

1 **Carbonaceous aerosols on the south edge of the**
2 **Tibetan Plateau: concentrations, seasonality and**
3 **sources**

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20 **Abstract**

21 To quantitatively evaluate the effect of carbonaceous aerosols on the south edge of the
22 Tibetan Plateau, aerosol samples were collected weekly from August 2009 to July
23 2010 at Mt. Everest (QOMS, 28.36°N, 86.95°E, 4276 m a.s.l.). The average
24 concentrations of OC, EC and WSOC were 1.43, 0.25 and 0.77 $\mu\text{g m}^{-3}$, respectively.
25 The concentration levels of OC and EC at QOMS are comparable to those at high
26 elevation sites on the southern slopes of the Himalayas (Langtang and NCO-P), but
27 three to six times lower than those at Manora Peak, India and Godavari, Nepal.
28 Sulfate was the most abundant anion species followed by nitrate, accounting for 25%
29 and 12% of total ionic mass, respectively. Ca^{2+} was the most abundant cation species
30 (annual average of 0.88 $\mu\text{g m}^{-3}$). The dust loading, represented by Ca^{2+} concentration,
31 was relatively constant throughout the year. OC, EC and other ionic species (NH_4^+ , K^+ ,
32 NO_3^- , and SO_4^{2-}) exhibited a pronounced peak in the pre-monsoon period and a
33 minimum in the monsoon season, being similar to the seasonal trends of aerosol
34 composition reported previously from the southern slope of the Himalayas, such as
35 Langtang and NCO-P. The strong correlation of OC and EC in QOMS aerosols with
36 K^+ and levoglucosan indicates that they were mainly originated from biomass burning.
37 The fire spots observed by MODIS and their backward trajectories further
38 demonstrate that in pre-monsoon season, agricultural and forest fires in the northern
39 India and Nepal were most likely sources of carbonaceous aerosol at QOMS.
40 Moreover, the CALIOP observations confirmed that air pollution plumes crossed the
41 Himalayas during this period. The highly coherent variation of daily aerosol optical
42 depth (AOD, 500 nm) between QOMS and NCO-P indicates that both slopes of the
43 Himalayas share a common atmospheric environment regime. In addition to
44 large-scale atmospheric circulation, the unique mountain/valley breeze system can
45 also have an important effect on air pollutant transport.

46

47

48 **1 Introduction**

49 The Tibetan Plateau (TP) and the surrounding Hindu Kush-Himalayan mountains are
50 known as the “Third Pole” of the Earth (Qiu, 2008), due to its immense area and high
51 elevation (Yao et al., 2012). Because of the contrast of thermal heating between
52 continent and ocean, the TP plays a fundamental role in the formation of the Asian
53 monsoon system and Northern Hemispheric climatology (Wu and Zhang, 1998). The
54 TP and Himalayas, with more than 100,000 km² of glaciers, contain the largest ice
55 mass outside the Polar region (Xu et al., 2009; Yao et al., 2012). Over the past decades,
56 climate change impacts have been revealed due to marked air temperature rising and
57 dramatic glacier shrinkage across this area (Kang et al., 2010).

58 Due to sparse human population and minimal industrial activities, the TP is
59 considered one of the most pristine terrestrial regions, alongside the Arctic and
60 Antarctic. However, growing evidence has demonstrated that widespread atmospheric
61 brown clouds (ABCs) over South Asia may affect this region (Bonasoni et al.,
62 2010; Kaspari et al., 2011; Lu et al., 2012; Xia et al., 2011; Wang et al., 2010). Research
63 has attempted to reveal a link between climate change over the TP (e.g. air
64 temperature rising, glacier melting) and the distribution of anthropogenic pollutants
65 (mainly absorbing carbonaceous materials) (Qian et al., 2015; Wang et al., 2014b).
66 Ramanathan and Carmichael (2008) reported that in the high Himalayan region, solar
67 heating caused by black carbon (BC) could be approximately equivalent to the
68 warming by CO₂ in terms of the melting of snowpack and glaciers.

69 Could we quantitatively differentiate the various factors that contribute to glacier
70 melting, including aerosols, greenhouse gas, and BC deposition on the snow surface?
71 Clearly, to answer this question and reduce the uncertainties, adequate knowledge of
72 the aerosol properties is urgently needed. Some scientists have used different models
73 to reveal the importance of carbonaceous aerosol in this region (Menon et al.,
74 2010; Qian et al., 2011; Yasunari et al., 2010). So far, most works on aerosol
75 composition have been carried out on the south slope of the Himalayas, such as
76 Langtang, Nepal (Carrico et al., 2003), Godavari (Stone et al., 2010), Nepal Climate

77 Observatory-Pyramid (NCO-P) (Decesari et al., 2010) and Manora Peak, India (Ram
78 et al., 2010). Long-term aerosol chemistry measurements from the TP are extremely
79 scarce mainly due to its remoteness and challenging weather conditions, with
80 measurements limited to Lulang (Zhao et al., 2013), Waliguan (Ma et al., 2003), Nam
81 Co (Ming et al., 2010) and Qinghai Lake (Li et al., 2013). As we know, no systematic
82 data on carbonaceous aerosols from the south edge of the TP (i.e. the north slope of
83 Himalayas) has been reported. From the spatial distribution of aerosols observed by
84 satellites (e.g. MODIS, Fig. S1), there was a clear difference between South Asia and
85 Tibetan Plateau. Therefore, as the boundary area this region merits special attention.

86 In this paper, we present results from one-year measurements of organic carbon (OC),
87 elemental carbon (EC), water-soluble organic carbon (WSOC) and major ions in the
88 aerosols at Mt. Everest, the south edge of the TP. Our aim is to provide baseline levels
89 of aerosols for this region, reduce the assessment uncertainties of aerosol radiative
90 forcing and provide more information on their transport mechanism.

91

92 **2 Methodology**

93 **2.1 Description of research site**

94 In 2005, Qomolangma (Mt. Everest) Station for Atmospheric and Environmental
95 Observation and Research (briefly QOMS, 28.36°N, 86.95°E, 4276 m a.s.l.) (Fig. 1)
96 was established to begin [continuous](#) monitoring of the environment (Ma et al., 2011).
97 A solar electricity system generates the power to maintain the instrumentation.
98 According to the observations achieved so far, the Mt. Everest region (QOMS) is a
99 typical representative of the middle Himalayas in terms of climate, air circulation
100 systems and environmental characteristics (Chen et al., 2012; Li et al., 2012; Ma et al.,
101 2011). Sandy soil with sparse grass and small rocks cover the land surface around the
102 QOMS. Due to its harsh environment, QOMS is relatively isolated from industrial
103 zone and cities, with a very limited local population (Ma et al., 2011).

104 **2.2 Aerosol sampling**

105 From August 2009 to July 2010, total suspended aerosol particle (TSP) samples were
106 collected weekly at QOMS using medium-volume samplers (KC-120H, Laoshan Co.,
107 flow rate: 100 L min⁻¹ at standard condition). The sampling duration of each sample
108 was 24 hours. Aerosols were collected using 90-mm diameter quartz filters (QM/A,
109 Whatman, UK), which were pre-combusted at 450 °C for 6 hours. Field blanks were
110 collected every month by placing filters into the filter holder for a few minutes with
111 no air flowing. After sampling, the filters were wrapped with aluminum foil and
112 frozen until analysis. Eventually, fifty samples were successfully obtained.

113 **2.3 OC and EC analysis**

114 The quartz filters were analyzed for OC and EC using a carbon analyzer (DRI model
115 2001). Briefly, a filter aliquot (0.5 cm²) was analyzed for eight carbon fractions
116 following the IMPROVE-A thermal/optical reflectance (TOR) protocol (Cao et al.,
117 2007;Chow et al., 2007). Four OC fractions (OC1, OC2, OC3 and OC4) were
118 determined at 140, 280, 480 and 580 °C in pure He atmosphere, which was
119 subsequently switched to 2% O₂/98% He atmosphere to determine EC1, EC2 and
120 EC3 at 580, 740 and 840 °C, respectively. The residence time of each heating step
121 was defined by the flattening of the carbon signal. The pyrolyzed carbon fraction
122 (OPC) is determined when reflected laser light returns to its initial value after oxygen
123 is introduced. In general, OC is defined as OC1 + OC2 + OC3 + OC4 + OPC and EC
124 is defined as EC1 + EC2 + EC3 - OPC. The detection limit for the carbon analyzer
125 was 0.05 µgC cm⁻² for OC and 0.05 µg C cm⁻² for EC.

126 **2.4 Water-soluble ions and WSOC**

127 An aliquot of filter (2.54 cm²) was extracted with 10 ml ultrapure water with
128 sonication for 30 minutes. The extracted solutions were filtrated with syringe-driven
129 filters (Millex-GV PVDF, 0.22 µm; Millipore, Ireland) to remove the quartz fiber
130 debris and other insoluble impurities. Then the water-soluble ionic species (Cl⁻, SO₄²⁻,
131 NO₃⁻, Ca²⁺, Na⁺, K⁺, Mg²⁺ and NH₄⁺) were analyzed using an ion chromatograph (761

132 Compact IC, Metrohm). Anions were measured with a suppressor on a Shodex SI-90
133 4E column using an eluent mixture of 1.8 mM Na₂CO₃, 1.7 mM NaHCO₃ and 40 mM
134 H₂SO₄ at a flow rate of 1.2 mL min⁻¹. Cations were determined on a Metrohm C2-150
135 column with tartaric acid (4 mM) and dipicolinic acid (1 mM) as an eluent. The
136 overall uncertainty in determining ionic species is less than 4% (Miyazaki et al.,
137 2010). The detection limit for cations and anions was 0.01 µg m⁻³, which was
138 calculated according to the air volume of actual samples.

139 To quantify WSOC, a portion of filter (19.1 cm²) was extracted and filtrated using the
140 same procedure for major ions described above. Then the extract was injected into a
141 total carbon analyzer (TOC-V, Shimadzu). The method detection limit (MDL) used
142 was 4 µg L⁻¹ with a precision of ±5%. All the concentrations of carbonaceous and
143 ionic components in this study are field-blank corrected. [It should be noted that there
144 are possible sampling artifacts by the adsorption/evaporation of gaseous organic
145 materials on/from the quartz membrane. However, no quantitative information on
146 such positive/negative artifact is available in this study, therefore, no correction was
147 made for the data of carbonaceous components.](#)

148 **2.5 Determination of levoglucosan**

149 Levoglucosan was determined by GC/MS after the extraction of the samples with a
150 methanol/methylene chloride mixture followed by BSTFA derivatization. Details of
151 the analytical procedure is presented elsewhere (Fu et al., 2008).

152 **2.6 Meteorology and backward air mass trajectories**

153 At the QOMS station, various meteorological parameters (Fig. 2) were recorded by a
154 40 m atmospheric boundary layer tower that measures wind speeds, wind direction
155 (014A-L, Met One), relative humidity, air temperature, air pressure (HMP45C,
156 Vaisala) and rain intensity (TE525MM-L, Young) (Chen et al., 2012; Li et al., 2012).
157 Monthly mean air temperature reaches a maximum of 12.3°C in July, with a
158 minimum in January of -3.2°C. Humidity is highest in August while lowest in
159 December. Precipitation was unevenly distributed throughout the year, with more

160 than 90% of annual precipitation occurring from June to September. According to the
161 meteorological parameters at QOMS (Fig. 2), the climatology is roughly divided into
162 four seasons, i.e. pre-monsoon, monsoon, post-monsoon and winter (The definition of
163 different seasons was shown in Table S1). These seasons are generally in agreement
164 with the seasonal definition made in a previous study in this region (Bonasoni et al.,
165 2010). In general, this region is controlled by Indian Monsoon system in summer
166 (June-August), characterized by relatively high temperature and humid weather with
167 prevailing southerly winds. While in the remaining period, westerlies dominate the
168 large-scale atmospheric circulation patterns with limited precipitation.

169 To reveal the transport pathway of air masses that arrive at QOMS, seven-day
170 backward trajectories were computed using the HYSPLIT model (Draxler and Rolph,
171 2012) and GDAS (Global Data Assimilation System) data for each sampling day.
172 Given the typical height of the planetary boundary layer (PBL) in this region (Chen et
173 al., 2012), the arrival height of air mass in these modeling was set to 500 m above
174 ground level.

175

176 **3 Results and discussion**

177 **3.1 Characteristics and temporal variations of OC and EC**

178 The statistical summaries of carbonaceous components in the aerosols from QOMS
179 are presented in Table 1. The average concentrations of OC and EC in the aerosols
180 from QOMS were 1.43 ± 1.16 and $0.25 \pm 0.22 \mu\text{g m}^{-3}$, respectively. The concentration
181 levels of OC and EC at QOMS are about three times higher than those of Muztagh
182 Ata, northwest TP (Cao et al., 2009), while they are comparable to those reported
183 from the Central and Northeastern TP (Li et al., 2013; Ming et al., 2010) (Table 2). In
184 contrast, OC and EC concentrations from the southeastern TP (Tengchong and Lulang)
185 are significantly higher than those at QOMS, possibly due to the higher contribution
186 of biomass burning (Engling et al., 2011; Zhao et al., 2013). When compared with
187 sites on the south slopes of the Himalayas, QOMS data present the same order of OC

188 and EC with NCO-P (Decesari et al., 2010) and Langtang (Carrico et al., 2003), but
189 three to six-fold lower than Manora Peak, India (Ram et al., 2010) and Godavari,
190 Nepal (Stone et al., 2010). The latter two sites are at lower altitudes and are closer to
191 the populated areas of South Asia, heavily influenced by anthropogenic emission.
192 Generally, the high altitude sites on both sides of the Himalayas (i.e. Langtang,
193 NCO-P and QOMS) exhibit similar OC and EC abundance, which could be
194 considered as a regional baseline level to be used in the regional climate model as
195 input parameters.

196 In a previous study, Ming et al. (2008) estimated atmospheric EC concentration in the
197 region based on the analysis of an ice core from the East Rongbuk Glacier, Mt.
198 Everest. Apparently, there is a big discrepancy between our EC data (annual average
199 of $0.25 \pm 0.22 \mu\text{g m}^{-3}$) and the EC data estimated by ice cores (average: 0.077 ± 0.045
200 $\mu\text{g m}^{-3}$ during 1951–2001). One potential reason is that several parameters (e.g.
201 scavenging ratio of EC) need to be assumed to convert the EC in the ice core to
202 atmospheric concentration, which may introduce some uncertainty. Moreover,
203 dramatically increasing trends of EC in the Himalayas and the TP ice cores have been
204 reported (Cong et al., 2013;Kaspari et al., 2011), i.e. a two and a half to three fold rise
205 in recent decades compared to background conditions. Therefore, our EC data for
206 2009–2010, which is higher than the average EC concentration for 1951–2001, is
207 reasonable.

208 The OC/EC ratios at QOMS range from 1.91 to 43.8, with average of 6.69. Such high
209 ratios are commonly found in different areas of the TP (Table 2). There are two
210 potential reasons for those high OC/EC ratios. One reason may be a strong solar
211 radiation (exceeding 7500 MJ m^{-2}) over the TP, because substantial secondary organic
212 carbon (SOC) could be formed through photochemical reaction (Wan et al., 2015).
213 The other potential reason is the influence of biomass burning. Usually, the aerosols
214 emitted from biomass burning have higher OC/EC ratio. For example, Watson et al.
215 (2001) have reported an OC/EC ratio of 14.5 for forest fires. Considering the specific
216 condition of this study (QOMS), the second reason is more likely, i. e. the strong

217 [influence of biomass-burning emissions](#). The higher abundance of OC than EC on the
218 TP emphasizes that OC should not be ignored in the quantification of total radiative
219 forcing of aerosol by climate models (Kopacz et al., 2011). Although some organic
220 carbon has light-absorbing capability (i.e. brown carbon), the net effect of organic
221 carbon on climate is negative (cooling) (Stocker et al., 2013), which may attenuate the
222 positive radiative forcing caused by EC.

223 The temporal variations of the aerosol OC, EC and WSOC are illustrated in Fig. 3.
224 Clearly, the OC, EC and WSOC share a significant seasonal pattern, i.e. a maximum
225 in the pre-monsoon period and a minimum in the monsoon season. Higher abundance
226 of OC and EC imply that the contributions from anthropogenic activities are larger in
227 pre-monsoon than other seasons. Similar seasonal trends of aerosol composition were
228 also reported previously on the south slopes of the Himalayas, such as Langtang
229 (Carrico et al., 2003) and NCO-P (Decesari et al., 2010). This phenomenon indicates
230 that these regions (Mt. Everest), both slopes of the Himalayas, have a common
231 atmospheric environmental regime, although the high altitude of the Himalayas was
232 once considered a good barrier for the spreading of atmospheric pollutants in South
233 Asia. [This point will be further discussed in Section 3.5.](#)

234 **3.2 Relationship between OC and EC**

235 Examining the relationship between OC and EC can provide meaningful insights into
236 the origin and possible reaction process during the transport of carbonaceous aerosols
237 (Turpin and Huntzicker, 1995). At QOMS, a strong correlation ($R^2 = 0.81$) was
238 observed between OC and EC during the pre-monsoon season (Fig. 4a), indicating
239 common emission sources and transport processes. The correlation coefficients
240 between OC and EC in the other three seasons were lower than that of the
241 pre-monsoon season (Fig. 4b, c, d), with the lowest correlation observed in the
242 summer monsoon season ($R^2 = 0.08$), suggesting that there are other influences. In
243 addition to the common emission sources (e.g. fossil fuel and biomass burning), [OC](#)
244 [could also be produced by biogenic sources and the formation of secondary OC](#)
245 [\(SOC\). The relative importance of different sources and/or formation process merits a](#)

246 further study.

247 SOC has often been calculated from the primary OC/EC ratio (EC-tracer method)
248 ($OC_{pri} = EC * (OC/EC)_{min}$, $OC_{sec} = OC_{tot} - OC_{pri}$), which is assumed to be relatively
249 constant for a given site (Turpin and Huntzicker, 1995). The lowest OC/EC ratio in
250 the aerosol was suggested for use as the primary source to calculate the SOC
251 abundance (Castro et al., 1999), when the secondary production of OC is expected to
252 be minimal. However, for the samples from QOMS, we found that calculating SOC
253 formation using this method was not reliable. The minimum OC/EC ratios differ
254 greatly among various seasons (3.40, 3.78, 1.91 and 2.67 for pre-monsoon, monsoon,
255 post-monsoon and winter, respectively). Even for each season (11–13 samples for
256 each seasons), the lowest three values of OC/EC ratios also varied substantially.
257 Therefore, the SOC formation estimated by the conventional EC-tracer method is not
258 presented here.

259 **3.3 Water-Soluble Organic Carbon (WSOC)**

260 The WSOC in aerosols, a major proportion of total organic carbon, could affect the
261 hygroscopic property of the particles and their ability to act as cloud condensation
262 nuclei (CCN) (Psichoudaki and Pandis, 2013). The abundance of WSOC relative to
263 OC could be employed as an indicator to decipher whether organic aerosol is primary
264 or secondary, because SOC usually tends to be more water-soluble than primary
265 organic matter (Psichoudaki and Pandis, 2013). The concentration of WSOC at
266 QOMS varied from 0.07 to 3.22 $\mu\text{g m}^{-3}$, with an average of 0.77 $\mu\text{g m}^{-3}$ (Table 1). The
267 average WSOC/OC ratios at QOMS were 0.47, 0.59, 0.62 and 0.57 for pre-monsoon,
268 monsoon, post-monsoon and winter, respectively. The lowest WSOC/OC in
269 pre-monsoon indicated the dominant contribution from primary emission sources with
270 poor aging and less SOA formation. Furthermore, in the pre-monsoon season, the
271 WSOC concentration exhibited a significant positive correlation with OC ($y =$
272 $0.54x - 0.12$, $R^2 = 0.94$), which could be ascribed to the influence of biomass
273 combustion. Previous studies have revealed that organic matters emitted from
274 biomass burning were substantially composed of water-soluble polar organic

275 compounds, including dicarboxylic acids, sugars, aromatic acids, etc. (Claeys et al.,
276 2010;Fu et al., 2012;Kundu et al., 2010). No evident correlation was found between
277 WSOC and OC in other seasons when OC concentrations were low (Fig. 5).

278 **3.4 Water-Soluble Ionic Species (WSIS)**

279 Sulfate was the most abundant anion species followed by nitrate, accounting for 25%
280 and 12% of total ionic mass, respectively (Table 1). Ca^{2+} was the most abundant
281 cation species with annual average of $0.88 \mu\text{g m}^{-3}$. Cl^- and Na^+ only consisted of a
282 very minor portion of total ions, indicating that at QOMS the influence of sea salt is
283 negligible. Water-soluble Ca^{2+} is a typical tracer of crustal material (dust) (Ram et al.,
284 2010). At QOMS, the time-series of Ca^{2+} was somewhat uniform throughout the years
285 (Fig. 6), implying that the mineral dust loading at QOMS is relatively constant. This
286 pattern was obviously in contrast to other ionic species (NH_4^+ , K^+ , NO_3^- , and SO_4^{2-}).
287 The temporal variation patterns of Ca^{2+} and SO_4^{2-} are different (Fig. 6), and thus the
288 correlation is not strong ($R^2 = 0.27$), which excludes the possibility that they
289 predominantly co-occurred in some minerals (e.g. gypsum).

290 Soluble potassium (K^+) is a good tracer of biomass burning (Andreae and Merlet,
291 2001;Cachier et al., 1995). In our study, the K^+ concentrations were below detection
292 limit in most samples, but K^+ concentrations did show peaks in pre-monsoon season
293 (Fig. 6). Furthermore, K^+ and EC demonstrated a good relationship ($R^2 = 0.66$, $n = 9$)
294 during that period, indicating that they were both derived from biomass burning (Fig.
295 7c). A significant correlation between NO_3^- and SO_4^{2-} was not surprising (Fig. 7a),
296 because they generally form from the oxidation of NO_x and SO_2 , which are closely
297 related to fossil fuel combustion. In the pre-monsoon season with a high abundance of
298 NH_4^+ (Fig. 6), NH_4^+ and NO_3^- exhibited a good correlation ($R^2 = 0.80$, $n = 9$),
299 implying that they are present as NH_4NO_3 in the aerosol particles.

300 The seasonal variation of biomass burning (K^+) coincided with that of ions associated
301 with the fossil fuel combustion (NH_4^+ , NO_3^- , and SO_4^{2-}), suggesting that in the
302 pre-monsoon season, QOMS might have received mixed anthropogenic pollution. But
303 another explanation is more plausible. According to earlier observation by

304 transmission electron microscopy (Li et al., 2003), large amounts of K_2SO_4 and KNO_3
305 were present in aged smoke aerosols from biomass burning. Andreae et al. (1988)
306 pointed out that haze aerosol from biomass burning is comprised of abundant NH_4^+ ,
307 K^+ , NO_3^- and SO_4^{2-} . Similarly, NH_4^+ , K^+ , NO_3^- and SO_4^{2-} are also reported as major
308 water-soluble inorganic ions in aerosols from biomass burning on the southeastern
309 Tibetan Plateau (Engling et al., 2011). In addition to K^+ , levoglucosan is also used as
310 a specific marker for biomass burning, which is formed by the pyrolysis of cellulose
311 but not formed by fossil fuel combustions (Simoneit et al., 1999). In the pre-monsoon
312 season, EC, OC and K^+ show good correlations with levoglucosan (Fig. 8), which
313 further indicate that carbonaceous components in QOMS aerosols were
314 predominantly from biomass burning.

315 **3.5 Transport mechanism of aerosols**

316 Seven-day backward air mass trajectories corresponding to each sampling date were
317 calculated using the Hysplit model (Draxler and Rolph, 2012). Seven days were
318 chosen because of the typical residence time of carbonaceous aerosols in atmosphere.
319 The trajectories were generally consistent with other descriptions of air circulation
320 patterns in previous studies (Cong et al., 2009), which correspond to the South Asian
321 monsoon regime (Fig. 9). In the summer monsoon season, air masses are derived
322 from Bangladesh and northeast India, and bring moisture that originates in the Bay of
323 Bengal. In the non-monsoon season, strong westerlies pass through western Nepal,
324 northwest India, and Pakistan (i.e. Southern Himalayas). Although the transport
325 pathways of air masses arriving at QOMS during pre-monsoon, post-monsoon and
326 winter are similar (Fig. 9), a distinctly higher carbonaceous aerosol level was found
327 only in the pre-monsoon season (Fig. 3), which emphasizes the importance of source
328 strength changes.

329 According to the previous ABC research (Ramanathan et al., 2005) and the emission
330 inventory (Wang et al., 2014a), a high loading of atmospheric pollutants exists over
331 the southern slopes of the Himalayas, which was pronounced in the pre-monsoon
332 season. We further checked the biomass burning emission from different seasons

333 using the active fire product from MODIS (MODerate-resolution Imaging
334 Spectroradiometer, both Terra and Aqua dataset), which was provided by Fire
335 Information for Resource Management System (FIRMS,
336 <https://earthdata.nasa.gov/firms>). Figure 10 clearly shows that the active fire counts
337 (representing the agricultural burning and forest fires) peaked in pre-monsoon (April).
338 This finding is in agreement with the vegetation fire study on the southern slopes of
339 the Himalayas by Vadrevu et al. (2012). In general, the seasonal pattern of
340 carbonaceous components (OC, EC and WSOC), their strong correlation with K^+ and
341 levoglucosan, together with the air mass trajectories and active fire spots distribution,
342 all suggest that the higher loadings of carbonaceous aerosols in the pre-monsoon
343 season at QOMS were most likely affected by the biomass burning (agricultural and
344 forest fires) in northern India and Nepal.

345 In addition to the large-scale atmospheric circulation, the local orographic effect on
346 air pollutant transport should also be taken into account (Hindman and Upadhyay,
347 2002). In mountainous areas, because of the temperature difference between
348 mountaintop and lowland, a diurnal valley wind system occurs that blows upward
349 during the day and reverses into downward during the night. As shown by Bonasoni et
350 al. (2010), the wind regime at NCO-P (southern slope of the Himalayas) was
351 characterized by an evident daily circle of mountain/valley breeze. During the
352 daytime, the valley winds (southerly) were predominant with maximum wind speed in
353 the afternoon. Therefore, the daytime up-valley breeze delivered the air pollutants
354 from the foothills (South Asia ABC) to higher altitudes (>5000 m a.s.l.). Aerosol mass
355 concentration, BC and ozone at NCO-P exhibit strong diurnal cycles, with minima
356 during the night and maxima during the afternoon especially in the pre-monsoon
357 season (Decesari et al., 2010; Marinoni et al., 2010). However, distinct
358 mountain-valley breeze circulation was observed on the northern slopes of the
359 Himalayas (QOMS). A dominating down-valley wind occurs on the north side of Mt.
360 Everest in the daytime, especially in the afternoon. Further, the driving force of the
361 vast snow cover at high altitude could form a “glacier wind”, and the up-valley air

362 flow produced by intense ground surface heating is overcome by down-valley air flow
363 “glacier wind” and “mountain wind” (Chen et al., 2012;Zou et al., 2008). Therefore,
364 daytime intense valley wind circulation could make the valleys efficient channels for
365 the transport of air pollutants crossing over the Himalayas (Fig. S2), i.e., from the low
366 altitude of South Asia to the Tibetan Plateau.

367 Because both QOMS and NCO-P have sun-photometers and participated in the
368 AERONET project, the same instrument (Cimel 318), the same data processing
369 method and simultaneous observation between QOMS and NCO-P make it possible to
370 compare AOD data directly between the two slopes of Himalayas (Xu et al.,
371 2014;Gobbi et al., 2010). As shown in Figure 11, the daily AOD (500 nm) of QOMS
372 and NCO-P varied in highly similar pattern (The correlation significant at $p < 0.001$),
373 which suggesting that the observation at QOMS can also capture the pollution signals
374 as NCO-P. Recently, Lüthi et al. (2014) investigated the transport mechanisms of
375 pollutants across Himalayas using a high-resolution model. They found some
376 trajectories with low altitudes originate from the TP, and then flow down through
377 valleys to the foothills of Himalayas during nighttime where they can mix with air
378 pollutants, and are then blown onto the TP again during daytime. For the vertical
379 distribution of aerosols, two examples of such transport episode revealed by CALIOP
380 satellite now were provided in the Supplementary Information (Fig. S3), which
381 clearly showed the pollution plumes from South Asia could transport across
382 Himalayas during the pre-monsoon season.

383 We roughly estimated the timescale for air masses transported from the southern slope
384 of Mt. Everest (NCO-P) to QOMS. The straight distance between the two sites is
385 about 40 km, and along the valley the real distance is about 50 km if we consider the
386 terrain effect (Fig. 1). The average wind speed in pre-monsoon season is 7.86 m/s
387 (Table S1). This means that the air mass could travel from the southern slope of Mt.
388 Everest and reach QOMS in less than two hours, even at the average wind speed.
389 These results demonstrate that at QOMS we can capture the air pollution signal from
390 the southern Himalayas. This air mass transport of pollutants caused by mountain

391 terrain along the valley was also supported by WRF modeling, i.e. at the upper valley
392 there is a pronounced southerly flow onto the Tibetan Plateau (Bonasoni et al., 2010).
393 In this study, a similar seasonal trend of aerosol composition was revealed between
394 the southern and northern slopes of the Himalayas. The most probable explanation is
395 that the local mountain/valley breeze circulation (south-to north air flow) acts as the
396 connection for the air pollutants crossing the Himalayas.

397

398 **4 Summary and conclusions**

399 A comprehensive knowledge of aerosol chemistry is crucial for assessing
400 anthropogenic influences and evaluating the effect of radiative forcing. This research
401 presents the first dataset of carbonaceous aerosols for the south edge of the Tibetan
402 Plateau. The average concentrations of OC and EC in the aerosols at QOMS were
403 1.43 and 0.25 $\mu\text{g m}^{-3}$, with a standard deviation of 1.16 and 0.22 $\mu\text{g m}^{-3}$, respectively.
404 The high altitude sites from both sides of the Himalayas (i.e. Langtang, NCO-P and
405 QOMS) exhibit similar OC and EC abundances, which could be considered as a
406 regional baseline level to be used as input parameters in the regional climate model.
407 The most striking finding in this study is that carbonaceous components (OC, EC and
408 WSOC) and several ionic species (NH_4^+ , K^+ , NO_3^- and SO_4^{2-}) exhibit a clear seasonal
409 pattern with concentration maxima in the pre-monsoon season (March, April and
410 May). A strong correlation ($R^2 = 0.81$) was observed between OC and EC during the
411 pre-monsoon season, indicating their common emission sources and transport process.
412 The EC and OC show good correlations with biomass burning tracers (K^+ and
413 levoglucosan), which further suggests that carbonaceous components in QOMS
414 aerosols mainly originate from biomass burning. Based on the active fire spots
415 observed by MODIS and backward trajectories, we found that in pre-monsoon,
416 agricultural and forest fires in northern India and Nepal are the most likely sources of
417 carbonaceous aerosol at QOMS. In addition to large-scale atmospheric circulation
418 (South Asia monsoon system and westerlies), local mountain wind systems can also
419 play an important role. The south-to-north airflow along mountain valleys in the

420 Himalayas could closely connect the atmospheric environment between the two sides
421 of the Himalayas. A higher time resolution research (diurnal) is imperative in the
422 future to deepen our understanding of such important processes.

423

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628 **Table 1.** Seasonal average abundances (along with standard deviation) of OC, EC, WSOC
 629 and water soluble ionic species ($\mu\text{g m}^{-3}$), as well as the ratios of OC/EC and WSOC/OC.

	Annual	Pre-monsoon	Monsoon	Post-monsoon	Winter
Number	50	13	11	13	13
Carbonaceous components					
OC	1.43±1.16	2.61±1.58	0.81±0.14	1.06±0.53	1.14±0.50
EC	0.25±0.22	0.44±0.31	0.10±0.06	0.19±0.07	0.26±0.12
OC/EC	6.69±6.33	6.63±4.05	10.58±11.95	5.56±2.03	5.18±3.58
WSOC	0.77±0.60	1.28±0.87	0.49±0.25	0.71±0.26	0.54±0.29
WSOC/OC	0.58±0.24	0.47±0.09	0.59±0.28	0.62±0.23	0.57±0.27
Levoglucosan	0.019±0.037	0.047±0.064	0.004±0.003	0.007±0.005	0.014±0.008
Water-soluble inorganic ions					
Cl ⁻	0.02±0.03	0.04±0.04	0.01±0.01	0.02±0.02	0.02±0.04
NO ₃ ⁻	0.20±0.27	0.51±0.37	0.06±0.04	0.08±0.04	0.12±0.07
SO ₄ ²⁻	0.43±0.54	1.06±0.66	0.09±0.09	0.18±0.07	0.32±0.24
Na ⁺	0.07±0.06	0.13±0.06	0.04±0.04	0.04±0.03	0.06±0.05
NH ₄ ⁺	0.03±0.09	0.10±0.16	BDL	BDL	0.00±0.01
K ⁺	0.02±0.05	0.06±0.07	BDL	BDL	0.00±0.02
Ca ²⁺	0.88±0.56	1.19±0.48	0.50±0.18	1.01±0.75	0.79±0.36
Mg ²⁺	0.04±0.02	0.06±0.02	0.02±0.01	0.05±0.01	0.04±0.01

630 BDL, Below Detection Limits ($0.01 \mu\text{g m}^{-3}$ for cations and anions).

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632

Table 2. Comparison of OC and EC concentrations ($\mu\text{g m}^{-3}$) and OC/EC ratios of aerosols from QOMS with other sites in the Himalayas and on the Tibetan Plateau.

Location	Description	Sample	Sampling period	OC	EC	OC/EC	Method	Reference
QOMS	Southern TP(4276 m)	TSP	Aug 2009 - Jul 2010	1.43 ± 1.16	0.25 ± 0.22	6.7 (1.91-43.8)	TOR	This study
Nam Co	Central TP (4730 m)	TSP	Jul 2006-Jan 2007	1.66 ± 0.79	0.082 ± 0.07	31.9 ± 31.1	TOR	(Ming et al., 2010)
Muztagh Ata,	Northwest TP(4500 m)	TSP	Dec 2003-Feb 2005	0.48	0.055	10 (2.9-32.1)	TOR	(Cao et al., 2009)
Qinghai Lake	Northeast TP (3200 m)	PM2.5	Jul-Aug 2010	1.58 ± 0.59	0.37 ± 0.24	5.9(1.85-21.8)	TOR	(Li et al., 2013)
Lulang	Southeast TP(3360 m)	TSP	Jul 2008-July 2009	4.28 ± 2.05	0.52 ± 0.35	1.7-58.4	TOR	(Zhao et al., 2013)
Tengchong	Southeast TP(1640 m)	PM10	Apr-May 2004	5.8 ± 4.4	1.5 ± 1.0	2.63	TOR	(Engling et al., 2011)
Manora Peak, India	Himalayas (1950 m)	TSP	Feb 2005-Jul 2008	8.2 ± 5.2	1.3 ± 1.2	7.3 ± 3.4	TOT	(Ram et al., 2010)
NCO-P, Nepal	Himalayas(5079 m)	PM10	Premonsoon 2006-2008	2.4	0.5	4.8	TOT	(Decesari et al., 2010)
			Monsoon	0.9	0.1	9		
			Postmonsoon	1.4	0.1	14		
			Dry season	1.2	0.1	12		
Langtang, Nepal	Himalayas (3920 m)	PM2.5	Jun –Sep 1999	0.75 ± 0.69	0.15 ± 0.16	5.0	TOT	(Carrico et al., 2003)
			Oct 1999-Jan 2000	1.81 ± 1.25	0.52 ± 0.48	3.48		
			Feb-May 2000	3.44 ± 4.19	0.48 ± 0.38	7.17		
Godavari, Nepal	S. Himalayas (1600 m)	PM2.5	2006	4.8 ± 4.4	1.0 ± 0.8	4.8	TOT	(Stone et al., 2010)

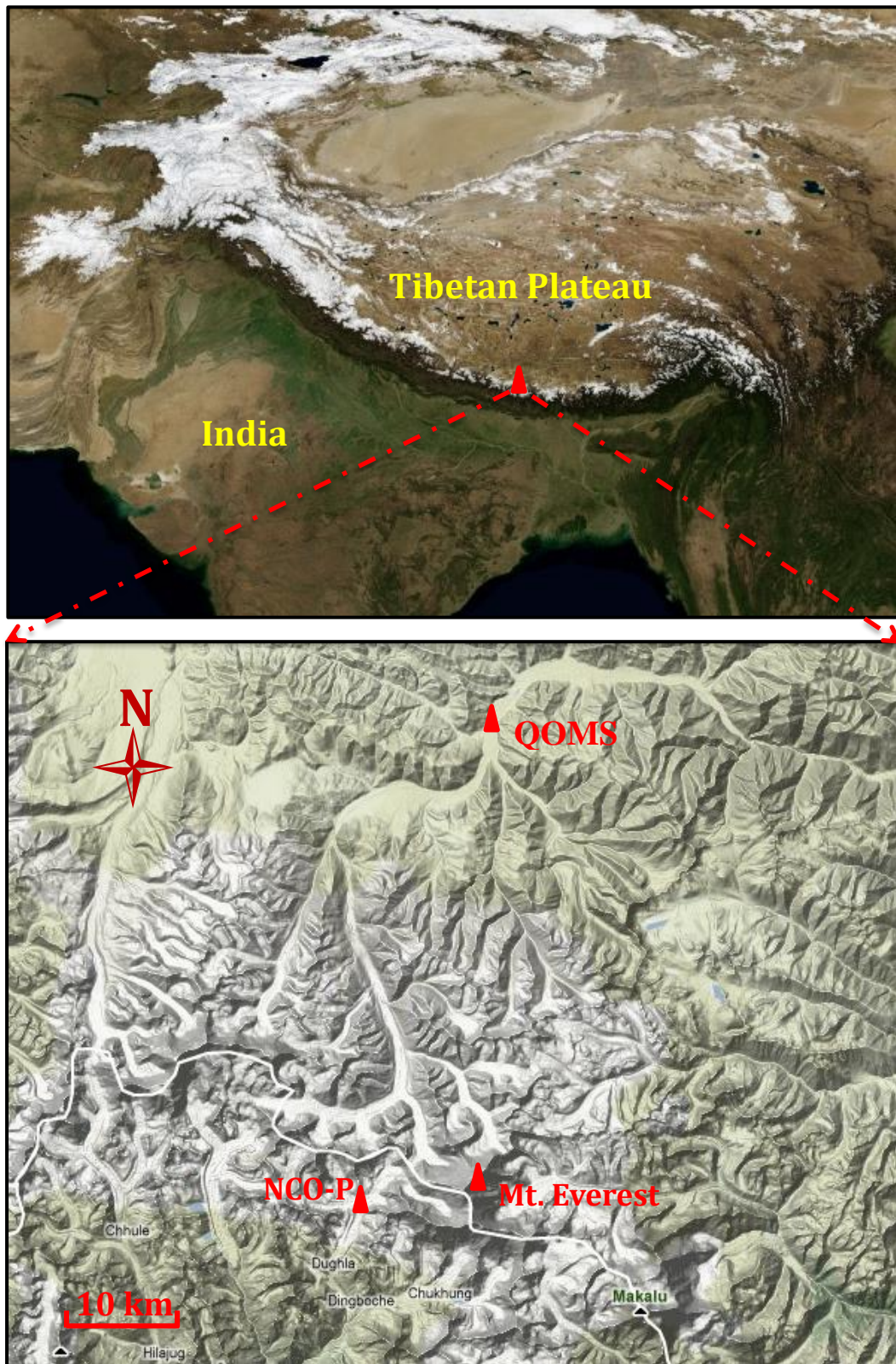


Fig. 1 Location of the sampling site (QOMS, 4276 m a.s.l.) at the south rim of the Tibetan Plateau, with the NCO-P (5079 m a.s.l.) and the summit of Mt. Everest (8844 m a.s.l.).

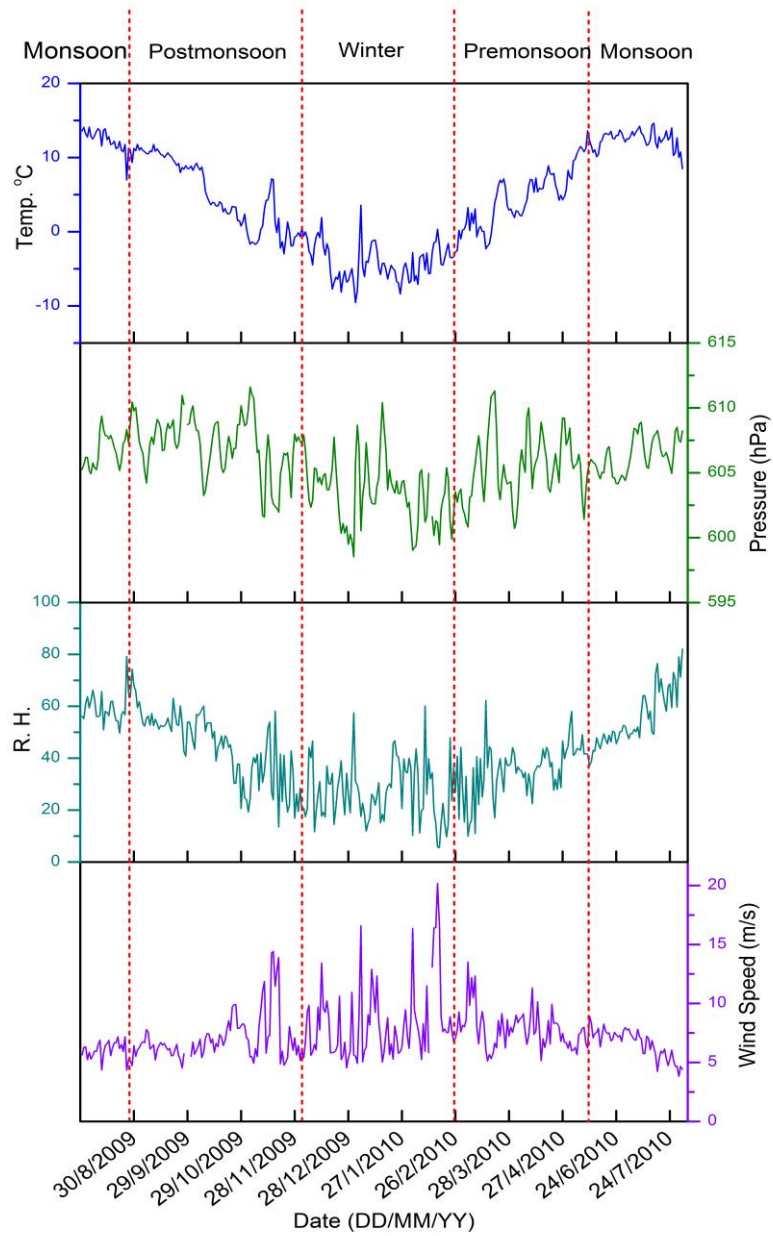


Fig. 2. Time-series of ambient temperature, atmospheric pressure, relative humidity and wind speed at QOMS from August 2009 to July 2010.

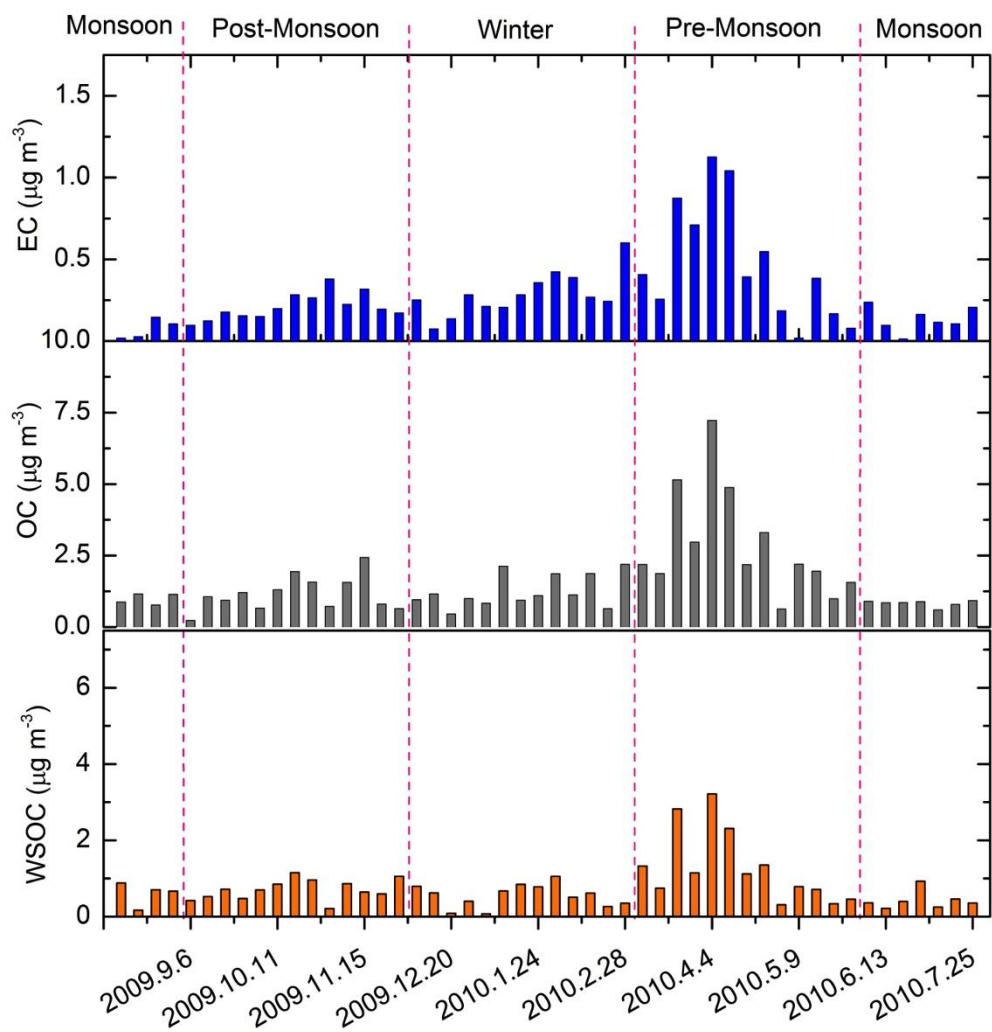


Fig. 3. Temporal variations (weekly) of OC, EC and WSOC at the QOMS site from August 2009 to July 2010.

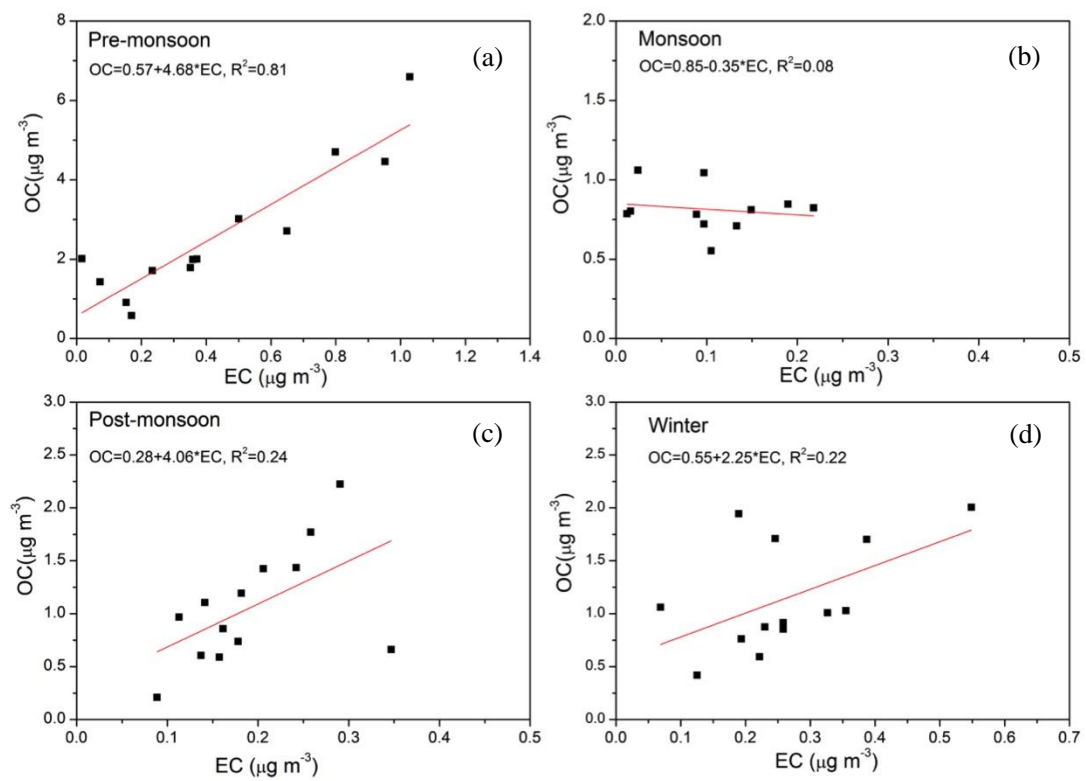


Fig. 4. Relationship between OC and EC in aerosols of different seasons at QOMS.

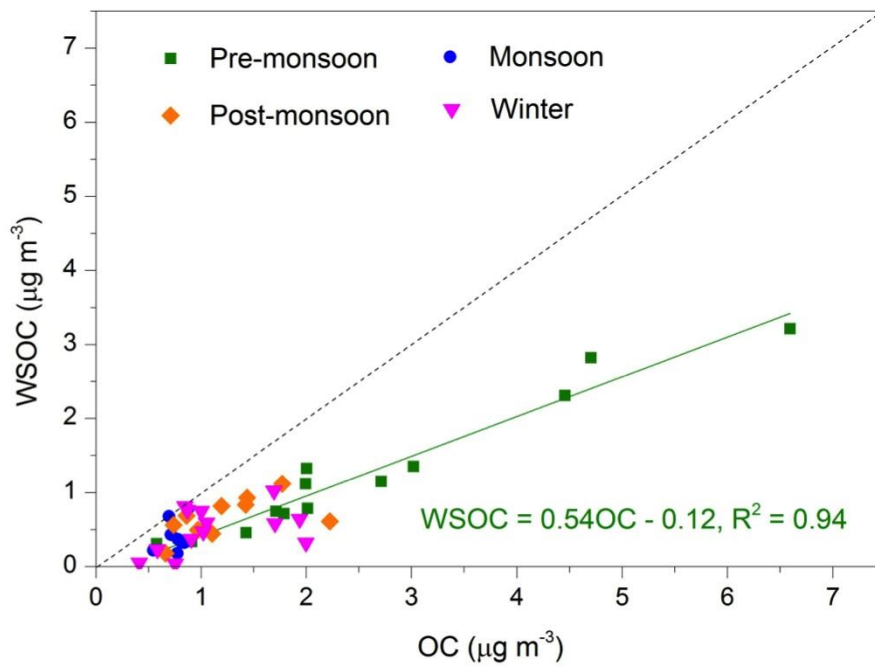


Fig. 5. Relationship between WSOC and OC in aerosols from QOMS.

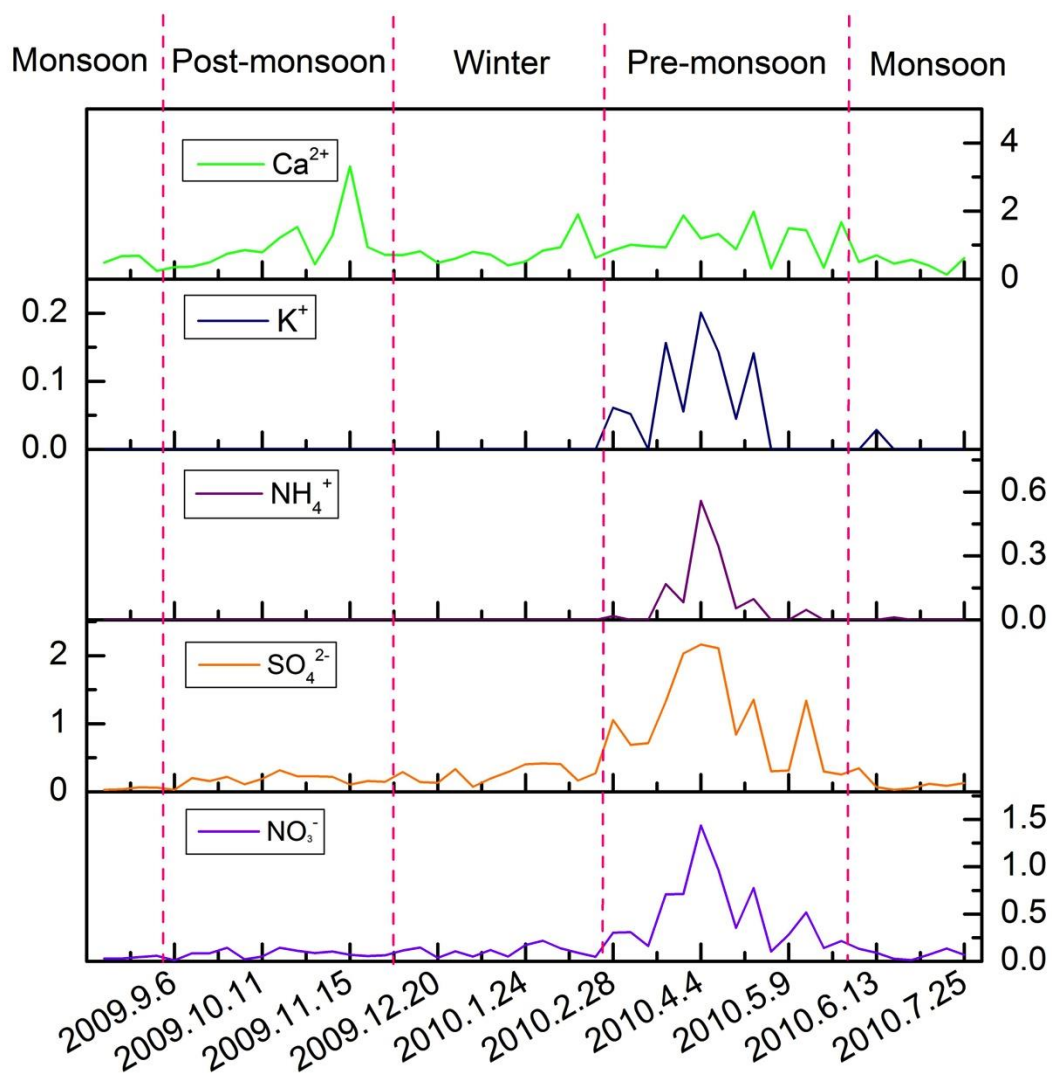


Fig. 6. Temporal variations (weekly) of water-soluble ionic species (Ca^{2+} , K^+ , NH_4^+ , SO_4^{2-} and NO_3^-) in aerosols collected at QOMS (Units: $\mu\text{g m}^{-3}$).

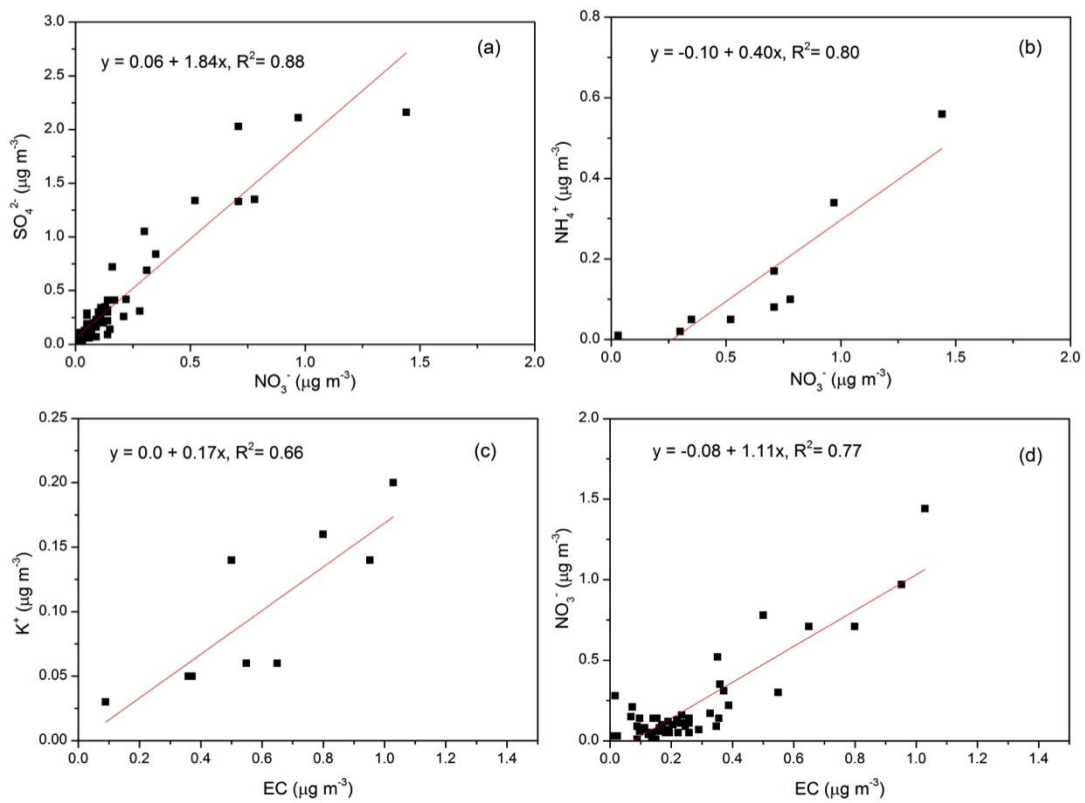


Fig. 7. Correlations between various chemical components. (a) SO_4^{2-} and NO_3^- , (b) NH_4^+ and NO_3^- , (c) K^+ and EC, (d) NO_3^- and EC.

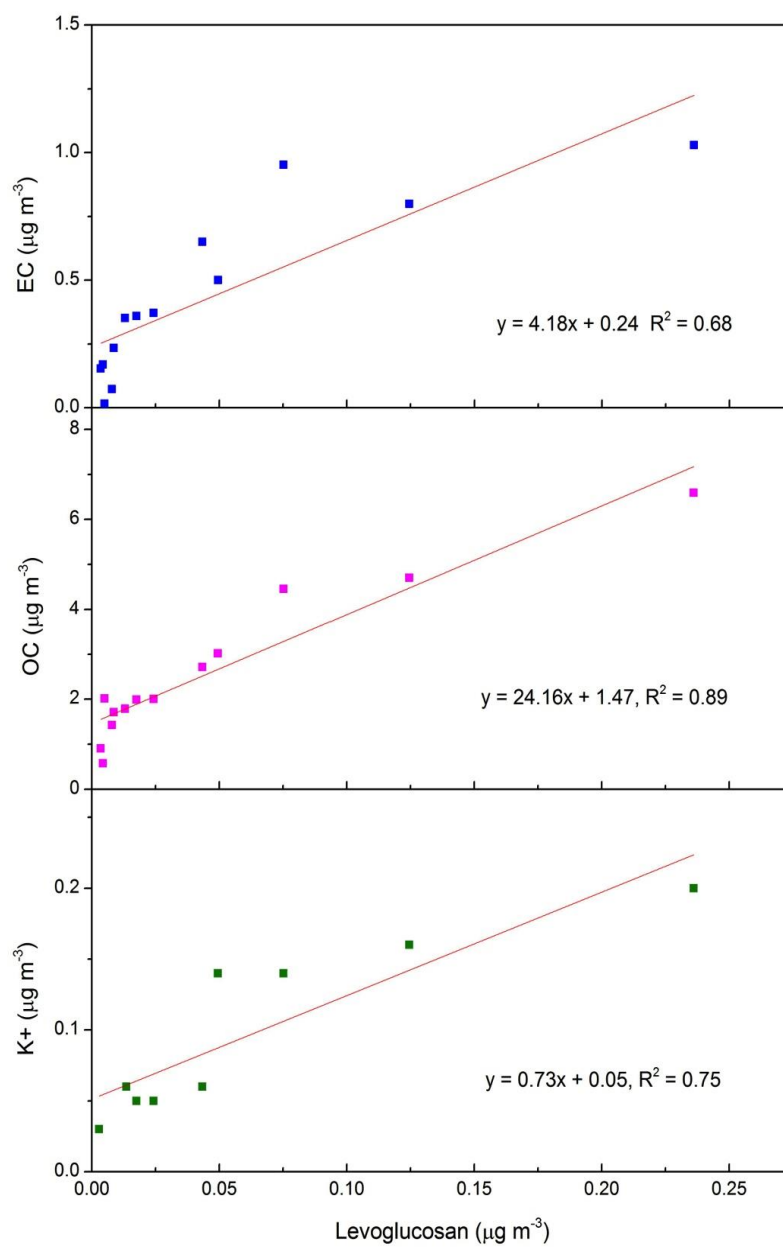


Fig. 8. The relationship between EC, OC, K⁺ and levoglucosan in aerosols at QOMS during the pre-monsoon season, 2010.

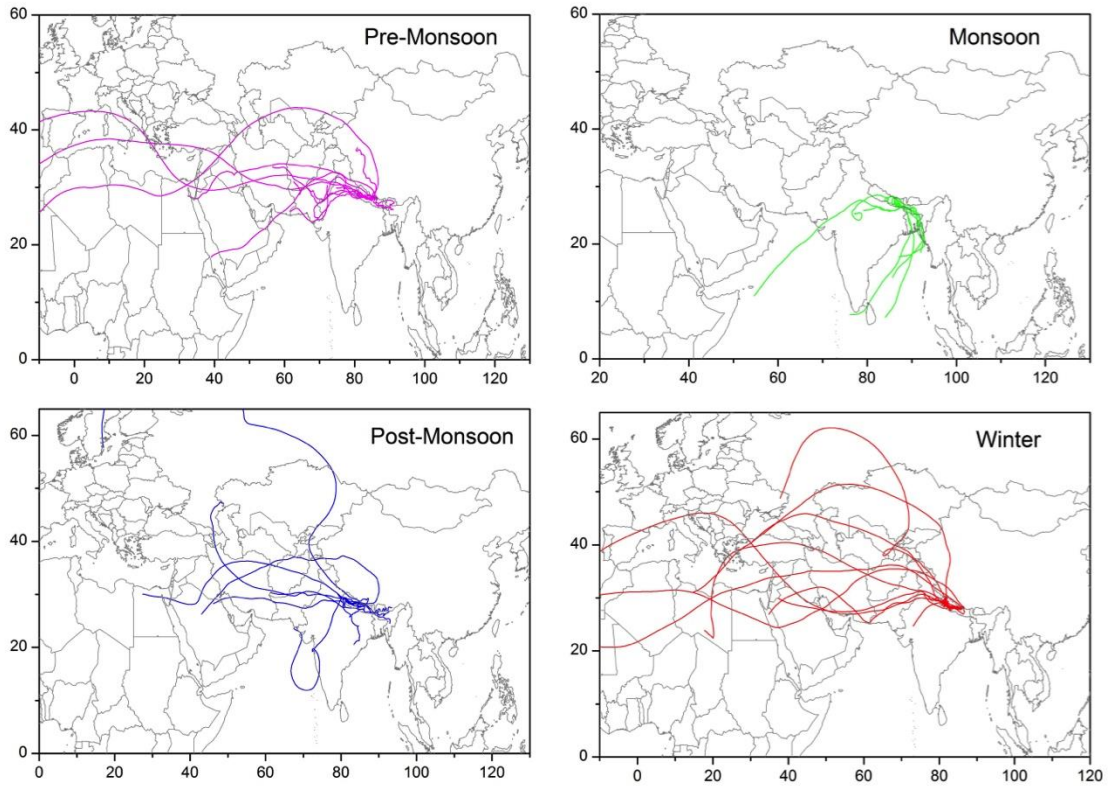
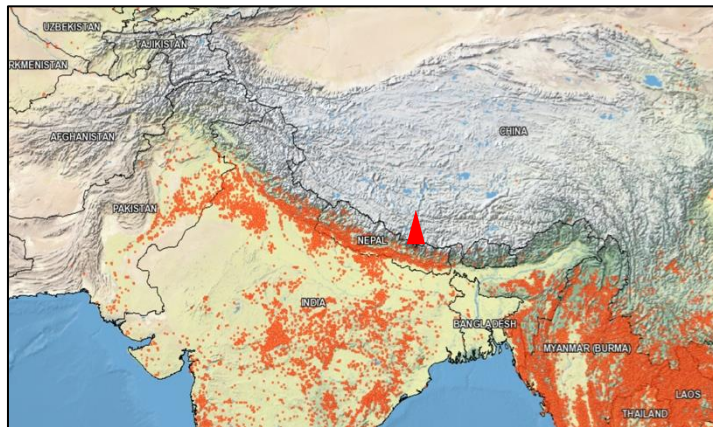
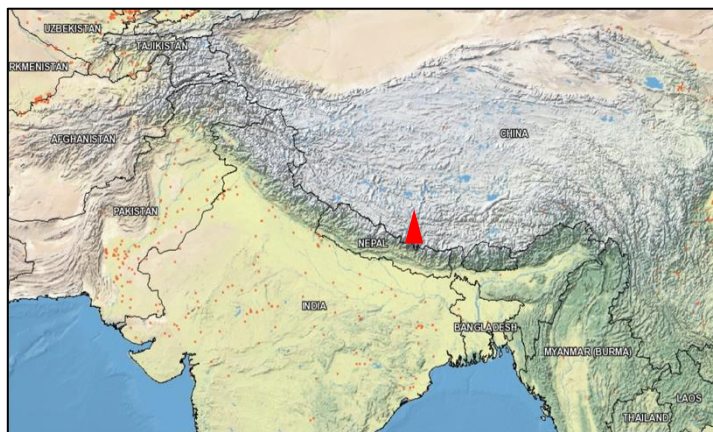


Fig. 9. Seven-day backward trajectories at QOMS on each sampling day during different seasons.

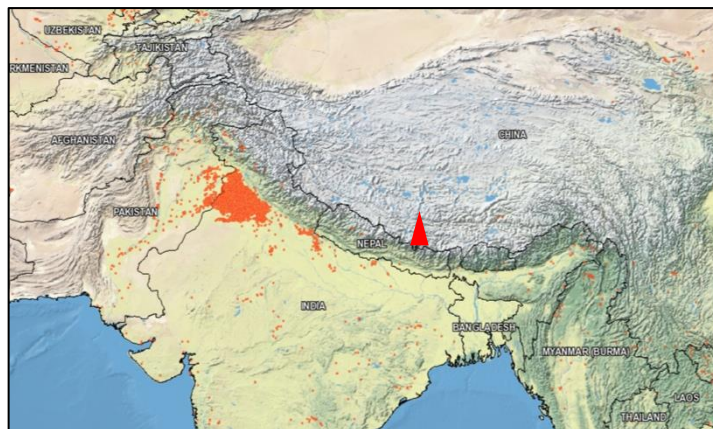
Pre-monsoon
(Apr. 2010)



Monsoon
(Jul. 2010)



Post-monsoon
(Oct. 2009)



Winter
(Jan. 2010)

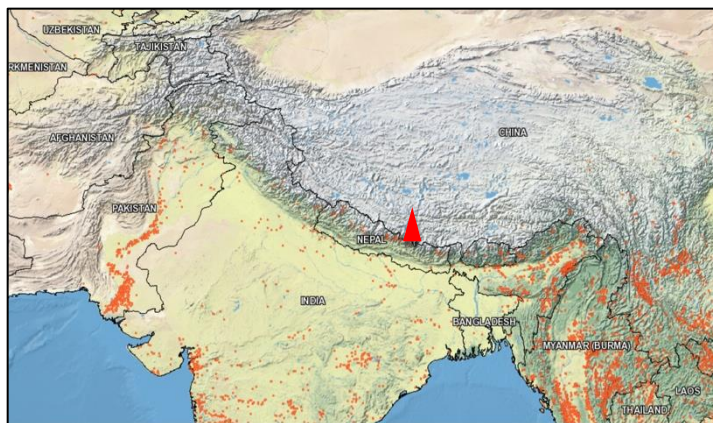


Fig. 10. The spatial distribution of fire spots observed by MODIS in different seasons (Aug. 2009 to Jul. 2010) (<https://firms.modaps.eosdis.nasa.gov/firemap/>).

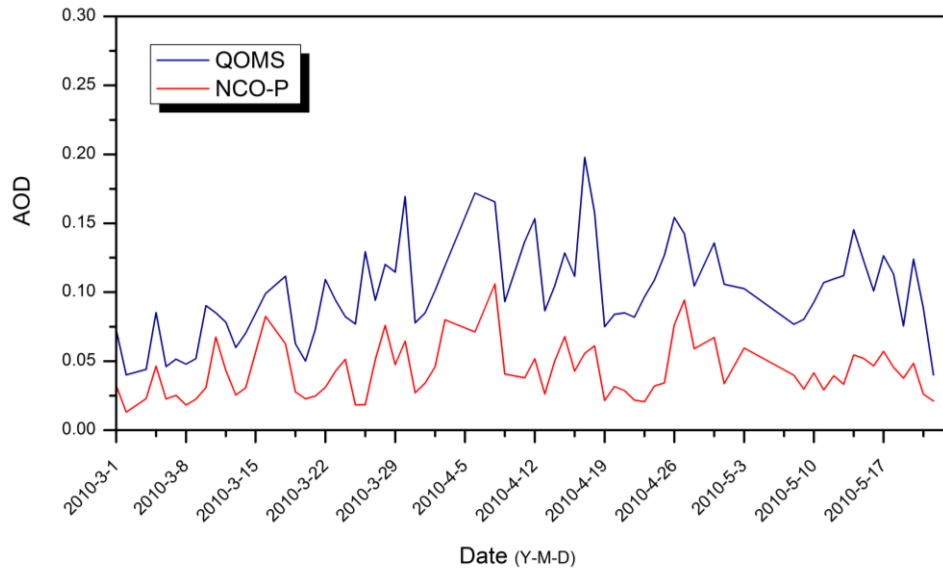


Fig. 11. The temporal variations of the daily aerosol optical depth (AOD, 500nm) at QOMS and NCO-P during the pre-monsoon season, 2010 (n=70).