1 Properties and evolution of biomass burning organic aerosol from

2 Canadian boreal forest fires – reviewer responses and corrections

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15 Abstract

16 Airborne measurements of biomass burning organic aerosol (BBOA) from boreal forest fires 17 reveal highly contrasting properties for plumes of different ages. These measurements, performed using an Aerodyne Research Inc. compact time-of-flight aerosol mass spectrometer (C-ToF-AMS) 18 19 during the BORTAS (quantifying the impact of BOReal forest fires on Tropospheric oxidants over the Atlantic using Aircraft and Satellites) experiment in the summer of 2011, have been used to derive 20 21 normalised excess organic aerosol (OA) mass concentrations ($\triangle OA/\triangle CO$), with higher average 22 ratios observed closer to source (0.190 ± 0.010) than in the far-field (0.097 ± 0.002) . The difference in $\triangle OA/\triangle CO$ between fresh and aged plumes is influenced by a change in dominant combustion 23 24 conditions throughout the campaign. Measurements at source comprised 3 plume interceptions during a single research flight and sampled largely smouldering fires. while 23 interceptions plumes 25 26 encounteredwere made across 4 flights in the far-field, with plumes originatinged from fires occurring earlier in the campaign when fire activity had been more intense, creating an underlying contrast in 27 emissions prior to any transformations associated with aging. Changing combustion conditions also 28 29 affect the vertical distribution of biomass burning emissions, as aged plumes from more flamingdominated fires are injected to higher altitudes of up to 6000 m. Proportional contributions of the 30 31 mass-to-charge ratio (m/z) 60 and 44 peaks in the AMS mass spectra to the total OA mass (denoted f_{60} and f_{44} are used as tracers for primary and oxidized BBOA, respectively. f_{44} is lower on average in 32 near-field plumes than those sampled in the far-field, in accordance with longer aging times as plumes 33 34 are transported a greater distance from sourceGiven the shorter aging times associated with near field plumes, f₄₄ is lower on average than in more aged, transported plumes. However, high levels of 35 36 $\Delta O_3/\Delta CO$ and $-\log(NO_x/NO_y)$ close to source indicate that emissions can be subject to very rapid 37 oxidation over short timescales. Conversely, the lofting of plumes into the upper troposphere can lead 38 to the retention of source profiles after transportation over extensive temporal and spatial scales, with 39 f_{60} also higher on average in aged plumes. Evolution of OA composition with aging is comparable to observations of BB tracers in previous studies, revealing a consistent progression from f_{60} to f_{44} . The 40 41 elevated levels of oxygenation in aged plumes, and their association with lower average $\Delta OA/\Delta CO$.

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42	are consistent with OA loss through evaporation during aging due to a combination of dilution and
43	chemical processing, while differences in combustion conditions throughout the campaign also have a
44	significant influence on BBOA production and compositionThe elevated levels of oxygenation in
45	aged plumes, and their association with lower average $\triangle OA / \triangle CO$, highlight the influence of OA
46	losses during aging, although there remain considerable uncertainties regarding the role of combustion
47	processes on BBOA production and composition.

48 **<u>1. Introduction</u>**

49 The BORTAS (quantifying the impact of BOReal forest fires on Tropospheric oxidants over 50 the Atlantic using Aircraft and Satellites) campaign was a major international research effort to improve understanding of the properties and evolution of biomass burning (BB) plumes. BB 51 52 emissions form a major source of atmospheric particulate matter on a global scale, contributing around 90% of the total primary organic aerosol (OA) (Bond et al., 2004). The radiative effects of 53 54 atmospheric aerosols represent one of the major sources of uncertainty with regard to influences on climate change (Textor et al., 2006; Forster et al., 2007). Given the prominence of OA in global 55 aerosol budgets (Zhang et al., 2007; Jimenez et al., 2009), limited understanding of BB emissions, 56 57 and more specifically biomass burning organic aerosol (BBOA) emissions, forms an important 58 component of this uncertainty. Improved projection of climate change impacts through global climate 59 model simulation is dependent on more robust parameterisation of the constituent drivers, constrained 60 by direct measurements. Several fundamental aspects of the BBOA lifecycle remain poorly characterised (Hallquist et al., 2009), including the conditions and processes controlling formation, 61 62 and the effects of transformations occurring during aging, such as gas-particle partitioning of low volatility organic compounds following photo-oxidation, heterogeneous reactions with existing OA 63 64 and losses through dilution-based evaporation or volatilisation (Reid et al., 2005; Grieshop et al., 2009; DeCarlo et al., 2010; Hennigan et al., 2011). Variability at source has been shown to be 65 extensive, in response to changes in both fuel properties and combustion conditions (McMeeking et 66 67 al., 2009; Jolleys et al., 2012; 2013). The influence importance of secondary organic aerosol (SOA) in aging plumes is also particularly unclear. Substantial SOA formation as a result of photochemical 68 69 processing has been demonstrated in laboratory experiments, increasing OA concentrations by up to a 70 factor of 4 over several hours (Grieshop et al., 2009; Hennigan et al., 2011; Heringa et al., 2011). 71 However, under ambient conditions the importance of SOA addition relative to primary (POA) 72 emissions is more disputable. Despite widespread evidence for the increasing oxygenation of BBOA with aging (Capes et al., 2008; DeCarlo et al., 2008; Cubison et al., 2011; Jolleys et al., 2012), net 73 mass enhancements are not observed consistently. The underlying causes of this variable SOA 74

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contribution, including the implications of initial OA composition, also remain ambiguous and requirefurther refinement.

77 The BORTAS campaign is described in detail by Palmer et al. (2013), with an overview of measurements used within this analysis given here. BORTAS took place across several regions of 78 Canada between the 12th July and 3rd August in both 2010 and 2011, although activity during the 2010 79 deployment (BORTAS-A) was limited to ground-based measurements at a main ground station 80 located at Dalhousie University in Halifax, Nova Scotia, along with ozonesonde launches from a 81 82 network of seven sites across central and eastern Canada and supporting satellite observations (Parrington et al., 2012). Airborne measurements were carried out during BORTAS-B in 2011, 83 84 providing all data contributing towards this study. The UK Facility for Airborne Atmospheric Measurements (FAAM) BAe-146 Atmospheric Research Aircraft (ARA) performed a total of 15 85 flights, including 11 dedicated science flights between the 15th and 31st July. Research flights 86 87 primarily originated from Halifax and largely involved surveying areas adjacent to the Gulf of St. 88 Lawrence and the North Atlantic. A predominant source region in northwestern Ontario (approximately 52.5° N, 93.5° W) has been identified for the majority of plumes sampled throughout 89 90 BORTAS, although more disperse fires were also active in northern Alberta and the Northwest Territories (Palmer et al., 2013; Parrington et al., 2013). As the majority of plumes from fires in this 91 92 region were encountered at a distance of several thousand kilometres downwind, emissions would have undergone substantial processing prior to sampling, with estimated photochemical ages between 93 94 1-11 days. A single flight to the Ontario source region also sampled active fires directly at source, providing a valuable inventory of fresh plume measurements and enabling comparison of emissions in 95 96 the near and far-field. Tracks of all flights included within this analysis are shown in the 97 supplementary material (Figure S1). Back trajectories for air masses encountered throughout the 98 durations of flights B621-624 are presented by O'Shea et al. (2013_a) , detailing the transport pathways 99 of plumes and agreement with active fire locations, while Taylor et al. (2014) provide air mass 100 histories for individual plumes sampled during flight B626. Comparison of emissions of different 101 ages is subject to potential contrasts in fire behaviour, given that each set of measurements were obtained at different stages of the campaign. Fire activity within the region peaked between the 17th 102

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and 19th July (Figure §2), with emissions from these fires intercepted far downwind. Plumes from 103 104 active fires within this region were also sampled at source on the 26th July (flight B626), representing 105 the only measurements of fresh plumes from BORTAS. However, by this time fire activity had 106 significantly abated, bringing about a change in combustion conditions to yield smaller, less intense 107 fires more typically dominated by smouldering combustion (O'Shea et al., 2013_a). The more intense 108 period of fires earlier in the campaign is expected to involve larger events with a more prominent 109 flaming combustion phase, as indicated by the detection of pronounced smoke plumes at altitudes of 110 up to approximately 7000 m over the North Atlantic (Palmer et al., 2013). As a result, any comparison of fresh and aged plumes during BORTAS must also account for this disparity in source 111 112 conditions. While such a scenario would reduce the potential to evaluate the continuous evolution of 113 smoke plumes from source into the ambient atmosphere, and prevent direct comparison of near and 114 far-field plumes derived from similar combustion conditions, it also provides a baseline for conditions 115 at source.

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117 2. Background

118 <u>2.1 Instrumentation and measurements</u>

119 A wide array of instrumentation performing particulate and gas phase measurements were deployed throughout BORTAS. This study focuses primarily on the analysis of OA mass and 120 121 composition data obtained from an Aerodyne Research Inc. compact time-of-flight aerosol mass 122 spectrometer (C-ToF-AMS; Drewnick et al., 2005; Canagaratna et al., 2007). The AMS provides 123 highly time-resolved mass concentrations of sub-micron, non-refractory aerosol, and a broad chemical 124 characterisation across a complete range of constituent ion mass-to-charge ratios (m/z). Operation of 125 the AMS, including calibration and necessary correction factors, during aircraft deployment (Bahreini 126 et al., 2003) and specifically onboard the BAe-146 (Crosier et al., 2007; Morgan et al., 2009; Taylor 127 et al., 2014) have been described in detail. Refractory black carbon (BC) was measured using a Droplet Measurement Technologies single particle soot photometer (SP-2; Schwarz et al., 2006; 128 129 Taylor et al., 2014). Although analysis of the chemical and optical properties of single BC particles

130 was not performed as part of this study, mass concentrations in smoke plumes, particularly in relation to OA concentrations, were used as a means of evaluating the proportional contributions of different 131 132 combustion phases. A range of gas phase measurements were undertaken on the BAe-146, including 133 species used as tracers for both primary emissions and photochemical processing. CO mixing ratios 134 were measured with an Aerolaser AL5002 UV fluorescence analyser and O₃ by a Thermo Scientific 135 TEi49C UV photometric analyser as part of the standard complement of instrumentation for BAe-146 136 science flights. Additional instrumentation included a chemical ionisation mass spectrometer (CIMS; 137 Nowak et al., 2007; Le Breton et al., 2012) providing real-time measurements of HCN, which is widely used as a tracer for BB emissions given that vegetation fires constitute its primary global 138 139 source (Li et al., 2000; Sinha et al., 2003; Yokelson et al., 2007). NO_x (NO + NO₂) and NO_y (NO_x 140 oxidation products, including HNO₃ and N_2O_5) act as important tracers for oxidation in aging plumes, 141 and were measured respectively by an Air Quality Design Inc. chemiluminescence NOx analyser and 142 by thermal dissociation-laser induced fluorescence (TD-LIF; Di Carlo et al., 2013). The assembly of 143 gas phase measurements used within this analysis was completed by CO₂ mixing ratios from a Los 144 Gatos Research Inc. cavity enhanced absorption spectrometer-based fast greenhouse gas analyser 145 (FGGA; O'Shea et al., 2013_b). Aerosol size distributions in the range 20-350 nm were obtained from a scanning mobility particle sizer (SMPS), with integrated distributions over the fullthis size range 146 147 used as an approximation of particle number concentration.

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149 <u>2.2 Data selection</u>

150 Measurements from 5 BORTAS flights (B621-B624 and B626) were included in this 151 analysis. Flight B626 provided the only measurements of fresh BB plumes throughout the campaign, 152 with all other flights sampling air masses downwind of the source region at ages of several days. Data 153 from BORTAS were screened in order to isolate emissions with a biomass burning influence, 154 resulting in a total number of 26 valid plume interceptions (3 fresh and 23 aged) across the 5 flights. 155 This-Screening was performed using the guidelines proposed by *Capes et al.* (2008) and *Jolleys et al.* 156 (2012) based upon minimum \triangle CO (the excess CO concentration above background levels) and

absolute number concentrations. Respective thresholds of 20 ppb and 2000 cm⁻³ were applied for 157 △CO and number concentration. Background CO-concentrations for CO and other species were 158 159 calculated for each flight according to minimum observed concentrations, which were applied to all 160 measurements throughout the full vertical extent of sampling, given the limited variation in 161 background concentrations with altitude. CO₂ was the only exception, with high variability both in 162 and out of plume making it difficult to define an appropriate background concentration. As a result, only absolute concentrations are reported for CO2, as opposed to excess values. A threshold of 0.003 163 164 was used for f_{60} , representing the ratio of levoglucosan-like species, which correspond to the m/z 60 peak in the AMS mass spectra (Schneider et al., 2006; Alfarra et al., 2007), to the total OA mass. 165 166 This threshold is based upon observed background levels of f_{60} in OA emissions from urban and 167 biogenic sources where BB influences are absent (Cubison et al., 2011; Aiken et al., 2009; DeCarlo et 168 al., 2008). Levoglucosan and other anhydrous sugars such as mannosan and galactosan have been 169 shown to be strongly associated with primary BB emissions (Simoneit et al., 1999; Iinuma et al., 170 2007; Sullivan et al., 2008; Lee et al., 2010). Respective thresholds of 20 ppb and 2000 cm² were 171 applied for ACO and number concentration. Background CO concentrations were calculated for each flight according to the minimum observed concentrations. All data were also averaged to the 172 temporal resolution of the AMS (~8 second time step on average) to enable direct comparison of 173 174 different species. Alternative screening procedures for BB influences have been applied throughout separate 175

176 analyses of BORTAS data (Palmer et al., 2013). Concentrations of trace gases primarily produced by fire sources, including HCN and CH₃CN, are commonly used as indicators for BB plumes (Li et al., 177 178 2000; Yokelson et al., 2007; Crounse et al., 2009; Yokelson et al., 2009; Akagi et al., 2011). A 179 scheme using a HCN concentration threshold of six times the standard deviation (6σ) has been proposed for used during BORTAS (*Le Breton et al., 2013*) in an analysis of high sensitivity 1Hz 180 181 chemical ionisation mass spectrometer (CIMS) measurements and their consistency with CO and 182 CH₃CN concentrations (Le Breton et al., 2013). However, as many previous datasets do not include 183 HCN measurementsto ensure consistency with previous assessments of BBOA and facilitate intercomparison of different datasets, a simplified schemea screening procedure using only OA, CO 184

185 and number concentration data has been applied here, so that the approach can be used consistently across a broader range of data. This approach performs well when compared to other methods the Le 186 187 Breton et al. method, producing similarly strong correlations between HCN and CO for flights B621, B622, B624 and B626 ($R^2 = 0.64$, 0.52, 0.84 and 0.93) as the 6 σ technique ($R^2 = 0.83$, 0.46, 0.82 and 188 0.81). These four flights, in addition to B623, were the only flights from which data was used in this 189 190 analysis, although HCN was not measured during B623, preventing comparison of classification schemes for this flight. Several flights carried out later in the campaign (B628-B630) also measured 191 192 highly aged plumes with a photochemical age of up to 11 days (Palmer et al., 2013). However, 193 correlations between $\triangle OA$ and $\triangle CO$ throughout these flights were exceptionally weak, yielding R^2 194 values consistently well below 0.1, contrasting with values in the range 0.39-0.74 for flights B621-195 B624 and B626. These weak correlations from later flights suggest that sampled air masses lack a 196 common emission source and instead represent extensive mixtures of different plumes following 197 dispersion, or that emissions have been differentially processed to the extent that representative 198 properties can no longer be distinguished. As a result, data from these flights were omitted from this 199 analysis. 200 Throughout this study, extensive use is made of normalised measurements as a means of 201 assessing the relative abundances of different species. Normalising to a co-emitted, non-reactive 202 tracer such as $\triangle CO$ provides an emission ratio (ER) when calculated at source. Normalised excess

mixing ratios (NEMR) are used to represent these values for any other point in a plume away from

source along a Lagrangian trajectory, and account for the effects of dispersion as concentrations in

plumes decrease through dilution. These ratios can also be used as a marker for potential SOA

formation, as the longer atmospheric lifetime of CO (~1 month) relative to that of OA (on the order of

several weeks) makes it likely that any enhancement of the ratio between the two species will be a

result of the addition of OA, rather than increased removal of CO in isolation.

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210 3. Results and discussion

211 <u>3.1 Spatial and temporal variability in BB emissions properties</u>

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212 Measurements of OA in BB plumes during the BORTAS flights included within this analysis encompassed a wide range of ages, from directly at the source to up to 5 days of agingafter emission. 213 214 The extent of this diversity in age contributed to a high level of variability in plume properties, both 215 across separate research flights and between individual plumes encountered in different periods of the same flight. Excess OA concentrations measured in-plume ranged from close to zero to around 180 216 μ g m⁻³, with maximum \triangle CO concentrations approaching 1000 ppb. Vertical profiles of both species 217 are shown in Figure 43, revealing an overall increase in concentrations throughout the boundary layer 218 219 to a peak at around 2000 m, before declining to background levels through the free troposphere. 220 Significant elevations in both $\triangle OA$ and $\triangle CO$ occurred close to ground level, most likely as a result 221 of influence from local sources. The observed decrease in concentration with altitude is more marked 222 for $\triangle OA$, which returns to background levels by 6000 m. Variability in $\triangle CO$ is much greater than 223 $\triangle OA$ at higher altitudes. $\triangle CO$ concentrations of up to 800 ppb were observed between 5000–8000 m, whereas $\triangle OA$ did not exceed 30 µg m⁻³. This disparity is attributed to the removal of OA from 224 plumes encountered during flight B622 (20th July) by precipitation prior to sampling following 225 226 advection through clouds, as corroborated by meteorological observations and back trajectory models 227 (Griffin et al., 2013; Taylor et al., 2014). Wet deposition of aerosol reduced $\triangle OA$ to background 228 levels, while $\triangle CO$ concentrations remained elevated to similar levels as observed at lower altitudes. 229 Despite their biomass burning origin, the absence of OA and BC from these plumes resulted in their 230 omission from this analysis.

231 The change in combustion conditions between different periods of BORTAS is reflected in the contrast between loadings of particulate and gas-phase species. Concentrations of the majority of 232 sampled species in aged plumes sampled during flights B621-B624, including OA, CO, CO₂ and BC, 233 234 consistently exceeded those at source from B626, irrespective of the effect of dilution as plumes 235 dispersed into the ambient atmosphere. During flight B626, $\triangle OA$ peaked at around 50 µg m⁻³, with concentrations in more aged plumes exceeding this level by a factor of four 3.6. \triangle CO concentrations 236 237 were also significantly elevated in aged plumes relative to fresh emissions. The contrast in properties 238 between plumes of different ages is likely to be primarily affected by a change in the size and

intensity of fires, rather than combustion phase alone, given the stronger association of <u>both</u>OA <u>and</u>
<u>CO</u> production with predominantly smouldering combustion in the latter stages of fire evolution (*Reid et al.*, 2005).

242 While the higher concentrations identified in aged plumes may be influenced to some extent 243 by contributions from SOA, initial indications from calculated $\triangle OA/\triangle CO$ ratios suggest this 244 contribution did not provide any net increase in OA loadings. Normalising to a co-emitted, nonreactive tracer such as \triangle CO provides an emission ratio (ER) when calculated at source, also denoted 245 246 as a normalised excess mixing ratio (NEMR) for any other point in a plume away from source, and 247 accounts for the effects of dispersion. These ratios can also be used as a marker for potential SOA 248 formation, as the longer atmospheric lifetime of CO (~1 month) relative to that of OA (on the order of 249 several weeks) makes it likely that any enhancement of the ratio between the two species will be a result of the addition of OA, rather than increased removal of CO in isolation. Figure 2-4 shows 250 △OA/△CO for all 5 relevant analyzed BORTAS flights, with average values determined from the 251 252 gradient of linear least squares regressions. Using this approach reveals that the average $\Delta OA/\Delta CO$ 253 close to source (0.190 ± 0.010 , where uncertainty represents the standard deviation in the fit) exceeds 254 that for aged plumes (0.097 ± 0.002) by around 50%, with an overall campaign average of 0.092-104 ± 0.002003 . Average ratios for individual flights sampling aged emissions range from 0.056 ± 0.003 255 256 (B624) to 0.114 \pm 0.003 (B622), giving an overall range of 0.058. The level of average $\Delta OA/\Delta CO$ 257 for fresh emissions from boreal forest fires during BORTAS falls between the upper extent derived 258 from the eucalypt forests of northern Australia during ACTIVE (0.329), and lower ratios from several 259 other campaigns where OA enhancements were comparatively reduced (0.019-0.065; Jolleys et al., 260 2012). Average $\triangle OA/\triangle CO$ from aged plumes during BORTAS was again within the range 261 identified from previous field observations, although with closer proximity to ratios from the lower 262 extent of the observed range, including aged boreal forest fire plumes sampled during the Arctic 263 Research of the Composition of the Troposphere from Aircraft and Satellites (ARCTAS) campaign 264 (Hecobian et al., 2011). The extent of variability amongst aged emissions during ACTIVE also 265 exceeded that observed during BORTAS, with flights throughout the former campaign sampling plumes from fires in a number of different source regions. However, analysis of ERs from vegetation 266

267 fires performed under laboratory conditions during the second Fire Lab At Missoula Experiment 268 (FLAME II) also revealed extensive variability in $\triangle OA / \triangle CO$ directly at source, even amongst single 269 plant species (Jolleys et al., 2013). The single source region from which BORTAS plumes originated 270 could therefore still be expected to give rise to significantly contrasting $\Delta OA/\Delta CO$, while the effects 271 of atmospheric processing during transportation provide further perturbation of initial ERs. Aged 272 BORTAS plumes had been transported over extensive geographical and temporal scales, and provide 273 an indication of the potential implications of OA losses during long range transport. -Flight-Aaverage 274 $\Delta OA/\Delta CO$ decreased progressively for aged emissions as the distance from source at which plumes 275 were intercepted increased, with B622 performing a transit between Halifax and Quebec City, and 276 B624 primarily sampling plumes over the North Atlantic off the eastern coast of Nova Scotia and 277 Newfoundland, suggestive of OA losses during aging in these plumes from predominantly flaming 278 sources.

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280 <u>3.2 Tracers for combustion conditions</u>

281 While the evolution of $\triangle OA / \triangle CO$ in aging plumes would appear to be strongly influenced 282 by the effects of atmospheric processing, source conditions remain a critical factor in controlling OA 283 production. Contrasts in $\triangle OA/\triangle CO$ between fresh and aged OA are accompanied by varying 284 properties with respect to the location and composition of plumes. Proportional contributions of OA 285 mass fragment marker species differ between near and far-field measurements. f_{60} represents the 286 prevalence of primary combustion products such as levoglucosan and is used as an indicator for fresh 287 BB emissions (Schneider et al., 2006; Alfarra et al., 2007). Conversely, f_{44} is associated with the CO_2^+ ion derived from more aged OA as hydrocarbon fragments are oxidised to form organic acids 288 289 (Zhang et al., 2005; Aiken et al., 2008), although m/z 44 has-is also a constituent of fresh smoke and 290 has been shown to be significantly elevated at source, dependent on combustion conditions (Weimer 291 et al., 2008; Jolleys et al., 2013). While strongly associated with saturated hydrocarbon fragments, 292 m/z 43 can also originate from oxidised compounds such as aldehydes and ketones (Alfarra et al., 2004). Large contributions of m/z 43 have been observed within the mass spectra of OA during the 293

laboratory combustion of a range of biomass fuels, typically accounting for a greater proportion of total OA mass than any other detected fragments (*Schneider et al., 2006*). This dominance of m/z 43 above m/z 44 amongst even compounds with high oxygen contents suggests the former can be produced preferentially during the fragmentation of oxygenated molecules, and as such f_{43} may prove to be an appropriate indictor of OA oxygenation at source.

299 Variations in the average proportions of m/z 43, 44 and 60 in OA between fresh and aged 300 plumes are widely observed throughout BORTAS, emphasising the contrasting properties of aerosol 301 of different ages. Mean f_{44} for B626, which comprised the only measurements of fresh OA during 302 BORTAS, was lower than all other flights at 0.086 ± 0.014 , with mean values for B621-B624 ranging 303 from 0.104 to 0.139. This trend between the near and far-field is consistent with observations of 304 boreal forest fire plumes during ARCTAS, where f_{44} was shown to increase as a function of plume 305 transport time (Cubision et al., 2011; Hecobian et al., 2011). f₆₀ was also shown to decrease 306 concurrently with increasing f44 during ARCTAS, as a result of the oxidation of primary levoglucosan-307 type species with aging. However, mean $f_{\delta\theta}$ for BORTAS flight B626 was also amongst the lowest 308 throughout the campaign at 0.007 ± 0.004 . Averages were higher for B621-B623 (0.010-0.017), 309 although B624 provided the lowest f_{60} with a mean of 0.005 ± 0.001 .

310 While the higher mean f_{44} observed in the far-field is likely to primarily result from more 311 extensive oxidation of OA after longer periods of aging, the transition to more smouldering-312 dominated combustion prior to sampling of near-field plumes could also have influenced observed changes in composition. Elevated levels of f_{60} in aged plumes are indicative of such an effect, as m/z313 314 60 would be expected to constitute a greater proportion of fresh OA, given its typical progressive 315 depletion through oxidation (*Cubision et al., 2011*). However, the relationships between f_{44} , f_{60} and 316 combustion phase are known to be complex and subject to considerable uncertainty. Weimer et al. 317 (2008) showed f_{60} to be more strongly associated with the initial flaming phase of combustion in wood 318 burners used for domestic heating, while f_{44} was higher during the later stages of the burning process 319 when smouldering combustion dominated. These trends are attributed to changes in combustion 320 behaviour and the consumption of different fuel components at each stage of the fire. In contrast, Gao 321 et al. (2003) reported significantly elevated levoglucosan concentrations from smouldering fires in 322 southern Africa, and severe depletion in emissions from flaming fires. Furthermore, Lee et al. (2010) 323 reported overall similarity in f_{60} across flaming and smouldering phases for open biomass fires carried 324 out in a laboratory setting as part of FLAME II, while the ratio of levoglucosan to total organic carbon 325 in filter samples from the same experiment shows a dependence on the fuel component burned 326 (Sullivan et al., 2008). Although both f_{44} and f_{60} were more frequently at a maximum during flaming 327 combustion in FLAME II burns (Jolleys et al., 2013), differences between phases were more 328 pronounced for f_{44} , with less variation amongst f_{60} . This behaviour is expected to result from greater fire intensity during flaming combustion, although the specific effects of increased intensity on OA 329 330 composition through changing oxygen availability remain unclear.

331 Further indication of a shift in combustion phase is provided by the differences in f_{43} between 332 fresh and aged plumes, for which respective mean values were 0.123 ± 0.013 and 0.088 ± 0.012 . The 333 low f_{44} and f_{60} for fresh OA suggest a dominance of smouldering fires, in agreement with the trends 334 identified by Jolleys et al. (2013). Additional variations in plume properties appear to substantiate an 335 association between f_{43} and smouldering combustion, including the correlation between periods of 336 high f_{43} (>0.1) and low $\triangle BC / \triangle OA$ (<0.02) in both fresh and aged plumes, with production of BC 337 expected to be at a maximum during flaming combustion (Reid & Hobbs, 1998). Absence of a 338 prominent flaming phase close to source is also corroborated by very low BC mass loadings, and 339 reduced $\triangle BC / \triangle OA$ relative to aged emissions, while the elevated $\triangle OA / \triangle CO$ from these fires is 340 consistent with the enhanced OA production typical of smouldering combustion (Yokelson et al., 1997). The lower $\triangle OA / \triangle CO$ and f_{43} , but higher f_{44} and f_{60} , for aged OA would therefore be expected 341 342 to derive from more intense, flaming-dominated combustion, which would also account for the 343 significantly higher concentrations observed for $\triangle OA$, $\triangle BC$, $\triangle CO$ and $\triangle HCN$ despite plumes 344 being progressively diluted over several days.

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346 <u>3.3 Effects of combustion conditions on vertical distributions</u>

347 Altitudinal variations in plume composition further emphasise the importance of combustion conditions as a control on BB emissions and their propagation within the atmosphere. Profiles of 348 349 aged plumes during BORTAS shown in Figure 3-5 highlight the shift in properties between the upper 350 and lower troposphere, with higher altitude plumes more typical of flaming combustion. The 351 $\Delta BC/\Delta OA$ ratio is used as an indicator for the comparative contributions from flaming and 352 smouldering combustion phases to the overall BB particulate loading (e.g. Grieshop et al., 2009), and 353 is shown to increase through successive 500 m bins from 0.015 ± 0.003 at 500 m to 0.110 ± 0.055 at 354 6000 m, with the interquartile range increasing from 0.006 (2000 m) to 0.068 (5500 m). In contrast, 355 $\Delta OA/\Delta CO$ decreases over the same range, revealing the stark contrasts in plume composition at 356 different altitudes and the apparent influence of fire properties at source. Mean $\triangle OA / \triangle CO$ stands at 357 0.155 ± 0.061 and 0.196 ± 0.103 for the two bins closest to the surface, declining to 0.038 ± 0.015 at 6000 m. The interquartile range also decreases from 0.147 to 0.044 between 1500 and 5500 m, 358 359 reflecting an overall reduction in variability with altitude.

360 With the exception of a few isolated points, $\triangle BC / \triangle OA$ only rises above 0.02 in higher plumes where f_{43} is below 0.09, and remains consistently low when f_{43} is above this level 361 362 (Figure 46). This trend is in part due to the greater production of BC under flaming conditions, as 363 reflected by corresponding distributions of high BC mass concentration, f_{60} and CO₂ (Figure 4a6a-c). 364 Conversely, plumes sampled at lower altitudes exhibit characteristics more strongly associated with smouldering combustion (Figure 446d), and are comparable to fresh plumes with regards to low levels 365 of $\triangle BC / \triangle OA$ and f_{60} , and high f_{43} . Weaker convection resulting from smouldering fires limits 366 367 vertical transportation, retaining plumes within the boundary layer (Andreae et al., 1996; Warneke et al., 2006; Burling et al., 2011). The presence of flaming-derived emissions at higher altitudes alludes 368 369 to an elevated injection height resulting from increased buoyancy and pyroconvection (Fromm et al., 370 2005; Damoah et al., 2006) driven by more intense fires earlier in the BORTAS campaign period (Figure S2). A similar dependence on combustion phase has previously been observed for the 371 372 altitudinal distribution of different combustion products from boreal forest fires during ARCTAS 373 (Kondo et al., 2011).

374 The altitudinal trends identified for $\triangle OA / \triangle CO$ and $\triangle BC / \triangle OA$ also show broad agreement with those of f_{43} and f_{60} respectively, with mean values for the former decreasing from $\frac{0.078 \pm 0.003}{0.078 \pm 0.003}$ 375 376 to 0.128 \pm 0.006 to 0.078 \pm 0.003 and latter increasing from 0.005 \pm 0.001 to 0.015 \pm 0.002. The 377 directly opposing profiles of f_{43} and CO₂ (Figure <u>3e5c</u>-d), along with the correlation of increased f_{60} 378 with CO₂ and BC mass at high altitudes (Figure $\frac{5h7h}{1}$ -i), further underline the importance of initial 379 combustion conditions for aged emissions. Minimum CO₂ concentrations within aged plumes were 380 around 375 ppm, representing a minimal elevation above typical background levels for boreal 381 Canadian forest environments (Vay et al., 2011, Higuchi et al., 2003). Although the distribution of CO₂ clearly reflects the influence of the biosphere closer to the surface through uptake in 382 383 photosynthesis, expected source profiles also appear to be largely conserved, further corroborated by 384 the sustained correlation between periods of high f_{43} and low CO₂₋₂ and vice versa, relative to levels 385 throughout the rest of the aged plumes.

386

387 <u>3.4 Aging as a driver for plume variability</u>

388 Despite the apparent influence of combustion conditions on the vertical distribution and 389 composition of aged emissions, the effects of transformations associated with atmospheric processing 390 cannot be entirely discounted. Certain contrasting properties between emissions of different ages 391 could also be less dependent on source conditions and more strongly influenced by processing 392 throughout plume evolution. Differences between fresh and aged plumes in the respective 393 relationships of total $\triangle OA$ loadings, and those normalised to $\triangle CO$, with a number of tracers 394 highlight the combined effects of source conditions and processing, and their changing influence with aging. Both $\triangle OA$ and $\triangle CO$ concentrations show a negative correlation with f_{44} (e.g. Figure 5a7a-b) 395 396 and positive correlation with f_{60} (e.g. Figure <u>5f7f</u>-g). <u>Furthermore, when binning concentrations by</u> 397 f_{44} maximum binned $\triangle OA$ and $\triangle CO$ both coincide with minimum f_{44} and vice versa. These overriding trends remain consistent for emissions of all ages, although the nature of the relationship 398 changes in each case. Linear relationships appear consistently for $\triangle OA$ ($R^2 = 0.51$ and 0.80 with f_{44} 399 and f_{60} respectively) and $\triangle CO$ ($R^2 = 0.23$ and 0.49) in fresh emissions. The same relationships also 400

401 persist to an extent for $\triangle OA/\triangle CO$ in fresh plumes ($R^2 = 0.42$ and 0.47). However, at a greater 402 distance from source, correlations for $\triangle OA$ and $\triangle CO$ are consistently below 0.3, while there is no 403 relationship between $\triangle OA/\triangle CO$ and either f_{44} or f_{60} . This disparity suggests that NEMRs in the far-404 field are less solely dependent on source conditions than ERs in the near-field, and are more strongly 405 affected by further influences during aging.

406 In addition to providing a tracer for source profiles in aged BB emissions, $\triangle BC / \triangle OA$ can 407 also be used as an indicator for OA processing. Observations of increasing $\Delta BC/\Delta OA$ with aging 408 have previously been attributed to the loss of OA mass through evaporation (Liousse et al., 1995). 409 Similar behaviour has also been proposed as a possible cause of the overall reduction in $\Delta OA/\Delta CO$ 410 between BB plumes in the near and far-field throughout several campaigns across different global regions (Jolleys et al., 2012). Any decrease in $\triangle BC / \triangle OA$ could therefore be considered a product, 411 to a certain degree, of the addition of secondary organic mass from either the processing of BBOA or 412 413 external sources. Measurements performed at lower altitudes (<2000 m) during flights B621 and B622 provide possible evidence to support such an effect. Both BC mass and f_{60} remain low during 414 these periods, at less than 0.5 µg m⁻³ and 0.01 respectively, consistent with wider observations of 415 416 smouldering fire emissions at low altitude during BORTAS. However, $\triangle CO$ concentrations are also 417 diminished and consistently below 100 ppb, relative to an average of 200 ppb for aged plumes, while 418 \triangle OA concentrations are comparable to levels in higher altitude, flaming-type plumes (~20 µg m⁻³). 419 These trends, which diverge from the expected characteristics for emissions of this origin, are further 420 compounded by high $\Delta O_3 / \Delta CO$ (>0.2), indicative of an elevated level of oxygenation and 421 photochemical activity (Mason et al., 2001; Parrington et al., 2013). Formation of SOA from biogenic precursors has previously been observed in the forests of Ontario (Slowik et al., 2010). 422 423 These SOA events were also characterised by $\triangle OA / \triangle CO$ levels far in excess of those derived for 424 BB emissions during the same study. In accordance with this trend, $\triangle OA/\triangle CO$ within the low 425 altitude plumes in B621 and B622 were consistently above the average for aged emissions (0.097), 426 reaching as high as ~0.4. There is subsequently considerable evidence to support biogenic SOA as a 427 potential contributor to the OA burden during BORTAS, which could provide further enhancement of 428 $\triangle OA/\triangle CO$ as demonstrated by the *Slowik et al.* (2010) Ontario study. Whilst the further properties 429 of aged plumes discussed here would suggest this effect is isolated and limited in its overall impact, it 430 presents a further source of uncertainty for any attempts to develop parameterisations for the 431 contribution of forest fires to regional and global OA budgets.

432 Although f_{60} displays a level of consistency with flaming combustion products in upper 433 troposphere plumes during BORTAS, and increases on average with increasing altitude, further trends oppose the expected relationships for different combustion phases. Maximum concentrations of 434 435 $\triangle OA$, $\triangle BC$, $\triangle CO$ and CO_2 all coincide with high f_{60} (0.025-0.300) and show a reduction as f_{60} decreases (Figure $\frac{5f_{7f}}{1}$ -i). Overall correlations between each species and f_{60} are all positive, albeit 436 with varying fit coefficients. R^2 values were highest for CO₂ and \triangle BC (0.52 and 0.47), reflecting 437 their stronger associations with flaming combustion (Crutzen & Andreae, 1990; Reid et al., 2005). 438 Correlations with $\triangle OA$ and $\triangle CO$ were weaker ($R^2 = 0.28$ and 0.23), as would be expected given 439 production of each is greatest during the smouldering phase (Ferek et al., 1998; Andreae & Merlet; 440 2001; Gao et al., 2003). While trends with $\Delta O_3 / \Delta CO$ show f_{60} to decrease with aging (Figure $\frac{5i7}{1}$), 441 the underlying relationships identified with all other species suggest f_{60} may prove to be a more 442 443 resilient tracer for overall plume intensity rather than combustion conditions at long aging times. However, Petzold et al. (2007) demonstrated export efficiencies of up to 90% for BC following 444 445 intercontinental transport of boreal forest fire plumes. In the absence of significant removal through wet deposition, $\Delta BC/\Delta CO$ in plumes encountered at altitudes above 4km remained consistent with 446 447 typical source values, indicating that mixing of emissions can be suppressed where fire intensity is sufficient to generate elevated injection heights. Conversely, the weaker convection associated with 448 449 smouldering combustion may lead to emissions being retained within the boundary layer, contributing 450 to the observed enhancements intypically higher levels of $\triangle OA / \triangle CO$ and f_{43} at low altitudes.

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452 <u>3.5 Tracer evolution during BORTAS</u>

453 The progression from f_{60} to f_{44} can provide a useful metric to assess the evolution of OA 454 | composition with aging. Figure 6-8 shows the nature of this progression for both fresh and aged OA,

together with further trends with several additional parameters. A strong linear relationship (R^2 = 455 0.72) is identified for emissions close to source. However, these observations comprised 456 457 measurements of three separate periods of flight B626, and reveal a clear discrepancy for one of these periods. Measurements performed further to the east of the source region, on a transect from 458 approximately 52.3° N, 90.0° W to 52.8° N, 91.3° W (a 'downwind' plume) yielded higher f_{44} than any 459 other fresh plumes, with f_{60} not exceeding 0.045. The two remaining sets of plumes ('source' plumes) 460 were both encountered within a region where active fires were present at around 52.4-52.8° N, 93.0-461 462 93.7° W. Despite sampling taking place roughly two hours apart, and over a slightly different geographical extent, the f_{44}/f_{60} relationship remains highly consistent across all 'source' plumes, with 463 464 an R^2 of 0.82. The higher levels of f_{44} and absence of a trend with f_{60} in the 'downwind' plume 465 indicate OA is more heavily oxidised than in the fresher 'source' plumes. This contrast in oxygenation is linked to other changes between plumes, including apparent photochemical age, which 466 467 in this instance is represented by the -log(NO_x/NO_y) ratio (Kleinman et al., 2008; DeCarlo et al., 2008). Levels of the ratio are significantly elevated in the 'downwind' plume from B626 (Figure 648f, 468 469 left panel), with an average of 1.45 ± 0.43 , exceeding the mean value of 1.09 ± 0.29 for highly aged 470 plumes sampled during flights B621-B624. CO_2 concentrations during this period are also higher than 471 for the remainder of B626 (Figure 668), left panel), with an average of 378.6 ± 0.6 ppm compared to 472 375.0 ± 1.3 ppm closer to source. The $-\log(NO_x/NO_y)$ photochemical clock is also shown to increase throughout 'source' plumes, with f_{44} and f_{60} changing in a manner consistent with the increasing 473 474 oxidation of OA, and is further corroborated by a trend of increasing $\Delta O_3 / \Delta CO$ (Figure 668e, left 475 panel). However, these changes also coincide with a trend of decreasing $\Delta OA/\Delta CO$ (Figure <u>6e8c</u>, 476 left panel), belying the expected addition of OA mass resulting from increasing oxygenation as <u>semivolatile products condense to the particle phase</u>. Average $\triangle OA / \triangle CO$ is similar for the two 477 478 'source' plumes (0.165 ± 0.042 and 0.180 ± 0.045), but is lower in the more photochemically aged 479 'downwind' plume (0.114 \pm 0.015). It is difficult to speculate on the significance of any link between 480 a higher rate of oxidation and an overall reduction in $\triangle OA/\triangle CO$ given the continuing uncertainty 481 regarding the processes affecting OA in aging BB plumes. Yokelson et al. (2009) reported that 482 $\Delta OA/\Delta CO$ increased by a factor of 2.3 over a period of 1.4 hours for plumes from fires in the

Yucatan region of Mexico, coinciding with a comparably high f_{44}/f_{60} gradient to that in the 'downwind' section of B626. Conversely, an increase in f_{44} has also been shown in conjunction with stable or even decreasing levels of $\triangle OA / \triangle CO$ (*Capes et al., 2008; Cubison et al., 2011; Akagi et al., 2012; Jolleys et al., 2012*), suggesting OA loss through evaporation has an equally important effect throughout plume evolution.

488 Linear relationships between f_{44} and f_{60} are weaker for more aged plumes sampled at a greater distance downwind, with an overall R^2 value for all plumes of 0.44 and individual flights ranging 489 490 from 0.01 (B624) to 0.32 (B622). The overall decline of f_{60} again appears to be strongly influenced 491 by distance from the source region and the physical age of plumes, decreasing from a maximum of ~0.027 in B622 to a minimum of ~0.004 in B624. An effect of dilution is evident, given the 492 concurrent reduction in both $\triangle CO$ and CO_2 with decreasing f_{60} and increasing f_{44} (Figure 648) b, right 493 panels). $\triangle O_3 / \triangle CO$ shows the reverse progression, increasing with the oxygenation of OA (Figure 494 $\frac{668e}{100}$, right panel), while $-\log(NO_x/NO_y)$ does not exhibit the strong trend observed for near-field 495 496 measurements but is typically higher and reflects longer aging times (Figure 648f). However, 497 $-\log(NO_x/NO_y)$ ratios were highest on average for the 'downwind' plume in B626, which did not 498 provide any significant indication of net addition of OA mass. While the highest average 499 $\Delta OA/\Delta CO$ ratio for an individual plume throughout the entirety of BORTAS was derived for one of 500 the 'source' plumes during B626, two aged plumes from B622 exhibited average $\triangle OA/\triangle CO$ of a 501 similar magnitude $(0.120 \pm 0.080 \text{ and } 0.119 \pm 0.042)$, with each plume representing a different region of $f_{4/}/f_{60}$ space. Together with the overall lack of consistency between $\Delta OA/\Delta CO$ and $f_{4/}/f_{60}$ 502 503 throughout aged plumes, and the subsequent contrast with plumes at source, this inconsistency further highlights the need for improved characterisation of the processes contributing towards aging of 504 505 BBOA during long range transport.

The contrasting behaviours of various tracers throughout fresh and aged plumes highlights the different ways in which these properties can be used to evaluate influences on BBOA evolution. With regards to f_{44} , the consistently higher values observed in aged plumes, and the strong trends identified with indicators of photochemical aging such as $-\log(NO_x/NO_y)$ and $\triangle O_3/\triangle CO$ close to source

(Figure <u>668e</u>-f, left panels), substantiate its use as a tracer for OA aging. Although f_{60} exhibits the 510 same clear relationship with $-\log(NO_x/NO_y)$ and $\triangle O_3/\triangle CO$ in fresh plumes, albeit reversed and 511 512 decreasing with aging, values are higher on average amongst aged plumes. Given the overall trend of increasing f_{44} with decreasing f_{60} remains for aged OA, the longer periods of aging to which these 513 514 plumes have been exposed would be expected to bring about a more extensive reduction in the latter 515 tracer. The elevation in f_{60} relative to fresh plumes would therefore seem to stem from the contrasting 516 dominant combustion phases associated with plumes of different ages, and the persistence of high 517 levels in flaming-derived OA at greater altitudes. In contrast, f_{43} shows an overall reduction with aging, with mean values of 0.123 ± 0.013 and 0.088 ± 0.012 for near and far-field plumes 518 519 respectively, consistent with the oxidation of primary OA components over time. However, overall 520 trends with $-\log(NO_x/NO_y)$ and $\Delta O_3/\Delta CO$ in fresh plumes are generally positive, albeit with fairly 521 weak correlation coefficients ($R^2 = 0.12$ and 0.34), resulting in f_{43} peaking at greater photochemical 522 ages. This relationship contradicts that which would be expected in aging OA (Ng et al., 2010; 523 Morgan et al., 2010), and suggests additional factors may be contributing to the observed variability 524 in f_{43} . However, fragmentation of oxygenated aldehyde and ketone molecules has been shown to 525 produce elevated levels of f_{43} relative to f_{44} in BB emissions (Schneider et al., 2006), suggesting possible contributions from secondary formation. Values of f_{43} in fresh OA are almost entirely greater 526 527 than 0.1, while this threshold is most frequently exceeded amongst aged OA in plumes below around 3000 m (Figure 345d). These lower altitude plumes exhibit the same low $\triangle BC/\triangle OA$ levels as 528 529 identified close to source (< 0.02), in contrast to the greater range in $\Delta BC/\Delta OA$ (up to 0.15) 530 coinciding with lower f_{43} (Figure 46). Differing distributions of f_{43} in aged plumes, and the prescribed 531 similarities with near-field observations, may reflect an influence of changing combustion conditions, 532 with f_{43} seemingly more prominent in OA from smouldering fires. As a result, f_{43} may prove to be a 533 more suitable tracer for source conditions rather than the effects of aging, although comparison between different combustion phases at source would be required in order to fully constrain any such 534 535 relationship.

536

The progression of f_{44} and f_{60} throughout BORTAS shows a number of similarities with 538 observations from other field campaigns and laboratory experiments. Distributions for fresh and aged 539 540 emissions from BORTAS and montane forest fires during the Megacities Initiative: Local and Global 541 Research Observations (MILAGRO) campaign are presented in Figure 79, along with data from 542 numerous plumes measured during ARCTAS-B. Data are also shown for the combustion of boreal 543 forest plant species under laboratory conditions as part of FLAME II. Similar trends in f_{44}/f_{60} for fresh and aged emissions are identified for BORTAS and MILAGRO, with average f_{44} increasing with 544 545 aging in both cases. A significant contrast is also evident in the distributions of f_{60} , which is higher on average for fresh plumes in MILAGRO and aged plumes in BORTAS, possibly as a result of the 546 547 reduced intensity of fires sampled close to source. Average $\triangle OA / \triangle CO$ is again lower for the aged 548 fraction in MILAGRO, decreasing from 0.051 ± 0.001 in fresh plumes to 0.041 ± 0.001 (Jolleys et al., 549 2012), consistent with a loss of OA. The lower magnitude of these ratios is likely to be a consequence 550 of different fuel properties and resulting combustion conditions, as strongly-flaming grass fires are 551 expected to have made a significant contribution to smoke plumes sampled at the Paso de Cortes 552 measurement site (Jolleys et al., 2013). Figure 7-9 also emphasises the differences in emissions from 553 boreal forest fires during ARCTAS-B and BORTAS. Plumes encountered close to source in each campaign exhibit contrasting levels of f_{60} , reflecting the dominance of different combustion phases in 554 555 each set of measurements. Unlike the heavily smouldering fires sampled in flight B626, the plume 556 from a fire at Lake McKay in northwestern Saskatchewan was produced by highly intense, flaming 557 fires (*Cubison et al., 2011*). The Lake McKay fires subsequently yielded higher f₆₀ than was observed 558 for any BORTAS plumes, peaking at around 0.05. As the Lake McKay plume was tracked downwind, f_{44} increased to ~0.12, comparable to the upper extent for fresh plumes in BORTAS. 559 560 Although f_{60} decreased to ~0.015, this level remained above the majority of the distribution from BORTAS. Similarly high levels of f_{60} were observed for black spruce fires during FLAME II. 561 562 However, f_{44} from these burns was generally exceptionally low, as would be expected given the direct 563 measurement at source and lack of aging. Higher f_{44} comparable to the range identified in ambient 564 emissions did occur in chamber fires for plant species representing environments other than boreal 565 forests, with average values particularly high for chaparral fuels. Montane forest fuels, which like the

boreal equivalent comprised samples of coniferous species, also yielded f_{44} up to ~0.15, although such fires largely involved drier, woody plant material leading to more flaming-dominated combustion (*Jolleys et al., 2013*).

569 The different f_{44} and f_{60} regimes in ambient and chamber fires, and their conflicting 570 relationships with combustion phases, suggest their use as tracers for processing of BBOA is highly 571 dependent on both fire properties and experimental conditions. Throughout FLAME II, f44 was shown 572 to be more strongly associated with flaming combustion, as increased intensity and turbulent mixing 573 enhanced the supply of oxygen to fires. In contrast, the rapid increase in f_{44} in fresh OA from 574 smouldering fires during BORTAS, to levels comparable to more extensively aged plumes, indicate 575 that f_{44} is strongly influenced by post-emission processing under ambient conditions. Relationships 576 with f_{60} are more consistent, being higher on average more frequently for flaming-dominated fires 577 under laboratory conditions, and showing a stronger association with seemingly flaming-derived aged 578 emissions during BORTAS. Probability density functions (PDFs) for f_{44} and f_{60} in fresh and aged 579 emissions from BORTAS, along with source emissions from fires involving boreal and montane forest fuels during FLAME II, are shown in Figure \$10. The clear separation in f_{44} distributions 580 581 between chamber and ambient measurements reflects the role of aging in determining the level of oxidation in BBOA, as further evidenced by the enhancement in plumes in the far-field above those at 582 583 source. However, the trend of increasing f_{44} in fresh plumes suggests that this processing can occur 584 over very short timescales under certain atmospheric conditions. Rapid oxidation of BB smoke 585 plumes has previously been inferred from the addition of secondary OA mass within ~1 hour of emission (Gao et al., 2003; Yokelson et al., 2009), corroborating the BORTAS trend. Values of f_{44} 586 coinciding with peak concentrations for a number of combustion products are also shown in Figure 587 588 <u>810</u>. These peak concentrations show a good agreement with prescribed combustion phase 589 relationships for FLAME II data, with $\triangle CO_2$ reaching a maximum when f_{44} is higher, and hence combustion more flaming-dominated, while $\triangle OA$ and $\triangle CO$ peak at a lower f_{44} . The same trends are 590 591 also observed throughout BORTAS, with peak concentrations for $\triangle CO_2$ and $\triangle BC$ coinciding with 592 higher levels of f_{44} than those of $\triangle CO$ or $\triangle OA$. PDFs for f_{60} exhibit the same trend amongst ambient

593 plumes, shifting to higher values with aging. Distributions are also broadened for emissions from 594 chamber burns, for which levoglucosan-type species constitute a larger proportion of the total OA 595 mass. The very low peak for near-field BORTAS plumes could be influenced by both the absence of a significant flaming phase and subsequent oxidation of primary OA (Cubison et al., 2011), 596 contributing to the increase in f_{44} . The variable gradients for f_{44}/f_{60} regressions (Figure 79) indicate a 597 598 slower rate of decay for levoglucosan-type OA in aged BORTAS plumes compared to their 599 equivalents from MILAGRO. Furthermore, mean f_{60} in aged MILAGRO plumes (0.006 ± 0.003) was 600 lower than in fresh plumes (0.018 \pm 0.006), while the opposite was true for BORTAS plumes (0.012 \pm 601 0.005 and 0.007 \pm 0.004 respectively). As such, the slower decline of f_{60} and potential influences 602 from more strongly flaming combustion may contribute towards the observed enhancement in aged 603 BORTAS plumes, while a faster rate of oxidation and largely smouldering fires reduce levels closer to 604 source.

605

606 **<u>4. Conclusions</u>**

607 Smoke plumes from Canadian boreal forest fires have been shown to exhibit highly variable properties over a range of ages and combustion phases. Average $\triangle OA/\triangle CO$ in 3 plumes sampled 608 609 close to source (0.190 ± 0.010) exceed ratios in the far-field from 23 interceptions (0.056 ± 0.003 to 610 0.114 ± 0.003), reaffirming an absence of significant net SOA formation for aging BB emissions, at least to an extent that provides an elevation above initial OA production at source. While contrasting 611 612 aging behaviours and significant SOA formation have been identified in some studies, Aan absence of 613 increasing $\triangle OA/\triangle CO$ has been widely observed in several previous BB assessments. with a The 614 similar trend of decreasing $\triangle OA/\triangle CO$ with increasing distance from source in BORTAS further 615 emphasisesing the importance of source conditions for aging plumes. High levels of typical flaming 616 combustion products were identified in highly aged plumes following transportation over a period of 617 several days. Enhancements in $\triangle BC / \triangle OA$ and f_{60} were most prominent within the free troposphere, typically displaying an overall increase with altitude, while aged OA sampled within the boundary 618 layer showed stronger evidence for production by smouldering combustion. Plume injection height, 619

620 as determined by combustion conditions at source, may therefore have a pivotal influence on the long range retention of initial plume properties. 621

622 Aging of BBOA during BORTAS has been extensively evaluated using the key tracers f_{44} and f_{60} from the AMS mass spectrum. An enhancement in f_{44} was determined for far-field plumes, where 623 the mean value of 0.121 ± 0.016 significantly exceeded that in the near-field (0.086 ± 0.014). 624 625 Similarly, f_{60} remained higher in aged plumes (0.012 ± 0.005) than those close to source (0.007 ± 626 0.004), in spite of the concurrent increase in oxygenation and expected processing of primary OA 627 components. These trends highlight the importance of both source conditions and processing for OA composition in BB plumes. While the influence of combustion phase on f_{44} remains highly uncertain 628 629 given contrasting relationships with smouldering and flaming combustion reported in different 630 studies, increases observed close to source suggest oxidation can occur over very short timescales This rapid processing is further corroborated by concurrent increases in 631 after emission. 632 photochemical tracers such as $\Delta O_3/\Delta CO$ and $-\log(NO_x/NO_y)$ ratios in plumes sampled at-near to 633 source. The increasing oxygenation of BBOA is not accompanied by an increase in $\Delta OA/\Delta CO$, 634 which shows no significant change with $\Delta O_3 / \Delta CO$ and decreases on average with $-\log(NO_x/NO_y)$ 635 over short aging times. A lack of $\triangle OA/\triangle CO$ enhancement irrespective of evidence for wider transformations therefore further substantiates the impact of OA losses in these aging BB plumes. 636

637 Presenting the changing composition of BBOA in f_{44}/f_{60} space reveals a consistent progression from high f_{60} to high f_{44} as primary levoglucosan-like species are lost through oxidation. Similar 638 639 transitions occur across multiple datasets encompassing smoke plumes of varying origins and ages, 640 although the gradients and extents of distributions show some variability between campaigns. Levels of f_{44} are also comparatively depleted in chamber burns of boreal forest fuels. The absence of aging 641 642 and a strong association with flaming combustion, and hence oxygen supply through entrainment, in 643 these experiments denote alternative tracer functions under laboratory and ambient conditions. While f₄₄ can act as an indicator for oxygenation through combustion processes in chamber experiments, the 644 645 influence of aging is likely to limit such application for ambient emissions. However, f_{60} has been

646 shown to act as a long-lived tracer for BB emissions, despite evidence for an overall reduction with 647 increasing f_{44} .

648 Analysis of measurements performed during the BORTAS campaign has provided further insight to the variability associated with BB emissions and the processes affecting changes in BBOA 649 650 loadings and composition over time. However, there remains considerable uncertainty regarding the 651 main drivers of OA processing. While data from BORTAS provide evidence for the influence of a 652 range of source and aging processes, the extents of any effects on aging BBOA are unclear, 653 particularly with regard to their consistency across different environments and fire types. Key trends 654 identified in this analysis, such as the comparatively lower levels of f_{60} close to source, contradict 655 previous findings and highlight the lack of consistency prevalent amongst many aspects of 656 investigations focusing on BB emissions. Further research specifically targeting these areas of 657 uncertainty is therefore essential in order to understand the cause of these disparities and provide more 658 reliable parameterisations of BB contributions to the atmospheric aerosol burden.

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Figure 1: Flight tracks for flights B621-624 and B626 during BORTAS, overlain on a MODIS accumulated 10 day fire map for eastern Canada during the period 20/07/2011 - 29/07/2011. Images

courtesy of MODIS Rapid Response Project at NASA/GSFC.



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Figure 2: MODIS fire maps showing the reduction in fire activity in northwestern Ontario between the
10 day periods of 10/07/2011 – 19/07/2011 (top) and 20/07/2011 – 29/07/2011 (bottom).





912Figure $\frac{13}{2}$: Vertical profiles of (a) \triangle OA and (b) \triangle CO in fresh and aged plumes, together with913concentrations in air masses free from the influence of biomass burning.







Figure 35: Vertical profiles of (a) $\triangle OA/\triangle CO$, (b) $\triangle BC/\triangle OA$, (c) CO_2 , (d) f_{43} , (e) f_{44} and (f) f_{60} in aged plumes. Circles represent the 5th and 95th percentiles, vertical lines the 10th, 25th, 50th, 75th and 90th percentile, with crosses denoting mean values in each 500 m altitudinal bin.



Figure 4<u>6</u>: \triangle BC/ \triangle OA versus f_{43} for aged emissions. Datapoints are coloured to show relationships with (a) \triangle BC, (b) f_{60} , (c) CO₂, (d) altitude, (e) \triangle OA and (f) \triangle OA/ \triangle CO.







Figure 68: f_{44} versus f_{60} with datapoints coloured by (a) $\triangle CO$, (b) CO_2 , (c) $\triangle OA/\triangle CO$, (d) $\triangle BC/\triangle OA$, (e) $\triangle O_3/\triangle CO$ and (f) $-\log(NO_x/NO_y)$. Data from fresh and aged plumes are shown on the left and right hand side of each panel, respectively.



Figure 79: Synthesis of f_{44} versus f_{60} from a range of ambient and laboratory measurements of BBOA, along with data from non-BB sources. The specified background f_{60} value of 0.003 used to identify BB influences is shown as the dashed vertical line. Coloured lines denote linear regressions for corresponding datasets. Adapted from *Cubison et al.* (2011).



Figure <u>810</u>: Probability density functions for (a) f_{44} and (b) f_{60} from a range of ambient and laboratory BB measurements. Also shown in the lower sections of each panel are the f_{44} and f_{60} values corresponding to maximum concentrations of $\triangle OA$, $\triangle BC$, $\triangle CO$ and CO_2 .

Reviewer 1 responses

Reviewer comment 1: I would follow the discussion of the near and far-field ratios with some-thing like "However, the changes in combustion conditions between the near- and far-field samples (smoldering for the near-field, flaming for the far-field) make it unclear whether the apparent decrease is due to aging or the change in combustion efficiency." Right now, it sounds like you are saying it is clearly due to aging, and that the changing combustion conditions are a minor effect, and I don't think you have proven that.

Author response 1: We agree that the contrasts in OA/CO between fresh and aged plumes are a consequence of both aging and combustion conditions, as is stated in this section (Manuscript L22-24). Regardless, a line has been added to clarify

Change 1: Text added L27 - 'creating an underlying contrast in emissions prior to any transformations associated with aging'

R2: I don't think I understand this sentence. F44 is lower in the fresh smoke than in the aged according to Figure 7, right? If so, I think this sentence is potentially misleading. Do you mean that you would have expected more formation in the far-field plumes than you observed?

A2: This sentence was simply intended to state that f_{44} is lower in the near-field plumes as a result of shorter aging times compared to more aged, transported plumes, and has been edited to clarify

C2: Text edited L32-34 – ' f_{44} is lower on average in near-field plumes than those sampled in the far-field, in accordance with longer aging times as plumes are transported a greater distance from source'

R3: Again, I don't think you can state that the effect you observed was primarily due to aging and not due to combustion phase given the evidence you present. You need to put both effects on more equal footing in your discussion.

A3: Text altered to provide greater balance

C3: L41-44 – 'The elevated levels of oxygenation in aged plumes, and their association with lower average $\Delta OA/\Delta CO$, are consistent with OA loss through evaporation during aging due to a combination of dilution and chemical processing, while differences in combustion conditions throughout the campaign also have a significant influence on BBOA production and composition'

R4: I think you want the word "emissions" after "(BBOA)"

C4: Added (L52)

R5: This sentence doesn't really say much that I relevant to the paper, so I'd suggest cutting it.

A5: We think it's important to state how this work could ultimately contribute to reducing uncertainty through the use of aerosol measurements and to define the overriding objective for this research

R6: There are several places you use this "influence of" phrasing, but it sounds awkward to me. Here, you don't mean the influence of SOA is unclear, you mean the rate of formation and loss of OA is unclear, or the importance of SOA is unclear, right?

C6: Changed to importance (L67)

R7: I don't see why Figure S1 and S2 are not in the main paper rather than the supplement. They seem pretty important to understanding the conclusions of the paper, so I'd recommend putting them in the main text. More discussion of the source locations and transport pathways o the plumes, including any potential vertical motion during transport, would also be useful.

A7: Discussion of source locations and plume transportation for BORTAS is provided by O'Shea et al. (2013, ACP), while back trajectory analysis for individual plumes sampled in flight B626 is also provided by Taylor et al. (2014, ACP). Given the large total number of plumes included in this study, more detailed analysis of individual plume histories (as in Taylor et al.) would be a significant undertaking and is beyond the scope of this paper

C7: Figures moved to main manuscript, reference to analysis of back trajectories in O'Shea et al. and Taylor et al. added (L97-100)

R8: I'd say "this size range" rather than "the full size range" – the second makes me think you mean the full size range of the aerosol distribution, not just the part measured by the SMPS

C8: Changed (L146)

R9: Do you mean the excess particle number concentrations as well, or the absolute values?

C9: 'Absolute' added (L157)

R10: I think these two sentences would fit better after L2, when the CO and number conc. thresholds have been introduced.

C10: Sentences moved (L157)

R11: This section was confusing to read. The beginning of this section suggested that your proposed indicators were already well established, but here you are presenting evidence of how they correlate with CH3CN and HCN. If this comparison is a key result o your paper, it should be in the results section, but if the indicators you are using have been used successfully in the past, it's not clear why you need this additional evaluation.

A11: This was included as without it it may be somewhat confusing as to why two different classification schemes have been applied to data from the same campaign, especially as the Le Breton et al. method was already published at this point and several references are made to the paper throughout this manuscript.

C11: L173-181 – 'A scheme using a HCN concentration threshold of six times the standard deviation (6σ) has been used during BORTAS in an analysis of high sensitivity 1Hz chemical ionisation mass spectrometer (CIMS) measurements and their consistency with CO and CH₃CN concentrations (*Le Breton et al., 2013*). However, as many previous datasets do not include HCN measurements a screening procedure using only OA, CO and number concentration data

has been applied here, so that the approach can be used consistently across a broader range of data.'

R12: Consider changing to "at the source to up to 5 days after emission."

C12: Changed (L208)

R13: Concentrations of what in aged plumes? OA? CO? Both?

C13: Changed to clarify (L227-230) – 'Concentrations of the majority of sampled species in aged plumes during flights B621-B624, including OA, CO, CO₂ and BC, consistently exceeded those at source from B626, irrespective of the effect of dilution as plumes dispersed into the ambient atmosphere'

R14: I get 180/50, not a factor of four, from Figure 1a. Either the text is wrong or the figure is cut off and should be fixed.

C14: Changed to 3.6 (L231)

R15: CO is also associated with moldering combustion.

C15: Changed to 'both OA and CO' (L234)

R16: I'd suggest "analyzed" instead of "relevant" here.

C16: Changed (L246)

R17: Is this a typo? How can the campaign average be less than both the fresh and aged samples?

R17: Average had not been updated from an older iteration, now corrected

C17: Changed to 0.104 ± 0.003 (L249)

R18: I think you can cut this sentence.

C18: Removed (L267-268)

R19: I think you want to add "in the aged plumes" after progressively and end the sentence with ", suggestive of OA losses during aging in these plumes with predominantly flaming smoke."

C19: Changed (L272)

R20: Why don't you discuss the modified combustion efficiency (MCE) of the plumes when you discuss combustion conditions? That should give you a pretty god indication of the change in combustion phase.

A20: We did attempt this, but it did not provide any meaningful results, largely due to the difficulty in calculating a background value for CO_2 (hence why we only report absolute CO_2 , not $\triangle CO_2$) given the high variability both in and out of plume. We attempted several different methods of determining background levels, including averaging for different periods of time immediately before and after plume interceptions and using different thresholds for the standard deviation in designated out-of-plume periods, but none proved to be successful.

C20: Changed – 'Background concentrations for CO and other species were calculated for each flight according to minimum observed concentrations. CO_2 was the only exception, with high variability both in and out of plume making it difficult to define an appropriate background concentration. As a result, only absolute concentrations are reported for CO_2 , as opposed to excess values.' (L158-162)

R21: You need to make clear here that f44 isn't exclusively produced during smoke aging, but that fresh some also has a significant amount of f44 as well.

C21: Changed – 'm/z 44 is also a constituent of fresh smoke and has been shown to be significantly elevated at source, dependent on combustion conditions' (L284)

R22: Again, why don't you use MCE here?

A22: See response to R20 above

R23: I think you switched your numbers here?

A23: Yes, they were the wrong way round here

C23: Changed - '0.128 ± 0.006 to 0.078 ± 0.003' (L371)

R24: low CO2 relative to what?

C24: Added - 'relative to levels throughout the rest of the aged plumes' (L382)

R25: I can't see any correlation with f44, but I can see it with f60.

A25: This is described in more detail in line 381 onwards, addressing the varying correlations for each case. Regardless, OA and CO do show an overall decrease with f44 – when binning by f44, maximum average OA and CO both occur at minimum f44, and vice versa

C25: Added – 'Furthermore, when binning concentrations by f_{44} , maximum bin-average $\triangle OA$ and $\triangle CO$ both coincide with minimum f_{44} and vice versa.'

R26: This is true, but almost trivially so. Aren't you just saying that aging is more important for the aged smoke?

C26: Removed R27: I'd add "during BORTAS" after "plumes" here.

C27: Added (L431)

R28: Missing delta before BC.

C28: Added (L444)

R29: Didn't you say on page 25110 that this boundary layer enhancement was due to biogenics? Doesn't your statement here conflict with that?

A29: This refers to the more general elevation in OA/CO and f43 in the boundary layer, where as the possible influence of biogenics (if it even is that) is a single, isolated case of particularly high OA/CO (\sim 0.4), which we state in the text (L426) – 'Whilst the further properties of aged plumes discussed here would suggest this effect is isolated and limited in its overall impact...'

C29: Changed – '...contributing to the typically higher levels of $\Delta OA/\Delta CO$ and f_{43} at low altitudes.' (L448)

R30: I don't think this sentence adds anything, so I'd cut it.

C30: Removed

R31: Could this be due to secondary production of aldehydes and ketones in the smoke plumes?

A31: This is possible, and is discussed in lines 275-282. The second reviewer also commented that this interpretation had been clearly presented

C31: Added – 'However, fragmentation of oxygenated aldehyde and ketone molecules has been shown to produce elevated levels of f_{43} relative to f_{44} in BB emissions (*Schneider et al., 2006*), suggesting possible contributions from secondary formation.' (L506-508)

R32: I'd ay a range of "ages and combustion phases" is more accurate

C32: Changed (L606)

R33: This is not a fair summary of the literature. You do need to point out the many studies that have found significant OA formation in biomass burning plumes here as well.

A33: Text altered to provide greater balance

C33: Changed – 'While contrasting aging behaviours and significant SOA formation have been identified in some studies, an absence of increasing $\Delta OA/\Delta CO$ has been observed in several previous BB assessments. The trend of decreasing $\Delta OA/\Delta CO$ with increasing distance from source in BORTAS further emphasises the importance of source conditions for aging plumes.' (L609-613)

R34: This last sentence is more of a generically true statement about fires than a conclusion of your paper, so I would cut it.

C34: Removed

R35: I'd say "near the source", not "at the source" here.

C35: Changed (L630)

R36: I'd add "near the source" at the end of this sentence on photochemical age.

C36: Changed to 'over short aging times' (L633)

R37: I'd say "these aging BB plumes" to make clear again that other have gotten different results.

C37: Changed (L634)

Reviewer 2 responses

R1: A cohesive paragraph in the methods section summarizing which flights and flight segments are used to make conclusions between fresh and aged plumes; near and far-field characteristics would be very helpful. This information is currently buried in the text, among further discussions of measurement analyses by which other flights that were excluded from the analysis.

C1: Added – 'Measurements from 5 BORTAS flights (B621-B624 and B626) were included in this analysis. Flight B626 provided the only measurements of fresh BB plumes throughout the campaign, with all other flights sampling air masses downwind of the source region at ages of several days. Data were screened in order to isolate emissions with a biomass burning influence, resulting in a total number of 26 valid plume interceptions (3 fresh and 23 aged) across the 5 flights.' (L150-154)

R2: The number of plumes/flights used to make conclusions should also be reflected in the abstract and conclusions so that they do not seem more general than they are.

C2: Changed - 'Measurements at source comprised 3 plume interceptions during a single research flight and sampled largely smouldering fires. 23 interceptions were made across 4 flights in the far-field....' (L24-28)

'Average $\Delta OA/\Delta CO$ in 3 plumes sampled close to source (0.190 ± 0.010) exceed ratios in the far-field from 23 interceptions...' (L606-607)

R3: The authors mention two flights (B622 and B624) as having captured a decrease in OA/CO over various segments of its flights (Section 3.1); can other conclusions in the manuscript regarding the contribution of atmospheric processing be strengthened by further examination of these two scenarios?

A3: This is a more general point about the overall decrease in average OA/CO with increasing distance from source, ie. the lowest average is observed for B624, which is furthest east over the Atlantic, while the highest is for B622, closest to the source region in the west. However, individual OA/CO ratios for each measurement point also decrease overall with increasing distance from source, based on co-located positional data, although there is significant variability throughout this trend.

R4: While "aging" and atmospheric "processing" is used very often in the community, the authors may find it useful to describe the processes embodied in this term (e.g., heterogeneous reaction, condensation/evaporation) such that the discussion regarding observed variations in f44, f43, and f60 can be tied to specific mechanisms.

A4: Clarification of specific processes now given where relevant

C4: 'The elevated levels of oxygenation in aged plumes, and their association with lower average $\Delta OA/\Delta CO$, are consistent with OA loss through evaporation during aging due to a combination of dilution and chemical processing' (L40-43)

'Several fundamental aspects of the BBOA lifecycle remain poorly characterised (*Hallquist et al., 2009*), including the conditions and processes controlling formation and the effects of transformations occurring during aging, such as gas-particle partitioning of low volatility organic compounds following photo-oxidation, heterogeneous reactions with existing OA and losses through dilution-based evaporation or volatilisation' (L60-64)

 f_{60} was also shown to decrease concurrently with increasing f_{44} during ARCTAS, as a result of the oxidation of primary levoglucosan-type species with aging.' (L303-305)

'However, these changes also coincide with a trend of decreasing $\Delta OA/\Delta CO$ (Figure 8c, left panel), belying the expected addition of OA mass resulting from increasing oxygenation as semivolatile products condense to the particle phase.' (L473-475)

R5: Should not the CO and OA be defined with respect to altitude? As the authors point out, their background concentrations have different altitude-dependent profiles.

A5: Background OA concentrations remain below 1 ugm⁻³ throughout the vertical profile derived for BORTAS, while background CO only varies between 20 and 25 ppb up to a height of 6000m, above which there is more variation (up to 40 ppb). However given the absence of BB plumes above 6000m this does not affect this analysis, while the effects of the limited variations lower down the profile will be minimal

C5: Changed – 'Background concentrations for CO and other species were calculated for each flight according to minimum observed concentrations, which were applied to all measurements throughout the full vertical extent of sampling, given the limited variation in background concentrations with altitude.' (L158-161)

R6: The discussion of ER and NEMR and its use should appear sooner, e.g. in the Methods section, as ratioed values are used extensively throughout the manuscript. There should also be a caveat that the proposed interpretation applies along a Lagrangian trajectory, which corresponds only to a few contexts in this study (when a liberal interpretation of a Lagrangian trajectory is used)

C6: Changed – 'Throughout this study, extensive use is made of normalised measurements as a means of assessing the relative abundances of different species. Normalising to a co-emitted, non-reactive tracer such as Δ CO provides an emission ratio (ER) when calculated at source. Normalised excess mixing ratios (NEMR) are used to represent these values for any other point in a plume away from source along a Lagrangian trajectory, and account for the effects of dispersion as concentrations in plumes decrease through dilution. These ratios can also be used as a marker for potential SOA formation, as the longer atmospheric lifetime of CO (~1 month) relative to that of OA (on the order of several weeks) makes it likely that any enhancement of the ratio between the two species will be a result of the addition of OA, rather than increased removal of CO in isolation.' (L198-206)