Carbonaceous aerosols on the south edge of the
 Tibetan Plateau: concentrations, seasonality and
 sources

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20 Abstract

To quantitatively evaluate the effect of carbonaceous aerosols on the south edge of the 21 Tibetan Plateau, aerosol samples were collected weekly from August 2009 to July 22 2010 at Mt. Everest (QOMS, 28.36°N, 86.95°E, 4276 m a.s.l.). The average 23 concentrations of OC, EC and WSOC were 1.43, 0.25 and 0.77 µg m⁻³, respectively. 24 The concentration levels of OC and EC at QOMS are comparable to those at high 25 26 elevation sites on the southern slopes of the Himalayas (Langtang and NCO-P), but 27 three to six times lower than those at Manora Peak, India and Godavari, Nepal. Sulfate was the most abundant anion species followed by nitrate, accounting for 25% 28 and 12% of total ionic mass, respectively. Ca²⁺ was the most abundant cation species 29 (annual average of 0.88 μ g m⁻³). The dust loading, represented by Ca²⁺ concentration, 30 was relatively constant throughout the year. OC, EC and other ionic species (NH_4^+ , K^+ , 31 NO_3^{-} , and SO_4^{-2}) exhibited a pronounced peak in the pre-monsoon period and a 32 minimum in the monsoon season, being similar to the seasonal trends of aerosol 33 34 composition reported previously from the southern slope of the Himalayas, such as 35 Langtang and NCO-P. The strong correlation of OC and EC in QOMS aerosols with K^+ and levoglucosan indicates that they were mainly originated from biomass burning. 36 The fire spots observed by MODIS and backward airmass trajectories further 37 38 demonstrate that in pre-monsoon season, agricultural and forest fires in the northern 39 India and Nepal were most likely sources of carbonaceous aerosol at QOMS. 40 Moreover, the CALIOP observations confirmed that air pollution plumes crossed the 41 Himalayas during this period. The highly coherent variation of daily aerosol optical 42 depth (AOD, 500 nm) between QOMS and NCO-P indicates that both slopes of the 43 Himalayas share a common atmospheric environment regime. In addition to large-scale atmospheric circulation, the unique mountain/valley breeze system can 44 also have an important effect on air pollutant transport. 45

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48 **1** Introduction

The Tibetan Plateau (TP) and the surrounding Hindu Kush-Himalayan mountains are 49 known as the "Third Pole" of the Earth (Qiu, 2008), due to its immense area and high 50 elevation (Yao et al., 2012). Because of the contrast of thermal heating between 51 continent and ocean, the TP plays a fundamental role in the formation of the Asian 52 53 monsoon system and Northern Hemispheric climatology (Wu and Zhang, 1998). The TP and Himalayas, with more than 100,000 km² of glaciers, contain the largest ice 54 mass outside the Polar region (Xu et al., 2009; Yao et al., 2012). Over the past decades, 55 56 climate change impacts have been revealed due to marked air temperature rising and 57 dramatic glacier shrinkage across this area (Kang et al., 2010).

58 Due to sparse population and minimal industrial activities, the TP is considered one of 59 the most pristine terrestrial regions, alongside the Arctic and Antarctic. However, 60 growing evidence has demonstrated that widespread atmospheric brown clouds (ABCs) over South Asia may affect this region (Bonasoni et al., 2010;Kaspari et al., 61 2011;Lu et al., 2012;Xia et al., 2011;Wang et al., 2010). Research has attempted to 62 63 reveal a link between climate change over the TP (e.g. air temperature rising, glacier melting) and the distribution of anthropogenic pollutants (mainly absorbing 64 carbonaceous materials) (Qian et al., 2015; Wang et al., 2014b). Ramanathan and 65 66 Carmichael (2008) reported that in the high Himalayan region, solar heating caused 67 by black carbon (BC) could be approximately equivalent to the warming by CO_2 in terms of the melting of snowpack and glaciers. 68

69 Could we quantitatively differentiate the various factors that contribute to glacier 70 melting, including aerosols, greenhouse gas, and BC deposition on the snow surface? Clearly, to answer this question and reduce the uncertainties, adequate knowledge of 71 72 the aerosol properties is urgently needed. Some scientists have used different models to reveal the importance of carbonaceous aerosol in this region (Menon et al., 73 2010; Qian et al., 2011; Yasunari et al., 2010). So far, most works on aerosol 74 75 composition have been carried out on the south slope of the Himalayas, such as 76 Langtang, Nepal (Carrico et al., 2003), Godavari (Stone et al., 2010), Nepal Climate

77 Observatory-Pyramid (NCO-P) (Decesari et al., 2010) and Manora Peak, India (Ram 78 et al., 2010). Long-term aerosol chemistry measurements from the TP are extremely 79 scarce mainly due to its remoteness and challenging weather conditions, with 80 measurements limited to Lulang (Zhao et al., 2013), Waliguan (Ma et al., 2003), Nam 81 Co (Ming et al., 2010) and Qinghai Lake (Li et al., 2013). As we know, no systematic 82 data on carbonaceous aerosols from the south edge of the TP (i.e. the north slope of 83 Himalayas) has been reported. From the spatial distribution of aerosols observed by 84 satellites (e.g. MODIS, Fig. S1), there was a clear difference between South Asia and 85 Tibetan Plateau. Therefore, as the boundary area this region merits special attention.

In this paper, we present results from one-year measurements of organic carbon (OC), elemental carbon (EC), water-soluble organic carbon (WSOC) and major ions in the aerosols at Mt. Everest, the south edge of the TP. Our aim is to provide baseline levels of aerosols for this region, reduce the assessment uncertainties of aerosol radiative forcing and provide more information on their transport mechanism.

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92 2 Methodology

93 **2.1 Description of research site**

94 In 2005, Qomolangma (Mt. Everest) Station for Atmospheric and Environmental Observation and Research (briefly QOMS, 28.36°N, 86.95°E, 4276 m a.s.l.) (Fig. 1) 95 96 was established to begin continuous monitoring of the environment (Ma et al., 2011). A solar electricity system generates the power to maintain the instrumentation. 97 According to the observations achieved so far, the Mt. Everest region (QOMS) is a 98 99 typical representative of the middle Himalayas in terms of climate, air circulation systems and environmental characteristics (Chen et al., 2012;Li et al., 2012;Ma et al., 100 2011). Sandy soil with sparse grass and small rocks cover the land surface around the 101 QOMS. Due to its harsh environment, QOMS is relatively isolated from industrial 102 zone and cities, with a very limited local population (Ma et al., 2011). 103

104 **2.2 Aerosol sampling**

From August 2009 to July 2010, total suspended aerosol particle (TSP) samples were 105 collected weekly at QOMS using medium-volume samplers (KC-120H, Laoshan Co.). 106 During the sampling, the flow rate was automatically adjusted to 100 Lmin^{-1} at 107 standard condition. The sampling duration of each sample was 24 hours. Aerosols 108 109 were collected using 90-mm diameter quartz filters (QM/A, Whatman, UK), which were pre-combusted at 450 °C for 6 hours. Field blanks were collected every month 110 by placing filters into the filter holder for a few minutes with no air flowing. After 111 sampling, the filters were wrapped with aluminum foil and frozen until analysis. 112 113 Eventually, fifty samples were successfully obtained.

114 **2.3 OC and EC analysis**

The quartz filters were analyzed for OC and EC using a carbon analyzer (DRI model 115 2001). Briefly, a filter aliquot (0.5 cm²) was analyzed for eight carbon fractions 116 following the IMPROVE-A thermal/optical reflectance (TOR) protocol (Cao et al., 117 2007; Chow et al., 2007). Four OC fractions (OC1, OC2, OC3 and OC4) were 118 119 determined at 140, 280, 480 and 580 °C in pure He atmosphere, which was subsequently switched to 2% O₂/98% He atmosphere to determine EC1, EC2 and 120 EC3 at 580, 740 and 840 °C, respectively. The residence time of each heating step 121 was defined by the flattening of the carbon signal. The pyrolyzed carbon fraction 122 (OPC) is determined when reflected laser light returns to its initial value after oxygen 123 124 is introduced. In general, OC is defined as OC1 + OC2 + OC3 + OC4 + OPC and EC is defined as EC1 + EC2 + EC3 - OPC. The detection limit for the carbon analyzer 125 was 0.05 μ gC cm⁻² for OC and 0.05 μ g C cm⁻² for EC. 126

127 2.4 Water-soluble ions and WSOC

128 An aliquot of filter (2.54 cm²) was extracted with 10 ml ultrapure water with 129 sonication for 30 minutes. The extracted solutions were filtrated with syringe-driven 130 filters (Millex-GV PVDF, 0.22 μ m; Millipore, Ireland) to remove the quartz fiber 131 debris and other insoluble impurities. Then the water-soluble ionic species (Cl⁻, SO₄²⁻,

 NO_3^- , Ca^{2+} , Na^+ , K^+ , Mg^{2+} and NH_4^+) were analyzed using an ion chromatograph (761) 132 Compact IC, Metrohm). Anions were measured with a suppressor on a Shodex SI-90 133 4E column using an eluent mixture of 1.8 mM Na₂CO₃, 1.7 mM NaHCO₃ and 40 mM 134 H₂SO₄ at a flow rate of 1.2 mL min⁻¹. Cations were determined on a Metrohm C2-150 135 column with tartaric acid (4 mM) and dipicolinic acid (1 mM) as an eluent. The 136 overall uncertainty in determining ionic species is less than 4% (Miyazaki et al., 137 2010). The detection limit for all cations and anions was 0.01 μ g m⁻³, which was 138 calculated according to the air volume of actual samples. 139

To quantify WSOC, a portion of filter (19.1 cm^2) was extracted and filtrated using the 140 141 same procedure for major ions described above. Then the extract was injected into a total carbon analyzer (TOC-V, Shimadzu). The method detection limit (MDL) used 142 was 4 μ g L⁻¹ with a precision of ±5%. All the concentrations of carbonaceous and 143 ionic components in this study are field-blank corrected. It should be noted that there 144 145 are possible sampling artifacts by the adsorption/evaporation of gaseous organic materials on/from the quartz membrane. However, no quantitative information on 146 such positive/negative artifact is available in this study, therefore, no correction was 147 148 made for the data of carbonaceous components.

149 **2.5 Determination of levoglucosan**

Levoglucosan was determined by GC/MS after the extraction of the samples with a
methanol/methylene chloride mixture followed by BSTFA derivatization. Details of
the analytical procedure is presented elsewhere (Fu et al., 2008).

2.6 Meteorology and backward air mass trajectories

At the QOMS station, various meteorological parameters (Fig. 2) were recorded by a 40 m atmospheric boundary layer tower that measures wind speeds, wind direction (014A-L, Met One), relative humidity, air temperature, air pressure (HMP45C, Vaisala) and rain intensity (TE525MM-L, Young) (Chen et al., 2012;Li et al., 2012). Monthly mean air temperature reaches a maximum of 12.3°C in July, with a minimum in January of -3.2°C. Humidity is highest in August while lowest in

160 December. Precipitation was unevenly distributed throughout the year, with more 161 than 90% of annual precipitation occurring from June to September. According to the meteorological parameters at QOMS (Fig. 2), the climatology is roughly divided into 162 163 four seasons, i.e. pre-monsoon, monsoon, post-monsoon and winter (The definition of 164 different seasons was shown in Table S1). These seasons are generally in agreement with the seasonal definition made in a previous study in this region (Bonasoni et al., 165 2010). In general, this region is controlled by Indian Monsoon system in summer 166 167 (June-August), characterized by relatively high temperature and humid weather with prevailing southerly winds. While in the remaining period, westerlies dominate the 168 169 large-scale atmospheric circulation patterns with limited precipitation.

To reveal the transport pathway of air masses that arrive at QOMS, seven-day backward trajectories were computed using the HYSPLIT model (Draxler and Rolph, 2012) and GDAS (Global Data Assimilation System) data for each sampling day. Given the typical height of the planetary boundary layer (PBL) in this region (Chen et al., 2012), the arrival height of air mass in these modeling was set to 500 m above ground level.

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177 3 Results and discussion

178 **3.1** Characteristics and temporal variations of OC and EC

179 The statistical summaries of carbonaceous components in the aerosols from QOMS are presented in Table 1. The average concentrations of OC and EC in the aerosols 180 from QOMS were 1.43 ± 1.16 and $0.25 \pm 0.22 \ \mu g \ m^{-3}$, respectively. The concentration 181 levels of OC and EC at QOMS are about three times higher than those of Muztagh 182 Ata, northwest TP (Cao et al., 2009), while they are comparable to those reported 183 from the Central and Northeastern TP (Li et al., 2013; Ming et al., 2010) (Table 2). In 184 contrast, OC and EC concentrations from the southeastern TP (Tengchong and Lulang) 185 are significantly higher than those at QOMS, possibly due to the higher contribution 186 of biomass burning (Engling et al., 2011;Zhao et al., 2013). When compared with 187

188 sites on the south slopes of the Himalayas, QOMS data present the same order of OC 189 and EC with NCO-P (Decesari et al., 2010) and Langtang (Carrico et al., 2003), but 190 three to six-fold lower than Manora Peak, India (Ram et al., 2010) and Godavari, 191 Nepal (Stone et al., 2010). The latter two sites are at lower altitudes and are closer to 192 the populated areas of South Asia, heavily influenced by anthropogenic emission. Generally, the high altitude sites on both sides of the Himalayas (i.e. Langtang, 193 NCO-P and QOMS) exhibit similar OC and EC abundance, which could be 194 195 considered as a regional baseline level to be used in the regional climate model as input parameters. 196

197 In a previous study, Ming et al. (2008) estimated atmospheric EC concentration in the region based on the analysis of an ice core from the East Rongbuk Glacier, Mt. 198 199 Everest. Apparently, there is a big discrepancy between our EC data (annual average of 0.25 \pm 0.22 μg m $^{\text{-3}})$ and the EC data estimated by ice cores (average: 0.077 \pm 0.045 200 μ g m⁻³ during 1951–2001). One potential reason is that several parameters (e.g. 201 202 scavenging ratio of EC) need to be assumed to convert the EC in the ice core to atmospheric concentration, which may introduce some uncertainty. Moreover, 203 204 dramatically increasing trends of EC in the Himalayas and the TP ice cores have been 205 reported (Cong et al., 2013;Kaspari et al., 2011), i.e. a two and a half to three fold rise in recent decades compared to background conditions. Therefore, our EC data for 206 207 2009–2010, which is higher than the average EC concentration for 1951–2001, is 208 reasonable.

209 The OC/EC ratios at QOMS range from 1.91 to 43.8, with average of 6.69. Such high ratios are commonly found in different areas of the TP (Table 2). There are two 210 211 potential reasons for those high OC/EC ratios. One reason may be a strong solar radiation (exceeding 7500 MJ m⁻²) over the TP, because substantial secondary organic 212 carbon (SOC) could be formed through photochemical reaction (Wan et al., 2015). 213 214 The other potential reason is the influence of biomass burning. Usually, the aerosols 215 emitted from biomass burning have higher OC/EC ratio. For example, Watson et al. (2001) have reported an OC/EC ratio of 14.5 for forest fires. Considering the specific 216

condition of this study (QOMS), the second reason is more likely, i. e. the strong influence of biomass-burning emissions. The higher abundance of OC than EC on the TP emphasizes that OC should not be ignored in the quantification of total radiative forcing of aerosol by climate models (Kopacz et al., 2011). Although some organic carbon has light-absorbing capability (i.e. brown carbon), the net effect of organic carbon on climate is negative (cooling) (Stocker et al., 2013), which may attenuate the positive radiative forcing caused by EC.

The temporal variations of the aerosol OC, EC and WSOC are illustrated in Fig. 3. 224 225 Clearly, the OC, EC and WSOC share a significant seasonal pattern, i.e. a maximum 226 in the pre-monsoon period and a minimum in the monsoon season. Higher abundance of OC and EC implies that the contributions from anthropogenic activities are larger 227 228 in pre-monsoon than other seasons. Similar seasonal trends of aerosol composition were also reported previously on the south slopes of the Himalayas, such as Langtang 229 230 (Carrico et al., 2003) and NCO-P (Decesari et al., 2010). This phenomenon indicates that these regions (Mt. Everest), both slopes of the Himalayas, have a common 231 atmospheric environmental regime, although the high altitude of the Himalayas was 232 233 once considered a good barrier for the spreading of atmospheric pollutants in South 234 Asia. This point will be further discussed in Section 3.5.

235 3.2 Relationship between OC and EC

236 Examining the relationship between OC and EC can provide meaningful insights into 237 the origin and possible reaction process during the transport of carbonaceous aerosols (Turpin and Huntzicker, 1995). At QOMS, a strong correlation ($R^2 = 0.81$) was 238 239 observed between OC and EC during the pre-monsoon season (Fig. 4a), indicating common emission sources and transport processes. The correlation coefficients 240 241 between OC and EC in the other three seasons were lower than that of the pre-monsoon season (Fig. 4b, c, d), with the lowest correlation observed in the 242 summer monsoon season ($R^2 = 0.08$), suggesting that there are other influences. In 243 addition to the common emission sources (e.g. fossil fuel and biomass burning), OC 244 245 could also be produced by biogenic sources and the formation of secondary OC

(SOC). The relative importance of different sources and/or formation process merits afurther study.

SOC has often been calculated from the primary OC/EC ratio (EC-tracer method) 248 $(OC_{pri} = EC^*(OC/EC)_{min}, OC_{sec} = OC_{tot} - OC_{pri})$, which is assumed to be relatively 249 constant for a given site (Turpin and Huntzicker, 1995). The lowest OC/EC ratio in 250 251 the aerosol was suggested for use as the primary source to calculate the SOC abundance (Castro et al., 1999), when the secondary production of OC is expected to 252 be minimal. However, for the samples from QOMS, we found that calculating SOC 253 254 formation using this method was not reliable. The minimum OC/EC ratios differ 255 greatly among various seasons (3.40, 3.78, 1.91 and 2.67 for pre-monsoon, monsoon, post-monsoon and winter, respectively). Even for each season (11-13 samples for 256 each seasons), the lowest three values of OC/EC ratios also varied substantially. 257 Therefore, the SOC formation estimated by the conventional EC-tracer method is not 258 259 presented here.

260 **3.3 Water-Soluble Organic Carbon (WSOC)**

261 The WSOC in aerosols, a major proportion of total organic carbon, could affect the 262 hygroscopic property of the particles and their ability to act as cloud condensation nuclei (CCN) (Psichoudaki and Pandis, 2013). The abundance of WSOC relative to 263 OC could be employed as an indictor to decipher whether organic aerosol is primary 264 or secondary, because SOC usually tends to be more water-soluble than primary 265 266 organic matter (Psichoudaki and Pandis, 2013). The concentration of WSOC at QOMS varied from 0.07 to 3.22 μ g m⁻³, with an average of 0.77 μ g m⁻³ (Table 1). The 267 268 average WSOC/OC ratios at QOMS were 0.47, 0.59, 0.62 and 0.57 for pre-monsoon, monsoon, post-monsoon and winter, respectively. The lowest WSOC/OC in 269 270 pre-monsoon indicated the dominant contribution from primary emission sources with poor aging and less SOA formation. Furthermore, in the pre-monsoon season, the 271 WSOC concentration exhibited a significant positive correlation with OC (y = 272 0.54x-0.12, $R^2 = 0.94$), which could be ascribed to the influence of biomass 273 combustion. Previous studies have revealed that organic matters emitted from 274

biomass burning were substantially composed of water-soluble polar organic
compounds, including dicarboxylic acids, sugars, aromatic acids, etc. (Claeys et al.,
2010;Fu et al., 2012;Kundu et al., 2010). No evident correlation was found between
WSOC and OC in other seasons when OC concentrations were low (Fig. 5).

279 **3.4 Water-Soluble Ionic Species (WSIS)**

280 Sulfate was the most abundant anion species followed by nitrate, accounting for 25% and 12% of total ionic mass, respectively (Table 1). Ca2+ was the most abundant 281 cation species with annual average of 0.88 μg m^-3. Cl^ and Na^+ only consisted of a 282 very minor portion of total ions, indicating that at QOMS the influence of sea salt is 283 negligible. Water-soluble Ca^{2+} is a typical tracer of crustal material (dust) (Ram et al., 284 2010). At QOMS, the time-series of Ca^{2+} was somewhat uniform throughout the years 285 (Fig. 6), implying that the mineral dust loading at QOMS is relatively constant. This 286 pattern was obviously in contrast to other ionic species $(NH_4^+, K^+, NO_3^-, \text{ and } SO_4^{2-})$. 287 The temporal variation patterns of Ca^{2+} and SO_4^{2-} are different (Fig. 6), and thus the 288 correlation is not strong ($R^2 = 0.27$), which excludes the possibility that they 289 290 predominantly co-occurred in some minerals (e.g. gypsum).

Soluble potassium (K⁺) is a good tracer of biomass burning (Andreae and Merlet, 291 2001;Cachier et al., 1995). In our study, the K⁺ concentrations were below detection 292 limit in most samples, but K⁺ concentrations did show peaks in pre-monsoon season 293 (Fig. 6). Furthermore, K^+ and EC demonstrated a good relationship ($R^2 = 0.66$, n = 9) 294 295 during that period, indicating that they were both derived from biomass burning (Fig. 7c). A significant correlation between NO_3^- and SO_4^{2-} was not surprising (Fig. 7a), 296 because they generally form from the oxidation of NOx and SO₂, which are closely 297 related to fossil fuel combustion. In the pre-monsoon season with a high abundance of 298 NH_4^+ (Fig. 6), NH_4^+ and NO_3^- exhibited a good correlation ($R^2 = 0.80$, n = 9), 299 implying that they are present as NH₄NO₃ in the aerosol particles. 300

The seasonal variation of biomass burning (K^+) coincided with that of ions associated with the fossil fuel combustion (NH_4^+ , NO_3^- , and SO_4^{2-}), suggesting that in the pre-monsoon season, QOMS might have received mixed anthropogenic pollution. But

another explanation is more plausible. According to earlier observation by 304 305 transmission electron microscopy (Li et al., 2003), large amounts of K₂SO₄ and KNO₃ 306 were present in aged smoke aerosols from biomass burning. Andreae et al. (1988) 307 pointed out that haze aerosol from biomass burning is comprised of abundant NH_4^+ , K^+ , NO₃⁻ and SO₄²⁻. Similarly, NH₄⁺, K⁺, NO₃⁻ and SO₄²⁻ are also reported as major 308 water-soluble inorganic ions in aerosols from biomass burning on the southeastern 309 Tibetan Plateau (Engling et al., 2011). In addition to K⁺, levoglucosan is also used as 310 a specific marker for biomass burning, which is formed by the pyrolysis of cellulose 311 but not formed by fossil fuel combustions (Simoneit et al., 1999). In the pre-monsoon 312 season, EC, OC and K^+ show good correlations with levoglucosan (Fig. 8), which 313 further indicate that carbonaceous components in QOMS aerosols 314 were predominantly from biomass burning. 315

316 3.5 Transport mechanism of aerosols

317 Seven-day backward air mass trajectories corresponding to each sampling date were 318 calculated using the Hysplit model (Draxler and Rolph, 2012). Seven days were 319 chosen because of the typical residence time of carbonaceous aerosols in atmosphere. 320 The trajectories were generally consistent with other descriptions of air circulation 321 patterns in previous studies (Cong et al., 2009), which correspond to the South Asian 322 monsoon regime (Fig. 9). In the summer monsoon season, air masses are derived 323 from Bangladesh and northeast India, and bring moisture that originates in the Bay of Bengal. In the non-monsoon season, strong westerlies pass through western Nepal, 324 325 northwest India, and Pakistan (i.e. Southern Himalayas). Although the transport pathways of air masses arriving at QOMS during pre-monsoon, post-monsoon and 326 327 winter are similar (Fig. 9), a distinctly higher carbonaceous aerosol level was found only in the pre-monsoon season (Fig. 3), which emphasizes the importance of source 328 strength changes. 329

According to the previous ABC research (Ramanathan et al., 2005) and the emission inventory (Wang et al., 2014a), a high loading of atmospheric pollutants exists over the southern slopes of the Himalayas, which was pronounced in the pre-monsoon 333 season. We further checked the biomass burning emission from different seasons using the active fire product from MODIS (MODerate-resolution Imaging 334 Spectroradiometer, both Terra and Aqua dataset), which was provided by Fire 335 336 Information for Resource Management (FIRMS, System 337 https://earthdata.nasa.gov/firms). Figure 10 clearly shows that the active fire counts (representing the agricultural burning and forest fires) peaked in pre-monsoon (April). 338 339 This finding is in agreement with the vegetation fire study on the southern slopes of 340 the Himalayas by Vadrevu et al. (2012). In general, the seasonal pattern of carbonaceous components (OC, EC and WSOC), their strong correlation with K⁺ and 341 342 levoglucosan, together with the air mass trajectories and active fire spots distribution, all suggest that the higher loadings of carbonaceous aerosols in the pre-monsoon 343 344 season at QOMS were most likely affected by the biomass burning (agricultural and forest fires) in northern India and Nepal. 345

346 In addition to the large-scale atmospheric circulation, the local orographic effect on air pollutant transport should also be taken into account (Hindman and Upadhyay, 347 2002). In mountainous areas, because of the temperature difference between 348 349 mountaintop and lowland, a diurnal valley wind system occurs that blows upward 350 during the day and reverses into downward during the night. As shown by Bonasoni et al. (2010), the wind regime at NCO-P (southern slope of the Himalayas) was 351 characterized by an evident daily circle of mountain/valley breeze. During the 352 daytime, the valley winds (southerly) were predominant with maximum wind speed in 353 354 the afternoon. Therefore, the daytime up-valley breeze delivered the air pollutants 355 from the foothills (South Asia ABC) to higher altitudes (>5000 m a.s.l.). Aerosol mass 356 concentration, BC and ozone at NCO-P exhibit strong diurnal cycles, with minima 357 during the night and maxima during the afternoon especially in the pre-monsoon 358 season (Decesari et al., 2010; Marinoni et al., 2010). However, distinct mountain-valley breeze circulation was observed on the northern slopes of the 359 360 Himalayas (QOMS). A dominating down-valley wind occurs on the north side of Mt. 361 Everest in the daytime, especially in the afternoon. Further, the driving force of the

vast snow cover at high altitude could form a "glacier wind", and the up-valley air
flow produced by intense ground surface heating is overcome by down-valley air flow
"glacier wind" and "mountain wind" (Chen et al., 2012;Zou et al., 2008). Therefore,
daytime intense valley wind circulation could make the valleys efficient channels for
the transport of air pollutants crossing over the Himalayas (Fig. S2), i.e., from the low
altitude of South Asia to the Tibetan Plateau.

Because both QOMS and NCO-P have sun-photometers and participated in the 368 AERONET project, the same instrument (Cimel 318), the same data processing 369 370 method and simultaneous observation between QOMS and NCO-P make it possible to 371 compare AOD data directly between the two slopes of Himalayas (Xu et al., 2014;Gobbi et al., 2010). As shown in Figure 11, the daily AOD (500 nm) of QOMS 372 373 and NCO-P varied in highly similar pattern (The correlation significant at p<0.001), 374 which suggesting that the observation at QOMS can also capture the pollution signals 375 as NCO-P. Recently, Lüthi et al. (2014) investigated the transport mechanisms of pollutants across Himalayas using a high-resolution model. They found some 376 trajectories with low altitudes originate from the TP, and then flow down through 377 378 valleys to the foothills of Himalayas during nighttime where they can mix with air 379 pollutants, and are then blown onto the TP again during daytime. For the vertical 380 distribution of aerosols, two examples of such transport episode revealed by CALIOP 381 satellite now were provided in the Supplementary Information (Fig. S3), which clearly showed the pollution plumes from South Asia could transport across 382 383 Himalayas during the pre-monsoon season.

We roughly estimated the timescale for air masses transported from the southern slope of Mt. Everest (NCO-P) to QOMS. The straight distance between the two sites is about 40 km, and along the valley the real distance is about 50 km if we consider the terrain effect (Fig. 1). The average wind speed in pre-monsoon season is 7.86 m/s (Table S1). This means that the air mass could travel from the southern slope of Mt. Everest and reach QOMS in less than two hours, even at the average wind speed. These results demonstrate that at QOMS we can capture the air pollution signal from the southern Himalayas. This air mass transport of pollutants caused by mountain terrain along the valley was also supported by WRF modeling, i.e. at the upper valley there is a pronounced southerly flow onto the Tibetan Plateau (Bonasoni et al., 2010). In this study, a similar seasonal trend of aerosol composition was revealed between the southern and northern slopes of the Himalayas. The most probable explanation is that the local mountain/valley breeze circulation (south-to north air flow) acts as the connection for the air pollutants crossing the Himalayas.

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4 Summary and conclusions

400 A comprehensive knowledge of aerosol chemistry is crucial for assessing anthropogenic influences and evaluating the effect of radiative forcing. This research 401 presents the first dataset of carbonaceous aerosols for the south edge of the Tibetan 402 Plateau. The average concentrations of OC and EC in the aerosols at QOMS were 403 1.43 and 0.25 μ g m⁻³, with a standard deviation of 1.16 and 0.22 μ g m⁻³, respectively. 404 The high altitude sites from both sides of the Himalayas (i.e. Langtang, NCO-P and 405 406 QOMS) exhibit similar OC and EC abundances, which could be considered as a regional baseline level to be used as input parameters in the regional climate model. 407 The most striking finding in this study is that carbonaceous components (OC, EC and 408 WSOC) and several ionic species (NH_4^+ , K^+ , NO_3^- and SO_4^{2-}) exhibit a clear seasonal 409 pattern with concentration maxima in the pre-monsoon season (March, April and 410 May). A strong correlation ($R^2 = 0.81$) was observed between OC and EC during the 411 pre-monsoon season, indicating their common emission sources and transport process. 412 The EC and OC show good correlations with biomass burning tracers (K⁺ and 413 levoglucosan), which further suggests that carbonaceous components in QOMS 414 415 aerosols mainly originate from biomass burning. Based on the active fire spots observed by MODIS and backward trajectories, we found that in pre-monsoon, 416 agricultural and forest fires in northern India and Nepal are the most likely sources of 417 carbonaceous aerosol at QOMS. In addition to large-scale atmospheric circulation 418 419 (South Asia monsoon system and westerlies), local mountain wind systems can also

play an important role. The south-to-north airflow along mountain valleys in the
Himalayas could closely connect the atmospheric environment between the two sides
of the Himalayas. A higher time resolution research (diurnal) is imperative in the
future to deepen our understanding of such important processes.

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629 Table 1. Seasonal average abundances (along with standard deviation) of OC, EC, WSOC

	Annual	Pre-monsoon	Monsoon	Post-monsoon	Winter				
Number	50	13	11	13	13				
Carbonaceous	components								
OC	1.43 ± 1.16	2.61 ± 1.58	0.81 ± 0.14	1.06 ± 0.53	1.14 ± 0.50				
EC	0.25 ± 0.22	0.44±0.31	0.10 ± 0.06	$0.19{\pm}0.07$	0.26±0.12				
OC/EC	6.69±6.33	6.63 ± 4.05	10.58 ± 11.95	5.56 ± 2.03	5.18 ± 3.58				
WSOC	0.77 ± 0.60	1.28 ± 0.87	0.49 ± 0.25	0.71 ± 0.26	0.54 ± 0.29				
WSOC/OC	0.58 ± 0.24	0.47 ± 0.09	0.59 ± 0.28	0.62±0.23	0.57 ± 0.27				
Levoglucosan	0.019 ± 0.037	0.047 ± 0.064	0.004 ± 0.003	$0.007 {\pm} 0.005$	0.014 ± 0.008				
Water-soluble inorganic ions									
Cl	0.02 ± 0.03	0.04 ± 0.04	0.01 ± 0.01	0.02 ± 0.02	0.02 ± 0.04				
NO ₃ ⁻	0.20 ± 0.27	0.51±0.37	0.06 ± 0.04	0.08 ± 0.04	0.12 ± 0.07				
SO_4^{2-}	0.43 ± 0.54	1.06 ± 0.66	0.09 ± 0.09	$0.18{\pm}0.07$	0.32 ± 0.24				
Na ⁺	0.07 ± 0.06	0.13±0.06	0.04 ± 0.04	0.04 ± 0.03	0.06 ± 0.05				
$\mathrm{NH_4}^+$	0.03 ± 0.09	0.10±0.16	BDL	BDL	0.00 ± 0.01				
K^+	0.02 ± 0.05	0.06 ± 0.07	BDL	BDL	0.00 ± 0.02				
Ca ²⁺	0.88 ± 0.56	1.19 ± 0.48	0.50 ± 0.18	$1.01{\pm}0.75$	0.79±0.36				
Mg^{2+}	0.04 ± 0.02	0.06±0.02	0.02 ± 0.01	0.05 ± 0.01	0.04 ± 0.01				

and water soluble ionic species ($\mu g m^{-3}$), as well as the ratios of OC/EC and WSOC/OC.

631 BDL, Below Detection Limits (0.01 μ g m⁻³ for cations and anions).

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Table 2. Comparison of OC and EC concentrations (μ g m⁻³) and OC/EC ratios of aerosols from QOMS with other sites in the Himalayas and on the Tibetan Plateau.

Location	Description	Sample	Sampling period	OC	EC	OC/EC	Method	Reference
QOMS	Southern TP(4276 m)	TSP	Aug 2009 - Jul 2010	1.43 ± 1.16	0.25 ± 0.22	6.7 (1.91-43.8)	TOR	This study
Nam Co	Central TP (4730 m)	TSP	Jul 2006-Jan 2007	1.66±0.79	0.082 ± 0.07	31.9±31.1	TOR	(Ming et al., 2010)
Muztagh Ata,	Northwest TP(4500 m)	TSP	Dec 2003-Feb 2005	0.48	0.055	10 (2.9-32.1)	TOR	(Cao et al., 2009)
Qinghai Lake	Northeast TP (3200 m)	PM2.5	Jul-Aug 2010	1.58 ± 0.59	0.37 ± 0.24	5.9(1.85-21.8)	TOR	(Li et al., 2013)
Lulang	Southeast TP(3360 m)	TSP	Jul 2008-July 2009	4.28 ± 2.05	0.52 ± 0.35	1.7-58.4	TOR	(Zhao et al., 2013)
Tengchong	Southeast TP(1640 m)	PM10	Apr-May 2004	5.8±4.4	1.5 ± 1.0	2.63	TOR	(Engling et al., 2011)
Manora Peak, India	Himalayas (1950 m)	TSP	Feb 2005-Jul 2008	8.2±5.2	1.3 ± 1.2	7.3 ± 3.4	TOT	(Ram et al., 2010)
NCO-P, Nepal	Himalayas(5079 m)	PM10	Premonsoon 2006-2008	2.4	0.5	4.8	TOT	(Decesari et al., 2010)
			Monsoon	0.9	0.1	9		
			Postmonsoon	1.4	0.1	14		
			Dry season	1.2	0.1	12		
Langtang, Nepal	Himalayas (3920 m)	PM2.5	Jun –Sep 1999	0.75 ± 0.69	0.15 ± 0.16	5.0	TOT	(Carrico et al., 2003)
			Oct 1999-Jan 2000	1.81 ± 1.25	0.52 ± 0.48	3.48		
			Feb-May 2000	3.44±4.19	0.48 ± 0.38	7.17		
Godavari, Nepal	S. Himalayas (1600 m)	PM2.5	2006	4.8±4.4	1.0 ± 0.8	4.8	ТОТ	(Stone et al., 2010)



Fig. 1 Location of the sampling site (QOMS, 4276 m a.s.l.) at the south rim of the Tibetan Plateau, with the NCO-P (5079 m a.s.l.) and the summit of Mt. Everest (8844 m a.s.l.).



Fig. 2. Time-series of ambient temperature, atmospheric pressure, relative humidity and wind speed at QOMS from August 2009 to July 2010.



Fig. 3. Temporal variations (weekly) of OC, EC and WSOC at the QOMS site from August 2009 to July 2010.



Fig. 4. Relationship between OC and EC in aerosols of different seasons at QOMS.



Fig. 5. Relationship between WSOC and OC in aerosols from QOMS.



Fig. 6. Temperal variations (weekly) of water-soluble ionic species (Ca^{2+} , K^+ , NH_4^+ , SO_4^{-2-} and NO_3^-) in aerosols collected at QOMS (Units: $\mu g m^{-3}$).



Fig. 7. Correlations between various chemical components. (a) SO_4^{2-} and NO_3^{-} , (b) NH_4^{+} and NO_3^{-} , (c) K⁺ and EC, (d) NO_3^{-} and EC.



Fig. 8. The relationship between EC, OC, K^+ and levoglucosan in aerosols at QOMS during the pre-monsoon season, 2010.



Fig. 9. Seven-day backward trajectories at QOMS on each sampling day during different seasons.



Fig. 10. The spatial distribution of fire spots observed by MODIS in different seasons (Aug. 2009 to Jul. 2010) (https://firms.modaps.eosdis.nasa.gov/firemap/).



Fig. 11. The temporal variations of the daily aerosol optical depth (AOD, 500nm) at QOMS and NCO-P during the pre-monsoon season, 2010 (n=70).