % and 31 % (IEA, 2008; Energy Conservation Center of Japan, 2011). Similar programs have been promoted in South Korea and China recently (UNEP, 2010).

Due to the coal-intensive energy structure, China has been promoting clean energy in residential sector. Direct combustion of biomass has been gradually replaced with commercial fuels in the last decade, and its share in rural cooking decreased from 38 % in 2005 to 31 % in 2010. The production of biogas and ownership of solar water heater both doubled during 2005–2010 owing to subsidy policies.

## 2.1.4 Transportation sector

During 2005–2010, the energy consumption in China's transportation sector has been growing at an annual average rate of 10% attributed to the explosive growth of vehicle population (NBS, 2007, 2011a). In contrast, the transportation energy consumption in South Korea was stable and that of Japan has been dwindling (<http://www.iea.org/statistics/>).

The decline in Japan's vehicle energy consumption is largely due to its fuel efficiency standards, which are among the most aggressive ones in the world. For passenger vehicles, there has been a consistent improvement in the average fuel economy from 13.5 kmL<sup>-1</sup> in 2000 to 17.8 kmL<sup>-1</sup> in 2009 (Energy Conservation Center of Japan, 2011). Japan was the first country in the world implementing fuel efficiency standards for heavy-duty vehicles. The fuel efficiency of freight vehicles decreased from 851 kcal/t-km in 2000 to 722 kcal/t-km in 2008 (Institute of Energy Economics of Japan, 2010). China has also implemented fuel efficiency standards for light-duty vehicles since 2004. During 2005–2010, the fuel efficiency of new gasoline passenger cars increased from 11.0 kmL<sup>-1</sup> to 13.5 kmL<sup>-1</sup> (Zhao et al., 2013c). An updated standard (14.3 kmL<sup>-1</sup> by 2015) for passenger cars was issued in 2011. In 2006, South Korean government introduced its first mandatory fuel economy standards requiring car manufacturers to meet average fuel economy standards of 12.4 kmL<sup>-1</sup> for vehicles with engines of less than 1500 cubic centimeters (IEA, 2006). In July 2009, a new fuel economy standard of 17 kmL<sup>-1</sup> was announced (IEA, 2012b).

Korea has been systematically promoting compressed natural gas (CNG) buses since 2000. As of 2008, 19 thousand intra-city buses and 429 garbage trucks have utilized CNG. China has also launched several initiatives to promote electric vehicles, and their population reached 12 000 by 2010 (Yang, 2012). The most recent development plan for new energy vehicles (issued in 2012) aimed to increase the population of electric vehicles to 0.5 million and 5 million in 2015 and 2020 respectively through a series of subsidy policies.

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## 2.2 End-of-pipe control measures

### 2.2.1 Power plants

Power plants, due to their relatively large scale, are usually subject to the most stringent control measures. The penetrations of major control technologies in the power sector of China, Japan, and South Korea are summarized in Table 2.

In 2006, China set targets to reduce the national SO<sub>2</sub> emissions by 10 % by 2010 (Wang and Hao, 2012). By the year 2010, over 83 % of coal-fired power plants (about 88 % of pulverized coal combustion plants) or up to 560 gigawatts (GW) installed flue gas desulfurization (FGD) (MEP, 2011). The recently released 12th Five-Year Plan aims at another 8 % reduction in total SO<sub>2</sub> emissions, which requires nearly all coal-fired power plants to be equipped with high efficiency FGD facilities (95 % removal efficiency).

Low NO<sub>x</sub> combustion technology (mainly Low NO<sub>x</sub> Burner, LNB) was the major NO<sub>x</sub> control technology in China's coal-fired power plants by 2010. The penetration of flue gas denitrification (Selective Catalytic Reduction, SCR; and Selective Non-Catalytic Reduction, SNCR) was only 1.1 % in 2005 and 12.8 % in 2010 (MEP, 2011). In the 12th Five-Year Plan, Chinese government aims to reduce the national 2010 NO<sub>x</sub> emissions by 10 % by the year 2015, and the key measures to fulfill this target is large scale deployment of SCR/SNCR facilities. The NO<sub>x</sub> emission control policies are described in more details in our previous paper (Zhao et al., 2013c).

The emission control of particulate matter in China's power sector has achieved noticeable progress in the last decade. Since 2003, all new and rebuilt units have to attain the PM in-stack concentration standard of 50 mg m<sup>-3</sup> (GB13223-2003). As a result, over 92 % of pulverized coal units installed electrostatic precipitators (ESP) by 2005. In addition, fabric filters (FF) have been put into commercial use in the past five years, and its penetration increased to 7 % by 2010 (Zhao et al., 2013a). Furthermore, the rapid deployment of wet-FGD also helped to reduce PM emissions owing to its ancillary benefit on PM removal (Zhao et al., 2010). In 2011, China's Ministry of Environmental

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Protection (MEP) announced a PM in-stack concentration standard of  $20 \text{ mg m}^{-3}$  for environmentally sensitive regions and  $30 \text{ mg m}^{-3}$  for other regions.

In Japan, application of best available technologies to control  $\text{SO}_2$ ,  $\text{NO}_x$ , and PM is required for most power generation units across the country. The penetrations of wet-FGD, LNB+SCR and high efficiency deduster (HED, e.g., FF, and electrostatic-fabric integrated precipitator) are all as high as 90–100 %, and increased slightly during 2005–2010 (Klimont et al., 2009).

In South Korea, FGD systems have been installed for most power generation units; the penetration increased slightly from 95 % to 97 % during 2005–2010. For  $\text{NO}_x$ , SCR has been the dominant control technology, with its share increasing from 56 % in 2005 to 68 % in 2010. About one third of coal-fired power generation units have been equipped with HED by 2010, and the rest was equipped with ESP (NIER, 2010, 2013; Clean Air Policy Supporting System, CAPSS, <http://airemiss.nier.go.kr/>).

### 2.2.2 Industrial sector

The penetrations of control technologies for industrial boilers and industrial processes are presented in Table 3, Table 4 and Table S1, respectively.

In China,  $\text{SO}_2$  and  $\text{NO}_x$  control technologies have been rarely installed in the industry sector. In recent years, FGD units to control  $\text{SO}_2$  have been installed for small number of coal-fired boilers and sintering plants in selected regions. The application of  $\text{NO}_x$  control technologies is described in more details in our previous paper (Zhao et al., 2013c). In contrast with  $\text{SO}_2$  and  $\text{NO}_x$ , China has been controlling PM emissions from industrial sources since late 1980s. However, the emission standards for industrial sources have been updated slowly before 2010 (see details in Lei et al., 2011). The 11th Five-Year Plan requested to promote high efficiency FF in some high-emission industries. Most industrial boilers were historically equipped with wet scrubbers (WET) and cyclone dust collectors (CYC), while high efficiency FF began to penetrate recently (Lei et al., 2011; Zhao et al., 2013a). The blast furnaces in China are usually equipped

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with washing tower and double venturi, which have approximately the same removal efficiency as the combination of ESP and WET. ESP and FF have gradually become the major control technologies for cement plants, sintering plants and basic oxygen furnace by 2010, while large numbers of electric arc furnace and coking ovens were still equipped with WET (Lei et al., 2011; Zhao et al., 2013a).

The only control measures for NMVOC emissions in China's industry sector are associated with fossil fuel exploitation and distribution. Emission standards for gasoline distribution released in 2007 requires: (1) installation of vapor recovery systems and modified loading techniques (Stage IA control) for loading and unloading operations; (2) improvement in the service station tank (Stage IB control) and installation of vapor balancing system between a vehicle and service station tank (Stage II control); (3) installation of internal floating covers (IFC) or secondary seals for new-built or retrofitted storage tanks. These standards were scheduled to be implemented in relatively large cities of "key regions" from 2008–2010 onwards, and in relatively large cities in other provinces from 2012–2015 onwards. We estimated vapor recycling systems have been installed for about 15% of all the gasoline storage and distribution operations by 2010 (see Table 4 for details).

In Japan, industrial emissions are limited strictly by the Air Pollution Control Act. The thresholds changed very slightly since 1995, but they are still among the most stringent in the world (Ministry of the Environment of Japan, 2013). Under the strict regulations, the vast majority of blast furnace, basic oxygen furnace, electric arc furnace, and cement kilns are controlled with HED. The control measures portfolio for industrial boilers, sintering plants, glass production, and coke oven is a mix of ESP and HED. Effective SO<sub>2</sub> removal technologies (70–80% removal efficiency) are applied for various industries, including sintering, cement production, coke oven, sulfuric acid production, etc (Gains-Asia model of the International Institute for Applied System Analysis, IIASA, <http://gains.iiasa.ac.at/models/>). The average efficiency of the removal equipment kept increasing slowly as old facilities retired. Dominant control measures for NO<sub>x</sub> emissions

are low NO<sub>x</sub> combustion technologies by 2010. Flue gas denitrification facilities are not wide-spread owing to relatively high cost.

Emission standards for industrial sources in South Korea are generally less stringent than those of Japan and more stringent than those of China (Ministry of Environment of South Korea, 2013). In contrast with Japan, the control measure portfolio for cement kilns is an equal mix of ESP and HED; ESPs still dominated the PM removal technologies for industrial boilers and sintering machines, and HEDs have not been widely applied. FGD system was widely applied for some high-emitting sources like industrial boilers and sintering plants, while penetrations of 85 % and 100 % respectively by 2010 (NIER, 2010, 2013). Similar to Japan, dominant control measures for NO<sub>x</sub> emissions are low NO<sub>x</sub> combustion technologies by 2010.

### 2.2.3 Residential sector

There are only limited legislations addressing residential sources. In Japan, about half of residential and commercial boilers are equipped with HED, driven by the stringent regulation of local government. In South Korea and China, dominant control technologies are CYC and WET (Table 3).

Compared with boilers, emissions from small stoves are more difficult to control. In Japan, small incinerators dwindled rapidly in the last decade due to a regulation (released in 2000) with the purpose of mitigating dioxin pollution (Ministry of the Environment of Japan, 2013; Wakamatsu et al., 2013). Previous research found briquette stoves have lower emission factors for SO<sub>2</sub> and PM (Lei et al., 2011). We estimate briquette accounted for 6–7 % of total residential coal consumption in China during 2005–2010 (NBS, 2007, 2008a, b, 2009, 2011a, b). Emissions from small stoves could be further reduced by switching to a newer type of installation, e.g., installing a catalyst or non-catalyst insert, using primary and secondary air deflectors, etc. Such kinds of improved stoves have been gradually spreading in Japan and Korea (see Table 3).

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## 2.2.4 Transportation sector

China has issued a series of emission standards for new vehicles and engines based on European Union Standards since 2000; the implementation time and penetrations of major emission standards is shown in Fig. 1 and Table 6. At national level, Euro I, II and III standards began to be put into effect in 2000, 2004, and 2007 respectively. The Euro IV standard for light duty vehicles were implemented in 2011. Euro IV standard for heavy duty diesel vehicles was first planned to be implemented in 2010. However, it was postponed until July 2013 by the MEP due largely to an insufficient supply of low sulfur fuel (Wu et al., 2012). Megacities including Beijing and Shanghai are subject to greater pressure for regulating vehicle emissions, and are therefore 2–3 yr ahead of the national legislation. Recently, Beijing EPB announced to enforce Euro V in 2012 and Euro VI in 2016. Except for the emission regulations for new vehicles, emission reductions are also achieved through control of in-use vehicles and improvement of fuel quality (Wang and Hao, 2012).

Japan's emission standards for new vehicles have been among the most stringent in the world. Since the introduction of the first regulation in 1981, the emission standards of Japan have been continuously strengthened. For light duty vehicles, the prevailing emission standard for NO<sub>x</sub> and NMVOC during 2005–2010 (New Long-term Regulation) was comparable to that in US (Tier II), and more stringent than that of European Union (Euro IV) before Euro V took into effect since the second half of 2009. The latest "Post-New Long-term Regulation" released in 2009 added a limit for PM comparable to US Tier II, while the limits for other pollutants remained the same as the "New Long-term Regulation". For heavy duty vehicles, Japan's NO<sub>x</sub> emission regulations before 2005 had been stricter than in Europe and the United States (Japan Automobile Manufacturers Association, 2011). During 2005–2010, Japan's prevailing standard (New Long-term Regulation) is comparable to Euro V (issued in 2008), and between the 2004 and 2007 standards in US. By the early 2010s, European, US and Japanese reg-

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ulatory values for NO<sub>x</sub> and PM emissions for diesel vehicles have been roughly similar (Ministry of the Environment of Japan, 2013; Delphi Company, 2013a, b).

South Korea has gradually intensified the vehicle emission standards to the level of advanced countries. In December 2003, Korea issued new vehicle emission standards corresponding to the level of Ultra Low Emission Vehicles (ULEV) for gasoline vehicles, and the levels of EURO IV for diesel vehicles. These standards took into effects in 2007. Since January 2013, South Korea has adopted California's Non Methane Organic Gases (NMOG) Fleet Average System (FAS) for gasoline-fueled vehicles, which has been in place in California since 2009 (<http://transportpolicy.net/>). For diesel vehicles, Euro V was introduced starting from September 2009, and Euro VI standard will be in place by 2014 (Ministry of Environment of South Korea, 2013; Delphi Company, 2013a, b). Penetrations of vehicle emission standards in Japan and South Korea are given in Table 6.

### 2.2.5 Non-energy related sectors

Chinese government have released standards to limit the solvent content in some products, including wood paint, interior wall paints, adhesives for shoes production, decorative adhesives, and printing inks. Driven by these standards, the solvent contents of some products decreased, and the penetration of low-solvent products increased during 2005–2010. Tables 5 and S2 show the penetrations of major control measures for solvent use; Table S3 shows the changes in the emission factors of typical sources (especially those regulated) during 2005–2010. Despite the existing standards, most of the emissions from solvent use remain uncontrolled in China.

In 2004, Japan Ministry of Environment set target to reduce the 2000 NMVOC emissions by 30 % as of 2010 using both regulations (10 %) and voluntary efforts (20 %), with a focus on solvent use emissions (Ministry of the Environment of Japan, 2013). It was estimated that the actually achieved reductions were higher, but the O<sub>3</sub> and PM concentrations have not declined as expected (Wakamatsu et al., 2013).

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The emission for those stacks have been estimated using CEMSs for years 2007–2010 (pre-2007, the emission factor method). There are “not-so-small” emission gaps before and after 2007 due to this methodological change. We, therefore, substituted pre-2007 emissions for those stacks using extrapolation of 2007–2010 “CEMS-based” estimation considering the changes of control measures.

The emissions for North Korea, Mongolia, Hong Kong & Macao, and Taiwan are adopted from the Gains-Asia model of IIASA (<http://gains.iiasa.ac.at/models/>).

National air pollutant emissions in East Asia are summarized in Table 8. The sectoral emissions in China are given in Fig. 2, and those in Japan and South Korea are shown in Fig. 3.

### 2.3.1 NO<sub>x</sub>

The total NO<sub>x</sub> emissions in East Asia were 29.7 Mt in 2010 and the growth rate was 25 % during 2005–2010. This trend was dominated by the increase in emissions from China, since China contributed 82–88 % of total NO<sub>x</sub> emissions in East Asia.

During this period, NO<sub>x</sub> emissions in China increased by 34 %, driven by the rapid increase of industry and transportation. The emission from power plants stopped growing by 2010 owing to the application of LNBs and promotion of clean energy power. But the emissions from industry and transportation kept growing rapidly because of the swift growth in industrial energy consumption and vehicle populations.

NO<sub>x</sub> emissions from East Asia except China decreased by 13 % during the five years, mainly attributed to the reductions in emissions from Japan (21 % reduction). The implementation of tight emission standard for new vehicles, and subsequently the large reduction in Japan’s transportation sector is the main driving force to this decline. The emissions of South Korea decreased slightly by 5 %, also owing to the implementation of new vehicle standards.

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### 2.3.2 SO<sub>2</sub>

The total SO<sub>2</sub> emissions in East Asia decreased by 15 % from 30.4 Mt in 2005 to 25.9 Mt in 2010. The emissions from China accounts for as large as 94 % of the total SO<sub>2</sub> emissions in East Asia. During 2005–2010, both China and the regions except

China experienced a 15 % decline in SO<sub>2</sub> emissions. The decline in China's SO<sub>2</sub> emissions is mainly attributable to the large scale deployment of FGD for power plants. In comparison, SO<sub>2</sub> emissions from China's industrial sector kept increasing during this period, slowing down the declining rate of total SO<sub>2</sub> emissions; this is consistent with the recent estimates by Zhang et al. (2012b), Lu et al. (2011), Klimont et al. (2013).

SO<sub>2</sub> emissions of Japan decreased by 20 %, mainly attributed to the increasing penetration of high-efficient desulfurization technologies in the industrial sector, and the replacement of coal and oil with clean and renewable energy. South Korea's SO<sub>2</sub> emissions roughly remained constant, because the reduction of the emissions from power plants (owing to the deployment of FGDs) was offset by the increasing emissions from industrial sources.

### 2.3.3 PM<sub>10</sub> and PM<sub>2.5</sub>

In 2010, the total PM<sub>10</sub> and PM<sub>2.5</sub> emissions in East Asia were 15.8 Mt and 11.8 Mt respectively. During 2005–2010, the PM<sub>10</sub> and PM<sub>2.5</sub> emissions decreased by 15 % and 11 % respectively. This trend was also dominated by the trend in emissions from China, as China's PM<sub>10</sub>/PM<sub>2.5</sub> emissions represent 94 % of those of East Asia.

China's PM<sub>10</sub> and PM<sub>2.5</sub> emissions decreased by 15 % and 12 % respectively during the five years. We estimate that emissions of power plants and cement industry experienced the fastest decrease (43–47 % reduction from 2005–2010), as a result of the rapid evolution of end-of-pipe removal equipments (see Tables 2 and 4). The emissions of industrial combustion and steel industry increased by 14–32 %, while the emissions of other sectors kept relatively stable.

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PM<sub>10</sub> and PM<sub>2.5</sub> emissions decreased by 7 % and 8 % in East Asia except China. The declining rate is as large as 19–28 % in Japan, and transportation sector contributes 70 % of this decline. Emissions from South Korea increased somewhat due to the increase in industrial fuel consumption, which is further a result of the relatively stable energy intensity of industrial sector (see Sect. 2.1.2).

### 2.3.4 NMVOC

The total NMVOC emissions in East Asia were 22.9 Mt in 2010, and experienced a 15 % growth during 2005–2010, as an integrated effect of a 21 % increase in emission from China (contributing 84–88 % of the total emissions), and a 17 % reduction in emissions from other countries.

In China, the NMVOC emissions from transportation and residential combustion decreased owing to improving vehicle emission standards and the replacement of biomass with cleaner energy sources. However, these reductions were offset by the dramatic increase of emissions from industrial process (+46 %) and solvent use (+102 %), leading to a 21 % increase of the total NMVOC emissions.

Japan's NMVOC emissions decreased by 30 % mainly because of the implementation of stringent vehicle emission standards. In South Korea, although the enhancement of vehicle emission standards lowered NMVOC emissions from transportations, the emissions from solvent use increased even more rapidly, leading to a 15 % increase in total NMVOC emissions.

## 3 Future emission scenarios for air pollutants

To quantify the effects of various measures on future air pollutant emissions, in this study we developed emission scenarios for SO<sub>2</sub>, NO<sub>x</sub>, PM, and NMVOC based on the energy saving policies and end-of-pipe control strategies. The scenarios are developed with the same model structure as that for the estimation of historical emissions

developed in our previous paper (Zhao et al., 2013c). The energy service demand is estimated based on driving forces (e.g. GDP, population). The future technology distribution and energy efficiencies are assumed and the energy consumption is calculated. Both historical and future emissions are derived from energy consumption, emission factors and assumptions on the penetration of control technologies. For details, please refer to Zhao et al. (2013c).

We developed two energy scenarios, a business as usual scenario (BAU) and an alternative policy scenario (PC). The BAU scenario is based on current legislations and implementation status (until the end of 2010). In the PC scenario, we assume the introduction and strict enforcement of new energy-saving policies, including life style changes, structural adjustment, and energy efficiency improvement. Life style changes imply slower growth of energy service demand, including energy-intensive industrial products, building area and residential service demand, vehicle population, electricity production, and heat supply, due to more conservative life styles. Structural adjustment includes promotion of clean and renewable fuels and energy-efficient technologies, such as renewable energy power and CHP for power plants and heat supply sector respectively, arc furnace and large precalcined kilns for industrial sector, biogas stoves and heat pumps for residential sector, electric vehicles and bio-fuel vehicles for transportation sector, etc. Assumed energy efficiency improvement includes the improvement of the energy efficiencies of single technologies in each sector.

We developed three end-of-pipe control strategies for each energy scenario, including baseline (abbr. [0]), progressive (abbr. [1]), and maximum feasible control strategies (abbr. [2]), thereby constituting six emission scenarios (BAU[0], BAU[1], BAU[2], PC[0], PC[1], and PC[2]). The control strategy [0] assumes that all current pollution control legislation (until the end of 2010) and the current implementation status would be followed during 2011–2030. The control strategy [1] assumes that new pollution control policies would be released and implemented in China, representing progressive approach towards future environmental policies. For other countries, we assume the same assumption as strategy [0]. The control strategy [2] assumes the technically fea-

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sible control technologies would be fully applied by 2030, regardless of the economic cost. The definition of the energy scenarios and emission scenarios are summarized in Table 1.

In this paper we focus on the development of energy scenarios and emission scenarios for China. The scenarios for other countries are adapted from those developed by IIASA in a project funded by United Nations Environment Programme (UNEP) and World Meteorological Organization (WMO) (UNEP and WMO, 2011). Both the energy consumption and air pollutant emissions were calculated with a 5-year resolution, though the parameters and results are presented for selected years only. Detailed assumptions of the energy scenarios and emission scenarios are documented below.

### 3.1 Development of energy scenarios

For countries except for China, our BAU and PC scenarios are consistent with the energy pathways of the reference scenario and 450 ppm scenario in UNEP and WMO (2011), which were based on the reference and 450 ppm scenarios presented in the World Energy Outlook 2009 (IEA, 2009), respectively. While the reference scenario considers the current energy and climate related policies, the 450 ppm scenario explores what the global energy consumption could involve if countries take coordinated action to restrict the global temperature increase to 2 °C. The details of energy scenarios are described in UNEP and WMO (2011) and IEA (2009).

For China, we have developed two energy scenarios consistent our previous paper (Zhao et al., 2013c). Presented below is a brief description of the assumptions and results of the energy scenarios. Please refer to Zhao et al. (2013c) for detailed information.

We assumes the annual average GDP growth rate to decrease gradually from 8.0 % during 2011–2015 to 5.5 % during 2026–2030, respectively. The national population is projected to increase from 1.34 billion in 2010 to 1.44 billion in 2020 and 1.47 billion in 2030, and urbanization rate (proportion of people in urban areas) is assumed to increase from 49.95 % in 2010 to 58 % and 63 % in 2020 and 2030, respectively.

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The total electricity production is projected to be 10–12% lower in PC scenarios than that of BAU scenario. The PC scenario considers aggressive development plans for clean and renewable energy power generation, therefore, the proportion of electricity production from coal-fired power plants is expected to decrease to 57% in 2030 in PC scenarios, contrasted by 73% in BAU scenario.

We projected lower yields of energy-intensive industrial products in PC scenario than those of BAU scenario because of a more conservative life style. The shares of less energy-intensive technologies are assumed to be higher in PC scenario than BAU scenario.

For residential sector, China's building area per capita in PC scenario is expected to be 3–4 m<sup>2</sup> lower than that of BAU scenario in both urban and rural area. The energy demand for heating per unit area is somewhat lower in our PC scenario because of implementation of new energy conservation standards for the design of buildings. Replacement of coal and direct biomass burning with clean fuels are assumed in both urban and rural areas, with faster progress in the PC scenario.

The vehicle population per 1000 persons is projected at 380 and 325 in BAU and PC scenarios, respectively. The PC scenario assumes an aggressive plan to promote electric vehicles, and a progressive implementation of new fuel efficiency standards, resulting in 33% and 57% improvement in the fuel economy of new passenger cars and new heavy duty vehicles by 2030.

Table 7 shows current and future energy consumption in East Asia. Total energy consumption in East Asia was 123 EJ in 2005 and 161 EJ in 2010. The energy consumption of China accounts for 69–76% of the total energy amount during 2005–2010, followed by 13–18% for Japan, and about 7% for South Korea. By 2030, the total energy consumption is projected to increase to 243 EJ under the BAU scenario and to 195 EJ under the PC scenario, 51% and 21% larger than that of 2010.

Of all the countries, China is expected to experience the fastest growth rate in energy consumption. By 2030, China's energy consumption is projected to increase by 64% and 27% from the 2010 level in BAU and PC scenarios, respectively. Industry fuel



to 12 % and 29 % under PC scenario. In contrast, the proportion of renewable energy would increase from 16 % in 2010 to 23 % and 33 % in 2030 under BAU and PC scenarios, respectively.

### 3.2 Development of emission scenarios

5 For the countries except for China, our control strategies [0] and [2] are consistent with the control strategies of the reference scenario and maximum feasible reduction scenario in UNEP and WMO (2011), respectively. While control strategy [1] assumes new pollution control policies would be implemented progressively in China, it has the same assumption as control strategy [0] for other countries for the following reasons:  
10 (1) China accounts for 89 %, 95 %, 94 %, 94 %, and 90 % of the total  $\text{NO}_x$ ,  $\text{SO}_2$ ,  $\text{PM}_{10}$ ,  $\text{PM}_{2.5}$ , and NMVOC emissions in East Asia; (2) Japan and Korea already have stringent environmental policies in the base year, the progressive control strategy for other countries except China is not that meaningful. The major assumptions underlying control strategies [0] and [2] are simple and straight forward. Control strategy [0] assumes  
15 current legislation and implementation status, which has already been documented in detail in Sect. 2.2. Control strategy [2] assumes full application of best available technologies in the world. Therefore, in the following text, we will focus on the assumptions for China and leave out the details for other countries. The penetrations of major control technologies in China, Japan and South Korea are summarized in Tables 2–6.

#### 3.2.1 Power plants

As documented in Sect. 2.2.1, the recently released 12th Five-Year Plan set specific targets and proposed detailed technological roadmaps for the reduction of  $\text{SO}_2$  and  $\text{NO}_x$  emissions from power plants. For PM emissions, the government did not set total emission target, but set a strict PM in-stack concentration standard in 2011 ( $30 \text{ mg m}^{-3}$   
25 for the whole country and  $20 \text{ mg m}^{-3}$  for “key regions”). Power plants burning low ash content coal could attain the  $30 \text{ mg m}^{-3}$  threshold by installing ESP and wet-FGD simul-

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taneously. For units burning high ash coal, or when the  $20 \text{ mg m}^{-3}$  threshold applies, high efficiency deduster (HED, including FF and electrostatic-fabric integrated precipitator), proves to be the only commercially available control technology.

BAU[0]/PC[0] scenario considers only the control policies released before the end of 2010. In other words,  $\text{NO}_x$  and PM emissions are mainly controlled with LNB and ESP, respectively. The penetration of FGD would increase quite slowly. The BAU[1]/PC[1] scenario is designed based on the 12th Five-Year Plan and the 2011 emission standard for 2011–2015, and the assumption that high efficiency control technologies will continue to spread gradually after 2015. The penetration of FGD is assumed to approach 100 % by 2015. All new-built thermal power plants should be equipped with low  $\text{NO}_x$  combustion technologies and flue gas denitrification (SCR/SNCR) from 2011 onwards. Existing thermal power plants should be upgraded with low  $\text{NO}_x$  combustion technologies, and large units ( $\geq 300 \text{ MW}$ ) should be upgraded with flue gas denitrification during 2011–2015. SCR/SNCR will gradually penetrate to smaller units after 2015. More ambitious application of measures is required in the “key regions”. For PM, HED would spread much more rapidly, with its share approaching 35 % and 50 % in 2020 and 2030, respectively. In the BAU[2]/PC[2] scenario, the best available technologies, i.e. FGD, LNB+SCR, and HED for PM, are assumed to be fully applied by 2030. Table 2 gives the national average penetration of control technologies. Note that the penetrations in the “key regions” are usually larger than those of other regions.

### 3.2.2 Industrial sector

The latest national emission standard for industrial boilers was released in 2001 (GB13271-2001). Several provinces including Beijing and Guangdong have issued local standards recently. The BAU[0]/PC[0] scenario was designed based on current legislation, i.e. nearly no control measures are utilized for  $\text{SO}_2$  and  $\text{NO}_x$  emissions, and WET remains dominant control technology for PM emissions. The BAU[1]/PC[1] scenario is based on the 12th Five-Year Plan during 2011–2015; progressive control

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and Stage IB+Stage II control measures in gasoline storage and distribution would approach 75 % and 100 % by 2020 and 2030, respectively. The application rate in crude oil distribution would be 25 % and 50 % by 2020 and 2030, respectively (see Table 4). For BAU[2]/PC[2] scenarios, these control measures would be fully applied by 2030.

For other industries with NMVOC emissions, nearly no control measures are assumed for BAU[0]/PC[0] scenario. In BAU[1]/PC[1] scenario, we assume that new NMVOC emission standards (similar to or slightly less stringent than EU Directive 1999/13/EC and 2004/42/EC, depending on specific industry) will be released and implemented in key provinces as of 2015, and in other provinces as of 2020. Afterwards, the emission standards will become more stringent gradually. In terms of technologies, we would prefer basic management techniques (e.g., leakage detection and repair system for refinery, improved solvent management for paint production) when they are applicable. End-of-pipe measures (condensation, adsorption, absorption, incineration etc.) are adopted when high removal rate is required. The penetration of selected control measures assumed for key sources are summarized in Table 4.

### 3.2.3 Residential sector

Control policies have seldom been proposed for residential sector in China. In BAU[0]/PC[0] scenario, we assume no control measures except for the continuous application of CYC and WET for residential boilers. In BAU[1]/PC[1], HED and low-sulfur derived coal are assumed to be promoted gradually, both penetrating 20 % and 40 % of the total capacity by 2020 and 2030 respectively. In addition, we take into consideration the replacement with advanced coal stove, and advanced biomass stove (e.g. better combustion condition, catalytic stove) where applicable, which are beneficial for the reduction of PM and NMVOC. The BAU[2]/PC[2] scenario, assumes the application of best-available technology without considering economic cost.

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### 3.2.4 Transportation sector

In BAU[0]/PC[0] scenario, only existing standards (released before the end of 2010) are considered. In BAU[1]/PC[1] scenario, all the current standards in Europe are assumed to be implemented in China gradually, and the time intervals between the releases of two stage standards would be a little shorter than those of Europe. The implementation timeline of the emission standards is given in Fig. 1. The removal efficiencies of the future emission standards are from the GAINS-Asia model of IIASA (Amann et al., 2008, 2011). The BAU[2]/PC[2] scenario assumes the same assumptions on the implementation timeline of new standards as BAU[1]/PC[1] scenario. In addition, old vehicles with high emissions are phased out at a faster pace through forcible measures and economic subsidies. The proportions of vehicles subject to different emission standards are summarized in Table 6.

### 3.2.5 Non-energy related sector

For emissions from solvent use, BAU[0]/PC[0] scenario considers only several national standards limiting the NMVOCs contents in some solvent products (see Sect. 2.2.5). BAU[1]/BAU[1] scenario assumes that new NMVOC emission standards (similar to or slightly less stringent than EU Directive 1999/13/EC and 2004/42/EC, depending on specific industry) will be released and implemented in key provinces as of 2015, and in other provinces as of 2020. Afterwards, the emission standards will become more stringent gradually. Potential mitigation measures to attain the European standards differ greatly for different emissions sources because of discrepant spraying technologies and various chemical properties of the solvent used. However, these measures could be categorized into two kinds, i.e. substitution with environmentally friendly products (including high solids product, water-based product, and UV product, etc.) and add-on control technologies (including condensation, adsorption, absorption, incineration, etc.). Substitution measures are preferred when applicable, and add-on control

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### 3.3.3 PM<sub>10</sub> and PM<sub>2.5</sub>

PM<sub>10</sub>/PM<sub>2.5</sub> emissions in East Asia are projected to remain relatively stable up to 2030 under the current policies, resulting from the balance between growing energy consumption and existing control policies (in particular, vehicle emission standards).

5 New energy saving policies and progressive end-of-pipe control measures result in about 28 % and 23 % reduction in PM<sub>10</sub>/PM<sub>2.5</sub> emissions from the levels of baseline projection, respectively. Full application of best available technologies could reduce PM<sub>10</sub>/PM<sub>2.5</sub> emissions to about one quarter of the levels of the baseline projection or the base year.

10 China's future PM<sub>10</sub>/PM<sub>2.5</sub> emission trends under the studied scenarios are quite similar to the entire East Asia. Similar to SO<sub>2</sub>, the effects of advanced energy saving policies (resulting in about 29 % reduction of PM<sub>2.5</sub> emissions from baseline projection) exceeds the planned end-of-pipe control measures (about 25 % reduction). With the energy saving measures applied, the reduction in emissions from residential sector is especially impressive (nearly 60 %), resulting from the replacement of coal/biomass with cleaner fuel types. The most effective end-of-pipe control policies are the applica-  
15 tion of recently released new emission standards for various industrial sources. We estimate that these new industrial standards lead to over 20 % of China's total PM<sub>10</sub>/PM<sub>2.5</sub> emissions. If the best available technologies are fully applied, the PM<sub>10</sub>/PM<sub>2.5</sub> emis-  
20 sions would be reduced to about one quarter of the levels of baseline projection or the levels of the base year.

The PM<sub>10</sub>/PM<sub>2.5</sub> emissions in East Asia are also expected to remain relatively stable up to 2030 under the current policies. An exception is Japan, whose PM<sub>10</sub>/PM<sub>2.5</sub> emissions are projected to decrease about one quarter by 2030. The major driving  
25 force underlying such a decline is increasing proportion of vehicles regulated by newer emission standards. The implementation of new energy saving policies is expected to reduce the PM<sub>2.5</sub> emissions of East Asia except China, Japan and South Korea by about 20 %, 17 %, and 9 % respectively. With full application of best available control

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technologies, the PM<sub>2.5</sub> emissions in East Asia except China, Japan and South Korea would account for about one quarter, half and 40 % of the levels of the baseline projection.

### 3.3.4 NMVOC

Under current legislation and current implementation status, NMVOC emissions in East Asia are projected to increase by 24 % by 2030 from the 2010 levels. The implementation of assumed energy saving measures and “progressive” end-of-pipe control measures are expected to reduce NO<sub>x</sub> emissions by 15 % and 23 % respectively from the baseline projection. Up to 62 % of the total NMVOC emissions are expected to remain even the assumed energy saving measures and progressive end-of-pipe control measures are enforced together. There remains large potential to reduce the NMVOC emissions beyond the progressive control strategies, since the full application of best available technologies could reduce NMVOC emissions to only 35 % of the baseline projection.

China’s NMVOC emissions are estimated to increase by 27 % from 2010 to 2030 under current policy and current implementation status. This upward trend is stronger than the East Asia’s average but weaker than China’s NO<sub>x</sub> emissions. The emissions from transportation and residential sectors are expected to decline as a result of existing emission standards for vehicles and the dwindling direct combustion of biomass in residential sector. By carrying out a series of energy saving policies, total emissions are expected to decrease by 16 % from the baseline projection. Emissions from residential sector decrease most notably because of the substitution of biomass by cleaner fuels. Another 26 % could be reduced if progressive end-of-pipe control measures are implemented, and the most effective measures are the substitution with low solvent products and add-on removal technologies such as incineration and adsorption in the industrial and solvent use sectors. With full implementation of the best available technologies, the NMVOC emissions could be reduced to about one third of the levels of the baseline scenario.

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riod of 2005–2008, and 15 % for the period of 2005–2010) compared with the previous studies (2–8 % for 2005–2008, and 2–12 % for 2005–2010).

Most of the projections reviewed here have more or less envisaged China’s SO<sub>2</sub> control policies since 2005. Ohara et al. (2007) predicted that SO<sub>2</sub> emissions would change by 27 %, –11 % and –23 % during 2010–2020 in the policy failure scenario, the “best-guess” scenario and the optimistic scenario respectively, comparable to our BAU[0], PC[0], and BAU[1] scenarios, respectively. Amann et al. (2008) failed to reproduce the declining trend during 2005–2010, but the control policies assumed in its most aggressive scenario (the advanced control technology scenario) resulted in a similar decline rate as our progressive control strategy. The growth rates projected in all the four scenarios of Xing et al. (2011) are higher than our BAU[1] scenario, indicating that his assumptions of future SO<sub>2</sub> control policies are more conservative than our progressive control strategy based on the 12th Five Year Plan. Cofala et al. (2012) predicted the SO<sub>2</sub> emissions to decrease by 20–40 % during 2010–2030 with four scenarios assuming different energy saving policies, while our BAU[0] and PC[0] scenarios predicted the change rates at 26 % and –20 % respectively. As described in Sect. 4.1.1, the differences are also attributed to a stronger growth potential of China’s energy consumption predicted in our study.

### 4.1.3 PM emissions

Zhao et al. (2013d) and Kurokawa et al. (2013) have evaluated the recent trends of PM<sub>10</sub> and PM<sub>2.5</sub> emissions in China. While Zhao et al. (2013d) and this study both showed a declining trend during 2005–2010, Kurokawa et al. (2013) estimated a significant upward tendency after 2005. The discrepancy might be mainly attributed to the uncertainty in the penetration levels of dust collectors at industrial sources.

China has been implementing PM control policies for several decades. Therefore, all of the projections reviewed here have more or less assumed PM control policies in the future. The PM<sub>10</sub> emissions growth rate until 2020 of the least aggressive scenario in Xing et al. (2011) is comparable to our BAU[0] scenario, and the most aggressive

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scenario is comparable to our PC[1] scenario, indicating similar stringency levels of the control policies assumed in these two studies up to 2020. Amann et al. (2008) predicted a slight increase of  $PM_{2.5}$  emissions during 2005–2010, in contrast with a 12 % decline estimated in our study using statistical data. However, the growth rate for the period 2010–2030 in its current legislation scenario is quite close to our BAU[0] scenario; the growth rates in its advanced control technology scenario or optimized scenario are close to our PC[1] scenario. Cofala et al. (2012) projected the change rate of  $PM_{2.5}$  emissions for 2010–2030 between –20 % and –34 % with four energy scenarios, which are comparable to the projected change rates of our BAU[0] (–8 %) and PC[0] (–34 %) scenarios. Finally, it should be noted that our maximum feasible reduction scenario projects much lower emissions than any previously developed scenario.

### 4.1.4 NMVOC emissions

Kurokawa et al. (2013) have estimated the recent trends in China's NMVOC emissions, which showed a slightly stronger upward trend (16 % growth during 2005–2008) than this study (9 % growth for the same period).

Only three studies have projected China's NMVOC emissions since 2005. Compared with our study, Ohara et al. (2007) made similar estimation of NMVOC emissions in 2010, but predicted much higher growth rates for the period 2010–2020 in all its three scenarios, as Ohara et al. (2007) hardly assumed any effective control measures in these scenarios. Xing et al. (2011) and Wei et al. (2011) have considered the effect of recent vehicle emission standards on NMVOC emissions, and assumed pretty simple but progressively emerging control policies until 2020, and therefore achieved similar growth rates to ours for both baseline and progressive strategies. Given China is still in the starting stage of NMVOC emission controls, and new policies could only emerge slowly in the next 5–10 yr, so the emission trends should not deviate greatly from the baseline until 2020. However, control measures at different stringency levels might result in dramatically different emissions by 2030. Our study is the first one to quantify the

effect of potentially new policies on NMVOC emission trends until 2030 and to quantify the maximum feasible reduction potential.

## 4.2 Comparison with observations

SO<sub>2</sub> and NO<sub>2</sub> retrievals from satellite observations are used for comparisons with trends of primary emissions estimated in this work. Lu et al. (2011) retrieved the satellite SO<sub>2</sub> vertical column density (VCD) for Eastern Central China (latitude < 45° N, longitude > 100° E), in which measurements of Ozone Monitoring Instrument (OMI) and Scanning Imaging Absorption Spectrometer for Atmospheric Cartography (SCIAMACHY) during 2005–2010 were used. Fioletov et al. (2013) developed a filtering procedure to remove local biases, in particular transient volcanic signals, and applied this method to retrieve the SO<sub>2</sub> VCD over an area of Eastern China during 2005–2010. The comparison of SO<sub>2</sub> VCDs derived by Lu et al. (2011) and Fioletov et al. (2013) with the estimated SO<sub>2</sub> emissions are shown in Fig. 5a and b, respectively. It can be seen that the temporal trends of SO<sub>2</sub> VCD retrieved by Lu et al. (2011) agree well with that of Fioletov et al. (2013) during 2005–2009. However, Lu et al. (2011) shows a significant increase in SO<sub>2</sub> VCD between 2009 and 2010, while Fioletov et al. (2013) shows a slight increase. Fioletov et al. (2013) concluded that the pronounced increase between 2009 and 2010 might be attributed to transient volcanic signals. Therefore, we excluded the SO<sub>2</sub> VCD in 2010 in Lu et al. (2011) in the following discussion.

As shown in Fig. 5a, during 2005–2009, SO<sub>2</sub> VCD from OMI, SO<sub>2</sub> VCD from SCIAMACHY, and estimated SO<sub>2</sub> emissions decreased by 20 %, 21 %, and 17 % respectively in Eastern Central China. Similarly, during 2005–2010, the declining rate of SO<sub>2</sub> VCD from OMI (16 %), SO<sub>2</sub> VCD from SCIAMACHY (8 %), and estimated SO<sub>2</sub> emissions (15 %) agree fairly well with each other in the studied area of Fioletov et al. (2013). However, SO<sub>2</sub> VCDs from both SCIAMACHY and OMI peak in 2007, while this study shows a monotonic decline in SO<sub>2</sub> emissions as of 2009. This may be mainly attributable to the uncertainty in the actual removal efficiency and operation status of FGD facilities. Although FGDs have been rapidly introduced since 2005, the actual

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operation status has been questioned before by both the government and research community (Xu et al., 2009). In response to this situation, Chinese government began to request the installation of continuous emission monitoring systems (CEMSs) together with FGDs since July 2007 (SEPA, 2007). Therefore, the average removal efficiency should have improved ever since, contributing to the rapid decline in SO<sub>2</sub> during 2007–2009. Despite the inconsistency above, the estimated overall change rate in SO<sub>2</sub> emissions from 2005 to 2010 agrees well with satellite observations.

The NO<sub>2</sub> VCDs were retrieved from OMI and SCIAMACHY with the method described in Zhao et al. (2013b) and Zhang et al. (2012a) respectively. Figure 5c compares the average NO<sub>2</sub> VCD in Eastern Central China and the total NO<sub>x</sub> emissions in this area. It can be seen that the growing trend of NO<sub>x</sub> emissions are well captured by both the observations of OMI and SCIAMACHY. The growth rates of NO<sub>2</sub> VCD from OMI, NO<sub>2</sub> VCD from SCIAMACHY, and NO<sub>x</sub> emissions are 27 %, 34 %, and 31 % respectively.

The trends in PM concentrations are not directly comparable with primary emissions, as secondary PM is formed through complex chemical reactions of primary pollutants. Our previous study (Zhao et al., 2013a) simulated the concentrations of air pollutants in China in 2005 and 2010 using Community Multi-scale Air Quality (CMAQ) model and the same emission inventory as presented in this paper. The trends of air pollutant emissions were evaluated by comparing the trends of simulated air quality with observations. From 2005 to 2010, the simulated PM<sub>10</sub> concentrations of 58 Chinese cities decreased by 7.3 %, which agreed well with the declining rate of the observations (7.6 %, <http://datacenter.mep.gov.cn>). In addition, the simulated changes of Aerosol Optical Depth (AOD) during 2005–2010 can well reproduce the spatial pattern of the AOD changes observed by Moderate Resolution Imaging Spectroradiometer (MODIS). During 2005–2010, AOD decreased in some areas such as the southeast coast of China, but increased in a large part of China, with especially pronounced increase in the Sichuan Basin and the southern part of the North China Plain (Zhao et al., 2013a).

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As described above, both observation and simulation results indicate that annual average  $PM_{10}$  concentrations in major cities of Eastern China decreased since 2005, but the control policies had not been successful in reducing concentrations of fine particles over a large part of China. One of the important reasons for the increase of fine particles during 2005–2010 is that nitrate concentrations increased in most of China driven by the increase of  $NO_x$  and  $NH_3$  emissions (Zhao et al., 2013a). Although sulfate concentrations in East China decreased owing to the decline of  $SO_2$  emissions, the concentrations of secondary inorganic aerosol (SIA) increased in most of China, especially in the Sichuan Basin and eastern Hubei province. In addition, the increase in the emissions of NMVOC led to the increase of secondary organic aerosols. The increase in secondary  $PM_{2.5}$  concentrations offset the decline of primary  $PM_{2.5}$  concentrations and led to the increase of total  $PM_{2.5}$  concentrations in a large part of China (Zhao et al., 2013a). Given above, although the emissions of primary PM and  $SO_2$  decreased in most of China, total  $PM_{2.5}$  concentrations still increased in a large part of China.

## 5 Conclusions and policy implications

In this study we reviewed the application status of air pollution control measures in East Asia in the last decade, evaluated the impact of control policies on the emission trends during 2005–2010, and projected future emissions of  $SO_2$ ,  $NO_x$ ,  $PM_{10}/PM_{2.5}$ , and NMVOC up to 2030 with six emission scenarios envisaging both energy-saving measures and end-of-pipe control measures.

During 2005–2010, the emissions of  $SO_2$  and  $PM_{2.5}$  in East Asia decreased by 15% and 11%, respectively, mainly attributable to the large scale deployment of FGD for China's power plants, and the promotion of high-efficient PM removal technologies in China's power plants and cement industry. During this period, the emissions of  $NO_x$  and NMVOC increased by 25% and 15%, driven by the rapid increase in the emissions from China owing to inadequate control strategies. In contrast, the  $NO_x$  and



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and slowly strengthened control measures after 2015 (as assumed in the “progressive” end-of-pipe control strategy) could reduce China’s emissions of NO<sub>x</sub>, SO<sub>2</sub>, and PM<sub>2.5</sub> significantly. The resulted NO<sub>x</sub>, SO<sub>2</sub>, and PM<sub>2.5</sub> emissions would be 16–26 % lower than the 2010 levels by 2020, and even lower by 2030, demonstrating a high mitigation potential when these legislations are enforced efficiently. Therefore we believe it is essential to support and monitor the progress of implementation of these legislations. Secondly, the contributions of advanced energy saving measures to the reduction of SO<sub>2</sub> and PM<sub>2.5</sub> emissions exceeds those of progressive end-of-pipe control measures by 2030. Since end-of-pipe control technologies, e.g., FGD facilities and high-efficient dedusters, have already been widely applied in typical sources in the base year, their reduction potential would become smaller and smaller in the future. The energy saving measures would play an irreplaceable role for further reduction of air pollutant emissions. Thirdly, control policies for NMVOC emissions are sadly lacked in China and South Korea at present, this study demonstrate that the simultaneous enforcement of energy saving measures and progressive end-of-pipe control measures (mainly assuming enforcement of European standards) could reduce 38 % of the total NMVOC emissions from the levels of baseline projection. Even though, large reduction potential still remains. Relative policies should be carefully optimized to reduce NMVOC emissions efficiently and effectively.

**Supplementary material related to this article is available online at <http://www.atmos-chem-phys-discuss.net/14/2601/2014/acpd-14-2601-2014-supplement.pdf>.**

*Acknowledgements.* This work was sponsored by National Natural Science Foundation of China (21221004), Strategic Priority Research Program of the Chinese Academy of Sciences (XBD05020300), and special fund of State Key Joint Laboratory of Environment Simulation and Pollution Control (12L05ESPC). The corresponding author, Shuxiao Wang, is supported by the Program for New Century Excellent Talents in University (NCET-10-0532) and the China Schol-

arship Council. The authors also appreciate the support from Collaborative Innovation Center for Regional Environmental Quality of Tsinghua University.

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**Table 1.** Definition of the energy and emission scenarios in this study.

Energy scenario name	Energy scenario definition	Emission scenario name	Emission scenario definition
Business as usual (abbr. BAU)	The BAU scenario is based on current legislations and implementation status (until the end of 2010).	BAU[0]	The BAU[0] scenario assumes the same energy saving policies as BAU scenario. For end-of-pipe control strategy, it assumes that all current legislation (until the end of 2010) and the current implementation status will be followed during 2011–2030.
		BAU[1]	The BAU[1] scenario assumes the same energy saving policies as BAU scenario. For end-of-pipe control strategy, it assumes that new pollution control policies would be released and implemented, representing progressive approach towards future environmental policies.
		BAU[2]	The BAU[2] scenario assumes the same energy saving policies as BAU scenario. For end-of-pipe control strategy, it assumes that the technically feasible control technologies would be fully applied by 2030, regardless of the economic cost.
Alternative policy (abbr. PC)	The PC scenario assumes that new energy-saving policies will be released and enforced more stringently, including life style changes, structural adjustment and energy efficiency improvement.	PC[0]	The PC[0] scenario assumes the same energy saving policies as PC scenario, and the same end-of-pipe control strategy as BAU[0] scenario.
		PC[1]	The PC[1] scenario assumes the same energy saving policies as PC scenario, and the same end-of-pipe control strategy as BAU[1] scenario.
		PC[2]	The PC[2] scenario assumes the same energy saving policies as PC scenario, and the same end-of-pipe control strategy as BAU[2] scenario.

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**Table 2.** Penetrations of major control technologies in power sector in China, Japan, and South Korea (% of fuel use).

Energy technology	Control technology	Base year						BAU[0]/PC[0]					
		2005			2010			2020			2030		
		China	Japan	South Korea	China	Japan	South Korea	China	Japan	South Korea	China	Japan	South Korea
Grate boilers	CYC (PM)	12	–	–	12	–	–	0	–	–	0	–	–
	WET (PM)	88	–	–	88	–	–	100	–	–	100	–	–
	HED (PM)	0	–	–	0	–	–	0	–	–	0	–	–
Pulverized coal combustion	WET (PM)	8	0	0	0	0	0	0	0	0	0	0	0
	ESP (PM)	92	3	72	93	2	67	90	0	64	80	0	61
	HED (PM)	0	97	28	7	98	33	10	100	36	20	100	39
	FGD (SO <sub>2</sub> )	12	97	95	88	98	97	93	100	98	96	100	98
	LNB (NO <sub>x</sub> )	53	10	23	75	0	13	82	0	13	84	0	13
	LNB+SNCR (NO <sub>x</sub> )	0	0	5	1	0	5	1	0	5	1	0	5
LNB+SCR (NO <sub>x</sub> )	1	90	56	12	100	68	12	100	72	12	100	76	
Fluidized bed combustion	WET (PM)	8	–	–	0	–	–	0	–	–	0	–	–
	ESP (PM)	92	–	–	100	–	–	90	–	–	80	–	–
	HED (PM)	0	–	–	0	–	–	10	–	–	20	–	–
	CFB-FGD (SO <sub>2</sub> )	17	–	–	53	–	–	66	–	–	80	–	–
	SNCR (NO <sub>x</sub> )	0	–	–	0	–	–	0	–	–	0	–	–
	SCR (NO <sub>x</sub> )	0	–	–	0	–	–	0	–	–	0	–	–
Natural gas power	LNB (NO <sub>x</sub> )	30	80	20	74	61	15	87	52	15	91	50	15
	LNB+SNCR (NO <sub>x</sub> )	0	0	0	1	0	0	1	0	0	1	0	0
	LNB+SCR (NO <sub>x</sub> )	0	20	30	5	39	46	5	48	50	5	50	54

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Table 2. Continued.

Energy technology	Control technology	BAU[1]/PC[1]						BAU[2]/PC[2]		
		2020			2030			2030		
	Country	China	Japan	South Korea	China	Japan	South Korea	China	Japan	South Korea
Grate boilers	CYC (PM)	0	–	–	0	–	–	0	–	–
	WET (PM)	100	–	–	100	–	–	0	–	–
	HED (PM)	0	–	–	0	–	–	100	–	–
Pulverized coal combustion	WET (PM)	0	0	0	0	0	0	0	0	0
	ESP (PM)	65	0	64	50	0	61	0	0	0
	HED (PM)	35	100	36	50	100	39	100	100	100
	FGD (SO <sub>2</sub> )	100	100	98	100	100	98	100	100	100
	LNB (NO <sub>x</sub> )	8	0	13	0	0	13	0	0	0
	LNB+SNCR (NO <sub>x</sub> )	6	0	5	7	0	5	0	0	0
	LNB+SCR (NO <sub>x</sub> )	86	100	72	94	100	76	100	100	100
Fluidized bed combustion	WET (PM)	0	–	–	0	–	–	0	–	–
	ESP (PM)	65	–	–	50	–	–	0	–	–
	HED (PM)	35	–	–	50	–	–	100	–	–
	CFB-FGD (SO <sub>2</sub> )	100	–	–	100	–	–	100	–	–
	SNCR (NO <sub>x</sub> )	30	–	–	80	–	–	70	–	–
	SCR (NO <sub>x</sub> )	5	–	–	20	–	–	30	–	–
Natural gas power	LNB (NO <sub>x</sub> )	50	52	15	10	50	15	0	0	0
	LNB+SNCR (NO <sub>x</sub> )	5	0	0	9	0	0	10	0	0
	LNB+SCR (NO <sub>x</sub> )	45	48	50	81	50	54	90	100	100

Notes: CYC, cyclone dust collector; WET, wet scrubber; ESP, electrostatic precipitator; HED, high efficiency deduster; FGD, flue gas desulfurization; CFB-FGD, flue gas desulfurization for circulated fluidized bed; LNB, low NO<sub>x</sub> combustion technology; SCR, selective catalytic reduction; SNCR, selective non-catalytic reduction. The table gives the national average penetrations of major control technologies. However, the penetrations vary with provinces. The penetration of the “key region” is usually larger than that of other regions.



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**Table 3.** Penetrations of major control technologies in industrial and residential combustion sources in China, Japan, and South Korea (% of fuel use).

Energy technology	Control technology Country	Base year						BAU[0]/PC[0]					
		2005			2010			2020			2030		
		China	Japan	South Korea	China	Japan	South Korea	China	Japan	South Korea	China	Japan	South Korea
Industrial grate boilers	CYC (PM)	23	0	25	0	0	23	0	0	20	0	0	17
	WET (PM)	73	0	12	95	0	9	95	0	9	95	0	9
	ESP (PM)	0	50	16	0	50	16	0	50	16	0	50	16
	HED (PM)	0	50	47	5	50	52	5	50	55	5	50	58
	FGD (SO <sub>2</sub> )	0	42	80	1	42	85	1	42	88	1	42	90
	LNB (NO <sub>x</sub> )	0	65	0	0	80	0	0	80	0	0	80	0
	LNB+SCR (NO <sub>x</sub> )	0	20	0	0	20	0	0	20	0	0	20	0
Residential boilers	CYC (PM)	23	50	60	14	50	51	12	50	45	10	50	40
	WET (PM)	63	0	40	78	0	49	81	0	55	85	0	60
	HED (PM)	0	50	0	0	50	0	0	50	0	0	50	0
	DC (SO <sub>2</sub> )	0	0	0	0	0	0	5	0	0	10	0	0
Coal stoves	STV_ADV_C	0	25	10	0	50	13	0	50	18	0	50	20
Biomass stoves	STV_ADV_B	0	35	30	0	48	35	0	70	35	0	78	35
	STV_PELL	0	0	0	0	0	0	0	0	0	0	0	0

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**Table 3.** Continued.

Energy technology	Control technology Country	BAU[1]/PC[1]						BAU[2]/PC[2]		
		2020			2030			2030		
		China	Japan	South Korea	China	Japan	South Korea	China	Japan	South Korea
Industrial grate boilers	CYC (PM)	0	0	20	0	0	17	0	0	0
	WET (PM)	60	0	9	20	0	9	0	0	0
	ESP (PM)	20	50	16	40	50	16	0	0	0
	HED (PM)	20	50	55	40	50	58	100	100	100
	FGD (SO <sub>2</sub> )	40	42	88	80	42	90	100	100	100
	LNB (NO <sub>x</sub> )	91	80	0	100	80	0	0	0	0
LNB+SCR (NO <sub>x</sub> )	0	20	0	0	20	0	100	100	100	
Residential boilers	CYC (PM)	0	50	45	0	50	40	0	50	50
	WET (PM)	80	0	55	60	0	60	50	0	0
	HED (PM)	20	50	0	40	50	0	50	50	50
	DC (SO <sub>2</sub> )	20	0	0	40	0	0	100	100	100
Coal stoves	STV_ADV_C	10	50	18	30	50	20	100	100	100
Biomass stoves	STV_ADV_B	10	70	35	30	78	35	50	50	50
	STV_PELL	0	0	0	0	0	0	50	50	50

Notes: DC, application of (low-sulfur) derived coal; STV\_ADV\_C, replacement of advanced coal stove; STV\_ADV\_B, replacement of advanced biomass stove (e.g. better combustion condition, catalytic stove); STV\_PELL, biomass pellet stove.



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**Table 4a.** Penetrations of major control technologies for selected industrial process in China – SO<sub>2</sub>.

Industrial process	Control technology	Base year		BAU[0]/PC[0]		BAU[1]/PC[1]		BAU[2]/PC[2]
		2005	2010	2020	2030	2020	2030	2030
Sintering	FGD	0	10	20	40	95	100	100
Coke oven	FGD for coal filling process	0	0	0	0	10	10	0
	FGD for coke oven gas	0	0	0	0	10	10	0
	Combination of the technologies above	0	0	0	0	30	50	100
Glass production (float process)	FGD	0	0	0	0	50	90	100
Sulfuric acid production	Ammonia acid desulfurization method	0	0	0	0	40	80	100

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**Table 4b.** Penetrations of major control technologies for selected industrial process in China – NO<sub>x</sub>.

Industrial process	Control technology	Base year		BAU[0]/PC[0]		BAU[1]/PC[1]		BAU[2]/PC[2]
		2005	2010	2020	2030	2020	2030	2030
Sintering	SNCR	0	0	0	0	36	54	20
	SCR	0	0	0	0	24	36	80
Precalcined cement kiln	LNB	30	35	35	35	30	25	0
	LNB+SNCR	0	0	0	0	30	45	0
Glass production (float process)	LNB+SCR	0	0	0	0	20	30	100
	OXFL	0	0	0	0	80	88	70
Nitric acid (dual pressure process)	SCR	0	0	0	0	10	12	30
	ABSP	10	12	12	12	18	18	18
	SCR	15	18	18	18	72	82	82
Nitric acid (other process)	ABSP+SCR	0	0	0	0	0	0	0
	ABSP	60	63	66	66	5	5	0
	SCR	30	32	34	34	15	15	0
	ABSP+SCR	0	0	0	0	80	80	100

Notes: ABSP, absorption method; OXFL, oxy-fuel combustion technology.





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**Table 5.** Penetrations of major control technologies for NMVOC emissions from selected solvent use types in China.

Solvent use type	Control technology	Base year		BAU[0]/PC[0]		BAU[1]/PC[1]		BAU[2]/PC[2]
		2005	2010	2020	2030	2020	2030	2030
Paint use in interior wall of buildings	No control (GB18582-2001)	100	0	0	0	0	0	0
	Decrease of solvent content–GB18582-2008	0	100	95	90	70	0	0
	Decrease of solvent content–2004/42/EC stage 1	0	0	5	10	30	80	0
	Decrease of solvent content–2004/42/EC stage 2	0	0	0	0	5	20	100
Paint use in external wall of buildings	No control (solvent-based paint)	81.5	78	72.5	68.5	70	50	0
	Substitution with water-based paint	18.5	22	27.5	32.5	30	50	100
Paint use in vehicle manufacturing	No control (water-based primer, solvent-based paint for other parts)	100	97	91	84	35	0	0
	Substitution with water-based paint	0	2	4	6	15	30	0
	Adsorption, incineration	0	1	5	10	40	65	0
	Substitution + adsorption, incineration	0	0	0	0	0	5	100
Paint use in vehicle refinishing	No control (solvent-based paint)	95	92.5	87.5	82.5	80	40	0
	Substitution with high solids or water-based paint	5	7.5	12.5	17.5	20	60	100
Paint use in wood coating	No control (solvent-based paint)	93.5	89	79	69	50	15	0
	Incineration	0	0	2	4	15	25	20
	Substitution with high solids paint	2	4	8	12	15	25	20
	Substitution with water-based or UV paint	4.5	7	11	15	20	35	60
Offset printing	No control (solvent-based ink)	94	90	85	80	60	15	0
	Substitution with water-based or UV ink	6	10	15	20	20	30	10
	Add-on control technology	0	0	0	0	20	55	90
Flexography and rotogravure printing (for packaging)	No control (solvent-based ink)	70	64	55	45	30	0	0
	Substitution with low solvent or water-based ink	30	35	40	45	40	30	0
	Add-on control technology	0	1	5	10	10	30	0
Flexography and rotogravure printing (for publication)	Substitution + add-on control technology	0	0	0	0	20	40	100
	No control (solvent-based ink)	90	85	80	75	62.5	5	0
	Substitution with low solvent or water-based ink	10	15	20	25	22.5	40	0
Screen printing	Add-on control technology	0	0	0	0	15	50	0
	Substitution + add-on control technology	0	0	0	0	0	5	100
	No control (solvent-based ink)	90	85	80	75	62.5	5	0
	Substitution with low solvent or water-based ink	10	15	20	25	22.5	40	0
Adhesive use in wood processing	Add-on control technology	0	0	0	0	15	50	0
	Substitution + add-on control technology	0	0	0	0	0	5	100
	No control	100	97.5	92.5	87.5	90	60	0
	Add-on control technology	0	2.5	7.5	12.5	10	40	100
Adhesive use in manufacturing of shoes	No control (solvent-based adhesive)	90	87	82.5	80	70	50	10
	Substitution with low solvent adhesive	10	13	17.5	20	30	50	90
	Add-on control technology	0	0	0	0	0	0	0





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**Table 6b.** Penetrations of vehicle emission standards in China, Japan, and South Korea (%) – Japan.

Vehicle	Standard	Base year		BAU[0]/ BAU[1]/ PC[0]/ PC[1]		BAU[2] / PC[2]		Vehicle	Standard	Base year		BAU[0]/ BAU[1]/ PC[0]/ PC[1]		BAU[2]/ PC[2]	
		2005	2010	2020	2030	2030	2005			2010	2020	2030	2020	2030	
HDT-D	BST	41%	25%	0%	0%	0%	0%	LDT-G	BST	38%	16%	1%	0%	0%	0%
	ST	27%	19%	1%	0%	0%	0%		ST	4%	2%	0%	0%	0%	0%
	LT	26%	25%	22%	0%	0%	0%		LT	10%	6%	0%	0%	0%	0%
	NST	7%	11%	10%	0%	0%	0%		1998R	14%	10%	6%	0%	0%	0%
	NLT	0%	20%	22%	7%	0%	0%		NST	34%	31%	19%	0%	0%	0%
PNLT	0%	0%	44%	93%	100%	0%	NLT	0%	34%	24%	8%	0%	0%		
HDB-D	BST	52%	32%	0%	0%	0%	0%	LDB-B	PNLT	0%	0%	49%	92%	100%	0%
	ST	19%	15%	2%	0%	0%	0%		BST	12%	4%	0%	0%	0%	0%
	LT	25%	24%	23%	0%	0%	0%		ST	4%	1%	0%	0%	0%	0%
	NST	5%	8%	8%	0%	0%	0%		LT	4%	1%	0%	0%	0%	0%
	NLT	0%	20%	22%	8%	0%	0%		1998R	16%	6%	3%	0%	0%	0%
PNLT	0%	0%	45%	92%	100%	0%	NST	63%	35%	17%	0%	0%	0%		
LDT-D	BST	41%	27%	0%	0%	0%	0%	CAR	NLT	0%	52%	27%	10%	0%	0%
	ST	27%	20%	0%	0%	0%	0%		PNLT	0%	0%	53%	90%	100%	0%
	LT	27%	23%	22%	0%	0%	0%		1983R	72%	32%	8%	0%	0%	0%
	NST	5%	11%	10%	0%	0%	0%		NST	28%	37%	24%	0%	0%	0%
	NLT	0%	20%	23%	7%	0%	0%		NLT	0%	31%	23%	9%	0%	0%
PNLT	0%	0%	46%	93%	100%	0%	PNLT	0%	0%	46%	91%	100%	0%		

Notes: BST, before short term target; ST, short term target; LT, long term target; NST, new-short term target; NLT, new-long term target; PNL, post new-long term target; 1998R, 1998 regulation; 1983R, 1983 regulation.



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**Table 6c.** Penetrations of vehicle emission standards in China, Japan, and South Korea (%) – South Korea.

Vehicle	Standard	Base year		BAU[0]/ BAU[1]/ PC[0]/ PC[1]		BAU[2] / PC[2]		Vehicle	Standard	Base year		BAU[0]/ BAU[1]/ PC[0]/ PC[1]		BAU[2]/ PC[2]	
		2005	2010	2020	2030	2030	2005			2010	2020	2030	2030		
HDT-D	NOC	0	0	0	0	0		LDT-G	NOC	0	0	0	0	0	
	HDEUI	13	10	0	0	0		LFEUI	15	10	0	0	0		
	HDEUII	15	13	0	0	0		LFEUII	33	12	0	0	0		
	HDEUIII	35	33	0	0	0		LFEUIII	30	28	0	0	0		
	HDEUIV	0	24	10	0	0		LFEUIV	7	38	23	0	0		
	HDEUV	0	12	48	0	0		LFEUV	0	10	77	100	0		
HDEUVI	0	0	42	100	100		LFEUVI	0	0	0	0	100			
HDB-D	NOC	0	0	0	0	0		LDB-G	NOC	0	0	0	0	0	
	HDEUI	13	10	0	0	0		LFEUI	15	10	0	0	0		
	HDEUII	15	13	0	0	0		LFEUII	33	12	0	0	0		
	HDEUIII	35	33	0	0	0		LFEUIII	30	28	0	0	0		
	HDEUIV	0	24	10	0	0		LFEUIV	7	38	23	0	0		
	HDEUV	0	12	48	0	0		LFEUV	0	10	77	100	0		
HDEUVI	0	0	42	100	100		LFEUVI	0	0	0	0	100			
LDT-D	NOC	0	0	0	0	0		CAR-G	NOC	0	0	0	0	0	
	MDEUI	30	10	0	0	0		LFEUI	15	10	0	0	0		
	MDEUII	20	17	0	0	0		LFEUII	33	12	0	0	0		
	MDEUIII	35	34	0	0	0		LFEUIII	30	28	0	0	0		
	MDEUIV	0	27	25	0	0		LFEUIV	7	38	23	0	0		
	MDEUV	0	8	35	0	0		LFEUV	0	10	77	100	0		
MDEUVI	0	0	40	100	100		LFEUVI	0	0	0	0	100			

Note: South Korea adopted United States emission standards for gasoline vehicles, which were equivalent to the penetrations of European standards above in terms of removal efficiencies.



**Table 7.** Summary of national energy consumption in East Asia (Unit: EJyr<sup>-1</sup>).

	2005	2010	BAU		PC	
			2020	2030	2020	2030
China, mainland	85.31	121.75	169.41	199.55	144.39	155.01
Power plants	18.87	25.52	37.45	43.93	32.23	36.07
Other conversion	3.11	5.30	5.98	6.49	5.07	4.84
Industry	37.79	58.16	73.64	81.68	62.83	66.51
Residential	18.12	20.79	28.05	32.78	22.96	24.01
Transportation	6.73	11.00	22.91	33.02	20.04	22.11
Loss	0.69	0.98	1.37	1.64	1.26	1.46
Coal	58.01	82.93	106.83	118.80	83.84	80.31
Oil	12.48	18.28	33.36	45.31	28.62	29.68
Gas	1.80	4.19	7.87	10.99	8.40	14.35
Biomass	7.60	7.21	7.35	6.74	6.30	6.21
Other renewables and nuclear	5.43	9.15	14.01	17.71	17.24	24.45
Japan	22.03	21.36	21.78	21.86	20.93	20.09
Power plants	5.59	5.22	5.63	6.07	5.54	5.35
Other conversion	1.62	2.25	2.27	2.21	2.13	1.93
Industry	5.61	5.32	5.62	5.57	5.45	5.30
Residential	5.03	4.86	5.17	5.48	5.02	5.28
Transportation	4.17	3.71	3.10	2.53	2.80	2.23
Coal	4.23	4.79	4.73	4.47	4.46	2.45
Oil	9.97	8.47	7.45	6.70	6.78	5.84
Gas	3.79	4.33	4.92	5.12	4.43	4.46
Biomass	0.29	0.30	0.42	0.54	0.50	0.68
Other renewables and nuclear	3.76	3.50	4.30	5.07	4.82	6.68
South Korea	8.90	10.59	12.33	13.31	11.81	12.22
Power plants	2.33	2.85	3.52	4.00	3.40	3.65
Other conversion	0.48	0.98	1.03	1.03	0.95	0.89
Industry	3.01	3.58	3.99	4.17	3.87	3.95
Residential	1.71	1.83	2.19	2.40	2.11	2.25
Transportation	1.37	1.34	1.61	1.70	1.48	1.49
Coal	2.05	3.17	3.22	3.31	3.00	1.75
Oil	3.90	4.10	4.43	4.45	4.26	4.16
Gas	1.23	1.59	2.16	2.29	1.93	2.13
Biomass	0.09	0.13	0.19	0.28	0.22	0.37
Other renewables and nuclear	1.62	1.64	2.37	3.02	2.49	3.91
North Korea	1.42	1.55	1.95	2.51	1.90	1.96
Mongolia	0.12	0.09	0.08	0.08	0.06	0.04
Hong Kong & Macao, China	0.76	0.93	1.30	1.39	1.16	1.08
Taiwan, China	4.43	4.24	4.27	4.43	3.94	4.16
Total	122.97	160.51	211.12	243.13	184.20	194.57
Total (except mainland China)	37.66	38.75	41.70	43.59	39.80	39.56

**Table 8.** Summary of national air pollutant emissions in East Asia (unit: Mtyr<sup>-1</sup>).

	Base year		BAU[0]		BAU[1]		BAU[2]	PC[0]		PC[1]		PC[2]
	2005	2010	2020	2030	2020	2030	2030	2020	2030	2020	2030	2030
<b>NO<sub>x</sub></b>												
China, mainland	19.48	26.05	31.69	35.35	19.18	15.82	10.18	26.32	25.16	15.95	11.47	7.18
Japan	2.050	1.616	1.033	0.860	1.033	0.860	0.461	0.954	0.727	0.954	0.727	0.392
South Korea	1.112	1.055	0.809	0.697	0.809	0.697	0.245	0.778	0.575	0.778	0.575	0.210
North Korea	0.276	0.284	0.345	0.481	0.345	0.481	0.086	0.342	0.375	0.342	0.375	0.067
Mongolia	0.064	0.058	0.055	0.057	0.055	0.057	0.041	0.052	0.047	0.052	0.047	0.034
Hong Kong & Macao, China	0.199	0.230	0.229	0.260	0.229	0.260	0.039	0.208	0.218	0.208	0.218	0.033
Taiwan, China	0.551	0.440	0.348	0.342	0.348	0.342	0.091	0.316	0.287	0.316	0.287	0.076
<b>Total</b>	<b>23.73</b>	<b>29.74</b>	<b>34.51</b>	<b>38.05</b>	<b>21.99</b>	<b>18.51</b>	<b>11.14</b>	<b>28.97</b>	<b>27.39</b>	<b>18.60</b>	<b>13.70</b>	<b>8.00</b>
Total except mainland China	4.252	3.682	2.819	2.697	2.819	2.697	0.963	2.649	2.229	2.649	2.229	0.813
<b>SO<sub>2</sub></b>												
China, mainland	28.70	24.42	29.07	30.68	20.59	18.23	13.37	22.24	19.49	15.69	11.55	8.34
Japan	0.705	0.562	0.520	0.518	0.520	0.518	0.294	0.507	0.470	0.507	0.470	0.268
South Korea	0.410	0.400	0.408	0.358	0.408	0.358	0.162	0.384	0.301	0.384	0.301	0.141
North Korea	0.268	0.297	0.368	0.471	0.368	0.471	0.099	0.363	0.333	0.363	0.333	0.070
Mongolia	0.087	0.073	0.065	0.073	0.065	0.073	0.011	0.054	0.036	0.054	0.036	0.005
Hong Kong & Macao, China	0.022	0.016	0.019	0.021	0.019	0.021	0.007	0.017	0.016	0.017	0.016	0.006
Taiwan, China	0.244	0.139	0.115	0.119	0.115	0.119	0.026	0.104	0.122	0.104	0.122	0.026
<b>Total</b>	<b>30.44</b>	<b>25.91</b>	<b>30.57</b>	<b>32.24</b>	<b>22.08</b>	<b>19.78</b>	<b>13.97</b>	<b>23.67</b>	<b>20.77</b>	<b>17.12</b>	<b>12.83</b>	<b>8.85</b>
Total except mainland China	1.735	1.486	1.496	1.559	1.496	1.559	0.599	1.431	1.278	1.431	1.278	0.516
<b>PM<sub>10</sub></b>												
China, mainland	18.61	15.81	16.24	15.26	13.24	10.53	6.64	13.13	11.15	10.76	7.52	4.17
Japan	0.206	0.167	0.137	0.125	0.137	0.125	0.087	0.131	0.111	0.131	0.111	0.078
South Korea	0.093	0.116	0.115	0.117	0.115	0.117	0.062	0.112	0.111	0.112	0.111	0.059
North Korea	0.596	0.558	0.557	0.599	0.557	0.599	0.134	0.477	0.444	0.477	0.444	0.099
Mongolia	0.053	0.040	0.034	0.035	0.034	0.035	0.008	0.029	0.019	0.029	0.019	0.004
Hong Kong & Macao, China	0.039	0.043	0.049	0.046	0.049	0.046	0.012	0.043	0.033	0.043	0.033	0.009
Taiwan, China	0.095	0.085	0.078	0.081	0.078	0.081	0.035	0.072	0.066	0.072	0.066	0.029
<b>Total</b>	<b>19.69</b>	<b>16.81</b>	<b>17.21</b>	<b>16.26</b>	<b>14.21</b>	<b>11.53</b>	<b>6.98</b>	<b>13.99</b>	<b>11.94</b>	<b>11.62</b>	<b>8.31</b>	<b>4.45</b>
Total except mainland China	1.082	1.007	0.970	1.002	0.970	1.002	0.338	0.864	0.784	0.864	0.784	0.277
<b>PM<sub>2.5</sub></b>												
China, mainland	13.34	11.79	11.74	10.87	9.61	7.29	4.31	9.43	7.73	7.71	5.03	2.50
Japan	0.142	0.102	0.084	0.077	0.084	0.077	0.051	0.077	0.064	0.077	0.064	0.044
South Korea	0.112	0.128	0.128	0.134	0.128	0.134	0.054	0.119	0.121	0.119	0.121	0.051
North Korea	0.407	0.383	0.401	0.426	0.401	0.426	0.101	0.349	0.329	0.349	0.329	0.078
Mongolia	0.025	0.019	0.016	0.016	0.016	0.016	0.004	0.014	0.010	0.014	0.010	0.002
Hong Kong & Macao, China	0.023	0.024	0.026	0.027	0.026	0.027	0.006	0.023	0.022	0.023	0.022	0.005
Taiwan, China	0.057	0.049	0.045	0.047	0.045	0.047	0.019	0.041	0.038	0.041	0.038	0.016
<b>Total</b>	<b>14.11</b>	<b>12.49</b>	<b>12.44</b>	<b>11.60</b>	<b>10.31</b>	<b>8.02</b>	<b>4.54</b>	<b>10.05</b>	<b>8.31</b>	<b>8.33</b>	<b>5.61</b>	<b>2.70</b>
Total except mainland China	0.766	0.705	0.700	0.727	0.700	0.727	0.234	0.622	0.584	0.622	0.584	0.195
<b>NMVOC</b>												
China, mainland	18.89	22.86	26.29	28.97	22.90	20.46	12.62	23.70	24.30	20.53	16.80	10.37
Japan	1.755	1.223	1.218	1.268	1.218	1.268	0.297	1.217	1.262	1.217	1.262	0.291
South Korea	0.756	0.866	0.875	0.943	0.875	0.943	0.286	0.743	0.794	0.743	0.794	0.253
North Korea	0.401	0.389	0.463	0.577	0.463	0.577	0.081	0.423	0.481	0.423	0.481	0.068
Mongolia	0.022	0.020	0.019	0.019	0.019	0.019	0.004	0.017	0.016	0.017	0.016	0.004
Hong Kong & Macao, China	0.123	0.138	0.160	0.178	0.160	0.178	0.146	0.156	0.170	0.156	0.170	0.140
Taiwan, China	0.599	0.402	0.243	0.203	0.243	0.203	0.166	0.223	0.180	0.223	0.180	0.147
<b>Total</b>	<b>22.55</b>	<b>25.90</b>	<b>29.27</b>	<b>32.16</b>	<b>25.88</b>	<b>23.64</b>	<b>13.60</b>	<b>26.48</b>	<b>27.20</b>	<b>23.31</b>	<b>19.70</b>	<b>11.27</b>
Total except mainland China	3.657	3.039	2.977	3.188	2.977	3.188	0.980	2.780	2.902	2.780	2.902	0.901

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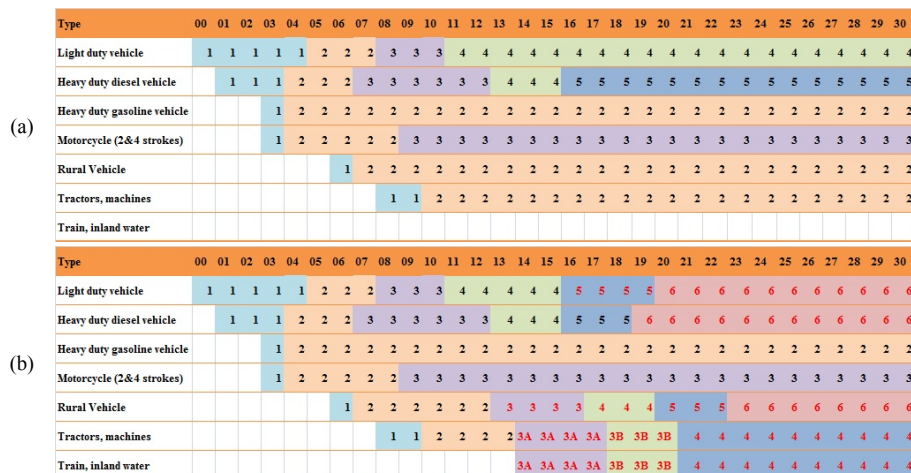
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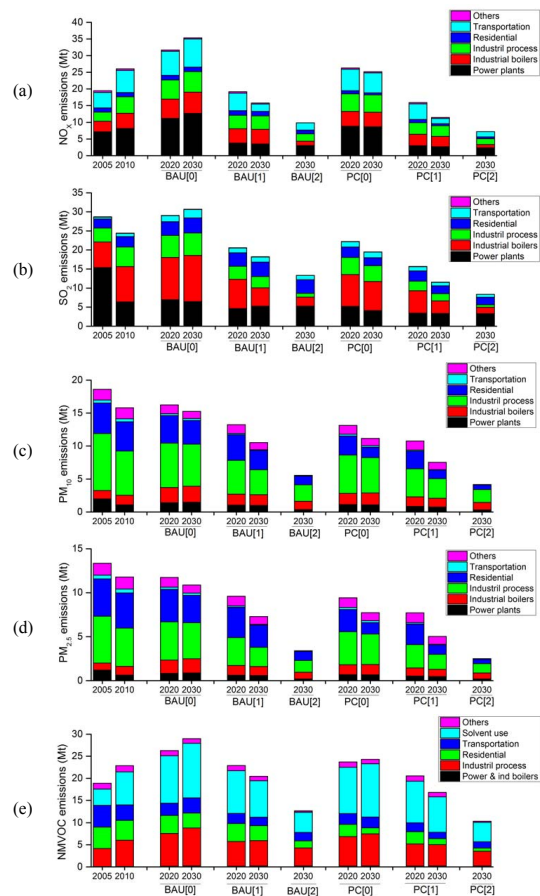
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**Fig. 1.** The implementation time of the vehicle emission standards: **(a)** BAU[0]/PC[0] scenario; **(b)** BAU[1]/PC[1]/BAU[2]/PC[2] scenario. The Arabic numbers 1–6 represent Euro I to Euro VI vehicle emission standards. Numbers in black represent standards released by the end of 2010, and that in red represent those to be released in the future.

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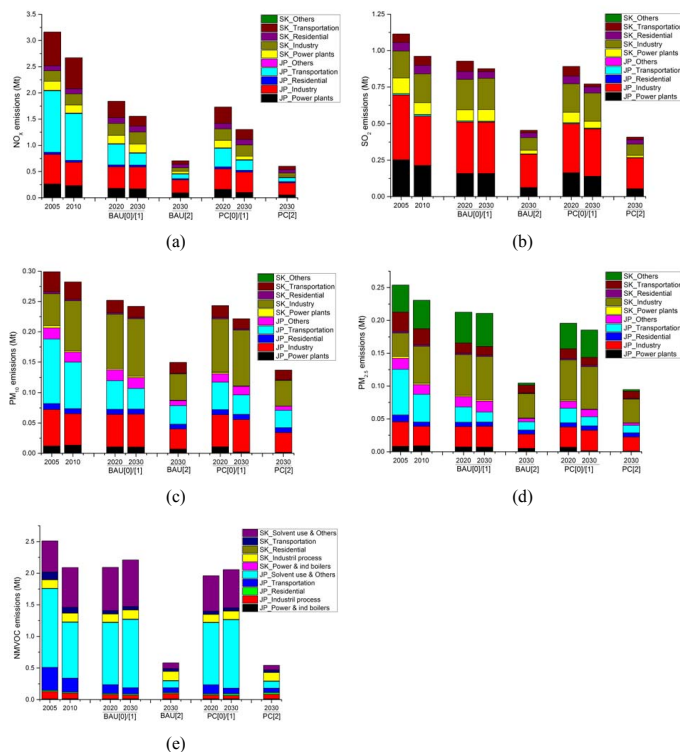


**Fig. 2.** Emissions of major air pollutants in China and their sectoral distribution during 2005–2030: (a) NO<sub>x</sub>; (b) SO<sub>2</sub>; (c) PM<sub>10</sub>; (d) PM<sub>2.5</sub>; (e) NMVOC.

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**Fig. 3.** Emissions of major air pollutants in Japan and South Korea and their sectoral distribution during 2005–2030: **(a)**  $\text{NO}_x$ ; **(b)**  $\text{SO}_2$ ; **(c)**  $\text{PM}_{10}$ ; **(d)**  $\text{PM}_{2.5}$ ; **(e)** NMVOC. JP and SK in the legend represent Japan and South Korea, respectively.

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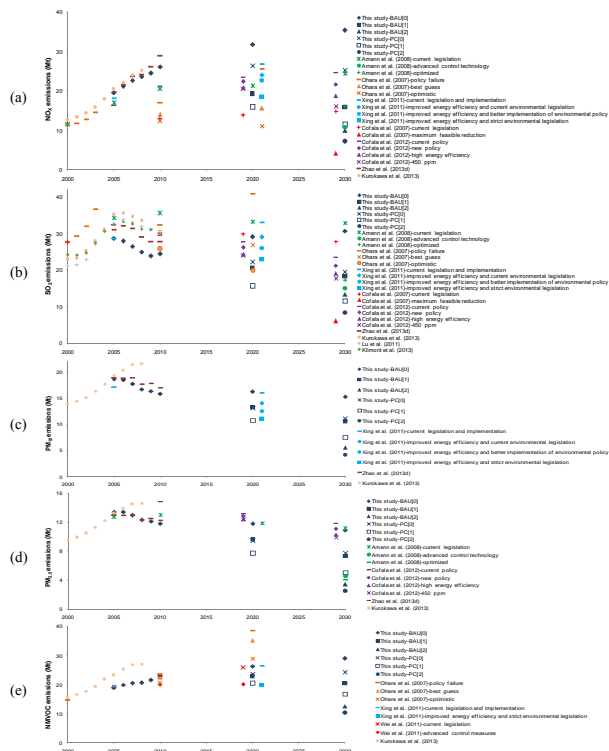
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**Fig. 4.** Comparison of emission estimation in this study with other studies: **(a)**  $\text{NO}_x$ ; **(b)**  $\text{SO}_2$ ; **(c)**  $\text{PM}_{10}$ ; **(d)**  $\text{PM}_{2.5}$ ; **(e)** NMVOC. Scenarios from the same study are shown with symbols of the same colour, and only the historical emissions for the first scenario are shown. Some points for the years 2020 and 2030 are shifted a little left or right, in order to avoid overlapping. Note that the current legislation scenario in Amann et al. (2008) is consistent with the baseline scenario in Klimont et al. (2009), and the historical emission trends of Zhao et al. (2013a) is consistent with this study. Therefore, Klimont et al. (2009) and Zhao et al. (2013a) are not shown in the figures.

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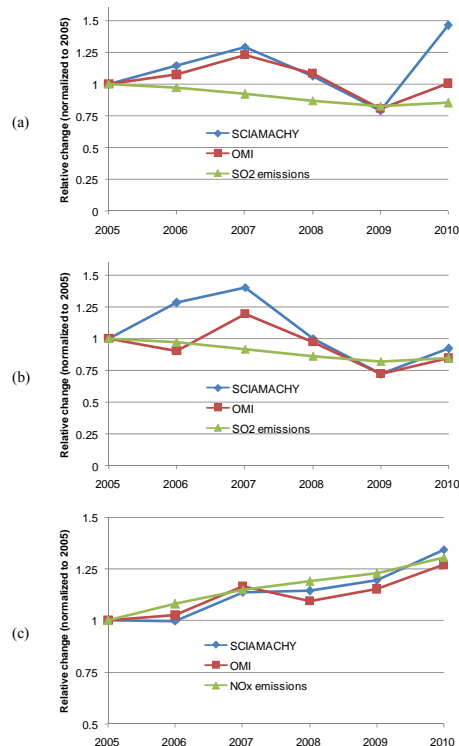
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**Fig. 5.** Inter-annual relative changes of SO<sub>2</sub> and NO<sub>2</sub> VCD from satellite observations and emission estimation in this study. All data are normalized to 2005. **(a)** Average SO<sub>2</sub> VCD and total SO<sub>2</sub> emissions in Eastern Central China (latitude < 45° N, longitude > 100° E). SO<sub>2</sub> VCD was derived by Lu et al. (2011). **(b)** Average SO<sub>2</sub> VCD and total SO<sub>2</sub> emissions over an area of Eastern China (34° N–38° N, 112° E–118° E). SO<sub>2</sub> VCD was derived by Fioletov et al. (2013), in which a filtering procedure was applied to remove local biases, in particular volcanic signals. **(c)** Average NO<sub>2</sub> VCD and total NO<sub>x</sub> emissions in Eastern Central China. NO<sub>2</sub> VCD was retrieved from OMI and SCIAMACHY in this study.