

1 **Chemical characterization of biogenic SOA generated from**  
2 **plant emissions under baseline and stressed conditions:**  
3 **inter- and intra-species variability for six coniferous**  
4 **species**

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6 **C. L. Faiola<sup>1,\*</sup>, M. Wen<sup>1</sup> and T. M. VanReken<sup>1</sup>**

7 [1]{Laboratory for Atmospheric Research, Department of Civil and Environmental  
8 Engineering, Washington State University, Pullman, Washington}

9 [\*]{now at: Department of Applied Physics, University of Eastern Finland, Kuopio, Finland}

10 Correspondence to: T. M. VanReken (vanreken@wsu.edu)

11  
12 **Abstract**

13 The largest global source of secondary organic aerosol in the atmosphere is derived from the  
14 oxidation of biogenic emissions. Plant stressors associated with a changing environment can  
15 alter both the quantity and composition of the compounds that are emitted. Alterations to the  
16 biogenic VOC profile could impact the characteristics of the SOA formed from those  
17 emissions. This study investigated the impacts of one global change stressor, increased  
18 herbivory, on the composition of SOA derived from real plant emissions. Herbivory was  
19 simulated via application of methyl jasmonate, a proxy compound. Experiments were  
20 repeated under pre- and post-treatment conditions for six different coniferous plant types.  
21 VOCs emitted from the plants were oxidized to form SOA via dark ozone-initiated chemistry.  
22 The SOA particle size distribution and chemical composition were measured using a scanning  
23 mobility particle sizer (SMPS) and Aerodyne high-resolution time-of-flight aerosol mass  
24 spectrometer (HR-AMS), respectively. The aerosol mass spectra of pre-treatment biogenic  
25 SOA from all plant types tended to be similar with correlations usually greater than or equal  
26 to 0.90. The presence of a stressor produced characteristic differences in the SOA mass  
27 spectra. Specifically, the following m/z were identified as a possible biogenic stress AMS  
28 marker with the corresponding HR ion(s) shown in parentheses: m/z 31 (CH<sub>3</sub>O<sup>+</sup>), m/z 58  
29 (C<sub>2</sub>H<sub>2</sub>O<sub>2</sub><sup>+</sup>, C<sub>3</sub>H<sub>6</sub>O<sup>+</sup>) m/z 29 (C<sub>2</sub>H<sub>5</sub><sup>+</sup>), m/z 57 (C<sub>3</sub>H<sub>5</sub>O<sup>+</sup>), m/z 59 (C<sub>2</sub>H<sub>3</sub>O<sub>2</sub><sup>+</sup>, C<sub>3</sub>H<sub>7</sub>O<sup>+</sup>), m/z 71

1 ( $C_3H_3O_2^+$ ,  $C_4H_7O^+$ ), and  $m/z$  83 ( $C_5H_7O^+$ ). The first aerosol mass spectrum of SOA generated  
2 from the oxidation of the plant stress hormone, methyl jasmonate, is also presented. Elemental  
3 analysis results demonstrated an O:C range of baseline biogenic SOA between 0.3-0.47. The  
4 O:C of standard methyl jasmonate SOA was 0.52. Results presented here could be used to  
5 help identify a biogenic plant stress marker in ambient datasets collected in forest  
6 environments.

## 7 **1 Introduction**

8 Organic material comprises 20-90% of the mass in atmospheric particles smaller than one  
9 micrometer (Jimenez et al., 2009; Zhang et al., 2007). Most of this small organic particulate  
10 material is secondary organic aerosol (SOA), and the major fraction of SOA globally is  
11 formed from the oxidation of biogenic volatile organic compounds (BVOC) released by  
12 vegetation (Hallquist et al., 2009). BVOCs are emitted by plants primarily for defensive  
13 purposes (Dudareva et al., 2006; Kesselmeier and Staudt, 1999). BVOC emission rates and  
14 emission profiles (i.e., the types of compounds emitted) can change significantly when plants  
15 are exposed to biotic and abiotic stressors (Holopainen, 2004; Peñuelas and Staudt, 2010;  
16 Pinto et al., 2010). It follows then that plant stress exposure associated with climate change  
17 could have significant impacts on SOA formation, and thus could lead to a climate feedback  
18 because atmospheric aerosols play an important role in the global radiation budget.

19 Potential climate change feedbacks resulting from the processes linking naturally produced  
20 aerosols and the rest of the Earth system have been summarized by Carslaw et al. (2010).  
21 These processes include the production of secondary sulfate aerosol from phytoplankton  
22 emissions, physical processes that contribute to dust entrainment, and the formation of  
23 biogenic SOA from terrestrial plant emissions. Their simulations estimate that the radiative  
24 forcing resulting from these feedbacks could produce positive radiative perturbations up to 1  
25  $W\ m^{-2}$  by the end of the 21<sup>st</sup> century, amplifying the expected effects of climate change  
26 (Carslaw et al., 2010). Another review focused on feedbacks between the terrestrial biosphere  
27 and climate, and also included a discussion of the biogenic SOA formation process (Arneth et  
28 al., 2010). They estimated that climate feedbacks with the terrestrial biosphere could result in  
29 positive radiative perturbations of up to  $1.5\ W\ m^{-2}\ K^{-1}$  by the end of the 21<sup>st</sup> century. Both  
30 reviews make clear that more work is required to fully understand these feedbacks, stating  
31 that the current level of scientific understanding for them is “poor” (Carslaw et al., 2010) and  
32 “very low” (Arneth et al., 2010). Despite the uncertainty in these projections, the assessments

1 of both papers are in stark contrast to the previously held assumption that the overall  
2 contribution of vegetation to the changing climate system is to act as a sink for increasing  
3 CO<sub>2</sub> (Magnani et al., 2007). Carslaw et al. (2010) listed several research topics that need to  
4 be addressed in order to reduce the uncertainty in these predictions; resolving BVOC  
5 responses to climate change stressors and investigating the subsequent impact on biogenic  
6 SOA formation was included as a high priority for future research projects.

7 Most studies of how BVOC emissions respond to stressors have focused solely on the BVOC  
8 emissions themselves. Using these results to infer overall impacts on climate requires highly  
9 uncertain assumptions about how different mixtures of BVOCs could impact SOA yields and  
10 chemical composition. A few studies have examined SOA formation from real plant  
11 emissions more directly. Joutsensaari et al. (2005) were the first to report SOA formation in a  
12 laboratory chamber from the oxidation of real plant emissions. They used a methyl jasmonate  
13 treatment to induce emissions in order to investigate the role of inducible plant volatiles in  
14 particle nucleation and growth. Other studies have focused on SOA production and chemical  
15 composition from BVOC emissions under baseline conditions, rather than looking at potential  
16 feedbacks between stressors and climate (Hao et al., 2011; Kiendler-Scharr et al., 2009;  
17 Mentel et al., 2009; VanReken et al., 2006). Our own recent work showed that SOA can also  
18 form from BVOCs emitted from leaf litter, and that this aerosol is chemically very similar to  
19 SOA produced from live tree emissions (Faiola et al., 2014b). BVOC emissions from leaf  
20 litter were also found to respond to external environmental drivers, raising the possibility of  
21 additional pathways for climate feedbacks to occur.

22 Recently, there have been two studies that compared biogenic SOA yields for baseline  
23 emission versus stressed conditions. Lang-Yona et al. (2010) examined the effect of increased  
24 temperature on holm oak (*Quercus ilex*) emissions and subsequent SOA formation, finding  
25 that increased temperature led to heightened BVOC emissions and increased SOA production.  
26 The BVOC profile was slightly altered with increasing temperature, but this did not impact  
27 the resultant SOA mass yields. In another study, Mentel et al. (2013) investigated the impact  
28 of herbivory, drought, and heat stress on biogenic SOA yields. They found that the measured  
29 impact on SOA formation was different for different stressors. For example, infestation by  
30 the aphid *Cinara pilicornis* resulted in emissions of large organic compounds that had higher  
31 SOA yields than the baseline emissions (33% stress yield vs. 4-6% baseline yield). However,  
32 if the plants were experiencing both herbivory and drought stress concurrently, emissions of

1 small six-carbon green leaf volatiles increased, which reduced biogenic SOA yields. These  
2 results suggest that climate change could have significant impacts on biogenic SOA  
3 formation, and furthermore, that multiple stressors can interact to change the SOA formation  
4 potential of BVOC emissions in a different way than a single stressor in isolation. These  
5 previous plant stress SOA formation studies provide valuable insight into the potential  
6 impacts of climate change stressors on biogenic SOA yields. However, to date there have  
7 been no in-depth analyses to investigate how plant stress may affect biogenic SOA  
8 composition, which would have implications for aerosol radiative properties and cloud  
9 forming potential. The research described in this paper addresses these gaps in our current  
10 understanding of the variability in biogenic SOA composition—including a discussion of  
11 inter- and intra-plant species variability as well as a first look at some impacts of herbivore  
12 stress on biogenic SOA composition.

## 13 **2 Methods**

### 14 **2.1 Description of dual chamber system and operation**

15 The experiments presented here were performed using the Biogenic Aerosol Formation  
16 Facility at Washington State University. This dual chamber facility uses emissions from  
17 living vegetation as the precursor VOC source for SOA formation. This is in contrast to other  
18 systems that have historically used commercially obtained pure compounds as a proxy for  
19 biogenic emissions. The facility includes a dynamic plant enclosure and an aerosol growth  
20 chamber. The plant enclosure is a rectangular 0.3 m x 0.3 m x 0.3 m FEP Teflon film dynamic  
21 enclosure where sapling trees are stored. A full description of the plant enclosure and the on-  
22 line analytical gas chromatography (GC) system used to measure BVOC emissions is  
23 provided in a separate paper that focuses on the impacts of herbivory stress on plant emissions  
24 (Faiola et al., 2014a). The current paper focuses specifically on the composition of biogenic  
25 SOA formed from the oxidation of the plant emissions.

26 The aerosol growth chamber operation and SOA generation methods are similar to those  
27 described by Faiola et al. (2014b). Chamber dimensions were 1.6 m x 2.2 m x 2.2 m. All  
28 aerosol growth experiments were conducted with the chamber using a batch mode approach.  
29 Oxidation of SOA precursors was initiated with ozone that was generated with an Enaly  
30 model HG-1500 ozone generator. The chemistry in this chamber is best described as dark  
31 “ozone-initiated” chemistry because the chamber was not equipped with UV lights and no OH

1 scavenger was used. Most experiments were un-seeded. Experiments where 50-nm  
2 ammonium sulfate seed particles were used are marked with an asterisk in the experiment  
3 summary table (Table 1). When used, seed particles were produced from a TSI constant  
4 output atomizer (model 3076) and then size-selected with a differential mobility analyzer  
5 (DMA, TSI, Inc.). Temperature and relative humidity in the aerosol growth chamber were not  
6 controlled, but were monitored using a Vaisala HMP110 humidity and temperature probe.  
7 Nitrogen oxides were not measured, but the aerosol chamber likely contained some NO<sub>x</sub> due  
8 to soil emissions from the plant pots (Davidson and Kinglerlee, 1997).

## 9 **2.2 Tree description and experimental design**

10 Six different coniferous plant species were used as emissions sources to generate biogenic  
11 SOA in this study: ponderosa pine (*Pinus ponderosa*), bristlecone pine (*Pinus aristata*), blue  
12 spruce (*Picea pungens*), western redcedar (*Thuja plicata*), grand fir (*Abies grandis*), and  
13 Douglas-fir (*Pseudotsuga menziesii*). All tree species are commonly found in the western  
14 mountain ranges of North America. Saplings were 1-3 years old at the time of the  
15 experiments. All specimens were obtained from the University of Idaho forest nursery, and  
16 were stored outdoors at the Washington State University greenhouse facility when they were  
17 not being used for experiments. Greenhouse staff cared for the specimens, providing regular  
18 watering and fertilization.

19 Plants were transported to the laboratory at least 36 hours before the first aerosol growth  
20 chamber experiment to allow time for acclimation to laboratory conditions. Three to nine  
21 saplings of the same species were placed in the plant enclosure (the number depended on the  
22 size of the plants). The only exceptions to this were four experiments performed using a  
23 combination of *Abies grandis* and *Pseudotsuga menziesii* specimens rather than just a single  
24 plant species (referred to as “mix” experiments). One day before an aerosol growth  
25 experiment, the aerosol growth chamber was cleaned with 1 ppm ozone and flushed with zero  
26 air for at least 18 hours until ozone concentrations were less than 20 ppb (Model 1008-PC  
27 ozone monitor, Dasibi) and particle number concentrations were less than 10 cm<sup>-3</sup> (Model  
28 3771 condensation particle counter, TSI, Inc.). Zero air was generated with a pure air  
29 generator (Aadco model 737). Chamber flushing was stopped on the morning of the  
30 experiment, at which point the chamber was operated in batch mode. Biogenic VOC  
31 emissions were pumped from the plant enclosure to the aerosol growth chamber for three  
32 hours (flow = 9.5 LPM) using a chemically resistant vacuum pump (KNF Laboport model

1 UN810 FTP) through PFA lines heated to 80 °C. Lines were heated to minimize losses of  
2 lower-volatility compounds. During chamber loading, a fan inside the chamber was used to  
3 facilitate mixing.

4 When VOC loading was complete, the oxidation chemistry was initiated by rapidly  
5 introducing 130 ppb ozone to the aerosol growth chamber. The mixing fan was turned off  
6 immediately following oxidant addition to reduce particle wall loss. Particle growth and  
7 composition were then monitored for the next 6-8 hours. This process was repeated with the  
8 same batch of trees twice in one week—once before treatment was applied and again after the  
9 treatment. The treatment was either a stress application or a negative control. Both treatments  
10 are described in detail in the next section. The time required to observe maximum plant  
11 response to treatment can vary (Copolovici et al., 2011). Consequently, some of the post-  
12 treatment aerosol growth experiments were performed the day after treatment and some were  
13 performed on the same day as the treatment.

14 A list of all experiments with the Experiment ID, date, and treatment approach is provided in  
15 Table 1. The naming convention for the Experiment ID is “plant species type” + “experiment  
16 number” + “experiment type”. For example, “PA-1-Pre” stands for *Pinus aristata*, first  
17 experiment, pre-treatment and “PA-1-Post” stands for *Pinus aristata*, first experiment, post-  
18 treatment. One pre-treatment aerosol growth experiment performed with *Picea pungens*  
19 specimens on 14 May 2013 did not produce enough particle mass for AMS analysis, so it has  
20 been removed from this table and will not be considered further. There was also one SOA  
21 growth experiment performed that used a single-component standard, methyl jasmonate  
22 (MeJA), as the precursor compound to generate SOA, rather than using real plant emissions.  
23 For this experiment, a 95% MeJA standard solution (Sigma-Aldrich part #392707-5ML) was  
24 introduced into the aerosol growth chamber using a dynamic dilution system (Faiola et al.,  
25 2012).

### 26 **2.3 Stress treatment**

27 Herbivory stress was simulated by exposing plants to MeJA. This compound is a plant stress  
28 hormone with chemical formula  $C_{13}H_{20}O_3$  that is used in plant-plant communication for  
29 defensive purposes (Cheong and Choi, 2003). Plants emit MeJA into the gas-phase, where it  
30 induces the jasmonic acid defense pathway in neighboring plants (Farmer and Ryan, 1990)—  
31 a biochemical pathway that leads to changes in the VOC compounds produced and emitted

1 from those plants. Consequently, exposing plants to MeJA alters BVOC emission rates, their  
2 chemical profile, and their concentrations in storage pools (Martin et al., 2003; Rodriguez-  
3 Saona et al., 2001). For the 2012 experiments, MeJA was introduced using an exogenous  
4 treatment where 20  $\mu\text{L}$  of a 9:1 diluted ethanol:MeJA solution was applied to a cotton swab  
5 and placed in the biogenic emissions enclosure with the plants, following the methods of  
6 Rodriguez-Saona et al. (2001). The 2013 experiments used a foliar application of 10 mM  
7 MeJA in nanopure water, following the approach of Martin et al. (2003). The plant foliage  
8 was sprayed with 200 mL of this solution. The negative control treatment was a foliar  
9 application of 200 mL of nanopure water rather than the MeJA solution.

10 The revised MeJA treatment employed in 2013 was intended to promote a maximal herbivory  
11 stress response. The goal was to allow us to investigate an upper limit of the potential impacts  
12 of herbivory on biogenic SOA composition, something that has not been reported previously.  
13 The foliar MeJA stress treatment elevates BVOC emissions and typically leads to much larger  
14 mass loadings relative to the pre-treatment experiments. Importantly, the purpose of these  
15 experiments was not to quantify changes to the amount of SOA formed under stressed  
16 conditions. Rather, this research seeks to fill in current gaps in knowledge by investigating  
17 changes to biogenic SOA composition due to stress.

18 A number of the post-treatment aerosol growth experiments were performed the same day as  
19 the foliar MeJA application. In these cases, MeJA solution remained present on the plants in  
20 the plant chamber while the aerosol chamber was being loaded. The vapor pressure of MeJA  
21 at 23  $^{\circ}\text{C}$  is  $1.28 \times 10^{-4}$  mmHg (Acevedo et al., 2003), which corresponds to an effective  
22 saturation concentration ( $C^*$ ) of  $1500 \mu\text{g m}^{-3}$ . This puts MeJA at the lower end of the  
23 intermediate volatility range ( $C^*$  range of  $1000\text{-}100,000 \mu\text{g m}^{-3}$ ) approaching the semi-  
24 volatile range ( $C^*$  range of  $0.1\text{-}1000 \mu\text{g m}^{-3}$ ) (Robinson et al., 2007). To compare, the vapor  
25 pressure of alpha-pinene, a typical monoterpene, is four orders of magnitude greater, nearly 3  
26 mmHg at 20  $^{\circ}\text{C}$ . Even with MeJA's low vapor pressure, some of the compound sprayed on  
27 the trees would volatilize and be subsequently pumped into the aerosol growth chamber. This  
28 MeJA could act as an SOA precursor in addition to the VOC emissions from the plant.  
29 Consequently, there are two types of post-treatment SOA in these experiments: pure plant  
30 emission post-treatment SOA and plant emission + MeJA post-treatment SOA. This latter  
31 SOA could still be considered a type of stress SOA because plants do emit significant  
32 quantities of plant hormones in forests when exposed to stressed conditions (Karl et al.,

1 2008). The role of plant hormones in SOA formation has typically been ignored in plant SOA  
2 experiments. Recently, Richards-Henderson et al. (2014) demonstrated that aqueous phase  
3 oxidation of MeJA had an SOA mass yield of 68%, suggesting that this is a compound that  
4 warrants further investigation.

## 5 **2.4 Analytical instrumentation**

6 SOA particle number size distributions were measured with a scanning mobility particle sizer  
7 (SMPS, custom built with major components from TSI, Inc.) described previously by Faiola  
8 et al. (2014b) and Mwaniki et al. (2014). Aerosol mass spectra were continuously measured  
9 using a high resolution time-of-flight aerosol mass spectrometer (HR-AMS, Aerodyne  
10 Research, Inc.) described in detail elsewhere (Canagaratna et al., 2007; DeCarlo et al., 2006).  
11 Briefly, the HR-AMS collimates sub-micron particles into a narrow beam with an  
12 aerodynamic lens. The particle beam is directed onto a vaporizer plate held at 600 °C that  
13 volatilizes all non-refractory components. The volatilized fragments are then ionized with a  
14 tungsten filament with 70 eV electron impact ionization. These mass fragments are  
15 introduced to a ToFwerk high-resolution time-of-flight mass spectrometer where they are  
16 separated by size and quantified. The HR-AMS was operated with 1-minute to 4.5-minute  
17 sample averaging, alternating between general mass spectrometer (MS) mode and particle  
18 time-of-flight (p-ToF) mode. Only v-mode data were used in this study because pre-treatment  
19 experiments often did not have sufficient signal for w-mode data to be used. Ionization  
20 efficiency calibrations were performed using the brute force single particle technique with  
21 monodisperse ammonium nitrate particles generated with a constant output atomizer (TSI  
22 Model 3076).

## 23 **2.5 AMS data analysis**

24 The goal of this research was to compare the aerosol mass spectra between SOA formed from  
25 the oxidation of emissions from different types of trees and between SOA formed under pre-  
26 treatment vs. post-treatment conditions. In the past, unit mass resolution (UMR) data from the  
27 Aerodyne HR-AMS has been normalized to the sum of the organic mass to compare spectra  
28 between different experiments with different mass loadings (Sage et al., 2008). One way these  
29 UMR spectra can be quantitatively compared is to calculate the square of the Pearson  
30 correlation coefficient ( $r^2$ ), called the coefficient of determination, between the two spectra  
31 (Kiendler-Scharr et al., 2009). Using this approach, Kiendler-Scharr and colleagues observed



1 clear differences between biogenic SOA and other types of organic aerosol including biomass  
2 burning organic aerosol ( $r^2 = 0.44-0.51$ ), diesel exhaust organic aerosol ( $r^2 = 0.44-0.51$ ), and  
3 ambient hydrocarbon-like organic aerosol in Pittsburgh ( $r^2 = 0.16-0.41$ ). For the comparisons  
4 presented here, only those  $m/z$  that contributed to 90% of the HR-AMS UMR organic signal  
5 in any of the experiments was used to calculate the correlations. The  $m/z$  values used in the  
6 UMR analysis are listed in the supplemental section.

7 The composition of organic aerosol can also be described through the use of elemental  
8 analysis (Aiken et al., 2008). Results of such analyses are presented on a Van Krevelen  
9 diagram with axes of hydrogen to carbon (H:C) and oxygen to carbon (O:C) ratios. In general,  
10 laboratory SOA generation studies produce aerosol that is less oxidized than those found in  
11 the ambient atmosphere (Kroll and Seinfeld, 2008). However, laboratory chamber studies  
12 have also shown a wide variability in elemental ratios that are dependent on the precursor  
13 compounds used to generate the aerosol (Chhabra et al., 2010; Ng et al., 2010). Consequently,  
14 differences in the precursor compounds from different sources of BVOCs (e.g., different  
15 trees, or pre-treatment versus post-treatment emissions, or the presence of near semi-volatile  
16 plant hormones) could produce differences in biogenic SOA composition that would occupy  
17 different locations in Van Krevelen space.

18 Some of the baseline aerosol growth experiments had low HR-AMS signal ( $<10 \mu\text{g m}^{-3}$  of  
19 organic aerosol). Consequently, the high-resolution data was screened to ensure adequate  
20 signal-to-noise,  $s/n$ , for further HR analysis. All elemental ratios presented from the HR  
21 analysis had a relative standard deviation less than 10%. For the experiments with low  $s/n$ ,  
22 elemental ratios of O:C and H:C were parameterized with unit-mass resolution (UMR) data,  
23 using the fractions of  $m/z$  44 ( $f_{44}$ ) and of  $m/z$  43 ( $f_{43}$ ) to the total organic signal as described  
24 by Aiken et al. (2008) and Ng et al. (2011), respectively. The approach used to calculate  
25 elemental ratios (UMR vs. HR) for each experiment is summarized in Table 2 along with  
26 other important experimental conditions. Substantial revisions to the Aiken et al. (2008)  
27 approach to elemental analysis have recently been proposed by Canagaratna et al. (2015).  
28 These revisions have not been incorporated into this analysis. A major motivation for  
29 performing elemental analysis in this work was to compare with previously published results,  
30 which used the earlier methods. Another objective was to compare results between the  
31 different experiments conducted here; the new approach would affect all ratios similarly and  
32 thus would not influence the conclusions from these comparisons. Other technical

1 considerations related to the HR data analysis are described in more detail in the  
2 supplementary information.

3 We cannot rule out the presence of NO<sub>x</sub> in the reaction chamber because the plant chamber  
4 contained saplings potted in soil — microbial activity in soil can be a source of NO<sub>x</sub>. While  
5 NO<sub>x</sub> was not measured directly, we could observe that the contribution of nitrogen-containing  
6 peaks to total organic signal was low— N:C ratios ranged from 0.004 to 0.011. Consequently,  
7 the nitrogen-containing signals were not the focus of the analysis presented in this paper.

### 8 **3 Results and discussion**

9 Our analysis of the SOA composition in these experiments show definite inter- and intra-  
10 species variability, but the differences are generally subtle. In this section, we first present the  
11 paired pre-treatment and negative control experiments to demonstrate the reproducibility of  
12 the chamber system and provide context for the variability that was observed in other  
13 experiments. Next, a summary of all experiments is presented with a discussion of the inter-  
14 and intra-species variation, followed by a discussion of the post-treatment aerosol spectra. In  
15 this section, we present the first aerosol mass spectra generated from SOA produced via the  
16 gas-phase oxidation of the plant hormone, MeJA. Finally, we present results of the SOA  
17 elemental analysis using a Van Krevelen plot and discuss the inter-species variability along  
18 with implications for stress effects on SOA composition.

#### 19 **3.1 Negative controls**

20 Three sets of paired pre-treatment/negative control experiments were performed for which  
21 AMS measurements are available- one with grand fir (*Abies grandis*), one with western  
22 redcedar (*Thuja plicata*), and one with a mix of grand fir (*Abies grandis*) and Douglas-fir  
23 (*Pseudotsuga menziesii*). Negative controls refer to experiments where the plants were  
24 sprayed with water instead of the MeJA solution. Scatter plots comparing the normalized  
25 UMR organic spectra between the pre-treatment SOA and the corresponding paired negative  
26 control SOA are shown in Figure 1. The signal at m/z 28 was removed to avoid air  
27 interferences in the UMR spectra comparisons. The coefficient of determination ( $r^2$ ) for each  
28 comparison is shown, calculated from the square of the Pearson product moment correlation  
29 coefficient. All paired negative control experiments were very similar, with  $r^2$  greater than or  
30 equal to 0.990. The reproducibility of the high correlations between these paired experiments  
31 suggest that any correlations less than 0.99 that were observed in other experiments do truly

1 reflect differences in SOA mass spectra. Based on these results, we considered any  
2 correlations lower than 0.90 to indicate potentially noteworthy differences between SOA mass  
3 spectra.

### 4 **3.2 UMR comparisons**

5 Correlations ( $r^2$ ) comparing SOA organic UMR spectra from all biogenic aerosol growth  
6 experiments are summarized in Figure 2. Correlations ranged from 0.503 to 0.999. In general,  
7 the pre-treatment aerosol mass spectra from all tree types had higher correlation values with  
8 respect to each other than they did with respect to post-treatment aerosol mass spectra. One  
9 pre-treatment experiment, AG-1-Pre (#9), stands out clearly with lower correlation values  
10 when compared to all other spectra. During this experiment, plants may have been exposed to  
11 an unidentified stress before transport to the laboratory (Faiola et al., 2014a). This pre-  
12 treatment experiment will be referred to as the “UNID stress” experiment and will be  
13 discussed in detail in a later section. Other than the AG-1-Pre spectra, all other pre-treatment  
14 SOA spectra had correlations ranging from 0.806-0.997 when compared to each other across  
15 all tree types.

16 Most of the weakest correlations (excluding the AG-1-Pre spectra) were found between  
17 comparisons that included the 2013 post-treatment experiments (#21-30 in Figure 2).  
18 Specifically, the following experiments had the lowest correlations when compared to other  
19 SOA spectra: AG-2-Post (#23), TP-1-Post (#24), TP-3-Post (#25), PM-1-Post (#28), PM-2-  
20 Post (#29), and Mix-1-Post (#30). This list includes all the experiments where the MeJA  
21 treatment and the aerosol growth experiment occurred on the same day (Table 1). In contrast,  
22 when these six aerosol spectra were compared to one another, each comparison had  $r^2$  greater  
23 than or equal to 0.95. This suggests that the MeJA and its oxidation products may have  
24 contributed substantially to SOA formation. This hypothesis and its environmental  
25 implications are explored in detail in a later section on MeJA SOA.

26 To further investigate trends in the SOA spectra correlations, all comparisons were classified  
27 by the type of comparison and binned into six different ranges of correlation values: <0.6000,  
28 0.6000-0.6999, 0.7000-0.7999, 0.8000-0.8999, 0.9000-0.9499, and 0.9500-0.9999. The results  
29 of this analysis are presented in Figure 3. The top bar in the figure shows the results from all  
30 types of biogenic SOA comparisons using real plant emission as the VOC precursor for SOA  
31 formation (N=561 total comparisons). This classification did not include any comparisons

1 with the MeJA standard SOA spectrum. Nearly 50% of all comparisons with biogenic SOA  
2 had an  $r^2$  greater than or equal to 0.90. The rest of the comparison types were organized  
3 reading top to bottom from highest to lowest number of correlations that fell within the  
4 0.9500-0.9999 correlation bin. Comparisons between experiments performed different years  
5 and comparisons with the standard MeJA spectrum are discussed in the supplementary  
6 material (Figure S-2).

7 All three comparisons of the paired pre-treatment/negative control SOA spectra were in the  
8 highest correlation bin with  $r^2$  greater than or equal to 0.95. The fifteen comparisons between  
9 the post-treatment SOA spectra where the MeJA treatment occurred the same day as the SOA  
10 growth experiment (SD, PostT) were all greater than or equal to 0.90. The pre-treatment SOA  
11 comparisons were more heavily weighted toward the higher correlation values than the “all  
12 comparisons” category, with nearly 80% of the  $r^2$  values greater than or equal to 0.90.  
13 Additionally, the pre-treatment SOA spectra were more similar to one another than the post-  
14 treatment spectra were to one another. This suggests there was more variability in VOC  
15 emissions post-treatment than there was pre-treatment between the different tree types. The  
16 negative control spectra tended to be more similar to the pre-treatment SOA than the post-  
17 treatment SOA with nearly 80% of comparisons with  $r^2$  greater than or equal to 0.90 for the  
18 former and only ~30% of comparisons with  $r^2$  greater than or equal to 0.90 for the latter. SOA  
19 spectra from the same tree type were more heavily weighted toward the higher correlation  
20 bins than SOA spectra generated from different tree types.

21 Thirteen paired pre-treatment/post-treatment experiments were performed. Six of these had  
22 GC-MS-FID data available to investigate whether or not a plant response to the stress  
23 treatment had occurred. For several of the intended pre-treatment/post-treatment comparisons,  
24 there were no differences in the BVOC profile between the pre- and post-treatment  
25 experiment. These paired experiments were excluded from our comparisons after also  
26 verifying that the stress treatment had not produced any significant differences in the SOA  
27 mass spectra (PPo-2,  $r^2=0.92$ ; PPU-2,  $r^2=0.98$ ; PA-3,  $r^2=0.97$ ). All other pre-treatment/post-  
28 treatment comparisons were included in the analysis even if the BVOC profile only changed  
29 minimally after treatment (PPo-1) or if there were confounding winter dormancy effects on  
30 emissions (PA-1). All of the comparisons without GC data to confirm plant stress response  
31 were included in the analysis. Eight of the ten remaining pre-treatment/post-treatment  
32 comparisons had  $r^2$  between 0.7-0.8999, substantially lower than the negative control spectra

1 comparisons. This suggests there were small, but possibly significant, differences between  
2 the SOA generated under the baseline emissions scenario and the SOA generated under the  
3 herbivore-stress emissions scenario. A potential plant stress AMS ‘marker’ in the post-  
4 treatment SOA is discussed further in Section 3.4.2.

5 The weakest correlations between biogenic SOA spectra (excluding the MeJA single-  
6 component standard spectra comparisons) were observed for comparisons with the UNID  
7 stress SOA from experiment AG-1-Pre (#9). All SOA spectra comparisons with the UNID  
8 stress spectrum had correlations less than 0.90 and nearly 80% of the comparisons had  $r^2$  less  
9 than 0.70. Due to the dissimilar nature of this SOA spectrum relative to others, we have  
10 included a detailed description of the spectral characteristics of this SOA in the following  
11 section (Section 3.3).

### 12 **3.3 Post-treatment aerosol mass spectra**

13 Thirteen post-treatment SOA experiments were completed for this study. Of those, three were  
14 performed in 2012 with the exogenous MeJA treatment. The PPO-1 experiment exhibited  
15 small, likely insignificant, differences between the pre- and post-treatment SOA that could  
16 have been due to natural variation in plant emissions. The BVOC profile indicated that any  
17 stress response was weak if it existed at all. The other two experiments performed in 2012  
18 may have had a confounding stress effect due to pulling the plants out of dormancy. For these  
19 reasons, the 2012 post-treatment experiments will not be the focus of this discussion of post-  
20 treatment SOA here. Of the ten post-treatment SOA experiments performed in 2013 with the  
21 foliar MeJA application, four were performed the day after treatment and six were performed  
22 the same day as treatment.

23 The four experiments that were performed the day after MeJA treatment were PPU-1-Post,  
24 PPU-2-Post, AG-1-Post, and PA-3-Post. Based on the BVOC profiles, none of the post-  
25 treatment experiments where the treatment was performed on a different day than the aerosol  
26 growth experiment would work as good candidates for identifying a biogenic stress SOA  
27 marker in the AMS spectra. The AG-1-Post SOA spectrum was discussed in detail in the  
28 previous section, showing confounding effects on the SOA spectra from an apparent  
29 unidentified stress exposure prior to transporting the plants to the laboratory. The PPU-1-Post  
30 and PPU-2-Post both appear to be representative of a stress condition for *Picea pungens* based  
31 on the BVOC profiles presented in Faiola et al. (2014a). The stress response for the PPU-1

1 experiment in particular was very high. However, the PPU-1-Pre experiment did not produce  
2 enough SOA mass to perform AMS analysis, so there is no baseline *Picea pungens* SOA  
3 spectra for comparison. The BVOC results from the PPU-2-Pre experiment suggest these  
4 plants may also have been stressed before being brought into the laboratory; their BVOC  
5 profile closely resembled the post-treatment *Picea pungens* BVOC profile from the previous  
6 experiment. So, no baseline *Picea pungens* SOA spectra were acquired for comparison with  
7 the post-treatment SOA spectra for these two experiments. Finally, no stress response was  
8 observed during the PA-3 experiment based on the BVOC profile. Consequently, this post-  
9 treatment SOA spectrum could not be used to identify a stress biogenic SOA marker either.

10 The remaining six post-treatment SOA spectra were AG-2-Post, PM-1-Post, PM-2-Post, TP-  
11 1-Post, TP-3-Post, and Mix-1-Post. Where BVOC data was available (PM-2 and TP-3), it  
12 suggested there was an identifiable plant stress response due to the foliar MeJA stress  
13 treatment (Faiola et al., 2014a). These six spectra also stand out distinctly on the correlation  
14 summary figure because they had lower correlations with other spectra than observed for  
15 most of the other SOA spectra comparisons (Figure 2). However, the influence of the MeJA  
16 and its oxidation products needs to be accounted for when interpreting these spectra. A  
17 discussion of these results is provided in the next section.

### 18 3.3.1 Methyl jasmonate (MeJA) SOA

19 The aerosol mass spectrum of SOA generated from the oxidation of the single-component  
20 MeJA standard is shown in Figure 4. To the authors' knowledge, this is the first description of  
21 SOA generated from the plant hormone, MeJA, from ozone-initiated gas-phase oxidation. The  
22 dominant fragments in the normalized mass spectrum were  $m/z$  28, 29, and 44. The standard  
23 MeJA SOA had more of the highly oxidized  $m/z$  44 and less  $m/z$  43 than observed in typical  
24 biogenic SOA generated from chamber experiments. Additionally, there were small, but  
25 observable, peaks at  $m/z$  131 and  $m/z$  157 that were not typical of the other biogenic SOA  
26 spectra generated in the work presented here. The lowest correlations between all SOA  
27 spectra acquired throughout these experiments were observed between biogenic SOA  
28 generated from real plant emissions and SOA derived from the oxidation of the MeJA single-  
29 component standard. This is shown in the bottom three horizontal bars on Figure S-2 in the  
30 supplementary material. The most similar spectra to the MeJA standard were those from the  
31 post-treatment SOA where treatment was applied the same day as the SOA growth  
32 experiment (SD, PostT). However, even these correlations were all less than or equal to

1 0.8999. All other comparisons between biogenic SOA spectra and single-component MeJA  
2 standard spectra had  $r^2$  less than 0.80.

3 The possible influence of MeJA and its oxidation products on SOA composition could have  
4 significant atmospheric implications because plant hormones can be emitted from forests at  
5 rates as high as monoterpenoids when plants experience stressed conditions in the natural  
6 environment (Karl et al., 2008). For the experiments where the MeJA foliar application  
7 occurred on the same day as the aerosol growth experiments (referred to herein as ‘Same-  
8 Day’ experiments), the estimated amount of MeJA vapor transported to the aerosol growth  
9 chamber was between 30-70% of the total monoterpene concentrations. This value was  
10 estimated based on the saturation vapor pressure of MeJA, with the range reflecting variations  
11 in monoterpene emission rates from experiment to experiment.

### 12 3.3.2 Corrected 2013 ‘Same-Day’ post-treatment SOA

13 The relative contribution of MeJA to the six ‘Same-Day’ post-treatment SOA spectra was  
14 estimated by generating a series of linear combinations of different relative amounts of the  
15 normalized pre-treatment SOA spectra and the normalized MeJA standard SOA spectra. For  
16 each series, an optimized linear combination was determined based on identifying the  
17 combination spectra that had the strongest correlation with the paired post-treatment SOA  
18 spectra. The results of this analysis for all six ‘Same-Day’ experiments are presented in  
19 Figure 5. In each of the six experiments, the optimized linear addition spectrum occurred  
20 when the contribution of the pre-treatment spectrum was between 40 and 60% of the  
21 combination spectrum. Thus, MeJA and its oxidation products were estimated to contribute  
22 between 60 and 40% of the SOA mass in the ‘Same-Day’ post-treatment spectra. The  
23 optimized combination spectrum was then subtracted from the normalized post-treatment  
24 spectra to define a “residual spectrum” for each experiment. This residual should be more  
25 representative of the influence of stress-induced emissions on post-treatment spectra, having  
26 removed the presumed direct effect of the MeJA present.

27 All six of these residual spectra are shown in Figure 6. Only the positive values are shown to  
28 focus on the m/z fragments that were remaining after subtracting off the optimized linear  
29 addition of the paired pre-treatment and MeJA standard spectra. The residual spectra were  
30 generally very similar to one another with  $r^2 > 0.90$  for most comparisons. The residual TP-3-  
31 Post was an exception to this with correlations ranging from 0.32-0.70 with the other residual

1 spectra. The strongest contributions across the residual spectra were at  $m/z$  26, 27, 29, 31, 57,  
2 58, 59, 71, and 83. Many of these are consistent with the most enhanced fragments described  
3 earlier from the stress response spectra comparing the paired AG-1-Pre and AG-1-Post  
4 spectra ( $m/z$  26, 27, 31, and 58). The AG-1-Post experiment was conducted the day following  
5 foliar MeJA treatment rather than on the same day as MeJA, so the contribution of MeJA and  
6 its oxidation products to SOA mass should have been minimal. This further supports the  
7 hypothesis that enhanced  $m/z$  31 and 58 are associated with a biogenic stress response. It is  
8 also worth noting that  $m/z$  29 was the largest fragment in each of the six residual spectra, and  
9 specifically that the HR ion  $C_2H_5^+$  at  $m/z$  29 was increased more significantly than the other  
10 major HR ion at  $m/z$  29,  $CHO^+$ . Other larger HR ions found prominently in the residual  
11 spectra were  $C_3H_5O^+$  ( $m/z$  57),  $C_2H_3O_2^+$  and  $C_3H_7O^+$  ( $m/z$  59),  $C_3H_3O_2^+$  and  $C_4H_7O^+$  ( $m/z$  71),  
12 and  $C_4H_3O_2^+$ ,  $C_5H_7O^+$ , and  $C_6H_{11}^+$  ( $m/z$  83). At  $m/z$  83, the  $C_5H_7O^+$  was the most enhanced  
13 HR ion. The potential enhancement of these ions due to biogenic stress response merits  
14 further targeted investigation.

### 15 **3.4 A closer look at *Abies grandis* (grand fir) SOA**

16 Three paired sets of aerosol growth experiments were performed with *Abies grandis*  
17 emissions: two pre-treatment/foliar MeJA treatment experiments (AG-1 and AG-2) and one  
18 pre-treatment/negative control experiment (AG-3). The negative control results were  
19 presented in Section 3.1. BVOC measurements were collected during aerosol growth chamber  
20 loading for AG-1, but not for the other two sets of experiments due to a GC instrument  
21 malfunction. In the companion paper, we hypothesized that the *Abies grandis* saplings used in  
22 experiment AG-1 had been exposed to an unidentified external stress outdoors where they  
23 were being stored before being transported to the laboratory chamber (Faiola et al., 2014a).  
24 Consequently, this is one of the only experiments where emissions actually decreased after  
25 MeJA treatment relative to the pre-treatment value. This experiment provided an opportunity  
26 to investigate the effects of a naturally-elicited stressor on BVOC emissions and biogenic  
27 SOA composition in contrast to stress elicited from plant hormone application. Furthermore,  
28 despite the presence of an external stressor, the MeJA treatment still induced emissions of  
29 1,8-cineol and terpinolene allowing us to investigate the impact of multiple stressors. This  
30 scenario is representative of an environmentally-relevant case because the presence of  
31 multiple stressors in the natural environment is likely the rule rather than the exception. The



1 BVOC profiles during aerosol chamber loading for experiment AG-1 are shown in  
2 supplementary information (Figure S-3).

3 The correlation between the AG-2-Pre SOA mass spectrum and the AG-3-Pre spectrum was  
4 very strong, with an  $r^2$  of 0.97. The AG-1-Pre SOA spectrum was less similar to the other two  
5 *Abies grandis* pre-treatment SOA spectra with  $r^2$  values of 0.66 (vs AG-2-Pre) and 0.80 (vs  
6 AG-3-Pre). The aerosol mass spectra for AG-1-Pre, AG-2-Pre, and AG-2-Post are shown in  
7 Figure 7 to highlight some of the m/z contributing to the differences between the SOA  
8 spectra. The AG-1-Pre SOA spectrum has a significant cluster of peaks present around m/z  
9 200 that were not observed in any other aerosol mass spectra including the other SOA spectra  
10 produced from *Abies grandis* emissions. This evidence further supports the hypothesis that  
11 the AG-1-Pre spectra was not representative of a “typical *Abies grandis* SOA baseline” and  
12 that these plants had been exposed to an unidentified stressor. The AG-2-Pre spectrum is  
13 more representative of a typical baseline SOA spectrum. The mass spectrum of SOA  
14 generated from alpha-pinene dark ozonolysis is shown in the supplementary material (Figure  
15 S-4, Bahreini et al., 2005) for comparison with the baseline biogenic SOA spectrum presented  
16 in this paper.

17 To investigate differences in the relative m/z enhancements and reductions generated under  
18 the unidentified stress condition and the MeJA stress condition, AG-2-Pre was selected to use  
19 as a “typical *Abies grandis* baseline spectrum” for comparison. A stress response plot was  
20 generated for both the unidentified stress effect and MeJA stress effect (Figure 8). The  
21 unidentified stress response was calculated by subtracting the normalized spectrum of the  
22 AG-2-Pre experiment (baseline *Abies grandis* SOA) from the normalized spectrum of the  
23 AG-1-Pre experiment (unidentified stress SOA). The MeJA stress response was calculated by  
24 subtracting the normalized spectra of the same AG-2-Pre experiment (baseline *Abies grandis*  
25 SOA) from its paired post-treatment MeJA stress experiment, AG-2-Post (MeJA post-  
26 treatment SOA). The changes to the m/z profile were substantially different between the two  
27 stress scenarios. The MeJA SOA stress response spectrum demonstrated the most enhanced  
28 m/z values at 15, 26, 27, 29, 31, 57, 58, 59, 71, and 97. The relative contribution of m/z 43  
29 was reduced. Recall that these spectra have been normalized to the sum of total organics so a  
30 negative value in the stress response spectra does not necessarily mean that the fragment was  
31 inhibited. Rather, it demonstrates only that the relative contribution to the total has been  
32 reduced. The fragment at m/z 43 is frequently the highest organic fragment in chamber SOA

1 (Chhabra et al., 2010), so it is not unexpected that any increases in other fragments will  
2 produce a decrease in the relative contribution of  $m/z$  43. The fragments most enhanced in the  
3 unidentified stress response spectrum were different and included 41, 65-69, 77, 79, 81, 91,  
4 93, 95, 105, 109, 117, 119 and 202.

5 The relative enhancement of most of these  $m/z$  values in the unidentified stress response  
6 spectrum could be explained by the partitioning of less oxidized compounds. For example, the  
7 two  $m/z$  series 77, 79, 81 and 91, 93, 95 are due to enhancements of the HR ions  $C_6H_5^+$ ,  
8  $C_6H_7^+$ ,  $C_6H_9^+$  and  $C_7H_7^+$ ,  $C_7H_9^+$ ,  $C_7H_{11}^+$  respectively. Compare this to the most enhanced HR  
9 ions in the MeJA stress spectrum, which included  $CHO^+$ ,  $C_2H_5^+$ ,  $CH_3O^+$ ,  $C_2HO_2^+$ ,  $C_3H_5O^+$ ,  
10  $C_4H_9^+$ ,  $C_2H_2O_2^+$ ,  $C_3H_6O^+$ ,  $C_2H_3O_2^+$ ,  $C_3H_7O^+$ ,  $C_3H_3O_2^+$ ,  $C_4H_7O^+$ ,  $C_5H_5O_2^+$ ,  $C_6H_9O^+$ , and  
11  $C_7H_{13}^+$ . This list contains many more oxidized fragments than the enhanced HR ions in the  
12 unidentified stress response spectrum.

13 The weaker presence of oxidized HR ions in the unidentified stress SOA spectra could be the  
14 result of two possibilities or, possibly more likely, a combination of the two explanations.  
15 One explanation is that the unidentified stress induced emissions of large hydrocarbons,  
16 which produced a higher proportion of larger, less oxidized fragments in the spectra. This  
17 cause is suggested by the cluster of peaks greater than  $m/z$  200, particularly at  $m/z$  202, in the  
18 AG-1-Pre spectrum that were not observed in any of the other spectra. The HR ion identified  
19 here was  $C_{16}H_{10}^+$ , a large un-oxidized fragment that could have originated from a large stress-  
20 induced hydrocarbon BVOC emission. The compounds that contributed to these large  $m/z$   
21 fragments were not detected by the GC system so they cannot be positively identified here.  
22 However, large 16-carbon and 18-carbon compounds have been identified following  
23 herbivory stress in other studies (De Boer et al., 2004; Mentel et al., 2013).

24 Another possibility is that the amount of ozone added to the chamber was not sufficient to  
25 fully oxidize these particles to the same extent as other experiments because the plant VOC  
26 emissions were so high. 114 ppb of ozone was added at the start of the experiment and it had  
27 fallen to 9 ppb by the end (Table 2). With the high organic particle loadings generated in this  
28 experiment ( $> 500 \mu\text{g m}^{-3}$ ) it is possible that some of these larger emissions and their  
29 oxidation products were able to partition to the particle phase in a less oxidized state than  
30 would normally occur under lower mass loadings (Kroll and Seinfeld, 2008). Thus, the higher  
31 emissions generated a large amount of overall organic particle mass, and the combination of

1 the presence of larger, less volatile emissions (and their oxidation products) and an oxidant-  
2 limited system promoted the partitioning of less oxidized components to the particle phase.

3 The correlations between the two paired pre-treatment/post-treatment *Abies grandis* SOA  
4 spectra were 0.86 and 0.77 for AG-1 and AG-2, respectively (Figure 2). Thus, despite the  
5 presence of an unidentified stressor under pre-treatment conditions, the stress treatment still  
6 produced some small differences between the pre-treatment and post-treatment SOA spectra  
7 in the AG-1 experiment. This is consistent with the BVOC emission profile where emissions  
8 of 1,8-cineol were induced after treatment and the relative contribution of beta-myrcene,  
9 limonene, and terpenolene increased (supplemental information Figure S-3). Five of the top  
10 ten most enhanced fragments between the AG-1-Post and AG-1-Pre spectra were also  
11 observed in the top 10 most enhanced fragments between the AG-2-Post and AG-2-Pre  
12 spectra:  $m/z$  15, 26, 27, 31, and 58. The dominant HR ions corresponding to  $m/z$  15, 26, and  
13 27 were  $\text{CH}_3^+$ ,  $\text{C}_2\text{H}_2^+$ , and  $\text{C}_2\text{H}_3^+$ . These ions are not very specific and could be generated  
14 from many organic compounds, so it is unlikely that they alone will provide help in  
15 identification of an AMS mass spectral biotic stress SOA ‘marker’. The dominant HR ions at  
16  $m/z$  31 and 58 were  $\text{CH}_3\text{O}^+$ ,  $\text{C}_2\text{H}_2\text{O}_2^+$ ,  $\text{C}_3\text{H}_6\text{O}^+$ . These ions could provide a little more insight  
17 into precursors contributing to their presence in the SOA spectra, and could possibly be the  
18 start to identifying AMS markers for biogenic stress SOA. This will be discussed further in  
19 the following sections while looking at more examples of the post-treatment SOA spectra in  
20 detail.

### 21 **3.5 Elemental analysis**

22 A summary of the elemental analysis results for all pre-treatment SOA and negative control  
23 SOA is shown in Figure 9a. This figure illustrates the inter-plant variation in biogenic SOA  
24 composition. One clear outlier was the SOA generated in experiment AG-1-Pre—the  
25 unidentified stress (UNID Stress) experiment that was discussed previously. All H:C ratios  
26 were similar ( $\sim 1.5$ ) throughout the pre-treatment experiments. This is consistent with  
27 expected H:C ratios for SOA generated from biogenic precursors (Chhabra et al., 2010). In  
28 contrast, the O:C ratios varied between different tree types. In fact, the elemental analysis  
29 results demonstrated a higher level of variability between pre-treatment SOA than was  
30 expected from the UMR correlation coefficient analysis. This could partially be caused by the  
31 exclusion of  $m/z$  28 in the UMR analysis. The  $\text{CO}^+$  ion was accounted for in the elemental  
32 analysis but not in the UMR analysis. The contribution from organics at  $m/z$  28 was a

1 substantial fraction of the total signal and is commonly estimated to be around the same  
2 magnitude as  $m/z$  44—a significant peak for all of these spectra contributing between 4-10%  
3 of total organic signal.

4 Most pre-treatment SOA had an O:C within the range of 0.3-0.38. However, there were some  
5 exceptions. Specifically, the *Pinus aristata* SOA had a higher O:C on average than other pre-  
6 treatment biogenic SOA generated from emissions of other tree types with O:C ranging from  
7 0.39-0.47. Similarly, one pre-treatment *Picea pungens* experiment and one pre-treatment Mix  
8 experiment generated biogenic SOA with higher O:C values than the average. The pre-  
9 treatment SOA from the *Picea pungens* emissions could have been more representative of a  
10 stress condition based on the BVOC emission profile—stress emissions of 1,8-cineol and  
11 beta-ocimene were measured (Faiola et al., 2014a). A second pre-treatment Mix experiment  
12 was performed and produced SOA with a much lower O:C than the first, so the high O:C  
13 results from the pre-treatment Mix emissions were not reproducible. Two of the three  
14 negative control SOA had some of the lowest O:C ratios that were measured (excluding the  
15 UNID stress experiment). The *Thuja plicata* negative control had substantially higher O:C  
16 than the others, but it was very similar to the other pre-treatment *Thuja plicata* experiments.

17 A summary of the elemental analysis results from all paired pre- and post-treatment  
18 experiments where a plant stress response was observed is presented in Figure 9b. The pre-  
19 treatment SOA that had a paired post-treatment experiment where a stress response was  
20 observed had O:C that ranged from 0.32-0.41 (excluding the unidentified stress experiment)  
21 or 0.32-0.37 if the possible Mix SOA outlier is excluded as well. The paired post-treatment  
22 SOA had O:C that ranged from 0.42-0.46. For all experiments, the MeJA SOA shifted the  
23 O:C ratio to higher values relative to the paired pre-treatment SOA. Each of these post-  
24 treatment experiments were performed the same day as treatment except for the *Abies grandis*  
25 unidentified + MeJA stress experiment (AG-1-Post). The unidentified stress post-treatment  
26 experiment resulted in an increase of O:C from 0.19 in the pre-treatment SOA to 0.29 in the  
27 post-treatment SOA. This effect could have been due to the stress treatment or it could have  
28 been due to the unidentified stress waning after the trees were transported to the laboratory—  
29 the post-treatment O:C was still not as high as most pre-treatment SOA.

30 For all the ‘Same-Day’ post-treatment experiments, the increased O:C could be due to the  
31 oxidation products of the plant hormone, MeJA. The elemental ratios from the SOA generated  
32 from the oxidation of the single-component MeJA standard are also shown in Figure 9b in

1 black. Expected elemental ratios calculated from the optimized linear addition of the baseline  
2 spectra and the MeJA standard spectra yielded elemental ratios that were within 10% of those  
3 measured for the paired post-treatment experiment (for same day treatment/growth  
4 experiment only). This suggests that most of the increase in the O:C may have been due to the  
5 MeJA and its oxidation products rather than the influence of specific stress compounds in the  
6 SOA spectra. However, the pre-treatment *Picea pungens* experiment where the plants  
7 appeared to be in a stressed condition also had higher O:C in approximately the same Van  
8 Krevelen space as these other post-treatment SOA. This suggests there are compounds other  
9 than MeJA and its oxidation products that could also produce SOA in this region of the Van  
10 Krevelen plot.

### 11 **3.6 Results summary**

12 The number of experiments and types of tree species examined in this study has provided a  
13 rich, but complex, data set. When experiments are grouped into categories by common  
14 characteristics, clear patterns emerge in the data. First, we find that the SOA generation  
15 methods used in this study were highly reproducible as evidenced by results from the three  
16 paired pre-treatment/negative control experiments where all SOA spectra comparisons  
17 produced correlations greater than 0.990. These results put all other comparisons in context  
18 and suggest that any correlations less than 0.90 do truly represent a difference between SOA  
19 mass spectra.

20 Most of the pre-treatment SOA generated from emissions of all tree species had very similar  
21 UMR SOA spectra with nearly 80% of all pre-treatment SOA comparisons having an  $r^2$   
22 greater than 0.90. This result, when combined with the diversity in pre-treatment  
23 monoterpene emission profiles from these trees presented in Faiola et al. (2014a), suggests  
24 that aerosol mass spectra of biogenic SOA formed from ozone-initiated chemistry under  
25 baseline conditions all look very similar even with a different mix of monoterpenes used to  
26 generate the SOA. These results are consistent with findings presented by Kiendler-Scharr et  
27 al. (2009) who found similar AMS characteristics between biogenic SOA generated from the  
28 emissions of different types of plant species. In contrast, results from HR data analysis  
29 showed a higher degree of variability between pre-treatment biogenic SOA with O:C values  
30 ranging from 0.30-0.47 (excluding the UNID stress experiment).

1 The presence of stress led to significant differences in the UMR SOA spectra. For example,  
2 the SOA spectrum that was least similar to all other SOA spectra was generated from the  
3 emissions of *Abies grandis* after the saplings had apparently been exposed to an unidentified  
4 stressor before being transported to the lab. Consequently, these results were not reproducible  
5 but did serve as an opportunity to investigate a plant's response to a natural stressor. The  
6 presence of substantial, discernible peaks in the UMR spectrum around  $m/z$  200 indicated the  
7 presence of higher molecular weight emissions that were not identified with the GC system.  
8 Large 18-carbon compounds have been observed as a plant's response to certain types of  
9 herbivores and, when observed previously, resulted in substantially increased SOA yields  
10 (Mentel et al., 2013). The AG-1-Pre results may have been due to a similar phenomenon. The  
11 amount of SOA produced from these emissions was substantial ( $>500 \mu\text{g m}^{-3}$ ) and had a  
12 significantly lower O:C than any other SOA reported here or reported elsewhere (Chhabra et  
13 al., 2010). Other enhanced  $m/z$  in the UNID stress spectra were  $m/z$  31 and  $m/z$  58  
14 corresponding to HR ions  $\text{CH}_3\text{O}^+$ ,  $\text{C}_2\text{H}_2\text{O}_2^+$ , and  $\text{C}_3\text{H}_6\text{O}^+$ .

15 The other SOA spectra that had the lowest correlation coefficients when compared to pre-  
16 treatment SOA were the 2013 post-treatment SOA. We attempted to remove the influence of  
17 MeJA, and its oxidation products, on the 'Same-Day' post-treatment SOA spectra. The  
18 resulting residual spectra highlighted differences to the SOA spectra that were due to the  
19 plants response to the stress treatment. The same  $m/z$  that were enhanced in the UNID  
20 spectra,  $m/z$  31 and  $m/z$  58 (HR ions  $\text{CH}_3\text{O}^+$ ,  $\text{C}_2\text{H}_2\text{O}_2^+$ ,  $\text{C}_3\text{H}_6\text{O}^+$ ) were also enhanced in each  
21 of the residual spectra. Other prevalent  $m/z$  in the residual spectra were  $m/z$  29 (primarily  
22  $\text{C}_2\text{H}_5^+$  enhancement),  $m/z$  57 ( $\text{C}_3\text{H}_5\text{O}^+$ ),  $m/z$  59 ( $\text{C}_2\text{H}_3\text{O}_2^+$  and  $\text{C}_3\text{H}_7\text{O}^+$ ),  $m/z$  71 ( $\text{C}_3\text{H}_3\text{O}_2^+$  and  
23  $\text{C}_4\text{H}_7\text{O}^+$ ), and  $m/z$  83 (primarily  $\text{C}_5\text{H}_7\text{O}^+$  enhancement). Ozone is the dominant atmospheric  
24 oxidant for many of the terpenoid compounds emitted by vegetation (Atkinson & Arey,  
25 2003). Furthermore, no OH scavenger was used to suppress OH chemistry. Consequently,  
26 these AMS results could be representative of what one would expect in ambient conditions.  
27 The enhancement of these ions in ambient datasets should be investigated to search for this  
28 possible biogenic stress marker in aerosol spectra collected in a natural forest environment.

29 Additionally, our results demonstrate that plant hormones, such as MeJA, can contribute to  
30 SOA formation and produce distinctive SOA mass spectra with peaks at  $m/z$  131 and  $m/z$   
31 157. The standard MeJA SOA was substantially more oxidized than other biogenic SOA as  
32 was evidenced by its high relative proportion of  $m/z$  44 to the total organic mass and its high

1 O:C ratio of 0.52. Plant emissions of stress hormones can equal emissions of monoterpenes  
2 under stressed conditions, and others have even suggested using ambient measurements of  
3 plant hormones to monitor for plant stress at an ecosystem scale (Karl et al., 2008). It is  
4 possible that the mass spectral markers associated with either the plants response to the stress  
5 treatment or the markers associated with the MeJA plant hormone directly could also be used  
6 to monitor for stress at an ecosystem scale.

#### 7 **4 Conclusions**

8 The baseline aerosol mass spectra of biogenic SOA produced from real plant emissions were  
9 similar across six different plant species when comparing UMR results. However, the  
10 presence of stress appeared to change the composition of the SOA to the extent that the UMR  
11 aerosol mass spectra looked significantly different. This mass spectral biogenic stress marker  
12 could be indicative of an herbivory stress aerosol signature in the natural forest environment  
13 when stressed conditions produce stress-induced emissions including, but not limited to, plant  
14 hormones such as MeJA.

15 Previous work has shown that environmental stresses can have significant and widely-varying  
16 impacts on BVOC emission rates and emission profiles. Stressors may increase, or sometimes  
17 decrease, the amount of BVOCs emitted, and often induce emissions of compounds not  
18 emitted under baseline conditions. The work presented here builds on those previous efforts  
19 and shows that herbivore-induced emissions not only affect the amount of SOA subsequently  
20 formed as shown previously (Mentel et al., 2013), but also affect SOA composition. Both of  
21 these herbivore effects will likely impact the aerosol radiative properties. Changes to the  
22 amount of SOA produced would have direct impacts on light extinction. The radiative  
23 impacts of stress-induced changes to SOA composition, our primary focus in this work, are  
24 less clear. For example, the involvement of larger hydrocarbon precursors (>15 carbons)  
25 would likely decrease SOA hygroscopicity whereas the involvement of more oxidized  
26 precursors (e.g. methyl jasmonate) would likely increase SOA hygroscopicity. The net  
27 impact is difficult to estimate without a more thorough quantitative understanding of  
28 herbivore-induced BVOC emission rates. In addition to radiative effects, it is also possible for  
29 new particle formation mechanisms to be enhanced by herbivore-induced BVOCs. Recent  
30 findings have shown that biogenic emissions play a critical role in particle nucleation  
31 (Riccobono et al., 2014), and thus increases in herbivore-induced emissions could be expected  
32 to enhance particle nucleation in forests. These potential effects need further study, though

1 controlled experiments will remain challenging due to the significant variability in plant  
2 behavior that have limited efforts to parameterize stress-induced emissions so far.

3 Future work on this topic should investigate SOA mass spectral fingerprints for other  
4 stressors that could induce emissions of non-terpenoid compounds. For example, any stressor  
5 that damages plant membranes produces bursts in OVOC products from the lipoxygenase  
6 pathway. This could be investigated in the laboratory using real herbivores or pathogens that  
7 would damage plant tissues. Additionally, tissue damage can occur under severe heat stress.  
8 Future work should also generate SOA from the plant hormone methyl salicylate, which is  
9 emitted at higher rates than MeJA and still has low enough volatility to potentially contribute  
10 to SOA formation. To our knowledge, SOA has not been generated from this major plant  
11 hormone that has been measured at significant levels in a forest environment. Other future  
12 studies should focus on analyzing ambient AMS datasets collected in forest environments to  
13 investigate whether or not the biogenic stress marker that was identified here can be observed  
14 in field measurements. This could serve as a monitoring tool to identify ecosystem-level plant  
15 stress.

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21



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27

1 Table 1. Experiment Summary. Asterisks on experiment ID indicate that seed was used.  
 2 “MeJA”=methyl jasmonate. The last column provides the IDs of the corresponding BVOC  
 3 experiments, where available, to facilitate cross-referencing with the companion paper  
 4 (Faiola et al., 2014).

SOA Experiment ID	Tree Type (Species)	Common Name	Experiment Type	Date	Treatment Approach	BVOC Experiment ID
PPo-1-Pre*			Baseline	31 July 2012	-	-
PPo-1-Post*	<i>Pinus ponderosa</i>	Ponderosa Pine	MeJA	2 August 2012	exogenous, day before	-
PPo-2-Pre			Baseline	23 October 2012	-	-
PPo-2-Post			MeJA	25 October 2012	exogenous, day before	-
PA-1-Pre*			Baseline	9 October 2012	-	-
PA-1-Post			MeJA	18 October 2012	exogenous, day before	-
PA-2-Pre	<i>Pinus aristata</i>	Bristlecone Pine	Baseline	23 April 2013	-	-
PA-3-Pre			Baseline	21 May 2013	-	PA-E
PA-3-Post			MeJA	23 May 2013	foliar, day before	PA-E
PA-4-Pre			Baseline	28 May 2013	-	PA-C
AG-1-Pre	<i>Abies grandis</i>	Grand Fir	Baseline	25 June 2013	-	AG-E
AG-1-Post			MeJA	27 June 2013	foliar, day before	AG-E
AG-2-Pre			Baseline	3 September 2013	-	-
AG-2-Post			MeJA	5 September 2013	foliar, same day	-

AG-3-Pre			Baseline	10 September 2013	-	-
AG-3-NC			Negative	12 September 2013	foliar, same day	-
TP-1-Pre			Baseline	14 August 2013	-	-
TP-1-Post			MeJA	16 August 2013	foliar, same day	-
TP-2-Pre			Baseline	21 August 2013	-	-
TP-2-NC	<i>Thuja plicata</i>	Western Redcedar	Negative	23 August 2013	foliar, same day	-
TP-3-Pre1			Baseline	17 September 2013	-	TP-E
TP-3-Pre2			Baseline	19 September 2013	-	TP-E
TP-3-Post			MeJA	22 September 2013	foliar, same day	TP-E
PPu-1-Post			MeJA	16 May 2013	foliar, day before	PP-E1
PPu-2-Pre	<i>Picea pungens</i>	Blue Spruce	Baseline	16 July 2013	-	PP-E2
PPu-2-Post			MeJA	18 July 2013	foliar, day before	PP-E2
PM-1-Pre			Baseline	27 August 2013	-	-
PM-1-Post	<i>Pseudotsuga mensiezii</i>	Douglas-fir	MeJA	29 August 2013	foliar, same day	-
PM-2-Pre			Baseline	24 September 2013	-	PM-E
PM-2-Post			MeJA	26 September 2013	foliar, same day	PM-E
Mix-1-Pre	Mix- <i>Abies grandis</i> & <i>Pseudotsuga mensiezii</i>	Grand Fir & Douglas-fir	Baseline	24 July 2013	-	-
Mix-1-Post			MeJA	26 July 2013	foliar, same day	-
Mix-2-Pre			Baseline	30 July 2013	-	-



Mix-2-NC			Negative	1 August 2013	foliar, same day	-
MeJA Std	N/A	N/A	Standard	8 May 2014	N/A	-

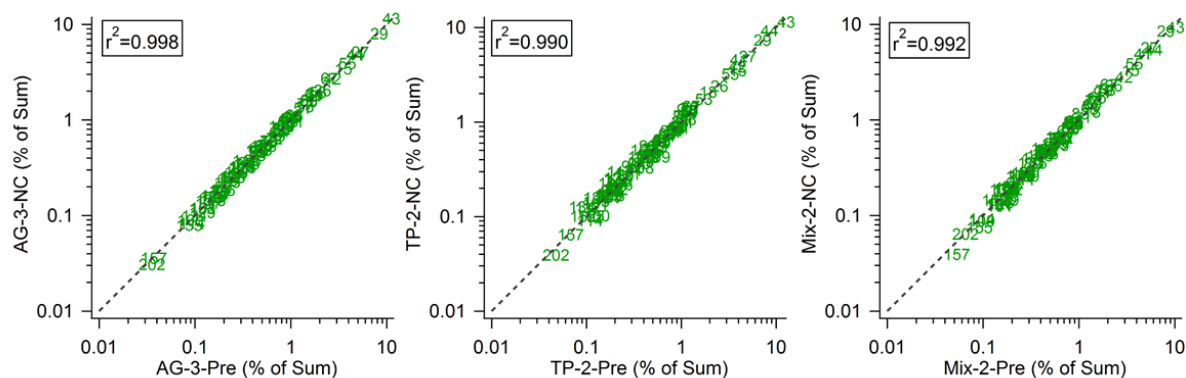
1

1 Table 2: Experiment Conditions. The “n.r.” stands for “not recorded”. Particle volume was  
 2 calculated from SMPS measurements.

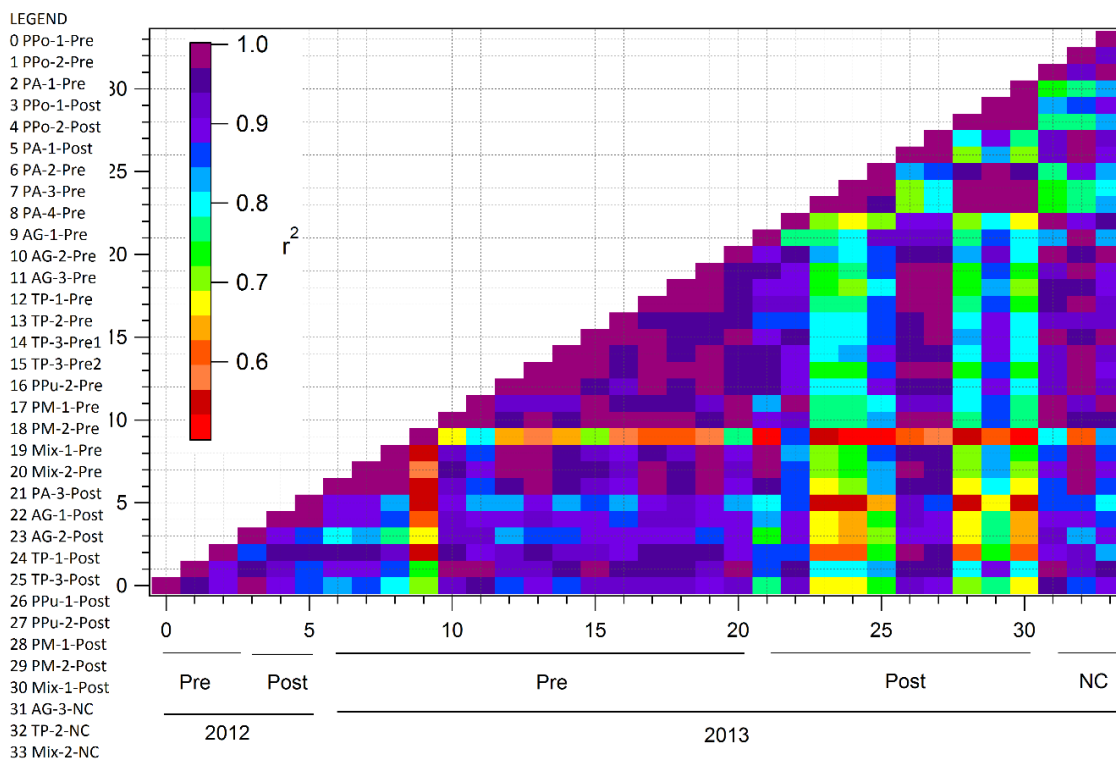
Experiment ID	Biogenics Chamber RH (%)	Biogenics Chamber Temp (K)	Aerosol Chamber RH (%)	Aerosol Chamber Temp (K)	Ozone at Experiment Start (ppb)	Ozone at Experiment End (ppb)	Max Particle Volume ( $\mu\text{m}^3 \text{m}^{-3}$ )	Elemental Analysis
PPo-1-Pre	n.r.	n.r.	n.r.	n.r.	70	n.r.	6.24	UMR
PPo-1-Post	n.r.	n.r.	n.r.	n.r.	50	33	6.33	HR
PPo-2-Pre	97%	301	17%	298	460	415	21.33	UMR
PPo-2-Post	91%	300	20%	298	130	99	11.64	UMR
PA-1-Pre	69%	300	12%	299	90	60	25.76	HR
PA-1-Post	79%	300	12%	298	130	107	8.19	UMR
PA-2-Pre	88%	300	19%	298	255	174	3.48	UMR
PA-3-Pre	96%	299	26%	296	126	90	2.7	UMR
PA-3-Post	90%	300	21%	298	148	105	6.02	UMR
PA-4-Pre	90%	300	21%	299	126	93	3.6	UMR
AG-1-Pre	100%	299	26%	297	114	9	156.87	HR
AG-1-Post	96%	302	26%	301	126	15	172.45	HR
AG-2-Pre	96%	304	29%	302	116	5	17.32	HR
AG-2-Post	97%	303	26%	302	124	34	150.33	HR
AG-3-Pre	87%	305	23%	303	151	37	36.78	HR
AG-3-NC	86%	306	20%	304	146	49	41.73	HR
TP-1-Pre	92%	306	20%	305	129	37	3.24	HR
TP-1-Post	97%	305	25%	304	129	26	123.98	HR
TP-2-Pre	85%	303	21%	302	138	42	13.56	HR
TP-2-NC	94%	303	24%	302	144	42	15.65	HR
TP-3-Pre1	99%	300	26%	299	128	25	1.78	UMR
TP-3-Pre2	97%	300	32%	299	123	3	2.98	UMR
TP-3-Post	96%	300	25%	299	116	53	61.93	HR
PPu-1-Post	99%	298	24%	298	275	21	104.59	HR
PPu-2-Pre	97%	304	22%	303	142	23	16.28	HR
PPu-2-Post	98%	305	21%	304	115	21	33.69	HR

PM-1-Pre	96%	303	20%	302	195	29	4.06	HR
PM-1-Post	96%	303	22%	303	222	16	160.78	HR
PM-2-Pre	98%	300	33%	298	212	71	58.84	UMR
PM-2-Post	95%	300	26%	298	109	37	118.71	HR
Mix-1-Pre	87%	306	20%	305	136	17	5.88	UMR
Mix-1-Post	85%	306	18%	304	140	6	133.68	HR
Mix-2-Pre	82%	303	17%	302	125	15	21.98	HR
Mix-2-NC	88%	302	22%	301	144	22	24.23	HR

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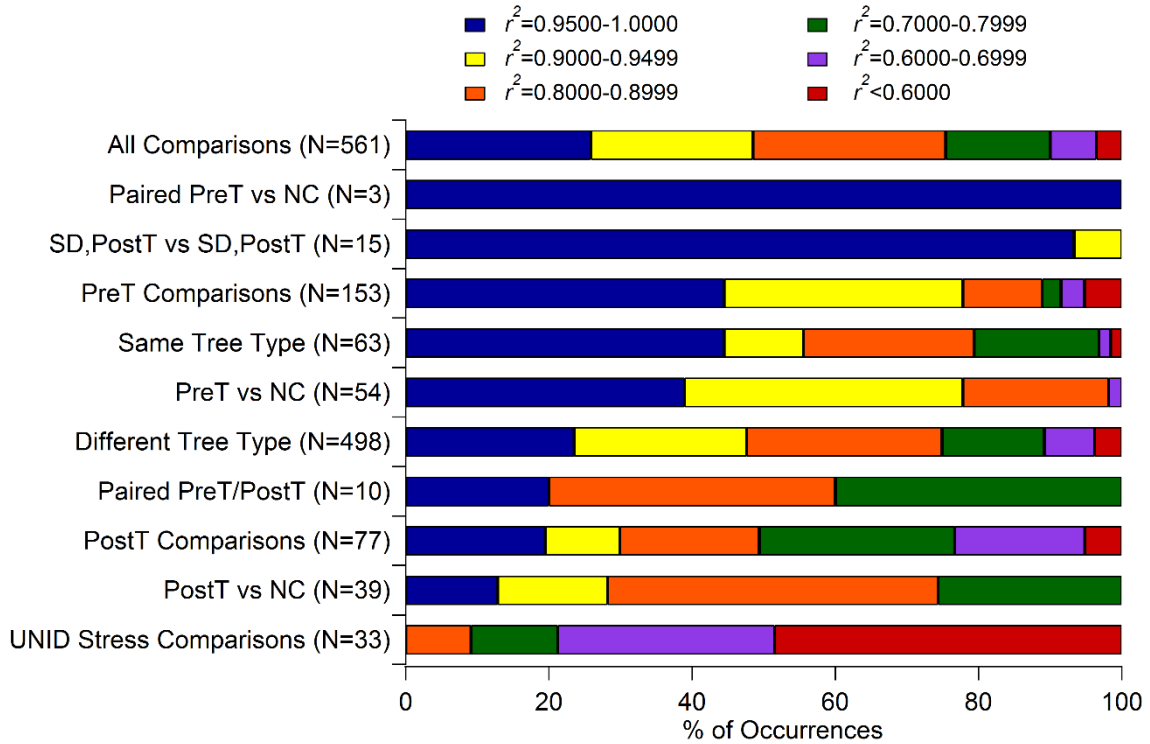


1  
 2 Figure 1: Scatter plots comparing the normalized spectra of all three paired pre-  
 3 treatment/negative control experiments. The markers denote the m/z. Only the 89 UMR m/z  
 4 signals used in the correlation analyses are plotted. The x-axis is the percent contribution to  
 5 total organic mass for the pre-treatment experiment and the y-axis is the percent contribution  
 6 to total organic mass for the paired negative control experiments. The dashed gray 1:1 lines  
 7 are shown for reference. AG-3=*Abies grandis* experiment. TP-2=*Thuja plicata* experiment,  
 8 Mix-2=mix of *Abies grandis* and *Pseudotsuga menziesii* experiment. Correlations ( $r^2$ )  
 9 between the negative control spectra and the pre-treatment spectra are shown in the boxes on  
 10 each plot.  
 11



1  
 2 Figure 2: Summary of all comparisons between biogenic SOA spectra. Each number on the x  
 3 and y axes refers to a single SOA growth experiment. The legend provides a key to match the  
 4 axis number with its corresponding experiment ID. Details of each experiment are listed by  
 5 experiment ID in Tables 1 and 2. The color scale denotes the strength of correlation between  
 6 the two spectra. Due to air interferences,  $m/z$  28 was removed from the spectra for all  
 7 comparisons. The figure was organized by year followed by experiment type (pre-treatment,  
 8 post-treatment, negative control) followed by tree type. NC=negative control.  
 9

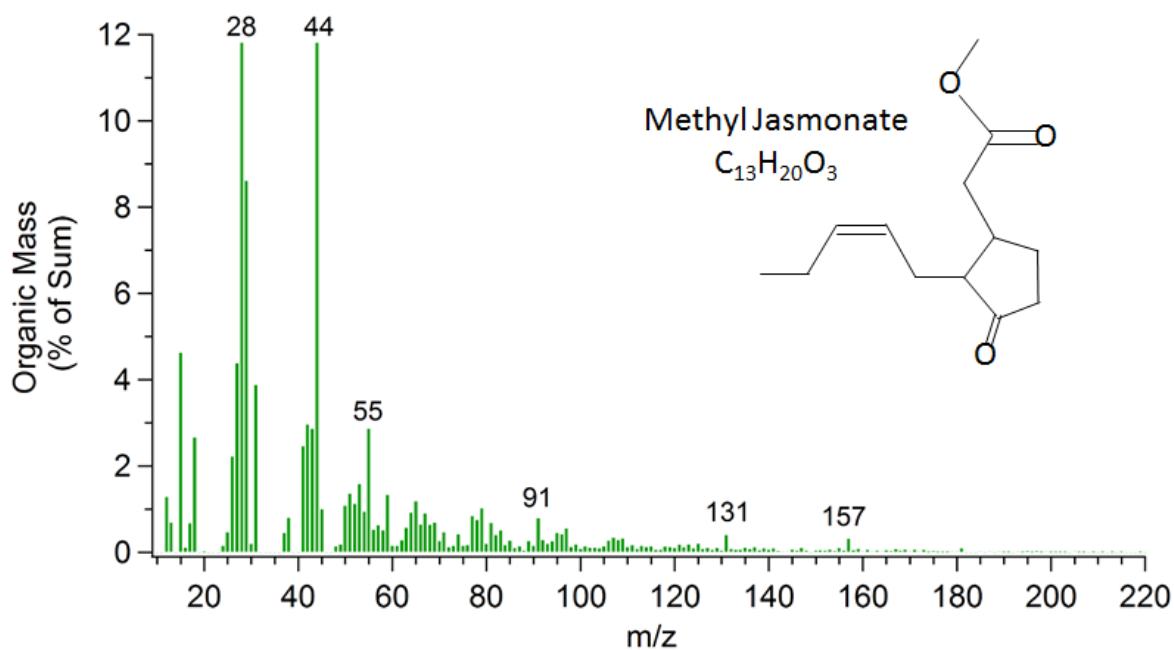
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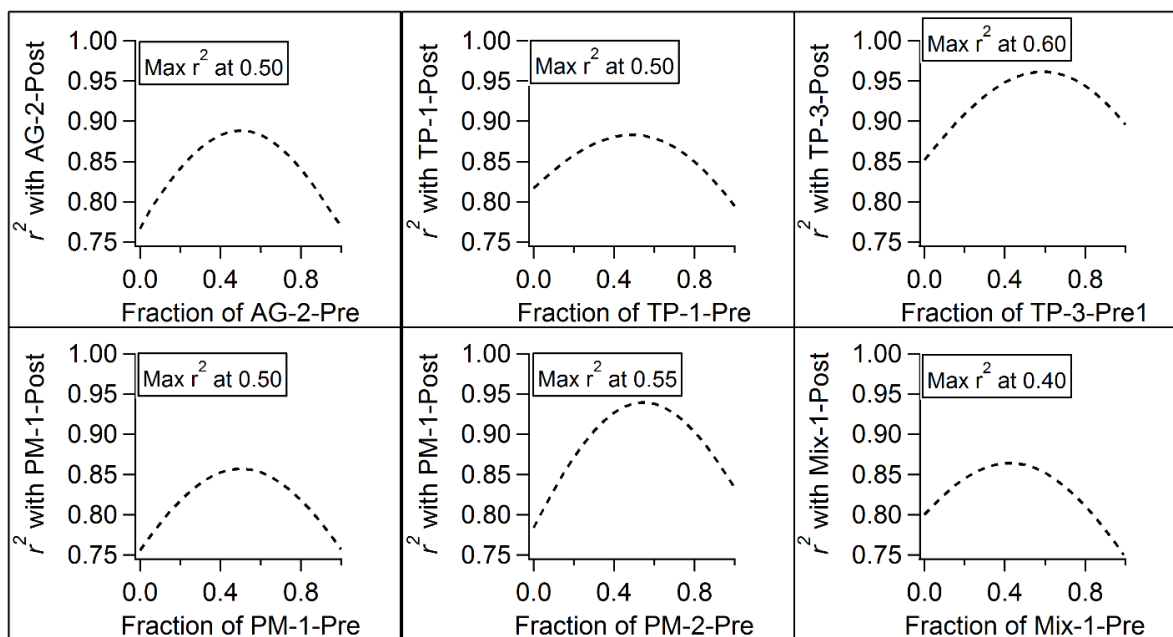
3 Figure 3: Distribution of correlations classified by type of comparison. X-axis is the % of total  
4 occurrences within a given correlation range for each experiment classification. Each  
5 horizontal bar denotes the type of comparison where the N value in parentheses refers to the  
6 total number of comparisons within that classification. PreT=Pre-Treatment; PostT=Post-  
7 Treatment; NC=Negative Control; SD,PostT=Post-Treatment where treatment and SOA  
8 growth experiment occurred on the same day; UNID stress=unidentified stress.

9



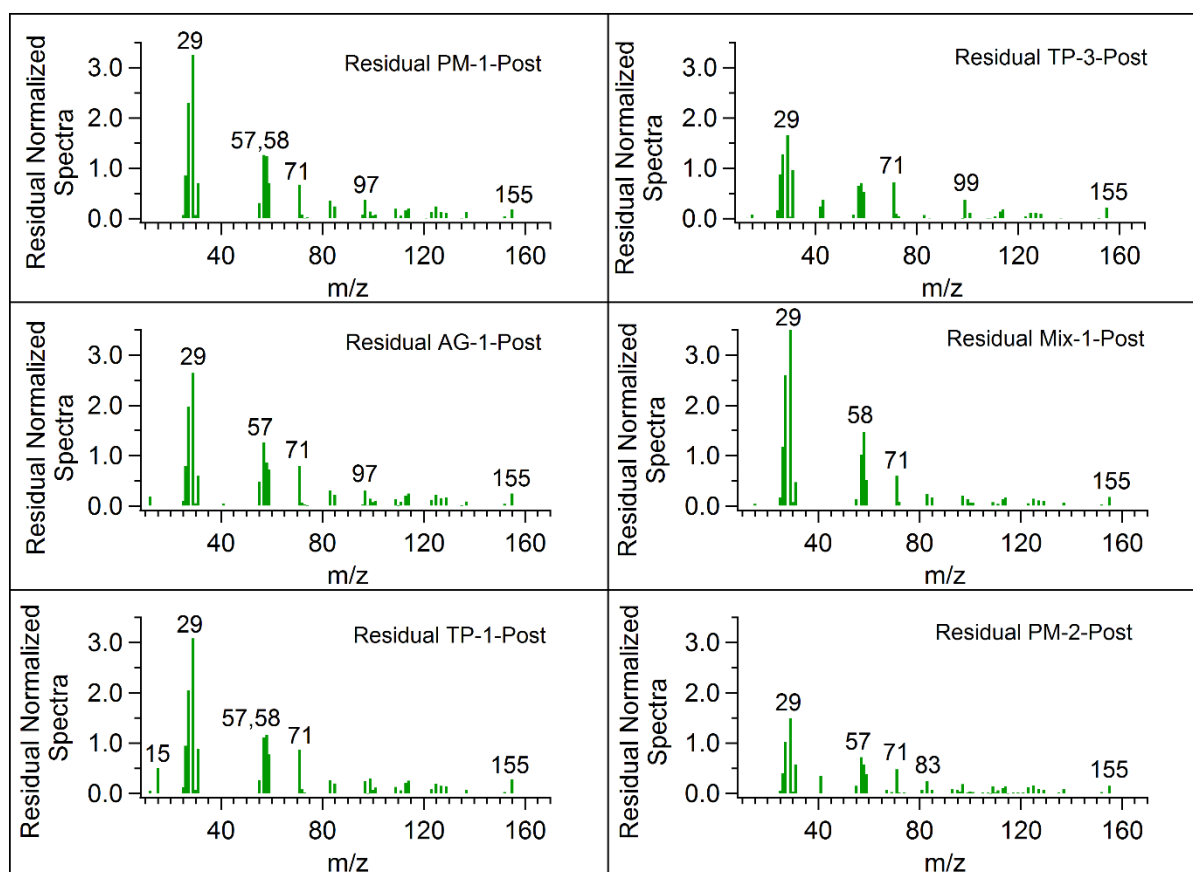
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 2 Figure 4: Normalized mass spectra of SOA generated from the oxidation of a MeJA standard.  
 3 The x-axis shows the m/z value and the y-axis denotes the percent contribution of each m/z to  
 4 the total organic mass. The chemical structure and molecular formula of MeJA is shown on  
 5 the figure.

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1  
2 Figure 5: Results from the linear addition optimization for all six experiments where the post-  
3 treatment aerosol growth experiment was performed the same day the foliar MeJA treatment  
4 was applied. The x-axis denotes the fraction of the pre-treatment experiment that was  
5 included in the linear addition of the pre-treatment and MeJA standard SOA spectra. The y-  
6 axis is the correlation of the linear addition spectra with the paired post-treatment SOA  
7 spectra. The fraction of pre-treatment SOA included in the linear addition spectra that  
8 produced the highest correlation with the paired post-treatment is shown in the box on each  
9 graph.

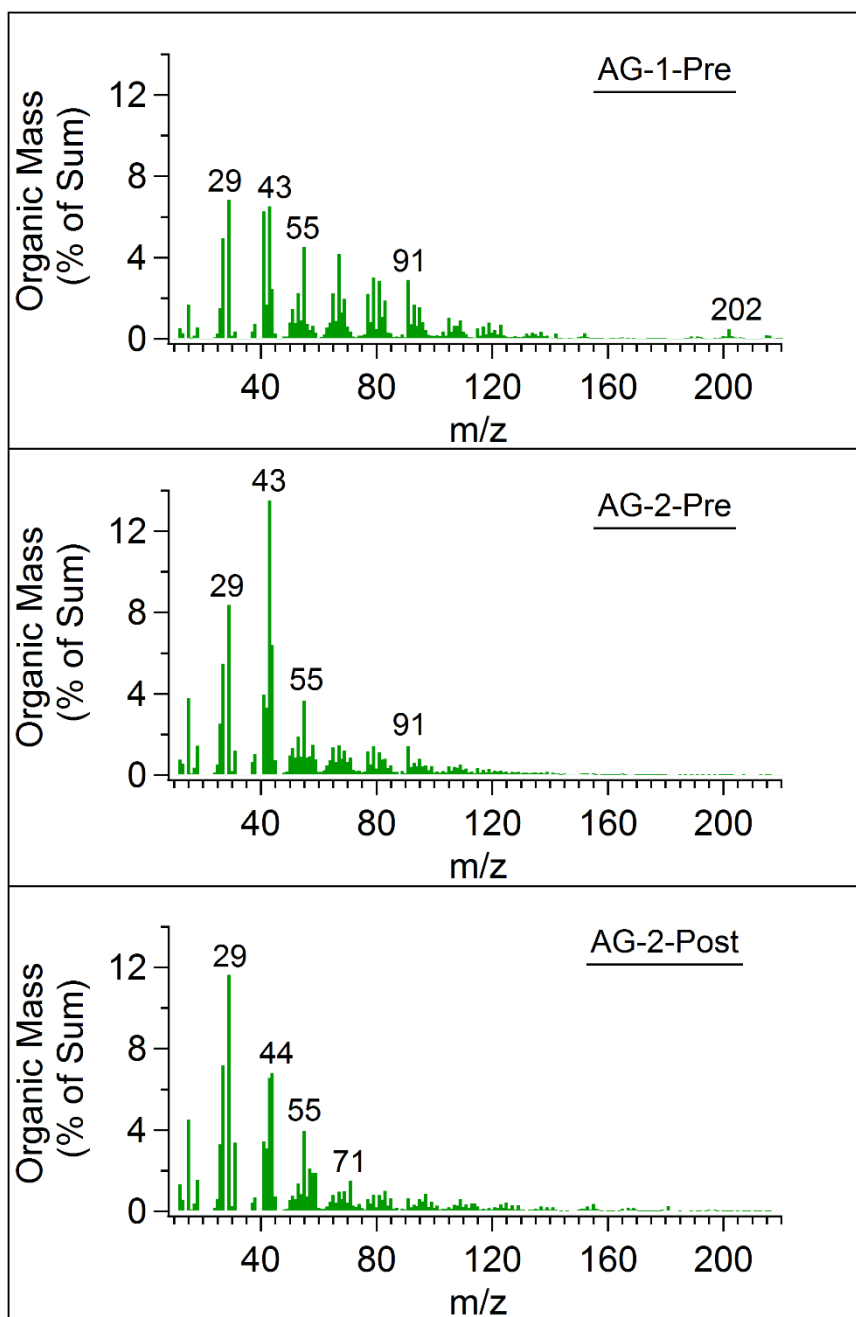




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 2 Figure 6: Residual stress spectra calculated by subtracting the optimized linear addition of the  
 3 paired baseline + MeJA standard spectra from the post-treatment stress spectra. The x-axis is  
 4 the m/z value and the y-axis is the residual. Negative residuals have been removed to focus on  
 5 the enhanced m/z peaks.

6

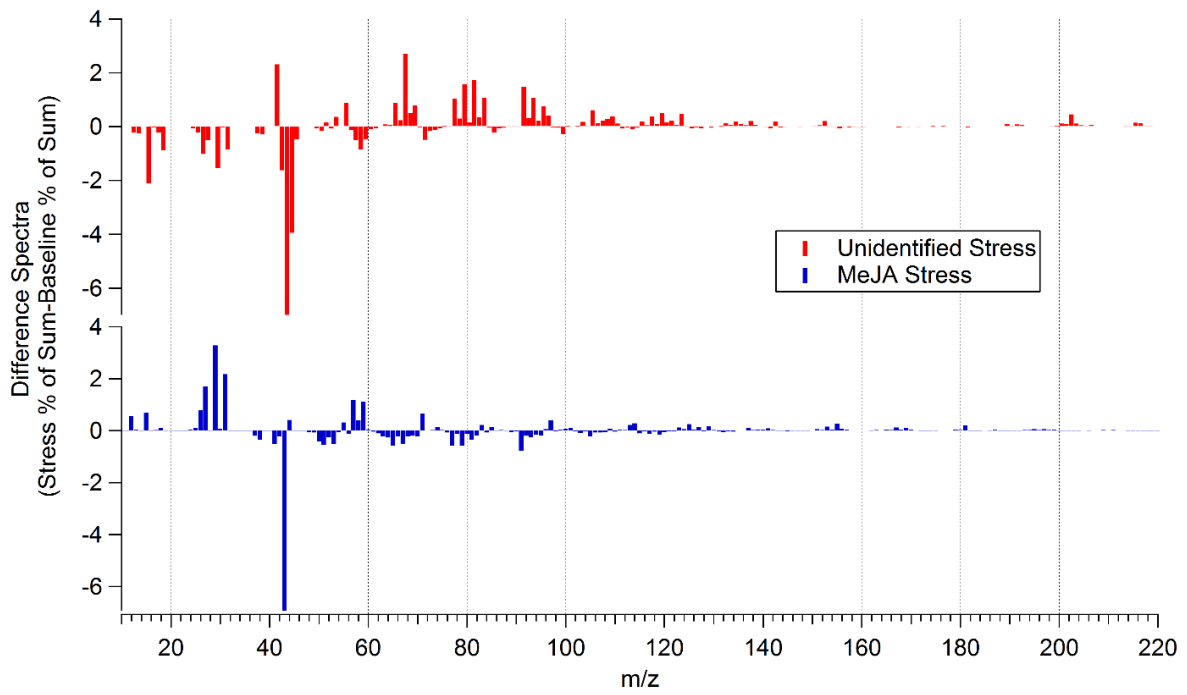
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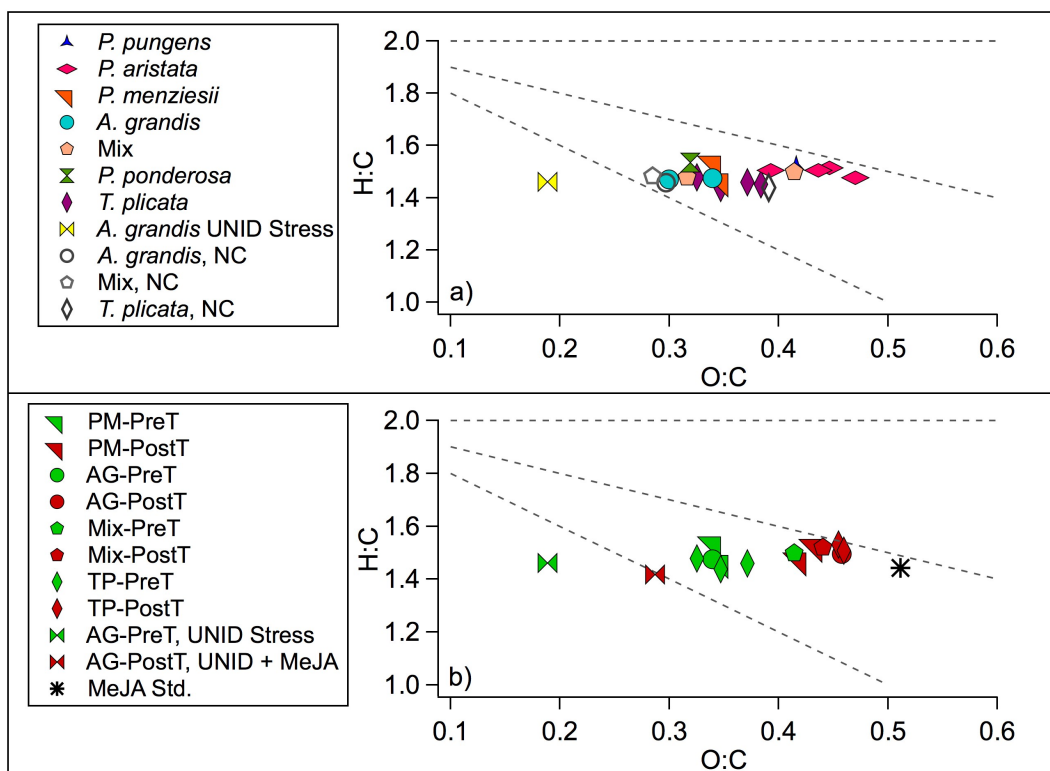
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3 Figure 7: Organic mass spectra of SOA produced from the first *Abies grandis* pre-treatment  
4 experiment (AG-1-Pre), the second *Abies grandis* pre-treatment experiment (AG-2-Pre), and  
5 the second *Abies grandis* post-treatment experiment (AG-2-Post). The AG-1-Pre spectrum  
6 represents a naturally-elicited stress condition, the AG-2-Pre spectrum represents a typical  
7 baseline condition, and the AG-2-Post represents a typical post-treatment condition after  
8 MeJA plant hormone application.

9



1  
 2 Figure 8: Stress response spectra comparing the effects of two different types of stress—an  
 3 unidentified stress (red) and a MeJA treatment (blue). The x-axis shows the m/z values and  
 4 the y-axis denotes the difference between the normalized stress spectrum and the normalized  
 5 baseline spectrum.  
 6



2  
 3 Figure 9: a) Summary of the elemental analysis results from all pre-treatment SOA and  
 4 negative control SOA. The pre-treatment experiment, AG-1-Pre, is labeled as an unidentified  
 5 stress (UNID Stress) experiment. NC=Negative Control. b) Summary of elemental analysis  
 6 results from all experiments with paired pre-treatment/post-treatment SOA where a MeJA  
 7 plant stress response was observed. Green markers denote pre-treatment SOA. Red markers  
 8 denote post-treatment SOA. The black asterisk illustrates the results from the MeJA single-  
 9 component standard SOA. The dashed lines are commonly included on Van Krevelen plots to  
 10 indicate slopes of 0, -1, and -2, and are included here to put results in context with previous  
 11 work.